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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 11/08/2021
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EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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11/08/2021

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
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In re Patent No. 10,943,030 :
Issue Date: 9 Mar 2021 : **DECISION ON PETITION**
Application No. 12/316,699 :
Filing or 371(c) Date: 15 Dec 2008 :
Attorney Docket No. None :

This is a response to Patentee's petition pursuant to 37 C.F.R. § 1.705(b) filed May 10, 2021, requesting that the Office adjust the patent term adjustment from 1576 days to 2427 days.

The Office acknowledges the submission of the \$210.00 fee set forth in 37 C.F.R. § 1.18(e). No additional fees are required. A review of the electronic file indicates that this patent is not subject to a terminal disclaimer.

This redetermination of patent term adjustment is NOT the Director's decision on the applicant's request for reconsideration for purposes of seeking judicial review under 35 U.S.C. § 154(b)(4).

Relevant Procedural History

On March 9, 2021, the Office determined that applicant was entitled to 1576 days of PTA.

On May 10, 2021 (May 9, 2021 fell on a Sunday), Patentee filed a request for redetermination of patent term adjustment requesting a PTA of 2427 days, pursuant to 37 C.F.R. § 1.705(b).

Decision

Upon review, the USPTO finds that Patentee is entitled to 1525 days of PTA.

Four periods of reduction and one period of "A" delay are in dispute.

"A" Delay

The Patentee and Office agree that an adjustment of 750 days is warranted under 37 C.F.R. § 1.703(a)(1) beginning on February 16, 2010 (the day after the date that is fourteen months after the day the application was filed and ending on March 6, 2012 (the date the first Office action was mailed).

The above-identified application became abandoned for failure to reply within the meaning of 37 C.F.R §1.113 in a timely manner to the final Office action mailed April 2, 2013, which set a shortened statutory period for reply of three months. An after-final amendment was received on October 2, 2013 along with a one-month extension of time, and an advisory action was mailed on October 18, 2013. No extensions of time under the provisions of 37 C.F.R §1.136(a) were obtained, and no further responses were received. Accordingly, this application became abandoned on August 3, 2013. A notice of abandonment was mailed on February 7, 2014.

- Applicant filed a first RCE on April 7, 2014 along with amended claims and a petition pursuant to 37 C.F.R. § 1.137(a) to revive the application.
- The USPTO mailed a decision on April 30, 2014 that dismissed the February 7, 2014 petition.
- Applicant filed a request pursuant to 37 C.F.R. § 1.48 on August 24, 2015.
- Applicant filed a renewed petition pursuant to 37 C.F.R. § 1.137(a) on February 6, 2016.
- The USPTO mailed a decision on March 7, 2016 that dismissed the February 6, 2016 petition.
- Applicant filed a petition pursuant to 37 C.F.R. § 1.183 on August 8, 2016 requesting suspension of the rules.
- Applicant filed a renewed petition pursuant to 37 C.F.R. § 1.137(a) on August 22, 2016.
- The USPTO mailed a decision on June 29, 2017 that, *inter alia*, dismissed the August 22, 2016 petition.
- Applicant filed a second RCE on August 29, 2017 along with, *inter alia*, amended claims, remarks, and a renewed petition.
- The USPTO mailed a decision on October 31, 2017 that, *inter alia*, dismissed the August 29, 2017 petition.
- Applicant filed, *inter alia*, a renewed petition pursuant to 37 C.F.R. § 1.137(a) on January 2, 2018.
- The USPTO mailed a decision on February 7, 2018 that, *inter alia*, dismissed the January 2, 2018 petition.
- Applicant filed, *inter alia*, a renewed petition pursuant to 37 C.F.R. § 1.137(a) on April 9, 2018. A supplement was received on April 10, 2018.
- The USPTO mailed a corrected decision on the petition pursuant to 37 C.F.R. § 1.137(a) on May 24, 2018 that, *inter alia*, granted the August 29, 2017 petition. The decision

states in the first footnote on page one of the decision that the decision “replaces the petition decisions issued on October 31, 2017 and February 17, 2018.”

- The corrected decision mailed on May 24, 2018 further states on page four of the decision that “[t]his petition (sic) is being referred to the Technology Center 2400 for further processing in accordance with this decision.” Accordingly, the USPTO mailed a non-final Office action on August 6, 2018 that indicates on the second numbered page that the RCE and the submission filed on August 29, 2017 have been entered. Hence, while the second RCE was not the first RCE to be filed in this application, the second RCE was entered while the first (filed on April 7, 2014) was not.

The USPTO entered an adjustment of 220 days under 37 C.F.R. § 1.703(a)(2) beginning on December 30, 2017 (the day after the date that is four months after the day the second RCE was filed - August 29, 2016) and ending on August 6, 2018 (the date the non-final Office action was mailed). Patentee agrees with this adjustment.

However, the non-final Office action mailed on August 6, 2018 was not mailed in response to the second RCE filed on August 29, 2017 – it was mailed as a result of the mailing of the corrected decision on the petition pursuant to 37 C.F.R. § 1.137(a) on May 24, 2018. Consequently, the 220-day adjustment is not warranted under 37 C.F.R. § 1.703(a)(2), and this adjustment has been removed.

“B” Delay

The Patentee and Office agree there are 2647 days of “B” delay.

The Novartis decision includes “instructions” for calculating the period of “B” delay. Specifically, the decision states,

The better reading of the language is that the patent term adjustment time [for “B” delay] should be calculated by determining the length of the time between application and patent issuance, then subtracting any continued examination time (and other time identified in (i), (ii), and (iii) of (b)(1)(B)) and determining the extent to which the result exceeds three years.

The length of time between application and issuance is 4468 days, which is the number of days beginning on the filing date of the application (December 15, 2008) and ending on the date the patent issued (March 9, 2021).

The time consumed by continued examination is 725 days. The time consumed by continued examination includes the period beginning on the filing date of the second RCE (August 29, 2017) and ending on the mailing date of the first notice of allowance (August 23, 2019).

The number of days beginning on the filing date of application (December 15, 2008) and ending on the date three years after the filing date of the application (December 15, 2011) is 1096 days.

The result of subtracting the time consumed by continued examination (725 days) from the length of time between the application's filing date and issuance (4468 days) is 3743 days, which exceeds three years (1096 days) by 2647 days. Therefore, the period of "B" delay is 2647 days.

"C" Delay

The Patentee and Office agree there are zero days of "C" delay.

Overlap

The Patentee and Office agree there are 82 days of overlap.

The Office finds that the number of overlapping days of Office delay is 82 days. In *Wyeth v. Kappos*, 591 F.3d 1364 (Fed. Cir. 2010), the Court of Appeals for the Federal Circuit determined that overlap occurs when the calendar days overlap between the "A" and "B" delays. Under this interpretation, the Office finds that the overlapping period of "A" delay and "B" delay is 82 days.

The Office finds that the periods of "B" delay are:

- (1) beginning on December 16, 2011 (date that is the day after the three year anniversary of the filing date of the application) and ending on August 28, 2017 (date that is the day before the second RCE was filed) for a period of 2083 days; and
- (2) beginning on August 24, 2019 (date that is the day after the first notice of allowance was mailed) and ending on March 9, 2021 (date of patent issuance) for a period of 564 days.

$$2083 + 564 = 2647.$$

The Office finds that the overlapping period of "A" delay is the terminal 82-day portion of the aforementioned 750 day adjustment that is warranted under 37 C.F.R. § 1.703(a)(1), beginning on December 16, 2011 (date that is the day after the three year anniversary of the filing date of the application) and ending on March 6, 2012 (the date the first Office action was mailed).

Reduction under 35 U.S.C. § 154(b)(2)(C)(iii) & 37 CFR 1.704 [Applicant Delay]

The Office finds that, under 37 C.F.R. § 1.704, the amount of PTA should be reduced by 1790 days. The Office has determined that the Patentee failed to engage in reasonable efforts to conclude processing or examination of its application during the following periods.

- (1) A 112-day period pursuant to 37 C.F.R. § 1.704(c)(7) from September 7, 2012 until December 27, 2012 because the Office mailed a non-final Office action on March 6, 2012. Patentee filed its remarks and amendments to the claims and specification on September 6, 2012, the USPTO mailed a Notice of Non-compliant Amendment on November 27, 2012, and Patentee filed, *inter alia*, remarks and amendments to the claims and specification on December 27, 2012.

Accordingly, the reduction should have been 112 days pursuant to 37 C.F.R.

§ 1.704(c)(7) rather than the previously determined 204 days pursuant to 37 C.F.R. § 1.704(b).

Consequently, the 204-day reduction has been removed and a 112-day reduction has been added.

Patentee appears to agree with the 204-day reduction.

- (2) The USPTO entered a reduction of 1519 days pursuant to 37 C.F.R. § 1.704(b) from July 3, 2013 until August 29, 2017 because the Office mailed a final rejection on April 2, 2013. Accordingly, the three-month response date was July 2, 2013. However, the Patentee did not file its second RCE until August 29, 2017.

It is noted that a reduction of 1427 days is warranted pursuant to 37 C.F.R. § 1.704(c)(3) from October 3, 2013 until August 29, 2017 because as set forth above, this application became abandoned on October 3, 2013 and a grantable petition was filed on August 29, 2017. However, since this entire 1427-day period overlaps with the 1519-day period, the USPTO has not entered the 1427-day reduction.

Patentee disagrees with the 1519 day reduction pursuant to 37 C.F.R. § 1.704(b). Patentee agrees the period should begin on October 3, 2013 when this application became abandoned but argues it should end on August 23, 2015, the day before a request pursuant to 37 C.F.R. § 1.48 was filed, since Applicant feels the request pursuant to 37 C.F.R. § 1.48 “included all the necessary items for a grantable decision.”¹ This would result in a reduction of 690 days; however Patentee appears to calculate this period to total 851 days.

Patentee’s argument has been given careful consideration, and has been deemed to be unpersuasive. 37 C.F.R. § 1.704(b) states, *in toto*:

With respect to the grounds for adjustment set forth in §§ 1.702(a) through (e), and in particular the ground of adjustment set forth in § 1.702(b), an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph.

¹ Petition, page 4.

The USPTO did not consider the August 23, 2015 request pursuant to 37 C.F.R. § 1.48 to satisfy all elements of the petition to revive the abandoned application.² All elements of the petition to revive the abandoned application were not satisfied until August 29, 2017, and it follows the period of reduction pursuant to 37 C.F.R. § 1.704(b) terminates on that date.

- (3) The USPTO entered a reduction of 122 days pursuant to 37 C.F.R. § 1.704(c)(3). Patentee disagrees that any reduction is warranted and the Office agrees. Consequently, the 122-day reduction has been removed.
- (4) The USPTO entered a reduction of 84 days pursuant to 37 C.F.R. § 1.704(b) from November 7, 2018 until January 29, 2019 because the Office mailed a non-final rejection on August 6, 2018. Accordingly, the three-month response date was November 6, 2018. However, the Patentee did not file its remarks and amendment to the claims until January 29, 2019.

Patentee appears to agree with this reduction.

- (5) The USPTO entered a reduction of 17 days pursuant to 37 C.F.R. § 1.704(c)(10) from September 29, 2020 until October 15, 2020 because the Office mailed a notice of allowance on September 28, 2020, Patentee filed a request pursuant to 37 C.F.R. § 1.48 on September 29, 2020, and the USPTO mailed an unrelated miscellaneous communication concerning Information Disclosure Statements received on September 6, 2012 and January 10, 2012.

The USPTO finds that a single-day reduction pursuant to 37 C.F.R. § 1.704(c)(10) is warranted, beginning on September 29, 2020 (the day after the Office mailed a notice of allowance) and ending on this same day when Patentee filed the request pursuant to 37 C.F.R. § 1.48.

Consequently, the 17-day reduction has been removed and a single-day reduction has been added.

Patentee disagrees that any reduction is warranted, and argues “the communication from the Office on 10/15/200 was a notification by the examiner of the receipt of previously submitted IDS submissions by the Applicant.”³

Patentee’s argument has been given careful consideration, and has been deemed to be unpersuasive. 37 C.F.R. § 1.704(c)(10) sets forth, *in toto*:

Circumstances that constitute a failure of the applicant to engage in reasonable efforts to conclude processing or examination of an application also include the following circumstances, which will result in the following reduction of the

² It is noted applicant did not appear to file a petition to withdraw the holding of abandonment pursuant to 37 C.F.R. § 1.181; instead, a petition to revive the application pursuant to 37 C.F.R. § 1.137(a) was filed.

³ Petition, page 8.

period of adjustment set forth in § 1.703 to the extent that the periods are not overlapping:

Submission of an amendment under § 1.312 or other paper, other than an amendment under § 1.312 or other paper expressly requested by the Office or a request for continued examination in compliance with § 1.114, after a notice of allowance has been given or mailed, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date of mailing of the notice of allowance under 35 U.S.C. 151 and ending on the date the amendment under § 1.312 or other paper was filed;

Patentee submitted a request pursuant to 37 C.F.R. § 1.48 after a notice of allowance was mailed, and it follows a reduction pursuant to 37 C.F.R. § 1.704(c)(10) is warranted.

- (6) The USPTO entered a reduction of 13 days pursuant to 37 C.F.R. § 1.704(c)(10), from December 11, 2020 until December 23, 2020 because the Office mailed a notice of allowance on September 28, 2020, Patentee filed an IDS on December 11, 2020 (the undersigned has not located a statement pursuant to 37 C.F.R. §§ 1.704(d)(1)(i) or (ii) among the concurrently submitted papers), and the USPTO mailed a corrected notice of allowability on December 23, 2020.

The USPTO finds that a 74-day reduction pursuant to 37 C.F.R. § 1.704(c)(10) is warranted, beginning on September 29, 2020 (the day after the Office mailed a notice of allowance) and ending on December 11, 2020, the day the IDS was received.

Consequently, the 13-day reduction has been removed and a reduction of 74 days has been added.

Patentee disagrees that any reduction is warranted. Patentee argues that no delay should be attributed since “Applicant was submitting remaining items on previous IDS submissions and further the Office was still reviewing Applicant’s petition filed 8/2/2020.”

Patentee’s argument has been given careful consideration, and has been deemed to be unpersuasive. Patentee submitted an IDS after a notice of allowance was mailed, and it follows a reduction pursuant to 37 C.F.R. § 1.704(c)(10) is warranted.

Overall PTA Calculation

Formula:

“A” delay + “B” delay + “C” delay - overlap - applicant delay = X.

USPTO’s Calculation:

750 + 2647 (4468 – 1096 – 725) + 0 – 82 – 1790 (112 + 1519 + 84 + 1 + 74) = 1525

Patentee's Calculation:

$970 (750 + 220) + 2647 (4468 - 1096 - 725) + 0 - 82 - 1139 (204 + 851 + 84) = 2396.$

Patentee calculates a total PTA of 2427, and the discrepancy appears to stem from the use of the figure 1108 instead of 1139 in the above formula. However, since Patentee has not fully revealed his math, the undersigned is not able to determine the precise discrepancy. The figure 1108 appears on the seventh and eleventh pages of this petition, but it is not entirely clear how Patentee arrived at this number.

Conclusion

Patentee is entitled to PTA of 1525 days. Using the formula "A" delay + "B" delay + "C" delay - overlap - applicant delay = X, the amount of PTA is calculated as following: $750 + 2647 + 0 - 82 - 1790 = 1525$ days.

If Patentee seeks a review of this decision, Patentee must request reconsideration within **TWO (2) months** from the mail date of this decision. Extensions of time pursuant to 37 C.F.R. § 1.136(a) are available, pursuant to M.P.E.P. § 2734(I). If applicant does not reply, then the Office will *sua sponte* issue a certificate of correction in the amount of 1525 days.

Telephone inquiries regarding this decision may be directed to Attorney Advisor Paul Shanoski at (571) 272-3225.⁴

/Paul Shanoski/
Paul Shanoski
Attorney Advisor
Office of Petitions

Encl: Adjusted PTA calculation
DRAFT Certificate of Correction

⁴ Patentee will note that all practice before the Office should be in writing, and the action of the Office will be based exclusively on the written record in the Office. See 37 C.F.R. § 1.2. As such, Patentee is reminded that no telephone discussion may be controlling or considered authority for any further action(s) of Patentee.

DRAFT COPY

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT : 10,943,030 B2

DATED : **Mar. 9, 2021**

DRAFT

INVENTOR(S) : **Guymon, Jr.**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

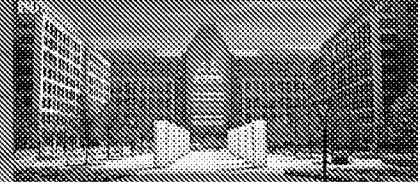
On the cover page,

[*] Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 USC 154(b) by 1576 days

Delete the phrase “by 1576 days” and insert – by 1525 days --



Patent Term Adjustments



PTA/PTE Information Patent Term Adjustment Patent Term Extension Calculation Explanation

Application Number*: 12316699 Open Explanation of PTA Calculation Explanation of PTE Calculation

PTA Calculations for Application: 12316699

Application Filing Date:	12/15/2008	OverLapping Days Between (A and B) or (A and C):	82
Issue Date of Patent:	03/09/2021	Non-Overlapping USPTO Delays:	3535
A Delays:	3970	PTO Manual Adjustment:	51
B Delays:	2647	Applicant Delay (APPL):	1959
C Delays:	0	Total PTA (days):	1525

* - Sorted Column

File Contents History

Action Number	Action Recorded Date	Action Due Date	Action Code	Action Description	Duration PTO	Duration APPL	Parent Action Number
265	11/04/2021		P028	Adjustment of PTA Calculation by PTO		74	0
264	11/04/2021		P028	Adjustment of PTA Calculation by PTO	33		0
263	11/04/2021		P028	Adjustment of PTA Calculation by PTO		3	0
262	11/04/2021		P028	Adjustment of PTA Calculation by PTO	37		0
261	11/04/2021		P028	Adjustment of PTA Calculation by PTO		112	0
260	11/04/2021		P028	Adjustment of PTA Calculation by PTO	204		0
259	11/04/2021		P028	Adjustment of PTA Calculation by PTO		229	0
258	11/04/2021		P028	Adjustment of PTA Calculation by PTO	127		0
254.5	03/09/2021	12/15/2011	PTA36M	PTA 36 Months	2647		0.5
254	03/09/2021		PTAC	Patent Issue Date Used in PTA Calculation			0
253	12/29/2020		EFDC	Export to Final Data Capture			0
252	12/28/2020		D1935	Dispatch to FDC			0
251	12/24/2020		N084	Issue Fee Payment Verified			0
250	12/24/2020		IFEE	Issue Fee Payment Received			0
249	12/23/2020		TCPB	Printer Rush- No mailing			0
248	12/23/2020	12/11/2020	MCNOA	Mailing Corrected Notice of Allowability		13	237
247	12/22/2020		OAR	Office Action Review			0
246	12/20/2020		CNOA	Corrected Notice of Allowability			0
245	12/18/2020		MTCPTD	Mail TC Petition Denied / Dismissed			0
244	12/17/2020		OAR	Office Action Review			0
243	12/17/2020		IDSC	Information Disclosure Statement considered			0
242	12/16/2020		PUBTC	Pubs Case Remand to TC			0
241	12/15/2020		TCPTD	TC Petition Denied / Dismissed			0
240	12/15/2020		MPPTDI	Mail-Petition Decision - Dismissed			0
239	12/15/2020		PTDI	Petition Decision - Dismissed			0
237	12/11/2020		WIDS	Information Disclosure Statement (IDS) Filed			0
236	11/04/2020		MEXIN	Mail Examiner Interview Summary (PTOL - 413)			0
234	11/03/2020		EXIN	Interview Summary Record			0
235	11/02/2020		EXAT	Interview Summary - Applicant Initiated - Telephonic			0
233	10/15/2020	09/29/2020	MM327	Mail Miscellaneous Communication to Applicant		17	231
232	10/13/2020		M327	Miscellaneous Communication to Applicant - No Action Count			0
230	10/06/2020		CAD	Correspondence Address Change			0
228	09/30/2020		R48ACLT	Letter Accepting Correction of Inventorship Under Rule 1.48			0
227	09/30/2020		FLRCPT.U	Filing Receipt - Updated			0
231	09/29/2020		LET	Miscellaneous Incoming Letter			0
229	09/29/2020		EIDC	Export to Initial Data Capture			0
226	09/29/2020		R48RJLT	Letter Rejecting Correction of Inventorship Under Rule 1.48			0
225	09/28/2020		MN/=	Mail Notice of Allowance			0
224	09/27/2020		OAR	Office Action Review			0
223	09/27/2020		N/=	Notice of Allowance Data Verification Completed			0
222	09/27/2020		IREV	Issue Revision Completed			0
221	09/27/2020		DVER	Document Verification			0

220	09/24/2020		CNTA	Allowability Notice		0
219	08/31/2020		FWDX	Date Forwarded to Examiner		0
217	08/24/2020		MEXIA	Mail Applicant Initiated Interview Summary		0
216	08/20/2020		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
215	08/20/2020		EXIA	Interview Summary- Applicant Initiated		0
238	08/02/2020		PET.	Petition Entered		0
218	08/02/2020		AQU	Response after Ex Parte Quayle Action		0
214	06/22/2020		MTCPTD	Mail TC Petition Denied / Dismissed		0
213	06/18/2020		OAR	Office Action Review		0
211	06/17/2020		MPTDI	Mail-Petition Decision - Dismissed		0
212	06/16/2020		TCPTD	TC Petition Denied / Dismissed		0
210	06/16/2020		PTDI	Petition Decision - Dismissed		0
202	06/02/2020		MCTEQ	Mail Ex Parte Quayle Action (PTOL - 326)		0
201	05/28/2020		CTEQ	Quayle action		0
199	05/20/2020		FWDX	Date Forwarded to Examiner		0
198	05/20/2020		W/N=	Withdrawal of Notice of Allowance		0
197	05/20/2020		MM327	Mail Miscellaneous Communication to Applicant		0
196	05/19/2020		M327	Miscellaneous Communication to Applicant - No Action Count		0
195	02/24/2020		DRWF	Workflow - Drawings Finished		0
209	12/26/2019		PET.	Petition Entered		0
192	12/09/2019		FIDC	Finished Initial Data Capture		0
191	12/06/2019		MEXIA	Mail Applicant Initiated Interview Summary		0
190	12/05/2019		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
189	12/05/2019		EXIA	Interview Summary- Applicant Initiated		0
188	12/04/2019		PILS	Application Is Considered Ready for Issue		0
187	12/04/2019		C.AD	Correspondence Address Change		0
186	11/22/2019		N084	Issue Fee Payment Verified		0
185	11/22/2019		IFEE	Issue Fee Payment Received		0
184	11/21/2019		TCPB	Printer Rush- No mailing		0
183	11/21/2019		TCPB	Printer Rush- No mailing		0
182	11/15/2019		PUBTC	Pubs Case Remand to TC		0
181	11/08/2019		TCPB	Printer Rush- No mailing		0
180	11/01/2019		PUBTC	Pubs Case Remand to TC		0
179	10/31/2019		TCPB	Printer Rush- No mailing		0
178	10/31/2019		TCPB	Printer Rush- No mailing		0
177	10/28/2019		PUBTC	Pubs Case Remand to TC		0
176	10/26/2019		TCPB	Printer Rush- No mailing		0
175	10/24/2019		MM327-D	Mail PUB other miscellaneous communication to applicant		0
174	10/24/2019		M327-D	PUB Other miscellaneous communication to applicant		0
173	10/23/2019		PUBTC	Pubs Case Remand to TC		0
172	08/26/2019		EIDC	Export to Initial Data Capture		0
171	08/23/2019		MN/=	Mail Notice of Allowance		0
170	08/22/2019		OAR	Office Action Review		0
169	08/22/2019		OAR	Office Action Review		0
168	08/22/2019		IREV	Issue Revision Completed		0
167	08/22/2019		N/=	Notice of Allowance Data Verification Completed		0
166	08/21/2019		DVER	Document Verification		0
165	08/15/2019		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
164	08/15/2019		CNTA	Allowability Notice		0
163	08/13/2019		MCTAV	Mail Advisory Action (PTOL - 303)		0
162	08/10/2019		AFIR	After Final Consideration Program Improper Request		0
161	08/10/2019		CTAV	Advisory Action (PTOL-303)		0
159	07/31/2019		FWDX	Date Forwarded to Examiner		0
160	07/26/2019		RAFC	PILOT- Request for After Final Consideration Program		0
158	07/26/2019		A.NE	Response after Final Action		0
155	06/27/2019		MEXIA	Mail Applicant Initiated Interview Summary		0
152	06/26/2019		MEXIA	Mail Applicant Initiated Interview Summary		0
154	06/25/2019		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
153	06/25/2019		EXIA	Interview Summary- Applicant Initiated		0
151	06/24/2019		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
150	06/24/2019		EXIA	Interview Summary- Applicant Initiated		0
157	06/19/2019		M865	Letter Requesting Interview with Examiner		0
156	06/15/2019		M865E	Electronic request for Examiner Interview		0
149	06/15/2019		M865E	Electronic request for Examiner Interview		0
148	04/26/2019		MCTFR	Mail Final Rejection (PTOL - 326)		0
147	04/25/2019		CTFR	Final Rejection		0
146	03/11/2019		MPTDI	Mail-Petition Decision - Dismissed		0
145	03/08/2019		PTDI	Petition Decision - Dismissed		0
144	02/17/2019		FWDX	Date Forwarded to Examiner		0
143	01/29/2019	11/06/2018	A...	Response after Non-Final Action		136
142	01/09/2019		MEXIA	Mail Applicant Initiated Interview Summary		0
141	01/08/2019		EXAT	Interview Summary - Applicant Initiated - Telephonic		0
140	01/08/2019		EXIA	Interview Summary- Applicant Initiated		0

139	11/29/2018		NINA	Mail Notice of Informal or Non-Responsive Amendment		0
138	11/28/2018		FWDX	Date Forwarded to Examiner		0
137.1	11/06/2018		A.I.	Informal or Non-Responsive Amendment after Examiner Action		0
137	11/06/2018		A...	Response after Non-Final Action		0
136	08/06/2018	12/29/2017	MCTNF	Mail Non-Final Rejection	239	131
134	08/02/2018		CTNF	Non-Final Rejection		0
135	07/24/2018		PET.	Petition Entered		0
133	05/31/2018		FWDX	Date Forwarded to Examiner		0
130	05/31/2018		ABN9	Disposal for a RCE / CPA / R129		0
127	05/31/2018		FWDX	Date Forwarded to Examiner		0
125	05/31/2018		NRAB	Notice of Rescinded Abandonment in TCs		0
126	05/24/2018		MNRAB	Mail Notice of Rescinded Abandonment		0
124	05/24/2018		MPREV	Mail-Petition to Revive Application - Granted		0
123	05/24/2018		PREV	Petition to Revive Application - Granted		0
122	05/24/2018		MPTDI	Mail-Petition Decision - Dismissed		0
121	05/24/2018		PTDI	Petition Decision - Dismissed		0
120	05/24/2018		MPTDI	Mail-Petition Decision - Dismissed		0
119	05/24/2018		PTDI	Petition Decision - Dismissed		0
116	04/09/2018		PET.	Petition Entered		0
115	02/07/2018		MOPPT	Mail O.P. Petition Decision		0
113	02/07/2018		MPTDI	Mail-Petition Decision - Dismissed		0
111	02/07/2018		MPTDI	Mail-Petition Decision - Dismissed		0
109	02/07/2018		MPTDI	Mail-Petition Decision - Dismissed		0
114	02/06/2018		OAR	Office Action Review		0
112	02/06/2018		PTDI	Petition Decision - Dismissed		0
110	02/06/2018		PTDI	Petition Decision - Dismissed		0
108	02/06/2018		PTDI	Petition Decision - Dismissed		0
106	02/06/2018		OPPT	O.P. Petition Decision		0
107	01/02/2018		PET.	Petition Entered		0
105	01/02/2018		PET.	Petition Entered		0
104	01/02/2018		PET.	Petition Entered		0
66	12/29/2017	07/02/2013	PET.	Petition Entered	122	61
103	10/31/2017		MOPPT	Mail O.P. Petition Decision		0
102	10/30/2017		OAR	Office Action Review		0
101	10/30/2017		MPTDI	Mail-Petition Decision - Dismissed		0
100	10/30/2017		PTDI	Petition Decision - Dismissed		0
99	10/30/2017		MPTDI	Mail-Petition Decision - Dismissed		0
98	10/30/2017		PTDI	Petition Decision - Dismissed		0
96	10/30/2017		OPPT	O.P. Petition Decision		0
132	08/29/2017		AMSB	Amendment Submitted/Entered with Filing of CPA/RCE		0
131	08/29/2017	07/02/2013	RCEX	Request for Continued Examination (RCE)	1515	53
118	08/29/2017		PET.	Petition Entered		0
117	08/29/2017		PET.	Petition Entered		0
97	08/29/2017		PET.	Petition Entered		0
94	08/29/2017		PET.	Petition Entered		0
93	06/29/2017		MOPPT	Mail O.P. Petition Decision		0
91	06/28/2017		MPTDI	Mail-Petition Decision - Dismissed		0
89	06/28/2017		MPTDI	Mail-Petition Decision - Dismissed		0
87	06/28/2017		MPTDI	Mail-Petition Decision - Dismissed		0
92	06/27/2017		OAR	Office Action Review		0
90	06/27/2017		PTDI	Petition Decision - Dismissed		0
88	06/27/2017		PTDI	Petition Decision - Dismissed		0
86	06/27/2017		PTDI	Petition Decision - Dismissed		0
83	06/27/2017		OPPT	O.P. Petition Decision		0
85	08/08/2016		PET.	Petition Entered		0
84	08/08/2016		PET.	Petition Entered		0
82	08/08/2016		PET.	Petition Entered		0
81	03/07/2016		MOPPT	Mail O.P. Petition Decision		0
80	03/04/2016		OAR	Office Action Review		0
79	03/04/2016		MPTDI	Mail-Petition Decision - Dismissed		0
78	03/04/2016		PTDI	Petition Decision - Dismissed		0
77	03/04/2016		MPTDI	Mail-Petition Decision - Dismissed		0
76	03/04/2016		PTDI	Petition Decision - Dismissed		0
75	03/04/2016		MPTDI	Mail-Petition Decision - Dismissed		0
74	03/04/2016		PTDI	Petition Decision - Dismissed		0
72	03/04/2016		OPPT	O.P. Petition Decision		0
71	02/06/2016		PET.	Petition Entered		0
70	02/06/2016		BCPA	Workflow - Request for CPA - Begin		0
73	08/24/2015		PET.	Petition Entered		0
69	08/24/2015		PET.	Petition Entered		0
68	04/30/2014		MPTDI	Mail-Petition Decision - Dismissed		0
67	04/30/2014		PTDI	Petition Decision - Dismissed		0
64	04/07/2014		BRCE	Workflow - Request for RCE - Begin		0

63	02/07/2014		MABN2	Mail Abandonment for Failure to Respond to Office Action		0
62	02/07/2014		OAR	Office Action Review		0
61	02/07/2014		ABN2	Aband. for Failure to Respond to O. A.		0
129	10/18/2013		MCTAV	Mail Advisory Action (PTOL - 303)		0
60	10/18/2013		MCTAV	Mail Advisory Action (PTOL - 303)		0
59	10/18/2013		OAR	Office Action Review		0
128	10/17/2013		CTAV	Advisory Action (PTOL-303)		0
58	10/17/2013		CTAV	Advisory Action (PTOL-303)		0
56	10/14/2013		FWDX	Date Forwarded to Examiner		0
57	10/02/2013		C602	Oath or Declaration Filed (Including Supplemental)		0
55	10/02/2013		A.NE	Response after Final Action		0
54	10/02/2013		XT/G	Request for Extension of Time - Granted		0
53	04/02/2013		MCTFR	Mail Final Rejection (PTOL - 326)		0
52	04/01/2013		OAR	Office Action Review		0
51	03/29/2013		CTFR	Final Rejection		0
48	01/08/2013		FWDX	Date Forwarded to Examiner		0
50	12/27/2012		IDSC	Information Disclosure Statement considered		0
49	12/27/2012		C604	Substitute Specification Filed		0
47	12/27/2012	06/06/2012	A...	Response after Non-Final Action	284	36
46	12/27/2012		WIDS	Information Disclosure Statement (IDS) Filed		0
45	11/27/2012		NINA	Mail Notice of Informal or Non-Responsive Amendment		0
44	11/27/2012		OAR	Office Action Review		0
42	09/16/2012		FWDX	Date Forwarded to Examiner		0
43	09/06/2012		IDSC	Information Disclosure Statement considered		0
41.1	09/06/2012		A.I.	Informal or Non-Responsive Amendment after Examiner Action		0
41	09/06/2012		A...	Response after Non-Final Action		0
40	09/06/2012		XT/G	Request for Extension of Time - Granted		0
39	09/06/2012		EIDS	Electronic Information Disclosure Statement		0
38	09/06/2012		WIDS	Information Disclosure Statement (IDS) Filed		0
37	06/08/2012		XT/G	Request for Extension of Time - Granted		0
36	03/06/2012	02/15/2010	MCTNF	Mail Non-Final Rejection	258	0.5
35	03/04/2012		OAR	Office Action Review		0
34	03/02/2012		CTNF	Non-Final Rejection		0
33	01/20/2012		IDSC	Information Disclosure Statement considered		0
26	01/20/2012		M844	Information Disclosure Statement (IDS) Filed		0
25	01/20/2012		WIDS	Information Disclosure Statement (IDS) Filed		0
32	01/10/2012		IDSC	Information Disclosure Statement considered		0
24	01/10/2012		M844	Information Disclosure Statement (IDS) Filed		0
23	01/10/2012		WIDS	Information Disclosure Statement (IDS) Filed		0
22	10/06/2011		DOCK	Case Docketed to Examiner in GAU		0
21	03/15/2011		DOCK	Case Docketed to Examiner in GAU		0
31	08/20/2010		IDSC	Information Disclosure Statement considered		0
20	08/20/2010		LET	Miscellaneous Incoming Letter		0
19	08/20/2010		M844	Information Disclosure Statement (IDS) Filed		0
18	08/20/2010		WIDS	Information Disclosure Statement (IDS) Filed		0
17	06/17/2010		PG-ISSUE	PG-Pub Issue Notification		0
16	06/19/2009		DOCK	Case Docketed to Examiner in GAU		0
15	05/02/2009		TSSCOMP	IFW TSS Processing by Tech Center Complete		0
11	04/21/2009		OIPE	Application Dispatched from OIPE		0
30	04/03/2009		IDSC	Information Disclosure Statement considered		0
14	04/03/2009		RCAP	Reference capture on IDS		0
13	04/03/2009		M844	Information Disclosure Statement (IDS) Filed		0
10	04/03/2009		WIDS	Information Disclosure Statement (IDS) Filed		0
9	03/27/2009		PGPC	Sent to Classification Contractor		0
8	03/27/2009		FLRCPT.U	Filing Receipt - Updated		0
7	03/13/2009		FLFEE	Payment of additional filing fee/Preexam		0
6	01/13/2009		FLRCPT.O	Filing Receipt		0
5	01/13/2009		INCD	Notice Mailed--Application Incomplete--Filing Date Assigned		0
4	01/02/2009		L194	Cleared by OIPE CSR		0
3	12/29/2008		SCAN	IFW Scan & PACR Auto Security Review		0
1	12/17/2008		IEXX	Initial Exam Team nn		0
29	12/15/2008		IDSC	Information Disclosure Statement considered		0
12	12/15/2008		M844	Information Disclosure Statement (IDS) Filed		0
2	12/15/2008		WIDS	Information Disclosure Statement (IDS) Filed		0
0.5	12/15/2008		EFILE	Filing date		0

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In the United States Patent and Trademark Office

Applicant:	John Lewis Guymon Jr
Application Serial Number:	12/316,699
File Date:	December 15, 2008
Patent Number:	10,943,030
Issue Date:	March 9, 2021
Application Title:	Securable Independent Electronic Document
Examiner/GAU:	Kaveh Abrishamkar

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 35 U.S.C. §254

Oct 14, 2021

Commissioner for Patents
Office of Data Management Attention: Certificates of Correction Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

In accordance with the provisions of 35 U.S.C. §254 and 37 C.F.R. §1.322(a), please issue a Certificate of Correction for the above-referenced application, patent Serial #10,943,030, (the '030' patent) to correct an error related to the patent's term adjustment ('PTA'/Patent Term Adjustment').

It is believed that the patent term adjustment for the '030 patent should be 2427 days. A correction request through a 'Reconsideration of Patent Term' petition filed May 10, 2021, from 1576 days to 2427 days, a difference of 851 days, explains the correct 'PTA' day count that should be awarded to the '030 patent.

Attached are printout copies of the application file as navigated in the Office's 'Pair' system, 'PED' system as well as the '030 patent's XML data set available for download from the Office's 'PED' system. Having the same database populating both of the Office's 'Pair' and 'PED'

environments, **the error by the office is easily noticed**. Further, it should be also noticed that within the singly enveloped 'PED' XML data set download of the application from the Office's 'PED' web site, both the 'Transaction' and 'PTA' data exist and present the event date differences within the same said XML data set envelope.

Therefore, in view of the foregoing remarks, it is respectfully submitted that this request for a certificate of correction showing a granted 'Patent Term Adjustment' of the '030 Patent of **2427** days and a certificate of correction be issued indicating such corrected term adjustment calculation.

No fee is believed due as a previous fee payment for a 'Reconsideration of Patent Term Adjustment' petition, filed May 10, 2021, was paid to the Office as well as, due to an error by the Office, under 35 U.S.C. §254, no fee is required.

A certificate of correction is respectfully requested. Thank you for your kind consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- -

- **Certificate of EFS Submission** - - -

I certify that this correspondence will be sent via EFS to the Commissioner for Patents, Office of Data Management Attention: Certificates of Correction Branch, P.O. Box 1450, Alexandria, VA 22313-1450 on this day, Oct 14, 2021.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Transaction History

Date	Transaction Description
05-10-2021	Petition Entered
03-09-2021	Recordation of Patent Grant Mailed
02-17-2021	Issue Notification Mailed
03-09-2021	Patent Issue Date Used in PTA Calculation
12-28-2020	Dispatch to FDC
12-24-2020	Issue Fee Payment Verified
12-24-2020	Issue Fee Payment Received
12-23-2020	Printer Rush- No mailing
12-23-2020	Mailing Corrected Notice of Allowability
12-20-2020	Corrected Notice of Allowability
12-18-2020	Mail TC Petition Denied / Dismissed
12-17-2020	Information Disclosure Statement considered
12-16-2020	Pubs Case Remand to TC
12-15-2020	TC Petition Denied / Dismissed
12-15-2020	Mail-Petition Decision - Dismissed
12-15-2020	Petition Decision - Dismissed
08-02-2020	Petition Entered
12-11-2020	Information Disclosure Statement (IDS) Filed
11-04-2020	Mail Examiner Interview Summary (PTOL - 413)
11-02-2020	Interview Summary - Applicant Initiated - Telephonic
11-03-2020	Interview Summary Record
10-15-2020	Mail Miscellaneous Communication to Applicant
10-13-2020	Miscellaneous Communication to Applicant - No Action Count
09-29-2020	Miscellaneous Incoming Letter
10-06-2020	Correspondence Address Change
09-30-2020	Letter Accepting Correction of Inventorship Under Rule 1.48
09-30-2020	Filing Receipt - Updated
09-29-2020	Letter Rejecting Correction of Inventorship Under Rule 1.48
09-28-2020	Mail Notice of Allowance
09-27-2020	Notice of Allowance Data Verification Completed
08-31-2020	Date Forwarded to Examiner
08-02-2020	Response after Ex Parte Quayle Action
08-24-2020	Mail Applicant Initiated Interview Summary
08-20-2020	Interview Summary - Applicant Initiated - Telephonic
08-20-2020	Interview Summary- Applicant Initiated
06-22-2020	Mail TC Petition Denied / Dismissed
06-16-2020	TC Petition Denied / Dismissed
06-17-2020	Mail-Petition Decision - Dismissed
06-16-2020	Petition Decision - Dismissed
12-26-2019	Petition Entered
06-02-2020	Mail Ex Parte Quayle Action (PTOL - 326)
05-28-2020	Quayle action
05-20-2020	Date Forwarded to Examiner
05-20-2020	Withdrawal of Notice of Allowance
05-20-2020	Mail Miscellaneous Communication to Applicant

05-19-2020 Miscellaneous Communication to Applicant - No Action Count
02-24-2020 Workflow - Drawings Finished
12-06-2019 Mail Applicant Initiated Interview Summary
12-05-2019 Interview Summary - Applicant Initiated - Telephonic
12-05-2019 Interview Summary- Applicant Initiated
12-04-2019 Application Is Considered Ready for Issue
12-04-2019 Correspondence Address Change
11-22-2019 Issue Fee Payment Verified
11-22-2019 Issue Fee Payment Received
11-21-2019 Printer Rush- No mailing
11-21-2019 Printer Rush- No mailing
11-15-2019 Pubs Case Remand to TC
11-08-2019 Printer Rush- No mailing
11-01-2019 Pubs Case Remand to TC
10-31-2019 Printer Rush- No mailing
10-31-2019 Printer Rush- No mailing
10-28-2019 Pubs Case Remand to TC
10-26-2019 Printer Rush- No mailing
10-24-2019 Mail PUB other miscellaneous communication to applicant
10-24-2019 PUB Other miscellaneous communication to applicant
10-23-2019 Pubs Case Remand to TC
08-23-2019 Mail Notice of Allowance
08-22-2019 Notice of Allowance Data Verification Completed
08-15-2019 Interview Summary - Applicant Initiated - Telephonic
08-13-2019 Mail Advisory Action (PTOL - 303)
08-10-2019 After Final Consideration Program Improper Request
08-10-2019 Advisory Action (PTOL-303)
07-26-2019 PILOT- Request for After Final Consideration Program
07-31-2019 Date Forwarded to Examiner
07-26-2019 Response after Final Action
06-19-2019 Letter Requesting Interview with Examiner
06-15-2019 Electronic request for Examiner Interview
06-27-2019 Mail Applicant Initiated Interview Summary
06-25-2019 Interview Summary - Applicant Initiated - Telephonic
06-25-2019 Interview Summary- Applicant Initiated
06-26-2019 Mail Applicant Initiated Interview Summary
06-24-2019 Interview Summary - Applicant Initiated - Telephonic
06-24-2019 Interview Summary- Applicant Initiated
06-15-2019 Electronic request for Examiner Interview
04-26-2019 Mail Final Rejection (PTOL - 326)
04-25-2019 Final Rejection
03-11-2019 Mail-Petition Decision - Dismissed
03-08-2019 Petition Decision - Dismissed
02-17-2019 Date Forwarded to Examiner
01-29-2019 Response after Non-Final Action
01-09-2019 Mail Applicant Initiated Interview Summary
01-08-2019 Interview Summary - Applicant Initiated - Telephonic
01-08-2019 Interview Summary- Applicant Initiated
11-29-2018 Mail Notice of Informal or Non-Responsive Amendment

11-28-2018	Date Forwarded to Examiner
11-06-2018	Informal or Non-Responsive Amendment after Examiner Action
11-06-2018	Response after Non-Final Action
08-06-2018	Mail Non-Final Rejection
07-24-2018	Petition Entered
08-02-2018	Non-Final Rejection
05-31-2018	Date Forwarded to Examiner
08-29-2017	Request for Continued Examination (RCE)
05-31-2018	Disposal for a RCE / CPA / R129
10-18-2013	Mail Advisory Action (PTOL - 303)
10-17-2013	Advisory Action (PTOL-303)
05-31-2018	Date Forwarded to Examiner
05-24-2018	Mail Notice of Rescinded Abandonment
05-31-2018	Notice of Rescinded Abandonment in TCs
05-24-2018	Mail-Petition to Revive Application - Granted
05-24-2018	Petition to Revive Application - Granted
05-24-2018	Mail-Petition Decision - Dismissed
05-24-2018	Petition Decision - Dismissed
05-24-2018	Mail-Petition Decision - Dismissed
05-24-2018	Petition Decision - Dismissed
08-29-2017	Petition Entered
08-29-2017	Petition Entered
04-09-2018	Petition Entered
02-07-2018	Mail O.P. Petition Decision
02-07-2018	Mail-Petition Decision - Dismissed
02-06-2018	Petition Decision - Dismissed
02-07-2018	Mail-Petition Decision - Dismissed
02-06-2018	Petition Decision - Dismissed
02-07-2018	Mail-Petition Decision - Dismissed
02-06-2018	Petition Decision - Dismissed
01-02-2018	Petition Entered
02-06-2018	O.P. Petition Decision
01-02-2018	Petition Entered
01-02-2018	Petition Entered
10-31-2017	Mail O.P. Petition Decision
10-30-2017	Mail-Petition Decision - Dismissed
10-30-2017	Petition Decision - Dismissed
10-30-2017	Mail-Petition Decision - Dismissed
10-30-2017	Petition Decision - Dismissed
08-29-2017	Petition Entered
10-30-2017	O.P. Petition Decision
08-29-2017	Petition Entered
06-29-2017	Mail O.P. Petition Decision
06-28-2017	Mail-Petition Decision - Dismissed
06-27-2017	Petition Decision - Dismissed
06-28-2017	Mail-Petition Decision - Dismissed
06-27-2017	Petition Decision - Dismissed
06-28-2017	Mail-Petition Decision - Dismissed
06-27-2017	Petition Decision - Dismissed

08-08-2016	Petition Entered
08-08-2016	Petition Entered
06-27-2017	O.P. Petition Decision
08-08-2016	Petition Entered
03-07-2016	Mail O.P. Petition Decision
03-04-2016	Mail-Petition Decision - Dismissed
03-04-2016	Petition Decision - Dismissed
03-04-2016	Mail-Petition Decision - Dismissed
03-04-2016	Petition Decision - Dismissed
03-04-2016	Mail-Petition Decision - Dismissed
03-04-2016	Petition Decision - Dismissed
08-24-2015	Petition Entered
03-04-2016	O.P. Petition Decision
02-06-2016	Petition Entered
02-06-2016	Workflow - Request for CPA - Begin
08-24-2015	Petition Entered
04-30-2014	Mail-Petition Decision - Dismissed
04-30-2014	Petition Decision - Dismissed
04-07-2014	Petition Entered
04-07-2014	Workflow - Request for RCE - Begin
02-07-2014	Mail Abandonment for Failure to Respond to Office Action
02-07-2014	Aband. for Failure to Respond to O. A.
10-18-2013	Mail Advisory Action (PTOL - 303)
10-17-2013	Advisory Action (PTOL-303)
10-02-2013	Oath or Declaration Filed (Including Supplemental)
10-14-2013	Date Forwarded to Examiner
10-02-2013	Response after Final Action
10-02-2013	Request for Extension of Time - Granted
04-02-2013	Mail Final Rejection (PTOL - 326)
03-29-2013	Final Rejection
12-27-2012	Information Disclosure Statement considered
12-27-2012	Substitute Specification Filed
01-08-2013	Date Forwarded to Examiner
12-27-2012	Response after Non-Final Action
12-27-2012	Information Disclosure Statement (IDS) Filed
11-27-2012	Mail Notice of Informal or Non-Responsive Amendment
09-06-2012	Information Disclosure Statement considered
09-16-2012	Date Forwarded to Examiner
09-06-2012	Informal or Non-Responsive Amendment after Examiner Action
09-06-2012	Response after Non-Final Action
09-06-2012	Request for Extension of Time - Granted
09-06-2012	Electronic Information Disclosure Statement
09-06-2012	Information Disclosure Statement (IDS) Filed
06-08-2012	Request for Extension of Time - Granted
03-06-2012	Mail Non-Final Rejection
03-02-2012	Non-Final Rejection
01-20-2012	Information Disclosure Statement considered
01-10-2012	Information Disclosure Statement considered
08-20-2010	Information Disclosure Statement considered

04-03-2009	Information Disclosure Statement considered
12-15-2008	Information Disclosure Statement considered
01-20-2012	Information Disclosure Statement (IDS) Filed
01-20-2012	Information Disclosure Statement (IDS) Filed
01-10-2012	Information Disclosure Statement (IDS) Filed
01-10-2012	Information Disclosure Statement (IDS) Filed
10-06-2011	Case Docketed to Examiner in GAU
03-15-2011	Case Docketed to Examiner in GAU
08-20-2010	Miscellaneous Incoming Letter
08-20-2010	Information Disclosure Statement (IDS) Filed
08-20-2010	Information Disclosure Statement (IDS) Filed
06-17-2010	PG-Pub Issue Notification
06-19-2009	Case Docketed to Examiner in GAU
05-02-2009	IFW TSS Processing by Tech Center Complete
04-03-2009	Reference capture on IDS
04-03-2009	Information Disclosure Statement (IDS) Filed
12-15-2008	Information Disclosure Statement (IDS) Filed
04-21-2009	Application Dispatched from OIPE
04-03-2009	Information Disclosure Statement (IDS) Filed
03-27-2009	Sent to Classification Contractor
03-27-2009	Filing Receipt - Updated
03-13-2009	Payment of additional filing fee/Preexam
01-13-2009	Filing Receipt
01-13-2009	Notice Mailed--Application Incomplete--Filing Date Assigned
12-15-2008	Information Disclosure Statement (IDS) Filed
12-17-2008	Initial Exam Team nn

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Available Documents

Mail Room Date	Document Code	Document Description	Document Category	Page Count
05-10-2021	PET.PTA	Patent Term Adjustment Petition	PROSECUTION	12
05-10-2021	WFEE	Fee Worksheet (SB06)	PROSECUTION	2
05-10-2021	N417	EFS Acknowledgment Receipt	PROSECUTION	2
02-17-2021	ISSUE.NTF	Issue Notification	PROSECUTION	1
01-12-2021	LET.	Miscellaneous Incoming Letter	PROSECUTION	1
01-12-2021	N417	EFS Acknowledgment Receipt	PROSECUTION	2
12-31-2020	LET.	Miscellaneous Incoming Letter	PROSECUTION	1
12-31-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
12-28-2020	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
12-24-2020	IFEE	Issue Fee Payment (PTO-85B)	PROSECUTION	1
12-24-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
12-24-2020	IFEE	Issue Fee Payment (PTO-85B)	PROSECUTION	1
12-23-2020	NOA	Notice of Allowance and Fees Due (PTOL-85)	PROSECUTION	2
12-23-2020	IIFW	Issue Information including classification, examiner, name, claim, renumbering, etc.	PROSECUTION	3
12-23-2020	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	2
12-23-2020	1449	List of References cited by applicant and considered by examiner	PROSECUTION	2
12-18-2020	PETDEC	Petition Decision	PROSECUTION	7
12-11-2020	LET.	Miscellaneous Incoming Letter	PROSECUTION	1
12-11-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	6
12-11-2020	NPL	Non Patent Literature	PROSECUTION	3
12-11-2020	NPL	Non Patent Literature	PROSECUTION	10
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	7
12-11-2020	NPL	Non Patent Literature	PROSECUTION	22
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	2
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	3
12-11-2020	NPL	Non Patent Literature	PROSECUTION	6
12-11-2020	NPL	Non Patent Literature	PROSECUTION	13
12-11-2020	NPL	Non Patent Literature	PROSECUTION	2
12-11-2020	NPL	Non Patent Literature	PROSECUTION	21
12-11-2020	NPL	Non Patent Literature	PROSECUTION	15
12-11-2020	NPL	Non Patent Literature	PROSECUTION	5
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	15
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	16
12-11-2020	NPL	Non Patent Literature	PROSECUTION	2
12-11-2020	NPL	Non Patent Literature	PROSECUTION	2
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	NPL	Non Patent Literature	PROSECUTION	1
12-11-2020	NPL	Non Patent Literature	PROSECUTION	20
12-11-2020	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	2
11-04-2020	EXIN	Examiner Interview Summary Record (PTOL -	PROSECUTION	1

		413)		
11-04-2020	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	2
10-15-2020	M327	Miscellaneous Communication to Applicant - No Action Count	PROSECUTION	2
10-15-2020	1449	List of References cited by applicant and considered by examiner	PROSECUTION	26
10-15-2020	1449	List of References cited by applicant and considered by examiner	PROSECUTION	41
09-30-2020	APP.FILE.REC	Filing Receipt	PROSECUTION	3
09-30-2020	R48.REQ.G	Acceptance of Request under Rule 48 to correct inventorship or name	PROSECUTION	1
09-29-2020	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
09-29-2020	R48.REQ.DIS	Rejection of Request under Rule 48 to correct inventorship or name	PROSECUTION	1
09-29-2020	R48.REQ	Request under Rule 48 correcting inventorship	PROSECUTION	2
09-29-2020	ADS	Application Data Sheet	PROSECUTION	3
09-29-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
09-29-2020	R48.REQ	Request under Rule 48 correcting inventorship	PROSECUTION	1
09-28-2020	C.AD	Change of Address	PROSECUTION	1
09-28-2020	R48.REQ	Request under Rule 48 correcting inventorship	PROSECUTION	2
09-28-2020	WFEE	Fee Worksheet (SB06)	PROSECUTION	2
09-28-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	3
09-28-2020	TRAN.LET	Transmittal Letter	PROSECUTION	2
09-28-2020	R3.73	Assignee showing of ownership per 37 CFR 3.73	PROSECUTION	3
09-28-2020	LET.	Miscellaneous Incoming Letter	PROSECUTION	6
09-28-2020	NOA	Notice of Allowance and Fees Due (PTOL-85)	PROSECUTION	5
09-28-2020	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	2
09-28-2020	IIFW	Issue Information including classification, examiner, name, claim, renumbering, etc.	PROSECUTION	3
09-28-2020	1449	List of References cited by applicant and considered by examiner	PROSECUTION	31
09-28-2020	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
08-24-2020	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	3
08-02-2020	A.QU	Response after Ex Parte Quayle Action	PROSECUTION	6
08-02-2020	REF.OTHER	Other Reference-Patent/App/Search documents	PROSECUTION	31
08-02-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
07-28-2020	NPL	Non Patent Literature	PROSECUTION	1
07-28-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
07-27-2020	NPL	Non Patent Literature	PROSECUTION	28
07-27-2020	NPL	Non Patent Literature	PROSECUTION	36
07-27-2020	NPL	Non Patent Literature	PROSECUTION	20
07-27-2020	NPL	Non Patent Literature	PROSECUTION	63
07-27-2020	NPL	Non Patent Literature	PROSECUTION	81
07-27-2020	NPL	Non Patent Literature	PROSECUTION	26
07-27-2020	NPL	Non Patent Literature	PROSECUTION	93
07-27-2020	NPL	Non Patent Literature	PROSECUTION	70
07-27-2020	NPL	Non Patent Literature	PROSECUTION	78
07-27-2020	NPL	Non Patent Literature	PROSECUTION	101
07-27-2020	NPL	Non Patent Literature	PROSECUTION	104
07-27-2020	NPL	Non Patent Literature	PROSECUTION	26
07-27-2020	NPL	Non Patent Literature	PROSECUTION	29
07-27-2020	NPL	Non Patent Literature	PROSECUTION	27
07-27-2020	NPL	Non Patent Literature	PROSECUTION	20
07-27-2020	NPL	Non Patent Literature	PROSECUTION	12
07-27-2020	NPL	Non Patent Literature	PROSECUTION	12

07-27-2020	NPL	Non Patent Literature	PROSECUTION	14
07-27-2020	NPL	Non Patent Literature	PROSECUTION	20
07-27-2020	NPL	Non Patent Literature	PROSECUTION	27
07-27-2020	NPL	Non Patent Literature	PROSECUTION	24
07-27-2020	NPL	Non Patent Literature	PROSECUTION	16
07-27-2020	NPL	Non Patent Literature	PROSECUTION	167
07-27-2020	NPL	Non Patent Literature	PROSECUTION	93
07-27-2020	NPL	Non Patent Literature	PROSECUTION	93
07-27-2020	NPL	Non Patent Literature	PROSECUTION	12
07-27-2020	NPL	Non Patent Literature	PROSECUTION	99
07-27-2020	NPL	Non Patent Literature	PROSECUTION	18
07-27-2020	NPL	Non Patent Literature	PROSECUTION	9
07-27-2020	NPL	Non Patent Literature	PROSECUTION	266
07-27-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	6
06-22-2020	PETDEC	Petition Decision	PROSECUTION	3
06-02-2020	CTEQ	Ex Parte Quayle Action	PROSECUTION	5
05-20-2020	M327	Miscellaneous Communication to Applicant - No Action Count	PROSECUTION	4
02-24-2020	N417	EFS Acknowledgment Receipt	PROSECUTION	2
02-24-2020	A.NA	Amendment after Notice of Allowance (Rule 312)	PROSECUTION	2
02-24-2020	SPEC	Specification	PROSECUTION	5
02-24-2020	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	3
02-24-2020	DRW	Drawings-only black and white line drawings	PROSECUTION	1
02-24-2020	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	1
02-24-2020	LET.	Miscellaneous Incoming Letter	PROSECUTION	2
12-26-2019	NPL	Non Patent Literature	PROSECUTION	1
12-26-2019	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	28
12-26-2019	N417	EFS Acknowledgment Receipt	PROSECUTION	6
12-26-2019	PET.SPRE	Petition for review by the Technology Center SPRE	PROSECUTION	14
12-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	5
12-26-2019	NPL	Non Patent Literature	PROSECUTION	3
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	6
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	19
12-26-2019	NPL	Non Patent Literature	PROSECUTION	2
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	13
12-26-2019	NPL	Non Patent Literature	PROSECUTION	4
12-26-2019	NPL	Non Patent Literature	PROSECUTION	3
12-26-2019	NPL	Non Patent Literature	PROSECUTION	2
12-26-2019	NPL	Non Patent Literature	PROSECUTION	3
12-26-2019	NPL	Non Patent Literature	PROSECUTION	3
12-26-2019	NPL	Non Patent Literature	PROSECUTION	11
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	3

12-26-2019	NPL	Non Patent Literature	PROSECUTION	5
12-26-2019	NPL	Non Patent Literature	PROSECUTION	11
12-26-2019	NPL	Non Patent Literature	PROSECUTION	19
12-26-2019	NPL	Non Patent Literature	PROSECUTION	12
12-26-2019	NPL	Non Patent Literature	PROSECUTION	13
12-26-2019	NPL	Non Patent Literature	PROSECUTION	14
12-26-2019	NPL	Non Patent Literature	PROSECUTION	14
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	4
12-26-2019	NPL	Non Patent Literature	PROSECUTION	5
12-26-2019	NPL	Non Patent Literature	PROSECUTION	6
12-26-2019	NPL	Non Patent Literature	PROSECUTION	6
12-26-2019	NPL	Non Patent Literature	PROSECUTION	4
12-26-2019	NPL	Non Patent Literature	PROSECUTION	5
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-23-2019	WFEE	Fee Worksheet (SB06)	PROSECUTION	2
12-23-2019	N417	EFS Acknowledgment Receipt	PROSECUTION	2
12-23-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
12-23-2019	XT/	Extension of Time	PROSECUTION	2
12-23-2019	IMIS	Miscellaneous Internal Document	PROSECUTION	1
12-20-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
12-20-2019	XT/	Extension of Time	PROSECUTION	2
12-20-2019	IMIS	Miscellaneous Internal Document	PROSECUTION	2
12-06-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	3
11-25-2019	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
11-22-2019	C.AD	Change of Address	PROSECUTION	1
11-22-2019	IFEE	Issue Fee Payment (PTO-85B)	PROSECUTION	1
11-22-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
11-22-2019	C.AD	Change of Address	PROSECUTION	1
11-22-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
11-22-2019	IFEE	Issue Fee Payment (PTO-85B)	PROSECUTION	1
11-21-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	26
11-08-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	41
11-06-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	4
10-31-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	41
10-26-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	11
10-26-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	13
10-26-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	13
10-26-2019	1449	List of References cited by applicant and considered by examiner	PROSECUTION	11
10-24-2019	M327	Miscellaneous Communication to Applicant - No Action Count	PROSECUTION	3
09-03-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	8
08-23-2019	NOA	Notice of Allowance and Fees Due (PTOL-85)	PROSECUTION	5
08-23-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	2
08-23-2019	IIFW	Issue Information including classification, examiner, name, claim, renumbering, etc.	PROSECUTION	3
08-23-2019	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	2
08-23-2019	SRNT	Examiner's search strategy and results	PROSECUTION	6

08-21-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	7
08-13-2019	CTAV	Advisory Action (PTOL-303)	PROSECUTION	2
08-13-2019	A.NE.AFCD	After Final Consideration Program Decision	PROSECUTION	2
08-13-2019	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment	PROSECUTION	2
08-13-2019	ANE.I	Amendment After Final or under 37CFR 1.312, initialed by the examiner.	PROSECUTION	1
07-26-2019	A.NE.AFCD	After Final Consideration Program Request	PROSECUTION	2
07-26-2019	A.NE	Response After Final Action	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	6
07-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	5
07-26-2019	CLM	Claims	PROSECUTION	3
07-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
07-26-2019	A.NE.AFCD	After Final Consideration Program Request	PROSECUTION	2
07-26-2019	A.NE	Response After Final Action	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	7
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	5
07-26-2019	CLM	Claims	PROSECUTION	3
07-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
07-26-2019	A.NE.AFCD	After Final Consideration Program Request	PROSECUTION	2
07-26-2019	A.NE	Response After Final Action	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	4
07-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
07-26-2019	A.NE.AFCD	After Final Consideration Program Request	PROSECUTION	2
07-26-2019	A.NE	Response After Final Action	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	7
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	5
07-26-2019	CLM	Claims	PROSECUTION	3
07-26-2019	TRAN.LET	Transmittal Letter	PROSECUTION	1
07-26-2019	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
07-08-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	4
07-03-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	4
06-27-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	3
06-26-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413)	PROSECUTION	3
06-19-2019	INTRVIEW.APP	Applicant summary of interview with examiner	PROSECUTION	2
06-15-2019	M865E	Electronic Request for Interview with Examiner	PROSECUTION	1
05-06-2019	RETMAIL	Mail returned to USPTO as undelivered	PROSECUTION	7
04-26-2019	CTFR	Final Rejection	PROSECUTION	6
04-26-2019	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	1
04-26-2019	FWCLM	Index of Claims	PROSECUTION	1
03-11-2019	PETDEC	Petition Decision	PROSECUTION	3
01-29-2019	A...	Amendment/Req. Reconsideration-After Non-Final Reject	PROSECUTION	1
01-29-2019	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	2
01-29-2019	CLM	Claims	PROSECUTION	3
01-29-2019	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
01-09-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-	PROSECUTION	3

		413)		
11-29-2018	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment	PROSECUTION	3
11-06-2018	A.I.	Informal or Non-Responsive Amendment	PROSECUTION	1
11-06-2018	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	2
11-06-2018	CLM.NE	Claim-Amendment Not Entered	PROSECUTION	4
11-06-2018	TRAN.LET	Transmittal Letter	PROSECUTION	1
11-06-2018	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
08-06-2018	CTNF	Non-Final Rejection	PROSECUTION	5
08-06-2018	FWCLM	Index of Claims	PROSECUTION	1
08-06-2018	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	1
07-24-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	8
05-24-2018	PET.DEC.TC	Petition decision routed to the Technology Center to act on the decision or continue prosecution	PROSECUTION	5
04-10-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	2
04-10-2018	TRAN.LET	Transmittal Letter	PROSECUTION	1
04-09-2018	PET.SPRE	Petition for review by the Technology Center SPRE	PROSECUTION	4
04-09-2018	TRAN.LET	Transmittal Letter	PROSECUTION	1
04-09-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	8
04-09-2018	TRAN.LET	Transmittal Letter	PROSECUTION	1
02-07-2018	PET.OP.DEC	Office of Petitions Decision (PTO-90)	PROSECUTION	7
02-07-2018	PETDEC	Petition Decision	PROSECUTION	1
02-07-2018	OA.APPENDIX	Office Action Appendix	PROSECUTION	12
02-07-2018	IMIS	Miscellaneous Internal Document	PROSECUTION	1
02-07-2018	OA.APPENDIX	Office Action Appendix	PROSECUTION	3
01-03-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	5
01-03-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	8
01-02-2018	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	8
10-31-2017	PET.OP.DEC	Office of Petitions Decision (PTO-90)	PROSECUTION	6
10-31-2017	PETDEC	Petition Decision	PROSECUTION	1
10-31-2017	OA.APPENDIX	Office Action Appendix	PROSECUTION	3
10-31-2017	IMIS	Miscellaneous Internal Document	PROSECUTION	1
08-30-2017	LET.	Miscellaneous Incoming Letter	PROSECUTION	1
08-29-2017	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	15
08-29-2017	A.NE	Response After Final Action	PROSECUTION	1
08-29-2017	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	3
08-29-2017	CLM	Claims	PROSECUTION	3
08-29-2017	RCEX	Request for Continued Examination (RCE)	PROSECUTION	2
08-29-2017	ADS	Application Data Sheet	PROSECUTION	4
08-29-2017	MES.GIB	Certification of Micro Entity (Gross Income Basis)	PROSECUTION	2
08-29-2017	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	9
08-29-2017	RCEX	Request for Continued Examination (RCE)	PROSECUTION	2
08-29-2017	MES.GIB	Certification of Micro Entity (Gross Income Basis)	PROSECUTION	2
08-29-2017	ADS	Application Data Sheet	PROSECUTION	4
08-29-2017	AMSB	Amendment Submitted/Entered with Filing of CPA/RCE	PROSECUTION	1
08-29-2017	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	3
08-29-2017	CLM	Claims	PROSECUTION	3
06-29-2017	PET.OP.DEC	Office of Petitions Decision (PTO-90)	PROSECUTION	8
06-29-2017	PETDEC	Petition Decision	PROSECUTION	1
06-29-2017	OA.APPENDIX	Office Action Appendix	PROSECUTION	3
06-29-2017	IMIS	Miscellaneous Internal Document	PROSECUTION	1
08-22-2016	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	2
08-22-2016	TRAN.LET	Transmittal Letter	PROSECUTION	1

08-22-2016	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	2
08-22-2016	TRAN.LET	Transmittal Letter	PROSECUTION	1
08-08-2016	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	36
03-07-2016	PET.OP.DEC	Office of Petitions Decision (PTO-90)	PROSECUTION	7
03-07-2016	PETDEC	Petition Decision	PROSECUTION	1
03-07-2016	OA.APPENDIX	Office Action Appendix	PROSECUTION	4
03-07-2016	OA.APPENDIX	Office Action Appendix	PROSECUTION	3
03-07-2016	IMIS	Miscellaneous Internal Document	PROSECUTION	1
03-07-2016	OA.APPENDIX	Office Action Appendix	PROSECUTION	15
02-06-2016	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	2
02-06-2016	TRAN.LET	Transmittal Letter	PROSECUTION	1
08-24-2015	RFN.REQ	Refund Request	PROSECUTION	107
08-24-2015	R48.REQ	Request under Rule 48 correcting inventorship	PROSECUTION	5
04-30-2014	PETDEC	Petition Decision	PROSECUTION	4
04-07-2014	RCEX	Request for Continued Examination (RCE)	PROSECUTION	1
04-07-2014	PET.OP	Petition for review by the Office of Petitions	PROSECUTION	3
04-07-2014	CLM	Claims	PROSECUTION	6
04-07-2014	RCEX	Request for Continued Examination (RCE)	PROSECUTION	1
04-07-2014	TRAN.LET	Transmittal Letter	PROSECUTION	1
02-07-2014	ABN	Abandonment	PROSECUTION	2
10-18-2013	CTAV	Advisory Action (PTOL-303)	PROSECUTION	3
10-18-2013	ANE.I	Amendment After Final or under 37CFR 1.312, initialed by the examiner.	PROSECUTION	1
10-02-2013	A.NE	Response After Final Action	PROSECUTION	1
10-02-2013	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	5
10-02-2013	CLM	Claims	PROSECUTION	6
10-02-2013	TRAN.LET	Transmittal Letter	PROSECUTION	2
10-02-2013	XT/	Extension of Time	PROSECUTION	1
10-02-2013	OATH	Oath or Declaration filed	PROSECUTION	1
10-02-2013	MES.GIB	Certification of Micro Entity (Gross Income Basis)	PROSECUTION	1
10-02-2013	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
04-02-2013	CTFR	Final Rejection	PROSECUTION	7
04-02-2013	1449	List of References cited by applicant and considered by examiner	PROSECUTION	11
04-02-2013	FWCLM	Index of Claims	PROSECUTION	1
12-27-2012	A...	Amendment/Req. Reconsideration-After Non-Final Reject	PROSECUTION	1
12-27-2012	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	17
12-27-2012	SPEC	Specification	PROSECUTION	34
12-27-2012	CLM	Claims	PROSECUTION	4
12-27-2012	ABST	Abstract	PROSECUTION	1
12-27-2012	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	11
12-27-2012	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	42
12-27-2012	TRAN.LET	Transmittal Letter	PROSECUTION	1
11-27-2012	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment	PROSECUTION	3
09-06-2012	A...	Amendment/Req. Reconsideration-After Non-Final Reject	PROSECUTION	1
09-06-2012	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	17
09-06-2012	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	26
09-06-2012	TRAN.LET	Transmittal Letter	PROSECUTION	1
09-06-2012	XT/	Extension of Time	PROSECUTION	1
09-06-2012	SPEC	Specification	PROSECUTION	34

09-06-2012	CLM	Claims	PROSECUTION	4
09-06-2012	ABST	Abstract	PROSECUTION	1
09-06-2012	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
06-08-2012	XT/	Extension of Time	PROSECUTION	1
03-06-2012	CTNF	Non-Final Rejection	PROSECUTION	10
03-06-2012	892	List of references cited by examiner	PROSECUTION	1
03-06-2012	1449	List of References cited by applicant and considered by examiner	PROSECUTION	11
03-06-2012	BIB	Bibliographic Data Sheet	PROSECUTION	1
03-06-2012	SRNT	Examiner's search strategy and results	PROSECUTION	4
03-06-2012	1449	List of References cited by applicant and considered by examiner	PROSECUTION	41
03-06-2012	1449	List of References cited by applicant and considered by examiner	PROSECUTION	13
03-06-2012	1449	List of References cited by applicant and considered by examiner	PROSECUTION	3
03-06-2012	FWCLM	Index of Claims	PROSECUTION	1
03-06-2012	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	1
03-06-2012	1449	List of References cited by applicant and considered by examiner	PROSECUTION	41
01-20-2012	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	41
01-20-2012	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned	PROSECUTION	1
01-10-2012	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	41
01-10-2012	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned	PROSECUTION	1
08-20-2010	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	11
08-20-2010	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned	PROSECUTION	1
08-20-2010	TRAN.LET	Transmittal Letter	PROSECUTION	1
06-17-2010	NTC.PUB	Notice of Publication	PROSECUTION	1
04-03-2009	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	13
04-03-2009	TRAN.LET	Transmittal Letter	PROSECUTION	1
03-27-2009	APP.FILE.REC	Filing Receipt	PROSECUTION	3
03-13-2009	PEFR	Applicant Response to Pre-Exam Formalities Notice	PROSECUTION	3
01-13-2009	APP.FILE.REC	Filing Receipt	PROSECUTION	3
01-13-2009	NTC.MISS.PRT	Notice to File Missing Parts	PROSECUTION	2
12-15-2008	IDS	Information Disclosure Statement (IDS) Form (SB08)	PROSECUTION	3
12-15-2008	IMIS	Miscellaneous Internal Document	PROSECUTION	1
12-15-2008	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
12-15-2008	WFEE	Fee Worksheet (SB06)	PROSECUTION	1
12-15-2008	TRNA	Transmittal of New Application	PROSECUTION	2
12-15-2008	SPEC	Specification	PROSECUTION	34
12-15-2008	CLM	Claims	PROSECUTION	3
12-15-2008	ABST	Abstract	PROSECUTION	1
12-15-2008	DRW	Drawings-only black and white line drawings	PROSECUTION	2
12-15-2008	OATH	Oath or Declaration filed	PROSECUTION	5
12-15-2008	ADS	Application Data Sheet	PROSECUTION	3

Patent Term Adjustments

Patent Term Adjustment (PTA) for Application Number: 12/316,699

Filing or 371(c) Date:	12-15-2008	Overlapping Days Between {A and B} or {A and C}:	82
Issue Date of Patent:	03-09-2021	Non-Overlapping USPTO Delays:	3535
A Delays:	970	PTO Manual Adjustments:	0
B Delays:	2647	Applicant Delays:	1959
C Delays:	0	Total PTA Adjustments:	1576

Patent Term Adjustment History

Explanation Of Calculations

Number	Date	Contents Description	PTO(Days)	APPL(Days)	Start
254.5	03-09-2021	PTA 36 Months	2647		0.5
254	03-09-2021	Patent Issue Date Used in PTA Calculation			0
253	12-29-2020	Export to Final Data Capture			0
252	12-28-2020	Dispatch to FDC			0
251	12-24-2020	Issue Fee Payment Verified			0
250	12-24-2020	Issue Fee Payment Received			0
249	12-23-2020	Printer Rush- No mailing			0
248	12-23-2020	Mailing Corrected Notice of Allowability		13	237
247	12-22-2020	Office Action Review			0
246	12-20-2020	Corrected Notice of Allowability			0
245	12-18-2020	Mail TC Petition Denied / Dismissed			0
244	12-17-2020	Office Action Review			0
243	12-17-2020	Information Disclosure Statement considered			0
242	12-16-2020	Pubs Case Remand to TC			0
241	12-15-2020	TC Petition Denied / Dismissed			0
240	12-15-2020	Mail-Petition Decision - Dismissed			0
239	12-15-2020	Petition Decision - Dismissed			0
238	08-02-2020	Petition Entered			0
237	12-11-2020	Information Disclosure Statement (IDS) Filed			0
236	11-04-2020	Mail Examiner Interview Summary (PTOL - 413)			0

235	11-02-2020	Interview Summary - Applicant Initiated - Telephonic		0
234	11-03-2020	Interview Summary Record		0
233	10-15-2020	Mail Miscellaneous Communication to Applicant	17	231
232	10-13-2020	Miscellaneous Communication to Applicant - No Action Count		0
231	09-29-2020	Miscellaneous Incoming Letter		0
230	10-06-2020	Correspondence Address Change		0
229	09-29-2020	Export to Initial Data Capture		0
228	09-30-2020	Letter Accepting Correction of Inventorship Under Rule 1.48		0
227	09-30-2020	Filing Receipt - Updated		0
226	09-29-2020	Letter Rejecting Correction of Inventorship Under Rule 1.48		0
225	09-28-2020	Mail Notice of Allowance		0
224	09-27-2020	Office Action Review		0
223	09-27-2020	Notice of Allowance Data Verification Completed		0
222	09-27-2020	Issue Revision Completed		0
221	09-27-2020	Document Verification		0
220	09-24-2020	Allowability Notice		0
219	08-31-2020	Date Forwarded to Examiner		0
218	08-02-2020	Response after Ex Parte Quayle Action		0
217	08-24-2020	Mail Applicant Initiated Interview Summary		0
216	08-20-2020	Interview Summary - Applicant Initiated - Telephonic		0
215	08-20-2020	Interview Summary- Applicant Initiated		0
214	06-22-2020	Mail TC Petition Denied / Dismissed		0
213	06-18-2020	Office Action Review		0
212	06-16-2020	TC Petition Denied / Dismissed		0
211	06-17-2020	Mail-Petition Decision - Dismissed		0
210	06-16-2020	Petition Decision - Dismissed		0
209	12-26-	Petition Entered		0

	2019		
202	06-02-2020	Mail Ex Parte Quayle Action (PTOL - 326)	0
201	05-28-2020	Quayle action	0
199	05-20-2020	Date Forwarded to Examiner	0
198	05-20-2020	Withdrawal of Notice of Allowance	0
197	05-20-2020	Mail Miscellaneous Communication to Applicant	0
196	05-19-2020	Miscellaneous Communication to Applicant - No Action Count	0
195	02-24-2020	Workflow - Drawings Finished	0
192	12-09-2019	Finished Initial Data Capture	0
191	12-06-2019	Mail Applicant Initiated Interview Summary	0
190	12-05-2019	Interview Summary - Applicant Initiated - Telephonic	0
189	12-05-2019	Interview Summary- Applicant Initiated	0
188	12-04-2019	Application Is Considered Ready for Issue	0
187	12-04-2019	Correspondence Address Change	0
186	11-22-2019	Issue Fee Payment Verified	0
185	11-22-2019	Issue Fee Payment Received	0
184	11-21-2019	Printer Rush- No mailing	0
183	11-21-2019	Printer Rush- No mailing	0
182	11-15-2019	Pubs Case Remand to TC	0
181	11-08-2019	Printer Rush- No mailing	0
180	11-01-2019	Pubs Case Remand to TC	0
179	10-31-2019	Printer Rush- No mailing	0
178	10-31-2019	Printer Rush- No mailing	0
177	10-28-2019	Pubs Case Remand to TC	0
176	10-26-2019	Printer Rush- No mailing	0
175	10-24-2019	Mail PUB other miscellaneous communication to applicant	0
174	10-24-2019	PUB Other miscellaneous communication to applicant	0

173	10-23-2019	Pubs Case Remand to TC	0
172	08-26-2019	Export to Initial Data Capture	0
171	08-23-2019	Mail Notice of Allowance	0
170	08-22-2019	Office Action Review	0
169	08-22-2019	Office Action Review	0
168	08-22-2019	Issue Revision Completed	0
167	08-22-2019	Notice of Allowance Data Verification Completed	0
166	08-21-2019	Document Verification	0
165	08-15-2019	Interview Summary - Applicant Initiated - Telephonic	0
164	08-15-2019	Allowability Notice	0
163	08-13-2019	Mail Advisory Action (PTOL - 303)	0
162	08-10-2019	After Final Consideration Program Improper Request	0
161	08-10-2019	Advisory Action (PTOL-303)	0
160	07-26-2019	PILOT- Request for After Final Consideration Program	0
159	07-31-2019	Date Forwarded to Examiner	0
158	07-26-2019	Response after Final Action	0
157	06-19-2019	Letter Requesting Interview with Examiner	0
156	06-15-2019	Electronic request for Examiner Interview	0
155	06-27-2019	Mail Applicant Initiated Interview Summary	0
154	06-25-2019	Interview Summary - Applicant Initiated - Telephonic	0
153	06-25-2019	Interview Summary- Applicant Initiated	0
152	06-26-2019	Mail Applicant Initiated Interview Summary	0
151	06-24-2019	Interview Summary - Applicant Initiated - Telephonic	0
150	06-24-2019	Interview Summary- Applicant Initiated	0
149	06-15-2019	Electronic request for Examiner Interview	0
148	04-26-2019	Mail Final Rejection (PTOL - 326)	0

147	04-25-2019	Final Rejection		0
146	03-11-2019	Mail-Petition Decision - Dismissed		0
145	03-08-2019	Petition Decision - Dismissed		0
144	02-17-2019	Date Forwarded to Examiner		0
143	01-29-2019	Response after Non-Final Action	84	136
142	01-09-2019	Mail Applicant Initiated Interview Summary		0
141	01-08-2019	Interview Summary - Applicant Initiated - Telephonic		0
140	01-08-2019	Interview Summary- Applicant Initiated		0
139	11-29-2018	Mail Notice of Informal or Non-Responsive Amendment		0
138	11-28-2018	Date Forwarded to Examiner		0
137.1	11-06-2018	Informal or Non-Responsive Amendment after Examiner Action		0
137	11-06-2018	Response after Non-Final Action		0
136	08-06-2018	Mail Non-Final Rejection	220	131
135	07-24-2018	Petition Entered		0
134	08-02-2018	Non-Final Rejection		0
133	05-31-2018	Date Forwarded to Examiner		0
132	08-29-2017	Amendment Submitted/Entered with Filing of CPA/RCE		0
131	08-29-2017	Request for Continued Examination (RCE)	1519	53
130	05-31-2018	Disposal for a RCE / CPA / R129		0
129	10-18-2013	Mail Advisory Action (PTOL - 303)		0
128	10-17-2013	Advisory Action (PTOL-303)		0
127	05-31-2018	Date Forwarded to Examiner		0
126	05-24-2018	Mail Notice of Rescinded Abandonment		0
125	05-31-2018	Notice of Rescinded Abandonment in TCs		0
124	05-24-2018	Mail-Petition to Revive Application - Granted		0
123	05-24-2018	Petition to Revive Application - Granted		0
122	05-24-	Mail-Petition Decision - Dismissed		0

	2018		
121	05-24-2018	Petition Decision - Dismissed	0
120	05-24-2018	Mail-Petition Decision - Dismissed	0
119	05-24-2018	Petition Decision - Dismissed	0
118	08-29-2017	Petition Entered	0
117	08-29-2017	Petition Entered	0
116	04-09-2018	Petition Entered	0
115	02-07-2018	Mail O.P. Petition Decision	0
114	02-06-2018	Office Action Review	0
113	02-07-2018	Mail-Petition Decision - Dismissed	0
112	02-06-2018	Petition Decision - Dismissed	0
111	02-07-2018	Mail-Petition Decision - Dismissed	0
110	02-06-2018	Petition Decision - Dismissed	0
109	02-07-2018	Mail-Petition Decision - Dismissed	0
108	02-06-2018	Petition Decision - Dismissed	0
107	01-02-2018	Petition Entered	0
106	02-06-2018	O.P. Petition Decision	0
105	01-02-2018	Petition Entered	0
104	01-02-2018	Petition Entered	0
103	10-31-2017	Mail O.P. Petition Decision	0
102	10-30-2017	Office Action Review	0
101	10-30-2017	Mail-Petition Decision - Dismissed	0
100	10-30-2017	Petition Decision - Dismissed	0
99	10-30-2017	Mail-Petition Decision - Dismissed	0
98	10-30-2017	Petition Decision - Dismissed	0
97	08-29-2017	Petition Entered	0
96	10-30-2017	O.P. Petition Decision	0

94	08-29-2017	Petition Entered	0
93	06-29-2017	Mail O.P. Petition Decision	0
92	06-27-2017	Office Action Review	0
91	06-28-2017	Mail-Petition Decision - Dismissed	0
90	06-27-2017	Petition Decision - Dismissed	0
89	06-28-2017	Mail-Petition Decision - Dismissed	0
88	06-27-2017	Petition Decision - Dismissed	0
87	06-28-2017	Mail-Petition Decision - Dismissed	0
86	06-27-2017	Petition Decision - Dismissed	0
85	08-08-2016	Petition Entered	0
84	08-08-2016	Petition Entered	0
83	06-27-2017	O.P. Petition Decision	0
82	08-08-2016	Petition Entered	0
81	03-07-2016	Mail O.P. Petition Decision	0
80	03-04-2016	Office Action Review	0
79	03-04-2016	Mail-Petition Decision - Dismissed	0
78	03-04-2016	Petition Decision - Dismissed	0
77	03-04-2016	Mail-Petition Decision - Dismissed	0
76	03-04-2016	Petition Decision - Dismissed	0
75	03-04-2016	Mail-Petition Decision - Dismissed	0
74	03-04-2016	Petition Decision - Dismissed	0
73	08-24-2015	Petition Entered	0
72	03-04-2016	O.P. Petition Decision	0
71	02-06-2016	Petition Entered	0
70	02-06-2016	Workflow - Request for CPA - Begin	0
69	08-24-2015	Petition Entered	0

68	04-30-2014	Mail-Petition Decision - Dismissed		0
67	04-30-2014	Petition Decision - Dismissed		0
66	12-29-2017	Petition Entered	122	61
64	04-07-2014	Workflow - Request for RCE - Begin		0
63	02-07-2014	Mail Abandonment for Failure to Respond to Office Action		0
62	02-07-2014	Office Action Review		0
61	02-07-2014	Aband. for Failure to Respond to O. A.		0
60	10-18-2013	Mail Advisory Action (PTOL - 303)		0
59	10-18-2013	Office Action Review		0
58	10-17-2013	Advisory Action (PTOL-303)		0
57	10-02-2013	Oath or Declaration Filed (Including Supplemental)		0
56	10-14-2013	Date Forwarded to Examiner		0
55	10-02-2013	Response after Final Action		0
54	10-02-2013	Request for Extension of Time - Granted		0
53	04-02-2013	Mail Final Rejection (PTOL - 326)		0
52	04-01-2013	Office Action Review		0
51	03-29-2013	Final Rejection		0
50	12-27-2012	Information Disclosure Statement considered		0
49	12-27-2012	Substitute Specification Filed		0
48	01-08-2013	Date Forwarded to Examiner		0
47	12-27-2012	Response after Non-Final Action	204	36
46	12-27-2012	Information Disclosure Statement (IDS) Filed		0
45	11-27-2012	Mail Notice of Informal or Non-Responsive Amendment		0
44	11-27-2012	Office Action Review		0
43	09-06-2012	Information Disclosure Statement considered		0
42	09-16-2012	Date Forwarded to Examiner		0
41.1	09-06-	Informal or Non-Responsive Amendment after Examiner		0

	2012	Action		
41	09-06-2012	Response after Non-Final Action		0
40	09-06-2012	Request for Extension of Time - Granted		0
39	09-06-2012	Electronic Information Disclosure Statement		0
38	09-06-2012	Information Disclosure Statement (IDS) Filed		0
37	06-08-2012	Request for Extension of Time - Granted		0
36	03-06-2012	Mail Non-Final Rejection	750	0.5
35	03-04-2012	Office Action Review		0
34	03-02-2012	Non-Final Rejection		0
33	01-20-2012	Information Disclosure Statement considered		0
32	01-10-2012	Information Disclosure Statement considered		0
31	08-20-2010	Information Disclosure Statement considered		0
30	04-03-2009	Information Disclosure Statement considered		0
29	12-15-2008	Information Disclosure Statement considered		0
26	01-20-2012	Information Disclosure Statement (IDS) Filed		0
25	01-20-2012	Information Disclosure Statement (IDS) Filed		0
24	01-10-2012	Information Disclosure Statement (IDS) Filed		0
23	01-10-2012	Information Disclosure Statement (IDS) Filed		0
22	10-06-2011	Case Docketed to Examiner in GAU		0
21	03-15-2011	Case Docketed to Examiner in GAU		0
20	08-20-2010	Miscellaneous Incoming Letter		0
19	08-20-2010	Information Disclosure Statement (IDS) Filed		0
18	08-20-2010	Information Disclosure Statement (IDS) Filed		0
17	06-17-2010	PG-Pub Issue Notification		0
16	06-19-2009	Case Docketed to Examiner in GAU		0
15	05-02-2009	IFW TSS Processing by Tech Center Complete		0
14	04-03-2009	Reference capture on IDS		0

13	04-03-2009	Information Disclosure Statement (IDS) Filed	0
12	12-15-2008	Information Disclosure Statement (IDS) Filed	0
11	04-21-2009	Application Dispatched from OIPE	0
10	04-03-2009	Information Disclosure Statement (IDS) Filed	0
9	03-27-2009	Sent to Classification Contractor	0
8	03-27-2009	Filing Receipt - Updated	0
7	03-13-2009	Payment of additional filing fee/Preexam	0
6	01-13-2009	Filing Receipt	0
5	01-13-2009	Notice Mailed--Application Incomplete--Filing Date Assigned	0
4	01-02-2009	Cleared by OIPE CSR	0
3	12-29-2008	IFW Scan & PACR Auto Security Review	0
2	12-15-2008	Information Disclosure Statement (IDS) Filed	0
1	12-17-2008	Initial Exam Team nn	0
0.5	12-15-2008	Filing date	0

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Transaction History ()

Patent Term Adjustment ()

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CMS Data ()

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Maintenance Fees ()

Published Documents ()

TRANSACTION HISTORY

DATE	CODE	TRANSACTION DESCRIPTION
05-10-2021	PET2	Petition Entered
03-09-2021	PGM/	Recordation of Patent Grant Mailed
02-17-2021	WPIR	Issue Notification Mailed
03-09-2021	PTAC	Patent Issue Date Used in PTA Calculation
12-28-2020	D1935	Dispatch to FDC
12-24-2020	N084	Issue Fee Payment Verified
12-24-2020	IFEE	Issue Fee Payment Received

12-23-2020	TCPB	Printer Rush- No mailing
12-23-2020	MCNOA	Mailing Corrected Notice of Allowability
12-20-2020	CNOA	Corrected Notice of Allowability
12-18-2020	MTCPTD	Mail TC Petition Denied / Dismissed
12-17-2020	IDSC	Information Disclosure Statement considered
12-16-2020	PUBTC	Pubs Case Remand to TC
12-15-2020	TCPTD	TC Petition Denied / Dismissed
12-15-2020	MPTDI	Mail-Petition Decision - Dismissed
12-15-2020	PTDI	Petition Decision - Dismissed
08-02-2020	PET.	Petition Entered
12-11-2020	WIDS	Information Disclosure Statement (IDS) Filed
11-04-2020	MEXIN	Mail Examiner Interview Summary (PTOL - 413)
11-02-2020	EXAT	Interview Summary - Applicant Initiated - Telephonic
11-03-2020	EXIN	Interview Summary Record
10-15-2020	MM327	Mail Miscellaneous Communication to Applicant
10-13-2020	M327	Miscellaneous Communication to Applicant - No Action Count
09-29-2020	LET.	Miscellaneous Incoming Letter
10-06-2020	C.AD	Correspondence Address Change
09-30-2020	R48ACLT	Letter Accepting Correction of Inventorship Under Rule 1.48
09-30-2020	FLRCPT.U	Filing Receipt - Updated

09-29-2020	R48RJLT	Letter Rejecting Correction of Inventorship Under Rule 1.48
09-28-2020	MN/=.	Mail Notice of Allowance
09-27-2020	N/=.	Notice of Allowance Data Verification Completed
08-31-2020	FWDX	Date Forwarded to Examiner
08-02-2020	A.QU	Response after Ex Parte Quayle Action
08-24-2020	MEXIA	Mail Applicant Initiated Interview Summary
08-20-2020	EXAT	Interview Summary - Applicant Initiated - Telephonic
08-20-2020	EXIA	Interview Summary- Applicant Initiated
06-22-2020	MTCPTD	Mail TC Petition Denied / Dismissed
06-16-2020	TCPTD	TC Petition Denied / Dismissed
06-17-2020	MPTDI	Mail-Petition Decision - Dismissed
06-16-2020	PTDI	Petition Decision - Dismissed
12-26-2019	PET.	Petition Entered
06-02-2020	MCTEQ	Mail Ex Parte Quayle Action (PTOL - 326)
05-28-2020	CTEQ	Quayle action
05-20-2020	FWDX	Date Forwarded to Examiner
05-20-2020	W/N=	Withdrawal of Notice of Allowance
05-20-2020	MM327	Mail Miscellaneous Communication to Applicant
05-19-2020	M327	Miscellaneous Communication to Applicant - No Action Count
02-24-2020	DRWF	Workflow - Drawings Finished

12-06-2019	MEXIA	Mail Applicant Initiated Interview Summary
12-05-2019	EXAT	Interview Summary - Applicant Initiated - Telephonic
12-05-2019	EXIA	Interview Summary- Applicant Initiated
12-04-2019	PILS	Application Is Considered Ready for Issue
12-04-2019	C.AD	Correspondence Address Change
11-22-2019	N084	Issue Fee Payment Verified
11-22-2019	IFEE	Issue Fee Payment Received
11-21-2019	TCPB	Printer Rush- No mailing
11-21-2019	TCPB	Printer Rush- No mailing
11-15-2019	PUBTC	Pubs Case Remand to TC
11-08-2019	TCPB	Printer Rush- No mailing
11-01-2019	PUBTC	Pubs Case Remand to TC
10-31-2019	TCPB	Printer Rush- No mailing
10-31-2019	TCPB	Printer Rush- No mailing
10-28-2019	PUBTC	Pubs Case Remand to TC
10-26-2019	TCPB	Printer Rush- No mailing
10-24-2019	MM327-D	Mail PUB other miscellaneous communication to applicant
10-24-2019	M327-D	PUB Other miscellaneous communication to applicant
10-23-2019	PUBTC	Pubs Case Remand to TC
08-23-2019	MN/=.	Mail Notice of Allowance

08-22-2019	N/=.	Notice of Allowance Data Verification Completed
08-15-2019	EXAT	Interview Summary - Applicant Initiated - Telephonic
08-13-2019	MCTAV	Mail Advisory Action (PTOL - 303)
08-10-2019	AFIR	After Final Consideration Program Improper Request
08-10-2019	CTAV	Advisory Action (PTOL-303)
07-26-2019	RAFC	PILOT- Request for After Final Consideration Program
07-31-2019	FWDX	Date Forwarded to Examiner
07-26-2019	A.NE	Response after Final Action
06-19-2019	M865	Letter Requesting Interview with Examiner
06-15-2019	M865E	Electronic request for Examiner Interview
06-27-2019	MEXIA	Mail Applicant Initiated Interview Summary
06-25-2019	EXAT	Interview Summary - Applicant Initiated - Telephonic
06-25-2019	EXIA	Interview Summary- Applicant Initiated
06-26-2019	MEXIA	Mail Applicant Initiated Interview Summary
06-24-2019	EXAT	Interview Summary - Applicant Initiated - Telephonic
06-24-2019	EXIA	Interview Summary- Applicant Initiated
06-15-2019	M865E	Electronic request for Examiner Interview
04-26-2019	MCTFR	Mail Final Rejection (PTOL - 326)
04-25-2019	CTFR	Final Rejection
03-11-2019	MPTDI	Mail-Petition Decision - Dismissed

03-08-2019	PTDI	Petition Decision - Dismissed
02-17-2019	FWDX	Date Forwarded to Examiner
01-29-2019	A...	Response after Non-Final Action
01-09-2019	MEXIA	Mail Applicant Initiated Interview Summary
01-08-2019	EXAT	Interview Summary - Applicant Initiated - Telephonic
01-08-2019	EXIA	Interview Summary- Applicant Initiated
11-29-2018	NINA	Mail Notice of Informal or Non-Responsive Amendment
11-28-2018	FWDX	Date Forwarded to Examiner
11-06-2018	A.I.	Informal or Non-Responsive Amendment after Examiner Action
11-06-2018	A...	Response after Non-Final Action
08-06-2018	MCTNF	Mail Non-Final Rejection
07-24-2018	PET.	Petition Entered
08-02-2018	CTNF	Non-Final Rejection
05-31-2018	FWDX	Date Forwarded to Examiner
08-29-2017	RCEX	Request for Continued Examination (RCE)
05-31-2018	ABN9	Disposal for a RCE / CPA / R129
10-18-2013	MCTAV	Mail Advisory Action (PTOL - 303)
10-17-2013	CTAV	Advisory Action (PTOL-303)
05-31-2018	FWDX	Date Forwarded to Examiner
05-24-2018	MNRAB	Mail Notice of Rescinded Abandonment

05-31-2018	NRAB	Notice of Rescinded Abandonment in TCs
05-24-2018	MPREV	Mail-Petition to Revive Application - Granted
05-24-2018	PREV	Petition to Revive Application - Granted
05-24-2018	MPTDI	Mail-Petition Decision - Dismissed
05-24-2018	PTDI	Petition Decision - Dismissed
05-24-2018	MPTDI	Mail-Petition Decision - Dismissed
05-24-2018	PTDI	Petition Decision - Dismissed
08-29-2017	PET.	Petition Entered
08-29-2017	PET.	Petition Entered
04-09-2018	PET.	Petition Entered
02-07-2018	MOPPT	Mail O.P. Petition Decision
02-07-2018	MPTDI	Mail-Petition Decision - Dismissed
02-06-2018	PTDI	Petition Decision - Dismissed
02-07-2018	MPTDI	Mail-Petition Decision - Dismissed
02-06-2018	PTDI	Petition Decision - Dismissed
02-07-2018	MPTDI	Mail-Petition Decision - Dismissed
02-06-2018	PTDI	Petition Decision - Dismissed
01-02-2018	PET.	Petition Entered
02-06-2018	OPPT	O.P. Petition Decision
01-02-2018	PET.	Petition Entered

01-02-2018	PET.	Petition Entered
10-31-2017	MOPPT	Mail O.P. Petition Decision
10-30-2017	MPTDI	Mail-Petition Decision - Dismissed
10-30-2017	PTDI	Petition Decision - Dismissed
10-30-2017	MPTDI	Mail-Petition Decision - Dismissed
10-30-2017	PTDI	Petition Decision - Dismissed
08-29-2017	PET.	Petition Entered
10-30-2017	OPPT	O.P. Petition Decision
08-29-2017	PET.	Petition Entered
06-29-2017	MOPPT	Mail O.P. Petition Decision
06-28-2017	MPTDI	Mail-Petition Decision - Dismissed
06-27-2017	PTDI	Petition Decision - Dismissed
06-28-2017	MPTDI	Mail-Petition Decision - Dismissed
06-27-2017	PTDI	Petition Decision - Dismissed
06-28-2017	MPTDI	Mail-Petition Decision - Dismissed
06-27-2017	PTDI	Petition Decision - Dismissed
08-08-2016	PET.	Petition Entered
08-08-2016	PET.	Petition Entered
06-27-2017	OPPT	O.P. Petition Decision
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03-07-2016	MOPPT	Mail O.P. Petition Decision
03-04-2016	MPTDI	Mail-Petition Decision - Dismissed
03-04-2016	PTDI	Petition Decision - Dismissed
03-04-2016	MPTDI	Mail-Petition Decision - Dismissed
03-04-2016	PTDI	Petition Decision - Dismissed
03-04-2016	MPTDI	Mail-Petition Decision - Dismissed
03-04-2016	PTDI	Petition Decision - Dismissed
08-24-2015	PET.	Petition Entered
03-04-2016	OPPT	O.P. Petition Decision
02-06-2016	PET.	Petition Entered
02-06-2016	BCPA	Workflow - Request for CPA - Begin
08-24-2015	PET.	Petition Entered
04-30-2014	MPTDI	Mail-Petition Decision - Dismissed
04-30-2014	PTDI	Petition Decision - Dismissed
04-07-2014	PET.	Petition Entered
04-07-2014	BRCE	Workflow - Request for RCE - Begin
02-07-2014	MABN2	Mail Abandonment for Failure to Respond to Office Action
02-07-2014	ABN2	Aband. for Failure to Respond to O. A.
10-18-2013	MCTAV	Mail Advisory Action (PTOL - 303)
10-17-2013	CTAV	Advisory Action (PTOL-303)

10-02-2013	C602	Oath or Declaration Filed (Including Supplemental)
10-14-2013	FWDX	Date Forwarded to Examiner
10-02-2013	A.NE	Response after Final Action
10-02-2013	XT/G	Request for Extension of Time - Granted
04-02-2013	MCTFR	Mail Final Rejection (PTOL - 326)
03-29-2013	CTFR	Final Rejection
12-27-2012	IDSC	Information Disclosure Statement considered
12-27-2012	C604	Substitute Specification Filed
01-08-2013	FWDX	Date Forwarded to Examiner
12-27-2012	A...	Response after Non-Final Action
12-27-2012	WIDS	Information Disclosure Statement (IDS) Filed
11-27-2012	NINA	Mail Notice of Informal or Non-Responsive Amendment
09-06-2012	IDSC	Information Disclosure Statement considered
09-16-2012	FWDX	Date Forwarded to Examiner
09-06-2012	A.I.	Informal or Non-Responsive Amendment after Examiner Action
09-06-2012	A...	Response after Non-Final Action
09-06-2012	XT/G	Request for Extension of Time - Granted
09-06-2012	EIDS.	Electronic Information Disclosure Statement
09-06-2012	WIDS	Information Disclosure Statement (IDS) Filed
06-08-2012	XT/G	Request for Extension of Time - Granted

03-06-2012	MCTNF	Mail Non-Final Rejection
03-02-2012	CTNF	Non-Final Rejection
01-20-2012	IDSC	Information Disclosure Statement considered
01-10-2012	IDSC	Information Disclosure Statement considered
08-20-2010	IDSC	Information Disclosure Statement considered
04-03-2009	IDSC	Information Disclosure Statement considered
12-15-2008	IDSC	Information Disclosure Statement considered
01-20-2012	M844	Information Disclosure Statement (IDS) Filed
01-20-2012	WIDS	Information Disclosure Statement (IDS) Filed
01-10-2012	M844	Information Disclosure Statement (IDS) Filed
01-10-2012	WIDS	Information Disclosure Statement (IDS) Filed
10-06-2011	DOCK	Case Docketed to Examiner in GAU
03-15-2011	DOCK	Case Docketed to Examiner in GAU
08-20-2010	LET.	Miscellaneous Incoming Letter
08-20-2010	M844	Information Disclosure Statement (IDS) Filed
08-20-2010	WIDS	Information Disclosure Statement (IDS) Filed
06-17-2010	PG-ISSUE	PG-Pub Issue Notification
06-19-2009	DOCK	Case Docketed to Examiner in GAU
05-02-2009	TSSCOMP	IFW TSS Processing by Tech Center Complete
04-03-2009	RCAP	Reference capture on IDS

04-03-2009	M844	Information Disclosure Statement (IDS) Filed
12-15-2008	M844	Information Disclosure Statement (IDS) Filed
04-21-2009	OIPE	Application Dispatched from OIPE
04-03-2009	WIDS	Information Disclosure Statement (IDS) Filed
03-27-2009	PGPC	Sent to Classification Contractor
03-27-2009	FLRCPT.U	Filing Receipt - Updated
03-13-2009	FLFEE	Payment of additional filing fee/Preexam
01-13-2009	FLRCPT.O	Filing Receipt
01-13-2009	INCD	Notice Mailed--Application Incomplete--Filing Date Assigned
01-02-2009	L194	Cleared by OIPE CSR
12-29-2008	SCAN	IFW Scan & PACR Auto Security Review
12-15-2008	WIDS	Information Disclosure Statement (IDS) Filed
12-17-2008	IEXX	Initial Exam Team nn

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02-24-2020	DRW	Drawings-only black and white line drawings (/api/queries/cms/pdfDocument/12316699/K7238SLYLXEAPX1)	PROSECUTION	1
02-24-2020	A.NA	Amendment after Notice of Allowance (Rule 312) (/api/queries/cms/pdfDocument/12316699/K7238SLULXEAPX1)	PROSECUTION	2
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12-26-2019	PET.SPRE	Petition for review by the Technology Center SPRE (/api/queries/cms/pdfDocument/12316699/K4X4ESPNRXEAPX0)	PROSECUTION	14

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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	19
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	21
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	14
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	12

12-26-2019	NPL	Non Patent Literature	PROSECUTION	2
12-26-2019	NPL	Non Patent Literature	PROSECUTION	16
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	5
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	4
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12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-26-2019	NPL	Non Patent Literature	PROSECUTION	20
12-23-2019	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/K4IXAJXVRXEAPX5)	PROSECUTION	2
12-23-2019	N417	EFS Acknowledgment Receipt (/api/queries/cms/pdfDocument/12316699/K4IXAJXWRXEAPX5)	PROSECUTION	2
12-23-2019	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/K5CJP26VRXEAPX5)	PROSECUTION	1
12-23-2019	XT/	Extension of Time (/api/queries/cms/pdfDocument/12316699/K5CJP26URXEAPX5)	PROSECUTION	2

12-23-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/K5CJP26TRXEAPX5)	PROSECUTION	1
12-20-2019	XT/	Extension of Time (/api/queries/cms/pdfDocument/12316699/K5CKF1P5RXEAPX2)	PROSECUTION	2
12-20-2019	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/K5CKF1P6RXEAPX2)	PROSECUTION	2
12-20-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/K5CKF1P4RXEAPX2)	PROSECUTION	1
12-06-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413) (/api/queries/cms/pdfDocument/12316699/K3U6SZJ0RXEAPX2)	PROSECUTION	3
11-25-2019	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/K3ENCMFVRXEAPX3)	PROSECUTION	1
11-22-2019	C.AD	Change of Address (/api/queries/cms/pdfDocument/12316699/K3Q7105IRXEAPX3)	PROSECUTION	1
11-22-2019	IFEE	Issue Fee Payment (PTO-85B) (/api/queries/cms/pdfDocument/12316699/K3Q7105KRXEAPX3)	PROSECUTION	1
11-22-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/K3Q7105JRXEAPX3)	PROSECUTION	1
11-22-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/K3Q6BI8NRXEAPX3)	PROSECUTION	1
11-22-2019	C.AD	Change of Address (/api/queries/cms/pdfDocument/12316699/K3Q6BI8LRXEAPX3)	PROSECUTION	1
11-22-2019	IFEE	Issue Fee Payment (PTO-85B) (/api/queries/cms/pdfDocument/12316699/K3Q6BI8MRXEAPX3)	PROSECUTION	1
11-21-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K38AV7UTRXEAPX2)	PROSECUTION	26
11-08-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K2QAYXMJRXEAPX2)	PROSECUTION	41
11-06-2019	RETMAIL	Mail returned to USPTO as undelivered (/api/queries/cms/pdfDocument/12316699/K2W3XJ6NRXEAPX5)	PROSECUTION	4
10-31-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K2EZWEICRXEAPX3)	PROSECUTION	41
10-26-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K27X8LO0RXEAPX0)	PROSECUTION	11
10-26-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K27X8LO1RXEAPX0)	PROSECUTION	13
10-26-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K27X8LO2RXEAPX0)	PROSECUTION	13
10-26-2019	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/K27X8LO3RXEAPX0)	PROSECUTION	11
10-24-2019	M327	Miscellaneous Communication to Applicant - No Action Count (/api/queries/cms/pdfDocument/12316699/K25IY969RXEAPX1)	PROSECUTION	3

09-03-2019	RETMAIL	Mail returned to USPTO as undelivered (/api/queries/cms/pdfDocument/12316699/K0CFD11JRXEAPX0)	PROSECUTION	8
08-23-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413) (/api/queries/cms/pdfDocument/12316699/JZO1UATGRXEAPX4)	PROSECUTION	2
08-23-2019	NOA	Notice of Allowance and Fees Due (PTOL-85) (/api/queries/cms/pdfDocument/12316699/JZO1UATFRXEAPX4)	PROSECUTION	5
08-23-2019	IIFW	Issue Information including classification, examiner, name, claim, renumbering, etc. (/api/queries/cms/pdfDocument/12316699/JZO1UATHRXEAPX4)	PROSECUTION	3
08-23-2019	SRFW	Search information including classification, databases and other search related notes (/api/queries/cms/pdfDocument/12316699/JZO1UATIRXEAPX4)	PROSECUTION	2
08-23-2019	SRNT	Examiner's search strategy and results (/api/queries/cms/pdfDocument/12316699/JZO1UATJRXEAPX4)	PROSECUTION	6
08-21-2019	RETMAIL	Mail returned to USPTO as undelivered (/api/queries/cms/pdfDocument/12316699/JZTZ3PZVRXEAPX3)	PROSECUTION	7
08-13-2019	A.NE.AFCP.D	After Final Consideration Program Decision (/api/queries/cms/pdfDocument/12316699/JZ9SSEWURXEAPX3)	PROSECUTION	2
08-13-2019	CTAV	Advisory Action (PTOL-303) (/api/queries/cms/pdfDocument/12316699/JZ9SSEWTRXEAPX3)	PROSECUTION	2
08-13-2019	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment (/api/queries/cms/pdfDocument/12316699/JZ9SSEWVRXEAPX3)	PROSECUTION	2
08-13-2019	ANE.I	Amendment After Final or under 37CFR 1.312, initialed by the examiner. (/api/queries/cms/pdfDocument/12316699/JZ9SSEWVRXEAPX3)	PROSECUTION	1
07-26-2019	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/JYRIBCMRXEAPX2)	PROSECUTION	1
07-26-2019	A.NE.AFCP	After Final Consideration Program Request (/api/queries/cms/pdfDocument/12316699/JYRFZMD7RXEAPX3)	PROSECUTION	2
07-26-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JYRFZMDRXEAPX3)	PROSECUTION	1
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYRFZMDARXEAPX3)	PROSECUTION	5
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYRFZMD9RXEAPX3)	PROSECUTION	7
07-26-2019	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/JYRFZMD8RXEAPX3)	PROSECUTION	1
07-26-2019	CLM	Claims (/api/queries/cms/pdfDocument/12316699/JYRFZMDBRXEAPX3)	PROSECUTION	3
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYR7S7PSRXEAPX4)	PROSECUTION	4
07-26-2019	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/JYR7S7PRRXEAPX4)	PROSECUTION	1

07-26-2019	A.NE.AFCP	After Final Consideration Program Request (/api/queries/cms/pdfDocument/12316699/JYR7S7PQRXEAPX4)	PROSECUTION	2
07-26-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JYR7S7PTRXEAPX4)	PROSECUTION	1
07-26-2019	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/JYR7RYF3RXEAPX0)	PROSECUTION	1
07-26-2019	A.NE.AFCP	After Final Consideration Program Request (/api/queries/cms/pdfDocument/12316699/JYR7RYF2RXEAPX0)	PROSECUTION	2
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYR7RYF4RXEAPX0)	PROSECUTION	7
07-26-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JYR7RYF7RXEAPX0)	PROSECUTION	1
07-26-2019	CLM	Claims (/api/queries/cms/pdfDocument/12316699/JYR7RYF6RXEAPX0)	PROSECUTION	3
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYR7RYF5RXEAPX0)	PROSECUTION	5
07-26-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JYR78KXQRXEAPX5)	PROSECUTION	1
07-26-2019	CLM	Claims (/api/queries/cms/pdfDocument/12316699/JYR78KXSRXEAPX5)	PROSECUTION	3
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYR78KXRRXEAPX5)	PROSECUTION	5
07-26-2019	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/JYR78KXORXEAPX5)	PROSECUTION	1
07-26-2019	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JYR78KXTRXEAPX5)	PROSECUTION	1
07-26-2019	A.NE.AFCP	After Final Consideration Program Request (/api/queries/cms/pdfDocument/12316699/JYR78KXNRXEAPX5)	PROSECUTION	2
07-26-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JYR78KXPRXEAPX5)	PROSECUTION	6
07-08-2019	RETMAIL	Mail returned to USPTO as undelivered (/api/queries/cms/pdfDocument/12316699/JXYW3B11RXEAPX4)	PROSECUTION	4
07-03-2019	RETMAIL	Mail returned to USPTO as undelivered (/api/queries/cms/pdfDocument/12316699/JXU9YQQVRXEAPX1)	PROSECUTION	4
06-27-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413) (/api/queries/cms/pdfDocument/12316699/JXESFMRURXEAPX4)	PROSECUTION	3
06-26-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413) (/api/queries/cms/pdfDocument/12316699/JXD63UOGRXEAPX5)	PROSECUTION	3
06-19-2019	INTRVIEW.APP	Applicant summary of interview with examiner (/api/queries/cms/pdfDocument/12316699/JXAQLG9JRXEAPX1)	PROSECUTION	2
06-15-2019	M865E	Electronic Request for Interview with Examiner (/api/queries/cms/pdfDocument/12316699/JWXD89TORXEAPX2)	PROSECUTION	1

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04-26-2019	SRFW	Search information including classification, databases and other search related notes (/api/queries/cms/pdfDocument/12316699/JUY1CJJ8RXEAPX5)	PROSECUTION	1
04-26-2019	CTFR	Final Rejection (/api/queries/cms/pdfDocument/12316699/JUY1CJJ6RXEAPX5)	PROSECUTION	6
04-26-2019	FWCLM	Index of Claims (/api/queries/cms/pdfDocument/12316699/JUY1CJJ9RXEAPX5)	PROSECUTION	1
03-11-2019	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/JT7BIHBIRXEAPX4)	PROSECUTION	3
01-29-2019	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/JS94E8D1RXEAPX2)	PROSECUTION	1
01-29-2019	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JRQDP91FRXEAPX4)	PROSECUTION	2
01-29-2019	A...	Amendment/Req. Reconsideration-After Non-Final Reject (/api/queries/cms/pdfDocument/12316699/JRQDP91DRXEAPX4)	PROSECUTION	1
01-29-2019	CLM	Claims (/api/queries/cms/pdfDocument/12316699/JRQDP91GRXEAPX4)	PROSECUTION	3
01-09-2019	INTV.SUM.APP	Applicant Initiated Interview Summary (PTOL-413) (/api/queries/cms/pdfDocument/12316699/JQP7G8EYRXEAPX5)	PROSECUTION	3
11-29-2018	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment (/api/queries/cms/pdfDocument/12316699/JP1RACKXRXEAPX1)	PROSECUTION	3
11-06-2018	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/JP1PMHYRXEAPX5)	PROSECUTION	1
11-06-2018	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/JOA4JJCQRXEAPX3)	PROSECUTION	2
11-06-2018	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JOA4JJCSRXEAPX3)	PROSECUTION	1
11-06-2018	CLM.NE	Claim-Amendment Not Entered (/api/queries/cms/pdfDocument/12316699/JOA4JJCRRXEAPX3)	PROSECUTION	4
11-06-2018	A.I.	Informal or Non-Responsive Amendment (/api/queries/cms/pdfDocument/12316699/JOA4JJCPRXEAPX3)	PROSECUTION	1
08-06-2018	CTNF	Non-Final Rejection (/api/queries/cms/pdfDocument/12316699/JKEAQ4SURXEAPX4)	PROSECUTION	5
08-06-2018	SRFW	Search information including classification, databases and other search related notes (/api/queries/cms/pdfDocument/12316699/JKEAQ4SWRXEAPX4)	PROSECUTION	1
08-06-2018	FWCLM	Index of Claims (/api/queries/cms/pdfDocument/12316699/JKEAQ4SVRXEAPX4)	PROSECUTION	1
07-24-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JK8P3D0PRXEAPX4)	PROSECUTION	8

05-24-2018	PET.DEC.TC	Petition decision routed to the Technology Center to act on the decision or continue prosecution (/api/queries/cms/pdfDocument/12316699/JHS506PHRXEAPX1)	PROSECUTION	5
04-10-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JFXWMHZBRXEAPX2)	PROSECUTION	2
04-10-2018	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JFXWMHZCRXEAPX2)	PROSECUTION	1
04-09-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JFVFOSU6RXEAPX0)	PROSECUTION	8
04-09-2018	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JFVFOSU7RXEAPX0)	PROSECUTION	1
04-09-2018	PET.SPRE	Petition for review by the Technology Center SPRE (/api/queries/cms/pdfDocument/12316699/JFVFBZ2IRXEAPX1)	PROSECUTION	4
04-09-2018	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/JFVFBZ2KRXEAPX1)	PROSECUTION	1
02-07-2018	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/JDD4XFQVRXEAPX1)	PROSECUTION	12
02-07-2018	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/JDD4XFQYRXEAPX1)	PROSECUTION	3
02-07-2018	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/JDD4XFQURXEAPX1)	PROSECUTION	1
02-07-2018	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/JDD4XFQWRXEAPX1)	PROSECUTION	1
02-07-2018	PET.OP.DEC	Office of Petitions Decision (PTO-90) (/api/queries/cms/pdfDocument/12316699/JDD4XFQTRXEAPX1)	PROSECUTION	7
01-03-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JC7L90M5RXEAPX1)	PROSECUTION	8
01-03-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JC7L8XUCRXEAPX3)	PROSECUTION	5
01-02-2018	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/JC7OA0EGRXEAPX0)	PROSECUTION	8
10-31-2017	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/J9FJQZ9PRXEAPX3)	PROSECUTION	3
10-31-2017	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/J9FJQZ9ORXEAPX3)	PROSECUTION	1
10-31-2017	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/J9FJQZ9RRXEAPX3)	PROSECUTION	1
10-31-2017	PET.OP.DEC	Office of Petitions Decision (PTO-90) (/api/queries/cms/pdfDocument/12316699/J9FJQZ9NRXEAPX3)	PROSECUTION	6
08-30-2017	LET.	Miscellaneous Incoming Letter (/api/queries/cms/pdfDocument/12316699/J77V156BRXEAPX1)	PROSECUTION	1

08-29-2017	RCEX	Request for Continued Examination (RCE) (/api/queries/cms/pdfDocument/12316699/J796W6CYRXEAPX1)	PROSECUTION	2
08-29-2017	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/J77TAN9LRXEAPX0)	PROSECUTION	15
08-29-2017	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/J77TAN9MRXEAPX0)	PROSECUTION	1
08-29-2017	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/J77TAN9ORXEAPX0)	PROSECUTION	3
08-29-2017	CLM	Claims (/api/queries/cms/pdfDocument/12316699/J77TAN9PRXEAPX0)	PROSECUTION	3
08-29-2017	ADS	Application Data Sheet (/api/queries/cms/pdfDocument/12316699/J77TAO0CRXEAPX0)	PROSECUTION	4
08-29-2017	RCEX	Request for Continued Examination (RCE) (/api/queries/cms/pdfDocument/12316699/J77TAO0BRXEAPX0)	PROSECUTION	2
08-29-2017	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/J796W671RXEAPX5)	PROSECUTION	9
08-29-2017	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/J796W74FRXEAPX4)	PROSECUTION	3
08-29-2017	ADS	Application Data Sheet (/api/queries/cms/pdfDocument/12316699/J796W74CRXEAPX4)	PROSECUTION	4
08-29-2017	MES.GIB	Certification of Micro Entity (Gross Income Basis) (/api/queries/cms/pdfDocument/12316699/J796W74BRXEAPX4)	PROSECUTION	2
08-29-2017	AMSB	Amendment Submitted/Entered with Filing of CPA/RCE (/api/queries/cms/pdfDocument/12316699/J796W74ERXEAPX4)	PROSECUTION	1
08-29-2017	CLM	Claims (/api/queries/cms/pdfDocument/12316699/J796W74GRXEAPX4)	PROSECUTION	3
08-29-2017	MES.GIB	Certification of Micro Entity (Gross Income Basis) (/api/queries/cms/pdfDocument/12316699/J77UD873RXEAPX5)	PROSECUTION	2
06-29-2017	PET.OP.DEC	Office of Petitions Decision (PTO-90) (/api/queries/cms/pdfDocument/12316699/J4HJLA7ORXEAPX1)	PROSECUTION	8
06-29-2017	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/J4HJLA7QRXEAPX1)	PROSECUTION	3
06-29-2017	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/J4HJLA7PRXEAPX1)	PROSECUTION	1
06-29-2017	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/J4HJLA7RRXEAPX1)	PROSECUTION	1
08-22-2016	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/ISC7P7GKRXEAPX2)	PROSECUTION	2
08-22-2016	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/ISC7P7GLRXEAPX2)	PROSECUTION	1
08-22-2016	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/ISC39SS0RXEAPX0)	PROSECUTION	2

08-22-2016	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/ISC39SS1RXEAPX0)	PROSECUTION	1
08-08-2016	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/IS0MZUQRRXEAPX3)	PROSECUTION	36
03-07-2016	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/ILI8IXT1RXEAPX3)	PROSECUTION	15
03-07-2016	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/ILI8IXSYRXEAPX3)	PROSECUTION	1
03-07-2016	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/ILI8IXSWRXEAPX3)	PROSECUTION	4
03-07-2016	PET.OP.DEC	Office of Petitions Decision (PTO-90) (/api/queries/cms/pdfDocument/12316699/ILI8IXSZRXEAPX3)	PROSECUTION	7
03-07-2016	OA.APPENDIX	Office Action Appendix (/api/queries/cms/pdfDocument/12316699/ILI8IXT0RXEAPX3)	PROSECUTION	3
03-07-2016	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/ILI8IXSXRXEAPX3)	PROSECUTION	1
02-06-2016	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/IKS6ZQADRXEAPX0)	PROSECUTION	2
02-06-2016	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/IKS6ZQAERXEAPX0)	PROSECUTION	1
08-24-2015	R48.REQ	Request under Rule 48 correcting inventorship (/api/queries/cms/pdfDocument/12316699/IELG5MTOPIXFW4)	PROSECUTION	5
08-24-2015	RFN.REQ	Refund Request (/api/queries/cms/pdfDocument/12316699/IDUF1ZL7PXXIFW4)	PROSECUTION	107
04-30-2014	PETDEC	Petition Decision (/api/queries/cms/pdfDocument/12316699/HUWIR9KUPXXIFW4)	PROSECUTION	4
04-07-2014	CLM	Claims (/api/queries/cms/pdfDocument/12316699/HU1FTQSSPXXIFW4)	PROSECUTION	6
04-07-2014	PET.OP	Petition for review by the Office of Petitions (/api/queries/cms/pdfDocument/12316699/HU1FTQSIPXXIFW4)	PROSECUTION	3
04-07-2014	RCEX	Request for Continued Examination (RCE) (/api/queries/cms/pdfDocument/12316699/HU1FTQVWPXXIFW4)	PROSECUTION	1
04-07-2014	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/HU1FTQW3PXXIFW4)	PROSECUTION	1
04-07-2014	RCEX	Request for Continued Examination (RCE) (/api/queries/cms/pdfDocument/12316699/HU1FTQOTPXXIFW4)	PROSECUTION	1
02-07-2014	ABN	Abandonment (/api/queries/cms/pdfDocument/12316699/HRDLFYC2PXXIFW4)	PROSECUTION	2
10-18-2013	ANE.I	Amendment After Final or under 37CFR 1.312, initialed by the examiner. (/api/queries/cms/pdfDocument/12316699/HMXG8L2DPXXIFW4)	PROSECUTION	1

10-18-2013	CTAV	Advisory Action (PTOL-303) (/api/queries/cms/pdfDocument/12316699/HMXG8L0XPXXIFW4)	PROSECUTION	3
10-02-2013	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/HMRVXTK8PXXIFW4)	PROSECUTION	1
10-02-2013	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/HMLYKIQAPXXIFW4)	PROSECUTION	5
10-02-2013	OATH	Oath or Declaration filed (/api/queries/cms/pdfDocument/12316699/HMLYKIR5PXXIFW4)	PROSECUTION	1
10-02-2013	CLM	Claims (/api/queries/cms/pdfDocument/12316699/HMLYKIQHPXXIFW4)	PROSECUTION	6
10-02-2013	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/HMLYKIQPPXXIFW4)	PROSECUTION	2
10-02-2013	MES.GIB	Certification of Micro Entity (Gross Income Basis) (/api/queries/cms/pdfDocument/12316699/HMLYKIRRPXXIFW4)	PROSECUTION	1
10-02-2013	A.NE	Response After Final Action (/api/queries/cms/pdfDocument/12316699/HMLYKIPHPXXIFW4)	PROSECUTION	1
10-02-2013	XT/	Extension of Time (/api/queries/cms/pdfDocument/12316699/HMLYKIQXPXXIFW4)	PROSECUTION	1
04-02-2013	CTFR	Final Rejection (/api/queries/cms/pdfDocument/12316699/HF1550Z1PXXIFW4)	PROSECUTION	7
04-02-2013	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/HF1550ZSPXXIFW4)	PROSECUTION	11
04-02-2013	FWCLM	Index of Claims (/api/queries/cms/pdfDocument/12316699/HF155100PXXIFW4)	PROSECUTION	1
12-27-2012	A...	Amendment/Req. Reconsideration-After Non-Final Reject (/api/queries/cms/pdfDocument/12316699/HBI88GL1PXXIFW4)	PROSECUTION	1
12-27-2012	ABST	Abstract (/api/queries/cms/pdfDocument/12316699/HBI88GMPPXXIFW4)	PROSECUTION	1
12-27-2012	CLM	Claims (/api/queries/cms/pdfDocument/12316699/HBI88GMHPXXIFW4)	PROSECUTION	4
12-27-2012	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/HBI88GLUPXXIFW4)	PROSECUTION	17
12-27-2012	SPEC	Specification (/api/queries/cms/pdfDocument/12316699/HBI88GM9PXXIFW4)	PROSECUTION	34
12-27-2012	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/HBI88H27PXXIFW4)	PROSECUTION	11
12-27-2012	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/HBI88H2RPXXIFW4)	PROSECUTION	42
12-27-2012	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/HBI88H34PXXIFW4)	PROSECUTION	1

11-27-2012	NTC.A.NONCPL	Notice to the applicant regarding a non-compliant or non-responsive amendment (/api/queries/cms/pdfDocument/12316699/HA17KIA2PXXIFW4)	PROSECUTION	3
09-06-2012	XT/	Extension of Time (/api/queries/cms/pdfDocument/12316699/H71YJ0WXPXXIFW4)	PROSECUTION	1
09-06-2012	ABST	Abstract (/api/queries/cms/pdfDocument/12316699/H71YJ0XNPXXIFW4)	PROSECUTION	1
09-06-2012	CLM	Claims (/api/queries/cms/pdfDocument/12316699/H71YJ0XDPXXIFW4)	PROSECUTION	4
09-06-2012	SPEC	Specification (/api/queries/cms/pdfDocument/12316699/H71YJ0X5PXXIFW4)	PROSECUTION	34
09-06-2012	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/H71YJ0WPPXXIFW4)	PROSECUTION	1
09-06-2012	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/H71YJ0WCPXXIFW4)	PROSECUTION	26
09-06-2012	REM	Applicant Arguments/Remarks Made in an Amendment (/api/queries/cms/pdfDocument/12316699/H71YJ0NFPXXIFW4)	PROSECUTION	17
09-06-2012	A...	Amendment/Req. Reconsideration-After Non-Final Reject (/api/queries/cms/pdfDocument/12316699/H71YJ0M3PXXIFW4)	PROSECUTION	1
09-06-2012	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/H769EP7SPXXIFW4)	PROSECUTION	1
06-08-2012	XT/	Extension of Time (/api/queries/cms/pdfDocument/12316699/H30JFSWBPPXXIFW4)	PROSECUTION	1
03-06-2012	892	List of references cited by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6K95PXXIFW4)	PROSECUTION	1
03-06-2012	CTNF	Non-Final Rejection (/api/queries/cms/pdfDocument/12316699/GZGY6K79PXXIFW4)	PROSECUTION	10
03-06-2012	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6KKBKPPXXIFW4)	PROSECUTION	3
03-06-2012	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6K9EPXXIFW4)	PROSECUTION	11
03-06-2012	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6KCIPXXIFW4)	PROSECUTION	41
03-06-2012	BIB	Bibliographic Data Sheet (/api/queries/cms/pdfDocument/12316699/GZGY6K9PPXXIFW4)	PROSECUTION	1
03-06-2012	FWCLM	Index of Claims (/api/queries/cms/pdfDocument/12316699/GZGY6KBWPXXIFW4)	PROSECUTION	1
03-06-2012	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6KBAPXXIFW4)	PROSECUTION	13
03-06-2012	1449	List of References cited by applicant and considered by examiner (/api/queries/cms/pdfDocument/12316699/GZGY6KB0PXXIFW4)	PROSECUTION	41

03-06-2012	SRNT	Examiner's search strategy and results (/api/queries/cms/pdfDocument/12316699/GZGY6K9YPXXIFW4)	PROSECUTION	4
03-06-2012	SRFW	Search information including classification, databases and other search related notes (/api/queries/cms/pdfDocument/12316699/GZGY6KC6PXXIFW4)	PROSECUTION	1
01-20-2012	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/GXW0MRBYPXXIFW4)	PROSECUTION	41
01-20-2012	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned (/api/queries/cms/pdfDocument/12316699/GXW0MRE4PXXIFW4)	PROSECUTION	1
01-10-2012	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned (/api/queries/cms/pdfDocument/12316699/GXIZ1A9TPXXIFW4)	PROSECUTION	1
01-10-2012	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/GXIZ1A8TPXXIFW4)	PROSECUTION	41
08-20-2010	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/GDBRTGNNPPOPPY5)	PROSECUTION	1
08-20-2010	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/GDBRTGLSPPOPPY5)	PROSECUTION	11
08-20-2010	ARTIFACT	Artifact sheet indicating an item has been filed which cannot be scanned (/api/queries/cms/pdfDocument/12316699/GDBRTGN0PPOPPY5)	PROSECUTION	1
06-17-2010	NTC.PUB	Notice of Publication (/api/queries/cms/pdfDocument/12316699/GAJMF8M5PPOPPY5)	PROSECUTION	1
04-03-2009	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/FTHCHVUOPPOPPY5)	PROSECUTION	13
04-03-2009	TRAN.LET	Transmittal Letter (/api/queries/cms/pdfDocument/12316699/FTHCHVVYPPOPPY5)	PROSECUTION	1
03-27-2009	APP.FILE.REC	Filing Receipt (/api/queries/cms/pdfDocument/12316699/FSSUJ6A0PPOPPY5)	PROSECUTION	3
03-13-2009	PEFR	Applicant Response to Pre-Exam Formalities Notice (/api/queries/cms/pdfDocument/12316699/FSKKZNA4PPOPPY5)	PROSECUTION	3
01-13-2009	APP.FILE.REC	Filing Receipt (/api/queries/cms/pdfDocument/12316699/FPWLPPCDPPOPPY5)	PROSECUTION	3
01-13-2009	NTC.MISS.PRT	Notice to File Missing Parts (/api/queries/cms/pdfDocument/12316699/FPWLPPYFPPOPPY5)	PROSECUTION	2
12-15-2008	OATH	Oath or Declaration filed (/api/queries/cms/pdfDocument/12316699/FP3YBP2CPPOPPY5)	PROSECUTION	5
12-15-2008	SPEC	Specification (/api/queries/cms/pdfDocument/12316699/FP3YBP01PPOPPY5)	PROSECUTION	34
12-15-2008	DRW	Drawings-only black and white line drawings (/api/queries/cms/pdfDocument/12316699/FP3YBP1XPPOPPY5)	PROSECUTION	2
12-15-2008	TRNA	Transmittal of New Application (/api/queries/cms/pdfDocument/12316699/FP3YBOZOPPOPPY5)	PROSECUTION	2

12-15-2008	ADS	Application Data Sheet (/api/queries/cms/pdfDocument/12316699/FP3YBP2SPPOPPY5)	PROSECUTION	3
12-15-2008	ABST	Abstract (/api/queries/cms/pdfDocument/12316699/FP3YBP1JPPOPPY5)	PROSECUTION	1
12-15-2008	CLM	Claims (/api/queries/cms/pdfDocument/12316699/FP3YBP0XPPOPPY5)	PROSECUTION	3
12-15-2008	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/FP3YBOKNPPPOPPY5)	PROSECUTION	1
12-15-2008	IMIS	Miscellaneous Internal Document (/api/queries/cms/pdfDocument/12316699/FP3YBOJQPPOPPY5)	PROSECUTION	1
12-15-2008	IDS	Information Disclosure Statement (IDS) Form (SB08) (/api/queries/cms/pdfDocument/12316699/FP3YBOJFPPOPPY5)	PROSECUTION	3
12-15-2008	WFEE	Fee Worksheet (SB06) (/api/queries/cms/pdfDocument/12316699/FP3YBOK1PPPOPPY5)	PROSECUTION	1

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PATENT TERM ADJUSTMENT

Filing or 371(c) Date:	12-15-2008	Overlapping Days Between {A and B} or {A and C}:	82
Issue Date of Patent:	03-09-2021	Non-Overlapping USPTO Delays:	3535
A Delays:	970	PTO Manual Adjustments:	0
B Delays:	2647	Applicant Delays:	1959
C Delays:	0	Total PTA Adjustments:	1576

PATENT TERM ADJUSTMENT HISTORY

NUMBER	DATE	CONTENTS DESCRIPTION	PTO(DAYS)	APPL(DAYS)	START
254.5	03-09-2021	PTA 36 Months	2647		0.5
254	03-09-2021	Patent Issue Date Used in PTA Calculation			0

252	12-28-2020	Dispatch to FDC		0
251	12-24-2020	Issue Fee Payment Verified		0
250	12-24-2020	Issue Fee Payment Received		0
249	12-23-2020	Printer Rush- No mailing		0
248	12-23-2020	Mailing Corrected Notice of Allowability	13	237
246	12-20-2020	Corrected Notice of Allowability		0
245	12-18-2020	Mail TC Petition Denied / Dismissed		0
243	12-17-2020	Information Disclosure Statement considered		0
242	12-16-2020	Pubs Case Remand to TC		0
241	12-15-2020	TC Petition Denied / Dismissed		0
240	12-15-2020	Mail-Petition Decision - Dismissed		0
239	12-15-2020	Petition Decision - Dismissed		0
238	08-02-2020	Petition Entered		0
237	12-11-2020	Information Disclosure Statement (IDS) Filed		0
236	11-04-2020	Mail Examiner Interview Summary (PTOL - 413)		0

235	11-02-2020	Interview Summary - Applicant Initiated - Telephonic		0
234	11-03-2020	Interview Summary Record		0
233	10-15-2020	Mail Miscellaneous Communication to Applicant	17	231
232	10-13-2020	Miscellaneous Communication to Applicant - No Action Count		0
231	09-29-2020	Miscellaneous Incoming Letter		0
230	10-06-2020	Correspondence Address Change		0
228	09-30-2020	Letter Accepting Correction of Inventorship Under Rule 1.48		0
227	09-30-2020	Filing Receipt - Updated		0
226	09-29-2020	Letter Rejecting Correction of Inventorship Under Rule 1.48		0
225	09-28-2020	Mail Notice of Allowance		0
223	09-27-2020	Notice of Allowance Data Verification Completed		0
219	08-31-2020	Date Forwarded to Examiner		0
218	08-02-2020	Response after Ex Parte Quayle Action		0
217	08-24-2020	Mail Applicant Initiated Interview Summary		0

216	08-20-2020	Interview Summary - Applicant Initiated - Telephonic	0
215	08-20-2020	Interview Summary- Applicant Initiated	0
214	06-22-2020	Mail TC Petition Denied / Dismissed	0
212	06-16-2020	TC Petition Denied / Dismissed	0
211	06-17-2020	Mail-Petition Decision - Dismissed	0
210	06-16-2020	Petition Decision - Dismissed	0
209	12-26-2019	Petition Entered	0
202	06-02-2020	Mail Ex Parte Quayle Action (PTOL - 326)	0
201	05-28-2020	Quayle action	0
199	05-20-2020	Date Forwarded to Examiner	0
198	05-20-2020	Withdrawal of Notice of Allowance	0
197	05-20-2020	Mail Miscellaneous Communication to Applicant	0
196	05-19-2020	Miscellaneous Communication to Applicant - No Action Count	0
195	02-24-2020	Workflow - Drawings Finished	0
191	12-06-2019	Mail Applicant Initiated Interview Summary	0

190	12-05-2019	Interview Summary - Applicant Initiated - Telephonic	0
189	12-05-2019	Interview Summary- Applicant Initiated	0
188	12-04-2019	Application Is Considered Ready for Issue	0
187	12-04-2019	Correspondence Address Change	0
186	11-22-2019	Issue Fee Payment Verified	0
185	11-22-2019	Issue Fee Payment Received	0
184	11-21-2019	Printer Rush- No mailing	0
183	11-21-2019	Printer Rush- No mailing	0
182	11-15-2019	Pubs Case Remand to TC	0
181	11-08-2019	Printer Rush- No mailing	0
180	11-01-2019	Pubs Case Remand to TC	0
179	10-31-2019	Printer Rush- No mailing	0
178	10-31-2019	Printer Rush- No mailing	0
177	10-28-2019	Pubs Case Remand to TC	0
176	10-26-2019	Printer Rush- No mailing	0
175	10-24-2019	Mail PUB other miscellaneous communication to applicant	0

174	10-24-2019	PUB Other miscellaneous communication to applicant	0
173	10-23-2019	Pubs Case Remand to TC	0
171	08-23-2019	Mail Notice of Allowance	0
167	08-22-2019	Notice of Allowance Data Verification Completed	0
165	08-15-2019	Interview Summary - Applicant Initiated - Telephonic	0
163	08-13-2019	Mail Advisory Action (PTOL - 303)	0
162	08-10-2019	After Final Consideration Program Improper Request	0
161	08-10-2019	Advisory Action (PTOL-303)	0
160	07-26-2019	PILOT- Request for After Final Consideration Program	0
159	07-31-2019	Date Forwarded to Examiner	0
158	07-26-2019	Response after Final Action	0
157	06-19-2019	Letter Requesting Interview with Examiner	0
156	06-15-2019	Electronic request for Examiner Interview	0
155	06-27-2019	Mail Applicant Initiated Interview Summary	0

154	06-25-2019	Interview Summary - Applicant Initiated - Telephonic		0
153	06-25-2019	Interview Summary- Applicant Initiated		0
152	06-26-2019	Mail Applicant Initiated Interview Summary		0
151	06-24-2019	Interview Summary - Applicant Initiated - Telephonic		0
150	06-24-2019	Interview Summary- Applicant Initiated		0
149	06-15-2019	Electronic request for Examiner Interview		0
148	04-26-2019	Mail Final Rejection (PTOL - 326)		0
147	04-25-2019	Final Rejection		0
146	03-11-2019	Mail-Petition Decision - Dismissed		0
145	03-08-2019	Petition Decision - Dismissed		0
144	02-17-2019	Date Forwarded to Examiner		0
143	01-29-2019	Response after Non-Final Action	84	136
142	01-09-2019	Mail Applicant Initiated Interview Summary		0
141	01-08-2019	Interview Summary - Applicant Initiated - Telephonic		0

140	01-08-2019	Interview Summary- Applicant Initiated		0
139	11-29-2018	Mail Notice of Informal or Non-Responsive Amendment		0
138	11-28-2018	Date Forwarded to Examiner		0
137.1	11-06-2018	Informal or Non-Responsive Amendment after Examiner Action		0
137	11-06-2018	Response after Non-Final Action		0
136	08-06-2018	Mail Non-Final Rejection	138	131
135	07-24-2018	Petition Entered		0
134	08-02-2018	Non-Final Rejection		0
133	05-31-2018	Date Forwarded to Examiner		0
131	08-29-2017	Request for Continued Examination (RCE)	1519	53
130	05-31-2018	Disposal for a RCE / CPA / R129		0
129	10-18-2013	Mail Advisory Action (PTOL - 303)		0
128	10-17-2013	Advisory Action (PTOL-303)		0
127	05-31-2018	Date Forwarded to Examiner		0
126	05-24-2018	Mail Notice of Rescinded Abandonment		0

125	05-31-2018	Notice of Rescinded Abandonment in TCs	0
124	05-24-2018	Mail-Petition to Revive Application - Granted	0
123	05-24-2018	Petition to Revive Application - Granted	0
122	05-24-2018	Mail-Petition Decision - Dismissed	0
121	05-24-2018	Petition Decision - Dismissed	0
120	05-24-2018	Mail-Petition Decision - Dismissed	0
119	05-24-2018	Petition Decision - Dismissed	0
118	08-29-2017	Petition Entered	0
117	08-29-2017	Petition Entered	0
116	04-09-2018	Petition Entered	0
115	02-07-2018	Mail O.P. Petition Decision	0
113	02-07-2018	Mail-Petition Decision - Dismissed	0
112	02-06-2018	Petition Decision - Dismissed	0
111	02-07-2018	Mail-Petition Decision - Dismissed	0
110	02-06-2018	Petition Decision - Dismissed	0
109	02-07-2018	Mail-Petition Decision - Dismissed	0

108	02-06-2018	Petition Decision - Dismissed	0
107	01-02-2018	Petition Entered	0
106	02-06-2018	O.P. Petition Decision	0
105	01-02-2018	Petition Entered	0
104	01-02-2018	Petition Entered	0
103	10-31-2017	Mail O.P. Petition Decision	0
101	10-30-2017	Mail-Petition Decision - Dismissed	0
100	10-30-2017	Petition Decision - Dismissed	0
99	10-30-2017	Mail-Petition Decision - Dismissed	0
98	10-30-2017	Petition Decision - Dismissed	0
97	08-29-2017	Petition Entered	0
96	10-30-2017	O.P. Petition Decision	0
94	08-29-2017	Petition Entered	0
93	06-29-2017	Mail O.P. Petition Decision	0
91	06-28-2017	Mail-Petition Decision - Dismissed	0
90	06-27-2017	Petition Decision - Dismissed	0
89	06-28-2017	Mail-Petition Decision - Dismissed	0

88	06-27-2017	Petition Decision - Dismissed	0
87	06-28-2017	Mail-Petition Decision - Dismissed	0
86	06-27-2017	Petition Decision - Dismissed	0
85	08-08-2016	Petition Entered	0
84	08-08-2016	Petition Entered	0
83	06-27-2017	O.P. Petition Decision	0
82	08-08-2016	Petition Entered	0
81	03-07-2016	Mail O.P. Petition Decision	0
79	03-04-2016	Mail-Petition Decision - Dismissed	0
78	03-04-2016	Petition Decision - Dismissed	0
77	03-04-2016	Mail-Petition Decision - Dismissed	0
76	03-04-2016	Petition Decision - Dismissed	0
75	03-04-2016	Mail-Petition Decision - Dismissed	0
74	03-04-2016	Petition Decision - Dismissed	0
73	08-24-2015	Petition Entered	0
72	03-04-2016	O.P. Petition Decision	0
71	02-06-2016	Petition Entered	0

70	02-06-2016	Workflow - Request for CPA - Begin		0
69	08-24-2015	Petition Entered		0
68	04-30-2014	Mail-Petition Decision - Dismissed		0
67	04-30-2014	Petition Decision - Dismissed		0
66	12-29-2017	Petition Entered	122	61
64	04-07-2014	Workflow - Request for RCE - Begin		0
63	02-07-2014	Mail Abandonment for Failure to Respond to Office Action		0
61	02-07-2014	Aband. for Failure to Respond to O. A.		0
60	10-18-2013	Mail Advisory Action (PTOL - 303)		0
58	10-17-2013	Advisory Action (PTOL-303)		0
57	10-02-2013	Oath or Declaration Filed (Including Supplemental)		0
56	10-14-2013	Date Forwarded to Examiner		0
55	10-02-2013	Response after Final Action		0
54	10-02-2013	Request for Extension of Time - Granted		0
53	04-02-2013	Mail Final Rejection (PTOL - 326)		0
51	03-29-2013	Final Rejection		0

50	12-27-2012	Information Disclosure Statement considered		0
49	12-27-2012	Substitute Specification Filed		0
48	01-08-2013	Date Forwarded to Examiner		0
47	12-27-2012	Response after Non-Final Action	204	36
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42	09-16-2012	Date Forwarded to Examiner		0
41.1	09-06-2012	Informal or Non-Responsive Amendment after Examiner Action		0
41	09-06-2012	Response after Non-Final Action		0
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36	03-06-2012	Mail Non-Final Rejection	750	0.5
34	03-02-2012	Non-Final Rejection		0
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16	06-19-2009	Case Docketed to Examiner in GAU	0
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14	04-03-2009	Reference capture on IDS	0
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12	12-15-2008	Information Disclosure Statement (IDS) Filed	0
11	04-21-2009	Application Dispatched from OIPE	0
10	04-03-2009	Information Disclosure Statement (IDS) Filed	0
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8	03-27-2009	Filing Receipt - Updated	0
7	03-13-2009	Payment of additional filing fee/Preexam	0
6	01-13-2009	Filing Receipt	0

5	01-13-2009	Notice Mailed-- Application Incomplete-- Filing Date Assigned	0
4	01-02-2009	Cleared by OIPE CSR	0
3	12-29-2008	IFW Scan & PACR Auto Security Review	0
2	12-15-2008	Information Disclosure Statement (IDS) Filed	0
1	12-17-2008	Initial Exam Team nn	0
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The Patent Examination Data system (PEDs) shows the first 25 results in the dataset. To see more results, click the "Request Download" link.

(<http://www.uspto.gov/>)

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Electronic Acknowledgement Receipt

EFS ID:	44033327
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	14-OCT-2021
Filing Date:	15-DEC-2008
Time Stamp:	16:15:27
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	REQUEST_CERTIFICATE_OF_CORRECTION.pdf	793195 9a2cd207b392254cafaae3da3ecd1c108d81dd31	no	178

Warnings:

Information:

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793195

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

In the United States Patent and Trademark Office

Applicant:	John Lewis Guymon Jr
Application Serial Number:	12/316,699
File Date:	December 15, 2008
Patent Number:	10,943,030
Issue Date:	March 9, 2021
Application Title:	Securable Independent Electronic Document
Examiner/GAU:	Kaveh Abrishamkar

37 C.F.R. §1.705(b) - PETITION REQUESTING RECONSIDERATION OF THE PATENT TERM ADJUSTMENT

May 10, 2021

Commissioner for Patents
Office of Data Management Attention: Certificates of Correction Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

This request is submitted for reconsideration of the Patent Term Adjustment ("PTA") of U.S. Patent No. 10,943,030 ("the '030' Patent"), which issued on March 9, 2021 from U.S. Patent Application No. 12/316,699 ("the '699' Application"), filed December 15, 2008. This request is submitted within two month's next business day of the date of issuance of the '030 Patent, under 37 C.F.R. §1.7(a) 'Mother's Day' Sunday.

The '030 Patent was granted 1576 days of PTA. However, it is respectfully submitted that the '030 Patent is entitled to **2427** days of PTA under 35 U.S.C. §154(b) in light of the following remarks. Reconsideration of the PTA granted to the '030 Patent is therefore respectfully requested under 37 C.F.R. §1.705(b).

Applicant includes within this petition statements of facts involved in regard to said reconsideration. It is noted that the '030 Patent is not subject to a terminal disclaimer. The fee set forth in 37 C.F.R. §1.18(e) has been included.

Office 'A' Delay

Under 35 U.S.C. §154(pre-AIA)(b)(1)(A), "Office 'A' Delay", the Office granted a total of **970** days of PTA for its delay in issuing the '030 Patent within three years of the filing date of the '699 Application.¹

Under 35 U.S.C. §154(pre-AIA)(b)(1)(A)(i), the Office granted a total of **750** days of PTA for its delay in mailing a notification under 35 U.S.C. §132 within fourteen months of the filing date of the '699 Application. For the purposes of this request, the Applicant does not dispute the **750** days of delay attributed to the Office but reserves the right to dispute this delay in the future.

Under 35 U.S.C. §154(pre-AIA)(b)(1)(A)(ii), the Office granted a total of **220** days of PTA for its delay in failing to mail a notification under 35 U.S.C. §132 within four months after the date on which reply was filed.²

Applicant does not dispute the **970** days of A-Delay the Office has granted.

Office 'B' Delay

Under 35 U.S.C. §154(b)(1)(B), "Office 'B' Delay", the Office granted a total of **2647** days of PTA for its delay in issuing the '030 Patent within three years of the filing date of the '699 Application.³

The time period from the 3 year mark of 12/16/2011 to the RCE dated 8/29/2017, the Office granted, Applicant believes, a total of **2083** days PTA;

¹ The '030 Application, filed on December 15, 2008, issued as the '030 Patent on March 9, 2021. An RCE was filed August 29, 2017. The three year mark of December 15, 2011 is overlapped by the Office's A-Delay (14 month) 'First Office Action' dated 3/6/2012 by 82 days.

² The Office responded to a Request For Reconsideration submitted August 29, 2017 by issuing a Non-Final Rejection on August 6, 2018, resulting in 220 days of A-Delay.

³ The '030 Application, filed on December 15, 2008, issued as the '030 Patent on March 9, 2021. An RCE was filed August 29, 2017. The three year mark of December 15, 2011 is overlapped by the Office's A-Delay (14 month) 'First Office Action' dated 3/6/2012 by 82 days.

The time period from 8/23/2019 to 3/9/2021 the Office granted, Applicant believes, a total **564** days of PTA for the time period from the 'Notice Of Allowance' to 'Issue Date'.

Applicant does not dispute the **2647** days of B-Delay the Office has granted.

Office 'C' Delay

Under 35 U.S.C. §154(b)(1)(C), "Office 'C' Delay", the Office granted a total of **0** days due to no delays.

Applicant does not dispute the **0** days of C-Delay the Office has granted.

Applicant's Delays

As required under 37 C.F.R. §1.705(b)(2)(iv)(A), Applicant states, below, circumstances during the prosecution of the application that constitute a failure to engage in reasonable efforts to conclude processing or examination of such application as set forth in 37 C.F.R. §1.704; Of relevance thereof, Applicant, in support of said circumstances during prosecution of the application, **STATES** here and now:

Applicant submits he has shown due care and due diligence, duty of candor and good faith toward his continued effort to conclude processing or examination of the '699 Application, wanting continued examination until issuance, having delays unavoidable and unintentional and were written with explanation in all of Applicant's responses.

Applicant true and honestly believes correspondence back and forth with the Office and the actions taken were appropriate and done correctly.

Applicant submits he had established 'Micro entity' status established with the Office October 18, 2013 Advisory action a response having consideration of said 'Micro entity' status.

Applicant, within two (2) months upon receipt of the Notice of Abandonment, filed a Petition To Withdraw Holding of Abandonment. Applicant believes that the 1519 PTA days the Office attributed to Applicant delay for the time period from 4/2/2013 to 8/29/2017 under 37 C.F.R. §1.704(c)(4) should have this Withdraw Holding of Abandonment filing by Applicant considered when considering the period of adjustment set forth in 37 C.F.R. §1.703.

Applicant, during multiple discussions with the Attorney Advisor who signed the April 30, 2014 petition decision, discussed the filing of the Correction of Inventorship petition.

The Correction of Inventorship petition filed 8/24/2015 included all the necessary items for a grantable decision. Applicant feels and believes that the delay duration from the filing of the said Correction Of Inventorship petition until the granting of the 'RCE' filed 8/29/2017 was improperly attributed as Applicant delay for some of the delay 'sub' periods during said duration other than any fair periods of time where Applicant asked for extensions of time in conjunction with other correspondence.

- * The petition was handled by the Office of Petitions ('OPET').
- * The petition should have been processed by the Office of Patent Application Processing (OPAP).
- * The petition was filed and processed incorrectly.
- * Applicant was diligent in his submission of fair and proper evidence including a new 'Supplemental Oath and 'Supplemental Declaration of Inventorship.
- * The Petition, reconsiderations and 'Petition To the Director' went unanswered/dismissed according to out dated statute. Stated rules/laws applied to granted patents and not pending application

- * The petition should have been handled by the Office but was not. Attorney Advisor stated in his decision dated 6/29/2017, that

'property rights in this application that can be canceled revoked or annulled only by proceedings that afford due process of law.'

- and that -

'An ex parte proceeding in the USPTO is not the appropriate forum for resolving a dispute concerning the ownership of an application.'

Applicant's Petition for Correction of Inventorship was not entered into the application file correctly

Applicant's Supplemental Declaration of Inventorship, filed with the Correction of Inventorship on 8/24/2015 was not entered into the application file correctly. The new declaration was supplied by the Applicant having the original oath invalid.

Applicant submits that changes to patent rules and statute law were mainstream during the same time as the Applicant's prosecution and other forwarding activities of the application by the Applicant. Applicant submits the patent's correct inventorship was, without question, first priority. Applicant, according to Edition 8, Revision 9, August 2012 rules and laws, proceeded to accumulate supporting evidence for the petition, having the date of the filing, that of August 24, 2015, at a time when Edition 9, Revision 11.2013 was in effect. The requirement in the previous edition for the signature of an inventor that is being deleted was no longer required in the later revision.

Applicant supplied required fees for the RCE (\$300 fee paid) and Revival (\$850 fee paid) petitions by 2/6/2016.

Applicant's petition for Correction of Inventorship should have been granted due to:

- 1) The signature is no longer required from the inventor being deleted.
- 2) Applicant submits that 37 C.F.R. §1.41(pre-AIA)(b) at the time of the filing of the petition indicated "applicant" refers to the inventor or joint inventor.

(b) Unless the contrary is indicated the word "applicant" when used in these sections refers to the inventor or joint inventors who are applying for a patent, or to the person mentioned in § 1.42, 1.43 or 1.47 who is applying for a patent in place of the inventor.
- 3) The petition was detailed, thorough and complete having the Office the ability to ask for additional information if necessary.
- 4) Applicant supplied information on Mr. Litsey to the Office in accordance to 37 C.F.R. §1.47.
- 5) In a pending application the Office decides inventorship issues.

35 U.S.C. § 116, in pertinent part, states: Whenever through error a person is named in an application for patent as the inventor, or through error an inventor is not named in an application, and such error arose without any deceptive intention on his part, the Director may permit the application to be amended accordingly

Applicant's Request Suspension of Rules under 37 C.F.R. §1.183 dated 8/8/2016 should have been granted as it is written the Director can waive requirements of the regulations can be suspended or waived.

Applicant filed, in some cases where due, extensions of time that were dismissed by the Office as to have been paid incorrectly.

Applicant has indicated and served as Owner, Registrant and the primary Applicant with all correspondence up to 4/7/2014 accepted by the Office while having only Applicant's signature.

Applicant submits that petitioning for a Correction of Inventorship and further the correction itself puts the granted patent at considerable risk of completely and

totally nullifying any prospectable reward of said granted patent if the inventorship is later incorrect.


35 U.S.C. § 256, in pertinent part, states:


Whenever through error a person is named in an issued patent as the inventor, or through error an inventor is not named in an issued patent and such error arose without any deceptive intention on his part, the Director may, on application of all the parties and assignees, with proof of the facts and such other requirements as may be imposed, issue a certificate correcting such error.

Applicant submits that the Correction of Inventorship petition should have been granted; thereafter Applicant would have continued as the sole Inventor and Applicant and thus revived and continued the '699 Application's prosecution earlier than the date of the Office's granting the application's revival with the "Decision On Petition" of 5/24/2018. (Note: A total of 1004 days exist from Applicant's 'Correction of Inventorship' petition filed August 24, 2015 and March 24, 2018, the granted Revival petition date and nearly all of the attributed Applicant delay of 1519 days in section 'iv' below, a total of 1508 days, exist between Applicant's first RCE and Revival petition date of April 7, 2014 and March 24, 2018, the granted Revival petition date.)


THEREFORE, given the above, Applicant submits the following for the attributed

Applicant delay(s):

Under 37 C.F.R. §1.704, the Office appears to have attributed 1959 total days of Applicant delay in six (6) separate sections. Applicant respectfully submits that the total Applicant delay should only be only 1108. The Applicant delay day count **difference** Applicant submits of 851 days comes from four (4) sections, those of i, ii, iv, and v, having each sections' difference identified with an arrow  and explained below.


-  (i) Under 37 C.F.R. §1.704(c)(6) the Office appears to have attributed 13 days of Applicant delay from 12/11/2020 (event #237) to 12/23/2020 (event #248) for when


‘Corrected Notice of Allowability’ was mailed. Applicant submits Applicant **should not** be attributed this delay as Applicant was submitting remaining items on previous IDS submissions and further the Office was still reviewing Applicant's petition filed 8/2/2020.

 (ii) Under 37 C.F.R. §1.704(c)(6) the Office appears to have attributed **17** days of Applicant delay from 9/29/2020 (event #231) to 10/15/2020 (event #233). Applicant submits Applicant **should not** be attributed this delay as the communication from the Office on 10/15/2020 was a notification by the examiner of the receipt of previously IDS submissions by Applicant.

(iii) Under 37 C.F.R. §1.704(b) the Office appears to have attributed **84** days of Applicant delay from 8/6/2018 (event #136) to 1/29/2019 (event #143) for Applicant's 'Response after Non-Final Action' to the Office's 'Mail Non-Final Rejection'.

(iv) Under 37 C.F.R. §1.704(c)(4) the Office appears to have attributed **1519** days of Applicant delay from 4/2/2013 (event #53) to 8/29/2017 (event #131). Applicant submits that the total amount of Applicant PTA delay days for this period, from 4/2/2013 to 8/29/2017, should be only **851** days, a difference of **668** days, for the following reason:

 From 6/29/2017 to 8/29/2017 Applicant had 2 months to respond to 6/29/2017 dismissal, taking 2 months time period to respond, therefore the **61** days during which time Applicant had to respond to the Dismissal of Applicant's ‘Waiver of Rules’ petition filed 8/8/2016 **should not** be attributed as Applicant delay.

 From 8/8/2016 to 6/29/2017, the Office took **311** days, which Applicant submits **should not** be attributed as Applicant delay, to respond to Applicant's ‘Petition for Waiver of Rules’ filed 8/8/2016.



From 3/7/2016 to 8/8/2016 Applicant submits had three (3) months to respond to 3/7/2016 dismissal⁴, according to 37 C.F.R. §1.704(b), taking 5 months' time period to respond, having paid for an 'Extension of Time' of three (3) months on 8/8/2016.⁵ Therefore Applicant submits the **92** days during which time Applicant had to respond to the 'Dismissal of Applicant's Correction of Inventorship' petition, filed 8/24/2015, **should not** be attributed as Applicant delay.



From 8/24/2015 to 3/7/2016 the Office took **196** days to respond with a decision on the 'Correction of Inventorship' petition filed 8/24/2015. Applicant had no course of action that could be taken to further prosecution or progression of the application during this time period. Applicant submits this time period **should not** be attributed as Applicant delay.⁶

Note: On 2/6/2016 Applicant filed 'Revival' petition (**\$850 fee paid**)

From 4/30/2014 to 8/24/2015, Applicant submits **481** days were days of Applicant's delay during which time Applicant prepared the 'Correction of Inventorship' petition filed 8/24/2015. This delay was due to the extensive amount of work done by Applicant in preparation of said petition.



From 4/7/2014 to 4/30/2014, the Office took **23** days that Applicant submits **should not** be attributed as Applicant delay to respond to Applicant's petition filed 4/7/2014.

⁴ The dismissed decision of 3/7/2016 stated the time for reply was 2 months. Applicant complied by that time period and an extended time period of three (3) months that was extended by petition of Applicant on 8/8/2016.

⁵ This is the extension where the Office of Petitions Attorney Advisor denied the Extension of Time Petition. Applicant admits the document submitted as the Extension Petition was a copy of a draft Applicant inadvertently submitted. The 1.183 Petition refers to Applicant's three (3) month Extension of Time. Fees paid were based on prior established 'Micro Entity' status certification.

⁶ A Petition to Revive was filed with fees paid of \$850 on 2/6/2016, a month prior to the Office's 3/7/2016 Decision. Applicant's Petition to Revive filed on 2/6/2016 was decided upon by the Office in the 3/7/2016. The Correction of Inventorship petition's decision rendering the application's inventorship had direct impact on future petitions filed by Applicant.

Note: On 4/7/2014 Applicant filed 'RCE' petition (**\$300 fee paid**) and 'Revival' petition (**\$35 fee paid**). Applicant submits that this was the only required fee for the Revival of 'Unavoidable' after researching and reviewing the applicable fee schedules current at that time point.

From 2/7/2014 to 4/7/2014, Applicant submits **59** days were days of Applicant's delay during which time Applicant prepared a response to the 'Notice of Abandonment' dated 2/7/2014.

From 10/18/2013 to 2/7/2014, Applicant submits **112** days were days of Applicant's delay due to circumstances that were out of Applicant's control having said circumstances both unavoidable as well as unintentional.



From 10/2/2013 to 10/18/2013, the Office took **16** days that Applicant submits **should not** be attributed as Applicant delay to respond with an 'Advisory Action' to Applicant's reply dated 10/2/2013.

From 4/2/2013 to 10/2/2013 Applicant had a 3 month period to reply to the 4/2/2013 'Final Rejection' and petitioned for a three (3) extension of time, making Applicant's delay 6 months. The first **91** days appears to be subtracted from this Applicant's delay section total of **1610** days dated from 4/2/2013 to 8/29/2017, resulting in the original **1519** days total the Office attributed Applicant in delay PTA days for this section.

Applicant submits, therefore, that for the time period from 4/2/2013 to 8/29/2017, Applicant's delay should be reduced by a total of **699** days, which is a total of (61+311+92+196+23+16).



(v) Under 37 C.F.R. §1.704(c)(3) the Office appears to have attributed **122** days of Applicant delay from dated 2/7/2014 (event #61) to 12/29/2017⁷ (event #66).

⁷The '699 Application had event #66 originally dated 4/7/2014. It is unsure to Applicant as to why the event's date has changed to a non-existent 'transaction date' value of 12/29/2017 .

Applicant submits Applicant **should not** be attributed this delay. This delay period overlaps Applicant's delay presented in section 'iv' above.⁸

- (vi) Under 37 C.F.R. §1.704(b) the Office appears to have attributed **204** days of Applicant delay from 3/6/2012 (event #36) to 12/27/2012 (event #47).

Within the above mentioned six (6) sections, the Office attributed a total of **1959** days whereas Applicant submits that the total day PTA delay attributed to Applicant should be **1108** days which is the difference of **851** days, a total of the **13** + **17** + **699** + **122** days indicated above in four (4) of the six (6) sections **should not** be attributed as Applicant delay.

Having the Office's 'Non-Overlapping USPTO Delays' day count total of **3535** days and submitted Applicant attributed day count total of **1108** days, the total PTA days for the '030 patent should be **2427** days.

Therefore, in view of the foregoing remarks, it is respectfully submitted that this request is granted to correct the Patent Term Adjustment of the '030 Patent to **2427** days and a certificate of correction be issued indicating the correct PTA calculation.

Applicant has included, for the filing of this petition, the fee set forth in 37 C.F.R. §1.18(e)

Thank you for your kind consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- -

⁸ Applicant is unsure of the origin of this December 29, 2017 event and further as to why the event generates an attributed delay for Applicant that has overlapping dates and a day 'delay' value inconsistent with date day calculations.

Application Serial No. 12/316,699
Patent No: 10,943,030

Request for Reconsideration
Page 12 of 12

- Certificate of EFS Submission - - -

I certify that this correspondence will be sent via EFS to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day, May 10, 2021.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Electronic Patent Application Fee Transmittal

Application Number:	12316699			
Filing Date:	15-Dec-2008			
Title of Invention:	Securable independent electronic document			
First Named Inventor/Applicant Name:	John Lewis Guymon			
Filer:	John Lewis Guymon Jr			
Attorney Docket Number:				
Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
APPLICATION FOR PATENT TERM ADJUSTMENT	1455	1	210	210
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				210

Electronic Acknowledgement Receipt

EFS ID:	42674460
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	10-MAY-2021
Filing Date:	15-DEC-2008
Time Stamp:	09:44:26
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$210

RAM confirmation Number	E202150046162912
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Patent Term Adjustment Petition	PPI0510f.pdf	147412 6fc0f2969ee26f93b6e450044afc1d9ab6f78dca	no	12

Warnings:

Information:

2	Fee Worksheet (SB06)	fee-info.pdf	30395 ca3fe83c72dd57ea6ace84a4b7c32573a1aa08f7	no	2
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Warnings:

Information:

Total Files Size (in bytes):	177807
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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New International Application Filed with the USPTO as a Receiving Office

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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	03/09/2021	10943030		1800

7590 02/17/2021

John Lewis Guymon Jr
iBailBonding.Com
4439 W 6060 S
KEARNS, UT 84118

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 1576 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

John Lewis Guymon JR., Salt Lake City, UT;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Applicant's 'Letter Suggesting Miscellaneous Software Development Changes in Regard to the Listing of Inventor Name Suffixes'

January 12, 2021

Commissioner for Patents
Office of Data Management Attention: Certificates of Correction Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

On December 31, 2020, Applicant submitted a 'letter making error of record' specifying that the said error was that of the missing suffix notation on Applicant's listed name as an inventor. Upon further research Applicant has realized that the suffix on said inventor name might indeed be noted correctly in the file and that the error resides in the software application and/or web site development coding display of such.

With the display of inventor names in areas of the PAIR and PED systems as well as various documents that can become part of the application file, the inventor name(s) are shown but the related suffixes appear to be missing or not show. Some of the areas include the PAIR/PED application data tab and related 'all inventors' link as well as within filed application documents such as an 'EFS Acknowledgment Receipt' where the first name inventor/applicant is listed. Other areas not listed may also contain the display error.

Please accept Applicant's apology in his previous letter indicating a possible error on the Office's part, specifically that of Applicant's name suffix being absent of record. It is understood that if the said name suffix is part of the record and incorrectly displayed in various areas of the Office's electronic system that such error in indication may require time by the Office for fix and update and that in such time the suffix would remain visibly missing but part, in fact, of the record.

Thank you for your kind consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- - - Certificate of EFS Submission - - -

I certify that this correspondence will be sent via EFS to the Office of Data Management Attention: Certificates of Correction Branch, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 11, 2021.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Electronic Acknowledgement Receipt

EFS ID:	41623001
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	12-JAN-2021
Filing Date:	15-DEC-2008
Time Stamp:	15:28:52
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	PEI0111a.pdf	101259 7bb414e081fadcd0c978516a966b0a67f9c160544	no	1

Warnings:

Information:

Total Files Size (in bytes):

101259

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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New International Application Filed with the USPTO as a Receiving Office

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In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Applicant's 'letter making error of record'

- and/or if as deemed appropriate,

**Petition under 37 CFR 1.313(b)(1), 37 CFR 1.322(b)
pursuant to 35 USC 254, 35 USC 256 and/or 35 USC 257**

December 31, 2020

Commissioner for Patents
Office of Data Management Attention: Certificates of Correction Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Comes now Applicant John Lewis Guymon Jr to request the Office correct an error in regard to listed inventor's name, specifically that of the missing suffix of said name, to wit: the 'Jr' indication.

Applicant, upon recent review of the Application file has noticed the error of exclusion of the named inventor's suffix, having said suffix of said inventors distinguished 'Junior' representation. The exclusion of the suffix has occurred only recently without indication and with reason unknown to Applicant.

The listing of the suffix has been indicated by Applicant since the initial filing date and has not been requested changed by Applicant during the complete prosecution period of the application. Further, Applicant has, during said prosecution, submitted three '3' ADS forms indicating and including said suffix. Applicant has always maintained the suffix and has not petitioned the Office for its removal. Finally, the initial 'Filing Receipt' dated January 13, 2009, the 'Updated Filing Receipt' dated March 27, 2009 and the 'Updated Filing Receipt' dated September 30, 2020 all properly indicate and include the said 'Jr' suffix.

The change to the inventors name suffix has been done by the Office without explanation. Applicant respectfully requests the Office update the inventor's name to include the said suffix 'Jr' and would appreciate greatly the change be made prior to patent issue and publication.

Thank you for your kind consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- - - **Certificate of EFS Submission** - - -

I certify that this correspondence will be sent via EFS to the Office of Data Management Attention: Certificates of Correction Branch, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, December 31, 2020.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Electronic Acknowledgement Receipt

EFS ID:	41536427
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	31-DEC-2020
Filing Date:	15-DEC-2008
Time Stamp:	23:34:09
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request/Corrected Patent Application Publications	PWk1231f.pdf	99918 d6b4e3f431cf9f73e3c86df362e03970e856617b	no	1

Warnings:

Information:

Total Files Size (in bytes):

99918

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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United States Patent and Trademark Office

Office of the Chief Financial Officer

Document Code:WFEE

User :C46472

Sale Accounting Date:12/28/2020

Sale Item Reference Number

12316699

Effective Date

12/24/2020

Document Number

I2020BRD19197815

Fee Code

3501

Fee Code Description

UTILITY ISSUE FEE

Amount Paid

\$250.00

Payment Method

Salea

PART B – FEE(S) TRANSMITTAL

Complete and send this form, together with the applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

By fax, send to: (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

John Lewis Guymon Jr
/JohnLewisGuymonJr/
12/24/2020

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
12/316,699	12/15/2008	John Lewis Guymon Jr		1800

TITLE OF INVENTION:

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$250	\$0.00	\$250.00	\$0	12/28/2020

EXAMINER	ART UNIT	CLASS-SUBCLASS
ABRISHAMKAR, KAVEH	3649	713-189000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, 1.
- (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2.
- 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE or COUNTRY)

iBailBonding.Com, a DBA

4439 W 6060 S
 Kearns, UT 84118

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. Fees Submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies _____

4b. Method of Payment (Please first reapply any previously paid fee shown above):

- Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038)
- The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change of Entity Status (from status indicated above)

- Applicant certifying micro entity status. See 37 CFR 1.29. NOTE: Absent a valid Certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
- Applicant asserting small entity status. See 37 CFR 1.27. NOTE: If the application was previously under micro entity status, checking this box will be taken as a notification of loss of entitlement to micro entity status.
- Applicant changing to regular undiscounted fee status. NOTE: Checking this box will be taken as a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature /JohnLewisGuymonJr/
 Typed or printed name John Lewis Guymon Jr

Date 12/24/2020
 Registration No. _____

Electronic Acknowledgement Receipt

EFS ID:	41488293
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	24-DEC-2020
Filing Date:	15-DEC-2008
Time Stamp:	12:43:34
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	ptol85b-complete.pdf	262470 d0b217f3fc8d2e84bc7afcae8fd69fd7d4614709	no	1

Warnings:

Information:

Total Files Size (in bytes):

262470

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**Best Available Copy
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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John Lewis Guymon Jr
/JohnLewisGuymonJr/
12/24/2020

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
12/316,699	12/15/2008	John Lewis Guymon Jr		1800

TITLE OF INVENTION:

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$250	\$0.00	\$250.00	\$0	12/28/2020

EXAMINER	ART UNIT	CLASS-SUBCLASS
ABRISHAMKAR, KAVEH	3649	713-189000

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- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE or COUNTRY)

iBailBonding.Com, a DBA

4439 W 6060 S
Kearns, UT 84118

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. Fees Submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies _____

4b. Method of Payment (Please first reapply any previously paid fee shown above):

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- The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

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- Applicant certifying micro entity status. See 37 CFR 1.29.
- Applicant asserting small entity status. See 37 CFR 1.27.
- Applicant changing to regular undiscounted fee status.

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NOTE: Checking this box will be taken as a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature: /JohnLewisGuymonJr/
Typed or printed name: John Lewis Guymon Jr

Date: 12/24/2020
Registration No.:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 12/23/2020
John Lewis Guymon Jr
iBailBonding.Com
4439 W 6060 S
KEARNS, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
----------	--------------

3649

MAIL DATE	DELIVERY MODE
-----------	---------------

12/23/2020

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

**Corrected
Notice of Allowability**

Application No.
12/316,699

Applicant(s)
Guymon, John Lewis

Examiner
KAVEH ABRISHAMKAR

Art Unit
3649

AIA (FITF) Status
No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. This communication is responsive to the Information Disclosure Statement (IDS) filed on 12/17/2020.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
2. An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
3. The allowed claim(s) is/are 1-4. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

a) All b) Some *c) None of the:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. _____.
3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.


THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892) | 5. <input type="checkbox"/> Examiner's Amendment/Comment |
| 2. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date <u>12/11/2020</u> . | 6. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance |
| 3. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material _____. | 7. <input type="checkbox"/> Other _____. |
| 4. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date. _____. | |


/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon, John Lewis
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC						
Symbol				Type	Version	
G06F	/	21	/	64	F	2013-01-01
G06F	/	21	/	6209	I	2013-01-01

CPC Combination Sets						
Symbol			Type	Set	Ranking	Version
	/		/			

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	17 December 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon, John Lewis
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649


INTERNATIONAL CLASSIFICATION			
CLAIMED			
G06F	/	21	/ 64

NON-CLAIMED			
/		/	

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS

CROSS REFERENCES(S)						
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					


NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	17 December 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon, John Lewis
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

Claims renumbered in the same order as presented by applicant
 CPA
 T.D.
 R.1.47

CLAIMS															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1														
2	2														
3	3														
4	4														

NONE	Total Claims Allowed:	
(Assistant Examiner)	(Date)	4
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	17 December 2020	O.G. Print Claim(s)
(Primary Examiner)	(Date)	1
		O.G. Print Figure
		1A

<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon, John Lewis
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC - Searched*		
Symbol	Date	Examiner


CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST Search History Attached	3/2/2012	K.A.
Updated EAST Search History	08/02/2018	K.A.
Consulted with 101 Panel regarding possible amendments to overcome the 101 rejection.	04/25/2019	K.A.
Updated EAST Search History	08/15/2019	K.A.
Searched G06F 21/64.cpc. with search terms	08/15/2019	K.A.
Searched G06F 21/6209.cpc. with search terms	08/15/2019	K.A.
PALM Inventor Name Search	08/15/2019	K.A.
Considered the IDS filed on 8/2/2020	09/24/2020	K.A.
Considered the IDS filed on 12/11/2020	12/17/2020	K.A.

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<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon, John Lewis
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
G06F	21/64	08/15/2019	K.A.
G06F	21/6209	08/15/2019	K.A.

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NON PATENT LITERATURE DOCUMENTS

Citation List of Previously Submitted References, Not Found by Examiner

Examiners Initials	Cite No.	Citation	T ²
/K.A/	A025	A. SCHMIDT;FRAUNHOFER SIT, http://www.readnotify.com/readnotify/notary.asp , Email notary service with timestamping and online digital document notarization.htm, ReadNotify Email Notary and Timestamping service, gen, Article, 3 of 3, N/A, http://www.readnoticity.com/readnotify/notary.asp , Accessed: 2005-06-07	
/K.A/	F137	S. JOSEFSSON, ED., RFC 3548 - The Base16, Base32, and Base64 Data Encodings.htm, The Base16, Base32, and Base64 Data Encodings, 1-Jul-03, Accessed: 2008-07-02	
/K.A/	F733	libpng 1_2_5 manual.htm, libpng 1_2_5 manual.htm, Accessed: 2006-05-08	
/K.A/	F736	PNG Resources of the Miscellaneous Persuasion.htm, PNG Resources of the Miscellaneous Persuasion.htm, Accessed: 2006-05-09	
/K.A/	H004	_Draft_2008_ecf-v4.0-spec-cd01.pdf, Electronic Court Filing Version 4.0, Accessed: 2010-12-14	
/K.A/	H039	2010-17207.pdf, Medicare and Medicaid Programs; Electronic Health Record Incentive Program, Accessed: 2011-01-27	
/K.A/	H120	Conflicting conformance statements in UBL-XAdES-Profile-1_0-RC2_doc.htm, ubl-security message, Accessed: 2010-11-14	
/K.A/	H262	G04-001.pdf, Electronic Signatures and Records Act (ESRA) Guidelines, Accessed: 2010-06-13	
/K.A/	H275	http://softwaresummit.com/2004/speakers/HatzidakisWebServicesStart.pdf , HatzidakisWebServicesSecurityByExample.pdf, Web Services Security - How does it really work..., Accessed: 2011-01-31	
/K.A/	H282	IBM Smart SOA The Right Choice for Reliable Transactions - White Paper.htm, IBM Smart SOA: The Right Choice for Reliable Transactions, Accessed: 2010-02-21	
/K.A/	H308	itzDATA Solutions and Consultants Making Tomorrows's Technology Work For You Today.mht, Electronic Document Control Systems, Accessed: 2011-01-18	
/K.A/	H321	LexUriServ.pdf, Action Plan on e-signatures and e-identification to facilitate the provision of crossborder public services in the Single Market, Accessed: 2010-12-25	
/K.A/	H331	Mars Is Adobe's Answer to Microsoft's XPS - An XML implementation of PDF syntax - Softpedia.htm, Mars Is Adobe's Answer to Microsoft's XPSAn XML implementation of PDF syntax, Accessed: 2010-02-20	
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/K.A/	H348	Namespaces in XML 1_0 (Third Edition).mht, Namespaces in XML 1.0 (Third Edition) W3C Recommendation 8 December 2009, Accessed: 2010-12-25	
/K.A/	H357	NCSC Technology.mht, The National Consortium On State Court Automation Standards - A White Paper, Accessed: 2010-06-13	
/K.A/	H530	T-REC-T[1].412-199303-I!!PDF-E.pdf, INFORMATION TECHNOLOGY - OPEN DOCUMENT ARCHITECTURE (ODA) AND INTERCHANGE FORMAT - DOCUMENT STRUCTURES, Accessed: 2010-02-02	

/K.A/	H531	T-REC-T[1].415-199303-I!!PDF-E.pdf, INFORMATION TECHNOLOGY - OPEN DOCUMENT ARCHITECTURE (ODA) AND INTERCHANGE FORMAT: OPEN DOCUMENT INTERCHANGE FORMAT, Accessed: 2010-02-02	
/K.A/	H640	XHTML 1_0 The Extensible HyperText Markup Language (Second Edition).mht, XHTML™ 1.0 The Extensible HyperText Markup Language (Second Edition) A Reformulation of HTML 4 in XML 1.0 W3C Recommendation 26 January 2000, revised 1 August 2002, Accessed: 2010-12-25	
/K.A/	H656	XML Signature Best Practices.htm, XML Signature Best Practices W3C Working Draft 04 February 2010, Accessed: 2010-12-13	
/K.A/	J057	cXMLUsersGuide.pdf, cXML User's Guide, Accessed: 2006-04-08	
/K.A/	J102	2447_IBM_LI_IBM_pureXMLforSOA.pdf, IBM pureXML for SOA: Unlocking the business value of information, Accessed: 2008-07-04	
/K.A/	K003	DIR, PNG Inline Tests (1).htm, PNG Inline Tests (1), Accessed: 2003-05-19	
/K.A/	K005	PNG Behavior (WebFX).htm, PNG Behavior (WebFX).htm, Accessed: 2003-05-19	
/K.A/	M006	_1999InternetDraft.doc, Checklist for Internet-Drafts (IDs) submitted for RFC publication, Accessed: 2006-02-04	
/K.A/	M021	REC-xml-c14n-20010315.doc, Canonical XML Version 1.0, Accessed: 2006-03-30	
/K.A/	M023	Microsoft XML Core Services 4.doc, Microsoft XML Core Services (MSXML) , Accessed: 2006-03-30	

/KAVEH ABRISHAMKAR/

12/17/2020



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United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 12/18/2020
John Lewis Guymon Jr
iBailBonding.Com
4439 W 6060 S
KEARNS, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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12/18/2020

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



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John Lewis Guymon Jr
iBailBonding.Com
4439 W 6060 S
Kearns UT 84118

In re Application of: :
John Lewis Guymon Jr. et al. :
Application No. 12/316,699 :
Filed: December 17, 2008 :
For: SECURABLE INDEPENDENT ELECTRONIC :
DOCUMENT :

**DECISION ON PETITION
UNDER 37 CFR §1.181**

This is a decision in response to the petition filed on August 2, 2020 under 37 C.F.R. § 1.181 requesting: “[r]econsideration of the review and confirmation, from the Director, of the Disclosure Documents, Patent Number references and Non-Patent Literature Information Disclosures submitted to and considered by the Office with indication into the application file #12/316,699.”

The petition is **Dismissed**.

Background

A review of the application file shows that:

- On the dates of December 15, 2008, April 3, 2009, August 20, 2010, January 10, 2012, January 20, 2012, September 6, 2012, December 27, 2012, December 26, 2019, and August 2, 2020 Information Disclosure Statements (IDS) were filed.
- On March 6, 2012 the Office mailed a Non-Final Office Action.
- On April 2, 2013 the Office mailed a Final Office Action.
- On August 23, 2019 the Office mailed a Notice of Allowance.
- On December 26, 2019 a Petition was filed.
- On May 20, 2020 the Office withdrew the present Application from issue.
- On June 2, 2020 the Office mailed an Ex Parte Quayle communication.
- On June 22, 2020 the Office mailed a Petition Decision on the Petition filed on December 26, 2019.
- On August 2, 2020, the Present Petition was filed together with a Response to the Ex Parte Quayle communication, and an IDS.
- On September 28, 2020 the Office mailed a Notice of Allowance.
- On October 15, 2020 the Office mailed a Miscellaneous Communication.

Relevant Rules, Statutes and Procedures

37 C.F.R. § 1.98, sets forth, in part:

(a) Any information disclosure statement filed under § 1.97 shall include the items listed in paragraphs (a)(1), (a)(2) and (a)(3) of this section.

(1) A list of all patents, publications, applications, or other information submitted for consideration by the Office. U.S. patents and U.S. patent application publications must be listed in a section separately from citations of other documents. Each page of the list must include:

(i) The application number of the application in which the information disclosure statement is being submitted;

(ii) A column that provides a space, next to each document to be considered, for the examiner's initials; and

(iii) A heading that clearly indicates that the list is an information disclosure statement.

(2) A legible copy of:

(i) Each foreign patent;

(ii) Each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office;

(iii) For each cited pending unpublished U.S. application, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion ...; and

(iv) All other information or that portion which caused it to be listed.

(b)

(1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.

(2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.

(3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.

(4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.

(5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

MPEP § 609.04(a)(II), sets forth, in part:

Electronic means or medium for filing IDSs are not permitted except for: (A) citations to U.S. patents, U.S. patent application publications, foreign patent documents, and non-patent literature (NPLs) in an IDS filed via the Office's Electronic Filing System (EFS) (see MPEP § 609.07); or (B) a compact disc (CD) that has tables, sequence listings, or program listings included in a paper IDS in compliance with 37 CFR 1.52(e). A CD cannot be used to submit an IDS listing or copies of the documents cited in the IDS. For example, published information, such as the visual output of a software program or a video, may be submitted only if reduced to writing, such as in the form of screen shots and/or a transcript.

Decision

In the August 2, 2020 instant petition, Petitioner requests “[r]econsideration of the review and confirmation, from the Director, of the Disclosure Documents, Patent Number references and Non-Patent Literature Information Disclosures submitted to and considered by the Office with indication into the application file #12/316,699.”

The instant petition acknowledges at the beginning of the Statement of Facts that “Applicant’s ‘Disclosure Document’ program’s documents #610142 and #610141 were submitted by Applicant on 12/15/2008 and considered by the Examiner 3/6/2012.” Additionally, it is acknowledged that Applicant submitted copies of these Disclosure Documents on December 26, 2019. Since, the Examiner has considered Disclosure Document’ program’s documents #610142 and #610141, Petitioner’s request is **dismissed as moot** regarding this issue. For Petitioner’s convenience it is further noted that the USPTO discontinued the Disclosure Document Program on February 1, 2007. See, MPEP § 715.07(H).

As discussed in both the Ex Parte Quayle communication mailed on June 2, 2020 and Petition decision mailed on June 22, 2020, the Information Disclosure Statement filed on December 26, 2019 was not considered by the Examiner because many of the citations did not clearly identify the specific prior art documents being referenced. In particular, many of the citations did not comply with 37 C.F.R. § 1.98(b)(5) which requires that “[e]ach publication listed in an

information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.”

In response to the Ex Parte Quayle communication mailed on June 2, 2020, Applicant filed a “revised citation list” (hereinafter referred to as the IDS filed on August 2, 2020). Review of the Application file shows that the Office has reviewed the IDS filed on August 2, 2020. The Notice of Allowance mailed on September 28, 2020 noted that all references in the IDS filed on August 2, 2020 were considered except where lined through. The references that were lined through were all Non-Patent Literature citations. These Non-Patent Literature citations were not considered because copies of the references for those citations could not be located in the file. 37 C.F.R. § 1.98(a)(2) requires Applicant to submit a legible copy of “[e]ach publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office.” As such, based on 37 C.F.R. § 1.98(a)(2), the Office acted properly in not considering the lined through references. Based on the foregoing, Petitioner’s request regarding the IDS filed on August 2, 2020 is **dismissed as moot** regarding all citations that have been considered in the Notice of Allowance mailed on September 28, 2020 and is **dismissed** regarding all citations that have been lined through as not considered.

It is noted that the IDS filed on August 2, 2020 appears to list many of the same citations that were previously submitted in other IDSs; however, the correspondence between the citations listed in the prior IDSs and the citations in the IDS filed on August 2, 2020 cannot be determined with complete certainty because the prior IDSs did not fully comply with 37 C.F.R. § 1.98. Specifically, as mentioned above, many of the previous citations did not clearly identify the specific prior art documents being referenced. Moreover, none of the cited Non-Patent Literature documents were properly submitted until December 26, 2019. Accordingly, all Non-Patent Literature citations prior to December 26, 2019 failed to comply with the requirements of 37 C.F.R. § 1.98(a)(2) and thus could not properly be considered by the Office.

Regarding the IDSs submitted on April 3, 2009 and August 20, 2010, it is noted that the Office considered all U.S. patent documents and U.S. patent application documents in those IDSs. However, per 37 C.F.R. § 1.98(a)(2), the Examiner properly did not consider any of the Non-Patent Literature that was cited. As discussed above, consideration of the Non-Patent Literature could not properly be accomplished, because none of the cited Non-Patent Literature documents were properly submitted until December 26, 2019. Similarly, the Non-Patent Literature in the January 10, 2012 and January 20, 2012 IDSs could not properly be considered for the same reason.¹ Based on the foregoing, Petitioner’s request regarding the IDSs filed on April 3, 2009,

¹ The Examiner’s most recent consideration of the IDSs filed on April 3, 2009 and August 20, 2010 is shown in the file on October 26, 2019. The file on October 26, 2019 shows that the Non-Patent Literature citations in those IDSs were lined through as not considered, since no copies of those citations were available. Similarly, the Examiner’s most recent consideration of the IDS filed on January 20, 2012 is shown in the file on October 31, 2019. Again, with regard to this IDS, the Non-Patent Literature citations were lined through as not considered, since no copied of those citations were available.

The file on November 8, 2019 indicates that the Examiner considered all references except where lined through in the IDS filed on January 10, 2012. However, the Examiner improperly indicated that the Non-Patent Literature citations in this IDS were considered, since the Examiner did not have copies of those citations. As such, the Non-Patent Literature in this IDS was not considered. To clarify the record the Examiner mailed a Miscellaneous

August 20, 2010, January 10, 2012, and January 20, 2012 is **dismissed as moot** regarding all citations that have been considered and **dismissed** regarding all citations that have been lined through as not considered.

Regarding the requirement to submit copies of Non-Patent Literature, Petitioner's discussion of references submitted via "CD content" and discussion of documents being available at URL: <http://www.Intelldoc.Com/npl> is acknowledged; however, "[e]lectronic means or medium for filing IDSs are not permitted except for ... citations to U.S. patents, U.S. patent application publications, foreign patent documents, and non-patent literature (NPLs) in an IDS filed via the Office's Electronic Filing System (EFS)." Quoting, MPEP § 609.04(a)(II) (emphasis added). It is noted that "[a] CD cannot be used to submit an IDS listing or copies of the documents cited in the IDS." Quoting, *Id.* (emphasis added). Thus, any Non-Patent Literature documents must be filed using either EFS or transmitted by facsimile with a certificate of transmission. For Petitioner's convenience, contact information for the USPTO's Patent Electronic Business Center (EBC) is provided below. The EBC assists customers with the various Patent Electronic Systems which include filing their electronic patent application submissions via the Electronic Filing System (EFS). The EBC can be contacted via phone number 866-217-9197 or email address ebc@uspto.gov. Further information about the EBC is available at: <https://www.uspto.gov/learning-and-resources/support-centers/patent-electronic-business-center>.

Regarding, Petitioner's statement that "Applicant's Information Disclosure statements of Patent Numbers and Non-Patent Literature, dated 9/6/2012, have yet to have any indication of consideration by Examiner." It is observed that the IDS filed on September 6, 2012 did not comply with 37 C.F.R. § 1.98(a)(2), because copies of the Non-Patent Literature cited were not submitted at the time that IDS was filed. Further, the IDS filed on September 6, 2012, did not comply with 37 C.F.R. § 1.98(b). The IDS filed on September 6, 2012 did not comply with 37 C.F.R. § 1.98(b), because it did not identify: (1) each U.S. patent "by inventor, patent number, and issue date"; (2) each U.S. patent application publication "by the inventor, application number, and filing date"; and (3) each Non-Patent Literature publication "by publisher, author (if any), title, relevant pages of the publication, date, and place of publication."

Although the IDS filed on September 6, 2012 did not comply with 37 C.F.R. § 1.98 and was not considered, the IDS filed on December 27, 2012, as best understood by the Office, lists the same U.S. patent and U.S. patent application publication documents. The IDS filed on December 27, 2012 was considered by the Examiner and included as an attachment to the Final Office action mailed on April 2, 2013. Additionally, the IDS filed on August 2, 2020, appears as best understood to cite the same Non-Patent Literature documents that were listed in the IDS filed on September 6, 2012. As discussed above, the IDS filed on August 2, 2020 was reviewed as indicated in the Notice of Allowance mailed on September 28, 2020.² Based on the foregoing, as

Communication on October 15, 2020 including a copy of the January 10, 2012 IDS with the Non-Patent Literature citations lined through as not considered, since no copies of those citations were available at the time the IDS was filed.

² Additionally, the Miscellaneous Communication mailed on October 15, 2020 included a copy of the September 6, 2012 IDS as treated by the Examiner.

best understood, in light of the Office's consideration of the IDSs filed on December 27, 2012 and August 2, 2020, all citations from the IDS filed on September 6, 2012, with the exception of those lined through as not considered in the Notice of Allowance mailed on September 28, 2020, have been considered by the Office at this time. Accordingly, Petitioners request regarding the IDS filed on September 6, 2012 is **dismissed as moot** regarding all citations that have been considered in other IDSs and is **dismissed** regarding any other citations, since the IDS filed on Sept. 6, 2012 did not comply with 37 C.F.R. § 1.98.

It is observed that the IDS filed on September 6, 2012 did not clearly identify the specific prior art documents being referenced. As such, the Office is using its best efforts and it is not possible to determine with complete certainty which Non-Patent Literature was previously cited in comparison to that cited and considered in the IDS filed on August 2, 2020. In view of this lack of clarity and certainty, Petitioner is in the best position to determine which if any references have not yet been considered by the Office. Petitioner is further advised to **fully review the timing requirements for filing an IDS** under 37 C.F.R. § 1.97 along with the IDS content requirements under 37 C.F.R. § 1.98. Further, Petitioner is encouraged to contact the Examiner regarding any questions related to the re-submission of any documents. Lastly, **Petitioner is encouraged to avoid re-submitting any IDS citations or copies of documents which have already been considered** by the Examiner.

It is noted that Petitioner's time to pay the issue fee for the Notice of Allowance mailed on September 28, 2020 continues to run. To avoid abandonment the Issue Fee must be paid within **three months** of the September 28, 2020 mail date.

Summary: The present petition is **Dismissed**. Specifically, the petition is **Dismissed as Moot** in regards to the 'Disclosure Document' program documents #610142 and #610141 and all references already considered in the IDSs filed by Applicant. The present petition is **Dismissed** in regards to any unconsidered references cited in the submitted IDSs, based on their non-compliance with 37 C.F.R. § 1.98.

Any questions regarding this decision should be directed to Joseph Rocca, Quality Assurance Specialist, at 571-272-5191.

/KATHERINE A. MATECKI/

Katherine A. Matecki, Director
Technology Center 3600
(571) 272-5250

In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Cover Letter – Submission of Previously Submitted References, Not Found by Examiner, and Corresponding Citation List

December 11, 2020

mail stop: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

As per an applicant/examiner interview discussion, herein included are the twenty-seven previously referenced non-patent literature resources, which upon the most recent review of September 28, 2020 were indicated as missing by the examiner and were therefore struck. It was determined that previous uploads failed due to embedded fonts, file name and/or security issues.

As directed by the examiner, these twenty-seven references are being supplied via EFS, having H275_HatzidakisWebServicesSecurityByExample alternatively accessible via <http://www.intellectoc.com/npl>, and indexed in a condensed citation list for confirmation of consideration and avoidance of redundancy.

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/

John Lewis Guymon Jr
Applicant

- - - Certificate of EFS Submission - - -

I certify that this correspondence will be sent via EFS to the Office Of Application Processing, "Mail Stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, December 11, 2020

/JohnLewisGuymonJr/

John Lewis Guymon Jr
Applicant

Electronic Acknowledgement Receipt

EFS ID:	41363216
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	11-DEC-2020
Filing Date:	15-DEC-2008
Time Stamp:	14:59:22
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	A025_Email_notary_service_with_timestamping_and_online_digital_document_notarization.pdf	142939 f711d12c0e0b7f5e969c8de11e09241bc5ec78fc	no	3
Warnings:					
Information:					
2	Non Patent Literature	F137_RFC_3548_The_Base16_Base32_and_Base64_Data_Encodings.pdf	225993 03dbc5188c45c90f69b3ef290120efc8d518b30a	no	10
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Information:					
3	Non Patent Literature	F733_libpng_1_2_5_manual.pdf	287799 dab3db2ee626cb0881edcab37ee83d8f395133f	no	20
Warnings:					
Information:					
4	Non Patent Literature	F736_PNG_Resources_of_the_Miscellaneous_Persuasion.pdf	262312 c70e2f666aeba252e45817bd311c6671f0b1784c	no	7
Warnings:					
Information:					
5	Non Patent Literature	H004__Draft_2008_ecf-v4_0-spec-cd01.pdf	463450 54c738e30dbc14f62d9ca378c1a1b878f866f396	no	22
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Information:					
6	Non Patent Literature	H039_2010-17207.pdf	623490 3e23396a21267c94b0eb72a0c0b06449b327dc8a	no	20
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7	Non Patent Literature	H120_Conflicting_conformance_statements_in_UBL-XAdES-Profile-1_0-RC2_doc.pdf	185949 f6d9954c85d068eef6d7003a3d137e076029c460	no	2
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Information:					

8	Non Patent Literature	H262_G04-001.pdf	481244	no	20
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9	Non Patent Literature	H282_IBM_Smart_SOA_The_Right_Choice_for_Reliable_Transactions-White_Paper.pdf	118206	no	3
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10	Non Patent Literature	H308_itzDATA_Solutions_and_Consultants_Making_Tomorrowss_Technology_Work_For_You_Today.pdf	180847	no	6
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11	Non Patent Literature	H321_LexUriServ.pdf	277418	no	13
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Information:					
12	Non Patent Literature	H331_Mars_Is_Adobes_Answer_to_Microsofts_XPS_-_An_XML_implementation_of_PDF_syntax_-_Softpedia.pdf	168431	no	2
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16	Non Patent Literature	H530_T-REC-T1_412-199303-IPDF-E.pdf	388666	no	20
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18	Non Patent Literature	H640_XHTML_1_0_The_Extensible_HyperText_Markup_Language_Second_Edition.pdf	381222	no	20
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Warnings:					
Information:					
21	Non Patent Literature	J102_2447_IBM_LI_IBM_pureXMLforSOA.pdf	486359	no	16
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22	Non Patent Literature	K003_PNG_Inline_Tests.pdf	136904	no	2
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24	Non Patent Literature	M021_REC-xml-c14n-20010315.pdf	291042	no	20
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25	Non Patent Literature	M023_Microsoft_XML_Core_Services_4.pdf	55216	no	1
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26	Non Patent Literature	M006__1999InternetDraft.pdf	130599	no	20
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Warnings:					
Information:					
27	Other Reference-Patent/App/Search documents	CondensedCitationList.pdf	109339	no	2
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Warnings:					
Information:					
28	Miscellaneous Incoming Letter	CoverLetter.pdf	98464	no	1
			217e553b3490ddd1519e2793b7952dc1f4b6a620		
Warnings:					
Information:					
Total Files Size (in bytes):			7670247		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

NON PATENT LITERATURE DOCUMENTS

Citation List of Previously Submitted References, Not Found by Examiner

Examiners Initials	Cite No.	Citation	T ²
	A025	A. SCHMIDT;FRAUNHOFER SIT, http://www.readnotify.com/readnotify/notary.asp , Email notary service with timestamping and online digital document notarization.htm, ReadNotify Email Notary and Timestamping service, gen, Article, 3 of 3, N/A, http://www.readnoticity.com/readnotify/notary.asp , Accessed: 2005-06-07	
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K005	PNG Behavior (WebFX).htm, PNG Behavior (WebFX).htm, Accessed: 2003-05-19	
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M021	REC-xml-cl4n-20010315.doc, Canonical XML Version 1.0, Accessed: 2006-03-30	
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 11/04/2020
John Lewis Guymon Jr
iBailBonding.Com
4439 W 6060 S
KEARNS, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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11/04/2020

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon, John Lewis	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) _____.

(2) John Guymon. (4) _____.

Date of Interview: 02 November 2020.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: _____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: N/A.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

The Examiner informed the Applicant that the Information Disclosure Statements filed on 9/6/2012 and 1/10/2012 were submitted for bookkeeping purposes and the references which were considered were included in the Notice of Allowance mailed on 9/28/2020.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
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Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 10/15/2020
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EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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10/15/2020

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UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR/ PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
12/316,699	12/15/2008	Guymon, John Lewis	

John Lewis Guymon Jr iBailBonding.Com 4439 W 6060 S KEARNS, UT 84118		EXAMINER	
		KAVEH ABRISHAMKAR	
		ART UNIT	PAPER
		3649	20201013

DATE MAILED: _____

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The IDS received on 9/6/2012 and the IDS received on 1/10/2012 are attached.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649



Exam Init's	Cite #	Num	Kind Code	Date	Author	Pages Cited
	E001	8191158				
	E002	8191157				
	E003	8190528				
	E004	8185478				
	E005	8185473				
	E006	8181021				
	E007	8141165				
	E008	8140850				
	E009	8130952				
	E010	8112695				
	G001	8086662				
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	E011	8055913				
	E012	8006087				
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	E022	7822201				
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	E023	7809138				
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	E025	7770016				
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	G007	7599985				
	E036	7590853				
	E037	7587369				
	E038	7581092				
	E039	7580988				

Examiner's Signature /KAVEH ABRISHAMKAR/

Date Considered: 10/13/2020

E040	7577609			
E041	7523194			
A007	7454700	Nov-08	Jones , et al.	
A008	7451392	Nov-08	Chalecki , et al.	
C001	7451156	Nov-08	Ornstein , et al.	
C002	7441185	Oct-08	Coulson , et al.	
E042	7430585			
A009	7421645	Sep-08	Reynar	
C003	7418652	Aug-08	Ornstein , et al.	
B038	7415617	Aug-08	Ginter	
A010	7409399	Aug-08	Miyamoto	
A011	7401291	Jul-08	Ramaley , et al.	
E044	7392395			
A012	7389420	Jun-08	Tian	
A013	7386804	Jun-08	Ho , et al.	
C004	7383502	Jun-08	Shur , et al.	
C005	7383500	Jun-08	Shur , et al.	
C006	7373330	May-08	Klebe	
A014	7370206	May-08	Goldman	
A015	7370018	May-08	Bryant, Jr. , et al.	
C007	7366982	Apr-08	Shur et al.	
A016	7366338	Apr-08	Jones , et al.	
G008	7362323			
A017	7360093	Apr-08	de Queiroz; Ricardo L.	
C008	7359902	Apr-08	Ornstein , et al.	
C009	7356143	Apr-08	Morten	
D001	7350238	Mar-08	Abe , et al.	
D002	7350237	Mar-08	Vogel , et al.	
A018	7343339	Mar-08	Harrison, Jr. , et al.	
A019	7340601	Mar-08	Wray	
C010	7330974	Feb-08	Silverbrook , et al.	
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A020	7302467	Nov-07	Matsuda , et al.	
C012	7299408	Nov-07	Daconta , et al.	
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B005	7287284	Oct-07	Hayashi	
A022	7281133	Oct-07	Ginter , et al.	
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C015	7251832	Jul-07	Venters, III , et al.	
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B002	7245740	Jul-07	Suzaki	
E046	7243236			
A026	7239717	Jul-07	Fridrich , et al.	
E047	7233948			

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E048	7213266			
G009	7210035			
B003	7206432		Apr-07	Iwamura
A027	7200597		Apr-07	Grizzard
C017	7199804		Apr-07	Bhattacharyay , et al.
B004	7197156		Mar-07	Levy
A028	7191392		Mar-07	Coar
C018	7191186		Mar-07	Pullen
C019	7181017		Feb-07	Nagel , et al.
C020	7172122		Feb-07	Alleshouse
A029	7165174		Jan-07	Ginter , et al.
E049	7165174			
A030	7162635		Jan-07	Bisbee , et al.
A031	7150399		Dec-06	Barrus , et al.
A032	7149760		Dec-06	Breuer
A033	7143290		Nov-06	Ginter , et al.
E051	7143066			
A034	7143062		Nov-06	Turk , et al.
E052	7136840			
A035	7134020		Nov-06	Eagle , et al.
A036	7133846		Nov-06	Ginter , et al.
E054	7133845			
B006	7130087		Oct-06	Rhoads
E055	7124302			
E056	7120802			
E057	7120800			
B007	7114750		Oct-06	Alasia , et al.
E058	7110983			
E059	7107448			
E060	7100199			
E061	7095854			
E062	7092914			
A037	7092910		Aug-06	Iwamura
A038	7089248		Aug-06	King , et al.
B008	7088843		Aug-06	Pelly
A039	7087216		Jul-06	Jones , et al.
E063	7076652			
E064	7069451			
E065	7062500			
E066	7058805			
A040	7051212		May-06	Ginter , et al.
A041	7051206		May-06	Giest , et al.
A042	7051205		May-06	Horiguchi , et al.
A043	7051002		May-06	Keresman, III , et al.
E068	7050586			
A044	7047419		May-06	Black
G010	7047415			
A045	7047411		May-06	DeMello , et al.

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A046	7047404	May-06	Doonan , et al.
A047	7044381	May-06	Silverbrook , et al.
A048	7043456	May-06	Lindskog , et al.
A049	7043411	May-06	Ronaldson , et al.
A050	7043025	May-06	Allredge
A051	7039812	May-06	Kawan , et al.
A052	7039805	May-06	Messing
D004	7039626	May-06	Hirata
A053	7039616	May-06	Wendenburg , et al.
A054	7036076	Apr-06	Anwar
A055	7036015	Apr-06	Vanstone , et al.
A056	7035914	Apr-06	Payne , et al.
A057	7035830	Apr-06	Shaikh
A058	7035817	Apr-06	Brothers
A059	7035409	Apr-06	Moskowitz
A060	7032224	Apr-06	Kadokia , et al.
D005	7032030	Apr-06	Codignotto
A061	7031943	Apr-06	James , et al.
A062	7028191	Apr-06	Michener , et al.
A063	7028189	Apr-06	Mihcak , et al.
A064	7028187	Apr-06	Rosen
A065	7028184	Apr-06	Mind , et al.
A066	7028182	Apr-06	Kilcommons
A067	7028180	Apr-06	Aull , et al.
A068	7025276	Apr-06	Lapstun , et al.
A069	7024390	Apr-06	Mori , et al.
A070	7021534	Apr-06	Kiliccote
D006	7020839	Mar-06	Hosoda
A071	7020781	Mar-06	Saw , et al.
A072	7020777	Mar-06	Mihcak , et al.
A073	7020645	Mar-06	Bisbee , et al.
A074	7020640	Mar-06	Zucchetti
A075	7017046	Mar-06	Doyle , et al.
A076	7016516	Mar-06	Rhoads
A077	7016495	Mar-06	Scheidt , et al.
A078	7013286	Mar-06	Aggarwal , et al.
D007	7013041	Mar-06	Miyamoto
A079	7012710	Mar-06	Lapstun , et al.
A080	7010697	Mar-06	Byrne , et al.
D008	7010570	Mar-06	Boies , et al.
D009	7007076	Feb-06	Hess , et al.
A081	7006656	Feb-06	Fridrich , et al.
D010	7006243	Feb-06	Simpson , et al.
A082	7003166	Feb-06	Abhyankar , et al.
A083	7003132	Feb-06	Rhoads
A084	7000186	Feb-06	Gropper , et al.
A085	7000110	Feb-06	Terao

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A086	7000105	Feb-06	Tallent, Jr. , et al.
A087	6999936	Feb-06	Sehr
A088	6996723	Feb-06	Kyojima , et al.
A089	6993656	Jan-06	Peyravian , et al.
A090	6993655	Jan-06	Hecht , et al.
A091	6993153	Jan-06	Bradley
A092	6991174	Jan-06	Zuili
A093	6991154	Jan-06	Silverbrook , et al.
A094	6991153	Jan-06	Silverbrook , et al.
A095	6990684	Jan-06	Futamura , et al.
A096	6990504	Jan-06	Powell , et al.
A097	6990480	Jan-06	Burt
A098	6988279	Jan-06	Kanevsky
A099	6988199	Jan-06	Toh , et al.
A100	6985888	Jan-06	Cordery , et al.
A101	6985886	Jan-06	Broadbent , et al.
A102	6985589	Jan-06	Morley , et al.
A103	6983309	Jan-06	Yoshizawa
A104	6982625	Jan-06	Chainer , et al.
A105	6981151	Dec-05	Groeneyeld , et al.
A106	6978366	Dec-05	Ignatchenko , et al.
A107	6976172	Dec-05	Girard
A108	6976165	Dec-05	Carpentier , et al.
A109	6975992	Dec-05	Tucker , et al.
A110	6975915	Dec-05	Robitaille , et al.
A111	6975746	Dec-05	Davis , et al.
A112	6975733	Dec-05	Choi , et al.
A113	6973646	Dec-05	Bordawekar , et al.
A114	6973444	Dec-05	Blinn , et al.
D011	6972763	Dec-05	Millett , et al.
A115	6970836	Nov-05	Paltenghe , et al.
A116	6968455	Nov-05	Okayasu , et al.
A117	6968319	Nov-05	Remington , et al.
A118	6968058	Nov-05	Kondoh , et al.
A119	6966048	Nov-05	Bowers
A120	6965904	Nov-05	Bankert , et al.
A121	6961902	Nov-05	Anecki , et al.
A122	6961854	Nov-05	Serret-Avila , et al.
B009	6961441	Nov-05	Hershey , et al.
B010	6959100	Oct-05	Rhoads
A123	6954542	Oct-05	Miyake , et al.
E070	6950867		
E071	6948070		
D012	6947162	Sep-05	Rosenberg , et al.
A124	6940995	Sep-05	Choi , et al.
D013	6940870	Sep-05	Hamlin
E072	6938021		
D014	6925597	Aug-05	Anwar

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	B011	6915433		Jul-05	Barber	
	D015	6912462		Jul-05	Ogaki	
	D016	6910068		Jun-05	Zintel , et al.	
	C021	6908034		Jun-05	Alleshouse	
	D017	6904454		Jun-05	Stickler	
	D018	6904176		Jun-05	Chui , et al.	
	D019	6900905		May-05	Simpson , et al.	
	A126	6892947		May-05	Jam , et al.	
	A127	6892352		May-05	Myers	
	B012	6880755		Apr-05	Gorbet , et al.	
	A128	6862599		Mar-05	King	
	B013	6859155		Feb-05	Kondo , et al.	
	G012	6857124				
	B014	6850624		Feb-05	Shinoda , et al.	
	A129	6834209		Dec-04	Potz , et al.	
	E073	6832316				
	B015	6826291		Nov-04	Yoshiura , et al.	
	B016	6807285		Oct-04	Iwamura	
	E074	6785815				
	B017	6782509		Aug-04	Hirayama , et al.	
	A130	6748380		Jun-04	Poole , et al.	
	B018	6748362		Jun-04	Meyer , et al.	
	B019	6731775		May-04	Arcin	
	D003	6717573		Apr-04	Shahjoian , et al.	
	A131	6714202		Mar-04	Dorrell	
	B020	6678390		Jan-04	Honsinger	
	B021	6678389		Jan-04	Sun , et al.	
	A132	6658568		Dec-03	Ginter , et al.	
	C022	6643383		Nov-03	Dugelay	
	E076	6640304				
	E077	6618484				
	C023	6616702		Sep-03	Tonkin	
	G013	6616701				
	A133	6535981		Mar-03	Shimizu	
	A134	6532476		Mar-03	King	
	C024	6507858		Jan-03	Kanerva , et al.	
	D020	6469692		Oct-02	Rosenberg	
	A135	6456726		Sep-02	Yu , et al.	
	E078	6449367				
	E079	6427140				
	A136	6418448		Jul-02	Sarkar	
	E080	6389402				
	G014	6381696				
	A137	6367013		Apr-02	Bisbee , et al.	
	E081	6363488				
	B022	6354630		Mar-02	Zhang , et al.	
	B023	6343138		Jan-02	Rhoads	

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	E082	6339765				
	B024	6334187		Dec-01	Kadono	
	B025	6307950		Oct-01	Powell , et al.	
	E083	6292569				
	B026	6278791		Aug-01	Honsinger	
	E084	6253193				
	A138	6246998		Jun-01	Matsumori	
	E085	6240185				
	E086	6237786				
	A139	6237096		May-01	Bisbee , et al.	
	A140	6209095		Mar-01	Anderson , et al.	
	A141	6192138		Feb-01	Yamadaji	
	E087	6185683				
	E088	6157721				
	C026	6138119		Oct-00	Hall , et al.	
	E090	6125349				
	A142	6112185		Aug-00	Walker , et al.	
	E091	6112181				
	A143	6065026		May-00	Cornelia , et al.	
	B027	6055321		Apr-00	Numao , et al.	
	A144	6047374		Apr-00	Barton; James M.	
	B028	6031914		Feb-00	Tewfik , et al.	
	A145	6006328		Dec-99	Drake	
	B029	6005643		Dec-99	Morimoto , et al.	
	E092	5982891				
	A146	5960411		Sep-99	Hartman , et al.	
	E093	5949876				
	B030	5946414		Aug-99	Cass , et al.	
	E094	5943422				
	E095	5920861				
	E096	5917912				
	E097	5915019				
	E098	5910987				
	B031	5894568		Apr-99	Falker	
	E099	5892900				
	A147	5887130		Mar-99	Doi , et al.	
	A148	5884272		Mar-99	Walker , et al.	
	B032	5870499		Feb-99	Bender , et al.	
	B033	5859920		Jan-99	Daly , et al.	
	A149	5855008		Dec-98	Goldhaber , et al.	
	A150	5845265		Dec-98	Woolston	
	B015	5838906				
	B034	5822436		Oct-98	Rhoads	
	A151	5806072		Sep-98	Kuba , et al.	
	A152	5794207		Aug-98	Walker , et al.	
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Application Number: 12/ 316,699

Filing Date: 12/15/2008

Named Inventor: John Lewis Guymon Jr

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B035	5689587	Nov-97	Bender , et al.
A155	5668945	Sep-97	Ohba , et al.
A156	5619571	Apr-97	Sandstrom , et al.
A157	5615268	Mar-97	Bisbee , et al.
E100	5410598		
A158	5375197	Dec-94	Kang
B036	5149140	Sep-92	Mowry, Jr. , et al.
E101	5050213		
A159	5022080	Jun-91	Durst , et al.
A160	5016009	May-91	Whiting , et al.
E102	4977594		
G016	4847604		
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B037	4790566	Dec-88	Boissier , et al.
A161	4751740	Jun-88	Wright
A162	4701745	Oct-77	Waterworth
A163	4631603	Dec-86	Ryan
A164	4264808	Apr-81	Owens , et al.
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F020	20100217994		
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F030	20080250241			
H002	20080163038			
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F043	20080107264			
F044	20080103805			
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F046	20080052541			
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F048	20080034231			
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F066	20070271463			
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F068	20070261125			
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Application Number: 12/ 316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: 2494
Examiner: KAVEH ABRISHAMKAR

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	F076	20070064943				
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	A001	20070011457		Jan-07	Dubinsky, Andrew M. ; et al.	
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	F082	20060224903				
	F083	20060218651				
	F084	20060212722				
	F085	20060212370				
	F086	20060206397				
	F087	20060200392				
	F088	20060174326				
	A002	20060150151		Jul-06	Dubinsky, Andrew M.	
	H003	20060136719				
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	A003	20060080599		Apr-06	Dubinsky, Andrew M.	
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	C029	20040237040	A1	Nov-04	Malkin, Wayne Allan ; et al.	
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	F103	20030163431				
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	F105	20030088784				
	F106	20030069749				
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	F108	20030066337				
	B001	20030046274		Mar-03	Erickson, John S. ; et al.	
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	C031	20020066019	A1	May-02	Amonou, Isabelle ; et al.	
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	A004	20010039524		Nov-01	Harrison, Shelton E. JR. ; et al.	
	H009	20010030651				
	C033	20010027459	A1	Oct-01	Royal, Gregory T.	
	F116	20010026618				

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2009-12-11	687468	4647ad	A002 Se		A002	Secure Programming for Linux and Unix HOWTO - 11.5 Cryptoc
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2009-12-11	18220	f18613	A007 Cd		A007	Colorado Implements Level 3 Electronic Recording
2004-09-14	158116	ccbc9e	A008 Md		A008	The Model Notary Act
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2006-03-23	209408	d29ed0	A011 oa		A011	DSS Use Case Requirements Analysis
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2006-03-19	347742	2e1d98	A019 Nd		A019	A Position on Misleading Usage of Notary Terms in the Elect
2004-09-14	128516	71acb5	A020 di		A020	A Position On Digital Signature Laws And Notarization
2006-03-19	23250	ab3c86	A021 ir		A021	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR
2006-03-18	88458	27b8d6	A022 ZC		A022	Cumulative Notarization for Long-term Preservation of Digit
2009-12-11	37765	1d0f8d	A024 Re		A024	Requirements for Data Validation and Certification Services
2005-06-07	27501	49a0c3	A025 En		A025	ReadNotify Email Notary and Timestamping service
2009-12-11	25426	46c080	A026 pa		A026	Long term electronic signature os documents retention
2009-12-11	10633	acb5ab	A029 Ir		A029	Initial eNotary Signature Candidate Profiles
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2005-11-06	209058	1b3d30	A033		A033	XML-Signature Syntax and Processing
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				D021	North Carolina Passes Histori Legislation on Electronic Not
				D022	Pennsylvania Logs On to New Statewide eNotarization System
				D023	Historic Forum Brings Leaders and Industry Together on Elec
				D025	Safeguarding the
				D028	XML Advanced Electronic Signatures (XAdES)
				D029	Electronic
				D031	Justice Information Sharing Resource Directory:
				D032	The Global Justice Reference Architecture (JRA) Web Service
				D034	Global Justice Information Sharing Initiative:
				D035	The Justice Reference Architecture (JRA) Specification
				D036	Bond Document
				D037	Information-Led Policing Research, Technology Development,
				D038	System puts data at officers' fingertips
				D039	Department of Technology Services (DTS)
				D040	Law Enforcement Information Exchange Package Documentation
				D041	Building Exchange Content Using the Global Justice XML Data
				E002	XML Paper Specification
				E003	System.Security.Cryptography.Xml Namespace
				E004	Designing Extensible, Versionable XML Formats
				E005	Versioning XML Vocabularies
				E006	Construct secure networked applications with certificates,
				E008	A Beginner's Guide to the XML DOM
				E009	Getting Started With XML Security
				E011	XML Namespaces by Example
				E012	A Quick Guide to XML Schema-Part 2
				E013	Chapter 16: cXML Digital Signatures
				E015	Supreme Court of Canada

INFORMATION DISCLOSURE
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Literature Documents
Sheet (15) of (15)

Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: 2494
Examiner: KAVEH ABRISHAMKAR

				E016	SecureXML Digital Signature & Encryption
				E017	Online Carpentry: Refinishing Your Table of Contents
				E019	CDO for NT Server (CDONTS)



In the United States Patent and Trademark Office

1/Fm

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

I will be sending cleaner / updated version in a few days.

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ACD might NOT be expected up date in mail 1/4/2012

Also: The back side of this in notes that if possible, could you send a copy & return to John Guymon 1635 E 9080S SE UT 84121

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699.

This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

<u>Description</u>	<u>Filename</u>	<u>MD5Sum Checksum</u>
This cover letter	ICc0103e.doc	n/a
Excel spread sheet – Disclosure details	Idc0103b.xls	deb4e05826fe5e95554e23fc8e435160
Disclosure reports (3)	Paper form;	n/a

(Sorted via Title, Date and Cite#)

(Sorts allow ease of reference as well as time line analysis.)

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr
Telephone: (801) 943-8461
Cell: (801) 502-1828

Also #2: For the likes of me I couldn't get CD to write properly - only had 1 CD today, and needed to send by today Thanks.

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant: *[Signature]*

It's almost like --- where's the CD?

I distinctly remember copying the "CD" which was a copy of the CD sent to USPTO

Patent #s { 719 4435
7818
set -> printed (this "file set" was apparently as way

Needs to find the Patent # ref XLS file! latest & might be missed

Update to Search

Sort	DATE	"TITLE" (Filename...)	Cite#	File Type	Description	Notes
				TXT	F1 (date) F_od	3 reports
				TXC	F2 (name) F-on	2 "file lists"
				TXT	F3 (mids)	1 mids list
				XLS	"XLS" (info)	XLS for <u>Info</u>
				DOC	letter ".doc"	letter for <u>Info</u>
				PDF	(?@all)	1 report - all via CITE # (includes <u>Dugs</u>) includes <u>OPEN ONES</u>

[Can't do @ file level]

C090331a.doc

(did naming wrong)

naming updated to

IC00103a.doc
Info Cover 2012 Jan 3rd
IC c 01 03 a.doc

Orig (printed)

was: IF 90331i - printed.xls 3/31/2009 11:15 pm
as IF90331i.xls is the original

Pa 90331d - printed.xls
as Pa 90331d.xls

3/31/2009 10:56 pm

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STATEMENT BY APPLICANT
1/2/2012 9:43 PM
Literature Documents
Sheet (1) of (13)



Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

2009-12-11	131361	502e1d	A001 ue	Y		A001	Uniform Electronic Transactions Act (1999)
2009-12-11	687468	4647ad	A002 Se	Y		A002	Secure Programming for Linux and Unix HOWTO - 12.5 Cryptoc
2009-12-11	109196	d0e40e	A004 as	Y		A004	ASP File Upload using VBScript
2009-12-11	41984	d746c4	A005 Mo	Y		A005	Modeling biz docs in XML
2009-12-11	18220	f18613	A007 Co	Y		A007	Colorado Implements Level 3 Electronic Recording
2004-09-14	1581161	ccbc9e	A008 Mo	Y		A008	The Model Notary Act
2009-12-11	52537	bfa53c	A009 DS	Y		A009	Infomosaic: The Easy to Use Digital Signature
2006-03-23	209408	d20ed0	A011 oa	Y		A011	DSS Use Case Requirements Analysis
2007-10-14	42681	9ae28e	A012 en	Y		A012	Notaries and Electronic Notarization
2004-09-14	209408	fea314	A014 ar	Y		A014	The Legal Requirements for Creating Secure and Enforceable
2009-12-11	499713	986db0	A015 no	Y		A015	The Free Introductory Signing Agent Training Course
2009-12-11	62475	b6e36e	A016 no	Y	d	A016	The Notary Office and Its Impact in The 21st Century
2009-12-11	84227	a39be2	A017 re	Y	d	A017	REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in
2006-03-19	12634	0b151a	A018 Di	Y		A018	A Presentation To The MULTI-STATE DIGITAL SIGNATURE SUMMIT
2006-03-19	347742	2e1d98	A019 no	Y		A019	A Position on Misleading Usage of Notary Terms in the Elect
2004-09-14	128516	71acbf	A020 di	Y		A020	A Position On Digital Signature Laws And Notarization
2006-03-19	23250	ab3c86	A021 in	Y		A021	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR
2006-03-18	88458	27b8d6	A022 20	Y		A022	Cumulative Notarization for Long-term Preservation of Digit
2009-12-11	37765	1d0f8d	A024 Re	Y		A024	Requirements for Data Validation and Certification Services
2005-06-07	27501	49a0c3	A025 Em	Y		A025	ReadNotify Email Notary and Timestamping service
2009-12-11	25426	46c080	A026 pa	Y		A026	Long term electronic signature os documents retention
2009-12-11	10633	acb5ab	A029 In	Y		A029	Initial eNotary Signature Candidate Profiles
2005-06-07	134507	52a6ad	A030 pd	Y		A030	Notarized Digital Signature
2009-12-11	25127	a60c3e	A031 Re	Y		A031	The Electronic Notarization System Based on the Notarizatio
2006-03-10	577195	1fded6	A032 Bu	Y		A032	The Business Case for Electronic Signing
2005-11-06	209058	1b3d30	A033 7	Y		A033	XML-Signature Syntax and Processing
2009-12-11	24003	f5b5c0	A035 Ve	Y		A035	Software Publisher Digital Ids for Microsoft Authenticode
2009-12-11	34241	4a8b92	A038 EL	Y		A038	Electronic Signatures in Global and National Commerce Act
2009-12-11	23870	e65577	A041 RS	Y		A041	What is digital timestamping?
2009-12-11	18921	bc74a6	A043 Li	Y		A043	Howto: PGP and GnuPG
2009-12-11	17406	b6b791	A044 Cr	Y		A044	Cryptography, PGP and Pine
2009-12-11	25420	44a968	A045 Tu	Y		A045	Introduction to Public Key Cryptography
2009-12-11	60606	ee874d	A047 Ve	Y		A047	Guide To Securing Intranet And Extranet Servers
2009-12-11	80943	e3f917	A050 Ti	Y		A050	DigiStamp - The Trusted Third-Party Time Authority
2009-12-11	12,285	aab601	B001 Th	Y		B001	The Story of PNG?
2009-12-11	21,581	9ee6e0	B002 PN	Y		B002	Current Status of PNG
2008-04-28	15,330	cd382f	B003 PN	Y		B003	PNG: The Definitive Guide
2008-09-05	33,812	6ec963	B005 H1	Y		B005	History of the Portable Network Graphics (PNG) Format
2006-03-04	338,944	690833	B012 XM	Y		B012	Digital Signature Tutorial
2009-12-11	452,676	6aa04e	B028 E-	Y		B028	E-Sign and UETA: What Should States Do Now?
2009-12-11	6,846,266	01589f	B030 20	Y		B030	No Sure Thing
2009-12-11	12,006	b21c78	B031 hi	Y		B031	optiPNG - Revision History
2004-09-21	31,079	c26fec	C012 Un	Y		C012	Uniform Electronic Transactions Act
2009-12-11	19,159	424d44	C013 Or	Y		C013	Authentication of Notarizations
2003-05-13	31,069	5d2068	C017 As	Y		C017	Generate PKCS#7 Signatures and Envelopes
2009-12-11	87,925	1535a3	C018 PN	Y		C018	PNG Transparency in Internet Explorer
2009-12-11	32,265	576797	D006 OA	Y		D006	An Introduction To The Oasis LegalXML Electronic Court Filing
2008-07-22	104,802	bd0416	D020 di	Y		D007	HB 1300
2006-03-18	134,278	c62fb9	D024 en	Y		D024	Electronic Notarization
2006-03-04	30,762	9d8336	D026 eN	Y		D026	eNotarization
2006-03-23	52,327	17b915	D027 DS	Y		D027	Uses cases submitted for consideration
2007-12-28	511,488	2f7fe8	D030 ds	Y		D030	Digital Signature Guidelines
2007-08-16	22,307	721aa8	D033 So	Y		D033	Open XML Court Interface
2005-11-06	179712	3c790a	E007 9	Y		E007	A how-to guide for supporting digital signatures within SOA
2006-03-23	126464	40f30b	E010 Na	Y		E010	Namespaces in XML
2005-11-29	944640	ab146f	E014 ec	Y		E014	LegalXML Electronic Court Filing 3.0

Examiner Signature: /KAVEH ABRISHAMKAR/ Page 1 of 13

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INFORMATION DISCLOSURE
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Literature Documents
Sheet (2) of (13)

Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

2008-04-28	42396	7a4660	E018 PN	Y	E018	PNG Frequently Asked Questions
2008-05-17	213908	da5ea9	F001 pn	Y	F001	png-list 200109.htm
2008-11-04	259949	a58788	F002 Co	Y	F002	Cover Pages Web Services Interoperability Organization (WS-
2008-04-28	32538	ac733b	F003 Hl	Y	F003	History of PNG.htm
2008-08-31	20472	b7dc61	F004 Mo	Y	F004	SMART Docs are Here! Ready For a SMART Envelope?
2008-08-18	104894	c635fc	F005 Po	Y	F005	Portable Document Format
2008-08-18	129521	8ca676	F006 Po	Y	F006	Portable Network Graphics
2008-06-22	84249	682fba	F018 Ad	Y	F018	Adobe Acrobat
2008-06-22	165933	d3d450	F025 Em	Y	F025	Embedding XMP Metadata in Application Files.htm
2008-06-15	5088	574ac4	F031 li	Y	F031	libmng - The MNG reference library & related info
2008-06-21	104095	067db8	F033 No	Y	F033	Notary public
2008-06-21	75893	8249ec	F034 No	Y	F034	NEW JERSEY NOTARY PUBLIC MANUAL
2008-06-15	155211	0a85d7	F038 Us	Y	F038	USING THE IJG JPEG LIBRARY
2008-08-18	85590	9456df	F041 BM	Y	F041	BMP file format
2008-06-21	39102	a773aa	F042 Ex	Y	F042	Extensible Metadata Platform
2008-08-18	101132	6f4f3e	F044 Gr	Y	F044	Graphics Interchange Format
2008-08-18	151985	c5dd5e	F045 JP	Y	F045	JPEG
2008-08-18	114049	9d269b	F046 JP	Y	F046	JPEG 2000
2008-08-18	20282	7a531e	F047 JP	Y	F047	JPEG Network Graphics
2008-08-18	60326	18ca5b	F048 Mu	Y	F048	Multiple-image Network Graphics
2008-08-18	74306	107e35	F052 Ta	Y	F052	Tagged Image File Format
2004-09-21	103858	929d44	F056 19	Y	F056	DIGITAL SIGNATURES The Key to Secure Internet Commerce
2008-08-18	83134	0e6ca0	F057 xm	Y	F057	Revision history of Extensible Metadata Platform
2008-11-04	239629	869017	F061 Pu	Y	F061	Publicly Available Standards.htm
2008-10-10	65927	11d57d	F062 A	Y	F062	A Digital Object Approach to Interoperable Rights Management
2008-11-17	75749	ab33f5	F063 Do	Y	F063	DIS-2000: Deprecated before use?
2008-10-10	43907	48b525	F071 Pr	Y	F071	Preview - SIIA Information Industry Summit 2007.htm
2008-10-28	24528	2c4f15	F072 Cu	Y	F072	Current Patent Practice
2008-10-31	55003	4b2a0b	F074 Ne	Y	F074	Next-Generation Secure Computing Base
2008-10-31	114324	ecf987	F075 Tr	Y	F075	Trusted Computing
2008-10-31	41681	3f6a4b	F077 Tr	Y	F077	Trusted Platform Module
2008-06-25	26239	e8451e	F102 Ab	Y	F102	Adobe Streamlines Workflows for Publishers with New Metadata
2008-07-22	57719	262c54	F103 Ad	Y	F103	Adobe - Creative Suite 3 Web Site Design, Graphic Design,
2008-06-21	28052	b861d3	F104 Ad	Y	F104	Extensible Metadata Platform (XMP) Adding intelligence to me
2008-07-22	67232	a5c1c5	F107 Co	Y	F107	DIG35: Metadata Standard for Digital Images
2008-07-05	13622	5e3619	F111 IP	Y	F111	NewsML-G2
2008-07-04	22774	f72615	F112 IP	Y	F112	IPTC Metadata for XMP
2008-07-22	35211	c610ef	F113 On	Y	F113	ONJava.com -- XML to PDF Oh, FOP It.htm
2008-07-22	33389	3c607b	F114 Op	Y	F114	The Power of Metadata
2008-07-22	170530	045bfe	F118 OW	Y	F118	OWL Web Ontology Language Guide
2008-07-22	27834	1a5a28	F119 Pl	Y	F119	Platform for Internet Content Selection (PICS)
2008-06-22	47553	bb2f12	F120 Re	Y	F120	Resource Description Framework (RDF)
2008-07-04	11101	3e77c7	F123 TI	Y	F123	Image2PDF 3.2 user manual
2008-07-04	12597	466d18	F125 tu	Y	F125	SAA offers screencasts on key topics all photographers invc
2008-07-22	140436	0f1fea	F126 Un	Y	F126	Unicode
2008-07-22	111098	08339e	F127 UT	Y	F127	UTF-8
2008-07-22	45315	b3f89f	F128 XM	Y	F128	The XPath 2.0 Data Model
2008-07-22	73656	847618	F129 XM	Y	F129	What Is XSLT
2008-07-02	52154	ef4e88	F132 Ba	Y	F132	Base64
2008-07-01	229554	392c52	F133 CR	Y	F133	CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW
2008-07-02	42434	0fa6e7	F137 RE	Y	F137	The Base16, Base32, and Base64 Data Encodings
2008-05-16	177143	c660a6	F151 PN	Y	F151	PNG Digital Signatures
2008-05-16	32574	b82173	F155 xm	Y	F155	XML Packaging Working Group Charter
2005-03-13	15885	3a6747	F164 1.	Y	F164	CALIFORNIA CODES
2005-06-07	40698	bea703	F195 E-	Y	F195	ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT
2005-06-07	29638	cc486d	F202 Go	Y	F202	HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?

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 Literature Documents
 Sheet (3) of (13)

Application Number: 12/316,699
 Filing Date: 12/15/2008
 Named Inventor: John Lewis Guymon Jr
 Art Unit: _____
 Examiner Name: _____

2005-06-07	38778	fd9af9	F205 Th	Y	F205	National Notary Association and Safedocs Provide E-Notariza
2005-06-07	31425	90c198	F206 Ti	Y	F206	ter 1. Notaries Public Reform Act
2008-07-22	1791	df9dad	F220 7	Y	F220	7 Steps to Understanding XMP Metadata
2008-06-22	28042	a04d1c	F228 Ad	Y	F228	Adding intelligence to media
2008-06-24	1798	2b83d1	F232 Al	Y	F232	All eyes on how Microsoft pulls off ODF support
2008-06-14	30129	3ae58c	F233 An	Y	F233	Anti machine
2008-06-21	122182	7df6fa	F235 Bu	Y	F235	Building Sustainable Banking Architectures
2008-06-24	18675	ded67	F237 Ca	Y	F237	Captaris Solutions for Financial Services
2008-06-22	49209	ff00d2	F238 Co	Y	F238	Extensible Metadata Platform (XMP)
2008-06-22	45581	e61153	F239 De	Y	F239	Describing and retrieving photos using RDF and HTTP
2008-06-24	70746	b84a4b	F245 Ec	Y	F245	Ecma to create standard out of Microsoft rival to PDF
2008-06-06	20010	209322	F247 Ex	Y	F247	Extensible Markup Language (XML)
2008-06-24	72901	e63dcc	F250 Fr	Y	F250	Free and Cheap Software That Kills the Big Guys
2008-06-24	73921	b874ee	F263 Op	Y	F263	Open source tool released for translating between Microsoft
2008-06-25	17044	8adc56	F266 R	Y	F266	RDF for self-describing images
2008-06-22	377523	42e3d5	F267 RD	Y	F267	RDF Primer
2008-06-06	34409	6b66af	F269 Re	Y	F269	Report on XML Packaging
2008-06-21	60844	dc5aee	F273 Sm	Y	F273	Smart Documents Overview
2008-06-22	23255	43e48f	F275 Th	Y	F275	Adobe turns to suppliers to put InDesign in front of publi
2008-06-24	41187	668830	F276 Th	Y	F276	Luxtrust: which trust ?
2008-06-25	18251	fa013a	F284 W3	Y	F284	Enabling Inferencing
2008-06-06	235023	e6e4b8	F287 XM	Y	F287	XML Signature Syntax and Processing (Second Edition)
2003-05-13	38215	a522f0	F308 28	Y	F308	HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX
2003-05-19	13813	0b742e	F321 In	Y	F321	Explorer versus PNG (AlphaImageLoader)
2005-11-04	28927	0ce300	F329 Di	Y	F329	Regulatory Compliance Using Digital Signature
2004-09-21	35997	479ccc	F350 ES	Y	F350	ESIGN Electronic Commerce and Electronic Signature Law Clar
2004-09-21	108566	59ca99	F351 FL	Y	F351	The 2004 Florida Statutes
2004-09-21	1933312	7b76e1	F353 MS	Y	F353	UNIFORM COMPUTER INFORMATION TRANSACTIONS ACT
2008-04-28	339664	6a99ad	F361 Po	Y	F361	Portable Network Graphics (PNG) Specification (Second Editi
2007-10-14	38014	d8248a	F363 An	Y	F363	An Open-Source System for Electronic Court Filing
2005-09-12	4388	e002ce	F367 in	Y	F367	libxml2 Reference Manual
2003-09-11	213981	4a859d	F373 RE	Y	F373	Extensible Markup Language (XML) 1.0 (Second Edition)
2002-03-11	58960	c4bd9f	F379 zl	Y	F379	zlib 1.1.4 Manual
2006-02-04	4451	7d355b	F390 co	Y	F390	Position Paper on Signed XML
2006-02-04	786296	2b0440	F397 Si	Y	F397	Signing XML Documents and the Concept of "What You See Is
2005-11-06	40032	2dda6a	F420 2	Y	F420	XML-Signature XPath Filter 2.0
2005-11-06	37966	be5a64	F423 5	Y	F423	Supporting Digital Signatures Within SOAP Messages
2005-11-06	43397	300ac9	F425 6	Y	F425	XML security: A who's who
2005-11-06	21317	0334b5	F429 B	Y	F429	Question: The status/design of XML Signatures and Encryptic
2005-11-06	81601	e3b28f	F438	Y	F438	XML Security page
2007-09-16	260520	c89961	F602 Ex	Y	F602	Extensible Markup Language (XML) 1.0 (Third Edition)
2008-05-03	231052	312640	F619 Ex	Y	F619	Extensible Markup Language (XML) 1.0
2008-05-03	30642	297021	F621 XM	Y	F621	Handling Binary Data in XML Documents
2007-12-28	73153	9e5f08	F627 Af	Y	F627	Illinois crosses the bridge
2004-09-21	49314	e3f9b0	F638 20	Y	F638	Laws-2003 Electronic Commerce Legislation
2004-09-21	23975	802eea	F639 20	Y	F639	Laws-2003 Electronic Signatures and UETA Legislation
2004-09-21	10797	ca70a0	F640 Co	Y	F640	Comparison of Federal E-Signature Act and UETA on Key Const
2005-10-15	54470	54b75d	F660 be	Y	F660	Beyond ASP: XML and XSL-based Solutions Simplify Your Data
2005-10-20	117155	7a48da	F661 Cr	Y	F661	Cryptography Demystified
2005-10-15	35156	a71ee4	F691 XM	Y	F691	XML as the API
2005-10-05	56635	03bf45	F703 XM	Y	F703	An Introduction to XML Digital Signatures
2003-02-09	18042	10b0ec	F729 re	Y	F729	readme.html
2006-05-10	13639	3d5f48	F731 Re	Y	F731	RelayHealth - Secure Online Communications Between Doctors
2006-05-22	11187	160ccd	F732 Se	Y	F732	Search - Wikipedia, the free encyclopedia.htm
2006-05-08	143876	288a36	F733 li	Y	F733	libpng 1 2 5 manual.htm
2006-05-09	2942	291d10	F734 PN	Y	F734	PNG Alpha Transparency Page 2

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Literature Documents
Sheet (4) of (13)

Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

2006-05-09	2916	e6d0a1	F735	PN	Y		F735	PNG Alpha Transparency Page.htm
2006-05-09	53673	f68f27	F736	PN	Y		F736	PNG Resources of the Miscellaneous Persuasion.htm
2006-05-08	2202	ei74f7	F737	PN	Y		F737	PNG The Definitive Guide (Online Version).htm
2008-11-04	259949	a58788	G003	Co	Y	d	G003	Web Services Interoperability Organization (WS-I)
2008-11-04	296629	869017	G004	Pu	Y	d	G004	Freely Available Standards
2008-06-22	165933	d3d450	G006	Em	Y	d	G006	Embedding XMP Metadata in Application Files - Draft
2008-06-21	42037	250dc8	G007	Ha	Y		G007	Hague Conference on Private International Law
2008-06-21	51692	965e6c	G009	Na	Y		G009	New California Jurat Law Creates Confusion
2008-06-22	931213	ab2091	G014	xm	Y	d	G014	XMP Adding Intelligence to Media
2010-12-14	927726	ebcc92	H003	A	Y		H003	THE MODEL NOTARY ACT
2010-12-14	582671	c2b0a9	H004	D	Y		H004	Electronic Court Filing Version 4.0
2010-12-23	210944	53e497	H006	D	Y		H006	Electronic Court Filing 4.0 Web Services Service Interactio
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2010-06-13	121900	015de3	H021	04	Y		H021	Document Management Systems
2010-12-03	2857112	b514e4	H024	10	Y		H024	Multiple electronic signatures on multiple documents
2010-01-31	554638	0d7acd	H025	10	Y		H025	Profiles for the OASIS Security Assertion Markup Language (
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2010-04-14	542527	cb46fe	H038	20	Y		H038	Nation At Risk: Policy Makers Need Better Information to Pr
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2010-02-22	114442	847954	H142 cs	Y	H142	Major DoD Agency Selects PDF Converter Professional and Bri
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2007-10-14	123848	189ec3	J075 22	Y	J075	OASIS LegalXML Member Section Electronic Court Filing Techn
2007-12-09	2686358	6cb6e3	J076 eB	Y	J076	Approved Providers Guidelines for Electronic Bond Submissio
2008-05-16	2053927	5cb295	J077 ib	Y	J077	JANUS: An interactive document formatter based on declarati
2008-12-03	928063	f203d3	J078 PN	Y	J078	PNG Digital Signatures Extension Specification
2008-05-16	142117	2fef2a	J079 PN	Y	J079	PNG Digital Signatures Commented Example
2008-06-06	327697	2cf614	J080 OP	Y	J080	EXPLANATORY REPORT ON OFFICE OPEN XML STANDARD (ECMA-376) S
2008-06-21	91749	4c2259	J081 XM	Y	J081	Embedding XMP Metadata in Application XML Files Draft 6-6-02

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2008-06-22	129027	03ffa8	J083 ME	Y	J083	In Swedish ???
2008-06-22	601059	dd3ea4	J085 xm	Y	J085	XMP Specification Jan 04
2008-06-22	931213	ab2091	J086 xm	Y	J086	XMP Specification Sep 2005
2008-06-22	56191	a2004b	J087 xm	Y	J087	XMP Toolkit v 4.1.1
2008-06-22	10834	85b9b4	J088 rf	Y	J088	Tag Image File Format (TIFF) - image/tiff MIME Sub-type Rec
2008-06-22	23681	0361e7	J089 XM	Y	J089	XMP SDK Overview
2008-06-22	408776	407fd6	J090 XM	Y	J090	XMP - Extensible Metadata Platform v1.0 9-14-01
2008-06-22	643753	9761c5	J091 Sa	Y	J091	Going Digital: Why Use Industry Metadata Standards ... and Hc
2008-06-22	235412	933338	J092 wh	Y	J092	A Manager's Introduction to Adobe Extensible Metadata Platf
2008-06-22	230645	3b5736	J094 pd	Y	J094	OpenDocument meta data
2008-06-24	224201	e53465	J096 pn	Y	J096	Chunk Registration Request for Enabling the PNG Standard to
2008-07-04	231922	2ce4c5	J102 24	Y	J102	IBM pureXML for SOA: Unlocking the business value of inform
2008-07-04	796934	865d72	J103 TE	Y	J103	TEXTML Server
2008-07-04	80280	36bcec	J104 M	Y	J104	The Next Generation of BI
2008-07-04	1853538	798862	J105 14	Y	J105	Is a Data Warehouse the Only Option for Business Intelligen
2008-07-04	956945	5bf4fc	J106 21	Y	J106	What's Up, .DOC? ODF, OOXML, and the Revolutionary Implicat
2008-07-04	1164639	d7577b	J107 21	Y	J107	Document Process Management The Case for an Integrated Life
2008-07-04	1126791	e9937b	J108 26	Y	J108	Email Archiving: A Proactive Approach to e-Discovery
2008-07-04	440050	739000	J109 ex	Y	J109	Extending XML-based Services Beyond the Perimeter
2008-07-04	7196630	f45c20	J110 st	Y	J110	Getting More from Your Content with Single Source Publishin
2008-07-04	399848	a89647	J111 11	Y	J111	The Transition from Operations to Business Value Drivers
2008-07-04	326623	c388d9	J112 ar	Y	J112	Architecting the Infrastructure for SOA and XML
2008-07-04	381470	c7b43f	J113 Ip	Y	J113	IPTC Core Schema for XMP
2008-07-04	78536	605c63	J116 Id	Y	J116	IPTC - NAA Subject Reference Scheme
2009-12-11	50435	611166	J117 jp	Y	J117	JPEG 2000 Offers New Opportunities to Enrich Image Content
2008-09-17	33839	8172d2	J119 1	Y	J119	SMART Document Implementation Guide
2008-11-04	235728	25ffe6	J120 oa	Y	J120	Web Services Security SOAP Message Security 1.0
2008-11-04	605228	e1d25c	J121 Se	Y	J121	Security Challenges, Threats and Countermeasures Version 1.
2008-11-10	1170773	0f8632	J123 Su	Y	J123	Supporting Standards in Intelligent Documents
2003-05-19	1658	1264b5	K001 PN	Y	K001	PNG ALPHAIMAGELOADER
2001-10-26	871	bc10db	K002 de	Y	K002	PNG Behavior
2003-05-19	3453	e4e23c	K003 PN	Y	K003	PNG Inline Tests (1)
2003-05-19	5887	8e34d9	K005 PN	Y	K005	PNG Behavior (WebFX).htm
2003-05-19	1128	c0641d	K007 PN	Y	K007	PNG Behavior Demo (WebFX)2.htm
2003-05-19	1605	34cf55	K009 PN	Y	K009	PNGSetup and System Administration.htm
2003-05-14	35457	3f3d48	K010 Co	Y	K010	Using the CryptoAPI for Public/Private Data Exchange
2003-09-19	36864	87a779	M001 as	Y	M001	AspEncrypt 2.0
2006-02-04	263680	22cd0a	M006 l	Y	M006	Checklist for Internet-Drafts (IDs) submitted for RFC publi
2006-02-04	93184	8b78d1	M007 La	Y	M007	Lab 10: Digital Signatures in InfoPath 2003
2006-02-04	84480	fed036	M008 Do	Y	M008	Donald Eastlake on XML digital signatures
2006-02-04	65024	c84fd4	M009 op	Y	M009	openssl
2006-02-05	77824	b0d4c7	M010 SO	Y	M010	SOAP Security Extensions: Digital Signature
2006-02-05	34816	07ec08	M011 Sa	Y	M011	Safeguarding the Identity and Integrity of XML Messages - S
2006-03-04	57344	74793d	M013 DS	Y	M013	DSCA 01-21 Implementation of Electronic Countersignature Pr
2006-03-19	29194	534ccc	M015 No	Y	M015	Notary Online Library » NNA Position Papers
2006-03-30	50176	341c6b	M018 Mi	Y	M018	Infomosaic SecureXML Multiple Signature Demo
2006-03-30	754720	c6a84a	M019 RE	Y	M019	XSL Transformations (XSLT) Version 1.0
2006-03-30	389632	b5b4cc	M020 RE	Y	M020	XML Path Language (XPath) Version 1.0
2006-03-30	209408	78d993	M021 RE	Y	M021	Canonical XML Version 1.0
2006-03-30	23552	221a9a	M023 Mi	Y	M023	Microsoft XML Core Services (MSXML)
2006-03-31	51712	f0cd8f	M024 Wi	Y	M024	Witnessing GenuineDoc digital notarization process
2006-04-08	488448	d4abf4	M025 RE	Y	M025	Cryptographic Message Syntax (CMS)
2006-04-21	44032	7c419c	M026 gl	Y	M026	GJXDM Information Exchange Package Documentation Guidelines
2006-04-21	1396736	84f7ba	M027 GI	Y	M027	GJXDM DataModeler
2006-12-08	57344	d904bc	M028 Am	Y	M028	TRUST AND THE WORLD WIDE WEB

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2007-09-16	96256	e36def	M031	dc	Y	M031	ECF 3.0 Enhancement Proposal
2008-06-24	53760	4fc784	M034	Se	Y	M034	Security Whitepapers
2008-07-22	158720	dfd155	M035	Se	Y	M035	Semantic Web
2008-09-29	111104	4d2811	M036	E1	Y	M036	Electronic Data Interchange
2009-12-11	166463	f4bf21	N006	xd	Y	N006	JPEG 2000 & JP2
2009-12-11	104187	b8861b	N007	di	Y	N007	Color in JPEG 2000
2009-12-11	280560	840fa7	P011	XS	Y	P011	XSL Transformations (XSLT)
2009-12-11	129112	ba9789	P012	XM	Y	P012	XML Path Language (XPath) 1.0
2006-03-30	389632	b5b4cc	P013	RE	Y	P013	XML Path Language (XPath)
2009-12-11	546530	4fdca8	P014	XM	Y	P014	XML Path Language (XPath) 2.0
2009-12-11	231393	0e4f0e	P015	RD	Y	P015	RDFa in XHTML: Syntax and Processing
2009-12-11	247148	9872d0	P017	RE	Y	P017	PNG (Portable Network Graphics) Specification Version 1.0
2009-12-11	50918	8ca4c5	P018	Co	Y	P018	Compound Document by Reference Framework 1.0
2009-12-11	42970	c4f0a5	P019	ES	Y	P019	DIGITAL SIGNATURE STANDARD (DSS)
2009-12-11	74669	0b22d7	P020	rf	Y	P020	Multipurpose Internet Mail Extensions
2009-12-11	79500	b2c736	P021	Ca	Y	P021	Canonical XML
2009-12-11	79933	1e95da	P022	Ex	Y	P022	Exchangeable image file format
2009-12-11	30561	4d3d6f	P024	IP	Y	P024	IPTC Information Interchange Model
2009-12-11	890260	4947c5	P025	Me	Y	P025	Metadata: a simple guide to describing pictures for use in
2009-12-11	164569	703a19	P026	SO	Y	P026	SOAP Version 1.2 Part 1: Messaging Framework
2009-12-11	7099	8fc6dc	P095	[1	Y	P095	JPEG Freely available source code for JPEG
2009-12-11	70584	ffe14d	Q004	Co	Y	Q004	Publishing Requirements for Industry Standard Metadata (PRI
2009-12-11	18107	937643	Q006	Ne	Y	Q006	Newest Version of PRISM is Now Ready for Comment
2009-12-11	33744	407d9f	Q007	Co	Y	Q007	Enhanced Adobe XML Architecture Supports XML/PDF Form Desig
2008-07-04	12597	4c6d18	Q011	tu	Y	Q011	ScreenCast Tutorials
2009-12-11	2470103	5ef584	S002	Ec	Y	S002	Standardizing Information and Communication Systems
2009-12-11	45568	105d4d	S003	Ho	Y	S003	How Does Compound Document Framework Benefit Us?
2009-12-11	47807	9c608b	T044	Yo	Y	T044	Using Namespaces Within Your XML Documents
2005-05-15	42994	d5bd25	U001	jp	Y	U001	Jpeg Source jpegsrcr6b.zip
2009-12-11	255078	59a901	U002	XM	Y	U002	XML Binary Characterization Use Cases
2009-12-11	30719	1e9ef3	U003	We	Y	U003	Metadata Architecture
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2009-12-11	24875	587f2c	U005	Se	Y	U005	Semantic Web Road Map
2009-12-11	21954	91590a	U006	HT	Y	U006	Giving Information About Other Resources in HTML
2009-12-11	10581	31b89e	U007	We	Y	U007	Generic Resources
2009-12-11	14038	c7e6e2	U008	We	Y	U008	Analysing PICS labels as Metadata
2009-12-11	4882	368c01	U009	RD	Y	U009	RDF PNG Icon
2009-12-11	213908	58c351	U010	pn	Y	U010	PNG Group Email correspondence
2009-12-11	4130	454204	V002	Di	Y	V002	Digital Signature Initiative
2009-12-11	23763	8151a1	V003	W3	Y	V003	W3C and Electronic Commerce
2009-12-11	91901	46de21	V004	Un	Y	V004	Universal Commerce Language and Protocol (UCLP) Version 3.0
2009-12-11	136000	895334	V005	SD	Y	V005	SDML - Signed Document Markup Language
2009-12-11	75528	165623	V006	Us	Y	V006	Using P3P for E-Commerce
2009-12-11	59646	9e7213	Y004	Mo	Y	Y004	Model-driven compound document development
2009-12-11	43334	2b383a	Y005	dr	Y	Y005	Requirements for Data Validation and Certification Service
2009-12-11	140741	7f05b8	Y006	Ab	Y	Y006	Ensuring Record Integrity with AbsoluteProofSM
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2001-10-26	871	bc10db	K002 de	Y	K002	PNG Behavior
2002-03-11	58960	c4bd9f	F379 zl	Y	F379	zlib 1.1.4 Manual
2003-02-09	18042	10b0ec	F729 re	Y	F729	readme.html
2003-05-13	32069	5d2068	C017 As	Y	C017	Generate PKCS#7 Signatures and Envelopes
2003-05-13	38215	a522f0	F308 28	Y	F308	HOWTO: Send Digitally Signed Messages By Using CDOSEX
2003-05-14	35457	3f3d48	K010 Co	Y	K010	Using the CryptoAPI for Public/Private Data Exchange
2003-05-19	13813	0b742e	F321 In	Y	F321	Explorer versus PNG (AlphaImageLoader)
2003-05-19	1658	1264b5	K001 PN	Y	K001	PNG ALPHAIMAGELOADER
2003-05-19	5887	8e34d9	K005 PN	Y	K005	PNG Behavior (WebFX).htm
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2003-05-19	3453	e4e23c	K003 PN	Y	K003	PNG Inline Tests (1)
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2003-09-11	213981	4a859d	F373 RE	Y	F373	Extensible Markup Language (XML) 1.0 (Second Edition)
2003-09-19	36864	87a779	M001 as	Y	M001	AspEncrypt 2.0
2004-09-14	128516	71acbf	A020 di	Y	A020	A Position On Digital Signature Laws And Notarization
2004-09-14	209408	feac14	A014 ar	Y	A014	The Legal Requirements for Creating Secure and Enforceable
2004-09-14	1581161	ccbc9e	A008 Mo	Y	A008	The Model Notary Act
2004-09-21	10797	ca70a0	F640 Co	Y	F640	Comparison of Federal E-Signature Act and UETA on Key Const
2004-09-21	103858	929d44	F056 19	Y	F056	DIGITAL SIGNATURES The Key to Secure Internet Commerce
2004-09-21	35997	479ccc	F350 ES	Y	F350	ESIGN Electronic Commerce and Electronic Signature Law Clar
2004-09-21	49314	e3f9b0	F638 20	Y	F638	Laws-2003 Electronic Commerce Legislation
2004-09-21	23975	802eea	F639 20	Y	F639	Laws-2003 Electronic Signatures and UETA Legislation
2004-09-21	108566	59ca99	F351 FL	Y	F351	The 2004 Florida Statutes,,
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2004-09-21	31,079	c26fec	C012 Un	Y	C012	Uniform Electronic Transactions Act
2005-03-13	15885	3a6747	F164 1.	Y	F164	CALIFORNIA CODES
2005-04-24	128327	ab878c	J006 ii	Y	J006	Securing your Microsoft Internet Information Services (MS I
2005-04-24	230011	912762	J005 ss	Y	J005	Securing your Online Data Transfer with SSL
2005-04-24	43101	84dfcc	J004 sg	Y	J004	Step-Up Encryption
2005-04-24	38119	e62d98	J007 en	Y	J007	thawte's Quick Enrollment Guide:
2005-05-15	42994	d5bd25	U001 jp	Y	U001	Jpeg Source jpegsrc6b.zip
2005-06-07	40698	bea703	F195 E-	Y	F195	ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT
2005-06-07	29638	cc486d	F202 Go	Y	F202	HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?
2005-06-07	38778	fd9af9	F205 Th	Y	F205	National Notary Association and Safedocs Provide E-Notariza
2005-06-07	134507	52a6ac	A030 pd	Y	A030	Notarized Digital Signature
2005-06-07	27501	49a0c3	A025 Em	Y	A025	ReadNotify Email Notary and Timestamping service
2005-06-07	31425	90c198	F206 Th	Y	F206	ter 1. Notaries Public Reform Act
2005-09-12	4388	e002ce	F367 in	Y	F367	libxml2 Reference Manual
2005-10-05	56635	03bf45	F703 XM	Y	F703	An Introduction to XML Digital Signatures
2005-10-15	54470	54b75d	F660 be	Y	F660	Beyond ASP: XML and XSL-based Solutions Simplify Your Data
2005-10-15	35156	a71ee4	F691 XM	Y	F691	XML as the API
2005-10-20	117155	7a4fda	F661 Cr	Y	F661	Cryptography Demystified
2005-11-04	46905	b0089a	J013 no	Y	J013	A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY J
2005-11-04	1035519	881cb6	J016 to	Y	J016	Capture the Benefits of eSignatures
2005-11-04	326509	e35a20	J012 es	Y	J012	Electronic Signature Systems - A Guide for IT Personnel
2005-11-04	4988760	1973a1	J011 Se	Y	J011	Infomosaic SecureSign Desktop Document Signer
2005-11-04	4988697	4c64e8	J010 Se	Y	J010	Infomosaic SecureXML Digital Signature & Encryption Toolkit
2005-11-04	28927	0ce300	F329 Di	Y	F329	Regulatory Compliance Using Digital Signature
2005-11-04	190307	904942	J017 SA	Y	J017	XML Digital Signatures - Chapter 5
2005-11-06	179712	3c790a	E007 39	Y	E007	A how-to guide for supporting digital signatures within SOAP
2005-11-06	21317	0334b5	F429 B	Y	F429	Question: The status/design of XML Signatures and Encryptic
2005-11-06	37966	be5a64	F423 5	Y	F423	Supporting Digital Signatures Within SOAP Messages
2005-11-06	81601	e3b28f	F438	Y	F438	XML Security page
2005-11-06	43397	300ac9	F425 6	Y	F425	XML security: A who's who
2005-11-06	209058	1b3d30	A033 7	Y	A033	XML-Signature Syntax and Processing

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2005-11-29	944640	ab146f	E014	ec	Y	E014	LegalXML Electronic Court Filing 3.0
2006-02-04	263680	22cd0a	M006	1	Y	M006	Checklist for Internet-Drafts (IDs) submitted for RFC publi
2006-02-04	84480	fed036	M008	Do	Y	M008	Donald Eastlake on XML digital signatures
2006-02-04	93184	8b78d1	M007	La	Y	M007	Lab 10: Digital Signatures in InfoPath 2003
2006-02-04	65024	c84fd4	M009	op	Y	M009	openssl
2006-02-04	4451	7d355b	F390	co	Y	F390	Position Paper on Signed XML
2006-02-04	786296	2b0440	F397	Si	Y	F397	Signing XML Documents and the Concept of "What You See Is
2006-02-04	190638	4306eb	J019	We	Y	J019	Web Services and XML Security
2006-02-05	34816	01ec08	M011	Sa	Y	M011	Safeguarding the Identity and Integrity of XML Messages - S
2006-02-05	77824	b0d4c8	M010	SO	Y	M010	SOAP Security Extensions: Digital Signature
2006-03-04	338,944	690833	B012	XM	Y	B012	Digital Signature Tutorial
2006-03-04	57344	74793d	M013	DS	Y	M013	DSCA 01-21 Implementation of Electronic Countersignature Pr
2006-03-04	30,762	9d8336	D026	eN	Y	D026	eNotarization
2006-03-10	522514	07ea9f	J031	PK	Y	J031	A Better Approach to PKI Based Digital Signatures
2006-03-10	318141	5b0a61	J030	Fa	Y	J030	About digital signatures
2006-03-10	134529	d9bd24	J027	e-	Y	J027	AR's E-Signature Solution For Enabling E-Invoicing
2006-03-10	98329	5421da	J023	Di	Y	J023	CoSign assists Pharmaceutical companies to comply with 21 C
2006-03-10	74526	153a04	J022	PO	Y	J022	Digital Signatures at The Point of Sale
2006-03-10	166063	8f8604	J028	Di	Y	J028	Digital Signatures for Content & Document-Management System
2006-03-10	128491	elbae9	J026	Co	Y	J026	Digital Signatures in a Document-Intensive Organization
2006-03-10	117025	df547c	J025	In	Y	J025	Digital signatures in Insurance
2006-03-10	284252	96adfd	J029	Di	Y	J029	Digitally Signing MS-Word and Adobe Acrobat Documents with
2006-03-10	109252	b990ca	J024	Di	Y	J024	Electronic Signatures - Overcoming Barriers to Efficient He
2006-03-10	577195	1fded6	A032	Bu	Y	A032	The Business Case for Electronic Signing
2006-03-10	161834	b08324	J032	Us	Y	J032	The Strength of User vs. System Signing
2006-03-18	88458	27b8d6	A022	20	Y	A022	Cumulative Notarization for Long-term Preservation of Digit
2006-03-18	134,278	c62fb9	D024	en	Y	D024	Electronic Notarization
2006-03-19	347742	2e1d98	A019	No	Y	A019	A Position on Misleading Usage of Notary Terms in the Elect
2006-03-19	12634	0b151a	A018	Di	Y	A018	A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT
2006-03-19	23250	ab3c86	A021	in	Y	A021	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR
2006-03-19	29184	534ccc	M015	No	Y	M015	Notary Online Library » NNA Position Papers
2006-03-19	84227	a39be2	J037	re	Y	J037	The Growing Real Estate Fraud Problem in Florida
2006-03-19	62475	b6e36e	J040	no	Y	J040	THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY
2006-03-19	274287	d5baf1	J035	No	Y	J035	THE NOTARY PUBLIC CODE OF PROFESSIONAL RESPONSIBILITY
2006-03-22	46936	4b54eb	J041	bi	Y	J041	Biometric Solutions to Personal Identification
2006-03-22	654016	933bel	J046	pw	Y	J046	Eliminating the Password Nightmare
2006-03-22	120672	a372ef	J043	en	Y	J043	Enterprise Security Architecture for Biometric User Authent
2006-03-22	136569	300226	J044	gu	Y	J044	Guide to Fingerprint Identification
2006-03-22	302027	6d6a89	J045	id	Y	J045	Simplified Identity Management, Stronger Authentication
2006-03-22	72997	69f979	J042	to	Y	J042	Total Password Automation in the Enterprise
2006-03-23	399499	1e900e	J047	Bi	Y	J047	BioAPI Architecture
2006-03-23	209408	d20e80	A011	oa	Y	A011	DSS Use Case Requirements Analysis
2006-03-23	126464	40f30b	E010	Na	Y	E010	Namespaces in XML
2006-03-23	52,327	17b915	D027	DS	Y	D027	Uses cases submitted for consideration
2006-03-25	223403	41327d	J049	Sr	Y	J049	Digital Signature in a Web Application
2006-03-25	508014	46c010	J048	Se	Y	J048	Infomosaic SecureForm Designer
2006-03-26	169786	717092	J050	WE	Y	J050	Achieving Document Integrity in Today's Practice
2006-03-30	209408	78d993	M021	RE	Y	M021	Canonical XML Version 1.0
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2010-01-31	741510	7df448	H062 ac	Y	H062	A primer on electronic document security
2010-01-31	290955	38eb1e	H064 Ac	Y	H064	Act (document)
2010-01-31	312385	57373f	H066 Ad	Y	H066	Adobe Intelligent Document Platform
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2010-01-31	383892	a51550	H672 XM	Y	H672	XMP SPECIFICATION PART 1 DATA AND SERIALIZATION MODEL
2010-01-31	481028	ee5b5c	H673 XM	Y	H673	XMP SPECIFICATION PART 2 STANDARD SCHEMAS
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2010-02-02	47231	93a32e	H119 Co	Y	H119	Compound Document Format
2010-02-02	17077	249cd0	H147 CT	Y	H147	Court Technology Framework (CTF)
2010-02-02	151386	041f66	H078 Al	Y	H078	ELECTRONIC PRESENTATION AND E-SIGNATURE FOR ELECTRONIC FORMS
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2010-02-03	113862	47e86d	H191 ec	Y	H191	Electronic Court Filing 4.0 Portable Media Service Interactions
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2010-02-20	85772	556f06	H081 Ap	Y	H081	Application Guidelines on Digital Signature Practices for Courts
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2010-12-31	437698	c962d6	H381 oa	Y	H381	Advanced Electronic Signature Profiles of the OASIS Digital
2008-06-24	71798	2b88d1	F232 Al	Y	F232	All eyes on how Microsoft pulls off ODF support
2010-06-13	1135797	1866ce	H300 In	Y	H300	An Introduction to Cryptography
2011-01-31	1394932	00ea1a	H178 Dr	Y	H178	An Introduction to jGuard
2009-12-11	32,265	576797	D006 OA	Y	D006	An Introduction To The Oasis LegalXML Electronic Court Filing
2005-10-05	56635	03bf45	F703 XM	Y	F703	An Introduction to XML Digital Signatures
2007-10-14	38014	d8248a	F363 An	Y	F363	An Open-Source System for Electronic Court Filing
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2011-01-27	1300791	81787f	H083 ar	Y	H083	Analysis, Selection, and Implementation of Electronic Docum
2008-06-14	30129	3ae58c	F233 An	Y	F233	Anti machine
2010-06-23	45241	cb89d2	H080 Ap	Y	H080	Apabi released for mobile reading CEBX layout document tech
2010-02-20	85772	556f06	H081 Ap	Y	H081	Application Guidelines on Digital Signature Practices for C
2007-12-09	2686358	6cb6e3	J076 eB	Y	J076	Approved Providers Guidelines for Electronic Bond Submissio
2006-03-10	134529	d9bd24	J027 e-	Y	J027	AR's E-Signature Solution For Enabling E-Invoicing
2008-07-04	326623	c388d9	J112 ar	Y	J112	Architecting the Infrastructure for SOA and XML

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2009-12-11	109196	d0e40e	A004 as	Y	A004	ASP File Upload using VBScript
2003-09-19	36864	87a779	M001 as	Y	M001	AspEncrypt 2.0
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2010-06-13	271091	1702f6	H160 Di	Y	H160	Avoid the Pitfalls when transitioning from paper-based to e
2008-07-02	52154	ef4e88	F132 Ba	Y	F132	Base64
2010-12-04	142987	2af1db	H089 BD	Y	H089	BDOC: FORMAT FOR DIGITAL SIGNATURES
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2011-01-31	675187	68979b	H090 Be	Y	H090	Beyond HTML: An Interview with the Creator of SGML
2006-03-23	399499	1e900b	J047 Bi	Y	J047	BioAPI Architecture
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2008-08-18	85590	9456df	F041 BM	Y	F041	BMP file format
2008-06-21	122182	7df6fa	F235 Bu	Y	F235	Building Sustainable Banking Architectures
2006-04-02	330742	7132a2	J052 bc	Y	J052	Business-Centric Methodology Specification v1.0
2011-01-17	25633	0e9b72	H100 CA	Y	H100	CAdES (computing)
2005-03-13	15885	3a6747	F164 1.	Y	F164	CALIFORNIA CODES
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2008-06-24	18675	4ded67	F237 Ca	Y	F237	Captaris Solutions for Financial Services
2010-02-28	15965	0aa5c9	H535 Th	Y	H535	CAPTCHA: Telling Humans and Computers Apart Automatically
2005-11-04	1035519	881cb6	J016 to	Y	J016	Capture the Benefits of eSignatures
2010-02-20	24025	cd6a09	H101 Ca	Y	H101	Category:Document-centric XML-based standards
2010-02-20	61458	ef7c76	H102 Ca	Y	H102	Category:Electronic commerce
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2010-02-27	71553	ba5feb	H461 PN	Y	H461	Chapter 13. PNG Options and Extensions
2010-02-21	22028	d4dc35	H280 iA	Y	H280	ChartLogic Achieve EMR
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2011-01-31	2535935	1d851e	H497 S3	Y	H497	CICS Web Services Security and Atomic Transaction support
2010-12-05	195416	55adb6	H492 rf	Y	H492	CMS Advanced Electronic Signatures (CAdES)
2010-02-12	318410	708e0e	H111 Co	Y	H111	Code to extract plain text from a PDF file
2009-12-11	104187	b8861b	N007 di	Y	N007	Color in JPEG 2000
2009-12-11	18220	f18613	A007 Co	Y	A007	Colorado Implements Level 3 Electronic Recording
2010-02-02	51449	849e73	H115 Co	Y	H115	Comparison of document markup languages
2004-09-21	10797	ca70a0	F640 Co	Y	F640	Comparison of Federal E-Signature Act and UETA on Key Const
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2009-12-11	50918	8ca4c5	P018 Co	Y	P018	Compound Document by Reference Framework 1.0
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2010-06-13	120595	f99530	H521 Sm	Y	H521	Compound Documents Create Documents With The Features Of Mu
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2006-03-10	98329	5421da	J023 Di	Y	J023	CoSign assists Pharmaceutical companies to comply with 21 C
2010-12-04	98392	028c2d	H129 Co	Y	H129	Countersignatures
2010-02-02	17077	249cd0	H147 CT	Y	H147	Court Technology Framework (CTF)
2008-11-04	259949	a58788	F002 Co	Y	F002	Cover Pages Web Services Interoperability Organization (WS-
2010-07-29	78060	6b85b2	H133 Cr	Y	H133	Create client-side diagrammatic interaction in Web applicat
2008-07-01	229554	392c52	F133 CR	Y	F133	CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW
2010-12-14	33736	2fffec5	H140 Cr	Y	H140	Cryptographic Message Syntax
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2009-12-11	17406	b6b791	A044 Cr	Y	A044	Cryptography, PGP and Pine
2006-03-18	88458	27b8d6	A022 20	Y	A022	Cumulative Notarization for Long-term Preservation of Digit
2010-06-13	115781	f6ac0d	H148 Cu	Y	H148	Current Listing of States That Have Enacted E-Discovery Rul
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2006-04-08	284238	5c11e3	J057 cX	Y	J057	cXML User's Guide
2010-02-20	3164090	811be5	H149 cX	Y	H149	cXML User's Guide
2011-01-27	779168	e8a69a	H314 la	Y	H314	DAK migrates their information archive to PDF/A with LuraTe
2010-02-20	1150010	0bb0bc	H352 NA	Y	H352	Data Governance Part III: Frameworks - Structure for Organi
2010-06-13	739469	60bf94	H293 IN	Y	H293	Data Integrity and Evidence in the Cloud
2010-12-31	95183	b005b0	H387 OA	Y	H387	Defining an XML interface to process digital signatures for
2008-06-22	45581	e61453	F239 De	Y	F239	Describing and retrieving photos using RDF and HTTP
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2010-02-20	200751	ed7775	H354 NA	Y	H354	Desperately Seeking Security Frameworks - A Roadmap for Sta
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2009-12-11	80943	e3f917	A050 Ti	Y	A050	DigiStamp - The Trusted Third-Party Time Authority
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2006-03-10	166063	8f8604	J028 Di	Y	J028	Digital Signatures for Content & Document-Management System
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2006-03-10	117025	df547c	J025 In	Y	J025	Digital signatures in Insurance
2010-06-13	565605	8b5252	H161 Di	Y	H161	Digital Signatures Provide Competitive Advantage for Top 10
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2006-03-10	284252	96adfd	J029 Di	Y	J029	Digitally Signing MS-Word and Adobe Acrobat Documents with
2008-11-17	75749	ab33f5	F063 Do	Y	F063	DIS-29500: Deprecated before use?
2010-02-20	1886913	971423	H351 NA	Y	H351	DO YOU THINK? OR DO YOU KNOW?
2011-01-31	870298	a3fd88	H171 Do	Y	H171	Document file extension list
2010-02-20	38730	3fa552	H174 Do	Y	H174	Document file format
2011-01-18	318722	49cc9e	H052 76	Y	H052	Document management - Electronic document file format for l
2010-02-21	19056	92bbcc	H108 Ch	Y	H108	Document Management (DM)
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2011-01-17	8975189	bb5960	H436 PD	Y	H436	Document management - Portable document format - Part 1: PF
2008-07-04	1164639	d7577b	J107 21	Y	J107	Document Process Management The Case for an Integrated Life
2010-01-31	926337	706641	H524 so	Y	H524	DocumentSign™ - Compliance with AEC Requirements
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2007-09-08	1920249	204a81	J069 dr	Y	J069	Draft Data Exchange
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2005-03-23	209408	d20ed0	A011 oa	Y	A011	DSS Use Case Requirements Analysis
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2010-12-04	626878	508c16	H203 EC	Y	H203	ECOM CAdES/XAdES Plugtest 2007 XAdES Test Specification
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2010-02-21	93585	e3aeaa	H210 El	Y	H210	Electronic Court Filing 4.0 Portable Media Service Interact
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2008-09-29	111104	4d2811	M036 El	Y	M036	Electronic Data Interchange
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2010-12-13	77204	94832f	H656 XM	Y		H656	XML Signature Best Practices W3C Working Draft 04 February
2010-12-14	95529	8d16f4	H017 W	Y		H017	XML Signature Best Practices W3C Working Draft 31 August 20
2008-06-06	235023	e6e4b8	F287 XM	Y		F287	XML Signature Syntax and Processing (Second Edition)
2010-12-14	228147	c36510	H016 R	Y		H016	XML Signature Syntax and Processing (Second Edition) W3C Re
2010-11-14	286168	364534	H043 20	Y		H043	XML Signature Syntax and Processing Version 1.1 W3C Editor'
2010-12-14	301779	09f0b0	H007 E	Y		H007	XML Signature Syntax and Processing Version 1.1 W3C Editor'
2010-12-14	294190	bb1c8e	H019 W	Y		H019	XML Signature Syntax and Processing Version 1.1 W3C Working
2010-12-31	163114	4056ed	H383 oa	Y		H383	XML Timestamping Profile of the OASIS Digital Signature Ser
2010-11-14	69472	015b68	H287 IE	Y		H287	XML-Signature Interoperability
2005-11-06	209058	1b3d30	A033 7	Y		A033	XML-Signature Syntax and Processing
2005-11-06	40032	2dda6a	F420 2	Y		F420	XML-Signature XPath Filter 2.0
2008-06-22	408776	407fd6	J090 XM	Y		J090	XMP - Extensible Metadata Platform v1.5 9-14-01
2008-06-22	931213	ab2091	G014 xm	Y	d	G014	XMP Adding Intelligence to Media
2011-01-30	115729	288cbb	H671 XM	Y		H671	XMP in PDF/A
2008-06-22	23661	0361e7	J089 XM	Y		J089	XMP SDK Overview
2008-06-22	601059	dd3ea4	J085 xm	Y		J085	XMP Specification Jan 04
2010-01-31	383892	a51550	H672 XM			H672	XMP SPECIFICATION PART 1 DATA AND SERIALIZATION MODEL
2010-01-31	481028	ee5b5c	H673 XM	Y		H673	XMP SPECIFICATION PART 2 STANDARD SCHEMAS
2010-01-31	644542	5c4fe3	H674 XM	Y		H674	XMP SPECIFICATION PART 3 STORAGE IN FILES
2007-05-03	931213	ab2091	J064 XM	Y		J064	XMP Specification Sep 2005
2008-06-22	931213	ab2091	J086 xm	Y	d	J086	XMP Specification Sep 2005
2008-06-22	56191	a2004b	J087 xm	Y		J087	XMP Toolkit v 4.1.1
2010-07-28	548438	c666fa	H676 XP	Y		H676	Xproc
2010-07-28	914189	23c66b	H677 XP	Y		H677	XProc: An XML Pipeline Language W3C Recommendation 11 May 2
2009-12-11	280560	c40fa7	P011 XS	Y		P011	XSL Transformations (XSLT)
2006-03-30	734720	c6a84a	M019 RE	Y		M019	XSL Transformations (XSLT) Version 1.0
2002-03-11	58980	c4bd9f	F379 z1	Y		F379	zlib 1.1.4 Manual
2010-11-14	337223	3c30f9	H660 XM	Y		H660	
2010-12-14	209140	75e102	H011 R	Y		H011	



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Table with 6 columns: APPLICATION NUMBER, FILING or 371(c) DATE, GRP ART UNIT, FIL FEE REC'D, ATTY.DOCKET.NO, TOT CLAIMS, IND CLAIMS. Row 1: 12/316,699, 12/15/2008, 3649, 610, (blank), 4, 1

JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

CONFIRMATION NO. 1800
UPDATED FILING RECEIPT



Date Mailed: 09/30/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s) John Lewis Guymon JR., Salt Lake City, UT;

Applicant(s) John Lewis Guymon JR., Salt Lake City, UT;

Assignment For Published Patent Application
iBailBonding.Com, Kearns, UT

Power of Attorney: None

Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** MICRO ENTITY ****

Title

Securable independent electronic document

Preliminary Class

713

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
12/316,699	12/15/2008	John Lewis Guymon JR.	

JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

CONFIRMATION NO. 1800
37 CFR 1.48 ACKNOWLEDGEMENT
LETTER



Date Mailed: 09/30/2020

NOTICE OF ACCEPTANCE OF REQUEST UNDER 37 CFR 1.48(a)

This is in response to the applicant's request under 37 CFR 1.48(a) submitted on 09/29/2020.

The request under 37 CFR 1.48(a) to correct the inventorship, to correct or update the name of an inventor, or to correct the order of names of joint inventors is accepted.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/hteffer/



United States Patent and Trademark Office

Office of the Chief Financial Officer

Document Code:WFEE

User :C46218

Sale Accounting Date:09/29/2020

Sale Item Reference Number	Effective Date
12316699	09/28/2020

Document Number	Fee Code	Fee Code Description	Amount Paid	Payment Method
I20209S047565868	3830	PROCESSING FEE, EXCEPT PROV. APPLS.	\$35.00	Credit Card
I20209S047565868	3819	CORRECTION OF INVENTORSHIP ON MERITS	\$150.00	Credit Card



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
12/316,699	12/15/2008	John Lewis Guymon JR.	

JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

CONFIRMATION NO. 1800
37 CFR 1.48 ACKNOWLEDGEMENT
LETTER



OC000000120273172

Date Mailed: 09/29/2020

IMPROPER SUBMISSION OF REQUEST UNDER 37 CFR 1.48(a)

The request under 37 CFR 1.48(a) (request to change inventorship) submitted on 09/28/2020 in the above-identified application is not accepted because:

- The request to correct inventorship under 37 CFR 1.48(a) is deficient because a corrected or updated application data sheet (ADS) in compliance with 37 CFR 1.76 (including markings showing the changes) has not been submitted. Any renewed request must include a corrected or updated ADS in compliance with 37 CFR 1.76 that identifies the information being changed, with underlining for insertions, and strike-through or brackets for text removed.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/byemane/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR CORRECTION IN A
PATENT APPLICATION RELATING TO
INVENTORSHIP OR AN INVENTOR
NAME, OR ORDER OF NAMES, OTHER
THAN IN A REISSUE APPLICATION (37
CFR 1.48)**

Application Number	12/316,699
Filing Date	12-15-2008
First Named Inventor	John Lewis Guymon , Salt Lake City, UT (US)
Art Unit	3649
Examiner Name	ABRISHAMKAR, KAVEH
Practitioner Docket Number	

To: **Commissioner for Patents**
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant hereby requests that the inventorship be corrected or changed, or that the name of the inventor or a joint inventor, or the order of the names of joint inventors, be changed, in the above-identified application. **Note:** 37 CFR 1.48 applies to any request to correct inventorship filed on or after September 16, 2012, regardless of the application filing date. **Do not submit this form after payment of the issue fee or if the application has been patented.** See 37 CFR 1.324 for correction of inventorship in a patent.

Please check the applicable box(es) below.

For a nonprovisional application:

1. This request is to correct or change the inventorship in a **nonprovisional** application (under 37 CFR 1.48(a)) and includes:

An application data sheet (ADS) in accordance with 37 CFR 1.76(c) with the corrected or updated information shown with markings (e.g., underlining for insertions, strikethrough for deletions). See the Manual of Patent Examining Procedure (MPEP) section 601.05(a) for information about filing an ADS in an application filed on/after September 16, 2012. For information about filing a Supplemental ADS in an application filed before September 16, 2012, see MPEP 601.05(b).

The processing fee set forth in 37 CFR 1.17(i).

\$ 35

An inventor is being added. An inventor's oath or declaration by any actual inventor who has not yet executed an oath or declaration is required (see 37 CFR 1.48(b)). See MPEP 602.01(a) for information about an inventor's oath or declaration for an application filed on/after September 16, 2012 (e.g., form **PTO/AIA/01**). For information about an inventor's oath or declaration for an application filed before September 16, 2012 (e.g., form **PTO/SB/01**), see MPEP 602.01(b).

This request is being filed after the first Office action on the merits has been given or mailed (see 37 CFR 1.48(c) and 1.17(d)). Check one of the following:

This request to correct or change the inventorship is due solely to the cancellation of claims in the application.

OR

The fee set forth in 37 CFR 1.17(d) is due (in addition to the fee set forth in 37 CFR 1.17(i)).

\$ 150

[Page 1 of 2]

This collection of information is required by 37 CFR 1.48. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR CORRECTION IN A PATENT APPLICATION RELATING TO INVENTORSHIP OR AN INVENTOR NAME, OR ORDER OF NAMES, OTHER THAN IN A REISSUE APPLICATION
(37 CFR 1.48)**

2. This request is to correct or update the name of the inventor or a joint inventor, or the order of names of joint inventors, in a **nonprovisional** application (under 37 CFR 1.48(f)) and includes:

- An application data sheet in accordance with 37 CFR 1.76(c) identifying the complete inventive entity, including the corrected or updated name of the inventor, or the new order of names shown with markings (e.g., underlining for insertions, strikethrough for deletions). See the MPEP 601.05(a) for information about filing an ADS in an application filed on/after September 16, 2012. For information about filing a Supplemental ADS in an application filed before September 16, 2012, see MPEP 601.05(b).

- The processing fee set forth in 37 CFR 1.17(i). \$ _____

For a provisional application:

- This request is to change or correct the inventorship, or correct or update the name of the inventor or a joint inventor, in a **provisional** application (under 37 CFR 1.48(d)) and includes:

- Attached hereto** is a document that is signed by a party set forth in 37 CFR 1.33(b) and identifies each inventor by his or her legal name, in the preferred order. Note: the document may be an application data sheet in accordance with 37 CFR 1.76(c) that identifies the changes with markings (underlining for insertions, strikethrough for deletions).

- The processing fee set forth in 37 CFR 1.17(q). \$ _____

Fee Payment Information:

- Applicant asserts small entity status. See 37 CFR 1.27.
- Applicant certifies micro entity status. See 37 CFR 1.29.
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously
- A check in the amount of the fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. _____.
- Payment made via EFS-Web.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

- Applicant* attorney or agent of record attorney or agent acting under 37 CFR 1.34
Registration number _____ Registration number _____

Signature /JohnLewisGuymonJr/

Typed or printed name John Lewis Guymon Jr

Date 09/28/2020

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. *Juristic entities must be represented by a patent practitioner (See 37 CFR 1.31, applicable to any paper filed on or after September 16, 2012 that is presented on behalf of a juristic entity, regardless of application filing date). Submit multiple forms if more than one signature is required, see below**.

- ** Total of _____ forms are submitted.

Supplemental Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	12/316,699
Title of Invention	Securable Independent Electronic Document	
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>		

Secrecy Order 37 CFR 5.2

<input type="checkbox"/>	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
--------------------------	---

Applicant Information:

Applicant 1						
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118		
Prefix	Given Name	Middle Name	Family Name	Suffix		
Mr	John	Lewis	Guymon	Jr		
Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service						
City	Salt Lake City	State/Province	UT	Country of Residenceⁱ	USA	
Citizenship under 37 CFR 1.41(b)ⁱ						
Mailing Address of Applicant:						
Address 1	4439 West 6060 South					
Address 2						
City	Kearns	State/Province	UT			
Postal Code	84118	Country	USA			
Applicant 2						
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118		
Prefix	Given Name	Middle Name	Family Name	Suffix		
Mr	Douglas	Scott	Litsey			
Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service						
City	West Valley City	State/Province	UT	Country of Residenceⁱ	USA	
Citizenship under 37 CFR 1.41(b)ⁱ						
Mailing Address of Applicant:						
Address 1	3061 West 3500 South # A-2					
Address 2						
City	West Valley City	State/Province	UT			
Postal Code	84119	Country	USA			

Correspondence Information:

<p>Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).</p> <p><input type="checkbox"/> An Address is being provided for the correspondence Information of this application.</p>
--

Supplemental Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	12/316,699
Title of Invention	Securable Independent Electronic Document	

Customer Number	63451
Email Address	admin@ibailbonding.com

Application Information:

Title of the Invention	Securable Independent Electronic Document		
Attorney Docket Number		Micro Entity Status Claimed	<input type="checkbox"/>
Application Type	Utility		
Subject Matter			
Suggested Class (if any)	713	Sub Class (if any)	189
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

Publication Information:

<input type="checkbox"/> Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<input type="checkbox"/> Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

<p>Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.</p>			
Please Select One:	<input checked="" type="radio"/> Customer Number	<input type="radio"/> US Patent Practitioner	<input type="radio"/> Limited Recognition (37 CFR 11.9)
Customer Number	63451		

Domestic Benefit/National Stage Information:

<p>This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.</p>			
Prior Application Status			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
<p>Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.</p>			

Foreign Priority Information:

Supplemental Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	12/316,699
Title of Invention	Securable Independent Electronic Document	

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Application Number	Country ⁱ	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			<input checked="" type="radio"/> Yes <input type="radio"/> No

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1

Organization Name	<u>iBailBonding.Com</u>		
Mailing Address Information:			
Address 1	<u>4439 W 6060 S</u>		
Address 2			
City	<u>Kearns</u>	State/Province	<u>UT</u>
Country ⁱ	USA	Postal Code	<u>84118</u>
Phone Number	<u>801-930-8229</u>	Fax Number	
Email Address	<u>admin@ibailbonding.com</u>		
Additional Assignee Data may be generated within this form by selecting the Add button. Add			

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature	<u>/JohnLewisGuymonJr/</u>		Date (YYYY-MM-DD)	<u>09-28-2020</u>
First Name	<u>John L</u>	Last Name	<u>Guymon Jr</u>	Registration Number

Electronic Acknowledgement Receipt

EFS ID:	40703887
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	JOHN LEWIS GUYMON JR - 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	29-SEP-2020
Filing Date:	15-DEC-2008
Time Stamp:	14:38:29
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	09_29_2020Cover.pdf	96624	no	1
			1ecf7c844d6a86e595c2d0c727b1027897db5472		

Warnings:

Information:

2	Request under Rule 48 correcting inventorship	148.pdf	1141773	no	2
			5aa437a9e6e5c82c7e9cb1b454e3d694cdf5b715		

Warnings:

Information:

3	Applicant Arguments/Remarks Made in an Amendment	SuppAppDataSheet148.pdf	186135	no	3
			dced9403d3ecd61558b6a410ec93384927fe5f81		

Warnings:

Information:

Total Files Size (in bytes):			1424532		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

**Response to Improper Submission of Request Under 37 CFR 1.48(a),
dated 09/28/2020, with Renewed Request**

September 29, 2020

mail stop: OPAP - Office of Patent Application Processing
P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/Madam:

Attached is a renewed Request for Correction in a Patent Application Relating to Inventorship or an Inventor Name, or Order of Names, Other Than in a Reissue Application under 37 CFR 1.48, as submitted on 09/28/2020.

The Supplemental Application Data Sheet in accordance to 37 CFR 1.76, with underlining for insertions and strike-through for deletions, is included herein, as requested.

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- - - **Certificate of EFS Transmission** - - -

I certify that this correspondence will be sent via EFS to the Office Of Application Processing, "Mail Stop: OPAP, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, September 28, 2020

JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS <i>Application</i> Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	12/316,699
	Filing Date	12-15-2008
	First Named Inventor	John Lewis Guymon , Salt Lake City, UT (US)
	Art Unit	3649
	Examiner Name	ABRISHAMKAR, KAVEH
	Attorney Docket Number	

Please change the Correspondence Address for the above-identified patent application to:

The address associated with Customer Number:

OR

Firm or Individual Name

Address **iBailBonding.Com**
4439 W 6060 S

City **Kearns** State **UT** Zip **84118**

Country **USA**

Telephone **801-930-8229** Email **admin@ibailbonding.com**

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

Applicant/Inventor

Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or agent of record. Registration Number _____.

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Signature **/JohnLewisGuymonJr/**

Typed or Printed Name **John Lewis Guymon Jr**

Date **09/28/2020** Telephone **801-930-8229**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR CORRECTION IN A
PATENT APPLICATION RELATING TO
INVENTORSHIP OR AN INVENTOR
NAME, OR ORDER OF NAMES, OTHER
THAN IN A REISSUE APPLICATION (37
CFR 1.48)**

Application Number	12/316,699
Filing Date	12-15-2008
First Named Inventor	John Lewis Guymon , Salt Lake City, UT (US)
Art Unit	3649
Examiner Name	ABRISHAMKAR, KAVEH
Practitioner Docket Number	

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant hereby requests that the inventorship be corrected or changed, or that the name of the inventor or a joint inventor, or the order of the names of joint inventors, be changed, in the above-identified application. Note: 37 CFR 1.48 applies to any request to correct inventorship filed on or after September 16, 2012, regardless of the application filing date. Do not submit this form after payment of the issue fee or if the application has been patented. See 37 CFR 1.324 for correction of inventorship in a patent.

Please check the applicable box(es) below.

For a nonprovisional application:

1. This request is to correct or change the inventorship in a nonprovisional application (under 37 CFR 1.48(a)) and includes:

An application data sheet (ADS) in accordance with 37 CFR 1.76(c) with the corrected or updated information shown with markings (e.g., underlining for insertions, strikethrough for deletions). See the Manual of Patent Examining Procedure (MPEP) section 601.05(a) for information about filing an ADS in an application filed on/after September 16, 2012. For information about filing a Supplemental ADS in an application filed before September 16, 2012, see MPEP 601.05(b).

The processing fee set forth in 37 CFR 1.17(i).

\$ 35

An inventor is being added. An inventor's oath or declaration by any actual inventor who has not yet executed an oath or declaration is required (see 37 CFR 1.48(b)). See MPEP 602.01(a) for information about an inventor's oath or declaration for an application filed on/after September 16, 2012 (e.g., form PTO/AIA/01). For information about an inventor's oath or declaration for an application filed before September 16, 2012 (e.g., form PTO/SB/01), see MPEP 602.01(b).

This request is being filed after the first Office action on the merits has been given or mailed (see 37 CFR 1.48(c) and 1.17(d)). Check one of the following:

This request to correct or change the inventorship is due solely to the cancellation of claims in the application.

OR

The fee set forth in 37 CFR 1.17(d) is due (in addition to the fee set forth in 37 CFR 1.17(i)).

\$ 150

[Page 1 of 2]

This collection of information is required by 37 CFR 1.48. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR CORRECTION IN A PATENT APPLICATION RELATING TO INVENTORSHIP OR AN INVENTOR NAME, OR ORDER OF NAMES, OTHER THAN IN A REISSUE APPLICATION
(37 CFR 1.48)**

2. This request is to correct or update the name of the inventor or a joint inventor, or the order of names of joint inventors, in a **nonprovisional** application (under 37 CFR 1.48(f)) and includes:

- An application data sheet in accordance with 37 CFR 1.76(c) identifying the complete inventive entity, including the corrected or updated name of the inventor, or the new order of names shown with markings (e.g., underlining for insertions, strikethrough for deletions). See the MPEP 601.05(a) for information about filing an ADS in an application filed on/after September 16, 2012. For information about filing a Supplemental ADS in an application filed before September 16, 2012, see MPEP 601.05(b).

- The processing fee set forth in 37 CFR 1.17(i). \$ _____

For a provisional application:

- This request is to change or correct the inventorship, or correct or update the name of the inventor or a joint inventor, in a **provisional** application (under 37 CFR 1.48(d)) and includes:

- Attached hereto** is a document that is signed by a party set forth in 37 CFR 1.33(b) and identifies each inventor by his or her legal name, in the preferred order. Note: the document may be an application data sheet in accordance with 37 CFR 1.76(c) that identifies the changes with markings (underlining for insertions, strikethrough for deletions).

- The processing fee set forth in 37 CFR 1.17(q). \$ _____

Fee Payment Information:

- Applicant asserts small entity status. See 37 CFR 1.27.
- Applicant certifies micro entity status. See 37 CFR 1.29.
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously
- A check in the amount of the fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. _____.
- Payment made via EFS-Web.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

- Applicant* attorney or agent of record attorney or agent acting under 37 CFR 1.34
Registration number _____ Registration number _____

Signature /JohnLewisGuymonJr/

Typed or printed name John Lewis Guymon Jr

Date 09/28/2020

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. *Juristic entities must be represented by a patent practitioner (See 37 CFR 1.31, applicable to any paper filed on or after September 16, 2012 that is presented on behalf of a juristic entity, regardless of application filing date). Submit multiple forms if more than one signature is required, see below**.

- ** Total of _____ forms are submitted.

Electronic Patent Application Fee Transmittal

Application Number:	12316699			
Filing Date:	15-Dec-2008			
Title of Invention:	Securable independent electronic document			
First Named Inventor/Applicant Name:	John Lewis Guymon			
Filer:	John Lewis Guymon Jr			
Attorney Docket Number:				
Filed as Micro Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
PROCESSING FEE, EXCEPT PROV. APPLS.	3830	1	35	35
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
CORRECTION OF INVENTORSHIP ON MERITS	3819	1	150	150
Total in USD (\$)				185

Electronic Acknowledgement Receipt

EFS ID:	40691764
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	JOHN LEWIS GUYMON JR - 4439 W 6060 S - KEARNS UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	28-SEP-2020
Filing Date:	15-DEC-2008
Time Stamp:	16:51:28
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	CLk0928Brit.pdf	131379	no	2
			7adb8b7c35318d6a275b669c809ef20bee785e65		
Warnings:					
Information:					
2	Assignee showing of ownership per 37 CFR 3.73	373signed.pdf	117367	no	3
			bd0c050c3b5b8acab66e60a3eb64623b23596194		
Warnings:					
Information:					
3	Assignee showing of ownership per 37 CFR 3.73	1595.pdf	497859	no	6
			07aec9df300629dcfb083380095d6d053f31e36e		
Warnings:					
Information:					
4	Change of Address	changeaddresssigned.pdf	67191	no	1
			8b1df83c179ecb274d510546c7c7c40c88f6fd84		
Warnings:					
Information:					
5	Request under Rule 48 correcting inventorship	148.pdf	1141773	no	2
			5aa437a9e6e5c82c7ecbc1b454e3d694cdf5b715		
Warnings:					
Information:					
6	Fee Worksheet (SB06)	fee-info.pdf	32002	no	2
			084e3e4e0930b1d397bf485085d89c35944ae452		
Warnings:					
Information:					
Total Files Size (in bytes):			1987571		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Cover Letter - Assignment w/Cover Sheet, Correspondence Change, Correction of Inventorship, Supplemental ADS and Supplemental Oath

September 28, 2020

mail stop: OPAP - Office of Patent Application Processing
P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/Madam:

Enclosed with this cover letter includes:

Statement Under 37 CFR 3.73(c) establishing right of assignee
Cover Sheet under 37 CFR 3.28 and 37 CFR 3.31, with supporting evidence of
chain title under 37 CFR 3.11,
Change of Correspondence Address,
Request for Correction in a Patent Application Relating to Inventorship or an
Inventor Name, or Order of Names, Other Than in a Reissue Application under 37
CFR 1.48, and applicable fee(s), and
Supplemental Application Data Sheet under 37 CFR 1.76

Comes now John Lewis Guymon Jr, as Inventor, Applicant, and Registered Agent and Owner of iBailBonding.Com, submits a statement under 37 CFR 3.73(c) to establish the right of the assignee to take action on the prosecution of above listed patent application, as he is assignee of the entire right, title, and interest under Utah Code 34-39-3(2), enforceable with written negotiations/contract mediation, See Reese v. Tingey v. LWP Claims Solutions.

Documentary evidence of a chain of title from the original owner to the assignee is being submitted for recordation pursuant to 37 CFR 3.11 through employment confidentiality and non-disclosure agreement, entered on 4/29/2006, between iBailBonding.Com and Douglas Scott Litsey. The statement and recordation form cover sheet is signed by a person having apparent authority, John Lewis Guymon Jr, Registered Owner of iBailBonding.Com.

Signed by a person having apparent authority, John Lewis Guymon Jr, Registered Owner of iBailBonding.Com, Applicant and Assignee, with responsibility for correcting inventorship in compliance with 37 CFR 1.48 when the application is amended to change the claims so that one (or more) of the named inventors is no longer an inventor of the subject matter of a claim remaining in the application, files this request under 37 CFR 1.48, being filed on or after September 16, 2012,

Requests for correction of inventorship under 37 CFR 1.48 filed on or after September 16, 2012 will be handled by the Office of Patent Application Processing (OPAP).

pursuant to 35 U.S.C. 116, where under 37 CFR 1.48 this request to correct or change the inventorship is due to an amended claim set and the canceling of claims in the application related to Mr. Litsey's 'GLE' user specified additional sectional components, a functional use of the inscribed invention and not an inventive concept of the 12/316,699 application. Note: The amendment of claims also fixed incorrectly misplaced character text sectional components data types.

Also included herein is a Supplemental Application Data Sheet (ADS) in accordance to 37 CFR 1.76, with strike-through for deletions, processing fee set forth in 37 CFR 1.17(i), and, if as needed for 37 CFR 1.48 correction, the processing fee set forth in 37 CFR 1.17(d). A Supplemental Oath or Declaration, with supporting exhibits, under 37 CFR 1.67 was previously filed on 8/24/2015.

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

- - - **Certificate of EFS Transmission** - - -

I certify that this correspondence will be sent via EFS to the Office Of Application Processing, "Mail Stop: OPAP, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, September 28, 2020

JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: John Lewis Guymon, Salt Lake City, UT (US); Douglas Scott Litsey, West Valley City, UT (US)Application No./Patent No.: 12/316,699 Filed/Issue Date: 12-15-2008Titled: Securable independent electronic documentiBailBonding.Com, a DBA

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/JohnLewisGuymonJr/

Signature

John Lewis Guymon Jr

Printed or Typed Name

09/28/2020

Date

_____ Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John Lewis Guymon Jr, Salt Lake City, UT
Douglas Scott Litsey, West Valley City, UT

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: iBailBonding.Com

Internal Address: _____

Street Address: 4439 W 6060 S

City: Kearns

State: UT

Country: USA Zip: 84118

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 04/29/2006

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other Confidentiality & Non-disclosure Agreement

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

12/316,699

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: iBailBonding.Com

Internal Address: _____

Street Address: 4439 W 6060 S

City: Kearns

State: UT Zip: 84118

Phone Number: 801-930-8229

Docket Number: _____

Email Address: admin@ibailbonding.com

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature: /JohnLewisGuymonJr/

09/28/2020

Signature

Date

John Lewis Guymon Jr

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

IBAILBONDING.COM

[Update this Business](#)

Entity Number: 11053259-0151

Company Type: DBA

Address: 1635 E 7080 S Cottonwood Heights, UT 84121

State of Origin:

Registered Agent: [John Lewis Guymon](#)

Registered Agent Address:

1635 E 7080 S

[View Management Team](#)

Cottonwood Heights, UT 84121

Status: Active

[Purchase Certificate of Existence](#)

Status: Active  as of 11/08/2018

Renew By: 11/30/2021

Status Description: Current

The "Current" status represents that a renewal has been filed, within the most recent renewal period, with the Division of Corporations and Commercial Code.

Employment Verification: Not Registered with [Verify Utah](#)

History

[View Filed Documents](#)

Registration Date: 11/08/2018

Last Renewed: N/A

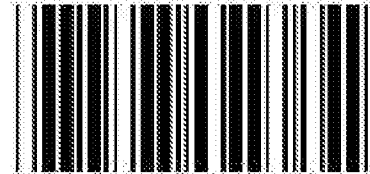
Additional Information

NAICS Code: 5419 **NAICS Title:** 5419-Other Professional, Scientific, and



State of Utah
DEPARTMENT OF COMMERCE
Division of Corporations & Commercial Code

Summary of Online Changes



Business Name: IBAILBONDING.COM

Entity number: 11053259-0151

Date of Filing: 09/28/2020

Principal Office Address:

Street 4439 W 6060 S
 City Kearns
 State UT
 Zip 84118
 Country United States

PREVIOUS Registered Principals:

Name John Lewis Guymon
 PositionRegistered Agent
 Address ... 1635 E 7080 S
 Cottonwood Heights, UT 84121

UPDATED Registered Principals:

Name John Lewis Guymon
 PositionRegistered Agent
 Address ... 4439 W 6060 S
 Kearns, UT 84118 United States

PREVIOUS Registered Principals:

Name John Lewis Guymon
 PositionApplicant
 Address ... 1635 E 7080 S
 Cottonwood Heights, UT 84121

UPDATED Registered Principals:

Name John Lewis Guymon
 PositionApplicant
 Address ... 4439 W 6060 S
 Kearns, UT 84118 United States

John Lewis Guymon Jr 09/28/2020

Underb GRAMA [63-2-201], all registration information maintained by the Division is classified as public record. For confidentiality purposes, the business entity physical

address may be provided rather than the residential or private address of any individual affiliated with the entity.

CONFIDENTIALITY AND NON-DISCLOSURE AGREEMENT

This confidentiality and non-disclosure agreement (hereinafter "Agreement") is made on the 29th day of April, 2006, by and between iBailBonding.Com, an Internet and software development company, located at 1635 East 7080 South, Salt Lake City, Utah 84121 and Scott Litsey, an individual located at 3073 West 3500 South Apt #A2, West Valley City, Utah 84119.

RECITALS

This Agreement shall govern the conditions of disclosure by iBailBonding.Com to Scott Litsey of all information, specified and presented as "Secret, Confidential and Proprietary Information" (hereinafter collectively "Information"), of which includes but is not limited to ideas, designs, products, patents, solutions, discussions, prototypes, drawings, data, desires, algorithms, processes, schedules, trade secrets and intellectual property relating to the Internet and software development by, of and for iBailBonding.Com and/or its partnering companies.

AGREEMENTS

Therefore, Scott Litsey understands and agrees:

- 1) That the disclosure of Information by iBailBonding.Com is in strict confidence and thus Scott Litsey agrees to:
 - a) Not disclose the Information to any other person.
 - b) Use at least the same degree of care to maintain the Information confidential as iBailBonding.Com uses in maintaining as confidential its own confidential information.
 - c) Use the information only for the purpose of supporting the business interest and success of iBailBonding.Com.
 - d) Restrict disclosure of the Information solely to those employees of iBailBonding.Com having a need to know of such Information in order to support the business interests and success of iBailBonding.Com.
 - e) Advise each such employee, before he or she receives access to the Information, of the obligations of himself under this Agreement, and require each such employee to maintain those obligations.
- 2) Within fifteen (15) days following request of iBailBonding.Com, return to iBailBonding.Com all documentation, designs, copies, notes, diagrams, data, media and other materials which contain, present or otherwise relates to any portion of the Information.
- 3) That the Information ownership shall remain the sole property of iBailBonding.Com.
- 4) If any arbitration, litigation or other legal proceeding relating to this Agreement or its support occurs, iBailBonding.Com shall be entitled to recover from the Scott Litsey all reasonable costs and expenses, including attorney's fees incurred in the proceeding in addition to any other relief awarded or granted.

This Agreement is binding upon iBailBonding.Com and Scott Litsey, and upon the directors, officers, employees and agents of each. This Agreement is effective the earlier of either the date of execution or first disclosure of the Information and will continue indefinitely.

IN WITNESS WHEREOF the Parties have hereunto executed this Agreement as of the day and year first above written.

iBailBonding.Com

Scott Litsey

By: _____ Date: _____

By: _____ Date: _____

Witness:

By: _____ Date: _____

Date leave open
30 61 7 3073
PATENTS 7 PATIENTS

3) Strako
4) Strako

3) all the IBB ^{concepts} are property of the Co except
the multi-part security model, the multi-agent/dialect
model, ^{and} the coupon risk exchange. IBB is
entitled to use ^{the} remain the exclusion prop of ^{the} multi-part security model but the multi-agent/dialect model and the coupon holding

4) IBB recognizes the value of Mr Litsey's
contributions to date. With all reasonable speed,
the Co. shall make market rate reasonable
compensation for consultation already given by
Mr. Litsey. The Co. reserves the right to offer
Mr Litsey an officer-level position in the near future



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 09/28/2020
JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

Table with 2 columns: EXAMINER (ABRISHAMKAR, KAVEH), ART UNIT (3649), PAPER NUMBER (1800)

DATE MAILED: 09/28/2020

Table with 5 columns: APPLICATION NO. (12/316,699), FILING DATE (12/15/2008), FIRST NAMED INVENTOR (John Lewis Guymon JR.), ATTORNEY DOCKET NO., CONFIRMATION NO. (1800)

TITLE OF INVENTION: Securable independent electronic document

Table with 7 columns: APPLN. TYPE (nonprovisional), ENTITY STATUS (MICRO), ISSUE FEE DUE (\$250), PUBLICATION FEE DUE (\$0.00), PREV. PAID ISSUE FEE (\$250.00), TOTAL FEE(S) DUE (\$0), DATE DUE (12/28/2020)

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies. If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above. If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)". For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

7590 09/28/2020

JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316.699	12/15/2008	John Lewis Guymon JR.		1800

TITLE OF INVENTION: **Securable independent electronic document**

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$250	\$0.00	\$250.00	\$0	12/28/2020

EXAMINER	ART UNIT	CLASS-SUBCLASS
ABRISHAMKAR, KAVEH	3649	713-189000

<p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required.</p>	<p>2. For printing on the patent front page, list</p> <p>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1</p> <p>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2</p> <p>_____ 3</p>
---	---

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE _____ (B) RESIDENCE: (CITY and STATE OR COUNTRY) _____

Please check the appropriate assignee category or categories (will not be printed on the patent) : Individual Corporation or other private group entity Government

4a. Fees submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies _____

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038)

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
Values: 12/316,699, 12/15/2008, John Lewis Guymon JR., 1800

7590 09/28/2020
JOHN LEWIS GUYMON JR
4439 W 6060 S
KEARNS, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT PAPER NUMBER

3649

DATE MAILED: 09/28/2020

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability

Application No. 12/316,699	Applicant(s) Guymon et al.	
Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. This communication is responsive to the response to Ex Parte Quayle Action received on 8/2/2020.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
- 2. An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
- 3. The allowed claim(s) is/are 1-4. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
- 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some *c) None of the:
 - 1. Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. _____.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- * Certified copies not received: _____.


Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
- 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 8/2/2020.
- 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
- 4. Interview Summary (PTO-413), Paper No./Mail Date. _____.
- 5. Examiner's Amendment/Comment
- 6. Examiner's Statement of Reasons for Allowance
- 7. Other _____.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC - Searched*		
Symbol	Date	Examiner


CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.


Search Notes		
Search Notes	Date	Examiner
EAST Search History Attached	3/2/2012	K.A.
Updated EAST Search History	08/02/2018	K.A.
Consulted with 101 Panel regarding possible amendments to overcome the 101 rejection.	04/25/2019	K.A.
Updated EAST Search History	08/15/2019	K.A.
Searched G06F 21/64.cpc. with search terms	08/15/2019	K.A.
Searched G06F 21/6209.cpc. with search terms	08/15/2019	K.A.
PALM Inventor Name Search	08/15/2019	K.A.
Considered the IDS filed on 8/2/2020	09/24/2020	K.A.

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<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
G06F	21/64	08/15/2019	K.A.
G06F	21/6209	08/15/2019	K.A.


--	--

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC						
Symbol				Type	Version	
G06F	/	21	/	64	F	2013-01-01
G06F	/	21	/	6209	I	2013-01-01

CPC Combination Sets						
Symbol			Type	Set	Ranking	Version
	/		/			

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	24 September 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649


INTERNATIONAL CLASSIFICATION			
CLAIMED			
G06F	/	21	/ 64

NON-CLAIMED			
/	/	/	/

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS

CROSS REFERENCES(S)						
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	24 September 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

Claims renumbered in the same order as presented by applicant
 CPA
 T.D.
 R.1.47

CLAIMS															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1														
2	2														
3	3														
4	4														

NONE	Total Claims Allowed:	
(Assistant Examiner)	(Date)	4
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	24 September 2020	O.G. Print Claim(s)
(Primary Examiner)	(Date)	1
		O.G. Print Figure
		1A

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V003	http://www.w3.org/TR/2000/NOTE-EC-related-activities-20000107 , W3C activities related to Electronic Commerce.htm, W3C and Electronic Commerce, Accessed: 2009-12-11
V004	http://www.w3.org/TR/1999/NOTE-uclp-19990120/ , Universal Commerce Language and Protocol (UCLP).htm, Universal Commerce Language and Protocol (UCLP) Version 3.0, 20-Jan-99, Accessed: 2009-12-11
V005	http://www.w3.org/TR/1998/NOTE-SDML-19980619/ , SDML - Signed Document Markup Language - Version 2_0.htm, SDML - Signed Document Markup Language, Accessed: 2009-12-11
V006	http://www.w3.org/TR/P3P-for-ecommerce , Using P3P for E-Commerce.htm, Using P3P for E-Commerce, Accessed: 2009-12-11
Y004	http://www.ibm.com/developerworks/library/x-mdcdd/ , Model-driven compound document development.htm, Model-driven compound document development, Accessed: 2009-12-11
Y005	A. SCHMIDT; T. GONDROM; L. MASINTER, http://tools.ietf.org/html/draft-ietf-ltans-notareqs-03 , draft-ietf-ltans-notareqs-03 - Requirements for Data Validation and Certification Services.htm, Requirements for Data Validation and Certification Services, Accessed: 2009-12-11
Y006	SURETY, INC., http://www.surety.com/Portals/0/whitepapers/AbsoluteProofWhitepaper_final.pdf , AbsoluteProofWhitepaper_final.pdf, Ensuring Record Integrity with AbsoluteProofSM, Accessed: 2009-12-11
Y007	http://csrc.nist.gov/publications/fips/fips186-3/fips_186-3.pdf , fips_186-3.pdf, fips_186-3.pdf, Accessed: 2009-12-11

/KAVEH ABRISHAMKAR/

09/24/2020

PTO-2038 (03-2020)

Approved for use through 03/31/2021. OMB 0651-0043

United States Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/316699

Credit Card Payment Form

571 273 8300

(Do not submit this form electronically via EFS-Web)

Please Read Instructions before Completing this Form

pg 1 of 1

<input type="checkbox"/> Visa <input type="checkbox"/> Discover			
Name as it Appears on Credit Card: Brittany Ayala			
Payment Amount (US Dollars): \$185			
Cardholder Signature: <i>Ayala</i>	Date (mm/dd/yyyy): 09/28/2020		
<small>The USPTO accepts a handwritten signature or an s-signature under 37 CFR 1.4(d). Refund Policy: The USPTO may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The USPTO will not refund amounts of \$25.00 or less unless a refund is specifically requested and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged. Maximum Daily Limit: There is a \$24,999.99 daily limit per credit card account effective June 1, 2015. There is no daily limit for debit cards.</small>			
Credit Card Billing Address			
Street Address 1: 4439 W 6060 S			
Street Address 2:			
City: Kearns			
State/Province: UT	Zip/Postal Code: 84118		
Country: United States			
Daytime Phone #: 801-633-0817	Fax #:		
Request and Payment Information			
Description of Request and Payment Information: 37 CFR 1.17(i), 37 CFR 1.17(d) for 37 CFR 1.48			
<input checked="" type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No. 12/316,699	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No.		Identify or Describe Mark	

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 08/24/2020
John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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08/24/2020

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

- (1) KAVEH ABRISHAMKAR. (3) ____.
- (2) John Lewis Guymon Jr.. (4) ____.

Date of Interview: 20 August 2020.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: N/A.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

The Applicant called and inquired about the status of the application. The Examiner notified the Applicant that there is a pending petition.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
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Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~ - ~

REQUEST FOR RECONSIDERATION

- OF -

PETITION DECISION - June 22, 2020

- FOR -

**EX PARTE QUAYLE ACTION – June 2, 2020,
37 CFR §1.181 - PETITION TO THE DIRECTOR - December 26, 2019**

- UNDER, this, Applicant's -

37 CFR §1.181 - RENEWED PETITION TO THE DIRECTOR

~ - ~

Securable Independent Electronic Document

Serial #12/316,699 - December 15, 2008

Allowance Date: August 23, 2019

Withdrawal Date: May 20, 2020

Examiner: Kaveh Abrishamkar

Mail Stop:
Office Of The Director
P. O. Box 1450
Alexandria, VA 22313-1450

August 2, 2020,

Dear Director, Sir or Madam:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, petitioning for reconsideration of the United States Patent and Trademark Office, under 37 C.F.R. §1.181(a)(3), petition to the Under Secretary of Commerce for Intellectual Property and Director ('Director') of the United States Patent and Trademark Office ('Office'), in response to the Ex Parte Quayle Action, dated 6/2/2020 and Petition Decision, dated 6/22/2020, for the review and confirmation by the Director of this application's Disclosure Document and Information Disclosure considerations.

Applicant includes a response to the Ex Parte Quayle Action by way of the inclusion of a revised citation list.

STATEMENT OF FACTS

Applicant's 'Disclosure Document' program's documents #610142 and #610141 were submitted by Applicant on 12/15/2008 and considered by the Examiner 3/6/2012.

Applicant's Information Disclosure Statements filed on 4/3/2009, 8/20/2010, 1/10/2012, 1/20/2012, containing Applicant's U.S. Patent Documents list and Non-Patent Literature Documents list, were considered by the Examiner with Notice of Non-Final Office Action dated 3/6/2012. Applicant's Non-Patent Literature content referenced was submitted by Applicant on 8/20/2010 ('12316699SA), 1/10/2012 ('1231669UA') and 1/20/2012 ('12316699ZA').

Applicant's U.S. Patent Documents list was supplied by Applicant on 12/27/2012 and considered by Examiner by Notice of Final Office Action dated 4/02/2013, and are part of the electronic application file record.

The application file contains all Document Disclosure and Non-Patent Literature content.

On 12/15/2008 Applicant submitted Disclosure Documents #610142 and #610141

On 4/3/2009, 8/20/2010, 1/10/2012, and 1/20/2012 Applicant submitted Information Disclosure statements of Patent Numbers, referenced Non-Patent Literature and supporting files via CD content

On 3/6/2012, Examiner considered all previously submitted Information Disclosure items, that of Document Disclosure, Patent Numbers, and Non-Patent Literature, via first Non-Final Office Action

On 9/6/2012, Applicant submitted both an Information Disclosure statement of Patent Numbers and Non-Patent Literature as part of Applicant's Arguments/Remarks Made in an Amendment

On 11/27/2012, the Office sent Notice to the applicant regarding a non-compliant or non-responsive amendment

On 12/27/2012, Applicant submitted updated Information Disclosure statement of Patent Numbers after Notice to the applicant regarding a non-compliant or non-responsive amendment

On 4/2/2013, Examiner considered the updated Information Disclosure statement of Patent Numbers submitted by Applicant on 12/27/2012, having the Information Disclosure statement of Non-Patent Literature, submitted by Applicant on 9/6/2012, without indication of consideration

- On 8/23/2019, the Office mailed a Notice of Allowance and Fees Due
- On 10/26/2019, Examiner entered Information Disclosure statements of Patent Numbers, dated 3/31/2009 and 8/14/2010, and Non-Patent Literature, dated 3/31/2009 and 8/17/2010, all having been previously considered 3/02/2012
- On 10/31/2019, Examiner entered Information Disclosure statements of Non-Patent Literature, dated 1/7/2012, having been previously considered 3/02/2012
- On 11/08/2019, Examiner entered Information Disclosure statements of Non-Patent Literature, dated 1/2/2012, having been previously considered 3/02/2012
- On 11/21/2019, Examiner entered Information Disclosure statement of Patent Numbers and Non-Patent Literature, submitted by Applicant 9/6/2012, without indication of consideration
- On 11/22/2019, Applicant submitted Issue Fee Payment
- On 11/27/2019, Applicant phoned Examiner and discussed Information and Document Disclosure considerations
- On 12/26/2019, Applicant supplied Disclosure Documents' content, Patent Number list, Non-Patent Literature references and citation list to the application file via fax and EFS submission #38143537
- On 12/26/2019, Applicant filed 1.181 petition for confirmation of the consideration of all Disclosure Documents and Information Disclosure statements
- On 5/20/2020, the Office withdrew the Application from issue
- On 6/02/2020, the Office mailed an Ex Parte Quayle communication
- On 6/22/2020, the Office mailed a Decision on Petition
- On 07/27/2020, Applicant supplied Non-Patent Literature references (Qty 30) to the file via EFS submission #40105741
- On 07/28/2020, Applicant supplied Non-Patent Literature references (Qty 1) to the file via EFS submission #40125384

Applicant, on 12/26/2019, supplied Disclosure Document and Non-Patent Literature references to confirm their inclusion in the application file. Upon further review, Applicant identified a number of Non-Patent Literature references that were inadvertently omitted, due to their file type (PDF portfolio requiring separation) and/or security features, in the 12/26/2019 EFS submission. Applicant subsequently entered a number of the aforementioned Non-Patent Literature references, on 7/27/2020 and 7/28/2020, into the application the file via EFS submissions.

References may no longer be available or located for public viewing, nor may they be of their 'original' form as of the date referenced. Changes to the referenced material may include titling or description, web site availability or changes, article date or version updates or content alterations. Applicant has therefore included reference for download of all 'Non-Patent Literature' in PDF or original file form at the following URL: <http://www.Intellego.com/npl> .

Applicant's relevant Non-Patent Literature references (a total count of 706) are included within and part of the application file and are available in PDF file type form as recognized and uploaded by the Office's EFS system. Further, the Non-Patent Literature reference item 'H275', 'H275_HatzidakisWebServicesSecurityByExample.pdf', is unable to be submitted through the Office's EFS system, yet may be retrieved from the URL listed above.

Applicant, with this request for reconsideration, has submitted an updated citation list that includes all relevant data fields of the Non-Patent Literature references submitted on Applicant's Information Disclosure statement submissions of 2012 and prior. The updated citation list has been further filtered to remove duplicates or other non-pertinent references. All Non-Patent Literature items are designated by the citation number and original data file name.

Applicant believes now this reconsideration request will resolve the Office's dismissed petition dated June 22, 2020. Applicant has included an updated citation list in response to the Ex Parte Quayle Office Action dated June 2, 2020. All action points of said examiner's Office action have been resolved.

POINTS FOR REVIEW

Applicant, in his part in duty, of candor, for disclosure as per Rule 56 (37 C.F.R. §1.56) presents all Information and Disclosure Documents and Non-Patent Literature document contents. Applicant has engaged in reasonable efforts to conclude processing or examination of the Application, and further reserves the right to respond to any allegation of prior art and take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant, with due diligence, believing to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98 made multiple bona-fide attempts to supply all prior-art information and disclosure. Information and Document Disclosures were submitted in accordance to 37 C.F.R. §1.97(b)(3), prior to the mailing of the first Office Action on the Merits (FOAM), included Non-Patent Literature references, and were considered on the date of said mailing March 6, 2012.

Applicant submitted the Non-Patent Literature references and a descriptive documents list, via CDs containing excel spreadsheets, presented with hash checksums for verification, as well as filesizes and dates of generation and/or archive, with respect for the Office's system security and safety. **All Non-Patent Literature references and related information are of Information Disclosure statement submissions of 2012 or prior.**

Applicant's Information Disclosure statements of Patent Numbers and Non-Patent Literature, dated 9/6/2012, have yet to have any indication of consideration by Examiner.

During an Applicant initiated interview, on 11/27/2019, Applicant and Examiner discussed Information and Document Disclosure considerations.

The 1.181 petition, filed on 12/26/2019, was in response to examiner's entries of Applicant's previous, submitted and/or considered Information Disclosures statements, for confirmation of the consideration of all Information and Document Disclosures.

ACTION REQUESTED

Reconsideration of the review and confirmation, from the Director, of the Disclosure Documents, Patent Number references and Non-Patent Literature Information Disclosures submitted to and considered by the Office with indication into the application file #12/316,699.

Applicant kindly, with respect, asks the Director for further assistance. Thank you.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

/dsl 15062019/
Douglas Scott Litsey (as per June 15, 2019)
Applicant

Certification as to this correspondence delivered to the "Under Secretary of Commerce for Intellectual Property and Director, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450" via EFS on this day, August 2, 2020.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

NON PATENT LITERATURE DOCUMENTS

Citation List in response to Ex Parte Quayle Office Action 6/2/2020

Examiners Initials	Cite No.	Citation	T ²
	A001	NATIONAL CONFERENCE OF COMMISSIONERS ON UNIFORM STATE LAWS, http://www.law.upenn.edu/bll/archives/ulc/ecom/ueta_final.pdf , ueta_final.pdf, Uniform Electronic Transactions Act (1999), Law, Document, 1-Jan-00, 1, 2, 59, 60 of 68, N/A, National Conference of Commissioners, Accessed: 2009-12-11	
	A002	DAVID A WHEELER, http://tldp.org/HOWTO/pdf/Secure-Programs-HOWTO.pdf , Secure-Programs-HOWTO.pdf, Secure Programming for Linux and Unix HOWTO - 11.5 Cryptographic Algorithms and Protocols, Cryp, Webpage, 1 and 2 of 2, N/A, Accessed: 2009-12-11	
	A004	JOHN R. LEWIS, http://www.halharris.com/209/aspUpload/aspZone_com%20-%20ASP%20File%20Upload%20using%20VBScript.htm , aspZone_com - ASP File Upload using VBScript.htm, ASP File Upload using VBScript, tech, Webpage, 10-Jul-00, 6 of 6, N/A, aspzone.com, Accessed: 2009-12-11	
	A005	http://www.infoworld.com/d/developer-world/modeling-biz-docs-in-xml-617?page=0,0 , Modeling biz docs in XML.doc, Modeling biz docs in XML, gen, Webpage, 29-Nov-02, 1 of 1, N/A, Jon Udell, Accessed: 2009-12-11	
	A008	BAUM, ET.AL., http://www.nationalnotary.org/UserImages/Model_Notary_Act.pdf , Model_Notary_Act.pdf, The Model Notary Act, Policy, Public Service Article, 1-Sep-02, entire, N/A, National Notary Association, Accessed: 2004-09-14	
	A009	http://www.infomosaic.net/DSS-UseCases.htm , DSS Uses Cases Under Consideration.htm, Infomosaic: The Easy to Use Digital Signature, Procs, Webpage, 2-Feb-03, 8 of 8, N/a, http://www.infomosaic.net/DSS-UseCases.htm , Accessed: 2009-12-11	
	A011	TREVOR PERRIN, oasis-dss-1.0-requirements-wd-12.doc, DSS Use Case Requirements Analysis, WG, Document, 16-Aug-03, 16 of 16, N/A, The Organization for the Advancement of Structured Information Standards (OASIS), Accessed: 2006-03-23	
	A012	LAURENCE LEFF, notarization.pdf, Notaries and Electronic Notarization, gen, Resource Page for the E-Notarization Technical Committee, 1-Jan-02, 6 of 6, N/A, OASIS Open, Inc., Accessed: 2007-10-14	
	A014	THOMAS J. SMEDINGHOFF; BAKER & MCKENZIE, article-electronic-transactions2.doc, The Legal Requirements for Creating Secure and Enforceable Electronic Transactions, Opinion, Document, 30 of 30, N/A, Thomas J. Smedinghoff, Baker & McKenzie, Accessed: 2004-09-14	
	A015	50STATENOTARY.COM, http://www.50statenotary.com/training/notarytraining.pdf , notarytraining.pdf, The Free Introductory Signing Agent Training Course, gen, Training Course, 1-Jan-05, 25 of 25, 7th, 50StateNotary.Com, Accessed: 2009-12-11	
	A016	DEBORAH M. THAW, http://www.nationalnotary.org/userimages/notaryofficeandimpact.pdf , notaryofficeandimpact.pdf, The Notary Office and Its Impact in The 21st Century, gen, Presentation at the NACO/NACRC Annual Conference, 28 of 28, N/A, Deborah M. Thaw, National Notary Association Chatsworth, CA, Accessed: 2009-12-11	

A017	NATIONAL NOTARY ASSOCIATION, http://www.nationalnotary.org/userimages/reFraudfla.pdf , reFraudfla.pdf, REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Florida, gen, Report from the National Notary Association, 1-Mar-03, 11 of 11, N/A, National Notary Association, Accessed: 2009-12-11
A018	MILTON G. VALERA, NATIONAL NOTARY ASSOCIATION, http://www.nationalnotary.org/userimages/DigitalSummit.pdf , DigitalSummit.pdf, A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT, gen, Presentation, 11-Aug-00, 4 of 4, N/A, National Notary Association, Accessed: 2006-03-19
A019	NATIONAL NOTARY ASSOCIATION, http://www.nationalnotary.org/userimages/Notary_Terms.pdf , Notary_Terms.pdf, A Position on Misleading Usage of Notary Terms in the Electronic Age, Opinion, A Position Statement from the National Notary Association, 1-Sep-01, 7 of 7, N/A, National Notary Association, Accessed: 2006-03-19
A020	NATIONAL NOTARY ASSOCIATION, http://www.nationalnotary.org/userimages/digitalsignature.pdf , digitalSignature.pdf, A Position On Digital Signature Laws And Notarization, Opinion, A Position Statement from the National Notary Association, 1-Sep-01, 8 of 8, N/A, National Notary Association, Accessed: 2004-09-14
A021	MILTON G. VALERA, NATIONAL NOTARY ASSOCIATION, http://www.nationalnotary.org/userimages/innotarization.pdf , inNotarization.pdf, IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEARANCE - DESPITE TECHNOLOGY, Opinion, Presentation, 13-Jul-00, 8 OF 8, N/A, National Notary Association, Accessed: 2006-03-19
A022	DIMITRIOS LEKKAS; DIMITRIS GRITZALIS, http://www.syros.aegean.gr/users/lekkas/pubs/j/2004COMPSEC.pdf , 2004COMPSEC.pdf, Cumulative Notarization for Long-term Preservation of Digital Signatures, Procs, Article, 3-Aug-05, entire; esp P1,2,3,4,5-9, Secs 5,6,7, N/A, Dept. of Informatics, Athens University of Economics and Business, Accessed: 2006-03-18
A024	A. SCHMIDT; T. GONDROM; L. MASINTER, http://ltans.edelweb.fr/draft-ietf-ltans-notareqs-02.html , Requirements for Data Validation and Certification Services.htm, Requirements for Data Validation and Certification Services, Procs, Internet-Draft, 20-Jun-05, 11 of 11, N/A, The Internet Society, Accessed: 2009-12-11
A025	A. SCHMIDT; FRAUNHOFER SIT, http://www.readnotify.com/readnotify/notary.asp , Email notary service with timestamping and online digital document notarization.htm, ReadNotify Email Notary and Timestamping service, gen, Article, 3 of 3, N/A, http://www.readnoticy.com/readnotify/notary.asp , Accessed: 2005-06-07
A026	YUICHI SUZUKI, http://itslab.csce.kyushu-u.ac.jp/iwap04/panel_b_suzuki.pdf , panel_b_suzuki.pdf, Long term electronic signature os documents retention, Procs, Powerpoint Presentation, 1-Jan-04, 10 of 10, N/A, Yuichi Suzuki, Accessed: 2009-12-11
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First Named Inventor/Applicant Name:	John Lewis Guymon
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1	Non Patent Literature	H163_digital_signatures_portfolio.pdf	4010125 6d1c3742dc4a6aac2ed61938dbf658890d7cb6d2	no	1

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International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr - 4439 W 6060 S - Kearns UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	27-JUL-2020
Filing Date:	15-DEC-2008
Time Stamp:	12:07:01
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	H021_04Nfm04de12.pdf	8733624	no	28
			c022e6e31033b56254725ef8b688b6289340b88e		
Warnings:					
Information:					
2	Non Patent Literature	H052_769656.pdf	5451769	no	36
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Warnings:					
Information:					
3	Non Patent Literature	H413_ovum_report.pdf	275432	no	20
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Warnings:					
Information:					
4	Non Patent Literature	H546_tr_102045v010101p.pdf	706539	no	63
			5f154cbf8f331fc7323871f93a21fd98ef091abd		
Warnings:					
Information:					
5	Non Patent Literature	H547_tr_102572v010101p.pdf	813244	no	81
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Warnings:					
Information:					
6	Non Patent Literature	H548_tr_102923v010101p.pdf	249549	no	26
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Warnings:					
Information:					
7	Non Patent Literature	H554_ts_101733v010501p.pdf	1464447	no	93
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Warnings:					
Information:					

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9	Non Patent Literature	H559_ts_101903v010202p.pdf	507242	no	78
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Warnings:					
Information:					

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Information:					
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Information:					
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Information:					
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Information:					

22	Non Patent Literature	H666_xml_whitepaper_PDF.pdf	3287006	no	16
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Warnings:					
Information:					
23	Non Patent Literature	J056_tr_102046v010201p.pdf	2139424	no	167
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Warnings:					
The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing					
Information:					
24	Non Patent Literature	J059_ts_101733v010501p.pdf	1804951	no	93
			eccb5c5378803fbf6161a2ad2ad292948c3d0425		
Warnings:					
Information:					
25	Non Patent Literature	J060_ts-101733v010603p.pdf	1244388	no	93
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Warnings:					
Information:					
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Warnings:					
Information:					
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Warnings:					
Information:					
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Warnings:					
Information:					

29	Non Patent Literature	H623_whitepaper-pdfa.pdf	105517	no	9
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Warnings:

Information:

30	Non Patent Literature	J069_draftdataexspec-settwo.pdf	16052055	no	266
			af189e80c30d8ed652d68b4933daae691ddc8760		

Warnings:

Information:

Total Files Size (in bytes):	113763486
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 06/22/2020
John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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06/22/2020

PAPER

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The time period for reply, if any, is set in the attached communication.



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John Lewis Guymon Jr
4439 W 6060 S
Kearns UT 84118

In re Application of: :
John Lewis Guymon Jr. et al. :
Application No. 12/316,699 :
Filed: December 17, 2008 :
For: SECURABLE INDEPENDENT ELECTRONIC :
DOCUMENT :

**DECISION ON PETITION
UNDER 37 CFR §1.181**

This is a decision in response to the petition filed on December 26, 2019 under 37 C.F.R. § 1.181 requesting “[r]eview and confirmation into the file from the Director of the Disclosure Documents and Information Disclosures submitted and considered to the Office with indication into application file #12/316,699.”

The petition is **DISMISSED**.

STATEMENT OF FACTS

- On the dates of December 15, 2008, April 3, 2009, August 20, 2010, January 10, 2012, and January 20, 2012 Information Disclosure Statements were filed.
- On March 6, 2012 the Office mailed a Non-Final Office Action.
- On August 23, 2019 the Office mailed a Notice of Allowance.
- On December 26, 2019 the instant Petition was filed.
- On May 20, 2020 the Office withdrew the present Application from issue.
- On June 2, 2020 the Office mailed an Ex Parte Quayle communication.

DECISION

In the December 26, 2019 instant petition, Petitioners request “[r]eview and confirmation into the file from the Director of the Disclosure Documents and Information Disclosures submitted and considered to the Office with indication into [the] application file.” (12/26/2019, Petition, p. 3).

The March 6, 2012 Non-Final Office Action indicated that the Disclosure Documents and Information Disclosure Statements filed on December 15, 2008, April 3, 2009, August 20, 2010, January 10, 2012, and January 20, 2012 were considered by the Examiner. A review of the file reveals that copies of the Disclosure Documents and Non-Patent Literature from the Information Disclosure Statements filed on December 15, 2008, April 3, 2009, August 20, 2010, January 10, 2012, and January 20, 2012 were not yet entered into the Application File at the time of the March

6, 2012 Non-Final Office Action. Petitioners likewise state that the “‘Disclosure Document’ files and Non-Patent Literature content has yet to be entered into the electronic application file.” (12/26/2019, Petition, p. 2).

The instant Petition was filed on December 26, 2019 and included an Information Disclosure Statement, copies of the Disclosure Documents, and copies of numerous Non-Patent Literature references. Petitioners state that the included Disclosure Documents and Non-Patent Literature references are submitted “in copy of their original form, to aid in confirmation of their containment and inclusion in the application file.” (12/26/2019, Petition, p. 2).

The Information Disclosure Statement filed on December 26, 2019 was not considered by the Examiner because many of the citations did not clearly identify the specific prior art documents being referenced. (6/2/2020, Ex Parte Quayle, p. 2). In this regard, it was not possible to readily determine how each respective submitted Non-Patent Literature document corresponds with a specific entry on the Information Disclosure Statement. The June 2, 2020, Ex Parte Quayle communication provided an explanation of the corrections needed to the Information Disclosure Statement. Making the needed corrections will allow the Office to determine how each respective submitted Non-Patent Literature document corresponds with its specific entry on the Information Disclosure Statement. Additionally, Petitioners are encouraged to contact the Examiner to schedule a telephonic interview if they have any questions regarding how to make the necessary corrections.

This Petition is being **DISMISSED**, since it is not presently possible to readily determine how each respective submitted Non-Patent Literature document corresponds with each specific entry on the Information Disclosure Statement. However, the decision will be held in abeyance pending Petitioner’s response to the Ex Parte Quayle communication.

Review and confirmation into the file of the Disclosure Documents and Information Disclosures will be completed upon Petitioners’ submission of a compliant Information Disclosure Statement in response to the Ex Parte Quayle communication. Petitioners may renew this petition pending the outcome of the Examiner’s consideration of Petitioners’ response to the Ex Parte Quayle Communication.

The TWO (2) MONTH shortened statutory period from the June 2, 2020 mailing of the Ex Parte Quayle communication continues to run.

Summary: The petition is **DISMISSED**.

Any questions regarding this decision should be directed to Joseph Rocca at 571-272-5191.

/KATHERINE A. MATECKI/

Katherine A. Matecki, Director
Technology Center 3600
(571) 272-5250



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 06/02/2020
John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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06/02/2020

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 26 December 2019.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on ____.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) An election was made by the applicant in response to a restriction requirement set forth during the interview on ____; the restriction requirement and election have been incorporated into this action.
- 4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

- 5) Claim(s) 1-4 is/are pending in the application.
 5a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 6) Claim(s) 1-4 is/are allowed.
- 7) Claim(s) ____ is/are rejected.
- 8) Claim(s) ____ is/are objected to.
- 9) Claim(s) ____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

- 10) The specification is objected to by the Examiner.
- 11) The drawing(s) filed on ____ is/are: a) accepted or b) objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some** c) None of the:
- 1. Certified copies of the priority documents have been received.
- 2. Certified copies of the priority documents have been received in Application No. ____.
- 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)
 Paper No(s)/Mail Date ____.
- 3) Interview Summary (PTO-413)
 Paper No(s)/Mail Date ____.
- 4) Other: ____.

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Quayle Action

1. This action is in response to the Information Disclosure Statement (IDS) filed on December 26, 2019.

2. This application is in condition for allowance except for the following formal matters:

The Information Disclosure Statement (IDS) filed on December 26, 2019 is not proper. Many of the citations on the IDS are improper as they do not clearly identify the prior art being referenced.

For each Non-Patent Literature (NPL) document being references, the Applicant should list the: Author(s), Title of the Article, Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published. In some cases, all of these may not be applicable, but information must be provided to allow retrieval of the document(s).

Examples of improper citations include: C013 (provides only a Title), D0026 (provides only a Title), D027 (Provides no definite information), D033 (provides a Title only), E018 (Provides only a Title), F001, F002, F003, F004, F005, F006, F018 (all provide incomplete information), F031 (provides a possible Title), F052 (Provides a possible Title but unclear), F733-F737 (all provide incomplete information), H133-H149 (all provide incomplete information), H174 (only states "Document File Format" which is ambiguous and provides no information), H269 (States "google translate pdf" which provides no definite information), H358-374 (all provide PDFs without any other information), H591-H592 (appear to list

Patent Publications but unclear. U.S. Patent Publications should be listed in another section of the IDS under U.S. Patent Application Publications), M034 (merely state "Security Whitepapers" without anything else), Y007 (merely states fips_186-3.pdf without any other information). This list is not exhaustive as there are multiple other citations that do not meet the criteria for a proper citation.

There is an available web-fillable form for IDS. The link is provided below:

https://www.uspto.gov/sites/default/files/patents/process/file/efs/guidance/updated_IDS.pdf

Prosecution on the merits is closed in accordance with the practice under *Ex parte Quayle*, 25 USPQ 74, 453 O.G. 213, (Comm'r Pat. 1935).

A shortened statutory period for reply to this action is set to expire **TWO MONTHS** from the mailing date of this letter.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAVEH ABRISHAMKAR whose telephone number is (571)272-3786. The examiner can normally be reached on M-F 9-5:30.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Hodge can be reached on 571-272-2097. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <https://ppair-my.uspto.gov/pair/PrivatePair>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/KAVEH ABRISHAMKAR/
05/28/2020
Primary Examiner, Art Unit 3649



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 05/20/2020
John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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05/20/2020

PAPER

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR/ PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
12/316,699	12/15/2008	Guymon et al.	

John Lewis Guymon Jr 4439 W 6060 S Keams, UT 84118		EXAMINER	
		Joseph M Rocca	
		ART UNIT	PAPER
		3611	20200514

DATE MAILED: _____

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Commissioner for Patents

Please see attached withdraw from issue notice.

/JOSEPH M ROCCA/
Supervisory Patent Examiner, Art Unit 3611



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John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

In re Application of:	:	NOTICE OF WITHDRAWAL FROM ISSUE UNDER 37 CFR § 1.313(b)
Guymon et al.	:	
Application No. 12/316,699	:	
Filed: December 15, 2008	:	
For: SECURABLE INDEPENDENT ELECTRONIC DOCUMENT	:	

The above-identified application is withdrawn from issue after payment of the issue fee due to a mistake on the part of the office. See 37 CFR § 1.313(b).

The above-identified application is hereby withdrawn from issue. The issue fee and publication fee is refunded upon written request. Applicant may also request that the fee be credited to a specified Deposit Account. Applicant may, however, wait until such time as the application is either allowed or abandoned to make such request. If the application is subsequently allowed, upon receipt of a new Notice of Allowance and Issue and Publication Fee Due, applicant may further request that the previously submitted issue fee and publication fee be applied toward payment of the issue fee and publication fee in the amount identified in the new Notice of Allowance and Issue and Publication Fee Due. This request and any balance due must be received on or before the due date noted in the new Notice of Allowance and Issue and Publication Fee Due in order to prevent abandonment of the application. If the application is abandoned, applicant may request either a refund or a credit to a specified Deposit Account.

Telephone inquiries should be directed to Supervisory Patent Examiner Robert Hodge at 571-272-2097.

The above-identified application is being forwarded to the examiner for prompt action, including notifying applicant of the new status of this application.

/KATHERINE A. MATECKI/

Katherine A. Matecki, Director
Technology Center 3600
(571) 272-5250

Electronic Acknowledgement Receipt

EFS ID:	38676457
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr - 4439 W 6060 S - Kearns UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	24-FEB-2020
Filing Date:	15-DEC-2008
Time Stamp:	18:57:57
Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment after Notice of Allowance (Rule 312)	AMk0224j.pdf	530462 79b763000d00b452b6995ff900c5d1f172a591ee	no	14

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Total Files Size (in bytes):

530462

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New International Application Filed with the USPTO as a Receiving Office

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February 24, 2020

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P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Dear Sir or Madam,

Applicants present this cover letter and contents comprising Applicants' response to the 'NOTICE TO FILE CORRECTED APPLICATION PAPERS' dated October 24, 2019.

Applicants believe contents enclosed will place the application in proper order.

Contents of this amendment request include the following:

<u>Title</u>	<u>Page Count</u>
Amendment in re: Notice dated October 24, 2019	9
Replacement Sheet (Drawing #1/2 - Figures 1A-1F)	1
Annotated Sheet Showing Changes	1
Copy of Notice	2

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr.

/dsl 15062019/
Douglas Scott Litsey

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Response to 'NOTICE TO FILE CORRECTED APPLICATION PAPERS'
of October 24, 2019

February 24, 2020

Mail Stop Issue Fee
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir / Madam:

In response to the ' Notice to File Corrected Application Papers dated (mailed) October 24, 2019, with an extension of time of two (2) months filed December 23, 2019 having an EFS ID #38125518 / RAM confirmation Number #E2019BMF58168492 making reply date due on or before February 24, 2020, Applicants hereby amends the Application of Patent, 12/316699, filed December 15, 2008 and remarks as follows:

Amendments to the Specification begin on page **2** of this paper.

Amendments to the Drawings begin on page **7** of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

Remarks/Arguments begin on page **8** of this paper.

Amendments to the Specification:

Please replace paragraph [0093] with the following amended paragraph.

[0093] ~~Rewrite~~ The present invention can be embodied in various forms, including business processes, computer implemented methods, computer program products, computer systems and networks, user interfaces, application programming interfaces, and the like.

Please replace paragraph [0096] with the following amended paragraph.

[0096] Applications are not putting options in for a major embedded section of character text.

Please replace paragraph [0107] with the following amended paragraph.

[0107] The problem with securities assets transfer required solid positive control of ~~container~~ container for such assets. Not until now has there been a container with enhanced security containing both automated data and visual presentation.

Please replace paragraph [0138] with the following amended paragraph.

[0138] ~~FIGS. FIGS. 1A~~ through ~~1EE~~ are block diagrams illustrating an embodiment. ~~FIG. FIG. 1A~~ is a block diagram of one embodiment in accordance with the present invention. ~~FIGS. FIGS. 1B~~ through ~~1EE~~ are block diagrams of sections within the embodiment.

Please replace paragraph [0139] with the following amended paragraph.

[0139] ~~FIG-FIG. 2~~ is a schematic diagram illustrating some of the types of files, if any, that may be enveloped within an embodiment.

Please replace paragraph [0160] with the following amended paragraph.

[0160] One embodiment as illustrated in **FIG. 1A**, **100** has any User File **130** or none at all inside a [XML] markup file **120** and **140** enveloped by a [PNG] graphics file **110** and **150**. In **FIGS. 1A** through ~~1E~~, XML and PNG are shown as the markup and graphics files respectively, although in certain embodiments, other choices may exist for both. Either or both the [XML] markup file and the [PNG] graphics file may have intrinsic security features [CRC's]. As an example, **FIG. 1F** shows a typical arrangement of a PNG data block or chunk with some data elements **191** through **195** and the security feature **196** at the end of the chunk. In the case of the PNG format, the data security feature takes the form of a cyclic redundancy check or CRC computed on the contents of that data chunk. In this and other file formats, other kinds, numbers, and placements of intrinsic and constructed security features may occur. Naturally, it is appreciated that variations are possible and considered within the scope of the example and embodiments.

Please replace paragraph [0161] with the following amended paragraph.

[0161] In certain embodiments, either or both the [XML] markup file and the [PNG] graphics file may include zero or more Digital Signatures and /-or Counter-Signatures of various forms. **FIGS. 1B** and **1C** show as an example, typical PNG file header and file end sections respectively. In the case of the PNG file format, the structure of the file is quite flexible with regards to occurrence, number, and arrangement of data blocks or chunks after the IHDR chunk ~~111 (FIG. 1B)~~~~FIG-1B-111~~ and before the IEND chunk ~~159 (FIG. 1C)~~ ~~FIG-1C-159~~.

Continuation with an example of a use of the PNG file format in an embodiment, the markup

language file and user file component, if any user files are included at all, may be inserted in zero or more ~~Z~~zTXI chunks 113 (FIG. 1B) FIG-1B-113 and / or 155 (FIG. 1C) FIG-1C-155; and / or zero or more ~~EXT-EXI~~ chunks 114 (FIG. 1B) FIG-1B-114 and / or 153 (FIG. 1C) FIG-1C-153; and / or zero or more ~~EXT-TXI~~ chunks 115 (FIG. 1B) FIG-1B-115 and / or 154 (FIG. 1C) FIG-1C-154; and / or zero or more IDAT chunks 117 (FIG. 1B) FIG-1B-117 and / or 151 (FIG. 1C) FIG-1C-151; and / or zero or more Other Sections 116 (FIG. 1B) FIG-1B-116 and / or 152 (FIG. 1C) FIG-1C-152. In various embodiments, Digital Signatures 112 (FIG. 1B) FIG-1B-112 may be added before the included data. In other embodiments, Digital Signatures 156 (FIG. 1C) FIG-1C-156 may be added near the end of the graphics file. Still other embodiments may have Digital Signatures 112 (FIG. 1B) FIG-1B-112 and 156 (FIG. 1C) FIG-1C-156 both before and after the included file or no Digital Signatures at all in the graphics file portion.

Please replace paragraph [0163] with the following amended paragraph.

[0163] The PNG file structure permits the inclusion ~~FIG-1B-113-116 and FIG-1C-152-155~~ of several types of text data blocks or chunks and other optional types of data chunks 113-116 (FIG. 1B) and 152-155 (FIG. 1C) in a file. Many of these kinds of data chunks are quite flexible as to what is precisely put into them. Various embodiments could place into these chunks information concerning advanced security features, encryption, digital rights, and / or other uses. In an example of a use of the PNG specification as the graphics file in an embodiment, the ~~FIG-1B-117 and FIG-1C-151~~ IDAT chunks 117 (FIG. 1B) and 151 (FIG. 1C) could also carry information concerning advanced security features, encryption, digital rights; and / or other uses as well. Furthermore, an embodiment that uses the PNG specification may also contain user created chunks fabricated within the rules of the specification for the purposes of advanced security, encryption, digital rights; and / or other uses as well as the pre-described chunk types.

Please replace paragraph [0165] with the following amended paragraph.

[0165] The markup file may also contain ~~FIG-1D,122 and FIG-1E,141~~ optional sections and / or files 122 (FIG. 1D) and 141 (FIG. 1E). An embodiment could use these data to support any combination of advanced security, encryption, digital rights, non-repudiation (e.g., evidencing that a document was signed by a particular entity at a particular date and time), field validation (e.g., checking that information in a document complies with content category rules); and / or other uses as appropriate. In the case of the XML, the W3C maintains routines to convert 8 bit data to 6 bit data and the inverse conversion 6 bit to 8 bit data with no losses of bits. These conversion routines may facilitate the inclusion of files within the markup portion of an embodiment although other conversion routines may be appropriate for certain applications.

Please replace paragraph [0166] with the following amended paragraph.

[0166] When an originator has completed a securable independent electronic document, they may have access to several kinds of information they included and, perhaps, beyond what they put in. For their particular instance of an embodiment, there may exist zero or more files, CRCs', basic and / or advanced security features, encryption data, digital rights, digital signatures, notarizations; and other data.

Please replace paragraph [0167] with the following amended paragraph.

[0167] ~~Additional embodiments of the securable independent electronic document, FIG-X and Xa show an electronic document using could be created using~~ some of the combinations above. For instance, with the 'Power of Attorney' electronic document, ~~shown in Fig-X a method of the securable independent electronic document generation can include both visual graphic image as well as digitally signed character text information population, 100 has the graph 80, the text section 5, and data populating~~
AMk0224j.doc

Please replace paragraph [0195] with the following amended paragraph.

[0195] Although the description above contains many specificities, these should not be construed as limiting the scope of the embodiments but as merely providing illustrations of some of the presently preferred embodiments. ~~For example, the document can have other purposes, such as,~~
ect.

Please replace paragraph [0197] with the following amended paragraph.

[0197] It is understood that the above-described embodiments are only illustrative of the application of the principles of the present Securable Independent Electronic Document ~~ELECTRONIC DOCUMENT~~ apparatus taught within. The electronic document may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the document apparatus is, therefore, indicated by the appended claims rather than by the foregoing descriptions. All changes, which come within the meaning and range of equivalency of the claims, are to be embraced within their scope.

Amendments to the Drawings:

The attached sheets replace this application's drawing #1/2 and include changes to Figures 1B and 1C. These 2 (two) sheets, one a replacement sheet labeled "**Replacement Sheet**" with the changes made in black and one annotated sheet labeled "**Annotated Sheet Showing Changes**" showing such changes, include Figures 1A thru 1F and replaces the original drawing sheet that included the same said included figures.

REMARKS:

In response to the 'Notice to File Corrected Application Papers' office action dated October 24, 2019, Applicants, with this reply, present the following remarks to address the two (2) inconsistencies listed in the said action's 'IDENTIFICATION OF SPECIFICATION/DRAWING INCONSISTANCIES'.

In regard to Item #1 (checked paragraph #2), '*The drawings filed...*', the specification's paragraph [0138] in the ' BRIEF DESCRIPTION OF THE DRAWINGS' section has been amended and now describes Figure 1F.

In regard to Item #2 (checked paragraph #6), '*Page 26-27 of the specification ...*' the specification's paragraph's [0160], [0161], [0163], [0165] and [0167] in the ' DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS. 1' section has been amended and now correctly describes and references the various figures / elements listed in the office action's 'COMMENTS' item. Paragraph [0167] removes an incorrectly referenced figure.

Additionally, the specification's paragraph's [0093], [0096], [0107], [0139], [0166], [0195] and [0197] have been amended to correct simple editorial errors or grammar mistakes for proper disclosure and require no substantial amount of additional work on the part of the Office.

Amendment to Drawing '1/2' Figures 1B and 1C correct various nomenclatures used in the naming of PNG ancillary chunks.

CONCLUSION:

Applicants submit that the specification and drawings are now in proper form, and that the Application is now in complete and proper condition for issue..

If for any reason this application is not in full condition for allowance/issue after the consideration of this reply to the 'NOTICE TO FILE CORRECTED APPLICATION PAPERS' office action dated 10/24/2019, Applicants respectfully request the Office give notice to Applicants accordingly.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr.

/dsl 15062019/
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Applicants, Pro Se
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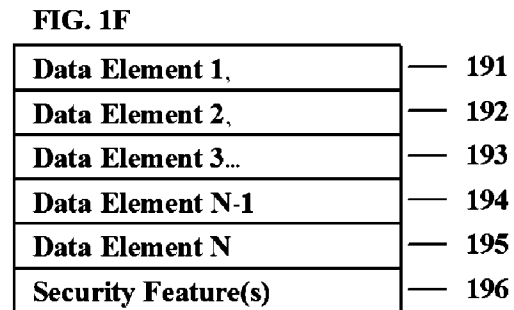
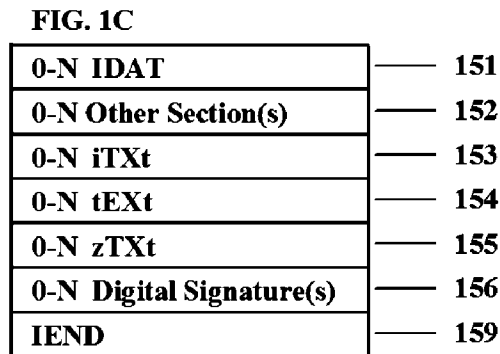
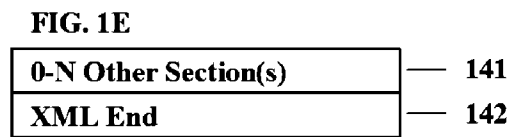
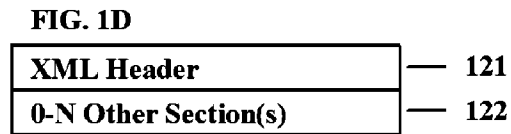
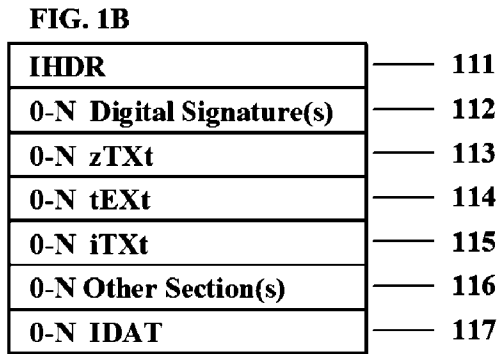
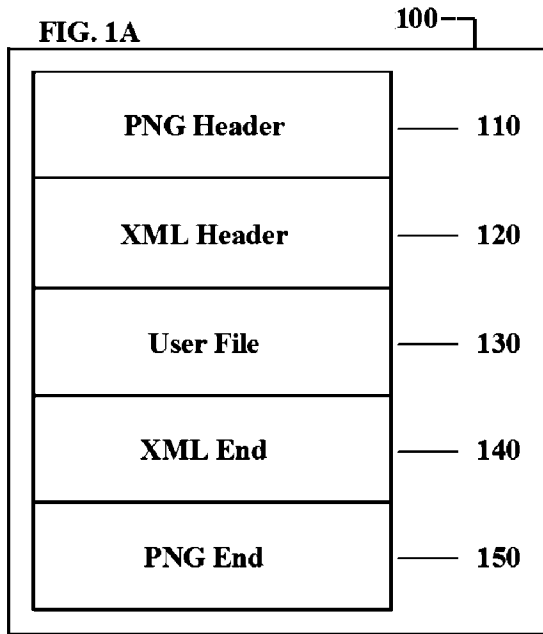
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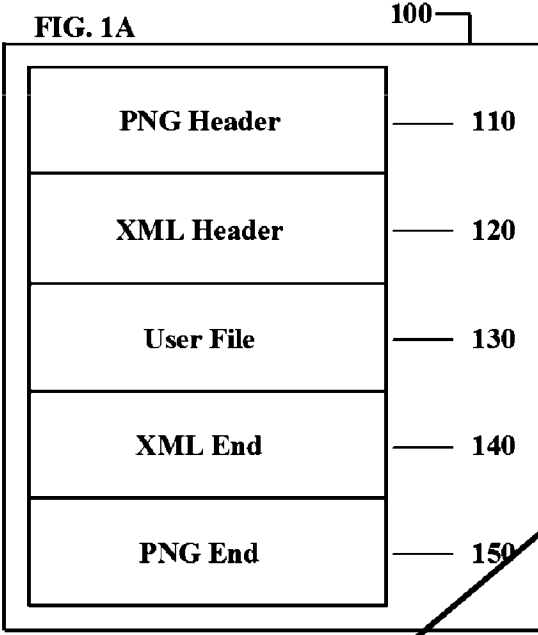
Replacement Sheet
Annotated Sheet Showing Changes
Copy of 'NOTICE TO FILE CORRECTED APPLICATION PAPERS' dated 10/24/2019

Certificate of Faxing/EFS Submission

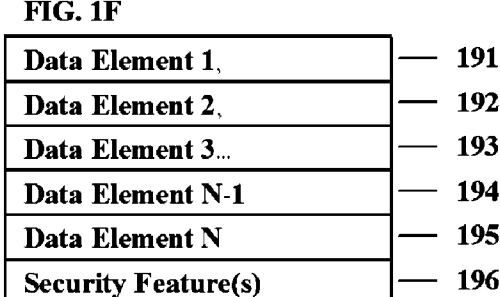
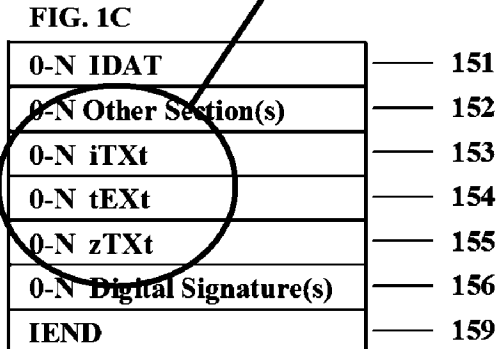
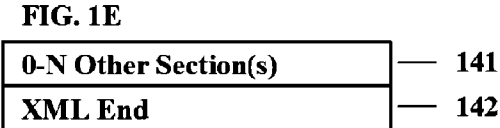
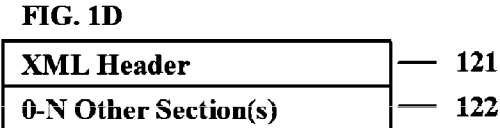
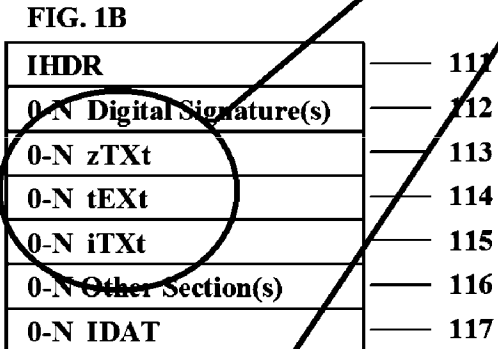
I certify that this correspondence will be EFS submitted/faxed to the United States Patent and Trademark Office, "Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, February 24, 2020.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se





Nomenclature labels changed





UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Application No. : 12316699
Applicant : Guymon, Jr.
Filing Date : 12/15/2008
Date Mailed : 10/24/2019

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given two (2) months from the mail date of this Notice within which to respond. This time period for reply is extendable under 37 CFR 1.136(a) for only TWO additional MONTHS.

The informalities requiring correction are indicated in the attachment(s). If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to respond within the above-identified time period will result in the application being ABANDONED.

See attachment(s).

*A copy of this notice **MUST** be returned with the reply. Please address response to
"Mail Stop Issue Fee, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450".*

/Joanna Black/
Publication Branch
Office of Data Management
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Application No. 12316699

IDENTIFICATION OF SPECIFICATION/DRAWING INCONSISTENCIES

- On Page of the specification there is a brief description of FIG. , but the drawings filed do not include a drawing with that designation. Applicant must respond either by supplying the omitted drawing or by amending the specification to remove all references to that drawing.
- The drawings filed 12/17/2008 include FIG. 1E, but the specification's brief description of the drawings does not describe a drawing with that designation. Applicant must respond either by amending the specification to add a brief description of that drawing or by correcting the drawings to remove the drawing in question.
- In the utility application, drawings are present in the application and are referred to in the detailed description of the invention, but the specification does not contain a brief description of the several views as required by 37 CFR 1.74 and 37 CFR 1.77(b)(9).
- The design application does not contain a description of the figure or figures of the drawing as required by 37 CFR 1.153(a) and 37 CFR 1.154(b)(4).
- In the plant application, the specification does not contain a brief description of the drawing as required by 37 CFR 1.163(c)(8).
- Page 26-27 of the specification refers to FIG. see below, but no drawing with that designation is described in the brief description of the drawings and no drawing with that designation is present in the application. Applicant must respond either by amending the specification to remove all references to that drawing, or by supplying that drawing and amending the specification to add a brief description of it.
- In the reissue application, FIG. , is labeled as "New" but is not described in the reissue specification's brief description of the drawings. Applicant must respond by amending the reissue specification's brief description of the drawings to add a brief description of the new drawing.
- OTHER:
- COMMENTS:
Pages 26-27 mention Figures 1A.100, 1B.111, 1C.159, 1B.113, 1C.155, 1B.114, 1C.153, 1B.115, 1C.154, 1B.116, 1C.152, 1B.112, 1C.156, 1B.112, 1C.156, 1B.113-116, 1C.152-155, 1B.117, 1C.151, 1D.122, 1E.141

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

DEC 26 2019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar
Date Of Allowance: August 23, 2019

PETITION TO THE DIRECTOR
UNDER
37 CFR §1.181(a)(3)

December 26, 2019

Under Secretary of Commerce for Intellectual Property and Director of
The United States Patent And Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, petitioning the United States Patent And Trademark Office, under 37 C.F.R. §1.181(a)(3), petition to the Under Secretary of Commerce for Intellectual Property and Director ('Director') of the United States Patent and Trademark Office ('Office'), for review and confirmation by the Director of this application's Information Disclosures' considerations in accordance to Examiner's '1449' filings dated 3-06-2012 and recent filings, within the last 2 months, of October and November.

To assist the Office's Examiner ('Examiner') in entering into the application file, Applicant has attached 'Disclosure Document's content and Non-Patent Literature.

STATEMENT OF FACTS

Applicant's 'Disclosure Document' program's documents #610142 and #610141 were submitted by Applicant on 12/15/2008 and considered by the Examiner 3/6/2012.

Applicant's Information Disclosure statements filed on 4/3/2009, 8/20/2010, 1/10/2012, 1/20/2012, containing Applicant's U.S. Patent Documents list and Non-Patent Literature Documents list, were considered by the Examiner with Notice of Non-Final Office Action dated 3/6/2012. Applicant's Non-Patent Literature content referenced, was submitted by Applicant on 8/20/2010 ('12316699SA'), 1/10/2012 ('1231669UA') and 1/20/2012 ('12316699ZA').

The 'Disclosure Document' files and Non-Patent Literature content has yet to be entered into the electronic application file, and are therefore included by Applicant now, in copy of their original form, to aid in confirmation of their containment and inclusion in the application file.

Applicant's U.S. Patent Documents list was supplied by Applicant on 12/27/2012 and considered by Examiner by Notice of Final Office Action dated 4/02/2013 are part of the electronic application file record.

Applicant, with due diligence, believing to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98 made multiple bona-fide attempts to supply all prior-art information and disclosure.

POINTS FOR REVIEW

Information Disclosures were submitted in accordance to 37 C.F.R. §1.97(b)(3), prior to the mailing of the first Office action on the merits (FOAM) and were considered on the date of said mailing March 6, 2012.

Applicant submitted the Non-Patent Literature and a descriptive documents list, via excel spreadsheets presented, with hash checksums for verification, as well as filesizes and dates of generation and/or archive, with respect for system security and safety.

Applicant, in his part in duty, of candor, for disclosure as per Rule 56 (37 C.F.R. §1.56) present this Disclosure Document and Non-Patent Literature document contents.

During an Applicant initiated interview, on 11/27/2019, Applicant and Examiner discussed the Patent Documents and Non-Patent Literature accepted, referenced and considered by the Examiner, along with the remaining references pending final review.

ACTION REQUESTED

Review and confirmation into the file from the Director of the Disclosure Documents and Information Disclosures submitted and considered to the Office with indication into application file #12/316,699.

Applicants kindly, with respect, asks the Director for assistance. Thank you.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

/dsl 15062019/
Douglas Scott Litsey
Applicant

Certification as to this correspondence delivered to the "Under Secretary of Commerce for Intellectual Property and Director, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450" via EFS on this day, December 26, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant

IntelEDoc – Intelligent Electronic Document

Invention Disclosure Document

iBailBonding.com
<http://www.iBailBonding.Com>

Saturday, December 16, 2006

RECEIVED
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DEC 26 2019

Inventor's Information

Title: **IntellEDoc – Intelligent Electronic Document**
Inventor: **Guymon, John Lewis Jr., Salt Lake City, UT (US)**
Correspondence **iBailBonding.Com**
Name and Address: **1635 East 7080 South**
Salt Lake City, UT 84121 US
Telephone: **(801) 943-8461**
E-mail Address: **JohnLewisGuymonJr@iBailBonding.Com**
Date: **Saturday, December 16, 2006**

Conception

Date of conception:

02/01/2006

Circumstances Of Conception:

Derived from original eSuretyBailBond document conception.

Previous Disclosure of Conception:

Internal company discussion with 'Non-Disclosure / Confidentiality' agreement.

Purposes And Advantages Of Invention:

Needed single document. I established link between graphic and xml and designed it initially for the eSuretyBailBond. The IntellEDoc became the base document for the processing of electronic documents that contain both data formats.

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____ Witness: _____

IntellEDoc – Intelligent Electronic Document
Invention Disclosure Document

iBailBonding.com

Page 2 of 4

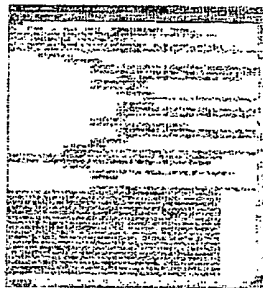
Description:

The IntellEDoc is a base design for an electronic document that is a single data file that supports complete eCommerce document processing. The document is able to be easily created, distributed, viewed, achieved and reviewed at any time without a third party software or intervention required. Further document or internal digital signature verification is possible for document security.

Construction:

The IntellEDoc is a generic electronic document container that allows customization of visual and XML data set creation.

Sketches:



Visual document is shown here. The actual text and/or graphics can be anything and would represent the XML data equivalent.

IntellEDoc - Dual image and XML data document

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____ Witness: _____

IntellEDoc – Intelligent Electronic Document
Invention Disclosure Document

iBallBonding.com

Page 3 of 4

Operation:

An application can be developed for data collection and graphic processing that incorporates the data via XML text inside the physical graphic image. Further, the XML data can be easily extracted for processing, verification or other usage. The document can include an internal verification process as well as an external hash verification process that allows the document to be verified in both directions from vendor to recipient and back again.

Ramifications:

The creation of a single file document that serves the two data presentation purposes of both visual as well as XML data processing allows simple and secure eCommerce transactions. Simple, secure operation is possible along with dual direction hash value verification for document authenticity purposes. The size and format of the IntelLEDoc document is the smallest possible for the data contained and is ready for archive and retrieval needs.

Novel features:

The IntelLEDoc is the simplest form of an electronic document that contains both visual as well as XML data. The document is completely secure with dual direction verification. Additionally, the document can contain digital signatures for eCommerce support. Transactional processing and history can also be incorporated within the document structure.

Signed: Saturday, December 16, 2006

Inventor: _____

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____ Witness: _____

IntelLEDoc – Intelligent Electronic Document
Invention Disclosure Document

iBailBonding.com
Page 4 of 4

eSuretyBailBond - Electronic Surety Bail Bond

Invention Disclosure Document

iBailBonding.com

<http://www.iBailBonding.Com>

Saturday, December 16, 2006

RECEIVED
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DEC 26 2019

Inventor's Information

Title: **eSuretyBailBond – Electronic Surety Bail Bond Document**
Inventor: **Guymon, John Lewis Jr., Salt Lake City, UT (US)**
Correspondence **iBailBonding.Com**
Name and Address: **1635 East 7080 South**
Salt Lake City, UT 84121 US
Telephone: **(801) 943-8461**
E-mail Address: **JohnLewisGuymonJr@iBailBonding.Com**

Conception

Date of conception:

09/09/2002.

Circumstances Of Conception:

Needed for single document processing in the software application suite that was under development during the time.

Previous Disclosure of Conception:

Internal company discussion with 'Non-Disclosure / Confidentiality' agreement.

Purposes And Advantages Of Invention:

Needed single document. Established surety power of attorney legality ability in electronic document. Separated base electronic document and created IntelLEDoc concept.

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____ Witness: _____

eSuretyBailBond – Electronic Surety Bail Bond
Document
Invention Disclosure Document

iBailBonding.com

Page 2 of 4

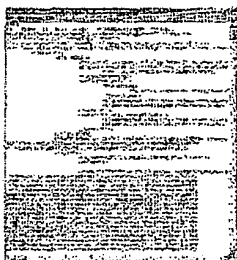
Description:

Based upon the IntellEDoc principle, the eSuretyBailBond is a surety bail bond document in electronic form containing eCommerce capabilities for both an Insurance company's Power of Attorney and DOJ GJXDM data model support. The eSuretyBailBond is IntellEDoc based electronic document that includes digitally signed notarized XML data sets supporting the Surety and Bail Bonding Industries as well as the Department Of Justice's data sharing technologies. The eSuretyBailBond offers extensive security for Financial and Legal industry and complies with the latest data requirements of the Federal Government.

Construction:

Originally created for bail bonding industry, the construction has become simplified and standardized by way of the IntellEDoc process. Further, the eSuretyBailBond confirms to the latest technological standards for XML processing for the Department of Justice's bond document processing.

Sketches:



STATE OF ILLINOIS	
<p>STATE OF ILLINOIS</p> <p>County of []</p> <p>On this [] day of [] 2006, I, []</p>	<p>STATE OF ILLINOIS</p> <p>County of []</p> <p>On this [] day of [] 2006, I, []</p>

eSuretyBailBond - Electronic Surety Bail Bond Document

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____

Witness: _____

eSuretyBailBond – Electronic Surety Bail Bond Document
Invention Disclosure Document

iBailBonding.com

Operation:

An application can be developed for an IntelLEDoc based multilevel document that incorporates both a surety Power Of Attorney document as well as a bonding company's execution of a bail bond attached to the surety Power Of Attorney. Both IntelLEDoc based documents can become one combined document that contains multiple XML data elements.

Ramifications:

The bail bond process needs a process that corrects the legal creation of bond creation and distribution. The attributes of the IntelLEDoc based document makes the eSuretyBailBond the best process available for the industry. Online Electronic Surety Bail Bond Process – The dynamic environment of electronic document creation combined with the electronic delivery of the surety insured bail bond allows new industry operations for improved handling, secured processing, and immediate control of the bail bond document.

Novel features:

The eSuretyBailBond is the first bail bond document that is in electronic format containing digital signatures and notarization possibilities. Further, the XML data is in the standards proposed by the Department Of Justice in the GJXDM definition.

Signed: December 16, 2006
Inventor: _____

The following understand, have witnessed, and agree not to disclose the above confidential information. Signed and dated this Saturday, December 16, 2006.

Witness: _____ Witness: _____

eSuretyBailBond – Electronic Surety Bail Bond
Document
Invention Disclosure Document

iBailBonding.com

Page 4 of 4

Acknowledgement Receipt









The USPTO has received your submission at **17:08:27** Eastern Time on **26-DEC-2019**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information

EFS ID	38143537
Application Number	12316699
Confirmation Number	1800
Title	Securable independent electronic document
First Named Inventor	John Lewis Guymon
Customer Number or Correspondence Address	John Lewis Guymon Jr 4439 W 6060 S Kearns UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filed By	John Lewis Guymon Jr
Attorney Docket Number	
Filing Date	15-DEC-2008
Receipt Date	26-DEC-2019
Application Type	Utility under 35 USC 111(a)

Application Details

Sequence	Submitted Files	Page Count	Document Description	File Size	Warnings
1	APortfolio.pdf	1	Non Patent Literature	3278712 bytes	 PASS
	No validation errors found.				
2	BPortfolio.pdf	1	Non Patent Literature	7119768 bytes	 PASS
	No validation errors found.				
3	CPortfolio.pdf	1	Non Patent Literature	838269 bytes	 PASS
	No validation errors found.				
4	DPortfolio.pdf	1	Non Patent Literature	422051 bytes	 PASS
	No validation errors found.				
5	EPortfolio.pdf	1	Non Patent Literature	376389 bytes	 PASS
	No validation errors found.				
6	FPortfolio.pdf	1	Non Patent Literature	8508140 bytes	 PASS
	No validation errors found.				
7	GPortfolio.pdf	1	Non Patent Literature	508654 bytes	 PASS
	No validation errors found.				
8	HPortfolioH001-H100.pdf	1	Non Patent Literature	21804653	 PASS

bytes

No validation errors found.

9 HPortfolioH101-H200.pdf 1 Non Patent Literature 22103016 bytes PASS

No validation errors found.

10 HPortfolioH201-H300.pdf 1 Non Patent Literature 18217472 bytes PASS

No validation errors found.

11 HPortfolioH301-H350.pdf 1 Non Patent Literature 22779860 bytes PASS

No validation errors found.

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No validation errors found.

15 HPortfolioH601-H685.pdf 1 Non Patent Literature 14429991 bytes PASS

No validation errors found.

16 JPortfolioJ004-J057.pdf 1 Non Patent Literature 16974399 bytes PASS

No validation errors found.

17 JPortfolioJ060-J123.pdf 1 Non Patent Literature 21864583 bytes PASS

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18 KPortfolio.pdf 1 Non Patent Literature 124197 bytes PASS

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No validation errors found.

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26 VPortfolio.pdf 1 Non Patent Literature 376386 bytes  PASS

No validation errors found.

27 YPortfolio.pdf 1 Non Patent Literature 656783 bytes  PASS

No validation errors found.

28 NonPatentLiteratureDocumentList.pdf 28 Other Reference-Patent/App/Search documents 344396 bytes  PASS

No validation errors found.

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New Applications Under 35 U.S.C. 111

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New International Application Filed with the USPTO as a Receiving Office

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If you need help:

- *To ask questions about Patent e-Filing, or to suggest improvements to the online system, or report technical problems, please call the Patent Electronic Business Center at (866) 217-9197 (toll free) or send email to EBC@uspto.gov.*
- *Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).*
- *For general questions regarding a petition, or requirements for filing a petition, contact the Office of Petitions Help Desk at 1 800-786-9199.*

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DEC 26 2019

FAX COVER SHEET

To: USPTO

Fax#: 571-273-8300

From: John Lewis Guyman Jr

App#^o: 12/316,69

Date: December 26, 2019

Pages: 15

- 1 - COVER
- 3 - 1.181 Petition
- 8 - Disclosure Documents
- 3 - EFS Receipts
of
Non Patent Literature
Submission

Electronic Patent Application Fee Transmittal

Application Number:	12316699
Filing Date:	15-Dec-2008
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Filer:	John Lewis Guymon Jr
Attorney Docket Number:	

Filed as Micro Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 2 months with \$0 paid	3252	1	150	150
Miscellaneous:				
Total in USD (\$)				150

Electronic Acknowledgement Receipt

EFS ID:	38125518
Application Number:	12316699
International Application Number:	
Confirmation Number:	1800
Title of Invention:	Securable independent electronic document
First Named Inventor/Applicant Name:	John Lewis Guymon
Correspondence Address:	John Lewis Guymon Jr - 4439 W 6060 S - Kearns UT 84118 US 801-930-8229 johnlewisguymonjr@yahoo.com
Filer:	John Lewis Guymon Jr
Filer Authorized By:	
Attorney Docket Number:	
Receipt Date:	23-DEC-2019
Filing Date:	15-DEC-2008
Time Stamp:	15:57:05
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$150

RAM confirmation Number	E2019BMF58168492
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Fee Worksheet (SB06)	fee-info.pdf	29769 7a4e90bc4c2cef76257ab2f2838ca285ed0c08f1	no	2

Warnings:

Information:

Total Files Size (in bytes):	29769
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DEC 23 2019

FAX COVER LETTER.

Note: Please run Caapin
From John L. Guymon

App# 12/316,699

Date: 12/20/2019.

Fax# 571 273 8300

Pages: 4 (1-cover)
2-EXTENSIONS
1-CREDIT CARD FORM

#Redo credit card

571 273 8300

DEC 23 2019

PTO/SB/22 (03-13)
Approved for use through 11/30/2020, OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket Number (Optional)																														
Application Number 12/316699	Filed 12-15-2008																															
For Response to 'Notice to File Corrected Application Papers', mailed 10/24/2019																																
Art Unit 3649	Examiner ABRISHAMKAR, KAVEH																															
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center;"><u>Fee</u></th> <th style="text-align: center;"><u>Small Entity Fee</u></th> <th style="text-align: center;"><u>Micro Entity Fee</u></th> <th style="width: 10%;"></th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td> <td style="text-align: center;">\$200</td> <td style="text-align: center;">\$100</td> <td style="text-align: center;">\$50</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input checked="" type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td> <td style="text-align: center;">\$600</td> <td style="text-align: center;">\$300</td> <td style="text-align: center;">\$150</td> <td style="text-align: right;">\$ 150</td> </tr> <tr> <td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td> <td style="text-align: center;">\$1,400</td> <td style="text-align: center;">\$700</td> <td style="text-align: center;">\$350</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td> <td style="text-align: center;">\$2,200</td> <td style="text-align: center;">\$1,100</td> <td style="text-align: center;">\$550</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td> <td style="text-align: center;">\$3,000</td> <td style="text-align: center;">\$1,500</td> <td style="text-align: center;">\$750</td> <td style="text-align: right;">\$ _____</td> </tr> </tbody> </table> <p><input type="checkbox"/> Applicant asserts small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number _____</p> <p><input type="checkbox"/> Payment made via EFS-Web.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>I am the</p> <p><input checked="" type="checkbox"/> applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) statement is enclosed (Form PTO/SB/96).</p> <p><input type="checkbox"/> attorney or agent of record. Registration number _____</p> <p><input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number _____</p> <p><u>/John Lewis Guymon Jr/</u> <u>12/20/2019</u> Signature Date</p> <p><u>John Lewis Guymon Jr</u> <u>801-930-8229</u> Typed or printed name Telephone Number</p> <p>NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below.</p> <p><input checked="" type="checkbox"/> Total of <u>2</u> forms are submitted.</p>				<u>Fee</u>	<u>Small Entity Fee</u>	<u>Micro Entity Fee</u>		<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$ _____	<input checked="" type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$ 150	<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$ _____	<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$ _____	<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$ _____
	<u>Fee</u>	<u>Small Entity Fee</u>	<u>Micro Entity Fee</u>																													
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PTO/SB/22 (03-13)

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Sale Processing

Request Type ID Request ID
 SALEP-20191223-68791 REQST-20191223-68790

Close

Status: Invalid

Reason(s): 12316699 cannot process

Fee Collection Payment Methods Allocation

New Application	Sale Item Reference Type	Sale Item Reference #	Associated Reference Type	Associated Reference #	Other Reference Type	Other Reference #	Attorney Docket #
	Application Number	12316699	Application Number	12316699			
Effective Date	Received Date	Fee Code	Description	Fee Amount	Qty	Total	
12/20/2019	12/20/2019	3252	EXTENSION FOR RESPONSE WITHIN SECOND MONTH	\$150.00	1	\$150.00	
				Sub-total		\$150.00	
				Grand Total		\$150.00	

The credit card ending in 2452 could not be charged.

Credit

DEC 20 2019

FAX COVER LETTER.

From John L. Guymon

App# 12/316,699

Date: 12/20/2019.

Fax# 571 273 8300

Pages: 4 (1-COVER)
2-EXTENSIONS
1-CREDIT CARD FORM

571 273 8300

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)	Docket Number (Optional)
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Application Number 12/316699	Filed 12-15-2008
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For **Response to 'Notice to File Corrected Application Papers', mailed 10/24/2019**

Art Unit 3649	Examiner ABRISHAMKAR, KAVEH
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This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application.

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- I am the
- applicant/inventor.
 - assignee of record of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) statement is enclosed (Form PTO/SB/96).
 - attorney or agent of record. Registration number _____.
 - attorney or agent acting under 37 CFR 1.34. Registration number _____.

<u>/dsl 15062019/</u>	<u>12/20/2019</u>
Signature	Date
Douglas Scott Litsey	801-930-8229
Typed or printed name	Telephone Number

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

* Total of 2 forms are submitted.

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Date: 12/20/2019

Document Code: IMIS

Office of Patent Application Process
Office of Initial Processing Division

Original Application Number: 12/316699

Name of Contact: Brittany Ayala

Phone Number: 801 633-0817

NO telephone conversation with the applicant on _____

The following instructions have been given:

CREDIT CARD DECLINED,

Michelle Evans-Terrell

(Please print name)

Office of Initial Processing Division

Effective Date * Received Date * Fee Code * Fee Amount Qty * Attorney Docket #

12/20/2019 12/20/2019

Sale Item Reference Type * Sale Item Reference # * Associated Reference Type * Associated Reference # * Other Reference Type Other Reference #

Application Number 12316699 Application Number 12316699

Add Clear

New Application Sale Item Reference Type Sale Item Reference # Associated Reference Type Associated Reference # Other Reference Type Other Reference # Attorney Docket #

Application Number 12316699 Application Number 12316699

Effective Date	Received Date	Fee Code	Description	Fee Amount	Qty	Total
12/20/2019	12/20/2019	3252	EXTENSION FOR RESPONSE WITHIN SECOND MONTH	\$150.00	1	\$150.00
				Sub-total		\$150.00
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

7590 12/06/2019
John Lewis Guymon Jr
4439 W 6060 S
Kearns, UT 84118

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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12/06/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) ____.

(2) John Guymon. (4) ____.

Date of Interview: 27 November 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: N/A.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

Applicant inquired about the non-considered references on the Information Disclosure Statement (IDS).

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
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Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.



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Address to:
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Alexandria, VA 22313-1450

Application Number	12/316699
Filing Date	12/15/2008
First Named Inventor	John Lewis Guymon Jr
Art Unit	3649
Examiner Name	BRISHAMKAR, KAVEH
Attorney Docket Number	

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The address associated with Customer Number:

OR

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Address **4439 W 6060 S**

City **Kearns** State **UT** Zip **84118**

Country **United States**

Telephone **801-930-8229** Email **johnlewisguymonjr@yahoo.com**

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I am the:

Applicant/Inventor

Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or agent of record. Registration Number _____

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

Typed or Printed Name **John Lewis Guymon Jr**

Date **11/22/2019** Telephone **801-930-8229**

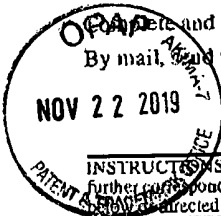
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below!

*Total of **3** forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PART B - FEE(S) TRANSMITTAL



Fill out and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

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John Lewis Guymon Jr
11/22/2019

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
12/316,699 12/15/2008 John Lewis Guymon Jr. 1800

TITLE OF INVENTION: Screenable independent electronic document

Table with 7 columns: APPLN. TYPE, ENTITY STATUS, ISSUE FEE DUE, PUBLICATION FEE DUE, PREV. PAID ISSUE FEE, TOTAL FEE(S) DUE, DATE DUE
nonprovisional MICRO \$250 \$0.00 \$0.00 \$250 11/25/2019

Table with 3 columns: EXAMINER, ART UNIT, CLASS-SUBCLASS
ABRISHAMKAR, KAVEH 3649 713-189000

- 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

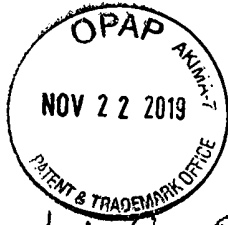
(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)
Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. Fees submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies
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The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No.

5. Change in Entity Status (from status indicated above)
Applicant certifying micro entity status. See 37 CFR 1.29
Applicant asserting small entity status. See 37 CFR 1.27
Applicant changing to regular undisclosed fee status.
NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications

Authorized Signature: John Lewis Guymon Jr Date: 11/22/2019
Typed or printed name: John Lewis Guymon Jr Registration No.



To: USPTO Fee (ISSUE)

Fax #: 571-273-2885

From: John Lewis Guymon Jr

Appl #: 12/316699

Date: 11/22/2019

TOTAL PAGES: 3

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PTO/SB/122 (04-15)

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<p align="center">CHANGE OF CORRESPONDENCE ADDRESS Application</p> <p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p>	Application Number	12/316699
	Filing Date	12/15/2008
	First Named Inventor	John Lewis Guymon Jr
	Art Unit	3649
	Examiner Name	BRISHAMKAR, KAVEH
	Attorney Docket Number	

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Country **United States**

Telephone **801-930-8229** Email **johnlewisguymonjr@yahoo.com**

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Applicant/Inventor

Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or agent of record. Registration Number _____

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

Typed or Printed Name **John Lewis Guymon Jr**

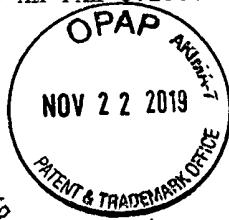
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To: USPTO Fee (ISSUE)

Fax #: 571-273-2885

From: John Lewis Guymon Jr

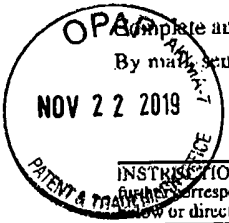
App #: 12/316699

Date: 11/22/2019

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Signature of John Lewis Guymon Jr, dated 11/22/2019

Table with columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO. Values: 12/316,699, 12/15/2008, John Lewis Guymon JR., 1800

TITLE OF INVENTION: Securable independent electronic document

Table with columns: APPLN. TYPE, ENTITY STATUS, ISSUE FEE DUE, PUBLICATION FEE DUE, PREV. PAID ISSUE FEE, TOTAL FEE(S) DUE, DATE DUE. Values: nonprovisional, MICRO, \$250, \$0.00, \$0.00, \$250, 11/25/2019

Table with columns: EXAMINER, ART UNIT, CLASS-SUBCLASS. Values: ABRISHAMKAR, KAVENI, 3649, 713-189000

- 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type): PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): Individual, Corporation or other private group entity, Government

4a. Fees submitted: Issue Fee, Publication Fee (if required), Advance Order - # of Copies
4b. Method of Payment: Electronic Payment via EFS-Web, Enclosed check, Non-electronic payment by credit card (Attach form PTO-2038)
The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No.

5. Change in Entity Status (from status indicated above)
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Applicant asserting small entity status. See 37 CFR 1.27
Applicant changing to regular undiscounted fee status.
NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.
Authorized Signature: John Lewis Guymon Jr, Date: 11/22/2019, Typed or printed name: John Lewis Guymon Jr, Registration No.



Exam Init's	Cite #	Num	Kind Code	Date	Author	Pages Cited
	E001	8191158				
	E002	8191157				
	E003	8190528				
	E004	8185478				
	E005	8185473				
	E006	8181021				
	E007	8141165				
	E008	8140850				
	E009	8130952				
	E010	8112625				
	G001	8086662				
	G002	8082293				
	E011	8055913				
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	E013	7984509				
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	G003	7894997				
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	G005	7792862				
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	E026	7761916				
	E027	7752466				
	E028	7747858				
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	E030	7734553				
	E031	7703130				
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	E037	7587369				
	E038	7581092				
	E039	7580988				

Examiner's Signature _____

Date Considered: _____

	E040	7577609			
	E041	7523194			
	A007	7454700	Nov-08	Jones , et al.	
	A008	7451392	Nov-08	Chalecki , et al.	
	C001	7451156	Nov-08	Ornstein , et al.	
	C002	7441185	Oct-08	Coulson , et al.	
	E042	7430585			
	A009	7421645	Sep-08	Reynar	
	C003	7418652	Aug-08	Ornstein , et al.	
	B038	7415617	Aug-08	Ginter	
	A010	7409399	Aug-08	Miyamoto	
	A011	7401291	Jul-08	Ramaley , et al.	
	E044	7392395			
	A012	7389420	Jun-08	Tian	
	A013	7386804	Jun-08	Ho , et al.	
	C004	7383502	Jun-08	Shur , et al.	
	C005	7383500	Jun-08	Shur , et al.	
	C006	7373330	May-08	Klebe	
	A014	7370206	May-08	Goldman	
	A015	7370018	May-08	Bryant, Jr. , et al.	
	C007	7366982	Apr-08	Shur , et al.	
	A016	7366338	Apr-08	Jones , et al.	
	G008	7362323			
	A017	7360093	Apr-08	de Queiroz; Ricardo L.	
	C008	7359902	Apr-08	Ornstein , et al.	
	C009	7356143	Apr-08	Morten	
	D001	7350238	Mar-08	Abe , et al.	
	D002	7350237	Mar-08	Vogel , et al.	
	A018	7343339	Mar-08	Harrison, Jr. , et al.	
	A019	7340601	Mar-08	Wray	
	C010	7330974	Feb-08	Silverbrook , et al.	
	C011	7330560	Feb-08	Donescu	
	A020	7302467	Nov-07	Matsuda , et al.	
	C012	7299408	Nov-07	Daconta , et al.	
	C013	7296217	Nov-07	Eamshaw , et al.	
	A021	7295679	Nov-07	Otsuki	
	C014	7295207	Nov-07	Yoon , et al.	
	B005	7287284	Oct-07	Hayashi	
	A022	7281133	Oct-07	Ginter , et al.	
	A023	7277925	Oct-07	Wamock	
	A024	7266527	Sep-07	Martin	
	A025	7260585	Aug-07	Krishnaprasad , et al.	
	C015	7251832	Jul-07	Venters, III , et al.	
	C016	7251644	Jul-07	Viola , et al.	
	B002	7245740	Jul-07	Suzaki	
	E046	7243236			
	A026	7239717	Jul-07	Fridrich , et al.	
	E047	7233948			

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Date Considered: _____

	E048	7213266			
	G009	7210035			
	B003	7206432		Apr-07	Iwamura
	A027	7200597		Apr-07	Grizzard
	C017	7199804		Apr-07	Bhattacharyay , et al.
	B004	7197156		Mar-07	Levy
	A028	7191392		Mar-07	Coar
	C018	7191186		Mar-07	Pullen
	C019	7181017		Feb-07	Nagel , et al.
	C020	7172122		Feb-07	Alleshouse
	A029	7165174		Jan-07	Ginter , et al.
	E049	7165174			
	A030	7162635		Jan-07	Bisbee , et al.
	A031	7150399		Dec-06	Barrus , et al.
	A032	7149760		Dec-06	Breuer
	A033	7143290		Nov-06	Ginter , et al.
	E051	7143066			
	A034	7143062		Nov-06	Turk , et al.
	E052	7136840			
	A035	7134020		Nov-06	Eagle , et al.
	A036	7133846		Nov-06	Ginter , et al.
	E054	7133845			
	B006	7130087		Oct-06	Rhoads
	E055	7124302			
	E056	7120802			
	E057	7120800			
	B007	7114750		Oct-06	Alasia , et al.
	E058	7110983			
	E059	7107448			
	E060	7100199			
	E061	7095854			
	E062	7092914			
	A037	7092910		Aug-06	Iwamura
	A038	7089248		Aug-06	King , et al.
	B008	7088843		Aug-06	Pelly
	A039	7082216		Jul-06	Jones , et al.
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	E064	7069451			
	E065	7062500			
	E066	7058805			
	A040	7051212		May-06	Ginter , et al.
	A041	7051206		May-06	Giest , et al.
	A042	7051205		May-06	Horiguchi , et al.
	A043	7051002		May-06	Keresman, III , et al.
	E068	7050586			
	A044	7047419		May-06	Black
	G010	7047415			
	A045	7047411		May-06	DeMello , et al.

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Date Considered: _____

A046	7047404		May-06	Doonan , et al.	
A047	7044381		May-06	Silverbrook , et al.	
A048	7043456		May-06	Lindskog , et al.	
E069	7043441				
A049	7043411		May-06	Ronaldson , et al.	
A050	7043025		May-06	Allredge	
A051	7039812		May-06	Kawan , et al.	
A052	7039805		May-06	Messing	
D004	7039626		May-06	Hirata	
A053	7039616		May-06	Wendenburg , et al.	
A054	7036076		Apr-06	Anwar	
A055	7036015		Apr-06	Vanstone , et al.	
A056	7035914		Apr-06	Payne , et al.	
A057	7035830		Apr-06	Shaikh	
A058	7035817		Apr-06	Brothers	
A059	7035409		Apr-06	Moskowitz	
A060	7032224		Apr-06	Kadokia , et al.	
D005	7032030		Apr-06	Codignotto	
A061	7031943		Apr-06	James , et al.	
A062	7028191		Apr-06	Michener , et al.	
A063	7028189		Apr-06	Mihcak , et al.	
A064	7028187		Apr-06	Rosen	
A065	7028184		Apr-06	Hind , et al.	
A066	7028182		Apr-06	Killcommons	
A067	7028180		Apr-06	Aull , et al.	
A068	7025276		Apr-06	Lapstun , et al.	
A069	7024390		Apr-06	Mori , et al.	
A070	7021534		Apr-06	Kiliccote	
D006	7020839		Mar-06	Hosoda	
A071	7020781		Mar-06	Saw , et al.	
A072	7020777		Mar-06	Mihcak , et al.	
A073	7020645		Mar-06	Bisbee , et al.	
A074	7020640		Mar-06	Zucchetti	
A075	7017046		Mar-06	Doyle , et al.	
A076	7016516		Mar-06	Rhoads	
A077	7016495		Mar-06	Scheidt , et al.	
A078	7013286		Mar-06	Aggarwal , et al.	
D007	7013041		Mar-06	Miyamoto	
A079	7012710		Mar-06	Lapstun , et al.	
A080	7010697		Mar-06	Byrne , et al.	
D008	7010570		Mar-06	Boies , et al.	
D009	7007076		Feb-06	Hess , et al.	
A081	7006656		Feb-06	Fridrich , et al.	
D010	7006243		Feb-06	Simpson , et al.	
A082	7003166		Feb-06	Abhyankar , et al.	
A083	7003132		Feb-06	Rhoads	
A084	7000186		Feb-06	Gropper , et al.	
A085	7000110		Feb-06	Terao	

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Date Considered: _____

A086	7000105		Feb-06	Tallent, Jr. , et al.	
A087	6999936		Feb-06	Sehr	
A088	6996723		Feb-06	Kyojima , et al.	
A089	6993656		Jan-06	Peyravian , et al.	
A090	6993655		Jan-06	Hecht , et al.	
A091	6993153		Jan-06	Bradley	
A092	6991174		Jan-06	Zuili	
A093	6991154		Jan-06	Silverbrook , et al.	
A094	6991153		Jan-06	Silverbrook , et al.	
A095	6990684		Jan-06	Futamura , et al.	
A096	6990504		Jan-06	Powell , et al.	
A097	6990480		Jan-06	Burt	
A098	6988279		Jan-06	Kanevsky	
A099	6988199		Jan-06	Toh , et al.	
A100	6985888		Jan-06	Cordery , et al.	
A101	6985886		Jan-06	Broadbent , et al.	
A102	6985589		Jan-06	Morley , et al.	
A103	6983309		Jan-06	Yoshizawa	
A104	6982625		Jan-06	Chainer , et al.	
A105	6981151		Dec-05	Groeneveld , et al.	
A106	6978366		Dec-05	Ignatchenko , et al.	
A107	6976172		Dec-05	Girard	
A108	6976165		Dec-05	Carpentier , et al.	
A109	6975992		Dec-05	Tucker , et al.	
A110	6975915		Dec-05	Robitaille , et al.	
A111	6975746		Dec-05	Davis , et al.	
A112	6975733		Dec-05	Choi , et al.	
A113	6973646		Dec-05	Bordawekar , et al.	
A114	6973444		Dec-05	Blinn , et al.	
D011	6972763		Dec-05	Millett , et al.	
A115	6970836		Nov-05	Paltenghe , et al.	
A116	6968455		Nov-05	Okayasu , et al.	
A117	6968319		Nov-05	Remington , et al.	
A118	6968058		Nov-05	Kondoh , et al.	
A119	6966048		Nov-05	Bowers	
A120	6965904		Nov-05	Bankert , et al.	
A121	6961902		Nov-05	Anecki , et al.	
A122	6961854		Nov-05	Serret-Avila , et al.	
B009	6961441		Nov-05	Hershey , et al.	
B010	6959100		Oct-05	Rhoads	
A123	6954542		Oct-05	Miyake , et al.	
E070	6950867				
E071	6948070				
D012	6947162		Sep-05	Rosenberg , et al.	
A124	6940995		Sep-05	Choi , et al.	
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E072	6938021				
D014	6925597		Aug-05	Anwar	

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	A125	6918082		Jul-05	Gross , et al.	
	B011	6915433		Jul-05	Barber	
	D015	6912462		Jul-05	Ogaki	
	D016	6910068		Jun-05	Zintel , et al.	
	C021	6908034		Jun-05	Alleshouse	
	D017	6904454		Jun-05	Stickler	
	D018	6904176		Jun-05	Chui , et al.	
	D019	6900905		May-05	Simpson , et al.	
	A126	6892947		May-05	Jam , et al.	
	A127	6892352		May-05	Myers	
	B012	6880755		Apr-05	Gorbet , et al.	
	A128	6862599		Mar-05	King	
	B013	6859155		Feb-05	Kondo , et al.	
	G012	6857124				
	B014	6850624		Feb-05	Shinoda , et al.	
	A129	6834209		Dec-04	Potz , et al.	
	E073	6832316				
	B015	6826291		Nov-04	Yoshiura , et al.	
	B016	6807285		Oct-04	Iwamura	
	E074	6785815				
	B017	6782509		Aug-04	Hirayama , et al.	
	A130	6748380		Jun-04	Poole , et al.	
	B018	6748362		Jun-04	Meyer , et al.	
	B019	6731775		May-04	Ancin	
	D003	6717573		Apr-04	Shahoian , et al.	
	A131	6714202		Mar-04	Dorrell	
	B020	6678390		Jan-04	Honsinger	
	B021	6678389		Jan-04	Sun , et al.	
	A132	6658568		Dec-03	Ginter , et al.	
	C022	6643383		Nov-03	Dugelay	
	E076	6640304				
	E077	6618484				
	C023	6616702		Sep-03	Tonkin	
	G013	6616701				
	A133	6535981		Mar-03	Shimizu	
	A134	6532476		Mar-03	King	
	C024	6507858		Jan-03	Kanerva , et al.	
	D020	6469692		Oct-02	Rosenberg	
	A135	6456726		Sep-02	Yu , et al.	
	E078	6449367				
	E079	6427140				
	A136	6418448		Jul-02	Sarkar	
	E080	6389402				
	G014	6381696				
	A137	6367013		Apr-02	Bisbee , et al.	
	E081	6363488				
	B022	6354630		Mar-02	Zhang , et al.	
	B023	6343138		Jan-02	Rhoads	

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	C025	6342954		Jan-02	Hisatomi , et al.	
	E082	6339765				
	B024	6334187		Dec-01	Kadono	
	B025	6307950		Oct-01	Powell , et al.	
	E083	6292569				
	B026	6278791		Aug-01	Honsinger	
	E084	6253193				
	A138	6246998		Jun-01	Matsumori	
	E085	6240185				
	E086	6237786				
	A139	6237096		May-01	Bisbee , et al.	
	A140	6209095		Mar-01	Anderson , et al.	
	A141	6192138		Feb-01	Yamadaji	
	E087	6185683				
	E088	6157721				
	C026	6138119		Oct-00	Hall , et al.	
	E090	6125349				
	A142	6112185		Aug-00	Walker , et al.	
	E091	6112181				
	A143	6065026		May-00	Cornelia , et al.	
	B027	6055321		Apr-00	Numao , et al.	
	A144	6047374		Apr-00	Barton; James M.	
	B028	6031914		Feb-00	Tewfik , et al.	
	A145	6006328		Dec-99	Drake	
	B029	6005643		Dec-99	Morimoto , et al.	
	E092	5982891				
	A146	5960411		Sep-99	Hartman , et al.	
	E093	5949876				
	B030	5946414		Aug-99	Cass , et al.	
	E094	5943422				
	E095	5920861				
	E096	5917912				
	E097	5915019				
	E098	5910987				
	B031	5894558		Apr-99	Falker	
	E099	5892900				
	A147	5887130		Mar-99	Doi , et al.	
	A148	5884272		Mar-99	Walker , et al.	
	B032	5870499		Feb-99	Bender , et al.	
	B033	5859920		Jan-99	Daly , et al.	
	A149	5855008		Dec-98	Goldhaber , et al.	
	A150	5845265		Dec-98	Woolston	
	G015	5838906				
	B034	5822436		Oct-98	Rhoads	
	A151	5806072		Sep-98	Kuba , et al.	
	A152	5794207		Aug-98	Walker , et al.	
	C027	5778372		Jul-98	Cordell , et al.	
	A153	5774870		Jun-98	Storey	

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	A154	5748738		May-98	Bisbee , et al.	
	B035	5689587		Nov-97	Bender , et al.	
	A155	5668945		Sep-97	Ohba , et al.	
	A156	5619571		Apr-97	Sandstrom , et al.	
	A157	5615268		Mar-97	Bisbee , et al.	
	E100	5410598				
	A158	5375197		Dec-94	Kang	
	B036	5149140		Sep-92	Mowry, Jr. , et al.	
	E101	5050213				
	A159	5022080		Jun-91	Durst , et al.	
	A160	5016009		May-91	Whiting , et al.	
	E102	4977594				
	G016	4847604				
	E103	4827508				
	B037	4790566		Dec-88	Boissier , et al.	
	A161	4751740		Jun-88	Wright	
	A162	4701745		Oct-77	Waterworth	
	A163	4631603		Dec-86	Ryan	
	A164	4264808		Apr-81	Owens , et al.	
	F001	20120166807				
	F002	20110197285				
	F003	20110173695				
	F004	20110145602				
	F005	20110131422				
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	F027	20090048978				
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H002	20080163038			
F031	20080148056			
F032	20080141033			
F033	20080134342			
F034	20080133415			
F035	20080127304			
F036	20080126803			
F037	20080126798			
F038	20080120240			
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F040	20080114698			
F041	20080109242			
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F045	20080077531			
F046	20080052541			
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F051	20080034228			
F052	20080034227			
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F056	20080031457			
F057	20080022137			
F058	20080022100			
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F070	20070245403			
F071	20070234069			
F072	20070226807			
F073	20070214030			
F074	20070192252			
F075	20070185813			

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	A005	20070106932		May-07	Coar, Michael J.	
	F076	20070064943				
	F077	20070061594				
	A001	20070011457		Jan-07	Dubinsky, Andrew M. ; et al.	
	F078	20060277122				
	F079	20060248353				
	F080	20060248016				
	F081	20060242075				
	F082	20060224903				
	F083	20060218651				
	F084	20060212722				
	F085	20060212370				
	F086	20060206397				
	F087	20060200392				
	F088	20060174326				
	A002	20060150151		Jul-06	Dubinsky, Andrew M.	
	H003	20060136719				
	C028	20060136477	A1	Jun-06	Bishop, Andrew ; et al.	
	H004	20060129982				
	F089	20060120525				
	A003	20060080599		Apr-06	Dubinsky, Andrew M.	
	F090	20060069926				
	F091	20060053158				
	F092	20050246541				
	F093	20050240771				
	F094	20050182956				
	F095	20050177716				
	A006	20050138382		Jun-05	Hougaard, Todd R. ; et al.	
	F096	20050060584				
	C029	20040237040	A1	Nov-04	Malkin, Wayne Allan ; et al.	
	F097	20040133793				
	C030	20040128813	A1	Jul-04	Wu, Yen-Hsing ; et al.	
	F098	20040123120				
	F099	20040107356				
	F100	20040103305				
	H005	20040078753				
	F101	20040054630				
	F102	20030191719				
	F103	20030163431				
	H006	20030154261				
	F104	20030105721				
	F105	20030088784				
	F106	20030069749				
	F107	20030069748				
	F108	20030066337				
	B001	20030046274		Mar-03	Erickson, John S. ; et al.	
	F109	20030046244				
	F110	20030041239				

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	F111	20030002673				
	H007	20020129241				
	F112	20020112171				
	H008	20020112157				
	C031	20020066019	A1	May-02	Amonou, Isabelle ; et al.	
	C032	20020059144	A1	May-02	Meffert, Gregory J. ; et al.	
	F113	20020048369				
	F114	20020023214				
	F115	20010042043				
	A004	20010039524		Nov-01	Harrison, Shelton E. JR. ; et al.	
	H009	20010030651				
	C033	20010027459	A1	Oct-01	Royal, Gregory T.	
	F116	20010026618				

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Date	Size	MD5	File	Exam Ini	Cite #	Title
2009-12-11	131361	502e1d	A001 ue		A001	Uniform Electronic Transactions Act (1999)
2009-12-11	687468	4647ad	A002 Se		A002	Secure Programming for Linux and Unix HOWTO - 11.5 Cryptoc
2009-12-11	109196	d0e40e	A004 as		A004	ASP File Upload using VBScript
2009-12-11	41984	d746c4	A005 Md		A005	Modeling biz docs in XML
2009-12-11	18220	f18613	A007 Cd		A007	Colorado Implements Level 3 Electronic Recording
2004-09-14	1581161	ccbc9e	A008 Md		A008	The Model Notary Act
2009-12-11	52537	bfa53c	A009 DS		A009	Infomosaic: The Easy to Use Digital Signature
2006-03-23	209408	d20ed0	A011 oa		A011	DSS Use Case Requirements Analysis
2007-10-14	42681	94e28e	A012 er		A012	Notaries and Electronic Notarization
2004-09-14	209408	feac14	A014 ar		A014	The Legal Requirements for Creating Secure and Enforceable
2009-12-11	499713	986db0	A015 nd		A015	The Free Introductory Signing Agent Training Course
2009-12-11	62475	b6e36e	A016 notary		A016	The Notary Office and Its Impact in The 21st Century
2009-12-11	84227	a39be2	A017 reFra		A017	REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in
2006-03-19	12634	0b151a	A018 Di		A018	A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT
2006-03-19	347742	2e1d98	A019 Nd		A019	A Position on Misleading Usage of Notary Terms in the Elect
2004-09-14	128516	71acbf	A020 di		A020	A Position On Digital Signature Laws And Notarization
2006-03-19	23250	ab3c86	A021 ir		A021	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR
2006-03-18	88458	27b8d6	A022 ZC		A022	Cumulative Notarization for Long-term Preservation of Digit
2009-12-11	37765	1d0f8d	A024 Re		A024	Requirements for Data Validation and Certification Services
2005-06-07	27501	49a0c3	A025 En		A025	ReadNotify Email Notary and Timestamping service
2009-12-11	25426	46c080	A026 pa		A026	Long term electronic signature os documents retention
2009-12-11	10633	acb5ab	A029 Ir		A029	Initial eNotary Signature Candidate Profiles
2005-06-07	134507	52a6ad	A030 pc		A030	Notarized Digital Signature
2009-12-11	25127	a60c3e	A031 Re		A031	The Electronic Notarization System Based on the Notarizati
2006-03-10	577195	1fded6	A032 Bu		A032	The Business Case for Electronic Signing
2005-11-06	209058	1b3d30	A033 7		A033	XML-Signature Syntax and Processing
2009-12-11	24003	f5b5c0	A035 Ve		A035	Software Publisher Digital Ids for Microsoft Authenticode
2009-12-11	34241	4a8b92	A038 EJ		A038	Electronic Signatures in Global and National Commerce Act
2009-12-11	23870	e65577	A041 RS		A041	What is digital timestamping?
2009-12-11	18921	bc74a6	A043 Li		A043	Howto: PGP and GnuPG
2009-12-11	17406	b6b791	A044 Cr		A044	Cryptography, PGP and Pine
2009-12-11	25420	44a968	A045 Tu		A045	Introduction to Public Key Cryptography
2009-12-11	60606	ee874d	A047 Ve		A047	Guide To Securing Intranet And Extranet Servers
2009-12-11	80943	e3f917	A050 Ti		A050	DigiStamp - The Trusted Third-Party Time Authority
2009-12-11	12,285	aab601	B001 TH		B001	The Story of PNG
2009-12-11	21,581	9ee6e0	B002 PN		B002	Current Status of PNG
2008-04-28	15,330	cd382f	B003 PN		B003	PNG: The Definitive Guide
2008-09-05	33,812	6ec963	B005 Hi		B005	History of the Portable Network Graphics (PNG) Format
2006-03-04	338,944	690833	B012 XN		B012	Digital Signature Tutorial
2009-12-11	452,676	6aa04e	B028 E-		B028	E-Sign and UETA: What Should States Do Now?
2009-12-11	6,846,266	01589f	B030 ZC		B030	No Sure Thing
2009-12-11	12,006	b21c75	B031 hi		B031	optiPNG - Revision History
2004-09-21	31,079	c26fec	C012 Ur		C012	Uniform Electronic Transactions Act
2009-12-11	19,159	4e4d44	C013 Or		C013	Authentication of Notarizations
2003-05-13	31,069	5d2068	C017 As		C017	Generate PKCS#7 Signatures and Envelopes
2009-12-11	87,925	1535a3	C018 PN		C018	PNG Transparency in Internet Explorer
2009-12-11	32,265	576797	D006 OF		D006	An Introduction To The Oasis LegalXML Electronic Court Filing
2008-07-22	104,802	bd0416	D020 di		D007	HB 1300
2006-03-18	134,278	c62fb9	D024 er		D024	Electronic Notarization
2006-03-04	30,762	9d8336	D026 en		D026	eNotarization
2006-03-23	52,327	17b915	D027 DS		D027	Uses cases submitted for consideration
2007-12-28	511,488	2f7fe8	D030 ds		D030	Digital Signature Guidelines
2007-09-16	22,307	721aa8	D033 Sc		D033	Open XML Court Interface
2005-11-06	179712	3c790a	E007 9		E007	A how-to guide for supporting digital signatures within SOA
2006-03-23	126464	40f30b	E010 Na		E010	Namespaces in XML
2005-11-29	944640	ab146f	E014 ec		E014	LegalXML Electronic Court Filing 3.0
2008-04-28	42396	7a4660	E018 PN		E018	PNG Frequently Asked Questions
2008-05-17	213908	da5ea9	F001 pr		F001	png-list 200109.htm
2008-11-04	259949	a58788	F002 Cd		F002	Cover Pages Web Services Interoperability Organization (WS-

2008-04-28	32538	ac733b	F003 H3	F003	History of PNG.htm
2008-08-31	20472	b7dc61	F004 Mc	F004	SMART Docs are Here! Ready For a SMART Envelope?
2008-08-18	104894	c635fc	F005 Pc	F005	Portable Document Format
2008-08-18	129521	8ca676	F006 Pc	F006	Portable Network Graphics
2008-06-22	84249	682fba	F018 Ac	F018	Adobe Acrobat
2008-06-22	165933	d3d450	F025 Em	F025	Embedding XMP Metadata in Application Files.htm
2008-06-15	5088	5743c4	F031 lj	F031	libmng - The MNG reference library & related info
2008-06-21	104095	067db7	F033 Nc	F033	Notary public
2008-06-21	75893	8249ec	F034 Nc	F034	NEW JERSEY NOTARY PUBLIC MANUAL
2008-06-15	155211	0a85d7	F038 Us	F038	USING THE IJG JPEG LIBRARY
2008-08-18	85590	9456df	F041 BM	F041	BMP file format
2008-06-21	39102	a773aa	F042 Ex	F042	Extensible Metadata Platform
2008-08-18	101132	6f4f3e	F044 Gr	F044	Graphics Interchange Format
2008-08-18	151985	c5dd5e	F045 JF	F045	JPEG
2008-08-18	114049	9d269b	F046 JF	F046	JPEG 2000
2008-08-18	20282	7a531e	F047 JF	F047	JPEG Network Graphics
2008-08-18	60326	18ca5b	F048 Mv	F048	Multiple-image Network Graphics
2008-08-18	74306	107e35	F052 Ta	F052	Tagged Image File Format
2004-09-21	103858	929d44	F056 19	F056	DIGITAL SIGNATURES The Key to Secure Internet Commerce
2008-08-18	83134	0e6ca0	F057 xm	F057	Revision history of Extensible Metadata Platform
2008-11-04	239629	869017	F061 Pu	F061	Publicly Available Standards.htm
2008-10-10	65927	11d57d	F062 A	F062	A Digital Object Approach to Interoperable Rights Management
2008-11-17	75749	ab33f5	F063 Dc	F063	DIS-29500: Deprecated before use?
2008-10-10	43907	48b525	F071 Pr	F071	Preview - SIIA Information Industry Summit 2007.htm
2008-10-28	24528	2c4f15	F072 Cu	F072	Current Patent Practice
2008-10-31	55003	4b2a0b	F074 Ne	F074	Next-Generation Secure Computing Base
2008-10-31	114324	ecf987	F075 Tr	F075	Trusted Computing
2008-10-31	41681	3f6a4b	F077 Tr	F077	Trusted Platform Module
2008-06-25	26239	e8451e	F102 Ak	F102	Adobe Streamlines Workflows for Publishers with New Metadata
2008-07-22	57719	262c54	F103 Ad	F103	Adobe - Creative Suite 3 Web Site Design, Graphic Design,
2008-06-21	28052	b861d3	F104 Ac	F104	Extensible Metadata Platform (XMP)Adding intelligence to me
2008-07-22	67232	a5c1c5	F107 Cd	F107	DIG35: Metadata Standard for Digital Images
2008-07-05	13622	5e3619	F111 IH	F111	NewsML-G2
2008-07-04	22774	f72615	F112 IH	F112	IPTC Metadata for XMP
2008-07-22	35211	c610ef	F113 ON	F113	ONJava com -- XML to PDF Oh, FOP It.htm
2008-07-22	33389	3c607b	F114 Oq	F114	The Power of Metadata
2008-07-22	170530	045bfe	F118 OW	F118	OWL Web Ontology Language Guide
2008-07-22	27834	1a5a28	F119 Pl	F119	Platform for Internet Content Selection (PICS)
2008-06-22	47553	bb2f15	F120 Re	F120	Resource Description Framework (RDF)
2008-07-04	11101	3e71c7	F123 TT	F123	Image2PDF 3.2 user manual
2008-07-04	12597	4c6d18	F125 tu	F125	SAA offers screencasts on key topics all photographers invc
2008-07-22	140436	0f1fea	F126 Ur	F126	Unicode
2008-07-22	111088	08339e	F127 UT	F127	UTF-8
2008-07-22	45315	b3f89f	F128 XM	F128	The XPath 2.0 Data Model
2008-07-22	33656	847618	F129 XM	F129	What Is XSLT
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	T033	Verify and Add Digital Signatures to Form Data in InfoPath
	T034	XML to HTML, Editable Dropdown List, Sending Large XML Files
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				D023	Historic Forum Brings Leaders and Industry Together on Elec
				D025	Safeguarding the
				D028	XML Advanced Electronic Signartures (XAdES)
				D029	Electronic
				D031	Justice Information Sharing Resource Directory:
				D032	The Global Justice Reference Architecture (JRA) Web Service
				D034	Global Justice Infornation Sharing Initiative:
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				D037	Information-Led Policing Research, Technology Development,
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
9/6/2012 1:03 PM
Literature Documents
Sheet (15) of (15)

Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: 2494
Examiner: KAVEH ABRISHAMKAR

				E016	SecureXML Digital Signature & Encryption
				E017	Online Carpentry: Refinishing Your Table of Contents
				E019	CDO for NT Server (CDONTS)



In the United States Patent and Trademark Office

1/Fm

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

I will be sending cleaner / updated version in a few days.

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

XCD might NOT be up date in mail 1/4/2012

Also: The back side of this in notes that if possible, could you copy & return to John Guymon 1635 E 9080th SLC UT 84121

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699.

This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Description	Filename	MD5Sum Checksum
This cover letter	ICc0103e.doc	n/a
Excel spread sheet - Disclosure details	Idc0103b.xls	deb4e05826fe5e95554e23fc8e435160
Disclosure reports (3) (Sorted via Title, Date and Cite#)	Paper form;	n/a

(Sort's allow ease of reference as well as time line analysis.)

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr
Telephone: (801) 943-8461
Cell: (801) 502-1828

801 833 0424

Also #2: For the likes of me I couldn't get CD to write properly - only had 1 CD today, and needed to send by today Thanks.

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I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant: *[Signature]*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./

It's almost like --- where's the CD?

I distinctly remember copying the "CD" which was a copy of the CD sent to USPTO

Patent #s { 719 4435
7818
sent → printed (this "file set" was apparently as very

Need to find the Patent # ref XLS file! latest & might be missed.

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- XLS for Info
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- TXT F3 (mids)
- XLS "XLS" (info)
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
1/2/2012 9:43 PM
Literature Documents
Sheet (1) of (13)



Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

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2009-12-11	499713	986db0	A015 no	Y		A015	The Free Introductory Signing Agent Training Course
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2009-12-11	84227	a39be2	A017 re	Y	d	A017	REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in
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2006-03-19	347742	2e1d98	A019 No	Y		A019	A Position on Misleading Usage of Notary Terms in the Elect
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2006-03-18	88458	27b8d6	A022 20	Y		A022	Cumulative Notarization for Long-term Preservation of Digit
2009-12-11	37765	1d0f8d	A024 Re	Y		A024	Requirements for Data Validation and Certification Services
2005-06-07	27501	49a0c3	A025 Em	Y		A025	ReadNotify Email Notary and Timestamping service
2009-12-11	25426	46c080	A026 pa	Y		A026	Long term electronic signature os documents retention?
2009-12-11	10633	acb5ab	A029 In	Y		A029	Initial eNotary Signature Candidate Profiles
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2009-12-11	25127	a60c3e	A031 Re	Y		A031	The Electronic Notarization System Based on the Notarizatio
2006-03-10	577195	1fded6	A032 Bu	Y		A032	The Business Case for Electronic Signing
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2008-07-05	13622	5e3619	F111 IP	Y	F111	NewsML-G2
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2008-06-22	47553	bb2f15	F120 Re	Y	F120	Resource Description Framework (RDF)
2008-07-04	11101	3e71c7	F123 TI	Y	F123	Image2PDF 3.2 user manual
2008-07-04	12597	4c6d18	F125 tu	Y	F125	SAA offers screencasts on key topics all photographers invc
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2008-06-14	30129	3ae58c	F233 Ar	Y	F233	Anti machine
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2005-11-06	40032	2dda6a	F420 2	Y	F420	XML-Signature XPath Filter 2.0
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2005-11-06	43397	300ac9	F425 6	Y	F425	XML security: A who's who
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Named Inventor: John Lewis Guymon Jr
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2011-01-23	22320	9cfc67	H082 Ap	Y		H082	PDF Document Management Software, Services & Support
2011-01-27	1300791	81787f	H083 ar	Y		H083	Analysis, Selection, and Implementation of Electronic Docum
2010-02-20	22470	0f0cbc	H084 AS	Y		H084	ASIS&T - The Information Society for the Information Age
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2010-12-04	132608	cec9af	H088 BD	Y		H088	The European Directive on a community framework
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2010-06-13	543013	f3dadc	H094 br	Y		H094	Intelligent documents support EU's BRITE project goals
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2011-01-17	25633	0e9b72	H100 CA	Y		H100	CAdES (computing)
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2010-02-27	143007	5bb4ab	H116 Co	Y		H116	Comparison of graphics file formats
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2010-12-14	33736	2fffc5	H140 Cr	Y		H140	Cryptographic Message Syntax
2011-01-23	967893	e41d5c	H141 cs	Y		H141	Portable Document Format: Image-Streamable
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2010-06-13	29022	b7f8ac	H158 Di	Y		H158	Digital Evidence and Electronic Signature Law Review
2010-06-13	92598	3a7ea2	H159 Di	Y		H159	Digital Signature Guidelines Tutorial
2010-06-13	271091	1702f6	H160 Di	Y		H160	Avoid the Pitfalls when transitioning from paper-based to e
2010-06-13	565605	8b5252	H161 Di	Y		H161	Digital Signatures Provide Competitive Advantage for Top 10
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2010-12-25	284973	bc70fe	H244 Ex	Y	H244	Extensible Markup Language (XML) 1.0 (Fourth Edition) W3C F
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2008-11-10	1170773	0f8632	J123 Su	Y	J123	Supporting Standards in Intelligent Documents
2003-05-19	1658	1264b5	K001 PN	Y	K001	PNG ALPHAIMAGELOADER
2001-10-26	871	bc10db	K002 de	Y	K002	PNG Behavior
2003-05-19	3453	e4e23c	K003 PN	Y	K003	PNG Inline Tests (1)
2003-05-19	5887	8e34d9	K005 PN	Y	K005	PNG Behavior (WebFX).htm
2003-05-19	1128	c0641d	K007 PN	Y	K007	PNG Behavior Demo (WebFX)2.htm
2003-05-19	1605	34cf55	K009 PN	Y	K009	PNGSetup and System Administration.htm
2003-05-14	35457	3f3d48	K010 Co	Y	K010	Using the CryptoAPI for Public/Private Data Exchange
2003-09-19	36864	87a779	M001 as	Y	M001	AspEncrypt 2.0
2006-02-04	263680	22cd0a	M006 1	Y	M006	Checklist for Internet-Drafts (IDs) submitted for RFC publi
2006-02-04	93184	8b78d1	M007 La	Y	M007	Lab 10: Digital Signatures in InfoPath 2003
2006-02-04	84480	fed036	M008 Do	Y	M008	Donald Eastlake on XML digital signatures
2006-02-04	65024	c84fd4	M009 op	Y	M009	openssl
2006-02-05	77824	b0d4c7	M010 SO	Y	M010	SOAP Security Extensions: Digital Signature
2006-02-05	34816	01ec08	M011 Sa	Y	M011	Safeguarding the Identity and Integrity of XML Messages - S
2006-03-04	57344	74793d	M013 DS	Y	M013	DSCA 01-21 Implementation of Electronic Countersignature Pr
2006-03-19	29184	534ccc	M015 No	Y	M015	Notary Online Library » NNA Position Papers
2006-03-30	50176	341c6b	M018 Mi	Y	M018	Infomosaic SecureXML Multiple Signature Demo
2006-03-30	734720	c6a84a	M019 RE	Y	M019	XSL Transformations (XSLT) Version 1.0
2006-03-30	389632	b5b4cc	M020 RE	Y	M020	XML Path Language (XPath) Version 1.0
2006-03-30	209408	78d993	M021 RE	Y	M021	Canonical XML Version 1.0
2006-03-30	23552	221a9a	M023 Mi	Y	M023	Microsoft XML Core Services (MSXML)
2006-03-31	51712	f0cd8f	M024 Wi	Y	M024	Witnessing GenuineDoc digital notarization process
2006-04-08	488448	d4abf4	M025 RE	Y	M025	Cryptographic Message Syntax (CMS)
2006-04-21	44032	7c419c	M026 gl	Y	M026	GJXDM Information Exchange Package Documentation Guidelines
2006-04-21	1396736	84f7ba	M027 GI	Y	M027	GJXDM DataModeler
2006-12-08	57344	d904bc	M028 Am	Y	M028	TRUST AND THE WORLD WIDE WEB

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 Filing Date: 12/15/2008
 Named Inventor: John Lewis Guymon Jr
 Art Unit: _____
 Examiner Name: _____

2006-12-08	320512	815e31	M029	E1	Y		M029	The Use of Electronic Documents as Originals Using the eOri
2007-09-16	96256	e36def	M031	dc	Y		M031	ECF 3.0Enhancement Proposal
2008-06-24	53760	4fc784	M034	Se	Y		M034	Security Whitepapers
2008-07-22	158720	dfd155	M035	Se	Y		M035	Semantic Web
2008-09-29	111104	4d2811	M036	E1	Y		M036	Electronic Data Interchange
2009-12-11	166463	f4bf21	N006	xd	Y		N006	JPEG 2000 & JP2
2009-12-11	104187	b8861b	N007	di	Y		N007	Color in JPEG 2000
2009-12-11	280560	c40fa7	P011	XS	Y		P011	XSL Transformations (XSLT)
2009-12-11	129112	ba9789	P012	XM	Y		P012	XML Path Language (XPath) 1.0
2006-03-30	389632	b5b4cc	P013	RE	Y	d	P013	XML Path Language (XPath)
2009-12-11	546530	4fdca6	P014	XM	Y		P014	XML Path Language (XPath) 2.0
2009-12-11	231393	0e4f0e	P015	RD	Y		P015	RDFa in XHTML: Syntax and Processing
2009-12-11	247148	9872d0	P017	RE	Y		P017	PNG (Portable Network Graphics) Specification Version 1.0
2009-12-11	50918	8ca4c5	P018	Cc	Y		P018	Compound Document by Reference Framework 1.0
2009-12-11	42970	c4f0a5	P019	FI	Y		P019	DIGITAL SIGNATURE STANDARD (DSS)
2009-12-11	74669	0b22d7	P020	rf	Y		P020	Multipurpose Internet Mail Extensions
2009-12-11	79500	b2c736	P021	Ca	Y		P021	Canonical XML
2009-12-11	79933	1e95da	P022	Ex	Y		P022	Exchangeable image file format
2009-12-11	30561	4d3d6f	P024	IP	Y		P024	IPTC Information Interchange Model
2009-12-11	890260	4947c5	P025	Me	Y		P025	Metadata: a simple guide to describing pictures for use in
2009-12-11	164569	703a19	P026	SC	Y		P026	SOAP Version 1.2 Part 1: Messaging Framework
2009-12-11	7099	8fc6dc	P095	[1	Y		P095	JPEG Freely available source code for JPEG
2009-12-11	70584	ffe14d	Q004	Co	Y	d	Q004	Publishing Requirements for Industry Standard Metadata (PRI
2009-12-11	18107	937643	Q006	Ne	Y		Q006	Newest Version of PRISM is Now Ready for Comment
2009-12-11	33744	407d9f	Q007	Co	Y		Q007	Enhanced Adobe XML Architecture Supports XML/PDF Form Desig
2008-07-04	12597	4c6d18	Q011	tu	Y	d	Q011	ScreenCast Tutorials
2009-12-11	2470103	5ef584	S002	Ec	Y		S002	Standardizing Information and Communication Systems
2009-12-11	45568	105d4d	S003	Ho	Y		S003	How Does Compound Document Framework Benefit Us?
2009-12-11	47807	9c608b	T044	Yo	Y		T044	Using Namespaces Within Your XML Documents
2005-05-15	42994	d5bd25	U001	jp	Y		U001	Jpeg Source jpegsrc6b.zip
2009-12-11	255078	59a901	U002	XM	Y		U002	XML Binary Characterization Use Cases
2009-12-11	30719	1e9ef3	U003	We	Y		U003	Metadata Architecture
2009-12-11	88164	a4ba62	U004	Kn	Y		U004	Knowledge Interchange Format
2009-12-11	24875	587f2c	U005	Se	Y		U005	Semantic Web Road map
2009-12-11	21954	91590a	U006	HT	Y		U006	Giving Information About Other Resources in HTML
2009-12-11	10581	31b89e	U007	We	Y		U007	Generic Resources
2009-12-11	14038	c7e6e2	U008	We	Y		U008	Analysing PICS labels as Metadata
2009-12-11	4882	368c01	U009	RD	Y		U009	RDF PNG Icon
2009-12-11	213908	58c351	U010	pr	Y		U010	PNG Group Email correspondance
2009-12-11	4130	454204	V002	Di	Y		V002	Digital Signature Initiative
2009-12-11	23763	8151a1	V003	W3	Y		V003	W3C and Electronic Commerce
2009-12-11	91901	46de2d	V004	Un	Y		V004	Universal Commerce Language and Protocol (UCLP) Version 3.0
2009-12-11	136000	895c34	V005	SD	Y		V005	SDML - Signed Document Markup Language
2009-12-11	75528	165623	V006	Us	Y		V006	Using P3P for E-Commerce
2009-12-11	59646	9e7213	Y004	Mo	Y		Y004	Model-driven compound document development
2009-12-11	43334	2b383a	Y005	dr	Y		Y005	Requirements for Data Validation and Certification Service
2009-12-11	140741	7f05b8	Y006	Ab	Y		Y006	Ensuring Record Integrity with AbsoluteProofSM
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2001-10-26	871	bc10db	K002 de	Y	K002	PNG Behavior
2002-03-11	58960	c4bd9f	F379 zl	Y	F379	zlib 1.1.4 Manual
2003-02-09	18042	10b0ec	F729 re	Y	F729	readme.html
2003-05-13	31,069	5d2068	C017 As	Y	C017	Generate PKCS#7 Signatures and Envelopes
2003-05-13	38215	a522f0	F308 28	Y	F308	HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX
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2003-05-19	13813	0b742e	F321 In	Y	F321	Explorer versus PNG (AlphaImageLoader)
2003-05-19	1658	1264b5	K001 PN	Y	K001	PNG ALPHAIMAGELoader
2003-05-19	5887	8e34d9	K005 PN	Y	K005	PNG Behavior (WebFX).htm
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2004-09-14	209408	feac14	A014 ar	Y	A014	The Legal Requirements for Creating Secure and Enforceable
2004-09-14	1581161	ccbc9e	A008 Mo	Y	A008	The Model Notary Act
2004-09-21	10797	ca70a0	F640 Co	Y	F640	Comparison of Federal E-Signature Act and UETA on Key Consu
2004-09-21	103858	929d44	F056 19	Y	F056	DIGITAL SIGNATURES The Key to Secure Internet Commerce
2004-09-21	35997	479ccc	F350 ES	Y	F350	ESIGN Electronic Commerce and Electronic Signature Law Clar
2004-09-21	49314	e3f9b0	F638 20	Y	F638	Laws-2003 Electronic Commerce Legislation
2004-09-21	23975	802eea	F639 20	Y	F639	Laws-2003 Electronic Signatures and UETA Legislation
2004-09-21	108566	59ca99	F351 FL	Y	F351	The 2004 Florida Statutes,,
2004-09-21	1933312	7b76e1	F353 MS	Y	F353	UNIFORM COMPUTER INFORMATION TRANSACTIONS ACT
2004-09-21	31,079	c26fec	C012 Un	Y	C012	Uniform Electronic Transactions Act
2005-03-13	15885	3a6747	F164 1.	Y	F164	CALIFORNIA CODES
2005-04-24	128327	ab878c	J006 ii	Y	J006	Securing your Microsoft Internet Information Services (MS I
2005-04-24	230011	912762	J005 ss	Y	J005	Securing your Online Data Transfer with SSL
2005-04-24	43101	84dfcc	J004 sg	Y	J004	Step-Up Encryption
2005-04-24	38119	e62d98	J007 en	Y	J007	thawte's Quick Enrollment Guide:
2005-05-15	42994	d5bd25	U001 jp	Y	U001	Jpeg Source jpegsrc6b.zip
2005-06-07	40698	bea703	F195 E-	Y	F195	ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT
2005-06-07	29638	cc486d	F202 Go	Y	F202	HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?
2005-06-07	38778	fd9af9	F205 Th	Y	F205	National Notary Association and Safedocs Provide E-Notariza
2005-06-07	134507	52a6ad	A030 pd	Y	A030	Notarized Digital Signature
2005-06-07	27501	49a0c3	A025 Err	Y	A025	ReadNotify Email Notary and Timestamping service
2005-06-07	31425	90c198	F206 Ti	Y	F206	ter 1. Notaries Public Reform Act
2005-09-12	4388	e002ce	F367 in	Y	F367	libxml2 Reference Manual
2005-10-05	56635	03bf45	F703 XM	Y	F703	An Introduction to XML Digital Signatures
2005-10-15	54470	54b75d	F660 be	Y	F660	Beyond ASP: XML and XSL-based Solutions Simplify Your Data
2005-10-15	35156	a71ee4	F691 XM	Y	F691	XML as the API
2005-10-20	117155	7a48da	F661 Cr	Y	F661	Cryptography Demystified
2005-11-04	46905	b0089a	J013 no	Y	J013	A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY U
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2005-11-04	326509	e35a20	J012 es	Y	J012	Electronic Signature Systems - A Guide for IT Personnel
2005-11-04	4988760	1973a1	J011 Se	Y	J011	Infomosaic SecureSign Desktop Document Signer
2005-11-04	4988697	4c64e8	J010 Se	Y	J010	Infomosaic SecureXML Digital Signature & Encryption Toolkit
2005-11-04	28927	0ce300	F329 Di	Y	F329	Regulatory Compliance Using Digital Signature
2005-11-04	190307	904942	J017 SA	Y	J017	XML Digital Signatures - Chapter 5
2005-11-06	179712	3c790a	E007 2.9	Y	E007	A how-to guide for supporting digital signatures within SOAP
2005-11-06	21317	0334b5	F429 B	Y	F429	Question: The status/design of XML Signatures and Encryptic
2005-11-06	37966	be5a64	F423 S	Y	F423	Supporting Digital Signatures Within SOAP Messages
2005-11-06	81601	e3b28f	F438	Y	F438	XML Security page
2005-11-06	43397	300ac9	F425 6	Y	F425	XML security: A who's who
2005-11-06	209058	1b3d30	A033 7	Y	A033	XML-Signature Syntax and Processing

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2005-11-29	944640	ab146f	E014	ec	Y	E014	LegalXML Electronic Court Filing 3.0
2006-02-04	263680	22cd0a	M006	1	Y	M006	Checklist for Internet-Drafts (IDs) submitted for RFC publi
2006-02-04	84480	fed036	M008	Do	Y	M008	Donald Eastlake on XML digital signatures
2006-02-04	93184	8b78d1	M007	La	Y	M007	Lab 10: Digital Signatures in InfoPath 2003
2006-02-04	65024	c84fd4	M009	op	Y	M009	openssl
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2006-02-04	786296	2b0440	F397	Si	Y	F397	Signing XML Documents and the Concept of "What You See Is
2006-02-04	190638	4506eb	J019	We	Y	J019	Web Services and XML Security
2006-02-05	34816	01ec08	M011	Sa	Y	M011	Safeguarding the Identity and Integrity of XML Messages - S
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2006-03-04	338,944	690833	B012	XM	Y	B012	Digital Signature Tutorial
2006-03-04	57344	74793d	M013	DS	Y	M013	DSCA 01-21 Implementation of Electronic Countersignature Pr
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2006-03-10	522514	07ea9f	J031	PK	Y	J031	A Better Approach to PKI Based Digital Signatures
2006-03-10	318141	5b0a61	J030	Fa	Y	J030	About digital signatures
2006-03-10	134529	d9bd24	J027	e-	Y	J027	AR's E-Signature Solution For Enabling E-Invoicing
2006-03-10	98329	5421da	J023	Di	Y	J023	CoSign assists Pharmaceutical companies to comply with 21 C
2006-03-10	74526	153a04	J022	PC	Y	J022	Digital Signatures at The Point of Sale
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2006-03-10	109252	b990ca	J024	Di	Y	J024	Electronic Signatures - Overcoming Barriers to Efficient He
2006-03-10	577195	1fded6	A032	Bu	Y	A032	The Business Case for Electronic Signing
2006-03-10	161834	b08324	J032	Us	Y	J032	The Strength of User vs. System Signing
2006-03-18	88458	27b8d6	A022	20	Y	A022	Cumulative Notarization for Long-term Preservation of Digit
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2006-03-19	62475	b6e36e	J040	No	Y	J040	THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY
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2006-03-22	120672	a372ef	J043	en	Y	J043	Enterprise Security Architecture for Biometric User Authent
2006-03-22	136569	300226	J044	gu	Y	J044	Guide to Fingerprint Identification
2006-03-22	302027	6d6a89	J045	id	Y	J045	Simplified Identity Management, Stronger Authentication
2006-03-22	72997	69f979	J042	to	Y	J042	Total Password Automation in the Enterprise
2006-03-23	399499	1e900b	J047	Bi	Y	J047	BioAPI Architecture
2006-03-23	209408	d20ed0	A011	oa	Y	A011	DSS Use Case Requirements Analysis
2006-03-23	126464	40f30b	E010	Na	Y	E010	Namespaces in XML
2006-03-23	52,327	17b915	D027	DS	Y	D027	Uses cases submitted for consideration
2006-03-25	2234030	41327d	J049	Sr	Y	J049	Digital Signature in a Web Application
2006-03-25	5080814	46c010	J048	Se	Y	J048	Infomosaic SecureForm Designer
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2006-03-31	51712	f0cd8f	M024	wi	Y	M024	Witnessing GenuineDoc digital notarization process
2006-04-02	330742	7132a2	J052	bc	Y	J052	Business-Centric Methodology Specification v1.0

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2006-04-08	2842385	5c11e3	J057 cX	Y	J057	cXML User's Guide
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2006-05-08	143876	288a36	F733 li	Y	F733	libpng 1 2 5 manual.htm
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2007-09-16	260520	c89961	F602 Ex	Y	F602	Extensible Markup Language (XML) 1.0 (Third Edition)
2007-09-16	22,307	721aa8	D033 So	Y	D033	Open XML Court Interface
2007-10-14	38014	d8248a	F363 An	Y	F363	An Open-Source System for Electronic Court Filing
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2007-10-14	42681	94e28e	A012 en	Y	A012	Notaries and Electronic Notarization
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2007-10-14	244195	85f38a	J072 E-	Y	J072	The Electronic Court Filing 3.0 Standard and What's Next?
2007-12-09	2686358	6cb6e3	J076 eB	Y	J076	Approved Providers Guidelines for Electronic Bond Submissio
2007-12-28	511,488	2f7fe8	D030 ds	Y	D030	Digital Signature Guidelines
2007-12-28	73153	9e5f08	F627 Af	Y	F627	Illinois crosses the bridge
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2008-04-28	42396	7a4660	E018 PN	Y	E018	PNG Frequently Asked Questions
2008-04-28	15,330	cd382f	B003 PN	Y	B003	PNG: The Definitive Guide
2008-04-28	339664	6a99ad	F361 Po	Y	F361	Portable Network Graphics (PNG) Specification (Second Editi
2008-05-03	231052	312640	F619 Ex	Y	F619	Extensible Markup Language (XML) 1.0
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2008-05-16	2053927	5cb295	J077 ib	Y	J077	JANUS: An interactive document formatter based on declarati
2008-05-16	177143	c660a6	F151 PN	Y	F151	PNG Digital Signatures
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2008-05-16	32574	b82173	F155 xm	Y	F155	XML Packaging Working Group Charter
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2008-06-15	5088	5743c4	F031 li	Y	F031	libmng - The MNG reference library & related info
2008-06-15	155211	0a85d7	F038 Us	Y	F038	USING THE IJG JPEG LIBRARY
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2008-06-21	39102	a773aa	F042 Ex	Y	F042	Extensible Metadata Platform
2008-06-21	28052	b861d3	F104 Ad	Y	F104	Extensible Metadata Platform (XMP) Adding intelligence to me
2008-06-21	42037	250dc8	G007 Ha	Y	G007	Hague Conference on Private International Law
2008-06-21	51692	965e6c	G009 Na	Y	G009	New California Jurat Law Creates Confusion
2008-06-21	75893	8249ec	F034 No	Y	F034	NEW JERSEY NOTARY PUBLIC MANUAL
2008-06-21	104095	067db7	F033 No	Y	F033	Notary public
2008-06-21	60844	dc5aee	F273 Sm	Y	F273	Smart Documents Overview
2008-06-22	235412	933238	J092 wh	Y	J092	A Manager's Introduction to Adobe eXtensible Metadata Platf
2008-06-22	28042	a04d1c	F228 Ad	Y	F228	Adding intelligence to media
2008-06-22	84249	682fba	F018 Ad	Y	F018	Adobe Acrobat
2008-06-22	23255	43e48f	F275 Th	Y	F275	Adobe turns to suppliers to put InDesign in front of publis
2008-06-22	45581	e61453	F239 De	Y	F239	Describing and retrieving photos using RDF and HTTP
2008-06-22	165933	d3d450	G006 Em	Y	G006	Embedding XMP Metadata in Application Files - Draft
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2008-06-22	49209	ffd0d2	F238 Co	Y	F238	Extensible Metadata Platform (XMP)
2008-06-22	643753	9761c5	J091 Sa	Y	J091	Going Digital: Why Use Industry Metadata Standards ... and Hc
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2008-06-22	230645	3b5736	J094 pd	Y	J094	OpenDocument meta data
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2008-06-22	47553	bb2f15	F120 Re	Y	F120	Resource Description Framework (RDF)
2008-06-22	10334	85b9b4	J088 rf	Y	J088	Tag Image File Format (TIFF) - image/tiff MIME Sub-type Rec
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2008-06-22	931213	ab2091	G014 xm	Y	G014	XMP Adding Inteligence to Media
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2008-06-22	601059	dd3ea4	J085 xm	Y	J085	XMP Specification Jan 04
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2008-06-24	18675	4ded67	F237 Ca	Y	F237	Captaris Solutions for Financial Services
2008-06-24	224201	e53465	J096 pn	Y	J096	Chunk Registration Request for Enabling the PNG Standard to
2008-06-24	70746	b84a4c	F245 Ec	Y	F245	Ecma to create standard out of Microsoft rival to PDF
2008-06-24	72901	e63d3c	F250 Fr	Y	F250	Free and Cheap Software That Kills the Big Guys
2008-06-24	41187	668830	F276 Th	Y	F276	Luxtrust: which trust ?
2008-06-24	73921	b874ee	F263 Op	Y	F263	Open source tool released for translating between Microsoft
2008-06-24	53760	4fc784	M034 Se	Y	M034	Security Whitepapers
2008-06-25	26239	e8451e	F102 Ab	Y	F102	Adobe Streamlines Workflows for Publishers with New Metadat
2008-06-25	18251	fa013a	F284 W3	Y	F284	Enabling Inferencing
2008-06-25	17044	8adc56	F266 RD	Y	F266	RDF for self-describing images
2008-07-01	229554	392c52	F133 CR	Y	F133	CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW
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2008-07-22	158720	dfd155	M035 Se	Y	M035	Semantic Web
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2008-07-22	45315	b3f89f	F128 XM	Y	F128	The XPath 2.0 Data Model
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2008-08-18	85590	9456df	F041 BM	Y	F041	BMP file format
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2008-08-18	151985	c5dd5e	F045 JP	Y	F045	JPEG
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2008-08-18	60326	18ca5b	F048 Mu	Y	F048	Multiple-image Network Graphics
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2008-08-18	83134	0e6ca0	F057 xm	Y	F057	Revision history of Extensible Metadata Platform
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2008-08-31	20472	b7dc61	F004 Mo	Y	F004	SMART Docs are Here! Ready For a SMART Envelope?
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2011-02-07	1016516	e314de	H268 gl	Y		H268	Glossary of PDF Terminology
2008-06-22	643753	9761c5	J091 Sa	Y		J091	Going Digital: Why Use Industry Metadata Standards ... and Hc

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Named Inventor: John Lewis Guymon Jr
Art Unit: _____
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2008-08-18	101132	6f4f3e	F044 Gr	Y	F044	Graphics Interchange Format
2011-01-01	301388	007487	H550 Tr	Y	H550	Guidance Document implementación de la Firma de Signature i
2010-06-13	1692341	765b2b	H272 Gu	Y	H272	Guide to Electronic Filing
2006-03-22	136569	300226	J044 gu	Y	J044	Guide to Fingerprint Identification
2009-12-11	60606	ee874c	A047 Ve	Y	A047	Guide To Securing Intranet And Extranet Servers
2011-01-27	1712790	5a474f	H346 mw	Y	H346	GUIDELINES FOR HANDLING IMAGE METADATA Version 2.0
2010-06-13	72238	7881e0	H271 Gu	Y	H271	Guidelines for implementing Dublin Core in XML
2010-06-10	24385	e14c1d	H273 Gu	Y	H273	Guiding Principle II of The Notary Public Code of Professio
2008-06-21	42037	250dc8	G007 Ha	Y	G007	Hague Conference on Private International Law
2008-05-03	30642	297b21	F621 XM	Y	F621	Handling Binary Data in XML Documents
2008-07-22	104,802	bd0416	D020 di	Y	D007	HB 1300
2008-04-28	32538	ac733b	F003 Hi	Y	F003	History of PNG.htm
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2010-12-31	619619	fef529	H277 HM	Y	H277	HMAC
2005-06-07	29638	cc486d	F202 Go	Y	F202	HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?
2009-12-11	45568	105d4d	S003 Ho	Y	S003	How Does Compound Document Framework Benefit Us?
2010-02-27	35603	736998	H278 Ho	Y	H278	How is Spore hiding creature information in PNG images?
2010-02-12	9624	166f06	H279 Ho	Y	H279	How to batch Extract PDF Form Data to XML?
2010-12-04	99032	39ceee	H156 Di	Y	H156	How to Best Secure Electronic Documents with Certified Digi
2010-02-20	998823	3fae53	H058 a6	Y	H058	How to Solve the Business Standards Dilemma the Context Dri
2009-12-11	18921	bc74a6	A043 Li	Y	A043	Howto: PGP and GnuPG
2003-05-13	38215	a522f0	F308 28	Y	F308	HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX
2008-07-04	231922	2ce4c5	J102 24	Y	J102	IBM pureXML for SOA: Unlocking the business value of inform
2010-02-21	25844	ad0c80	H282 IE	Y	H282	IBM Smart SOA: The Right Choice for Reliable Transactions
2011-01-31	1771465	19480d	H276 He	Y	H276	IBM Tivoli Access Manager for e-business, Version 6.1.1
2007-12-28	73153	9e5f08	F627 Af	Y	F627	Illinois crosses the bridge
2010-02-27	57027	a83105	H289 Im	Y	H289	Image file formats
2008-07-04	11101	3e71c7	F123 TI	Y	F123	Image2PDF 3.2 user manual
2006-03-19	23250	ab3c86	A021 in	Y	A021	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR
2008-06-22	129027	03ffa8	J083 ME	Y	J083	In Swedish ???
2006-03-25	5080814	46c010	J048 Se	Y	J048	Infomosaic SecureForm Designer
2005-11-04	4988760	1973a1	J011 Se	Y	J011	Infomosaic SecureSign Desktop Document Signer
2005-11-04	4988697	4c64e8	J010 Se	Y	J010	Infomosaic SecureXML Digital Signature & Encryption Toolkit
2006-03-30	50176	341c6b	M018 Mi	Y	M018	Infomosaic SecureXML Multiple Signature Demo
2009-12-11	52537	bfa53c	A009 DS	Y	A009	Infomosaic: The Easy to Use Digital Signature
2010-06-13	142899	935fb4	H079 An	Y	H079	Information Security Committee
2010-02-02	1490636	242ad2	H530 T-	Y	H530	INFORMATION TECHNOLOGY - OPEN DOCUMENT ARCHITECTURE (ODA) P
2010-01-31	13688941	6934fb	H303 is	Y	H303	Information technology - Open Document Format for Office Ap
2011-01-18	937195	8e5cfe	H292 in	Y	H292	Information technology - Open Systems Interconnection - Sec
2009-12-11	10633	acb5ab	A029 In	Y	A029	Initial eNotary Signature Candidate Profiles
2010-12-26	959114	bc658	H295 In	Y	H295	Innovation Centers: Interoperabilidade e Open Source
2010-04-14	998230	487899	H284 ID	Y	H284	Intelligent Document Platform
2010-12-26	555030	b09dde	H154 De	Y	H154	Intelligent Documents
2010-06-13	543013	f3dadc	H094 br	Y	H094	Intelligent documents support EU's BRITE project goals
2010-02-20	44850	f09874	H296 In	Y	H296	Interchange (software)
2010-02-20	40694	385ce2	H297 In	Y	H297	Interchange File Format
2010-02-27	19515	e14727	H130 Co	Y	H130	International Development Markup Language (DML)
2011-01-30	295988	635f9f	H236 ET	Y	H236	Introducing ISO 14289 PDF/UA (Universal Accessibility)
2011-01-31	3130738	a7227c	H603 vj	Y	H603	Introduction to Content Centric Networking
2010-06-13	158833	916d2e	H299 In	Y	H299	INTRODUCTION TO FUNCTIONAL STANDARDS DRAFT
2009-12-11	25420	44a968	A045 Tu	Y	A045	Introduction to Public Key Cryptography
2008-07-04	78536	605c63	J116 Ii	Y	J116	IPTC - NAA Subject Reference Scheme
2008-07-04	381470	c7b43f	J113 Ip	Y	J113	IPTC Core Schema for XMP
2009-12-11	30561	4d3d6f	P024 IP	Y	P024	IPTC Information Interchange Model
2008-07-04	22774	f72615	F112 IP	Y	F112	IPTC Metadata for XMP

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2010-02-20	82957	e0c2ad	H302 IS	Y	H302	ISO/IEC 11179
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2008-05-16	2053927	5cb295	J077 ib	Y	J077	JANUS: An interactive document formatter based on declarati
2010-12-04	214224	0c2b4d	H655 XM	Y	H655	JAVASEC - RFC-3280 4.2.1.14. CRL Distribution Points
2008-08-18	151985	c5dd5e	F045 JP	Y	F045	JPEG
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2009-12-11	166463	f4bf21	N006 xd	Y	N006	JPEG 2000 & JP2
2011-01-27	675778	bd5058	H251 fc	Y	H251	JPEG 2000 IMAGE CODING SYSTEM: COMPOUND IMAGE FILE FORMAT
2009-12-11	50435	611166	J117 jp	Y	J117	JPEG 2000 Offers New Opportunities to Enrich Image Content
2009-12-11	7099	8fc6dc	P095 [1	Y	P095	JPEG Freely available source code for JPEG
2008-08-18	20282	7a531e	F047 JP	Y	F047	JPEG Network Graphics
2010-02-20	58658	c6f718	H311 JP	Y	H311	JPEG Network Graphics
2005-05-15	42994	d5bd25	U001 jp	Y	U001	Jpeg Source jpegsrc6b.zip
2006-04-21	65100	aa189b	J062 bu	Y	J062	Justice Information Sharing and the Courts: Courts Implemen
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2006-02-04	93184	8b78d1	M007 La	Y	M007	Lab 10: Digital Signatures in InfoPath 2003
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2010-02-27	30635	f5d5a7	H107 Ch	Y	H107	Legal Solutions for You, Your Family & Your Business
2005-11-29	944640	ab146f	E014 ec	Y	E014	LegalXML Electronic Court Filing 3.0
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2010-12-03	10012	d7a659	H186 E-	Y	H186	legalxml-enotary-comment message E-notarization XML specifi
2008-06-15	5088	5743c4	F031 li	Y	F031	libmng - The MNG reference library & related info
2006-05-08	143876	288a36	F733 li	Y	F733	libpng 1 2 5 manual.htm
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2011-01-17	141082	ee2192	H415 p.	Y	H415	Long live ISO 32000-1 The PDF standard
2009-12-11	25426	46c080	A026 pa	Y	A026	Long term electronic signature os documents retention
2011-01-27	349333	ff2c1c	H326 Lu	Y	H326	Ludwigsburg Savings Bank Archives in Color with PDF/A
2008-06-24	41187	668830	F276 Th	Y	F276	Luxtrust: which trust ?
1998-02-09	77045	80fc7d	J001 Pr	Y	J001	LZS221-C Version 6 Data Compression Software
2010-02-22	114442	847954	H142 cs	Y	H142	Major DoD Agency Selects PDF Converter Professional and Bri
2011-01-31	332792	803fa7	H328 Ma	Y	H328	Making secure Semantic Web
2011-01-31	171610	50142d	H330 Ma	Y	H330	Markup Language: Encyclopedia
2010-02-20	77866	9e1fa3	H331 Ma	Y	H331	Mars Is Adobe's Answer to Microsoft's XPSAn XML implementat
2010-02-20	29694	9abd22	H067 Ad	Y	H067	Mars Project
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2011-01-27	52654	c20336	H336 Me	Y	H336	Metadata Working Group
2011-01-27	35633	4ea02c	H455 ph	Y	H455	Metadata Working Group Introduces First Specification for I
2009-12-11	890260	4947c5	P025 Me	Y	P025	Metadata: a simple guide to describing pictures for use in
2010-01-31	34650	2e78cb	H339 Mi	Y	H339	Microsoft lawsuit: US court confirms patent infringement in
2006-03-30	23552	221a9a	M023 Mi	Y	M023	Microsoft XML Core Services (MSXML)
2009-12-11	59646	9e7213	Y004 Mo	Y	Y004	Model-driven compound document development
2009-12-11	41984	d746c4	A005 Mo	Y	A005	Modeling biz docs in XML
2010-02-22	1911019	9911ca	H087 Ba	Y	H087	Multi-language e-Discovery Three Critical Steps for Litig
2010-12-03	2857112	b514e4	H024 10	Y	H024	Multiple electronic signatures on multiple documents
2008-08-18	60326	18ca5b	F048 Mu	Y	F048	Multiple-image Network Graphics
2009-12-11	74669	0b22d7	P020 rf	Y	P020	Multipurpose Internet Mail Extensions
2006-03-23	126464	40f30b	E010 Na	Y	E010	Namespaces in XML
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2010-06-13	36741	0b5518	H233 en	Y	H233	NATIONAL ASSOCIATION OF SECRETARIES OF STATE NATIONAL E-NOT
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2006-04-21	113514	ada62c	J061 mc	Y	J061	NCSC Component Library Executive Summary
2008-06-21	51692	965e6c	G009 Na	Y	G009	New California Jurat Law Creates Confusion
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2009-12-11	18107	937643	Q006 Ne	Y	Q006	Newest Version of PRISM is Now Ready for Comment
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2007-09-08	145575	623eb2	J067 EC	Y	J067	OASIS LegalXML Member Section Electronic Court Filing Technr
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2010-06-13	1063664	86f47f	H390 OA	Y	H390	OASIS LegalXML Member Section XML Court Document 1.1 Candic
2010-12-23	22652	c4c07d	H261 FW	Y	H261	OASIS legalxml-court filing message
2010-06-13	210051	e99241	H396 oc	Y	H396	OEBPS Container Format (OCF) 0.6
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2010-11-14	83562	46d3cd	H400 Of	Y	H400	Office 2010 Digital Signatures and XAdES
2010-12-04	106887	8e9e0a	H685 Zh	Y	H685	On the Validity of Digital Signatures
2008-07-22	35211	c610ef	F113 ON	Y	F113	ONJava com -- XML to PDF Oh, FOP It.htm
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2011-01-31	213103	c52ed7	H391 OA	Y	H391	Open Document Format for Office Applications (OpenDocument)
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2008-07-22	170530	045bfe	F118 OW	Y	F118	OWL Web Ontology Language Guide
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2010-02-27	83303	0bc3a8	H417 Pa	Y	H417	Packaging formats of famous application/*+zip
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2011-01-17	26544	36b0ca	H421 PA	Y	H421	PAdES
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2011-01-27	9190216	c7f479	H452 PD	Y	H452	PDF Reference fourth edition Adobe® Portable Document Forma
2011-01-27	14171448	91c426	H451 PD	Y	H451	PDF Reference fourth edition Adobe® Portable Document Forma
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2011-01-27	299732	f02210	H446 pd	Y		H446	PDF/A Metadata - XMP, RDF & Dublin Core
2011-01-18	181399	10be82	H427 PD	Y		H427	PDF/A-1, PDF for Long-term Preservation, Use of PDF 1.4
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2011-01-23	22436	e51589	H431 PD	Y		H431	PDF/UA
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2011-01-23	161752	95e44f	H498 sa	Y		H498	Personalizing Your Signature Appearances
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2006-05-09	2942	291d10	F734 PN	Y		F734	PNG Alpha Transparency Page 2
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2011-01-27	869657	33196a	H435 PD	Y		H435	Portable Document Format: Changes from Version1.3 to1.4
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2008-06-25	17044	8adc56	F266 RD	Y		F266	RDF for self-describing images
2009-12-11	4882	368c01	U009 RD	Y		U009	RDF PNG Icon
2008-06-22	377523	42e3d5	F267 RD	Y		F267	RDF Primer
2011-01-31	321791	0b9571	H482 RD	Y		H482	RDFa API An API for extracting structured data from Web dc
2011-01-31	270564	68d5a7	H480 RD	Y		H480	RDFa API An API for extracting structured data from Web doc
2011-01-31	293553	b5e8c0	H484 RD	Y		H484	RDFa Core 1.1 Syntax and processing rules for embedding RDE
2011-01-31	317394	d87db3	H483 RD	Y		H483	RDFa Core 1.1 Syntax and processing rules for embedding RDE
2009-12-11	231393	0e4f0e	P015 RD	Y		P015	RDFa in XHTML: Syntax and Processing
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 Named Inventor: John Lewis Guymon Jr
 Art Unit: _____
 Examiner Name: _____

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2009-12-11	84227	a39be2	A017 re	Y	d	A017	REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in
2008-06-06	34409	6b66af	F269 Re	Y		F269	Report on XML Packaging
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2009-12-11	43334	2b383a	Y005 dr	Y		Y005	Requirements for Data Validation and Certification Service
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2008-06-22	47553	bb2f15	F120 Re	Y		F120	Resource Description Framework (RDF)
2008-08-18	83134	0e6ca0	F057 xm	Y		F057	Revision history of Extensible Metadata Platform
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2011-01-23	77142	81369f	H175 Do	Y		H175	Security Features (Digital Signatures and Rights Management
2010-06-13	332884	5921cd	H229 EN	Y		H229	Security Implications of the eNotarization Markup Language
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2008-07-22	158720	dfd155	M035 Se	Y		M035	Semantic Web
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2010-01-31	613765	73cde0	H519 Se	Y		H519	Service Oriented Architecture (SOA) and Specialized Messagi
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2008-09-17	33839	8172d2	J119 1	Y		J119	SMART Document Implementation Guide
2008-06-21	60844	dc5aee	F273 Sm	Y		F273	Smart Documents Overview
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2009-12-11	164569	703a19	P026 SC	Y		P026	SOAP Version 1.2 Part 1: Messaging Framework
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2005-04-24	43101	84dfcc	J004 sg	Y	J004	Step-Up Encryption
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2005-11-06	37966	be5a64	F423 5	Y	F423	Supporting Digital Signatures Within SOAP Messages
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2010-02-27	22943	5e8ed8	H462 PN	Y	H462	Sustainability of Digital Formats Planning for Library of C
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2010-02-27	2787	774cff	H537 Th	Y	H537	The SNG home page
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2008-06-22	23661	0361e7	J089 XM	Y	J089	XMP SDK Overview
2008-06-22	601059	dd3ea4	J085 xm	Y	J085	XMP Specification Jan 04
2010-01-31	383892	a51550	H672 XM	Y	H672	XMP SPECIFICATION PART 1 DATA AND SERIALIZATION MODEL
2010-01-31	481028	ee5b5c	H673 XM	Y	H673	XMP SPECIFICATION PART 2 STANDARD SCHEMAS
2010-01-31	644542	5c4fe3	H674 XM	Y	H674	XMP SPECIFICATION PART 3 STORAGE IN FILES
2007-05-03	931213	ab2091	J064 XM	Y	J064	XMP Specification Sep 2005
2008-06-22	931213	ab2091	J086 xm	Y	J086	XMP Specification Sep 2005
2008-06-22	56191	a2004b	J087 xm	Y	J087	XMP Toolkit v 4.1.1
2010-07-28	548438	c666ea	H676 XP	Y	H676	Xproc
2010-07-28	914189	23cc6b	H677 XP	Y	H677	XProc: An XML Pipeline Language W3C Recommendation 11 May 2
2009-12-11	280560	c40fa7	P011 XS	Y	P011	XSL Transformations (XSLT)
2006-03-30	734720	c6a84a	M019 RE	Y	M019	XSL Transformations (XSLT) Version 1.0
2002-03-11	58960	c4bd9f	F379 z1	Y	F379	zlib 1.1.4 Manual
2010-11-14	331223	3c30f9	H660 XM	Y	H660	
2010-12-14	209140	75e102	H011 R	Y	H011	

Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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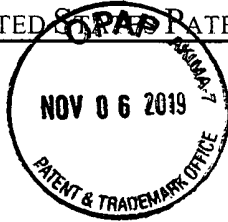
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 10/24/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
3649	

MAIL DATE	DELIVERY MODE
10/24/2019	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Application No. : 12316699
Applicant : Guymon, Jr.
Filing Date : 12/15/2008
Date Mailed : 10/24/2019

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given two (2) months from the mail date of this Notice within which to respond. This time period for reply is extendable under 37 CFR 1.136(a) for only TWO additional MONTHS.

The informalities requiring correction are indicated in the attachment(s). If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to respond within the above-identified time period will result in the application being ABANDONED.

See attachment(s).

*A copy of this notice **MUST** be returned with the reply. Please address response to "Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".*

/Joanna Black/
Publication Branch
Office of Data Management
(571) 272-4200

IDENTIFICATION OF SPECIFICATION/DRAWING INCONSISTENCIES

- On Page of the specification there is a brief description of FIG. , but the drawings filed do not include a drawing with that designation. Applicant must respond either by supplying the omitted drawing or by amending the specification to remove all references to that drawing.
- The drawings filed 12/17/2008 include FIG. 1E, but the specification's brief description of the drawings does not describe a drawing with that designation. Applicant must respond either by amending the specification to add a brief description of that drawing or by correcting the drawings to remove the drawing in question.
- In the utility application, drawings are present in the application and are referred to in the detailed description of the invention, but the specification does not contain a brief description of the several views as required by 37 CFR 1.74 and 37 CFR 1.77(b)(9).
- The design application does not contain a description of the figure or figures of the drawing as required by 37 CFR 1.153(a) and 37 CFR 1.154(b)(4).
- In the plant application, the specification does not contain a brief description of the drawing as required by 37 CFR 1.163(c)(8).
- Page 26-27 of the specification refers to FIG. see below, but no drawing with that designation is described in the brief description of the drawings and no drawing with that designation is present in the application. Applicant must respond either by amending the specification to remove all references to that drawing, or by supplying that drawing and amending the specification to add a brief description of it.
- In the reissue application, FIG. , is labeled as "New" but is not described in the reissue specification's brief description of the drawings. Applicant must respond by amending the reissue specification's brief description of the drawings to add a brief description of the new drawing.
- OTHER:
- COMMENTS:
Pages 26-27 mention Figures 1A 100, 1B.111, 1C.159, 1B.113, 1C.155, 1B.114, 1C.153, 1B.115, 1C.154, 1B.116, 1C.152, 1B.112, 1C.156, 1B.112, 1C.156, 1B.113-116, 1C.152-155, 1B.117, 1C.151, 1D.122, 1E.141



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

Handwritten notes: COPIY, Previous Submission of SB08 cover letter dated January 3, 2012

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699. This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Rows include: This cover letter (ICc0103e.doc), Excel spread sheet - Disclosure details (Idc0103b.xls), Disclosure reports (3) (Sorted via Title, Date and Cite#) (Paper form;)

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr
Telephone: (801) 943-8461
Cell: (801) 502-1828

Handwritten note: Not sure if I even signed the thing... that's that "original" send it back please letter thing...

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant: _____



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

Information Disclosure Statement Cover Letter

January 14, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is a set of two DVD's, an original and a second copy, to go with the form PTO/SB/08(B) previously sent January 3, 2012 in support of Application # 12/316,699.

As mentioned an update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Includes entries for cover letter, DVD documents, and disclosure reports.

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

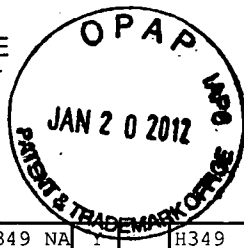
Very respectfully,
John Lewis Guymon Jr
Tel: (801) 833-0424
Msg: (801) 943-8461

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I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 14, 2012. Applicant:

Handwritten signature of John Lewis Guymon Jr.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
1/7/2012 11:32 AM
Literature Documents
Sheet (1) of (13)



Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

2010-02-20	2582460	316d06	H349 NA	Y	H349	2008 Best Practices in the use of Information Technology in
2010-02-20	524072	411f0b	H202 EC	Y	H202	7 Steps to Electronic Filing with Electronic Court Filing 4
2008-07-22	1791	df9dad	F220 7	Y	F220	7 Steps to Understanding XMP Metadata
2006-03-10	522514	07ea9f	J031 PK	Y	J031	A Better Approach to PKI Based Digital Signatures
2010-02-27	190554	8533ce	H464 PN	Y	H464	A Chunk Registration Request For Enabling The PNG Standard
2008-10-10	65927	11d57d	F062 A	Y	F062	A Digital Object Approach to Interoperable Rights Management
2005-11-04	46905	b0089a	J013 no	Y	J013	A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY JO
2010-02-02	457862	68c8ce	H249 Ex	Y	H249	A Guide to Model Rules for Electronic Filing and Service
2005-11-06	179112	3c790a	E007 9	Y	E007	A how-to guide for supporting digital signatures within SOA
2008-06-22	235418	933238	J092 wh	Y	J092	A Manager's Introduction to Adobe eXtensible Metadata Platfo
2004-09-14	128516	71acbf	A020 di	Y	A020	A Position On Digital Signature Laws And Notarization
2006-03-19	347742	2e1d98	A019 No	Y	A019	A Position on Misleading Usage of Notary Terms in the Elect
2006-03-19	12634	0b151a	A018 Di	Y	A018	A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT
2010-01-31	741510	7df448	H062 ac	Y	H062	A primer on electronic document security
2010-02-20	4283239	f15032	H425 Pa	Y	H425	A Strategy for Openness
2010-07-29	161100	34871c	H493 RL	Y	H493	A Tutorial on Xproc An XML Pipeline Language
2006-03-10	318141	5b0a61	J030 Fa	Y	J030	About digital signatures
2010-06-13	90962	d398e1	H206 ex	Y	H206	About eFiling for Courts
2011-01-10	116369	1d01dc	H457 Pl	Y	H457	About the X/CADeS Plugtest
2006-03-26	169786	717092	J050 WP	Y	J050	Achieving Document Integrity in Today's Practice
2010-01-31	29095	38e1e	H064 Ac	Y	H064	Act (document)
2010-12-25	74590	7624a5	H321 Le	Y	H321	Action Plan on e-signatures and e-identification to facilit
2008-06-22	28042	a04d1c	F228 Ad	Y	F228	Adding intelligence to media
2008-07-22	57719	262c54	F103 Ad	Y	F103	Adobe - Creative Suite 3 Web Site Design, Graphic Design, '
2008-06-22	84249	682fba	F018 Ad	Y	F018	Adobe Acrobat
2011-01-23	27287	a6cd0a	H152 de	Y	H152	Adobe Customization Wizard 9
2010-01-31	31238	57373f	H066 Ad	Y	H066	Adobe Intelligent Document Platform
2010-01-31	29511	4c8302	H065 Ad	Y	H065	Adobe Intelligent Documents
2010-01-31	243407	8a1566	H075 ad	Y	H075	Adobe LiveCycle Connecting people, information and processe
2010-01-31	27165	ae915a	H069 Ad	Y	H069	Adobe LiveCycle Document Security
2010-02-27	141467	3f5379	H553 tr	Y	H553	Adobe solutions for trusted document sharing Enabling assur
2008-06-25	26239	e8451e	F102 Ab	Y	F102	Adobe Streamlines Workflows for Publishers with New Metadat
2008-06-22	23255	43e48f	F275 Th	Y	F275	Adobe turns to suppliers to put InDesign in front of publi
2011-01-27	118499	713fca	H638 xd	Y	H638	Adobe XML Architecture XML Data Package Specification Versio
2008-06-21	2601300	44cebe	J082 xm	Y	J082	Adobe XMP for Creative Professionals
2010-01-31	2123092	af6e7e	H113 Co	Y	H113	Adobe® LiveCycle® ES2 solutions for communications managemen
2010-01-31	1467400	7e9356	H092 BF	Y	H092	Adobe® LiveCycle® solutions for business process management
2010-01-31	557304	3eb2f4	H301 In	Y	H301	Adobe® LiveCycle® solutions for intuitive user experiences
2011-01-23	323997	cfcae5	H074 ad	Y	H074	Adobe® Supplement to ISO 32000-1 Ext 5
2011-01-17	1373256	56d0b9	H071 ad	Y	H071	Adobe® Supplement to the ISO 32000
2011-01-30	121447	a62d3a	H635 XA	Y	H635	Adobe® XMP Extensible Metadata Platform integration technolo
2010-12-31	437698	c962d6	H381 oa	Y	H381	Advanced Electronic Signature Profiles of the OASIS Digital
2008-06-24	71798	2b83d1	F232 Al	Y	F232	All eyes on how Microsoft pulls off ODF support
2010-06-13	1135797	1066ce	H300 In	Y	H300	An Introduction to Cryptography
2011-01-31	1394932	00ea1a	H178 Dr	Y	H178	An Introduction to jGuard
2009-12-11	32,265	576797	D006 OA	Y	D006	An Introduction To The Oasis LegalXML Electronic Court Filing
2005-10-05	56635	03bf45	F703 XM	Y	F703	An Introduction to XML Digital Signatures
2007-10-14	38014	d8248a	F363 An	Y	F363	An Open-Source System for Electronic Court Filing
2009-12-11	14038	c7e6e2	U008 We	Y	U008	Analysing PICS labels as Metadata
2011-01-27	1300791	81787f	H083 ar	Y	H083	Analysis, Selection, and Implementation of Electronic Document
2008-06-14	30129	3ae58c	F233 An	Y	F233	Anti machine
2010-06-13	45241	cb89d2	H080 Ap	Y	H080	Apabi released for mobile reading CEBX layout document techn
2010-02-20	85772	556f06	H081 Ap	Y	H081	Application Guidelines on Digital Signature Practices for Co
2007-12-09	2686358	6cb6e3	J076 eB	Y	J076	Approved Providers Guidelines for Electronic Bond Submission
2006-03-10	134529	d9bd24	J027 e-	Y	J027	AR's E-Signature Solution For Enabling E-Invoicing
2008-07-04	326623	c388d9	J112 ar	Y	J112	Architecting the Infrastructure for SOA and XML
2010-02-20	22470	0f0cbc	H084 AS	Y	H084	ASIS&T - The Information Society for the Information Age

2009-12-11	109196	d0e40e	A004 as	Y	A004	ASP File Upload using VBScript
2003-09-19	36864	87a779	M001 as	Y	M001	AspEncrypt 2.0
2009-12-11	19,159	4e4d44	C013 Or	Y	C013	Authentication of Notarizations
2010-06-13	60866	581440	H234 ES	Y	H234	AUTHENTICATED STANDARDS FOR THE USE OF ELECTRONIC SIGNATURES
2010-06-13	271091	1702f6	H160 Di	Y	H160	Avoid the Pitfalls when transitioning from paper-based to e-
2008-07-02	52154	ef4e88	F132 Ba	Y	F132	Base64
2010-12-04	142987	2af1db	H089 BD	Y	H089	BDOC: FORMAT FOR DIGITAL SIGNATURES
2011-01-18	58281	483cc8	H547 tr	Y	H547	Best Practices for handling electronic signatures and signed
2005-10-15	54470	54b75d	F660 be	Y	F660	Beyond ASP: XML and XSL-based Solutions Simplify Your Data
2011-01-31	675187	68979b	H090 Be	Y	H090	Beyond HTML: An Interview with the Creator of SGML
2006-03-23	399499	1e900b	J047 Bi	Y	J047	BioAPI Architecture
2006-03-22	46936	4b54eb	J041 bi	Y	J041	Biometric Solutions to Personal Identification
2008-08-18	85590	9458df	F041 BM	Y	F041	BMP file format
2008-06-21	122182	7df6fa	F235 Bu	Y	F235	Building Sustainable Banking Architectures
2006-04-02	330742	7132a2	J052 bc	Y	J052	Business-Centric Methodology Specification v1.0
2011-01-17	25633	0e9b72	H100 CA	Y	H100	CAeS (computing)
2005-03-13	15885	3a6747	F164 l.	Y	F164	CALIFORNIA CODES
2009-12-11	79500	b2c736	P021 Ca	Y	P021	Canonical XML
2006-03-30	209408	78d993	M021 RE	Y	M021	Canonical XML Version 1.0
2010-12-14	79536	f8111f	H009 R	Y	H009	Canonical XML Version 1.0 W3C Recommendation 15 March 2001
2010-12-14	95373	alef20	H014 R	Y	H014	Canonical XML Version 1.1 W3C Recommendation 2 May 2008
2008-06-24	18675	4ded67	F237 Ca	Y	F237	Captaris Solutions for Financial Services
2010-02-28	15965	0aa5c9	H535 Th	Y	H535	CAPTCHA: Telling Humans and Computers Apart Automatically
2005-11-04	1035519	881cb6	J016 to	Y	J016	Capture the Benefits of eSignatures
2010-02-20	24025	cd6a09	H101 Ca	Y	H101	Category:Document-centric XML-based standards
2010-02-20	61458	ef7c76	H102 Ca	Y	H102	Category:Electronic commerce
2010-02-20	21129	bf7e0b	H104 Ca	Y	H104	Category:Free electronic commerce software
2010-02-27	71553	ba5feb	H461 PN	Y	H461	Chapter 11. PNG Options and Extensions
2010-02-21	22028	d4dc35	H280 iA	Y	H280	CharLogic iAchieve EMR
2006-02-04	263680	22cd0a	M006 l	Y	M006	Checklist for Internet-Drafts (IDs) submitted for RFC public
2008-06-24	224201	e53465	J096 pn	Y	J096	Chunk Registration Request for Enabling the PNG Standard to
2011-01-31	2535935	1d851e	H497 S3	Y	H497	CICS Web Services Security and Atomic Transaction support
2010-12-05	195416	55adb6	H492 rf	Y	H492	CMS Advanced Electronic Signatures (CAeS)
2010-02-12	318410	708e0e	H111 Co	Y	H111	Code to extract plain text from a PDF file
2009-12-11	104187	b8861b	N007 di	Y	N007	Color in JPEG 2000
2009-12-11	18220	f18613	A007 Co	Y	A007	Colorado Implements Level 3 Electronic Recording
2010-02-02	51449	849e73	H115 Co	Y	H115	Comparison of document markup languages
2004-09-21	10797	ca70a0	F640 Co	Y	F640	Comparison of Federal E-Signature Act and UETA on Key Consum
2010-02-27	143007	5bb4ab	H116 Co	Y	H116	Comparison of graphics file formats
2011-01-28	692167	5b033c	H117 Co	Y	H117	Comparison of graphics file formats
2010-01-31	23848	c8a97a	H118 Co	Y	H118	Compound document
2009-12-11	50918	8ca4c5	P018 Co	Y	P018	Compound Document by Reference Framework 1.0
2010-02-02	47231	93a32e	H119 Co	Y	H119	Compound Document Format
2010-06-13	120595	f99530	H521 Sm	Y	H521	Compound Documents Create Documents With The Features Of Mu
2010-02-01	4345446	2d61a3	H122 Co	Y	H122	Consolidated Case Management System Functional Standards V0
2010-02-20	104949	4c2773	H125 Co	Y	H125	Container format (digital)
2006-03-10	98329	5421da	J023 Di	Y	J023	CoSign assists Pharmaceutical companies to comply with 21 C
2010-12-04	98392	028c2d	H129 Co	Y	H129	Countersignatures
2010-02-02	17077	249cd0	H147 CT	Y	H147	Court Technology Framework (CTF)
2008-11-04	259949	a58788	F002 Co	Y	F002	Cover Pages Web Services Interoperability Organization (WS-
2010-07-29	78060	6b85b2	H133 Cr	Y	H133	Create client-side diagrammatic interaction in Web applicat
2008-07-01	229554	392c52	F133 CR	Y	F133	CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW
2010-12-14	33736	2fffc5	H140 Cr	Y	H140	Cryptographic Message Syntax
2010-12-05	176401	9e2daa	H491 RF	Y	H491	Cryptographic Message Syntax (CMS)
2006-04-08	488448	d4abf4	M025 RF	Y	M025	Cryptographic Message Syntax (CMS)
2005-10-20	117155	7a48da	F661 Cr	Y	F661	Cryptography Demystified
2009-12-11	17406	b6b791	A044 Cr	Y	A044	Cryptography, PGP and Pine

INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT
 1/7/2012 11:32 AM
 Literature Documents
 Sheet (3) of (13)

Application Number: 12/316,699
 Filing Date: 12/15/2008
 Named Inventor: John Lewis Guymon Jr
 Art Unit: _____
 Examiner Name: _____

2006-03-18	88458	27b8d6	A022 20	Y	A022	Cumulative Notarization for Long-term Preservation of Digit
2010-06-13	115781	f6ac0d	H148 Cu	Y	H148	Current Listing of States That Have Enacted E-Discovery Rule
2008-10-28	24528	2c4f15	F072 Cu	Y	F072	Current Patent Practice
2009-12-11	21,581	9ee6e0	B002 PN	Y	B002	Current Status of PNG
2010-02-20	3164090	d11be5	H149 cX	Y	H149	cXML User's Guide
2006-04-08	2842385	5c11e3	J057 cX	Y	J057	cXML User's Guide
2011-01-27	779168	e8a69a	H314 la	Y	H314	DAK migrates their information archive to PDF/A with LuraTec
2010-02-20	1150010	0bb8bc	H352 NA	Y	H352	Data Governance Part III: Frameworks - Structure for Organi
2010-06-13	739469	60bf94	H293 IN	Y	H293	Data Integrity and Evidence in the Cloud
2010-12-31	95113	b005b0	H387 OA	Y	H387	Defining an XML interface to process digital signatures for
2008-06-22	45581	e61453	F239 De	Y	F239	Describing and retrieving photos using RDF and HTTP
2010-06-13	34309	734ee6	H615 We	Y	H615	Designing for Accessibility - Electronic Documents
2010-02-20	200751	ed7775	H354 NA	Y	H354	Desperately Seeking Security Frameworks - A Roadmap for Sta
2007-10-14	8752713	ab3048	J071 JI	Y	J071	Developing the Justice Information Exchange Model
2008-07-22	67232	a5c1c5	F107 Co	Y	F107	DIG35: Metadata Standard for Digital Images
2009-12-11	80943	e3f917	A050 Ti	Y	A050	DigiStamp - The Trusted Third-Party Time Authority
2010-06-13	266394	7370b7	H162 di	Y	H162	Digital containers for shipment into the future
2010-06-13	29022	b7f8ac	H158 Di	Y	H158	Digital Evidence and Electronic Signature Law Review
2010-12-04	197815	82530c	H584 UN	Y	H584	Digital Evidence Certification Recommendation
2010-12-14	108867	ccb340	H157 di	Y	H157	Digital Signature and Multiple Signature: Different Cases fo
2011-01-23	256518	899aa5	H060 ac	Y	H060	Digital Signature Appearances
2007-12-28	511,488	2f7fe8	D030 ds	Y	D030	Digital Signature Guidelines
2010-06-13	92598	3a7ea2	H159 Di	Y	H159	Digital Signature Guidelines Tutorial
2006-03-25	2234030	41327d	J049 Sr	Y	J049	Digital Signature in a Web Application
2009-12-11	4130	454204	V002 Di	Y	V002	Digital Signature Initiative
2010-12-31	562104	3f9885	H380 oa	Y	H380	Digital Signature Service Core Protocols, Elements, and Binc
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2005-11-04	4988697	4c64e8	J010	Se	Y	J010	Infomosaic SecureXML Digital Signature & Encryption Toolkit
2005-11-04	4988760	1973a1	J011	Se	Y	J011	Infomosaic SecureSign Desktop Document Signer
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2006-03-10	98329	5421da	J023	Di	Y	J023	CoSign assists Pharmaceutical companies to comply with 21 CI

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Date Considered: _____

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2006-03-10	109252	b990ca	J024	Di	Y	J024	Electronic Signatures - Overcoming Barriers to Efficient He
2006-03-10	117025	df547c	J025	In	Y	J025	Digital signatures in Insurance
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2006-03-10	322514	07ea9f	J031	PK	Y	J031	A Better Approach to PKI Based Digital Signatures
2006-03-10	161834	b08324	J032	Us	Y	J032	The Strength of User vs. System Signing
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2008-06-22	235412	933238	J092	wh	Y	J092	A Manager's Introduction to Adobe eXtensible Metadata Platfo
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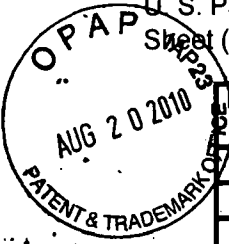
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Date Considered: 03/02/2012

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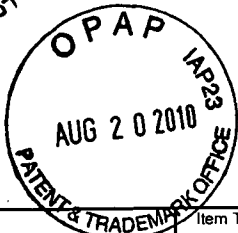
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J031	LEVIN, REZNITZK		A Better Approach to PKI Based Digital Signatures		10-Mar-06	entire; esp P5		Algorithmic Research			
F062	JOHN S. ERICKS		A Digital Object Approach to Interoperable Rights Management		10-Oct-08		Volume 7	D-Lib Magazin	1-Jun-01		
J003	Paul Michael Zank		A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY JOURNA		4-Nov-05	entire		Topaz System	1-Jan-04		
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F275			Adobe turns to suppliers to put InDesign in front of publishers		22-Jun-08						
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Examiner Signature: /KAVEH ABRISHAMKAR/
/Kaveh Abrishamkar/



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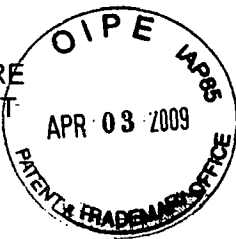
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Examiner Name: _____

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INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT
 3/31/2009 11:15 PM
 Literature Documents
 Sheet (3) of (7)

Application Number: 12/316,699
 Filing Date: 12/15/2008
 Named Inventor: John Lewis Guymon Jr
 Art Unit: _____
 Examiner Name: _____

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Filing Date: 12/15/2008
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Art Unit: _____
Examiner Name: _____

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Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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J108		Email Archiving: A Proactive Approach to e-Discovery		4-Jul-08							
G006		Embedding XMP Metadata in Application Files - Draft		22-Jun-08							
F025		Embedding XMP Metadata in Application Files.htm	http://partners	22-Jun-08				13-Sep-02			
J081	ADOBE	Embedding XMP Metadata in Application Files Draft 6-6-02		21-Jun-08	entire, esp S1.5		Adobe				
D008		eMortgage Guidelines and Recommendations V1.0		10-Jan-03			Mortgage Bankers A	10-Jan-03			
F284	R. V. GUHA.	Enabling Inference		25-Jun-08				18-Nov-98			
T015		Encrypt Your Data to Keep It Safe		8-Oct-04				24-Aug-01			
Q007		Enhanced Adobe XML Architecture Supports XML/PDF Form Designer and XML Data Package		31-Mar-09				15-Jul-03			
B020	NATIONAL	Enjoia Reader User's Guide	User's Guide	TBD	16 of 16	NA	National Notary Ass	27-Jun-05	NA		
A006	NATIONAL	Enjoia User's Guide	Book	UNKNOWN	Table of Co	N/A	National Notary Association		UNKNOW		
D026		eNotarization		14-Oct-07							

J043	BJORN, ET AL	Enterprise Security Architecture for Biometric User Authentication Systems		22-Mar-06	p5,9		DigitalPersona			
B051	VERISIGN	Unvincible Teams with VeriSign to Deliver Trusted Transaction Web Services	http://corpora	16-Apr-01	3 of 3	NA	VeriSign.com	10-Apr-01	NA	
B028	MARGOT SA	E-Sign and UETA: What Should States Do Now?		TBD	43 of 43	NA	Margot Saunders, Gail Hillebrand		NA	
F350		ESIGN Electronic Commerce and Electronic Signature Law Clarified.		21-Sep-04	entire			1-Jan-03		
L001		ETSI XML Advanced Electronic Signatures (XAdES)	Technical Spe	1-Mar-06	1 to 101		ETSI	1-Mar-06		
B018	PROTOCOL	ETSI XML Namespace	http://portal.es	31-Mar-06	2 of 2	NA	Protocol & Testing	26-Jan-06	NA	
P022		Exchangeable image file format	Wikipedia	26-Mar-09						
J080	NGO	EXPLANATORY REPORT ON OFFICE OPEN XML STANDARD (ECMA-376)	SUBMITTED	6-Jun-08	entire		ECMA International			
F321		Explorer versus PNG (AlphaImageLoader)		19-May-03						
T025	Michela, Gall	Extending .NET Cryptography with CAPICOM and P/Invoke	MSDN Article	15-Oct-05				1-Jun-03		
J109		Extending XML-based Services Beyond the Perimeter		4-Jul-08						
F247		Extensible Markup Language (XML)	Article	6-Jun-08				10-Feb-98		
F619		Extensible Markup Language (XML) 1.0	W3C Recomm	3-May-08				10-Feb-98		
F373		Extensible Markup Language (XML) 1.0 (Second Edition)	W3C Recomm	11-Sep-03				6-Oct-00		
F602		Extensible Markup Language (XML) 1.0 (Third Edition)	W3C Recomm	16-Sep-07				4-Feb-04		
F042		Extensible Metadata Platform	Wikipedia	18-Aug-08						
F238		Extensible Metadata Platform (XMP)		22-Jun-08				22-Jun-04		
F104		Extensible Metadata Platform (XMP) Adding intelligence to media		22-Jul-08						
F741		Fedora alert FEDORA-2008-3979 (libpng10)		21-Jun-08						
F250	RON WHITE	Free and Cheap Software That Kills the Big Guys		24-Jun-08						
G004		Freely Available Standards		4-Nov-08						
C007		Frequently Asked Questions	web site				eOriginal			
D003		Garland Municipal Court Goes Paperless	Internet Article	6-Jul-07				6-Jul-07		
C017		Generate PKCS#7 Signatures and Envelopes	http://www.as	13-May-03				1-Jan-00		
U007	Tim Berners-L	Generic Resources		30-Mar-09				1-Jan-96		
J110	ALTOVA	Getting More from Your Content with Single Source Publishing		4-Jul-08	entire, esp P3p3, P8.9,10		Altova			
E009	FREDERICK	Getting Started With XML Security	Website Article	20-Oct-05	All	NA	Frederick Hirsch	1-Jan-02		
U006	Tim Berners-L	Giving Information About Other Resources in HTML		30-Mar-09				20-Nov-95		
M027		GJXDM DataModeler		21-Apr-06						
M026		GJXDM Information Exchange Package Documentation Guidelines		21-Apr-06						
B027	BAKER & M	Global E-Commerce Law	Online Article	TBD	5 of 5	NA	Baker & McKenzie	1-Jan-01	NA	
D034	US DEPART	Global Justice Information Sharing Initiative	www.ijisip.gov/global		All	NA	US Department of Justice		Washington	
F743		GnuPG 1.2.2 released		14-May-03						
J091	SALOP	Going Digital: Why Use Industry Metadata Standards ... and How		22-Jun-08			Adobe			
F044		Graphics Interchange Format	Wikipedia	18-Aug-08						
J044		Guide to Fingerprint Identification		22-Mar-06			DigitalPersona			
A047	VERISIGN, I	Guide To Securing Intranet And Extranet Servers	http://www.ver	18-Dec-00	All	N/A	VeriSign, Inc.		UNKNOW	
D017		Guide to Securing Your Web Site For Business	http://www.ver	20-Apr-01	1 to 8 of 13		VeriSign			
G007		Hague Conference on Private International Law	Wikipedia	21-Jun-08						
F621	LISA REIN	Handling Binary Data in XML Documents		3-May-08				24-Jul-98		
D007		HB 1300	e_article.pdf	23-Jun-07						
D023	DAVID S. TH	Historic Forum Brings Leaders and Industry Together on Electronic Notary Issues	Website Article		3 of 3	NA	Nationalnotary.org			
F003		History of PNG.htm		5-Sep-08						
B005	GREG ROEL	History of the Portable Network Graphics (PNG) Format	http://www.lib	28-Apr-08	8 of 8	NA	Greg Roelofs	12-Jun-05	NA	
B007	GREGG FRA	House Bill 01-1393	State Of Color	23-Jun-08	2 of 2	Article: 71.3	State of Colorado		State of Co	
B022	CALIFORNIA	How Bail Works	http://www.ch	14-May-02	2 of 2	NA	California Bail Agents Association		Sacrament	
B059	TBD	How Bail Works: History of Bail	Online Article	25-Feb-06	8 of 8	NA	TBD		NA	
F202	EXECUTIVE	HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?		1-Mar-01				1-Mar-01		
S003		How Does Compound Document Framework Benefit Us?		26-Mar-09				11-Jun-07		
R004		HOWTO: Export/Import Plain Text Session Key Using CryptoAPI (Q228786)		20-Feb-03						
A043	HTTP://WWW	Howto: PGP and GnuPG	Web Article	9-Jan-01	2 of 2	N/A	http://www.linuxdoc.org/HOWTO/M		UNKNOW	
F308		HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX	Microsoft Kag	13-May-03						
N002		Human Computation	viewed	22-Jul-08						
J102		IBM pureXML for SOA: Unlocking the business value of information		4-Jul-08						
B041	FREE SOFTW	ICONV	http://www.gr	20-Oct-05	3 of 3	NA	Free Software Foundation		Boston, MA	
B044	FREE SOFTW	ICONV CLOSE	http://www.gr	20-Oct-05	1 of 1	NA	Free Software Foundation		Boston, MA	
B042	FREE SOFTW	ICONV OPEN	http://www.gr	20-Oct-05	4 of 4	NA	Free Software Foundation		Boston, MA	
F627	WILLIAM JA	Illinois crosses the bridge		28-Dec-07		6-Aug-07				
F123		Image2PDF 3.2 user manual		4-Jul-08			verypdf.com Inc			
A021	MILTON G.	IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR	Presentation	Early 01	8 OF 8	N/A	National Notary Ass	13-Jul-00	Chatsworth	
J083		In Swedish ???		22-Jun-08						
J048		Infomosaic SecureForm Designer		25-Mar-06						
J011	INFOMOSAI	Infomosaic SecureSign Desktop Document Signer		4-Nov-05	entire		Infomosaic	9-Jan-04		
J010	INFOMOSAI	Infomosaic SecureXML Digital Signature & Encryption Toolkit		4-Nov-05	entire		Infomosaic	9-Jan-04		
M018		Infomosaic SecureXML Multiple Signature Demo		30-Mar-06						
A009	HTTP://WWW	Infomosaic: The Easy to Use Digital Signature	Webpage	23-Mar-06	8 of 8	N/A	http://www.infomos	2-Feb-03	UNKNOW	
D037	US DEPART	Information-Led Policing Research, Technology Development, Testing, and Evaluation					US Department of Justice			
A029	JOHN MESSI	Initial eNotary Signature Candidate Profiles	Article	After 00	1 of 1	N/A	John Messing		UNKNOW	
B034	TBD	Installation on the Win32 Platform	TBD	20-Oct-05	5 of 5	NA	TBD		NA	
A045	VERISIGN, I	Introduction to Public Key Cryptography	Web Article	18-Jan-01	4 of 4	N/A	VeriSign, Inc.	1-Jan-98	UNKNOW	
J116		IPTC - NAA Subject Reference Scheme		4-Jul-08						
J113		IPTC Core Schema for XMP	Specification d	4-Jul-08			IPTC			
P024		IPTC Information Interchange Model		26-Mar-09						
F112		IPTC Metadata for XMP		4-Jul-08						
J105		Is a Data Warehouse the Only Option for Business Intelligence?		4-Jul-08						
J077		JANUS: An interactive document formatter based on declarative tags		16-May-08				1-Jan-85		
B057	JOHN WARN	John Warnock's 'Camelot' signalled birth of PDF	http://www.pl	29-May-06	Page 1 of 7	NA	John Warnock, www	18-Jan-02	NA	

F045		JPEG	Wikipedia	18-Aug-08						
F018	THE DIGITA	JPEG 2000		22-Jul-08	6 of 6	NA	DIG2000 Initiative			
F046		JPEG 2000	Wikipedia	18-Aug-08						
N0008		JPEG 2000 & JP2		22-Jul-08						
J117		JPEG 2000 Offers New Opportunities to Enrich Image Content & Applications Flexibility		22-Jul-08						
P095		JPEG Freely available source code for JPEG		19-Feb-03						
F047		JPEG Network Graphics	Wikipedia	18-Aug-08						
U001		Jpeg Source jpegsrb zip		16-Nov-08					14-Sep-98	
J062		Justice Information Sharing and the Courts: Courts Implementing the GJXDM		21-Apr-06						
D031	BUREAU OF	Justice Information Sharing Resource Directory:	Online Direct	18-Sep-07	2 of ...	NA	Bureau of Justice Assistance			
C005		Key and CSR generation instructions	http://www.th	8-Oct-04	Page 2 of 2		Thawte consulting l		1-Jan-04	
U004	Michael R. Ge	Knowledge Interchange Format		30-Mar-09						
M007		Lab 107 Digital Signatures in InfoPath 2003		4-Feb-06						
D040	SEARCH	Law Enforcement Information Exchange Package Documentation	Workshop Res	15-Mar-05	All	NA	SEARCH			Sacramento
T052		Laws CALIFORNIA DIGITAL SIGNATURE REGULATIONS		4-Nov-05			California Code		12-Jun-98	
T016		Laws Utah n: DRIVE 22		14-Jan-01						
F638		Laws-2003 Electronic Commerce Legislation		21-Sep-04						
F639		Laws-2003 Electronic Signatures and UETA Legislation		21-Sep-04						
K011		Laws-Utah Chapter 46		16-May-03						
A028	NICK POPE	Legal XML - E-Notary: Technical requirements for a E-Notary Digital Signature S	Article	after 6-18-04	1 of ...	N/A	Nick Pope		18-Jun-04	UNKNOW
E014	OASIS COM	LegalXML Electronic Court Filing 3.0	Committee Dr	15-Nov-05	All	NA	OASIS		15-Nov-05	
B040	FREE SOFTW	libiconv	http://www.gr	20-Oct-05	5 of 5	NA	Free Software Foun		1-Jan-98	Boston, MA
F031		libpng - The MNG reference library & related info		19-Feb-03						
C026		Libpng 1.2.10 - April 23, 2006	http://www.lib	8-May-06					23-Apr-06	
F733		libpng 1.2.5 manual.htm		8-May-06						
B033	IGOR ZLATK	Libxml	zlatkovic.com	20-Oct-05	4 of 4	NA	Igor Zlatkovic			NA
F367		libxml2 Reference Manual		12-Sep-05						
T037	Chris Lovett	ListEditor: A Useful XML Web Service	MSDN	15-Oct-05			Microsoft Corporati		21-Apr-00	
B021	CALIFORNIA	Local Information	http://www.cb	14-May-02	1 of 1	NA	California Bail Agents Association			Sacramento
A026	YUICHI SUZ	Long term electronic signature os documents retention	Powerpoint Pr	some 2004	10 of 10	N/A	Yuichi Suzuki		1-Jan-04	UNKNOW
F276		Luxtrust: which trust ?		24-Jun-08						
J001		LZS221-C Version 6 Data Compression Software		9-Feb-98						
R001		Message Authentication, Integrity, and Non-repudiation from Paper to PKI	An Informatio	13-May-02					http://www.imforumi.gc.ca/new_docs/authentic_e.doc	
U003	Tim Berners-L	Metadata Architecture		30-Mar-09					6-Jan-97	
P025		Metadata: a simple guide to describing pictures for use in online image libraries	Whitepaper	26-Mar-09					9-Feb-06	
D002		Microsoft Core XML Services (MSXML) 6.0	Software SDK	7-Nov-05			Microsoft		7-Nov-05	
B017	MICROSOFT	Microsoft Cryptographic Service Provider Development Kit	Document	TBD	4 of 4	NA	Microsoft		1999-2001	NA
P005		MICROSOFT FOUNDATION CLASS LIBRARY : WebImageTest		19-Feb-03						
B014	5573>>MICR	Microsoft Knowledge Base	Article	28-Dec-04	2 of 2	Article: Q254	TBD			NA
T012		Microsoft Windows 2000 Public Key Infrastructure		7-Oct-03			Microsoft Corporati		1-Apr-99	
M023		Microsoft XML Core Services (MSXML)		30-Mar-06						
D009	HARRY GAR	MISMO eMortgage Update	Website Artic	1-Jan-06					1-Jan-06	
C030		MODEL NOTARY ACT		14-Sep-04	Page 78-113				1-Sep-02	
T002		MODEL NOTARY ACT		14-Sep-04					1-Sep-02	
A005	JON UDELL	Modeling biz docs in XML	Webpage	15-Oct-05	1 of 1	N/A	Jon Udell		29-Nov-02	UNKNOW
T030	Aaron Skonna	MSXML 3.0 Supports XPath 1.0, XSLT 1.0, XDR, and SAX2	MSDN Magaz	15-Oct-05		Sep-00	MSDN Magazine		1-Sep-00	
F048	GREG ROEL	Multiple-image Network Graphics	Wikipedia	30-Jun-08			http://www.libpng.org/pub/mng/			
P020		Multipurpose Internet Mail Extensions							1-Nov-96	
E010	W3C	Namespaces in XML	World Wide W	23-Mar-06	All	NA	W3C		14-Jan-99	
D005		National Notary Associatio Taps GeoTrust for Electronic Notarizatin Initiative Launching in Pe		31-Jan-06					31-Jan-06	
F205		National Notary Association and Safedoes Provide E-Notarization Solution for E-Mortgages		7-Jun-05						
J061		NCSC Component Library Executive Summary		21-Apr-06						
G009	WILLIAM A.	New California Jurat Law Creates Confusion		21-Jun-08					23-Feb-05	
F034		NEW JERSEY NOTARY PUBLIC MANUAL		21-Jun-08			State of New Jersey		21-Mar-03	
Q006		Newest Version of PRISM is Now Ready for Comment		23-Feb-09					20-Aug-04	
F111		NewsML-G2		5-Jul-08						
F074		Next-Generation Secure Computing Base	Wikipedia	31-Oct-08						
B030	BARBARA B	No Sure Thing	Best's Review	TBD	4 of 4	February 200	A. M. Best Compan		1-Feb-04	NA
D021	DAVID S. TH	North Carolina Passes Histori Legislation on Electronic Notarization	Website Article		2 of 2	NA	Nationalnotary.org			
A012	LAURENCE	Notaries and Electronic Notarization	Resource Page	4-Mar-06	6 of 6	N/A	OASIS Open, Inc.		1-Jan-02	UNKNOW
A030	MANOJ SRIV	Notarized Digital Signature	Internet Article	6-Jun-05	entire	N/A	Manoj Srivastava		22-Oct-02	NA
M015		Notary Online Library » NNA Position Papers		19-Mar-06						
F033		Notary public	Wikipedia	21-Jun-08						
A023	A. SCHMIDT	Notary Services' Requirements Review & Data Structures for Certifications	Powerpoint Pr	After 00	7 of 7	N/A	A. Schmidt; W. Schneider; Fruanhofe			UNKNOW
J067		OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT Electro		8-Sep-07						
J075		OASIS LegalXML Member Section Electronic Court Filing Technical Committee Electronic Cour		14-Oct-07						
F113		ONJava.com -- XML to PDF Oh, FOP It.htm		22-Jul-08						
E017	WAIBEL, BR	Online Carpentry: Refinishing Your Table of Contents	MSDN	15-Oct-05	All	NA	Microsoft Corporati		21-Dec-00	
F263		Open source tool released for translating between Microsoft, ODF file formats		24-Jun-08						
D033		Open XML Court Interface	Website Artic	16-Nov-04		NA			18-Nov-04	
J094	REUTER	OpenDocument meta data		22-Jun-08			Sun			
M009		openssl		4-Feb-06						
B046	THE OPENS	OpenSSL 0.9.8a	ftp://ftp.opens	20-Oct-05	4 of 4	NA	The OpenSSL Proje		11-Oct-05	NA
P097		OpenSSL Welcome to the OpenSSL Project								
B031	CS.TORONTO	optPNG - Revision History	http://www.cs	2-Apr-06	3 of 3	NA	www.cs.toronto.edu			Toronto, O
F118	MICHAEL K	OWL Web Ontology Language Guide	W3C Recomm	22-Jul-08					10-Feb-04	
D022	PHILLIP W. B	Pennsylvania Logs On to New Statewide eNotarization System	Website Article		3 of 3	NA	Nationalnotary.org			

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P005		PNG (Portable Network Graphics) Specification	W3C Recomm	7-Oct-04		V1				
P017		PNG (Portable Network Graphics) Specification Version 1.0	W3C Recommendation			V1.0			1-Oct-96	
F734		PNG Alpha Transparency Page 2		9-May-06						
F735		PNG Alpha Transparency Page.htm		9-May-06						
K001		PNG ALPHAIMAGELOADER	DIR	19-May-03						
K002		PNG Behavior		19-May-03						
K005		PNG Behavior (WebFX) .htm		19-May-03						
K007		PNG Behavior Demo (WebFX)2.htm		19-May-03						
F151	THOMAS KO	PNG Digital Signatures	Extension Spe	16-May-08						
J079	BOBLET, KO	PNG Digital Signatures Commented Example		16-May-08	entire			Dialogika GmbH & LuxTrust S.A.		
J078	KOPP	PNG Digital Signatures Extension Specification		16-May-08	entire, esp Sec5.1.2.5.2			Dialogika GmbH & LuxTrust S.A.		
Q009		PNG dSIG chunk support		31-Mar-09						
E018		PNG Frequently Asked Questions		28-Apr-08						
U010		PNG Group Email Correspondance	Email cooresp	16-May-08					1-Sep-01	
K003	DIR	PNG Inline Tests (1)		19-May-03						
P003		PNG IO Version 3.3 User and Reference Manual		4-Mar-03						
C024		PNG License	http://www.it	21-Jun-08					15-Aug-04	
C027	GREG ROEL	PNG Portable Network Graphics Specification, Version 1.2		27-May-06					28-Apr-06	
F736		PNG Resources of the Miscellaneous Persuasion.htm		9-May-06						
F737		PNG The Definitive Guide (Online Version).htm		8-May-06		ISBN 1-5659	O'Reilly			
C018	LARRY SEL	PNG Transparency in Internet Explorer		1-Jan-05					5-Oct-04	
B003	GREG ROEL	PNG: The Definitive Guide	http://www.it	28-Apr-08	3 of 3	NA	Greg Roelofs		30-Dec-06	NA
P008	Erik Arvidsson	PngBehavior								
P006	Jason Summers	PNGDIB - a mini DIB-PNG conversion library for Win32		19-Feb-03						
B010	JASON SUMM	PNGDIB: A simple Windows DIB / PNG image conversion library	http://entropy	23-Feb-03	1 of 1	NA	Jasob Summers		9-Feb-03	NA
F001		png-list 200109.htm	Email cooresp	17-May-08						
K009		PNGSetup and System Administration.htm		19-May-03						
T027	Ralph Arvesen	Pocket PC Signature Application Sample	MSDN Article	15-Oct-05				Vertigo Software, In	1-Mar-03	
F005		Portable Document Format	Wikipedia	7-Oct-08						
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F361		Portable Network Graphics (PNG) Specification (Second Edition)	W3C Recomm	7-Jan-08		V2.0			10-Nov-03	
F390	KENT DAVID	Position Paper on Signed XML		4-Feb-06						
F071		Preview - SIIA Information Industry Summit 2007.htm		10-Oct-08						
Q005		PRISM: Publishing Requirements for Industry Standard Metadata		23-Feb-09		V1.0	PRISM Working Gr		9-Apr-01	
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T028	Dan Fox	Protect Private Data with the Cryptography Namespaces of the .NET Framework	MSDN Article	15-Oct-05		Jun-02	MSDN Magazine		1-Jan-02	
B019	PROTOCOL	PTCC Download	http://portal.e	31-Mar-06	2 of 2	NA	Protocol & Testing		2-Mar-06	NA
F061		Publicly Available Standards.htm		4-Nov-08						
Q004		Publishing Requirements for Industry Standard Metadata (PRISM)							6-Oct-00	
F429	JOSEPH REA	Question: The status/design of XML Signatures and Encryption		6-Nov-05					25-May-01	
B026	STATE OF U	R590. Insurance, Administration.	http://www.w	9-Aug-02	Page 1 of 3	NA	Code-Co/State of U		1-Jan-01	State of Ut
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U009	Dave Beckett	RDF PNG Icon		28-Jun-08					19-Apr-01	
F267		RDF Primer	W3C Recomm	22-Jul-08						
P015	Ben Adida, et	RDFa in XHTML: Syntax and Processing	W3C Recomm	26-Mar-09			W3C		14-Oct-08	
F729		readme.html		9-Feb-03						
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F329	Manoj K. Sriv	Regulatory Compliance Using Digital Signature		4-Nov-05			US Army		27-Jun-05	
F731		RelayHealth - Secure Online Communications Between Doctors and Patients.htm		10-May-06						
A017	NATIONAL	REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Florida	Report from th	Late 03	11 of 11	N/A	National Notary Ass		1-Mar-03	Chatsworth
F269	JOEL NAVA	Report on XML Packaging	W3C	14-May-08					2-Aug-99	
A024	A. SCHMIDT	Requirements for Data Validation and Certification Services	Internet-Draft	31-Mar-06	11 of 11	N/A	The Internet Societ		20-Jun-05	UNKNOW
F120		Resource Description Framework (RDF)	downloaded	22-Jul-08					1-Jan-04	
F057		Revision history of Extensible Metadata Platform		18-Aug-08						
C001	M. ROSE	RFC 2629 - Writing I-D's and RFC's using XML	Manual	22-Jul-08	1-Jan-00	NA	Invisible Worlds, Inc		1-Jun-99	NA
C002	HOUSLEY	RFC 3852 Cryptographic Message Syntax	Manual		Page 1 to 8	NA			1-Jul-04	
C016		Rule R590-186. Bail Bond Surety Business	http://www.utah						1-Apr-06	
F125		SAA offers screencasts on Key topics all photographers involved in image licensing need to unders		4-Jul-08						
D025		Safeguarding the								
M011		Safeguarding the Identity and Integrity of XML Messages - Security Strategies for XML Signatures		5-Feb-06						
T031	Aaron Skonna	SAX, the Simple API for XML	MSDN Magaz	15-Oct-05		Nov-00	MSDN Magazine		1-Nov-00	
Q011	JASON HOSH	ScreenCast Tutorials		14-Mar-09						
V005		SDML - Signed Document Markup Language		30-Mar-09						
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B052	WWW.SURE	Secure Time/Date Stamping in a Certification Authority	http://www.g	15-May-02	19 of 19	NA	www.surety.com		NA	
E016	INFOMOSAIC	SecureXML Digital Signature & Encryption	User's Guide a	23-Mar-06	All	2.5.145.44	Infomosaic Corpora		15-Dec-05	Santa Clara
J006	THAWTE	Securing your Microsoft Internet Information Services (MS IIS) Web Server with a thawte Digital		24-Apr-05	entire		thawte			
J005	THAWTE	Securing your Online Data Transfer with SSL		24-Apr-05	entire		thawte			
B049	VERISIGN	Securing Your Web Site For Business	http://www.ve	20-Apr-01	Page 1 and	NA	VeriSign.com			NA
J121		Security Challenges, Threats and Countermeasures Version 1.0		4-Nov-08						
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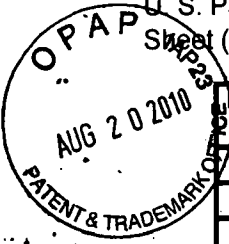
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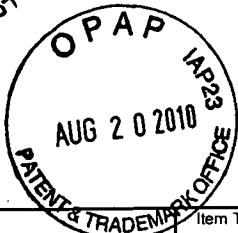
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Application Number: 12/316,699
Filing Date: 12/15/2008
Named Inventor: John Lewis Guymon Jr
Art Unit: _____
Examiner Name: _____

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F220			7 Steps to Understanding XMP Metadata		22-Jun-08				1-Jan-04		
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F062	JOHN S. ERICKS		A Digital Object Approach to Interoperable Rights Management		10-Oct-08		Volume 7	D-Lib Magazin	1-Jun-01		
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B002	GREG ROELOFS		Current Status of PNG	http://www.libp	28-Apr-08	4 of 4	NA	Greg Roelofs	21-Oct-06	NA	
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U001		Jpeg Source jpegsr6b.zip		16-Nov-08					14-Sep-98
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U004	Michael R. Genes	Knowledge Interchange Format		30-Mar-09					
M007		Lab 10: Digital Signatures in InfoPath 2003		4-Feb-06					
F638		Laws-2003 Electronic Commerce Legislation		21-Sep-04					
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E014	OASIS COMMITTEE	LegalXML Electronic Court Filing 3.0	Committee Draft	15-Nov-05	All	NA	OASIS		15-Nov-05
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U003	Tim Berners-Lee	Metadata Architecture		30-Mar-09					6-Jan-97
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M023		Microsoft XML Core Services (MSXML)		30-Mar-06					
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A005	JON UDELL	Modeling biz docs in XML	Webpage	15-Oct-05	1 of 1	N/A	Jon Udell		29-Nov-02 UNKNOWN
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F205		National Notary Association and Safedocs Provide E-Notarization Solution for E-Mortgage		7-Jun-05					
J061		NCSC Component Library Executive Summary		21-Apr-06					
G009	WILLIAM A. ANDERSON	New California Jurat Law Creates Confusion		21-Jun-08					23-Feb-05
F034		NEW JERSEY NOTARY PUBLIC MANUAL		24-Jun-08			State of New Jersey		21-Mar-03
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B030	BARBARA BOWEN	No Sure Thing	Best's Review	TBD	4 of 4	February 2004	A. M. Best Co.		1-Feb-04 NA
A012	LAURENCE LEFF	Notaries and Electronic Notarization	Resource Page	4-Mar-06	6 of 6	N/A	OASIS Open		1-Jan-02 UNKNOWN
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M015		Notary Online Library » NNA Position Papers		19-Mar-06					
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J067		OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT		8-Sep-07					
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F113		ONJava.com -- XML to PDF Oh, FOP It.htm		22-Jul-08					
F263		Open source tool released for translating between Microsoft, ODF file formats		24-Jun-08					
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B031	CS.TORONTO.EDU	optiPNG - Revision History	http://www.cs.toronto.edu	12-Apr-06	3 of 3	NA	www.cs.toronto.edu		Toronto, O
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P017		PNG (Portable Network Graphics) Specification Version 1.0	W3C Recommendation			V1.0			1-Oct-96
F734		PNG Alpha Transparency Page 2		9-May-05					
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F151	THOMAS KOPP	PNG Digital Signatures	Extension Specification	16-May-08					
J079	BOBLET, KOPP	PNG Digital Signatures Commented Example		16-May-08	entire		Dialogika GmbH & LuxTrust S.A.		
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F071		Preview - SIIA Information Industry Summit 2007.htm		10-Oct-08					
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F429	JOSEPH REAGLE	Question: The status/design of XML Signatures and Encryption		6-Nov-05					25-May-01
F266	DAN BRICKLEY	RDF for self-describing images		25-Jun-08					23-Jan-01

P009	Dave Beckett	RDF PNG Icon		25-Jun-08					19-Apr-01
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F125		SAA offers screencasts on Key topics all photographers involved in image licensing nee		4-Jul-08					
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Q011	JASON HOSKING	Screencast Tutorials		14-Mar-09					
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F397	KARL SCHEIBEL	Signing XML Documents and the Concept of "What You See Is What You Sign"		4-Feb-06	entire; esp Ch 1, Ch 2, P39, P47, Ch				1-Jan-01
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A031		The Electronic Notarization System Based on the Notarization System	Article	31-Mar-06	1 of 1	N/A	http://www.moi.go.jp/ENGLISH		UNKNOW
A015	50STATENOTAR	The Free Introductory Signing Agent Training Course	Training Cours	Late 05	25 of 25	7th	50StateNotary		1-Jan-05
J037		The Growing Real Estate Fraud Problem in Florida		19-Mar-06					Columbus,
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B001	GREG ROELOFS	The Story of PNG		28-Apr-08	3 of 3	NA	Greg Roelofs		13-Aug-00
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T044	Charles Heinemar	Using Namespaces Within Your XML Documents	MSDN	16-Oct-05			Microsoft Corp		20-Jun-99
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F038	THOMAS G. LANG	USING THE IJG JPEG LIBRARY		15-Jul-08					1-Jan-95
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J063		VeriSign®Authenticated Content Signing		2-Nov-05					

V003		W3C and Electronic Commerce		30-Mar-09					
G649	INSIGHT CONSUMERS	Web Services and XML Security		4-Feb-05			Siemens		
G003		Web Services Interoperability Organization (WS-I)		4-Nov-08					
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A041	RSA SECURITY	What is digital timestamping?	Article	some 2002	2 of 2	N/A	RSA Security	1-Jan-02	UNKNOWN
F129	G. KEN HOLMAN	What is XSLT		22-Jul-08			xml.com	16-Aug-00	
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F438		XML Security page		16-Nov-05					
F425	SHELLEY DOLL	XML security: A who's who		16-Nov-05				8-Jul-02	
F287	MARK BARTEL; J	XML Signature Syntax and Processing (Second Edition)	W3C Proposed	8-Jun-08			W3C	10-Jun-08	
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J087	ADOBE	XMP Toolkit v 4.1.1		22-Jun-08	entire		Adobe		
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./

Examiner Signature: /KAVEH ABRISHAMKAR/
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 10/24/2019
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EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT PAPER NUMBER

3649

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PAPER

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The time period for reply, if any, is set in the attached communication.



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Application No. : 12316699
Applicant : Guymon, Jr.
Filing Date : 12/15/2008
Date Mailed : 10/24/2019

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given two (2) months from the mail date of this Notice within which to respond. This time period for reply is extendable under 37 CFR 1.136(a) for only TWO additional MONTHS.

The informalities requiring correction are indicated in the attachment(s). If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to respond within the above-identified time period will result in the application being ABANDONED.

See attachment(s).

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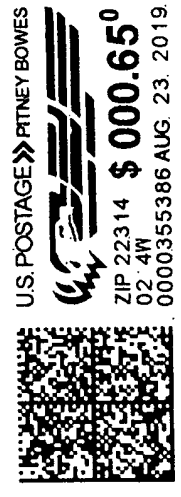
IDENTIFICATION OF SPECIFICATION/DRAWING INCONSISTENCIES

- On Page of the specification there is a brief description of FIG. , but the drawings filed do not include a drawing with that designation. Applicant must respond either by supplying the omitted drawing or by amending the specification to remove all references to that drawing.
- The drawings filed 12/17/2008 include FIG. 1E, but the specification's brief description of the drawings does not describe a drawing with that designation. Applicant must respond either by amending the specification to add a brief description of that drawing or by correcting the drawings to remove the drawing in question.
- In the utility application, drawings are present in the application and are referred to in the detailed description of the invention, but the specification does not contain a brief description of the several views as required by 37 CFR 1.74 and 37 CFR 1.77(b)(9).
- The design application does not contain a description of the figure or figures of the drawing as required by 37 CFR 1.153(a) and 37 CFR 1.154(b)(4).
- In the plant application, the specification does not contain a brief description of the drawing as required by 37 CFR 1.163(c)(8).
- Page 26-27 of the specification refers to FIG. see below, but no drawing with that designation is described in the brief description of the drawings and no drawing with that designation is present in the application. Applicant must respond either by amending the specification to remove all references to that drawing, or by supplying that drawing and amending the specification to add a brief description of it.
- In the reissue application, FIG. , is labeled as “New” but is not described in the reissue specification’s brief description of the drawings. Applicant must respond by amending the reissue specification’s brief description of the drawings to add a brief description of the new drawing.
- OTHER:
- COMMENTS:
Pages 26-27 mention Figures 1A 100, 1B.111, 1C.159, 1B.113, 1C.155, 1B.114, 1C.153, 1B.115, 1C.154, 1B.116, 1C.152, 1B.112, 1C.156, 1B.112, 1C.156, 1B.113-116, 1C.152-155, 1B.117, 1C.151, 1D.122, 1E.141

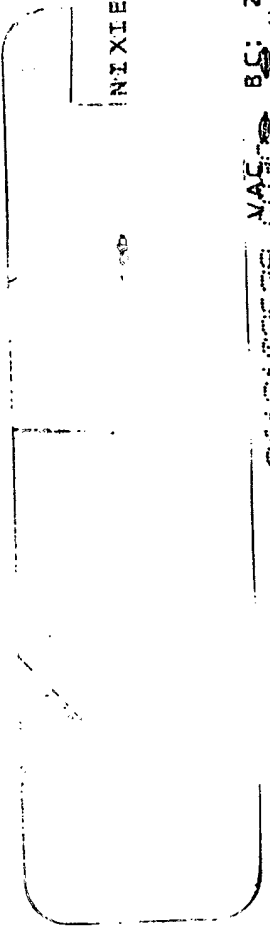
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EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT PAPER NUMBER

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12/316,699 12/15/2008 John Lewis Guymon JR. 1800

TITLE OF INVENTION: Securable independent electronic document

Table with 7 columns: APPLN. TYPE, ENTITY STATUS, ISSUE FEE DUE, PUBLICATION FEE DUE, PREV. PAID ISSUE FEE, TOTAL FEE(S) DUE, DATE DUE
nonprovisional MICRO \$250 \$0.00 \$0.00 \$250 11/25/2019

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.
If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.
If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".
For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

63451 7590 08/23/2019
IBAILBONDING.COM
 1635 EAST 7080 SOUTH
 SALT LAKE CITY, UT 84121

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

_____ (Typed or printed name)
_____ (Signature)
_____ (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

TITLE OF INVENTION: Securable independent electronic document

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$250	\$0.00	\$0.00	\$250	11/25/2019

EXAMINER	ART UNIT	CLASS-SUBCLASS
ABRISHAMKAR, KAVEH	3649	713-189000

<p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required.</p>	<p>2. For printing on the patent front page, list</p> <p>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1</p> <p>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2</p> <p>_____ 3</p>
---	---

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE _____ (B) RESIDENCE: (CITY and STATE OR COUNTRY) _____

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. Fees submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies _____

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038)

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
Row 1: 12/316,699, 12/15/2008, John Lewis Guymon JR., 1800
Row 2: 63451, 7590, 08/23/2019
Row 3: IBAILBONDING.COM, 1635 EAST 7080 SOUTH, SALT LAKE CITY, UT 84121
Row 4: EXAMINER ABRISHAMKAR, KAVEH
Row 5: ART UNIT 3649, PAPER NUMBER

DATE MAILED: 08/23/2019

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability

Application No. 12/316,699	Applicant(s) Guymon et al.	
Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. This communication is responsive to the amendment filed on 7/26/2019.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
- 2. An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
- 3. The allowed claim(s) is/are 1-4. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
- 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some *c) None of the:
 - 1. Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. _____.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has **THREE MONTHS FROM THE "MAILING DATE"** of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in **ABANDONMENT** of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 5. **CORRECTED DRAWINGS** (as "replacement sheets") must be submitted.
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
- 6. **DEPOSIT OF and/or INFORMATION** about the deposit of **BIOLOGICAL MATERIAL** must be submitted. Note the attached Examiner's comment regarding **REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL**.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
- 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
- 4. Interview Summary (PTO-413), Paper No./Mail Date. 8/15/2019.
- 5. Examiner's Amendment/Comment
- 6. Examiner's Statement of Reasons for Allowance
- 7. Other _____.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Applicant-Initiated Interview Summary	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

- (1) Kaveh Abrishamkar. (3) ____.
- (2) John Lewis Guymon Jr.. (4) ____.

Date of Interview: 15 August 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1-4.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

The Applicant discussed that there was a marked-up copy of the claims on record. The Examiner considered those amendments and will conduct a further search to determine allowability.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

63451 7590 08/23/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

Table with 2 columns: EXAMINER (ABRISHAMKAR, KAVEH), ART UNIT (3649), PAPER NUMBER (1800)

DATE MAILED: 08/23/2019

Table with 5 columns: APPLICATION NO. (12/316,699), FILING DATE (12/15/2008), FIRST NAMED INVENTOR (John Lewis Guymon JR.), ATTORNEY DOCKET NO., CONFIRMATION NO. (1800)

TITLE OF INVENTION: Securable independent electronic document

Table with 7 columns: APPLN. TYPE (nonprovisional), ENTITY STATUS (MICRO), ISSUE FEE DUE (\$250), PUBLICATION FEE DUE (\$0.00), PREV. PAID ISSUE FEE (\$0.00), TOTAL FEE(S) DUE (\$250), DATE DUE (11/25/2019)

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

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IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

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63451 7590 08/23/2019
IBAILBONDING.COM
 1635 EAST 7080 SOUTH
 SALT LAKE CITY, UT 84121

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316.699	12/15/2008	John Lewis Guymon JR.		1800

TITLE OF INVENTION: Securable independent electronic document

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$250	\$0.00	\$0.00	\$250	11/25/2019

EXAMINER	ART UNIT	CLASS-SUBCLASS
ABRISHAMKAR, KAVEH	3649	713-189000

<p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required.</p>	<p>2. For printing on the patent front page, list</p> <p>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1</p> <p>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2</p> <p>_____ 3</p>
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE _____ (B) RESIDENCE: (CITY and STATE OR COUNTRY) _____

Please check the appropriate assignee category or categories (will not be printed on the patent) : Individual Corporation or other private group entity Government

4a. Fees submitted: Issue Fee Publication Fee (if required) Advance Order - # of Copies _____

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038)

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO., EXAMINER, ART UNIT, PAPER NUMBER. Includes text: 12/316,699, 12/15/2008, John Lewis Guymon JR., 1800, 63451, 7590, 08/23/2019, IBAILBONDING.COM, 1635 EAST 7080 SOUTH, SALT LAKE CITY, UT 84121, ABRISHAMKAR, KAVEH, 3649, DATE MAILED: 08/23/2019

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability

Application No. 12/316,699	Applicant(s) Guymon et al.	
Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. This communication is responsive to the amendment filed on 7/26/2019.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
- 2. An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
- 3. The allowed claim(s) is/are 1-4. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
- 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some *c) None of the:
 - 1. Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. _____.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
- 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
- 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
- 4. Interview Summary (PTO-413), Paper No./Mail Date. 8/15/2019.
- 5. Examiner's Amendment/Comment
- 6. Examiner's Statement of Reasons for Allowance
- 7. Other _____.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) ____.

(2) John Lewis Guymon Jr.. (4) ____.

Date of Interview: 15 August 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1-4.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

The Applicant discussed that there was a marked-up copy of the claims on record. The Examiner considered those amendments and will conduct a further search to determine allowability.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.


A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy


If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC						
Symbol				Type	Version	
G06F	/	21	/	64	F	2013-01-01
G06F	/	21	/	6209	I	2013-01-01

CPC Combination Sets						
Symbol			Type	Set	Ranking	Version
	/		/			

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	15 August 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

<i>Issue Classification</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649


INTERNATIONAL CLASSIFICATION			
CLAIMED			
G06F	/	21	/ 64

NON-CLAIMED			
/		/	

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS

CROSS REFERENCES(S)						
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					


NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	15 August 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

Issue Classification 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

Claims renumbered in the same order as presented by applicant
 CPA
 T.D.
 R.1.47

CLAIMS															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1														
2	2														
3	3														
4	4														

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	4	
/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	15 August 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1A

<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner


US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST Search History Attached	3/2/2012	K.A.
Updated EAST Search History	08/02/2018	K.A.
Consulted with 101 Panel regarding possible amendments to overcome the 101 rejection.	04/25/2019	K.A.
Updated EAST Search History	08/15/2019	K.A.
Searched G06F 21/64.cpc. with search terms	08/15/2019	K.A.
Searched G06F 21/6209.cpc. with search terms	08/15/2019	K.A.
PALM Inventor Name Search	08/15/2019	K.A.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
G06F	21/64	08/15/2019	K.A.
G06F	21/6209	08/15/2019	K.A.

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<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	1841	713/189.ccls.	USPAT	OR	OFF	2012/03/01 15:15
S2	188	"20010027459" "20010039524" "20020059144" "20020066019" "20030046274" "20040128513" "20040237040" "20050138382" "20060080599" "20060136477" "20060150151" "20070011457" "20070106932" "5855008" "5859920" "5870499" "5884272" "5887130" "5894558" "5946414" "5960411" "6005643" "6006328" "6031914" "6047374" "6055321" "6065026" "6112185" "6138119" "6192138" "6209095" "6237096" "6246998" "6278791" "6307950" "6342954" "6343138" "6354630" "6418448" "6456726" "6469692" "6535981" "6616702" "6643383" "6658568" "6678389" "6714202" "6717573" "6731775" "6748362" "6748380" "6782509" "6807285" "6826291" "6834209" "6850624" "6859155" "6862599" "6880755" "6892352" "6892947" "6900905" "6904176" "6904454" "6908034" "6910068" "6912462" "6918082" "6925597" "6940870" "6940995" "6947162" "6954542" "6959100" "6961441" "6961854" "6961902" "6965904" "6966048" "6968058" "6968319" "6968455" "6970836" "6972763" "6973444" "6975992" "6976165" "6981151" "6982625" "6983309" "6985886" "6985888" "6988199" "6988279" "6990684" "6991153" "6991154" "6991174" "6993153" "6993655" "6993656" "6996723" "6999936" "7000105" "7000110" "7000186" "7003132" "7003166" "7006243" "7006656" "7007076" "7010570" "7013286" "7016495" "7016516" "7017046" "7020640" "7020777" "7020839" "7021534" "7025276" "7028180" "7028184" "7028187" "7028189" "7028191" "7031943" "7032030" "7035409" "7035817" "7035830" "7036015" "7039616" "7039626" "7039805" "7039812" "7043025" "7043411" "7043456" "7044381" "7047404" "7047411" "7047419" "7051002" "7051205" "7051206" "7051212"	USPAT	OR	OFF	2012/03/01 15:17

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S8	4	S3 and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc)	US-PGPUB; USPAT	OR	OFF	2012/03/01 15:17
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S15	1	S14 and (encod\$2 with (content))	US-PGPUB; USPAT	OR	OFF	2012/03/02 18:27

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S17	2	S14 and (encod\$3 with (content))	US-PGPUB; USPAT	OR	OFF	2012/03/02 18:27
S18	2	"20030105721" and (encod\$3) with (content\$4)	US-PGPUB; USPAT	OR	OFF	2012/03/02 18:30
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S21	2	"20030105721" and (embed\$4)	US-PGPUB; USPAT	OR	OFF	2012/03/02 18:32
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S32	15598	G06F21/6209.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2019/08/15 15:22
S33	28668	S31 S32	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2019/08/15 15:29
S34	1306	S33 and (container\$2)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2019/08/15 16:16

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S36	495	S33 and (container\$2) with (encrypt\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/08/15 16:18
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S40	1	S33 and S39	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2019/08/15 16:38

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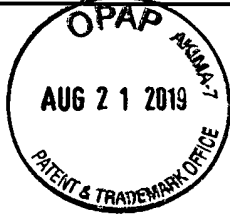
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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12/316,699	12/15/2008	John Lewis Guymon JR.		1800
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 IBAILBONDING.COM
 1635 EAST 7080 SOUTH
 SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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08/13/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Advisory Action Before the Filing of an Appeal Brief	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 26 July 2019 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

NO NOTICE OF APPEAL FILED

1. The reply was filed after a final rejection. No Notice of Appeal has been filed. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods:

- a) The period for reply expires 3 months from the mailing date of the final rejection.
- b) The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
- c) A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires _____ months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier.

Examiner Note: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANTS FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37CFR 41.37(a).

AMENDMENTS

3. The proposed amendments filed after a final rejection, but prior to the date of filing a brief, will not be entered because
- a) They raise new issues that would require further consideration and/or search (see NOTE below);
- b) They raise the issue of new matter (see NOTE below);
- c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- d) They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See amended claim 1 (See 37CFR 1.116 and 41.33(a)).

4. The amendments are not in compliance with 37CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. Applicants reply has overcome the following rejection(s): _____
6. Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. For purposes of appeal, the proposed amendment(s): (a) will not be entered, or (b) will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended.

AFFIDAVIT OR OTHER EVIDENCE

8. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on _____
9. The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
10. The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
11. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

12. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
13. Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). _____
14. Other: PTO-2323 attached.

STATUS OF CLAIMS

15. The status of the claim(s) is (or will be) as follows:
- Claim(s) allowed: _____
- Claim(s) objected to: _____
- Claim(s) rejected: 1-4.
- Claim(s) withdrawn from consideration: _____

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
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AFCP 2.0 Decision

Application No.

12/316,699

Applicant(s)

Guymon et al.

Examiner

KAVEH ABRISHAMKAR

Art Unit

3649

AIA (FITF) Status

No

This is in response to the After Final Consideration Pilot request filed 26 July 2019.

1. **Improper Request** – The AFCP 2.0 request is improper for the following reason(s) and the after final amendment submitted with the request will be treated under pre-pilot procedure.

- An AFCP 2.0 request form PTO/SB/434 (or equivalent document) was not submitted.
- A non-broadening amendment to at least one independent claim was not submitted.
- A proper AFCP 2.0 request was submitted in response to the most recent final rejection.
- Other: See Continuation Sheet

2. Proper Request

A. After final amendment submitted with the request will not be treated under AFCP 2.0.

The after final amendment cannot be reviewed and a search conducted within the guidelines of the pilot program.

- The after final amendment will be treated under pre-pilot procedure.

B. Updated search and/or completed additional consideration.

The examiner performed an updated search and/or completed additional consideration of the after final amendment within the time authorized for the pilot program. The result(s) of the updated search and/or completed additional consideration are:

- 1. All of the rejections in the most recent final Office action are overcome and a Notice of Allowance is issued herewith.
- 2. The after final amendment would not overcome all of the rejections in the most recent final Office action. See attached interview summary for further details.
- 3. The after final amendment was reviewed, and it raises a new issue(s). See attached interview summary for further details.
- 4. The after final amendment raises new issues, but would overcome all of the rejections in the most recent final Office action. A decision on determining allowability could not be made within the guidelines of the pilot. See attached interview summary for further details, including any newly discovered prior art.
- 5. Other: _____

Examiner Note: Please attach an interview summary when necessary as described above.

Continuation of Improper Request- Other: The amendment to the claims are non-compliant because there are no underlines or strikethroughs demonstrating which amendments were made to the claims.

Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No. 12/316,699	Applicant(s) Guymon et al.	
Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 07/26/2019 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
 - A. Amended paragraph(s) do not include markings.
 - B. New paragraph(s) should not be underlined.
 - C. Other _____ .
- 2. Abstract:
 - A. Not presented on a separate sheet. 37 CFR 1.72.
 - B. Other _____ .
- 3. Amendments to the drawings:
 - A. The drawings are not properly identified in the top margin as "Replacement Sheet", "New Sheet", or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - C. Other _____ .
- 4. Amendments to the claims:
 - A. A complete listing of all of the claims is not present.
 - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - D. The claims of this amendment paper have not been presented in ascending numerical order.
 - E. Other: See Continuation Sheet .
- 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period** if the **non-compliant amendment is an after-final amendment** or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **two months** from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Continuation of 4(e) Other: The amendment to the claims are non-compliant because there are no underlines or strikethroughs demonstrating which amendments were made to the claims.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 08/13/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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08/13/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Advisory Action Before the Filing of an Appeal Brief	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 26 July 2019 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

NO NOTICE OF APPEAL FILED

1. The reply was filed after a final rejection. No Notice of Appeal has been filed. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods:

a) The period for reply expires 3 months from the mailing date of the final rejection.

b) The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

c) A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires _____ months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier.

Examiner Note: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANTS FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37CFR 41.37(a).

AMENDMENTS

3. The proposed amendments filed after a final rejection, but prior to the date of filing a brief, will not be entered because

a) They raise new issues that would require further consideration and/or search (see NOTE below);

b) They raise the issue of new matter (see NOTE below);

c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or

d) They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See amended claim 1 (See 37CFR 1.116 and 41.33(a)).

4. The amendments are not in compliance with 37CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).

5. Applicants reply has overcome the following rejection(s): _____

6. Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).

7. For purposes of appeal, the proposed amendment(s): (a) will not be entered, or (b) will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended.

AFFIDAVIT OR OTHER EVIDENCE

8. A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____

9. The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).

10. The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellants fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).

11. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

12. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: _____

13. Note the attached Information *Disclosure Statement(s)*. (PTO/SB/08) Paper No(s). _____

14. Other: PTO-2323 attached.

STATUS OF CLAIMS

15. The status of the claim(s) is (or will be) as follows:
 Claim(s) allowed: _____
 Claim(s) objected to: _____
 Claim(s) rejected: 1-4.
 Claim(s) withdrawn from consideration: _____

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
--	--

AFCP 2.0 Decision

Application No.

12/316,699

Applicant(s)

Guymon et al.

Examiner

KAVEH ABRISHAMKAR

Art Unit

3649

AIA (FITF) Status

No

This is in response to the After Final Consideration Pilot request filed 26 July 2019.

1. **Improper Request** – The AFCP 2.0 request is improper for the following reason(s) and the after final amendment submitted with the request will be treated under pre-pilot procedure.

- An AFCP 2.0 request form PTO/SB/434 (or equivalent document) was not submitted.
- A non-broadening amendment to at least one independent claim was not submitted.
- A proper AFCP 2.0 request was submitted in response to the most recent final rejection.
- Other: See Continuation Sheet

2. **Proper Request**

A. After final amendment submitted with the request will not be treated under AFCP 2.0.

The after final amendment cannot be reviewed and a search conducted within the guidelines of the pilot program.

- The after final amendment will be treated under pre-pilot procedure.

B. Updated search and/or completed additional consideration.

The examiner performed an updated search and/or completed additional consideration of the after final amendment within the time authorized for the pilot program. The result(s) of the updated search and/or completed additional consideration are:

- 1. All of the rejections in the most recent final Office action are overcome and a Notice of Allowance is issued herewith.
- 2. The after final amendment would not overcome all of the rejections in the most recent final Office action. See attached interview summary for further details.
- 3. The after final amendment was reviewed, and it raises a new issue(s). See attached interview summary for further details.
- 4. The after final amendment raises new issues, but would overcome all of the rejections in the most recent final Office action. A decision on determining allowability could not be made within the guidelines of the pilot. See attached interview summary for further details, including any newly discovered prior art.
- 5. Other: _____

Examiner Note: Please attach an interview summary when necessary as described above.

Continuation of Improper Request- Other: The amendment to the claims are non-compliant because there are no underlines or strikethroughs demonstrating which amendments were made to the claims.

Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No. 12/316,699	Applicant(s) Guymon et al.	
Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 07/26/2019 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
 - A. Amended paragraph(s) do not include markings.
 - B. New paragraph(s) should not be underlined.
 - C. Other _____ .
- 2. Abstract:
 - A. Not presented on a separate sheet. 37 CFR 1.72.
 - B. Other _____ .
- 3. Amendments to the drawings:
 - A. The drawings are not properly identified in the top margin as "Replacement Sheet", "New Sheet", or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - C. Other _____ .
- 4. Amendments to the claims:
 - A. A complete listing of all of the claims is not present.
 - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - D. The claims of this amendment paper have not been presented in ascending numerical order.
 - E. Other: See Continuation Sheet .
- 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period if the non-compliant amendment is an** after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **two months** from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

U.S. Patent and Trademark Office
PTOL-324 (11-13)

Notice of Non-Compliant Amendment (37 CFR 1.121)

Part of Paper No. 20190810

Continuation of 4(e) Other: The amendment to the claims are non-compliant because there are no underlines or strikethroughs demonstrating which amendments were made to the claims.

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From: The United States Patent and Trademark Office

Application Number: 13316699

DO NOT ENTER: /K.A/

Application Filed: December 15, 2008

Applicants: John Lewis Grogan Jr / Douglas Scott Litsky

Application Title: Secure Independent Electronic Document

Examiner:G.A.J: Karvela Abrishtarkar

Communication File: Final Office Action dated April 26, 2019

July 26th, 2019

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir Madam:

In response to the Final Office Action dated April 26th, 2019, Applicants respectfully submit this APCA amendment to the Application of Patent, #13316699, filed December 15th, 2008. This response is filed within the three month period for reply of the mailing date of the Final Office Action, wherefore Applicants believe that no extension of time is required with the filing of this response.

This response is filed with a Certification and Request for Consideration under the After Final Consideration Pilot Program 2.0.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

AMENDMENTS:

Specification not changed.

Applicant's

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JUL 26 2019

Doc Code: A,FE,AFACP

Document Description: After Final Consideration Pilot Program Request

PR03061005-19

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE
AFTER FINAL CONSIDERATION PILOT PROGRAM 37

Practitioner/Agent No.:	Application No.:	Filing Date:
First Named Inventor:	Title:	

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 37 (AFCP 37) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.106.

- The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) (a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (ii), or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c).
- The above-identified application contains an outstanding final rejection.
- Submitted herewith is a response under 37 CFR 1.106 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect.
- This certification and request for reconsideration under AFCP 37 is the only AFCP 37 certification and request filed in response to the outstanding final rejection.
- Applicant is willing and available to participate in any interview requested by the examiner concerning the present response.
- This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web).
- Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.106, e.g., extension of time fees, are being concurrently filed herewith. (There is no additional fee required for request reconsideration under AFCP 37.)
- By filing this certification and request, applicant acknowledges the following:

- Patent applications and examination proceedings are not eligible to participate in AFCP 37.
- The examiner will notify that the AFCP 37 submission is non-compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions:
 - The examiner will review the response under 37 CFR 1.106 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 37. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.106, e.g., by treating an advisory action.
 - If the examiner determines that the amendment does not necessitate additional search and/or consideration, and if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview.
 - The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate.
 - If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.106.

Signature	Date
Name	Print name
(Print/Typed)	Registration No.

Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(b) for signature requirements and certifications. Submit multiple copies if more than one signature is required, see below.

* Total of _____ forms are submitted.

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Doc Code: ALINEAFCP

Document Description: After Final Consideration Pilot Program Request

FORM 9/04/05-130

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner/Examiner Name:	Application No.:	Filing Date:
First Planned Recitation:	Title:	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACOMPLANNING RESPONSE UNDER 37 C.F.R. 1.106.</p>		
1.	The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 101(a) (a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 101(a) and is eligible under (i), or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 379(b).	
2.	The above-identified application contains an outstanding final rejection.	
3.	Submitted herewith is a response under 37 C.F.R. 1.106 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect.	
4.	This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection.	
5.	Applicant is willing and able to participate in any interview requested by the examiner concerning the present response.	
6.	This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web).	
7.	Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 C.F.R. 1.106, e.g., extension of time fees, are being concurrently filed herewith. (There is no additional fee required to request consideration under AFCP 2.0.)	
8.	By filing this certification and request, applicant acknowledges the following:	
	<ul style="list-style-type: none"> • Post-issuance applications and reexamination proceedings are not eligible to participate in AFCP 2.0. • The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1-7 above). For compliant submissions: <ul style="list-style-type: none"> o The examiner will review the response under 37 C.F.R. 1.106 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 C.F.R. 1.106, e.g., by mailing an advisory action. o If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. <ul style="list-style-type: none"> • The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisor (as determined) will also participate. • If the applicant declines the interview, or if the date cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 C.F.R. 1.106. 	
Signature	Date	
Practitioner	Practitioner	
(Print Name)	Registration No.	
<p>Note: This form must be signed in accordance with 37 C.F.R. 1.561. See 37 C.F.R. 1.561 for signature requirements and certifications. Submit multiple pages if more than one signature is required, see below.*</p>		
<p>* Total of _____ forms are submitted.</p>		

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JUL 26 2019

From the United States Patent and Trademark Office

Application Number: 133316699
Application Filed: December 15, 2008
Applicants: John Lewis Chrymmon Jr / Douglas Scott Latsey
Application Title: Secureable Independent Electronic Document
Examiner/CAU: Karvela Albertson

Amendment in re: Final Office Action dated April 26, 2019

July 26th, 2019

Commissioner for Patents
P.O. Box 4450
Alexandria, VA 22313-1450

Sir Madam:

In response to the Final Office Action dated April 26th, 2019, Applicants respectfully submit this AFCP amendment to the Application of Patent, #133316699, filed December 15th, 2008. This response is filed within the three month period for reply of the mailing date of the Final Office Action, wherefore Applicants believe that no extension of time is required with the filing of this response.

This response is filed with a Certification and Request for Consideration under the AIA's Final Consideration Pilot Program 2.0.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

SPICE/CP/CA/CP/CP/CP:
Specification not changed.

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Essexville Independent Electronic Document
 Creators, et al.

Serial No.: 13/316,699 AIPC Amendment
 Page 3 of 16

CLAIMS:

Amended, printed and incorporated (Pages 9 through 13). Additionally supplied is the current claim set text for continued reference to the latest amended changes (Pages 14 through 16).

ISSUE/PROBLEMS:

Drawings not changed.

RECEIVED/REFERENCES:

1. Applicant has submitted amended claims that include an amendment to the independent claim #1 (and its subsequent dependent claims #2, #3 and #4), with said amendment to the claims made in regard to the interview discussion Applicant had with Examiner on June 20th, 2019. Amendments to the claims are presented without broadening the claims in any way.

2. In regard to Examiner's "Response to Arguments", specifically "Classifications - 35 U.S.C. §101", Applicant reaffirms that the claimed invention, in the least, is directed to eligible 35 U.S.C. §101 references, specifically that of:

- a) 'a new and useful Process', and
- b) 'any new and useful improvement thereof'

and has amended the current claim set as discussed and suggested by Examiner Adishankar in the interview dated June 20th, 2019 to have the claims more appropriately address the above eligible 35 U.S.C. §101 references. Applicant appreciates the time that Examiner Adishankar has taken to assist Applicant with the amendments to the claims for better conformity to these 35 U.S.C. §101 references. (Note: In previous replies Applicant has suggested and continues to maintain that the other 35 U.S.C. §101's category's apply to the invention described in the application, specifically that of an article of manufacture and composition of matter, and reserves the rights accordingly for such classification in the future, having the above 35 U.S.C. §101 directed eligible references the only ones referenced herein at this time for eligibility. Applicant believes that when the invention

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Seccombe Independent Electronic Document
 Carpenter, et al.

Serial No.: 139316,699 AFCIP Amendment
 Page 3 of 16

described and written in the specification and claims is generated that it is an article, be it in electronic form, that was manufactured, having the manufacturing process be that of software development methods. Further, the electronic document that was generated from the manufactured process exists and requires storage, Applicant believes, as an ordered composition of matter having the same layout pattern as the first manufactured generation.)

3. Amendments to rejected claims #1 through #4 have been made and are included (see pages 9 through 13). The latest changes recites the claim text very close to that of the originally included claim set, having the most significant alterations to the text supporting a method based claim type. Details of the changes included in the amended claims submitted with this After Final Office Action AFCIP amendment include the following:

a.) Articulation of currently rejected claims #1 through #4 to read as a method based claim set. Added actions 'writes' to describe the different steps in the method. The claims are directed to a method type claim set as suggested by Examiner Abrahamson during Applicant's June 27th, 2019 telephonic interview with Examiner.

b.) Confirmed a 'narrative standard' character test data description listing in the character test sub paragraph method section where the storing step of said test is recited to confirm the meaningful limits on the claim scope. In Claim #1 in the sub paragraph where the character test additional components creation and storage method steps are listed, Applicant confirmed the wording 'narrative standard' to describe the character test formatted information data that is being stored, as the main concept of the invention described in the specification and claims is the narrative standard based graphical image and character test format combination, formatted accordingly in said narrative standard based format in the narrative standard electronic document container. Although it was referenced in past claim

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amendments, the method step needed the specific reference to the normative standard designation.

c.) Removed the "and, if user specified, additional sectional components" sub paragraph section. This conditional claim portion was not part of the originally submitted claims and is unnecessary as the said sub paragraph's functionality was to introduce the additional sectional components used in Dependent's dependent claim 4B's container based digital signature data, having the introduction of the additional components now part of the dependent's claim method steps. Further, claim wording in said "if user specified" section included text that should have been directed to the character test based sectional components, and therefore was removed from this "if user specified" section and amended to the said character test based sectional component method steps sub paragraph wording section. The relocation of the character test's formatted data composition paragraph text restores proper character test format description type sub paragraph wording location. The sectional component intrinsic security feature data "coupling", the 4-44g integrity attribute, originally included in the initial claims set describes the sectional coupling and intrinsic data generation as needed, having no requirement for the repetitive intrinsic data coupling attribute modification. Additional component sections can be included and worded with as the user specifies, having the mention of each method claim narrowing and removable without additional prior art searches done.

d.) Reference to "at least one overall data integrity check value" originally listed in the initially submitted claim set has been made within the amended claims, revised to make reference to said data integrity check value, one that is intrinsic as a result of a step in method claim, having the text removed in prior amended claim submissions when the claim's were directed to "an electronic document" or "an cryptographic signature" tangible object, having said tangible object's final

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Securable Independent Electronic Document
 Corporation, et al.

Serial No.: 13316,699 AFOIP Amendment
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composition's "hash value" a value available after said object's generation and not an actual part of said generated object, derived from the generated object's content, composition or otherwise "information".

4. In support of the process for the Securable Independent Electronic Document invention described in the specification are details that reinforce Applicant's belief that the invention references: a) 'a more and useful Process', and b) 'any more and useful improvement thereof' of 35 U.S.C. §101. Multiples of two types of currently "world wide" ISO standard formats involved in computer processing: graphic image file formats and at least one or more character text file formats are mentioned and suggested to be in combination, using 'a more and useful Process' to create 'a more and useful improvement thereof', allowing improvements to the functioning of a computer, or to any other technology or technical field with the described electronic document, allowing effective transformation of simple image files to a more secure interactive and purposeful electronic document container for information asset management. Enhanced operations and functionalities that are not available in the separate basic computing conceptual frameworks become available with the said combination. Further, in the specification many references are made to the usage of a computing device, having the majority of computing devices available today supporting the electronic document's generation, use and storage.

5. The electronic document and its method of generation described in the specification and claims exceed a simple data structure definition and is a combination of conventional elements in a conventional manner that includes functionality processes incorporating tangible digital type items. Further, the electronic document generation process includes incorporated structural limitations with and in conjunction to multiple unique and original user defined and specified data objects and/or elements in various systematic steps to methodically incorporate, use, maintain or otherwise manipulate said data object elements.

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6. Previously on April 3rd, 2014, Applicant submitted three (3) additional claims that were method and system based claims. Applicant submitted these additional new claims with a request for Continued Examination (RCE). Applicant later, with his most amendment filed, removed these claims believing, in error, that the addition of said new claims was incorrect for the current prosecution phase, causing further rejections and/or problems with the application file, leaving Applicant planning to add such claims during the continued examination (CCE) period.

7. Applicant understands and accepts that the removal of these newly added RCE was done in error by Applicant; nevertheless, Applicant only wishes to inform the Office and the Examiner that these claims directed to a method and system were constructed by Applicant because the claim types are applicable to subject matter of the described invention.

8. In previous claim amendments Applicant has done his best to make amendments based on Examiner objections and was not fully aware of the requirements of claim construction for 35 U.S.C. §110, having applicant believe that the wording, described processing steps and overall claim interpretations in combination with the included specification described in sufficient detail and appropriateness the invention, allowing:

"a person having ordinary skill in the art, a person of (ordinary) skill in the art, a person skilled in the art, a skilled addressee or simply a skilled person who is presumed to be aware of all the pertinent prior art to be able to obtain an understanding of the invention";

having said person be that of the Examiner as well; Applicant can appreciate instances where a understanding may not be fully obtained by another and submits this reply and amendment to further such understanding. Applicant appreciates the patience of all those involved in working with Applicant's during the prosecution of the described application.

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Securable Independent Electronic Document
 Group, et al

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9. Furthered understanding and determination of what the Applicant has invented by reviewing the entire application disclosure and construing the claims in accordance with their broadest reasonable interpretation (BRI) is desired by Applicant for the Examiner, and when considered in its totality, the application for patent is for more than just a data structure as indicated by the Examiner, and that the generation of said method and system claims support the 'Subject Matter Eligibility Criteria' as outlined and described for 35 U.S.C. §101.

10. Therefore, the 'method based' claim set for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange, described in the specification and presented amended claims supports and adheres to, as Applicants truly and honestly believe, meets the conditions and requirements of 35 U.S.C. §101 for patent eligibility.

CONCLUSION:

11. For all the above reasons, Applicant submits that the amended claims are now in proper form to overcome 35 U.S.C. §101 rejections and define patentability over the prior art.

12. Applicants, inasmuch as there being no further outstanding objections or rejections, therefore submits that this application is now in condition for allowance which Applicants respectfully solicit.

Respectfully submitted,

Richard Lewis Grayson Jr.
 John Lewis Grayson Jr.

Adel 15063049
 Douglas Scott Litsey

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Securable Independent Electronic Document
Copyright, et al

Serial No.: 139316,699 AICAP Amendment
Page 8 of 16

Applicants, Pro Se
Tel: (800) 530-8229
Msg: (800) 943-8468

Enclosure:

- Claims Amended - 5 pages (Pages 9-13) (DEFENDERS UNDESIRABLE / STRONG PROSECUTION)
- Claims (Final Issue) - 3 pages (Pages 14-16) (Claims as of 07/26/2019, for clarity reference only)

Certificate of Mailing

I certify that this correspondence will be mailed to the United States Patent and Trademark Office,
"Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22303-0450" fax number 571-273-8300 this day, July 26, 2019.

John Lewis Guyton Jr
John Lewis Guyton Jr
Applicant, Pro Se

07/26/2019

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Securable Independent Electronic Document
Guzman, et al

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Page 9 of 16

Claims Arranged - 4 pages (ORIGINAL INDEPENDENT AND SEVERAL DEPENDENT)

CLAIMS: Original, Amended (1-1 = Independent, #2 thru #4 = Dependent)

1. (ORIGINAL INDEPENDENT) A method for the creation of an electronic document like
secured-information-exchange supporting independence of specific platform and/or
nonessential party independence for securing information exchange, tangibly
embodied in a computer readable storage medium, the method, using a
computing device, for securing the exchange of information within the
securable independent electronic document comprising: comprises the steps of:

creating a normative standard graphical image based container adapted for
sectional components,

wherein, the graphical image populating the sectional component container
comprising with said information using, in a user specified order,
the steps of:

creating one or more graphical image sectional components adapted
for storing the storage of normative standard graphical image based
formatted data of said graphical image container type;
type and storing user specified normative standard graphical image
information data of said information in said created graphical
image sectional components,

wherein said graphical image components may include encrypted
content wherein said encrypted content has the ability to
be decrypted, and

wherein with a set of machine readable instructions in a
computer system and computing device's system, said
graphical image components are processed in an automated
fashion by said computer within said computing device's
system, and

wherein with visual perception by a human user in said
computing device's system, said graphical image
components are interacted upon and processed in a manual
fashion by said user, and

creating one or more character text sectional components adapted for
storing the storage of normative standard character text based
formatted data;

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data and storing user specified normative standard character text information of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in a computer-readable computing device's system, said character text components are processed in an automated fashion by said computer within said computing device's system, and

wherein with visual perception by a human user in said computing device's system said character text components are interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components wherein said character text formatted data comprises any of the following:

- scripting text,
- rich text,
- markup language text,
- compressed data text,
- [IEE*] Uniform Resource Locator (URL) character text,
- [IEE*] encoded data text,
- [IEE*] embedded data text of any type including that of the inscribed herein securable independent electronic document type,
- any other character text;

wherein said additional sectional components adapted for the storage of any of the following data types:

- character-based data-said character text comprising scripting text, rich text, markup language text, or other character text
- wherein said character text has the ability to include one or more of the following:

an character text Uniform Resource Locator (URL);

-----compressed data

wherein said compressed data comprising encrypted or embedded data of any type including that of the

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Securable Independent Electronic Document
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intrinsic security feature data;
document type;

graphical image data;
raw binary data;

intrinsic security feature data;
container-based binary-based digital signature data;
any other non-specific data;

wherein with the creation of and population of said information in said container, said container includes at least one overall intrinsic data integrity hash check value;

wherein with said computing device system's automated interfacing of said graphical image container as binary file formatted type data in a direct non-altered single byte precision original "incrementally-filed" data handling and storage method, having said character text sectional components encapsulated in said binary file container, allows said encapsulated character text formatted data to sustain its original sequential filed data form, and

wherein said container's sectional component's creation and processing is independent of said container's creation and processing, and

wherein said sectional component's handling-intrinsic security feature data wherein coupling of said sectional component's security feature data generates and handles intrinsic security feature data associated creation and processing integrity is intervention independent of said container's association, and

wherein said intrinsic data or said sectional data is stored in any one of said sectional components or said sectional component;

wherein said sectional interface as binary file formatted type data in a direct non-altered, single byte precision original "incrementally-filed" data handling and storage method, whereby image and character text sectional component association in said binary document container allows said character text to sustain its original sequential filed data form; and

wherein independent creation and processing of said container from said sectional component's creation and processing; and

wherein integrity enhanced non-associated creation and processing intervention from said sectional component's creation and processing; and

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wherein said normative standard adapted sectional component's intrinsic security feature information coupling of said sectional component's security channel information with data integrity is enhanced with the inclusion or coupling of other said sectional component's intrinsic security channel information, feature data, and

wherein said normative standard graphic image container and said normative standard adapted character test sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said machine computing device's readable instruction's computing platform.

2. (CORRECTED AMENDED) The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character test based adapted sectional components include digital signature sectional component(s) include signatures of one or more of the following types information data of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. (CORRECTED AMENDED) The method for the creation of an electronic document for securing information exchange of claim 2, wherein said document contains the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative non-specified standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following type information data is of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

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4. (continued) The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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(THIS LISTING IS THE CORRECT AND COMPLETE TEXT OF THE CLAIMS AS OF 7/26/19)

CLAIMS: Latest as of 7/26/2019 #1 = Independent, #2 thru #4 = Dependant)

1. A method for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange, tangibly embodied in a computer readable storage medium, the method, using a computing device, for securing the exchange of information within the securable independent electronic document comprises the steps of:

creating a normative standard graphical image based container adapted for sectional components,

populating the sectional component container with said information using, in a user specified order, the steps of:

creating one or more graphical image sectional components adapted for the storage of normative standard graphical image based formatted data of said graphical image container type and storing user specified normative standard graphical image information data of said information in said created graphical image sectional components,

wherein said graphical image components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said graphical image components are processed in an automated fashion within said computing device's system, and

wherein with visual perception by a human user in said computing device's system, said graphical image components are interacted upon and processed in a manual fashion by said user;

creating one or more character text sectional components adapted for the storage of normative standard character text based formatted data and storing user specified normative standard character text information data of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said character text

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components are processed in an automated fashion within
 said computing device's system, and

wherein with manual perception by a human user in said
 computing device's system said character text components
 are interacted upon and processed in a manual fashion by
 said user;

wherein said character text formatted data comprises any of the
 following:

- scripting text,
- rich text,
- markup language text,
- compressed data text,
- {IEE*} Uniform Resource Locator (URL) character text,
- {IEE*} encoded data text,
- {IEE*} embedded data text of any type including that of the
 inscribed herein securable independent electronic document
 type,
- any other character text;

wherein said sectional components include intrinsic security feature
 data;

wherein with the creation of and population of said information in said
 container, said container includes at least one overall intrinsic
 data integrity hash check value;

wherein with said computing device system's automated interfacing of
 said graphical image container as binary file formatted type data in
 a direct non-altered single byte precision original "incrementally-
 tiled" data handling and storage method, having said character text
 sectional components encapsulated in said binary file container,
 allows said encapsulated character text formatted data to sustain
 its original sequential filed data form, and

wherein said container's sectional component's creation and processing
 is independent of said container's creation and processing, and

wherein said sectional component's associated creation and processing
 integrity is intervention independent of said container's
 association, and

wherein said sectional component's intrinsic security feature data
 integrity is enhanced with the inclusion or coupling of other said
 sectional component's intrinsic security feature data, and

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Carpenter, et al

Serial No.: 1,231,6599 AFOCAP Amendment
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wherein said normative standard graphic image container and said normative standard adapted character text sectional components further allows the electronic document:

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said computing device's readable instruction computing platform.

2. The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character text adapted sectional components include digital signature information data of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The method for the creation of an electronic document for securing information exchange of claim 2, wherein the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded digital signature information data is of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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JUL 26 2019

To: The United States Patent and Trademark Office

July 26, 2019

Intellicalling, Co.
1635 East 7000 South
Salt Lake City, Utah 84121

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22303-1450

Re: Searchable Independent Electronic Document, Ser # 15/166699, filed December 15, 2018

Dear Sir or Madam,

Applicant presents this cover letter and exhibits comprising Applicant's "AFCIP 2.0 Final Office Action Amendment" filed in response to the Final Office Action dated April 26, 2019. Applicant believes exhibits enclosed will place the application in proper order.

Contents of this amendment request include the following:

Title	Page Count
Confirmation and Request For Communication (PTC#534034) -- Brian Ferguson	1
Confirmation and Request For Communication (PTC#534034) -- Douglas L. Linsay	1
Amendment in re Final Office Action dated April 26, 2019	16

Thank you for your communication and time with this correspondence.

Respectfully submitted,

John Lewis Ferguson Jr
John Lewis Ferguson Jr
Applicant, Pro Se
1635 East 7000 South
Salt Lake City, Utah 84121
Tel: (801) 930-8229

Certificate of Mailing

I certify that this correspondence will be filed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22303-1450" by mail on this day, July 26, 2019.

John Lewis Ferguson Jr
John Lewis Ferguson Jr

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JUL 26 2019

Doc Code: A.NE.AFCP

Document Description: After Final Consideration Pilot Program Request

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner Docket No.: 63451	Application No.: 12/316,699	Filing Date: Dec 15, 2008
First Named Inventor: John Lewis Guymon Jr	Title: Securable Independent Electronic Document	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.</p> <ol style="list-style-type: none"> 1. The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) (a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)), or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c). 2. The above-identified application contains an outstanding final rejection. 3. Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect. 4. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection. 5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response. 6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web). 7. Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.] 8. By filing this certification and request, applicant acknowledges the following: <ul style="list-style-type: none"> • Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0. • The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions: <ul style="list-style-type: none"> ○ The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by mailing an advisory action. ○ If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. <ul style="list-style-type: none"> ▪ The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate. ▪ If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116. 		
Signature /JohnLewisGuymonJr/	Date July 25, 2019	
Name (Print/Typed) John Lewis Guymon Jr	Practitioner Registration No. 63451	
<p><i>Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.</i></p>		
<p><input checked="" type="checkbox"/> * Total of <u>2</u> forms are submitted.</p>		

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Doc Code: A.NE.AFCP

Document Description: After Final Consideration Pilot Program Request

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner Docket No.: 63451	Application No.: 12/316,699	Filing Date: Dec 15, 2008
First Named Inventor: John Lewis Guymon Jr	Title: Securable Independent Electronic Document	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.</p> <ol style="list-style-type: none"> 1. The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) [a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)], or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c). 2. The above-identified application contains an outstanding final rejection. 3. Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect. 4. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection. 5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response. 6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web). 7. Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.] 8. By filing this certification and request, applicant acknowledges the following: <ul style="list-style-type: none"> • Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0. • The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions: <ul style="list-style-type: none"> ○ The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by mailing an advisory action. ○ If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. <ul style="list-style-type: none"> ▪ The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate. ▪ If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116. 		
Signature /dsf 15062019/	Date July 25, 2019	
Name (Print/Typed) Douglas Scott Litsey	Practitioner Registration No. 63451	
<p><i>Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.</i></p>		
<p><input checked="" type="checkbox"/> * Total of <u>2</u> forms are submitted.</p>		

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JUL 26 2019

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Amendment in re Final Office Action dated April 26, 2019

July 26th, 2019

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Final Office Action dated April 26th, 2019, Applicants respectfully submit this AFCP amendment to the Application of Patent, #12/316,699, filed December 15th, 2008. This response is filed within the three month period for reply of the mailing date of the final Office Action, wherefore Applicants believe that no extension of time is required with the filing of this response.

This response is filed with a Certification and Request for Consideration under the After Final Consideration Pilot Program 2.0.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

SPECIFICATION:

Specification not changed.

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Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 2 of 16

CLAIMS:

Amended, printed and incorporated (Pages 9 through 13). Additionally supplied is the current claim set text for confirmed reference to the latest amended changes (Pages 14 through 16).

DRAWINGS:

Drawings not changed.

REMARKS:

1. Applicant has submitted amended claims that include an amendment to the independent claim #1 (and it's subsequent dependant claims #2, #3 and #4), with said amendment to the claims made in regard to the interview discussion Applicant had with Examiner on June 20th, 2019. Amendments to the claims are presented without broadening the claims in any way.

2. In regard to Examiner's '**Response to Arguments**', specifically '*Claim Rejections - 35 U.S.C. §101*', Applicant reaffirms that the claimed invention, in the least, is directed to eligible 35 U.S.C. §101 references, specifically that of:

- a) 'a new and useful Process', and
- b) 'any new and useful improvement thereof'

and has amended the current claim set as discussed and suggested by Examiner Abrishamkar in the interview dated June 20th, 2019 to have the claims more appropriately address the above eligible 35 U.S.C. §101 references. Applicant appreciates the time that Examiner Abrishamkar has taken to assist Applicant with the amendments to the claims for better conformity to these 35 U.S.C. §101 references. (Note: In previous replies Applicant has suggested and continues to maintain that the other 35 U.S.C. §101's category's apply to the invention described in the application, specifically that of an article of manufacture and composition of matter, and reserves the rights accordingly for such classification in the future, having the above 35 U.S.C. §101 directed eligible references the only ones referenced herein at this time for eligibility. Applicant believes that when the invention

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Guymon, et al

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described and written in the specification and claims is generated that it is an article, be it in electronic form, that was manufactured, having the manufacturing process be that of software development methods. Further, the electronic document that was generated from the manufactured process exists and requires storage, Applicant believes, as an ordered composition of matter having the same layout pattern as the first manufactured generation.)

3. Amendments to rejected claims #1 through #4 have been made and are included (see pages 9 through 12). The latest changes reorients the claim text very close to that of the originally included claim set, having the most significant alterations to the text supporting a method based claim type. Details of the changes included in the amended claims submitted with this 'After Final Office Action' AFCP amendment include the following:

a.) Articulation of currently rejected claims #1 through #4 to read as a method based claim set. Added actions 'verbs' to describe the different steps in the method. The claims are directed to a method type claim set as suggested by Examiner Abrishamkar during Applicant's June 20th, 2019 telephonic interview with Examiner.

b.) Confirmed a '**normative standard**' character text data description listing in the character text sub paragraph method section where the storing step of said text is mentioned to confirm the meaningful limits on the claim scope. In Claim #1 in the sub paragraph where the character text sectional components creation and storage method steps are listed, Applicant confirmed the wording 'normative standard' to describe the character text formatted information data that is being stored, as the main concept of the invention described in the specification and claims is the normative standard based graphical image and character text format combination, formatted accordingly in said normative standard based formats in the normative standard electronic document container. Although it was referenced in past claim

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amendments, the method step needed the specific reference to the normative standard designation.

c.) Removed the '*and, if user specified, additional sectional components*' sub paragraph section. This conditional claim portion was not part of the originally submitted claims and is unnecessary as the said sub paragraph's functionality was to introduce the additional sectional components used in Dependant's dependant claim #3's container based digital signature data, having the introduction of the additional components now part of the dependant's claim method steps. Further, claim wording in said 'if user specified' section included text that should have been directed to the character text based sectional components, and therefore was removed from this 'if user specified' section and amended to the said character text based sectional component method steps sub paragraph wording section. The relocation of the character text's formatted data composition paragraph text restores proper character text format description type sub paragraph wording location. The sectional component intrinsic security feature data 'coupling', the #4Ig integrity attribute, originally included in the initial claims set describes the sectional coupling and intrinsic data generation as needed, having no requirement for the repetitive intrinsic data coupling attribute notification. Additional component sections can be included and worked with as the user specifies, having the mention of such not claim narrowing and removable without additional prior art searches done.

d.) Reference to '*at least one overall data integrity check value*' originally listed in the initially submitted claim set has been made within the amended claims, reinserted to make reference to said data integrity check value, one that is intrinsic as a result of a step in method claim, having the text removed in prior amended claim submissions when the claim's were directed to '*An electronic document*' or '*An information handling apparatus*' tangible object, having said tangible object's final

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composition's 'hash value' a value available after said object's generation and not an actual part of said generated object, derived from the generated object's content, composition or otherwise 'summation'.

4. In support of the process for the Securable Independent Electronic Document invention described in the specification are details that reinforce Applicant's belief that the invention references a) 'a new and useful Process', and b) 'any new and useful improvement thereof' of 35 U.S.C. §101. Multiples of two types of currently 'world wide' ISO standard formats involved in computer processing, graphic image file formats and at least one or more character text file formats are mentioned and suggested to be in combination, using **'a new and useful Process'** to create **'a new and useful improvement thereof'**, allowing improvements to the functioning of a computer, or to any other technology or technical field with the described electronic document, allowing effective transformation of simple image files to a more secure interactive and purposeful electronic document container for information asset management. Enhanced operations and functionalities that are not available in the separate basic computing conceptual frameworks become available with the said combination. Further, in the specification many references are made to the usage of a computing device, having the majority of computing devices available today supporting the electronic document's generation, use and storage.

5. The electronic document and its method of generation described in the specification and claims exceed a simple data structure definition and is a combination of conventional elements in a conventional manner that includes functionality processes incorporating tangible digital type items. Further, the electronic document generation process includes incorporated structural limitations with and in conjunction to multiple unique and original user defined and specified data objects and/or elements in various systematic steps to methodically incorporate, use, maintain or otherwise manipulate said data object elements.

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6. Previously on April 7th, 2014, Applicant submitted three (3) an additional claims that were method and system based claims. Applicant submitted these additional new claims with a request for Continued Examination (RCE). Applicant later, with his next amendment filed, removed these claims believing, in error, that the addition of said new claims was incorrect for the current prosecution phase, causing further rejections and/or problems with the application file, having Applicant planning to add such claims during the continued examination (RCE) period.

7. Applicant understands and accepts that the 'removal' of these newly added 'RCE' was done in error by Applicant; nevertheless, Applicant only wishes to inform the Office and the Examiner that these claims directed to a method and system were constructed by Applicant because the claim types are applicable to subject matter of the inscribed invention.

8. In previous claim amendments Applicant has done his best to make amendments based on Examiner objections and was not fully aware of the requirements of claim construction for 35 U.S.C. §101, having applicant believe that the wording, described processing steps and overall claim interpretations in combination with the included specification described in sufficient detail and appropriateness the invention, allowing

'a person having ordinary skill in the art, a person of (ordinary) skill in the art, a person skilled in the art, a skilled addressee or simply a skilled person who is presumed to be aware of all the pertinent prior art to be able to obtain an understanding of the invention',

having said person be that of the Examiner as well; Applicant can appreciate instances where a understanding may not be fully obtained by another and submits this reply and amendment to further such understanding. Applicants appreciate the patience of all those involved in working with Applicant's during the prosecution of the inscribed application.

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9. Furthered understanding and determination of what the Applicant has invented by reviewing the entire application disclosure and construing the claims in accordance with their broadest reasonable interpretation (BRI) is desired by Applicant for the Examiner, and when considered in it's totality, the application for patent is for more than just a data structure as indicated by the Examiner, and that the generation of said method and system claims support the 'Subject Matter Eligibility Criteria' as outlined and described for 35 U.S.C. §101.

10. Therefore, the 'method based' claim set for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange', described in the specification and presented amended claims supports and adheres to, as Applicants truly and honestly believe, meets the confines and requirements of 35 U.S.C. §101 for patent eligibility.

CONCLUSION:

11. For all the above reasons, Applicant submits that the amended claims are now in proper form to overcome 35 U.S.C. §101 rejections and define patentability over the prior art.

12. Applicants, insomuch as there being no further outstanding objections or rejections, therefore submits that this application is now in condition for allowance which Applicants respectfully solicit.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr.

/dsl 15062019/
Douglas Scott Litsey

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Applicants, Pro Se
Tel: (801) 930-8229
Msg: (801) 943-8461

Enclosed:

Claims Amended - 5 pages (Pages 9-13) **(INCLUDES UNDERLINE / STRIKE THROUGH)**
Claims (Final text) - 3 pages (Pages 14-16) (Claims as of 07/26/2019, for clarity reference only)

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office,
"Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-
8300 this day, July 26, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se

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Claims Amended - 4 pages (INCLUDES UNDERLINE AND STRIKE THROUGH)

CLAIMS: Original, Amended (#1 = Independent, #2 thru #4 = Dependant)

1. (ORIGINAL AMENDED) A method for the creation of an electronic document ~~for secured information exchange~~ supporting independence of specific platform and ~~of~~ nonessential party independence for securing information exchange, tangibly embodied in a computer readable storage medium, the method, using a computing device, for securing the exchange of information within the securable independent electronic document ~~comprising~~ comprises the steps of:

creating a normative standard graphical image based container adapted for sectional components,

~~components, the graphic image~~ populating the sectional component container ~~comprising~~ with said information using, in a user specified order, the steps of:

creating one or more graphical image sectional components adapted for storing the storage of normative standard graphical image based formatted data of said graphical image container type, type and storing user specified normative standard graphical image information data of said information in said created graphical image sectional components,

wherein said graphical image components may include encrypted content wherein said encrypted content ~~can be~~ has the ability to be decrypted, and

wherein with a set of machine readable instructions in a ~~computer system~~ said computing device's system, said graphical image components are processed in an automated fashion ~~by said computer~~ within said computing device's system, and

wherein with visual perception by a human user in said computing device's system, said graphical image components are interacted upon and processed in a manual fashion by said user; ~~and~~

creating one or more character text sectional components adapted for storing the storage of normative standard character text based formatted data,

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data and storing user specified normative standard character text information data of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in a ~~computer system~~ said computing device's system, said character text components are processed in an automated fashion ~~by said computer~~ within said computing device's system, and

wherein with visual perception by a human user in said computing device's system said character text components are interacted upon and processed in a manual fashion by said user;

~~and, if user specified, additional sectional components~~ wherein said character text formatted data comprises any of the following:

scripting text,
rich text,
markup language text,
compressed data text,
(1Re*) Uniform Resource Locator (URL) character text,
(1Ec*) encoded data text,
(1Em*) embedded data text of any type including that of the
inscribed herein securable independent electronic document
type,
any other character text;

wherein said ~~additional sectional components~~ adapted for the storage of any of the following data types:

~~character text data said character text comprising scripting text, rich text, markup language text, or other character text wherein said character text has the ability to include one or more of the following:~~

~~a character text Uniform Resource Locator (URL);~~
~~encoded data~~

~~wherein said encoded data comprising encrypted or embedded data of any type including that of the~~

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~~inscribed herein securable independent electronic
document type;~~

~~graphic image data;~~

~~raw binary data;~~

~~intrinsic security feature data; data;~~

~~container based binary encoded digital signature data;~~

~~any other user specified data;~~

~~wherein with the creation of and population of said information in said
container, said container includes at least one overall intrinsic
data integrity hash check value;~~

~~wherein with said computing device system's automated interfacing of
said graphical image container as binary file formatted type data in
a direct non-altered single byte precision original 'incrementally-
filed' data handling and storage method, having said character text
sectional components encapsulated in said binary file container,
allows said encapsulated character text formatted data to sustain
its original sequential filed data form, and~~

~~wherein said container's sectional component's creation and processing
is independent of said container's creation and processing, and~~

~~wherein said additional sectional components include intrinsic security feature data
wherein coupling of said additional sectional component's security feature data
generates additional intrinsic security feature data associated creation and
processing integrity is intervention independent of said container's
association, and~~

~~wherein said intrinsic data or said additional intrinsic data is stored
in any one of said sectional components or said additional
sectional components,~~

~~wherein automated system interfacing as binary file formatted type data in a direct, non-
altered, single byte precision original 'incrementally filed' data handling and storage
method, whereby having said character text sectional component encapsulated in said
binary document container allows said character text to sustain its original sequential
filed data form, and~~

~~wherein independent creation and processing of said container from said sectional
component's creation and processing, and~~

~~wherein integrity enhanced non-associated creation and processing intervention from said
sectional component's creation and processing, and~~

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~~wherein integrity enhanced incorporated~~ sectional component's intrinsic security feature ~~information coupling of said sectional component's security check information with~~ data integrity is enhanced with the inclusion or coupling of other said sectional component's intrinsic security check information, feature data, and

wherein said normative standard graphic image container and said normative standard adapted character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said ~~machine~~ computing device's readable instruction's computing platform.

2. (ORIGINAL AMENDED) The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character text based adapted sectional components include digital signature sectional component(s) include signatures of one or more of the following types: information data of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. (ORIGINAL AMENDED) ~~The~~ The method for the creation of an electronic document for securing information exchange of claim 2, wherein said document container the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative user specified standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types: information data is of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

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4. (ORIGINAL AMENDED) ~~The~~ The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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(THIS LISTING IS THE CORRECT AND COMPLETE TEXT OF THE CLAIMS AS OF 7/26/19)

CLAIMS: Latest as of 7/26/2019 (#1 = Independent, #2 thru #4 = Dependant)

1. A method for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange, tangibly embodied in a computer readable storage medium, the method, using a computing device, for securing the exchange of information within the securable independent electronic document comprises the steps of:

creating a normative standard graphical image based container adapted for sectional components,

populating the sectional component container with said information using, in a user specified order, the steps of:

creating one or more graphical image sectional components adapted for the storage of normative standard graphical image based formatted data of said graphical image container type and storing user specified normative standard graphical image information data of said information in said created graphical image sectional components,

wherein said graphical image components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said graphical image components are processed in an automated fashion within said computing device's system, and

wherein with visual perception by a human user in said computing device's system, said graphical image components are interacted upon and processed in a manual fashion by said user;

creating one or more character text sectional components adapted for the storage of normative standard character text based formatted data and storing user specified normative standard character text information data of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said character text

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components are processed in an automated fashion within
said computing device's system, and

wherein with visual perception by a human user in said
computing device's system said character text components
are interacted upon and processed in a manual fashion by
said user;

wherein said character text formatted data comprises any of the
following:

scripting text,
rich text,
markup language text,
compressed data text,
(1Re*) Uniform Resource Locator (URL) character text,
(1Ec*) encoded data text,
(1Em*) embedded data text of any type including that of the
inscribed herein securable independent electronic document
type,
any other character text;

wherein said sectional components include intrinsic security feature
data;

wherein with the creation of and population of said information in said
container, said container includes at least one overall intrinsic
data integrity hash check value;

wherein with said computing device system's automated interfacing of
said graphical image container as binary file formatted type data in
a direct non-altered single byte precision original 'incrementally-
filed' data handling and storage method, having said character text
sectional components encapsulated in said binary file container,
allows said encapsulated character text formatted data to sustain
its original sequential filed data form, and

wherein said container's sectional component's creation and processing
is independent of said container's creation and processing, and

wherein said sectional component's associated creation and processing
integrity is intervention independent of said container's
association, and

wherein said sectional component's intrinsic security feature data
integrity is enhanced with the inclusion or coupling of other said
sectional component's intrinsic security feature data, and

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wherein said normative standard graphic image container and said normative standard adapted character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said computing device's readable instruction computing platform.

2. The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character text adapted sectional components include digital signature information data of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The method for the creation of an electronic document for securing information exchange of claim 2, wherein the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded digital signature information data is of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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JUL 26 2019

In The United States Patent And Trademark Office

July 26, 2019

iBailBonding.Com
1635 East 7080 South
Salt Lake City, Utah 84121

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Dear Sir or Madam,

Applicant presents this cover letter and contents comprising Applicants 'AFCP 2.0 Final Office Action Amendment' filed in response to the Final Office Action dated April 26, 2019. Applicant believes contents enclosed will place the application in proper order.

Contents of this amendment request include the following:

<u>Title</u>	<u>Page Count</u>
Certification And Request For Consideration (PTO/SB/434) - John Guymon	1
Certification And Request For Consideration (PTO/SB/434) - Douglas Litsey	1
Amendment in re Final Office Action dated April 26, 2019	16

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se
1635 East 7080 South
Salt Lake City, Utah 84121
Tel: (801) 930-8229

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, July 26, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr

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JUL 26 2019

Doc Codes: A,ME,MFCP

Document Description: After-Final Consideration Pilot Program Request

PTP/36/44/13

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE
AFTER-FINAL CONSIDERATION PILOT PROGRAM 2.0

Practitioner/Inventor No.:	Application No.:	Filing Date:
First Planned Invention:	Title:	

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER-FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.

- The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) (a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(b) and is eligible under (ii), or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c).
- The above-identified application contains an outstanding final rejection.
- Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect.
- This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection.
- Applicant is willing and available to participate in any interview requested by the examiner concerning the present response.
- This certification and request is being filed electronically using the Office's electronic filing system (E-Filing).
- Any fees that would be necessary to proceed with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension-of-time fees, are being concurrently filed herewith. (If there is one additional fee required to request consideration under AFCP 2.0.)
- By filing this certification and request, applicant acknowledges the following:
 - Previous applications and reexamination proceedings are not eligible to participate in AFCP 2.0.
 - The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions:
 - The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by filing an advisory action.
 - If the examiner determines that the amendment does not necessitate additional search and/or consideration, and if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview.
 - The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate.
 - If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116.

Signature	Date
Name	Print Name
(Print/Typed)	Registration No.

Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.34(c) for signature requirements and conditions. Submit multiple forms if more than one signature is required, see below.

Total of _____ forms are submitted.

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Doc Code: ALINEAFCP

Document Description: After Final Consideration Pilot Program Request

7/26/2019 3:43:19

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0

Practitioner/Declarant Name:	Application No.:	Filing Date:
First Named Inventor:	Title:	

APPLICATION NUMBER COMPLETES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.106.

1. The above-identified application is (i) an original utility, plant, or design (except ornamental) application filed under 35 U.S.C. 1.11(a) (a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 1.11(a) and is eligible under (i), or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 379(c).
2. The above-identified application contains an outstanding final rejection.
3. Submitted herewith is a response under 37 CFR 1.106 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect.
4. This certification and request for reconsideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection.
5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response.
6. This certification and request is being filed electronically using the office's electronic filing system (EFS 2002).
7. Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.106, e.g., extension of time fees, are being concurrently filed herewith. (There is no additional fee required to request reconsideration under AFCP 2.0.)
8. By filing this certification and request, applicant acknowledges the following:
 - Because applications and reexamination proceedings are not eligible to participate in AFCP 2.0.
 - The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1-6 above). For compliant submissions:
 - o The examiner will review the response under 37 CFR 1.106 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time set forth under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.106, e.g., by raising an advisory action.
 - o If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview.
 - The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisor (parent examiner) will also participate.
 - If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.106.

Signature	Date
Practitioner	
(Print Type)	Registration No.

Note: This form must be signed in accordance with 37 CFR 1.331. See 37 CFR 1.331 for signature requirements and certifications. Submit multiple pages if more than one signature is required, see below*.

* Total of _____ copies are submitted.

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JUL 26 2019

From: The United States Patent and Trademark Office

Application Number: 12/916,699
 Application Filed: December 15, 2008
 Applicant: John Lewis Company, Jr / Douglas Scott Casey
 Application Title: Security Independent Electronic Document
 Examiner:CAJGJ Karvela, Adriana

Amendment filed re: Final Office Action dated April 26, 2019

July 26th, 2019

Commissioner for Patents
 P.O. Box 4850
 Alexandria, VA 22313-1450

Sir Madam:

In response to the Final Office Action dated April 26th, 2019, Applicants respectfully submit this AOPC amendment to the Application of Patent, #12/916,699, filed December 15th, 2008. This response is filed within the three month period for reply of the mailing date of the final Office Action, wherefore Applicants believe that no extension of time is required with the filing of this response.

This response is filed with a Certification and Request for Consideration under the AOPC Final Consideration Pilot Program 2.0.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

SPRINGS: 4/16/2019
 Specification not changed.

CAJGJ/doc

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Securable Independent Electronic Document
 Creighton, et al.

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 Page 2 of 16

CLAIMS:

Amended, printed and incorporated (Pages 9 through 13). Additionally supplied is the current claim set text for convenient reference to the latest amended changes (Pages 14 through 16).

DELETIONS:

Changes not changed.

DELETED CLAIMS:

1. Applicant has submitted amended claims that include an amendment to the independent claim #1 (and it's subsequent dependent claims #2, #3 and #4), with said amendment to the claims made in regard to the interview discussion Applicant had with Examiner on June 29th, 2019. Amendments to the claims are presented without broadening the claims in any way.

2. In regard to Examiner's "Response to Arguments", specifically "Classifications - 35 U.S.C. §101", Applicant reaffirms that the claimed invention, in the least, is directed to eligible 35 U.S.C. §101 references, specifically that of:

- a) "a new and useful Process", and
- b) "any new and useful improvement thereof"

and has amended the current claim set as discussed and suggested by Examiner Adrishankar in the interview dated June 29th, 2019 to have the claims more appropriately address the above eligible 35 U.S.C. §101 references. Applicant appreciates the time that Examiner Adrishankar has taken to assist Applicant with the amendments to the claims for better conformity to these 35 U.S.C. §101 references. (Note: In previous replies Applicant has suggested and continues to maintain that the other 35 U.S.C. §101's category's apply to the invention described in the application, specifically that of an article of manufacture and composition of matter, and reserves the rights accordingly for such classification in the future, having the above 35 U.S.C. §101 directed eligible references the only ones referenced herein at this time for eligibility. Applicant believes that when the invention

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 Grayson, et al

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described and written in the specification and claims is generated that it is an article, be it in electronic form, that was manufactured, having the manufacturing process be that of software development methods. Further, the electronic document that was generated from the manufactured process exists and requires storage, Applicant believes, as an ordered composition of matter having the same layout pattern as the first manufactured generation.)

3. Amendments to rejected claims #1 through #4 have been made and are included (see pages 9 through 12). The latest changes recite the claim text very close to that of the originally included claim set, having the most significant alterations to the text supporting a method based claim type. Details of the changes included in the amended claims submitted with this After Final Office Action AFCP amendment include the following:

a.) Articulation of currently rejected claims #1 through #4 to read as a method based claim set. Added various "verbs" to describe the different steps in the method. The claims are directed to a method type claim set as suggested by Examiner Abernethy during Applicant's June 23rd, 2019 telephone interview with Examiner.

b.) Confirmed a "normative standard" character test data description listing in the character test sub paragraph method section where the storing step of said test is mentioned to confirm the meaningful limits on the claim scope. In Claim #1 in the sub paragraph where the character test additional components creation and storage method steps are listed, Applicant confirmed the wording "normative standard" to describe the character test formatted information data that is being stored, as the main concept of the invention described in the specification and claims is the normative standard based graphical image and character test format combination, formatted accordingly in said normative standard based format in the normative standard electronic document container. Although it was referenced in past claim

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amendments, the method step needed the specific reference to the normative standard designation.

c.) Removed the word, "if user specified, additional sectional components" sub paragraph section. This conditional claim portion was not part of the originally submitted claims and is unnecessary as the said sub paragraph's functionality was to introduce the additional sectional components used in Independent's dependent claim #3's container based digital signature data, having the introduction of the additional components now part of the dependent's claim method steps. Further, claim wording in said "if user specified" section included text that should have been directed to the character text based sectional components, and therefore was removed from this "if user specified" section and amended to the said character text based sectional component method steps sub paragraph wording section. The relocation of the character text's formatted data composition paragraph text restores proper character text format description type sub paragraph wording location. The sectional component intrinsic security feature data "coupling", the 4-11g integrity attribute, originally included in the initial claims set describes the sectional coupling and intrinsic data generation as needed, having no requirement for the repetitive intrinsic data coupling attribute notification. Additional component sections can be included and worded with as the user specifies, having the intention of such not claim numbering and reciteables without additional prior art searches done.

d.) Reference to "at least one overall data integrity check value" originally listed in the initially submitted claim set has been made within the amended claims, reworded to make reference to said data integrity check value, one that is intrinsic as a result of a step in method claim, having the text removed in prior amended claim submissions when the claim's were directed to "an electronic document" or "an information processing apparatus" tangible object, having said tangible object's final

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Creation, et al

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Page 5 of 16

composition's "hash value" a value available after said object's generation and not an actual part of said generated object, derived from the generated object's content, composition or otherwise "summation".

4. In support of the process for the Securable Independent Electronic Document invention described in the specification are details that reinforce Applicant's belief that the invention references: a) 'a new and useful Process', and b) 'any new and useful improvement thereof' of 35 U.S.C. §101. Multiples of two types of currently "world wide" ISO standard formats involved in computer processing, graphic image file formats and at least one or more character text file formats are mentioned and suggested to be in combination, using 'a new and useful Process' to create 'a new and useful improvement thereof', allowing improvements to the functioning of a computer, or to any other technology or technical field with the described electronic document, allowing effective transmission of simple image files to a more secure interactive and processed electronic

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JUL 26 2019

The United States Patent and Trademark Office

July 26, 2019

Intellicolling, Corp.
1635 East 7000 South
Salt Lake City, Utah 84121

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Susceptible Independent Electronic Document, Ser # US 2019 066993, filed December 15, 2008

Dear Sir or Madam,

Applicant presents this cover letter and contents accompanying Applicant's "AFCEP 2.0 Final Office Action Amendment" filed in response to the Final Office Action dated April 26, 2019. Applicant believes contents enclosed will place the application in proper context.

Contents of this amendment request include the following:

Title	Page Count
Certification And Request For Consideration (PTC/2513434) -- John Guyman	1
Certification And Request For Consideration (PTC/2513434) -- Douglas Linsay	1
Amendment in re Final Office Action dated April 26, 2019	16

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

John.Lewis.Guyman@intellicoll.com
John Lewis Guyman Jr
Applicant, Pco 36
1635 East 7000 South
Salt Lake City, Utah 84121
Tel: (801) 930-8229

Certificate of Mailing

I certify that this correspondence will be filed to the United States Patent and Trademark Office,
"Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" December 27, 2019 3:43 PM.

John.Lewis.Guyman@intellicoll.com
John Lewis Guyman Jr

John@9308229

JUL 26 2019

Doc Code: A.NE.AFCP

Document Description: After Final Consideration Pilot Program Request

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner Docket No.:	Application No.:	Filing Date:
63451	12/316,699	Dec 15, 2008
First Named Inventor:	Title:	
John Lewis Guymon Jr	Securable Independent Electronic Document	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.</p> <ol style="list-style-type: none"> The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) [a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)], or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c). The above-identified application contains an outstanding final rejection. Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web). Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.] By filing this certification and request, applicant acknowledges the following: <ul style="list-style-type: none"> Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0. The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions: <ul style="list-style-type: none"> The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by mailing an advisory action. If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. <ul style="list-style-type: none"> The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate. If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116. 		
Signature	Date	
/JohnLewisGuymonJr/	July 25, 2019	
Name (Print/Typed)	Practitioner Registration No.	
John Lewis Guymon Jr	63451	
<p><i>Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below *.</i></p>		
<p><input checked="" type="checkbox"/> * Total of <u>2</u> forms are submitted.</p>		

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JUL 26 2019

Doc Code: A.NE.AFCP

Document Description: After Final Consideration Pilot Program Request

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner Docket No.: 63451	Application No.: 12/316,699	Filing Date: Dec 15, 2008
First Named Inventor: John Lewis Guymon Jr	Title: Securable Independent Electronic Document	
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.</p> <ol style="list-style-type: none"> 1. The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) [a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)], or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c). 2. The above-identified application contains an outstanding final rejection. 3. Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect. 4. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection. 5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response. 6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web). 7. Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.] 8. By filing this certification and request, applicant acknowledges the following: <ul style="list-style-type: none"> • Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0. • The examiner will verify that the AFCP 2.0 submission is compliant, i.e., that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions: <ul style="list-style-type: none"> ○ The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (I) is necessitated by the amendment and (II) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by mailing an advisory action. ○ If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. <ul style="list-style-type: none"> ▪ The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate. ▪ If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116. 		
Signature /dsl 15062019/	Date July 25, 2019	
Name (Print/Typed) Douglas Scott Litsey	Practitioner Registration No. 63451	
<p><i>Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.</i></p>		
<p><input checked="" type="checkbox"/> * Total of <u>2</u> forms are submitted.</p>		

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JUL 26 2019

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Amendment in re Final Office Action dated April 26, 2019

July 26th, 2019

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Final Office Action dated April 26th, 2019, Applicants respectfully submit this AFCP amendment to the Application of Patent, #12/316,699, filed December 15th, 2008. This response is filed within the three month period for reply of the mailing date of the final Office Action, wherefore Applicants believe that no extension of time is required with the filing of this response.

This response is filed with a Certification and Request for Consideration under the After Final Consideration Pilot Program 2.0.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

SPECIFICATION:

Specification not changed.

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CLAIMS:

Amended, printed and incorporated (Pages 9 through 13). Additionally supplied is the current claim set text for confirmed reference to the latest amended changes (Pages 14 through 16).

DRAWINGS:

Drawings not changed.

REMARKS:

1. Applicant has submitted amended claims that include an amendment to the independent claim #1 (and it's subsequent dependant claims #2, #3 and #4), with said amendment to the claims made in regard to the interview discussion Applicant had with Examiner on June 20th, 2019. Amendments to the claims are presented without broadening the claims in any way.

2. In regard to Examiner's '**Response to Arguments**', specifically '*Claim Rejections - 35 U.S.C. §101*', Applicant reaffirms that the claimed invention, in the least, is directed to eligible 35 U.S.C. §101 references, specifically that of:

- a) 'a new and useful Process', and
- b) 'any new and useful improvement thereof'

and has amended the current claim set as discussed and suggested by Examiner Abrishamkar in the interview dated June 20th, 2019 to have the claims more appropriately address the above eligible 35 U.S.C. §101 references. Applicant appreciates the time that Examiner Abrishamkar has taken to assist Applicant with the amendments to the claims for better conformity to these 35 U.S.C. §101 references. (Note: In previous replies Applicant has suggested and continues to maintain that the other 35 U.S.C. §101's category's apply to the invention described in the application, specifically that of an article of manufacture and composition of matter, and reserves the rights accordingly for such classification in the future, having the above 35 U.S.C. §101 directed eligible references the only ones referenced herein at this time for eligibility. Applicant believes that when the invention

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described and written in the specification and claims is generated that it is an article, be it in electronic form, that was manufactured, having the manufacturing process be that of software development methods. Further, the electronic document that was generated from the manufactured process exists and requires storage, Applicant believes, as an ordered composition of matter having the same layout pattern as the first manufactured generation.)

3. Amendments to rejected claims #1 through #4 have been made and are included (see pages 9 through 12). The latest changes reorients the claim text very close to that of the originally included claim set, having the most significant alterations to the text supporting a method based claim type. Details of the changes included in the amended claims submitted with this 'After Final Office Action' AFCP amendment include the following:

a.) Articulation of currently rejected claims #1 through #4 to read as a method based claim set. Added actions 'verbs' to describe the different steps in the method. The claims are directed to a method type claim set as suggested by Examiner Abrishamkar during Applicant's June 20th, 2019 telephonic interview with Examiner.

b.) Confirmed a '**normative standard**' character text data description listing in the character text sub paragraph method section where the storing step of said text is mentioned to confirm the meaningful limits on the claim scope. In Claim #1 in the sub paragraph where the character text sectional components creation and storage method steps are listed, Applicant confirmed the wording 'normative standard' to describe the character text formatted information data that is being stored, as the main concept of the invention described in the specification and claims is the normative standard based graphical image and character text format combination, formatted accordingly in said normative standard based formats in the normative standard electronic document container. Although it was referenced in past claim

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amendments, the method step needed the specific reference to the normative standard designation.

c.) Removed the '*and, if user specified, additional sectional components*' sub paragraph section. This conditional claim portion was not part of the originally submitted claims and is unnecessary as the said sub paragraph's functionality was to introduce the additional sectional components used in Dependant's dependant claim #3's container based digital signature data, having the introduction of the additional components now part of the dependant's claim method steps. Further, claim wording in said 'if user specified' section included text that should have been directed to the character text based sectional components, and therefore was removed from this 'if user specified' section and amended to the said character text based sectional component method steps sub paragraph wording section. The relocation of the character text's formatted data composition paragraph text restores proper character text format description type sub paragraph wording location. The sectional component intrinsic security feature data 'coupling', the #4Ig integrity attribute, originally included in the initial claims set describes the sectional coupling and intrinsic data generation as needed, having no requirement for the repetitive intrinsic data coupling attribute notification. Additional component sections can be included and worked with as the user specifies, having the mention of such not claim narrowing and removable without additional prior art searches done.

d.) Reference to '*at least one overall data integrity check value*' originally listed in the initially submitted claim set has been made within the amended claims, reinserted to make reference to said data integrity check value, one that is intrinsic as a result of a step in method claim, having the text removed in prior amended claim submissions when the claim's were directed to '*An electronic document*' or '*An information handling apparatus*' tangible object, having said tangible object's final

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composition's 'hash value' a value available after said object's generation and not an actual part of said generated object, derived from the generated object's content, composition or otherwise 'summation'.

4. In support of the process for the Securable Independent Electronic Document invention described in the specification are details that reinforce Applicant's belief that the invention references a) 'a new and useful Process', and b) 'any new and useful improvement thereof' of 35 U.S.C. §101. Multiples of two types of currently 'world wide' ISO standard formats involved in computer processing, graphic image file formats and at least one or more character text file formats are mentioned and suggested to be in combination, using **'a new and useful Process'** to create **'a new and useful improvement thereof'**, allowing improvements to the functioning of a computer, or to any other technology or technical field with the described electronic document, allowing effective transformation of simple image files to a more secure interactive and purposeful electronic document container for information asset management. Enhanced operations and functionalities that are not available in the separate basic computing conceptual frameworks become available with the said combination. Further, in the specification many references are made to the usage of a computing device, having the majority of computing devices available today supporting the electronic document's generation, use and storage.

5. The electronic document and its method of generation described in the specification and claims exceed a simple data structure definition and is a combination of conventional elements in a conventional manner that includes functionality processes incorporating tangible digital type items. Further, the electronic document generation process includes incorporated structural limitations with and in conjunction to multiple unique and original user defined and specified data objects and/or elements in various systematic steps to methodically incorporate, use, maintain or otherwise manipulate said data object elements.

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Guymon, et al

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6. Previously on April 7th, 2014, Applicant submitted three (3) an additional claims that were method and system based claims. Applicant submitted these additional new claims with a request for Continued Examination (RCE). Applicant later, with his next amendment filed, removed these claims believing, in error, that the addition of said new claims was incorrect for the current prosecution phase, causing further rejections and/or problems with the application file, having Applicant planning to add such claims during the continued examination (RCE) period.

7. Applicant understands and accepts that the 'removal' of these newly added 'RCE' was done in error by Applicant; nevertheless, Applicant only wishes to inform the Office and the Examiner that these claims directed to a method and system were constructed by Applicant because the claim types are applicable to subject matter of the inscribed invention.

8. In previous claim amendments Applicant has done his best to make amendments based on Examiner objections and was not fully aware of the requirements of claim construction for 35 U.S.C. §101, having applicant believe that the wording, described processing steps and overall claim interpretations in combination with the included specification described in sufficient detail and appropriateness the invention, allowing

'a person having ordinary skill in the art, a person of (ordinary) skill in the art, a person skilled in the art, a skilled addressee or simply a skilled person who is presumed to be aware of all the pertinent prior art to be able to obtain an understanding of the invention',

having said person be that of the Examiner as well; Applicant can appreciate instances where a understanding may not be fully obtained by another and submits this reply and amendment to further such understanding. Applicants appreciate the patience of all those involved in working with Applicant's during the prosecution of the inscribed application.

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9. Furthered understanding and determination of what the Applicant has invented by reviewing the entire application disclosure and construing the claims in accordance with their broadest reasonable interpretation (BRI) is desired by Applicant for the Examiner, and when considered in its totality, the application for patent is for more than just a data structure as indicated by the Examiner, and that the generation of said method and system claims support the 'Subject Matter Eligibility Criteria' as outlined and described for 35 U.S.C. §101.

10. Therefore, the 'method based' claim set for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange', described in the specification and presented amended claims supports and adheres to, as Applicants truly and honestly believe, meets the confines and requirements of 35 U.S.C. §101 for patent eligibility.

CONCLUSION:

11. For all the above reasons, Applicant submits that the amended claims are now in proper form to overcome 35 U.S.C. §101 rejections and define patentability over the prior art.

12. Applicants, insomuch as there being no further outstanding objections or rejections, therefore submits that this application is now in condition for allowance which Applicants respectfully solicit.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr.

/dsl 15062019/
Douglas Scott Litsey

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Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 8 of 16

Applicants, Pro Se
Tel: (801) 930-8229
Msg: (801) 943-8461

Enclosed:

Claims Amended - 5 pages (Pages 9-13) **(INCLUDES UNDERLINE / STRIKE THROUGH)**
Claims (Final text) - 3 pages (Pages 14-16) (Claims as of 07/26/2019, for clarity reference only)

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, July 26, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se

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Securable Independent Electronic Document
Guymon, et al

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Page 9 of 16

Claims Amended - 4 pages (INCLUDES UNDERLINE AND STRIKE THROUGH)

CLAIMS: Original, Amended (#1 = Independent, #2 thru #4 = Dependant)

1. (ORIGINAL AMENDED) A method for the creation of an electronic document ~~for secured information exchange~~ supporting ~~independence of~~ specific platform and ~~of~~ nonessential party independence for securing information exchange, tangibly embodied in a computer readable storage medium, the method, using a computing device, for securing the exchange of information within the securable independent electronic document ~~comprising~~ comprises the steps of:
 - creating a normative standard graphical image based container adapted for sectional components,
 - ~~components, the graphic image~~ populating the sectional component container comprising with said information using, in a user specified order, the steps of:
 - creating one or more graphical image sectional components adapted for storing the storage of normative standard graphical image based formatted data of said graphical image container ~~type,~~ type and storing user specified normative standard graphical image information data of said information in said created graphical image sectional components,
 - wherein said graphical image components may include encrypted content wherein said encrypted content ~~can be~~ has the ability to be decrypted, and
 - wherein with a set of machine readable instructions in ~~a computer system~~ said computing device's system, said graphical image components are processed in an automated fashion ~~by said computer~~ within said computing device's system, and
 - wherein with visual perception by a human user in said computing device's system, said graphical image components are interacted upon and processed in a manual fashion by said user; ~~and~~
 - creating one or more character text sectional components adapted for storing the storage of normative standard character text based formatted ~~data,~~

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data and storing user specified normative standard character text information data of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in a ~~computer system~~ said computing device's system, said character text components are processed in an automated fashion ~~by said computer~~ within said computing device's system, and

wherein with visual perception by a human user in said computing device's system said character text components are interacted upon and processed in a manual fashion by said user;

~~and, if user specified, additional sectional components~~ wherein said character text formatted data comprises any of the following:

scripting text,
rich text,
markup language text,
compressed data text,
(1Re*) Uniform Resource Locator (URL) character text,
(1Ec*) encoded data text,
(1Em*) embedded data text of any type including that of the
inscribed herein securable independent electronic document
type,
any other character text;

wherein said ~~additional sectional components~~ adapted for the storage of any of the following data types:

~~character text data said character text comprising scripting text, rich text, markup language text, or other character text wherein said character text has the ability to include one or more of the following:~~

~~a character text Uniform Resource Locator (URL),
 encoded data~~

~~wherein said encoded data comprising encrypted or embedded data of any type including that of the~~

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Securable Independent Electronic Document
 Guymon, et al

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~~inscribed herein securable independent electronic
 document type;~~

~~graphic image data;~~

~~raw binary data;~~

~~intrinsic security feature data;~~

~~container-based binary encoded digital signature data;~~

~~any other user specified data;~~

wherein with the creation of and population of said information in said
 container, said container includes at least one overall intrinsic
 data integrity hash check value;

wherein with said computing device system's automated interfacing of
 said graphical image container as binary file formatted type data in
 a direct non-altered single byte precision original 'incrementally-
 filed' data handling and storage method, having said character text
 sectional components encapsulated in said binary file container,
 allows said encapsulated character text formatted data to sustain
 its original sequential filed data form, and

wherein said container's sectional component's creation and processing
 is independent of said container's creation and processing, and

wherein said additional sectional components include intrinsic security feature data
 wherein coupling of said additional sectional component's security feature data
 generates additional intrinsic security feature data associated creation and
 processing integrity is intervention independent of said container's
 association, and

~~wherein said intrinsic data or said additional intrinsic data is stored
 in any one of said sectional components or said additional
 sectional components;~~

~~wherein automated system interfacing as binary file formatted type data in a direct, non-
 altered, single byte precision original 'incrementally filed' data handling and storage
 method, whereby having said character text sectional component encapsulated in said
 binary document container allows said character text to sustain its original sequential
 filed data form, and~~

~~wherein independent creation and processing of said container from said sectional
 component's creation and processing, and~~

~~wherein integrity enhanced non-associated creation and processing intervention from said
 sectional component's creation and processing, and~~

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~~wherein integrity enhanced incorporated~~ sectional component's intrinsic security feature ~~information coupling of said sectional component's security check information with~~ data integrity is enhanced with the inclusion or coupling of other said sectional component's intrinsic security check information, feature data, and

wherein said normative standard graphic image container and said normative standard adapted character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said ~~machine~~ computing device's readable instruction's computing platform.

2. (ORIGINAL AMENDED) The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character text based adapted sectional components include digital signature sectional component(s) include signatures of one or more of the following types: information data of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. (ORIGINAL AMENDED) ~~The~~ The method for the creation of an electronic document for securing information exchange of claim 2, wherein said document container the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative user specified standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types: information data is of a type of any of the following:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

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Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 13 of 16

4. (ORIGINAL AMENDED) ~~The~~ The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 14 of 16

(THIS LISTING IS THE CORRECT AND COMPLETE TEXT OF THE CLAIMS AS OF 7/26/19)

CLAIMS: Latest as of 7/26/2019 (#1 = Independent, #2 thru #4 = Dependant)

1. A method for the creation of an electronic document supporting specific platform and nonessential party independence for securing information exchange, tangibly embodied in a computer readable storage medium, the method, using a computing device, for securing the exchange of information within the securable independent electronic document comprises the steps of:

creating a normative standard graphical image based container adapted for sectional components,

populating the sectional component container with said information using, in a user specified order, the steps of:

creating one or more graphical image sectional components adapted for the storage of normative standard graphical image based formatted data of said graphical image container type and storing user specified normative standard graphical image information data of said information in said created graphical image sectional components,

wherein said graphical image components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said graphical image components are processed in an automated fashion within said computing device's system, and

wherein with visual perception by a human user in said computing device's system, said graphical image components are interacted upon and processed in a manual fashion by said user;

creating one or more character text sectional components adapted for the storage of normative standard character text based formatted data and storing user specified normative standard character text information data of said information in said created character text sectional components,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in said computing device's system, said character text

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Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 15 of 16

components are processed in an automated fashion within said computing device's system, and

wherein with visual perception by a human user in said computing device's system said character text components are interacted upon and processed in a manual fashion by said user;

wherein said character text formatted data comprises any of the following:

scripting text,
rich text,
markup language text,
compressed data text,
(1Re*) Uniform Resource Locator (URL) character text,
(1Ec*) encoded data text,
(1Em*) embedded data text of any type including that of the inscribed herein securable independent electronic document type,
any other character text;

wherein said sectional components include intrinsic security feature data;

wherein with the creation of and population of said information in said container, said container includes at least one overall intrinsic data integrity hash check value;

wherein with said computing device system's automated interfacing of said graphical image container as binary file formatted type data in a direct non-altered single byte precision original 'incrementally-filed' data handling and storage method, having said character text sectional components encapsulated in said binary file container, allows said encapsulated character text formatted data to sustain its original sequential filed data form, and

wherein said container's sectional component's creation and processing is independent of said container's creation and processing, and

wherein said sectional component's associated creation and processing integrity is intervention independent of said container's association, and

wherein said sectional component's intrinsic security feature data integrity is enhanced with the inclusion or coupling of other said sectional component's intrinsic security feature data, and

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Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 AFCP Amendment
Page 16 of 16

wherein said normative standard graphic image container and said normative standard adapted character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said computing device's readable instruction computing platform.

2. The method for the creation of an electronic document for securing information exchange of claim 1, wherein the said normative standard character text adapted sectional components include digital signature information data of a type of any of the following:
 - (i) signature;
 - (ii) co-signature;
 - (iii) counter-signature.
3. The method for the creation of an electronic document for securing information exchange of claim 2, wherein the method further comprises a step of creating one or more additional sectional components adapted for the storage of said normative standard graphical image container based binary encoded digital signature formatted data and storing in said additional sectional components said container based binary encoded digital signature formatted data, wherein said binary encoded digital signature information data is of a type of any of the following:
 - (i) signature;
 - (ii) co-signature;
 - (iii) counter-signature.
4. The method for the creation of an electronic document for securing information exchange of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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JUL 26 2019

In The United States Patent And Trademark Office

July 26, 2019

iBailBonding.Com
1635 East 7080 South
Salt Lake City, Utah 84121

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Dear Sir or Madam,

Applicant presents this cover letter and contents comprising Applicants 'AFCP 2.0 Final Office Action Amendment' filed in response to the Final Office Action dated April 26, 2019. Applicant believes contents enclosed will place the application in proper order.

Contents of this amendment request include the following:

<u>Title</u>	<u>Page Count</u>
Certification And Request For Consideration (PTO/SB/434) - John Guymon	1
Certification And Request For Consideration (PTO/SB/434) - Douglas Litsey	1
Amendment in re Final Office Action dated April 26, 2019	16

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se
1635 East 7080 South
Salt Lake City, Utah 84121
Tel: (801) 930-8229

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, July 26, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 12/316,699	Filing Date 12/15/2008	<input type="checkbox"/> To be Mailed
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ENTITY: LARGE SMALL MICRO

APPLICATION AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 = *		x \$52 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 = *		x \$220 =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

APPLICATION AS AMENDED - PART II

	(Column 1)		(Column 2)	(Column 3)	RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	07/26/2019		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	* 4	Minus	** 20	= 0	x \$100 = 0
	Independent (37 CFR 1.16(h))	* 1	Minus	*** 3	= 0	x \$460 = 0
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						0
AMENDMENT			CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	*	Minus	**	=	x \$0 =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x \$0 =
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.						LIE
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".						/MARGARET R BYARS/
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".						
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.						

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TC2600

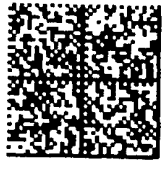
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 06/27/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121



EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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06/27/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) _____.

(2) John Guymon. (4) _____.

Date of Interview: 19 June 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: _____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

A general nature of the invention was discussed. The 101 rejection was discussed. The Examiner advised the client that an electronic document is merely a data structure and is not patentable because it is not directed towards one of the four categories of invention. The Examiner suggested revising the claim to direct it towards a method of creating the electronic document (including its different properties) and using that document to secure communication between two parties. No agreement as to patentability was reached..

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

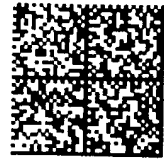
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800
63451	7590	06/26/2019	EXAMINER ABRISHAMKAR, KAVEH	
IBAILBONDING.COM 1635 EAST 7080 SOUTH SALT LAKE CITY, UT 84121			ART UNIT	PAPER NUMBER
			3649	
			MAIL DATE	DELIVERY MODE
			06/26/2019	PAPER

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	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) _____.

(2) John Guymon. (4) _____.

Date of Interview: 20 June 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.

If Yes, brief description: _____.

Issues Discussed 101 112 102 103 Others

(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1-4.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

A general nature of the invention was discussed. The 101 rejection was discussed and possible amendments to overcome the 101 rejection were also discussed. No agreement to the patentability of the claims was reached..

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

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/KAVEH ABRISHAMKAR/
Primary Examiner, Art Unit 3649

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The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
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A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 06/27/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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06/27/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

- (1) Kaveh Abrishamkar. (3) ____.
- (2) John Guymon. (4) ____.

Date of Interview: 19 June 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

A general nature of the invention was discussed. The 101 rejection was discussed. The Examiner advised the client that an electronic document is merely a data structure and is not patentable because it is not directed towards one of the four categories of invention. The Examiner suggested revising the claim to direct it towards a method of creating the electronic document (including its different properties) and using that document to secure communication between two parties. No agreement as to patentability was reached..

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
--	--

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

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- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
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12/316,699	12/15/2008	John Lewis Guymon JR.		1800

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EXAMINER

ABRISHAMKAR, KAVEH

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3649

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06/26/2019

PAPER

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<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA (FITF) Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) _____.

(2) John Guymon. (4) _____.

Date of Interview: 20 June 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: _____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1-4.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

A general nature of the invention was discussed. The 101 rejection was discussed and possible amendments to overcome the 101 rejection were also discussed. No agreement to the patentability of the claims was reached..

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
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Summary of Record of Interview Requirements

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Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

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- Name of applicant
- Name of examiner
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- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
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JUN 19 2019

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Applicant's details to be shared with Examiner during June 20, 2019 Interview

June 19, 2019

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

In preparation for the interview scheduled with the Examiner on June 20, 2019, Applicant submits the following items that will be discussed accordingly.

Applicant will discuss amending the claims.

Application believes application and claims adheres to 35 USC 101, specifically a new and useful process and any new and useful improvement thereof. Additionally, Applicant believes maintains the invention meets the criteria for an article of manufacture and a composition of matter in its generation and storage.

Applicant will address specification detail and previous claim construction that is believed to be or have been sufficient for the invention's understanding.

Applicant will present support for 35 USC 101 patentability. Areas to be discussed include but are not limited to:

Details of the items involved, steps taken and specific methods used in the process of the electronic document generation, specifically the world wide ISO formats for graphic image and character text handling and the interaction between said formats.

Details of the structured steps in the process that are unique and improvements over prior art.

Details of how a particular machine or apparatus is used in the transformation and generation of the inventive product.

JUN 19 2019

How the claims do not recite a judicial exception or recites additional elements that integrate any exception into a practical application.

Discuss and demonstrate significant differences of the invention from that of just an abstract idea, structured data, law of nature or a natural phenomenon.

Show that the invention is a patentable covered business method.

Show that the invention is a technological invention that is novel and unobvious over prior art that solves a technical problem using a technical solution.

Show that the claims are not directed to an abstract idea but rather an improvement to computer functionality and are directed to a specific implementation of a solution to a problem in the software arts.

Show that the claims effectively transform particular articles to a different and more meaningful state or thing, adding 'something more'.

Show the invention is built, assembled in a specific fashion.

Supply examiner with instances of many applications of patent that have issued that claim that of Applicant's invention and how said instances are near identical in specification and claim construction.

Mention the previous construction of claims in the application that were of process, method and system type.

Very respectfully,v

/JohnLewisGuymonJr/
John Lewis Guymon Jr.
Applicant
Tel: (801) 930-8229

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, June 19, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

USPTO Automated Interview Request (AIR)

Jun 15 2019

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s) :

John Lewis Guymon Jr

S-signature:

/JohnLewisGuymonJr/

Registration Number:

63451

U.S. Application Number:

12316699

Confirmation Number:

1800

E-mail Address:

johnlewisguymonjr@yahoo.com

Phone Number:

+1 8019308229

Proposed Time of Interview:

6-20-2019 10:00 AM ET

Alternative Proposed Time(s) of Interview:

6-21-2019 10:00 AM ET

Alternative Proposed Time(s) of Interview:

6-22-2019 10:00 AM ET

Preferred Interview Type:

Telephonic

I am the applicant or applicant's representative for this application.

Topic for Discussion:

Office Action dated 4/26/2019

IC2100 RANDOLPH

Bldg./Room

UNITED STATES PATENT AND TRADEMARK OFFICE

P.O. Box 1450

Alexandria, VA. 22313-1450

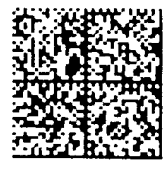
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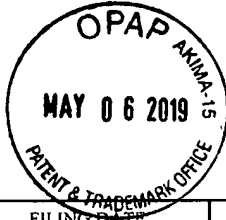
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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 04/26/2019
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SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT PAPER NUMBER

3649

MAIL DATE DELIVERY MODE

04/26/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

12/316,699

Applicant(s)

Guymon et al.

Examiner

KAVEH ABRISHAMKAR

Art Unit

3649

AIA (FITF) Status

No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 29 January 2019.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
- 4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

- 5) Claim(s) 1-4 is/are pending in the application.
5a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 6) Claim(s) _____ is/are allowed.
- 7) Claim(s) 1-4 is/are rejected.
- 8) Claim(s) _____ is/are objected to.
- 9) Claim(s) _____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

- 10) The specification is objected to by the Examiner.
- 11) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some** c) None of the:
- Certified copies of the priority documents have been received.
 - Certified copies of the priority documents have been received in Application No. _____.
 - Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)
Paper No(s)/Mail Date _____.
- 3) Interview Summary (PTO-413)
Paper No(s)/Mail Date _____.
- 4) Other: _____.

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Response to Amendment

1. This action is in response to the amendment filed on January 29, 2019. Claims 1-4 were previously pending consideration. Per the received amendment, no claims were added or canceled.
1. Claims 1-4 are currently pending consideration.

Response to Arguments

Applicant's arguments filed on January 29, 2019 have been fully considered but they are not persuasive for the following reasons:

The Applicant argues that the claims should not be rejected under 35 U.S.C. 101 because the claims are directed towards a process, machine, article of manufacture or a composition of matter. To be eligible, the claims do not need to fall into all four categories, but just need to fall into one category of invention. The Applicant states that the claims are statutory because the electronic document, as defined in the specification, is built using a process, an electronic apparatus, is made (manufacture), and is stored on a computer medium (composition of matter). First, the claim itself has to be directed towards statutory subject matter. The claims are read in light of the specification but nothing in the specification would transform the claims into statutory subject matter since the claims are directed towards an "electronic document."

Pursuant to section 101, an inventor may obtain a patent for "any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof." 35 U.S.C. 101. For all categories except process claims, the eligible subject matter must exist in some

physical or tangible form. To qualify as a machine under section 101, the claimed invention must be a "concrete thing, consisting of parts, or of certain devices and combination of devices." *Burr v. Duryee*, 68 U.S. 531, 570, 1 Wall. 531, 17 L.Ed. 650 (1863). To qualify as a manufacture, the invention must be a tangible article that is given a new form, quality, property, or combination through man-made or artificial means. *Diamond v. Chakrabarty*, 447 U.S. 303, 308, 100 S.Ct. 2204, 65 L.Ed.2d 144 (1980). Likewise, a composition of matter requires the combination of two or more substances and includes all composite articles. Here, the electronic document described in the specification and in the claims is not a tangible or physical thing, and thus does not fall within any of the categories of eligible subject matter. Claim 1 describes the electronic document as a collection of different fields/components as containers in an electronic document which does not fall into one of the four statutory categories of invention. *Digitech Image Technologies v. Electronics for Imaging*, 758 F.3d 1344.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-4 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claim(s) does/do not fall within at least one of the four categories of patent eligible subject matter because the claims are directed towards an electronic document which is a data structure which is not a machine, process, composition of matter or an article of manufacture. Data structures are not patent-eligible (see *Digitech Image Techs., LLC v. Elecs. For Imaging, Inc.* (Fed. Cir. July 11, 2014)).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAVEH ABRISHAMKAR whose telephone number is (571)272-3786. The examiner can normally be reached on M-F 9-5:30.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Hodge can be reached on 571-272-2097. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available

through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/KAVEH ABRISHAMKAR/
04/25/2019
Primary Examiner, Art Unit 3649



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 04/26/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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04/26/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

12/316,699

Applicant(s)

Guymon et al.

Examiner

KAVEH ABRISHAMKAR

Art Unit

3649

AIA (FITF) Status

No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 29 January 2019.
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on ____.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) An election was made by the applicant in response to a restriction requirement set forth during the interview on ____; the restriction requirement and election have been incorporated into this action.
- 4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

- 5) Claim(s) 1-4 is/are pending in the application.
5a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 6) Claim(s) ____ is/are allowed.
- 7) Claim(s) 1-4 is/are rejected.
- 8) Claim(s) ____ is/are objected to.
- 9) Claim(s) ____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

- 10) The specification is objected to by the Examiner.
- 11) The drawing(s) filed on ____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some** c) None of the:
1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. ____.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)
Paper No(s)/Mail Date _____.
- 3) Interview Summary (PTO-413)
Paper No(s)/Mail Date _____.
- 4) Other: _____.

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Response to Amendment

1. This action is in response to the amendment filed on January 29, 2019. Claims 1-4 were previously pending consideration. Per the received amendment, no claims were added or canceled.
1. Claims 1-4 are currently pending consideration.

Response to Arguments

Applicant's arguments filed on January 29, 2019 have been fully considered but they are not persuasive for the following reasons:

The Applicant argues that the claims should not be rejected under 35 U.S.C. 101 because the claims are directed towards a process, machine, article of manufacture or a composition of matter. To be eligible, the claims do not need to fall into all four categories, but just need to fall into one category of invention. The Applicant states that the claims are statutory because the electronic document, as defined in the specification, is built using a process, an electronic apparatus, is made (manufacture), and is stored on a computer medium (composition of matter). First, the claim itself has to be directed towards statutory subject matter. The claims are read in light of the specification but nothing in the specification would transform the claims into statutory subject matter since the claims are directed towards an "electronic document."

Pursuant to section 101, an inventor may obtain a patent for "any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof." 35 U.S.C. 101. For all categories except process claims, the eligible subject matter must exist in some

Art Unit: 3649

physical or tangible form. To qualify as a machine under section 101, the claimed invention must be a "concrete thing, consisting of parts, or of certain devices and combination of devices." *Burr v. Duryee*, 68 U.S. 531, 570, 1 Wall. 531, 17 L.Ed. 650 (1863). To qualify as a manufacture, the invention must be a tangible article that is given a new form, quality, property, or combination through man-made or artificial means. *Diamond v. Chakrabarty*, 447 U.S. 303, 308, 100 S.Ct. 2204, 65 L.Ed.2d 144 (1980). Likewise, a composition of matter requires the combination of two or more substances and includes all composite articles. Here, the electronic document described in the specification and in the claims is not a tangible or physical thing, and thus does not fall within any of the categories of eligible subject matter. Claim 1 describes the electronic document as a collection of different fields/components as containers in an electronic document which does not fall into one of the four statutory categories of invention. *Digitech Image Technologies v. Electronics for Imaging*, 758 F.3d 1344.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-4 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claim(s) does/do not fall within at least one of the four categories of patent eligible subject matter because the claims are directed towards an electronic document which is a data structure which is not a machine, process, composition of matter or an article of manufacture. Data structures are not patent-eligible (see *Digitech Image Techs., LLC v. Elecs. For Imaging, Inc.* (Fed. Cir. July 11, 2014)).

Conclusion

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Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Hodge can be reached on 571-272-2097. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 3649

through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/KAVEH ABRISHAMKAR/

04/25/2019

Primary Examiner, Art Unit 3649

<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner


US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST Search History Attached	3/2/2012	K.A.
Updated EAST Search History	08/02/2018	K.A.
Consulted with 101 Panel regarding possible amendments to overcome the 101 rejection.	04/25/2019	K.A.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner

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<p style="text-align: center;"><i>Index of Claims</i></p> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649

✓	Rejected
=	Allowed

-	Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

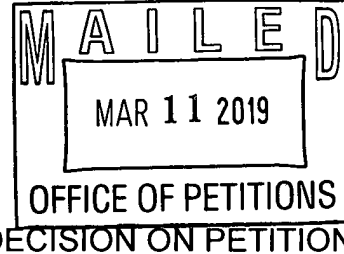
CLAIMS										
<input type="checkbox"/> Claims renumbered in the same order as presented by applicant <input type="checkbox"/> CPA <input type="checkbox"/> T.D. <input type="checkbox"/> R.1.47										
CLAIM		DATE								
Final	Original	03/02/2012	08/02/2018	04/25/2019						
	1	✓	✓	✓						
	2	✓	✓	✓						
	3	✓	✓	✓						
	4	✓	✓	✓						



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1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121



In re Application of
Guymon et al.
Application No. 12/316,699
Filed: 12/15/2008
For: SECURABLE INDEPENDENT
ELECTRONIC DOCUMENT

:
:
: DECISION ON PETITION
:
:
:

This is a decision on the renewed petition filed July 24, 2018 requesting supervisory review of the May 24, 2018 petition decision of applicant's August 29, 2017 petition¹ under 37 CFR 1.183 to waive rules and accept papers which are not signed by all inventors. The petitioner requests review under 37 CFR 1.181 by the Director.²

In the May 24, 2018 petition decision, applicant was instructed that "[m]oving forward, as set forth in 37 CFR 1.33(b) and 37 CFR 1.42(a), all submissions must be properly signed by all interested parties to be considered." The interested parties in the instant application are Mr. John Guymon and Mr. Douglas Litsey. The July 24, 2018 petition is signed by Mr. John Guymon; however, Mr. Litsey's signature does not appear on the document. As such, arguments towards petitionable matters will not be considered.

Because the petition filed July 24, 2018 under 37 CFR 1.183 is not properly signed, it is not being considered. The status request of the instant application will be addressed.

Request to Waive the Rules under 37 CFR 1.183

¹ Improperly signed petitions have also come into the Office on August 29, 2017, January 3, 2018, and April 9, 2018. However, because these petitions were not signed by all joint inventors, their content was not addressed in the July 24, 2018 petition decision.

² 37 CFR 1.181(e) provides that "[t]he director may delegate appropriate Patent and Trademark Officials the determination of petitions."

In the May 24, 2018 petition decision, applicant was instructed that “[m]oving forward, as set forth in 37 CFR 1.33(b) and 37 CFR 1.42(a), all submissions must be properly signed by all interested parties to be considered.” The July 24, 2018 petition is signed by Mr. John Guymon; however, Mr. Litsey’s signature does not appear on the document. As such, arguments will not be considered.³

Accordingly, the petition to waive the rules under 37 CFR 1.183 is not being treated.

Status Request

Petitioner requests clarification and properness of the application’s status and required steps or procedures needed for further application prosecution. Of the three statuses listed in the July 24, 2018 petition, “currently in PAIR, the application has a status value of thirty (30) – **‘Docketed New Case – Ready for Examination’** whereas the PAIR bulk system has a status of one hundred and sixty-one (161) – **‘Abandoned – Failure to Respond to an Office Action’** and the PED bulk system has a status of eighty-three (83) – **‘Advisory Action Mailed’**,” Petitioner is directed to PAIR for future status inquires.

As provided in the Public Application Information Retrieval (PAIR)⁴, the status is currently indicated as “Response to Non-Final Office Action Entered and Forwarded to Examiner” (status 71). On August 6, 2018, the examiner issued an Office action. On November 6, 2018, Mr. Guymon filed a response to the August 6, 2018 Office action. A notice of non-compliance was issued by the Office on November 29, 2018 because the November 6, 2018 claim amendments did not provide a proper status identifier as set forth in 37 CFR 1.121(c). Furthermore, the November 6, 2018 response is improperly signed because Mr. Litsey’s signature does not appear on the document. Pursuant to 37 CFR 1.33(b), amendments and other papers filed in the application must be signed by all of the joint inventors (applicants), a registered patent practitioner, or an assignee as provided for under 37 CFR 3.71(b). The January 29, 2019 response after non-final action also does not include Mr. Litsey’s signature and is therefore improperly signed.

Petitioner further notes “the submission of the extraordinary circumstances in the petition which the office has filed incorrectly under Applicant’s Request for Refund petition dated August 24, 2015.” Petitioner alleges that the paper has been incorrectly filed; however, it has been provided a document code that aligns with the petition for refund included in the paper. It is noted that all subjects in

³ While the arguments are not being considered, these issues were previously addressed on the merits in the May 24, 2018 petition decision.

⁴ MPEP 203.08 provides that “[s]tatus information relating to patent applications is available through the Patent Application Information Retrieval (PAIR) system... Applicants and other persons seeking status regarding an application should check the PAIR system on the Office website at www.uspto.gov/patents-application-process/checking-application-status/check-filing-status-your-patent-application.”

this document were addressed in the petition decision issued on March 7, 2016. Moving forward, pursuant to 37 CFR 1.4(c), "each distinct subject, inquiry or order must be contained in a separate paper to avoid confusion and delay in answering papers dealing with different subjects."

Petitioner further asserts that the "reconsideration of Applicant's correction of inventorship under 37 CFR 1.48 and Request for Refund... should have and should be considered by a different Office than the Office of Petitions." MPEP 1001.01 provides that "[t]he delegations set forth in this Chapter do not confer a right to have a matter decided by a specific Office official, rather, such delegations aid in the efficient treatment of petitions by the Office."

Further correspondence with respect to these matters should be addressed as follows:

Mail: Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22314-1450

Hand: U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PETITIONS
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Facsimile: (571) 273-8300
Attn: Office of Petitions

Internet: EFS-Web⁵

Correspondence regarding this decisions may also be filed through the electronic filing system of the USPTO.

Telephone inquiries concerning this decision should be directed to the undersigned at (571)272-2160.



Laura Martin
Deputy Director
Office of Petitions

⁵ www.uspto.gov/ebs/efs_help.html (For help using EFS-Web, call the Patent Electronic Business Center at 866-217-9197.)

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CENTRAL FAX CENTER

JAN 29 2019

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

**Corrected Amendment (Claims with underline & strike through)
in re Notice of Non-Compliant Amendment November 29, 2018
for original Office Action of August 6, 2018**

January 28, 2019

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Notice of Non Compliant Amendment dated November 29, 2018, Applicant hereby submits this updated amendment to the Application of Patent, #12/316699, filed December 15, 2008 and remarks as follows: (NOTE: No changes have been made to the following remarks section - only ~~strikeout~~ and underlines have been added to the amended claims.)

SPECIFICATION:
Specification not changed.

CLAIMS:
Amended, printed and incorporated as separate document.

DRAWINGS:
Drawings not changed.

REMARKS:
In regard to '*Claim Objections*':

Applicant has removed the few remaining instances where the language "can be" was used correcting the said instances accordingly narrowing the scope of the claims describing the invention more appropriately.

AMj0128j.doc

JAN 29 2019

Securable Independent Electronic Document
Guymon, et alSerial No.: 12/316,699 Amendment
Page 2 of 3

In regard to '*Claim Rejections - 35 U.S.C. 101*':

The statute 35 U.S.C. 101 references process, machine, manufacture and composition of matter or the improvement thereof. In support of 35 U.S.C 101, the electronic document described in the specification is:

- 1) Built and assembled in a user defined specific fashion ('process');
- 2) An electronic apparatus with definite function performing a particular task ('machine');
- 3) Made, produced, built, constructed or fabricated ('manufacture');
- 4) A tangibly embodied form of electronic substance stored on a computer medium ('composition of "electronic" matter').

Therefore, the electronic document described in the specification and claims supports, adheres to and is in the confines of 35 U.S.C 101.

The electronic document described in the specification and claims is similar but superior to specification referenced prior art including the widely used and well known Portable Document Format ('PDF') document by Adobe, Inc. that is not considered to be that of a 'data structure' format.

The electronic document described in the specification and claims is a combination of conventional elements in a conventional manner that includes functionality and other tangible digital type items.

The electronic document described in the specification and claims is not a data structure in itself as it incorporates a multiple count of unique and original user specified and defined data structures and/or elements as well as functionality to which those data structures and/or elements and operations of said data and/or elements are used, maintained or otherwise manipulated.

RECEIVED
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JAN 29 2019

Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 Amendment
Page 3 of 3

CONCLUSION:

For all the above reasons, Applicant submits that the claims are now in proper form and define patentability over the prior art. Therefore Applicant submits that this application is now in condition for allowance which Applicant respectfully solicits.

Very respectfully,

/JohnLewisGuymonJr/
John Lewis Guymon Jr.
Applicant, Pro Se
Tel: (801) 930-8229
Msg: (801) 943-8461

Enclosed:
Claims Amended - 3 pages (INCLUDES UNDERLINE AND STRIKE THROUGH)

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, January 29, 2019.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se

CLAIMS: (#1 = Amended original claim, #2 thru #4 = original with no change)

1. (ORIGINAL AMENDED) An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

~~a one or more graphical image sectional components wherein said image component~~ adapted for storing graphical image data of said graphic image container type,

wherein said ~~component~~ graphical image components may include encrypted content wherein said encrypted content ~~can be~~ has the ability to be decrypted, and

wherein with a set of machine readable instructions in a computer system said ~~component~~ graphical image components ~~can be~~ are processed in an automated fashion by said computer system, and

wherein ~~if visually perceived~~ with visual perception by a human user, said ~~component~~ graphical image components ~~can be~~ are interacted upon and processed in a manual fashion by said user; and

a one or more character text sectional components adapted for storing normative standard character text based ~~digital signature~~ formatted data,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted, and

wherein with a set of machine readable instructions in a computer system said ~~component~~ character text components ~~can be~~ are processed in an automated fashion by said computer system, and

wherein ~~if visually perceived~~ with visual perception by a human user said ~~component~~ character text components ~~can be~~ are interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components

wherein said additional components adapted for the storage of any of the following data types:

character text data said character text comprising scripting text, rich text, markup language text, or other character text

wherein said character text ~~can~~ has the ability to include
one or more of the following:

a character text Uniform Resource Locator (URL),
encoded data

wherein said encoded data comprising encrypted or
embedded data of any type including that of the
inscribed herein securable independent electronic
document type,

graphic image data,
raw binary data,
intrinsic security feature data,
container based binary encoded digital signature data,
any other user specified data;

wherein said additional sectional components include intrinsic security
feature data wherein coupling of said additional sectional
~~component(s)~~ component's security feature data generates additional
intrinsic security feature data

wherein said intrinsic data or said additional intrinsic data ~~can be~~ is
stored in any one of said sectional ~~component(s)~~ components
or said additional sectional components,

wherein automated system interfacing as binary file formatted type data in a direct, non-
altered, single byte precision original 'incrementally-filed' data handling and storage
method, whereby having said character text sectional component encapsulated in said
binary document container allows said character text to sustain its original sequential
filed data form, and

wherein independent creation and processing of said container from said sectional
~~components'~~ component's creation and processing, and

wherein integrity enhanced non-associated creation and processing intervention from said
sectional ~~components'~~ component's creation and processing, and

wherein integrity enhanced incorporated security feature information coupling of said
sectional ~~components'~~ component's security check information with other said
sectional ~~components'~~ component's security check information, and

wherein said normative standard graphic image container and said normative standard
character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage
from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing of and storage in said machine readable instruction's computing platform;

2. (ORIGINAL AMENDED) The electronic document of claim 1, wherein said normative standard character text based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. (ORIGINAL UNCHANGED) The electronic document of claim 2, wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. (ORIGINAL UNCHANGED) The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 12/316,699	Filing Date 12/15/2008	<input type="checkbox"/> To be Mailed
---	--	---------------------------	---------------------------------------

ENTITY: LARGE SMALL MICRO

APPLICATION AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 = *		x \$52 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 = *		x \$220 =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

APPLICATION AS AMENDED - PART II

	(Column 1)		(Column 2)	(Column 3)	RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	01/29/2019		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	* 4	Minus	** 20	= 0	x \$100 = 0
	Independent (37 CFR 1.16(h))	* 1	Minus	*** 3	= 0	x \$460 = 0
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						0
AMENDMENT			CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	*	Minus	**	=	x \$0 =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x \$0 =
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.						LIE
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".						/KAREN T WASHINGTON/
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".						
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.						

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 01/09/2019
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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3649

MAIL DATE	DELIVERY MODE
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01/09/2019

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<i>Applicant-Initiated Interview Summary</i>	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 3649	AIA Status No

All participants (applicant, applicants representative, PTO personnel):

(1) Kaveh Abrishamkar. (3) ____.

(2) John Lewis Guymon. (4) ____.

Date of Interview: 08 January 2019.

Type: Telephonic Video Conference
 Personal [copy given to: applicant applicant's representative]

Exhibit shown or demonstration conducted: Yes No.
If Yes, brief description: ____.

Issues Discussed 101 112 102 103 Others
(For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)

Claim(s) discussed: 1-4.

Identification of prior art discussed: N/A.

Substance of Interview

(For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc...)

Applicant had questions about the notice of non-complaint amendment mailed on 11/29/2018. The Examiner notified the Applicant that the claims needed proper status identifiers and also strikethroughs and underlines were appropriate.

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Attachment

/KAVEH ABRISHAMKAR/ Primary Examiner, Art Unit 3649	
--	--

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.



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63451 e 2018-11-29

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Paper No.

Application No.: 12/316,699 	Date Mailed: 2018-11-29 PAPER
First Named Inventor: John Lewis Guymon	Examiner: ABRISHAMKAR, KAVEH
Attorney Docket No.:	Art Unit: 3649
Confirmation No.: 1800	Filing Date: 12/15/2008

Please find attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Notice of Non-Compliant Amendment (37 CFR 1.121)	Application No. 12/316,699	Applicant(s) GUYMON ET AL.
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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 06 November, 2018 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
 - A. Amended paragraph(s) do not include markings.
 - B. New paragraph(s) should not be underlined.
 - C. Other _____.
- 2. Abstract:
 - A. Not presented on a separate sheet. 37 CFR 1.72.
 - B. Other _____.
- 3. Amendments to the drawings:
 - A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - C. Other _____.
- 4. Amendments to the claims:
 - A. A complete listing of all of the claims is not present.
 - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - D. The claims of this amendment paper have not been presented in ascending numerical order.
 - E. Other: _____.
- 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period if the non-compliant amendment is an** after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **two months** from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable DIANA BATES

Telephone No: (571)272-6573

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NOV 06 2018

In the United States Patent and Trademark Office

Application Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kavch Abrishamkar

Amendments w/Reply in re Office Action of August 6, 2018

November 6, 2018

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Office Action dated (mailed) August 6, 2018, Applicant hereby amends the Application of Patent, #12/316699, filed December 15, 2008 and remarks as follows:

SPECIFICATION:

Specification not changed.

CLAIMS:

Amended, printed and incorporated as separate document.

DRAWINGS:

Drawings not changed.

REMARKS:

In regard to '*Claim Objections*':

Applicant has removed the few remaining instances where the language "can be" was used correcting the said instances accordingly narrowing the scope of the claims describing the invention more appropriately.

a1675b42-44ed-4577-b10d-6e7102626880

Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 Amendment
Page 2 of 3

In regard to '*Claim Rejections - 35 U.S.C. 101*':

The statute 35 U.S.C. 101 references process, machine, manufacture and composition of matter or the improvement thereof. In support of 35 U.S.C 101, the electronic document described in the specification is:

- 1) Built and assembled in a user defined specific fashion ('process');
- 2) An electronic apparatus with definite function performing a particular task ('machine');
- 3) Made, produced, built, constructed or fabricated ('manufacture');
- 4) A tangibly embodied form of electronic substance stored on a computer medium ('composition of "electronic" matter').

Therefore, the electronic document described in the specification and claims supports, adheres to and is in the confines of 35 U.S.C 101.

The electronic document described in the specification and claims is similar but superior to specification referenced prior art including the widely used and well known Portable Document Format ('PDF') document by Adobe, Inc. that is not considered to be that of a 'data structure' format.

The electronic document described in the specification and claims is a combination of conventional elements in a conventional manner that includes functionality and other tangible digital type items.

The electronic document described in the specification and claims is not a data structure in itself as it incorporates a multiple count of unique and original user specified and defined data structures and/or elements as well as functionality to which those data structures and/or elements and operations of said data and/or elements are used, maintained or otherwise manipulated.

Securable Independent Electronic Document
Guymon, et al

Serial No.: 12/316,699 Amendment
Page 3 of 3

CONCLUSION:

For all the above reasons, Applicant submits that the claims are now in proper form and define patentably over the prior art. Therefore Applicant submits that this application is now in condition for allowance which Applicant respectfully solicits.

Very respectfully,

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se
Tel: (801) 930-8229
Msg: (801) 943-8461

Enclosed:
Claims Amended - 3 pages

Certificate of Faxing

I certify that this correspondence will be faxed to the United States Patent and Trademark Office, "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" fax number 571-273-8300 this day, November 6, 2018.

/JohnLewisGuymonJr/
John Lewis Guymon Jr
Applicant, Pro Se

CLAIMS as amended

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

one or more graphical image sectional components adapted for storing graphical image data of said graphical image container type,

wherein said graphical image components may include encrypted content wherein said encrypted content has the ability to be decrypted,

wherein with a set of machine readable instructions in a computer system wherein said graphical image components are processed in an automated fashion by said computer system, and

wherein with visual perception by a human user wherein said graphical image components are interacted upon and processed in a manual fashion by said user; and

one or more character text sectional components adapted for storing normative standard character text based formatted data,

wherein said character text components may include encrypted content wherein said encrypted content has the ability to be decrypted,

wherein with a set of machine readable instructions in a computer system said character text components are processed in an automated fashion by said computer system, and

wherein with visual perception by a human user said character text components are interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components

wherein said additional components adapted for the storage of any of the following data types:

character text data said character text comprising scripting text, rich text, markup language text, or other character text

wherein said character text can include one or more of the following:

a character text Uniform Resource Locator (URL),
encoded data

wherein said encoded data comprising encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,

graphic image data,
raw binary data,
intrinsic security feature data,
container based binary encoded digital signature data,
any other user specified data;

wherein said additional sectional components include intrinsic security feature data wherein coupling of said additional sectional component's security feature data generates additional intrinsic security feature data

wherein said intrinsic data or said additional intrinsic data is stored in any one of said sectional components or said additional sectional components,

wherein automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said character text sectional component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein independent creation and processing of said container from said sectional component's creation and processing, and

wherein integrity enhanced non-associated creation and processing intervention from said sectional component's creation and processing, and

wherein integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional component's security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

- independent interaction of processing and storage from non-desired additional parties,
- independent validation of processing and storage from non-desired additional parties, and
- independent processing of and storage in said machine readable instruction's computing platform.
2. The electronic document of claim 1, wherein said normative standard character text based digital signature sectional components include signatures of one or more of the following types:
 - (i) signature;
 - (ii) co-signature;
 - (iii) counter-signature.
 3. The electronic document of claim 2, wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional components include signatures of one or more of the following types:
 - (i) signature;
 - (ii) co-signature;
 - (iii) counter-signature.
 4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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NOV 06 2018

FAX COVER SHEET

TO	Commissioner for Patents
COMPANY	USPTO
FAX NUMBER	15712738300
FROM	Brittany Ayala
DATE	2018-11-07 02:19:00 GMT
RE	ApplicationNumber:12/316,699

COVER MESSAGE

Please see attached "Amendments w/Reply in re Office Action of August 6, 2018"

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 12/316,699	Filing Date 12/15/2008	<input type="checkbox"/> To be Mailed
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ENTITY: LARGE SMALL MICRO

APPLICATION AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 = *		x \$52 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 = *		x \$220 =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

APPLICATION AS AMENDED - PART II

	(Column 1)		(Column 2)	(Column 3)	RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	11/06/2018		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		
	Total (37 CFR 1.16(i))	* 7	Minus ** 20	= 0	x \$100 =	0
	Independent (37 CFR 1.16(h))	* 3	Minus *** 3	= 0	x \$460 =	0
<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))						
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						0
AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		
	Total (37 CFR 1.16(i))	*	Minus **	=	x \$0 =	
	Independent (37 CFR 1.16(h))	*	Minus ***	=	x \$0 =	
<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))						
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
TOTAL ADD'L FEE						
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.						LIE
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".						/DIANA A BATES/
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".						
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.						

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/316,699	12/15/2008	John Lewis Guymon JR.		1800

63451 7590 08/06/2018
IBAILBONDING.COM
1635 EAST 7080 SOUTH
SALT LAKE CITY, UTAH 84121
UNITED STATES OF AMERICA

EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT	PAPER NUMBER
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2494

MAIL DATE	DELIVERY MODE
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08/06/2018

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 12/316,699	Applicant(s) Guymon et al.	
	Examiner KAVEH ABRISHAMKAR	Art Unit 2494	AIA Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 29 August 2017.
 - A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
- 4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

- 5) Claim(s) 1-4 is/are pending in the application.
 - 5a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 6) Claim(s) _____ is/are allowed.
- 7) Claim(s) 1-4 is/are rejected.
- 8) Claim(s) _____ is/are objected to.
- 9) Claim(s) _____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

- 10) The specification is objected to by the Examiner.
- 11) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) All b) Some** c) None of the:
 - 1. Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. _____.
 - 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)
Paper No(s)/Mail Date _____.
- 3) Interview Summary (PTO-413)
Paper No(s)/Mail Date _____.
- 4) Other: _____.

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on August 29, 2017 has been entered.

1. Claims 1-4 are currently pending consideration.

Response to Arguments

Applicant should submit an argument under the heading "Remarks" pointing out disagreements with the examiner's contentions. Applicant must also discuss the references applied against the claims, explaining how the claims avoid the references or distinguish from them.

The claims no longer contain the clause "means for" so the claims are no longer being interpreted under 112 6th paragraph.

Claim Objections

Claims 1-4 objected to because of the following informalities: The Applicant employs the language "can be" in multiple instances. For example, in claim 1, the claims states that the container can be of normative standard format. The Examiner notes that this language can be construed as the container is capable of being of a normative standard. Further instances include, wherein the character

text data can be of an encoded type, wherein the text data can be processed in an automated fashion, and wherein the graphical image data can be of an encrypted type. There are further instances which do not limit the particular limitations but just require that an element is capable of performing a certain function. The amendment has removed some instances of the "can be" language but not all of them. Appropriate correction is recommended.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-4 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claim(s) does/do not fall within at least one of the four categories of patent eligible subject matter because the claims are directed towards an electronic document which is a data structure which is not a machine, process, composition of matter or an article of manufacture. Data structures are not patent-eligible (see *Digitech Image Techs., LLC v. Elecs. For Imaging, Inc.* (Fed. Cir. July 11, 2014)).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAVEH ABRISHAMKAR whose telephone number is (571)272-3786. The examiner can normally be reached on M-F 9-5:30.


Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jung Kim can be reached on 571-272-3804. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/K.A/
Primary Examiner, Art Unit 2494

/KAVEH ABRISHAMKAR/
08/02/2018
Primary Examiner, Art Unit 2494

<i>Index of Claims</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 2494


✓	Rejected
=	Allowed

-	Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

CLAIMS									
		<input type="checkbox"/> Claims renumbered in the same order as presented by applicant			<input type="checkbox"/> CPA		<input type="checkbox"/> T.D.		<input type="checkbox"/> R.1.47
CLAIM		DATE							
Final	Original	03/02/2012	08/02/2018						
	1	✓	✓						
	2	✓	✓						
	3	✓	✓						
	4	✓	✓						

<i>Search Notes</i> 	Application/Control No. 12/316,699	Applicant(s)/Patent Under Reexamination Guymon et al.
	Examiner KAVEH ABRISHAMKAR	Art Unit 2494

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST Search History Attached	3/2/2012	K.A.
Updated EAST Search History	08/02/2018	K.A.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner

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FAX COVER SHEET

TO	UPSTO
COMPANY	USPTO
FAX NUMBER	15712738300
FROM	Brittany Ayala
DATE	2018-07-24 23:09:44 GMT
RE	Serial #12/316,699

COVER MESSAGE

THIRD RENEWED REQUEST FOR RECONSIDERATION

- OF -

PETITION'S DECISIONS - May 24, 2018,

PETITION'S DECISIONS - February 7, 2018,

PETITION'S DECISIONS - October 31, 2017,

PETITION'S DECISIONS - June 29, 2017,

PETITION'S DECISIONS - March 7, 2016,

- and -

PETITION'S DECISION - April 30, 2014

- FOR -

37 CFR §1.114 - REQUEST FOR CONTINUED EXAMINATION - April 7, 2014

37 CFR §1.129 - CERTIFICATION OF MICRO ENTITY - October 2, 2013

37 CFR §1.48 - CORRECTION OF INVENTORSHIP - March 7, 2016

37 CFR §1.183 - SUSPENSION OF RULES - August 8, 2016

37 CFR §1.136 - EXTENSION OF TIME - August 8, 2016

- AND CONSEQUENT -

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37 CFR §1.181 - PETITION TO THE DIRECTOR - August 29, 2017

- AND -

37 CFR §1.181 - RENEWED PETITION TO THE DIRECTOR - January 2, 2018

- AND -

CEASE AND DESIST

- AND37

CFR §1.181 - SECOND RENEWED PETITION TO THE DIRECTOR - April 9, 2018

- UNDER, this, SUBSEQUENT -

37 CFR §1.181 - THIRD RENEWED PETITION TO THE DIRECTOR

RECEIVED
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JUL 24 2018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THIRD RENEWED REQUEST FOR RECONSIDERATION

- OF -

**PETITION'S DECISIONS - May 24, 2018,
PETITION'S DECISIONS - February 7, 2018,
PETITION'S DECISIONS - October 31, 2017,
PETITION'S DECISIONS - June 29, 2017,
PETITION'S DECISIONS - March 7, 2016,**

- and -

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- FOR -

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- AND CONSEQUENT -

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- AND -

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- AND -

CEASE AND DESIST

- AND -

37 CFR §1.181 - SECOND RENEWED PETITION TO THE DIRECTOR - April 9, 2018

- UNDER, this, SUBSEQUENT -

37 CFR §1.181 - THIRD RENEWED PETITION TO THE DIRECTOR

Securable Independent Electronic Document

Serial #12/316,699 - December 15, 2008

Mail Stop:
Office Of The Under Secretary And Director
c/o Andrei Iancu
P. O. Box 1450
Alexandria, VA 22313-1450

July 24, 2018

Dear Under Secretary and Director Andrei Iancu, Sirs or Madams:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, petitioning the United States Patent And Trademark Office, under 37 C.F.R. §1.181, Petition To The Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office ("Director"), for review by the Director of this application's recent office decision dated May 24, 2018 et alia.

This renewed petition is the second request from Applicant for The Director's involvement. Applicant, with due diligence and proper candor, petitions the office within the two (2) month reply period and requests clarification correction and properness of application file as well as reconsideration of various petitions, certifications and office actions.

Applicant requests review, correction and reconsideration of the office's petition decision dated May 24, 2018 for the inscribed application serial 12/316,699. **Most important is the need for clarification and properness of the application's status and required steps or procedures needed for further application prosecution.**

Multiple discrepancies among the office's various data systems, specifically those of the Public Application Information Retrieval 'PAIR' and Patent Examination Data 'PED' systems, show multiple contradicting values of the application status and confuse the Applicants. Further, variations in the various system's filed documents and further mislead Applicants. With the only reference to the file's actual operation and current status that of the PAIR system as no correspondence has been received from the office, Applicant's respectfully request understanding and correction of the application's status and file contents.

Applicants specifically requests, with respect, the office inform the Applicant's on the current status of this application and the procedures, steps or next item or items needed to further prosecution of this application. Details of the application's status and content variances are supplied below and are from data directly supplied from the office's PAIR, PAIR bulk and PED bulk systems.

The fact that the application can be in three (3) different status states is **inconceivable** and must be addressed. Currently in PAIR the application has a status value of thirty (30) - '**Docketed New Case - Ready for Examination**' whereas the PAIR bulk system has the status of one hundred sixty one (161) - '**Abandoned -- Failure to Respond to an Office Action**' and the PED bulk system has the status as eighty three (83) - '**Advisory Action Mailed**'. The status state codes are defined in the CSV data file located at the following URL:

https://bulkdata.uspto.gov/data/patent/pair/economics/2016/status_codes.csv.zip

The office states the decision on May 24, 2018 is a corrected decision of those dated October 31, 2017 and February 7, 2018. In respect to the office's decision dated June 29, 2017 Applicant still maintains, states and believes that the Petition for Extension of Time was paid in the proper amount and was filed within proper time considerations, and therefore respectfully reiterates the belief that the extension of time petition and related petition to waive the rules 37 CFR 1.183 for the requirement of all joint inventors signature were proper and within laws rules and the guidelines of the office.

Additionally reconsideration of Applicants Correction of Inventorship under 37 CFR 1.48 and Request for Refund 37 was requested in previous petitions. Further the petitions should have and should be considered by a different office than the Office of Petitions. Further, the submission of the extraordinary circumstances in the petition which the office has filed incorrectly under Applicant's Request for Refund petition dated August 24, 2015 and should be corrected in it's filing. The office has been asked multiple times to take notice and correct the improper petition filings and

has not addressed the matter. It would be appreciated if the office would take note of the mis-filed petitions and present reasons or other information as to why previous requests for attention and change.

This renewed reconsideration request is presented to the office to ensure the confirmation of the intention's and beliefs to be that of which all documents and supporting evidence has been supplied to the office correctly with the utmost honest and respect accordingly and politely demand the office take note and have receipt of the reservation of all rights in the furthering of the handling and resolution of all documents and or other correspondence.

In regard to the multiple status states the following documented items are presented. These items were all obtained from the office's various systems within a five minute period of time to ensure a tight window that would prevent discrepancy possibilities in database updates or maintenance. Further supplied are the data item signatures from the URL's the data was acquired from.

1) Public Patent Application Information Retrieval

URL: <https://portal.uspto.gov/pair/PublicPair> ('Application Data' tab)

Status: Docketed New Case - Ready for Examination (30)

Screen print.

Public Patent Application Information Retrieval			
Bibliographic Data			
Application Number	12/210,699	Classification Class	G0431
Filing or 351-302 Date	12-18-2009	Status	Docketed New Case - Ready for Examination
Application Type	UTILITY	Submit Date	05-31-2010
Publication Type	ABSTRACT/PUBLISHED	Publication	ELECTRONIC
Class Art Label	2994	Location	
Classification Number	1800	Current Publication No.	US 2010-0103739 A1
Priority Document Number	715739	Publication Date	05-17-2010
Priority Document Title	John Lewis Guyton, Salt Lake City, UT (US) all Inventors		
Priority Document Inventor	John Lewis Guyton, Salt Lake City, UT (US) all Inventors		
Priority Document Title	Micro		
Priority Document Inventor	No		
Title of Invention: Seizable independent electronic document			

(THIS AREA GRAPHICALLY ENHANCED)

Applicants request that review of this applications file be by the director and/or his designee and be that of an individual signing the decision as an attorney currently holding a valid license of practice, having the under secretary's signature of such designation. Applicant does not want a decision sign only by an advisor.

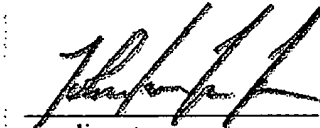
Applicants kindly, with respect, ask the Under Secretary And Director for assistance.

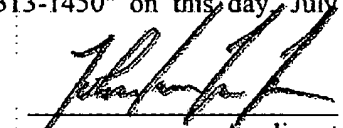
Thank you.

Respectfully submitted,

- - - Certificate of Fax / Mailing - - -

I certify that this correspondence will be delivered to the United States Patent and Trademark Office via fax at (571)-273-8300 or deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, July 24, 2018.


Applicant

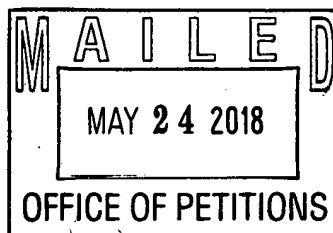

Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

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1635 EAST 7080 SOUTH
SALT LAKE CITY, UT 84121



In re Application of
Guymon et al.
Application No. 12/316,699
Filed: 12/15/2008
For: SECURABLE INDEPENDENT
ELECTRONIC DOCUMENT

:
:
: DECISION ON PETITION
:
:
:

This is a corrected decision¹ on the petitions filed **August 29, 2017** and are being treated as (1) a petition under 37 CFR 1.183 to waive rules and accept papers which are not signed by all inventors and (2) a petition to revive the above-identified application under 37 CFR 1.137(a).²

The petition filed August 29, 2017 under 37 CFR 1.183 is DISMISSED.

The petition filed August 29, 2017 under 37 CFR 1.137 is GRANTED.

The petition filed April 9, 2018 is DISMISSED.³

Request to Waive the Rules under 37 CFR 1.183

The petition again requests waiver under 37 CFR 1.183 of the requirement that amendments and other papers be signed by both inventors. This petition, signed only by inventor Mr. Guymon, indicates that Mr. Guymon believes his contribution to the inventorship of the application was greater than that of inventor Mr. Litsey, and that it has been difficult for him to obtain the signature of Mr. Litsey.

The paper on which this petition is filed is not signed by all of the applicants. Pursuant to 37 CFR 1.33(b), amendments and other papers filed in the application must be signed

¹ This decision replaces the petition decisions issued on October 31, 2017 and February 7, 2018.

² The papers received on January 2, 2018, January 3, 2018, and April 9, 2018 do not have signatures from both parties named as inventors in the instant application. As the paperwork is not properly signed, it will not be considered. **Moving forward, as set forth in 37 CFR 1.33(b) and 37 CFR 1.42(a), all submissions must be properly signed by all interested parties to be considered.**

³ The petition filed April 9, 2018 does not have signatures from both parties as inventors in the instant application. As the paperwork is not properly signed, it will not be considered and is dismissed.

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by all of the joint inventors (applicants), a registered patent practitioner, or an assignee as provided for under 37 CFR 3.71(b).

With regard to the petition to waive the rules, 37 CFR 1.183 states

In an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be suspended or waived by the Director or the Director's designee, *sua sponte*, or on petition of the interested party, subject to such other requirements as may be imposed. Any petition under this section must be accompanied by the petition fee set forth in § 1.17(f).

Petitioner's argument has been considered, but is not persuasive. While petitioner has not requested reconsideration of the request under 37 CFR 1.48, petitioner Guymon appears to still argue that there is a dispute as to the ownership. In this regard, 37 CFR 1.183 permits the Director to waive a rule when extraordinary circumstances exist and justice requires waiver of the rule. Applicant has not shown the existence of extraordinary circumstances, however, where justice requires waiver of the rule requiring all inventors or their representatives sign amendments or other papers. Where, as here and as stated previously, the question of inventorship between the diverse parties is vigorously contested, the USPTO will not relax the requirement of 37 CFR 1.48 by way of 37 CFR 1.183.⁴ As petitioners have not adequately shown that either an extraordinary situation is herein present or that "justice requires" waiver, the petition for waiver is dismissed.

It would be contrary to the intent of the regulations to permit, *ex parte*, by waiver of the rules, Petitioner to unilaterally extinguish the property rights that Mr. Litsey has in this application. Mr. Litsey, as a joint inventor, has a property right in this application that can be canceled, revoked, or annulled **only** by proceedings that afford due process of law.^{5, 6} An *ex parte* proceeding in the USPTO is not the appropriate forum for the contested extinction of a property right in an application. That is especially so in, as here, the presence of the statute and express objection of the party whose interest(s) is being extinguished.⁷ It is well settled that the Office is not the appropriate forum for resolving a dispute concerning the ownership of an application, and, as such, the USPTO will not permit itself to become embroiled in ownership disputes.⁸ Likewise, permitting the acceptance of amendments or other papers signed only by Mr. Guymon, without Mr. Litsey's assent, would prejudice Mr. Litsey's property rights in the

⁴ See *In re Cooper*, 230 USPQ 638, 639 (Comm'r Pat. 1985).

⁵ *Iowa State Univ. Research Found. v. Sperry Rand Corp.*, 444 F.2d 406, 410, 170 USPQ 374, 377 (4th Cir. 1971).

⁶ See *Baker Hughes, Inc. v. Kirk*, 921 F.Supp. 801 (D.D.C. 1995).

⁷ *Id.*; *In re Hardee*, 223 USPQ 1122 (Comm'r Pat. 1984).

⁸ See *Ex parte Harrison*, 1925 Dec. Comm'r Pat. 122, 123 (Comm'r Pat. 1924); *In re Moeller*, 1904 Dec. Comm'r Pat. 70, 71 (Comm'r Pat. 1904); *Ex parte McTammany*, 1900 Dec. Comm'r Pat. 168, 181 (Comm'r Pat. 1900); *Ex parte McFarlane*, 1896 Dec. Comm'r Pat. 37, 38 (Comm'r Pat. 1896); *Ex parte Gallatin*, 1892 Dec. Comm'r Pat. 106 (Comm'r Pat. 1892).

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application. Lastly, in circumstances as herein, the USPTO seeks to preserve the rights of all parties to the extent it is able.

Additionally, with regard to the difficulties described in having both inventors sign papers filed in the Office, 37 CFR 1.32(c)(1) as applicable to applications for patent filed before September 16, 2012 states that a power of attorney may name one or more joint inventors as representative. As such, the joint inventors may agree to give power of attorney to one or more joint inventors. Mr. Guymon and Mr. Litsey may therefore **agree jointly** to appoint Mr. Guymon a power of attorney. See also MPEP 402.02(b).

Accordingly, the petition to waive the rules under 37 CFR 1.183 is **DISMISSED**.

Request for Revival under 37 CFR 1.137(a)

Petitioner failed to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply.

On October 2, 2013, an amendment after final rejection as filed, accompanied by a request for a three (3) month extension of time in accordance with 37 CFR 1.136(a) and payment of the fee in the micro entity amount. However, the Certification of Micro Entity Status filed on October 2, 2013 was signed by only one of the joint inventors and was therefore not effective. Therefore, the extension of time payment of \$350 filed October 2, 2013 was only sufficient for a two (2)-month extension of time at the small entity amount, and was treated as a request for a two (2)-month extension of time.

On October 18, 2013, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed October 2, 2013, fails to place the application in condition for allowance. As such, the reply filed October 2, 2013 was both an untimely and an improper reply to the final Office action mailed April 2, 2013.

A Notice of Abandonment was mailed on February 7, 2014.

On April 7, 2014, a paper styled as a petition to revive the application was filed, accompanied by a Request for Continued Examination and payment of the RCE fee in the micro entity amount. On April 30, 2014, the petition was dismissed.

On February 6, 2016, a renewed petition to revive the application was filed. On March 7, 2016, the renewed petition was dismissed.

On August 8, 2016, a renewed petition to revive the application was filed, but was not accompanied by the appropriate extension of time fee. On June 29, 2017, a decision was mailed indicating the required extension of time fee had not been submitted.

Art Unit: OPET

On August 29, 2017, petitioner filed the instant petition under 37 CFR 1.137(a) to revive the instant application. The August 29, 2017 petition under 37 CFR 1.137(a) was properly signed by both inventors, Mr. Guymon and Mr. Litsey.

37 CFR 1.137(a) provides that

A grantable petition pursuant to this section must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in § 1.17(m);
- (3) Any terminal disclaimer (and fee as set forth in § 1.20(d)) required pursuant to paragraph (d) of this section; and
- (4) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this section was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

The Request for Continued Examination filed on August 29, 2017, which has been signed by both inventors, serves as a proper reply under 37 CFR 1.137(b)(1).⁹

Petitioners' August 8, 2016 petition to revive the application did not include the appropriate extension of time fees set forth for a three (3) month extension of time as a small entity. While the August 29, 2017 petition requests treatment as a renewed petition, it is being treated as a new petition, requiring a petition fee as set forth in 37 CFR 1.17(m). In view of the specific facts and circumstances of this case, the Office is waiving, pursuant to 35 U.S.C. § 41(a)(7), the petition fee as set forth in 37 CFR 1.17(m) in the micro entity amount, or \$500. Therefore, there is no fee due for the petition to revive.

Petitioners' request for revival under 37 CFR 1.137(a) provides a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this section was unintentional.

Accordingly, the petition to revive under 37 CFR 1.137(a) is **GRANTED**.

This petition is being referred to Technology Center 2400 for further processing in accordance with this decision.

Further correspondence with respect to these matters should be addressed as follows:

⁹ On April 7, 2014, a RCE was filed; however, it was not properly signed. The RCE fee paid under 37 CFR 1.17(e)(1) satisfied the requirements set forth for the micro entity fee. It is acknowledged that a Certification of Micro Entity Status was received on August 29, 2017 and has been properly signed by both inventors. As such, the fee set forth under 37 CFR 1.17(e)(1), which was paid on April 7, 2014, satisfies the fee requirement for the RCE filed on August 29, 2017.

Art Unit: OPET

Mail: Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22314-1450

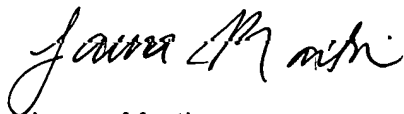
Hand: U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PETITIONS
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Facsimile: (571) 273-8300
Attn: Office of Petitions

Internet: EFS-Web¹⁰

Correspondence regarding this decisions may also be filed through the electronic filing system of the USPTO.

Telephone inquiries concerning this decision should be directed to the undersigned at (571)272-2160.



Laura Martin
Deputy Director
Office of Petitions

¹⁰ www.uspto.gov/ebc/efs_help.html (For help using EFS-Web, call the Patent Electronic Business Center at 866-217-9197.)

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APR 10 2018

1.181 Petition To Director Andrei Iancu

Securable Independent Electronic Document
Serial #12/316,699

~ HISTORY ~

October 2, 2013: Applicant Response

Applicant answered with a reply, noting request for assistance. Applicant understood claims could be helped, yet was unsuccessful in getting any help after talking multiple times with examiner. Applicant amended set of claims to include new claims, which resulted in an improper submission. A three month extension was accepted as Micro Entity.

October 18, 2013: Advisor Action

Claim set amendment did not bring Application into order.

February 7, 2014: Notice of Abandonment

Application abandoned and notice of abandonment mailed

April 7, 2014: Petition for Revival and RCE

Revival and RCE, filing as Micro Entity raised no issued. The total amount of \$335 was paid, having \$300 for RCE and \$35 for the revival. The required fee was unknown, yet the documents needed to be filed with anticipation of a fee correction.

April 30, 2014: Decision

Decision received indicating that another signature was required. Also the 35 fee was for sure not enough. The RCE and its fee were accepted. No issues of Micro Entity were mentioned. I contacted Mr. Wood on several occasions to understand this decision. He informed me that I need to correct the inventorship of the application or have both inventors' signatures.

August 24, 2015: Petition for Correction of Inventorship and Refund.

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1.181 Petition To Director Andrei IancuSecurable Independent Electronic Document
Serial #12/316,699

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~ 5 ~

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APR 10 2018

FAX COVER SHEET

TO	Director Andrei Iancu
COMPANY	USPTO
FAXNUMBER	15712738300
FROM	Brittany Ayala
DATE	2018-04-10 03:56:55 GMT
RE	12/316,699

COVER MESSAGE

37 CFR 1.181 - Renewed Petition to the Director

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APR 09 2018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECOND RENEWED REQUEST FOR RECONSIDERATION

- OF -

PETITION'S DECISIONS - February 7, 2018,

PETITION'S DECISIONS - October 31, 2017,

PETITION'S DECISIONS - June 29, 2017,

PETITION'S DECISIONS - March 7, 2016,

- and -

PETITION'S DECISION - April 30, 2014

- FOR -

37 CFR §1.137(a) - REVIVAL OF ABANDONED APPLICATION - February 6, 2016

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37 CFR §1.136 - EXTENSION OF TIME - August 8, 2016

- AND CONSEQUENT -

37 CFR §1.181 - PETITION TO THE DIRECTOR - August 29, 2017

- AND -

37 CFR §1.181 - RENEWED PETITION TO THE DIRECTOR - January 2, 2018

- AND -

CEASE AND DESIST

- UNDER, this, SUBSEQUENT -

37 CFR §1.181 - SECOND RENEWED PETITION TO THE DIRECTOR

~~~~~

**Securable Independent Electronic Document**

Serial #12/316,699 - December 15, 2008

**MAIL STOP**

**OFFICE OF THE UNDER SECRETARY AND DIRECTOR ANDREI IANCU**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

April 9, 2018

Dear Under Secretary and Director Andrei Iancu, Sirs or Madams:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, petitioning the United States Patent And Trademark Office, under 37 C.F.R. §1.181, Petition To The Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office ("Director"), for review by the Director of this application's various petition decisions dated February 7, 2018, Oct 31, 2017.

...

This renewed petition is the second request from Applicant for The Director's involvement. Applicant, with due diligence and proper candor, petitions the office within the two (2) month reply period per 35 U.S.C. §21(b) and requests reconsideration of various petitions, certifications and office actions.

**1.181 Petition To Director Andrei Iancu**Securable Independent Electronic Document  
Serial #12/316,699

Applicant believes he has presented material properly with good merit and just cause to fulfill the requirements of the current petitions and their relief asked for, but has been prevented from the relief requested by employees of the United States Patent and Trademark Office (USPTO). Applicant has no other recourse with the prosecution process of this application, but to have constant focus on a review from the Director.

~ FOUNDATION ~

**Applicant As Representative**

Applicant, with knowledge and supporting evidence, confirms his ownership of and authority for this application and decisions made therefore. Additionally, Applicant as the sole owner and qualified officer of iBailBonding.Com, is responsible for all correspondence to date.

Applicant, with all documents, with due diligence as he believes to be true and proper, has wholeheartedly commenced the various actions to date in regards to this application's prosecution.

Applicant, unbiased and with fairness, has acted with respect and good candor in a representative position while commencing his work with the USPTO, and does so in full support and honor of joint applicant Douglas Litsey during his time of chosen absence.

**Fees**

Applicant has paid all fees and submitted all correspondence by himself, and should qualify to serve as an official. Certain fees in regard to an extension of time are of key issue. Having the extension of time petition supporting the Application's revival, if past fee payments are considered proper and to guidelines, puts the application back on track.

**Micro Entity**

Applicant filed a Certification of Micro Entity soon after it became available on October 02, 2013, under oath, and has understood and believed to be true that the Application was of Micro Entity Status with the multiple correspondence items and/or fees specifying Micro Entity submitted by Applicant and further received by the Office without objection. Further, as 37 CFR 1.29(g) indicates that Micro Entity status needs only be filed once in an application. Applicant was under the impression the application was that of Micro Entity and would remain as such, having Applicant make mention of with each fee amount submission made to the Office.

37 CFR 1.29(g) reads:

(g) A certification of entitlement to micro entity status need only be filed once in an application or patent

Mr. Wood himself has exchanged several items of correspondence without issue before raising objection in recent decisions. Applicant believes that when the transaction event code 'BCPA' was

Application No. 12/316,699, transaction entry #70

~ 2 ~

1.181 Petition To Director Andrei Iancu

Securable Independent Electronic Document  
Serial #12/316,699

12316699,BCPA,2016-02-06,70, Workflow - Request for CPA - Begin

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Applicant has paid the RCE fee as Micro Entity in the amount of \$300 on April 7, 2014 that Mr. Wood has acknowledged in his latest decision February 2, 2018 that can be applied to and used for payment of the RCE Micro Entity fee amount. This fee, previously paid, could have been used for the fee discrepancy amounts Mr. Wood indicated needed to be paid, if indeed Micro Entity was not established.

With Mr. Wood's decisions based on the application's entity status and the required fee amounts thereof, Applicant respectfully asks the Director to consider Applicant's due diligence and proper candor in the determination of Applicant true belief and intentions and proper procedure in his determination and payment of fees based on the Micro Entity status.

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**1.181 Petition To Director Andrei Iancu**Securable Independent Electronic Document  
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Applicant answered with a reply, noting request for assistance. Applicant understood claims could be helped, yet was unsuccessful in getting any help after talking multiple times with examiner. Applicant amended set of claims to include new claims, which resulted in an improper submission. A three month extension was accepted as Micro Entity.

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## FAX COVER SHEET

|           |                         |
|-----------|-------------------------|
| TO        | Director Andrei Iancu   |
| COMPANY   | USPTO                   |
| FAXNUMBER | 15712738300             |
| FROM      | Brittany Ayala          |
| DATE      | 2018-04-10 03:56:55 GMT |
| RE        | 12/316,699              |

### COVER MESSAGE

37 CFR 1.181 - Renewed Petition to the Director

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APR 09 2018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**Securable Independent Electronic Document**

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**1.181 Petition To Director Andrei Iancu**Securable Independent Electronic Document  
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~ 5 ~

**1.181 Petition To Director Andrei Iancu**Securable Independent Electronic Document  
Serial #12/316,699

Workflow processing of correspondence can be dedicated to specific departments or individuals therefore removing involvement by others requested or otherwise dedicated to specific handling. Mailing processes or other post procedure actions can be manipulated in ways that are not officially designated.

**~ ASSISTANCE OR RESOLUTION UNAVAILABLE ~**

Applicant has been unsuccessful in getting help or resolving the different problems at hand. Many requests for assistance have been made with many different departments, positioned officials or other individuals without success. The different departments or individuals include the Office of the Commissioner For Patents, Pro Se Assistance Program, Inventors Assistance Center, Ombudsman Program as well as a multitude of 'SPE' individuals, having all transfer me to different people when confronted with issues that they can't speak of or otherwise offer solution. Eventually I was told to talk to Mr. Wood as he was the only person that can help me.

Applicant believes he has transacted all necessary times in writing to facilitate the showing of the desire and need for this applications growth. Further Applicant has shown due diligence in this application's prosecution as well as the discovery and reporting of the influential attributes there at the USPTO Office. All items and other information presented in writing in support of this application procedure as well as the Offices unfortunate issues currently at hand are stated, sworn to and presented by Applicant in belief they are true and correct to the best of Applicant's knowledge in accordance to and under jurisdiction of 18 U.S.C. 1001, where applicant is fully aware and in complete agreement to said section's punishment of fine or imprisonment or both for any false statement given.

Assistance from the USPTO goes without written documentation and tracking information, not in accordance with 37 CFR 1.2.

**37 CFR 1.2 - Business to be transacted in writing.**

All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

During the time this application has been abandoned, Applicant tried his best in all fairness the many different ways he felt possible for the successful revival and continued examination of the inscribed application of patent. With Applicant's non-discounted push for said successful return to operation, Applicant became aware of and implemented therefore many different directions talking with many different individuals including numerous discussions with Douglas Wood. It is with the much applicable workings by applicant that the application is in the best position for continuation, having only the substitute Oath or Declaration by Mr. Litsey needed.

MPEP gives director able to ask of more and to-do this and that to facilitate the completed operation in need, in this case the true and proper designation of the true inventor or joint inventors, those now having proper understanding and awareness of the inventors meaning and the applications stated positioning on such. Multiple requests of Applicant for Mr. Litsey to submit a supplemental oath or

~ 6 ~



**1.181 Petition To Director Andrei Iancu**Securable Independent Electronic Document  
Serial #12/316,699

declaration of invention conception and inventorship were unsuccessful. Applicant asks of the Director to implement his authority to request the needed declarations for substitution of the initial declaration presented during application of said invention patent. Applicant's assistance, requested in the past by joint applicant Litsey for the content determination and document generation, is kindly offered if desired as needed to facilitate this applicant's items missing from file.

Understood oath needed, joint inventor Douglas Litsey needs to present his contribution of conception, if any true and proper; such forthcoming information, specifically the job specifics of the relationship. We both become aware of the requirements needed for the proper indication of inventorship. Our contract entered August 26, 2017, a copy of which was included in the August 28, 2017 petition allotment, allowed this application to continue as we have both desired it to do, giving heed and generic acknowledgement of each of us and our position at hand, a currently listed joint inventor, therefore giving way for the application's revival and continued examination. The agreement and acknowledgement document established simply that if an individual is a contributing inventor having substantiating proof thereof, that person has equal rights to the application and in the proof the simple rule that if contract with it's simple establishment of the compel collected With the contract's simple establishment, the divulgence for proof of the various areas of conception contract, the required verification of said such to get the application's prosecution back up and running knew all along that a new oath was needed.

04/30/2014: The Office further accepted the fees for Applicant's Revival and RCE Petition filed April 7, 2014 amounts based on Micro Entity fees schedule amounts totaling 335, 300 for RCE and 35, an amount in error, for the revival of the application based on unintentional delays, submitted by Applicant in error with for Petition on April 7, 2014, presented for RCE and incorrect revival fee of 35.00, which was the only fee Applicant found to be designated. In the petition decision dated 04/30/2014, Mr. Douglas Wood indicated that the Petition For Revival was not accompanied by payment of the fee in the proper amount.

Documents and fees paid in Micro entity on or before April, 2014, having the application certified as Micro Entity. Previous petitions and their fees were accepted as Micro Entity during earlier times in the application having the entity status changed on or around April, 2014 because of a (Continued Prosecution of Application workflow request filed 02-06-2016. with fees accepted in Fees paid in full for all Applicant's correspondence, as Micro Entity, set before 2013 and allowed as such with the acceptance of said correspondence and fee's in amounts supporting micro entity. The Office has accepted past correspondence and required fee's from Applicant, having all such fees paid to date in excess of the various fee's total amount required.

Applicant submitted a Request For Refund of this excess fee amount along with the fees paid for the RCE petition on With this excess amount paid Further, with this excess paid fee's paid amount total, Applicant had requested a refund totaling amount of the by Applicant, having supporting accordingly. No asking of additional parts to be supplied (lacking thereof) only reconsideration of the referencing petition decision.

Applicant requests that review of this application's file be by the Director and/or his designee and be that of an individual signing the decision as an attorney currently holding a valid license of practice,

**1.181 Petition To Director Andrei Iancu**

Securable Independent Electronic Document  
Serial #12/316,699

having the under secretary's signature of such designation. Applicant does not want a decision signed only by an advisor.

**~ CONCLUSION ~**

Applicant contacted all the different departments possible but was not assisted in these matters and with no help or success and therefore kindly, with respect, ask the Under Secretary And Director for assistance.

Thank you.

Respectfully submitted,

/JohnLewisGuymonJr

\_\_\_\_\_  
Applicant

- - - - - **Certificate of Mailing** - - - - -  
I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, April 9, 2018.

/JohnLewisGuymonJr

\_\_\_\_\_  
Applicant

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### FAX COVER SHEET

|           |                         |
|-----------|-------------------------|
| TO        | Director Andrei Iancu   |
| COMPANY   | USPTO                   |
| FAXNUMBER | 15712738300             |
| FROM      | Brittany Ayala          |
| DATE      | 2018-04-10 03:53:15 GMT |
| RE        | 12/316,699              |

### COVER MESSAGE

PlaseseeattachedPetition.



# UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451 7590 02/07/2018  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

|          |
|----------|
| EXAMINER |
|----------|

ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
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2494

| MAIL DATE | DELIVERY MODE |
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02/07/2018

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
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In re Application of :  
Guymon et al. :  
Application No. 12/316,699 : DECISION ON PETITION  
Filed: 12/15/2008 :  
For: SECURABLE INDEPENDENT :  
ELECTRONIC DOCUMENT :

This is a decision on the papers filed January 2, 2018, which are treated as a (1) a petition under 37 CFR 1.183 to waive the rules and accept papers which are not signed by all inventors; (2) a renewed petition to revive the above-identified application under 37 CFR 1.137(a), and (3) a petition under 37 CFR 1.181 and 1.136.

The petitions are again **dismissed**. *However, the dismissal is without prejudice, and applicant may request reconsideration of the petition under 37 CFR 1.137(a) upon submission of the proper petition fee.*

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition." This is not a final agency decision.

In response to the decision mailed October 31, 2017, petitioners have filed a request for reconsideration. Petitioners states that reconsideration is requested of petitions under 37 CFR §§ 1.137(a), 1.114, 1.129, 1.48, 1.183, 1.136 and 1.181. Petitions, however, may only be taken under 37 CFR §§ 1.137(a), 1.183, 1.136, and 1.181. The petition will therefore be considered as a renewed petition under those rules.

At the outset, it is noted that the subject petition is not properly signed as it is signed only by inventor Guymon and therefore does not comply with 37 CFR 1.33(b). However, under the circumstances the petition will be answered.

**Petition Under 37 CFR 1.137(a)**

The application became abandoned September 3, 2013, for failure to timely and properly respond to the final Office action mailed April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection was filed, accompanied by a request for a three (3)-month extension of time in accordance with 37 CFR 1.136(a) and payment of the fee in the micro entity amount. However, the Certification of Micro Entity Status filed October 2, 2013 was signed by only one of the joint inventors and was therefore not effective. Therefore, the extension of time fee payment of \$350 filed October 2,

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2013, was only sufficient for a two (2)-month extension of time at the small entity amount, and was treated as a request for a two (2)-month extension of time. On October 18, 2013, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed October 2, 2013, fails to place the application in condition for allowance. As such, the reply filed October 2, 2013 was both an untimely and an improper reply to the final Office action mailed April 2, 2013. A Notice of Abandonment was mailed on February 7, 2014. On April 7, 2014, a paper styled as a petition to revive the application was filed, accompanied by a Request for Continued Examination and payment of the RCE fee in the micro entity amount. On April 30, 2014, the petition was dismissed. On February 6, 2016, a renewed petition was filed. On March 7, 2016, the renewed petition was dismissed. On August 8, 2016, a renewed petition was filed. On June 29, 2017, the petition was dismissed. On August 29, 2017, a renewed petition was filed. On October 31, 2017, the petition was dismissed.

Petitioner asserts that the petition form filed August 29, 2017 is properly signed on behalf of both inventors, and that the Office improperly dismissed the petition as not being properly signed.

Petitioner's argument is correct to the extent indicated. A review of the record reveals that the Form PTO/SB/64 Petition to Revive form filed August 29, 2017 was signed by both inventors. Thus, the petition was properly signed. However, the petition was dismissed as being an untimely renewed petition: A petition to revive with the petition fee was initially filed February 6, 2016. The petition was dismissed by decision mailed March 7, 2016, however, because it was not properly signed and because the RCE was not properly signed, and the RCE fee had not been properly paid in the micro entity amount as a result of the lack of a proper micro entity status certification signed by both inventors. The decision mailed March 7, 2016 stated that applicant was given two months to reply, and that extensions of time in accordance with 37 CFR 1.136(a) were available. On August 8, 2016, a renewed petition was filed, but was not accompanied by the required extension of time fee. On June 29, 2017, a decision was mailed indicating the required extension of time fee had not been submitted. The renewed petition under 37 CFR 1.137(a) submitted August 29, 2017 was properly signed, but was not accompanied by the proper extension of time fee and a properly signed micro entity status certification.

The decision mailed October 31, 2017 stated that petitioner had two (2) months from the date of mailing of the decision to submit proof that the required extension of time fee was timely paid if petitioner feels the dismissal was mailed in error, or alternatively submit a new petition under 37 CFR 1.137(a), accompanied by payment of the petition fee. Petitioner, however, neither submitted proof that extension of time fee was timely paid nor paid an additional petition fee.

The extendable period for reply to the decision mailed March 7, 2016 has expired. The Office will not reach the merits of a request for reconsideration of the merits of a request for reconsideration of a decision dismissing a petition under 37 CFR 1.137(a) filed outside of the two-month period for reply, absent payment of the required extension of time fee.

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Petitioner is not precluded from filing a new petition that meets all of the requirements of 1.137(a) including a statement that the “entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional”. Payment of the petition fee set forth in 1.137(b)(2) is required with the filing of the new petition. ***It is recommended that petitioner submit a new petition under 37 CFR 1.137(a) accompanied by the required fee (\$500.00 for a micro entity). The petition must be signed by both inventors.***

Petitioner is advised that effective December 18, 2013, petitions to revive an abandoned application under 37 CFR 1.137(a) are on the basis of *unintentional* delay. *See Changes to Implement the Patent Law Treaty*; Final Rule, 78 Fed. Reg. 62368, 62382 (October 21, 2013). Accordingly, applicant should complete and submit the attached petition form. The petition form must be properly signed by all of the inventors.

Receipt of the RCE fee in the micro entity amount is acknowledged. In view of receipt of the Micro Entity Status Form signed by both inventors filed August 29, 2017, the RCE fee may be accepted in the micro entity amount if accompanied by submission of a proper petition to revive and petition fee.

#### **Request to Waive the Rules under 37 CFR 1.183 and 1.48**

Petitioner states that the petition under 37 CFR 1.183 is renewed, but has not provided any additional arguments. In view of the signature of inventor Litsey on the petition, RCE, and amendment, it appears that inventor Litsey has agreed to join in the submission of the papers necessary to petition for revival of the application, but has not signed a request indicating that he should be removed as an inventor from the application. The petition will therefore be treated as a renewed petition again arguing that inventor Guymon believes his contribution to the inventorship of the application was greater than that of inventor Litsey, and that it has been difficult for him to obtain the signature of inventor Litsey.

At the outset, the paper on which this petition is filed is not signed by all of the applicants. Pursuant to 37 CFR 1.33(b), amendments and other papers filed in the application must be signed by all of the joint inventors (applicants), a registered patent practitioner, or an assignee as provided for under 37 CFR 3.71(b).

With regard to the petition to waive the rules, 37 CFR 1.183 states

In an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be suspended or waived by the Director or the Director's designee, *sua sponte*, or on petition of the interested party, subject to such other requirements as may be imposed. Any petition under this section must be accompanied by the petition fee set forth in § 1.17(f).

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Petitioner's argument has been considered, but is not persuasive. While petitioner has not requested reconsideration of the request under 37 CFR 1.48, petitioner Guymon appears to still argue that there is a dispute as to the ownership. In this regard, 37 CFR 1.183 permits the Director to waive a rule when extraordinary circumstances exist and justice requires waiver of the rule. Applicant has not shown the existence of any extraordinary circumstances, however, where justice requires waiver of the rule requiring all inventors or their representatives sign amendments or other papers. Where, as here and as stated previously, the question of inventorship between the diverse parties is vigorously contested, the USPTO will not relax the requirement of 37 CFR 1.48 by way of 37 CFR 1.183.<sup>1</sup> As petitioners have not adequately shown that either an extraordinary situation is herein present or that "justice requires" waiver, the petition for waiver is dismissed.

It would be contrary to the intent of the regulations to permit, *ex parte*, by waiver of the rules, Petitioner to unilaterally extinguish the property rights that Litsey has in this application. Litsey, as a joint inventor, has a property right in this application that can be canceled, revoked, or annulled *only* by proceedings that afford due process of law.<sup>2</sup> An *ex parte* proceeding in the USPTO is not the appropriate forum for the contested extinction of a property right in an application.<sup>3</sup> That is especially so in, as here, the presence of the express objection of the party whose interest(s) is being extinguished.<sup>4</sup> It is well settled that the Office is not the appropriate forum for resolving a dispute concerning the ownership of an application, and, as such, the USPTO will not permit itself to become embroiled in ownership disputes.<sup>5</sup> Likewise, permitting the acceptance of amendments or other papers signed only by Guymon, without Litsey's assent, would prejudice Litsey's property rights in the application. Lastly, in circumstances as herein, the USPTO seeks to preserve the rights of all parties to the extent it is able.

Additionally, with regard to the difficulties described in having both inventors sign papers filed in the Office, 37 CFR 1.32(c)(1) as applicable to applications for patent filed before September 16, 2012 states that a power of attorney may name one or more joint inventors as representative. As such, the joint inventors may agree to give power of attorney to one or more joint inventors. Guymon and Litsey may therefore *agree jointly* to appoint Guymon a power of attorney. See also MPEP 402(I).

Accordingly, the petition is again **dismissed**.

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<sup>1</sup> See *In re Cooper*, 230 USPQ 638, 639 (Comm'r Pat. 1985).

<sup>2</sup> *Iowa State Univ. Research. Found. v. Sperry Rand Corp.*, 444 F.2d 406, 410, 170 USPQ 374, 377 (4<sup>th</sup> Cir. 1971).

<sup>3</sup> See *Baker Hughes, Inc. v. Kirk*, 921 F.Supp. 801 (D.D.C. 1995).

<sup>4</sup> *Id.*; *In re Hardee*, 223 USPQ 1122 (Comm'r Pat. 1984).

<sup>5</sup> See *Ex parte Harrison*, 1925 Dec. Comm'r Pat. 122, 123 (Comm'r Pat. 1924); *In re Moeller*, 1904 Dec. Comm'r Pat. 70, 71 (Comm'r Pat. 1904); *Ex parte McTammany*, 1900 Dec. Comm'r Pat. 168, 181 (Comm'r Pat. 1900); *Ex parte McFarlane*, 1896 Dec. Comm'r Pat. 37, 38 (Comm'r Pat. 1896); *Ex parte Gallatin*, 1892 Dec. Comm'r Pat. 106 (Comm'r Pat. 1892).





Application/Control Number: 12/316,699

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By internet: EFS-Web<sup>6</sup>

Correspondence regarding this decision may also be filed through the electronic filing system of the USPTO.

Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

/dwood/

Douglas I. Wood  
Attorney Advisor  
Office of Petitions

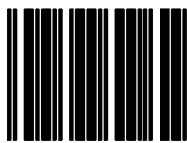
Encl: PTO/SB/64 (01-18) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)

Current USPTO Fee Schedule

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<sup>6</sup> [www.uspto.gov/ebc/efs\\_help.html](http://www.uspto.gov/ebc/efs_help.html) (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

## Office of Petitions: Routing Sheet



4 7 0 0

Application No. 12316699

**This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.**

**GRANTED**

**DISMISSED**

**DENIED**

# USPTO Fee Schedule

Effective January 16, 2018

The fee schedule provides information and fee rates for USPTO's products and services. All payments must be paid in U.S. dollars for the full amount of the fee required. View the [Accepted payment methods](#) page or call the USPTO Contact Center at 571-272-1000 or 800-786-9199 for assistance.

**Warning about non-USPTO solicitations** requesting payments that are sent by numerous companies unaffiliated with the USPTO.

## Patent Fees

The fees subject to reduction upon establishment of small entity status (37 CFR 1.27) or micro entity status (37 CFR 1.29) are shown in separate columns. Except for provisional applications, each application for a patent requires the appropriate search fee and examination fee in addition to the appropriate fee(s) in the "Patent Application Filing Fees" section below. This means each fee listed as a "Basic filing fee" in the "Patent Application Filing Fees" section should be accompanied by the appropriate search fee listed in the "Patent Search Fees" section as well as the appropriate examination fee listed in the "Patent Examination Fees" section. The \$400/\$200 non-electronic filing fee (fee codes 1090/2090/3090 or 1690/2690/3690) must be paid in addition to the filing, search and examination fees, in each original nonprovisional utility application filed in paper with the USPTO. The only way to avoid payment of the non-electronic filing fee is by filing your nonprovisional utility application via EFS-Web. The non-electronic filing fee does not apply to reissue, design, plant, or provisional applications.

| Patent Application Filing Fees |         |                                                                                                                                                           |        |                  |                  |
|--------------------------------|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------|------------------|------------------|
| Fee Code                       | 37 CFR  | Description                                                                                                                                               | Fee    | Small Entity Fee | Micro Entity Fee |
| 1011/2011/3011                 | 1.16(a) | Basic filing fee - Utility (paper filing also requires non-electronic filing fee under 1.16(t))                                                           | 300.00 | 150.00           | 75.00            |
| 4011t                          | 1.16(a) | Basic filing fee - Utility (electronic filing for small entities)                                                                                         | n/a    | 75.00            | n/a              |
| 1012/2012/3012                 | 1.16(b) | Basic filing fee - Design                                                                                                                                 | 200.00 | 100.00           | 50.00            |
| 1017/2017/3017                 | 1.16(b) | Basic filing fee - Design (CPA)                                                                                                                           | 200.00 | 100.00           | 50.00            |
| 1013/2013/3013                 | 1.16(c) | Basic filing fee - Plant                                                                                                                                  | 200.00 | 100.00           | 50.00            |
| 1005/2005/3005                 | 1.16(d) | Provisional application filing fee                                                                                                                        | 280.00 | 140.00           | 70.00            |
| 1014/2014/3014                 | 1.16(e) | Basic filing fee - Reissue                                                                                                                                | 300.00 | 150.00           | 75.00            |
| 1019/2019/3019                 | 1.16(e) | Basic filing fee - Reissue (CPA)                                                                                                                          | 300.00 | 150.00           | 75.00            |
| 1051/2051/3051                 | 1.16(f) | Surcharge - Late filing fee, search fee, examination fee, inventor's oath or declaration, or application filed without at least one claim or by reference | 160.00 | 80.00            | 40.00            |
| 1052/2052/3052                 | 1.16(g) | Surcharge - Late provisional filing fee or cover sheet                                                                                                    | 60.00  | 30.00            | 15.00            |
| 1201/2201/3201                 | 1.16(h) | Each independent claim in excess of three                                                                                                                 | 460.00 | 230.00           | 115.00           |
| 1204/2204/3204                 | 1.16(h) | Each reissue independent claim in excess of three                                                                                                         | 460.00 | 230.00           | 115.00           |
| 1202/2202/3202                 | 1.16(i) | Each claim in excess of 20                                                                                                                                | 100.00 | 50.00            | 25.00            |
| 1205/2205/3205                 | 1.16(i) | Each reissue claim in excess of 20                                                                                                                        | 100.00 | 50.00            | 25.00            |
| 1203/2203/3203                 | 1.16(j) | Multiple dependent claim                                                                                                                                  | 820.00 | 410.00           | 205.00           |

## USPTO Fee Schedule

| 1081/2081/3081                                                  | 1.16(s)    | Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets     | 400.00    | 200.00           | 100.00           |
|-----------------------------------------------------------------|------------|------------------------------------------------------------------------------------------|-----------|------------------|------------------|
| 1082/2082/3082                                                  | 1.16(s)    | Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets      | 400.00    | 200.00           | 100.00           |
| 1083/2083/3083                                                  | 1.16(s)    | Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets       | 400.00    | 200.00           | 100.00           |
| 1084/2084/3084                                                  | 1.16(s)    | Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets     | 400.00    | 200.00           | 100.00           |
| 1085/2085/3085                                                  | 1.16(s)    | Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets | 400.00    | 200.00           | 100.00           |
| 1090/2090/3090                                                  | 1.16(t)    | Non-electronic filing fee — Utility (additional fee for applications filed in paper)     | 400.00    | 200.00           | 200.00           |
| 1053/2053/3053                                                  | 1.17(i)(1) | Non-English translation                                                                  | 140.00    | 70.00            | 35.00            |
| 1091/2091/3091                                                  | 1.21(o)(1) | Submission of sequence listings of 300MB to 800MB                                        | 1,000.00  | 500.00           | 250.00           |
| 1092/2092/3092                                                  | 1.21(o)(2) | Submission of sequence listings of more than 800MB                                       | 10,000.00 | 5,000.00         | 2,500.00         |
| † The 4000 series fee code may be used via EFS-Web              |            |                                                                                          |           |                  |                  |
| <b>Patent Search Fees</b>                                       |            |                                                                                          |           |                  |                  |
| Fee Code                                                        | 37 CFR     | Description                                                                              | Fee       | Small Entity Fee | Micro Entity Fee |
| 1111/2111/3111                                                  | 1.16(k)    | Utility Search Fee                                                                       | 660.00    | 330.00           | 165.00           |
| 1112/2112/3112                                                  | 1.16(l)    | Design Search Fee                                                                        | 160.00    | 80.00            | 40.00            |
| 1113/2113/3113                                                  | 1.16(m)    | Plant Search Fee                                                                         | 420.00    | 210.00           | 105.00           |
| 1114/2114/3114                                                  | 1.16(n)    | Reissue Search Fee                                                                       | 660.00    | 330.00           | 165.00           |
| <b>Patent Examination Fees</b>                                  |            |                                                                                          |           |                  |                  |
| Fee Code                                                        | 37 CFR     | Description                                                                              | Fee       | Small Entity Fee | Micro Entity Fee |
| 1311/2311/3311                                                  | 1.16(o)    | Utility Examination Fee                                                                  | 760.00    | 380.00           | 190.00           |
| 1312/2312/3312                                                  | 1.16(p)    | Design Examination Fee                                                                   | 600.00    | 300.00           | 150.00           |
| 1313/2313/3313                                                  | 1.16(q)    | Plant Examination Fee                                                                    | 620.00    | 310.00           | 155.00           |
| 1314/2314/3314                                                  | 1.16(r)    | Reissue Examination Fee                                                                  | 2,200.00  | 1,100.00         | 550.00           |
| <b>Patent Post-Allowance Fees</b>                               |            |                                                                                          |           |                  |                  |
| Fee Code                                                        | 37 CFR     | Description                                                                              | Fee       | Small Entity Fee | Micro Entity Fee |
| 1501/2501/3501                                                  | 1.18(a)(1) | Utility issue fee                                                                        | 1,000.00  | 500.00           | 250.00           |
| 1511/2511/3511                                                  | 1.18(a)(1) | Reissue issue fee                                                                        | 1,000.00  | 500.00           | 250.00           |
| 1502/2502/3502                                                  | 1.18(b)(1) | Design issue fee                                                                         | 700.00    | 350.00           | 175.00           |
| 1503/2503/3503                                                  | 1.18(c)(1) | Plant issue fee                                                                          | 800.00    | 400.00           | 200.00           |
| n/a                                                             | 1.18(d)(1) | Publication fee for early, voluntary, or normal publication                              | 0.00      | 0.00             | 0.00             |
| 1505/2505/3505                                                  | 1.18(d)(3) | Publication fee for republication                                                        | 300.00    | 300.00           | 300.00*          |
| * Third-party filers are not eligible for the micro entity fee. |            |                                                                                          |           |                  |                  |

USPTO Fee Schedule

| Patent Extension of Time Fees |            |                                                                                         |          |                  |                    |
|-------------------------------|------------|-----------------------------------------------------------------------------------------|----------|------------------|--------------------|
| Fee Code                      | 37 CFR     | Description                                                                             | Fee      | Small Entity Fee | Micro Entity Fee   |
| 1251/2251/3251                | 1.17(a)(1) | Extension for response within first month                                               | 200.00   | 100.00           | 50.00              |
| 1252/2252/3252                | 1.17(a)(2) | Extension for response within second month                                              | 600.00   | 300.00           | 150.00             |
| 1253/2253/3253                | 1.17(a)(3) | Extension for response within third month                                               | 1,400.00 | 700.00           | 350.00             |
| 1254/2254/3254                | 1.17(a)(4) | Extension for response within fourth month                                              | 2,200.00 | 1,100.00         | 550.00             |
| 1255/2255/3255                | 1.17(a)(5) | Extension for response within fifth month                                               | 3,000.00 | 1,500.00         | 750.00             |
| Patent Maintenance Fees       |            |                                                                                         |          |                  |                    |
| Fee Code                      | 37 CFR     | Description                                                                             | Fee      | Small Entity Fee | Micro Entity Fee   |
| 1551/2551/3551                | 1.20(e)    | For maintaining an original or any reissue patent, due at 3.5 years                     | 1,600.00 | 800.00           | 400.00             |
| 1552/2552/3552                | 1.20(f)    | For maintaining an original or any reissue patent, due at 7.5 years                     | 3,600.00 | 1,800.00         | 900.00             |
| 1553/2553/3553                | 1.20(g)    | For maintaining an original or any reissue patent, due at 11.5 years                    | 7,400.00 | 3,700.00         | 1,850.00           |
| 1554/2554/3554                | 1.20(h)    | Surcharge - 3.5 year - Late payment within 6 months                                     | 160.00   | 80.00            | 40.00              |
| 1555/2555/3555                | 1.20(h)    | Surcharge - 7.5 year - Late payment within 6 months                                     | 160.00   | 80.00            | 40.00              |
| 1556/2556/3556                | 1.20(h)    | Surcharge - 11.5 year - Late payment within 6 months                                    | 160.00   | 80.00            | 40.00              |
| 1558/2558/3558                | 1.17(m)    | Petition for the delayed payment of the fee for maintaining a patent in force           | 2,000.00 | 1,000.00         | 500.00             |
| Miscellaneous Patent Fees     |            |                                                                                         |          |                  |                    |
| Fee Code                      | 37 CFR     | Description                                                                             | Fee      | Small Entity Fee | Micro Entity Fee   |
| 1817/2817/3817                | 1.17(c)    | Request for prioritized examination                                                     | 4,000.00 | 2,000.00         | 1,000.00           |
| 1819/2819/3819                | 1.17(d)    | Correction of inventorship after first action on merits                                 | 600.00   | 300.00           | 150.00             |
| 1801/2801/3801                | 1.17(e)(1) | Request for continued examination (RCE) - 1st request (see 37 CFR 1.114)                | 1,300.00 | 650.00           | 325.00             |
| 1820/2820/3820                | 1.17(e)(2) | Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114) | 1,900.00 | 950.00           | 475.00             |
| 1830/2830/3830                | 1.17(i)(1) | Processing fee, except in provisional applications                                      | 140.00   | 70.00            | 35.00              |
| 1808/2808/3808                | 1.17(i)(2) | Other publication processing fee                                                        | 130.00   | 130.00           | 130.00             |
| 1803/2803/3803                | 1.17(i)(2) | Request for voluntary publication or republication                                      | 130.00   | 130.00           | <del>130.00*</del> |
| 1802/2802/3802                | 1.17(k)    | Request for expedited examination of a design application                               | 900.00   | 450.00           | 225.00             |
| 1818/2818                     | 1.17(o)    | Document fee for third-party submissions (see 37 CFR 1.290(f))                          | 180.00   | 90.00            | n/a*               |
| 1806/2806/3806                | 1.17(p)    | Submission of an Information Disclosure Statement                                       | 240.00   | 120.00           | 60.00              |
| 1807/2807/3807                | 1.17(q)    | Processing fee for provisional applications                                             | 50.00    | 50.00            | 50.00              |

USPTO Fee Schedule

|                |         |                                                                    |        |        |        |
|----------------|---------|--------------------------------------------------------------------|--------|--------|--------|
| 1809/2809/3809 | 1.17(r) | Filing a submission after final rejection (see 37 CFR 1.129(a))    | 840.00 | 420.00 | 210.00 |
| 1810/2810/3810 | 1.17(s) | For each additional invention to be examined (see 37 CFR 1.129(b)) | 840.00 | 420.00 | 210.00 |

\* Third-party filers are not eligible for the micro entity fee.

**Post Issuance Fees**

| Fee Code       | 37 CFR         | Description                                                                                                                               | Fee       | Small Entity Fee | Micro Entity Fee |
|----------------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------------|------------------|
| 1811/2811/3811 | 1.20(a)        | Certificate of correction                                                                                                                 | 150.00    | 150.00           | 150.00           |
| 1816/2816/3816 | 1.20(b)        | Processing fee for correcting inventorship in a patent                                                                                    | 150.00    | 150.00           | 150.00           |
| 1831/2831/3831 | 1.20(c)(1)     | Ex parte reexamination (§ 1.510(a)) Streamlined                                                                                           | 6,000.00  | 3,000.00         | <u>1,500.00*</u> |
| 1812/2812/3812 | 1.20(c)(2)     | Ex parte reexamination (§ 1.510(a)) Non-streamlined                                                                                       | 12,000.00 | 6,000.00         | <u>3,000.00*</u> |
| 1821/2821/3821 | 1.20(c)(3)     | Each reexamination independent claim in excess of three and also in excess of the number of such claims in the patent under reexamination | 460.00    | 230.00           | 115.00           |
| 1822/2822/3822 | 1.20(c)(4)     | Each reexamination claim in excess of 20 and also in excess of the number of claims in the patent under reexamination                     | 100.00    | 50.00            | 25.00            |
| 1814/2814/3814 | 1.20(d)        | Statutory disclaimer, including terminal disclaimer                                                                                       | 160.00    | 160.00           | 160.00           |
| 1826/2826/3826 | 1.20(k)(1)     | Request for supplemental examination                                                                                                      | 4,400.00  | 2,200.00         | 1,100.00         |
| 1827/2827/3827 | 1.20(k)(2)     | Reexamination ordered as a result of supplemental examination                                                                             | 12,100.00 | 6,050.00         | 3,025.00         |
| 1828/2828/3828 | 1.20(k)(3)(i)  | Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets                                       | 180.00    | 90.00            | 45.00            |
| 1829/2829/3829 | 1.20(k)(3)(ii) | Supplemental Examination Document Size Fee - for each additional 50 sheets or a fraction thereof in a nonpatent document                  | 280.00    | 140.00           | 70.00            |

\* Third-party filers are not eligible for the micro entity fee.

**Patent Trial and Appeal Fees**

| Fee Code       | 37 CFR          | Description                                                                              | Fee       | Small Entity Fee | Micro Entity Fee |
|----------------|-----------------|------------------------------------------------------------------------------------------|-----------|------------------|------------------|
| 1405/2405/3405 | 41.20(a)        | Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3                     | 400.00    | 400.00           | 400.00           |
| 1401/2401/3401 | 41.20(b)(1)     | Notice of appeal                                                                         | 800.00    | 400.00           | <u>200.00*</u>   |
| n/a            | 41.20(b)(2)(i)  | Filing a brief in support of an appeal                                                   | 0.00      | 0.00             | 0.00             |
| 1404/2404/3404 | 41.20(b)(2)(ii) | Filing a brief in support of an appeal in an inter partes reexamination proceeding       | 2,000.00  | 1,000.00         | <u>500.00*</u>   |
| 1403/2403/3403 | 41.20(b)(3)     | Request for oral hearing                                                                 | 1,300.00  | 650.00           | <u>325.00*</u>   |
| 1413/2413/3413 | 41.20(b)(4)     | Forwarding an appeal in an application or ex parte reexamination proceeding to the Board | 2,240.00  | 1,120.00         | <u>560.00*</u>   |
| 1406/2406/3406 | 42.15(a)(1)     | Inter partes review request fee - Up to 20 claims                                        | 15,500.00 | 15,500.00        | 15,500.00        |

USPTO Fee Schedule

| 1414/2414/3414                                                  | 42.15(a)(2)   | Inter partes review post-institution fee - Up to 15 claims                                                                                                                                                 | 15,000.00  | 15,000.00               | 15,000.00               |
|-----------------------------------------------------------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------|-------------------------|
| 1407/2407/3407                                                  | 42.15(a)(3)   | Inter partes review request of each claim in excess of 20                                                                                                                                                  | 300.00     | 300.00                  | 300.00                  |
| 1415/2415/3415                                                  | 42.15(a)(4)   | Inter partes post-institution request of each claim in excess of 15                                                                                                                                        | 600.00     | 600.00                  | 600.00                  |
| 1408/2408/3408                                                  | 42.15(b)(1)   | Post-grant or covered business method review request fee - Up to 20 claims                                                                                                                                 | 16,000.00  | 16,000.00               | 16,000.00               |
| 1416/2416/3416                                                  | 42.15(b)(2)   | Post-grant or covered business method review post-institution fee - Up to 15 claims                                                                                                                        | 22,000.00  | 22,000.00               | 22,000.00               |
| 1409/2409/3409                                                  | 42.15(b)(3)   | Post-grant or covered business method review request of each claim in excess of 20                                                                                                                         | 375.00     | 375.00                  | 375.00                  |
| 1417/2417/3417                                                  | 42.15(b)(4)   | Post-grant or covered business method review post-institution request of each claim in excess of 15                                                                                                        | 825.00     | 825.00                  | 825.00                  |
| 1412/2412/3412                                                  | 42.15(c)(1)   | Petition for a derivation proceeding                                                                                                                                                                       | 400.00     | 400.00                  | 400.00                  |
| 1411/2411/3411                                                  | 42.15(d)      | Request to make a settlement agreement available and other requests filed in a patent trial proceeding                                                                                                     | 400.00     | 400.00                  | 400.00                  |
| * Third-party filers are not eligible for the micro entity fee. |               |                                                                                                                                                                                                            |            |                         |                         |
| <b>Patent Petition Fees</b>                                     |               |                                                                                                                                                                                                            |            |                         |                         |
| <b>Fee Code</b>                                                 | <b>37 CFR</b> | <b>Description</b>                                                                                                                                                                                         | <b>Fee</b> | <b>Small Entity Fee</b> | <b>Micro Entity Fee</b> |
| 1462/2462/3462                                                  | 1.17(f)       | Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)                                                                                                                                 | 400.00     | 200.00                  | 100.00                  |
| 1463/2463/3463                                                  | 1.17(g)       | Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)                                                                                                                                | 200.00     | 100.00                  | 50.00                   |
| 1464/2464/3464                                                  | 1.17(h)       | Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)                                                                                                                               | 140.00     | 70.00                   | 35.00                   |
| 1453/2453/3453                                                  | 1.17(m)       | Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding | 2,000.00   | 1,000.00                | 500.00                  |
| 1454/2454/3454                                                  | 1.17(m)       | Petition for the delayed submission of a priority or benefit claim                                                                                                                                         | 2,000.00   | 1,000.00                | 500.00                  |
| 1784/2784/3784                                                  | 1.17(m)       | Petition to excuse applicant's failure to act within prescribed time limits in an international design application                                                                                         | 2,000.00   | 1,000.00                | 500.00                  |
| 1783/2783/3783                                                  | 1.17(t)       | Petition to convert an international design application to a design application under 35 U.S.C. chapter 16                                                                                                 | 180.00     | 90.00                   | 45.00                   |
| 1455/2455/3455                                                  | 1.18(e)       | Filing an application for patent term adjustment                                                                                                                                                           | 200.00     | 200.00                  | 200.00                  |
| 1456/2456/3456                                                  | 1.18(f)       | Request for reinstatement of term reduced                                                                                                                                                                  | 400.00     | 400.00                  | 400.00                  |
| 1824/2824/3824                                                  | 1.20(c)(6)    | Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d)                                                                                          | 1,940.00   | 970.00                  | <u>485.00*</u>          |
| 1457/2457/3457                                                  | 1.20(j)(1)    | Extension of term of patent                                                                                                                                                                                | 1,120.00   | 1,120.00                | 1,120.00                |



USPTO Fee Schedule

|                |            |                                                                 |        |        |        |
|----------------|------------|-----------------------------------------------------------------|--------|--------|--------|
| 1458/2458/3458 | 1.20(j)(2) | Initial application for interim extension (see 37 CFR 1.790)    | 420.00 | 420.00 | 420.00 |
| 1459/2459/3459 | 1.20(j)(3) | Subsequent application for interim extension (see 37 CFR 1.790) | 220.00 | 220.00 | 220.00 |

\* Third-party filers are not eligible for the micro entity fee.

| PCT Fees - National Stage |             |                                                                                                         |        |                  |                  |
|---------------------------|-------------|---------------------------------------------------------------------------------------------------------|--------|------------------|------------------|
| Fee Code                  | 37 CFR      | Description                                                                                             | Fee    | Small Entity Fee | Micro Entity Fee |
| 1631/2631/3631            | 1.492(a)    | Basic National Stage Fee                                                                                | 300.00 | 150.00           | 75.00            |
| n/a                       | 1.492(b)(1) | National Stage Search Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)       | 0.00   | 0.00             | 0.00             |
| 1641/2641/3641            | 1.492(b)(2) | National Stage Search Fee - U.S. was the ISA                                                            | 140.00 | 70.00            | 35.00            |
| 1642/2642/3642            | 1.492(b)(3) | National Stage Search Fee - search report prepared and provided to USPTO                                | 520.00 | 260.00           | 130.00           |
| 1632/2632/3632            | 1.492(b)(4) | National Stage Search Fee - all other situations                                                        | 660.00 | 330.00           | 165.00           |
| n/a                       | 1.492(c)(1) | National Stage Examination Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)  | 0.00   | 0.00             | 0.00             |
| 1633/2633/3633            | 1.492(c)(2) | National Stage Examination Fee - all other situations                                                   | 760.00 | 380.00           | 190.00           |
| 1614/2614/3614            | 1.492(d)    | Each independent claim in excess of three                                                               | 460.00 | 230.00           | 115.00           |
| 1615/2615/3615            | 1.492(e)    | Each claim in excess of 20                                                                              | 100.00 | 50.00            | 25.00            |
| 1616/2616/3616            | 1.492(f)    | Multiple dependent claim                                                                                | 820.00 | 410.00           | 205.00           |
| 1617/2617/3617            | 1.492(h)    | Search fee, examination fee or oath or declaration after the date of commencement of the national stage | 140.00 | 70.00            | 35.00            |
| 1618/2618/3618            | 1.492(i)    | English translation after thirty months from priority date                                              | 140.00 | 70.00            | 35.00            |
| 1681/2681/3681            | 1.492(j)    | National Stage Application Size Fee - for each additional 50 sheets that exceeds 100 sheets             | 400.00 | 200.00           | 100.00           |

| PCT Fees - International Stage |                    |                                                                                                                |          |                  |                  |
|--------------------------------|--------------------|----------------------------------------------------------------------------------------------------------------|----------|------------------|------------------|
| Fee Code                       | 37 CFR             | Description                                                                                                    | Fee      | Small Entity Fee | Micro Entity Fee |
| 1601/2601/3601                 | 1.445(a)(1)(i)(A)  | Transmittal fee                                                                                                | 240.00   | 120.00           | 60.00            |
| 1690/2690/3690                 | 1.445(a)(1)(ii)    | Non-electronic filing fee (additional fee for applications filed in paper)                                     | 400.00   | 200.00           | 200.00           |
| 1602/2602/3602                 | 1.445(a)(2)(i)     | Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) | 2,080.00 | 1,040.00         | 520.00           |
| 1604/2604/3604                 | 1.445(a)(3)(i)     | Supplemental search fee when required, per additional invention                                                | 2,080.00 | 1,040.00         | 520.00           |
| 1621/2621/3621                 | 1.445(a)(4)(i)     | Transmitting application to Intl. Bureau to act as receiving office                                            | 240.00   | 120.00           | 60.00            |
| 1605/2605/3605                 | 1.482(a)(1)(i)(A)  | Preliminary examination fee - U.S. was the ISA                                                                 | 600.00   | 300.00           | 150.00           |
| 1606/2606/3606                 | 1.482(a)(1)(ii)(A) | Preliminary examination fee - U.S. was not the ISA                                                             | 760.00   | 380.00           | 190.00           |

USPTO Fee Schedule

| 1607/2607/3607                                                                                  | 1.482(a)(2)(i)           | Supplemental examination fee per additional invention                                                                                                                                              | 600.00   | 300.00           | 150.00           |
|-------------------------------------------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------|------------------|
| 1619/2619/3619                                                                                  |                          | Late payment fee                                                                                                                                                                                   | variable | variable         | variable         |
| 1627/2627/3627                                                                                  | 1.445(a)(5) and 1.482(c) | Late furnishing fee for providing a sequence listing in response to an invitation under PCT rule 13ter                                                                                             | 300.00   | 150.00           | 75.00            |
| <b>PCT Fees to Foreign Offices**</b>                                                            |                          |                                                                                                                                                                                                    |          |                  |                  |
| Fee Code                                                                                        | 37 CFR                   | Description                                                                                                                                                                                        | Fee      | Small Entity Fee | Micro Entity Fee |
| 1701                                                                                            |                          | International filing fee (first 30 pages - filed electronically without ePCT or PCT-EASY .zip file)                                                                                                | 1,263.00 | 1,263.00         | 1,263.00         |
| 1710                                                                                            |                          | International filing fee (first 30 pages - filed electronically with ePCT or PCT-EASY .zip file)                                                                                                   | 1,161.00 | 1,161.00         | 1,161.00         |
| 1702                                                                                            |                          | International filing fee (first 30 pages)                                                                                                                                                          | 1,366.00 | 1,366.00         | 1,366.00         |
| 1703                                                                                            |                          | Supplemental fee (for each page over 30)                                                                                                                                                           | 15.00    | 15.00            | 15.00            |
| 1704                                                                                            |                          | International search (EPO)                                                                                                                                                                         | 2,202.00 | 2,202.00         | 2,202.00         |
| 1715                                                                                            |                          | International search (ILPO)                                                                                                                                                                        | 995.00   | 995.00           | 995.00           |
| 1712                                                                                            |                          | International search (IPAU)                                                                                                                                                                        | 1,722.00 | 1,722.00         | 1,722.00         |
| 1717                                                                                            |                          | International search (IPOS)                                                                                                                                                                        | 1,646.00 | 1,646.00         | 1,646.00         |
| 1716                                                                                            |                          | International search (JPO)                                                                                                                                                                         | 1,385.00 | 1,385.00         | 1,385.00         |
| 1709                                                                                            |                          | International search (KIPO)                                                                                                                                                                        | 1,134.00 | 1,134.00         | 1,134.00         |
| 1714                                                                                            |                          | International search (Rospatent)                                                                                                                                                                   | 691.00   | 691.00           | 691.00           |
| 1705                                                                                            |                          | Handling fee                                                                                                                                                                                       | 205.00   | 205.00           | 205.00           |
| 1706                                                                                            |                          | <u>Handling Fee - 90% reduction, if applicant meets criteria specified at: <a href="http://www.wipo.int/pct/en/fees/fee_reducti on.pdf">http://www.wipo.int/pct/en/fees/fee_reducti on.pdf</a></u> | 20.50    | 20.50            | 20.50            |
| ** PCT Fees to Foreign Offices subject to periodic change due to fluctuations in exchange rate. |                          |                                                                                                                                                                                                    |          |                  |                  |
| <b>Hague - International Design Application Fees</b>                                            |                          |                                                                                                                                                                                                    |          |                  |                  |
| Fee Code                                                                                        | 37 CFR                   | Description                                                                                                                                                                                        | Fee      | Small Entity Fee | Micro Entity Fee |
| 1781/2781/3781                                                                                  | 1.1031(a)                | Hague International Design Application - Transmittal fee                                                                                                                                           | 120.00   | 60.00            | 30.00            |
| 1782                                                                                            | 1.1031(c)                | International design application fees payable to WIPO                                                                                                                                              | variable | variable         | variable         |
| <b>Patent Service Fees</b>                                                                      |                          |                                                                                                                                                                                                    |          |                  |                  |
| Fee Code                                                                                        | 37 CFR                   | Description                                                                                                                                                                                        | Fee      | Small Entity Fee | Micro Entity Fee |
| 8001                                                                                            | 1.19(a)(1)               | Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means                                                                                                                 | 3.00     | 3.00             | 3.00             |
| 8005                                                                                            | 1.19(a)(1)               | Patent Application Publication (PAP)                                                                                                                                                               | 3.00     | 3.00             | 3.00             |
| 8003                                                                                            | 1.19(a)(2)               | Printed copy of plant patent in color                                                                                                                                                              | 15.00    | 15.00            | 15.00            |
| 8004                                                                                            | 1.19(a)(3)               | Color copy of patent (other than plant patent) containing a color drawing                                                                                                                          | 25.00    | 25.00            | 25.00            |

### USPTO Fee Schedule

|      |                              |                                                                                                            |        |        |        |
|------|------------------------------|------------------------------------------------------------------------------------------------------------|--------|--------|--------|
| 8007 | 1.19(b)(1)(i)(A) and (ii)(A) | Copy of patent application as filed                                                                        | 35.00  | 35.00  | 35.00  |
| 8051 | 1.19(b)(1)(i)(B)             | Copy patent file wrapper, paper medium, any number of sheets                                               | 280.00 | 280.00 | 280.00 |
| 8010 | 1.19(b)(1)(i)(D)             | Individual application documents, other than application as filed, per document                            | 25.00  | 25.00  | 25.00  |
| 8052 | 1.19(b)(1)(ii)(B)            | Copy patent file wrapper, electronic medium, any size or provided electronically                           | 55.00  | 55.00  | 55.00  |
| 8013 | 1.19(b)(3)                   | Copy of office records, except copies of applications as filed                                             | 25.00  | 25.00  | 25.00  |
| 8014 | 1.19(b)(4)                   | For assignment records, abstract of title and certification, per patent                                    | 35.00  | 35.00  | 35.00  |
| 8904 | 1.19(c)                      | Library service                                                                                            | 50.00  | 50.00  | 50.00  |
| 8017 | 1.19(f)                      | Copy of non-U.S. document                                                                                  | 25.00  | 25.00  | 25.00  |
| 8057 | 1.19(j)                      | Copy of Patent Technology Monitoring Team (PTMT) patent bibliographic extract and other DVD (optical disc) | 50.00  | 50.00  | 50.00  |
| 8058 | 1.19(k)                      | Copy of U.S. patent custom data extracts                                                                   | 100.00 | 100.00 | 100.00 |
| 8059 | 1.19(l)                      | Copy of selected technology reports, miscellaneous technology areas                                        | 30.00  | 30.00  | 30.00  |
| 8020 | 1.21(e)                      | International type search report                                                                           | 40.00  | 40.00  | 40.00  |
| n/a  | 1.21(h)(1)                   | Recording each patent assignment, agreement or other paper, per property – if submitted electronically     | 0.00   | 0.00   | 0.00   |
| 8021 | 1.21(h)(2)                   | Recording each patent assignment, agreement or other paper, per property – if not submitted electronically | 50.00  | 50.00  | 50.00  |
| 8022 | 1.21(i)                      | Publication in Official Gazette                                                                            | 25.00  | 25.00  | 25.00  |
| 8026 | 1.21(n)                      | Handling fee for incomplete or improper application                                                        | 130.00 | 130.00 | 130.00 |
| 8053 | 1.21(p)                      | Additional fee for overnight delivery                                                                      | 40.00  | 40.00  | 40.00  |
| 8054 | 1.21(q)                      | Additional fee for expedited service                                                                       | 160.00 | 160.00 | 160.00 |

| Patent Enrollment Fees |                   |                                                           |        |  |
|------------------------|-------------------|-----------------------------------------------------------|--------|--|
| Fee Code               | 37 CFR            | Description                                               | Fee    |  |
| 9001                   | 1.21(a)(1)(i)     | Application fee (non-refundable)                          | 100.00 |  |
| 9010                   | 1.21(a)(1)(ii)(A) | For test administration by commercial entity              | 200.00 |  |
| 9011                   | 1.21(a)(1)(ii)(B) | For test administration by the USPTO                      | 450.00 |  |
| 9029                   | 1.21(a)(1)(iii)   | For USPTO-administered review of registration examination | 450.00 |  |
| 9003                   | 1.21(a)(2)(i)     | On registration to practice under §11.6                   | 200.00 |  |
| 9026                   | 1.21(a)(2)(ii)    | On grant of limited recognition under §11.9(b)            | 200.00 |  |

## USPTO Fee Schedule

|      |                 |                                                                                                                                            |          |
|------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 9025 | 1.21(a)(2)(iii) | On change of registration from agent to attorney                                                                                           | 100.00   |
| 9005 | 1.21(a)(4)(i)   | Certificate of good standing as an attorney or agent, standard                                                                             | 40.00    |
| 9006 | 1.21(a)(4)(ii)  | Certificate of good standing as an attorney or agent, suitable for framing                                                                 | 50.00    |
| 9012 | 1.21(a)(5)(i)   | Review of decision by the Director of Enrollment and Discipline under §11.2(c)                                                             | 400.00   |
| 9013 | 1.21(a)(5)(ii)  | Review of decision of the Director of Enrollment and Discipline under §11.2(d)                                                             | 400.00   |
| 9027 | 1.21(a)(6)(i)   | For USPTO-assisted recovery of ID or reset of password for the Office of Enrollment and Discipline Information System                      | 70.00    |
| 9028 | 1.21(a)(6)(ii)  | For USPTO-assisted change of address within the Office of Enrollment and Discipline Information System                                     | 70.00    |
| 9020 | 1.21(a)(9)(i)   | Delinquency fee                                                                                                                            | 50.00    |
| 9004 | 1.21(a)(9)(ii)  | Administrative reinstatement fee                                                                                                           | 200.00   |
| 9014 | 1.21(a)(10)     | On petition for reinstatement by a person excluded or suspended on ethical grounds, or excluded on consent from practice before the Office | 1,600.00 |
| 9024 | 1.21(k)         | Unspecified other services, excluding labor                                                                                                | AT COST  |

### Trademark Fees

The 7000 series fee code (e.g., 7001, 7002, etc.) is used for electronic filing via TEAS. The fee required when combining post-registration filings is the sum of the separate fee amounts shown below.

| Trademark Processing Fees |                                                                                                     | Electronically Filed |            | Paper Filed |            |
|---------------------------|-----------------------------------------------------------------------------------------------------|----------------------|------------|-------------|------------|
| 37 CFR                    | Description                                                                                         | Fee Code             | Fee Amount | Fee Code    | Fee Amount |
| 2.6(a)(1)(i)              | Application for registration, per international class (paper filing)                                | n/a                  | n/a        | 6001        | 600.00     |
| 2.6(a)(1)(ii)             | Application for registration, per international class (electronic filing, TEAS Regular application) | <del>7001</del>      | 400.00     | n/a         | n/a        |
| 2.6(a)(1)(iii)            | Application for registration, per international class (electronic filing, TEAS RF application)      | <del>7009</del>      | 275.00     | n/a         | n/a        |
| 2.6(a)(1)(iv)             | Application for registration, per international class (electronic filing, TEAS Plus application)    | <del>7007</del>      | 225.00     | n/a         | n/a        |
| 2.6(a)(2)                 | Filing an amendment to allege use under §1(c), per class                                            | 7002                 | 100.00     | 6002        | 200.00     |
| 2.6(a)(3)                 | Filing a statement of use under §1(d)(1), per class                                                 | 7003                 | 100.00     | 6003        | 200.00     |

USPTO Fee Schedule

|                                       |                                                                                                             |                             |                   |                    |                   |
|---------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------|-------------------|--------------------|-------------------|
| 2.6(a)(4)                             | Filing a request for a six-month extension of time for filing a statement of use under §1(d)(1), per class  | 7004                        | 125.00            | 6004               | 225.00            |
| 2.6(a)(15)                            | Petitions to the Director                                                                                   | 7005                        | 100.00            | 6005               | 200.00            |
| 2.6(a)(19)                            | Dividing an application, per new application (file wrapper) created                                         | 7006                        | 100.00            | 6006               | 200.00            |
| 2.6(a)(1)(v)                          | Additional fee for application that doesn't meet TEAS Plus or TEAS RF filing requirements, per class        | 7008                        | 125.00            | 6008               | 125.00            |
| 2.6(a)(5)                             | Application for renewal under §9, per class                                                                 | 7201                        | 300.00            | 6201               | 500.00            |
| 2.6(a)(6)                             | Additional fee for filing renewal application during grace period, per class                                | 7203                        | 100.00            | 6203               | 200.00            |
| 2.6(a)(21)                            | Correcting a deficiency in a renewal application                                                            | 7204                        | 100.00            | 6204               | 200.00            |
| 2.6(a)(12)                            | Filing §8 affidavit, per class                                                                              | 7205                        | 125.00            | 6205               | 225.00            |
| 2.6(a)(14)                            | Additional fee for filing §8 affidavit during grace period, per class                                       | 7206                        | 100.00            | 6206               | 200.00            |
| 2.6(a)(20)                            | Correcting a deficiency in a §8 affidavit                                                                   | 7207                        | 100.00            | 6207               | 200.00            |
| 2.6(a)(13)                            | Filing §15 affidavit, per class                                                                             | 7208                        | 200.00            | 6208               | 300.00            |
| 2.6(a)(7)                             | Publication of mark under §12(c), per class                                                                 | 7210                        | 100.00            | 6210               | 200.00            |
| 2.6(a)(8)                             | Issuing new certificate of registration                                                                     | 7211                        | 100.00            | 6211               | 200.00            |
| 2.6(a)(9)                             | Certificate of correction, registrant's error                                                               | 7212                        | 100.00            | 6212               | 200.00            |
| 2.6(a)(10)                            | Filing disclaimer to registration                                                                           | 7213                        | 100.00            | 6213               | 200.00            |
| 2.6(a)(11)                            | Filing amendment to registration                                                                            | 7214                        | 100.00            | 6214               | 200.00            |
| 2.6(a)(16)                            | Petition for cancellation, per class                                                                        | 7401                        | 400.00            | 6401               | 500.00            |
| 2.6(a)(17)                            | Notice of opposition, per class                                                                             | 7402                        | 400.00            | 6402               | 500.00            |
| 2.6(a)(18)                            | Ex parte appeal, per class                                                                                  | 7403                        | 200.00            | 6403               | 300.00            |
| 2.6(a)(22)                            | Filing a request for an extension of time to file a notice of opposition under §2.102(c)(1)(ii) or (c)(2)   | 7404                        | 100.00            | 6404               | 200.00            |
| 2.6(a)(23)                            | Filing a request for an extension of time to file a notice of opposition under §2.102(c)(3)                 | 7405                        | 200.00            | 6405               | 300.00            |
| <b>Trademark Madrid Protocol Fees</b> |                                                                                                             | <b>Electronically Filed</b> |                   | <b>Paper Filed</b> |                   |
| <b>37 CFR</b>                         | <b>Description</b>                                                                                          | <b>Fee Code</b>             | <b>Fee Amount</b> | <b>Fee Code</b>    | <b>Fee Amount</b> |
| 7.6(a)(1)                             | Certifying an international application based on single application or registration, per class              | 7901                        | 100.00            | 6901               | 200.00            |
| 7.6(a)(2)                             | Certifying an international application based on more than one basic application or registration, per class | 7902                        | 150.00            | 6902               | 250.00            |
| 7.6(a)(4)                             | Transmitting a request to record an assignment or restriction under §7.23 or §7.24                          | 7903                        | 100.00            | 6903               | 200.00            |
| 7.6(a)(5)                             | Filing a notice of replacement under §7.28, per class                                                       | 7904                        | 100.00            | 6904               | 200.00            |
| 7.6(a)(6)                             | Filing an affidavit under §71 of the Act, per class                                                         | 7905                        | 125.00            | 6905               | 225.00            |
| 7.6(a)(7)                             | Surcharge for filing affidavit under §71 of the Act during grace period, per class                          | 7906                        | 100.00            | 6906               | 200.00            |
| 7.6(a)(3)                             | Transmitting a subsequent designation under §7.21                                                           | 7907                        | 100.00            | 6907               | 200.00            |

USPTO Fee Schedule

|                                                 |                                                                                      |                             |                                                                                                                           |                    |                                                                                                                           |
|-------------------------------------------------|--------------------------------------------------------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------------------------------|--------------------|---------------------------------------------------------------------------------------------------------------------------|
| 7.6(a)(8)                                       | Correcting a deficiency in an affidavit under §71 of the Act                         | 7908                        | 100.00                                                                                                                    | 6908               | 200.00                                                                                                                    |
| <b>Trademark International Application Fees</b> |                                                                                      | <b>Electronically Filed</b> |                                                                                                                           | <b>Paper Filed</b> |                                                                                                                           |
| <b>37 CFR</b>                                   | <b>Description</b>                                                                   | <b>Fee Code</b>             | <b>Fee Amount</b>                                                                                                         | <b>Fee Code</b>    | <b>Fee Amount</b>                                                                                                         |
| 7.7(1)                                          | International application fee                                                        | 7951                        | <b>Reference CFR 7.7 for payment of fees to International Bureau (IB) and the WIPO fee calculator (link is external).</b> | n/a                | <b>Reference CFR 7.7 for payment of fees to International Bureau (IB) and the WIPO fee calculator (link is external).</b> |
| 7.14(c)                                         | Correcting irregularities in an International application                            | 7952                        |                                                                                                                           | n/a                |                                                                                                                           |
| 7.21                                            | Subsequent designation fee                                                           | 7953                        |                                                                                                                           | n/a                |                                                                                                                           |
| 7.23                                            | Recording of an assignment of an international registration under 7.23               | 7954                        |                                                                                                                           | n/a                |                                                                                                                           |
| <b>Trademark Service Fees</b>                   |                                                                                      | <b>Electronically Filed</b> |                                                                                                                           | <b>Paper Filed</b> |                                                                                                                           |
| <b>37 CFR</b>                                   | <b>Description</b>                                                                   | <b>Fee Code</b>             | <b>Fee Amount</b>                                                                                                         | <b>Fee Code</b>    | <b>Fee Amount</b>                                                                                                         |
| 2.6(b)(1)                                       | Printed copy of registered mark, delivery by USPS, USPTO Box, or electronic means    | 8501                        | 3.00                                                                                                                      | 8501               | 3.00                                                                                                                      |
| 2.6(b)(4)(i)                                    | Certified copy of registered mark, with title and/or status, regular service         | 8503                        | 15.00                                                                                                                     | 8503               | 15.00                                                                                                                     |
| 2.6(b)(4)(ii)                                   | Certified copy of registered mark, with title and/or status, expedited local service | 8504                        | 30.00                                                                                                                     | 8504               | 30.00                                                                                                                     |
| 2.6(b)(2)                                       | Certified copy of trademark application as filed                                     | 8507                        | 15.00                                                                                                                     | 8507               | 15.00                                                                                                                     |
| 2.6(b)(3)                                       | Certified or uncertified copy of trademark-related file wrapper and contents         | 8508                        | 50.00                                                                                                                     | 8508               | 50.00                                                                                                                     |
| 2.6(b)(5)                                       | Certified or uncertified copy of trademark document, unless otherwise provided       | 8513                        | 25.00                                                                                                                     | 8513               | 25.00                                                                                                                     |
| 2.6(b)(7)                                       | For assignment records, abstracts of title and certification per registration        | 8514                        | 25.00                                                                                                                     | 8514               | 25.00                                                                                                                     |
| 2.6(b)(6)                                       | Recording trademark assignment, agreement or other paper, first mark per document    | 8521                        | 40.00                                                                                                                     | 8521               | 40.00                                                                                                                     |
| 2.6(b)(6)                                       | For second and subsequent marks in the same document                                 | 8522                        | 25.00                                                                                                                     | 8522               | 25.00                                                                                                                     |
| 2.6(b)(9)                                       | Additional fee for expedited service                                                 | 8534                        | 160.00                                                                                                                    | 8534               | 160.00                                                                                                                    |
| 2.6(b)(8)                                       | Additional fee for overnight delivery                                                | 8533                        | 40.00                                                                                                                     | 8533               | 40.00                                                                                                                     |

USPTO Fee Schedule

| Fastener Quality Act Fees |                                                           | Electronically Filed |            | Paper Filed |            |
|---------------------------|-----------------------------------------------------------|----------------------|------------|-------------|------------|
| 37 CFR                    | Description                                               | Fee Code             | Fee Amount | Fee Code    | Fee Amount |
| 2.7(a)                    | Recordal application fee                                  | n/a                  | n/a        | 6991        | 20.00      |
| 2.7(b)                    | Renewal application fee                                   | n/a                  | n/a        | 6992        | 20.00      |
| 2.7(c)                    | Late fee for renewal application                          | n/a                  | n/a        | 6993        | 20.00      |
| 2.7(a)                    | Application fee for reactivation of insignia, per request | n/a                  | n/a        | 6994        | 20.00      |

**General Service Fees**

| General Service Fees |                                  |                                                 |            |
|----------------------|----------------------------------|-------------------------------------------------|------------|
| Fee Code             | 37 CFR                           | Description                                     | Fee Amount |
| 9202                 | 1.21(b)(2), (b)(3) or 2.6(b)(11) | Service charge for below minimum balance        | 25.00      |
| 9101                 | 1.21(m) or 2.6(b)(10)            | Processing each payment refused or charged back | 50.00      |

Office of Petitions: Decision Count Sheet

Mailing Month

2

Application No.

12316699



For US serial numbers: enter number only, no slashes or commas. Ex: 10123456

For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345

Deciding Official:

DOUGLAS I. WOOD

Count (1) - Palm Credit

12316699

Decision:

DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type:

503 - 37 CFR 1.183 -SUSPEND/WAIVE EXAMINING-RELA



Notes:

Count (2)

Decision:

DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type:

502 - 37 CFR 1.137(b) - REVIVAL BASED ON UNINTENTI



Notes:

Count (3)

Decision:

DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type:

515 - 37 CFR 1.181 for REVIEW OF NON-EXAMINING MA



Notes:

Please enter 515 petition filed 1/2/18.

Initials of Approving Official (if required)

If more than 3 decisions, attach 2nd count sheet & mark this box



Printed on: 2/6/2018



|                                                                                                                                        |                          |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| <p><b>PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT<br/>ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)</b></p> <p>Page 1 of 2</p> | Docket Number (Optional) |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|

First named inventor: \_\_\_\_\_

Application No.: \_\_\_\_\_ Art Unit: \_\_\_\_\_

Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_

Title:

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Statement that the entire delay was unintentional.

**1. Petition fee**

Small entity fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant asserts small entity status. See 37 CFR 1.27.

Micro entity fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

Undiscounted fee \$ \_\_\_\_\_ (37 CFR 1.17(m)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office notice or action in the form of

\_\_\_\_\_ (identify the type of reply):

has been filed previously on \_\_\_\_\_.

is enclosed herewith.

B The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_

has been paid previously on \_\_\_\_\_.

is enclosed herewith.

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Page 2 of 2

**3. Terminal disclaimer with disclaimer fee**

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

**4. STATEMENT:** The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Address

**Enclosures:**

- Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheet(s) containing statements establishing unintentional delay
- Other: \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
- Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name of person signing certificate

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

JAN 03 2018

**In The United States Patent And Trademark Office**

**RENEWED REQUEST FOR RECONSIDERATION**

- OF -

**PETITION DECISIONS (10/31/2017)**

- FOR -

**37 CFR §1.137(a) - REVIVAL OF ABANDONED APPLICATION - February 6, 2016**

**37 CFR §1.114 - REQUEST FOR CONTINUED EXAMINATION - April 7, 2014**

**37 CFR §1.129 - CERTIFICATION OF MICRO ENTITY - October 2, 2013**

**37 CFR §1.48 - CORRECTION OF INVENTORSHIP - March 7, 2016**

**37 CFR §1.183 - SUSPENSION OF RULES - August 8, 2016**

**37 CFR §1.136 - EXTENSION OF TIME - August 8, 2016**

- AND CONSEQUENT -

**37 CFR §1.181 - PETITION TO THE COMMISSIONER - August 29, 2017**

- UNDER SUBSEQUENT -

**37 CFR §1.181 - RENEWED PETITION TO THE COMMISSIONER**

- AND -

**CEASE AND DESIST**

**Securable Independent Electronic Document**

**Serial #12/316,699 - December 15, 2008**

January 2, 2018

Office of the President Of The United States, Mr. Donald J. Trump  
Office of the Secretary of Commerce of United States  
Office of the Chief Administrative Officer  
Office of the Chief Information Officer  
Office of the General Counsel  
Office of the Under Secretary Of Commerce For Intellectual Property and Director  
Office of the Commissioner for Patents Of The U.S. Patent And Trademark Office  
Office of the Deputy Commissioner for International Patent Cooperation  
Office of the Deputy Commissioner for Patent Examination Policy  
Office of the Deputy Commissioner for Patent Administration  
Office of the Deputy Commissioner for Patent Operations  
Office of the Deputy Commissioner for Patent Quality  
Office of Patent Information Management  
Office of Patent Application Processing  
Office of Patent Information Resources  
Office of Patent Legal Administration  
Office of Enrollment and Discipline  
Office of Data Management  
Office of Petitions

P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, asking of the United States Patent And Trademark Office, under 37 C.F.R. §1.181, Petition To The Commissioner, to have review by the Commissioner's office of previous petition decisions dated Oct 31, 2017 and earlier. This renewed petition is the second request from Applicant for The Commissioner's involvement. Applicant, with due diligence and proper candor, petitions the office within the two (2) month reply period per 35 U.S.C. §21(b) and requests reconsideration of various petitions, certifications and office actions.

**Petition To The Commissioner  
&  
Cease & Desist**

Securable Independent Electronic Document  
Serial #12/316,699

Applicant believes he has presented material properly with good merit and just cause to fulfill the requirements of the current petitions and their relief asked for but has been prevented from the relief requested by employees of the United States Patent And Trademark Office (USPTO). Applicant has no other recourse with the prosecution process of the this application but to have constant focus on a review from the Commissioner.

**~ CEASE AND DESIST ~**

Applicant, John Lewis Guymon Jr., comes now, *pro bono publico* with just cause and worthy report, respectfully asking and immediately demanding that The United States Patent And Trademark Office (hereafter USPTO) cease and desist all activity harmful or otherwise causing harm, extenuating grief or delay to any one or all individual or individuals. Applicant additionally asks with respect for the USPTO to cease and desist and stop doing harm and causing grief and delay onset including that inflicted and caused upon Applicant during prosecution of the above-identified patent application.

Applicant requests review and renewed reconsideration of all decisions, certifications and other actions and events of this application, specifically those dated after April 2013.

Applicant has in his possession evidence of abuse, neglect, discrimination and other wrong doings and actions by employee's of the USPTO, having all said evidence available to anyone as it is currently supplied directly by the USPTO, and believes said evidence warrants the immediate cease and desist of the activities and actions of USPTO employee's as such activities are causing immediate and grave harm to Citizens of the United States as well as to Applicant, having Applicant suffer extreme harm, grief and injury as a result of the employee's responsible for the unjust and improper actions.

Applicant believed he followed procedures in regard to paperwork prosecution and with improper and unjust behavior of various employees allowed applicant's just and respectfully requested petition items to be ignored, or otherwise diminished or hidden from proper ruling party.

Applicant has suffered harm in this case and believes there is no alternative solution in place capable of supporting fair prosecution. Applicant therefore believes the only prosecution step available to the Applicant is an immediate petition to the Director and a request for the immediate cease and desist of the actions of the USPTO employees involved.

Applicant has acquired evidence in support of misdeeds done by the various USPTO employees from the USPTO's data supplied with their bulk data set releases for economic study for the 2014, 2015 and 2016 year, the USPTO's PAIR website, the USPTO's BULK pair website, the Global Dossier exchange link, the USPTO's XML bulk data site and petition decision web site. All supporting evidence is supplied via these mentioned ways, all publicly available.

~ 2 ~

**Petition To The Commissioner  
&  
Cease & Desist**

Securable Independent Electronic Document  
Serial #12/316,699

Applicant has in his possession unopened reply correspondence that are stamped with incorrect post marks. Further proof of improperly mailed items can be found in USPTO's PAIR system where a correspondence change generated return mail, having that return mail image available for review.

**~ MULTIPLE DEPARTMENT INVOLVEMENT ~**

Applicant believes the involved employees are numerous and work in several departments of the USPTO, including but not limited to the Office of Petitions and Office of Information Technology, and specifically those in charge of data transaction management. Applicant believes the employees in the Office Of Petitions, who are involved in petition decisions and routing of said petitions, have allowed Applicant's petitions to have ill course of prosecution with unfair and biased or other adverse decision. Applicant also believes employees involved in data management have had or allowed transaction alterations and/or adjustments and other potentially improper or illegal action. The actions of those who have allowed improper, incorrect, or otherwise unethical has allowed Applicant's petition's prosecution to be slowed, altered and otherwise improperly affected.

- Applicant believes there are others involved who work in various different offices of the USPTO that are involved in database management, data entry and/or other data input entities.
- Data is purposely omitted from the transaction files, event code files and other files accordingly. These missing data elements and omitted event codes are in the USPTO's data repository and is selectively omitted before distribution.
- Data transactions are dated improperly or otherwise al
- owed filing out of sequence fir application prosecution filing manipulated or tampered with.
- The process flow for petitions allow inappropriate control, having date stamps pretended/word for put on later and as if always there, missing, altered or otherwise out of date
- Accessibility to PAIR website resources is affected by a person's request for information by way of restricting, not allowing or interruption of use or such use's result.

There is web site script for the PAIR IFW page that hides the last activities of file prosecution, especially those related to patent term adjustments. Further, there is script code for the transaction tab to have entries hidden. These areas that lack complete data elements are meant to deceive the user and make discovery more difficult.

Generated PDF's that include text used for searches often include extra random characters therefore making key terms unable to be found. This is done purposely on improperly generated documents.

Further the manipulation, alteration or otherwise withholding of information of the applications involved is substantial and is being done by multiple departments within the USPTO.

**Petition To The Commissioner  
&  
Cease & Desist**

Securable Independent Electronic Document  
Serial #12/316,699

**~ OPPT PETITIONS ~**

Improper petitions are found with OPPT and MOPPT event codes outside of petition related documents. OPPT-Transactions between the OPPT and MOPPT, with the IMIS document showing the identity of the employee involved.

The OPPT petition practice started January 7, 2014 with application 12/931,.967. According to e2016 where the cut off date is August 8, 2017, there were a total of over 48,800. Patterns exist where valid petition decisions are appropriately worked on in a scheduled manner and invalid OPPT type petition decisions are erratically completed and done so to a particular schedule or desired order without consideration to proper order and priority or position.

There are OPPT decisions/actions done within range of included documents that don't necessarily include an IMIS type document, one that shows the name of the deciding official involved.

With the OPPT type petitions the graphics in the document header are messed up. All of the petitions are signed as advisors therefore removing liability, there are random purposeful mistakes are throughout, and frequently will be of a status denied or dismissed and yet the actions are as if granted. Petitions are used with either decision to the advantage of the person and patentee or to harm the patentee accordingly. For example, with Application #12/734,283, the petition is denied, however, the patent term adjustment is still made. Many patent term adjustments are done with the OPPT petition type.

Good petition decisions are found searching for the normal PTDI, PTDE or PTGR or the sub-event codes for petition decisions. These decisions of good practice mix with the OPPT petition types and further verify and prove of the phony petitions existence.

OPPT- The ULR's have copied of the same petitions as in the application file but with a date stamp that for most causes is improper; the date stamp is not on the decision of the documents in PAIR, having the title graphics still in proof stage (dotted line).

**Invalid: (a few of many)**

[https://www.uspto.gov/sites/default/files/documents/8498820\\_14feb2017.pdf](https://www.uspto.gov/sites/default/files/documents/8498820_14feb2017.pdf)  
[https://www.uspto.gov/sites/default/files/documents/8643149\\_30mar2015.pdf](https://www.uspto.gov/sites/default/files/documents/8643149_30mar2015.pdf)  
[https://www.uspto.gov/sites/default/files/documents/8674513\\_denial\\_07apr2015.pdf](https://www.uspto.gov/sites/default/files/documents/8674513_denial_07apr2015.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9055980\\_17oct2016.pdf](https://www.uspto.gov/sites/default/files/documents/9055980_17oct2016.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9068212\\_28jun2016.pdf](https://www.uspto.gov/sites/default/files/documents/9068212_28jun2016.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9283100\\_07mar2017.pdf](https://www.uspto.gov/sites/default/files/documents/9283100_07mar2017.pdf)

Applicant believes the employees involved in the OPPT type petition actions are as follows:

|                               |               |        |                                     |
|-------------------------------|---------------|--------|-------------------------------------|
| FAISON BALL PATRICIA F        | (571)272-3212 | P/OPET | OFFICE OF PETITIONS                 |
| GRANT CHARLEMA R              | (571)272-4097 | G/OED  | OFFICE OF ENROLLMENT AND DISCIPLINE |
| KELLEY-COLLIER ALICIA T (SPE) | (571)272-6059 | P/OCDD | OPES - CHEMICAL AND DESIGN DIVISION |
| WALSH LIANA S                 | (571)272-3206 | P/OPET | OFFICE OF PETITION                  |
| WOOD DOUGLAS I                | (571)272-3231 | P/OPET | OFFICE OF PETITIONS                 |
| WOODS DEREK L                 | (571)272-3232 |        |                                     |
| THORNTON MCLAUGHL KENYA A     | (571)272-3222 | P/OPET | OFFICE OF PETITIONS                 |

~ 4 ~

**Petition To The Commissioner  
&  
Cease & Desist**

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data sets showing patterns of data manipulation for the intent of hiding the missing events give hint as to what applications were possibly involved in the SAWS program.

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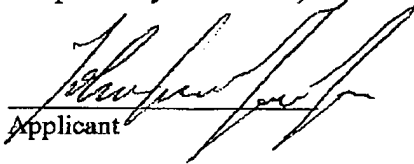
**~ CONCLUSION ~**

Applicant contacted all the different departments that could be found such as Pro Se Assistance Program, Inventers Assistance Center, Ombudsman Program, having most of the time to be told to contact Mr. Wood @ 571-272-3231, as he was the one in charge of my file. Additionally I talked with people in the Deputy Commissioners office with no help or success.

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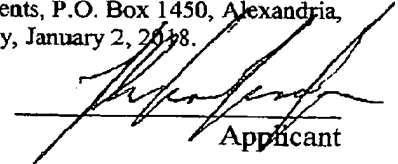
Thank you.

Respectfully submitted,

  
Applicant

**- - - - - Certificate of Mailing - - - - -**

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 2, 2018.

  
Applicant



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CENTRAL FAX CENTER

JAN 03 2018

# In The United States Patent And Trademark Office

## RENEWED REQUEST FOR RECONSIDERATION

- OF -

### PETITION DECISIONS (10/31/2017)

- FOR -

37 CFR §1.137(a) - REVIVAL OF ABANDONED APPLICATION - February 6, 2016

37 CFR §1.114 - REQUEST FOR CONTINUED EXAMINATION - April 7, 2014

37 CFR §1.129 - CERTIFICATION OF MICRO ENTITY - October 2, 2013

37 CFR §1.48 - CORRECTION OF INVENTORSHIP - March 7, 2016

37 CFR §1.183 - SUSPENSION OF RULES - August 8, 2016

37 CFR §1.136 - EXTENSION OF TIME - August 8, 2016

- AND CONSEQUENT -

37 CFR §1.181 - PETITION TO THE COMMISSIONER - August 29, 2017

- UNDER SUBSEQUENT -

37 CFR §1.181 - RENEWED PETITION TO THE COMMISSIONER

- AND -

CEASE AND DESIST

Securable Independent Electronic Document

Serial #12/316,699 - December 15, 2008

January 2, 2018

- Office of the President Of The United States, Mr. Donald J. Trump
- Office of the Secretary of Commerce of United States
- Office of the Chief Administrative Officer
- Office of the Chief Information Officer
- Office of the General Counsel
- Office of the Under Secretary Of Commerce For Intellectual Property and Director
- Office of the Commissioner for Patents Of The U.S. Patent And Trademark Office
- Office of the Deputy Commissioner for International Patent Cooperation
- Office of the Deputy Commissioner for Patent Examination Policy
- Office of the Deputy Commissioner for Patent Administration
- Office of the Deputy Commissioner for Patent Operations
- Office of the Deputy Commissioner for Patent Quality
- Office of Patent Information Management
- Office of Patent Application Processing
- Office of Patent Information Resources
- Office of Patent Legal Administration
- Office of Enrollment and Discipline
- Office of Data Management
- Office of Petitions

P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Now, with respect and appreciation, comes John Lewis Guymon Jr., Applicant, asking of the United States Patent And Trademark Office, under 37 C.F.R. §1.181, Petition To The Commissioner, to have review by the Commissioner's office of previous petition decisions dated Oct 31, 2017 and earlier. This renewed petition is the second request from Applicant for The Commissioner's involvement. Applicant, with due diligence and proper candor, petitions the office within the two (2) month reply period per 35 U.S.C. §21(b) and requests reconsideration of various petitions, certifications and office actions.

**Petition To The Commissioner  
&  
Cease & Desist**

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Applicant believes he has presented material properly with good merit and just cause to fulfill the requirements of the current petitions and their relief asked for but has been prevented from the relief requested by employees of the United States Patent And Trademark Office (USPTO). Applicant has no other recourse with the prosecution process of the this application but to have constant focus on a review from the Commissioner.

**~ CEASE AND DESIST ~**

Applicant, John Lewis Guymon Jr., comes now, *pro bono publico* with just cause and worthy report, respectfully asking and immediately demanding that The United States Patent And Trademark Office (hereafter USPTO) cease and desist all activity harmful or otherwise causing harm, extenuating grief or delay to any one or all individual or individuals. Applicant additionally asks with respect for the USPTO to cease and desist and stop doing harm and causing grief and delay onset including that inflicted and caused upon Applicant during prosecution of the above-identified patent application.

Applicant requests review and renewed reconsideration of all decisions, certifications and other actions and events of this application, specifically those dated after April 2013.

Applicant has in his possession evidence of abuse, neglect, discrimination and other wrong doings and actions by employee's of the USPTO, having all said evidence available to anyone as it is currently supplied directly by the USPTO, and believes said evidence warrants the immediate cease and desist of the activities and actions of USPTO employee's as such activities are causing immediate and grave harm to Citizens of the United States as well as to Applicant, having Applicant suffer extreme harm, grief and injury as a result of the employee's responsible for the unjust and improper actions.

Applicant believed he followed procedures in regard to paperwork prosecution and with improper and unjust behavior of various employees allowed applicant's just and respectfully requested petition items to be ignored, or otherwise diminished or hidden from proper ruling party.

Applicant has suffered harm in this case and believes there is no alternative solution in place capable of supporting fair prosecution. Applicant therefore believes the only prosecution step available to the Applicant is an immediate petition to the Director and a request for the immediate cease and desist of the actions of the USPTO employees involved.

Applicant has acquired evidence in support of misdeeds done by the various USPTO employees from the USPTO's data supplied with their bulk data set releases for economic study for the 2014, 2015 and 2016 year, the USPTO's PAIR website, the USPTO's BULK pair website, the Global Dossier exchange link, the USPTO's XML bulk data site and petition decision web site. All supporting evidence is supplied via these mentioned ways, all publicly available.

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Applicant has in his possession unopened reply correspondence that are stamped with incorrect post marks. Further proof of improperly mailed items can be found in USPTO's PAIR system where a correspondence change generated return mail, having that return mail image available for review.

**~ MULTIPLE DEPARTMENT INVOLVEMENT ~**

Applicant believes the involved employees are numerous and work in several departments of the USPTO, including but not limited to the Office of Petitions and Office of Information Technology, and specifically those in charge of data transaction management. Applicant believes the employees in the Office Of Petitions, who are involved in petition decisions and routing of said petitions, have allowed Applicant's petitions to have ill course of prosecution with unfair and biased or other adverse decision. Applicant also believes employees involved in data management have had or allowed transaction alterations and/or adjustments and other potentially improper or illegal action. The actions of those who have allowed improper, incorrect, or otherwise unethical has allowed Applicant's petition's prosecution to be slowed, altered and otherwise improperly affected.

- Applicant believes there are others involved who work in various different offices of the USPTO that are involved in database management, data entry and/or other data input entities.
- Data is purposely omitted from the transaction files, event code files and other files accordingly. These missing data elements and omitted event codes are in the USPTO's data repository and is selectively omitted before distribution.
- Data transactions are dated improperly or otherwise al
- owed filing out of sequence fir application prosecution filing manipulated or tampered with.
- The process flow for petitions allow inappropriate control, having date stamps pretended/word for put on later and as if always there, missing, altered or otherwise out of date
- Accessibility to PAIR website resources is affected by a person's request for information by way of restricting, not allowing or interruption of use or such use's result.

There is web site script for the PAIR IFW page that hides the last activities of file prosecution, especially those related to patent term adjustments. Further, there is script code for the transaction tab to have entries hidden. These areas that lack complete data elements are meant to deceive the user and make discovery more difficult.

Generated PDF's that include text used for searches often include extra random characters therefore making key terms unable to be found. This is done purposely on improperly generated documents.

Further the manipulation, alteration or otherwise withholding of information of the applications involved is substantial and is being done by multiple departments within the USPTO.

**Petition To The Commissioner  
&  
Cease & Desist**

**Securable Independent Electronic Document  
Serial #12/316,699**

**~ OPPT PETITIONS ~**

Improper petitions are found with OPPT and MOPPT event codes outside of petition related documents. OPPT-Transactions between the OPPT and MOPPT, with the IMIS document showing the identity of the employee involved.

The OPPT petition practice started January 7, 2014 with application 12/931,967. According to e2016 where the cut off date is August 8, 2017, there were a total of over 48,800. Patterns exist where valid petition decisions are appropriately worked on in a scheduled manner and invalid OPPT type petition decisions are erratically completed and done so to a particular schedule or desired order without consideration to proper order and priority or position.

There are OPPT decisions/actions done within range of included documents that don't necessarily include an IMIS type document, one that shows the name of the deciding official involved.

With the OPPT type petitions the graphics in the document header are messed up. All of the petitions are signed as advisors therefore removing liability, there are random purposeful mistakes are throughout, and frequently will be of a status denied or dismissed and yet the actions are as if granted. Petitions are used with either decision to the advantage of the person and patentec or to harm the patentee accordingly. For example, with Application #12/734,283, the petition is denied, however, the patent term adjustment is still made. Many patent term adjustments are done with the OPPT petition type.

Good petition decisions are found searching for the normal PTDI, PTDE or PTGR or the sub-event codes for petition decisions. These decisions of good practice mix with the OPPT petition types and further verify and prove of the phony petitions existence.

OPPT- The ULR's have copied of the same petitions as in the application file but with a date stamp that for most causes is improper; the date stamp is not on the decision of the documents in PAIR, having the title graphics still in proof stage (dotted line).

Invalid: (a few of many)

- [https://www.uspto.gov/sites/default/files/documents/8498820\\_14feb2017.pdf](https://www.uspto.gov/sites/default/files/documents/8498820_14feb2017.pdf)
- [https://www.uspto.gov/sites/default/files/documents/8643149\\_30mar2015.pdf](https://www.uspto.gov/sites/default/files/documents/8643149_30mar2015.pdf)
- [https://www.uspto.gov/sites/default/files/documents/8674513\\_denial\\_07apr2015.pdf](https://www.uspto.gov/sites/default/files/documents/8674513_denial_07apr2015.pdf)
- [https://www.uspto.gov/sites/default/files/documents/9055980\\_17oct2016.pdf](https://www.uspto.gov/sites/default/files/documents/9055980_17oct2016.pdf)
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BROWN ALESIA M  
INABINET KIMBERLY A  
JOHNSON TERRI S  
DONNELL CHRISTINA T  
SHANOSKI PAUL A  
SMITH ANDREA M  
CREASY KAREN O

(571)272-3205  
(571)272-4618  
(571)272-2991  
(571)272-3211  
(571)272-3225  
(571)272-3226  
(571)272-3208

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**~ PETITIONS ARE WITHHELD HIJACKED OR WITH IMPROPER DECISION ~**

Applicant believes petitions entered in this application have been improperly handled by unfitting individuals within the Office Of Petitions; such individuals have inappropriately ruled on said petitions, while said petitions should have rightly been sent to and decided upon by the appropriate departments.

Applicant swears, affirms and believes:

- Employees of the USPTO's Office of Petitions and/or other departments have taken improper control of petition operations
- Petitions have been ruled improperly on over 48K petitions since January 2014 according to the 2016 data set event code OPPT. All three types of petitions (Granted, Denied and Dismissed) have been improperly ruled. The correct and properly generated petition decisions of before, during and now, currently, show valid procedure that further validates the incorrect procedures of said OPPT type decisions.
- The Office of Petitions has entered a multitude of properly ruled decisions since January 2014, having these decisions show and prove correct procedure. Such proof, in concurrent existence, shows a normal decision procedure or course taken.
- Unfair, biased, and misdirected prosecution for applications
- Employee's of USPTO's Mailing Operations (both incoming and outgoing) engage in or otherwise allow improper document handling.
- Representative attorney firms of certain applications are manipulating actual representing attorney's during improperly prosecuted times of such application
- Patent term adjustments allowed that are not proper. Many decisions are OPPT.
- Applications are being favored and assisted unfairly. (Helping some)
- Applications are being affected and hampered unfairly. (Hurting others)

It seems that anyone is signing as an attorney advisor. We all are advisors, everyday, whether to our family or friends or, occasionally perhaps to an attorney. Petition decisions need to have more signature weight and confirmation - the attorneys involved as officials in decisions need to sign as such attorneys.

Decisions never finalize and therefore prevent proper file prosecution thereby using up an application's term of the patent protection.

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Applicant believes the petition decisions made by Attorney Douglas I. Wood have been biased and unfair to Applicant. Further, Mr. Wood has engaged in unethical and improper conduct and should, as Applicant believes from and of proof of evidence (all from USPTO) to therefore support claim, be disbarred with his license to practice as an Attorney in Maryland, License #9812170297 revoked.

The system is built so that later entries show up earlier in IFW, therefore allowing a petition to appear elsewhere, as in the case of Applicants 8/24/2015 Correction Of Inventorship petition . The transaction numbers are so much further than others that actually appear later on in IFW. This process has been done to delay many different petition entries of other application files in 2016 data.

The PDFS then hide most of the paper entries with the table of contents pinpointing particular items. However when a PDF is made for 12316699, the application mentioned herein, the PDF entries point to incorrect papers.

The two petitions Applicant express mailed August 24, 2015 were Request for Refund and Correction Of Inventorship.

One of the petitions, the refund request was entered on the 24th as the entire paperwork set. The other was entered in March 2016 as '12316699,73,PET.,2015-08-24' after '12316699,72,OPPT,2016-03-04', the transaction that was entered into the file before it.

First, the refund, which is the actual IFW paperwork entry containing a cover, refund request petition, inventorship correction petition, having each petition having other items attached to and to be included in.

The other petition, for inventorship correction was entered into PALM by Douglas I. Wood when he was entering his decision, one that should have been made by a different department, and points to a copy of the cover letter and a copy of a previously paid check, a total of 5 pages. Further, these five pages contain a date stamp from the office of petitions of an improper date that should not have been possible for entry.

The 5 pages when displayed in PALM's IFW tab are mistakenly referenced as the correction petition. The Correction Of Inventorship petition which is made up of nearly 100 pages is actually part of what the refund petition document. Both were placed and referenced into PALM incorrectly.

Control of the 1.181 and 1.182 petitions further show interception and mishandling of Mr. Wood as these petition decisions should have had others involved.

Evidence in my petitions is thorough and complete and has been overlooked and not considered properly.

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Patent applications are not able to be prosecuted properly to finally grant allowing others to file an application of patent and get it granted first. A vast majority of applications are international and brought back into the United States patent system this way.

**~ MANIPULATED INCOMPLETE AND MISLEADING DATA ~**

All of the transaction datasets have missing transaction event entries purposely withheld from the USPTO. The ending event count for the 2016 data should have been **402,292,551** but was delivered with **337,600,355** total transactions. An estimated count of the missing transactions, having surrounding events as determining placeholders, is approximately **64,692,196** transactions, equating to **16%** missing.

Some event codes and their related transaction events are not presented within the publicly available data sets, intentionally left out or omitted during data set generation.

Some event transactions were part of data, having the event code not in the list or transaction data. OPPT, as example, was used in 2014 data but was absent in the event code database table for the year 2014.

Database alterations include event transaction entries 'DVER' & 'BIG.' and others inserted into the blank transaction event locations in older patents, dated 1990's and such, having these applications not using this event code, especially in the previously missing event code locations. Data has been backfield with erroneous event codes to sidetrack counts. There were a total of 1564 duplicated event entries within the 2016 data set.

The three bulk data sets for 2014, 2015 and 2016 are available at:

<https://bulkdata.uspto.gov/data/patent/pair/economics/2014/>  
<https://bulkdata.uspto.gov/data/patent/pair/economics/2015/>  
<https://bulkdata.uspto.gov/data/patent/pair/economics/2016/>

The following attributes are for the **transactions.csv.zip** files reviewed.

| Year | Size (Disk)   | Unzipped       | Zipped        | Date & Time       | Checksum |
|------|---------------|----------------|---------------|-------------------|----------|
| 2014 | 2,629,324,587 | 8,635,467,003  | 2,629,324,297 | 11/27/2015 7:09pm | 68E5E2C4 |
| 2015 | 3,075,369,797 | 9,298,180,034  | 3,075,369,507 | 7/15/2016 5:45pm  | 3B926E62 |
| 2016 | 2,055,399,119 | 10,248,334,384 | 2,055,398,829 | 8/8/2017 6:00pm   | 72F9F6C2 |

**~ SENSITIVE APPLICATION WARNING SYSTEM - ("SAWS") ~**

Program or it's intended operational effect is still in process and attempts at hiding or manipulating the programs affected applications data have occurred and may currently be occurring. The program affects a much larger application pool, Applicant believes, based on true and correct knowledge to be upwards to 1 million rather than the believed 70K previously thought. Comparison of the 3 different

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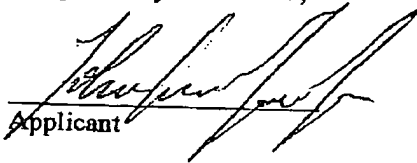
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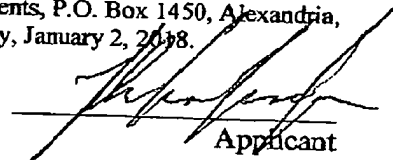
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Thank you.

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- - - - - **Certificate of Mailing** - - - - -  
I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 2, 2018.

  
Applicant



1-3-18

I/F-w  
DAC

**In The United States Patent And Trademark Office**



**RENEWED REQUEST FOR RECONSIDERATION**

- OF -

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- FOR -

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- AND -

**CEASE AND DESIST**

**Securable Independent Electronic Document**

Serial #12/316,699 - December 15, 2008

January 2, 2018

- Office of the President Of The United States, Mr. Donald J. Trump
- Office of the Secretary of Commerce of United States
- Office of the Chief Administrative Officer
- Office of the Chief Information Officer
- Office of the General Counsel
- Office of the Under Secretary Of Commerce For Intellectual Property and Director
- Office of the Commissioner for Patents Of The U.S. Patent And Trademark Office
- Office of the Deputy Commissioner for International Patent Cooperation
- Office of the Deputy Commissioner for Patent Examination Policy
- Office of the Deputy Commissioner for Patent Administration
- Office of the Deputy Commissioner for Patent Operations
- Office of the Deputy Commissioner for Patent Quality
- Office of Patent Information Management
- Office of Patent Application Processing
- Office of Patent Information Resources
- Office of Patent Legal Administration
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- Office of Data Management
- Office of Petitions

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Applicant believes he has presented material properly with good merit and just cause to fulfill the requirements of the current petitions and their relief asked for but has been prevented from the relief requested by employees of the United States Patent And Trademark Office (USPTO). Applicant has no other recourse with the prosecution process of the this application but to have constant focus on a review from the Commissioner.

**~ CEASE AND DESIST ~**

Applicant, John Lewis Guymon Jr., comes now, *pro bono publico* with just cause and worthy report, respectfully asking and immediately demanding that The United States Patent And Trademark Office (hereafter USPTO) cease and desist all activity harmful or otherwise causing harm, extenuating grief or delay to any one or all individual or individuals. Applicant additionally asks with respect for the USPTO to cease and desist and stop doing harm and causing grief and delay onset including that inflicted and caused upon Applicant during prosecution of the above-identified patent application.

Applicant requests review and renewed reconsideration of all decisions, certifications and other actions and events of this application, specifically those dated after April 2013.

Applicant has in his possession evidence of abuse, neglect, discrimination and other wrong doings and actions by employee's of the USPTO, having all said evidence available to anyone as it is currently supplied directly by the USPTO, and believes said evidence warrants the immediate cease and desist of the activities and actions of USPTO employee's as such activities are causing immediate and grave harm to Citizens of the United States as well as to Applicant, having Applicant suffer extreme harm, grief and injury as a result of the employee's responsible for the unjust and improper actions.

Applicant believed he followed procedures in regard to paperwork prosecution and with improper and unjust behavior of various employees allowed applicant's just and respectfully requested petition items to be ignored, or otherwise diminished or hidden from proper ruling party.

Applicant has suffered harm in this case and believes there is no alternative solution in place capable of supporting fair prosecution. Applicant therefore believes the only prosecution step available to the Applicant is an immediate petition to the Director and a request for the immediate cease and desist of the actions of the USPTO employees involved.

Applicant has acquired evidence in support of misdeeds done by the various USPTO employees from the USPTO's data supplied with their bulk data set releases for economic study for the 2014, 2015 and 2016 year, the USPTO's PAIR website, the USPTO's BULK pair website, the Global Dossier exchange link, the USPTO's XML bulk data site and petition decision web site. All supporting evidence is supplied via these mentioned ways, all publicly available.

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Applicant has in his possession unopened reply correspondence that are stamped with incorrect post marks. Further proof of improperly mailed items can be found in USTPO's PAIR system where a correspondence change generated return mail, having that return mail image available for review.

**~ MULTIPLE DEPARTMENT INVOLVEMENT ~**

Applicant believes the involved employees are numerous and work in several departments of the USPTO, including but not limited to the Office of Petitions and Office of Information Technology, and specifically those in charge of data transaction management. Applicant believes the employees in the Office Of Petitions, who are involved in petition decisions and routing of said petitions, have allowed Applicant's petitions to have ill course of prosecution with unfair and biased or other adverse decision. Applicant also believes employees involved in data management have had or allowed transaction alterations and/or adjustments and other potentially improper or illegal action. The actions of those who have allowed improper, incorrect, or otherwise unethical has allowed Applicant's petition's prosecution to be slowed, altered and otherwise improperly affected.

- Applicant believes there are others involved who work in various different offices of the USPTO that are involved in database management, data entry and/or other data input entities.
- Data is purposely omitted from the transaction files, event code files and other files accordingly. These missing data elements and omitted event codes are in the USPTO's data repository and is selectively omitted before distribution.
- Data transactions are dated improperly or otherwise al
- owed filing out of sequence fir application prosecution filing manipulated or tampered with.
- The process flow for petitions allow inappropriate control, having date stamps pretended/word for put on later and as if always there, missing, altered or otherwise out of date
- Accessibility to PAIR website resources is affected by a person's request for information by way of restricting, not allowing or interruption of use or such use's result.

There is web site script for the PAIR IFW page that hides the last activities of file prosecution, especially those related to patent term adjustments. Further, there is script code for the transaction tab to have entries hidden. These areas that lack complete data elements are meant to deceive the user and make discovery more difficult.

Generated PDF's that include text used for searches often include extra random characters therefore making key terms unable to be found. This is done purposely on improperly generated documents.

Further the manipulation, alteration or otherwise withholding of information of the applications involved is substantial and is being done by multiple departments within the USPTO.

**~ OPPT PETITIONS ~**

Improper petitions are found with OPPT and MOPPT event codes outside of petition related documents. OPPT-Transactions between the OPPT and MOPPT, with the IMIS document showing the identity of the employee involved.

The OPPT petition practice started January 7, 2014 with application 12/931,967. According to e2016 where the cut off date is August 8, 2017, there were a total of over 48,800.

Patterns exist where valid petition decisions are appropriately worked on in a scheduled manner and invalid OPPT type petition decisions are erratically completed and done so to a particular schedule or desired order without consideration to proper order and priority or position.

There are OPPT decisions/actions done within range of included documents that don't necessarily include an IMIS type document, one that shows the name of the deciding official involved.

With the OPPT type petitions the graphics in the document header are messed up. All of the petitions are signed as advisors therefore removing liability, there are random purposeful mistakes are throughout, and frequently will be of a status denied or dismissed and yet the actions are as if granted. Petitions are used with either decision to the advantage of the person and patentee or to harm the patentee accordingly. For example, with Application #12/734,283, the petition is denied, however, the patent term adjustment is still made. Many patent term adjustments are done with the OPPT petition type.

Good petition decisions are found searching for the normal PTDI, PTDE or PTGR or the sub-event codes for petition decisions. These decisions of good practice mix with the OPPT petition types and further verify and provc of the phony petitions existencce.

OPPT- The ULR's have copied of the same petitions as in the application file but with a date stamp that for most causes is improper; the date stamp is not on the decision of the documents in PAIR, having the title graphics still in proof stage (dotted line).

Invalid: (a few of many)

[https://www.uspto.gov/sites/default/files/documents/8498820\\_14feb2017.pdf](https://www.uspto.gov/sites/default/files/documents/8498820_14feb2017.pdf)  
[https://www.uspto.gov/sites/default/files/documents/8643149\\_30mar2015.pdf](https://www.uspto.gov/sites/default/files/documents/8643149_30mar2015.pdf)  
[https://www.uspto.gov/sites/default/files/documents/8674513\\_denial\\_07apr2015.pdf](https://www.uspto.gov/sites/default/files/documents/8674513_denial_07apr2015.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9055980\\_17oct2016.pdf](https://www.uspto.gov/sites/default/files/documents/9055980_17oct2016.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9068212\\_28jun2016.pdf](https://www.uspto.gov/sites/default/files/documents/9068212_28jun2016.pdf)  
[https://www.uspto.gov/sites/default/files/documents/9283100\\_07mar2017.pdf](https://www.uspto.gov/sites/default/files/documents/9283100_07mar2017.pdf)

Applicant believes the employees involved in the OPPT type petition actions are as follows:

|                               |               |        |                                      |
|-------------------------------|---------------|--------|--------------------------------------|
| FAISON BALL PATRICIA F        | (571)272-3212 | P/OPET | OFFICE OF PETITIONS                  |
| GRANT CHARLEMA R              | (571)272-4097 | G/OED  | OFFICE OF ENROLLMENT AND DISCIPLINE  |
| KELLEY-COLLIER ALICIA T (SPE) | (571)272-6059 | P/OCDD | OPESS - CHEMICAL AND DESIGN DIVISION |
| WALSH LIANA S                 | (571)272-3206 | P/OPET | OFFICE OF PETITION                   |
| WOOD DOUGLAS I                | (571)272-3231 | P/OPET | OFFICE OF PETITIONS                  |
| WOODS DEREK L                 | (571)272-3232 |        |                                      |
| THORNTON MCLAUGHL KENYA A     | (571)272-3222 | P/OPET | OFFICE OF PETITIONS                  |

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BROWN ALESIA M  
INABINET KIMBERLY A  
JOHNSON TERRI S  
DONNELL CHRISTINA T  
SHANOSKI PAUL A  
SMITH ANDREA M  
CREASY KAREN O

(571)272-3205  
(571)272-4618  
(571)272-2991  
(571)272-3211  
(571)272-3225  
(571)272-3226  
(571)272-3208

P/OPET OFFICE OF PETITIONS  
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**~ PETITIONS ARE WITHHELD HIJACKED OR WITH IMPROPER DECISION ~**

Applicant believes petitions entered in this application have been improperly handled by unfitting individuals within the Office Of Petitions; such individuals have inappropriately ruled on said petitions, while said petitions should have rightly been sent to and decided upon by the appropriate departments.

Applicant swears, affirms and believes:

- Employees of the USPTO's Office of Petitions and/or other departments have taken improper control of petition operations
- Petitions have been ruled improperly on over 48K petitions since January 2014 according to the 2016 data set event code OPPT. All three types of petitions (Granted, Denied and Dismissed) have been improperly ruled. The correct and properly generated petition decisions of before, during and now, currently, show valid procedure that further validates the incorrect procedures of said OPPT type decisions.
- The Office of Petitions has entered a multitude of properly ruled decisions since January 2014, having these decisions show and prove correct procedure. Such proof, in concurrent existence, shows a normal decision procedure or course taken.
- Unfair, biased, and misdirected prosecution for applications
- Employee's of USPTO's Mailing Operations (both incoming and outgoing) engage in or otherwise allow improper document handling.
- Representative attorney firms of certain applications are manipulating actual representing attorney's during improperly prosecuted times of such application
- Patent term adjustments allowed that are not proper. Many decisions are OPPT.
- Applications are being favored and assisted unfairly. (Helping some)
- Applications are being affected and hampered unfairly. (Hurting others)

It seems that anyone is signing as an attorney advisor. We all are advisors, everyday, whether to our family or friends or, occasionally perhaps to an attorney. Petition decisions need to have more signature weight and confirmation - the attorneys involved as officials in decisions need to sign as such attorneys.

Decisions never finalize and therefore prevent proper file prosecution thereby using up an application's term of the patent protection.

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Applicant believes the petition decisions made by Attorney Douglas I. Wood have been biased and unfair to Applicant. Further, Mr. Wood has engaged in unethical and improper conduct and should, as Applicant believes from and of proof of evidence (all from USPTO) to therefore support claim, be disbarred with his license to practice as an Attorney in Maryland, License #9812170297 revoked.

The system is built so that later entries show up earlier in IFW, therefore allowing a petition to appear elsewhere, as in the case of Applicants 8/24/2015 Correction Of Inventorship petition ,. The transaction numbers are so much further than others that actually appear later on in IFW. This process has been done to delay many different petition entries of other application files in 2016 data.

The PDFS then hide most of the paper entries with the table of contents pinpointing particular items. However when a PDF is made for 12316699, the application mentioned herein, the PDF entries point to incorrect papers.

The two petitions Applicant express mailed August 24, 2015 were Request for Refund and Correction Of Inventorship.

One of the petitions, the refund request was entered on the 24th as the entire paperwork set. The other was entered in March 2016 as '12316699,73,PET.,2015-08-24' after '12316699,72,OPPT,2016-03-04', the transaction that was entered into the file before it.

First, the refund, which is the actual IFW paperwork entry containing a cover, refund request petition, inventorship correction petition, having each petition having other items attached to and to be included in.

The other petition, for inventorship correction was entered into PALM by Douglas I. Wood when he was entering his decision, one that should have been made by a different department, and points to a copy of the cover letter and a copy of a previously paid check, a total of 5 pages. Further, these five pages contain a date stamp from the office of petitions of an improper date that should not have been possible for entry.

The 5 pages when displayed in PALM's IFW tab are mistakenly referenced as the correction petition. The Correction Of Inventorship petition which is made up of nearly 100 pages is actually part of what the refund petition document. Both were placed and referenced into PALM incorrectly.

Control of the 1.181 and 1.182 petitions further show interception and mishandling of Mr. Wood as these petition decisions should have had others involved.

Evidence in my petitions is thorough and complete and has been overlooked and not considered properly.

Patent applications are not able to be prosecuted properly to finally grant allowing others to file an application of patent and get it granted first. A vast majority of applications are international and brought back into the United States patent system this way.

**~ MANIPULATED INCOMPLETE AND MISLEADING DATA ~**

All of the transaction datasets have missing transaction event entries purposely withheld from the USPTO. The ending event count for the 2016 data should have been **402,292,551** but was delivered with **337,600,355** total transactions. An estimated count of the missing transactions, having surrounding events as determining placeholders, is approximately **64,692,196** transactions, equating to **16%** missing.

Some event codes and their related transaction events are not presented within the publicly available data sets, intentionally left out or omitted during data set generation.

Some event transactions were part of data, having the event code not in the list or transaction data. OPPT, as example, was used in 2014 data but was absent in the event code database table for the year 2014.

Database alterations include event transaction entries 'DVER' & 'BIG.' and others inserted into the blank transaction event locations in older patents, dated 1990's and such, having these applications not using this event code, especially in the previously missing event code locations. Data has been backfield with erroneous event codes to sidetrack counts. There were a total of 1564 duplicated event entries within the 2016 data set.

The three bulk data sets for 2014, 2015 and 2016 are available at:

<https://bulkdata.uspto.gov/data/patent/pair/economics/2014/>  
<https://bulkdata.uspto.gov/data/patent/pair/economics/2015/>  
<https://bulkdata.uspto.gov/data/patent/pair/economics/2016/>

The following attributes are for the **transactions.csv.zip** files reviewed.

| Year | Size (Disk)   | Unzipped       | Zipped        | Date & Time       | Checksum |
|------|---------------|----------------|---------------|-------------------|----------|
| 2014 | 2,629,324,587 | 8,635,467,003  | 2,629,324,297 | 11/27/2015 7:09pm | 68E5E2C4 |
| 2015 | 3,075,369,797 | 9,298,180,034  | 3,075,369,507 | 7/15/2016 5:45pm  | 3B926E62 |
| 2016 | 2,055,399,119 | 10,248,334,384 | 2,055,398,829 | 8/8/2017 6:00pm   | 72F9F6C2 |

**~ SENSITIVE APPLICATION WARNING SYSTEM - ("SAWS") ~**

Program or it's intended operational effect is still in process and attempts at hiding or manipulating the programs affected applications data have occurred and may currently be occurring. The program affects a much larger application pool, Applicant believes, based on true and correct knowledge to be upwards to 1 million rather than the believed 70K previously thought. Comparison of the 3 different

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data sets showing patterns of data manipulation for the intent of hiding the missing events give hint as to what applications were possibly involved in the SAWS program.

Applicant believes there is a significant count more than the estimated 70K. Out of the 10,451,059 total applications in the 2016 data set Applicant believes the total SAWS related applications could be over 1/2 Million (500,000) if not more.

SAWS- Some applications are still being segregated, favored or otherwise improperly affected. There needs to be indicators or something other that goes with prosecution so that an inventor knows if his application is specially flagged in any way.

Until the USPTO gives complete access to the transaction events and other data of patent application files there can be no checks and balances. Without the cooperation from the USPTO or the accumulation of application files offered in bulk to the public, open transparency cannot exist and people in position who can manipulate the system will tend to do so.

The findings centered around the case or file lost/found (LOST/FOND) events may be inaccurate as these event type codes were entered erroneously in the data sets therefore affecting actual event occurrence counts.

The data sets allow easy analysis of the data, thus allowing a person to suggest which applications are included. It is only the 'marks on the application's file folder' that can honestly verify such

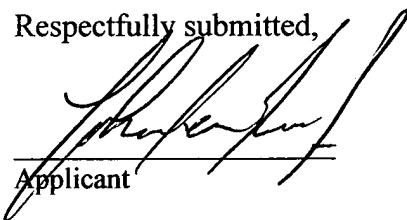
**~ CONCLUSION ~**

Applicant contacted all the different departments that could be found such as Pro Se Assistance Program, Inventors Assistance Center, Ombudsman Program, having most of the time to be told to contact Mr. Wood @ 571-272-3231, as he was the one in charge of my file. Additionally I talked with people in the Deputy Commissioners office with no help or success.

Applicant asks again for the improper and harmful activities and other actions of the employees of the USPTO cease and desist immediately.

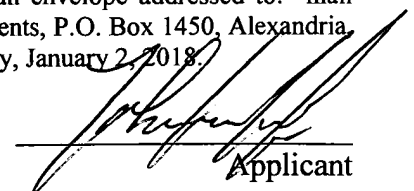
Thank you.

Respectfully submitted,

  
Applicant

- - - - - **Certificate of Mailing** - - - - -

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 2, 2018.

  
Applicant





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451 7590 10/31/2017  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

|          |
|----------|
| EXAMINER |
|----------|

ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

2494

| MAIL DATE | DELIVERY MODE |
|-----------|---------------|
|-----------|---------------|

10/31/2017

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

In re Application of :  
Guymon et al. :  
Application No. 12/316,699 : DECISION ON PETITIONS  
Filed: 12/15/2008 : UNDER 37 CFR 1.183 and 1.137(a)  
For: SECURABLE INDEPENDENT :  
ELECTRONIC DOCUMENT :

This is a decision on the papers filed August 29, 2017, which are treated as a (1) a petition under 37 CFR 1.183 to waive the rules and accept papers which are not signed by all inventors; (2) a renewed petition to revive the above-identified application under 37 CFR 1.137(a).

The petitions are again **dismissed** for the reasons stated below.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition." This is not a final agency decision.

In response to the decision mailed June 29, 2017, petitioners have filed a request for reconsideration. Petitioners state that all petitions are requested renewed except the petition for correction of inventorship (37 CFR 1.48) and for refund (37 CFR 1.26). As such, the petition paper is considered a petition requesting reconsideration of the petition under 37 CFR 1.183 and 1.137(a).

### **Request to Waive the Rules under 37 CFR 1.183**

The petition again requests waiver under 37 CFR 1.183 of the requirement that amendments and other papers be signed by both inventors. This petition, signed only by inventor Guymon, indicates that inventor Guymon believes his contribution to the inventorship of the application was greater than that of inventor Litsey, and that it has been difficult for him to obtain the signature of inventor Litsey.

At the outset, the paper on which this petition is filed is not signed by all of the applicants. Pursuant to 37 CFR 1.33(b), amendments and other papers filed in the application must be signed by all of the joint inventors (applicants), a registered patent practitioner, or an assignee as provided for under 37 CFR 3.71(b).

With regard to the petition to waive the rules, 37 CFR 1.183 states

In an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be

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suspended or waived by the Director or the Director's designee, *sua sponte*, or on petition of the interested party, subject to such other requirements as may be imposed. Any petition under this section must be accompanied by the petition fee set forth in § 1.17(f) .

Petitioner's argument has been considered, but is not persuasive. While petitioner has not requested reconsideration of the request under 37 CFR 1.48, petitioner Guymon appears to still argue that there is a dispute as to the ownership. In this regard, 37 CFR 1.183 permits the Director to waive a rule when extraordinary circumstances exist and justice requires waiver of the rule. Applicant has not shown the existence of any extraordinary circumstances, however, where justice requires waiver of the rule requiring all inventors or their representatives sign amendments or other papers. Where, as here and as stated previously, the question of inventorship between the diverse parties is vigorously contested, the USPTO will not relax the requirement of 37 CFR 1.48 by way of 37 CFR 1.183.<sup>1</sup> As petitioners have not adequately shown that either an extraordinary situation is herein present or that "justice requires" waiver, the petition for waiver is dismissed.

It would be contrary to the intent of the regulations to permit, *ex parte*, by waiver of the rules, Petitioner to unilaterally extinguish the property rights that Litsey has in this application. Litsey, as a joint inventor, has a property right in this application that can be canceled, revoked, or annulled *only* by proceedings that afford due process of law.<sup>2</sup> An *ex parte* proceeding in the USPTO is not the appropriate forum for the contested extinction of a property right in an application.<sup>3</sup> That is especially so in, as here, the presence of the express objection of the party whose interest(s) is being extinguished.<sup>4</sup> It is well settled that the Office is not the appropriate forum for resolving a dispute concerning the ownership of an application, and, as such, the USPTO will not permit itself to become embroiled in ownership disputes.<sup>5</sup> Likewise, permitting the acceptance of amendments or other papers signed only by Guymon, without Litsey's assent, would prejudice Litsey's property rights in the application. Lastly, in circumstances as herein, the USPTO seeks to preserve the rights of all parties to the extent it is able.

Additionally, with regard to the difficulties described in having both inventors sign papers filed in the Office, 37 CFR 1.32(c)(1) as applicable to applications for patent filed before September 16, 2012 states that a power of attorney may name one or more joint inventors as representative. As such, the joint inventors may agree to give power of attorney to one or more joint inventors. Guymon and Litsey may therefore *agree jointly* to appoint Guymon a power of attorney. See also MPEP 402.02(b).

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<sup>1</sup> See *In re Cooper*, 230 USPQ 638, 639 (Comm'r Pat. 1985).

<sup>2</sup> *Iowa State Univ. Research. Found. v. Sperry Rand Corp.*, 444 F.2d 406, 410, 170 USPQ 374, 377 (4<sup>th</sup> Cir. 1971).

<sup>3</sup> See *Baker Hughes, Inc. v. Kirk*, 921 F.Supp. 801 (D.D.C. 1995).

<sup>4</sup> *Id.*; *In re Hardee*, 223 USPQ 1122 (Comm'r Pat. 1984).

<sup>5</sup> See *Ex parte Harrison*, 1925 Dec. Comm'r Pat. 122, 123 (Comm'r Pat. 1924); *In re Moeller*, 1904 Dec. Comm'r Pat. 70, 71 (Comm'r Pat. 1904); *Ex parte McTammany*, 1900 Dec. Comm'r Pat. 168, 181 (Comm'r Pat. 1900); *Ex parte McFarlane*, 1896 Dec. Comm'r Pat. 37, 38 (Comm'r Pat. 1896); *Ex parte Gallatin*, 1892 Dec. Comm'r Pat. 106 (Comm'r Pat. 1892).

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Accordingly, the petition is **dismissed**.

### **Proper Extension of Time and Fee Have Not Been Supplied**

Petitioners assert in the renewed petition signed by both joint inventors that a three month extension of time was filed on Saturday, August 8, 2016, and that the extension of time fee was properly paid in the micro entity amount of \$350. Petitioner notes that a Micro Entity Status Certification has now been filed with the subject renewed petition, and that the previously paid fee should be considered properly paid in the micro entity amount.

Petitioner's argument has been considered, but is not persuasive. MPEP 509.04(I) states that a fee may be paid in the micro entity amount only if it is submitted with, or subsequent, the submission of a certification of entitlement to micro entity status. In order for a three (3) month extension in response to the decision mailed March 7, 2016 to be payable in the micro entity amount, both the fee and a properly signed Micro Entity Status Certification would have had to be filed no later than August 8, 2016. However, a properly executed Micro Entity Status Certification was not filed until August 29, 2017. The fee paid on August 8, 2016 cannot be paid in the micro entity amount absent a proper and timely Micro Entity Status Certification filed on or before that date. Therefore, the fee was not timely paid in the micro entity amount.

### **Petition Under 37 CFR 1.137(a)**

The application became abandoned on August 3, 2013, for failure to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection was filed, accompanied by a request for a three (3) month extension of time in accordance with 37 CFR 1.136(a) and payment of the fee in the micro entity amount. However, the Certification of Micro Entity Status filed October 2, 2013 was signed by only one of the joint inventors and was therefore not effective. Therefore, the extension of time fee payment of \$150 filed October 2, 2013, was only sufficient for a 1 month extension of time at the small entity amount. On October 18, 2013, however, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed on October 2, 2013, fails to place the application in condition for allowance. Notice of Abandonment was mailed on February 7, 2014. On April 7, 2014, a paper styled as a petition to revive the application was filed, accompanied by a Request for Continued Examination. On April 30, 2014, the petition was dismissed. On February 6, 2016, a renewed petition was filed. On March 7, 2016, the renewed petition was dismissed. On August 22, 2016, a renewed petition was filed. On June 29, 2017, the petition was dismissed.

As noted in the decision mailed June 29, 2017, the petition is untimely. As noted above, a three (3) month extension of time was required to make the reply a timely. The proper fee for a three (3) month extension of time was not submitted, The two (2) month extension of time submitted with the petition on August 8, 2016 was not a sufficient extension of time and not properly filed with a valid certification of micro entity status. Therefore, the petition is untimely.



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Page 5

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By internet: EFS-Web<sup>6</sup>

Correspondence regarding this decision may also be filed through the electronic filing system of the USPTO.

Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

/dwood/

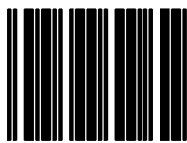
Douglas I. Wood  
Attorney Advisor  
Office of Petitions

Encl: PTO/SB/64 (12-13) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)

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<sup>6</sup> [www.uspto.gov/ebc/efs\\_help.html](http://www.uspto.gov/ebc/efs_help.html) (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

## Office of Petitions: Routing Sheet



4 7 0 0

Application No. 12316699

**This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.**

**GRANTED**

**DISMISSED**

**DENIED**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional)

Page 1 of 2

First named inventor: \_\_\_\_\_

Application No.: \_\_\_\_\_ Art Unit: \_\_\_\_\_

Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_

Title:

[Empty box for Title]

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Statement that the entire delay was unintentional.

**1. Petition fee**

Small entity fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant asserts small entity status. See 37 CFR 1.27.

Undiscounted fee \$ \_\_\_\_\_ (37.CFR.1.17(m)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office notice or action in the form of

\_\_\_\_\_ (identify the type of reply):

has been filed previously on \_\_\_\_\_.

is enclosed herewith.

B The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_

has been paid previously on \_\_\_\_\_.

is enclosed herewith.

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Page 2 of 2

**3. Terminal disclaimer with disclaimer fee**

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

**4. STATEMENT:** The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional. (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Address

**Enclosures:**

- Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheet(s) containing statements establishing unintentional delay
- Other: \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
- Transmitted by EFS-Web or facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name of person signing certificate

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Office of Petitions: Decision Count Sheet

Mailing Month

10

Application No.

12316699



For US serial numbers: enter number only, no slashes or commas. Ex: 10123456

For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345

Deciding Official:

DOUGLAS I. WOOD

Count (1) - Palm Credit

12316699

Decision:

DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type:

503 - 37 CFR 1.183 -SUSPEND/WAIVE EXAMINING-RELA



Notes:

Please enter 503 petition

Count (2)

Decision:

DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type:

502 - 37 CFR 1.137(b) - REVIVAL BASED ON UNINTENTI



Notes:

Count (3)

Decision:

n/a

FINANCE WORK NEEDED

Select Check Box for YES

Decision Type:

NONE

Notes:

Initials of Approving Official (if required)

If more than 3 decisions, attach 2nd count sheet & mark this box



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AUG 30 2017

HP LaserJet 400 colorMFP M475dw

# Fax Confirmation

DPS/Salt Lake Comm Ctr  
801-887-3018  
Aug-29-2017 21:57

| Job  | Date      | Time     | Type | Identification | Duration | Pages | Result |
|------|-----------|----------|------|----------------|----------|-------|--------|
| 6874 | 8/29/2017 | 21:42:45 | Send | 15712738300    | 14:13    | 30    | OK     |

August 29, 2017

ES&B Bending Corp  
1435 East 7000 South  
Salt Lake City, Utah 84121

mail stop Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Securable Independent Electronic Document, Ser #12/316099, Filed Dec 15, 2008

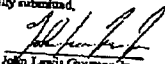
To: United States Patent And Trademark Office - Office Of Patents

Applicants/joint inventors present this cover letter and contents comprising Applicants' joint inventors' Renewed Petition in response to the United States Patent And Trademark Office communication/decision(s) dated June 29, 2017.

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

8/29/17

  
Date: Apin Lewis Grayson Jr  
Applicant/Joint Inventor

Date: Douglas Scott Litsey  
Applicant/Joint Inventor

--- Certificate of Mailing ---

I certify that this correspondence will be mailed/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August \_\_, 2017.

Applicant/Joint Inventor

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AUG 29 2017

August 26, 2017

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

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P.O. Box 1450  
Alexandria, VA 22313-1450

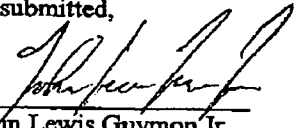
Ref: Securable Independent Electronic Document, Ser #12/316699, filed Dec 15, 2008

To: United States Patent And Trademark Office - Office Of Petitions

Applicants/joint inventors present this cover letter and contents comprising Applicants'/joint inventors' 'Renewed Petition' in response to the United States Patent And Trademark Office communication/decision(s) dated June 29, 2017.

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

8/26/17   
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

Date: \_\_\_\_\_  
Douglas Scott Litsey  
Applicant/Joint Inventor

- - - Certificate of Mailing - - -

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August \_\_, 2017.

\_\_\_\_\_  
Applicant /Joint Inventor

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**AUG 29 2017**

**In The United States Patent And Trademark Office**

|                    |                                             |
|--------------------|---------------------------------------------|
| Serial Number:     | 12/316,699                                  |
| Application Filed: | December 15, 2008                           |
| Applicants:        | John Lewis Guymon Jr / Douglas Scott Litsey |
| Application Title: | Securable Independent Electronic Document   |
| Examiner/GAU:      | Kaveh Abrishamkar                           |

August 26, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed Dec 15, 2008

To: United States Patent And Trademark Office - Office Of Petitions

**STATEMENT OF UNINTENTIONAL DELAY**

The entire delay in filing the required reply from the due date for the required reply of the Office Action dated April 2, 2013 and/or Advisory Action dated October 18, 2013 and/or subsequent Abandonment dated February 7, 2014 until the filing of a grantable petition under 37 C.F.R. §1.137(b) was unintentional.

There has been active work to revive the application with multiple prior attempts unsuccessful due to unintentional results. There have been periods of time spend with communications, negotiations as well as time spent for response or various replies. The actions of the Applicants/joint inventors to continue the project and this application of patent forward have went/continued with diligence.

Both Applicants/joint inventors desire to revive the application and continue its prosecution to a final grant state.

Respectfully submitted,

*John Lewis Guymon Jr*  
 Date: 8/26/17  
 John Lewis Guymon Jr  
 Applicant/Joint Inventor

\_\_\_\_\_  
 Date: \_\_\_\_\_  
 Douglas Scott Litsey  
 Applicant/Joint Inventor

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**AUG 29 2017**

**In The United States Patent And Trademark Office**

|                    |                                             |
|--------------------|---------------------------------------------|
| Serial Number:     | 12/316,699                                  |
| Application Filed: | December 15, 2008                           |
| Applicants:        | John Lewis Guymon Jr / Douglas Scott Litsey |
| Application Title: | Securable Independent Electronic Document   |
| Examiner/GAU:      | Kaveh Abrishamkar                           |

August 29, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RENEWED PETITION REQUEST FOR RECONSIDERATION  
OF**

**37 CFR 1.129 - CERTIFICATION OF MICRO ENTITY  
AND**

**PETITION DECISIONS (6/29/2017)**

**FOR**

**37 CFR 1.137(a) - REVIVAL OF ABANDONED APPLICATION, February 6, 2016  
37 CFR 1.114 - REQUEST FOR CONTINUED EXAMINATION, April 7, 2014**

**AND CONSEQUENT**

**37 CFR 1.136 - EXTENSION OF TIME - August 8, 2016  
37 CFR 1.183 - SUSPENSION OF RULES - August 8, 2016**

**UNDER**

**37 CFR 1.181 - PETITION TO THE COMMISSIONER**

Sir/ Madam:

This Request for Reconsideration is submitted in response to the various petition and certification decisions ruled June 29, 2017 in the above-identified patent application.

All petitions active for this application are requested renewed reconsideration except, with rights reserved, Applicants'/joint inventors' petitions for **37 CFR 1.48 - CORRECTION OF INVENTORSHIP** and **37 CFR 1.26 - REFUND**.

Two petitions and one certification in this request have attached to and included herein form generated documents supplied, specifically those of:  
**37 CFR 1.137(a) - REVIVAL OF ABANDONED APPLICATION (PTO/SB/64),  
37 CFR 1.114 - REQUEST FOR CONTINUED EXAMINATION (PTO/SB/30) and 37 CFR 1.129 - CERTIFICATION OF MICRO ENTITY (PTO/SB/15A).**

Applicant Guymon appreciates the United States Patent And Trademark Office's position on the protection and preservation of the rights of the Inventor. Applicant, being fair and honest in seeking a resolution to this application's inventorship believes that dedicated work and commitment of an Inventor should be known, valued and appreciated. Applicant believes that the ever demanding bearing of fairness and equality of the Office helped to facilitate communication and understanding among the joint inventors of this application to relieve conflict and further progression of this application.

Applicant Guymon, acting in capacity as he believed true and proper as a representative according to 37 C.F.R. 1.137 of both Applicants/joint inventors. Unaware of the possibility of petition for rule suspension 37 C.F.R. 1.183 until the later part of 2016, file such petition for both Applicant's benefit for the application's continued prosecution, and, in regard to inventor correction, filed such petition in depth and in a procedurally prudent manner. All of Applicant's past actions and prior correspondence had paperwork and fees accepted for various petition filings making Applicant believe said prosecution was in compliance of all laws and statues, believing additionally that Micro Entity status was obtained for this application as fees were accepted without denial of the Office.

With past communications between Applicant Guymon and Attorney Douglas I. Wood on or about October 2014 and then again with Mr. Wood and his presented supervisor at the time Cassandra Banks on or about June 2016, Applicant, with said communication's details of dates, times and extension of phone noted, on the advice of Attorney Wood and Supervisor Banks worked through the inventorship process defining what an inventor was and how conception and evidence of conception is used to determine inventorship. Delay's where Applicant Litsey did not participate was because the confirmation of inventorship was not clear. It is believed or thought to be now that both parties have in their possession evidence of conception of the inscribed invention and that such claims support that thereof. Therefore, based on belief of the said sure and proper evidence each Applicant/joint inventor maintains, inventorship to both Applicants can be applied.

Previous petition decisions by The United States Patent And Trademark Office in regard to inventor's rights and those rights extinguished allowed time for Applicants/joint inventors to come together and finally work out their differences and misunderstandings such that, based on each Applicant's/joint inventor's declaration of possession of said items that, as they each believe to be true and valid items of support towards the amended claims set 1-4, that each Applicant/joint inventor agrees that with such items, unique, original and supportive of idea conception in the said invention that the claims, as written first, amended and expected to be continually written in the future and are materials of evidence that supports a conceive idea or ideas perpetrated in the claim set as written or amended.

Further review of said material items of each Applicant/joint inventor of each by the other and the realization by each or the other that the materials due in fact support the intricate portions or sub-portions of the in-depth descriptions and details of layout that could be done, as with most software/electronic device inventions, especially that of electronic documents and specific processes, method's or systems of generation and said generation's content's of said documents other attributes that, in the case of the inscribed invention exist. However, at this



time, each Applicant/joint inventor feels satisfied that the other's portion of conception fits into the overall apparatus / electronic document and said documentation methods and inscribed systems such that both Applicant/joint inventors are satisfied with the other's declaration and physical ownership of evidence of invention conception.

During such time where co inventor Litsey could not submit signature, in respect obedience to 18 U.S.C. 1001 or, where Applicant Litsey felt he was a co-inventor, a written statement of misjoinder, both applicants were at stand still. During this unprosecutable time of application, Applicant Guymon followed patent rule and statute law as he felt fair, proper and honest and took, because of the only limited avenue available to Applicant Guymon, proper and due process in order to continue the application's examination and/or subsequent revival when a continued examination was not allowed due to an improper reply needed for such. Applicant Guymon, prose, unable to secure legal representation or assistance, with petition 1.183 submission Aug 2016 believed such actions were finally those needed or required in order for the United States Patent And Trademark Office to allow or accept continued application prosecution. Applicant agrees with the Office's objection of inventorship's rights distinguishment possibility, and on guidance from Douglas Wood, Attorney, advising since 2014 on the process that could be taken for the illumination of the application's problem, to wit correction of inventorship if signature of joint applicant is unattainable, proceeded accordingly.

Applicants/joint inventors, with submission of this renewed petition, request Applicant Guymon's prior 1.183 petition grant and ultimately allow grant of other petitions, having said petitions for the good of the Application and all co-inventors. Both Applicants/joint inventors are, as both Applicants/joint inventors desired, believed to be true, now, in support of the combined submissions of Certification of Micro Entity, Request Of Continued Examination, Revival Of Application petitions and included Extensions Of Time petitions, specifically that of Applicant Guymon's Extension Of Time petition submitted August 2016.

With the now submitted documents/paperwork showing both applicant's/joint inventors desired intentions and stated past believed of operation, procedure or other action, Applicants/joint inventors request renewed petition consideration of Applicant's 1.183 petition, having said 1.183's acceptance and grant supporting totally supporting both Applicant's/joint inventor's, having Applicant's 1.48 petition previously filed not of issue or part of this 1.183 renew consideration process and further having Applicant's 1.48 petition left dismissed without further Applicant prosecution.

Further, Applicants/joint inventors, renewing petitions under 1.181, request further review and involvement of the Director Of The United States Patent And Trademark Office.

Neither applicant has entered or otherwise submitted or practiced upon the Office any false, malice or otherwise incorrect, misleading, or inequitable conduct in any submission, correspondence or other action or statement. Applicants/joint inventors have had a difficult time in working through the definitions, requirements or other prerequisites of the prosecution of this application's invention and its difficult and in-depth intricate details and said details implementation. Further, with acceptance and acknowledgement and whole hearted compliance

in 18 U.S.C. 1001, time durations have been extended with delays, unintentional and unable to avoid, only as long as required for proper representation and procurement of prosecution and petition allowances.

### Renewed Petition Request

Applicants/joint inventors John Lewis Guymon Jr and Douglas Scott Litsey come now, in agreement, respectfully requesting renewed reconsideration of previously denied 'Certification Of Micro Entity' and dismissed petition's for 'Suspension Of Rules', 'Extension Of Time', 'Revival Of Abandoned Application' and 'Request For Continued Examination' and to have said items considered timely, acknowledged, and accepted as a matter of record.

Below is an outline overlay of the line items of Applicant's request of the mailed decision dated June 29, 2017.

- 1) 1.129 Certification Of Micro Entity
- 2) 1.137(a) 2 Revive D
- 3) 1.48(a) 3 D
- 4) 1.114 RCE
- 5) 1.127 5 Refund D
- 6) 1.136 Time THIS IS THE PROOF ONE
- 7) 1.183 1 My last is wood 1 D

### Suspension of Rules Just

Applicant requests 1.183 waiver of rules on items 1,2,4,5,6 above and not non item 3. Ultimately if a person feels they are not an inventor he/she cant sign any document. If they feel he/she is of equal in inventorship they would not agree in the deletion of their inventor status and would demand a statement of compensation, right or other acknowledgement. Either way a signature becomes unavailable due to penalty or non-agreement to deletion, which forced a detailed look at if or if not, based on evidence of conception, which for Litsey was small and fine in detail, was an amount that would or would not verify conception. Co-inventor Guymon, having a greater share of conception, made it difficult to understand Litsey's portion.

Litsey was added at the time because he had worked on things that were both routine procedure and creative thought. The area of confusion was on the conception of certain security measures allowed in the electronic document, and Both needed to understand such areas to determine if such measures are conceptually used, as location and position in the document is key to conception of security, and the types of measures in such locations.

These areas are in a sense dimensionless and therefore brings both Applicant's contribution into overlap because both could conceive areas and the information that could be placed there.

Conception becomes clear when itemization is done to the elements of the invention. In other words, the combinations of security measures in different locations and the security data

that is in those locations generate a platform unique to the document, the invention embodiments are each one unique, original and different possibly from any other.

The question arises as to whether or not this multi-directional system was part of the early prototypes or not, as the security of the invention, a product of security locations and data values, rises exponentially.

As Litsey joined in on the understanding and use of the invention the invention took on a greater power, as more is greater than less. The ultimate question that took so long for the two to understand was that of where the obvious use of data in sections to bind other sections existed or not. The answer is YES, and as sections became, NO. Final matrix defining documents would show both to be true and thus require both parties to bring documentation together. At this time both inventors, having documents, pages, notebooks and/or other items of physical evidence are these inscribed matrix portions needed to build the one model from the two data sets.

It became very exhausting for both to the point that definition clarity was needed. A test became about that presented even further deadlock of the inventors - the presentation of said material items before during and after the two believed in their credit and reward. Because Guymon has majority Litsey had burden to show the minor contribution so that said contribution can then be regarded. Over time understanding of inventorship became known. Simple enough, if a person is an inventor they share equally in the ownership and control of the invention. Guymon has and always has had no problem allowing Litsey to be represented as an inventor but needed the eventual proof to verify for everyone's protection. The question of ownership was not clear to Litsey early on in the delayed time period from October 2013 until recently. As such, both parties needed from the other substantial guarantee as of to part, Applicant Guymon's need of Applicant Litsey's confirmed physical evidence and Litsey's need of belief that his work/contribution were of value and would be rewarded. Mediation, occurring during the entire period of time that has been taken to allow both parties to come to the point of agreement as to the other's physical ownership of connectional documentation, allowed both parties to agree that each could be listed as a co-inventor. With the paralleled belief and agreement as written both parties believe each has such said documentation of various conceived portions of the invention's areas. During this time period, however, Applicant Guymon had no other recourse but to correct the inventorship or get Litsey to join in participation of prosecution.

Both parties desire revival and continued examination, and desire both to have happened long ago but were unable to obtain. Applicant Guymon could not advance prosecution because of Office decisions and Litsey was unable as well as he held minor portions of reply responsibility and more importantly was never directly allowed prosecution, specifically with reply's and office communications. Guymon and Litsey desired further progress of the application, as presently presented in the documents associated with this reconsideration, however only Guymon was able to bring prosecution, either because of position in correspondence between the office and inventors/applicants or because Guymon, acting in a representative position of both as Litsey was an agent of Guymon's DBA organization and therefore obligated for the most part to generate and work the prosecution.

Time was extensive due to the inventions multi-dimensional generation qualities. The great work of Litsey in the authoring and other editing works of Litsey was in depth, abstract and well placed. Such work needed complete review and analysis as to its type and value/originality to the overall invention and took time for evaluation as the work was of great value, that which separated that from the secretarial works of others.

No real concept of inventorship was known by anyone on the team and therefore the rules for the application of patent was loosely disciplined regarding inventorship, having Litsey's work noting. However as time continued both parties began to suffer consequences that came with prosecution, making Guymon become strict with beliefs and such beliefs documentation. Initially Guymon presented an amicable desire to include Litsey as an inventor, as such defined when listed on the application of patent, but became more assertive as time continued having the issue create stalemate in the applications prosecution. Neither party desired abandonment or the complete halt of examination and such but neither could control such cases. Applicant Guymon proceeded with correction of inventorship as suggested be done by Attorney Douglas Wood during multiple phone conversations on or around 2014 or so. Further, during days before the first submission Guymon, through phone conversations with Wood and supervisor Banks on or around 2016, having Guymon in possession of documentation of said communication details.

Applicant Guymon proceeded as only he could having a position of catch 22, forcing the USPTO in a position such as it has been placed in and therefore dismissing petitions. Guymon however attempted to clear the stalemate positions and get the application at least revived and continually examined, a desire of both Applicant's/joint inventors.

The correction of inventorship was not a desire of either Applicant but was the only path or avenue that the United States Patent And Trademark Office's rules would have allowed for revival and continued examination to be granted.

Neither applicant was involved in inequitable conduct but both were upset as such with the continued time marching on occurring event of the application of patent and its 20 year period of protection.

Applicant Guymon, having more involvement with the prosecution was more inclined to obtain advise and did such from legal council who informed Guymon of the importance of the inventor listing, making Guymon more determined to get it right as that is then the only way its enforceable, and Applicant Guymon did not care either way with regard to the recognition as he did for the patent application enforcement, having such protect both parties in the end when inventorship could became finally determined.

Applicant Litsey became under the understanding and belief that his rights and ownership and overall contribution was becoming to nothing as his understanding and ultimate control of rewarded compensation was not clear or was not in his power or control, having Guymon the party of such and ultimate decision.

The ultimate confusion and overall belief of dominance and power became very clouded, murky and unable to be conceived of by either party and the necessary thorough analysis and

judgment became necessary, with time and inability to properly prosecute increasing until final point of determination or agreement which both parties believe they have determined.

Believe us, things got heated, depressed and ultimately just sad. The USPTO, having an outside perspective view interprets such as highly contested, a perceived position that is not agreed to by either Applicant Guymon or Litsey, forcing a coming to conclusion the agreed joint inventorship position.

During this interim stage the issue of certification of Micro Entity, a belief of both Applicant's/Joint inventors has evolved into the condition where fees are contested paid due to small entity/micro entity determinations. Having both parties support the and be of Micro Entity certification, fees paid were never disagreed to or upon but have been rejected nevertheless. Both applicants desire believe and ask respectfully that Micro Entity be certified.

Further, Attorney Wood presents in his decision that the Extension Of Time petition filed August 8, 2016 was untimely. Applicant's/joint inventors assert that this petition was paid appropriately within proper time limits with detail of date to be that to suggest and imply thereof, having the fees submitted in accordance to validly.

All of this, as presented in Applicant Guymon's Declaration in Support of Petition 1.183, pages 35 through 40 presents more than persuasive proof that the circumstances are extraordinary, specially because of the nature of the invention and further shows that it is justifiable, just and a decision that justice would render in regard to allowing the 1.183 petition, one that was needed during earlier prosecution time periods, having said petition one that should be granted for all pending petitions except the one that both Applicants no longer desire prosecuted, that of the correction of inventorship 1.48.

All other petitions, either earlier with rule suspension or now/later with both Applicant/joint inventor support are requested, by both Applicant's/joint inventors, having all desired, considered and personally administered to be timely and in compliance to rules and regulations accordingly.

Additionally, in regard to the RCE petition of 2014, one that both Applicants/joint inventors desire and believe is still of consideration and possible grant, the issue of a non-compliance reply was raised as the claims submitted were believed to be that which would be in compliance is included within, having only the original 4 claims a part.

Finally, as it is believed to have been explained with the above, the belief and further statement of both Applicants/ joint inventors, is that the entire period of time of delay was unintentional, having said included statement attached to and included herein with Applicants/joint inventor's August 29 2017 submission.

Finally, the petition for refund submitted before because something needed to make sense with regard to monies paid that may or should not have been is not of issue to either Applicant/joint inventor if other said petitions follow order and progression as desired and otherwise stated.

In summary, having this situation a bit unique and agreed to be in great detail, Applicant's joint inventors submit this request for review under 1.181 so that the Director can assist in an overall determination and decision appropriately. Non-equivocally, both Applicants/joint inventors, with this unique set of circumstances, extraordinary believed, encourage review by as many of those reviewers such that it be known and transparent that the Applicant's/joint inventor's were diligent in their actions, those, done, without deceptive intent.

**Request for Reconsideration timely filed within extended five (5) month deadline.**

Applicant Guymon desired and believe to have requested an extension of time of three (3) months as part of the Request For Reconsideration filed August 8, 2016. Upon later review Applicant realized multiple typographical errors in a non-edited draft extension of time petition filed misinforms the Office of the said extension's length of time. A correct petition for an extension of time should have stated a three (3) month extension length as indicated with the payment of fees made in the amount of \$350 from the Applicant's August 8, 2016 total fee payment of \$590. Applicant apologizes for the petition document's non clarity and Office's ultimate misunderstanding of the extension's length of time requested.

Applicant Guymon, under the impression and believing he had specifically presented the extension of time details within the August 2016 reconsideration request, kindly ask the Office to consider the extension request proper based on the merits of Applicant's mention of the following five (5) key points:

- 1) The extension of time of length three (3) months.
- 2) Specific dates of due reply's.
- 3) Specific dates of extended reply.
- 4) Extended deadline of five months.
- 5) The included fee for a three (3) month extension

Applicants/joint inventors respectfully ask that the Office grant the extension based on consideration the above mentions and not on the incorrect petition filed for several reasons:

- 1) The Extension Of Time petition that was part of the Request For Reconsideration was to be that of a three (3) month based as indicated in the reconsideration's attachment list.
- 2) The information in the incorrect extension of time petition was in itself conflicting, indicating a possible non-edited unapproved document generation and subsequent filing. With all correspondence from Applicants dated the day signed and submitted, the petition document shows to be incomplete and invalid as the said document date, written within the document as well as part of the document's filename, is different than it's August 8, 2016 submission date.

3) The information on the petition states a payment of only \$100 which is an amount different than that stated paid, discuss or otherwise referenced by Applicants/joint inventors.

4) With Micro Entity status assumed by Applicants/joint inventors throughout the process as well as written within the petition, the Micro Entity fee structure was specifically referenced giving indicating of a three (3) month extension fee amount \$350 that more closely aligns with the amount that was eventually submitted as part of Applicant Guymon's August 8, 2016 fee payment total of \$590. Micro Entity fees for petitions of extensions of time are appreciably half of what they are for small entity, having Applicant take full notice of time and it's reflective monthly based penalty costs and savings accordingly.

As with payment of a fee for an extension of time petition, 37 C.F.R. §1.136(a)(2) states, *'(2) The date on which the petition and the fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The expiration of the time period is determined by the amount of the fee paid.'*

Applicants/joint inventors respectfully request the USPTO find the previous correspondence timing filed within the extended five (5) month August 8, 2016 deadline having Applicant Guymon believing the fee of \$350 for a three (3) month extension (Micro Entity) was paid.

Having it presumed at the time and, now hopefully certified Micro Entity status as the basis for fee calculation, Applicants/joint inventors respectfully ask the office to see the Applicants'/joint inventors' true intent, desire and belief in the requested extension of time for a three (3) month time period.

Applicants/joint inventors respectfully request the USPTO find the previous correspondence timing filed within the extended five (5) month August 8, 2016 deadline having Applicant Guymon believing the fee of \$350 for a three (3) month extension (Micro Entity) was paid.

#### **Statement of Unintentional delay**

Attached to this renewed petition request is the combined Statement Of Unintentional Delay from both Applicants/joint inventors.

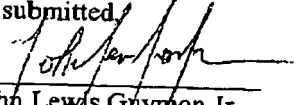
#### **Request For Continued Examination (RCE)**

Applicants/joint inventors have submitted a properly signed Request For Continued Examination that includes all Applicant's/joint inventor's signatures and a reply with amended/included claim set 1-4 that should put the RCE into compliance.

#### **Micro Entity status**

Applicants/joint inventors declare the application's Micro Entity status and further declare that with any and all previous correspondence the application was of or qualified for Micro Entity status and was under the impression based on replies from the Office that the Application was allowed Micro Entity status. Applicants/joint inventors respectfully asks for the petition's office certification of Micro Entity status where applicable.

With the previous true statements made by Applicants/joint inventors of Micro Entity eligibility and the currently enclosed and attached Micro Entity Certification forms from both Applicants/joint inventors, it is respectfully asked that the office allow and issue Micro Entity Certification status for current as well as previous fee payment events.

Respectfully submitted,  
8/29/17 

Date: John Lewis Gwynon Jr  
Applicant/Joint Inventor

Date: Douglas Scott Litsey  
Applicant/Joint Inventor

- - - Certificate of Mailing - - -

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August \_\_, 2017.

\_\_\_\_\_  
Applicant /Joint Inventor



AUG 29 2017

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (07-09)  
Approved for use through 07/31/2012. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)**

Docket Number (Optional)

First named inventor: John Lewis Guymon Jr

Application No.: 12/316699

Art Unit: 2494

Filed: December 15, 2008

Examiner: Kaveh Abrishamkar

Title: Securable Independent Electronic Document

Attention: Office of Petitions  
Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or Issue fee;
- (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and
- (4) Statement that the entire delay was unintentional

**1. Petition Fee**

Small entity-fee \$ 850 (37 CFR 1.17(m)). Application claims small entity status. See 37 CFR 1.27.

Other than small entity-fee \$ \_\_\_\_\_ (37 CFR 1.17(m))

**2. Reply and/or fee**

A. The reply and/or fee to the above-noted Office action in the form of Claims 1-4 (3 pages) (identify type of reply):

- has been filed previously on \_\_\_\_\_.
- is enclosed herewith.

B. The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_.

- has been paid previously on \_\_\_\_\_.
- is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/84 (07-09)  
Approved for use through 07/31/2012. OMB 0661-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/83).

4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

John Guymon/

Signature

8/26/17  
August 18, 2017

Date

John Lewis Guymon Jr

Type or Printed name

Registration Number, if applicable  
(801) 943-8461

1635 East 7080 South

Address

Telephone Number

Salt Lake City, Utah 84121

Address

Enclosures:

- Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheets containing statements establishing unintentional delay
- Other: \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
- Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

Date

Signature

Typed or printed name of person signing certificate

PTO/SB/64 (07-09)  
Approved for use through 07/31/2012. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

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|                                                       |                                             |
|-------------------------------------------------------|---------------------------------------------|
| _____<br>Signature                                    | _____<br>Date                               |
| Douglas Scott Litsey<br>_____<br>Type or Printed name | _____<br>Registration Number, if applicable |
| 3061 West 3500 South #A-2<br>_____<br>Address         | _____<br>Telephone Number                   |
| West Valley City, Utah 84119<br>_____<br>Address      |                                             |

- Enclosures:
- Fee Payment
  - Reply
  - Terminal Disclaimer Form
  - Additional sheets containing statements establishing unintentional delay
  - Other: \_\_\_\_\_

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- Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

|                                                              |                    |
|--------------------------------------------------------------|--------------------|
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| _____<br>Typed or printed name of person signing certificate |                    |

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**AUG 29 2017**

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

August 26, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Amendments w/Reply in re to Final Office Action of April 2, 2013**

Sir/ Madam:

Applicants/joint inventors submit this amendment, signed by both Applicants/joint inventors, with the included attached claims 1-4 to place the application in condition for allowance.

**SPECIFICATION:**

Specifications not changed.

**CLAIMS:**

Amended and incorporated as separate document.

**DRAWINGS:**

Drawings not changed.

**REMARKS:**

In response to the Office Action dated April 2, 2013, Application presents the following remarks: Applicants/joint inventors has rewritten claims (#1 thru #4) in a most diligent manner as to define the electronic document apparatus more particularly and distinctly so as to overcome the technical objections raised in the Office Action United States Patent and Trademark Office, and define, over prior art, the ability of patent for the Securable Independent Electronic Document.

Applicants/joint inventors submits the updated claims for office review. Applicants/joint inventors are unsure if the claims, as they are submitted, are in valid form and compliance with USPTO policy.

Further, applicants have, with due diligence, done their best to facilitate the correct understanding in the submitted changes of this reply. Applicants/joint inventors have not understood the previous office actions until lengthy discussion with the examiner. Applicants/joint inventors are in need of assistance with regard to writing the claims and submits this reply and included claims for review and, if needed, requests assistance in drafting the claims or changes to the claims to bring them into compliance.

Applicants/joint inventors have not changed the specification or any drawings at this time. Only the claims have been updated in this amendment/reply.

In regard to the Office Action's section 'DETAILED ACTION',

paragraph 1, 'This Action ...'

1. Applicants/joint inventors submitted a reply to the Office Action mailed March 6, 2012 on September 6, 2012. A further communication from the USPTO office, dated November 27, 2012, titled 'Notice of Non-Compliant Amendment' was answered by applicant on December 13, 2012. Applicants/joint inventors believes the latest Office Action from the USPTO, dated April 2, 2013, is the latest action and is in response to the September 6, 2012 reply by Applicants.

paragraph 2, 'Claims 1-4 are ...'

2. Applicants/joint inventors makes note of the claims as they are numbered, claims 1-4.

In regard to the Office Action's section 'Response to Arguments',

paragraph 3, 'Applicant's arguments filed ...'

3. Applicants/joint inventors acknowledges the filing date of the last reply to be September 6, 2012 and further December 13, 2012 for corrections to said filing.

In regard to the Office Action's section 'Claim Objections',

paragraph 4, 'The Applicant does ...'

4. Applicants incorrectly created claims 1-4 with regard to said 'means' and has updated claims 1-4 in this reply. Applicant is not invoking 112 6th paragraph, as Applicants believes, as it does not apply.

paragraph 5, 'Therefore, the...'

5.

In regard to the Office Action's section 'Claim Objections',

paragraph 6, 'Claims 1-4 objected ...'

6. Applicants has revised the claims accordingly.

In regard to the Office Action's section 'Claim Rejection – 35 USC § 112', paragraph 7, 'The following ...'

7.

paragraph 8, 'Claims 1-4 ...'

8. Applicants has revised the claims accordingly.

paragraph 9, 'Furthermore, ...'

9. Applicants has revised the claims accordingly.

In regard to the Office Action's section 'Conclusion', paragraph 10, 'THIS ACTION ...'

paragraph 11, 'A shortened statutory ...'

paragraph 12, 'Any inquiry ...'

Applicants/joint inventors has talked with examiner by phone. Discussion with regard to the actual invention and further to some changes that would bring the claims into compliance. Applicants/joint inventors have had a difficult time in confirming the correct language of the claims and has reached the filing deadline; Applicants submits the changes to the claims that hopefully corrects the language and brings the claims into compliance. (Please see main remarks section above.)

paragraph 13, 'If attempts ...'

paragraph 14, 'Information regarding ...'

#### CONCLUSION:

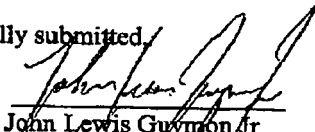
For all the above reasons, Applicants/joint inventors submits that the claims are now in proper form as he has been able to understand they should be, and that the claims all define the ability for patent over prior art. Therefore Applicants/joint inventors submit that this application is now in condition for allowance which action they respectfully solicit.

**Conditional Request for Constructive Assistance**

Applicants/joint inventors have amended the claims of this application to the best of their knowledge and ability so that they (hopefully) are proper, definite, and define novel structure which is also unobvious. If for any reason this application is not believed to be in full condition for allowance, Applicants/joint inventors respectfully requests constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. §2173.02 and §707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

Respectfully submitted,

8/26/17

  
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

Date: Douglas Scott Litsey  
Applicant/Joint Inventor

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\_\_\_\_\_  
Applicant /Joint Inventor

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**AUG 29 2017**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**CLAIMS as amended re Request for Continued Examination**

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

a graphical image sectional component, wherein said image component adapted for storing graphical image data of said graphic image container type,

wherein said component may include encrypted content wherein said encrypted content can be decrypted;

wherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system, and

wherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user; and

a character text sectional component adapted for storing normative standard character text based digital signature formatted data,

wherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system

wherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user;



and, if user specified, additional sectional components wherein said additional components adapted for the storage of any of the following data types:

character text data, said character text comprising scripting text, rich text, markup language text, or other character text, wherein said char text can include one or more of the following:

a character text Uniform Resource Locator (URL),  
encoded data, wherein said encoded data can be of any type or value including encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,  
graphic image(s) data,  
raw binary data,  
intrinsic security feature data,  
container based binary encoded digital signature data,  
any other user specified data;

wherein said sectional components include intrinsic security feature data wherein coupling of said sectional component(s) security feature data generates additional intrinsic security feature data

wherein said intrinsic data or said additional intrinsic data can be stored in any one of said sectional component(s),

wherein automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said char text sectional component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein independent creation and processing of said container from said sectional components' creation and processing, and

wherein integrity enhanced non-associated creation and processing intervention from said sectional components' creation and processing, and

wherein integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional components' security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing and storage of said machine readable instruction's computing platform;

2. The electronic document of claim 1, wherein said normative standard character text based digital signature sectional component include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The electronic document of claim 2, wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

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AUG 29 2017

Approved for use through 07/31/2012. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|                                                                                                                                                                                     |                        |                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------|
| <p><b>Request for Continued Examination (RCE) Transmittal</b></p> <p>Address to:<br/>Mail Stop RCE<br/>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> | Application Number     | 12/316699            |
|                                                                                                                                                                                     | Filing Date            | December 15, 2008    |
|                                                                                                                                                                                     | First Named Inventor   | John Lewis Guymon Jr |
|                                                                                                                                                                                     | Art Unit               | 2484                 |
|                                                                                                                                                                                     | Examiner Name          | Kaveh Abrishamkar    |
|                                                                                                                                                                                     | Attorney Docket Number | -                    |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply

ii.  Affidavit(s)/ Declaration(s)

iii.  Information Disclosure Statement (IDS)

iv.  Other Claims 1-4 (3 pages)

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to

a.  Deposit Account No. \_\_\_\_\_

i.  RCE fee required under 37 CFR 1.17(e)

ii.  Extension of time fee (37 CFR 1.136 and 1.17)

iii.  Other RCE fee \$300 and \$35.56(Micro Entity) paid 4/7/2014

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                      |                  |                      |
|-------------------|----------------------|------------------|----------------------|
| Signature         | /John Guymon/        | Date             | Aug 18, 2017 8/26/17 |
| Name (Print/Type) | John Lewis Guymon Jr | Registration No. |                      |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                   |  |      |  |
|-------------------|--|------|--|
| Signature         |  | Date |  |
| Name (Print/Type) |  |      |  |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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|                                                                                                                                                                                                                 |                        |                      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------|
| <p align="center"><b>Request<br/>for<br/>Continued Examination (RCE)<br/>Transmittal</b></p> <p>Address to:<br/>Mail Stop RCE<br/>Commissioner for Patents<br/>P. O. Box 1450<br/>Alexandria, VA 22313-1450</p> | Application Number     | 12/316699            |
|                                                                                                                                                                                                                 | Filing Date            | December 15, 2008    |
|                                                                                                                                                                                                                 | First Named Inventor   | John Lewis Guymon Jr |
|                                                                                                                                                                                                                 | Art Unit               | 2494                 |
|                                                                                                                                                                                                                 | Examiner Name          | Kaveh Abrishamkar    |
|                                                                                                                                                                                                                 | Attorney Docket Number | -                    |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply

ii.  Affidavit(s)/ Declaration(s)

iii.  Information Disclosure Statement (IDS)

iv.  Other Claims 1-4 (3 pages)

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)

b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_

a.  RCE fee required under 37 CFR 1.17(e)

ii.  Extension of time fee (37 CFR 1.138 and 1.17)

iii.  Other RCE fee \$300 and \$35 56(Micro Entity) paid 4/7/2014

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                                               |                  |
|-----------------------------------------------|------------------|
| Signature                                     | Date             |
| Name (Print/Type) <u>Douglas Scott Litsey</u> | Registration No. |

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|                   |      |
|-------------------|------|
| Signature         | Date |
| Name (Print/Type) |      |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           |                        |   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|---|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                           | Attorney Docket Number | - |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           | Application Number     |   |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Securable Independent Electronic Document |                        |   |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                                           |                        |   |

**Secrecy Order 37 CFR 5.2**

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2. (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

**Applicant Information:**

|                                                                                                                                                                         |                           |                                           |                    |                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------------------------|--------------------|----------------------------------------------------------------|
| <b>Applicant 1</b>                                                                                                                                                      |                           |                                           |                    |                                                                |
| <b>Applicant Authority</b>                                                                                                                                              |                           | <input checked="" type="radio"/> Inventor |                    | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |
|                                                                                                                                                                         |                           |                                           |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118    |
| <b>Prefix</b>                                                                                                                                                           | <b>Given Name</b>         | <b>Middle Name</b>                        | <b>Family Name</b> | <b>Suffix</b>                                                  |
| Mr.                                                                                                                                                                     | John                      | Lewis                                     | Guymon             | Jr.                                                            |
| <b>Residence Information (Select One)</b>                                                                                                                               |                           |                                           |                    |                                                                |
| <input checked="" type="radio"/> US Residency                                                                                                                           |                           | <input type="radio"/> Non US Residency    |                    | <input type="radio"/> Active US Military Service               |
| <b>City</b>                                                                                                                                                             | Salt Lake City            | <b>State/Province</b>                     | UT                 | <b>Country of Residence</b>                                    |
|                                                                                                                                                                         |                           |                                           |                    | US                                                             |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                 |                           |                                           |                    |                                                                |
|                                                                                                                                                                         |                           | US                                        |                    |                                                                |
| <b>Mailing Address of Applicant:</b>                                                                                                                                    |                           |                                           |                    |                                                                |
| <b>Address 1</b>                                                                                                                                                        | 1635 East 7080 South      |                                           |                    |                                                                |
| <b>Address 2</b>                                                                                                                                                        |                           |                                           |                    |                                                                |
| <b>City</b>                                                                                                                                                             | Salt Lake City            | <b>State/Province</b>                     | UT                 |                                                                |
| <b>Postal Code</b>                                                                                                                                                      | 84121                     | <b>Country</b>                            | US                 |                                                                |
| <b>Applicant 2</b>                                                                                                                                                      |                           |                                           |                    |                                                                |
| <b>Applicant Authority</b>                                                                                                                                              |                           | <input checked="" type="radio"/> Inventor |                    | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |
|                                                                                                                                                                         |                           |                                           |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118    |
| <b>Prefix</b>                                                                                                                                                           | <b>Given Name</b>         | <b>Middle Name</b>                        | <b>Family Name</b> | <b>Suffix</b>                                                  |
| Mr.                                                                                                                                                                     | Douglas                   | Scott                                     | Litsey             |                                                                |
| <b>Residence Information (Select One)</b>                                                                                                                               |                           |                                           |                    |                                                                |
| <input checked="" type="radio"/> US Residency                                                                                                                           |                           | <input type="radio"/> Non US Residency    |                    | <input type="radio"/> Active US Military Service               |
| <b>City</b>                                                                                                                                                             | West Valley City          | <b>State/Province</b>                     | UT                 | <b>Country of Residence</b>                                    |
|                                                                                                                                                                         |                           | US                                        |                    |                                                                |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                 |                           |                                           |                    |                                                                |
|                                                                                                                                                                         |                           | US                                        |                    |                                                                |
| <b>Mailing Address of Applicant:</b>                                                                                                                                    |                           |                                           |                    |                                                                |
| <b>Address 1</b>                                                                                                                                                        | 3061 West 3500 South #A-2 |                                           |                    |                                                                |
| <b>Address 2</b>                                                                                                                                                        |                           |                                           |                    |                                                                |
| <b>City</b>                                                                                                                                                             | West Valley City          | <b>State/Province</b>                     | UT                 |                                                                |
| <b>Postal Code</b>                                                                                                                                                      | 84119                     | <b>Country</b>                            | US                 |                                                                |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <input type="button" value="Add"/> |                           |                                           |                    |                                                                |

**Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence information of this application.

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|                                           |                                           |                                          |                                             |
|-------------------------------------------|-------------------------------------------|------------------------------------------|---------------------------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | <b>Attorney Docket Number</b>            | -                                           |
|                                           |                                           | <b>Application Number</b>                |                                             |
| <b>Title of Invention</b>                 | Securable Independent Electronic Document |                                          |                                             |
| <b>Customer Number</b>                    | 83451                                     |                                          |                                             |
| <b>Email Address</b>                      | JohnLewisGuymonJr@yahoo.com               | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

**Application Information:**

|                                                |                                           |                                                  |                          |
|------------------------------------------------|-------------------------------------------|--------------------------------------------------|--------------------------|
| <b>Title of the Invention</b>                  | Securable Independent Electronic Document |                                                  |                          |
| <b>Attorney Docket Number</b>                  | -                                         | <b>Small Entity Status Claimed</b>               | <input type="checkbox"/> |
| <b>Application Type</b>                        | Nonprovisional                            |                                                  |                          |
| <b>Subject Matter</b>                          | Utility                                   |                                                  |                          |
| <b>Suggested Class (if any)</b>                | 713                                       | <b>Sub Class (if any)</b>                        | 189                      |
| <b>Suggested Technology Center (if any)</b>    | TC2400                                    |                                                  |                          |
| <b>Total Number of Drawing Sheets (if any)</b> | 2                                         | <b>Suggested Figure for Publication (if any)</b> |                          |

**Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

**Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

|                           |                                                  |                                              |                                                         |
|---------------------------|--------------------------------------------------|----------------------------------------------|---------------------------------------------------------|
| <b>Please Select One:</b> | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| <b>Customer Number</b>    | 63451                                            |                                              |                                                         |

**Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 355(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

|                                 |                        |                                 |                                       |
|---------------------------------|------------------------|---------------------------------|---------------------------------------|
| <b>Prior Application Status</b> |                        |                                 | <input type="button" value="Remove"/> |
| <b>Application Number</b>       | <b>Continuity Type</b> | <b>Prior Application Number</b> | <b>Filing Date (YYYY-MM-DD)</b>       |
|                                 |                        |                                 |                                       |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.

**Foreign Priority Information:**

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|-------------------------------------------|-------------------------------------------|------------------------|---|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number | - |
|                                           |                                           | Application Number     |   |
| Title of Invention                        | Securable Independent Electronic Document |                        |   |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|                    |         |                                 |                                                               |
|--------------------|---------|---------------------------------|---------------------------------------------------------------|
| Application Number | Country | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                    |         |                                 | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Additional Foreign Priority Data may be generated within this form by selecting the Add button.

**Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

**Assignee 1**

If the Assignee is an Organization check here.

|        |            |             |             |        |
|--------|------------|-------------|-------------|--------|
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|        |            |             |             |        |

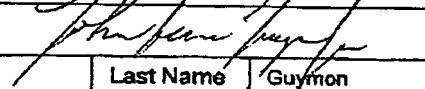
**Mailing Address Information:**

|               |                |  |  |
|---------------|----------------|--|--|
| Address 1     |                |  |  |
| Address 2     |                |  |  |
| City          | State/Province |  |  |
| Country       | Postal Code    |  |  |
| Phone Number  | Fax Number     |  |  |
| Email Address |                |  |  |

Additional Assignee Data may be generated within this form by selecting the Add button.

**Signature:**

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

|            |                                                                                     |           |                   |                     |
|------------|-------------------------------------------------------------------------------------|-----------|-------------------|---------------------|
| Signature  |  |           | Date (YYYY-MM-DD) | 2017-08-18 8/29/17  |
| First Name | John                                                                                | Last Name | Guymon            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|                                           |                                           |                        |   |
|-------------------------------------------|-------------------------------------------|------------------------|---|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number | - |
|                                           |                                           | Application Number     |   |
| Title of Invention                        | Securable Independent Electronic Document |                        |   |

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|                    |         |                                 |                                                               |
|--------------------|---------|---------------------------------|---------------------------------------------------------------|
| Application Number | Country | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                    |         |                                 | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Additional Foreign Priority Data may be generated within this form by selecting the Add button.

**Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

**Assignee 1**

If the Assignee is an Organization check here.

|        |            |             |             |        |
|--------|------------|-------------|-------------|--------|
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|        |            |             |             |        |

**Mailing Address Information:**

|               |                |  |  |
|---------------|----------------|--|--|
| Address 1     |                |  |  |
| Address 2     |                |  |  |
| City          | State/Province |  |  |
| Country       | Postal Code    |  |  |
| Phone Number  | Fax Number     |  |  |
| Email Address |                |  |  |

Additional Assignee Data may be generated within this form by selecting the Add button.

**Signature:**

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

|            |         |           |        |                     |  |
|------------|---------|-----------|--------|---------------------|--|
| Signature  |         |           |        | Date (YYYY-MM-DD)   |  |
| First Name | Douglas | Last Name | Litsey | Registration Number |  |

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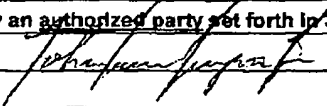


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Doc Code: MES.GIB  
Document Description: Certification of Micro Entity Status (Gross Income Basis)

PTO/SB/15A (07-14)

| <b>CERTIFICATION OF MICRO ENTITY STATUS<br/>(GROSS INCOME BASIS)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                             |                                                                  |                          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------|
| Application Number or Control Number (if applicable):<br>12/316699                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                             | Patent Number (if applicable):                                   |                          |
| First Named Inventor:<br>John Lewis Guymon Jr                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                             | Title of Invention:<br>Securable Independent Electronic Document |                          |
| The applicant hereby certifies the following—                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                             |                                                                  |                          |
| <p>(1) <b>SMALL ENTITY REQUIREMENT</b> – The applicant qualifies as a small entity as defined in 37 CFR 1.27.</p> <p>(2) <b>APPLICATION FILING LIMIT</b> – Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights, or is obligated to assign all ownership rights, as a result of the applicant's previous employment.</p> <p>(3) <b>GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS</b> – Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at <a href="http://www.uspto.gov/patents/law/micro_entity.jsp">http://www.uspto.gov/patents/law/micro_entity.jsp</a> which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.</p> <p>(4) <b>GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"</b> – Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at <a href="http://www.uspto.gov/patents/law/micro_entity.jsp">http://www.uspto.gov/patents/law/micro_entity.jsp</a> which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.</p> |                                                                                                                                                                                                                             |                                                                  |                          |
| <b>SIGNATURE by an authorized party set forth in 37 CFR 1.33(b)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                             |                                                                  |                          |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | /s/John Guymon/                                                                                                                          |                                                                  |                          |
| Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | John Lewis Guymon Jr                                                                                                                                                                                                        |                                                                  |                          |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 8/24/17                                                                                                                                                                                                                     | Aug 18, 2017                                                     | Telephone (801) 943-8461 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                             |                                                                  | Registration No.         |
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. The required additional certification form(s) signed by the other joint inventor(s) are included with this form. |                                                                  |                          |

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AUG 29 2017

Doc Code: ME5.GIB  
Document Description: Certification of Micro Entity Status (Gross Income Basis)

PTO/SB/15A (07-14)

| <b>CERTIFICATION OF MICRO ENTITY STATUS<br/>(GROSS INCOME BASIS)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                             |                                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--|
| Application Number or Control Number (if applicable):<br>12/316699                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                             | Patent Number (if applicable):                                   |  |
| First Named Inventor:<br>John Lewis Guymon Jr                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                             | Title of Invention:<br>Securable Independent Electronic Document |  |
| The applicant hereby certifies the following—                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                             |                                                                  |  |
| <p>(1) <b>SMALL ENTITY REQUIREMENT</b> – The applicant qualifies as a small entity as defined in 37 CFR 1.27.</p> <p>(2) <b>APPLICATION FILING LIMIT</b> – Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights, or is obligated to assign all ownership rights, as a result of the applicant's previous employment.</p> <p>(3) <b>GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS</b> – Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at <a href="http://www.uspto.gov/patents/law/micro_entity.jsp">http://www.uspto.gov/patents/law/micro_entity.jsp</a> which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.</p> <p>(4) <b>GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"</b> – Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at <a href="http://www.uspto.gov/patents/law/micro_entity.jsp">http://www.uspto.gov/patents/law/micro_entity.jsp</a> which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.</p> |                                                                                                                                                                                                                             |                                                                  |  |
| <b>SIGNATURE by an authorized party set forth in 37 CFR 1.33(b)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                             |                                                                  |  |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                             |                                                                  |  |
| Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                             | Douglas Scott Litsey                                             |  |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Telephone                                                                                                                                                                                                                   | Registration No.                                                 |  |
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. The required additional certification form(s) signed by the other joint inventor(s) are included with this form. |                                                                  |  |

8-30-17

M  
DAC

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)**

Docket Number (Optional)

First named inventor: John Lewis Guymon Jr

Application No.: 12/316699

Filed: December 15, 2008



Art Unit: 2494

Examiner: Kaveh Abrishamkar

Title: Securable Independent Electronic Document

Attention: Office of Petitions  
**Mail Stop Petition**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and
- (4) Statement that the entire delay was unintentional

**1. Petition Fee**

- Small entity-fee \$ 850 (37 CFR 1.17(m)). Application claims small entity status. See 37 CFR 1.27.
- Other than small entity-fee \$ \_\_\_\_\_ (37 CFR 1.17(m))

**2. Reply and/or fee**

A. The reply and/or fee to the above-noted Office action in the form of Claims 1-4 (3 pages) (identify type of reply):

- has been filed previously on \_\_\_\_\_.
- is enclosed herewith.

B. The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_.

- has been paid previously on \_\_\_\_\_.
- is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

The PTO did not receive the following listed item(s) \$ 850 (1)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## 3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

/John Guymon/

Signature

8/26/17  
August 18, 2017

Date

John Lewis Guymon Jr

Type or Printed name

Registration Number, If applicable  
(801) 943-8461

1635 East 7080 South

Address

Telephone Number

Salt Lake City, Utah 84121

Address

- Enclosures:  Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheets containing statements establishing unintentional delay
- Other: \_\_\_\_\_

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

- Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

8/29/17

Date

Douglas Scott Litsey  
Signature

DOUGLAS SCOTT LITSEY

Typed or printed name of person signing certificate

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## 3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

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**WARNING:**

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Douglas Scott Litsey  
Signature

8/29/17  
Date

Douglas Scott Litsey  
Type or Printed name

Registration Number, If applicable  
(618) 397-0250  
Telephone Number

3061 West 3500 South #A-2  
Address

West Valley City, Utah 84119  
Address

- Enclosures:  Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheets containing statements establishing unintentional delay
- Other: \_\_\_\_\_

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

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Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

8/29/17  
Date

Douglas Scott Litsey  
Signature

DOUGLAS SCOTT LITSEY  
Typed or printed name of person signing certificate



August 26, 2017

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

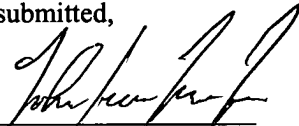
Ref: Securable Independent Electronic Document, Ser #12/316699, filed Dec 15, 2008


To: United States Patent And Trademark Office - Office Of Petitions

Applicants/joint inventors present this cover letter and contents comprising Applicants'/joint inventors' 'Renewed Petition' in response to the United States Patent And Trademark Office communication/decision(s) dated June 29, 2017.

Thank you for your consideration and time with this correspondence.


Respectfully submitted,

8/26/17   
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

8-29-17   
Date: Douglas Scott Litsey  
Applicant/Joint Inventor

- - - Certificate of Mailing - - -

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 29, 2017.

  
Applicant /Joint Inventor



**Agreement of Inventorship and Rights Acknowledgement**

Comes now John Lewis Guymon Jr and Douglas Scott Litsey having each in their possession evidence of conception of invention of the jointly filed application of patent Serial #12/316699 Securable Independent Electronic Document filed December 15, 2008 with the United States Patent and Trademark Office. Accordingly, with knowledge and understanding of inventorship as/per 35 U.S.C. §115, each Applicant/joint inventor agree as/per 35 U.S.C. §116 that both listed inventors should be allowed equal control in the invention as per 35 U.S.C. §262 to wit: John Lewis Guymon Jr allowed 50% / Douglas Scott Litsey allowed 50%, and the further ownership and rights of assignment of the patent as/per 35 U.S.C. §152 to wit: John Lewis Guymon Jr to own/assign 50% / Douglas Scott Litsey to own/assign 50%.

Both parties enter this agreement in support of the said application of patent's revival from its current state of abandonment and further the application's continued examination prosecution and eventual granting of patent. Accordingly, each Applicant/joint inventor will include their signature in accordance to 37 C.F.R. §1.33(b) in all future correspondence with the United States Patent and Trademark Office.

*(Reply dated Aug 29<sup>th</sup>, 2017 = 23 pages)  
Main SAT 26<sup>th</sup> - A. doc*

Entered into agreement by:

8/26/2017 *[Signature]*  
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

8-29-2017 *[Signature]*  
Date: Douglas Scott Litsey  
Applicant/Joint Inventor

State Of Utah )  
) SS:  
County Of Salt Lake )

State Of ILLINOIS )  
) SS:  
County Of ST. CLAIR )

On this, the 26 day of August, 2017, before me a notary public, personally appeared John Lewis Guymon Jr, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

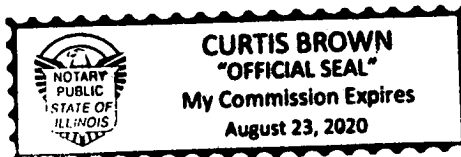
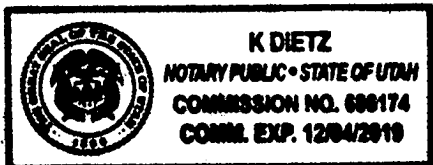
On this, the 29 day of August, 2017, before me a notary public, personally appeared Douglas Scott Litsey, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.

*[Signature]*  
Notary Public

In witness hereof, I hereunto set my hand and official seal.

*[Signature]*  
Notary Public





## In The United States Patent And Trademark Office

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

August 26, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### **RENEWED PETITION REQUEST FOR RECONSIDERATION OF CERTIFICATION AND PETITION DECISIONS (6/29/2017)**

**- FOR -**

**37 CFR 1.129 - CERTIFICATION OF MICRO ENTITY, October 2, 2013**  
**37 CFR 1.137(a) - REVIVAL OF ABANDONED APPLICATION, February 6, 2016**  
**37 CFR 1.114 - REQUEST FOR CONTINUED EXAMINATION, April 7, 2014**  
**37 CFR 1.136 - PETITION FOR EXTENSION OF TIME - August 8, 2016**

Sir/ Madam:

This Request for Reconsideration is submitted in response to the various petition and certification decisions ruled June 29, 2017 in the above-identified patent application.

Applicant Guymon appreciates the United States Patent And Trademark Office's position on the protection and preservation of the rights of the Inventor. Applicant, being fair and honest in seeking a resolution to this application's inventorship believes that dedicated work and commitment of an Inventor should be known, valued and appreciated. Applicant believes that the ever demanding bearing of fairness and equality of the Office helped to facilitate communication and understanding among the joint inventors of this application to relieve conflict and further progression of this application.

#### **Renewed Petition Request**

Applicants/joint inventors John Lewis Guymon Jr and Douglas Scott Litsey come now, in agreement, respectfully requesting renewed reconsideration of previously denied 'Certification Of Micro Entity' and dismissed petition's for 'Extension Of Time', ' Revival Of Abandoned Application' and 'Request For Continued Examination' and to have said items considered timely, acknowledged, and accepted as a matter of record.



**Request for Reconsideration timely filed within extended five (5) month deadline.**

Applicant Guymon desired and believe to have requested an extension of time of three (3) months as part of the Request For Reconsideration filed August 8, 2016. Upon later review Applicant realized multiple typographical errors in a non-edited draft extension of time petition filed misinforms the Office of the said extension's length of time. A correct petition for an extension of time should have stated a three (3) month extension length as indicated with the payment of fees made in the amount of \$350 from the Applicant's August 8, 2016 total fee payment of \$590. Applicant apologizes for the petition document's non clarity and Office's ultimate misunderstanding of the extension's length of time requested.

Applicant Guymon, under the impression and believing he had specifically presented the extension of time details within the August 2016 reconsideration request, kindly ask the Office to consider the extension request proper based on the merits of Applicant's mention of the following five (5) key points:

- 1) The extension of time of length three (3) months.
- 2) Specific dates of due reply's.
- 3) Specific dates of extended reply.
- 4) Extended deadline of five months.
- 5) The included fee for a three (3) month extension

Applicants/joint inventors respectfully ask that the Office grant the extension based on consideration the above mentions and not on the incorrect petition filed for several reasons:

- 1) The Extension Of Time petition that was part of the Request For Reconsideration was to be that of a three (3) month based as indicated in the reconsideration's attachment list.
- 2) The information in the incorrect extension of time petition was in itself conflicting, indicating a possible non-edited unapproved document generation and subsequent filing. With all correspondence from Applicants dated the day signed and submitted, the petition document shows to be incomplete and invalid as the said document date, written within the document as well as part of the document's filename, is different than it's August 8, 2016 submission date.
- 3) The information on the petition states a payment of only \$100 which is an amount different than that stated paid, discuss or otherwise referenced by Applicants/joint inventors.
- 4) With Micro Entity status assumed by Applicants/joint inventors throughout the process as well as written within the petition, the Micro Entity fee structure was specifically referenced giving indicating of a three (3) month extension fee amount \$350 that more closely aligns with the amount that was eventually submitted as part of Applicant Guymon's August 8, 2016 fee payment total of \$590. Micro Entity fees for petitions of extensions of time are appreciably half of what they are for small entity, having Applicant take full notice of time and it's reflective monthly based penalty costs and savings accordingly.

As with payment of a fee for an extension of time petition, 37 C.F.R. §1.136(a)(2) states, '(2) *The date on which the petition and the fee have been filed is the date for purposes of determining*

***the period of extension and the corresponding amount of the fee. The expiration of the time period is determined by the amount of the fee paid.'***

Having it presumed at the time and, now hopefully certified Micro Entity status as the basis for fee calculation, Applicants/joint inventors respectfully ask the office to see the Applicants'/joint inventors' true intent, desire and belief in the requested extension of time for a three (3) month time period.

Applicants/joint inventors respectfully request the USPTO find the previous correspondence timing filed within the extended five (5) month August 8, 2016 deadline having Applicant Guymon believing the fee of \$350 for a three (3) month extension (Micro Entity) was paid.

**Statement of Unintentional delay**

Attached to this renewed petition request is the combined Statement Of Unintentional Delay from both Applicants/joint inventors.

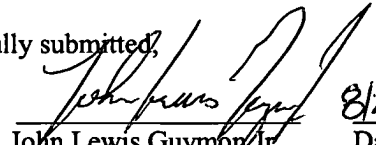

**Request For Continued Examination (RCE)**

Applicants/joint inventors have submitted a properly signed Request For Continued Examination that includes all Applicant's/joint inventor's signatures and a reply with amended/included claim set 1-4 that should put the RCE into compliance.

**Micro Entity status**

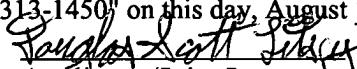
Applicants/joint inventors declare the application's Micro Entity status and further declare that with any and all previous correspondence the application was of or qualified for Micro Entity status and was under the impression based on replies from the Office that the Application was allowed Micro Entity status. Applicants/joint inventors respectfully asks for the petition's office certification of Micro Entity status where applicable.

With the previous true statements made by Applicants/joint inventors of Micro Entity eligibility and the currently enclosed and attached Micro Entity Certification forms from both Applicants/joint inventors, it is respectfully asked that the office allow and issue Micro Entity Certification status for current as well as previous fee payment events.

|                         |                                                                                     |         |                                                                                      |
|-------------------------|-------------------------------------------------------------------------------------|---------|--------------------------------------------------------------------------------------|
| Respectfully submitted, |  |         |  |
| 8/26/17                 |                                                                                     | 8/29/17 |                                                                                      |
| Date:                   | John Lewis Guymon Jr                                                                | Date:   | Douglas Scott Litsey                                                                 |
|                         | Applicant/Joint Inventor                                                            |         | Applicant/Joint Inventor                                                             |

**- - - Certificate of Mailing - - -**

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 29, 2017.

  
Applicant /Joint Inventor



## In The United States Patent And Trademark Office

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

August 26, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Securable Independent Electronic Document, Ser #12/316699, filed Dec 15, 2008

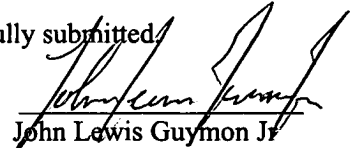
To: United States Patent And Trademark Office - Office Of Petitions

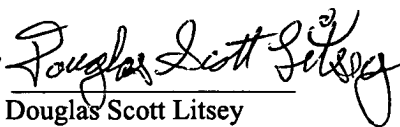
### STATEMENT OF UNINTENTIONAL DELAY

The entire delay in filing the required reply from the due date for the required reply of the Office Action dated April 2, 2013 and/or Advisory Action dated October 18, 2013 and/or subsequent Abandonment dated February 7, 2014 until the filing of a grantable petition under 37 C.F.R. §1.137(b) was unintentional.

There has been active work to revive the application with multiple prior attempts unsuccessful due to unintentional results. There have been periods of time spend with communications, negotiations as well as time spent for response or various replies. The actions of the Applicants/joint inventors to continue the project and this application of patent forward have went/continued with diligence.

Both Applicants/joint inventors desire to revive the application and continue its prosecution to a final grant state.

Respectfully submitted,  
8/26/17   
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

8/29/17   
Date: Douglas Scott Litsey  
Applicant/Joint Inventor



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |                      |
|------------------------|----------------------|
| Application Number     | 12/316699            |
| Filing Date            | December 15, 2008    |
| First Named Inventor   | John Lewis Guymon Jr |
| Art Unit               | 2494                 |
| Examiner Name          | Kaveh Abrishamkar    |
| Attorney Docket Number | -                    |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
  - i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - ii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/ Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other Claims 1-4 (3 pages)

2. **Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  
The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- a. 
    - i.  RCE fee required under 37 CFR 1.17(e)
    - ii.  Extension of time fee (37 CFR 1.136 and 1.17)
    - iii.  Other RCE fee \$300 and \$35 56(Micro Entity) paid 4/7/2014
  - b.  Check in the amount of \$ \_\_\_\_\_ enclosed
  - c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                                           |                  |                             |
|-------------------|-------------------------------------------|------------------|-----------------------------|
| Signature         | /John Guymon/ <i>John Lewis Guymon Jr</i> | Date             | Aug 18, 2017 <u>8/26/17</u> |
| Name (Print/Type) | John Lewis Guymon Jr                      | Registration No. |                             |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                   |                             |      |                |
|-------------------|-----------------------------|------|----------------|
| Signature         | <i>Douglas Scott Litsey</i> | Date | <u>8/29/17</u> |
| Name (Print/Type) | <u>DOUGLAS SCOTT LITSEY</u> |      |                |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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## Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |                      |
|------------------------|----------------------|
| Application Number     | 12/316699            |
| Filing Date            | December 15, 2008    |
| First Named Inventor   | John Lewis Guymon Jr |
| Art Unit               | 2494                 |
| Examiner Name          | Kaveh Abrishamkar    |
| Attorney Docket Number | -                    |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
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- i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii.  Other \_\_\_\_\_
- b.  Enclosed
- i.  Amendment/Reply
- ii.  Affidavit(s)/ Declaration(s)
- iii.  Information Disclosure Statement (IDS)
- iv.  Other Claims 1-4 (3 pages)

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3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  
The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to
- a.  Deposit Account No. \_\_\_\_\_
- i.  RCE fee required under 37 CFR 1.17(e)
- ii.  Extension of time fee (37 CFR 1.136 and 1.17)
- iii.  Other RCE fee \$300 and \$35.56(Micro Entity) paid 4/7/2014
- b.  Check in the amount of \$ \_\_\_\_\_ enclosed
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**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                             |                  |         |
|-------------------|-----------------------------|------------------|---------|
| Signature         | <i>Douglas Scott Litsey</i> | Date             | 8-29-17 |
| Name (Print/Type) | Douglas Scott Litsey        | Registration No. |         |

**CERTIFICATE OF MAILING OR TRANSMISSION**

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|                   |                             |      |         |
|-------------------|-----------------------------|------|---------|
| Signature         | <i>Douglas Scott Litsey</i> | Date | 8-29-17 |
| Name (Print/Type) | DOUGLAS SCOTT LITSEY        |      |         |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Doc Code: MES.GIB  
 Document Description: Certification of Micro Entity Status (Gross Income Basis)

PTO/SB/15A (07-14)

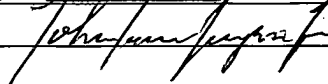
**CERTIFICATION OF MICRO ENTITY STATUS  
 (GROSS INCOME BASIS)**

|                                                                    |                                                                  |
|--------------------------------------------------------------------|------------------------------------------------------------------|
| Application Number or Control Number (if applicable):<br>12/316699 | Patent Number (if applicable):                                   |
| First Named Inventor:<br>John Lewis Guymon Jr                      | Title of Invention:<br>Securable Independent Electronic Document |

The applicant hereby certifies the following—

- (1) **SMALL ENTITY REQUIREMENT** – The applicant qualifies as a small entity as defined in 37 CFR 1.27.
- (2) **APPLICATION FILING LIMIT** – Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights, or is obligated to assign all ownership rights, as a result of the applicant's previous employment.
- (3) **GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS** – Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.
- (4) **GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"** – Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

**SIGNATURE by an authorized party set forth in 37 CFR 1.33(b)**

|           |                                                                                                      |              |           |                |                  |
|-----------|------------------------------------------------------------------------------------------------------|--------------|-----------|----------------|------------------|
| Signature | /s/ John Guymon/  |              |           |                |                  |
| Name      | John Lewis Guymon Jr                                                                                 |              |           |                |                  |
| Date      | 8/24/17                                                                                              | Aug 18, 2017 | Telephone | (801) 943-8461 | Registration No. |

There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. The required additional certification form(s) signed by the other joint inventor(s) are included with this form.



Case Code: MES.GIB  
 Document Description: Certification of Micro Entity Status (Gross Income Basis)

PTO/SB/15A (07-14)

**CERTIFICATION OF MICRO ENTITY STATUS  
 (GROSS INCOME BASIS)**

|                                                                    |                                                                  |
|--------------------------------------------------------------------|------------------------------------------------------------------|
| Application Number or Control Number (if applicable):<br>12/316699 | Patent Number (if applicable):                                   |
| First Named Inventor:<br>John Lewis Guymon Jr                      | Title of Invention:<br>Securable Independent Electronic Document |

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- (3) **GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS** – Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.
- (4) **GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"** – Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO Web site at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

**SIGNATURE by an authorized party set forth in 37 CFR 1.33(b)**

|                                     |                                                                                                                                                                                                                             |                  |                |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------|
| Signature                           |                                                                                                                                                                                                                             |                  |                |
| Name                                | Douglas Scott Litsey                                                                                                                                                                                                        |                  |                |
| Date                                | 8/29/17                                                                                                                                                                                                                     | Telephone        | (618) 397-0250 |
|                                     |                                                                                                                                                                                                                             | Registration No. |                |
| <input checked="" type="checkbox"/> | There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. The required additional certification form(s) signed by the other joint inventor(s) are included with this form. |                  |                |



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                           |                        |   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|---|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                           | Attorney Docket Number | - |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                           | Application Number     |   |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Securable Independent Electronic Document |                        |   |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.<br/>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                                           |                        |   |

### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### Applicant Information:

|                                                                                                                                                                                                                   |                   |                                                                |                    |                                                             |    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------------------------------------------------------|--------------------|-------------------------------------------------------------|----|
| <b>Applicant 1</b>                                                                                                                                                                                                |                   |                                                                |                    |                                                             |    |
| <b>Applicant Authority</b> <input checked="" type="radio"/> Inventor                                                                                                                                              |                   | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |    |
| <b>Prefix</b>                                                                                                                                                                                                     | <b>Given Name</b> | <b>Middle Name</b>                                             | <b>Family Name</b> | <b>Suffix</b>                                               |    |
| Mr.                                                                                                                                                                                                               | John              | Lewis                                                          | Guymon             | Jr.                                                         |    |
| <b>Residence Information (Select One)</b> <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service                                   |                   |                                                                |                    |                                                             |    |
| <b>City</b>                                                                                                                                                                                                       | Salt Lake City    | <b>State/Province</b>                                          | UT                 | <b>Country of Residence</b>                                 | US |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                                                           |                   | US                                                             |                    |                                                             |    |
| <b>Mailing Address of Applicant:</b>                                                                                                                                                                              |                   |                                                                |                    |                                                             |    |
| <b>Address 1</b>                                                                                                                                                                                                  |                   | 1635 East 7080 South                                           |                    |                                                             |    |
| <b>Address 2</b>                                                                                                                                                                                                  |                   |                                                                |                    |                                                             |    |
| <b>City</b>                                                                                                                                                                                                       | Salt Lake City    | <b>State/Province</b>                                          | UT                 |                                                             |    |
| <b>Postal Code</b>                                                                                                                                                                                                | 84121             | <b>Country</b>                                                 | US                 |                                                             |    |
| <b>Applicant 2</b>                                                                                                                                                                                                |                   |                                                                |                    |                                                             |    |
| <b>Applicant Authority</b> <input checked="" type="radio"/> Inventor                                                                                                                                              |                   | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |    |
| <b>Prefix</b>                                                                                                                                                                                                     | <b>Given Name</b> | <b>Middle Name</b>                                             | <b>Family Name</b> | <b>Suffix</b>                                               |    |
| Mr.                                                                                                                                                                                                               | Douglas           | Scott                                                          | Litsey             |                                                             |    |
| <b>Residence Information (Select One)</b> <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service                                   |                   |                                                                |                    |                                                             |    |
| <b>City</b>                                                                                                                                                                                                       | West Valley City  | <b>State/Province</b>                                          | UT                 | <b>Country of Residence</b>                                 | US |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                                                           |                   | US                                                             |                    |                                                             |    |
| <b>Mailing Address of Applicant:</b>                                                                                                                                                                              |                   |                                                                |                    |                                                             |    |
| <b>Address 1</b>                                                                                                                                                                                                  |                   | 3061 West 3500 South #A-2                                      |                    |                                                             |    |
| <b>Address 2</b>                                                                                                                                                                                                  |                   |                                                                |                    |                                                             |    |
| <b>City</b>                                                                                                                                                                                                       | West Valley City  | <b>State/Province</b>                                          | UT                 |                                                             |    |
| <b>Postal Code</b>                                                                                                                                                                                                | 84119             | <b>Country</b>                                                 | US                 |                                                             |    |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the <b>Add</b> button. <span style="float: right;"><input type="button" value="Add"/></span> |                   |                                                                |                    |                                                             |    |

### Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.  
For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence information of this application.



|                                           |                                           |                                          |                                             |
|-------------------------------------------|-------------------------------------------|------------------------------------------|---------------------------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number                   | -                                           |
|                                           |                                           | Application Number                       |                                             |
| Title of Invention                        | Securable Independent Electronic Document |                                          |                                             |
| Customer Number                           | 63451                                     |                                          |                                             |
| Email Address                             | JohnLewisGuymonJr@yahoo.com               | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

**Application Information:**

|                                         |                                           |                                           |                          |
|-----------------------------------------|-------------------------------------------|-------------------------------------------|--------------------------|
| Title of the Invention                  | Securable Independent Electronic Document |                                           |                          |
| Attorney Docket Number                  | -                                         | Small Entity Status Claimed               | <input type="checkbox"/> |
| Application Type                        | Nonprovisional                            |                                           |                          |
| Subject Matter                          | Utility                                   |                                           |                          |
| Suggested Class (if any)                | 713                                       | Sub Class (if any)                        | 189                      |
| Suggested Technology Center (if any)    | TC2400                                    |                                           |                          |
| Total Number of Drawing Sheets (if any) | 2                                         | Suggested Figure for Publication (if any) |                          |

**Publication Information:**

|                          |                                                                                                                                                                                                                                                                                                                                                                                                |
|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Request Early Publication (Fee required at time of Request 37 CFR 1.219)                                                                                                                                                                                                                                                                                                                       |
| <input type="checkbox"/> | <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application <b>has not and will not</b> be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. |

**Representative Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                  |                                              |                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|---------------------------------------------------------|
| Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing. |                                                  |                                              |                                                         |
| Please Select One:                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 63451                                            |                                              |                                                         |

**Domestic Benefit/National Stage Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                        |                 |                          |                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------------------|---------------------------------------|
| This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification. |                 |                          |                                       |
| Prior Application Status                                                                                                                                                                                                                                                                                                                                                                               |                 |                          | <input type="button" value="Remove"/> |
| Application Number                                                                                                                                                                                                                                                                                                                                                                                     | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD)              |
|                                                                                                                                                                                                                                                                                                                                                                                                        |                 |                          |                                       |
| Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button.                                                                                                                                                                                                                                                                                  |                 |                          |                                       |

**Foreign Priority Information:**

|                                           |                                           |                        |             |
|-------------------------------------------|-------------------------------------------|------------------------|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number | -           |
|                                           |                                           | Application Number     | 12 / 316699 |
| Title of Invention                        | Securable Independent Electronic Document |                        |             |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

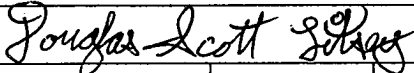
|                                                                                                        |                      |                                 |                                                               |
|--------------------------------------------------------------------------------------------------------|----------------------|---------------------------------|---------------------------------------------------------------|
|                                                                                                        |                      |                                 | Remove                                                        |
| Application Number                                                                                     | Country <sup>1</sup> | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                                                                                                        |                      |                                 | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button. |                      |                                 |                                                               |

### Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

|                                                                                                |                           |                |             |        |
|------------------------------------------------------------------------------------------------|---------------------------|----------------|-------------|--------|
| <b>Assignee 1</b>                                                                              |                           |                |             |        |
| If the Assignee is an Organization check here. <input type="checkbox"/>                        |                           |                |             |        |
| Prefix                                                                                         | Given Name                | Middle Name    | Family Name | Suffix |
|                                                                                                |                           |                |             |        |
| <b>Mailing Address Information:</b>                                                            |                           |                |             |        |
| Address 1                                                                                      | 3061 WEST 3500 SOUTH #A-2 |                |             |        |
| Address 2                                                                                      |                           |                |             |        |
| City                                                                                           | WEST VALLEY CITY          | State/Province | UTAH        |        |
| Country                                                                                        | USA                       | Postal Code    | 84119       |        |
| Phone Number                                                                                   | (618) 397-0250            | Fax Number     |             |        |
| Email Address                                                                                  | ROCKMANSCOTT6@GMAIL.COM   |                |             |        |
| Additional Assignee Data may be generated within this form by selecting the <b>Add</b> button. |                           |                |             |        |

### Signature:

|                                                                                                                                                              |                                                                                     |           |                   |                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------|-------------------|---------------------|
| A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. |                                                                                     |           |                   |                     |
| Signature                                                                                                                                                    |  |           | Date (YYYY-MM-DD) | 2017-08-29          |
| First Name                                                                                                                                                   | Douglas                                                                             | Last Name | Litsey            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

|                                           |                                           |                        |             |
|-------------------------------------------|-------------------------------------------|------------------------|-------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number | -           |
|                                           |                                           | Application Number     | 12 / 316699 |
| Title of Invention                        | Securable Independent Electronic Document |                        |             |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove

| Application Number | Country <sup>1</sup> | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|--------------------|----------------------|---------------------------------|---------------------------------------------------------------|
|                    |                      |                                 | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

### Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

#### Assignee 1

If the Assignee is an Organization check here.

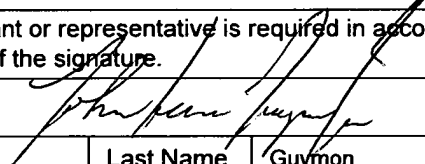
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|--------|------------|-------------|-------------|--------|
|        |            |             |             |        |

#### Mailing Address Information:

|               |                                  |                |       |  |
|---------------|----------------------------------|----------------|-------|--|
| Address 1     | 1635 EAST 7080 SOUTH             |                |       |  |
| Address 2     |                                  |                |       |  |
| City          | SALT LAKE CITY                   | State/Province | UTAH  |  |
| Country       | USA                              | Postal Code    | 84121 |  |
| Phone Number  | (801) 943-8461                   | Fax Number     |       |  |
| Email Address | JOHN LEWIS GUYMON JR @ YAHOO.COM |                |       |  |

Additional Assignee Data may be generated within this form by selecting the **Add** button.

### Signature:

|                                                                                                                                                              |                                                                                     |           |                   |                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------|-------------------|---------------------|
| A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. |                                                                                     |           |                   |                     |
| Signature                                                                                                                                                    |  |           | Date (YYYY-MM-DD) | 2017-08-18 8/26/17  |
| First Name                                                                                                                                                   | John                                                                                | Last Name | Guymon            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

August 26, 2017

mail stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Amendments w/Reply in re to Final Office Action of April 2, 2013**

Sir/ Madam:

Applicants/joint inventors submit this amendment, signed by both Applicants/joint inventors, with the included attached claims 1-4 to place the application in condition for allowance.

**SPECIFICATION:**

Specifications not changed.

**CLAIMS:**

Amended and incorporated as separate document.

**DRAWINGS:**

Drawings not changed.

**REMARKS:**

In response to the Office Action dated April 2, 2013, Application presents the following remarks: Applicants/joint inventors has rewritten claims (#1 thru #4) in a most diligent manner as to define the electronic document apparatus more particularly and distinctly so as to overcome the technical objections raised in the Office Action United States Patent and Trademark Office, and define, over prior art, the ability of patent for the Securable Independent Electronic Document.

Applicants/joint inventors submits the updated claims for office review. Applicants/joint inventors are unsure if the claims, as they are submitted, are in valid form and compliance with USPTO policy.

Further, applicants have, with due diligence, done their best to facilitate the correct understanding in the submitted changes of this reply. Applicants/joint inventors have not understood the previous office actions until lengthy discussion with the examiner. Applicants/joint inventors are in need of assistance with regard to writing the claims and submits this reply and included claims for review and, if needed, requests assistance in drafting the claims or changes to the claims to bring them into compliance.

Applicants/joint inventors have not changed the specification or any drawings at this time. Only the claims have been updated in this amendment/reply.

In regard to the Office Action's section 'DETAILED ACTION',

paragraph 1, 'This Action ...'

1. Applicants/joint inventors submitted a reply to the Office Action mailed March 6, 2012 on September 6, 2012. A further communication from the USPTO office, dated November 27, 2012, titled 'Notice of Non-Compliant Amendment' was answered by applicant on December 13, 2012. Applicants/joint inventors believes the latest Office Action from the USPTO, dated April 2, 2013, is the latest action and is in response to the September 6, 2012 reply by Applicants.

paragraph 2, 'Claims 1-4 are ...'

2. Applicants/joint inventors makes note of the claims as they are numbered, claims 1-4.

In regard to the Office Action's section 'Response to Arguments',

paragraph 3, 'Applicant's arguments filed ...'

3. Applicants/joint inventors acknowledges the filing date of the last reply to be September 6, 2012 and further December 13, 2012 for corrections to said filing.

In regard to the Office Action's section 'Claim Objections',

paragraph 4, 'The Applicant does ...'

4. Applicants incorrectly created claims 1-4 with regard to said 'means' and has updated claims 1-4 in this reply. Applicant is not invoking 112 6th paragraph, as Applicants believes, as it does not apply.

paragraph 5, 'Therefore, the...'

5.

In regard to the Office Action's section 'Claim Objections',

paragraph 6, 'Claims 1-4 objected ...'

6. Applicants has revised the claims accordingly.

In regard to the Office Action's section 'Claim Rejection – 35 USC § 112 ', paragraph 7, 'The following ...'

7.

paragraph 8, 'Claims 1-4 ...'

8. Applicants has revised the claims accordingly.

paragraph 9, 'Furthermore, ...'

9. Applicants has revised the claims accordingly.

In regard to the Office Action's section 'Conclusion ', paragraph 10, 'THIS ACTION ...'

paragraph 11, 'A shortened statutory ... '

paragraph 12, 'Any inquiry ...'

Applicants/joint inventors has talked with examiner by phone. Discussion with regard to the actual invention and further to some changes that would bring the claims into compliance. Applicants/joint inventors have had a difficult time in confirming the correct language of the claims and has reached the filing deadline; Applicants submits the changes to the claims that hopefully corrects the language and brings the claims into compliance. (Please see main remarks section above.)

paragraph 13, 'If attempts ...'

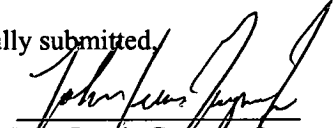
paragraph 14, 'Information regarding ...'

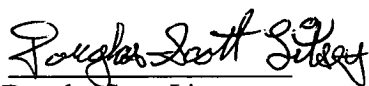
#### CONCLUSION:

For all the above reasons, Applicants/joint inventors submits that the claims are now in proper form as he has been able to understand they should be, and that the claims all define the ability for patent over prior art. Therefore Applicants/joint inventors submit that this application is now in condition for allowance which action they respectfully solicit.

Conditional Request for Constructive Assistance

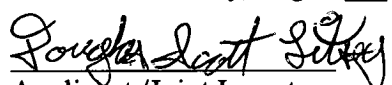
Applicants/joint inventors have amended the claims of this application to the best of their knowledge and ability so that they (hopefully) are proper, definite, and define novel structure which is also unobvious. If for any reason this application is not believed to be in full condition for allowance, Applicants/joint inventors respectfully requests constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. §2173.02 and §707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

Respectfully submitted,  
8/26/17   
Date: John Lewis Guymon Jr  
Applicant/Joint Inventor

8-29-17   
Date: Douglas Scott Litsey  
Applicant/Joint Inventor

- - - Certificate of Mailing - - -

I certify that this correspondence will be faxed to/deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August \_\_, 2017.

  
Applicant /Joint Inventor



Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicants: John Lewis Guymon Jr / Douglas Scott Litsey  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**CLAIMS as amended re Request for Continued Examination**

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

a graphical image sectional component, wherein said image component adapted for storing graphical image data of said graphic image container type,

wherein said component may include encrypted content wherein said encrypted content can be decrypted;

wherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system, and

wherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user; and

a character text sectional component adapted for storing normative standard character text based digital signature formatted data,

wherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system

wherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user;



and, if user specified, additional sectional components wherein said additional components adapted for the storage of any of the following data types:

character text data, said character text comprising scripting text, rich text, markup language text, or other character text, wherein said char text can include one or more of the following:

a character text Uniform Resource Locator (URL),  
encoded data, wherein said encoded data can be of any type or value including encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,  
graphic image(s) data,  
raw binary data,  
intrinsic security feature data,  
container based binary encoded digital signature data,  
any other user specified data;

wherein said sectional components include intrinsic security feature data wherein coupling of said sectional component(s) security feature data generates additional intrinsic security feature data

wherein said intrinsic data or said additional intrinsic data can be stored in any one of said sectional component(s),

wherein automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said char text sectional component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein independent creation and processing of said container from said sectional components' creation and processing, and

wherein integrity enhanced non-associated creation and processing intervention from said sectional components' creation and processing, and

wherein integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional components' security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

independent interaction of processing and storage from non-desired additional parties,

independent validation of processing and storage from non-desired additional parties, and

independent processing and storage of said machine readable instruction's computing platform;

2. The electronic document of claim 1, wherein said normative standard character text based digital signature sectional component include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The electronic document of claim 2, wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451                      7590                      06/29/2017  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

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| EXAMINER |
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ABRISHAMKAR, KAVEH

|          |              |
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| ART UNIT | PAPER NUMBER |
|----------|--------------|

2494

|           |               |
|-----------|---------------|
| MAIL DATE | DELIVERY MODE |
|-----------|---------------|

06/29/2017

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

In re Application of : DECISION ON PETITIONS  
Guymon et al. : UNDER 37 CFR 1.183 and 1.137(a)  
Application No. 12/316,699 : AND  
Filed: 12/15/2008 : DECISION ON REQUEST  
For: SECURABLE INDEPENDENT : UNDER 37 CFR 1.48(a)  
ELECTRONIC DOCUMENT : AND  
: REQUEST FOR REFUND

This is a decision on the papers filed August 8, 2016, which are treated as a (1) a petition under 37 CFR 1.183 to waive the rules and accept papers which are not signed by all inventors; (2) a renewed petition to revive the above-identified application under 37 CFR 1.137(a); (3) a renewed request to correct the inventorship pursuant to 37 CFR 1.48(a), and (5) a renewed request for refund.

The petitions are **dismissed** for the reasons stated below.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition." This is not a final agency decision.

**Request to Waive the Rules under 37 CFR 1.183**

Petitioner requests that the requirement of 37 CFR 1.33(b) that papers be signed by all inventors or their representative be waived. Specifically, petitioner asserts that joint inventor Douglas Scott Litsey (hereinafter "Litsey") was improperly added as an inventor, but has refused to sign papers related to the application. Petitioner requests waiver of the rules, and that papers be accepted without Litsey's signature.

37 CFR 1.183 states

In an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be suspended or waived by the Director or the Director's designee, *sua sponte*, or on petition of the interested party, subject to such other requirements as may be imposed. Any petition under this section must be accompanied by the petition fee set forth in § 1.17(f) .

Petitioner's argument has been considered, but is not persuasive. 37 CFR 1.183 permits the Director to waive a rule when extraordinary circumstances exist and justice requires waiver of

Art Unit: OPET

the rule. Applicant has not shown the existence of any extraordinary circumstances, however, where justice requires waiver of the rule requiring a statement of lack of deceptive intent. Where, as here, the question of inventorship between the diverse parties is vigorously contested, the USPTO will not relax the requirement of 37 CFR 1.48 by way of 37 CFR 1.183.<sup>1</sup> As petitioners have not adequately shown that either an extraordinary situation is herein present or that “justice requires” waiver, the petition for waiver is dismissed.

It would be contrary to the intent of the regulations to permit, *ex parte*, by waiver of the rules, Petitioner to unilaterally extinguish the property rights that Litsey has in this application. Litsey, as a joint inventor, has a property right in this application that can be canceled, revoked, or annulled *only* by proceedings that afford due process of law.<sup>2</sup> An *ex parte* proceeding in the USPTO is not the appropriate forum for the contested extinction of a property right in an application.<sup>3</sup> That is especially so in, as here, the presence of the express objection of the party whose interest(s) is being extinguished.<sup>4</sup> It is well settled that the Office is not the appropriate forum for resolving a dispute concerning the ownership of an application, and, as such, the USPTO will not permit itself to become embroiled in ownership disputes.<sup>5</sup> Furthermore, in circumstances as herein, the USPTO seeks to preserve the rights of all parties to the extent it is able.

Accordingly, the petition is **dismissed**.

### **Proper Extension of Time and Fee Have Not Been Supplied**

Petitioner states that a two (2)-month extension of time is being requested. The fee for a two month extension of time is \$300 and has been submitted. However, the decision mailed on March 7, 2016 set a two month period for reply, with extensions of time available. A reply would have had to have been filed not later than July 7, 2016, to be considered a timely reply with a two (2)-month extension of time. The reply filed on August 8, 2016 (August 7, 2016 was a Sunday (37 CFR 1.7(a))), was filed three (3) months after the period two months after the mailing of the decision of March 7, 2016. Accordingly, a three (3)-month extension of time was required. The fee for a three (3)-month extension of time (small entity) is \$700. It is also noted, as stated below, that the application is not entitled to micro entity status.

37 CFR 1.136(a)(2) states that the date on which the petition and the fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The expiration of the time period is determined by the amount of the fee paid. A reply must

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<sup>1</sup> See In re Cooper, 230 USPQ 638, 639 (Comm’r Pat. 1985).

<sup>2</sup> Iowa State Univ. Research. Found. v. Sperry Rand Corp., 444 F.2d 406, 410, 170 USPQ 374, 377 (4<sup>th</sup> Cir. 1971).

<sup>3</sup> See Baker Hughes, Inc. v. Kirk, 921 F.Supp. 801 (D.D.C. 1995).

<sup>4</sup> Id.; In re Hardee, 223 USPQ 1122 (Comm’r Pat. 1984) .

<sup>5</sup> See Ex parte Harrison, 1925 Dec. Comm’r Pat. 122, 123 (Comm’r Pat. 1924); In re Moeller, 1904 Dec. Comm’r Pat. 70, 71 (Comm’r Pat. 1904); Ex parte McTammany, 1900 Dec. Comm’r Pat. 168, 181 (Comm’r Pat. 1900); Ex parte McFarlane, 1896 Dec. Comm’r Pat. 37, 38 (Comm’r Pat. 1896); Ex parte Gallatin, 1892 Dec. Comm’r Pat. 106 (Comm’r Pat. 1892).

Art Unit: OPET

be filed prior to the expiration of the period of extension to avoid abandonment of the application (§ 1.135), but in no situation may an applicant reply later than the maximum time period set by statute, or be granted an extension of time under paragraph (b) of this section when the provisions of paragraph (a) of this section are available.

**Request to Correct Inventorship Under 37 CFR 1.48(a)**

The request under 37 CFR 1.48(a) cannot be considered on the merits because it is not properly signed.

37 CFR 1.33(b), as it applies to applications filed before September 16, 2012, states

(b) *Amendments and other papers.* Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A patent practitioner of record appointed in compliance with § 1.32(b);
- (2) A patent practitioner not of record who acts in a representative capacity under the provisions of § 1.34;
- (3) An assignee as provided for under § 3.71(b) of this chapter; or (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter; or
- (4) All of the applicants (§ 1.41(b) ) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b).

The request under 1.48(a) must be signed by both joint inventors.

Moreover, 1.48(a) states:

*Nonprovisional application:* Any request to correct or change the inventorship once the inventorship has been established under § 1.41 must include:

- (1) An application data sheet in accordance with § 1.76 that identifies each inventor by his or her legal name; and
- (2) The processing fee set forth in § 1.17(i)

The request lacks item (1).

Art Unit: OPET

The ADS has not been signed by both inventors in accordance with 37 CFR 1.33(b) See 37 CFR 1.76(e).

In view thereof, the request is **DISMISSED**.

#### **Petition Under 37 CFR 1.137(a)**

At the outset, the petition is untimely. As noted above, a three (3) month extension of time was required to make the reply a timely reply. The two (2) month extension of time submitted with the petition on August 8, 2016 was not a sufficient extension of time. Therefore, the petition is untimely.

The Office will not reach the merits of a request for reconsideration of the merits of a request for reconsideration of a decision dismissing a petition under 37 CFR 1.137(a) filed outside of the two-month period for reply, absent payment of the required extension of time fee.

In view thereof, the request for reconsideration is dismissed as untimely filed.

Petitioner has two (2) months from the date of this decision to submit proof that the required extension of time fee was timely paid if Petitioner feels this dismissal was made in error. This period is not extendable. See 1.181(f).

Petitioner is not precluded from filing a new petition that meets all of the requirements of 1.137(a) including a statement that the "entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional". Payment of the petition fee set forth in 1.137(b)(2) is required with the filing of the new petition

Petitioner is advised that effective December 18, 2013, petitions to revive an abandoned application under 37 CFR 1.137(a) are on the basis of *unintentional* delay. See *Changes to Implement the Patent Law Treaty*; Final Rule, 78 Fed. Reg. 62368, 62382 (October 21, 2013). Accordingly, applicant should submit the attached petition form. The petition form must be properly signed.

The application became abandoned on October 3, 2013, for failure to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection and a three (3) month extension of time in accordance with 37 CFR 1.136(a) were obtained. On October 18, 2013, however, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed on October 2, 2013, fails to place the application in condition for allowance. Notice of Abandonment was mailed on February 7, 2014. On April 7, 2014, a paper styled as a petition to revive the application was filed, accompanied by a Request for Continued Examination. On April 30, 2014, the petition was dismissed.

Art Unit: OPET

The petition cannot be considered on the merits because it is not properly signed. 37 CFR 1.33(b), as it applies to applications filed before September 16, 2012, states

(b) *Amendments and other papers.* Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A patent practitioner of record appointed in compliance with § 1.32(b);
- (2) A patent practitioner not of record who acts in a representative capacity under the provisions of § 1.34;
- (3) An assignee as provided for under § 3.71(b) of this chapter; or (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter; or
- (4) All of the applicants (§ 1.41(b) ) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b). In this regard, it is noted that a request to correct the inventorship was filed on August 24, 2015. As indicated above, however, the request cannot be granted.

It is also noted that a proper reply has not been filed in that the RCE filed on April 7, 2014 and the reply filed on October 2, 2013, which is treated as the submission required by § 1.114, were not signed by all of the inventors as required by § 1.33(b). A grantable petition under 37 CFR 1.137(a) requires a proper reply. Petitioners must submit a properly signed RCE Request and submission under 37 CFR 1.114.

Still further, the RCE fee has not been paid in the proper amount. In this regard, the Certification of Micro Entity Status filed on October 2, 2013 is improper because it has not been signed by both inventors. Accordingly, the application has not been granted micro entity status. A properly signed micro entity status form must be filed before micro entity status can be granted. Alternatively, all fees must be paid in the small entity amount.

Furthermore, the petition is not properly signed in accordance with 37 CFR 1.33(b) in that both inventors or their representatives have not signed the petition.

Lastly, the petition must be accompanied by a statement that the entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional.





Application/Control Number: 12/316,699

Page 7

Art Unit: OPET

By internet: EFS-Web<sup>6</sup>

Correspondence regarding this decision may also be filed through the electronic filing system of the USPTO.

Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

/dwood/

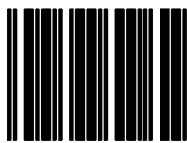
Douglas I. Wood  
Attorney Advisor  
Office of Petitions

Encl: PTO/SB/64 (12-13) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)

---

<sup>6</sup> [www.uspto.gov/ebc/efs\\_help.html](http://www.uspto.gov/ebc/efs_help.html) (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

## Office of Petitions: Routing Sheet



4 7 0 0

Application No. 12316699

**This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.**

**GRANTED**

**DISMISSED**

**DENIED**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional)

Page 1 of 2

First named inventor: \_\_\_\_\_

Application No.: \_\_\_\_\_ Art Unit: \_\_\_\_\_

Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_

Title:

[Empty box for Title]

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Statement that the entire delay was unintentional.

**1. Petition fee**

Small entity fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant asserts small entity status. See 37 CFR 1.27.

Undiscounted fee \$ \_\_\_\_\_ (37.CFR.1.17(m)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office notice or action in the form of

\_\_\_\_\_ (identify the type of reply):

has been filed previously on \_\_\_\_\_.

is enclosed herewith.

B The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_

has been paid previously on \_\_\_\_\_.

is enclosed herewith.

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Page 2 of 2

**3. Terminal disclaimer with disclaimer fee**

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

**4. STATEMENT:** The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional. (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Address

**Enclosures:**

- Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheet(s) containing statements establishing unintentional delay
- Other: \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
- Transmitted by EFS-Web or facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name of person signing certificate

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Office of Petitions: Decision Count Sheet

Mailing Month

Application No.

12316699



For US serial numbers: enter number only, no slashes or commas. Ex: 10123456

For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345

Deciding Official:

WOOD, DOUGLAS

Count (1) - Palm Credit

12/316,699

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 503 - 37 CFR 1.183 -SUSPEND/WAIVE EXAMINING-REL



Notes:

Count (2)

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 311 - 37 CFR 1.48 FOR CORRECTION OF INVENTORSHIP



Notes:

please also enter 311 & 502 petitions

Count (3)

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 502 - 37 CFR 1.137(b) - REVIVAL BASED ON UNINTENTIONAL



Notes:

Initials of Approving Official (if required)

If more than 3 decisions, attach 2nd count sheet & mark this box

Printed on: 6/27/2017

AUG 22 2016

**In the United States Patent and Trademark Office**

|                    |                                           |
|--------------------|-------------------------------------------|
| Serial Number:     | 12/316,699                                |
| Application Filed: | December 15, 2008                         |
| Applicant:         | John Lewis Guymon Jr                      |
| Application Title: | Securable Independent Electronic Document |
| Examiner/GAU:      | Kaveh Abrishamkar                         |

**APPLICANT'S DECLARATION IN SUPPORT OF  
PETITION FOR REVIVAL OF UNINTENTIONALLY ABANDONED  
APPLICATION - 37 C.F.R. §1.137(a)**

August 8, 2016

mail stop: **Office of the Director; Petitions**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

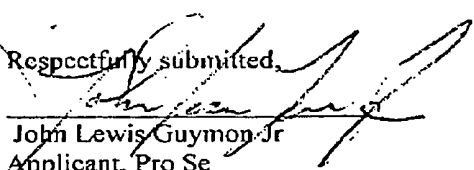
Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of Applicant's Petition to Revive Unintentional Abandoned Application under 37 C.F.R. §1.137(a); THEREFORE, Applicant further states and swears:

Applicant has stated many times in the past of his diligence in getting this application into proper status. Applicant did not intentionally abandon the above referenced application and, having justice required in this extraordinary situation, presents this declaration with due diligence, once again, in attempts to revive this application.

Respectfully submitted,

  
 \_\_\_\_\_  
 John Lewis Guymon Jr  
 Applicant, Pro Se  
 1635 East 7080 South  
 Salt Lake City, Utah 84121  
 Tel: 801.943.8461

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support Of Petition For Revival of Unintentionally Abandoned Application' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.



John Guymon

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p.1

AUG 22 2016

In The United States Patent And Trademark Office

August 21, 2016

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

To: United States Patent And Trademark Office  
via facsimile to (571) 273-8300 (2 pages total)

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

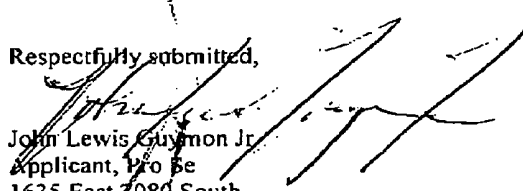
Dear Sir/Madam:

Applicant presents this cover letter requesting the office accept the attached '**Declaration In Support Of Petition For Revival Of Unintentionally Abandoned Application**', (1 page) version '03g0808a.doc' [8-228-1381] in replacement of the incorrect currently on record unsigned declaration, filed August 8, 2016, dated August 7, 2016, version '03g0807b.doc' [3-174-1039].

Please attach and include the enclosed declaration with Applicant's **Request For Reconsideration** filed August 8, 2016. The enclosed new declaration is an exact copy of the original declaration, properly signed by Applicant, that Applicant intended on filing with said reconsideration request on August 8, 2016.

Thank you for your help with this matter.

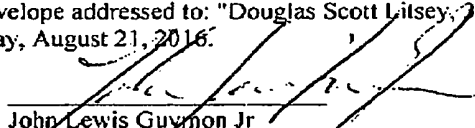
Respectfully submitted,

  
John Lewis Guymon Jr.  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

- - - Certificate of Delivery - - -

I certify that this correspondence consisting of this cover letter and Applicant's declaration (total 2 pages) will be delivered via facsimile to (571) 273-8300, Attn:Office of Petitions on this day, August 21, 2016; further,

I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 7061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 21, 2016.

  
John Lewis Guymon Jr.  
Applicant, Pro Se

C2g0821c.doc

[11-284-1614]

Page 1 of 1

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AUG 22 2016

TO - 571 273 8300  
Office of Petitions

FROM John Guymon  
Application # 12/316699  
Phone 801 930 8229

TOTAL OF 3 pages.  
(This cover + 2 originals)

AUG 22 2016

In The United States Patent And Trademark Office

August 22, 2016

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

To: United States Patent And Trademark Office  
via facsimile to (571) 273-8300 (2 pages total)

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

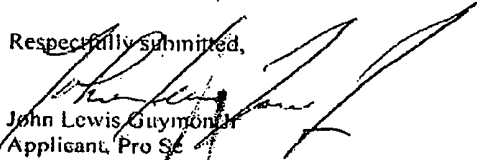
Dear Sir/Madam:

Applicant presents this cover letter requesting the office accept the attached 'Declaration In Support Of Petition For Revival Of Unintentionally Abandoned Application', (1 page) version '03g0808a.doc' [8-228-1381] in replacement of the incorrect currently on record unsigned declaration, filed August 8, 2016, dated August 7.2016, version '03g0807b.doc' [3-174-1039].

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Thank you for your help with this matter.

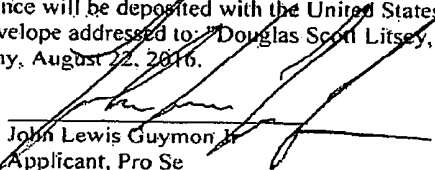
Respectfully submitted,

  
John Lewis Guymon Jr.  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

- - - Certificate of Delivery - - -

I certify that this correspondence consisting of this cover letter and Applicant's declaration (total 2 pages) will be delivered via facsimile to (571) 273-8300, Attn: Office of Petitions on this day, August 22, 2016; further,

I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 22, 2016.

  
John Lewis Guymon Jr.  
Applicant, Pro Se

C2g0822a.doc

[5-284-1614]

Page 1 of 1

AUG 22 2016

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
 Application Filed: December 15, 2008  
 Applicant: John Lewis Guymon Jr  
 Application Title: Securable Independent Electronic Document  
 Examiner/GAU: Kaveh Abrishamkar

**APPLICANT'S DECLARATION IN SUPPORT OF  
 PETITION FOR REVIVAL OF UNINTENTIONALLY ABANDONED  
 APPLICATION - 37 C.F.R. §1.137(a)**

August 8, 2016

mail stop: **Office of the Director; Petitions**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

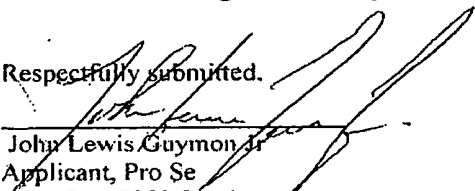
Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of Applicant's Petition to Revive Unintentional Abandoned Application under 37 C.F.R. §1.137(a); THEREFORE, Applicant further states and swears:

Applicant has stated many times in the past of his diligence in getting this application into proper status. Applicant did not intentionally abandon the above referenced application and, having justice required in this extraordinary situation, presents this declaration with due diligence, once again, in attempts to revive this application.

Respectfully submitted,

  
 John Lewis Guymon Jr  
 Applicant, Pro Se  
 1635 East 7080 South  
 Salt Lake City, Utah 84121  
 Tel: 801.943.8461

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support Of Petition For Revival of Unintentionally Abandoned Application' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

O3g0808a.doc

[8-228-1381]

Page 1 of 1

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AUG 22 2016

**FACSIMILE TRANSMISSION**

(Total of 3 pages)

Date: August 22, 2016

To: **(571) 273-8300**  
Attn: Office of Petitions

Re: **12/316699**

Filed: December 15, 2008

Title: **Securable Independent Electronic Document**

From: **iBailBonding.Com**  
c/o John Lewis Guymon Jr.  
Applicant, Pro Se

Included w/this sheet are 2 pages, as follows:

- Cover letter of explanation (1 page)
- Original 'correct' Declaration (1 page)

**\*\* Please call 801-930-8229 or 801-633-0817 if you have any questions or other needs. Thanks.**



8-10-16

JTW  
DAC

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
 Application Filed: December 15, 2008  
 Applicant: John Lewis Guymon Jr  
 Application Title: Securable Independent Electronic Document  
 Examiner/GAU: Kaveh Abrishamkar

**PETITION FOR SUSPENSION OF RULES  
UNDER 37 C.F.R. §1.183**

August 8, 2016

mail stop: **Office of the Director; Petitions**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir / Madam:

Applicant hereby petitions the Commissioner of Patents and Trademarks for Suspension Of Rules, pursuant to 37 CFR §1.183, in the above identified U.S. Patent Application, for waiver of the requirements 37 CFR §1.33(b) requiring signature of all of the applicants for patent of amendments and other paper correspondence. The required petition fee set forth in 35 USC ??? is enclosed .

The present application is made on behalf of John Guymon ('Applicant') and Douglas Litsey ('Mr. Litsey'), collectively ('inventors').

All inventors were under obligation, as condition of employment or agent representation to assign the patent application to John Guymon, dba iBailBonding.Com, a registered company in the State of Utah. See Exhibit ??

Applicant submits concurrently herewith the following:

1. Applicants Declaration In Support Of Petition For Suspension Of Rules.
2. Affidavit of witness Michael King.
3. Copy of previously submitted exhibit list (Exhibit '1')

The above referenced application currently stands abandoned with an unaccepted Certification Of Micro Entity and three (3) dismissed petitions, to wit: 37 CFR 1.48(a) - Correction Of Inventorship, 37 CFR 1.137(a) - Revival Of Unintentionally Abandoned Application and 37 CFR 1.114 - Request For Continued Examination dismissed by the Patent Office by way of a Decision On Petition's correspondence dated March

7, 2016. Applicant submits this petition in support of Applicant's Request For Reconsideration of said unaccepted certification and dismissed petitions, filed concurrently herewith,

Applicant, without knowledge of the requirement for and need of a Petition For Suspension Of Rules pursuant to 37 C.F.R. 1.183 to waive signature of incorrectly listed inventor, having submitted facts and information thought to be sufficient for supporting such waiver need, submitted said micro entity certification and currently dismissed petitions in belief that such would be accepted and/or granted accordingly.

On August 20, 2015 Applicant submitted a Petition For Correction Of Inventorship that included a Supplemental Declaration Of Inventorship in replacement of this application's originally filed Declaration (37 C.F.R. 1.63) For Utility Application. Attached to said petition and supplemental declaration were exhibits of evidence presenting specific facts and other information showing Applicant as the true first sole and only inventor of the above referenced application as well as facts and other exhibits of evidence showing Mr. Litsey's lack of inventorship as well as his unprofessional conduct and unwillingness to cooperate in this applications prosecution. Applicant attaches to and includes within this petition all exhibits previously submitted. Please see Applicant's copy of submitted exhibit list (Exhibit 'I') attached to and included herein.

On multiple occasions Applicant requested Mr. Litsey, an improperly added joint-inventor, to show evidence proving conception of the invention listed in the above referenced application or to sign a statement in support of being deleted as an joint-inventor and that he was listed as inventor by mistake, one made without malicious intent. On all occasions Mr. Litsey stated he could no longer sign any application documentation and further refused to submit the statement showing he was added in error. On two occasions on or around September 30, 2013 Mr. Litsey asked witnesses M. King and T. Willis to accompany him for visit to Applicant's place of residence where, with all four individuals present, such conversations of inventorship and statement refusal from Mr. Litsey's occurred.

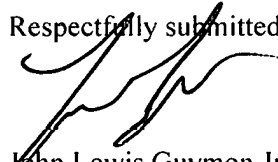
On multiple occasions Applicant presented Mr. Litsey with written letters delivered by way of both first class mail with as well as by hand delivery. Two correspondence sent by first class mail with signature required request attached were returned to Applicant as Mr. Litsey personally refused delivery. Additionally, correspondence was hand delivered by Applicant and personally witnessed by Brittany Ayala.

In view of this applications current state of abandonment and Mr. Litsey's inability to sign application documents as a valid joint inventor along with his unwillingness to sign a statement in support of deleting him as inventor, Applicant has no other recourse but to submit this petition for waiver of signature of all applicants, specifically that of Mr. Litsey. Justice is required as well as fairness is expected in having

the commissioner use his power to issue a suspension of rules in this case so that the declaration of micro entity and currently dismissed petitions be accepted and granted by the patent office accordingly.

Applicant respectfully requests this petition be reviewed in a timely manner. Please contact applicant with any questions or comments regarding this petition .

Respectfully submitted,

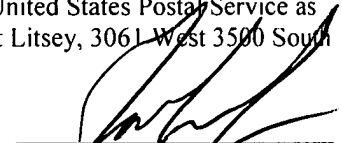


John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: 801.943.8461

- - - **Certificate of Mailing** - - -

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Office Of The Director; Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 8, 2016; further,

I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 8, 2016.

  
\_\_\_\_\_  
John Lewis Guymon Jr  
Applicant, Pro Se





**In The United States Patent And Trademark Office**

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

To: **United States Patent And Trademark Office**  
Office Of The Director  
Office Of Petitions

cc: Douglas Scott Litsey  
3061 West 3500 South #A2  
West Valley City, Utah 84119

mail stop: **Office of the Director; Petitions**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Honorable Commissioner:

Applicant presents this cover letter and contents comprising Applicant's 'Request For Reconsideration' Under 1.183 petition and fee payment total of \$590 (Chk #016). Applicant believes contents enclosed will place the application in proper order.

Thank you for your consideration and time with this correspondence.

Respcctfully submitted,

John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

- - - **Certificate of Mailing** - - -

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I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 8, 2016.

\_\_\_\_\_  
John Lewis Guymon Jr  
Applicant, Pro Se



United States Patent and Trademark Office

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**PETITION FOR EXTENSION OF TIME  
UNDER 37 C.F.R. §1.136(a)**

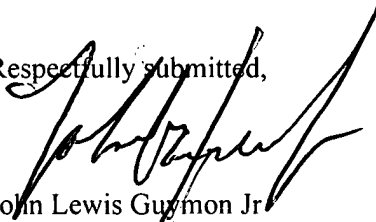
August 7, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

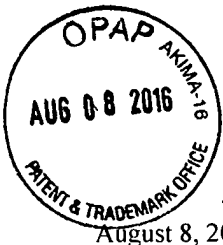
Dear Sir/Madam:

Pursuant to 37 C.F.R. §1.136(a), this is a petition for an extension of time for the above application and is filed in support of Applicant's Request for Reconsideration filed concurrently herewith. The decision blah blah blah was mailed on blah blah blah and the due date for response was set for a block, based on the two months. Set for response. An extension of time of two months is hereby request and extending the period for response to and including bob about. The extension three But with if the based on micro entity status of \$100 for the two month extension of the is a closed. Please consider this a petition for an extension of time of the two months.

Respectfully submitted,



John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: 801.943.8461



In The United States Patent And Trademark Office

August 8, 2016

iBailBonding.Com  
1635 East 7080 South  
Salt Lake City, Utah 84121

To: **United States Patent And Trademark Office**  
Office Of The Director  
Office Of Petitions

cc. Doulgas Scott Litsey  
3061 West 3500 South #A2  
West Valley City, Utah 84119

mail stop: **Office of the Director; Petitions**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intellectoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Honorable Commissioner:

Applicant presents this cover letter and contents comprising Applicant's 'Request For Reconsideration' Under 1.183 petition and fee payment total of \$590 (Chk #016). Applicant believes contents enclosed will place the application in proper order.

Thank you for your consideration and time with this correspondence.

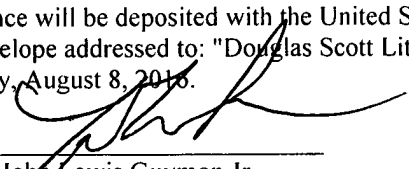
Respectfully submitted,

John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

- - - **Certificate of Mailing** - - -

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I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 8, 2016.

  
\_\_\_\_\_  
John Lewis Guymon Jr  
Applicant, Pro Se



**In The United States Patent And Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**REQUEST FOR RECONSIDERATION OF  
CERTIFICATION AND PETITION DECISIONS (3/7/2016)**

- FOR -

37 CFR 1.129 - **CERTIFICATION OF MICRO ENTITY**, October 2, 2013  
37 CFR 1.137(a) - **REVIVAL OF ABANDONED APPLICATION**, February 6, 2016  
37 CFR 1.48(a) - **CORRECTION OF INVENTORSHIP**, August 24, 2015  
37 CFR 1.114 - **REQUEST FOR CONTINUED EXAMINATION**, April 7, 2014  
37 CFR 1.127 - **REFUND REQUEST**, August 24, 2015

- UNDER -

**PETITION FOR EXTENSION OF TIME - 37 CFR 1.136**  
**PETITION FOR SUSPENSION OF RULES - 37 CFR 1.183**

August 8, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

This Request for Reconsideration is submitted in response to the various petition and certification decisions, ruled March 7, 2016 in the above-identified patent application.

In support of this Request for Reconsideration Applicant submits the following:

1. Applicant's Declaration In Support - For Suspension Of Rules
2. Applicant's Declaration In Support - Revival of Unintentionally Abandoned Application
3. Affidavit of Michael King

**Petition Under - 1.183 - Suspension of rules**

Filed herewith is Applicant's Petition For Suspension Of Rules, pursuant to 37 C.F.R. §1.183 and required fee Pursuant to 37 C.F.R. §???, requesting waiver of the requirements under 37 C.F.R. §1.33(b) requiring signature of all listed applicants/inventors.

Applicant requests suspension of rules as a result of the patent application being currently in a state of no recourse. Scott cannot sign for revival as he is not an inventor, and will not sign to remove his name as an inventor which would allow Applicant, the sole inventor, to petition to revive his application.

**Petition Under - 1.136 - Extension Of Time**

Filed herewith is a petition for three (3) month extension of time pursuant to 37 C.F.R. §1.136(a) and required fee Pursuant to 37 C.F.R. §1.17(a)(3), thereby extending the deadline for response five months from the mailing date of the Decisions On Petitions to Sunday August 7, 2016 and, therefore pursuant 35 USC 21(b), where (...if day to respond to action falls on sat, sun or holiday then next business day) . to Monday, August 8, 2016. As such this reconsideration request is timely filed.

**Reconsideration Request - 1.27 Request For Refund**

RCE fee changes would present situation where I would want refund if I couldnt pay larger amount to keep Petition under Advisment and would not have submitted the fee if RCE, based on signature needed (now) and larger fee needed (now) - refund of such, as no action on the Petition at the time of refund Petition, Applicant was under the velief that Petition was on hold. Fee accepted as micro and now locked without ability to work with either using funds or requesting a refund, as the fee's are double what Applicant expected, should have and is able to pay. (allow it to be a micro entity, or the fee of RCE should be refunded made in error and therefore applied to account balance for use as a credit toward extension of time fee difference ) to pay for later granting at proper time.

Applicant, filing refund request Aug 2015, within 2 year period pursuant. RCE fee taken at time - no office action done however.

### **Reconsideration Request - 1.29 Micro Entity Certification**

Applicant declares in declaration under penalty 18 USC 1001 that all information supplied on micro entity certifications, previously filed and attached to therefore, is true.

Affidavit of Michael King, attached to and included within, is a statement by Mr. King under oath that he was present and witnesses Mr. Litsey's reluctance to sign as a non inventor and resistance to sign statement releasing said non-inventor added by mistake without malicious intent.

Applicant swears by and currently believes application should be as a certified micro entity. I have made indication on a declaration in 2013, Mike King was there, this was Scott's first predicament where he could not sign morally, Mike was there to watch him demand rights to sign statement to deleted erroneously added inventor. Applicant should qualify as a result of income restrictions and other requirements and waiver of signature as a micro entity and would allow reconsideration and further certification and petition granting.

### **Reconsideration Request - 1.137(a) Revival Of Unintentionally Abandoned Application**

This petition with fee's paid in full for small or micro entity should grant with only Applicant's signature. Justice is due and the director must revive Applicant's application as this is the only course of action Applicant can take to revive the current abandoned application.

The only path Applicant can take, the scenario of being unable to restart up, justice prevails in allowing me to continue and cannot eliminate the innovation of invention.)

### **Reconsideration Request - 1.48(a) Correction Of Inventorship**

In response to this petition's 'DISMISSED' decision, as 1.183 is granted and Micro Entity is Certified, this petition, with only Applicant's signature, becomes properly signed, having fees (37 CFR 1.17(d)) paid \*150 fee (micro) is included in enclosed 600 payment, check #1221.

It should be noted that pursuant to 148(c), the fee should be technically \$0, as

(c) Any request to correct or change the inventorship under paragraph (a) of this section filed after the Office action on the merits has been given or mailed in the application must also be accompanied by the fee set forth in § 1.17(d), unless the request is accompanied by a statement that the request to correct or change the inventorship is due solely to the cancellation of claims in the application.

Additionally, in regard to this petition's Item #1 (ADS) and Item#2 (ADS Processing fee), a copy of the Supplemental Application Data Sheet as presented with Applicant's Exhibit 'SA' is included, having the fee of \$35 (Micro) applied from this application's account balance of \$35 (credit), (indicated correction of inventorship nearly 2 years ago with micro entity certification declaration knowing that SCott needed inventorship change, revealed scott not proper inventor...also Kavaeh final action allowed application went abandoned under micro entity status, the first response allowed, second did not allow with no valld reason and contradicting prior patent office communications)

**Reconsideration Request - Supplemental Application Data Sheet**

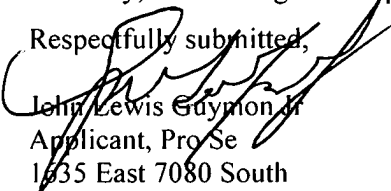
Supplemental application data sheet was included with correction of inventorship and is being included again with this petition. See exhibit #2.

Including correction of inventorship, exhibit B, having processing fee paid with \$35 account balance, on October 2, 2013.

**Reconsideration Request - 1.114 Request For Continued Examination**

The RCE, currently paid for as micro entity, should be granted upon successful application revival.

Respectfully submitted,

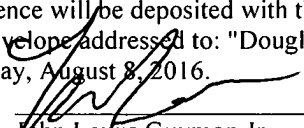


John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 930-8229  
Msg: (801) 943-8461

- - - Certificate of Mailing - - -

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "mail stop: Office Of The Director; Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 8, 2016; further,

I certify that a copy of this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 8, 2016.



John Lewis Guymon Jr  
Applicant, Pro Se





Date: Aug. 3, 2016

I, Michael King, state and swear, under oath,

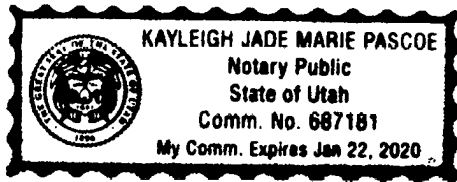
I was present both times Douglas Scott Litsey refused to sign paperworks as John Guymon requested on or about September 2013.

STATE OF Utah  
COUNTY OF Salt Lake

SUBSCRIBED AND SWORN TO BEFORE ME  
THIS 3 DAY OF August, 2016  
BY Michael King

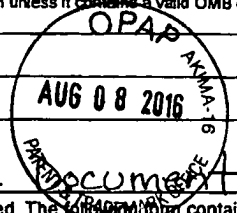
Kayleigh Jade Marie Pascoe  
NOTARY PUBLIC

Michael King  
Michael King



*Supplemental Application Data Sheet*  
*APP 141 pg 1*

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                  |                        |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                  | Attorney Docket Number |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                  | Application Number     |  |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Securable Independent Electronic |                        |  |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The information contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                                  |                        |  |



**Secrecy Order 37 CFR 5.2**

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

**Applicant Information:**

|                                                                                                                                                                             |                  |                                                                |             |                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------|-------------|-------------------------------------------------------------|
| <b>Applicant 1</b>                                                                                                                                                          |                  |                                                                |             |                                                             |
| Applicant Authority <input checked="" type="radio"/> Inventor                                                                                                               |                  | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |             | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| Prefix                                                                                                                                                                      | Given Name       | Middle Name                                                    | Family Name | Suffix                                                      |
| Mr                                                                                                                                                                          | John             | Lewis                                                          | Guymon      | Jr.                                                         |
| Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service    |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | Salt Lake City   | State/Province                                                 | UT          | Country of Residence                                        |
|                                                                                                                                                                             |                  |                                                                |             | USA                                                         |
| Citizenship under 37 CFR 1.41(b)                                                                                                                                            |                  |                                                                |             |                                                             |
| Mailing Address of Applicant:                                                                                                                                               |                  |                                                                |             |                                                             |
| Address 1                                                                                                                                                                   |                  | 1635 E. 7080 S.                                                |             |                                                             |
| Address 2                                                                                                                                                                   |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | Salt Lake City   | State/Province                                                 | UT          |                                                             |
| Postal Code                                                                                                                                                                 | 84121            | Country                                                        | USA         |                                                             |
| <b>Applicant 2</b>                                                                                                                                                          |                  |                                                                |             |                                                             |
| Applicant Authority <input checked="" type="radio"/> Inventor                                                                                                               |                  | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |             | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| Prefix                                                                                                                                                                      | Given Name       | Middle Name                                                    | Family Name | Suffix                                                      |
| MR                                                                                                                                                                          | DOUGLAS          | SCOTT                                                          | LITSEY      |                                                             |
| Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service    |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | WEST VALLEY CITY | State/Province                                                 | UT          | Country of Residence                                        |
|                                                                                                                                                                             |                  |                                                                |             | USA                                                         |
| Citizenship under 37 CFR 1.41(b)                                                                                                                                            |                  |                                                                |             |                                                             |
| Mailing Address of Applicant:                                                                                                                                               |                  |                                                                |             |                                                             |
| Address 1                                                                                                                                                                   |                  | <del>3061 WEST 3500 SOUTH #A-2</del>                           |             |                                                             |
| Address 2                                                                                                                                                                   |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | WEST VALLEY CITY | State/Province                                                 | UT          |                                                             |
| Postal Code                                                                                                                                                                 | 84119            | Country                                                        | USA         |                                                             |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <span style="float: right;">Add</span> |                  |                                                                |             |                                                             |

*Delete this inventor*

**Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below.  
 For further information see 37 CFR 1.33(a). 6345

An Address is being provided for the correspondence information of this application.

A/14/pg2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                           |                                           |                                          |                                             |
|-------------------------------------------|-------------------------------------------|------------------------------------------|---------------------------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number                   |                                             |
|                                           |                                           | Application Number                       |                                             |
| Title of Invention                        | Securable Independent Electronic Document |                                          |                                             |
| Customer Number                           | 63451                                     |                                          |                                             |
| Email Address                             | admin@ibailbonding.com                    | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

**Application Information:**

|                                         |                                           |                                           |                                     |
|-----------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------|
| Title of the Invention                  | Securable Independent Electronic Document |                                           |                                     |
| Attorney Docket Number                  |                                           | Small Entity Status Claimed               | <input checked="" type="checkbox"/> |
| Application Type                        | Utility Patent                            |                                           |                                     |
| Subject Matter                          |                                           |                                           |                                     |
| Suggested Class (if any)                | 707, 715, 345                             | Sub Class (if any)                        |                                     |
| Suggested Technology Center (if any)    |                                           |                                           |                                     |
| Total Number of Drawing Sheets (if any) | 2                                         | Suggested Figure for Publication (if any) |                                     |

**Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

**Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:  Customer Number  US Patent Practitioner  Limited Recognition (37 CFR 11.9)

Customer Number: 63451

**Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

|                          |                 |                                       |                          |
|--------------------------|-----------------|---------------------------------------|--------------------------|
| Prior Application Status |                 | <input type="button" value="Remove"/> |                          |
| Application Number       | Continuity Type | Prior Application Number              | Filing Date (YYYY-MM-DD) |
|                          |                 |                                       |                          |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.

**Foreign Priority Information:**

A/14/pg3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                           |                                           |                        |  |
|-------------------------------------------|-------------------------------------------|------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number |  |
|                                           |                                           | Application Number     |  |
| Title of Invention                        | Securable Independent Electronic Document |                        |  |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|                    |                      |                                 |                                                               |
|--------------------|----------------------|---------------------------------|---------------------------------------------------------------|
|                    |                      |                                 | <input type="button" value="Remove"/>                         |
| Application Number | Country <sup>1</sup> | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                    | USA                  | Dec. 15, 2008                   | <input checked="" type="radio"/> Yes <input type="radio"/> No |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

**Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

**Assignee 1**

If the Assignee is an Organization check here.

|        |            |             |             |        |
|--------|------------|-------------|-------------|--------|
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|        |            |             |             |        |

**Mailing Address Information:**

|                      |  |                |  |
|----------------------|--|----------------|--|
| Address 1            |  |                |  |
| Address 2            |  |                |  |
| City                 |  | State/Province |  |
| Country <sup>1</sup> |  | Postal Code    |  |
| Phone Number         |  | Fax Number     |  |
| Email Address        |  |                |  |

Additional Assignee Data may be generated within this form by selecting the **Add** button.

**Signature:**

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

|            |      |           |                   |                     |
|------------|------|-----------|-------------------|---------------------|
| Signature  |      |           | Date (YYYY-MM-DD) | Dec. 15, 2008       |
| First Name | John | Last Name | Guymon            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## In the United States Patent and Trademark Office

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

### APPLICANT'S DECLARATION IN SUPPORT OF PETITION FOR SUSPENSION OF RULES - 37 C.F.R. §1.183

August 8, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of Applicant's Petition For Suspension Of Rules under 37 C.F.R. §1.183;

THEREFORE, Applicant further states and swears:

The current application of patent was initially filed with the incorrect inventorship . The inclusion of Doug Litsey as a joint inventor was done in error by a mistake made without deceptive intent. Consequently Mr. Litsey with his now proper and correct understanding of inventorship will not sign any application documents as it is improper to do so; further, with Mr. Litsey having no written compensation contract or agreement, he refuses to sign a statement in support of him being deleted as an inventor until his inappropriate and unfaithful demands for equal ownership are met. This extraordinary situation of injustice puts the current application in peril and has caused and continues to cause damage to Applicant and requires

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

suspension or waiver of the requirement of signature of all listed Applicants/Inventors as set forth in of 37 CFR 1.33(h) .

**-- APPLICANT AS SOLE FIRST AND ONLY INVENTOR --**

Application is registered in/under iBailBonding.Com. iBailBonding.Com is a registered dba company in the State of Utah, having John Lewis Guymon Jr as the registered owner since April 2001 See EXHIBIT A1,A2,A3 filed in iBailBonding.com. Evidence shows conception only by Applicant and not Mr. Litsey.

**-- ORIGINAL INVENTORSHIP DECLARATOIN INVALID --**

Mr. Litsey was added as an inventor out of a good deed for a friend. Applicant's wife was present at the time when Applicant decided to add Mr. Litsey as an inventor and was also asked if she wanted to be on the patent but she declined. See EXHIBIT

Mr. Litsey shouldn't have filed oath nor should I have included him . Neither of us was not aware of inventorship rules nor the 18 USC 1001 penalty statue that could result from the misfiled application's inventorship information.

Mr. Litsey was incorrectly listed as a joint inventor where inventorship was improperly declared in the application's Original Declaration Of Utility Patent pursuant to 35 USC 115. The declaration of inventorship was executed in error and as soon as error was found Applicant worked diligently to correct the listing of the inventors.

With the filing from the initial application documentation paperwork for inventorship, the list of inventors was incorrect . Pursuant to 35 USC116, inventors must contribute to the conception of the invention and that the person is named in the application as inventor through an error in such arrows without deceptive intention that director may permit the application to we amended accordingly .

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

I am required to file an amendment - one that removes Litsey as inventor as oath is invalid. Applicant believes resulting patent to be invalid or unenforceable due to inequitable conduct or incorrect listing of inventor. Applicant understands and is willing to accept fact that issued patent would be at risk due to inequitable conduct arising from improperly removing Mr. Litsey as an inventor if in fact he was.

**-- COORESPONDENCE WITH MR. LITSEY --**

On or around June 2013 Mr. Litsey, from knowledge Applicant obtained from advice of legal council, was made aware of inventorship requirements and that he was added incorrectly to the application as a joint inventor.

Consequently Mr. Litsey demanded rights and ownership of the invention believing that his contribution in his authorship of the application as well as his ideas for implementation into the industry of the invention.

On or around August 2013 Applicant tried on numerous occasions to get Mr. Litsey to show evidence of inventorship to prove joint inventorship, having him unable to submit evidence of invention conception.

Mr. Litsey, with now known laws of inventorship and oaths and 18 USC 1001 punishment refuses to sign any papers, expecting personal contract between him and I to be in place before he releases inventorship, refusing to sign other documents due to realization of rules of inventorship and penalty of said punishment.

On two occasions on or around September 30, 2013 and October 1, 2013 after Applicant's prior day visit to Mr. Litsey's residence to request that Mr. Litsey assist applicant in document generation for this application's prosecution, Mr. Litsey along with his and Applicant's mutual friends M. King and T. Wills,

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this '**Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183**' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

asked by Mr. Litsey to accompany him on his multiple visits to Applicant place of residence. During both visits of Mr. Litsey, Mr. King and Mr. Wills to Applicant's place of residence, Applicant requested Mr. Litsey either sign Micro Entity Status Certification, Petition to Revive and RCE documents if he felt he was in fact an inventor or sign a statement stating he was not an inventor and was added in error without deceitful intent. Mr. Litsey refused to sign any additional documents, stating that as a non-inventor it would be improper to do so and additionally refused to sign inventor added in error statement until his demands for written acknowledgement of rights and ownership based on his authoring and ideas for product implementation was met. See EXHIBIT AFFMIKE AFFTIM, Micro

Applicant visited Mr. Litsey on multiple occasions to again explain inventorship situation and the process and commencement of the application's Correction of Inventorship and asked Mr. Litsey to supply his contributions as an inventor, if any or to sign the 'deleting him as incorrectly added inventor occurring without deceptive intent' statement due to his inability to present himself as a true and correct inventor. Applicant recorded one such meeting, attached to and included within as EXHIBIT ???.

Sent Two registered letters September 8, 2014 and November 12th, 2014 to Scott Litsey, asking for his signature on a statement of deletion of him as an incorrectly added inventor occurring without deceptive intent. See EXHIBIT CS1-CS6, CN1-CN6

Applicant hand delivered on November 15, 2014 copies of both registered letters along with statement for Mr. Litsey to sign. See EXHIBIT HS and HN

On August 18, 2015, Applicant again hand delivered to copies of said registered letters and statement of deleted inventor to Mr. Litsey's current residence at that time. B. Ayala personally accompanied me in this delivery. See EXHIBIT WB

#### PENDING PETITIONS AND MICRO ENTITY CERTIFICATION BEFORE THE USPTO

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.



Currently the application Of patent Has three (3) petitions as well as a micro entity certification Pending before the U.S. PTO. The petitions have been dismissed and then done and are in need of reconsideration having a petition one got 183 needing to be filed for the suspensions of rules specifically that were the requirement of all applicants/joint inventors are required . further The certification of micro entity is also in need Of the suspension of rules petition filed pursuant to one that won 83 to waive the requirement of the signature of all applicants / inventors .

One of the pending petitions curtly dismissed by the U.S. PTL is a petition for correction of inventorship pursuant to 37 CFR 1.48 . Applicant Presented this petition for correction a ninja ship September 2015 in efforts to correct application inventorship Specifying applicant John Guymon as an original inventor such that if the correction and ownership petition was granted to the other two petitions applicant believes lived and granted has filed March 2016.

Applicant Believed and was under the impression that the Micro Entity Certification filed with Applicant's reply to the USPTO's April 2013 correspondence, was proper filed and processed, with the USPTO acceptance of Applicant's October 2013 reply consisting of a petition for extension of time (37 CFR 1.136), a petition for the revival of an abandoned application and petition (37 CFR 1.37) and petition for Request For continued examination petition (37 CFR 1.14 ).

Applicant submits this declaration in support of Applicant's petition for suspension of rules (37 cfr 1.183) filed concurrently herewith requesting USPTO to wave the requirements of 37 CFR 1.133(a) in order for the Certification of Micro Entity to be accepted as well as the three (3) pending dismissed petitions be granted.

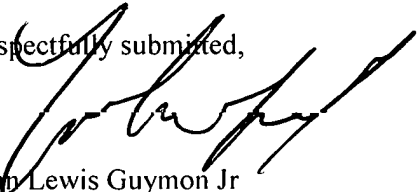
**-- CONCLUSION --**

(35 USC 26 - allows for a properly executed document to be submitted within such time as may be prescribed)

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

Having Mr. Litsey Understand and acknowledge and desiring to not again misstate under oath statements that are untrue Mr. Litsey refuses to sign any documents that present him as an inventor. With this, the United States Patent and Trademark Office should not require his signature further and should require only Applicant John Guymon's signature.

Respectfully submitted,



John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: 801.943.8461

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this '**Declaration in Support of Applicant's Petition For Suspension Of Rules Under 1.183**' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition For Refund of Fees Under 37 C.F.R. §1.26**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

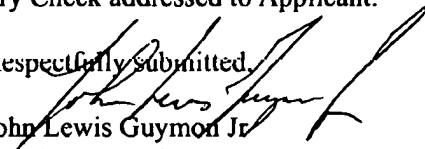
Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

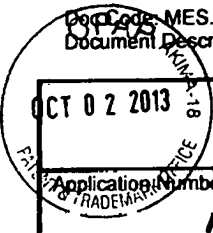
Sir/ Madam:

Applicant respectfully petitions the Commissioner to refund the fees paid April 2014 (\$335) for Applicant's 'Request for Continue Examination' and 'Petition To Revive' and apply some of the refund to the required fees (\$185) for filing Applicant's 'Correction Of Inventorship' and any late fees, if applicable, therefore leaving the amounts: Total paid \$335 (Chk#1587) – Filing Fee (\$185) – Late Fee (if any) = Refund (\$150). [See Applicant's Exhibit 'VG' – 'Copy of previous fee submitted' and Exhibit 'FE' – 'Fee worksheet, §1.17(i & d)' attached to and included herein.]

Please send refund in the form of a Treasury Check addressed to Applicant.

Respectfully submitted,

  
John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461



**CERTIFICATION OF MICRO ENTITY STATUS  
(GROSS INCOME BASIS)**

|                                                                           |                                |
|---------------------------------------------------------------------------|--------------------------------|
| Application Number or Control Number (if applicable):<br><b>12/316699</b> | Patent Number (if applicable): |
|---------------------------------------------------------------------------|--------------------------------|

|                                                      |                                                     |
|------------------------------------------------------|-----------------------------------------------------|
| First Named Inventor:<br><b>John Lewis Guymon Jr</b> | Title of Invention:<br><b>Securable Independent</b> |
|------------------------------------------------------|-----------------------------------------------------|

The applicant hereby certifies the following— **Electronic Document**

- (1) **SMALL ENTITY REQUIREMENT** - The applicant qualifies as a small entity as defined in 37 CFR 1.27.
- (2) **APPLICATION FILING LIMIT** - Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights or is obligated to assign all ownership rights as a result of the applicant's previous employment.
- (3) **GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS** - Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.
- (4) **GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"** - Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

SIGNATURE by a party set forth in 37 CFR 1.33(b)

|           |                |           |                     |                  |                  |
|-----------|----------------|-----------|---------------------|------------------|------------------|
| Signature |                |           |                     |                  |                  |
| Name      |                |           |                     | <b>12/316699</b> |                  |
| Date      | <b>10/2/13</b> | Telephone | <b>801 938 4833</b> | Registration No. | <b>12/316699</b> |

There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. Additional certification form(s) signed by the other joint inventor(s) are included with this form.



**Applicant's Oath of Certification of Entity Status and Correction of Inventorship**

October 2<sup>nd</sup>, 2013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Comes now, Applicant John Lewis Guymon Jr, states and swears:

I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this oath in support of this Application's:

**Certification of Micro Entity Status  
and  
Correction of Inventorship**

for Application, Serial Number 12/316,699, are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the USPTO, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this application, and any attachments. I also understand that the making of any willful false statement of material fact on this application including the attached sheet(s) will subject me to the provisions of the penal law relevant to the making and filing of false statements.

Therefore, Applicant further states and swears by oath that he is unable to obtain a Certification of Micro Entity Status from named inventor Douglas Scott Litsey. Further, Applicant further states and swears by oath that Applicant is in the process of the Correction of Inventorship for the removal of Douglas Scott Litsey as he has incorrectly, as Applicant truly believes, been named as a co-inventor. Further, Applicant is in the process of said Correction of Inventorship and will submit the required materials for such process immediately, without delay, pending the completed gathering of information and supporting evidence. Applicant will have the Correction of Inventorship to the USPTO no later than October 16th, 2013, giving Applicant two (2) weeks to do so if indeed allowed and accepted by the USPTO

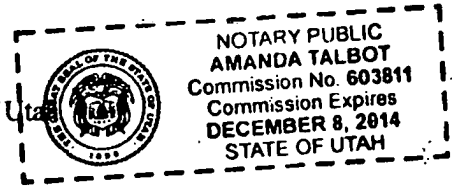
Very respectfully,

Signature of Applicant: [Signature] Date 10 21 2013

Sworn to before me: [Signature] Salt Lake County, State of Utah

Signature of Notary Public: [Signature] Date 10 21 2013

[Seal]

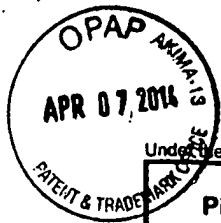


**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail, Tx# \_\_\_\_\_, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 2<sup>th</sup> day of October 2013.

ET 905638633 US

[Signature]  
Applicant



pet REVIVE #1 35.00

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                                          |                          |
|----------------------------------------------------------------------------------------------------------|--------------------------|
| <b>PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT<br/>ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)</b> | Docket Number (Optional) |
|----------------------------------------------------------------------------------------------------------|--------------------------|

First Named Inventor: John Lewis Guymon Jr Art Unit: \_\_\_\_\_  
 Application Number: 12/316699 Examiner: Kaveh Abcishamkar  
 Filed: December 15, 2008

Title: Securable Independent Electronic Document

Attention: Office of Petitions  
**Mail Stop Petition**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact  
 Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

**1. Petition fee**

- Small entity – fee \$ \_\_\_\_\_ (37 CFR 1.17(l)). Applicant claims small entity status.  
 See 37 CFR 1.27.
- Other than small entity – fee \$ 35.00 (37 CFR 1.17(l)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office action in the form of \_\_\_\_\_ (identify the type of reply):

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

B The issue fee of \$ \_\_\_\_\_

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

04/09/2014 CCHAU1 00000013 12316699 35.00 CP  
 02 FC:1999

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)

3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application.

Signature: John Lewis Gygmon Jr. Date: 4/7/2014
Typed or printed name: John Lewis Gygmon Jr.
Address: 1635 East 7080 South SLC UT, Salt Lake City UT 84121
Registration Number, if applicable: 801-938-4833
Telephone Number: 801-938-4833

- Enclosure [x] Fee Payment
[ ] Reply
[ ] Terminal Disclaimer Form
[ ] Additional sheets containing statements establishing unavoidable delay

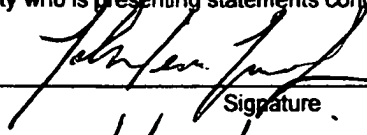
CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is being:
deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.
Date: 4/7/2014
Signature: John Lewis Gygmon Jr.
Typed or printed name of person signing certificate: John Lewis Gygmon Jr.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.



Signature

4/7/2014

Date

John Lewis Guymon Jr

Typed or printed name

Registration Number, if applicable

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

Both Applicant and Examiner has recently became familiar with the understanding and needs of the application's claims, having continued prosecution of application needed.

Applicant has submitted replies and required fees on time, and after repeated requests for assistance (§707.07(j)) files the enclosed claims.

Applicant, having life's due events, submits this petition within the two month revival time period.

(Please attach additional sheets if additional space is needed.)





**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kavch Abrishankar

**Petition For Revival Of An Application For Patent  
Abandoned Unavoidably Under Under 37 C.F.R. §1.137(a)**

February 6, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant respectfully petitions the Commissioner for the revival of this application.

*Revival*

1. Please revive this application from its current unavoidable state of abandonment.

*Remarks*

2. This petition is Applicant's second request for revival with original petition for revival dated April 07, 2014. Applicant's first request was denied for lack of signature and incorrect fee payment.

3. Applicant respectfully ask's that Applicant's previously filed Petition for Correction of Inventorship dated August 20, 2015 be considered at this time to set correct requirement of petition signature to that of Applicant himself, as he is the original first and sole inventor of the inscribed invention. Applicant respectfully requests the Commissioner to grant Applicant's said 'Petition For Correction Of Inventorship'.



04-9-14

ITS  
Doe/PCO

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – Applicant’s Revival and Request for Examination**

April 7, 2014

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s request for application revival and continued examination..

This correspondence has been sent Express mail, dated April 7, 2014 and includes:

|                                   |                                             |
|-----------------------------------|---------------------------------------------|
| Petition for Revival              | (PTO/SB/61, 3 pages)                        |
| Request for Continued Examination | (PTO/SB/30, 1 page)                         |
| Claims, 1-7, as amended           | (6 pages)                                   |
| Fee                               | (\$300 1.17(e)(1) & \$35 1.17(l)(1), Micro) |
| Payment, Ck#: 1587                | Total: \$335 (Micro entity (1.17(a)(3))     |

Thank you for your consideration and time with this return correspondence.

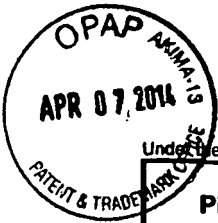
Very respectfully,

John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

**Certificate of Mailing**

I certify that this correspondence will be/was deposited with the United States Postal Service as Express Mail, Tx# EK 267983975, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 7<sup>th</sup> day of April 2014.

Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                                          |                          |
|----------------------------------------------------------------------------------------------------------|--------------------------|
| <b>PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT<br/>ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)</b> | Docket Number (Optional) |
|----------------------------------------------------------------------------------------------------------|--------------------------|

First Named Inventor: John Lewis Gaymon Jr Art Unit: \_\_\_\_\_  
 Application Number: 12/316699 Examiner: Kaveh Abcishamkar  
 Filed: December 15, 2008

Title: Securable Independent Electronic Document

Attention: Office of Petitions  
**Mall Stop Petition**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact  
 Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

**1. Petition fee**

- Small entity – fee \$ \_\_\_\_\_ (37 CFR 1.17(l)). Applicant claims small entity status.  
See 37 CFR 1.27.
- Other than small entity – fee \$ 35.00 (37 CFR 1.17(l)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office action in the form of \_\_\_\_\_ (identify the type of reply):

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

B The issue fee of \$ \_\_\_\_\_

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

04/09/2014 CCHAU1 00000013 12316699 35.00  
 02 FC:1999

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**APPLICANT'S DECLARATION IN SUPPORT OF  
PETITION FOR REVIVAL OF UNINTENTIONALLY ABANDONED  
APPLICATION - 37 C.F.R. §1.137(a)**

August 7, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of Applicant's Petition to Revive Unintentional Abandoned Application under 37 C.F.R. §1.137; THEREFORE, Applicant further states and swears:

Respectfully submitted,

John Lewis Guymon Jr  
Applicant, Pro Se

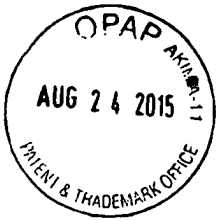
**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this 'Declaration in Support Of Petition For Revival of Unintentionally Abandoned Application' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

Guymon

---

1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: 801.943.8461

**Applicant's True and Correct Information Statement** - Statements made on each and all pages of this '**Declaration in Support Of Petition For Revival of Unintentionally Abandoned Application**' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition For Correction Of Inventorship  
Under 37 C.F.R. §1.48**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

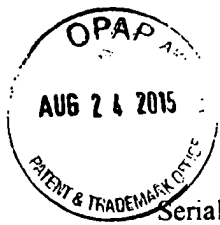
Applicant respectfully petitions the Commissioner to correct the inventorship of the above-identified patent application in all appropriate records of the U.S. Patent and Trademark Office.

**Amendment**

1. Please amend the records for the above-identified application for the correction of inventorship leaving only the primary first listed inventor through process misjoinder remove. This amendment will result in the listing of:

**John Lewis Guymon, Jr., Salt Lake City, UT (US)**

as the exclusive original and sole inventor of the Intelledoc® serviced Securable Independent Electronic Document invention described within the initially and amended claimed application of patent for which a patent is sought, referenced within, Serial number: 12/316,699, Publication number: US 2010/0153739.



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Supplemental Declaration Of Inventorship**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of this application's inventorship; THEREFORE, Applicant further states and swears:

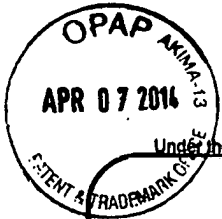
**Inventorship**

I. Applicant, a citizen of the United States of America, is the original, first and sole inventor responsible for the conception, generation and formalization of the Intelledoc® Securable Independent Electronic Document presented in the above listed application.

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

DBSQ\_- 1493333165\_7184365623 19b

[5-2217-13318]



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### Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |                    |
|------------------------|--------------------|
| Application Number     | 12/316699          |
| Filing Date            | Dec 15 2008        |
| First Named Inventor   | John Lewis Gypmstr |
| Art Unit               |                    |
| Examiner Name          | Kaveh Abrishamkar  |
| Attorney Docket Number |                    |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
  - i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - ii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/ Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other Claims

### 2. Miscellaneous

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

### 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to
- a.  Deposit Account No. \_\_\_\_\_
  - i.  RCE fee required under 37 CFR 1.17(e)
  - ii.  Extension of time fee (37 CFR 1.136 and 1.17) 04/09/2014 CCHAU1 00000013 12316699
  - iii.  Other 01 FC:3001 300.00 OP
  - b.  Check in the amount of \$ 335 (300 for RCE) enclosed
  - c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                           |                  |          |
|-------------------|---------------------------|------------------|----------|
| Signature         | <i>John Lewis Gypmstr</i> | Date             | 4/7/2014 |
| Name (Print/Type) | John Lewis Gypmstr        | Registration No. |          |

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                   |                           |      |          |
|-------------------|---------------------------|------|----------|
| Signature         | <i>John Lewis Gypmstr</i> | Date | 4/7/2014 |
| Name (Print/Type) | John Lewis Gypmstr        |      |          |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

*Express Mail EK267983978 US*





RCE

PTO/SB/30 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |                    |
|------------------------|--------------------|
| Application Number     | 12/316699          |
| Filing Date            | Dec 15 2008        |
| First Named Inventor   | John Lewis Gypmstr |
| Art Unit               |                    |
| Examiner Name          | Kaveh Abrishamkar  |
| Attorney Docket Number |                    |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
  - Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
    - Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - Other \_\_\_\_\_
  - Enclosed
    - Amendment/Reply
    - Affidavit(s)/ Declaration(s)
    - Information Disclosure Statement (IDS)
    - Other Claims
- Miscellaneous**
  - Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
  - Other \_\_\_\_\_
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
  - RCE fee required under 37 CFR 1.17(e)
  - Extension of time fee (37 CFR 1.136 and 1.17) 04/09/2014 CCHAU1 00000013 12316699
  - Other 01 FC:3801 300.00 OP
  - Check in the amount of \$ 335 (300 for RCE) enclosed
  - Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

#### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                    |                  |          |
|-------------------|--------------------|------------------|----------|
| Signature         |                    | Date             | 4/7/2014 |
| Name (Print/Type) | John Lewis Gypmstr | Registration No. |          |

#### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                   |                    |      |          |
|-------------------|--------------------|------|----------|
| Signature         |                    | Date | 4/7/2014 |
| Name (Print/Type) | John Lewis Gypmstr |      |          |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Express Mail EK267983978 US



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451                      7590                      03/07/2016  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

|          |
|----------|
| EXAMINER |
|----------|

ABRISHAMKAR, KAVEH

|          |              |
|----------|--------------|
| ART UNIT | PAPER NUMBER |
|----------|--------------|

2494

|           |               |
|-----------|---------------|
| MAIL DATE | DELIVERY MODE |
|-----------|---------------|

03/07/2016

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

In re Application of :  
Guymon et al. : DECISION ON PETITION  
Application No. 12/316,699 : AND  
Filed: 12/15/2008 : DECISION ON  
For: SECURABLE INDEPENDENT : REQUEST FOR REFUND  
ELECTRONIC DOCUMENT : AND DECISION ON REQUEST  
: UNDER 37 CFR 1.48(a)

This is a decision on paper filed on February 6, 2016, styled as a petition to revive the above-identified application. This is also a decision on the request for refund and on the request to correct or change the inventorship pursuant to 37 CFR 1.48(a), both filed August 24, 2015.

The petitions are **dismissed** for the reasons stated below.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137(a)." This is not a final agency decision.

**Request to Correct Inventorship Under 37 CFR 1.48(a)**

The request under 37 CFR 1.48(a) cannot be considered on the merits because it is not properly signed. 37 CFR 1.33(b), as it applies to applications filed before September 16, 2012, states

- (b) *Amendments and other papers.* Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:
- (1) A patent practitioner of record appointed in compliance with § 1.32(b);
  - (2) A patent practitioner not of record who acts in a representative capacity under the provisions of § 1.34;
  - (3) An assignee as provided for under § 3.71(b) of this chapter; or (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter; or
  - (4) All of the applicants (§ 1.41(b) ) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any

Art Unit: OPET

renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b).

The request under 1.48(a) must be signed by both joint inventors.

Moreover, 1.48(a) states:

*Nonprovisional application:* Any request to correct or change the inventorship once the inventorship has been established under § 1.41 must include:

(1) An application data sheet in accordance with § 1.76 that identifies each inventor by his or her legal name; and

(2) The processing fee set forth in § 1.17(i)

The request lacks items (1) and (2).

With regard to item (1), an application data sheet (ADS) in compliance with 1.76 must be filed. Petitioner is reminded that 37 CFR 1.76(c) states, with regard to applications filed prior to September 16, 2012:

(c) *Supplemental application data sheets.* Supplemental application data sheets:

(1) May be subsequently supplied prior to payment of the issue fee either to correct or update information in a previously submitted application data sheet, or an oath or declaration under § 1.63 or § 1.67, except that inventorship changes are governed by § 1.48, correspondence changes are governed by § 1.33(a), and citizenship changes are governed by § 1.63 or § 1.67; and

(2) Must be titled "Supplemental Application Data Sheet," include all of the section headings listed in paragraph (b) of this section, include all appropriate data for each section heading, and must identify the information that is being changed, preferably with underlining for insertions, and strike-through or brackets for text removed.

The form for an Application Data Sheet (PTO/SB/14) and the instructions for completing the ADS are available from the USPTO website at [www.uspto.gov](http://www.uspto.gov). A copy is also included with this decision. The ADS must also be signed by both joint inventors.

With regard to item (2), the fee set forth at 1.17(i) (\$70.00 for a small entity) has not been paid. 37 CFR 1.22(a) states, in pertinent part, that patent fees and charges payable to the United States Patent and Trademark Office are required to be paid in advance; that is, at the time of requesting any action by the Office for which a fee or charge is payable. Any renewed request must be accompanied by payment of the petition fee.

Art Unit: OPET

In this regard, the Certification of Micro Entity Status filed on October 2, 2013 is improper because it has not been signed by both inventors. Accordingly, the application has not been granted micro entity status. A properly-signed micro entity status form must be filed before micro entity status can be granted. Alternatively, all fees must be paid in the small entity amount.

In view thereof, the request is **DISMISSED**.

#### **Petition Under 37 CFR 1.137(a)**

Petitioner is advised that effective December 18, 2013, petitions to revive an abandoned application under 37 CFR 1.137(a) are on the basis of *unintentional* delay. *See Changes to Implement the Patent Law Treaty*; Final Rule, 78 Fed. Reg. 62368, 62382 (October 21, 2013). Accordingly, applicant should submit the attached petition form. The petition form must be properly signed.

The application became abandoned on October 3, 2013, for failure to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection and a three (3) month extension of time in accordance with 37 CFR 1.136(a) were obtained. On October 18, 2013, however, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed on October 2, 2013, fails to place the application in condition for allowance. Notice of Abandonment was mailed on February 7, 2014. On April 7, 2014, a paper styled as a petition to revive the application was filed, accompanied by a Request for Continued Examination. On April 30, 2014, the petition was dismissed.

The petition cannot be considered on the merits because it is not properly signed. 37 CFR 1.133(b), as it applies to applications filed before September 16, 2012, states

(b) *Amendments and other papers*. Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A patent practitioner of record appointed in compliance with § 1.32(b);
- (2) A patent practitioner not of record who acts in a representative capacity under the provisions of § 1.34;
- (3) An assignee as provided for under § 3.71(b) of this chapter; or (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter; or
- (4) All of the applicants (§ 1.41(b) ) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

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The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b). In this regard, it is noted that a request to correct the inventorship was filed on August 24, 2015. As indicated above, however, the request cannot be granted.

It is also noted that a proper reply has not been filed in that the RCE filed on April 7, 2014 and the reply filed on October 2, 2013, which is treated as the submission required by § 1.114, were not signed by all of the inventors as required by § 1.33(b). A grantable petition under 37 CFR 1.137(a) requires a proper reply. Petitioners must submit a properly signed RCE Request and submission under 37 CFR 1.114.

Still further, the RCE fee has not been paid in the proper amount. In this regard, the Certification of Micro Entity Status filed on October 2, 2013 is improper because it has not been signed by both inventors. Accordingly, the application has not been granted micro entity status. A properly signed micro entity status form must be filed before micro entity status can be granted. Alternatively, all fees must be paid in the small entity amount.

Lastly, the petition must be accompanied by a statement that the entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional.

A properly signed petition and a proper reply must be filed as a prerequisite to revival of the application.

In view thereof, the petition is **DISMISSED**.

Receipt of the petition fee is acknowledged. No further petition fee is due.

### **Request for Refund**

The request for refund cannot be considered on the merits because it is not properly signed in accordance with 37 CFR 1.33(b) as listed above.

Applicant requests that the fees for the petition to revive and request for continued examination filed on April, 2014 be refunded, and a portion of the refund be applied to the fees for a correction of inventorship, and any late fees, if applicable.

37 CFR 1.26(a) states that the Director may refund any fee paid by mistake or in excess of that required. *A change of purpose after the payment of a fee, such as when a party desires to*

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*withdraw a patent filing for which the fee was paid, including an application, an appeal, or a request for an oral hearing, will not entitle a party to a refund of such fee. (emphasis added)*

It is further noted that the showing of record is that papers requesting a petition to revive the application and a request for continued examination were filed on April 7, 2014. The Office mailed a decision in response to the petition on April 30, 2014. Rather than the fee being paid by mistake or in excess of that required, petitioners now desire a change of purpose.

Accordingly, the request for refund cannot be granted and is **dismissed**.

Further correspondence with respect to this matter should be addressed as follows:

By Mail:                   Mail Stop PETITION  
                                  Commissioner for Patents  
                                  P. O. Box 1450  
                                  Alexandria, VA 22313-1450

By hand:                   U. S. Patent and Trademark Office  
                                  Customer Service Window, Mail Stop Petitions  
                                  Randolph Building  
                                  401 Dulany Street  
                                  Alexandria, VA 22314

By facsimile:           (571) 273-8300  
                                  Attn: Office of Petitions

By internet:             EFS-Web<sup>1</sup>

Correspondence regarding this decision may also be filed through the electronic filing system of the USPTO.

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<sup>1</sup> [www.uspto.gov/ebc/efs\\_help.html](http://www.uspto.gov/ebc/efs_help.html) (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

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Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

/dwood/

Douglas I. Wood  
Attorney Advisor  
Office of Petitions

Encl: PTO/SB/64 (12-13) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)

PTO/SB/14 (11-08) APPLICATION DATA SHEET 37 CFR 1.76

Instructions for Application Data Sheet 37 CFR 1.76 *Updated May 12, 2008*



## Office of Petitions: Routing Sheet



**Application No. 12316699**

**This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.**

**GRANTED**

**DISMISSED**

**DENIED**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                        |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Attorney Docket Number |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Application Number     |  |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                        |  |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                        |  |

**Secrecy Order 37 CFR 5.2**

- Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

**Applicant Information:**

|                                                                                                                                                                                 |                       |                                                                |                    |                                                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|----------------------------------------------------------------|--------------------|-------------------------------------------------------------|
| <b>Applicant 1</b>                                                                                                                                                              |                       |                                                                |                    |                                                             |
| <b>Applicant Authority</b> <input checked="" type="radio"/> Inventor                                                                                                            |                       | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| <b>Prefix</b>                                                                                                                                                                   | <b>Given Name</b>     | <b>Middle Name</b>                                             | <b>Family Name</b> | <b>Suffix</b>                                               |
|                                                                                                                                                                                 |                       |                                                                |                    |                                                             |
| <b>Residence Information (Select One)</b> <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                       |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | <b>State/Province</b> | <b>Country of Residence</b>                                    |                    |                                                             |
|                                                                                                                                                                                 |                       |                                                                |                    |                                                             |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                         |                       |                                                                |                    |                                                             |
| <b>Mailing Address of Applicant:</b>                                                                                                                                            |                       |                                                                |                    |                                                             |
| <b>Address 1</b>                                                                                                                                                                |                       |                                                                |                    |                                                             |
| <b>Address 2</b>                                                                                                                                                                |                       |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | <b>State/Province</b> |                                                                |                    |                                                             |
| <b>Postal Code</b>                                                                                                                                                              | <b>Country</b>        |                                                                |                    |                                                             |
| All Inventors Must Be Listed - Additional inventor information blocks may be generated within this form by selecting the <b>Add</b> button.                                     |                       |                                                                |                    |                                                             |
|                                                                                                                                                                                 |                       |                                                                |                    | <input type="button" value="Add"/>                          |

**Correspondence Information:**

|                                                                                                                                    |  |                                          |                                             |
|------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------|---------------------------------------------|
| Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a). |  |                                          |                                             |
| <input type="checkbox"/> An Address is being provided for the correspondence information of this application.                      |  |                                          |                                             |
| <b>Customer Number</b>                                                                                                             |  |                                          |                                             |
| <b>Email Address</b>                                                                                                               |  | <input type="button" value="Add Email"/> | <input type="button" value="Remove Email"/> |

**Application Information:**

|                                                |  |  |                                                             |
|------------------------------------------------|--|--|-------------------------------------------------------------|
| <b>Title of the Invention</b>                  |  |  |                                                             |
| <b>Attorney Docket Number</b>                  |  |  | <b>Small Entity Status Claimed</b> <input type="checkbox"/> |
| <b>Application Type</b>                        |  |  |                                                             |
| <b>Subject Matter</b>                          |  |  |                                                             |
| <b>Suggested Class (if any)</b>                |  |  | <b>Sub Class (if any)</b>                                   |
| <b>Suggested Technology Center (if any)</b>    |  |  |                                                             |
| <b>Total Number of Drawing Sheets (if any)</b> |  |  | <b>Suggested Figure for Publication (if any)</b>            |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                           |                        |  |
|-------------------------------------------|------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number |  |
|                                           | Application Number     |  |
| Title of Invention                        |                        |  |

**Publication Information:**

|                          |                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Request Early Publication (Fee required at time of Request 37 CFR 1.219)                                                                                                                                                                                                                                                                                                                      |
| <input type="checkbox"/> | <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application <b>has not and will not</b> be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. |

**Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative information during processing.

|                    |                                                  |                                              |                                                         |
|--------------------|--------------------------------------------------|----------------------------------------------|---------------------------------------------------------|
| Please Select One: | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number    |                                                  |                                              |                                                         |

**Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

|                          |                 |                          |                          |
|--------------------------|-----------------|--------------------------|--------------------------|
| Prior Application Status |                 | <a href="#">Remove</a>   |                          |
| Application Number       | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) |
|                          |                 |                          |                          |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

**Foreign Priority Information:**

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|                    |                        |                                 |                                                               |
|--------------------|------------------------|---------------------------------|---------------------------------------------------------------|
|                    | <a href="#">Remove</a> |                                 |                                                               |
| Application Number | Country <sup>1</sup>   | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                    |                        |                                 | <input checked="" type="radio"/> Yes <input type="radio"/> No |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

**Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

|                   |
|-------------------|
| <b>Assignee 1</b> |
|-------------------|

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                           |                        |  |
|-------------------------------------------|------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number |  |
|                                           | Application Number     |  |
| Title of Invention                        |                        |  |

|                                                                                                |             |                |             |        |
|------------------------------------------------------------------------------------------------|-------------|----------------|-------------|--------|
| If the Assignee is an Organization check here. <input type="checkbox"/>                        |             |                |             |        |
| Prefix                                                                                         | Given Name  | Middle Name    | Family Name | Suffix |
|                                                                                                |             |                |             |        |
| <b>Mailing Address Information:</b>                                                            |             |                |             |        |
| Address 1                                                                                      |             |                |             |        |
| Address 2                                                                                      |             |                |             |        |
| City                                                                                           |             | State/Province |             |        |
| Country                                                                                        | Postal Code |                |             |        |
| Phone Number                                                                                   |             | Fax Number     |             |        |
| Email Address                                                                                  |             |                |             |        |
| Additional Assignee Data may be generated within this form by selecting the <b>Add</b> button. |             |                |             |        |

**Signature:**

|                                                                                                                                                              |           |                     |  |                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------------------|--|-------------------|
| A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. |           |                     |  |                   |
| <b>Signature</b>                                                                                                                                             |           |                     |  | Date (YYYY-MM-DD) |
| First Name                                                                                                                                                   | Last Name | Registration Number |  |                   |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional)

Page 1 of 2

First named inventor: \_\_\_\_\_

Application No.: \_\_\_\_\_ Art Unit: \_\_\_\_\_

Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_

Title:

[Empty box for Title]

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Statement that the entire delay was unintentional.

**1. Petition fee**

Small entity fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant asserts small entity status. See 37 CFR 1.27.

Undiscounted fee \$ \_\_\_\_\_ (37.CFR.1.17(m)).

**2. Reply and/or fee**

A The reply and/or fee to the above-noted Office notice or action in the form of

\_\_\_\_\_ (identify the type of reply):

has been filed previously on \_\_\_\_\_.

is enclosed herewith.

B The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_

has been paid previously on \_\_\_\_\_.

is enclosed herewith.

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)**

Page 2 of 2

**3. Terminal disclaimer with disclaimer fee**

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

**4. STATEMENT:** The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional. (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Address

**Enclosures:**

- Fee Payment
- Reply
- Terminal Disclaimer Form
- Additional sheet(s) containing statements establishing unintentional delay
- Other: \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
- Transmitted by EFS-Web or facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name of person signing certificate

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Office of Petitions: Decision Count Sheet

Mailing Month

Application No.

12316699



For US serial numbers: enter number only, no slashes or commas. Ex: 10123456

For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345

Deciding Official:

WOOD, DOUGLAS

Count (1) - Palm Credit

12/316,699

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 515 - 37 CFR 1.181 for REVIEW OF NON-EXAMINING MA



Notes:

Count (2)

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 502 - 37 CFR 1.137(b) - REVIVAL BASED ON UNINTENTI



Notes:

Count (3)

Decision: DISMISSED

FINANCE WORK NEEDED

Select Check Box for YES



Decision Type: 311 - 37 CFR 1.48 FOR CORRECTION OF INVENTORSHI



Notes: please also enter 311 petition

Initials of Approving Official (if required)

If more than 3 decisions, attach 2nd count sheet & mark this box

Printed on: 3/4/2016

# Instructions for Application Data Sheet 37 CFR 1.76

Updated May 12, 2008

## Contents

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3. Country Code Table
4. WIPO ST.3 Table
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6. Active Military Descriptions List

## 1. Fillable form Instructions

### Overview

USPTO fillable PDF forms use the features provided with Adobe Acrobat products. There is some validation of the information you enter but you are still responsible for entering all required information (instructions may require some information to be handwritten on the form).

### Software Requirements

To view, complete and print USPTO fillable PDF forms you will need the free Adobe Acrobat Reader software installed on your computer. Version 7.0.8, 7.0.9, 8.1.1, or 8.1.2 of Adobe Reader is recommended.

### Opening the Form

Your web browser may be configured with an Adobe Reader plug-in to automatically open the file within your browser's window upon download. To download the file directly to disk, right click the form title link then select "Save Target/Link As..." from the menu. Use Adobe Reader to open the file.

## Completing the Form

When positioning the cursor on a fillable area or element, the cursor will change appearance. The I-beam pointer allows you to type text. The hand pointer allows you to select a check box or radio button.

Enter the appropriate data in each box or field. To move from one field to the next, press the Tab key. You can also use your cursor to move from field to field. Place your cursor in the field you want to fill in, then left-click.

Some fields limit the maximum number of characters you can enter and may automatically advance to the next field.

For additional help with fillable forms, see the Adobe Reader's online help information.

### Tips:

- Use the latest version of Adobe Reader in order to ensure the form's full functionality
- This fillable form permits the entered data to be automatically loaded into the Office's electronic systems, such as PALM when electronically submitted. Printing the form, scanning it to create a PDF and submitting the scan of the printed form will require Office Personnel to key in the information by hand.
- **Do not use this ADS form as a Supplemental ADS.** This form does not comply with the requirements for a Supplemental Application Data Sheet (ADS). See 37 CFR 1.76(c). Supplemental ADS papers must be labeled Supplemental ADS or Supplemental Application Data Sheet and identify the information that is being changed.
- Save a copy of your work to avoid loss.
- The USPTO is only accepting certain types of applications electronically. Visit [www.uspto.gov](http://www.uspto.gov) for more details

## 1. Detailed Form Instructions by Field

### Applicant Information:

|                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Secrecy Order<br>Checkbox                   | Rule 37 C.F.R. 5.2, states that if an invention has been determined to be a matter of national security, the Director of Patents & Trademarks has the right to keep the invention secret. Under the same rule, applicants are obligated to notify the Office of any related cases that the Commissioner has kept secret. Note that documents associated with applications under Secrecy Order may not be filed electronically.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Applicant Authority                         | Select the radio button associated with the type of authority one has to file this patent application from Inventor, Legal Representative under 35 U.S.C 117, and Party of Interest under 35 U.S.C. 118.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Inventor                                    | One who contributes to the conception of an invention. The patent law of the United States of America requires that the applicant in a patent application must be the inventor.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Legal Representative<br>under 35 U.S.C. 117 | 35 U.S.C. 117 Legal representatives of deceased inventors and of those under legal incapacity may make application for patent upon compliance with the requirements and on the same terms and conditions applicable to the inventor.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Party of Interest<br>under 35 U.S.C. 118    | 35 U.S.C. 118 Whenever an inventor refuses to execute an application for patent, or cannot be found or reached after diligent effort, a person to whom the inventor has assigned or agreed in writing to assign the invention or who otherwise shows sufficient proprietary interest in the matter justifying such action, may make application for patent on behalf of and as agent for the inventor on proof of the pertinent facts and a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage; and the Director may grant a patent to such inventor upon such notice to him as the Director deems sufficient, and on compliance with such regulations as he prescribes.                                                                                                                                                                                                                                                                                                    |
| Inventor Status Drop<br>Down                | In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent. Where the inventor dies during the time intervening between the filing of the application and the granting of a patent thereon, the letters patent may be issued to the legal representative upon proper intervention.<br><br>When the inventor is insane or legally incapacitated. In case an inventor is insane or otherwise legally incapacitated, the legal representative (guardian, conservator, etc.) of such inventor may make the necessary oath or declaration, and apply for and obtain the patent.<br><br>Filing when an inventor refuses to sign or cannot be reached: (a) If a joint inventor refuses to join in an application for patent or cannot be found or reached after diligent effort, the application may be made by the other inventor on behalf of himself or herself and the nonsigning inventor. |
| Prefix                                      | Enter any name prefix of the inventor. (Optional)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Given Name                                  | Enter the first or given name of the inventor. If the inventor has only one name, enter a period in this field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Middle name                                 | Enter any middle name of the inventor. (Optional)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Family Name                                 | Enter the last or family name of the inventor.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Suffix                                      | Enter any name suffix of the inventor; II., III., IV., Jr., Sr. (Optional)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Residence<br>Information                    | Select the radio button that describes the inventor's residence from US Residency, Non US Residency and Active US Military Service.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| City                                        | Enter the city of the inventor's residence. REQUIRED if the inventor is a U.S. resident or Non-U.S. resident.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

|                                                                       |                                                                                                                                                                                                                                                                                                                                |
|-----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| State / Province                                                      | Enter the state of the inventor's residence. REQUIRED if the inventor is a U.S. resident. See State/Province List for choices.                                                                                                                                                                                                 |
| Active US Military Service                                            | Select if the inventor is in Active U.S. Military service. REQUIRED if the inventor is in the active U.S. military service.                                                                                                                                                                                                    |
| Active Military Service in                                            | If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options.                                                                                                                                                              |
| Country of Residence                                                  | Enter the country of residence of the inventor. If US Residency is selected, the Country of Residence will default to US. REQUIRED. See Country Code Table for complete listing, along with abbreviation.                                                                                                                      |
| Citizenship under 1.41 (b)                                            | Enter the citizenship of the inventor. See the Country Code Table for a complete listing, along with abbreviation.                                                                                                                                                                                                             |
| Address-1                                                             | Enter the first line of the inventor's address.                                                                                                                                                                                                                                                                                |
| Address-2                                                             | Enter the second line of the inventor's address.                                                                                                                                                                                                                                                                               |
| City                                                                  | Enter the city of the inventor's address.                                                                                                                                                                                                                                                                                      |
| State / Province                                                      | If the inventor's address is in the United States or in a U.S. territory, enter the state of the inventor's address. See State/Province List for choices.                                                                                                                                                                      |
| Postal Code                                                           | Enter the 5 - 9 digit postal code of the inventor's address. Entry of a postal code for foreign countries is optional.                                                                                                                                                                                                         |
| Country                                                               | Enter the country of the inventor's address. See Country Code Table for complete listing, along with abbreviation.                                                                                                                                                                                                             |
| Additional Inventors                                                  | Joint inventors must apply for a patent jointly and each must make the required oath or declaration: neither of them alone, nor less than the entire number, can apply for a patent for an invention invented by them jointly, except as provided in § 1.47. (b) Select the Add button to add additional inventor information. |
| Remove                                                                | Choose this button to remove the adjacent Inventor section.                                                                                                                                                                                                                                                                    |
| If the representative for the inventor is an Organization check here. | Check this box if the inventor's representative is an organization.                                                                                                                                                                                                                                                            |
| Organization Name                                                     | Enter the name of the organization or if the representative is an individual provide name information for the representative is the Inventor representative's name fields.                                                                                                                                                     |
| Prefix                                                                | Enter any name prefix of the inventor's representative                                                                                                                                                                                                                                                                         |
| Given Name                                                            | Enter the first or given name of the inventor's representative. If the representative has only a single name, enter a period in this field.                                                                                                                                                                                    |
| Middle name                                                           | Enter any middle name of the inventor's representative.                                                                                                                                                                                                                                                                        |
| Family Name                                                           | Enter the last or family name of the inventor's representative.                                                                                                                                                                                                                                                                |
| Suffix                                                                | Enter any name suffix of the inventor's representative.                                                                                                                                                                                                                                                                        |
| City                                                                  | Enter the city of the representative's residence                                                                                                                                                                                                                                                                               |
| State / Province                                                      | Enter the state of the representative's residence. REQUIRED if the representative is a U.S. resident. See State/Province List for choices.                                                                                                                                                                                     |
| Active US Military Service                                            | Select if the representative is in Active U.S. Military service.                                                                                                                                                                                                                                                               |
| Region of Military Service                                            | If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options.                                                                                                                                                              |
| Country of Residence                                                  | Enter the country of residence of the representative. See Country Code Table for complete listing, along with abbreviation.                                                                                                                                                                                                    |
| Citizenship under 1.41 (b)                                            | Enter the citizenship of the representative. See Country Code Table for complete listing, along with abbreviation.                                                                                                                                                                                                             |
| Address-1                                                             | Enter the first line of the representative's address.                                                                                                                                                                                                                                                                          |

|                  |                                                                                                                                                                   |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Address-2        | Enter the second line of the representative's address.                                                                                                            |
| City             | Enter the city of the representative's address                                                                                                                    |
| State / Province | Enter the state of the representative's address if the representative's address is in the United States or a U.S. territory. See State/Province List for choices. |
| Postal Code      | Enter the 5 - 9 digit postal code of the representative's address. Entry of a postal code for foreign countries is optional.                                      |
| Country          | Enter the country of the representative's address. See Country Code Table for complete listing, along with abbreviation.                                          |

### Correspondence Information:

|                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| An Address is being provided for the correspondence Information of this application. | <p>Select this checkbox to have correspondence in this application sent to an address that is not associated with a specific customer number.</p> <p>When filing an application, a correspondence address must be set forth in either an application data sheet (§ 1.76), or elsewhere, in a clearly identifiable manner, in any paper submitted with an application filing. If no correspondence address is specified, the Office may treat the mailing address of the first named inventor (if provided, see §§ 1.76(b)(1) and 1.63(c)(2)) as the correspondence address. The Office will direct all notices, official letters, and other communications relating to the application to the correspondence address. The Office will not engage in double correspondence with an applicant and a registered attorney or agent, or with more than one registered attorney or agent except as deemed necessary by the Director. If more than one correspondence address is specified, the Office will establish one as the correspondence address. For the party to whom correspondence is to be addressed, a daytime telephone number should be supplied in a clearly identifiable manner and may be changed by any party who may change the correspondence address.</p> |
| Customer Number                                                                      | <p>Enter the customer number associated with the address where correspondence in this application should be sent.</p> <p>A number assigned by the Office that is used to simplify the submission of an address change, to appoint a practitioner, or to designate the fee address for a patent. <i>Customer numbers</i> are primarily used by attorneys and law firms, and must be requested using the "Request for Customer Number" form (PTO/SB/125). (Previously referred to as "payor number") -</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Provide a customer address                                                           | If a customer number is not entered, the customer correspondence address must be provided.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Name - 1                                                                             | Enter the name for the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Name -2                                                                              | Enter any additional name information for the address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Address-1                                                                            | Enter the first line of the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Address-2                                                                            | Enter the second line of the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| City                                                                                 | Enter the city for the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| State / Province                                                                     | If the correspondence address is located in the United States or in a U.S. territory, enter the state for the correspondence address. REQUIRED for a correspondence address that is in the United States or U.S. territories. See State/Province List for choices.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Country                                                                              | Enter the country of the correspondence address. See Country Code Table for complete listing, along with abbreviation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Postal Code                                                                          | Enter the 5 - 9 digit postal code for the correspondence address. Entry of a postal code for foreign countries is optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Phone number                                                                         | Enter the telephone number for the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Fax number                                                                           | Enter the fax number for the correspondence address.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |

|               |                                                                                                        |
|---------------|--------------------------------------------------------------------------------------------------------|
| Email address | Enter the e-mail address for the correspondence address.                                               |
| Add Email     | Select the Add Email button to add an additional email address. You may enter up to 3 email addresses. |
| Remove Email  | Select the Remove Email button to remove the email address associated with the button.                 |

### Application Information:

|                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Title of the Invention           | The title of the invention may have up to 500 characters and it must be as short and specific as possible. The approved characters include: A-Z,0-9,standard keyboard characters plus <i>f</i> ,..., <i>CE</i> ,™,œ,ÿ,ı,ç,£,¤,¥,§,©, <sup>®</sup> ,«,-,®,°,±, <sup>²</sup> , <sup>³</sup> ,μ, <sup>¹</sup> , <sup>º</sup> ,»,¼,½,¾,¿,À,Á,Â,Ã,Ä,Å,Æ,Ç,È,É,Ê,Ë,Ì,Í,Î,Ï,Ñ,Ó,Ô,Õ,Ö,Ø,Ù,Ú,Û,Ü,Ý,ß,à,á,â,ã,ä,å,æ,ç,è,é,ê,ë,ì,í,î,ï,ð,ó,ô,õ,ö,÷,ø,ù,ú,û,ü,ý,ÿ,<br>NOTE: Please see the EFS-Web FAQ's regarding using special characters in Title of Invention and in all the Named fields for Inventors I |
| Attorney Docket Number           | This field is optional. It may be used to enter an internal reference number associated with this submission. This field is limited to 25 characters.                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Entity Status Small              | For purposes of small entity determination per <i>MPEP 509.02</i> – small entity means an independent inventor, a small business concern, or a nonprofit organization eligible for reduced patent fees.                                                                                                                                                                                                                                                                                                                                                                                            |
| Application Type                 | An application may be either provisional, non-provisional, or a reissue. At this time EFS-Web does not accept reissue applications. Select the application type from the drop-down list.                                                                                                                                                                                                                                                                                                                                                                                                           |
| Subject Matter                   | An application may be either a utility application, a design application, or a plant application. At this time EFS-Web does not accept plant applications. Select the subject matter of the application from the drop-down list.                                                                                                                                                                                                                                                                                                                                                                   |
| Suggested Classification         | Enter a suggested classification for the application. Optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Sub Class                        | Enter a suggested subclass for the application. Optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Suggested Technology Center      | Enter a suggested technology center for the application. Optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Total Number of Drawing Sheets   | Enter the total number of drawing sheets in the application. Optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| Suggested Figure for Publication | Enter a suggested figure for publication. Optional.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Latin Name                       | Enter the Latin Name of the plant described in the submission as required by UPOV.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Variety Denomination Name        | Enter the Variety denomination of the plant described in the submission as required by UPOV.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Request Early Publication        | Request for early publication – Select if this application should be published as soon as possible.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Request Not to Publish           | Check this box if a request for non-publication under 35 U.S.C. 122(b) and 37 CFR 1.213 is being made.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

### Representative Information:

|                           |                                                                                                                                |
|---------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| Customer Number Selection | Check this box if you want to assign a Customer Number for patent practitioners associated with this application.              |
| Customer Number Entry     | If Customer number is selected enter the customer number associated with patent practitioner information for this application. |
| Patent Practitioner       | Check this box if you want to input a US Patent Practitioner name.                                                             |
| Prefix                    | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu.                           |

|                                |                                                                                                                                                                                                                                                                  |
|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Given Name                     | Enter the first or given name of the patent practitioner.                                                                                                                                                                                                        |
| Middle Name                    | Enter any middle name of the patent practitioner.                                                                                                                                                                                                                |
| Family Name                    | Enter the last or family name of the patent practitioner. REQUIRED.                                                                                                                                                                                              |
| Suffix                         | Enter any name suffix of the patent practitioner.                                                                                                                                                                                                                |
| Registration number            | Enter the USPTO registration number of the patent practitioner.                                                                                                                                                                                                  |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove                         | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove.                                                                                                                                         |
| Limited Recognition            | Check this box if you want to input a name under Limited Recognition (37 CFR 11.9).                                                                                                                                                                              |
| Prefix                         | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu.                                                                                                                                                             |
| Given Name                     | Enter the first or given name of the patent practitioner.                                                                                                                                                                                                        |
| Middle Name                    | Enter any middle name of the patent practitioner.                                                                                                                                                                                                                |
| Family Name                    | Enter the last or family name of the patent practitioner. REQUIRED.                                                                                                                                                                                              |
| Suffix                         | Enter any name suffix of the patent practitioner.                                                                                                                                                                                                                |
| Registration number            | Enter the USPTO registration number of the patent practitioner.                                                                                                                                                                                                  |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove                         | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove.                                                                                                                                         |
|                                |                                                                                                                                                                                                                                                                  |

### Domestic Benefit/National Stage Information:

|                               |                                                                                                                                                                                                                                                     |
|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Application Number            | If this field is left blank, it will signify "This Application is a" to refer to the application being submitted. You may also enter any application number that is already referred to in the Prior Application Number fields on the current form. |
| Continuity Type               | Select the relationship between patent applications by selecting one of seven available relationship buttons. The relationships are continuation, division, continuation-in-part, 371 of international, substitution, reissue and non-provisional.  |
| Prior Application Number      | Provide the application serial number for the related application.                                                                                                                                                                                  |
| Filing Date                   | Select the filing date of the prior related application from the drop-down menu, which converts into a calendar. The date should be in the format YYYY-MM-DD.                                                                                       |
| Application Status            | Select the status of the related application from Issued, Pending, Abandoned, or Expired.                                                                                                                                                           |
| Add Domestic Priority Data    | Add the information to the continuity information list by selecting the Add button.                                                                                                                                                                 |
| Remove Domestic Priority Data | Delete the information to the continuity information list by selecting the Remove button.                                                                                                                                                           |

### Foreign Priority Information:

|                    |                                                                                                                                                                                                                                                                                    |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Application Number | Enter the Foreign Document Number of an associated foreign-filed application in the Application Number field. PCT number format: PCT/aaYY/xxxxx or PCT/aaYYYY/xxxxx where aa is valid foreign receiving office/country code YY or YYYY is year and xxxxx is the five-digit number. |
| Country            | Enter the country where the application was filed. See WIPO ST. 3 Table for                                                                                                                                                                                                        |

|                         |                                                                                                  |
|-------------------------|--------------------------------------------------------------------------------------------------|
|                         | complete listing, along with abbreviation.                                                       |
| Parent Filing Date      | Enter the foreign filing date in the Date field. The date should be in the format YYYY-MM-DD     |
| Priority Claimed Yes/No | Indicate whether or not foreign priority is claimed by selecting the appropriate radio button.   |
| Add                     | Add additional information to the Foreign Priority Information list by selecting the Add button. |
| Remove                  | Delete information to the Foreign Priority Information list by selecting the Remove button.      |

### Publication Assignee Information:

|                                                |                                                                                                                                                                                                        |
|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| If the Assignee is an Organization check here. | Check this box if the assignee is an organization.                                                                                                                                                     |
| Organization Name                              | If the application is assigned to an organization, enter the name of the organization.                                                                                                                 |
| Prefix                                         | Enter any name prefix of the assignee. Choose the desired prefix from the drop-down menu.                                                                                                              |
| Given Name                                     | If the application is assigned to an individual or group of individuals, enter the first or given name of the assignee. If the assignee has only one name, enter a period into one of the name fields. |
| Middle Name                                    | Enter any middle name of the assignee.                                                                                                                                                                 |
| Family Name                                    | Enter the name of the assignee that USPTO is to publish on the front page of the patent application publication.                                                                                       |
| Suffix                                         | Enter any name suffix of the assignee                                                                                                                                                                  |
| Address 1                                      | Enter the first line of the address of the assignee that USPTO is to publish on the front page of the patent application publication.                                                                  |
| Address 2                                      | Enter the second line of the assignee's address.                                                                                                                                                       |
| City                                           | Enter the city of the assignee's address                                                                                                                                                               |
| Postal Code                                    | Enter the 5 - 9 digit postal code of the assignee. Entry of a postal code for foreign countries is optional                                                                                            |
| State / Province                               | Enter the state of the assignee's address. See State/Province List for choices.                                                                                                                        |
| Country                                        | Enter the country of the assignee's address. See Country Code Table for complete listing, along with abbreviation.                                                                                     |
| Phone Number                                   | Enter the telephone number of the assignee.                                                                                                                                                            |
| Fax Number                                     | Enter the fax number of the assignee.                                                                                                                                                                  |
| Email Address                                  | Enter the e-mail address of the assignee.                                                                                                                                                              |
| Add Button                                     | Additional Assignee information Blocks may be generated.                                                                                                                                               |
| Remove Button                                  | Assignee information Blocks may be removed.                                                                                                                                                            |

### Signature:

|                     |                                                                                                                                                                                                                                                                                                                              |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Signature           | This signature is an electronic legal signature. The individual named MUST add a signature. Electronic signature should be in the format /###/ where # is alpha-numeric, space or punctuation (but not / or ?), it cannot just have space or punctuations. Example: "/John Doe/". For more information see 37 CFR 1.4(d)(3). |
| Date                | Enter the date that the submission is being signed. Or, select the date from the drop-down menu, which converts into a calendar. The date format should be in the form YYYY-MM-DD.                                                                                                                                           |
| Name / Print        | Type or write the name of the filer.                                                                                                                                                                                                                                                                                         |
| Registration Number | Please enter a Registration Number if you are a patent practitioner.                                                                                                                                                                                                                                                         |



# 1. Country Code Table

| COUNTRY                        | CODE |
|--------------------------------|------|
| AFGHANISTAN                    | AF   |
| ALBANIA                        | AL   |
| ALGERIA                        | DZ   |
| ANDORRA                        | AD   |
| ANGOLA                         | AO   |
| ANGUILLA                       | AI   |
| ANTARCTICA                     | AQ   |
| ANTIGUA AND BARBUDA            | AG   |
| ARGENTINA                      | AR   |
| ARMENIA                        | AM   |
| ARUBA                          | AW   |
| AUSTRALIA                      | AU   |
| AUSTRIA                        | AT   |
| AZERBAIJAN                     | AZ   |
| BAHAMAS                        | BS   |
| BAHRAIN                        | BH   |
| BANGLADESH                     | BD   |
| BARBADOS                       | BB   |
| BELARUS                        | BY   |
| BELGIUM                        | BE   |
| BELIZE                         | BZ   |
| BENIN                          | BJ   |
| BERMUDA                        | BM   |
| BHUTAN                         | BT   |
| BOLIVIA                        | BO   |
| BOSNIA AND HERZEGOVINA         | BA   |
| BOTSWANA                       | BW   |
| BOUVET ISLAND                  | BV   |
| BRAZIL                         | BR   |
| BRITISH INDIAN OCEAN TERRITORY | IO   |
| BRUNEI DARUSSALAM              | BN   |
| BULGARIA                       | BG   |
| BURKINA FASO                   | BF   |
| BURUNDI                        | BI   |
| CAMBODIA                       | KH   |
| CAMEROON                       | CM   |
| CANADA                         | CA   |
| CANTON AND ENDERBURY ISLANDS   | CT   |
| CAPE VERDE                     | CV   |
| CAYMAN ISLANDS                 | KY   |
| CENTRAL AFRICAN REPUBLIC       | CF   |
| CHAD                           | TD   |

|                                           |    |
|-------------------------------------------|----|
| CHILE                                     | CL |
| CHINA                                     | CN |
| CHRISTMAS ISLAND                          | CX |
| COCOS (KEELING) ISLANDS                   | CC |
| COLOMBIA                                  | CO |
| COMOROS                                   | KM |
| CONGO                                     | CG |
| CONGO, THE DEMOCRATIC REPUBLIC OF THE     | CD |
| COOK ISLANDS                              | CK |
| COSTA RICA                                | CR |
| COTE D'IVOIRE                             | CI |
| CROATIA                                   | HR |
| CUBA                                      | CU |
| CYPRUS                                    | CY |
| CZECH REPUBLIC                            | CZ |
| CZECHOSLOVAKIA                            | CS |
| DENMARK                                   | DK |
| DJIBOUTI                                  | DJ |
| DOMINICA                                  | DM |
| DOMINICAN REPUBLIC                        | DO |
| DRONNING MAUD LAND                        | NQ |
| EAST TIMOR                                | TP |
| ECUADOR                                   | EC |
| EGYPT                                     | EG |
| EL SALVADOR                               | SV |
| EQUATORIAL GUINEA                         | GQ |
| ERITREA                                   | ER |
| ESTONIA                                   | EE |
| ETHIOPIA                                  | ET |
| FALKLAND ISLANDS (MALVINAS)               | FK |
| FAROE ISLANDS                             | FO |
| FIJI                                      | FJ |
| FINLAND                                   | FI |
| FRANCE                                    | FR |
| FRENCH GUIANA                             | GF |
| FRENCH POLYNESIA                          | PF |
| FRENCH SOUTHERN AND ANTARCTIC TERRITORIES | FQ |
| FRENCH SOUTHERN TERRITORIES               | TF |
| GABON                                     | GA |
| GAMBIA                                    | GM |
| GEORGIA                                   | GE |
| GERMAN DEMOCRATIC REPUBLIC                | DD |
| GERMANY                                   | DE |

|                                            |    |
|--------------------------------------------|----|
| GHANA                                      | GH |
| GIBRALTAR                                  | GI |
| GREECE                                     | GR |
| GREENLAND                                  | GL |
| GRENADA                                    | GD |
| GUADELOUPE                                 | GP |
| GUATEMALA                                  | GT |
| GUINEA                                     | GN |
| GUINEA-BISSAU                              | GW |
| GUYANA                                     | GY |
| HAITI                                      | HT |
| HEARD ISLAND AND MCDONALD ISLANDS          | HM |
| HOLY SEE (VATICAN CITY STATE)              | VA |
| HONDURAS                                   | HN |
| HONG KONG                                  | HK |
| HUNGARY                                    | HU |
| ICELAND                                    | IS |
| INDIA                                      | IN |
| INDONESIA                                  | ID |
| IRAN, ISLAMIC REPUBLIC OF                  | IR |
| IRAQ                                       | IQ |
| IRELAND                                    | IE |
| ISRAEL                                     | IL |
| ITALY                                      | IT |
| JAMAICA                                    | JM |
| JAPAN                                      | JP |
| JORDAN                                     | JO |
| KAZAKSTAN                                  | KZ |
| KENYA                                      | KE |
| KIRIBATI                                   | KI |
| KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF     | KP |
| KOREA, REPUBLIC OF                         | KR |
| KUWAIT                                     | KW |
| KYRGYZSTAN                                 | KG |
| LAO PEOPLE'S DEMOCRATIC REPUBLIC           | LA |
| LATVIA                                     | LV |
| LEBANON                                    | LB |
| LESOTHO                                    | LS |
| LIBERIA                                    | LR |
| LIBYAN ARAB JAMAHIRIYA                     | LY |
| LIECHTENSTEIN                              | LI |
| LITHUANIA                                  | LT |
| LUXEMBOURG                                 | LU |
| MACAU                                      | MO |
| MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF | MK |

|                                   |    |
|-----------------------------------|----|
| MADAGASCAR                        | MG |
| MALAWI                            | MW |
| MALAYSIA                          | MY |
| MALDIVES                          | MV |
| MALI                              | ML |
| MALTA                             | MT |
| MARSHALL ISLANDS                  | MH |
| MARTINIQUE                        | MQ |
| MAURITANIA                        | MR |
| MAURITIUS                         | MU |
| MAYOTTE                           | YT |
| MEXICO                            | MX |
| MIRCRONESIA, FEDERATED STATES OF  | FM |
| MOLDOVA, REPUBLIC OF              | MD |
| MONACO                            | MC |
| MONGOLIA                          | MN |
| MONTSERRAT                        | MS |
| MOROCCO                           | MA |
| MOZAMBIQUE                        | MZ |
| MYANMAR                           | MM |
| NAMIBIA                           | NA |
| NAURU                             | NR |
| NEPAL                             | NP |
| NETHERLANDS                       | NL |
| NETHERLANDS ANTILLES              | AN |
| NEUTRAL ZONE                      | NT |
| NEW CALEDONIA                     | NC |
| NEW ZEALAND                       | NZ |
| NICARAGUA                         | NI |
| NIGER                             | NE |
| NIGERIA                           | NG |
| NIUE                              | NU |
| NORFOLK ISLAND                    | NF |
| NORWAY                            | NO |
| NOT PROVIDED                      | XP |
| OMAN                              | OM |
| PACIFIC ISLANDS (TRUST TERRITORY) | PC |
| PAKISTAN                          | PK |
| PALAU                             | PW |
| PANAMA                            | PA |
| PANAMA CANAL ZONE                 | PZ |
| PAPUA NEW GUINEA                  | PG |
| PARACEL ISLANDS                   | QP |
| PARAGUAY                          | PY |
| PERU                              | PE |

|                                              |    |
|----------------------------------------------|----|
| PHILIPPINES                                  | PH |
| PITCAIRN                                     | PN |
| POLAND                                       | PL |
| PORTUGAL                                     | PT |
| QATAR                                        | QA |
| REUNION                                      | RE |
| ROMANIA                                      | RO |
| RUSSIAN FEDERATION                           | RU |
| RWANDA                                       | RW |
| SAINT HELENA                                 | SH |
| SAINT KITTS AND NEVIS                        | KN |
| SAINT LUCIA                                  | LC |
| SAINT PIERRE AND MIQUELON                    | PM |
| SAINT VINCENT AND THE GRENADINES             | VC |
| SAMOA                                        | WS |
| SAN MARINO                                   | SM |
| SÃO TOME AND PRÍNCIPE                        | ST |
| SAUDI ARABIA                                 | SA |
| SENEGAL                                      | SN |
| SEYCHELLES                                   | SC |
| SIERRA LEONE                                 | SL |
| SINGAPORE                                    | SG |
| SLOVAKIA                                     | SK |
| SLOVENIA                                     | SI |
| SOLOMON ISLANDS                              | SB |
| SOMALIA                                      | SO |
| SOUTH AFRICA                                 | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SPAIN                                        | ES |
| SRI LANKA                                    | LK |
| STATELESS                                    | ZZ |
| SUDAN                                        | SD |
| SURINAME                                     | SR |
| SVALBARD AND JAN MAYEN                       | SJ |
| SWAZILAND                                    | SZ |
| SWEDEN                                       | SE |
| SWITZERLAND                                  | CH |

|                                     |    |
|-------------------------------------|----|
| SYRIAN ARAB REPUBLIC                | SY |
| TAIWAN                              | TW |
| TAJIKISTAN                          | TJ |
| TANZANIA, UNITED REPUBLIC OF        | TZ |
| THAILAND                            | TH |
| TOGO                                | TG |
| TOKELAU                             | TK |
| TONGA                               | TO |
| TRINIDAD AND TOBAGO                 | TT |
| TUNISIA                             | TN |
| TURKEY                              | TR |
| TURKMENISTAN                        | TM |
| TURKS AND CAICOS ISLANDS            | TC |
| TUVALU                              | TV |
| UGANDA                              | UG |
| UKRAINE                             | UA |
| UNION OF SOVIET SOCIALIST REPUBLICS | SU |
| UNITED ARAB EMIRATES                | AE |
| UNITED KINGDOM                      | GB |
| UNITED STATES                       | US |
| URUGUAY                             | UY |
| UZBEKISTAN                          | UZ |
| VANUATU                             | VU |
| VENEZUELA                           | VE |
| VIET NAM                            | VN |
| VIET-NAM, DEMOCRATIC REPUBLIC OF    | VD |
| VIRGIN ISLANDS, BRITISH             | VG |
| WALLIS AND FUTUNA                   | WF |
| WESTERN SAHARA                      | EH |
| YEMEN                               | YE |
| YEMEN, DEMOCRATIC                   | YD |
| YUGOSLAVIA                          | YU |
| ZAMBIA                              | ZM |
| ZIMBABWE                            | ZW |

## 2. WIPO ST.3 Table

LIST OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS, IN ALPHABETIC SEQUENCE OF THEIR SHORT NAMES, AND THEIR CORRESPONDING CODES (as of March 22, 2007)

|                                                                                  |    |                                                                                                               |    |
|----------------------------------------------------------------------------------|----|---------------------------------------------------------------------------------------------------------------|----|
| AFGHANISTAN                                                                      | AF | COSTA RICA                                                                                                    | CR |
| AFRICAN INTELLECTUAL<br>PROPERTY ORGANIZATION<br>(OAPI) <sup>(1)</sup>           | OA | CÔTE D'IVOIRE                                                                                                 | CI |
| AFRICAN REGIONAL INTELLECTUAL<br>PROPERTY ORGANIZATION<br>(ARIPO) <sup>(1)</sup> | AP | CROATIA                                                                                                       | HR |
| ALBANIA                                                                          | AL | CUBA                                                                                                          | CU |
| ALGERIA                                                                          | DZ | CYPRUS                                                                                                        | CY |
| ANDORRA                                                                          | AD | CZECH REPUBLIC                                                                                                | CZ |
| ANGOLA                                                                           | AO | DEMOCRATIC PEOPLE'S REPUBLIC<br>OF KOREA                                                                      | KP |
| ANGUILLA                                                                         | AI | DEMOCRATIC REPUBLIC OF THE<br>CONGO                                                                           | CD |
| ANTIGUA AND BARBUDA                                                              | AG | DENMARK                                                                                                       | DK |
| ARGENTINA                                                                        | AR | DJIBOUTI                                                                                                      | DJ |
| ARMENIA                                                                          | AM | DOMINICA ...                                                                                                  | DM |
| ARUBA                                                                            | AW | DOMINICAN REPUBLIC                                                                                            | DO |
| AUSTRALIA                                                                        | AU | ECUADOR                                                                                                       | EC |
| AUSTRIA                                                                          | AT | EGYPT                                                                                                         | EG |
| AZERBAIJAN                                                                       | AZ | EL SALVADOR                                                                                                   | SV |
| BAHAMAS                                                                          | BS | EQUATORIAL GUINEA                                                                                             | GQ |
| BAHRAIN                                                                          | BH | ERITREA                                                                                                       | ER |
| BANGLADESH                                                                       | BD | ESTONIA                                                                                                       | EE |
| BARBADOS                                                                         | BB | ETHIOPIA                                                                                                      | ET |
| BELARUS                                                                          | BY | EURASIAN PATENT ORGANIZATION<br>(EAPO) <sup>(1)</sup>                                                         | EA |
| BELGIUM                                                                          | BE | EUROPEAN COMMUNITY TRADE<br>MARK OFFICE (See Office for<br>Harmonization in the Internal Market)              |    |
| BELIZE                                                                           | BZ | EUROPEAN PATENT OFFICE<br>(EPO) <sup>(1)</sup>                                                                | EP |
| BENELUX OFFICE FOR<br>INTELLECTUAL PROPERTY (BOIP)<br>(2)                        | BX | FALKLAND ISLANDS (MALVINAS)                                                                                   | FK |
| BENIN                                                                            | BJ | FAROE ISLANDS                                                                                                 | FO |
| BERMUDA                                                                          | BM | FIJI                                                                                                          | FJ |
| BHUTAN                                                                           | BT | FINLAND                                                                                                       | FI |
| BOLIVIA                                                                          | BO | FRANCE                                                                                                        | FR |
| BOSNIA AND HERZEGOVINA                                                           | BA | GABON                                                                                                         | GA |
| BOTSWANA                                                                         | BW | GAMBIA                                                                                                        | GM |
| BOUVET ISLAND                                                                    | BV | GEORGIA                                                                                                       | GE |
| BRAZIL                                                                           | BR | GERMANY <sup>(3)</sup>                                                                                        | DE |
| BRUNEI DARUSSALAM                                                                | BN | GHANA                                                                                                         | GH |
| BULGARIA                                                                         | BG | GIBRALTAR                                                                                                     | GI |
| BURKINA FASO                                                                     | BF | GREECE                                                                                                        | GR |
| BURUNDI                                                                          | BI | GREENLAND ...                                                                                                 | GL |
| CAMBODIA                                                                         | KH | GRENADA ...                                                                                                   | GD |
| CAMEROON                                                                         | CM | GUATEMALA ...                                                                                                 | GT |
| CANADA                                                                           | CA | GUERNSEY.                                                                                                     | GG |
| CAPE VERDE ...                                                                   | CV | GUINEA                                                                                                        | GN |
| CAYMAN ISLANDS                                                                   | KY | GUINEA-BISSAU                                                                                                 | GW |
| CENTRAL AFRICAN REPUBLIC                                                         | CF | GULF COOPERATION COUNCIL (see<br>Patent Office of the Cooperation<br>Council for the Arab States of the Gulf) |    |
| CHAD                                                                             | TD | GUYANA                                                                                                        | GY |
| CHILE                                                                            | CL | HAITI                                                                                                         | HT |
| CHINA                                                                            | CN | HOLY SEE ...                                                                                                  | VA |
| COMMUNITY PLANT VARIETY<br>OFFICE (EUROPEAN COMMUNITY)<br>(CPVO)                 | QZ | HONDURAS                                                                                                      | HN |
| COLOMBIA                                                                         | CO | HONG KONG (See The Hong Kong<br>Special Administrative Region of the<br>People's Republic of China)           |    |
| COMOROS                                                                          | KM | HUNGARY                                                                                                       | HU |
| CONGO (see Congo, below;<br>Democratic Republic of the Congo)                    |    | ICELAND                                                                                                       | IS |
| CONGO                                                                            | CG | INDIA                                                                                                         | IN |
| COOK ISLANDS.                                                                    | CK |                                                                                                               |    |

|                                                                                            |        |
|--------------------------------------------------------------------------------------------|--------|
| INDONESIA                                                                                  | ID     |
| INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) <sup>(4)</sup> | IB, WO |
| IRAN, ISLAMIC REPUBLIC OF                                                                  | IR     |
| IRAQ                                                                                       | IQ     |
| IRELAND                                                                                    | IE     |
| ISLE OF MAN                                                                                | IM     |
| ISRAEL                                                                                     | IL     |
| ITALY                                                                                      | IT     |
| JAMAICA                                                                                    | JM     |
| JAPAN                                                                                      | JP     |
| JERSEY                                                                                     | JE     |
| JORDAN                                                                                     | JO     |
| KAZAKHSTAN                                                                                 | KZ     |
| KENYA                                                                                      | KE     |
| KIRIBATI                                                                                   | KI     |
| KOREA (see Democratic People's Republic of Korea; Republic of Korea)                       |        |
| KUWAIT                                                                                     | KW     |
| KYRGYZSTAN                                                                                 | KG     |
| LAO PEOPLE'S DEMOCRATIC REPUBLIC                                                           | LA     |
| LATVIA                                                                                     | LV     |
| LEBANON                                                                                    | LB     |
| LESOTHO                                                                                    | LS     |
| LIBERIA                                                                                    | LR     |
| LIBYAN ARAB JAMAHIRIYA                                                                     | LY     |
| LIECHTENSTEIN                                                                              | LI     |
| LITHUANIA                                                                                  | LT     |
| LUXEMBOURG                                                                                 | LU     |
| MACAO                                                                                      | MO     |
| MACEDONIA (see The former Yugoslav Republic of Macedonia)                                  |        |
| MADAGASCAR                                                                                 | MG     |
| MALAWI                                                                                     | MW     |
| MALAYSIA ...                                                                               | MY     |
| MALDIVES ...                                                                               | MV     |
| MALI                                                                                       | ML     |
| MALTA                                                                                      | MT     |
| MAURITANIA                                                                                 | MR     |
| MAURITIUS                                                                                  | MU     |
| MEXICO                                                                                     | MX     |
| MOLDOVA (see Republic of Moldova)                                                          |        |
| MONACO                                                                                     | MC     |
| MONGOLIA                                                                                   | MN     |
| MONTENEGRO.                                                                                | ME     |
| MONTSERRAT                                                                                 | MS     |
| MOROCCO                                                                                    | MA     |
| MOZAMBIQUE                                                                                 | MZ     |
| MYANMAR                                                                                    | MM     |
| NAMIBIA                                                                                    | NA     |
| NAURU                                                                                      | NR     |
| NEPAL                                                                                      | NP     |
| NETHERLANDS.                                                                               | NL     |
| NETHERLANDS ANTILLES                                                                       | AN     |
| NEW ZEALAND                                                                                | NZ     |
| NICARAGUA                                                                                  | NI     |
| NIGER                                                                                      | NE     |
| NIGERIA                                                                                    | NG     |
| NORDIC PATENT INSTITUTE (NPI)                                                              | XN     |
| NORTHERN MARIANA ISLANDS                                                                   | MP     |

|                                                                                  |    |
|----------------------------------------------------------------------------------|----|
| NORWAY                                                                           | NO |
| OFFICE FOR HARMONIZATION IN THE INTERNAL MARKET (TRADE MARKS AND DESIGNS) (OHIM) | EM |
| OMAN                                                                             | OM |
| PAKISTAN ...                                                                     | PK |
| PALAU                                                                            | PW |
| PANAMA                                                                           | PA |
| PAPUA NEW GUINEA                                                                 | PG |
| PARAGUAY                                                                         | PY |
| PATENT OFFICE OF THE COOPERATION COUNCIL FOR THE ARAB STATES OF THE GULF (GCC)   | GC |
| PERU                                                                             | PE |
| PHILIPPINES ...                                                                  | PH |
| POLAND                                                                           | PL |
| PORTUGAL                                                                         | PT |
| QATAR                                                                            | QA |
| REPUBLIC OF KOREA                                                                | KR |
| REPUBLIC OF MOLDOVA                                                              | MD |
| ROMANIA                                                                          | RO |
| RUSSIAN FEDERATION                                                               | RU |
| RWANDA                                                                           | RW |
| SAINT HELENA                                                                     | SH |
| SAINT KITTS AND NEVIS ...                                                        | KN |
| SAINT LUCIA ...                                                                  | LC |
| SAINT VINCENT AND THE GRENADINES                                                 | VC |
| SAMOA                                                                            | WS |
| SAN MARINO                                                                       | SM |
| SAO TOME AND PRINCIPE                                                            | ST |
| SAUDI ARABIA                                                                     | SA |
| SENEGAL                                                                          | SN |
| SERBIA                                                                           | RS |
| SEYCHELLES                                                                       | SC |
| SIERRA LEONE                                                                     | SL |
| SINGAPORE                                                                        | SG |
| SLOVAKIA ...                                                                     | SK |
| SLOVENIA ...                                                                     | SI |
| SOLOMON ISLANDS                                                                  | SB |
| SOMALIA                                                                          | SO |
| SOUTH AFRICA                                                                     | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS                                     | GS |
| SPAIN                                                                            | ES |
| SRI LANKA                                                                        | LK |
| SUDAN                                                                            | SD |
| SURINAME                                                                         | SR |
| SWAZILAND                                                                        | SZ |
| SWEDEN                                                                           | SE |
| SWITZERLAND                                                                      | CH |
| SYRIAN ARAB REPUBLIC                                                             | SY |
| TAIWAN, PROVINCE OF CHINA                                                        | TW |
| TAJIKISTAN                                                                       | TJ |
| TANZANIA (see United Republic of Tanzania)                                       |    |
| THAILAND ...                                                                     | TH |
| THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA                                        | MK |
| THE HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA    | HK |
| TIMOR-LESTE                                                                      | TL |

|                             |    |
|-----------------------------|----|
| TOGO.                       | TG |
| TONGA                       | TO |
| TRINIDAD AND TOBAGO         | TT |
| TUNISIA                     | TN |
| TURKEY                      | TR |
| TURKMENISTAN                | TM |
| TURKS AND CAICOS ISLANDS    | TC |
| TUVALU                      | TV |
| UGANDA                      | UG |
| UKRAINE                     | UA |
| UNITED ARAB EMIRATES        | AE |
| UNITED KINGDOM              | GB |
| UNITED REPUBLIC OF TANZANIA | TZ |
| UNITED STATES OF AMERICA    | US |
| URUGUAY ...                 | UY |

|                                                                                          |        |
|------------------------------------------------------------------------------------------|--------|
| UZBEKISTAN ...                                                                           | UZ     |
| VANUATU ...                                                                              | VU     |
| VATICAN CITY STATE (See Holy See)                                                        |        |
| VENEZUELA                                                                                | VE     |
| VIET NAM                                                                                 | VN     |
| VIRGIN ISLANDS, BRITISH                                                                  | VG     |
| WESTERN SAHARA <sup>(5)</sup>                                                            | EH     |
| WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) (INTERNATIONAL BUREAU OF) <sup>(4)</sup> | WO, IB |
| YEMEN                                                                                    | YE     |
| ZAMBIA                                                                                   | ZM     |
| ZIMBABWE                                                                                 | ZW     |

- <sup>(1)</sup> Intergovernmental organizations (regional patent offices) acting for certain Contracting States under the PCT (Patent Cooperation Treaty). In case of the European Patent Office, it also acts as International Searching Authority and International Preliminary Examining Authority under the PCT.
- <sup>(2)</sup> The Benelux Office for Intellectual Property (BOIP) (former Benelux Trademark and Designs Offices) have replaced the national Offices of Belgium, Luxembourg and the Netherlands with regard to actions relating to marks and industrial designs.
- <sup>(3)</sup> In the electronic database of the International Register of Marks, the International Bureau of WIPO uses the following additional codes, not part of the active codes of Standard ST.3: "DD" to designate Germany without the territory that, prior to October 3, 1990, constituted the Federal Republic of Germany; "DT" to designate Germany without the territory that, prior to October 3, 1990, constituted the German Democratic Republic.
- <sup>(4)</sup> The code "WO" is used in relation to the international publication under the Patent Cooperation Treaty (PCT) of international applications filed with any PCT receiving Office, as well as in the publication of international deposits of industrial designs under the Hague Agreement Concerning the International Deposit of Industrial Designs. In this regard, reference is made to INID code (33) given in WIPO Standards [SI.9](#) and [SI.60](#). The code "WO" is also the appropriate code to be used with respect to international registrations of marks under the Madrid Agreement and the Madrid Protocol Concerning the International Registration of Marks. The code "IB" is used in relation to the receipt of international applications under the PCT filed with the International Bureau of WIPO in its capacity as a PCT receiving Office.
- <sup>(5)</sup> Provisional name.
- <sup>(6)</sup> Code BF adopted in 1994.
- <sup>(7)</sup> Code CD adopted in 1997.
- <sup>(8)</sup> Code MM adopted in 1999.
- <sup>(9)</sup> Code TL adopted on May 26, 2002.
- <sup>(10)</sup> Code SY used before 1.1.1978.
- <sup>(11)</sup> Code DL used before 1.1.1978.
- <sup>(12)</sup> Subsequent to the country name change of "Yugoslavia" to "Serbia and Montenegro", that took effect on February 4, 2003, and to the decision by the ISO 3166/MA to use the new country name and new two-letter code "CS" (to replace "YU"), which was announced on July 23, 2003, the SCIT Standards and Documentation Working Group agreed, at its fifth session, in November 11, 2004, to recommend that the two-letter code "YU" continue to be used for "Serbia and Montenegro" in the industrial property field because code "CS" raised certain problems due to its former use to represent "Czechoslovakia" until 1993.

### 3. State/Province List

| U.S. State / U.S. territories / Canadian Provinces          | Code |
|-------------------------------------------------------------|------|
| ALABAMA                                                     | AL   |
| ALASKA                                                      | AK   |
| ALBERTA                                                     | AB   |
| AMERICAN SAMOA                                              | AS   |
| ARIZONA                                                     | AZ   |
| ARKANSAS                                                    | AR   |
| Armed Forces in Americas excluding Canada                   | AA   |
| Armed Forces in Europe, the Middle East, Africa, and Canada | AE   |
| Armed Forces in the Pacific                                 | AP   |
| BAKER ISLAND                                                | 81   |
| BRITISH COLUMBIA                                            | BC   |
| CALIFORNIA                                                  | CA   |
| CHANNEL ISLANDS                                             | CHA  |
| COLORADO                                                    | CO   |
| CONNECTICUT                                                 | CT   |
| DELAWARE                                                    | DE   |
| DISTRICT OF COLUMBIA                                        | DC   |
| FLORIDA                                                     | FL   |
| GEORGIA                                                     | GA   |
| GUAM                                                        | GU   |
| HAWAII                                                      | HI   |
| HOWLAND ISLAND                                              | 84   |
| IDAHO                                                       | ID   |
| ILLINOIS                                                    | IL   |
| INDIANA                                                     | IN   |
| IOWA                                                        | IA   |
| JARVIS ISLAND                                               | 86   |
| JOHNSTON ATOLL                                              | 67   |
| KANSAS                                                      | KS   |
| KENTUCKY                                                    | KY   |
| KINGMAN REEF                                                | 89   |
| LOUISIANA                                                   | LA   |
| MAINE                                                       | ME   |
| MANITOBA                                                    | MB   |
| MARYLAND                                                    | MD   |
| MASSACHUSETTS                                               | MA   |
| MICHIGAN                                                    | MI   |
| MIDWAY ISLANDS                                              | 71   |
| MINNESOTA                                                   | MN   |
| MISSISSIPPI                                                 | MS   |
| MISSOURI                                                    | MO   |

|                                      |    |
|--------------------------------------|----|
| MONTANA                              | MT |
| NAVASSA ISLAND                       | 76 |
| NEBRASKA                             | NE |
| NEVADA                               | NV |
| NEW BRUNSWICK                        | NB |
| NEW HAMPSHIRE                        | NH |
| NEW JERSEY                           | NJ |
| NEW MEXICO                           | NM |
| NEW YORK                             | NY |
| NEWFOUNDLAND                         | NF |
| NORTH CAROLINA                       | NC |
| NORTH DAKOTA                         | ND |
| NORTHWEST TERRITORIES                | NT |
| NOVA SCOTIA                          | NS |
| NUNAVUT                              | NU |
| OHIO                                 | OH |
| OKLAHOMA                             | OK |
| ONTARIO                              | ON |
| OREGON                               | OR |
| PALMYRA ATOLL                        | 95 |
| PENNSYLVANIA                         | PA |
| PRINCE EDWARD ISLAND                 | PE |
| PUERTO RICO                          | PR |
| QUEBEC                               | QC |
| RHODE ISLAND                         | RI |
| SASKATCHEWAN                         | SK |
| SOUTH CAROLINA                       | SC |
| SOUTH DAKOTA                         | SD |
| TENNESSEE                            | TN |
| TEXAS                                | TX |
| UNITED STATES MINOR OUTLYING ISLANDS | UM |
| UTAH                                 | UT |
| VERMONT                              | VT |
| VIRGIN ISLANDS, U.S.                 | VI |
| VIRGINIA                             | VA |
| WAKE ISLAND                          | 79 |
| WASHINGTON                           | WA |
| WEST VIRGINIA                        | WV |
| WISCONSIN                            | WI |
| WYOMING                              | WY |
| YUKON TERRITORY                      | YT |

#### 4. Active Military Descriptions List

|    |                                                             |
|----|-------------------------------------------------------------|
| AE | The inventor is serving in Europe or Africa                 |
| AP | The inventor is serving in the Pacific                      |
| AA | The inventor is serving in the Americas<br>excluding Canada |





**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition For Revival Of An Application For Patent  
Abandoned Unavoidably Under Under 37 C.F.R. §1.137(a)**

February 6, 2016

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant respectfully petitions the Commissioner for the revival of this application.

*Revival*

1. Please revive this application from its current unavoidable state of abandonment.

*Remarks*

2. This petition is Applicant's second request for revival with original petition for revival dated April 07, 2014. Applicant's first request was denied for lack of signature and incorrect fee payment.

3. Applicant respectfully ask's that Applicant's previously filed Petition for Correction of Inventorship dated August 20, 2015 be considered at this time to set correct requirement of petition signature to that of Applicant himself, as he is the original first and sole inventor of the inscribed invention. Applicant respectfully requests the Commissioner to grant Applicant's said 'Petition For Correction Of Inventorship'.

4. Applicant, having due diligence in prosecution of this application of patent, believes delay's in Applicant's prosecution have been unavoidable by Applicant. Further, Applicant allowed extra delay time for any involved party's relative correspondence.

5. Applicant has paid the necessary micro entity fee of eight hundred fifty dollars (\$850).

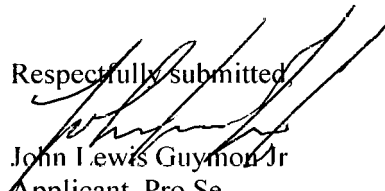
## *Conclusion*

6. It is respectfully submitted that all requirements under 37 C.F.R §1.137(a) have been met through this petition and accompanying documentation. With this, and applicant's thorough and detailed presentation of evidence of Applicant's in-depth work history and contribution, Applicant respectfully requests the Commissioner to grant this 'Petition for Revival Of An Application For Patent'.

7. This request for amendment is accompanied by the fees required by 37 C.F.R. §1.137(a)

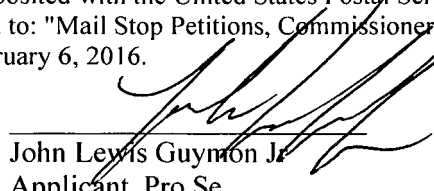
8. An early consideration and grant of the Applicant's 'Petition For Correction Of Inventorship' dated August 20, 2015 and consequent grant of this 'Petition For Revival Of An Application For Patent' as well as Applicant's 'Petition of Request For Continued Examination' dated April 7, 2014, is earnestly solicited.

Respectfully submitted,

  
John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel. (385) 313-2200  
Msg: (801) 943-8461

### - - - Certificate of Mailing - - -

I certify that this correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, February 6, 2016.

  
John Lewis Guymon Jr  
Applicant, Pro Se



2-08-2016

IFW  
DAC

### In the United States Patent and Trademark Office

Serial Number: 12/316,699  
 Application Filed: December 15, 2008  
 Applicant: John Lewis Guymon Jr  
 Application Title: Securable Independent Electronic Document  
 Examiner/GAU: Kaveh Abrishamkar

### Cover Letter – Applicant’s Petition For Revival Of An Application

February 6, 2016

Adjustment date: 02/06/2016 APEREZAM  
 04/09/2014 CCHAU1 00000013 12316699  
 02 FC:1999 -35.00 OP

Mail Stop Petitions  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

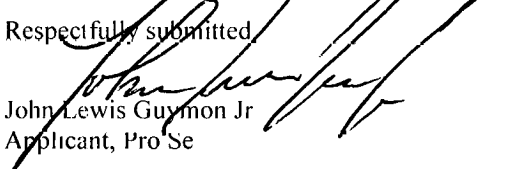
Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s ‘Petition To Revive’ and Check # 1752 for payment of fees totaling nine hundred sixty five dollars (\$965) .

This coorespondence relates to and completes previously submitted 'Petition for Correction of Inventorship' dated August 20, 2014, and 'Petition of Request for Continued Examination', dated April 07, 2014, and is Applicant's second 'Petition To Revive'. 'Applicants first Petition to Revive', dated April 07, 2014, was denied for missing signature and improper fee. Applicant's Correction of Inventorship corrects this Application's required signature set to that of Applicant himself and therefore allows the included 'Petition To Revive' proper pass as submitted and signed by Applicant himself with payment of proper fee amount paid.

Applicant previously entered a Petition for Request of Refund that was based on Applicant's misunderstanding of petition procedure and submits the inclosed check as payment for the resultant fee amount of \$965, the total of all petition's required micro entity fees due (\$1300) (850=Revive,150=CorrInv,300=RCE) less previous payments of \$335 (Chk#1587- April 7, 2014).

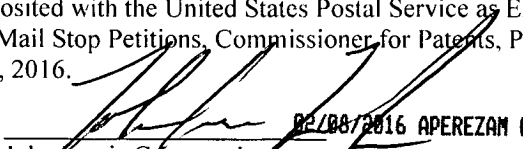
Thank you for your consideration and time with this correspondence.

Respectfully submitted  
  
 John Lewis Guymon Jr  
 Applicant, Pro Se

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I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, February 6, 2016.

02/08/2016 APEREZAM 00000008 12316699  
 02 FC:3819 150.00 OP

  
 John Lewis Guymon Jr  
 Applicant, Pro Se  
 02/08/2016 APEREZAM 00000008 12316699  
 01 FC:3454 850.00 OP

ITW  
DAC  
~~Dep/Ref~~



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – Applicant’s Petitions for ‘Refund’ & ‘Correction of Inventorship’**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s ‘Petition for Refund’ and ‘Petition for Correction of Inventorship’. The included documentation’s Title, page, exhibit and total count for a total page count of 106 (one hundred six), listed in the following table:

| Title                                    | Pages | Total Pages | Filename      |
|------------------------------------------|-------|-------------|---------------|
| Cover Letter (this document)             | 3     | 3           | CLf0819c.doc  |
| Petition for Refund                      | 1+1   | 2           | RFf0819b.doc  |
| Petition for Correction of Inventorship  | 17+28 | 45          | CI f0819d.doc |
| Supplemental Declaration of Inventorship | 8+48  | 56          | OIf0819b.doc  |

Applicant requests refund applied to payment of fees for the petition filing of Applicant’s ‘Petition For Correction of Inventorship’, having any remaining amount refunded to Applicant in the form of treasury check.

Applicant’s ‘Petition For Refund’ includes the following exhibit attached to and included herein:

| Exhibit | Description                    | Pages |
|---------|--------------------------------|-------|
| VG      | Copy of previous fee submitted | 1     |

Applicant's 'Petition For Correction of Inventorship' includes the following exhibits attached to and included herein:

| Exhibit | Description                        | Pages |
|---------|------------------------------------|-------|
| FE      | Fee worksheet, §1.17(i & d)        | 1     |
| SA      | Supp Application Data Sheet        | 3     |
| OD      | Copy of Original Declaration       | 1     |
| WC      | Affidavit of C. Guymon             | 1     |
| WB      | Affidavit of B. Ayala              | 1     |
| DR      | Drawings – Preliminary             | 1     |
| DI      | Drawings Information               | 2     |
| EI      | Embodiment Information             | 2     |
| CS      | Correspondence 09/08               | 6     |
| CN      | Correspondence 11/12               | 6     |
| HS      | Copy of hand delivery notice 09/08 | 1     |
| HN      | Copy of hand delivery notice 11/12 | 1     |
| WV      | Affidavit of V. Guymon             | 1     |
| ME      | Copy of Oath - Micro Entity        | 1     |

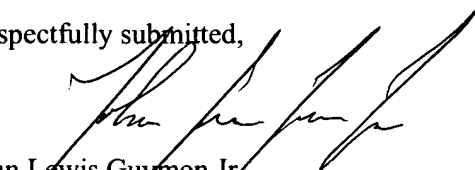
Applicant's 'Supplemental Declaration of Inventorship' includes the following exhibits attached to and included herein:

| Exhibit | Description                                         | Pages |
|---------|-----------------------------------------------------|-------|
| A       | iBailBonding.Com DBA Registration                   | 3     |
| B1      | Website registration - iBailBonding.Com             | 1     |
| B2      | Website registration - Intelledoc.Com               | 1     |
| C       | Trademark Registration                              | 1     |
| D       | Utah Digital Signature Act                          | 1     |
| E       | John Marshall Journal                               | 1     |
| F       | Manual 1999                                         | 4     |
| G       | Notes: Information Data Sheet, Electronic Bond 2001 | 4     |
| H       | IBB Document                                        | 1     |
| I       | Notebook Notes Black & Burgundy Oct 8 2001          | 2     |
| J       | ASP file list - Firsts - 2000 thru 2002             | 1     |
| K       | Digital Signature Certificate – JG                  | 1     |
| L       | Digital Signature Certificate – VG                  | 1     |
| M       | XML Signature Syntax W3C 12 Feb 2002                | 1     |
| N       | Bonds                                               | 2     |
| O       | ASP Report                                          | 1     |
| P       | Electronic Surety Bail Bond Document                | 6     |
| Q       | Multiple Document Suite                             | 7     |
| R       | Dept Insurance Posing Electronically Letter         | 1     |
| S       | Disclosure Document - eSuretyBailBond 610141        | 1     |

|   |                                                  |   |
|---|--------------------------------------------------|---|
| T | Disclosure Document - Intelledoc 610142          | 1 |
| U | Disclosure Document - Online ESBB Process 610364 | 1 |
| V | State Justice NIEM                               | 1 |
| W | Image - Notebooks                                | 1 |
| X | Directory Properties - EB                        | 1 |
| Y | Information Disclosure Summary - Articles        | 1 |
| Z | Information Disclosure Summary - Patents         | 1 |

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

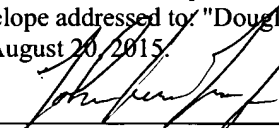


John Lewis Guymon Jr.  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

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I certify that this correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 20, 2015; **further**,

I certify that an exact copy this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 20, 2015.



John Lewis Guymon Jr.  
Applicant, Pro Se



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition For Refund of Fees Under 37 C.F.R. §1.26**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

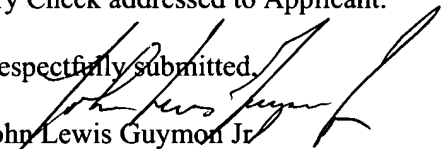
Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant respectfully petitions the Commissioner to refund the fees paid April 2014 (\$335) for Applicant's 'Request for Continue Examination' and 'Petition To Revive' and apply some of the refund to the required fees (\$185) for filing Applicant's 'Correction Of Inventorship' and any late fees, if applicable, therefore leaving the amounts: Total paid \$335 (Chk#1587) – Filing Fee (\$185) – Late Fee (if any) = Refund (\$150). [See Applicant's Exhibit 'VG' – 'Copy of previous fee submitted' and Exhibit 'FE' – 'Fee worksheet, §1.17(i & d)' attached to and included herein.]

Please send refund in the form of a Treasury Check addressed to Applicant.

Respectfully submitted,

  
John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition For Correction Of Inventorship  
Under 37 C.F.R. §1.48**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant respectfully petitions the Commissioner to correct the inventorship of the above-identified patent application in all appropriate records of the U.S. Patent and Trademark Office.

**Amendment**

1. Please amend the records for the above-identified application for the correction of inventorship leaving only the primary first listed inventor through process misjoinder remove. This amendment will result in the listing of:

**John Lewis Guymon, Jr., Salt Lake City, UT (US)**

as the exclusive original and sole inventor of the Intelledoc® serviced Securable Independent Electronic Document invention described within the initially and amended claimed application of patent for which a patent is sought, referenced within, Serial number: 12/316,699, Publication number: US 2010/0153739.



## Remarks

2. This application was originally filed in the names of John Lewis Guymon, Jr. [hereafter "Applicant"] and Douglas Scott Litsey [hereafter "misjoinder"]. Upon Applicant's proper knowledge and understanding of 35 U.S.C §115 (pre-AIA), where 'Inventorship', defined as:

**'...the original and first inventor(s) of the conceived invention for which he solicits a patent'**

Applicant, having listed misjoinder as a joint inventor as a result of a mistake made without deceptive intent based on a kind gesture of friendship and other reasons personal, kindly requests the Commissioner to remove misjoinder from the position of a joint inventor leaving only Applicant listed as the exclusively original, first and sole inventor who, in the entirety, conceived, realized and reduced to practice the inscribed and referenced within Intelledoc<sup>®</sup> Securable Independent Electronic Document invention.

3. Applicant, having improper knowledge of the requirements of inventorship asked misjoinder if he wanted to be put on the application just before mailing the application to the USPTO. Having agreed, misjoinder, also without proper knowledge of the rules of inventorship, was happy to have his name added at the time the application was submitted. Both parties were not properly aware of the circumstances governing inventorship and the requirements for entering into declaration as an original inventor. Consequently the original declaration/oath submitted with the application filing is invalid, null and void and requires the filing of Applicant's 'Supplemental Declaration of Inventorship', included with this petition. [See Applicant's 'Supplemental Declaration of Inventorship'.]

4. Further, with the now proper knowledge and understanding of 35 U.S.C §116(pre-AIA), both currently listed inventors realize the joint inventorship listing of misjoinder as improper and not in accordance of 35 U.S.C. §115(pre-AIA), therefore permitting amendment under 35 U.S.C §116(pre-AIA).

5. Currently no assignment of the application has been made. It was common knowledge and agreed to that iBailBonding.Com would be the 'assignee' and owner of all rights and control of the Intelledoc<sup>®</sup> product. Misjoinder was an agent of iBailBonding.Com and entered into a 'Confidentiality And Non-Disclosure Agreement' with iBailBonding.Com.

6. Applicant has supplied a “Supplemental Declaration of Inventorship”, attached to and included herein, specifying detailed descriptions and supporting evidence material of his conception, realization and creation of the Intelledoc<sup>®</sup> invention described in the application. [See Applicant’s ‘Supplemental Declaration of Inventorship’ and Exhibits 'A' through 'Z' attached to and included herein.]

7. As required under 37 C.F.R. §1.48(a) effective March 19, 2013, this request is accompanied by a ‘Supplemental Application Data Sheet’ in accordance with 37 C.F.R. §1.76. This supplemental application data sheet is a copy of the original one sent in at the time of filing application that was filled out by witness C. Guymon. The copied sheets have misjoinder’s information crossed out as only the Applicant should be listed as an inventor. [See Applicant’s Exhibit ‘SA’ – ‘Supplemental Application Data Sheet’ attached to and included herein.]

8. This request is accompanied by a copy of the ‘Declaration 37 C.F.R. §1.76 for Utility Application’ that was included with the originally filed application. [See Applicant’s Exhibit ‘OD’ – ‘Declaration for Utility Application’ attached to and included herein.]

## Misjoinder

9. Misjoinder was named as a joint inventor incorrectly based on a kind gesture of friendship and respect due to his assistance of that of the future implementation and use of the Intelledoc<sup>®</sup> serviced Securable Independent Electronic Document invention and not of said invention’s conception, realization, research, experimentation or reduction.

10. Misjoinder was added as a result Applicant’s feelings that misjoinder shouldn’t be left out if he was to become part of the team. Misjoinder conceded and agreed Applicant was the owner of all rights to the product and invention and verbally stated Applicants ownership and his position of assistant to applicant. Applicant believed and felt assured that, at the time misjoinder became aware of the project, Applicant himself realized, conceived and created in its entirety the Intelledoc<sup>®</sup> serviced electronic document invention. When Applicant suggested that misjoinder and additionally Applicant’s wife could be added to the application misjoinder was happy that he’d be considered and expressed his gratification of the initiative

while Applicant's wife was reluctant to take part due to legal and technological reasons and refused accordingly. Included within is an affidavit of Applicant's wife C. Guymon of her knowledge and witness of this discussion. [See Applicant's Exhibit 'WC' – 'Affidavit of C. Guymon' attached to and included herein.]

11. Both misjoinder and Applicant were unaware of the proper Inventorship guidelines and criteria when the original oath/declaration of inventorship was submitted. After the improper inventorship listing was made aware of through consultation, confirmed criteria knowledge of inventorship and its effect became known and confirmed with furthered discussion. After misjoinder realized his rights and ownership of the patent, outlined and governed by 35 C.F.R. §262, changes with the removal of his name as an inventor he has attempted to secure rights and compensation by forced demand of written agreement, refusing to participate in or allowing prosecution of the application until his demands were met.

12. Misjoinder had no need or reason for a secured electronic document nor was he working in an industry that was in need such and only became aware of the electronic document product when Applicant presented him the concept and details of Applicant's project. Further, upon his initial discovery and realization of the document invention as presented by Applicant, misjoinder claimed that it was impossible for Applicant to have engineered such a product and argued profusely with Applicant for hours in and near the streets outside residence of misjoinder. As time has continued, misjoinder has only started to wonder of the possibilities the document invention may present.

13. B. Ayala personally knows misjoinder and has witnessed his involvement as well as has assisted in the hand delivery of copies of previous letters and their contents. [See Applicant's Exhibit 'WB' – 'Affidavit of B. Ayala ' attached to and included herein.]

**(Involvement)**

14. Misjoinder began working with Applicant after returning from Colorado in July 2008. Applicant had been working intensely on drafting the applicant of patent document since February of 2008 with devotion and dedication and continued in the writing of the application document to December 15, 2008 when it was filed. Misjoinder assisted in some authoring and final editing of parts of the specification and of finalizing drawings used in the application. All reference material for misjoinder was already generated by Applicant and was giving to misjoinder in order to be finalized for the application. [See Applicant's Exhibit

'DR' – 'Drawings - Preliminary', Exhibit 'DI' – 'Drawings - Information' and Exhibit 'EI' – 'Embodiment Information' attached to and included herein.]

15. Misjoinder took instruction from and followed direction of Applicant, and, due to his lack of product knowledge, did not supply or suggest design or conceptual advice and only listened to and made acknowledgement of the information Applicant supplied to him.

16. Misjoinder assisted Applicant in confirming the Intelledoc<sup>®</sup> invention's applicability within different industries or market areas. In all circumstances, the invention succeeded in conforming, addressing and satisfying the requirements of each scenario presented, having original conception requiring no changes, modifications, alterations or improvements from the original conception of the invention necessary.

17. Misjoinder did not perform any work or other services outside the presence or guidance of Applicant and acted only as an agent of iBailBonding.Com, following directions, suggestions or other instruction presented by Applicant.

18. Misjoinder made no contact with others in the industry. Misjoinder did not meet, corespond, or discuss with any other person any design or conception of the invention with any individual other than with Applicant who was responsible for presenting him with all invention aspects and details.

19. Misjoinder, responsible for product marketing and implementation, never secured any contracts, vendors, recipients, or other individuals, contracts, business propositions or other possible ventures, investors or contributing entities.

20. Misjoinder has no ownership or affiliation to business entities, web site domains, trademarks and other Intellectual Property of Applicant.

**(Knowledge)**

21. Misjoinder had no real knowledge before late 2008 of the technology used in the invention during the time Applicant was writing the application. It was at this time that Applicant tried to help him become knowledgeable and have a basis of the technology enough so that he could help with authoring and

the final editing of notes and instructions and have understanding what he was writing. With his presented work he introduced a multitude of errors in his authored material, as he was not familiar with of the various technologies included.

22. Misjoinder was not familiar abilities, features or technical materials relating to the conception and production of the Intelledoc<sup>®</sup> electronic document invention and only became aware of such technical materials when presented to him from Applicant during the later days of the drafting of the application and during discussion times after the filing of applications.

23. Misjoinder was unfamiliar with the technologies used in the invention, had no hands on experience, or other development involvement of the technologies used in the invention. Programming experience of misjoinder is limited to statistical data analysis and reporting, having his experience solely from his use of and involvement with the Statistical Analysis System 'SAS' product developed by SAS Institute Inc. Consequently, his knowledge and expertise in various industries where the Intelledoc<sup>®</sup> electronic document product could be used allowed him the ability to confirm the invention's use and implementation.

24. Misjoinder was not familiar with the technology, completed no research nor competitor analysis nor information search nor application development nor generated any other product component or resolution.

#### **(Contributions)**

25. Misjoinder did not contribute anything, specifically any documentation either in paper or electronic form, to the project other than the assistance mentioned above,. Further, Misjoinder has perhaps only two emails in regard to correspondence exchange between the two of us and these emails may be only of his geological hobby activities. Applicant is unable to recollect any email correspondence at this time and only believes that misjoinder drafted two pages of notes from interpretation of presented discussion of Applicant. Misjoinder made contribution only to that of authorship and editor of a few minor items of the application content, having been given instruction and information sources from Applicant for him to help in an insignificant amount of final authorship and editing review. Misjoinder has not participated in any other reporting, documentation process, reply correspondence or patent prosecution except to assist Applicant

during the final days of various reply generations to USPTO office action communications. Further, misjoinder did not participate in any research or other hands on research or rebuttal development, as Applicant solely completed this during all stages of application prosecution.

26. Misjoinder did not contribute to nor supply of any unique, different or original feature or material for the conception, design or drafting of neither the application's original, amended or added independent nor dependent claims. All claim features, matter or materials were realized, conceived, derived and deduced prior to the involvement of misjoinder.

27. Misjoinder has not presented any prior art or patent search references or related materials and has not contributed any of the referenced materials presented in the application's information disclosures currently on file.

28. Misjoinder, having no understanding of the invention before nor during the time of the drafting of the application of patent, had no desire to study patent law or otherwise write a patent and only offered editing contributions of the embodiments and drawings sections of materials originally realized, conceptualized and documented by Applicant. These two sections, having substantial technical information errors due to the lack of technological knowledge of misjoinder or his desire to research said section materials. Applicant believes that the mere assistance in drafting the application does not establish inventorship rights and therefore believes original material supplied by Applicant was that of an originally conceived idea of Applicant.

29. Misjoinder has no research materials, bound notebooks, journals, email correspondence or other documentation in support of the Intelledoc<sup>®</sup> invention's realization, conception, design, testing, or other aspect and has not presented to Applicant any reference or proof of such materials with the exception of two (2) pages of notes drafted from his perceived understanding and acknowledgement of the invention as presented to him by Applicant.

30. Misjoinder completed no field study.

31. Misjoinder has no source code developed, owned, used, tested, researched or otherwise designed. Misjoinder has not contributed any material hardware or resources.

32. Misjoinder was unaware of the multiple digital signature layers available until shown Applicant's completed prototypes.

33. Misjoinder completed no patent law study. Applicant was solely responsible for the generation of the application of patent and subsequent prosecution.

34. Misjoinder supplied no resources for the drafting of the application.

**(Perspective)**

35. Misjoinder continuously presented his own document invention that was out of the scope of need and contribution. He insisted he knew better ways and presented desired to file his own application of patent for a invention he referred to as the "GLE" - Guymon Litsey Envelope", suggesting a far superior product in a proprietarily digital form having a file extension that of '.GLE'. Applicant suggested he file of application, having him listed as first and original inventor, and with our proper knowledge and understanding of 'Inventorship' we both realized we could each obtain granted patents of our products.

36. Misjoinder had no need, didn't believe and distorted the original concept with his assurance of a more advanced convoluted GLE document container, the least of which having an extension '.gle', and thus removing it from any chance of contendership as a well known already accepted readily used and completely welcomed file type, let alone so many other things that he didn't share with me and further believed and made known that would included in his application for patent as he has suggested with determination to file an application listing him as the first inventor. This has been his intended path and was accepted by myself back when discussions of the Intelledoc's<sup>®</sup> marketing and use were prevalent. He insisted that the GLE container was better and the real way it should be done and preceded along that path without noting or sharing notes or other idealization he may have had. The GLE stands for 'Guymon Litsey Envelope', his 'advanced electronic document' that he intended on filing application of patent for. He did not focus his efforts on the original Intelledoc<sup>®</sup> invention, which Applicant believes is the true and proper Securable

Independent Electronic Document concept, having such behavior further illustrate his obstinacy and non-desire to work together on an already proven concept developed solely by Applicant.

37. Misjoinder stated on numerous occasions during post-filing discussion that his notes were in his head and he did not need to write things down – he, consequently never acquired nor presented any documentation as such. Applicant believes misjoinder intentionally kept information to himself as he did not ever write down or share his thoughts with Applicant. Furthermore, with this becoming the standard norm, Applicant documented all discussions between misjoinder and himself and, of fairness for contributor recognition, indicated who it was that contributed said discussion material or contribution accordingly, having any record at all at leasted documented in Applicant’s project notes. Applicant believes that during discussion times where misjoinder would be listed at the top of Applicant’s notes misjoinder was formulating his referenced ‘GLE Envelope’ and for some reason choose not to share nor record, having Applicant’s documentation available for future interpretation answering questions of, perhaps, ‘Why? Intelledoc® already does.’.

#### **(Post Application)**

38. Misjoinder became somewhat familiar with the ISO specs used after the patent application filing and had no knowledge of such before 2008.

39. Contributions made by misjoinder consisted only of choosing which security measure process he wanted to use when it came time to develop an application that would service the document for the public. He was allowed to choose so as to create a generic non-bias use of the Intelledoc® security feature capability. During the days talking with him trying to get his take on his contribution he mentioned the ‘silly cat’ function - the use of a picture to encode data and a ‘cross hash’ function, both of which falls under the VendorVerify™ capability of the document that Applicant had built in during conception. When he was told that these were trade-secret items and not unique patentable items, he realized that his assistance in the use of the product did not make him an inventor of the product.

40. Misjoinder assisted in ‘Office Action’ reply correspondence briefly on two occasions, generally within the last 1 to 2 days before submission was required and did so when desperately asked by Applicant out of need for another individual’s review, suppling Applicant reassurance guidance. Misjoinder did not do



any work research or tech review for said replies and offered only confirmation of applicant's previously prepared reply's material content, suggesting possible edition as necessary.

**(Actions)**

41. With no contractual agreement between and misjoinder and iBailBonding.Com, Applicant's registered business where misjoinder serves as an agent, misjoinder demanded and continues to demand equal compensation to that of Applicant after being instructed that if an original and first/joint inventor he would have had automatically inherited rights and ownership.

42. Further, as time continued, misjoinder become extremely contumacious, unprofessional and unwilling to cooperate, demanding ownership of rights of intellectual property and other product related items that he has no claim to, insisting on compensation of services not yet performed. Misjoinder currently demands signed documents granting him ownership and rights of 50% of the project, having such demands completely out of line and without merit. Upon several occasions, Applicant has tried to explain the requirements for correct inventorship listing and has further offered compensation to misjoinder through written contract for the work or services completed while marketing the Intelledoc<sup>®</sup> electronic document product. The marketing and use of the product has always been the area at which misjoinder could offer assistance as the product was already reduced to practice, tested and ready for use in the industry by then.

43. Misjoinder demands and intentional stall are due to his realization that only properly listed inventors who contributed to the conception of the invention idea are allowed ownership rights and with the removal of his name he does not have rights to exercise his ownership of the product, which is something that he never possessed and was never promised nor did he enter into any contractual agreements due to his limited involvement in regard to future marketing and use of the product, something that he failed to complete or instantiate procurement as well.

44. The actions and demands on the part of misjoinder are unreasonable and improper; notwithstanding, he has tried in any way possible to force Applicant into written agreement in support of his outrageous and incredibly unfair demands. His actions have presented Applicant undue stress and harm.

45. Witness account statements of Michael King and Tim Wills to the actions of misjoinder and his persistent and unprofessional attitude are documented. Mr. King and Mr. Wills witnessed the persistent demands of misjoinder, unprofessional attitude and his blinent refusal to assitst applicant with coorespondence in preparation for submission to the USPTO.

46. Requests for further assistance of misjoinder have only been returned with reluctance to communicate, failure to respond to information requests and further unwillingness and unprofessional actions in regard to delivery of correspondence or open communications for resolve. Attached to and included within are copies of letters sent by Applicant to misjoinder, their course of delivery and misjoinder purposeful rejection of said communicate. [See Applicant's Exhibit 'CS' – 'Coorespondence 09/08' and Exhibit 'CN' – 'Coorespondence 11/12' attached to and included herein.]

47. Conversations between Applicant and misjoinder took place before the first letter dated September 9, 2014 was written in which I mentioned that I was please to have finally talked with him and understood what he felt his contribution was. Consequently I wrote of this in the September 9th dated letter.

48. Misjoinder was hand delivered copies of letters sent via US Mail by Applicant and was witnessed by B. Ayala who knows of Misjoinder personnally. [See Applicant's Exhibit 'HS' – 'Copy of hand delivery notice 09', Exhibit 'HN' – 'Copy of hand delivery notice 11' and Exhibit 'WB' – 'Affidavit of B. Ayala ' attached to and included herein.]

49. If misjoinder was to have worked from the beginning his desire to continue would be obvious. Further, misjoinder demands half of the rights and ownership to something that he hasn't made contribution to, specifically with regard to product conception and development. His assistance to Applicant has been with the final authoring and editing of parts of the specification and drawings of the application of patent and with product use discussions, having the product conceived, designed, built and tested before his involvement. Discussions between Applicant and misjoinder have only been toward the future marketing and sales of the product and according compensation for misjoinder.

## Support

50. The Intelledoc<sup>®</sup> electronic document allows the custom unique platform independent user-specified security features for intrinsically securing the document product the user desires. This open independent security implementation capability, VendorVerify<sup>™</sup>, was solely and completely realized, conceived, deduced and implemented by Applicant and allows flexible security feature implementation and usage as desired by the electronic document creator, user, involved third party or other involved party. The VendorVerify<sup>™</sup> security feature was realized and conceived on or about September 2002 as part of the initial Electronic Surety Bail Bond product and seamlessly propagated to the Intelledoc<sup>®</sup> electronic document invention.

51. Applicant began field of study on or about July 1999, conceived invention on or about September 2002 and achieved actual reduction to practice of the electronic document on or about February 2003. Applicant's family, whom Misjoinder is personally known, is familiar with Applicant's work from the beginning. [See Applicant's Exhibit 'WV' – 'Affidavit of V. Guymon' attached to and included herein.]

52. Conception of the Intelledoc<sup>®</sup> serviced Securable Independent Electronic Document invention was complete, proven and supported by Intelledoc<sup>®</sup> serviced electronic document prototypes, having invention's conception and presented claims and specified features completely proven by said prototype documents.

53. Applicant has created seven (7) different documents for Bail Bonding software application under development. These documents include the surety bond as well as various other documents and support the idea/claim that the documents have an underlying document template. These seven documents are proven and printed from bonding application used to input data. These different documents were under development as separate unique documents since 2001 which was the same time of the eSuretyBailBond conception, and is the actual date at which a generic template document could be used for an array of different documents. The actual idea to patent this container was the later date of Feb 2006 along with securing the idea's name, logo and other supporting product identification art.

54. All United States Patent and Trademark Office correspondence replies, amendments, patent searches, information disclosure development, document disclosure submission, company formation and trademark work has been completed and is owned by Applicant.

55. All prior art research and Information Disclosure submissions have all been completed in their entirety by Applicant and shows search dates for prior art starting many years before misjoinder's initial involvement, having conception of the invention at this time and searches done to determine confirmation of the existence of 'prior art' if available. Further, Applicant realized, conceived of, produced and confirmed the Intelledoc<sup>®</sup> servicing before legal statue was derived, and tested multiple prototypes of the invention during the time the laws and statues were originally first written in Applicant's native state of Utah!

## — Due Diligence —

56. Applicant has worked every day since first starting the project July 1999 and has done so diligently without purposeful haste or unintentional delay. Applicant believes the current application, Serial# 12/316699 is valid and presents the invention correctly as it has been realized by applicant; further Applicant desires to continue with the application by reviving and requesting a continued examination of the application, working with attentive keenness toward it's subsequent granting.

57. Applicant, in addition to monitoring correspondence deadlines, has been responsible for all fees and payments for both patent and trademark intellectual property, having all costs supplied by Applicant or Applicant's family. Misjoinder was not responsible for watching critical dates for reply correspondence or other time duration limited events and showed no concern to be involved of prosecution guidelines or time periods, leaving the responsibility for proper prosecution of events solely up to Applicant.

58. Applicant did all the work of research, statue law study and conformation, industry analysis and other R & D activities such as competition review, field research, prototype test, field personnel discussion and other similar activities necessary for product development and proof.

59. The Correction of Inventorship process started as soon as Applicant and misjoinder became aware of its need. Applicant has worked on drafting the petition and it's supporting contents for two years.

During this time the laws have changed regulating this process and thus made the process more difficult for Applicant.

60. Applicant, for purpose of patent protection, was required to file petition for Correction of Inventorship. Due to misjoinder response and subsequent demands, Applicant has suffered undue delays in the process of prosecution as a result of said petition filing requirement.

61. Applicant mentioned that a filing for petition of Correction of Inventorship was to be done soon. Applicant hereby requests attention be made to applicants statement under oath of the intent to file said correction of inventorship petition as stated under oath in Applicant's 'Oath of Micro Entity Status', dated Oct, having given mention of Applicant's intention of filing this Correction of Inventorship petition. [See Applicant's Exhibit 'ME' – 'Copy of Oath - Micro Entity' attached to and included herein.]

62. Additional time has been taken by Applicant because of the thoroughly fair encompassing process. Evidence collection, rule and law study, misjoinder correspondence, and other document filings has further extended the time.

63. Many changes in patent laws during Applicant's prosecution of said laws directly influenced and burdened Applicant excessively, specifically the AIA changed rules/laws as well as changes specifically to the correction of inventorship (37 C.F.R. §1.48).

64. Applicant has visited misjoinder on numerous occasions to discuss the issues at hand and to help misjoinder with contract negotiations or other needs he might have. Several times Applicant has had discussion with misjoinder; however, over time misjoinder has desired to and been able to avoided Applicant completely, therefore requiring Applicant to communicate and present information in written form.

65. Applicant has sent letters, notification of filing letter, personally visited and talked with misjoinder and has done everything in his power, with respect, extra concern and above and beyond assistance to misjoinder to come to resolution only to have misjoinder push further to the improper demands of his request for equal ownership and rights to the Intelledoc<sup>®</sup> Electronic Document which originated, proceeded and remains sole ownership of Applicant.

66. While revisions to 37 C.F.R 1.48 effective Mar. 19, 2013 now apply, Applicant, in process of drafting this petition and related documents since said effective date has submitted this petition in support of the previous revision, effective Sept. 13, 2004, and has attempted to obtain a statement from misjoinder, the inventor to be removed, stating ' ... that the error in inventorship occurred without deceptive intention ... '. Applicant believes that this process was the most fair for misjoinder and included a statement with each of the two letters sent to misjoinder but has been unable to get misjoinder to sign and return the statement due to his improper, unfair and unprofessional behavior. [See Applicant's Exhibit 'CS03' – 'Copy of Statement of Mr. Litsey' and Exhibit 'CN03' – 'Copy of Statement of Mr. Litsey' attached to and included herein.]

67. Applicant presents Misjoinder's last known (current) address as : Douglas Scott Litsey , 3061 West 3500 South #A2 , West Valley City, Utah 84119.

68. Misjoinder, realizing that he has no options, rights or other permissions or author in the Intelledoc<sup>®</sup> product, has resorted to stalling, withholding, not cooperating and other unprofessional unfair and improper actions. As a result, Applicant has been forced to go to extremes to properly present case for inventorship with fairness and over abundant respect and proper notification to misjoinder, giving him ample and all ways to respond and present his position accordingly, if applicable, to report his involvement to further determine, if any, his inventorship ownership rights. Misjoinder has no rights or ownership and has not contributed in any form or fashion to the invention conception, research and development, proof of concept or other involved development process.

## — Conclusion —

69. Applicant presents, with regard to fair and proper action, having due diligence and fair and correct procedure and due course of action.

70. Applicant realized conceived and derived all original and amended claims describes the invention from the conceptual beginning, having all claims and all portions and elements of claims

functionality realized, conceived and derived by Applicant. Further additions and amendments to claims have been solely realized, conceived and derived by Applicant.

71. Details of Applicant's work activities are documented in addition to said activities work related items.

72. Applicant has had to represent himself as he has no resources to hire an attorney. Further, with amendments to relevant Rules and Laws and other statues changing while Applicant has had to procescute this application, Applicant has had to endure additional work and hardship.

73. Applicant has sustained damages and continues to be damaged by the unbelievable actions of misjoinder. The need to be fair and thorough throughout this correction process has additionally increased Applicant's workload. With the timing of a variety of circumstances including stress, physical problems, homelessness and family problems, Applicant has endured significant pressures and endurances while having limited resources for application prosecution.

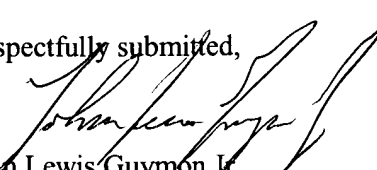
74. The nature of the Intelledoc<sup>®</sup> electronic document invention has required a substantially deeper conceptual and operation feature consideration, prior art review, intricate process assurances, industry practice confirmation, idea communication, idea model stability and model sustainability during all stages of this application's generation, filing and procescution.

75. It is respectfully submitted that all requirements under 37 C.F.R §1.48(a) have been meet through this petition and accompanying documentation. With this, and applicants thorough and detailed presentation of evidence of Applicant's in-depth work history and contribution, Applicant respectfully requests the Commissioner to grant this Petition for Correction Of Inventorship,

76. This request for amendment is accompanied by the fees required by 37 C.F.R. §1.48. Please see Applicant's 'Petition For Refund of Fees', a copy of a check of fees previously paid. Additional fees might be required and Applicant requests such fees be taken as necessary from any refund due. [See Applicant's Exhibit 'VG' – 'Copy of previous fee submitted' and Exhibit 'FE' – 'Fee worksheet, §1.17(i & d)' attached to and included herein.]

77. An early consideration and grant of this petition, as well as a prompt entry of the amendment of inventorship on the application, are earnestly solicited. Please forward any updated Official Filing Receipt.

Respectfully submitted,

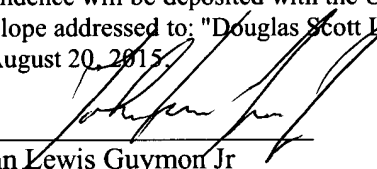


John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
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Tel: (385) 313-2200  
Msg: (801) 943-8461

- - - **Certificate of Mailing** - - -

I certify that this correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 20, 2015; **further**,

I certify that an exact copy this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 20, 2015.



John Lewis Guymon Jr  
Applicant, Pro Se





# USPTO Fee Schedule

(Miscellaneous Patent Fees section)

Effective January 1, 2014 (Last Revised on July 1, 2015)

The USPTO fee schedule provides information and fee rates for products and services provided by the USPTO. Payments from foreign countries must be payable and immediately negotiable in the United States for the full amount of the fee required. For additional information, please call the USPTO Contact Center at (571) 272-1000 or (800) 786-9199.

### PATENTS ONLY:

*The fees subject to reduction upon establishment of small entity status (37 CFR 1.27) or micro entity status (37 CFR 1.29) are shown in separate columns. The \$400/\$200 non-electronic filing fee (fee codes 1090/2090 or 1690/2690) must be paid in addition to the filing, search and examination fees, in each original nonprovisional utility application filed in paper with the USPTO. The only way to avoid payment of the non-electronic filing fee is by filing your nonprovisional utility application via EFS-Web. The non-electronic filing fee does not apply to reissue, design, plant, or provisional applications.*

| Miscellaneous Patent Fees |            |                                                         |        |                  |                  |
|---------------------------|------------|---------------------------------------------------------|--------|------------------|------------------|
| Fee Code                  | 37 CFR     | Description                                             | Fee    | Small Entity Fee | Micro Entity Fee |
| 1819/2819/3819            | 1.17(d)    | Correction of inventorship after first action on merits | 600.00 | 300.00           | 150.00           |
| 1830/2830/3830            | 1.17(i)(1) | Processing fee, except in provisional applications      | 140.00 | 70.00            | 35.00            |



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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           |                        |                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|-----------------|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                           | Attorney Docket Number | SA <sub>1</sub> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           | Application Number     |                 |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Securable Independent Electronic Document |                        |                 |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                                           |                        |                 |

### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### Applicant Information:

|                                                                                                                                                                             |                  |                                                                |             |                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------|-------------|-------------------------------------------------------------|
| <b>Applicant 1</b>                                                                                                                                                          |                  |                                                                |             |                                                             |
| Applicant Authority <input checked="" type="radio"/> Inventor                                                                                                               |                  | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |             | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| Prefix                                                                                                                                                                      | Given Name       | Middle Name                                                    | Family Name | Suffix                                                      |
| Mr                                                                                                                                                                          | John             | Lewis                                                          | Guymon      | Jr.                                                         |
| Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service    |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | Salt Lake City   | State/Province                                                 | UT          | Country of Residence                                        |
| Citizenship under 37 CFR 1.41(b)                                                                                                                                            |                  | USA                                                            |             |                                                             |
| Mailing Address of Applicant:                                                                                                                                               |                  |                                                                |             |                                                             |
| Address 1                                                                                                                                                                   |                  | 1635 E. 7080 S.                                                |             |                                                             |
| Address 2                                                                                                                                                                   |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | Salt Lake City   | State/Province                                                 | UT          |                                                             |
| Postal Code                                                                                                                                                                 | 84121            | Country                                                        | USA         |                                                             |
| <b>Applicant 2</b>                                                                                                                                                          |                  |                                                                |             |                                                             |
| Applicant Authority <input checked="" type="radio"/> Inventor                                                                                                               |                  | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |             | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| Prefix                                                                                                                                                                      | Given Name       | Middle Name                                                    | Family Name | Suffix                                                      |
| MR                                                                                                                                                                          | DOUBLAS          | SCOTT                                                          | LITSEY      |                                                             |
| Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service    |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | WEST VALLEY CITY | State/Province                                                 | UT          | Country of Residence                                        |
| Citizenship under 37 CFR 1.41(b)                                                                                                                                            |                  | USA                                                            |             |                                                             |
| Mailing Address of Applicant:                                                                                                                                               |                  |                                                                |             |                                                             |
| Address 1                                                                                                                                                                   |                  | 3061 WEST 3500 SOUTH #A-2                                      |             |                                                             |
| Address 2                                                                                                                                                                   |                  |                                                                |             |                                                             |
| City                                                                                                                                                                        | WEST VALLEY CITY | State/Province                                                 | UT          |                                                             |
| Postal Code                                                                                                                                                                 | 84119            | Country                                                        | USA         |                                                             |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <span style="float: right;">Add</span> |                  |                                                                |             |                                                             |

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For further information see 37 CFR 1.33(a). 63451

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|                                           |                                           |                        |              |
|-------------------------------------------|-------------------------------------------|------------------------|--------------|
| <b>Application Data Sheet 37 CFR 1.76</b> |                                           | Attorney Docket Number |              |
|                                           |                                           | Application Number     | SA 2         |
| Title of Invention                        | Securable Independent Electronic Document |                        |              |
| Customer Number                           | 63451                                     |                        |              |
| Email Address                             | admin@rbailbonding.com                    | Add Email              | Remove Email |

**Application Information:**

|                                         |                                           |                                           |                                     |
|-----------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------|
| Title of the Invention                  | Securable Independent Electronic Document |                                           |                                     |
| Attorney Docket Number                  |                                           | Small Entity Status Claimed               | <input checked="" type="checkbox"/> |
| Application Type                        | Utility Patent                            |                                           |                                     |
| Subject Matter                          |                                           |                                           |                                     |
| Suggested Class (if any)                | 707, 715, 345                             | Sub Class (if any)                        |                                     |
| Suggested Technology Center (if any)    |                                           |                                           |                                     |
| Total Number of Drawing Sheets (if any) | 2                                         | Suggested Figure for Publication (if any) |                                     |

**Publication Information:**

|                          |                                                                                                                                                                                                                                                                                                                                                                                        |
|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Request Early Publication (Fee required at time of Request 37 CFR 1.219)                                                                                                                                                                                                                                                                                                               |
| <input type="checkbox"/> | <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. |

**Representative Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                  |                                              |                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|---------------------------------------------------------|
| Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing. |                                                  |                                              |                                                         |
| Please Select One:                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 63451                                            |                                              |                                                         |

**Domestic Benefit/National Stage Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                        |                 |                          |                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------|
| This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification. |                 |                          |                          |
| Prior Application Status                                                                                                                                                                                                                                                                                                                                                                               |                 |                          | Remove                   |
| Application Number                                                                                                                                                                                                                                                                                                                                                                                     | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) |
|                                                                                                                                                                                                                                                                                                                                                                                                        |                 |                          |                          |
| Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.                                                                                                                                                                                                                                                                                         |                 |                          |                          |

**Foreign Priority Information:**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                           |                                           |  |
|-------------------------------------------|-------------------------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number                    |  |
|                                           | Application Number                        |  |
| <b>SA 3</b>                               |                                           |  |
| Title of Invention                        | Securable Independent Electronic Document |  |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|                                                                                                        |         |                                 |                                                               |
|--------------------------------------------------------------------------------------------------------|---------|---------------------------------|---------------------------------------------------------------|
|                                                                                                        |         |                                 | <b>Remove</b>                                                 |
| Application Number                                                                                     | Country | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                                                                                                        | USA     | Dec. 15, 2008                   | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button. |         |                                 |                                                               |

### Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

|                                                                                                |            |                |             |        |
|------------------------------------------------------------------------------------------------|------------|----------------|-------------|--------|
| <b>Assignee 1</b>                                                                              |            |                |             |        |
| If the Assignee is an Organization check here. <input type="checkbox"/>                        |            |                |             |        |
| Prefix                                                                                         | Given Name | Middle Name    | Family Name | Suffix |
|                                                                                                |            |                |             |        |
| <b>Mailing Address Information:</b>                                                            |            |                |             |        |
| Address 1                                                                                      |            |                |             |        |
| Address 2                                                                                      |            |                |             |        |
| City                                                                                           |            | State/Province |             |        |
| Country                                                                                        |            | Postal Code    |             |        |
| Phone Number                                                                                   |            | Fax Number     |             |        |
| Email Address                                                                                  |            |                |             |        |
| Additional Assignee Data may be generated within this form by selecting the <b>Add</b> button. |            |                |             |        |

### Signature:

|                                                                                                                                                              |      |           |                   |                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-----------|-------------------|---------------------|
| A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. |      |           |                   |                     |
| Signature                                                                                                                                                    |      |           | Date (YYYY-MM-DD) | Dec. 15, 2008       |
| First Name                                                                                                                                                   | John | Last Name | Guymon            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

7 0D

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

0D

Title of Invention: Securable Independent Electronic Document

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- The attached application, or
- Application No. \_\_\_\_\_ filed on \_\_\_\_\_
- As amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor one: John L. Gaymon Jr Date: Dec 15, 2008

Signature: [Signature] Citizen of: USA

Inventor two: DOUGLAS SCOTT LITSEY Date: Dec 15, 2008

Signature: [Signature] Citizen of: USA

Additional inventors or a legal representative are being named on \_\_\_\_\_ additional form(s) attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar



**Affidavit of Carrie Guymon**

August 18, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir / Madam:

Comes now, Carrie Guymon, HEREBY states and swears: I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this Affidavit are in support of the above referenced Application's

**Petition for Correction of Inventorship**

Therefore, I, Carrie Guymon further state and swear:

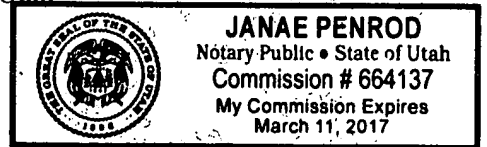
I have know John Guymon since 1997, during the complete time he has worked on the Intelledoc product and have witnessed no involvement or participation from Mr. Litsey prior to 2008 and know that he is not the original creator of the invention, having the product conceived and in operation prior to Mr. Litsey involvement. Further, at the time of the filing of the application Mr. Guymon added Mr. Litsey to the patent out of a kind gesture of friendship and acknowledgement of Mr. Litsey joining Mr. Guymon to work with him for the product's future marketing and use. Additionally, at the time when Mr. Guymon asked if Scott wanted to be put on the patent, I was also asked if I wanted to be included. I declined mainly because the venture was over my head, both technologically and legally.

Signature Carrie Guymon Date 08/18/15

[Seal]

Sworn to before me: Janae Penrod Salt Lake County, State of Utah

Signature of Notary Public: Janae Penrod Date 8/18/2015



In the United States Patent and Trademark Office



Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

Affidavit of Brittany M. Ayala

August 18, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir / Madam:

Comes now, Brittany Marie Ayala, HEREBY states and swears: I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this Affidavit are in support of the above referenced Application's

Petition for Correction of Inventorship

Therefore, I, Brittany Marie Ayala further state and swear: I, Brittany Ayala, assisted John Guymon in attempting to hand deliver a letter to Scott Litsey, at his home at the Aspen Village apartments. On this day, I knocked on his door to deliver the letter, and the door was not answered. The letter was left at the foot of the door, in the enclosed hallway outside his apartment door.

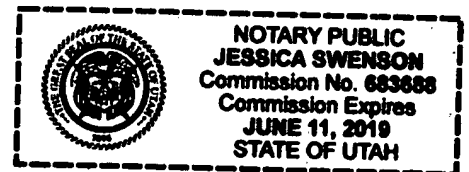
I became acquainted with Scott during the final editing of the original patent application, in the month of December, 2015. Scott and I assisted John with the final editing and printing of the application, in order to meet certain submission deadlines.

Signature: Britt Ayala Date 8, 18, 15

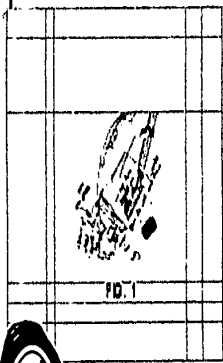
[Seal]

Sworn to before me: Jessica Swenson Salt Lake County, State of Utah

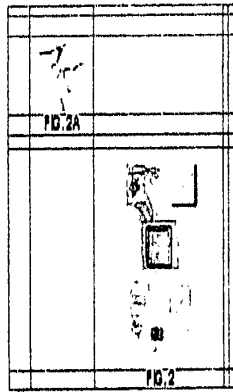
Signature of Notary Public: Jessica Swenson Date 08/18/2015



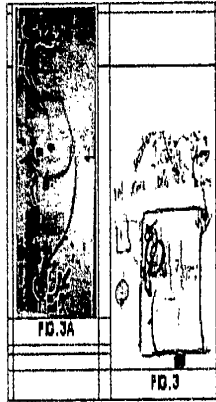
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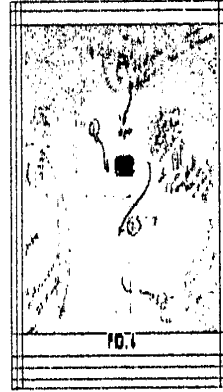
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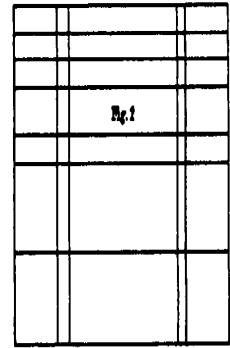
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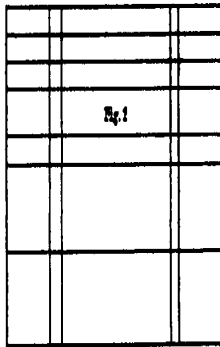
4/11



5/11

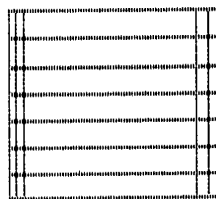


6/11

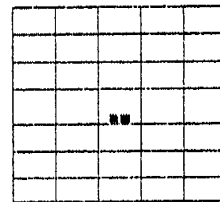


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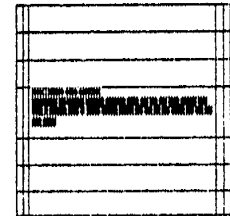
8/11



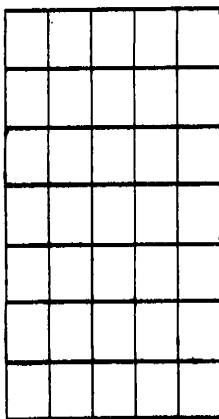
9/11



10/11



11/11





Patent Application of John Lewis Guymon Jr for  
"Method And Process, Apparatus And Manufacture For The Secured Unalterable Vendor  
Independent Digital Commerce Electronic Document - IntelleDoc"



Page 5

**DRAWINGS—Figures**

In the drawings, closely related figures have the same number but different alphabetic suffixes.

Fig 1 shows isometric view of the 'Electronic Surety Bail Bond' Digital Commerce Compound Electronic Document with it's self embedded 'Power of Attorney' Electronic Document.

Fig 2, 2a to 2n shows simple Empty Document (Blank/BASE64/Any).

Fig 3a and 3b shows the digital signature logic and embodiment assembly for the legally binding 'Power Of Attorney' Electronic Document, shown digitally signed using the PKCS1 (RSA-SHA1) signature algorithms. (Could use DSA or/as well) (Figs of flowchart, pseudo code and overall assembly)

Fig 4 shows the various integrated ISO Specifications and W3C Recommendations supporting the Vendor Independent existence of the Electronic Document.

Fig 5, 5a to 5n shows processing capabilities, specifically building process document generation for handling outward recording processing, and reporting process document dissemination for handling inward reporting processing.

Patent Application of John Lewis Guymon Jr for  
 "Method And Process, Apparatus And Manufacture For The Secured Unalterable Vendor  
 Independent Digital Commerce Electronic Document - IntellEDoc"



DRAWINGS—Reference Numerals

|           |                                       |  |  |  |  |
|-----------|---------------------------------------|--|--|--|--|
| 1         | Data                                  |  |  |  |  |
| 2         | Base64                                |  |  |  |  |
| 3         | Object – ‘Dynamic Data’               |  |  |  |  |
| 5         | XML wrapper                           |  |  |  |  |
| 10,11,ect | Digital Signature XML Block           |  |  |  |  |
| 20,21,ect | Signed Info section                   |  |  |  |  |
| 30,31,ect | Reference URI – ‘Dynamic Data’        |  |  |  |  |
| 40,41,ect | Signature Value                       |  |  |  |  |
| 50,51,ect | Object – ‘Countersigning signature’   |  |  |  |  |
| 60        | Free Middle                           |  |  |  |  |
| 65        | Header Chunk                          |  |  |  |  |
| 70        | tEXT Chunk                            |  |  |  |  |
| 75        | iTXT Chunk                            |  |  |  |  |
| 80        | Image Data Chunk                      |  |  |  |  |
| 90        | Digital Sign Chunk                    |  |  |  |  |
| 93        | Spacer text Chunk                     |  |  |  |  |
| 95        | Digital Notarize Chunk                |  |  |  |  |
| 100       | Electronic Document                   |  |  |  |  |
| 101       | Electronic Power of Attorney Document |  |  |  |  |
| 102       | Electronic Surety Bail Bond Document  |  |  |  |  |
|           |                                       |  |  |  |  |



DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS.

Static description of figures. Method of commerce . 17 Secured unalterable digital commerce electronic document

Both in single sub-entity allows a stepping between, therefore introduces opportunity. Memory file, stack frame, has this embedded /recursion/way about it naturally. SO IT DOES HUMAN INTERACTION Graphic first, then xml process, which comes with 2<sup>nd</sup> "interaction when the computer asks the human "Is this the data?"

Comments Field: Boils down to binary outside and text inside. Preferably at the top, and preferably to where the filetype will not cause too many problems if opened with a text editor. Such things in said invention are all free of vendor interaction and easy to use, therefore being the most efficient process device apparatus system method

ISO standard; Secure; Abundant CRCs, dig sigs, and finally visual confirmation, and then FINALLY A NEW SET OF SIGNATURES>

Cut and paste can occur of xml to continue movement of data. (Even if there is a set of signatures?)

Use PNG to compress random data. 64 to 6 bit. With this option, the xml to 6 bit, the PNG becomes able to host things.

Remember, PNG has inner chunk CRC validation (keeps data at lower levels proper)  
Remember, VV or has outside, plus the graphic equivalent=SECURE  
Plus XML

Old PNG can be xml'd and signed without changing visual

Remember, if xml has stuff in it, then limited on type or version, whereas, if entered around the worlds best graphic type that's supported worldwide, any embedding 8/16 (character sets all in) do (256x256 ect grid)

XML can be description of graphic. Graphic can be art of text/keys,ect. Graphic can have 'hash' of XML Graphic can be interpreted key for the XML (Ex pic of zebra='ZEBRA', or other codeword, as well as 'embedded' values (this would be key chain)

8 bit 1.0 xml can be carrier of all Blob/file/chunk/ect

36 The xml of a foreign or MBCS, or any futuristic Blob can be simply encoded in base64

Patent Application of John Lewis Guymon Jr for  
"Method And Process, Apparatus And Manufacture For The Secured Unalterable Vendor  
Independent Digital Commerce Electronic Document - IntelLEDoc"

Page 8



SBCS works in text chunks, DBCS works in the iTXT, MCS can be base64 and which is a standard xml encoding scheme

NEW:Cross platforms environment for either on or both (therefore the invention) for the creation, reading, printing, processing, programming.

Format that can be carried, such as via email or zip file or other box.

Has caps based on the application and the memory allocated.~~The inventions limit is based only on the functionality of the api/lib and memory constraints on said system.~~

all browser /applications all text pad/processors

23 anyway at any point in this multi-layered secure document is proven safe at all times

Code word on phone or in email or other correspondence could be a partial key to veering the document's integrity

?15 device independent bitmap

?PNG=all common # of apps support

#### OPERATION—FIRST EMBODIMENT—FIGS.

Memory file, stack frame, has this embedded /recursion/way about it naturally. So does human interaction

Hash algorithm grid (Does it matter the hashes break? NO! They are not fail proof; however, in combination with Vendor Verify, become that way

Vendor Verify – Sub figures, one way/two way example of a coorespondance, keys, hash

#### Operational description of figures

##### Advantages

Having the PNG as already rested reviewed" ok, esp our side digital signature

Having xml as tested especially in all locations, as 8 bit at the leatr is very possible

15 device independent bitmap

PNG=all common # of apps support

#### DESCRIPTION—ALTERNATE EMBODIMENT—FIGS.

Static description of figures

September 8, 2014

Douglas Scott Litsey  
3061 West 3500 South #A2  
West Valley City, Utah 84119



In re: Correction of Inventorship – Intelledoc® Application #12/316699 filed December 15, 2008

Dear Mr. Douglas Scott Litsey,

This letter confirms the forthcoming filing of the Correction of Inventorship petition for iBailBonding.Com's Intelledoc® Securable Independent Electronic Document application of patent with the United States Patent and Trademark Office as previously discussed. Advised by counsel and pursuant to 35 U.S.C. §116, the proper and accurate representation of the application requires the removal of your name as a listed inventor due to a misunderstanding of patent inventorship.

Following 37 U.S.C. §1.41, a patent is applied for in the name or names of the actual inventor or inventors. Listing you on the application as a kind gesture of friendship and the recognition of a new member to the iBailBonding.Com's team and not for actual contribution to the invention's conception was a mistake, pursuant to 35 U.S.C. §101, one made without deceptive intent, and has rendered the initially executed declaration invalid. A correction of inventorship under 37 U.S.C. §1.48 and consequent submission of a supplemental oath or declaration by only the actual inventors, following 37 U.S.C. §1.67 for amendment is therefore required. A copy of the initial declaration has been included with this letter for your review.

Pursuant to 37 U.S.C. §1.48(a), effective March 19, 2013, the correction of an application's inventorship of removing a listed inventor can be done without statement from the person who is to be deleted. Previous corrections per 37 U.S.C. §1.48 effective September 13, 2004 required such statement, however, and seems to present a more fair and proper approach. With this, we have included a typed statement for you to sign and return to us for filing in support of and amendment to iBailBonding.Com's Intelledoc® application for patent's petition for 'Correction of Inventorship' as set forth and properly presented.

The correction of inventorship in no way affects the 'GLE (Guymon Litsey Envelope)' you have developed, having the 'GLE' application of patent offering you correct inventorship listing as a first named inventor. Further, with negotiated contract offering compensation for your contribution to the Intelledoc® product, you are positioned well for marketing, implementation and future use of all products involved.

Understandably this correction has required an adjustment on your behalf. However, notwithstanding the confirmed acknowledgment of invention conception substantiated with physical evidence supporting applicant John Lewis Guymon Jr as the first actual and sole inventor, your recent unprofessional behavior and continued demand of inventorship listing on the Intelledoc® application of patent, after discussion and incurred understanding of Article 1, Section 8, Clause 8 of the United States Constitution and Patent law 35 U.S.C. §116, has raised concern and needs to be addressed.

As previous requests of your input for a true and proper consideration of your contribution have gone without reply, we desire to continue to work amicably towards any acknowledgement of your inventorship and again ask for your help in understanding your position as you feel meets the guidelines invention conception.

CS 2

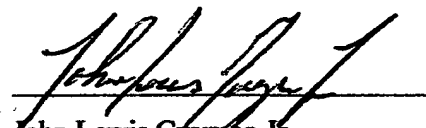
If you do not wish to sign and return the enclosed statement of no deceptive intent, having, if proper, the confirmation of your inventorship contribution as your desire, we wholeheartedly support your filing of a supplemental oath or declaration with the United States Patent and Trademark Office, pursuant 37 U.S.C. §1.63 subject to criminal punishment under 18 U.S.C. §1001 as well as civil liability for damages incurred from false or misleading statements made under oath or in declaration.

We insist that you feel comfortable with this correction process and ask what can be done to help you with this transition. As previously stated, negotiated contract for your position in marketing and implementation of our Intelldoc® serviced electronic documents products, as you have indicated as your desire since joining us, is encouraged and we believe this to be fair of best served interests in going forward given the problem of the incorrect listing of your name as an inventor and we look forward to your assistance.

Included is a self-addressed postage prepaid envelope for returning the signed statement. If we do not receive the signed statement or declaration within thirty (30) days from the receipt of this letter we will take such as your refusal to participate and will file the petition pursuant to 37 U.S.C. §1.48(a).

Thank you for your help with this matter. If you have any comments or questions or if I can be of further assistance in any way please feel free to contact me via email at Admin@iBailBonding.Com.

Best regards,

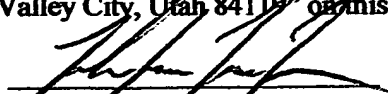


John Lewis Guymon Jr  
iBailBonding.Com  
Admin@iBailBonding.Com

**Enclosures:** Statement ('SSe0908c.doc'), Copy of Declaration, Prepaid return envelope

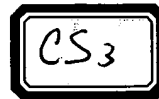
### Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service with proper postage affixed for first class delivery with signature confirmation, in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, September 8, 2014.



John Lewis Guymon Jr  
Applicant

**In the United States Patent and Trademark Office**



Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**STATEMENT OF DOUGLAS SCOTT LITSEY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

I, the undersigned Douglas Scott Litsey, being deleted as an inventor in the above identified application of patent state that an inclusion of me as a co-inventor in this application occurred without deceptive intent.

Respectfully submitted,

\_\_\_\_\_  
Douglas Scott Litsey

\_\_\_\_\_  
Date

Ref: Confirmation Letter ('ISe0908a.doc') – Filing of 'Correction of Inventorship' petition  
Recipient: Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119  
Dated / Mailed: September 8, 2014

# Product & Tracking Information



**Postal Product:**  
First-Class Mail®

**Extra Svc:**  
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Return Receipt

| DATE & TIME                                                                                                                                                                                                                                                                                                   | STATUS OF ITEM                                  | LOCATION                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------|
| October 21, 2014 , 3:47 pm                                                                                                                                                                                                                                                                                    | Departed USPS Origin Facility                   | SALT LAKE CITY, UT 84199   |
| <p>The package is delayed and will not be delivered by the expected delivery date. An updated delivery date will be provided when available. Your item departed our USPS origin facility in SALT LAKE CITY, UT 84199 on October 21, 2014 at 3:47 pm. The item is currently in transit to the destination.</p> |                                                 |                            |
| October 7, 2014 , 11:09 am                                                                                                                                                                                                                                                                                    | Unclaimed                                       | WEST VALLEY CITY, UT 84120 |
| September 9, 2014 , 11:05 am                                                                                                                                                                                                                                                                                  | Notice Left (No Authorized Recipient Available) | WEST VALLEY CITY, UT 84119 |
| September 8, 2014 , 6:39 pm                                                                                                                                                                                                                                                                                   | Arrived at USPS Origin Facility                 | SALT LAKE CITY, UT 84199   |
| September 8, 2014 , 1:33 pm                                                                                                                                                                                                                                                                                   | Acceptance                                      | SALT LAKE CITY, UT 8411    |

*★ NOTICE ON ENVELOPE ★*  
*"Respondent refused to accept letter"*  
*10/25/14*



**CERTIFIED MAIL™**



7014 0150 0000 5257 0182



9/9

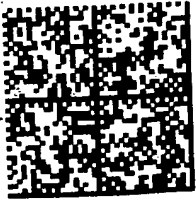
- MLVA
- NO SUCH NUMBER
- ATTEMPTED NOT DELIVERED
- INSUFFICIENT ADDRESS
- RETURNED TO SENDER
- NOT DELIVERABLE
- UNABLE TO FORWARD
- TIME EXPIRED

10/6 refused

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10/25/14

SALT LAKE CITY



**CPU** U.S. POSTAGE  
**\$ 6.490**

PB 1P 000  
3658867  
FCML  
MAILED SEP 08 2014  
84118

Douglas  
West Valley City, Utah

CS 5

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

*Douglas Scott Halsey*  
*2061 West 3500 South*  
*Apt A2*  
*West Valley City, Utah*  
*84119*

2. Article Number  
(transfer from service label)

7014 0150 0000 5257 0182

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

*CS*

- Agent
- Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below:  No

3. Service Type

- Certified Mail
- Registered
- Insured Mail
- Express Mail
- Return Receipt for Merchandise
- C.O.D.

4. Restricted Delivery? (Extra Fee)

Yes

November 12, 2014

Douglas Scott Litsey  
3061 West 3500 South #A2  
West Valley City, Utah 84119



In re: Correction of Inventorship – Intelledoc® Application #12/316699 filed December 15, 2008

Dear Mr. Douglas Scott Litsey,

This letter serves as final notice of the requirement and intended filing of a Correction of Inventorship pursuant to 37 C.F.R. §1.48 for the Intelledoc® Securable Independent Electronic Document application of patent filed December 15, 2008 serial #12/316699.

Due to your purposely-intended refusal of the acceptance of previous letters sent with signature request, this letter has been delivered first class normal delivery to ensure receipt. With our discussion Thursday September 11, 2014 during which time you acknowledged receipt of a pending letter sent with signature requirement from us, it became clear and readily apparent your contribution has been with the marketing and use of the electronic document invention, and not of its concept. The conversation we had reaffirms our position and acknowledgement that listing your name as an inventor on the application was indeed a mistake made without deceptive intent.

The acceptance of previous letters sent to you would have helped confirm communication of your options for us working together. As stated previously as well as here, our intention is to file a Correction of Inventorship petition to correct the named inventors on the application as they were incorrectly listed with the initial submitted application, having us both misunderstand the qualifications for patent inventorship. A new oath or declaration is required in place of the initial declaration submitted with the initial application. For your review, a copy of the initial declaring has been included with this correspondence. We do not require you to submit a new oath or declaration but would like you to sign and return to us a letter in agreement of the correction, a copy of which is enclosed, to facilitate a proper filing of our petition for Correction of Inventorship.

As previously noted, this statement, required for 37 C.F.R. §1.48 prior to March 2012, is no longer necessary for inventorship correction but remains a desired addition for our petition filing. We requested that you sign and return the enclosed statement in support of correction using the preaddressed postage paid enveloped included.

Our desire is to continue to work amicably toward resolution and would like to give you proper time for response. We plan to file the correction within 10 days of your receipt of this letter. Previous correspondence presented a 30-day response time; however with conversations we've had since September, we feel this shorted time for response is fair and allows us to continue as necessary. Our first letter sent September 9 has been returned and can no longer be delivered. A second letter sent November 12, 2014 is still available for pickup and it is encouraged for you to take receipt. Although previous letters presented more contractual details, we are still open to negotiations for your contribution to the project and desire to continue discussion of available options to you and welcome your response in doing so.

In re: Correction Of Inventorship  
Intellectoc® Application #12/316699 filed December 15, 2008.

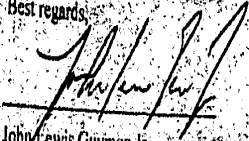
BailBonding.com  
Page 2 of 2

We look forward to your signed statement or e-mail correspondence requesting help or additional information. During the 10 day period for response I believe you and I will personally talk again and I'm sure that you will understand this process regardless if you receive the second letter or not.

If you have any questions concerns or other information that you would like to share with us please send correspondence to the email address Admin@BailBonding.Com.

Thank you for your help with this letter matter.

Best regards,




John Lewis Guymon Jr  
BailBonding.Com  
Admin@BailBonding.Com

Enclosures: -Statement ('SSe0908e.doc'), Copy of Declaration, Prepaid return envelope.

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service with proper postage affixed for first class delivery with signature confirmation as necessary, in an envelope addressed to: Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119 on this day, November 12, 2014.

  
John Lewis Guymon Jr  
Applicant

Confidential

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**In the United States Patent and Trademark Office**

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar



**STATEMENT OF DOUGLAS SCOTT LITSEY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

I, the undersigned Douglas Scott Litsey, being deleted as an inventor in the above identified application of patent state that an inclusion of me as a co-inventor in this application occurred without deceptive intent.

Respectfully submitted,

\_\_\_\_\_  
Douglas Scott Litsey

\_\_\_\_\_  
Date

Ref: Confirmation Letter ('Ise1112a.doc') – Filing of 'Correction of Inventorship' petition  
Recipient: Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119  
Dated / Mailed: November 12, 2014

# Product & Tracking Information



**Postal Product:**  
First-Class Mail®

**Extra Svc:**  
Certified Mail™

Return Receipt

| DATE & TIME                                                                                                                                                                                                                                                                                                             | STATUS OF ITEM                                  | LOCATION                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------|
| November 15, 2014 , 4:15 pm                                                                                                                                                                                                                                                                                             | Notice Left (No Authorized Recipient Available) | SALT LAKE CITY, UT 84121   |
| <p>The package is delayed and will not be delivered by the expected delivery date. An updated delivery date will be provided when available. We attempted to deliver your item at 4:15 pm on November 15, 2014 in SALT LAKE CITY, UT 84121 and a notice was left because an authorized recipient was not available.</p> |                                                 |                            |
| November 15, 2014 , 5:40 am                                                                                                                                                                                                                                                                                             | Departed USPS Facility                          | SALT LAKE CITY, UT 84199   |
| November 13, 2014 , 11:16 am                                                                                                                                                                                                                                                                                            | Refused                                         | WEST VALLEY CITY, UT 84119 |
| November 13, 2014 , 12:01 am                                                                                                                                                                                                                                                                                            | Arrived at USPS Facility                        | SALT LAKE CITY, UT 84199   |
| November 12, 2014 , 5:36 pm                                                                                                                                                                                                                                                                                             | Acceptance                                      | WEST JORDAN, UT 84088      |

*\* Notice on envelope \**  
*11-13*  
*Refused by tenant.*

**CERTIFIED MAIL™**



7013 2250 0002 2461 3246

**IBailBonding.com**  
1635 East 7080 South  
Salt Lake City, Utah 84121

*11-13  
Returned by tenant.  
R*

Douglas Scott Litsey  
3061 West 3500 South #A2  
West Valley City, Utah 84119



1000 84119

U.S.  
PS  
NET

**RETURN RECEIPT  
REQUESTED**

833

NIXIE 841 FE 1 0011/13/14

RETURN TO SENDER  
ATTEMPTED - NOT KNOWN  
UNABLE TO FORWARD

BC: 84121362835 \*0436-00366-13-00

8412103628



**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

3061 W 3500S TRAZ  
 West Valley City, UT  
 84119  
 Attn Douglas Litsey

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  Agent  Addressee  
 X  Addressee

B. Received by (Print or Stamp)  Date of Delivery

C. Is delivery address correct, USA only?  Yes  
 YES, enter delivery address below  No

3. Service type  
 Certified Mail®  Priority Mail express®  
 Registered  Return Receipt for Merchandise  
 Insured Mail  Collection Delivery  
 Restricted Delivery (extra fee)  Yes

2. Facing Number

(Transfer from carrier label)

7013 2250 0000 2466 3844

PS Form 3811, July 2003

Postage and Fees Paid





ISO9H

As previous requests of your input for a true and proper consideration of your contribution have gone without reply, we desire to continue to work amicably towards any acknowledgement of your inventorship and again ask for your help in understanding your position as you feel meets the guidelines invention conception.

MS

If you do not wish to sign and return the enclosed statement of no deceptive intent, having, in proper, the confirmation of your inventorship contribution as your desire, we wholeheartedly support your filing of a supplemental oath or declaration with the United States Patent and Trademark Office, pursuant 37 U.S.C. §1.63 subject to criminal punishment under 18 U.S.C. §1001 as well as civil liability for damages incurred from false or misleading statements made under oath or in declaration.

We insist that you feel comfortable with this correction process and ask what can be done to help you with this transition. As previously stated, negotiated contract for your position in marketing and implementation of our Intelledoc® serviced electronic documents products, as you have indicated as your desire since joining us, is encouraged and we believe this to be fair of best served interests in going forward given the problem of the incorrect listing of your name as an inventor and we look forward to your assistance.

Included is a self-addressed postage prepaid envelope for returning the signed statement. If we do not receive the signed statement or declaration within thirty (30) days from the receipt of this letter we will take such as your refusal to participate and will file the petition pursuant to 37 U.S.C. §1.48(a).

Thank you for your help with this matter. If you have any comments or questions or if I can be of further assistance in any way please feel free to contact me via email at Admin@iBailBonding.Com.

Best regards,

John Lewis Guymon Jr  
iBailBonding.Com  
Admin@iBailBonding.Com

Enclosures: Statement ('SSe0908c.doc'), Copy of Declaration, Prepaid return envelope

Certificate of Mailing

HAND DELIVERED 11/15/2014

I certify that this correspondence will be deposited with the United States Postal Service with proper postage affixed for first class delivery with signature confirmation, in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, September 8, 2014.

John Lewis Guymon Jr  
Applicant

In re: Correction Of Inventorship  
Intellectual Property Application #12/316699 filed December 15, 2008

IS11H

iBailBonding.com

Page 2 of 2

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We look forward to your signed statement or e-mail correspondence requesting help or additional information. During the 10 day period for response I believe you and I will personally talk again and I'm sure that you will understand this process regardless if you receive the second letter or not.

If you have any questions concerns are other information that you would like to share with us please send correspondence to the email address Admin@iBailBonding.Com.

Thank you for your help with this letter matter.

Best regards,

John Lewis Guymon Jr.  
iBailBonding.Com  
Admin@iBailBonding.Com

Enclosures: Statement ('SSe0908c.doc'), Copy of Declaration, Prepaid return envelope

**Certificate of Mailing**

HAND DELIVERED 11/15/14

I certify that this correspondence will be deposited with the United States Postal Service with proper postage affixed for first class delivery with signature confirmation as necessary, in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, November 12, 2014.

John Lewis Guymon Jr  
Applicant

**In the United States Patent and Trademark Office**



Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Affidavit of Vickie Guymon**

August 18, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir / Madam:

Comes now, Vickie Guymon, HEREBY states and swears: I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this Affidavit are in support of the above referenced Application's

**Petition for Correction of Inventorship**

**Therefore**, I, Vickie Guymon further states and swear:

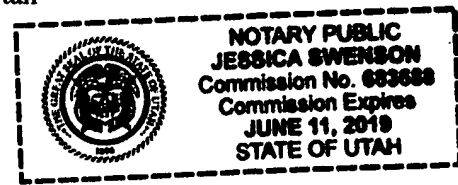
I, Vickie Guymon, the mother of John Guymon, was acquainted with Scott Litsey at several family holiday parties, after John relocated from Colorado, back to Utah, in 2008. My assistance to his project included proofreading and editing of various documents.

Signature: Vickie Guymon Date 8/18/15

[Seal]

Sworn to before me: Jessica Swenson Salt Lake County, State of Utah

Signature of Notary Public: [Signature] Date 08/18/2015



ME

**Applicant's Oath of Certification of Entity Status and Correction of Inventorship**

October 2<sup>nd</sup>, 2013



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Comes now, Applicant John Lewis Guymon Jr, states and swears:

I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this oath in support of this Application's:

**Certification of Micro Entity Status  
and  
Correction of Inventorship**

for Application, Serial Number 12/316,699, are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the USPTO, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this application, and any attachments. I also understand that the making of any willful false statement of material fact on this application including the attached sheet(s) will subject me to the provisions of the penal law relevant to the making and filing of false statements.

Therefore, Applicant further states and swears by oath that he is unable to obtain a Certification of Micro Entity Status from named inventor Douglas Scott Litsey. Further, Applicant further states and swears by oath that Applicant is in the process of the Correction of Inventorship for the removal of Douglas Scott Litsey as he has incorrectly, as Applicant truly believes, been named as a co-inventor. Further, Applicant is in the process of said Correction of Inventorship and will submit the required materials for such process immediately, without delay, pending the completed gathering of information and supporting evidence. Applicant will have the Correction of Inventorship to the USPTO no later than October 16th, 2013, giving Applicant two (2) weeks to do so if indeed allowed and accepted by the USPTO

Very respectfully,

[Seal]

Signature of Applicant: \_\_\_\_\_ Date \_\_\_ / \_\_\_ / \_\_\_\_\_

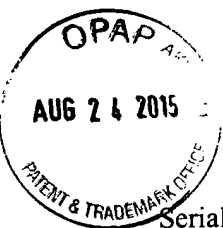
Sworn to before me: \_\_\_\_\_ Salt Lake County, State of Utah

Signature of Notary Public: \_\_\_\_\_ Date \_\_\_ / \_\_\_ / \_\_\_\_\_

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail, Tx# \_\_\_\_\_, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 2<sup>th</sup> day of October 2013.

\_\_\_\_\_  
Applicant



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Supplemental Declaration Of Inventorship**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir / Madam:

Comes now Applicant, John Lewis Guymon Jr, in accordance to Applicant's 'True And Correct Information Statement' below, HEREBY states and swears:

I have read this form and all relevant instructions, whether on this form, or on another and that I certify that all statements made on this declaration as well as all exhibits and schedules hereto, as an integral part of this declaration deemed incorporated by reference herein, are in support of this application's inventorship; THEREFORE, Applicant further states and swears:

**Inventorship**

1. Applicant, a citizen of the United States of America, is the original, first and sole inventor responsible for the conception, generation and formalization of the Intelledoc® Securable Independent Electronic Document presented in the above listed application.

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

DBSQ\_- 14b  
1493333165\_7184365623

[5-2217-13318]

## Desideratum

2. Applicant became involved in writing software for the bail bonding and surety industries in July 1999. As development continued, Applicant realized the need of and reason for an electronic document that was vendor, operating system, and platform independent that could be secured as desired per user requirements. The realization of the need for a securable independent electronic document brought conception, generation and production of the Electronic Surety Bail Bond, which became the basis for the Intelledoc<sup>®</sup> serviced electronic document template.

3. The process of scanning paper documents into the computer system was always problematic and overall redundant. The Intelledoc<sup>®</sup> servicing of electronic document is designed so that one could create the document picture and add internal data, electronic and/or digital signatures on either the image or internal data or both as well as other security measures, thus allowing a document to be completed upon its original first generation cycle.

## Conception

4. Applicant's research started in 1999. Conception of the Intelledoc<sup>®</sup> service template document, an electronic document container format supporting all types of secure electronic information exchange, was established on or before October 2000 with the creation of the Electronic Surety Bail Bond document. The surety bail bond document was the first document type required in Applicant's field of practice and is built upon the template document and contains properties and attributes specific to the bail bonding industry's surety power of attorney handling. Other documents used in the industry could also be built using the Intelledoc<sup>®</sup> serviced template and would be uniquely defined using different document type specific attributes.

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

5. The Intelledoc<sup>®</sup> electronic document container supports recursion and is built so that behavior starts with an inert binary image container and can contain embedded marked up (XML) text. The embedded data can then contain any other type of data including nested electronic document containers. Having an XML or other type of file as the main file type removes the intrinsic visual image wrapper. Additionally, the inert binary image container can be navigated through information systems without limitations.

6. Applicant registered iBailBonding.Com, a 'dba' type company based in Utah on April 20, 2001. Knowledge of the operations of notary publics, through the familiarization of procedures, with the help of a licensed family member, assisted Applicant in working in parallel with government individuals, pioneering digital signature laws and statutes, in Applicant's home state of Utah. Further, Applicant realized, conceived of, produced and confirmed the Intelledoc<sup>®</sup> servicing of electronic documents, testing multiple prototypes of the invention's Electronic Surety Bail Bond document during the early years of the Millennium when the digital signature law and statute of today, (UETA & E-Sign), were still under draft to be written. [See Applicant's Exhibit 'A' – 'iBailBonding.com DBA Registration', Exhibit 'D' – 'Utah Digital Signature Act' and Exhibit 'E' – 'John Marshall Journal' attached to and included herein.]

7. Development was started with an Internet based environment and later migrated to stand-alone based applications. Initial draft of a product manual from research notes and other details documented in engineering style bound notebooks dated at least as soon as 1999 was started June 2001. [See Applicant's Exhibit 'F' – 'Manual 1999', Exhibit 'Pre-H' – 'Information Data Sheet Notes 2001', Exhibit 'H' – 'Electronic Bond Notes 2001', Exhibit 'I' – 'IBB Document' and Exhibit 'J' – 'Notebook Notes Black & Burgundy Oct 8 2001' attached to and included herein.]

8. Proof of the conception and ownership of the Intelledoc<sup>®</sup> based Securable Independent Electronic Document container, the template used in the generation of a variety of different document types, is proven and verified in the various engineering notebooks, source code developments, research works, document disclosures, information disclosures, as well as a multitude of other items of material and electronic data completing Applicant's work.

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

## Reduction

9. Initially different documents were available for generation via web site scripting code and OCX based controls in 2000. The first bond document, generated from user-entered data, was printed as a negative image at an acquaintance's home around this time. Web application program processing, with a firm understanding of the product's requirements was well established by January 2001. Different document types were able to be generated and were printed together via a stand-alone application in 2003. [See Applicant's Exhibit 'B' – 'Website registrations', Exhibit 'G' – 'ASP file list – Firsts – 2000 thru 2002', Exhibit 'K' – 'Digital Signature Certificate - JG' and Exhibit 'L' - 'Digital Signature Certificate - VG' attached to and included herein.]

10. Applicant achieved actual reduction to practice of a working prototype of the Intelledoc<sup>®</sup> serviced electronic document on or around February 2003. Conception of the Intelledoc<sup>®</sup> serviced Securable Independent Electronic Document invention was complete, proven and supported by Intelledoc<sup>®</sup> serviced electronic document prototypes, having invention's conception, presented claims and specified features completely proven by said prototype documents. [See Applicant's Exhibit 'O' – 'XML Signature Syntax W3C 12 Feb 2002', Exhibit 'P' – 'Bond 1', Exhibit 'P2' – 'Bond 2' and Exhibit 'N' – 'ASP Report' attached to and included herein.]

11. Applicant realized, conceived, and derived all supporting information of originally drafted and amended claims describing the invention from the conceptual beginning, having all claims and all portions and elements of the claims functionality realized. Elements of the claims are noted in the 5 different sections of the Electronic Surety Bail Bond document. [See Applicant's Exhibit 'M' – 'Electronic Surety Bail Bond - Proposal Document' attached to and included herein.]

12. Applicant created seven (7) different documents, based on the Intelledoc<sup>®</sup> template, for the Bail Bonding software application that was under development at the time. These documents include the surety

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.



bond as well as various other documents, supporting the idea of the Intelledoc<sup>®</sup> document template. - These seven (7) documents were printed from bonding application used to input data. These different documents were under development as separate unique documents since 2001. The idea to patent the Intelledoc<sup>®</sup> serviced document container was thought of around February 2006 along with the idea of securing the product's name, logo and other supporting information and identification art. [See Applicant's Exhibit 'Q' – 'Multiple Document Suite' attached to and included herein.]

13. Applicant attended a State of Utah Insurance committee meeting and proposed posting bail bonds electronically and obtained preliminary acceptance to such posting. [See Applicant's Exhibit 'R' - 'Dept Insurance Posing Electronically Letter' attached to and included herein.]

## Substantiation

14. The Intelledoc<sup>®</sup> electronic document allows custom unique platform independent user-specified security features allow for intrinsically securing the document as the user desires. This open independent security implementation capability, VendorVerify<sup>™</sup>, was solely and completely realized, conceived, deduced and implemented by Applicant and allows flexible security feature implementation and usage as desired by the electronic document creator, user, involved third party or other involved party. The VendorVerify<sup>™</sup> security feature implementation ability was realized and conceived as early as 2002 and was part of the initial Electronic Surety Bail Bond product and seamlessly propagated to the Intelledoc<sup>®</sup> electronic document invention. Applicant documented the VendorVerify<sup>™</sup> process in the Electronic Surety Bail Bond Proposal Document as early as 2005. [See Applicant's Exhibit 'M' – 'Electronic Surety Bail Bond' attached to and included herein.]

15. Applicant filed Disclosure Document “Intelledoc<sup>®</sup> – Intelligent Electronic Document”, Serial No. 610142, (December 2006), filed Disclosure Document “eSuretyBailBond - Electronic Surety Bail Bond”, Serial No. 610141, (December 2006) and “Online Electronic Surety Bail Bond Process”, Serial No. 610364, (December 2006). [See Applicant's Exhibit 'U' – 'Disclosure Document - eSuretyBailBond 610141',

**True and Correct Information Statement** - Statements made on each and all pages of this ‘Supplemental Declaration of Inventorship’ are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

Exhibit 'V' – 'Disclosure Document - Intelledoc® 610142' and Exhibit 'W' – 'Disclosure Document - Online ESBB Process 610364' attached to and included herein.]

16. Document was conceived, designed, built, tested and proven before the first court systems could receive the document. An initiative from the Department of Justice promoted global sharing of data and was supported with grants to help to implement the XML processing of documents within various state Court systems. Applicant worked to support the NIEM standardized Bond Document. [See Applicant's Exhibit 'S' - 'State Justice NIEM' attached to and included herein.]

17. Applicant applied for Intelledoc® trademark in May 2008 and is the first and sole owner of the registered trademark. Further, Applicant mitigated initial opposition to the trademark and successfully brought solution for the trademark's official registration December 15, 2009, Reg. No. 3,724,305. [See Applicant's Exhibit 'C' – 'Trademark Registration' attached to and included herein.]

18. Overall Applicant utilized over 30 bound engineering type notebooks, generated more than 10000 individual pages of notes and printouts, generated a large array of data CD's and developed on at least 6 different computing systems. [See Applicant's Exhibit 'T' – 'Image - Notebooks' attached to and included herein.]

19. Development was first for a web interface and then for an stand-alone application. Applicant developed an array of different type documents that were printed together via iBailBonding.Com's web site. Application development consists of over 200k lines source code. Website development consists of over 120k lines source script. Source control was used and contains over 25000 entries. During the course of development there were over 880 Intelledoc® serviced documents generated. [See Applicant's Exhibit 'Z' – 'Directory Properties - EB' attached to and included herein.]

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

## Prosecution

20. All United States Patent And Trademark Office correspondence replies, amendments, patent searches, information disclosure development, document disclosure submission, company formation and trademark work to date has been done and is owned by Applicant.

21. All replies to the office action or other correspondence involving patent filing or prosecution with the United States Patent and Trademark Office to date were authored and submitted by Applicant, having Applicant responsible for and provider of all patent research for said reply submissions. All replies to the office action or other correspondence involving trademark filing or prosecution with the United States Patent and Trademark Office, or third party entities, to date, were authored and submitted by Applicant, having Applicant responsible for and provider of all trademark research for said reply submissions.

22. Applicant is solely responsible and completed all patent application authorship, writing layout and formatting as well as all content generation and production of all parts of application, specifically the application's specification, claims, drawings, title, abstract, embodiments, and conclusion, having final draft editing assistance from others. Applicant paid for supplies and miscellaneous costs for documentation development and educational references and other obtained knowledge

23. Applicant was and is solely responsible for all fees and costs required for all patent and trademark application filings, prosecution or other fee related submissions, having financial assistance from family or friends to help with payment of some of these costs.

24. Applicant was solely responsible for and completed all Information Disclosure work, including research, categorization and content submission. Applicant's submitted Information Disclosures show search dates of prior art starting in the year 2000, the area of time of invention conception. Applicant's research was done nearly seven years before any other person's involvement, having conception of the invention near

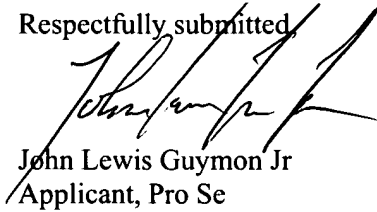
**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

the time the first searches were done to determine confirmation of the existence of prior art if available. Applicant filed several Information Disclosures for both article and patent references. Applicant's research and documentation of said research is of approximately 1400 references, some in paper form, having over 700 submitted electronically via CD medium by Applicant, on record with the United States Patent And Trademark Office by way of Applicant's multiple Information Disclosure filings. Many of the citations have been noted having approximately 300 exist in paper form and have not been electronically submitted. Applicant's patent and application of patent research consists of approximately 500 patents or patent applications references, having these references on record with the United States Patent And Trademark Office by way of Applicant's multiple patent research Information Disclosure filings. Applicant submitted correspondence relating to an inventions conception via multiple document disclosures. Dates of conception were declared and listed within said disclosures, having said disclosed conception dates actually occurring earlier than recorded. [See Applicant's Exhibit 'X' - 'Information Disclosure Summary - Articles' and Exhibit 'Y' - 'Information Disclosure Summary - Patents' attached to and included herein.]

## Conclusion

25. Applicant believes he has been fair and diligent in the determination of Inventorship and, based on true and correct knowledge, information and beliefs as well as the sole ownership of clear and convincing material evidence Applicant is the sole inventor of the Intelledoc® Securable Independent Electronic Document.

Respectfully submitted,

  
John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: 801.943.8461

**True and Correct Information Statement** - Statements made on each and all pages of this 'Supplemental Declaration of Inventorship' are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the United States Patent and Trademark Office, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this declaration and any attachments. I understand that the making of any willful false statement of material fact with this declaration including the attached sheet(s) will subject me to the provisions of the penal law under 18 U.S.C. §1001 relevant to the making and filing of false statements or the like, punishable by fine or imprisonment, or both.

## IBAILBONDING.COM

---



**Entity Number:** 4910153-0151

**Company Type:** DBA

**Address:** 1954 E 2100 N Layton, UT 84040

**State of Origin:**

**Registered Agent:** No agent, refer to UCA ?16-17-301

**Registered Agent Address:**

[View Management Team](#)

Unknown, NA 00000

Status: Expired

---

**Status:** Expired ● *as of 05/26/2004*

**Status Description:** Failure to File Renewal

**Employment Verification:** Not Registered with Verify Utah

History

---

[View Filed Documents](#)

**Registration Date:** 04/20/2001

**Last Renewed:** N/A

Additional Information

---

**NAICS Code:** 5141 **NAICS Title:** 5141-Information Services

<< [Back to Search Results](#)

# IBAILBONDING.COM



**Entity Number:** 6123255-0151

**Company Type:** DBA

**Address:** 1635 E 7080 S Salt Lake City, UT 84121

**State of Origin:**

**Registered Agent:** JOHN LEWIS GUYMON, JR

**Registered Agent Address:**

1635 E 7080 S

Salt Lake City, UT 84121

[View Management Team](#)

Status: Expired

**Status:** Expired ● *as of 03/31/2009*

**Status Description:** Failure to File Renewal

**Employment Verification:** Not Registered with Verify Utah

History

[View Filed Documents](#)

**Registration Date:** 02/15/2006

**Last Renewed:** N/A

Additional Information

**NAICS Code:** 5112 **NAICS Title:** 5112-Software Publishers

[<< Back to Search Results](#)

## IBAILBONDING.COM



**Entity Number:** 9118407-0151

**Company Type:** DBA

**Address:** 1635 East 7080 South Salt Lake City, UT 84121

**State of Origin:**

**Registered Agent:** John Lewis Guymon Jr

**Registered Agent Address:**

1635 East 7080 South

Salt Lake City, UT 84121

[View Management Team](#)

**Status:** Active

[Purchase Certificate of Existence](#)

**Status:** Active ● as of 07/22/2014

**Renew By:** 07/31/2017

**Status Description:** Good Standing

The "Good Standing" status represents that a renewal has been filed, within the most recent renewal period, with the Division of Corporations and Commercial Code.

**Employment Verification:** Not Registered with Verify Utah

**History**

[View Filed Documents](#)

**Registration Date:** 07/22/2014

**Last Renewed:** N/A

**Additional Information**

**NAICS Code:** 5419 **NAICS Title:** 5419-Other Professional, Scientific, and

[<< Back to Search Results](#)

Domain Name: IBAILBONDING.COM  
Registry Domain ID: 38139327\_DOMAIN\_COM-VRSN  
Registrar WHOIS Server: whois.godaddy.com  
Registrar URL: http://www.godaddy.com  
Update Date: 2014-10-27T14:45:12Z  
Creation Date: 2000-10-21T01:18:18Z  
Registrar Registration Expiration Date: 2015-10-21T01:18:18Z  
Registrar: GoDaddy.com, LLC  
Registrar IANA ID: 146  
Registrar Abuse Contact Email: abuse@godaddy.com  
Registrar Abuse Contact Phone: +1.480-624-2505  
Domain Status: ok http://www.icann.org/epp#ok  
Registry Registrant ID:  
Registrant Name: John Guymon  
Registrant Organization:  
Registrant Street: 1635 East 7080 South  
Registrant City: Salt Lake City  
Registrant State/Province: Utah  
Registrant Postal Code: 84121  
Registrant Country: United States  
Registrant Phone: +1.8019384833  
Registrant Phone Ext:  
Registrant Fax:  
Registrant Fax Ext:  
Registrant Email: admin@ibailbonding.com  
Registry Admin ID:  
Admin Name: John Guymon  
Admin Organization:  
Admin Street: 1635 East 7080 South  
Admin City: Salt Lake City  
Admin State/Province: Utah  
Admin Postal Code: 84121  
Admin Country: United States  
Admin Phone: +1.8019384833  
Admin Phone Ext:  
Admin Fax:  
Admin Fax Ext:  
Admin Email: admin@ibailbonding.com  
Registry Tech ID:  
Tech Name: John Guymon  
Tech Organization:  
Tech Street: 1635 East 7080 South  
Tech City: Salt Lake City  
Tech State/Province: Utah  
Tech Postal Code: 84121  
Tech Country: United States  
Tech Phone: +1.8019384833  
Tech Phone Ext:  
Tech Fax:  
Tech Fax Ext:  
Tech Email: admin@ibailbonding.com  
Name Server: NS15.DOMAINCONTROL.COM  
Name Server: NS16.DOMAINCONTROL.COM  
DNSSEC: unsigned  
URL of the ICANN WHOIS Data Problem Reporting System: http://wdprs.internic.net/  
Last update of WHOIS database: 2015-5-9T1:00:00Z





Domain Name: INTELLEDOC.COM  
Registry Domain ID: 446143383\_DOMAIN\_COM-VRSN  
Registrar WHOIS Server: whois.godaddy.com  
Registrar URL: http://www.godaddy.com  
Update Date: 2015-05-09T01:17:20Z  
Creation Date: 2006-05-12T03:27:05Z  
Registrar Registration Expiration Date: 2016-05-12T03:27:05Z  
Registrar: GoDaddy.com, LLC  
Registrar IANA ID: 146  
Registrar Abuse Contact Email: abuse@godaddy.com  
Registrar Abuse Contact Phone: +1.480-624-2505  
Domain Status: ok http://www.icann.org/epp#ok  
Registry Registrant ID:  
Registrant Name: Administrator Intelledoc  
Registrant Organization: iBailBonding.Com  
Registrant Street: 1635 East 7080 South  
Registrant City: Salt Lake City  
Registrant State/Province: Utah  
Registrant Postal Code: 84121  
Registrant Country: United States  
Registrant Phone: +1.8019384833  
Registrant Phone Ext:  
Registrant Fax:  
Registrant Fax Ext:  
Registrant Email: Admin@Intelledoc.Com  
Registry Admin ID:  
Admin Name: Administrator Intelledoc  
Admin Organization: iBailBonding.Com  
Admin Street: 1635 East 7080 South  
Admin City: Salt Lake City  
Admin State/Province: Utah  
Admin Postal Code: 84121  
Admin Country: United States  
Admin Phone: +1.8019384833  
Admin Phone Ext:  
Admin Fax:  
Admin Fax Ext:  
Admin Email: Admin@Intelledoc.Com  
Registry Tech ID:  
Tech Name: Administrator Intelledoc  
Tech Organization: iBailBonding.Com  
Tech Street: 1635 East 7080 South  
Tech City: Salt Lake City  
Tech State/Province: Utah  
Tech Postal Code: 84121  
Tech Country: United States  
Tech Phone: +1.8019384833  
Tech Phone Ext:  
Tech Fax:  
Tech Fax Ext:  
Tech Email: Admin@Intelledoc.Com  
Name Server: NS27.DOMAINCONTROL.COM  
Name Server: NS28.DOMAINCONTROL.COM  
DNSSEC: unsigned  
URL of the ICANN WHOIS Data Problem Reporting System: http://wdprs.internic.net/  
Last update of WHOIS database: 2015-5-9T1:00:00Z





# United States of America

United States Patent and Trademark Office

## INTELLEDOC

**Reg. No. 3,724,305** GUYMON, JOHN LEWIS JR (UNITED STATES INDIVIDUAL), DBA IBAILBONDING.COM  
Registered Dec. 15, 2009 1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

**Int. Cl.: 36** FOR: BAIL BONDING; BONDING SERVICES; FINANCIAL GUARANTEE AND SURETY;  
SURETY SERVICES, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

**SERVICE MARK** FIRST USE 2-1-2006; IN COMMERCE 5-11-2006.  
**PRINCIPAL REGISTER**

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

SER. NO. 77-465,772, FILED 5-5-2008.

MARGERY A. TIERNEY, EXAMINING ATTORNEY



*David J. Kappas*

Director of the United States Patent and Trademark Office



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San Diego Law Review

**COMMENT: Misplaced Priorities: The Utah Digital Signature Act and Liability Allocation in a Public Key Infrastructure \***

Summer, 1996  
33 San Diego L. Rev. 1143

**Author**

C. Bradford Biddle \*

**Excerpt**

**I. Introduction**

On March 9, 1995, the Utah Digital Signature Act (the "Utah Act") was signed into law. <sup>1</sup> Complex and ambitious, the Utah Act is intended to promote the use of digital signatures on computer-based documents and to facilitate electronic commerce. <sup>2</sup> The Utah Act implements an infrastructure in which computer users utilize "certification authorities," online databases called repositories, and public-key encryption technology in order to "sign" electronic documents in a legally binding fashion. In addition to setting out a regulatory scheme designed to implement this infrastructure, the Utah Act provides certain digital signatures with legal status as valid signatures and addresses a variety of issues relating to the status of digitally-signed electronic documents in contract and evidence law.

The potential benefits of the "public key infrastructure" implemented by the Utah Act are considerable. Conceivably, a well-functioning public key infrastructure could allow private individuals, businesses, and government to routinely and securely conduct personal, financial, and legal affairs over open networks like the Internet. <sup>3</sup> Legislation can potentially facilitate the development of this type of infrastructure. As the Utah Act illustrates, legislation can clarify the arguably uncertain legal status of digital signatures, determine liability standards in an emerging and unprecedented certification authority industry, clarify the rights and responsibilities of infrastructure participants, and address other important public policy concerns. In light of the significance of these issues, it is not surprising that more than ten states are following in Utah's footsteps and developing ...

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## The John Marshall Journal of Information Technology & Privacy Law (<http://repository.jmls.edu/jitpl>)



### Article Title

The Utah Digital Signature Act As "Model" Legislation: A Critical Analysis, 17 J. Marshall J. Computer & Info. L. 873 (1999)  
(<http://repository.jmls.edu/cgi/viewcontent.cgi?article=1264&context=jitpl>)

### Authors

R. Jason Richards ([http://repository.jmls.edu/do/search/?q=author\\_iname%3A%22Richards%22%20author\\_fname%3A%22R.%22&start=0&context=3516212](http://repository.jmls.edu/do/search/?q=author_iname%3A%22Richards%22%20author_fname%3A%22R.%22&start=0&context=3516212))

### Abstract

With the current reliance on virtual transactions, the actual source and the authenticity of electronic transmissions must be determined. In realizing this need, Utah was the first state to pass legislation regarding the verification of electronic communications. In 1995, the legislature passed the Utah Digital Signature Act (the "Utah Act"), which mandates the creation of certification authorities - cybernotaries. In addition, the Division of Corporation and Commercial Code would administer rules to facilitate the implementation of the Utah Act. Since the codification of the Utah Act, over 35 states and jurisdictions implemented some form of digital or electronic signature legislation, which was either based in part or mimics the Utah Act. However, many provisions of the Utah Act are vague, ambiguous or insufficient to the point that the Act creates doubts as to the law's effectiveness. Ten provisions of the Utah Act diminish the precision and the effectiveness of the statute's ability to regulate certification authorities and to provide adequate guidance and protection for recipients and senders of electronic transmissions. These areas of the statute relate to: the record-keeping system; the requirements for licensing of certification authorities; criminal convictions prohibiting the licensing of a certification authority; the recommended reliance limits; the suitable guaranty; residency requirements for certification authorities; limited liability for certification authorities; the evidentiary presumption of digital signatures; and the reasonable care standard for private key holders. While the Utah Act purports to facilitate commerce through reliable messages, the failure to consider the practical application and implications of these ten provisions places the statute in the unintended position of fostering uncertainties that generate more confusion as to how digital and electronic signature legislation work together. In certain areas, comparing and contrasting the certification authorities to the notary publics, evaluating public policy concerns or examining long-term effects on the perception of digital signatures clearly illustrates Utah's failure to consider many legal and policy issues. The suggestions are a means of increasing the precision of the Utah Act and to allow the statute to resolve uncertainties instead of creating them. Furthermore, by calling attention to the weaknesses of the Utah Act, the author hopes that the states using the Utah Act as a model statute will act accordingly to correct these problems. In essence, without implementing the author's suggested changes, the Utah Act remains confusing, vague and insufficient to address the needs of verification of electronic transmissions in cyberspace. Effective practice and regulation of certification authorities are possible, but the deficiencies of Utah Act must first receive significant attention.

### Recommended Citation

R. Jason Richards, The Utah Digital Signature Act As "Model" Legislation: A Critical Analysis, 17 J. Marshall J. Computer & Info. L. 873 (1999)

Download (<http://repository.jmls.edu/cgi/viewcontent.cgi?article=1264&context=jitpl>)

Purchase (<http://imjtpl.documentation.com>)

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(<http://www.addthis.com/bookmark.php?v=300&pubid=bepress>)

COinS

11/15/99  
P3 ~~12~~  
Bond



Reference ..... 2

Questions ..... 2

    What is the format for a bond number ..... 2

Other Info ..... 7

Other Info



Tables are labeled as **Bold**. They are described here:

- Bond** - Supports each bond entry. Based on the DIS.
- NameXName** - Supports name relationships

Add:

**Name x Name** table.

|             |                   |                 |                 |               |                    |               |
|-------------|-------------------|-----------------|-----------------|---------------|--------------------|---------------|
| <b>pk</b>   | <b>nBondNum</b>   | <b>pkAgent</b>  | <b>pkIndRec</b> | <b>pkIdNo</b> | <b>pkBookingNo</b> | <b>nBailT</b> |
| <b>otal</b> | <b>nBondTotal</b> | <b>strNotes</b> |                 |               |                    |               |

Assumes:

There is only one **ID**, **IndRec**, **Agent**, and **Book#**/Jail per ~~Bond~~ record. ?NAMES  
There are multiple **StateCodes**, **Courts**, **Promissary Notes** per **Bond** record.

D75



DS

Screen layouts:  
Bond

Data archiving will consist of different formats to allow easy manipulation.

Tracking alias:

Each name (Chosen as primary in IRxName) can entry into Name x Name table with other name.

*now 7 or 9 levels!*

Security is important. Three different paths of use. Individual, Agent, 3<sup>rd</sup> party.  
2Employer data different than Individual data. Using NameComp and LocationComp to separate.

Data maintenance screens include:

Bond Allows some changes for ex.

Primary key value represents Current vrs Previous. Ex:

Address data (**IndRecXLoc**)

Company address data (**IndRecXLocComp**)

*← time as obvious sort new/old via ASC or DS*

*time on X tables as well*

Printouts include:

- Data sheet (2 - agent 'for the books', ind 'data verify'), ✓ #2
- Sign sheet (accepts terms), ✓
- Promise note (accepts financial), ✓
- Pay receipt (info from financial), ✓
- Court date time, *inc in DS?*
- Loc sheet *inc in DS?*

*includes a map!*

Data to be saved

Relationships:

Tracking this:

Reports for all data

- IR x Marks
- IR x Names
- IR x Vehicles
- IR x Employers
- IR x Drivers License
- IR x Code Violations

IR x Locations  
 DL for Defendant  
 Location for Defendant  
 State Codes for Defendant (Shows via state code)  
 Employer for Defendant (Shows a companys ranks)  
 IR x Court dates (day, month, all, critical, ect)  
 Cosigners for Defendant (Shows all people an IR has)  
 Cosigners (Shows who signer signed for)  
 Accounting  
 Name x Name (Shows alias names, name relationships)  
 Fingerprint match (Server side process)



Statecodes  
 Locations  
 Vehicles  
 Employers

Login determines 3 states

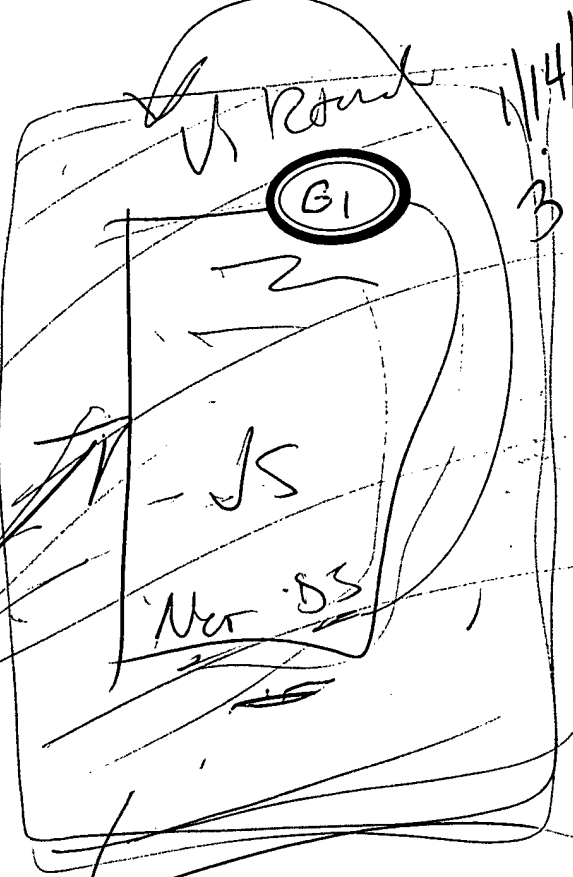
Ind            Has ID card (Privledges)  
 Agent        Has Agent Number  
 3<sup>rd</sup> Party    Has User Name

Cross table of access for reports or data entry

|                   |        | Ind                          | Agent                           | Agent Sup | 3 <sup>rd</sup> Party                      |
|-------------------|--------|------------------------------|---------------------------------|-----------|--------------------------------------------|
| Bond IDS entry    |        |                              |                                 |           |                                            |
| IndRec Update     |        |                              |                                 |           |                                            |
|                   | Misc   | Generic stuff entry          | Notes                           |           |                                            |
|                   | Spec   |                              | Misc                            |           |                                            |
| Court Calendar    |        |                              |                                 |           |                                            |
|                   | View   | Ind                          | All                             |           |                                            |
|                   | Update | Cant Go Entry to<br>BreakOut | Data from<br><b>Bond</b> though |           |                                            |
| Date missed watch |        | Remind/Warning               | Red day watch                   |           | Prenote to cou<br>on BreakOuts<br>position |



11/4/01  
3



FDPS

A D

Encrypt Key  
Data

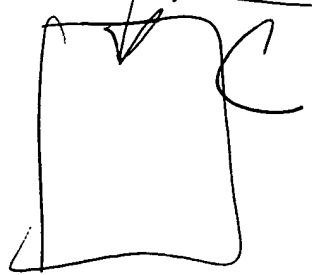
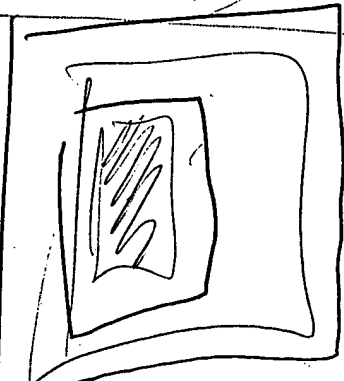


BB



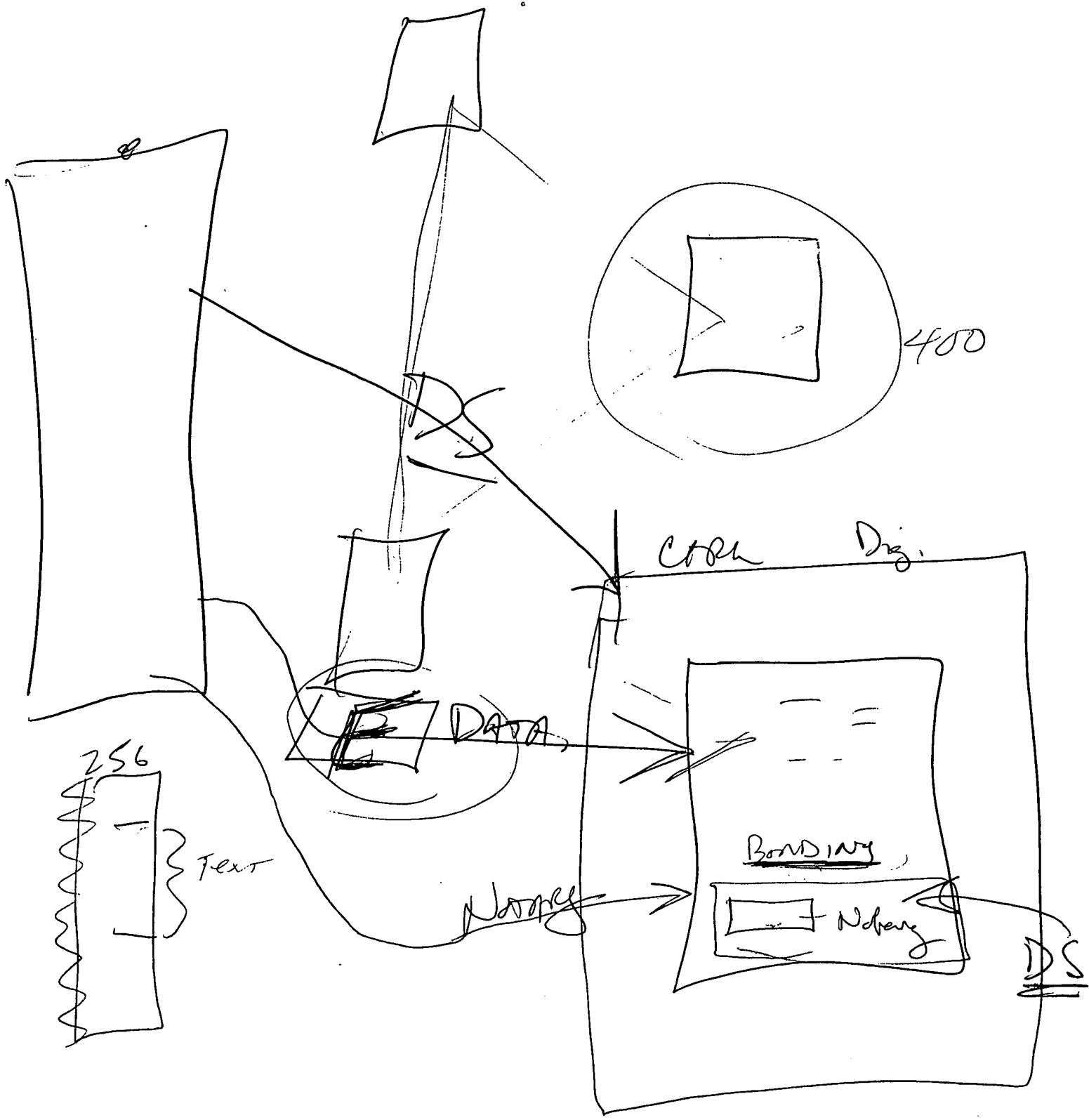
Memory

C



1/14/01  
2

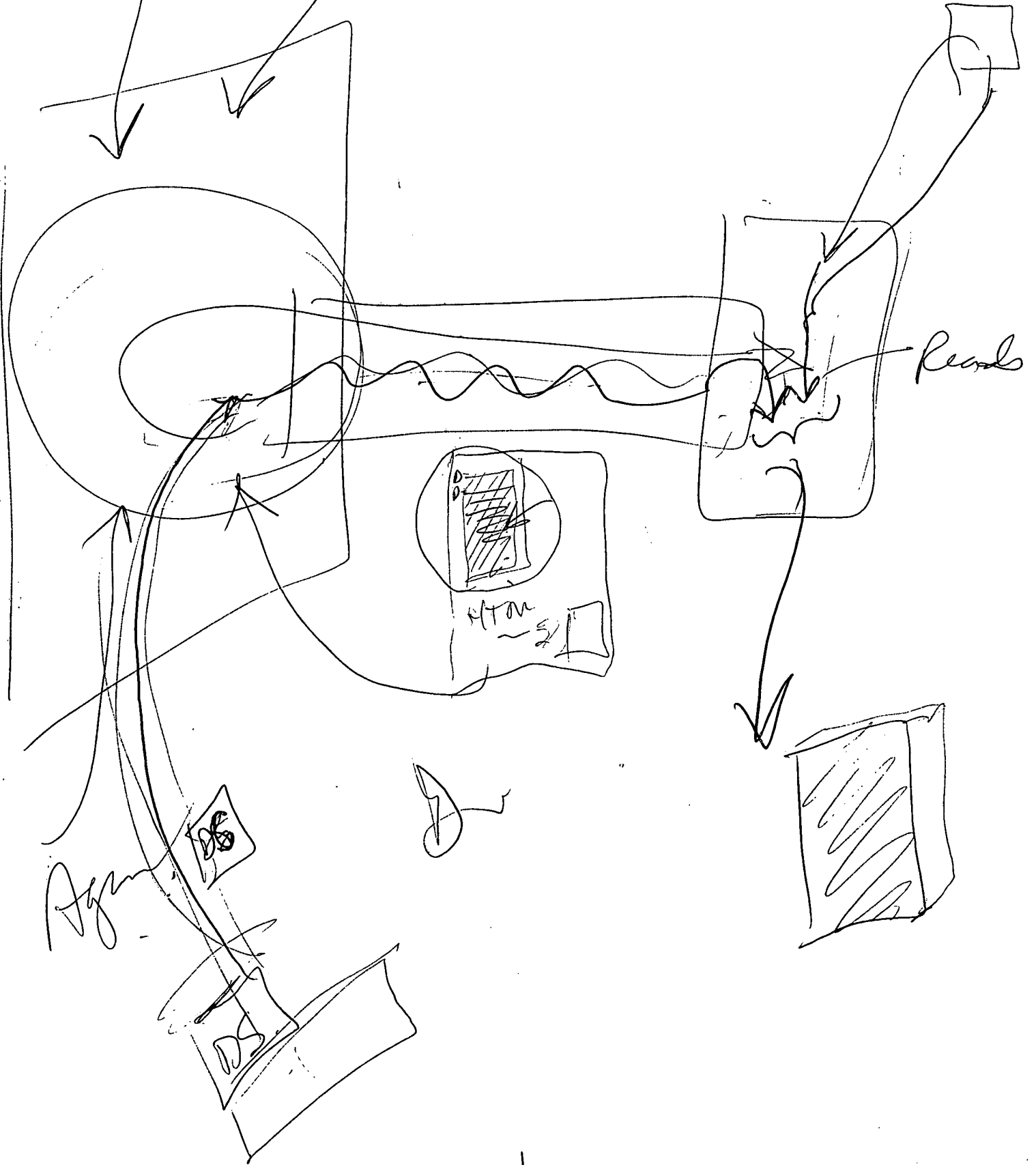
Ga



Blue

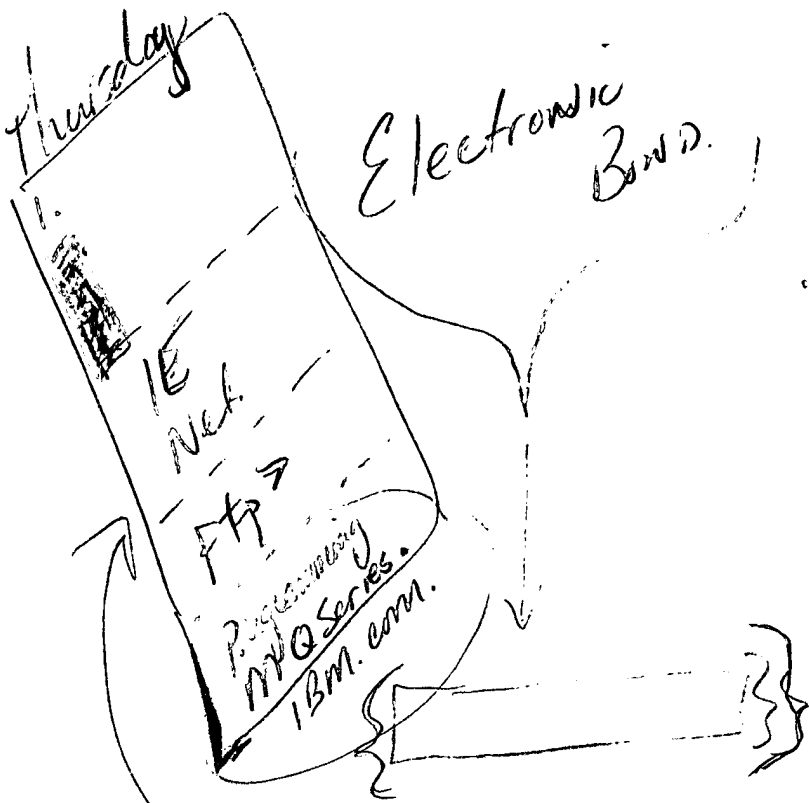
Case

11/4/01

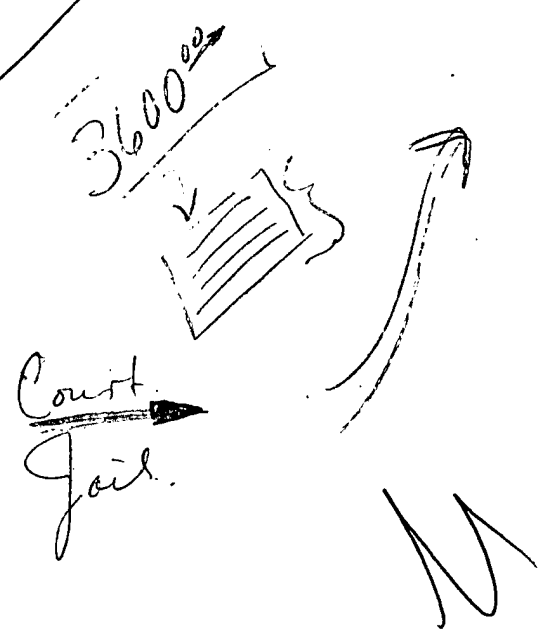
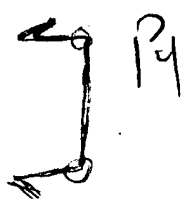


# 8135

1/23/01



G4



ikumin = Sign in room

- Bring Value.
1. Customer
  2. us.

Committee Meeting. Area

Come to court.

helps to prioritize what he wants.

other company forms

← electronically sign

in a

STUDY

legally, too confusing.

"Appellate courts"

PA =

DA =

other offices

# Installing Grep

## Installation of Grep

Install Grep by running the following commands:

```
root@grep-2.4.2# CPPFLAGS=-Dre_max_failures=re_max_failures2 \  
> ./configure --prefix=/usr --disable-nls \  
root@grep-2.4.2# make LDFLAGS=-static \  
root@grep-2.4.2# make prefix=$LFS/usr install
```

---

## Contents

The grep package contains the egrep, fgrep and grep programs.

---

## Description

### egrep

egrep prints lines from files matching an extended regular expression pattern.

---

### fgrep

fgrep prints lines from files matching a list of fixed strings, separated by newlines, any of which is to be matched.

---

### grep

grep prints lines from files matching a basic regular expression pattern.

---

Revision History

| Date                 | Description                      |
|----------------------|----------------------------------|
| 7/21/2001 7:39:31 PM |                                  |
| 7/17/2001 2:11:47 PM | Updated Bond runner.Net actions  |
| 7/12/2001 7:30:00 AM | Added BondRunner.Net Integration |
| 6/2/2001 9:35:07 PM  | Initial release.                 |
|                      |                                  |
|                      |                                  |
|                      |                                  |
|                      |                                  |

Development Team

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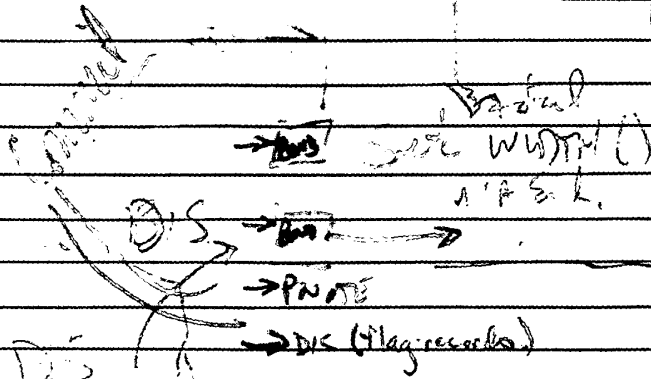
Oct 8 2001

I<sub>1</sub>

Black &  
H. W. ...  
M. ...

Add  
Search  
Records

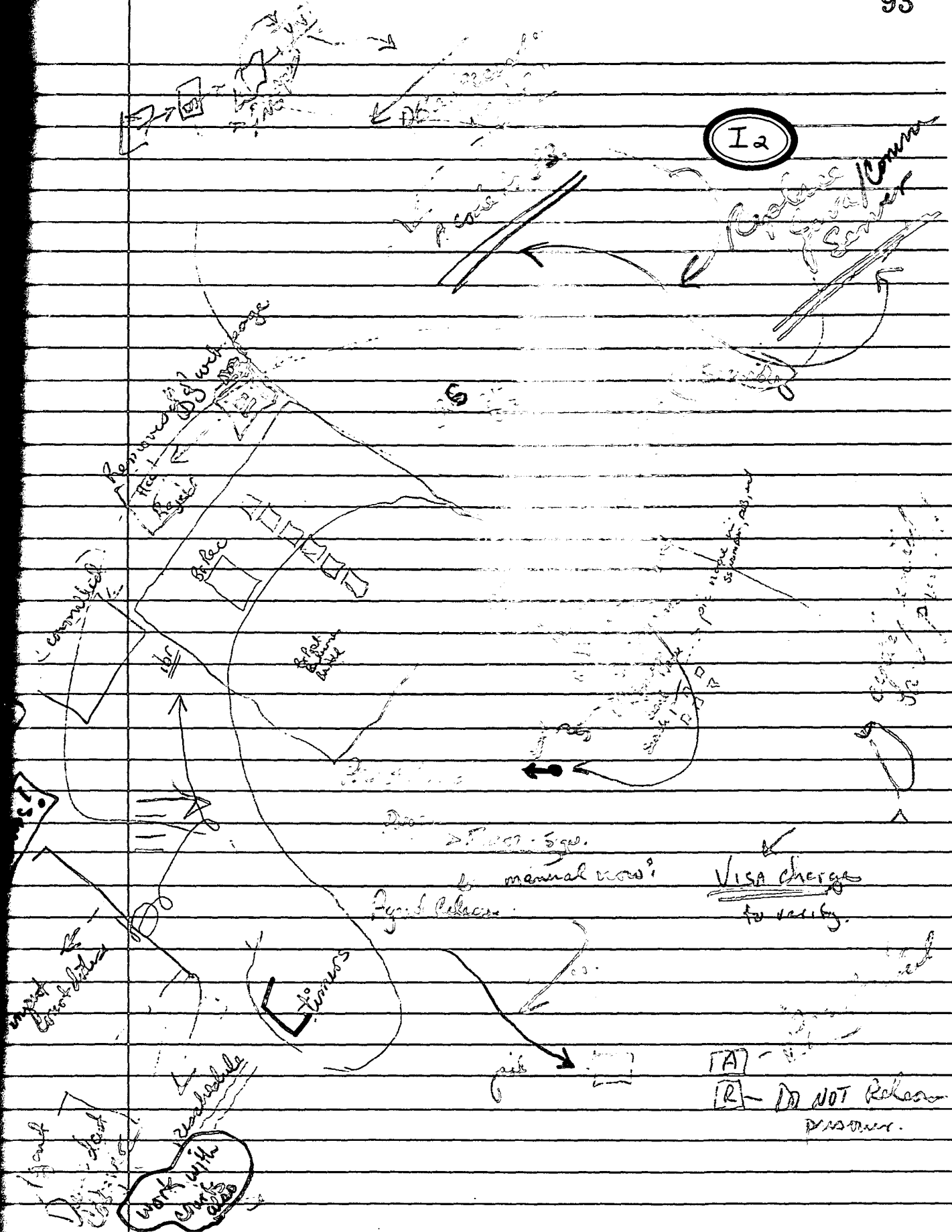
Main



SP. IN 8/11

ADP

End Work



I2

Server

work with Chris also

[A] -  
[R] - DO NOT Release  
PROMISE.

VISA charge  
for verify.

manual work?  
to manual work?

to timers



2/15/02

Cyber-wire files  
using -x Display Directory



| File Name   | File Size | Last Modified    |
|-------------|-----------|------------------|
| Account.asp | 6886      | 8/18/2000 13:58  |
| Account.asp | 0         | 8/18/2000 14:48  |
| Account.asp | 606       | 8/18/2000 18:01  |
| Account.asp | 2514      | 8/23/2000 18:16  |
| Account.asp | 2171      | 9/14/2000 11:43  |
| Account.asp | 174       | 9/14/2000 11:43  |
| Account.asp | 2388      | 9/14/2000 11:43  |
| Account.asp | 54272     | 10/1/2000 15:27  |
| Account.asp | 1525      | 10/4/2000 13:22  |
| Account.asp | 988       | 10/13/2000 18:04 |
| Account.asp | 7404      | 10/13/2000 18:04 |
| Account.asp | 781       | 10/23/2000 18:29 |
| Account.asp | 4102      | 10/25/2000 18:12 |
| Account.asp | 91138     | 10/28/2000 18:05 |
| Account.asp | 477       | 11/14/2000 4:51  |
| Account.asp | 488       | 11/14/2000 4:51  |
| Account.asp | 248       | 11/18/2000 17:44 |
| Account.asp | 477       | 11/18/2000 17:46 |
| Account.asp | 17130     | 11/19/2000 12:36 |
| Account.asp | 8728      | 11/19/2000 12:36 |
| Account.asp | 6242      | 11/19/2000 12:36 |
| Account.asp | 5839      | 11/19/2000 12:36 |
| Account.asp | 6008      | 11/19/2000 12:37 |
| Account.asp | 4835      | 11/19/2000 12:37 |
| Account.asp | 4522      | 11/19/2000 12:37 |
| Account.asp | 4146      | 11/19/2000 12:37 |
| Account.asp | 1131      | 11/19/2000 12:37 |
| Account.asp | 901       | 11/19/2000 12:38 |
| Account.asp | 817       | 11/19/2000 12:38 |
| Account.asp | 813       | 11/19/2000 12:38 |
| Account.asp | 796       | 11/19/2000 12:38 |
| Account.asp | 18828     | 11/28/2000 12:03 |
| Account.asp | 4832      | 11/28/2000 12:03 |
| Account.asp | 383       | 11/28/2000 3:04  |
| Account.asp | 249       | 11/28/2000 15:31 |
| Account.asp | 20108     | 12/7/2000 14:33  |
| Account.asp | 20642     | 12/7/2000 14:33  |
| Account.asp | 20884     | 12/7/2000 14:33  |
| Account.asp | 12305     | 12/8/2000 12:29  |
| Account.asp | 1344      | 12/8/2000 12:29  |
| Account.asp | 1205      | 12/8/2000 12:29  |
| Account.asp | 2027      | 12/8/2000 12:29  |
| Account.asp | 4813      | 12/8/2000 12:29  |
| Account.asp | 4226      | 12/8/2000 12:29  |
| Account.asp | 11801     | 12/8/2000 13:43  |
| Account.asp | 2448      | 12/11/2000 7:18  |
| Account.asp | 8125      | 12/11/2000 7:18  |
| Account.asp | 4908      | 12/11/2000 7:18  |
| Account.asp | 2784      | 12/11/2000 7:18  |
| Account.asp | 70775     | 12/11/2000 19:24 |
| Account.asp | 3902      | 12/15/2000 14:31 |

|                    |        |                  |
|--------------------|--------|------------------|
| breakout.mdb       | 20480  | 12/27/2000 10:49 |
| PrintPnt.asp       | 836    | 12/27/2000 10:57 |
| Debug.asp          | 2289   | 12/27/2000 10:57 |
| DISRep.asp         | 2706   | 12/27/2000 10:57 |
| Calc.asp           | 2552   | 12/27/2000 14:24 |
| AgentEdit.asp      | 5225   | 12/27/2000 14:45 |
| PrintDIS.asp       | 18100  | 12/27/2000 15:21 |
| DISEntry.asp       | 18897  | 12/27/2000 16:30 |
| Court1.asp         | 1370   | 12/27/2000 16:11 |
| IndRec.asp         | 0      | 12/30/2000 13:58 |
| wframe.asp         | 834    | 12/30/2000 14:04 |
| wstart.asp         | 242    | 12/30/2000 14:04 |
| wbawior.asp        | 229    | 12/30/2000 14:04 |
| wcontrol.asp       | 3648   | 1/3/2001 8:42    |
| Bounty1.asp        | 22014  | 1/3/2001 12:57   |
| MiscCoda.asp       | 34812  | 1/3/2001 12:58   |
| BBond.ccx          | 42688  | 5/18/2001 5:08   |
| index_old.html     | 941    | 5/18/2001 14:58  |
| Default_harish.asp | 808    | 5/18/2001 15:10  |
| bondsava.mdb       | 816448 | 7/17/2001 18:05  |
| defines.inc        | 4812   | 7/17/2001 21:40  |
| AdminAdd.asp       | 5775   | 7/17/2001 23:08  |
| Bond.doc           | 452086 | 7/18/2001 22:18  |
| AdminEdit.asp      | 5615   | 7/18/2001 22:19  |
| AdminRecords.asp   | 2601   | 7/18/2001 22:19  |
| AgentRecords.asp   | 2635   | 7/18/2001 22:19  |
| AgentAdd.asp       | 9757   | 7/18/2001 22:19  |
| brpost.asp         | 70775  | 8/18/2001 11:52  |
| qpost.asp          | 70775  | 8/18/2001 11:52  |
| design.htm         | 788    | 8/18/2001 12:29  |
| changes.htm        | 249    | 8/18/2001 12:29  |
| vssver.scc         | 1686   | 8/18/2001 12:29  |
| PDISAcct.asp       | 12770  | 8/18/2001 12:49  |
| notes.htm          | 602    | 8/18/2001 12:49  |
| updates.htm        | 940    | 8/18/2001 12:50  |
| test3.htm          | 2783   | 8/21/2001 4:04   |
| PrintEnd.asp       | 16422  | 8/21/2001 4:05   |
| IndLogout.asp      | 1722   | 8/21/2001 5:22   |
| test.htm           | 2754   | 8/21/2001 5:48   |
| bugs.htm           | 644    | 8/21/2001 6:01   |
| testlll.htm        | 440    | 8/21/2001 13:54  |
| Flags3.xls         | 58382  | 8/28/2001 17:04  |
| IndSearch.asp      | 4551   | 8/30/2001 18:55  |
| bp1_redirect.asp   | 344    | 2/21/2002 21:15  |
| CourtBond.asp      | 28080  | 2/21/2002 21:25  |
| MoreInfo.asp       | 1712   | 2/21/2002 21:25  |
| CompResp.asp       | 1781   | 2/21/2002 21:25  |
| global.asp         | 1132   | 2/21/2002 21:26  |
| IndLogin.asp       | 28682  | 2/21/2002 21:26  |
| Start.asp          | 22999  | 2/21/2002 21:26  |
| AgentLogin.asp     | 12528  | 2/21/2002 21:26  |
| DISSearch.asp      | 8251   | 2/22/2002 0:50   |

Subj: **Your Certificate (johnguymon@aol.com)**  
Date: 1/3/01 9:16:31 AM Mountain Standard Time  
From: trustsource@digsigtrust.com  
To: johnguymon@aol.com



Dear John Guymon Jr,

Your Autovetted DST TrustSource Certificate request has been approved. Please visit the following web address to retrieve your new certificate. You will be asked to use the following Activation Code and enter the passphrase you selected when you submitted your request.

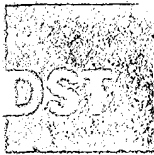
<https://secure.digsigtrust.com/ts/retrieve.html?act=1488678544>

Activation Code: 1488678544

TrustSource Certification Service  
Digital Signature Trust Co.  
Salt Lake City, Utah  
trustsource@digsigtrust.com

----- Headers -----

Return-Path: <trustsource@digsigtrust.com>  
Received: from rly-yh02.mx.aol.com (rly-yh02.mail.aol.com [172.18.147.34]) by air-yh01.mail.aol.com (v77.31) with ESMTTP;  
Wed, 03 Jan 2001 11:16:31 -0500  
Received: from monet.digsigtrust.com (mail.digsigtrust.com [208.30.69.30]) by rly-yh02.mx.aol.com (v77.27) with ESMTTP;  
Wed, 03 Jan 2001 11:16:17 -0500  
Received: from digsigtrust.com (folon.digsigtrust.com [208.30.69.51])  
by monet.digsigtrust.com (8.10.2/8.10.2) with SMTP id f03GGGY16923;  
Wed, 3 Jan 2001 09:16:17 -0700 (MST)  
Date: Wed, 3 Jan 2001 09:16:17 -0700 (MST)  
Message-Id: <200101031616.f03GGGY16923@monet.digsigtrust.com>  
From: trustsource@digsigtrust.com  
Subject: Your Certificate (johnguymon@aol.com)  
To: johnguymon@aol.com



**DIGITALSIGNATURETRUST**  
Guaranteeing Identity in Digital Transactions



**DST TrustSource® Certification System**  
Status Screen

Success! Your new certificate has been successfully installed. The contents of your new certificate are presented below for your review. You have the duty to review the certificate and its contents for accuracy. DST records the act of certificate acceptance. If you do not notify DST immediately of any errors, defects, or any other problems with your certificate, you will be deemed to have accepted the certificate.

Continue

**Certificate Information**

**SUBJECT INFORMATION:**

- Common Name: vickie l guymon
- E-mail Address: vickieguymon@msn.com
- Organization Name: Demo certificate
- Organization Unit: Subscriber not verified
- Country Name: US

**ISSUER INFORMATION:**

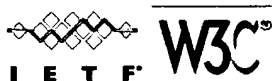
- Common Name: DEMO CA A5
- Organization Name: Digital Signature Trust Co.
- Organization Unit: DST Demo Certificate
- Country Name: US

Certificate Version: X.509v3  
Valid From: Sat May 25 11:39:36 MDT 2002  
Valid To: Fri Aug 23 11:39:36 MDT 2002  
Serial Number: 1AC400FFEFE3C33CDC4145A311407F82  
Fingerprint: A671A62BFB6FD75717C0AF2BBA36DFE3

**CRITICAL EXTENSIONS:**

**NON-CRITICAL EXTENSIONS:**

- NetscapeComment [NetscapeComment: DST Demo certificate: SUBSCRIBER IDENTITY NOT VERIFIED. ISSUER DISCLAIMS ALL LIABILITY. SEE TERMS AND CONDITIONS OF USE AT: <http://www.digsigtrust.com/certificates/policy/tsindex.html> ]
- CertificatePolicies [certificatePolicy[0]: policyIdentifier:



# XML-Signature Syntax and Processing

W3C Recommendation 12 February 2002

**This version:**

<http://www.w3.org/TR/2002/REC-xmlsig-core-20020212/>

<http://www.ietf.org/rfc/rfc3275.txt>

**Latest version:**

<http://www.w3.org/TR/xmlsig-core/>

**Previous version:**

<http://www.w3.org/TR/2001/PR-xmlsig-core-20010820/>

<http://www.ietf.org/rfc/rfc3075.txt> [corresponds to [CR-xmlsig-core-20001031](#)]

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---

## Abstract

This document specifies XML digital signature processing rules and syntax. XML Signatures provide *integrity*, *message authentication*, and/or *signer authentication* services for data of any type, whether located within the XML that includes the signature or elsewhere.

## Status of this document

This document has been reviewed by W3C Members and other interested parties and has been endorsed by the Director as a W3C Recommendation. It is a stable document and may be used as reference material or cited as a normative reference from another document. W3C's role in making the Recommendation is to draw attention to the specification and to promote its widespread deployment. This enhances the functionality and interoperability of the Web.

This specification was produced by the IETF/W3C XML Signature Working Group ([W3C Activity Statement](#)) which believes the specification is sufficient for the creation of independent interoperable implementations; the [Interoperability Report](#) shows at least 10 implementations with at least two interoperable implementations over every feature.

44

In the Bountiful 2nd District Court, County of Davis  
State Of Ut



**UNDERTAKING  
OF BAIL**

John Lewis Guymon  
DOB: 07/28/66  
SSN: 528990123

Case No.: CaseNumHERE  
Judge: Judge Johnson

An order was made on the date of April 24, 2002 by the above court, that the defendant be held to answer upon the charges of

Code Number HERE 9999 Cash only

Bail was set in the amount of \$123,456,789.00 dollars.

We, iBailBonding.Com, a licenced company in the State of Utah as surety, hereby undertake that the defendant will appear and answer to the charges mentioned above in whatever court it may be required to appear in. We hold him/her self amenable to other orders and process of the court, and if convicted, we will render him/herself in execution thereof, or if he/she fails to perform any of these conditions, we will pay to the above named court the sum of \$123,456,789.00 dollars. If iBailBonding.Com does not make payment within 180 days after failure to appear of the defendant, judgment shall be entered on motion of the Prosecuting Attorney with notice, against us as sureties, for the amount set forth above.

The above undertaking is hereby accepted, and sureties approved this \_\_\_\_ day of \_\_\_\_\_, 20\_\_

Judges Signature

THE STATE OF TEXAS  
COUNTY OF ELLIS

Know All Men By These Present:

THAT I, Kenneth Wayne Ramirez, as Principal, and SAFETY NATIONAL CASUALTY CORP., AAA Bail Bonds as sureties are held and firmly bound unto the State of Texas in the penal sum of [WORDS]20000.00 (\$20000.00) dollars, for the payment of which sum, well and truly to be made, we bind ourselves, our heirs, executors, and administrators, jointly and severally by these present.

N2

The Condition of the above obligation is such that whereas the above named principal stands charged with the listed offense(s), to-wit:

Felony Code 11.01 PC9 over 4 gm Under 200 20000

in Cause No. 26,348CR in the Ellis-Other Court Court of Ellis County, Texas.

NOW, if the said Kenneth Wayne Ramirez shall well and truly make his appearance before said court instanter, or upon notice of the court and further shall well and truly make his personal appearance before any Court or Magistrate to which said charge may be transferred or before whom this cause may hereafter be pending at any time when, and any place where his presence may be required under the Code of Criminal Procedure of the state of Texas or by any Court of Magistrate, and for all subsequent proceedings had relative to said charge and there remain from day to day and term to term until discharged by due course of law, then and there to answer the said accusations against his, then this obligation shall become null and void; otherwise to remain in full force and effect.

This Bond shall also be conditioned that the principal and sureties, if any, will pay all necessary and reasonable expenses incurred by any and all sheriffs and peace officers in re-arresting the principal in the event he fails to appear before the Court or Magistrate named in this bond at the time stated.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

(Principal) Kenneth Wayne Ramirez  
1246 Maraco

Approved on this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Dallas Dallas TX 75211

Magistrate(Judge)  
Or Ray Stewart, Ellis County Sheriff

**iBailBonding.com** 2003.03.25.1139 X.509v3  
EFC1A812 654E7F708 5D30F854  
2003.03.25.1139 SH 1AC400FFEF3C33C0C4145A311407482  
2003.08.23.1139 FH A671A62BF86FD75717C0AF2BBA36DFE3

(Surety) SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent  
200 South Elm Street  
Waxahachie Texas, 75165  
(972) 923-0191 or  
(972) 923-3760

DEPUTY

\*\*\*\*\*

**OATH OF SURETY**

THE STATE OF TEXAS  
COUNTY OF ELLIS

Each of the undersigned swears that he/she is worth, in his own right, the sum set opposite his signature, after deducting from his property all that which is exempted by law and the constitution of said State from Forced Sale, and After the payment of all his debts of every description, whether individual or security debts, and after satisfying all encumbrances upon his property which are known to him/her, that he resides in the County of Ellis, State of Texas and has Property in this State, liable to execution, worth the said sum or more.

The said AAA Bail Bonds, Dusty Autrey, Agent, the sum of TWICE THE AMOUNT OF BOND dollars,  
The said SAFETY NATIONAL CASUALTY CORP., the sum of TWICE THE AMOUNT OF BOND dollars.

**iBailBonding.com** 2003.03.25.1139 X.509v3  
EFC1A812 654E7F708 5D30F854  
2003.03.25.1139 SH 1AC400FFEF3C33C0C4145A311407482  
2003.08.23.1139 FH A671A62BF86FD75717C0AF2BBA36DFE3

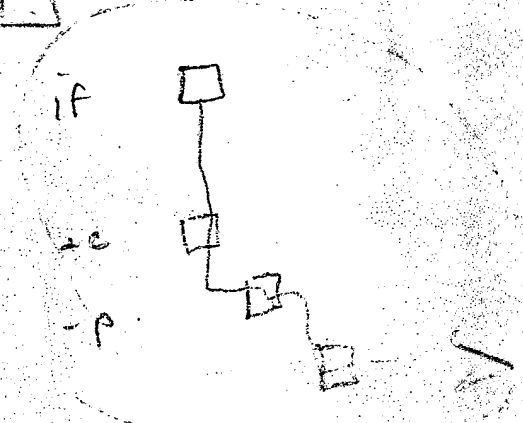
(Surety) SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

| Bond Information                            |           |               |      |       |      |
|---------------------------------------------|-----------|---------------|------|-------|------|
| NG                                          | 9/27/2002 | 1             | 1231 | 11111 | aaaa |
| PNG                                         | 9/27/2002 | 2             | 1232 | 22222 | bbbb |
| PNG                                         | 9/27/2002 | 3             | 1233 | 3333  | cccc |
| PNG                                         | 9/27/2002 | 4             | 1234 | 4444  | dddd |
| PNG                                         | 9/27/2002 | 5             | 1235 | 5555  | eeee |
| PNG                                         | 9/27/2002 | 6             | 1236 | 6666  | ffff |
| PNG                                         | 9/27/2002 | 7             | 1237 | 7777  | gggg |
| Balance Starting (as of 9/27/2002)          |           |               |      |       | 0    |
| Initial Fees                                |           |               |      |       |      |
| Bond Fee:                                   |           | 7 Bonds Total | 8638 |       | 0    |
| Credit Fees                                 |           |               |      |       | 0    |
| Extra Fees                                  |           |               |      |       | 0    |
| Total Fees                                  |           |               |      |       | 0    |
| Charges                                     |           |               |      |       |      |
| Mileage                                     |           |               |      |       |      |
| Hour                                        |           |               |      |       |      |
| Expenses                                    |           |               |      |       |      |
| 10/7/2002                                   |           | Agent         | ex   | 777   |      |
| Payments                                    |           |               |      |       |      |
| Balance Ending (as of 7/6/2003 12:55:45 PM) |           |               |      |       | 777  |

0

B, BA



TOT

Charges  
Paid

Done  
3/26



### Electronic Surety Bond

*Laws to cater to not - Law of*

*Security  
+ safety*

#### Introduction

When you use iBailBonding, the Internet, and PKI (Public Key Infrastructure) together, it is more secure to issue surety bonds, and more cost-effective. With every step of technology (the changes are included, there is a point ... changes occur that are timely possible, and are necessary. As technology steps, changes occur.). The process is simple, its implementation is via collection of web pages.

We are iBailBonding.com. We will collect, accept, approve, ask, then deliver the bond. Accounting and administrative things can be done. One step confirmation of bond ensures its Delivery intent, allowing a dynamically monitored real time of the events that follow.

*NEC to  
frank, in  
account*

#### Purpose

Many purposes exist for the need of the electronic bond. Over a year, new systems continue into place, allowing new systems to come about.

Using PKI, confirmed online delivery, control numbers, codes, and guide, commerce is now customary, and the process of online bonding is equally now possible and secure. ~~Using the PKI, the process is now secure, environment friendly.~~ With secure sites and communication process procedures, acknowledgements, accounting, accountability, ease, speed, it is easier to process, correct procedures can take place of conventional get-around currently in place (being done), streamlining accountability, issuance, acceptability. New limits of control, confirmation, accounting, and delivery. (This is the purpose)

#### Delivery, Security, Standards:

##### Delivery

- Final Acceptance/Delivery acknowledgment
- Confirmation
- Guaranteed delivery
- Secured connection
- Return code, verification screen

*pic 1*

- Accounting
- Real Time
- Approval
- Accountability
- Speed of bond to release faster and predictable.
- Improving Communication be
- Notification of receipt of ref number, WEBPAGE
- Encrypted XML assembly.
- PKI with file, etc, return code

##### Security

- Security - Secured Environment
- Tracking - Verification full (Return of internal code), Affirmation
- Integrity Guarantee
- Multi-layered signature
- Efficiency throughout approval/creation process
- Requires LA Sign.
- Can include approval of LA

##### Standard / Laws

- Authentic paper, unaltered, original document, no faxes or copies
- Unifying Process - Uniformity
- Standard e-commerce document, eliminating paperwork
- LAWS

## Electronic Surety Bond

Acceptance Proposal  
Tuesday, August 20, 2002

iBailBonding.Com  
<http://www.ibailbonding.com>

*Handwritten notes and scribbles, including '20020' and '20020'.*



*Handwritten signature or scribble.*



BS



# Electronic Surety Bail Bond

Acceptance Proposal

**eSurety Power**  
<http://www.eSuretyPower.Com>

**iBailBonding.com**  
<http://www.iBailBonding.Com>

Thursday, June 23, 2005  
eSweet

## 2. Advantages



The *eSuretyBailBond* offers substantial advantages to the current surety bail bond, specifically in the areas of its security, contents, creation, delivery, reception and archival history. These advantages are indicated below:

### 2.1 Security

The *eSuretyBailBond*'s foremost attention is placed on making the process secure. Security is created by adherence to local, state, and/or federal laws. Further, security is increased with digital signatures, efficiency, acknowledgements, and proper data handling. Finally, the *eSuretyBailBond* incorporates proven technology for data verification, including third party verification and authorization.

Multiple points of involvement are part of the *eSuretyBailBond*'s process, those of laws, acknowledgements, verifications, and data handling. The result creates a perfect solution in all respects, from the bonding company's handling of data to the Commerce's handling.

#### *eSuretyBailBond*'s – Security Advantages

- Properly notarized legal binding document creation and issuance.
- Invincible, ill-fraudulent and undisputable authentication of issuing parties.
- Digital signatures in several forms with notarization and/or third party conformations.
- Incorporated data verification via multiple issuing party personnel.
- 'Real-time' issuing party's creation and approval without pre-assignment.
- Undisclosed viewing and handling for only recipient parties.
- Content integrity and assuredness with prevention of future alterations. (Tamper Proof)
- Independent private proprietary re-analysis and confirmation. (Vendor Verify)
- Content verification via 'open source' 3<sup>rd</sup> party checksum utility.
- Relieves the possibility of loss, change or other alteration.
- Original only and no phantom copies, ex via Fax.
- Individual world-wide unique number controlled processing.
- Specific receiving allowance of particular party only.
- Controlled proper pre-allocation supporting all actions without pre-sign/ pre-notarization.
- Encrypted internal data formatting prevents reproduction.
- Data reduction and purification with representation.

### 2.2 Contents

The *eSuretyBailBond*'s content format is an all inclusive, complete data record in its simplest form. It supplies a solution for both 'XML text' data entry and 'Graphic image' viewing or printing. The CRC (cycle redundancy check) validation in several layers confirms validity of all phases of data handling.

The data supplied is complete and all the required information needed for processing of all parts of the *eSuretyBailBond*.

#### *eSuretyBailBond*'s – Formatting Advantages

- Simple creation, delivery, history review and accountability.
- Includes graphic presentable security including full color additions.
- Guarantees exact original document reproduction.
- Includes graphical representation of document data, identification or transaction history.
- Documents are properly notarized.

## 2.3 Creation



The *eSuretyBailBond*'s creation process procedures are properly in place and in control. The creation creates a time reduction for data collection and process implementation.

Parties are securely in control of their involvement in the creation of the *eSuretyBailBond*. Further, creation is by approved personnel only. Interaction of many involved parties combine and enhance areas of trust, thoroughness, and procedure following, supporting proper creation.

Easy, secure, absolute control of **extended** creation processes, using automation to move data where needed. Errors in creation are reduced or eliminated. Fraudulent actions and unavoidable errors are removed. Validation, of all those involved, completes security.

### *eSuretyBailBond*'s – Creation Advantages

- Correctly followed procedure and approval processes.
- Reduction in time and cost for distribution, verification, administration and enforcement.
- Improved collaboration among bonding and surety companies and bond recipients.
- Unmistakable validity and absolute control of issuance.
- Pre-allocated documents properly controlled in real time.
- Allocation management of surety extensions and/or limits.
- Simplified entry point for vendor addition possibilities.
- Complete 'Non- Repudiation' of issuing parties.
- Ensures valid legal surety signatures anytime anywhere without affect or compromise.
- Controls bond issuance according to involvement allowed or surety power limits.
- Generation of only proper necessary paperwork.
- Immediate receiving party dedication via request.
- Reduces job errors due to structured 'development' process.
- Removes fraudulent surety signatures while ensuring valid signatures at anytime.
- Removes fraudulent agent interaction.

## 2.4 Delivery

Data of the *eSuretyBailBond* is collected and delivered in moments and not minutes, resulting in a substantial decrease in delivery time. Additionally, travel time is virtually removed, having the remaining time scheduled and efficiently planned. The net results are substantial, with 'real-time' authorizations and approvals.

Information in the *eSuretyBailBond* is proper, and presented in the simplest and most concise format available.

### *eSuretyBailBond*'s – Delivery Advantages

- Consistent reliable delivery and acceptance for recipient parties.
- Substantially faster deployment of bail proceedings.
- Reduction or elimination of physical travel increases overall safety for all.
- Easy additional forms accommodate defendant and bonding company interaction.
- Outside vendor processing and delivery to the final receiving party equalizes support for all parties.
- Secured site data exchange further guarantees data arrival.
- Simplest document delivery system.

## 2.5 Reception



The **eSuretyBailBond** is a simple Internet document, requiring a simple Internet document print. Tools available to verify the electronic image of the document, are readily available and free of charge.

Simple email correspondence will deliver the **eSuretyBailBond**. With web support, common everyday Internet browsers, and proper navigating locations can obtain and verify data dynamically.

The **eSuretyBailBond** is a complete file, offering both 'XML text' and a 'graphic image'. This single graphic file is displayable in common browser software, and is a simple file for a CRC check to confirm data file verification or to print for visual confirmation.

### **eSuretyBailBond's** – Reception Advantages

- Acknowledgement of delivery.
- Eliminates or reduces congestion at recipient location.
- Presents bond in both electronic and paper forms without conversion or interpretation.
- Allows text only data presentation with simple view of bond file.
- Presents immediate easy graphical viewing with standard Internet exploring software.
- Allows redistribution/exact copy forwarding using standard electronic data delivery.
- Allows immediate batch processing of internal data.

## 2.6 History

Processing verified, including record of original document, and can not be altered. Data is available for review at anytime and is in a simple format. Extra confirmations are done simply, over the Internet. The **eSuretyBailBond** contains its own internal history available in several simple formats.

Additional information can be retrieved for confirmation. Other features, known exclusively to the vendor of the **eSuretyBailBond**, are in place that can be presented at a later time for Court proceedings or Correctional Facility verifications. These data elements are not accessible and are not reproducible, and are privately held. At proper times, these items are released to further show data correctness.

### **eSuretyBailBond's** – Archival History Advantages

- Meticulous binding transactional history of creation and delivery.
- Recipient parties' plentiful and easily accessible transactional history information.
- Creates worldwide unique id information allowing reference tracking and referral.
- Allows future dynamic processing, history review, exoneration and/or forfeiture.
- Allows immediate optimized, efficient and direct data storage.
- Supplies internal XML formatted data record for easy data incorporation.
- Further vendor data elements are available for advanced verifications.

## 7.2 Security



Digital signatures are used in two different formats in the *eSuretyBailBond*'s generation. The first format is the digital signature issued by authorized Certificate Authorities, licensed by the State, with statutes imposed to create trust sufficient to warrant enhanced legal recognition. The other format is the digital signature acknowledgement made with an electronic transaction by a user having an ID, password and other information as part of the transaction. Proper management of these signatures allow the generation of the *eSuretyBailBond* to be completely safe and secure and established exactly as desired.

Established CRC checks within the creation of the *eSuretyBailBond* allow data to be created and contained, without modification possibilities. Further, when multiple data sets are incased in the overall *eSuretyBailBond* document, the document becomes validated to extent~~s~~ more than secure, offering no chance of alterations anywhere in the process.

Finally, using third party reverse 'Vendor Verification' forces all *eSuretyBailBond* documents to be as originally supplied by the vender. Only internal proprietary processes are available to the vender, which are unknown to any all other parties, thus creating guaranteed acknowledgement with a simple request of document verification. The simple process of the submission of the original document is done over a secured connection offering even more protection. A return acknowledgement is returned over the same secured connection, therefore competing the request and acknowledgment process.

### (a) Trust requirements

The *eSuretyBailBond* has many areas addressing the trust of its generation. Trust is presented and controlled concerning the people involved in data generation. Trust is delivered with the submitted document, knowing the data incorporated is correct and verified for authenticity.

The history accountability of the *eSuretyBailBond* document is easily verified as a simple single data file, as presented. Additionally, with secure electronic transactions, process conformities, and notarization approvals, the *eSuretyBailBond* becomes completely trusting and secure.

The *eSuretyBailBond* supports four key points of required trust attributes. These attributes together develop an involvement of trust, supporting complete transaction acceptance. These key points are: Authenticity, Integrity, Confidentiality, and Non-Repudiation.

#### (i) Authenticity

The wide support of digital signature technology implemented in the *eSuretyBailBond* ensures proper handling of data by only authorized parties. This confirms proper processing of correct methods by the proper individuals involved, thus supporting information authenticity.

Date: (Not submitted)

BOND APPLICATION

BL/SO#:

INDIVIDUAL INFORMATION

NAME: **Kenneth Wayne Ramirez**  
 FTA: n/a  
 REMARKS: This is info from 11/17/01 Case 26,348CR  
 SCARS/MARK: Something was written there...  
 ADDRESS: 1246 Maraco Dallas Dallas TX 75211

DOB: 11/23/1960  
 SSN: 452-37-2761  
 DL#: UT 09351776  
 BIRTH LOC: ERROR  
 GENDER: Male  
 RACE: Hispanic  
 HEIGHT: 5' 7 -170cm  
 WEIGHT: 150lbs - 68kg  
 EYES: Brown  
 HAIR: Short Brown Straight  
 BODY TYPE: Average  
 PROBATION: ERROR  
 PAROLE: ERROR

EMPLOYER: Maurice Wilson 1242 M Maracco (214) 337-3320 Dallas Dallas TX 75211  
 VEHICLE: n/a  
 SPOUSE: ERROR  
 ATTORNEY: ERROR  
 EMPLOYER: ERROR  
 PHONE #: ERROR

REFERENCES

Desi Ramsey  
 Betrice Navarro  
 Linda Navarro  
 Jimmy Gibson  
 Kenneth Wayne Ramirez

Relative Other  
 Relative Mother 3342 Cloverdale TX  
 Relative Relative Farmer Branch TX 75234  
 Friend Friend New York Ave Dallas TX  
 Cosigner Defendant Irving TX  
 1246 Maraco Dallas Dallas TX 75211

(972) 406-1062  
 (214) 356-2765  
 (817) 784-1150  
 (972) 554-7260 (Old)  
 (214) 337-3320

STARTING BALANCE

| BONDS          | Number  | Court                 | Charges                           | Bail C   | Bond     | Fees                 | Net      | (Not submitted) | 0.00                                  |
|----------------|---------|-----------------------|-----------------------------------|----------|----------|----------------------|----------|-----------------|---------------------------------------|
| 10/07/2003     | TestNo1 | TX, Ellis-Other Court | CodeNoXX, PCS over 4 gm Under 200 | 20000    | 20000.00 | 2000.00              | 2000.00  |                 |                                       |
|                |         |                       |                                   |          |          | [Bail Instance Fees] | 30/18/10 | 58.00           |                                       |
| Totals         |         |                       |                                   | 20000.00 | 20000.00 | 2000.00              | 2000.00  | 2000.00         | 2000.00                               |
| ENDING BALANCE |         |                       |                                   |          |          |                      |          |                 | (as of 10/07/2003 @ 04:30:39) 2000.00 |

TERMS: ERROR 1st PAYMENT DUE: ERROR

8/21/03  
 BSS

759  
 4366



BAIL BOND INDEMNITOR'S PROMISES

\*\*\*\* READ CAREFULLY, YOU ARE ASSUMING SPECIFIC OBLIGATIONS \*\*\*\*



DEFENDANT INFORMATION

Name: Kenneth Wayne Ramirez

INDEMNITOR INFORMATION

NAME: Kenneth Wayne Ramirez

SSN: 452-37-2761

ADDRESS: 1246 Maraco Dallas Dallas TX 75211

LEN: ERROR

DOB: 11/23/1960

EMPLOYER: ~~Marina Wilson 1242 M. Maraco (214) 223-2000 Dallas TX 75211~~

- 1. CONSIDERATION The consideration or cause of this agreement is the posting of a bail bond by surety on behalf of ~~DEFENDANT~~ in the above named court.
- 2. INDEMNIFICATION I, the undersigned hereby agree to save and hold the surety and its agents and/or assigns from any loss whatsoever resulting from the failure of the above named defendant to appear in court as ordered. I, the undersigned, hereby agree to pay all costs (\$500.00 minimum charge) associated with the failure of the above named defendant to appear in court as ordered, in U.S. District Court, its agents and/or assigns upon the failure of the above named defendant to appear in court as ordered. A copy of a judgment of bond or forfeiture naming the above named defendant shall be prima facie evidence of loss sustained by surety and its agents and/or assigns.
- 3. JURISDICTION AND VENUE I, the undersigned, hereby agree and stipulate that any Court of proper jurisdiction within the County of ~~?????~~ State of ~~?????~~Texas, is a convenient and proper forum to litigate any dispute under this agreement.
- 4. WAIVER AND AUTHORIZATION I, the undersigned hereby waive any and all rights, benefits and protection provided to me pursuant to the Fair Debt Collection Act and any other similar state and/or local statute. Additionally, I hereby authorize the holder of this instrument to ~~use~~ any information given above to pursue the collection of any debt that may be owed.
- 5. SEVERABILITY The provisions of this agreement are severable and if for any reason any provisions of this agreement shall be declared ~~invalid~~ or unenforceable, then such provision or provisions shall be considered as not written and the remainder of this agreement shall remain ~~valid~~ and enforceable.

PROMISSORY NOTE

Date: \_\_\_\_\_

For value received, I the undersigned, unconditionally promise to pay to bearer on demand the amount of \_\_\_\_\_, with interest after demand in the amount of 12%. The maker of this note and endorsers, guarantors and sureties hereon, hereby severally waive presentment for payment, notice of non-payment, protest, notice of protest, citation and service of petition, all legal delays and confess judgment in favor of any legal holder, and all pleas of division and discussion, and agree that the time of payment hereof may be extended from time to time, one or more times, without notice of extension or extensions and without previous consent hereby binding themselves in solidarity, unconditionally and as original promissors, for the payment thereof in principle, interest, costs, and attorney fees. No delay on the part of the holder hereof and exercising and rights hereunder shall operate as a waiver of such rights.

Should this note not be paid at maturity or when due or demandable, as herein provided, or should this note be placed in the hands of an attorney for an reason the makers, endorsers, guarantors and sureties and each of them hereby agree to pay the fees of such attorney, which are hereby fixed at 33 1/3% on the amount then due on this note together with interest and all costs (\$500.00 minimum charge.)

A married person signing this note is acting for and on behalf of the community of acquets and gains existing between him/her and his/her husband/wife and also binds him/her with respect to his/her separate and paraphernal property.

The provisions of this note are severable and if for any reason any provisions of this note shall be declared invalid or unenforceable, then such provision or provisions shall be considered as not written and the remainder of this note shall remain valid and enforceable.

INDEMNITOR SIGNATURE

DATE

RIGHT THUMB PRINT

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_.

NOTARY PUBLIC



Handwritten circled number 4

Handwritten text: A Receipt

Handwritten notes: *Receipt for the above... I have the above... separate...*

STATE OF TEXAS  
COUNTY OF ELLIS

Know All Men By These Presents

Q3

THAT I, Kenneth Wayne Ramirez, as Principal, and SAFETY NATIONAL CASUALTY CORP. as sureties are held and firmly bound unto the State of Texas in the penal sum of TWICE THE AMOUNT OF BOND (20000.00) dollars, for the payment of which sum, well and truly to be made, we bind ourselves, our heirs, executors, and administrators, jointly and severally by these present.

The Condition of the above obligation is such that whereas the above named principal, stands charged with the listed offense(s), to-wit:

Felony CodeNoXX PCS over 4 gm Under 200 20000

in Cause No. 26,348CR in the Ellis-Other Court Court of Ellis County, Texas.

NOW, if the said Kenneth Wayne Ramirez shall well and truly make his appearance before said court instanter, or upon notice of the court and further shall well and truly make his personal appearance before any Court or Magistrate to which said charge may be transferred or before whom this cause may hereafter be pending at any time when, and any place where his presence may be required under the Code of Criminal Procedure of the state of Texas or by any Court of Magistrate, and for all subsequent proceedings had relative to said charge and there remain from day to day and term to term until discharged by due course of law, then and there to answer the said accusations against his, then this obligation shall become null and void; otherwise to remain in full force and effect.

This Bond shall also be conditioned that the principal and sureties, if any, will pay all necessary and reasonable expenses incurred by any and all sheriffs and peace officers in re-arresting the principal in the event he fails to appear before the Court or Magistrate named in this bond at the time stated.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

(Principal)

Kenneth Wayne Ramirez  
1246 Maraco

Approved on this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Dallas Dallas TX 75211

Magistrate(Judge)  
Or Ray Stewart, Ellis County Sheriff

5'BC"  
5'BC

(Surety)

SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent  
200 South Elm Street  
Waxahachie Texas, 75165  
(972) 923-0191 or  
(972) 923-3760

DEPUTY

\*\*\*\*\*

OATH OF SURETY

THE STATE OF TEXAS  
COUNTY OF ELLIS

Each of the undersigned swears that he/she is worth, in his own right, the sum set opposite his signature, after deducting from his property all that which is exempted by law and the constitution of said State from Forced Sale, and After the payment of all his debts of every description, whether individual or security debts, and after satisfying all encumbrances upon his property which are known to him/her, that he resides in the County of Ellis, State of Texas and has Property in this State, liable to execution, worth the said sum or more.

The said AAA Bail Bonds, Dusty Autrey, Agent, the sum of TWICE THE AMOUNT OF BOND dollars,  
The said SAFETY NATIONAL CASUALTY CORP., the sum of TWICE THE AMOUNT OF BOND dollars.

(Surety)

SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_

5'5000  
no surety  
5'BC

NOTARY PUBLIC



6

Q4

AAA Bail Bonds  
200 South Elm Street  
Cedarashachie Texas, 75165  
(972) 923-0191 or  
(972) 923-3760  
24 Hour Service

**BAIL BOND AGREEMENT**

Name: Kenneth Wayne Ramirez

Charges: Felony CodeNoXX PCS over 4 gm Under 200 20000

Bond Fee: \$2000.00 Amount Paid \_\_\_\_\_ Balance \_\_\_\_\_

**YOU MUST REPORT TO THIS OFFICE 30 MINUTES BEFORE GOING TO COURT**

**The undersigned agrees to the following terms and conditions:**

1. To report to this bond office each Monday either by phone or in person until my case is disposed of. Check in number is (972) 923-0191.
2. To notify this office immediately of any change of address, telephone number, and place of employment.
3. To notify this office the name, address, and phone number of my attorney, if I decide to employ one.
4. To notify this office immediately if I receive any mail from the District Attorney's office or the Court where my case is pending.
5. To personally appear on all court dates or any other settings pertaining to this case. If I do not so appear, my bond will be forfeited, and a WARRANT will be issued for my re-arrest and charges for BAIL JUMPING will be filed on me.
6. I understand that this bond is effective for a period of one year from the date of this agreement. If at the end of one year my case is not disposed of an additional fee of 10% of the bond amount will be due upon demand. Failure to pay this amount will result in your bond being revoked and a warrant for my re-arrest will be issued.
7. I understand that in the event my case is dismissed, all money owed and/or paid to AAA Bail Bond other than ESCROW is not returnable and if a balance is due, the money due must be paid immediately.

**THE MONEY PAID OR OWED IS STRICTLY FOR JAIL RELEASE AND ASSURANCE OF COURT APPEARANCES.**

8. I agree and understand that the collateral held in escrow as described below, shall be retained until my case is disposed of and all bond fees have been paid. In the event this bonding company seeks to be relieved of liability of my bond because I have failed to comply with the terms of this agreement and/or my future conduct produces a risk to this bonding company, the collateral becomes the property of the bonding company.

Collateral or escrow put on deposit:

\_\_\_\_\_

I agree to pay \$ \_\_\_\_\_ (weekly, bi-weekly, monthly) beginning \_\_\_\_\_ until my balance of \$ \_\_\_\_\_ is paid in full. I understand in the event I become past due on my payments, my bond will be revoked and a WARRANT will be issued for my re-arrest.

A \$5.00 late charge will be applied to accounts that are 7 days past due. After 14 days your bond will be revoked and a WARRANT will be issued for your re-arrest.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC  
State of Texas

CAUSE NO: 26:348CR

STATE OF TEXAS

IN THE Ellis-Other Court COURT

Handwritten notes: 300, 300, 300, 7, A, 1380

Handwritten circled number: 25

Kenneth Wayne Ramirez

ELLIS COUNTY, TEXAS

**AFFIDAVIT TO RELEASE SURETY**

TO THE HONORABLE JUDGE OF SAID COURT:

Comes now AAA Bail Bonds, SAFETY NATIONAL CASUALTY CORP., Surety, hereinafter, Affiant, and respectfully shows the Court that he/she is the surety on the appearance bond of the defendant in the above entitled and numbered cause, wherein the said defendant has been charged with the following offense(s)

Felony CodeNoXX PCS over 4 gm Under 200 20000

and has been released on a bond of the sum of \$20000.00. Affiant would show the Court that the defendant is within the state of Texas and within the jurisdiction of this Court and Affiant desires to surrender the said defendant to the Court and to be relieved upon the appearance bond herein.

Affiant would further show that the date of said bond was 10/07/2003 and, the reason of the surrender of the defendant is because That he/she has failed to check in and has broken his/her agreement with us. Further, when I call his/her home, they say they have not seen him/her.

The following is a description of the defendant:

|                  |                       |                              |
|------------------|-----------------------|------------------------------|
| SSN: 452-37-2761 | Height: 5' 7 -170cm   | Eyes: Brown                  |
| DOB: 11/23/1960  | Weight: 150lbs - 68kg | Gender: Male                 |
| DL: TX 09351776  | Race: Hispanic        | Hair: Short, Straight, Brown |

Affiant further swears that no request for the issuance of a warrant in this case has been filed by Affiant or by Affiant's Company with any other Court including a Justice Court.

Wherefore, Affiant prays the Court to direct the Clerk of this Court to prepare a warrant of arrest directing the Sheriff of Ellis County, Texas, or any other proper officer of this State to re-arrest said defendant and that upon such re-arrest the Affiant herein be relieved of all further obligation and responsibility as surety upon said appearance bond.

(Surety) SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

NOTARY PUBLIC

I further certify that I have notified the defendant's attorney of record, OR, I certify that I have inquired of the DISTRICT Clerk and Court Coordinator and have been unable to determine the attorney of record, that this affidavit has been filed.

(Surety) SAFETY NATIONAL CASUALTY CORP.  
AAA Bail Bonds, Dusty Autrey, Agent

**ORDER**

On this day came on to be heard the application of the surety on the bond of the defendant herein, and the Court being of the opinion that such application should be granted, it is therefore Ordered, Adjudged, and Decreed by the Court that the Clerk be, and is hereby directed to prepare a warrant of arrest for the re-arrest of the defendant, and further, if such re-arrest shall occur prior to further order of this Court, the surety upon the bond of the defendant shall be relieved of all further responsibilities and obligations as surety upon said bond of the defendant herein, of and from such time as the defendant shall be re-arrested under the warrant herein ordered, and after the payment by said surety of all necessary and reasonable expenses incurred in re-arresting said defendant

Signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Handwritten signature: OK ... why though

JUDGE PRESIDING

CAUSE NO: 26.345CR

STATE OF TEXAS

Kenneth Wayne Ramirez

*Currently in good standing*

*Discharge DEFENSE*

IN THE DISTRICT COURT OF  
ELLIS COUNTY TEXAS  
Q6

*17-16: Requesting Discharge of Defendant Surrender*

**MOTION AND AFFIDAVIT OF SURETY FOR DISCHARGE OF LIABILITY AND SURRENDER OF PRINCIPAL BEFORE FORFEITURE**

TO THE HONORABLE JUDGE OF SAID COURT:

Now comes AAA Bail Bonds, SAFETY NATIONAL CASUALTY CORP., Surety in the above styled and numbered cause and pursuant to Art. 17.16, Code of Criminal Procedure, and files this Motion and Affidavit of Surety For Discharge Of Liability And Surrender Of Principal Before Forfeiture, and upon oath, and in support thereof would show the following:

**I.**

Kenneth Wayne Ramirez, Defendant has been charged with the offense(s) currently pending in this Court:

Felony CodeNoXX PCS over 4 gm Under 200 20000

**II.**

AAA Bail Bonds, SAFETY NATIONAL CASUALTY CORP. Surety posted the Defendant's bail bond on this charge in the amount of \$20000.00 on 10/07/2003. Said bond is not subject to forfeiture and no forfeiture proceeding is pending or threatened.

~~STRIKE ONE~~: An affidavit to go off bond pursuant to Article 17.19 of the Code of Criminal Procedure has been filed in this case in Court, ?? Ellis County, Texas and a warrant has been issued.

An affidavit to go off bond pursuant to Article 17.19 of the Code of Criminal Procedure has not been filed in this case.

*17.19: Good Standing & Good Release  
also, had no reason!*

**III.**

Defendant is currently incarcerated in the \_\_\_\_\_ Jail, on a charge of \_\_\_\_\_

Verification of Defendant's incarceration may be had by contacting: \_\_\_\_\_

The following is a description of the defendant:

|                  |                       |                              |
|------------------|-----------------------|------------------------------|
| SSN: 452-37-2761 | Height: 5' 7 -170cm   | Eyes: Brown                  |
| DOB: 11/23/1960  | Weight: 150lbs - 68kg | Gender: Male                 |
| DL: UT 09351776  | Race: Hispanic        | Hair: Short, Straight, Brown |

*Back to jail*

*HELP verify*

**IV.**

Surety hereby requests the Sheriff of ??Ellis County, Texas to verify the incarceration of the Defendant.

**V.**

Upon verification of incarceration of the Defendant by the Sheriff of ??Ellis County, Texas, Surety hereby requests that the bond described above be discharged and surety be absolved of further liability on said bond,

*? = Back in jail, so...*

RESPECTFULLY SUBMITTED,

AAA Bail Bonds  
200 South Elm Street  
Waxahachie Texas, 75165  
(972) 923-0191 or  
(972) 923-3760

*Handwritten signature/initials*

CAUSE NO: 26,348CR

7B  
Cont'd  
1/17

IN THE Ellis-Other Court COURT



ELLIS COUNTY, TEXAS

STATE OF TEXAS

Kenneth Wayne Ramirez

**VERIFICATION OF SURETY**

Before me the undersigned Notary Public on this day personally appeared       , Surety, known to me to be the person whose name is subscribed to the foregoing Motion and Affidavit and upon his/her oath, stated that he/she is the surety/agent on the appearance/bail bond of the above-named Defendant charged with:

Felony CodeNoXX PCS over 4 gm Under 200 20000

and the information contained in said foregoing Motion and Affidavit is true and correct.

GIVEN under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_,

Notary Public  
State of Texas

**VERIFICATION OF INCARCERATION**

The Ellis County Sheriff's Department, having been presented with a file-marked copy of a Motion and Affidavit of Surety for Discharge of Liability and Surrender of Principal Before Forfeiture, has conducted an investigation and hereby verifies that Defendant, Kenneth Wayne Ramirez, is in the custody of

\_\_\_\_\_ on a charge of \_\_\_\_\_, as alleged in the foregoing affidavit pursuant to Article 17.16 of the Code of Criminal Procedures, as of \_\_\_\_\_ am/pm, on the \_\_\_\_\_ day of \_\_\_\_\_.

*Revised verification*

*17.16-4UP!*

BY: \_\_\_\_\_  
Authorized Person  
Sheriff's Department  
Ellis County, Texas

**DELIVERY TO PRESIDING JUDGE**

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, the Sheriff of Ellis County, Texas, delivered the herein Motion and Affidavit of Surety to notify the Judge presiding over said case that the Defendant is no longer under bond to said court to the charge pending before said court.

BY: \_\_\_\_\_  
Authorized Person  
Sheriff's Department  
Ellis County, Texas



State of Utah

Department of  
Insurance

MERWIN U. STEWART  
Commissioner

OLENE S. WALKER  
Governor

GAYLE MCKEACHNIE  
Lieutenant Governor

January 9, 2004

RECEIVED  
FEB 06 2004  
UTAH STATE  
INSURANCE DEPT.



John Guyman  
3308 Quincy  
Ogden, Utah 84401

RE: Posting Bail Bonds Electronically

Dear Mr. Guyman:

On May 9, 2003, you attended the Bail Bond Oversight Board Meeting to present the idea of electronically filing, posting and processing bail bonds with jails, courts and the Insurance Department. As mentioned in that meeting, the Department and the Board cannot endorse a vendor. However, the Department and the Board can state that they are not opposed to the electronic processing of bail bonds and, in fact, would see it as a helpful tool in the administrative processing and tracking of bail bonds.

We thank you for taking the time to talk to the Board about this tool.

Sincerely,

Insurance Department

Merwin U. Stewart  
Insurance Commissioner

Bail Bond Oversight Board

Dominic Sanone  
Chairman

Approved for U.S. Patent and Trademark Office

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Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Inventor(s): John L. Guymon Jr Title of Invention: Surety Bail Bond - Electronic Surety Bail Bond

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)).

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: John L. Guymon Jr

Address: 1635 East 7050 So.

Typed or printed name: John Lewis Guymon Jr

Address: Salt Lake City, Utah 84121 City, State, Zip

Date: 12/14/06

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If you are not familiar with what is considered to be "diligence in completing the invention" or "reduction to practice" under the patent law or if you have other questions about patent matters, you are advised to consult with an attorney or agent registered to practice before the USPTO.

JOHN L. GUYMON JR 1635 E 7050 S SALT LAKE CITY, UT 84121-3628

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Disc Doc - Surety Bail Bond

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PTO-1652 (8/99)

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P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): John L. Guymon Jr

Title of Invention: IntelluEDoc - Intelligent Electronic Document

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)).

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: [Handwritten Signature]

Address: 1655 E 7030 S, SLU UT

Typed or printed name: John Lewis Guymon Jr

Address: Salt Lake City, Ut. 84121

Date: 12/16/06

City, State, Zip

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JOHN L GUYMON JR  
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PTO-1652 (8/99)

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P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): John Lewis Guymon Jr  
Title of invention: Online Electronic Surety Bail Bond Process

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)).

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years

Signature of Inventor: John Lewis Guymon Jr Address: 1635 East 7086 St

Typed or printed name: John Lewis Guymon Jr Address: SALT LAKE CITY, Utah 84121  
Date: 12/16/06 City, State, Zip

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# Issue Brief



Contact: Taryn Hunter  
Policy Analyst  
Homeland Security &  
Technology Division  
(202) 624-5397

July 2009

## Overview of State Justice Information Sharing Governance Structures

### Executive Summary

A decision-making body or governance structure is fundamental to the proper implementation of a statewide justice information sharing (JIS) program. These governing bodies allow states to manage the task of working across multiple agencies and to create and implement strategic plans for JIS. Such arrangements are vital to success because they facilitate collective decision-making and formalize the decision-making process.

To provide states with an overview of the range of JIS governance structures in place across the country, the National Governors Association's Center for Best Practices requested information from all 56 states and territories during fall 2008. Thirty-two states responded to our request.

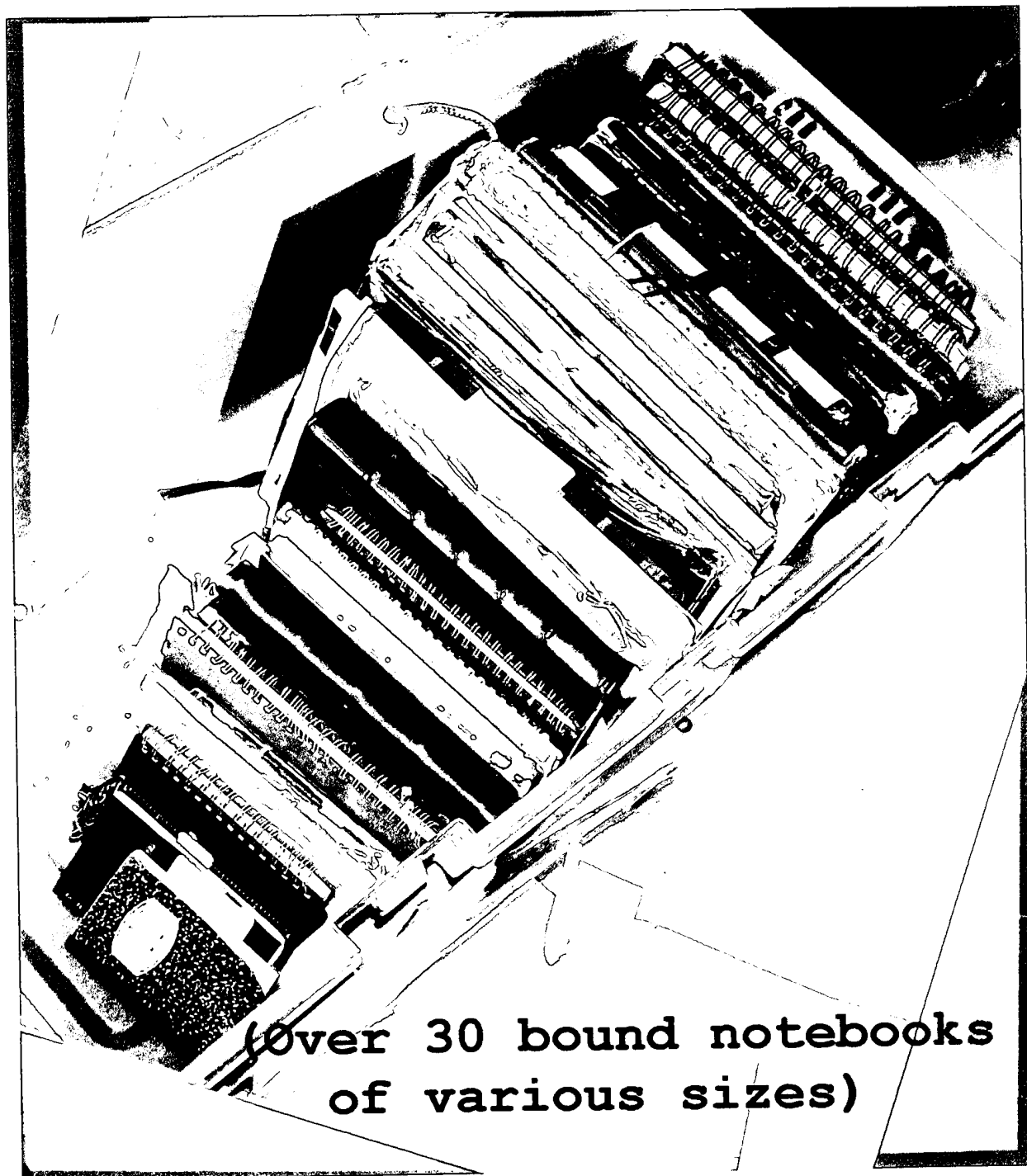
Of the states who responded:

- More than 75 percent reported having either a formal board, commission, or committee in place to oversee statewide JIS programs;
- These bodies or structures were created through a variety of means: 19 were created through legislation, four were established by a governor's executive order, and nine were established either informally or by some other mechanism; and
- The groups had from five to 45 members and typically included state and local officials as well as representatives from professional associations.

### Justice Information Sharing and the Role of the Governance Structure

Broadly defined, JIS is a process that enables the components of states' justice systems—including state and local law enforcement, courts, prosecutors, and corrections agencies—to share justice information easily and effectively. Information is shared both vertically (among different levels of government, such as state, local, tribal, and federal entities) and horizontally (across agencies and branches of government).<sup>1</sup> The types of information shared include individuals' criminal history, parole and probation status, and court case data.

JIS governance structures have several roles:



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of various sizes)**

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Disk (D:) Name Date modified Type Size

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Type: File folder

Location: D:\

Size: 9.92 GB (10,660,353,888 bytes)

Size on disk: 10.1 GB (10,908,024,832 bytes)

Contains: 86,879 Files, 4,286 Folders

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| 687  | 224 |      |   |   |   |   | 2009-12-11 | 30561   | 4d3d6f1 | P024_IP  |   |   |   |   |      | P024 |   | IPTC Information Interchange Model                             |
| 688  | 263 |      |   |   |   |   | 2009-12-11 | 890260  | 4947c55 | P025_Mk  |   |   |   |   |      | P025 |   | Metadata: a simple guide to describing pictures for use in onl |
| 689  | 390 |      |   |   |   |   | 2009-12-11 | 164569  | 703a191 | P026_S0  |   |   |   |   |      | P026 |   | SOAP Version 1.2 Part 1: Messaging Framework                   |
| 690  | 234 |      |   |   |   |   | 2009-12-11 | 7099    | 8fc6dc1 | P095_1f5 |   |   |   |   |      | P095 |   | JPEG Freely available source code for JPEG                     |
| 691  | 283 |      |   |   |   |   | 2009-12-11 | 18107   | 937643f | Q006_N6  |   |   |   |   |      | Q006 |   | Newest Version of PPI/SM is Now Ready for Comment              |
| 692  | 148 |      |   |   |   |   | 2009-12-11 | 33744   | 407d9f2 | Q007_C0  |   |   |   |   |      | Q007 |   | Enhanced Adobe XML Architecture Supports XML/PDF Form          |
| 693  | 393 |      |   |   |   |   | 2009-12-11 | 2470103 | 5ef584e | S002_Ec  |   |   |   |   |      | S002 |   | Standardizing Information and Communication Systems            |
| 694  | 200 |      |   |   |   |   | 2009-12-11 | 45568   | 105d4d  | S003_Hq  |   |   |   |   |      | S003 |   | How Does Compound Document Framework Benefit Us?               |
| 695  | 462 |      |   |   |   |   | 2009-12-11 | 47807   | 9c608b1 | T044_Yc  |   |   |   |   |      | T044 |   | Using Namespaces Within Your XML Documents                     |
| 696  | 236 |      |   |   |   |   | 2005-05-15 | 42994   | d5bd25  | U001_lpe |   |   |   |   |      | U001 |   | Jpeg Source ipgsr6b.zip                                        |
| 697  | 494 |      |   |   |   |   | 2009-12-11 | 255078  | 59a3011 | U002_XN  |   |   |   |   |      | U002 |   | XML Binary Characterization Use Cases                          |
| 698  | 262 |      |   |   |   |   | 2009-12-11 | 30719   | 1e9ef39 | U003_W   |   |   |   |   |      | U003 |   | Metadata Architecture                                          |
| 699  | 240 |      |   |   |   |   | 2009-12-11 | 88164   | a4ba62  | U004_Kr  |   |   |   |   |      | U004 |   | Knowledge Interchange Format                                   |
| 700  | 379 |      |   |   |   |   | 2009-12-11 | 24875   | 587f2c4 | U005_S6  |   |   |   |   |      | U005 |   | Semantic Web Road map                                          |
| 701  | 179 |      |   |   |   |   | 2009-12-11 | 21954   | 91590ad | U006_HT  |   |   |   |   |      | U006 |   | Giving Information About Other Resources in HTML               |
| 702  | 176 |      |   |   |   |   | 2009-12-11 | 10581   | 31b89ed | U007_W   |   |   |   |   |      | U007 |   | Generic Resources                                              |
| 703  | 33  |      |   |   |   |   | 2009-12-11 | 14038   | c7e6e2f | U008_W   |   |   |   |   |      | U008 |   | Analysing PICS labels as Metadata                              |
| 704  | 349 |      |   |   |   |   | 2009-12-11 | 4882    | 366c010 | U009_R0  |   |   |   |   |      | U009 |   | RDF PNG Icon                                                   |
| 705  | 320 |      |   |   |   |   | 2009-12-11 | 213908  | 58c3574 | U010_pn  |   |   |   |   |      | U010 |   | PNG Group Email correspondence                                 |
| 706  | 98  |      |   |   |   |   | 2009-12-11 | 4130    | 4542043 | V002_Di  |   |   |   |   |      | V002 |   | Digital Signature Initiative                                   |
| 707  | 477 |      |   |   |   |   | 2009-12-11 | 23763   | 8151a10 | V003_W   |   |   |   |   |      | V003 |   | W3C and Electronic Commerce                                    |
| 708  | 457 |      |   |   |   |   | 2009-12-11 | 91901   | 46de2d  | V004_Lr  |   |   |   |   |      | V004 |   | Universal Commerce Language and Protocol (UCLP) Version        |
| 709  | 369 |      |   |   |   |   | 2009-12-11 | 136000  | 895c34f | V005_S0  |   |   |   |   |      | V005 |   | SDML - Signed Document Markup Language                         |
| 710  | 463 |      |   |   |   |   | 2009-12-11 | 75528   | 1656234 | V006_Us  |   |   |   |   |      | V006 |   | Using P3P for E-Commerce                                       |
| 711  |     |      |   |   |   |   | 2009-12-11 | 59646   | 9e72136 | Y004_M0  |   |   |   |   |      | Y004 |   | Model-driven compound document development                     |
| 712  |     |      |   |   |   |   | 2009-12-11 | 43334   | 2b363a1 | Y005_dr  |   |   |   |   |      | Y005 |   | Requirements for Data Validation and Certification Services    |
| 713  |     |      |   |   |   |   | 2009-12-11 | 140741  | 7f05b94 | Y006_AB  |   |   |   |   |      | Y006 |   | Ensuring Record Integrity with AbsoluteProofSM                 |
| 714  |     |      |   |   |   |   | 2009-12-11 | 549566  | 90dca91 | Y007_fig |   |   |   |   |      | Y007 |   | rips_196-3.pdf                                                 |
| 715  | 490 |      |   |   |   |   |            |         |         |          |   |   |   |   | F419 |      |   | W/S-Security uses SOAP to carry message                        |
| 1379 | 801 |      |   |   |   |   |            |         |         |          |   |   |   |   | D    | Y002 |   | Portable Network Graphics FIRST Edition                        |
| 1380 | 802 |      |   |   |   |   |            |         |         |          |   |   |   |   | D    | Y003 |   | Portable Network Graphics SECOND Edition                       |
| 1381 |     |      |   |   |   |   |            |         |         |          |   |   |   |   | _Don | Y008 |   | Surety Bonds in the 21st Century                               |
| 1382 |     |      |   |   |   |   |            |         |         |          |   |   |   |   | _Don | Z001 |   | MD5 Wikipedia                                                  |
| 1383 |     |      |   |   |   |   |            |         |         |          |   |   |   |   | _Don | Z002 |   | PDF Guidelines for EFS-Web                                     |
| 1384 |     |      |   |   |   |   |            |         |         |          |   |   |   |   | _Don | Z003 |   | The Road To The All-Digital Closing: Applying Electronic Sig   |
| 1385 |     |      |   |   |   |   |            |         |         |          |   |   |   |   |      | Z004 |   | Service Oriented Architecture (SOA) and Specialized Messag     |
| 1387 |     |      |   |   |   |   |            |         |         |          |   |   |   |   |      |      |   |                                                                |
| 1388 |     |      |   |   |   |   |            |         |         |          |   |   |   |   |      |      |   |                                                                |
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| 225  | Y_Org_1 |   | C022 | 6643383     |    | Nov-03 | Dugelay                      |
| 226  | Y_Org_1 |   | C023 | 6616702     |    | Sep-03 | Tonkin                       |
| 227  | Y_Org_1 |   | C024 | 6507858     |    | Jan-03 | Kanerva , et al.             |
| 228  | Y_Org_1 |   | C025 | 6342954     |    | Jan-02 | Hisatomi , et al.            |
| 229  | Y_Org_1 |   | C026 | 6138119     |    | Oct-00 | Hall , et al.                |
| 230  | Y_Org_1 |   | C027 | 5778372     |    | Jul-98 | Cordell , et al.             |
| 231  | Y_Org_1 |   | C028 | 20060136477 | A1 | Jun-06 | Bishop; Andrew ; et al.      |
| 232  | Y_Org_1 |   | C029 | 20040237040 | A1 | Nov-04 | Malkin, Wayne Allan ; et al. |
| 233  | Y_Org_1 |   | C030 | 20040128513 | A1 | Jul-04 | Wu, Yen-Hsing ; et al.       |
| 234  | Y_Org_1 |   | C031 | 20020066019 | A1 | May-02 | Amonou, Isabelle ; et al.    |
| 235  | Y_Org_1 |   | C032 | 20020059144 | A1 | May-02 | Meffert, Gregory J. ; et al. |
| 236  | Y_Org_1 |   | C033 | 20010027459 | A1 | Oct-01 | Royal, Gregory T.            |
| 237  | Y_Org_1 |   | D001 | 7350238     |    | Mar-08 | Abe , et al.                 |
| 238  | Y_Org_1 |   | D002 | 7350237     |    | Mar-08 | Vogel , et al.               |
| 239  | Y_Org_1 |   | D003 | 6717573     |    | Apr-04 | Shahoian , et al.            |
| 240  | Y_Org_1 |   | D004 | 7039626     |    | May-06 | Hirata                       |
| 241  | Y_Org_1 |   | D005 | 7032030     |    | Apr-06 | Codignotto                   |
| 242  | Y_Org_1 |   | D006 | 7020839     |    | Mar-06 | Hosoda                       |
| 243  | Y_Org_1 |   | D007 | 7013041     |    | Mar-06 | Miyamoto                     |
| 244  | Y_Org_1 |   | D008 | 7010570     |    | Mar-06 | Boies , et al.               |
| 245  | Y_Org_1 |   | D009 | 7007076     |    | Feb-06 | Hess , et al.                |
| 246  | Y_Org_1 |   | D010 | 7006243     |    | Feb-06 | Simpson , et al.             |
| 247  | Y_Org_1 |   | D011 | 6972763     |    | Dec-05 | Millett , et al.             |
| 248  | Y_Org_1 |   | D012 | 6947162     |    | Sep-05 | Rosenberg , et al.           |
| 249  | Y_Org_1 |   | D013 | 6940870     |    | Sep-05 | Hamlin                       |
| 250  | Y_Org_1 |   | D014 | 6925597     |    | Aug-05 | Anwar                        |
| 251  | Y_Org_1 |   | D015 | 6912462     |    | Jul-05 | Ogaki                        |
| 252  | Y_Org_1 |   | D016 | 6910068     |    | Jun-05 | Zintel , et al.              |
| 253  | Y_Org_1 |   | D017 | 6904454     |    | Jun-05 | Stickler                     |
| 254  | Y_Org_1 |   | D018 | 6904176     |    | Jun-05 | Chui , et al.                |
| 255  | Y_Org_1 |   | D019 | 6900905     |    | May-05 | Simpson , et al.             |
| 256  | Y_Org_1 |   | D020 | 6469692     |    | Oct-02 | Rosenberg                    |
| 257  | Y_Up_2  |   | E001 |             |    |        |                              |
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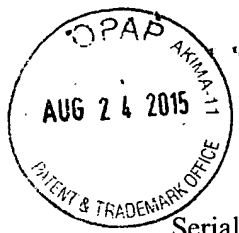
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- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:**

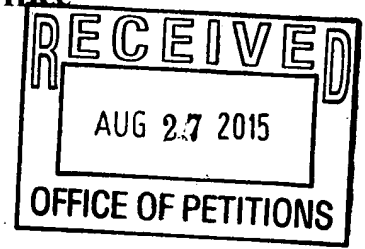
**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

*Dep/ref*



**In the United States Patent and Trademark Office**



Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – Applicant’s Petitions for ‘Refund’ & ‘Correction of Inventorship’**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

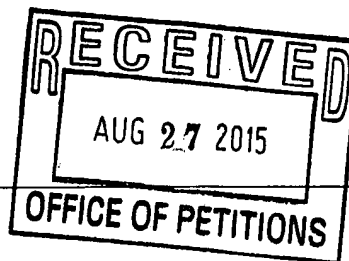
Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s ‘Petition for Refund’ and ‘Petition for Correction of Inventorship’. The included documentation’s Title, page, exhibit and total count for a total page count of 106 (one hundred six), listed in the following table:

| Title                                    | Pages | Total Pages | Filename      |
|------------------------------------------|-------|-------------|---------------|
| Cover Letter (this document)             | 3     | 3           | CLf0819c.doc  |
| Petition for Refund                      | 1+1   | 2           | RFf0819b.doc  |
| Petition for Correction of Inventorship  | 17+28 | 45          | CI f0819d.doc |
| Supplemental Declaration of Inyentorship | 8+48  | 56          | OIf0819b.doc  |

Applicant requests refund applied to payment of fees for the petition filing of Applicant’s ‘Petition For Correction of Inventorship’, having any remaining amount refunded to Applicant in the form of treasury check.

Applicant’s ‘Petition For Refund’ includes the following exhibit attached to and included herein:

| Exhibit | Description                    | Pages |
|---------|--------------------------------|-------|
| VG      | Copy of previous fee submitted | 1     |



Applicant's 'Petition For Correction of Inventorship' includes the following exhibits attached to and included herein:

| Exhibit | Description                        | Pages |
|---------|------------------------------------|-------|
| FE      | Fee worksheet, §1.17(i & d)        | 1     |
| SA      | Supp Application Data Sheet        | 3     |
| OD      | Copy of Original Declaration       | 1     |
| WC      | Affidavit of C. Guymon             | 1     |
| WB      | Affidavit of B. Ayala              | 1     |
| DR      | Drawings - Preliminary             | 1     |
| DI      | Drawings Information               | 2     |
| EI      | Embodiment Information             | 2     |
| CS      | Correspondence 09/08               | 6     |
| CN      | Correspondence 11/12               | 6     |
| HS      | Copy of hand delivery notice 09/08 | 1     |
| HN      | Copy of hand delivery notice 11/12 | 1     |
| WV      | Affidavit of V. Guymon             | 1     |
| ME      | Copy of Oath - Micro Entity        | 1     |

Applicant's 'Supplemental Declaration of Inventorship' includes the following exhibits attached to and included herein:

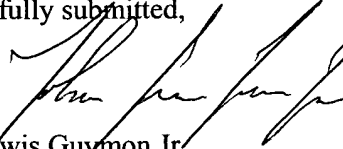
| Exhibit | Description                                         | Pages |
|---------|-----------------------------------------------------|-------|
| A       | iBailBonding.Com DBA Registration                   | 3     |
| B1      | Website registration - iBailBonding.Com             | 1     |
| B2      | Website registration - Intelledoc.Com               | 1     |
| C       | Trademark Registration                              | 1     |
| D       | Utah Digital Signature Act                          | 1     |
| E       | John Marshall Journal                               | 1     |
| F       | Manual 1999                                         | 4     |
| G       | Notes: Information Data Sheet, Electronic Bond 2001 | 4     |
| H       | IBB Document                                        | 1     |
| I       | Notebook Notes Black & Burgundy Oct 8 2001          | 2     |
| J       | ASP file list - Firsts - 2000 thru 2002             | 1     |
| K       | Digital Signature Certificate - JG                  | 1     |
| L       | Digital Signature Certificate - VG                  | 1     |
| M       | XML Signature Syntax W3C 12 Feb 2002                | 1     |
| N       | Bonds                                               | 2     |
| O       | ASP Report                                          | 1     |
| P       | Electronic Surety Bail Bond Document                | 6     |
| Q       | Multiple Document Suite                             | 7     |
| R       | Dept Insurance Posing Electronically Letter         | 1     |
| S       | Disclosure Document - eSuretyBailBond 610141        | 1     |

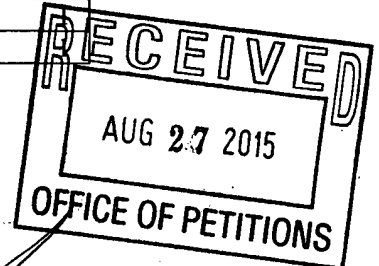


|   |                                                  |   |
|---|--------------------------------------------------|---|
| T | Disclosure Document - Intelledoc 610142          | 1 |
| U | Disclosure Document - Online ESBB Process 610364 | 1 |
| V | State Justice NIEM                               | 1 |
| W | Image - Notebooks                                | 1 |
| X | Directory Properties - EB                        | 1 |
| Y | Information Disclosure Summary - Articles        |   |
| Z | Information Disclosure Summary - Patents         |   |

Thank you for your consideration and time with this correspondence.

Respectfully submitted,

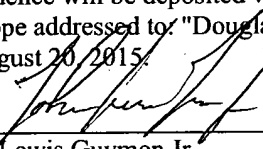
  
John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461

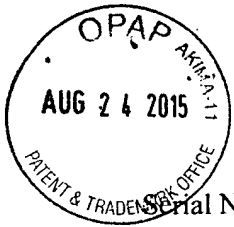


- - - Certificate of Mailing - - -

I certify that this correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, August 20, 2015; further,

I certify that an exact copy this original correspondence will be deposited with the United States Postal Service as First Class Mail with proper postage affixed in an envelope addressed to: "Douglas Scott Litsey, 3061 West 3500 South #A2, West Valley City, Utah 84119" on this day, August 20, 2015.

  
John Lewis Guymon Jr  
Applicant, Pro Se



**In the United States Patent and Trademark Office**

|                    |                                           |
|--------------------|-------------------------------------------|
| Serial Number:     | 12/316,699                                |
| Application Filed: | December 15, 2008                         |
| Applicant:         | John Lewis Guymon Jr                      |
| Application Title: | Securable Independent Electronic Document |
| Examiner/GAU:      | Kaveh Abrishamkar                         |

**Petition For Refund of Fees Under 37 C.F.R. §1.26**

August 20, 2015

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

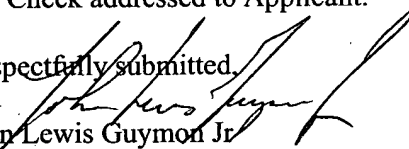
Ref: Intelledoc® Securable Independent Electronic Document, Ser #12/316699, filed December 15, 2008

Sir/ Madam:

Applicant respectfully petitions the Commissioner to refund the fees paid April 2014 (\$335) for Applicant's 'Request for Continue Examination' and 'Petition To Revive' and apply some of the refund to the required fees (\$185) for filing Applicant's 'Correction Of Inventorship' and any late fees, if applicable, therefore leaving the amounts: Total paid \$335 (Chk#1587) – Filing Fee (\$185) – Late Fee (if any) = Refund (\$150). [See Applicant's Exhibit 'VG' – 'Copy of previous fee submitted' and Exhibit 'FE' – 'Fee worksheet, §1.17(i & d)' attached to and included herein.]

Please send refund in the form of a Treasury Check addressed to Applicant.

Respectfully submitted,



John Lewis Guymon Jr  
Applicant, Pro Se  
1635 East 7080 South  
Salt Lake City, Utah 84121  
Tel: (801) 943-8461



RECEIVED  
AUG 27 2015  
OFFICE OF PETITIONS

VG

3 VG

1587

4/7/14

Pay to the Order of US PTO \$ 335<sup>00</sup>

Three Hundred Thirty Five Dollars

ZIONS BANK  
1201 W. HAWAIIAN BLVD  
HONOLULU, HI 96813

For. 12/316699

Processed 04/15/14

\$335.00

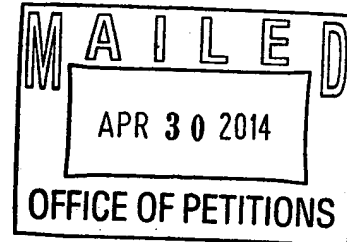
Ch# 1587



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov  
D1W Apr-14

IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY UT 84121



In re Application of :  
Guymon et al. :  
Application No. 12/316,699 : ON PETITION  
Filed: 12/15/2008 :  
For: SECURABLE INDEPENDENT :  
ELECTRONIC DOCUMENT :

This is a decision on the paper, filed on April 7, 2014, styled as a petition under 37 CFR 1.137(a),<sup>1</sup> which, in the absence of the required petition fee, is being treated as a petition under 37 CFR 1.181 to withdraw the holding of abandonment.<sup>2</sup>

The petition is **dismissed** for the reasons stated below.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. **This time period is not extendable.**<sup>3</sup>

The application became abandoned on October 3, 2013, for failure to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection and a three (3) month extension of time in accordance with 37 CFR 1.136(a) were obtained. On October 18, 2013, however, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed on October 2, 2013, fails to place the application in condition for allowance. Notice of Abandonment was mailed on February 7, 2014.

<sup>1</sup> Effective December 18, 2013, petitions to revive an abandoned application on the basis of unintentional delay are under 37 CFR 1.137(a). See *Changes To Implement the Patent Law Treaty*; Final Rule, 78 Fed. Reg. 62368, 62382 (October 21, 2013).

<sup>2</sup> In the absence of the petition fee, which is required by law, the PTO can only treat the instant petition as a (feeless 1.181) petition to withdraw the holding of abandonment. See *Krahn v. Comm'r*, 15 USPQ2d 1823, 1825 (E.D. Va. 1990).

<sup>3</sup> 37 CFR 1.181(f).

The petition is not properly signed. 37 CFR 1.33(b), as applicable to applications filed prior to September 16, 2012, requires that all papers be signed by a patent practitioner or all of the applicants for patent. 37 CFR 1.41(b) defines the applicants as all of the inventors. The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b).

It is also noted that the reply filed with the petition is not signed. Any renewed petition must be accompanied by a properly-signed reply, signed by both inventors or their registered patent practitioner.

The petition is not accompanied by payment of the fee in the proper amount. In this regard, petitioner submitted \$35 with the present petition. Effective January 1, 2014, the fee for a petition under the unintentional provisions of 37 CFR 1.137(a) is \$850 for a small or micro entity. The fee for a petition under the unavoidable provisions of former 37 CFR 1.137(a) was \$160 prior to January 1, 2014. 37 CFR 1.22(a) states, in pertinent part, that patent fees and charges payable to the United States Patent and Trademark Office are required to be paid in advance; that is, at the time of requesting any action by the Office for which a fee or charge is payable.

As stated above, in the absence of the petition fee, which is required by law, the PTO can only treat the instant petition as a (feeless 1.181) petition to withdraw the holding of abandonment.<sup>4</sup> Petitioner, however, has not provided a showing sufficient to warrant withdrawal of the holding of abandonment. As such, the application became abandoned as a matter of law for failure to properly respond to the final Office action mailed on April 2, 2013.

Petitioner will note "[t]he Office is also revising the rules of practice pertaining to the revival of abandoned applications (37 CFR 1.137) and acceptance of delayed maintenance fee payments (37 CFR 1.378) to provide for the revival of abandoned applications and acceptance of delayed maintenance fee payments solely on the

---

<sup>4</sup> See Krahn v. Comm'r, 15 USPQ2d 1823, 1825 (E.D. Va. 1990).

basis of 'unintentional' delay. The PLTIA eliminates the provisions of the patent statutes relating to revival of abandoned applications or acceptance of delayed maintenance fee payments on the basis of a showing of 'unavoidable' delay." The effective date of this change is December 18, 2013. *Changes To Implement the Patent Law Treaty*, Final Rule 78 FR 62368 (Oct. 21, 2013), viewable here: <http://www.gpo.gov/fdsys/pkg/FR-2013-10-21/pdf/2013-24471.pdf> Accordingly, the Office no longer revives applications or reinstates patents based on unavoidable delay.

Petitioner may wish to consider filing a petition to revive the application under the unintentional provisions of 37 C.F.R. 1.137(a), as amended, accompanied by the required fee as set forth in § 1.17(m). A copy of the form for a petition to revive the application under the unintentional provisions of 37 C.F.R. 1.137(a), as amended is enclosed with this decision for petitioner's reference.

The fee for a petition under the unintentional provisions of 37 CFR 1.137(a) is \$850 for a small or micro entity. The \$35 paid on April 7, 2014 may be applied toward the petition fee due.

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail:           Mail Stop PETITIONS  
                  Commissioner for Patents  
                  Post Office Box 1450  
                  Alexandria, VA 22313-1450

By hand:           Customer Service Window  
                  Mail Stop Petitions  
                  Randolph Building  
                  401 Dulany Street  
                  Alexandria, VA 22314

By fax:           (571) 273-8300  
                  ATTN: Office of Petitions

By internet:      EFS-Web<sup>5</sup>

---

<sup>5</sup> [www.uspto.gov/ebc/efs\\_help.html](http://www.uspto.gov/ebc/efs_help.html) (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

Application No. 12/316,699

4

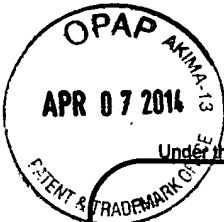
Any questions concerning this matter may be directed to the undersigned at (571) 272-3231.



Douglas I. Wood  
Attorney Advisor  
Office of Petitions

Encl: PTO/SB/64 (12-13) Petition For Revival of an  
Application for Patent Abandoned Unintentionally Under  
37 CFR 1.137(a)

United States Patent and Trademark Office Fee Schedule,  
Effective January 1, 2014



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |                     |
|------------------------|---------------------|
| Application Number     | 12/316699           |
| Filing Date            | Dec 15 2008         |
| First Named Inventor   | John Lewis Gygis Jr |
| Art Unit               |                     |
| Examiner Name          | Kaveh Abrishamkar   |
| Attorney Docket Number |                     |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
  - i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - ii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/ Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other Claims

### 2. Miscellaneous

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

### 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- a.  RCE fee required under 37 CFR 1.17(e)
- ii.  Extension of time fee (37 CFR 1.136 and 1.17) 04/09/2014 CCHAU1 00000013 12316699
- iii.  Other ~~01 FC-3001~~ ~~300.00~~ OP
- b.  Check in the amount of \$ 335 (300 for RCE) enclosed
- c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                            |                  |          |
|-------------------|----------------------------|------------------|----------|
| Signature         | <i>John Lewis Gygis Jr</i> | Date             | 4/7/2014 |
| Name (Print/Type) | John Lewis Gygis Jr        | Registration No. |          |

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

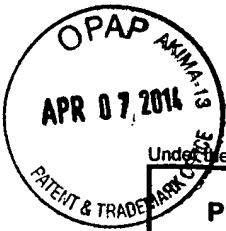
|                   |                            |      |          |
|-------------------|----------------------------|------|----------|
| Signature         | <i>John Lewis Gygis Jr</i> | Date | 4/7/2014 |
| Name (Print/Type) | John Lewis Gygis Jr        |      |          |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

*Express Mail EK267983978 US*





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                                          |                          |
|----------------------------------------------------------------------------------------------------------|--------------------------|
| <b>PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT<br/>ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)</b> | Docket Number (Optional) |
|----------------------------------------------------------------------------------------------------------|--------------------------|

First Named Inventor: John Lewis Guymon Jr Art Unit: \_\_\_\_\_  
 Application Number: 12/316699 Examiner: Kaveh Abrishamkar  
 Filed: December 15, 2008

Title: Securable Independent Electronic Document

Attention: Office of Petitions  
**Mail Stop Petition**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact  
 Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee -- required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

1. Petition fee

- Small entity -- fee \$ \_\_\_\_\_ (37 CFR 1.17(l)). Applicant claims small entity status.  
 See 37 CFR 1.27.
- Other than small entity -- fee \$ 35.00 (37 CFR 1.17(l)).

2. Reply and/or fee

A The reply and/or fee to the above-noted Office action in the form of \_\_\_\_\_ (identify the type of reply):

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

B The issue fee of \$ \_\_\_\_\_

- has been filed previously on \_\_\_\_\_
- is enclosed herewith.

04/09/2014 CCHAU1 00000013 12316699 35.00 CP  
 02 FC:1999

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

*John Lewis Gygmon Jr*  
Signature

4/7/2014  
Date

John Lewis Gygmon Jr  
Typed or printed name

Registration Number, if applicable

1635 East 7080 South SLC UT  
Address  
Salt Lake City UT 84121  
Address

801-938-4833  
Telephone Number

- Enclosure  Fee Payment
- Reply
  - Terminal Disclaimer Form
  - Additional sheets containing statements establishing unavoidable delay
  - \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to **Mail Stop Petition**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail EK 267983978 US

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

4/7/2014  
Date

*John Lewis Gygmon Jr*  
Signature

John Lewis Gygmon Jr  
Typed or printed name of person signing certificate

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.

*[Handwritten Signature]*

Signature

*4/7/2014*

Date

*John Lewis Guyman Jr*

Typed or printed name

Registration Number, if applicable

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

Both Applicant and Examiner has recently become familiar with the understanding and needs of the application's claims, having continued prosecution of application needed.

Applicant has submitted replies and required fees on time, and after repeated requests for assistance (§707.07(j)) files the enclosed claims.

Applicant, having life's due events, submits this petition within the two month revival time period.

*(Please attach additional sheets if additional space is needed.)*



Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Claims, 1-7 as amended/added re Request for Continued Examination of April 7, 2014**

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

a graphical image sectional component, wherein said image component adapted for storing graphical image data of said graphic image container type,

2ITwherein said component may include encrypted content wherein said encrypted content can be decrypted;

3Inwherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system, and

4INwherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user; and

a character text sectional component adapted for storing normative standard character text based formatted data,

wherein 1IN with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system

wherein 2IN if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components wherein said additional components adapted for the storage of any of the following data types:

character text data, said character text comprising scripting text, rich text, markup language text, or other character text, wherein said char text can include one or more of the following:

a character text Uniform Resource Locator (URL),

encoded data, wherein said encoded data can be of any type or value including encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,

graphic image(s) data,

raw binary data,

intrinsic security feature data,

container based binary encoded digital signature data,

any other user specified data;

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"Securable Independent Electronic Document"  
Page 2 of 6

wherein said sectional components include intrinsic security feature data wherein coupling of said sectional component(s) security feature data generates additional intrinsic security feature data wherein said intrinsic data or said additional intrinsic data can be stored in any one of said sectional component(s),

wherein 5IN automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said char text sec component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein 1ID independent creation and processing of said container from said sectional components' creation and processing, and

wherein 3IT integrity enhanced non-associated creation and processing intervention from said sectional components' creation and processing, and

wherein 4IT integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional components' security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

5IT integrity enhanced intervention and association control of processing and

storage from non-desired additional parties,

2ID independent interaction of processing and storage from non-desired additional parties,

3ID independent validation of processing and storage from non-desired additional parties, and

4ID independent processing and storage of said machine readable instruction's computing platform;

2. The electronic document of claim 1, wherein said normative standard character text based sectional component can include digital signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The electronic document of claim 2 wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;

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"Securable Independent Electronic Document"  
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(iii) counter-signature.

4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

5. A computer-implemented method for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, comprising:

providing memory for storage of a normative standard graphic image data file container;  
wherein said graphical image container is of a normative standard type;

instantiating said container's storage memory;

providing memory for storage of at least a plural of said container's sectional components;  
wherein said plural sectional components' include at least  
one sectional component adaptable for graphic image data,  
wherein said graphic image sectional component is of the same type of said  
container;  
one sectional component adaptable for normative standard character text based digital  
signatures;

providing data input means in which said inputted data's contents and designated sectional  
component storage memory of said inputted data can be obtained and said data's a human  
operator can supply user specified data and said data's designated sectional component storage  
memory,

wherein if said designated storage memory has not yet been instantiated,  
the method further consequently comprising:  
instantiating said data's sectional component's storage memory;

storing said inputted data in said data's designated sectional component memory,  
wherein during or upon the completion of said data storage within said designated  
sectional components, intrinsic security feature(s) data becomes available for user  
selectable or automated process' use, exchange or storage;

repeating the providing data input means, instantiating said data's sectional component's storage  
memory and storing said inputted data steps until said data has been inputted and stored as  
specified by said user;

and writing said graphic image container and said container's sectional component storage  
memories to a single graphical image electronic document container data file;

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"Securable Independent Electronic Document"  
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wherein said normative standard based container and sectional components allows said electronic document's creation, processing and storage to be independent of platform and nonessential party.

6. The method of claim 5, wherein said data input is of a type of at least one of the following:
- operator supplied,
  - user selected and submitted,
  - additional parties gathered,
  - user event action generated result,
  - filed data,
  - remotely obtained data,
  - automated data,
  - computer event generated data,
  - intermediate transaction processing data,
  - or any other input capable of generating said inputted data and designated component memory storage.

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7. A system for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, having said creation process further including, as desired, the creation of embedded electronic documents within said system's current said document's creation, comprising:

a processor, a memory and program instruction of said system type accessible by said system for said program instruction execution and data storage, having said execution:

instantiate a segment of said memory storing a platform independent normative standard based graphical image binary file type container;

instantiate at least one segment of said memory storing platform independent normative standard based character text file type sectional component, said character text sectional component(s) adaptable for the storage of normative standard character text based digital signatures, said character text sectional component supporting:

- i) system automated data processing;
- ii) humanly perceived interactive data processing;
- iii) XML format based digital electronic signatures;
  - 1) Signature
  - 2) Co-signature
  - 3) Counter-signature;
- iv) random binary data encoding including formed data of inscribed herein Securable Independent Electronic Document;
- v) data encryption cryptography;

instantiate at least one segment of said memory storing platform independent normative standard based graphical image file type sectional component(s), having said graphical image sectional component(s) file type the same as said container's graphical image file type, said graphical image sectional component(s) supporting the following *graphical image based* characteristics:

- i) system automated image data processing;
- ii) humanly perceived interactive image data processing;
- vi) image data encryption cryptography;

Instantiate, as desired, segment(s) of said memory storing said container based digital electronic signatures;

Instantiate, as desired, segment(s) of said memory storing additional user specified sectional component(s), said user specified sectional component(s) supporting user specified data type data characteristics;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said instantiated sectional component's exchanged intrinsic security feature(s) data storage;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said security feature exchange storage of said instantiated sectional component's intrinsically available security features;

Populating as desired said sectional components further, having additional intrinsic security feature(s) data available for said sectional component(s) security feature(s) data exchange;



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Writing said container and said container's said sectional component(s) memory segments' data to said electronic document container's file data storage; and

Closing said container and said sectional components releasing said instantiated memory segments;

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Instruction Sheet for RCEs

(not to be submitted to the USPTO)

### NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

#### **Filing Qualifications:**

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

#### **Filing Requirements:**

***Prosecution in the application must be closed.*** Prosecution is closed if the application is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under *Ex parte Quayle*). See 37 CFR 1.114(b).

***A submission and a fee are required at the time the RCE is filed.*** If reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

### WARNINGS:

#### **Request for Suspension of Action:**

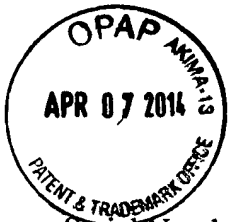
All RCE filing requirements must be met before suspension of action is granted. A request for a suspension of action under 37 CFR 1.103(c) does not satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

#### **Improper RCE will NOT toll Any Time Period:**

***Before Appeal*** - If the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

***Under Appeal*** - If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01.

**See MPEP 706.07(h) for further information on the RCE practice.**



04-9-14

ITS  
Dae/RCU

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – Applicant’s Revival and Request for Examination**

April 7, 2014

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s request for application revival and continued examination..

This correspondence has been sent Express mail, dated April 7, 2014 and includes:

|                                   |                                             |
|-----------------------------------|---------------------------------------------|
| Petition for Revival              | (PTO/SB/61, 3 pages)                        |
| Request for Continued Examination | (PTO/SB/30, 1 page)                         |
| Claims, 1-7, as amended           | (6 pages)                                   |
| Fee                               | (\$300 1.17(e)(1) & \$35 1.17(l)(1), Micro) |
| Payment, Ck#: 1587                | Total: \$335 (Micro entity (1.17(a)(3))     |

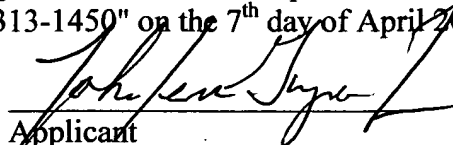
Thank you for your consideration and time with this return correspondence.

Very respectfully,

John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

**Certificate of Mailing**

I certify that this correspondence will be/was deposited with the United States Postal Service as Express Mail, Tx# EK 26798397845, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 7<sup>th</sup> day of April 2014.

  
Applicant



# UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451 7590 02/07/2014  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

|          |
|----------|
| EXAMINER |
|----------|

ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

2494

| MAIL DATE | DELIVERY MODE |
|-----------|---------------|
|-----------|---------------|

02/07/2014

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                        |                     |
|------------------------------|------------------------|---------------------|
| <b>Notice of Abandonment</b> | <b>Application No.</b> | <b>Applicant(s)</b> |
|                              | 12/316,699             | GUYMON ET AL.       |
|                              | <b>Examiner</b>        | <b>Art Unit</b>     |
|                              | KAVEH ABRISHAMKAR      | 2494                |

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

This application is abandoned in view of:

1.  Applicant's failure to timely file a proper reply to the Office letter mailed on 02 April 2013.
  - (a)  A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b)  A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c)  A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d)  No reply has been received.
  
2.  Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a)  The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b)  The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c)  The issue fee and publication fee, if applicable, has not been received.
  
3.  Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a)  Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b)  No corrected drawings have been received.
  
4.  The letter of express abandonment which is signed by the attorney or agent of record or other party authorized under 37 CFR 1.33(b). See 37 CFR 1.138(b).
  
5.  The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34) upon the filing of a continuing application.
  
6.  The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
  
7.  The reason(s) below:

The Final Rejection was mailed on 4/02/2013 and there has been no response to the Advisory Action filed on 10/18/2013.

|  |                                                        |
|--|--------------------------------------------------------|
|  | /KAVEH ABRISHAMKAR/<br>Primary Examiner, Art Unit 2494 |
|--|--------------------------------------------------------|

Petitions to revive under 37 CFR 1.137, or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.



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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

63451 7590 10/18/2013  
IBAILBONDING.COM  
1635 EAST 7080 SOUTH  
SALT LAKE CITY, UT 84121

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| EXAMINER |
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ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

2494

| MAIL DATE | DELIVERY MODE |
|-----------|---------------|
|-----------|---------------|

10/18/2013

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                                                                 |                                      |                                      |                                                  |
|-----------------------------------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------------------|
| <b>Advisory Action<br/>Before the Filing of an Appeal Brief</b> | <b>Application No.</b><br>12/316,699 | <b>Applicant(s)</b><br>GUYMON ET AL. |                                                  |
|                                                                 | <b>Examiner</b><br>KAVEH ABRISHAMKAR | <b>Art Unit</b><br>2494              | <b>AIA (First Inventor to File) Status</b><br>No |

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED 02 October 2013 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

**NO NOTICE OF APPEAL FILED**

1.  The reply was filed after a final rejection. No Notice of Appeal has been filed. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods:

- a)  The period for reply expires 3 months from the mailing date of the final rejection.
- b)  The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
- c)  A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires \_\_\_\_\_ months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier.

*Examiner Note:* If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANT'S FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2.  The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3.  The proposed amendments filed after a final rejection, but prior to the date of filing a brief, will not be entered because
- a)  They raise new issues that would require further consideration and/or search (see NOTE below);
  - b)  They raise the issue of new matter (see NOTE below);
  - c)  They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
  - d)  They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

- 4.  The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
- 5.  Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.
- 6.  Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
- 7.  For purposes of appeal, the proposed amendment(s): (a)  will not be entered, or (b)  will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended.

**AFFIDAVIT OR OTHER EVIDENCE**

- 8.  A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 9.  The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
- 10.  The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
- 11.  The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

- 12.  The request for reconsideration has been considered but does NOT place the application in condition for allowance because: \_\_\_\_\_.
- 13.  Note the attached Information *Disclosure Statement(s)*. (PTO/SB/08) Paper No(s). \_\_\_\_\_
- 14.  Other: \_\_\_\_\_.

**STATUS OF CLAIMS**

15. The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: \_\_\_\_\_  
Claim(s) objected to: \_\_\_\_\_  
Claim(s) rejected: 1-4.  
Claim(s) withdrawn from consideration: \_\_\_\_\_

/Kaveh Abrishamkar/  
Primary Examiner, Art Unit 2494

Continuation of 3. NOTE: The Applicant added new claims 5-7 and amended claims 1-4.





**In the United States Patent and Trademark Office**

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Amendments w/Reply in re to Final Office Action of April 2, 2013**

October 2<sup>nd</sup>, 2013

DO NOT ENTER: /K.A./

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Office Action dated (mailed) April 2<sup>nd</sup>, 2013, with extension of time that of three (3) months making reply date due on or before October 2<sup>nd</sup>, 2013, Applicant hereby amends the Application of Patent, 12/316699, filed December 15, 2008 and remarks as follows:

**SPECIFICATION:**

Specifications not changed.

**CLAIMS:**

Amendment and printed and incorporated as separate document.  
Applicant has included 3 additional claims (#5, #6, and #7).

**DRAWINGS:**

Drawings not changed.



**In the United States Patent and Trademark Office**

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Amendments w/Reply in re to Final Office Action of April 2, 2013**

October 2<sup>nd</sup>, 2013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Office Action dated (mailed) April 2<sup>nd</sup>, 2013, with extension of time that of three (3) months making reply date due on or before October 2<sup>nd</sup>, 2013, Applicant hereby amends the Application of Patent, 12/316699, filed December 15, 2008 and remarks as follows:

**SPECIFICATION:**

Specifications not changed.

**CLAIMS:**

Amendment and printed and incorporated as separate document.  
Applicant has included 3 additional claims (#5, #6, and #7).

**DRAWINGS:**

Drawings not changed.

**REMARKS:**

In response to the Office Action dated April 2, 2013, Application presents the following remarks:

Applicant has rewritten claims (#1 thru #4) and has included a method claim (#5 & #6), and a system claim (#7) in a most diligent manner as to define the electronic document apparatus more particularly and distinctly so as to overcome the technical objections raised in the Office Action United States Patent and Trademark Office, and define, over prior art, the ability of patent for the Securable Independent Electronic Document.

Applicant submits the updated claims for office review. Applicant is unsure if the claims, as they are submitted, are in valid form and compliance with USPTO policy. Further, applicant has, with due diligence, done his best to facilitate the correct understanding in the submitted changes of this reply.

Applicant has not understood the previous office actions until lengthy discussion with the examiner. Applicant is in need of assistance with regard to writing the claims and submits this reply and included claims for review and, if needed, requests assistance in drafting the claims or changes to the claims to bring them into compliance.

Applicant has not changed the specification or any drawings at this time. Only the claims have been updated in this amendment/reply.

In regard to the Office Action's section '**DETAILED ACTION**', paragraph 1, '*This Action ...*'

1. Applicant submitted a reply to the Office Action mailed March 6, 2012 on September 6, 2012. A further communication from the USPTO office, dated November 27, 2012, titled 'Notice of Non-Compliant Amendment' was answered by applicant on December 13, 2012. Applicant believes the latest Office Action from the USPTO, dated April 2, 2013, is the latest action and is in response to the September 6, 2012 reply by Applicant.

paragraph 2, '*Claims 1-4 are ...*'

2. Applicant makes note of the claims as they are numbered, claims 1-4.

In regard to the Office Action's section '*Response to Arguments*',  
paragraph 3, '*Applicant's arguments filed ...*'

3. Applicant acknowledges the filing date of the last reply to be September 6, 2012 and further December 13, 2012 for corrections to said filing.

In regard to the Office Action's section '*Claim Objections*',  
paragraph 4, '*The Applicant does ...*'

4. Applicant incorrectly created claims 1-4 with regard to said 'means' and has updated claims 1-4 in this reply. Applicant is not invoking 112<sup>6th</sup> paragraph, as Applicant believes, as it does not apply.

paragraph 5, '*Therefor, the...*'

- 5.

In regard to the Office Action's section '*Claim Objections*',  
paragraph 6, '*Claims 1-4 objected ...*'

6. Applicant has revised the claims accordingly.

In regard to the Office Action's section '*Claim Rejection – 35 USC § 112*',  
paragraph 7, '*The following ...*'

- 7.

paragraph 8, '*Claims 1-4 ...*'

8. Applicant has revised the claims accordingly.



paragraph 9, '*Furthermore, ...*'

9. Applicant has revised the claims accordingly.

In regard to the Office Action's section '*Conclusion*',  
paragraph 10, '*THIS ACTION ...*'

10. Applicant files this reply requesting an extension of time of (3) three months,  
extending the reply date to October 2, 2013.

paragraph 11, '*A shortened statutory ...*'

11.

paragraph 12, '*Any inquiry ...*'

12. Applicant has talked with examiner by phone. Discussion with regard to the actual invention and further to some changes that would bring the claims into compliance. Applicant has had a difficult time in confirming the correct language of the claims and has reached the filing deadline; Applicant submits the changes to the claims that hopefully corrects the language and brings the claims into compliance. (Please see main remarks section above.)

paragraph 13, '*If attempts ...*'

paragraph 14, '*Information regarding ...*'

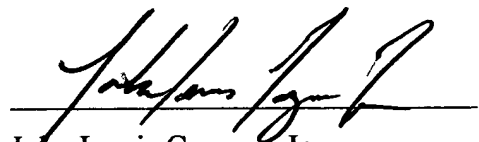
#### **CONCLUSION:**

-For all the above reasons, Applicant submits that the claims are now in proper form as he has been able to understand they should be, and that the claims all define patentability over prior art. Therefore Applicant submits that this application is now in condition for allowance which action they respectfully solicit.

**Conditional Request for Constructive Assistance**

Applicants has amended the claims of this application to the best of his knowledge and ability so that they (hopefully) are proper, definite, and define novel structure which is also unobvious. If for any reason this application is not believed to be in full condition for allowance, applicants respectfully requests constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. §2173.02 and §707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

Very respectfully,

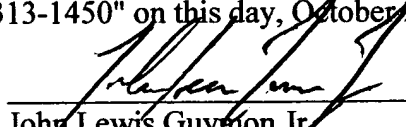


John Lewis Guymon Jr  
Applicant, Pro Se  
Tel: (801) 938-4833  
Msg: (801) 943-8461

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I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, October 2<sup>nd</sup>, 2013.

EI 905638633 us



John Lewis Guymon Jr  
Applicant, Pro Se

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Claims, 1-7 as amended/added re to Final Office Action of April 2, 2013**

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

a graphical image sectional component, wherein said image component adapted for storing graphical image data of said graphic image container type,

1IT wherein said component may include encrypted content wherein said encrypted content can be decrypted;

2IN wherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system, and

3IN wherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user; and

a character text sectional component adapted for storing normative standard character text based digital signature formatted data,

wherein 1IN with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system

wherein 2IN if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components wherein said additional components adapted for the storage of any of the following data types:

character text data, said character text comprising scripting text, rich text, markup language text, or other character text, wherein said char text can include one or more of the following:

a character text Uniform Resource Locator (URL),  
encoded data, wherein said encoded data can be of any type or value including encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,

graphic image(s) data,

raw binary data,

intrinsic security feature data,

container based binary encoded digital signature data,

any other user specified data;



Patent Application of John Lewis Guymon Jr for  
"Securable Independent Electronic Document"  
Page 2 of 6

wherein said sectional components include intrinsic security feature data wherein coupling of said sectional component(s) security feature data generates additional intrinsic security feature data wherein said intrinsic data or said additional intrinsic data can be stored in any one of said sectional component(s),

wherein 5IN automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said char text sec component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein 1ID independent creation and processing of said container from said sectional components' creation and processing, and

wherein 3IT integrity enhanced non-associated creation and processing intervention from said sectional components' creation and processing, and

wherein 4IT integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional components' security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

5IT integrity enhanced intervention and association control of processing and storage from non-desired additional parties,

2ID independent interaction of processing and storage from non-desired additional parties,

3ID independent validation of processing and storage from non-desired additional parties, and

4ID independent processing and storage of said machine readable instruction's computing platform;

2. The electronic document of claim 1, wherein said normative standard character text based digital signature sectional component include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The electronic document of claim 2, wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

Patent Application of John Lewis Guymon Jr for  
"Securable Independent Electronic Document"  
Page 3 of 6

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

5. A computer-implemented method for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, comprising:

providing memory for storage of a normative standard graphic image data file container;  
wherein said graphical image container is of a normative standard type;

instantiating said container's storage memory;

providing memory for storage of at least a plural of said container's sectional components;  
wherein said plural sectional components' include at least  
one sectional component adaptable for graphic image data,  
wherein said graphic image sectional component is of the same type of said  
container;  
one sectional component adaptable for normative standard character text based digital  
signatures;

providing data input means in which said inputted data's contents and designated sectional  
component storage memory of said inputted data can be obtained and said data's a human  
operator can supply user specified data and said data's designated sectional component storage  
memory,

wherein if said designated storage memory has not yet been instantiated,  
the method further consequently comprising:  
instantiating said data's sectional component's storage memory;

storing said inputted data in said data's designated sectional component memory,  
wherein during or upon the completion of said data storage within said designated  
sectional components, intrinsic security feature(s) data becomes available for user  
selectable or automated process' use, exchange or storage;

repeating the providing data input means, instantiating said data's sectional component's storage  
memory and storing said inputted data steps until said data has been inputted and stored as  
specified by said user;

Patent Application of John Lewis Guymon Jr for  
"Securable Independent Electronic Document"  
Page 4 of 6

and writing said graphic image container and said container's sectional component storage memories to a single graphical image electronic document container data file;

wherein said normative standard based container and sectional components allows said electronic document's creation, processing and storage to be independent of platform and nonessential party.

6. The method of claim 5, wherein said data input is of a type of at least one of the following:
  - operator supplied,
  - user selected and submitted,
  - additional parties gathered,
  - user event action generated result,
  - filed data,
  - remotely obtained data,
  - automated data,
  - computer event generated data,
  - intermediate transaction processing data,
  - or any other input capable of generating said inputted data and designated component memory storage.

Patent Application of John Lewis Guymon Jr for  
“Securable Independent Electronic Document”  
Page 5 of 6

7. A system for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, having said creation process further including, as desired, the creation of embedded electronic documents within said system’s current said document’s creation, comprising:

a processor, a memory and program instruction of said system type accessible by said system for said program instruction execution and data storage, having said execution:

instantiate a segment of said memory storing a platform independent normative standard based graphical image binary file type container;

instantiate at least one segment of said memory storing platform independent normative standard based character text file type sectional component, said character text sectional component(s) adaptable for the storage of normative standard character text based digital signatures, said character text sectional component supporting:

- i) system automated data processing;
- ii) humanly perceived interactive data processing;
- iii) XML format based digital electronic signatures;
  - 1) Signature
  - 2) Co-signature
  - 3) Counter-signature;
- iv) random binary data encoding including formed data of inscribed herein Securable Independent Electronic Document;
- v) data encryption cryptography;

instantiate at least one segment of said memory storing platform independent normative standard based graphical image file type sectional component(s), having said graphical image sectional component(s) file type the same as said container’s graphical image file type, said graphical image sectional component(s) supporting the following *graphical image based* characteristics:

- i) system automated image data processing;
- ii) humanly perceived interactive image data processing;
- vi) image data encryption cryptography;

Instantiate, as desired, segment(s) of said memory storing said container based digital electronic signatures;

Instantiate, as desired, segment(s) of said memory storing additional user specified sectional component(s), said user specified sectional component(s) supporting user specified data type data characteristics;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said instantiated sectional component’s exchanged intrinsic security feature(s) data storage;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said security feature exchange storage of said instantiated sectional component’s intrinsically available security features;

Populating as desired said sectional components further, having additional intrinsic security feature(s) data available for said sectional component(s) security feature(s) data exchange;

Patent Application of John Lewis Guymon Jr for  
"Securable Independent Electronic Document"  
Page 6 of 6

Writing said container and said container's said sectional component(s) memory segments' data to said  
electronic document container's file data storage; and

Closing said container and said sectional components releasing said instantiated memory segments;

*6 of 6 pages ✓*

*John Lewis Guymon Jr*

*Express mail, TX # EI 905638633 U.S.*



10-03-13

*Handwritten initials/signature*

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – Applicant’s Reply Correspondence (Final Office Action)**

October 2<sup>nd</sup>, 2013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s response to the USPTO’s ‘Final Office Action’ communication, dated (mailed) April 2, 2013.

This correspondence has been sent Express mail, dated October 2, 2013 and includes:

|                                            |                                                 |
|--------------------------------------------|-------------------------------------------------|
| Petition for Extension of Time To Reply    | (3 Months - Due October 2 <sup>nd</sup> , 2013) |
| Payment, Ck#: <b>1510</b>                  | Total: \$350 Total (Micro entity (1.17(a)(3)))  |
| Certification of Micro Entity Status       | (PTO/SB/15A)                                    |
| Oath of Applicant w/re Micro Entity Status | (1 Page)                                        |
| Amendments w/Reply                         | (6 pages)                                       |
| Claims, 1-7, as amended/added              | (6 pages)                                       |

Thank you for your consideration and time with this return correspondence.

Very respectfully,

*Handwritten signature of John Lewis Guymon Jr*  
John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

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**EI 905638633 US**

*Handwritten signature*  
\_\_\_\_\_  
Applicant



This piece of paper  
is scrap - only to  
hold check enclosed.

12/316699

CK # 1510

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Micis Entity

Fee for 2

month salensw.

Application # 12

In the account of Victoria Lynn Czymon

October 2<sup>nd</sup>, 2013



**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition for Extension of Time To Reply –(3) Three Months–(Final Office Action)**

October 2<sup>nd</sup>, 2013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

This applicant herewith petitions the Director of the United States Patent and Trademark Office to further extend the time for reply to the Office Action dated April 2<sup>nd</sup>, 2013 (mailed 4/2/2013) for three (3) months, extending the date for reply from the original date of July 2<sup>nd</sup>, 2013 to October 2<sup>nd</sup>, 2013.

Submitted and included herewith is a check (Ck# 1510) for three hundred fifty (\$350.00) dollars, to pay in full the micro entity fee for a three (3) month extension, as per 37 CFR 1.17(a)(3), of three hundred fifty (\$350.00) dollars.

Thank you for your further consideration and time with this second extension request.  
Very respectfully,

John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

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*ET 905638633 US*

Applicant

10/04/2013 ZJUHR1 00000009 12316699

01 FC:3253

350.00 OP





**Applicant's Oath of Certification of Entity Status and Correction of Inventorship**

October 2<sup>nd</sup>, 2013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Comes now, Applicant John Lewis Guymon Jr, states and swears:

I have read this form and all relevant instructions, whether on this form, or on another. I certify that all statements made on this oath in support of this Application's:

**Certification of Micro Entity Status  
and  
Correction of Inventorship**

for Application, Serial Number 12/316,699, are true and correct to the best of my knowledge and belief, and I understand that such statements are being relied upon by the USPTO, and that they are subject to verification. I have read this entire form before signing it. I am personally responsible for the accuracy of the information provided on this application, and any attachments. I also understand that the making of any willful false statement of material fact on this application including the attached sheet(s) will subject me to the provisions of the penal law relevant to the making and filing of false statements.

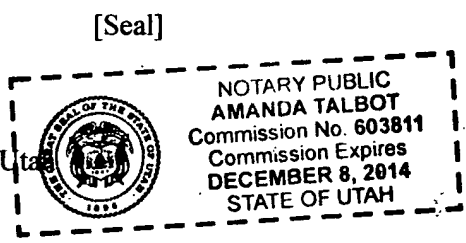
Therefore, Applicant further states and swears by oath that he is unable to obtain a Certification of Micro Entity Status from named inventor Douglas Scott Litsey. Further, Applicant further states and swears by oath that Applicant is in the process of the Correction of Inventorship for the removal of Douglas Scott Litsey as he has incorrectly, as Applicant truly believes, been named as a co-inventor. Further, Applicant is in the process of said Correction of Inventorship and will submit the required materials for such process immediately, without delay, pending the completed gathering of information and supporting evidence. Applicant will have the Correction of Inventorship to the USPTO no later than October 16th, 2013, giving Applicant two (2) weeks to do so if indeed allowed and accepted by the USPTO

Very respectfully,

Signature of Applicant: [Signature] Date 10/21/2013

Sworn to before me: [Signature] Salt Lake County, State of Utah

Signature of Notary Public: [Signature] Date 10/21/2013

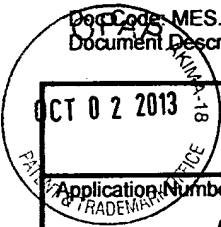


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ET 905638633 US

[Signature]  
Applicant



**CERTIFICATION OF MICRO ENTITY STATUS  
 (GROSS INCOME BASIS)**

|                                                                           |                                                     |
|---------------------------------------------------------------------------|-----------------------------------------------------|
| Application Number or Control Number (if applicable):<br><b>12/316699</b> | Patent Number (if applicable):                      |
| First Named Inventor:<br><b>John Lewis Guymon Jr</b>                      | Title of Invention:<br><b>Securable Independant</b> |

The applicant hereby certifies the following— **Electronic Document**

- (1) **SMALL ENTITY REQUIREMENT** - The applicant qualifies as a small entity as defined in 37 CFR 1.27.
- (2) **APPLICATION FILING LIMIT** - Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights or is obligated to assign all ownership rights as a result of the applicant's previous employment.
- (3) **GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS** - Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.
- (4) **GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST"** - Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at [http://www.uspto.gov/patents/law/micro\\_entity.jsp](http://www.uspto.gov/patents/law/micro_entity.jsp) which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

**SIGNATURE by a party set forth in 37 CFR 1.33(b)**

|           |                             |                     |                  |
|-----------|-----------------------------|---------------------|------------------|
| Signature |                             |                     |                  |
| Name      | <b>John Lewis Guymon Jr</b> |                     | <b>12/316699</b> |
| Date      | <b>10/2/13</b>              | Telephone           | Registration No. |
|           |                             | <b>801 938 4833</b> | <b>12/316699</b> |

There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. Additional certification form(s) signed by the other joint inventor(s) are included with this form.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                   |                                                   |                                  |                                       |
|-----------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|---------------------------------------|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875 | Application or Docket Number<br><b>12/316,699</b> | Filing Date<br><b>12/15/2008</b> | <input type="checkbox"/> To be Mailed |
|-----------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|---------------------------------------|

ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

| FOR                                                                        | NUMBER FILED                                                                                                                                                                                                                  | NUMBER EXTRA | RATE (\$) | FEE (\$) |
|----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------|----------|
| <input type="checkbox"/> BASIC FEE<br>(37 CFR 1.16(a), (b), or (c))        | N/A                                                                                                                                                                                                                           | N/A          | N/A       |          |
| <input type="checkbox"/> SEARCH FEE<br>(37 CFR 1.16(k), (l), or (m))       | N/A                                                                                                                                                                                                                           | N/A          | N/A       |          |
| <input type="checkbox"/> EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q))  | N/A                                                                                                                                                                                                                           | N/A          | N/A       |          |
| TOTAL CLAIMS<br>(37 CFR 1.16(i))                                           | minus 20 =                                                                                                                                                                                                                    | *            | X \$ =    |          |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h))                                     | minus 3 =                                                                                                                                                                                                                     | *            | X \$ =    |          |
| <input type="checkbox"/> APPLICATION SIZE FEE<br>(37 CFR 1.16(s))          | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |              |           |          |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) |                                                                                                                                                                                                                               |              |           |          |
| * If the difference in column 1 is less than zero, enter "0" in column 2.  |                                                                                                                                                                                                                               |              | TOTAL     |          |

**APPLICATION AS AMENDED – PART II**

|                                                                                          | (Column 1)                                                     | (Column 2)                       | (Column 3)                         | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) |
|------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------|------------------------------------|---------------|-----------------|---------------------|
| <b>AMENDMENT</b>                                                                         | <b>10/02/2013</b>                                              | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR |               |                 |                     |
|                                                                                          | Total (37 CFR 1.16(i))                                         | * 7                              | Minus                              | ** 20         | = 0             | X \$40 = 0          |
|                                                                                          | Independent (37 CFR 1.16(h))                                   | * 3                              | Minus                              | ***3          | = 0             | X \$210 = 0         |
|                                                                                          | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s)) |                                  |                                    |               |                 |                     |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                                                |                                  |                                    |               |                 |                     |
|                                                                                          |                                                                |                                  |                                    |               | TOTAL ADD'L FEE | <b>0</b>            |

|                                                                                          | (Column 1)                                                     | (Column 2)                       | (Column 3)                         | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) |
|------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------|------------------------------------|---------------|-----------------|---------------------|
| <b>AMENDMENT</b>                                                                         |                                                                | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR |               |                 |                     |
|                                                                                          | Total (37 CFR 1.16(i))                                         | *                                | Minus                              | **            | =               | X \$ =              |
|                                                                                          | Independent (37 CFR 1.16(h))                                   | *                                | Minus                              | ***           | =               | X \$ =              |
|                                                                                          | <input type="checkbox"/> Application Size Fee (37 CFR 1.16(s)) |                                  |                                    |               |                 |                     |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                                                |                                  |                                    |               |                 |                     |
|                                                                                          |                                                                |                                  |                                    |               | TOTAL ADD'L FEE |                     |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/GAIL WOOTEN/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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# UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

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SALT LAKE CITY, UT 84121

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ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
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2494

| MAIL DATE | DELIVERY MODE |
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04/02/2013

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                                      |                                      |                                                      |
|------------------------------|--------------------------------------|--------------------------------------|------------------------------------------------------|
| <b>Office Action Summary</b> | <b>Application No.</b><br>12/316,699 | <b>Applicant(s)</b><br>GUYMON ET AL. |                                                      |
|                              | <b>Examiner</b><br>KAWEH ABRISHAMKAR | <b>Art Unit</b><br>2494              | <b>AIA (First Inventor to File)<br/>Status</b><br>No |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1)  Responsive to communication(s) filed on 27 December 2012.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.                                    2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 5)  Claim(s) 1-4 is/are pending in the application.  
     5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 1-4 is/are rejected.
- 8)  Claim(s) 1-4 is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

### Application Papers

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

### Priority under 35 U.S.C. § 119

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

#### Certified copies:

- a)  All    b)  Some    \*    c)  None of the:
     1.  Certified copies of the priority documents have been received.
     2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
     3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Interim copies:

- a)  All    b)  Some    c)  None of the: Interim copies of the priority documents have been received.

### Attachment(s)

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08)  
     Paper No(s)/Mail Date \_\_\_\_\_
- 3)  Interview Summary (PTO-413)  
     Paper No(s)/Mail Date. \_\_\_\_\_
- 4)  Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

1. This action is in response to the amendment filed on December 27, 2012. Claims 1-4 were previously pending consideration. Per the received amendment, claims 1-4 have been amended but no claims have been cancelled or added.
2. Claims 1-14 are currently pending consideration.

### ***Response to Arguments***

Applicant's arguments filed on December 27, 2012 have been fully considered but they are not persuasive for the following reasons:

The Applicant does not provide any substantial arguments to the 112 2nd rejections provided for in the previous rejection. In particular, the Applicant does not indicate whether 112 6th paragraph is being invoked or not. The different means which are being claimed (interfacing, referencing, encoding, independence, etc.) have no definition in the specification nor do they have any structure defined. It is unclear as to what is actually being used as the different means. Furthermore, the wherein clauses after the different claimed means do not further limit the claims.

Therefore, the Examiner is respectfully maintaining the 112 2nd rejections as given below.

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### ***Claim Objections***

Claims 1-4 objected to because of the following informalities: The Applicant employs the language "can be" in multiple instances. For example, in claim 1, the claims states that the container can be of normative standard format. The Examiner notes that this language can be construed as the container is capable of being of a normative standard. Further instances include, wherein the character text data can be of an encoded type, wherein the text data can be processed in an automated fashion, and wherein the graphical image data can be of an encrypted type. There are further instances which do not limit the particular limitations but just require that an element is capable of performing a certain function. The amendment has removed some instances of the "can be" language but not all of them. Appropriate correction is recommended.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-4 rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential structural cooperative relationships of elements, such omission amounting to a gap between the necessary structural connections. See MPEP § 2172.01. The omitted structural cooperative relationships include interfacing means, referencing means, encoding means, embedding means, integrity means,

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independence means, and digital signing means. None of the aforementioned means have any structure defined in the specification.

Furthermore, Applicant is reminded that while features of an apparatus may be recited either structurally or functionally, claims directed to an apparatus must be distinguished from the prior art in terms of structure rather than function. >In re Schreiber, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429,1431-32 (Fed. Cir. 1997) (The absence of a disclosure in a prior art reference relating to function did not defeat the Board's finding of anticipation of claimed apparatus because the limitations at issue were found to be inherent in the prior art reference); see also In re Swinehart, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971);< In re Danly, 263 F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959). "[A]pparatus claims cover what a device is, not what a device does." Hewlett-Packard Co. v. Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990) (emphasis in original).

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any



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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAVEH ABRISHAMKAR whose telephone number is (571)272-3786. The examiner can normally be reached on Monday thru Friday 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jung Kim can be reached on 571-272-3804. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kaveh Abrishamkar/  
Primary Examiner, Art Unit 2494

/K. A./  
03/29/2013

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Primary Examiner, Art Unit 2494



| Exam<br>Init's | Cite<br># | Num     | Kind<br>Code | Date      | Author                | Pages Cited |
|----------------|-----------|---------|--------------|-----------|-----------------------|-------------|
|                | E001      | 8191158 |              | 29-May-12 | Ginter , et al.       |             |
|                | E002      | 8191157 |              | 29-May-12 | Ginter , et al.       |             |
|                | E003      | 8190528 |              | 29-May-12 | Ginter , et al.       |             |
|                | E004      | 8185478 |              | 22-May-12 | Pinkas , et al.       |             |
|                | E005      | 8185473 |              | 22-May-12 | Ginter , et al.       |             |
|                | E006      | 8181021 |              | 15-May-12 | Ginter , et al.       |             |
|                | E007      | 8141165 |              | 20-Mar-12 | Ginter , et al.       |             |
|                | E008      | 8140850 |              | 20-Mar-12 | Home , et al.         |             |
|                | E009      | 8130952 |              | 6-Mar-12  | Shamoon , et al.      |             |
|                | E010      | 8112625 |              | 7-Feb-12  | Ginter , et al.       |             |
|                | G001      | 8086662 |              | 27-Dec-11 | Doyle , et al.        |             |
|                | G002      | 8082293 |              | 20-Dec-11 | Doyle , et al.        |             |
|                | E011      | 8055913 |              | 8-Nov-11  | Ginter , et al.       |             |
|                | E012      | 8006087 |              | 23-Aug-11 | Ginter , et al.       |             |
|                | E013      | 7984509 |              | 19-Jul-11 | Ginter , et al.       |             |
|                | E014      | 7979542 |              | 12-Jul-11 | Strohwig , et al.     |             |
|                | E015      | 7940928 |              | 10-May-11 | Sibert                |             |
|                | E016      | 7925898 |              | 12-Apr-11 | Shear , et al.        |             |
|                | E017      | 7917749 |              | 29-Mar-11 | Ginter , et al.       |             |
|                | E018      | 7904707 |              | 8-Mar-11  | Shear , et al.        |             |
|                | G003      | 7894997 |              | 22-Feb-11 | Doyle , et al.        |             |
|                | E019      | 7844835 |              | 30-Nov-10 | Ginter , et al.       |             |
|                | E020      | 7831823 |              | 9-Nov-10  | Ginter , et al.       |             |
|                | E021      | 7827114 |              | 2-Nov-10  | Pinkas , et al.       |             |
|                | E022      | 7822201 |              | 26-Oct-10 | Shamoon , et al.      |             |
|                | G004      | 7814157 |              | 12-Oct-10 | Doyle                 |             |
|                | E023      | 7809138 |              | 5-Oct-10  | Shamoon , et al.      |             |
|                | G005      | 7792862 |              | 7-Sep-10  | Doyle                 |             |
|                | E024      | 7770031 |              | 3-Aug-10  | MacKay , et al.       |             |
|                | E025      | 7770016 |              | 3-Aug-10  | Home , et al.         |             |
|                | E026      | 7761916 |              | 20-Jul-10 | Shear , et al.        |             |
|                | E027      | 7752466 |              | 6-Jul-10  | Ginter , et al.       |             |
|                | E028      | 7747858 |              | 29-Jun-10 | Serret-Avila , et al. |             |
|                | E029      | 7739511 |              | 15-Jun-10 | Home , et al.         |             |
|                | E030      | 7734553 |              | 8-Jun-10  | Shear , et al.        |             |
|                | E031      | 7703130 |              | 20-Apr-10 | Pinkas , et al.       |             |
|                | E032      | 7694342 |              | 6-Apr-10  | Maher , et al.        |             |
|                | E033      | 7694133 |              | 6-Apr-10  | Sibert                |             |
|                | E034      | 7689827 |              | 30-Mar-10 | Sibert                |             |
|                | E035      | 7681240 |              | 16-Mar-10 | Maheshwari , et al.   |             |
|                | G006      | 7613571 |              | 3-Nov-09  | Doyle , et al.        |             |
|                | G007      | 7599985 |              | 6-Oct-09  | Doyle , et al.        |             |
|                | E036      | 7590853 |              | 15-Sep-09 | Shear , et al.        |             |
|                | E037      | 7587369 |              | 8-Sep-09  | Ginter , et al.       |             |

Examiner's Signature /Kaveh Abrishamkar/

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|      |         |           |                        |
|------|---------|-----------|------------------------|
| E038 | 7581092 | 25-Aug-09 | Shear , et al.         |
| E039 | 7580988 | 25-Aug-09 | Rudd                   |
| E040 | 7577609 | 18-Aug-09 | Maher                  |
| E041 | 7523194 | 21-Apr-09 | Strohwig , et al.      |
| A007 | 7454700 | 18-Nov-08 | Jones , et al.         |
| A008 | 7451392 | 11-Nov-08 | Chalecki , et al.      |
| C001 | 7451156 | 11-Nov-08 | Omstein , et al.       |
| C002 | 7441185 | 21-Oct-08 | Coulson , et al.       |
| E042 | 7430585 | 30-Sep-08 | Sibert                 |
| A009 | 7421645 | 2-Sep-08  | Reynar                 |
| C003 | 7418652 | 26-Aug-08 | Omstein , et al.       |
| B038 | 7415617 | 19-Aug-08 | Ginter , et al.        |
| A010 | 7409399 | 5-Aug-08  | Miyamoto               |
| A011 | 7401291 | 15-Jul-08 | Ramaley , et al.       |
| E044 | 7392395 | 24-Jun-08 | Ginter , et al.        |
| A012 | 7389420 | 17-Jun-08 | Tian                   |
| A013 | 7386804 | 10-Jun-08 | Ho , et al.            |
| C004 | 7383502 | 3-Jun-08  | Shur , et al.          |
| C005 | 7383500 | 3-Jun-08  | Shur , et al.          |
| C006 | 7373330 | 13-May-08 | Klebe                  |
| A014 | 7370206 | 6-May-08  | Goldman                |
| A015 | 7370018 | 6-May-08  | Bryant, Jr. , et al.   |
| C007 | 7366982 | 29-Apr-08 | Shur , et al.          |
| A016 | 7366338 | 29-Apr-08 | Jones , et al.         |
| G008 | 7362323 | 22-Apr-08 | Doyle                  |
| A017 | 7360093 | 15-Apr-08 | de Queiroz             |
| C008 | 7359902 | 15-Apr-08 | Omstein , et al.       |
| C009 | 7356143 | 8-Apr-08  | Morten                 |
| D001 | 7350238 | 25-Mar-08 | Abe , et al.           |
| D002 | 7350237 | 25-Mar-08 | Vogel , et al.         |
| A018 | 7343339 | 11-Mar-08 | Harrison, Jr. , et al. |
| A019 | 7340601 | 4-Mar-08  | Wray                   |
| C010 | 7330974 | 12-Feb-08 | Silverbrook , et al.   |
| C011 | 7330560 | 12-Feb-08 | Donescu                |
| A020 | 7302467 | 27-Nov-07 | Matsuda , et al.       |
| C012 | 7299408 | 20-Nov-07 | Daconta , et al.       |
| C013 | 7296217 | 13-Nov-07 | Earnshaw , et al.      |
| A021 | 7295679 | 13-Nov-07 | Otsuki                 |
| C014 | 7295207 | 13-Nov-07 | Yoon , et al.          |
| B005 | 7287284 | 23-Oct-07 | Hayashi                |
| A022 | 7281133 | 9-Oct-07  | Ginter , et al.        |
| A023 | 7277925 | 2-Oct-07  | Wamock                 |
| A024 | 7266527 | 4-Sep-07  | Martin                 |
| A025 | 7260585 | 21-Aug-07 | Krishnaprasad , et al. |
| C015 | 7251832 | 31-Jul-07 | Venters, III , et al.  |
| C016 | 7251644 | 31-Jul-07 | Viola , et al.         |

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|------|---------|--|-----------|------------------------|--|
| B002 | 7245740 |  | 17-Jul-07 | Suzaki                 |  |
| E046 | 7243236 |  | 10-Jul-07 | Sibert                 |  |
| A026 | 7239717 |  | 3-Jul-07  | Fridrich , et al.      |  |
| E047 | 7233948 |  | 19-Jun-07 | Shamoon , et al.       |  |
| E048 | 7213266 |  | 1-May-07  | Maher , et al.         |  |
| G009 | 7210035 |  | 24-Apr-07 | Doyle , et al.         |  |
| B003 | 7206432 |  | 17-Apr-07 | Iwamura                |  |
| A027 | 7200597 |  | 3-Apr-07  | Grizzard               |  |
| C017 | 7199804 |  | 3-Apr-07  | Bhattacharyay , et al. |  |
| B004 | 7197156 |  | 27-Mar-07 | Levy                   |  |
| A028 | 7191392 |  | 13-Mar-07 | Coar                   |  |
| C018 | 7191186 |  | 13-Mar-07 | Pullen                 |  |
| C019 | 7181017 |  | 20-Feb-07 | Nagel , et al.         |  |
| C020 | 7172122 |  | 6-Feb-07  | Alleshouse             |  |
| A029 | 7165174 |  | 16-Jan-07 | Ginter , et al.        |  |
| E049 | 7165174 |  | 16-Jan-07 | Ginter , et al.        |  |
| A030 | 7162635 |  | 9-Jan-07  | Bisbee , et al.        |  |
| A031 | 7150399 |  | 19-Dec-06 | Barrus , et al.        |  |
| A032 | 7149760 |  | 12-Dec-06 | Breuer                 |  |
| A033 | 7143290 |  | 28-Nov-06 | Ginter , et al.        |  |
| E051 | 7143066 |  | 28-Nov-06 | Shear , et al.         |  |
| A034 | 7143062 |  | 28-Nov-06 | Turk , et al.          |  |
| E052 | 7136840 |  | 14-Nov-06 | Pinkas , et al.        |  |
| A035 | 7134020 |  | 7-Nov-06  | Eagle , et al.         |  |
| A036 | 7133846 |  | 7-Nov-06  | Ginter , et al.        |  |
| E054 | 7133845 |  | 7-Nov-06  | Ginter , et al.        |  |
| B006 | 7130087 |  | 31-Oct-06 | Rhoads                 |  |
| E055 | 7124302 |  | 17-Oct-06 | Ginter , et al.        |  |
| E056 | 7120802 |  | 10-Oct-06 | Shear , et al.         |  |
| E057 | 7120800 |  | 10-Oct-06 | Ginter , et al.        |  |
| B007 | 7114750 |  | 3-Oct-06  | Alasia , et al.        |  |
| E058 | 7110983 |  | 19-Sep-06 | Shear , et al.         |  |
| E059 | 7107448 |  | 12-Sep-06 | MacKay , et al.        |  |
| E060 | 7100199 |  | 29-Aug-06 | Ginter , et al.        |  |
| E061 | 7095854 |  | 22-Aug-06 | Ginter , et al.        |  |
| E062 | 7092914 |  | 15-Aug-06 | Shear , et al.         |  |
| A037 | 7092910 |  | 15-Aug-06 | Iwamura                |  |
| A038 | 7089248 |  | 8-Aug-06  | King , et al.          |  |
| B008 | 7088843 |  | 8-Aug-06  | Pelly                  |  |
| A039 | 7082216 |  | 25-Jul-06 | Jones , et al.         |  |
| E063 | 7076652 |  | 11-Jul-06 | Ginter , et al.        |  |
| E064 | 7069451 |  | 27-Jun-06 | Ginter , et al.        |  |
| E065 | 7062500 |  | 13-Jun-06 | Hall , et al.          |  |
| E066 | 7058805 |  | 6-Jun-06  | Sibert                 |  |
| A040 | 7051212 |  | 23-May-06 | Ginter , et al.        |  |
| A041 | 7051206 |  | 23-May-06 | Giest , et al.         |  |

Examiner's Signature           /Kaveh Abrishamkar/

|      |         |           |                        |
|------|---------|-----------|------------------------|
| A042 | 7051205 | 23-May-06 | Horiguchi , et al.     |
| A043 | 7051002 | 23-May-06 | Keresman, III , et al. |
| E068 | 7050586 | 23-May-06 | Shamoon                |
| A044 | 7047419 | 16-May-06 | Black                  |
| G010 | 7047415 | 16-May-06 | Doyle , et al.         |
| A045 | 7047411 | 16-May-06 | DeMello , et al.       |
| A046 | 7047404 | 16-May-06 | Doonan , et al.        |
| A047 | 7044381 | 16-May-06 | Silverbrook , et al.   |
| A048 | 7043456 | 9-May-06  | Lindskog , et al.      |
| E069 | 7043441 | 9-May-06  | Maher                  |
| A049 | 7043411 | 9-May-06  | Ronaldson , et al.     |
| A050 | 7043025 | 9-May-06  | Aldredge               |
| A051 | 7039812 | 2-May-06  | Kawan , et al.         |
| A052 | 7039805 | 2-May-06  | Messing                |
| D004 | 7039626 | 2-May-06  | Hirata                 |
| A053 | 7039616 | 2-May-06  | Wendenburg , et al.    |
| A054 | 7036076 | 25-Apr-06 | Anwar                  |
| A055 | 7036015 | 25-Apr-06 | Vanstone , et al.      |
| A056 | 7035914 | 25-Apr-06 | Payne , et al.         |
| A057 | 7035830 | 25-Apr-06 | Shaikh                 |
| A058 | 7035817 | 25-Apr-06 | Brothers               |
| A059 | 7035409 | 25-Apr-06 | Moskowitz              |
| A060 | 7032224 | 18-Apr-06 | Kadakia , et al.       |
| D005 | 7032030 | 18-Apr-06 | Codignotto             |
| A061 | 7031943 | 18-Apr-06 | James , et al.         |
| A062 | 7028191 | 11-Apr-06 | Michener , et al.      |
| A063 | 7028189 | 11-Apr-06 | Mihcak , et al.        |
| A064 | 7028187 | 11-Apr-06 | Rosen                  |
| A065 | 7028184 | 11-Apr-06 | Hind , et al.          |
| A066 | 7028182 | 11-Apr-06 | Kilcommons             |
| A067 | 7028180 | 11-Apr-06 | Aull , et al.          |
| A068 | 7025276 | 11-Apr-06 | Lapstun , et al.       |
| A069 | 7024390 | 4-Apr-06  | Mori , et al.          |
| A070 | 7021534 | 4-Apr-06  | Kiliccote              |
| D006 | 7020839 | 28-Mar-06 | Hosoda                 |
| A071 | 7020781 | 28-Mar-06 | Saw , et al.           |
| A072 | 7020777 | 28-Mar-06 | Mihcak , et al.        |
| A073 | 7020645 | 28-Mar-06 | Bisbee , et al.        |
| A074 | 7020640 | 28-Mar-06 | Zucchetti              |
| A075 | 7017046 | 21-Mar-06 | Doyle , et al.         |
| A076 | 7016516 | 21-Mar-06 | Rhoads                 |
| A077 | 7016495 | 21-Mar-06 | Scheidt , et al.       |
| A078 | 7013286 | 14-Mar-06 | Aggarwal , et al.      |
| D007 | 7013041 | 14-Mar-06 | Miyamoto               |
| A079 | 7012710 | 14-Mar-06 | Lapstun , et al.       |
| A080 | 7010697 | 7-Mar-06  | Byrne , et al.         |

Examiner's Signature           /Kaveh Abrishamkar/          

Date Considered: 03/29/2013

|      |         |           |                       |
|------|---------|-----------|-----------------------|
| D008 | 7010570 | 7-Mar-06  | Boies , et al.        |
| D009 | 7007076 | 28-Feb-06 | Hess , et al.         |
| A081 | 7006656 | 28-Feb-06 | Fridrich , et al.     |
| D010 | 7006243 | 28-Feb-06 | Simpson , et al.      |
| A082 | 7003166 | 21-Feb-06 | Abhyankar , et al.    |
| A083 | 7003132 | 21-Feb-06 | Rhoads                |
| A084 | 7000186 | 14-Feb-06 | Gropper , et al.      |
| A085 | 7000110 | 14-Feb-06 | Terao                 |
| A086 | 7000105 | 14-Feb-06 | Tallent, Jr. , et al. |
| A087 | 6999936 | 14-Feb-06 | Sehr                  |
| A088 | 6996723 | 7-Feb-06  | Kyojima , et al.      |
| A089 | 6993656 | 31-Jan-06 | Peyravian , et al.    |
| A090 | 6993655 | 31-Jan-06 | Hecht , et al.        |
| A091 | 6993153 | 31-Jan-06 | Bradley               |
| A092 | 6991174 | 31-Jan-06 | Zuili                 |
| A093 | 6991154 | 31-Jan-06 | Silverbrook , et al.  |
| A094 | 6991153 | 31-Jan-06 | Silverbrook , et al.  |
| A095 | 6990684 | 24-Jan-06 | Futamura , et al.     |
| A096 | 6990504 | 24-Jan-06 | Powell , et al.       |
| A097 | 6990480 | 24-Jan-06 | Burt                  |
| A098 | 6988279 | 17-Jan-06 | Kanevsky              |
| A099 | 6988199 | 17-Jan-06 | Toh , et al.          |
| A100 | 6985888 | 10-Jan-06 | Cordery , et al.      |
| A101 | 6985886 | 10-Jan-06 | Broadbent , et al.    |
| A102 | 6985589 | 10-Jan-06 | Morley , et al.       |
| A103 | 6983309 | 3-Jan-06  | Yoshizawa             |
| A104 | 6982625 | 3-Jan-06  | Chainer , et al.      |
| A105 | 6981151 | 27-Dec-05 | Groeneveld , et al.   |
| A106 | 6978366 | 20-Dec-05 | Ignatchenko , et al.  |
| A107 | 6976172 | 13-Dec-05 | Girard                |
| A108 | 6976165 | 13-Dec-05 | Carpentier , et al.   |
| A109 | 6975992 | 13-Dec-05 | Tucker , et al.       |
| A110 | 6975915 | 13-Dec-05 | Robitaille , et al.   |
| A111 | 6975746 | 13-Dec-05 | Davis , et al.        |
| A112 | 6975733 | 13-Dec-05 | Choi , et al.         |
| A113 | 6973646 | 6-Dec-05  | Bordawekar , et al.   |
| A114 | 6973444 | 6-Dec-05  | Binn , et al.         |
| D011 | 6972763 | 6-Dec-05  | Millett , et al.      |
| A115 | 6970836 | 29-Nov-05 | Paltenghe , et al.    |
| A116 | 6968455 | 22-Nov-05 | Okayasu , et al.      |
| A117 | 6968319 | 22-Nov-05 | Remington , et al.    |
| A118 | 6968058 | 22-Nov-05 | Kondoh , et al.       |
| A119 | 6966048 | 15-Nov-05 | Bowers                |
| A120 | 6965904 | 15-Nov-05 | Bankert , et al.      |
| A121 | 6961902 | 1-Nov-05  | Anecki , et al.       |
| A122 | 6961854 | 1-Nov-05  | Serret-Avila , et al. |

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|      |         |  |           |                       |  |
|------|---------|--|-----------|-----------------------|--|
| B009 | 6961441 |  | 1-Nov-05  | Hershey , et al.      |  |
| B010 | 6959100 |  | 25-Oct-05 | Rhoads                |  |
| A123 | 6954542 |  | 11-Oct-05 | Miyake , et al.       |  |
| E070 | 6950867 |  | 27-Sep-05 | Strohwig , et al.     |  |
| E071 | 6948070 |  | 20-Sep-05 | Ginter , et al.       |  |
| D012 | 6947162 |  | 20-Sep-05 | Rosenberg , et al.    |  |
| A124 | 6940995 |  | 6-Sep-05  | Choi , et al.         |  |
| D013 | 6940870 |  | 6-Sep-05  | Hamfin                |  |
| E072 | 6938021 |  | 30-Aug-05 | Shear , et al.        |  |
| D014 | 6925597 |  | 2-Aug-05  | Anwar                 |  |
| A125 | 6918082 |  | 12-Jul-05 | Gross , et al.        |  |
| B011 | 6915433 |  | 5-Jul-05  | Barber                |  |
| D015 | 6912462 |  | 28-Jun-05 | Ogaki                 |  |
| D016 | 6910068 |  | 21-Jun-05 | Zintel , et al.       |  |
| C021 | 6908034 |  | 21-Jun-05 | Alleshouse            |  |
| D017 | 6904454 |  | 7-Jun-05  | Stickler              |  |
| D018 | 6904176 |  | 7-Jun-05  | Chui , et al.         |  |
| D019 | 6900905 |  | 31-May-05 | Simpson , et al.      |  |
| A126 | 6892947 |  | 17-May-05 | Jam , et al.          |  |
| A127 | 6892352 |  | 10-May-05 | Myers                 |  |
| B012 | 6880755 |  | 19-Apr-05 | Gorbet , et al.       |  |
| A128 | 6862599 |  | 1-Mar-05  | King                  |  |
| B013 | 6859155 |  | 22-Feb-05 | Kondo , et al.        |  |
| G012 | 6857124 |  | 15-Feb-05 | Doyle                 |  |
| B014 | 6850624 |  | 1-Feb-05  | Shinoda , et al.      |  |
| A129 | 6834209 |  | 21-Dec-04 | Potz , et al.         |  |
| E073 | 6832316 |  | 14-Dec-04 | Sibert                |  |
| B015 | 6826291 |  | 30-Nov-04 | Yoshiura , et al.     |  |
| B016 | 6807285 |  | 19-Oct-04 | Iwamura               |  |
| E074 | 6785815 |  | 31-Aug-04 | Serret-Avila , et al. |  |
| B017 | 6782509 |  | 24-Aug-04 | Hirayama , et al.     |  |
| A130 | 6748380 |  | 8-Jun-04  | Poole , et al.        |  |
| B018 | 6748362 |  | 8-Jun-04  | Meyer , et al.        |  |
| B019 | 6731775 |  | 4-May-04  | Ancin                 |  |
| D003 | 6717573 |  | 6-Apr-04  | Shahoian , et al.     |  |
| A131 | 6714202 |  | 30-Mar-04 | Dorrell               |  |
| B020 | 6678390 |  | 13-Jan-04 | Honsinger             |  |
| B021 | 6678389 |  | 13-Jan-04 | Sun , et al.          |  |
| A132 | 6658568 |  | 2-Dec-03  | Ginter , et al.       |  |
| C022 | 6643383 |  | 4-Nov-03  | Dugelay               |  |
| E076 | 6640304 |  | 28-Oct-03 | Ginter , et al.       |  |
| E077 | 6618484 |  | 9-Sep-03  | Van Wie , et al.      |  |
| C023 | 6616702 |  | 9-Sep-03  | Tonkin                |  |
| G013 | 6616701 |  | 9-Sep-03  | Doyle                 |  |
| A133 | 6535981 |  | 18-Mar-03 | Shimizu               |  |
| A134 | 6532476 |  | 11-Mar-03 | King                  |  |

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|  |      |         |  |           |                    |  |
|--|------|---------|--|-----------|--------------------|--|
|  | C024 | 6507858 |  | 14-Jan-03 | Kanerva , et al.   |  |
|  | D020 | 6469692 |  | 22-Oct-02 | Rosenberg          |  |
|  | A135 | 6456726 |  | 24-Sep-02 | Yu , et al.        |  |
|  | E078 | 6449367 |  | 10-Sep-02 | Van Wie , et al.   |  |
|  | E079 | 6427140 |  | 30-Jul-02 | Ginter , et al.    |  |
|  | A136 | 6418448 |  | 9-Jul-02  | Sarkar             |  |
|  | E080 | 6389402 |  | 14-May-02 | Ginter , et al.    |  |
|  | G014 | 6381696 |  | 30-Apr-02 | Doyle              |  |
|  | A137 | 6367013 |  | 2-Apr-02  | Bisbee , et al.    |  |
|  | E081 | 6363488 |  | 26-Mar-02 | Ginter , et al.    |  |
|  | B022 | 6354630 |  | 12-Mar-02 | Zhang , et al.     |  |
|  | B023 | 6343138 |  | 29-Jan-02 | Rhoads             |  |
|  | C025 | 6342954 |  | 29-Jan-02 | Hisatomi , et al.  |  |
|  | E082 | 6339765 |  | 15-Jan-02 | Maher              |  |
|  | B024 | 6334187 |  | 25-Dec-01 | Kadono             |  |
|  | B025 | 6307950 |  | 23-Oct-01 | Powell , et al.    |  |
|  | E083 | 6292569 |  | 18-Sep-01 | Shear , et al.     |  |
|  | B026 | 6278791 |  | 21-Aug-01 | Honsinger , et al. |  |
|  | E084 | 6253193 |  | 26-Jun-01 | Ginter , et al.    |  |
|  | A138 | 6246998 |  | 12-Jun-01 | Matsumori          |  |
|  | E085 | 6240185 |  | 29-May-01 | Van Wie , et al.   |  |
|  | E086 | 6237786 |  | 29-May-01 | Ginter , et al.    |  |
|  | A139 | 6237096 |  | 22-May-01 | Bisbee , et al.    |  |
|  | A140 | 6209095 |  | 27-Mar-01 | Anderson , et al.  |  |
|  | A141 | 6192138 |  | 20-Feb-01 | Yamadaji           |  |
|  | E087 | 6185683 |  | 6-Feb-01  | Ginter , et al.    |  |
|  | E088 | 6157721 |  | 5-Dec-00  | Shear , et al.     |  |
|  | C026 | 6138119 |  | 24-Oct-00 | Hall , et al.      |  |
|  | E090 | 6125349 |  | 26-Sep-00 | Maher              |  |
|  | A142 | 6112185 |  | 29-Aug-00 | Walker , et al.    |  |
|  | E091 | 6112181 |  | 29-Aug-00 | Shear , et al.     |  |
|  | A143 | 6065026 |  | 16-May-00 | Comelia , et al.   |  |
|  | B027 | 6055321 |  | 25-Apr-00 | Numao , et al.     |  |
|  | A144 | 6047374 |  | 4-Apr-00  | Barton             |  |
|  | B028 | 6031914 |  | 29-Feb-00 | Tewfik , et al.    |  |
|  | A145 | 6006328 |  | 21-Dec-99 | Drake              |  |
|  | B029 | 6005643 |  | 21-Dec-99 | Morimoto , et al.  |  |
|  | E092 | 5982891 |  | 9-Nov-99  | Ginter , et al.    |  |
|  | A146 | 5960411 |  | 28-Sep-99 | Hartman , et al.   |  |
|  | E093 | 5949876 |  | 7-Sep-99  | Ginter , et al.    |  |
|  | B030 | 5946414 |  | 31-Aug-99 | Cass , et al.      |  |
|  | E094 | 5943422 |  | 24-Aug-99 | Van Wie , et al.   |  |
|  | E095 | 5920861 |  | 6-Jul-99  | Hall , et al.      |  |
|  | E096 | 5917912 |  | 29-Jun-99 | Ginter , et al.    |  |
|  | E097 | 5915019 |  | 22-Jun-99 | Ginter , et al.    |  |
|  | E098 | 5910987 |  | 8-Jun-99  | Ginter , et al.    |  |

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|  |      |             |    |           |                          |  |
|--|------|-------------|----|-----------|--------------------------|--|
|  | B031 | 5894558     |    | 13-Apr-99 | Falker                   |  |
|  | E099 | 5892900     |    | 6-Apr-99  | Ginter , et al.          |  |
|  | A147 | 5887130     |    | 23-Mar-99 | Doi , et al.             |  |
|  | A148 | 5884272     |    | 16-Mar-99 | Walker , et al.          |  |
|  | B032 | 5870499     |    | 9-Feb-99  | Bender , et al.          |  |
|  | B033 | 5859920     |    | 12-Jan-99 | Daly , et al.            |  |
|  | A149 | 5855008     |    | 29-Dec-98 | Goldhaber , et al.       |  |
|  | A150 | 5845265     |    | 1-Dec-98  | Woolston                 |  |
|  | G015 | 5838906     |    | 17-Nov-98 | Doyle , et al.           |  |
|  | B034 | 5822436     |    | 13-Oct-98 | Rhoads                   |  |
|  | A151 | 5806072     |    | 8-Sep-98  | Kuba , et al.            |  |
|  | A152 | 5794207     |    | 11-Aug-98 | Walker , et al.          |  |
|  | C027 | 5778372     |    | 7-Jul-98  | Cordell , et al.         |  |
|  | A153 | 5774870     |    | 30-Jun-98 | Storey                   |  |
|  | A154 | 5748738     |    | 5-May-98  | Bisbee , et al.          |  |
|  | B035 | 5689587     |    | 18-Nov-97 | Bender , et al.          |  |
|  | A155 | 5668945     |    | 16-Sep-97 | Ohba , et al.            |  |
|  | A156 | 5619571     |    | 8-Apr-97  | Sandstrom , et al.       |  |
|  | A157 | 5615268     |    | 25-Mar-97 | Bisbee , et al.          |  |
|  | E100 | 5410598     |    | 25-Apr-95 | Shear                    |  |
|  | A158 | 5375197     |    | 20-Dec-94 | Kang                     |  |
|  | B036 | 5149140     |    | 22-Sep-92 | Mowry, Jr. , et al.      |  |
|  | E101 | 5050213     |    | 17-Sep-91 | Shear                    |  |
|  | A159 | 5022080     |    | 4-Jun-91  | Durst , et al.           |  |
|  | A160 | 5016009     |    | 14-May-91 | Whiting , et al.         |  |
|  | E102 | 4977594     |    | 11-Dec-90 | Shear                    |  |
|  | G016 | 4847604     |    | 11-Jul-89 | Doyle                    |  |
|  | E103 | 4827508     |    | 2-May-89  | Shear                    |  |
|  | B037 | 4790566     |    | 13-Dec-88 | Boissier , et al.        |  |
|  | A161 | 4751740     |    | 14-Jun-88 | Wright                   |  |
|  | A162 | 4701745     |    | 20-Oct-87 | Waterworth               |  |
|  | A163 | 4631603     |    | 23-Dec-86 | Ryan                     |  |
|  | A164 | 4264808     |    | 28-Apr-81 | Owens , et al.           |  |
|  | F001 | 20120166807 | A1 | 28-Jun-12 | Shear, Victor H. et al.  |  |
|  | F002 | 20110197285 | A1 | 11-Aug-11 | Ginter, Karl L et al.    |  |
|  | F003 | 20110173695 | A1 | 14-Jul-11 | Ginter, Karl L et al.    |  |
|  | F004 | 20110145602 | A1 | 16-Jun-11 | Ginter, Karl L. et al.   |  |
|  | F005 | 20110131422 | A1 | 2-Jun-11  | Shear, Victor H et al.   |  |
|  | H001 | 20110093527 | A1 | 21-Apr-11 | Doyle; Michael D.        |  |
|  | F006 | 20110083009 | A1 | 7-Apr-11  | Shamoon; Talal G. et al. |  |
|  | F007 | 20110047389 | A1 | 24-Feb-11 | Ginter, Karl L et al.    |  |
|  | F008 | 20110047078 | A1 | 24-Feb-11 | Ginter, Karl L. et al.   |  |
|  | F009 | 20110047077 | A1 | 24-Feb-11 | Ginter, Karl L. et al.   |  |
|  | F010 | 20110047054 | A1 | 24-Feb-11 | Ginter, Karl L. et al.   |  |
|  | F011 | 20110022846 | A1 | 27-Jan-11 | Ginter, Karl L. et al.   |  |
|  | F012 | 20110022520 | A1 | 27-Jan-11 | Ginter, Karl L. et al.   |  |

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|      |             |    |           |                          |  |
|------|-------------|----|-----------|--------------------------|--|
| F013 | 20100325698 | A1 | 23-Dec-10 | Ginter, Karl L. et al.   |  |
| F014 | 20100313013 | A1 | 9-Dec-10  | Ginter, Karl L. et al.   |  |
| F015 | 20100312768 | A1 | 9-Dec-10  | Shear, Victor H. et al.  |  |
| F016 | 20100275040 | A1 | 28-Oct-10 | Ginter, Karl L. et al.   |  |
| F017 | 20100257614 | A1 | 7-Oct-10  | Ginter, Karl L. et al.   |  |
| F018 | 20100250935 | A1 | 30-Sep-10 | Ginter, Karl L. et al.   |  |
| F019 | 20100228996 | A1 | 9-Sep-10  | Ginter, Karl L. et al.   |  |
| F020 | 20100217994 | A1 | 26-Aug-10 | Ginter, Karl L. et al.   |  |
| F021 | 20100174652 | A1 | 8-Jul-10  | Shear, VICTOR H. et al.  |  |
| F022 | 20100161963 | A1 | 24-Jun-10 | GINTER; KARL L. et al.   |  |
| F023 | 20100023761 | A1 | 28-Jan-10 | Shear, Victor H. et al.  |  |
| F024 | 20090132815 | A1 | 21-May-09 | Ginter, Karl L. et al.   |  |
| F025 | 20090132805 | A1 | 21-May-09 | Ginter, Karl L. et al.   |  |
| F026 | 20090113200 | A1 | 30-Apr-09 | Van Wie, David M. et al. |  |
| F027 | 20090048978 | A1 | 19-Feb-09 | Ginter, Karl L. et al.   |  |
| F028 | 20090043652 | A1 | 12-Feb-09 | Ginter, Karl L. et al.   |  |
| F029 | 20090030768 | A1 | 29-Jan-09 | Ginter, Karl L. et al.   |  |
| F030 | 20080250241 | A1 | 9-Oct-08  | Ginter, Karl L. et al.   |  |
| H002 | 20080163038 | A1 | 3-Jul-08  | Doyle, Michael D.        |  |
| F031 | 20080148056 | A1 | 19-Jun-08 | Ginter, Karl L. et al.   |  |
| F032 | 20080141033 | A1 | 12-Jun-08 | Ginter, Karl L. et al.   |  |
| F033 | 20080134342 | A1 | 5-Jun-08  | Shamoon; Talal G. et al. |  |
| F034 | 20080133415 | A1 | 5-Jun-08  | Ginter, Karl L. et al.   |  |
| F035 | 20080127304 | A1 | 29-May-08 | Ginter, Karl L. et al.   |  |
| F036 | 20080126803 | A1 | 29-May-08 | Ginter, Karl L. et al.   |  |
| F037 | 20080126798 | A1 | 29-May-08 | Ginter, Karl L. et al.   |  |
| F038 | 20080120240 | A1 | 22-May-08 | Ginter, Karl L. et al.   |  |
| F039 | 20080114790 | A1 | 15-May-08 | Hall, Edwin J. et al.    |  |
| F040 | 20080114698 | A1 | 15-May-08 | SHEAR; VICTOR H. et al.  |  |
| F041 | 20080109242 | A1 | 8-May-08  | Shear, Victor H. et al.  |  |
| F042 | 20080109240 | A1 | 8-May-08  | Shear, Victor H. et al.  |  |
| F043 | 20080107264 | A1 | 8-May-08  | Van Wie, David M. et al. |  |
| F044 | 20080103805 | A1 | 1-May-08  | Shear, Victor H. et al.  |  |
| F045 | 20080077531 | A1 | 27-Mar-08 | Shear, Victor H. et al.  |  |
| F046 | 20080052541 | A1 | 28-Feb-08 | Ginter, Karl L. et al.   |  |
| F047 | 20080034406 | A1 | 7-Feb-08  | Ginter, Karl L. et al.   |  |
| F048 | 20080034231 | A1 | 7-Feb-08  | Ginter, Karl L. et al.   |  |
| F049 | 20080034230 | A1 | 7-Feb-08  | Ginter, Karl L. et al.   |  |
| F050 | 20080034229 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F051 | 20080034228 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F052 | 20080034227 | A1 | 7-Feb-08  | Ginter, Karl L. et al.   |  |
| F053 | 20080034214 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F054 | 20080033884 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F055 | 20080031461 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F056 | 20080031457 | A1 | 7-Feb-08  | Shear, Victor H. et al.  |  |
| F057 | 20080022137 | A1 | 24-Jan-08 | Ginter, Karl L. et al.   |  |

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|      |             |    |           |                            |  |
|------|-------------|----|-----------|----------------------------|--|
| F058 | 20080022100 | A1 | 24-Jan-08 | Ginter, Karl L. et al.     |  |
| F059 | 20080021835 | A1 | 24-Jan-08 | Ginter, Karl L. et al.     |  |
| F060 | 20080021832 | A1 | 24-Jan-08 | Ginter, Karl L. et al.     |  |
| F061 | 20080016361 | A1 | 17-Jan-08 | Ginter, Karl L. et al.     |  |
| F062 | 20080016103 | A1 | 17-Jan-08 | Hall, Edwin J. et al.      |  |
| F063 | 20070288764 | A1 | 13-Dec-07 | Shear, Victor H. et al.    |  |
| F064 | 20070277031 | A1 | 29-Nov-07 | Ginter, Karl L. et al.     |  |
| F065 | 20070276759 | A1 | 29-Nov-07 | Ginter, Karl L. et al.     |  |
| F066 | 20070271463 | A1 | 22-Nov-07 | Ginter, Karl L. et al.     |  |
| F067 | 20070266429 | A1 | 15-Nov-07 | Ginter, Karl L. et al.     |  |
| F068 | 20070261125 | A1 | 8-Nov-07  | Ginter, Karl L. et al.     |  |
| F069 | 20070250937 | A1 | 25-Oct-07 | Ginter, Karl L. et al.     |  |
| F070 | 20070245403 | A1 | 18-Oct-07 | Ginter, Karl L. et al.     |  |
| F071 | 20070234069 | A1 | 4-Oct-07  | Ginter, Karl L. et al.     |  |
| F072 | 20070226807 | A1 | 27-Sep-07 | Ginter, Karl L. et al.     |  |
| F073 | 20070214030 | A1 | 13-Sep-07 | Shear, Victor H. et al.    |  |
| F074 | 20070192252 | A1 | 16-Aug-07 | Shear, Victor H. et al.    |  |
| F075 | 20070185813 | A1 | 9-Aug-07  | Shear, Victor H. et al.    |  |
| A005 | 20070106932 | A1 | 10-May-07 | Coar, Michael J.           |  |
| F076 | 20070064943 | A1 | 22-Mar-07 | Ginter, Karl L. et al.     |  |
| F077 | 20070061594 | A1 | 15-Mar-07 | Ginter, Karl L. et al.     |  |
| A001 | 20070011457 | A1 | 11-Jan-07 | Dubinsky, Andrew M. et al. |  |
| F078 | 20060277122 | A1 | 7-Dec-06  | Shear, Victor H. et al.    |  |
| F079 | 20060248353 | A1 | 2-Nov-06  | Shear, Victor H. et al.    |  |
| F080 | 20060248016 | A1 | 2-Nov-06  | Ginter, Karl L. et al.     |  |
| F081 | 20060242075 | A1 | 26-Oct-06 | Ginter, Karl L. et al.     |  |
| F082 | 20060224903 | A1 | 5-Oct-06  | Ginter, Karl L. et al.     |  |
| F083 | 20060218651 | A1 | 28-Sep-06 | Ginter, Karl L. et al.     |  |
| F084 | 20060212722 | A1 | 21-Sep-06 | Ginter, Karl L. et al.     |  |
| F085 | 20060212370 | A1 | 21-Sep-06 | Shear, Victor H. et al.    |  |
| F086 | 20060206397 | A1 | 14-Sep-06 | Shear, Victor H. et al.    |  |
| F087 | 20060200392 | A1 | 7-Sep-06  | Shear, Victor H. et al.    |  |
| F088 | 20060174326 | A1 | 3-Aug-06  | Ginter, Karl L. et al.     |  |
| A002 | 20060150151 | A1 | 6-Jul-06  | Dubinsky, Andrew M.        |  |
| H003 | 20060136719 | A1 | 22-Jun-06 | Doyle, Michael D. et al.   |  |
| C028 | 20060136477 | A1 | 22-Jun-06 | Bishop, Andrew et al.      |  |
| H004 | 20060129982 | A1 | 15-Jun-06 | Doyle, Michael D.          |  |
| F089 | 20060120525 | A1 | 8-Jun-06  | Van Wie, David M. et al.   |  |
| A003 | 20060080599 | A1 | 13-Apr-06 | Dubinsky, Andrew M.        |  |
| F090 | 20060069926 | A1 | 30-Mar-06 | Ginter, Karl L. et al.     |  |
| F091 | 20060053158 | A1 | 9-Mar-06  | Hall, Edwin J. et al.      |  |
| F092 | 20050246541 | A1 | 3-Nov-05  | Ginter, Karl L. et al.     |  |
| F093 | 20050240771 | A1 | 27-Oct-05 | Ginter, Karl L. et al.     |  |
| F094 | 20050182956 | A1 | 18-Aug-05 | Ginter, Karl L. et al.     |  |
| F095 | 20050177716 | A1 | 11-Aug-05 | Ginter, Karl L. et al.     |  |
| A006 | 20050138382 | A1 | 23-Jun-05 | Hougaard, Todd R. et al.   |  |

Examiner's Signature /Kaveh Abrishamkar/

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Date Considered: 03/29/2013

|      |             |    |           |                                 |
|------|-------------|----|-----------|---------------------------------|
| F096 | 20050060584 | A1 | 17-Mar-05 | Ginter, Karl L. et al.          |
| C029 | 20040237040 | A1 | 25-Nov-04 | Malkin, Wayne Allan et al.      |
| F097 | 20040133793 | A1 | 8-Jul-04  | Ginter, Karl L. et al.          |
| C030 | 20040128513 | A1 | 1-Jul-04  | Wu, Yen-Hsing et al.            |
| F098 | 20040123129 | A1 | 24-Jun-04 | Ginter, Karl L. et al.          |
| F099 | 20040107356 | A1 | 3-Jun-04  | Shamoon, Talal G. et al.        |
| F100 | 20040103305 | A1 | 27-May-04 | Ginter, Karl L. et al.          |
| H005 | 20040078753 | A1 | 22-Apr-04 | Doyle, Michael D.               |
| F101 | 20040054630 | A1 | 18-Mar-04 | Ginter, Karl L. et al.          |
| F102 | 20030191719 | A1 | 9-Oct-03  | Ginter, Karl L. et al.          |
| F103 | 20030163431 | A1 | 28-Aug-03 | Ginter, Karl L. et al.          |
| H006 | 20030154261 | A1 | 14-Aug-03 | Doyle, Michael D. et al.        |
| F104 | 20030105721 | A1 | 5-Jun-03  | Ginter, Karl L. et al.          |
| F105 | 20030088784 | A1 | 8-May-03  | Ginter, Karl L. et al.          |
| F106 | 20030069749 | A1 | 10-Apr-03 | Shear, Victor H. et al.         |
| F107 | 20030069748 | A1 | 10-Apr-03 | Shear, Victor H. et al.         |
| F108 | 20030066337 | A1 | 10-Apr-03 | Gauthier Jr, Leo R. et al.      |
| B001 | 20030046274 | A1 | 6-Mar-03  | Erickson, John S. et al.        |
| F109 | 20030046244 | A1 | 6-Mar-03  | Shear, Victor H. et al.         |
| F110 | 20030041239 | A1 | 27-Feb-03 | Shear, Victor H. et al.         |
| F111 | 20030002673 | A1 | 2-Jan-03  | Van Wie, David M. et al.        |
| H007 | 20020129241 | A1 | 12-Sep-02 | Doyle, Michael D. et al.        |
| F112 | 20020112171 | A1 | 15-Aug-02 | Ginter, Karl L. et al.          |
| H008 | 20020112157 | A1 | 15-Aug-02 | Doyle, Michael D. et al.        |
| C031 | 20020066019 | A1 | 30-May-02 | Amonou, Isabelle et al.         |
| C032 | 20020059144 | A1 | 16-May-02 | Meffert, Gregory J. et al.      |
| F113 | 20020048369 | A1 | 25-Apr-02 | Ginter, Karl L. et al.          |
| F114 | 20020023214 | A1 | 21-Feb-02 | Shear, Victor H. et al.         |
| F115 | 20010042043 | A1 | 15-Nov-01 | SHEAR, VICTOR H. et al.         |
| A004 | 20010039524 | A1 | 8-Nov-01  | Harrison, Shelton E. JR. et al. |
| H009 | 20010030651 | A1 | 18-Oct-01 | Doyle, Michael D.               |
| C033 | 20010027459 | A1 | 4-Oct-01  | Royal, Gregory T.               |
| F116 | 20010026618 | A1 | 4-Oct-01  | Van Wie, David M. et al.        |

Examiner's Signature           /Kaveh Abrishamkar/

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Date Considered: 03/29/2013

|                                                                                                                        |                                            |                                                                 |
|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------------------------------------------------------------|
| <b><i>Index of Claims</i></b><br><br> | <b>Application/Control No.</b><br>12316699 | <b>Applicant(s)/Patent Under Reexamination</b><br>GUYMON ET AL. |
|                                                                                                                        | <b>Examiner</b><br>KAVEH ABRISHAMKAR       | <b>Art Unit</b><br>2494                                         |

|   |                 |
|---|-----------------|
| ✓ | <b>Rejected</b> |
| = | <b>Allowed</b>  |

|   |                   |
|---|-------------------|
| - | <b>Cancelled</b>  |
| ÷ | <b>Restricted</b> |

|          |                     |
|----------|---------------------|
| <b>N</b> | <b>Non-Elected</b>  |
| <b>I</b> | <b>Interference</b> |

|          |                 |
|----------|-----------------|
| <b>A</b> | <b>Appeal</b>   |
| <b>O</b> | <b>Objected</b> |

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

| CLAIM |          | DATE       |  |  |  |  |  |  |  |
|-------|----------|------------|--|--|--|--|--|--|--|
| Final | Original | 03/02/2012 |  |  |  |  |  |  |  |
|       | 1        | ✓          |  |  |  |  |  |  |  |
|       | 2        | ✓          |  |  |  |  |  |  |  |
|       | 3        | ✓          |  |  |  |  |  |  |  |
|       | 4        | ✓          |  |  |  |  |  |  |  |



**In the United States Patent and Trademark Office**

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Amendments w/Reply in re Office Action of March 6, 2012**

December 13, 2012 (Org dated September 6, 2012-No changed made except page count)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Office Action dated (mailed) March 6, 2012, with extension of time that of three (3) months making reply date due on or before September 6<sup>th</sup>, 2012, Applicant hereby amends the Application of Patent, 12/316699, filed December 15, 2008 and remarks as follows:

**SPECIFICATION:**

By the above amendment, Applicant has amended the specification to emphasis the apparatus and its functionality and structure in support of the claims.

Amendment and printed and incorporated as separate document.

**CLAIMS:**

Amendment and printed and incorporated as separate document.

**DRAWINGS:**

Drawings not changed.

**REMARKS:**

In response to the Office Action dated March 6, 2012, Application presents the following remarks:

Applicant has rewritten the claims to define the electronic document apparatus more particularly and distinctly so as to overcome the technical objections raised in the Office Action United States Patent and Trademark Office, and define, over prior art, the ability for Patent of the Securable Independent Electronic Document apparatus.

In regard to the Office Action's section '*DETAILED ACTION*', paragraph 1, '*This Action ...*'

1. Applicant

paragraph 2, '*Claims 1-4 are ...*'

2. Applicant

In regard to the Office Action's section '*Information Disclosure Statement*', paragraph 3, '*Initialed and ...*'

3. Applicant ack 5 In dis statements filed, id filed 2008 thru 2012 Applicant includes with this first office action an amended In Dis SB07 to include further found and/or referenced patents or applications of patents.

In regard to the Office Action's section '*Claim Objections*' ,



paragraph 4, 'Claims 1- ...'

4. Applicant has cleaned up several texts as suggested by examiner.

paragraph 5, 'Claim 1 is ...'

5. Applicant fixed 'd' on included word.

In regard to the Office Action's section '*Claim Rejection – 35 USC § 112*' ,

paragraph 6, 'The following ...'

6. Applicant revised claims to better point out and distinctively claim the Securable Independent Electronic Document apparatus that is taught within Applicant's Application for Patent..

The claims, having the first an independent claim for an digital information processing apparatus, and distinctively points out said apparatus, its components, and its related means, said means operating independently and during different time periods during the apparatus's creation, use and distribution.

The claims, describing the Securable Independent Electronic Document apparatus, in accordance with MPEP S 2114, define the apparatus with its structure and included means; further, the apparatus, with the support and diligent search, and, as Applicant believes, true and correct information found thereof, as claimed and further described and taught in the specification, has structure different than that of the references cited, that of Referenced Prior Art, either during , and is so claimed having described

Further, and the specification of the Application for Patent,

paragraph 7, 'Claims 1-4 ...'

7. Applicant included amended structural relationships to describe the apparatus

paragraph 8, 'Furthermore, ...'

8. Applicant believes MPEP 2114, stating that the prior art differs in structure and therefore allows the claims within without anticipation by either Ginter or Doyle.

In regard to the Office Action's section '*Claim Rejection – 35 USC § 102*',  
paragraph 9, 'The following ...'

9. Applicant describes the differences between Ginter and Doyles Systems and methods and that of the inscribed Securable Independent Electronic Document Apparatus.

paragraph 10, '*Claims 1- ...*'

10. Applicant compares Ginter and Doyle to Securable Independent Electronic Document apparatus.

Both G and D have systems and/or methods that use particular software API's. The document described herein uses no set API or coding instruction but rather lets the user decide how and with what mechanics to build the document.

G sysss lack versatility let alone 'self' doing caps.  
Includes options not wanted, has not some wanted.  
Software house is dependant to the workings of the ssthings.  
Ginter requyres trusted nodes that sincerely limit paths, ways operations or other 'circumvented' or otherwise 'the results ant path of the shipmwnt.

featured means, those of int, ind, dig,, and ??first allows the electronic document container described within to have structure, creation, security, independence overall functionality endless in possibilities, .

In Pt#, para 0117, Ginter describes a '*secure "virtual black box"*'; The Virtual Distributive Environment 'VDE', built using concepts of the DigiBox container, uses the DigiBox container in conjunction with the Transit Key ???Systems 'TKS??' that are stored on different computer systems, these systems dependand on the overall VDE envioronment to handle or to move or otherwise work with the 'user data'.

G – his apparatus that contains one or more microprocessors a

Our apparatus is not a system wide thing as much as a tangible apparatus, made up of components, pieces. Put together, an electronic document, one which allows any system or method of information handing, processing and/or exchange to occur and be possible. With the increased security thru means such as those of integrity, independence, electronic commerce becomes as needed, truly secure. The apparatus allows user control of this, completely. No additional person or party ('third') is present.

Similar to a physical truss, such in patent '??' where rulings supported different combinations of items used to

5,920,861 Hall, et al.  
Appl No 08/805,804  
Filed Feb 25, 1997

Uses DigiBox tech

rights management environment in which DigiBox.TM. containers are used

Multiple containers for different uses – not user controlled

The node and container model described in 5920861 and in the Ginter et al. patent specification (along with similar other *DigiBox/VDE* (Virtual Distribution Environment) models)

The InterTrust *DigiBox* container model allows and facilitates these and other different container uses.

Uses rights control client (node )

As written before the 2002/0129241 Applicatoin

Written in 5,949,876 is VDE mention with DigiBox  
Hall , et al.

Techniques for defining using and manipulating rights management data structures

July 6, 1999

As written in patent  
7,051,212  
Ginter , et al.

Systems and methods for secure transaction management and electronic rights protection  
08/778,256

10/157,061 May 30, 2002  
20030105721

Ginter, Karl L. ; et al.

Systems and methods for secure transaction management and electronic rights protection

InterTrust Technologies Corp.  
May 30, 2002

Secure Process  
Including metering, auditing, budgeting  
Uses control sets

No apparatus claim, written in some of the different versions of the '9241 titled patent contains claim to a single stand alone secure container.

Only methods, program packages , or systems for supporting electronic commerce are claimed in the various Ginter patents or applications.

Encryption involved, as per Ginter though Decryption CONTROLLED

Req protected environments  
Their contracts, control sets

Requires a secure system.

Appliance claim comprises a processor, memory devices and other hardware items.

New kind of environment VDE [0011]. Composed of all electronic community members

Requires API and uses Rights Permissioning and Management Language RPML [0070] . RPML provides control over the taught invention. Requires certain subsystems for further needs. Creates a 'virtual black box' [0117]. Comprised of nodes, content creators, content providers and others for the environment.

[0134] VDE uses SPU's, software substitutes, HPE's, secure communications, systems integrated software, control information to achieve the electronic contract/rights protection environment of the present invention.

G-Their containers move thru this VDE environment. Containers are not user integratable for the most part. Control and other usage information is not inside the original document.

D-Just provide a certificate showing/documenting 'state' of document, allowing tampering detection.

Encryption is based on their choice [0136]

Important mechanisms for enforcement of agreements and protection is provided by VDE, and is not user creatable. [0138]

We The users of the apparatus build their own enforced confidence.

Rely on VDE for confidential purposes. [0139]

Uses proprietary based software. Both G and D build the software and are forever dependant upon for its operation and upkeep. [0141]

Security is their choice, and is based on a small set of things and not an 'open' array of user possible choices. [0142]

API's [0150]

Uses/Requires the Rights Operating System [0160]

We can be a simple document sent anywhere, secure or not, having our security within and delivery open to all channels.

G-Requires this trusted network. [0167]

Security is primarily dependant on concealment of VDE and related storage activities. [0169]

[0172] allows remote control of environment.

Software applications exist for environment, its modules and methods. [0195]

[0196] support user interaction through: (a) "Pop-Up" applications /

VDE user control information and services are seamlessly integrated into such software and can be directly accessed by a user integrated into the commercial software's native design.

[0199] uses secure chains for content trust. Embedding is based on contents in VDE containers or the moment of such content into other containers.

Requires VDE overhead. [0230]

Requires a secure subsystem. [0241]

[0284] Secure Processing Units

[0285] Uses database for storage of intermediate document container handling data items.

[0286] A hardware SPU (rather than a software emulation) within a VDE node is necessary if a highly trusted environment is required. S

[0300] "Rights Operating System" ("ROS") architecture provided by the Virtual Distribution Environment;

The logical 'simple' container contains many private data items not under users control.

[0311] FIG. 17

[0656] Environment required ROS, HPE, SPE's, API's.

Employing so much extra to move data from a to b.

[0893] The Secure Database Services Manager ("SDSM")  
Secure Database Manager RSI

[0916] The container manager registers information within secure database

[2349] Example--"Virtual Silicon Container"

[2350] VDE provides a "virtual silicon container" ("virtual black box") in that several overall secure hardware environment that "virtually" exists at multiple locations and multiple electronic appliances together form the virtual silicon container 3600.

Software for Both Ginter and Doyle must be installed. [2360] With VDE installed,

‘Intertrust’ supplies the VDE, based on DigiBox Transit Key Technology involving external database storage, node distribution, and an overall closed ‘virtual black box’ distributing system that prevents the users document from truly being ‘free’. (FREE ? )

Both Ginter and Doyle require additional externally networked systems or environments. Each of G and D have to code for their environments; whereas the securable independent electronic document has been designed around standards built and supported worldwide, unconditionally, having test cases proven to their extremes, and their use nevertheless as wide. Intertrust’s DRM, based on Digibox technology, and ProofSpace’s Proof Mark systems, methods of data exchange or independence capabilities are minimized because of their systems, the system’s unduly needed support structure, and each prior art’s incomplete and inadequate solution for security due to the additional parties involved, namely themselves.

Can the system be put into ours? Can ours be put through their system? Can theirs be embedded in one of theirs? Can ours be in theirs? In ours?  
Can they be in theirs? Ours?

Ginter – Intertrust® – Marlin, NEMO, Octopus, and ScubaKeys

INTERTRUST® ‘Intertrust’

Case Id

75590367

**DigiBox, published document Van Wic; David M.**

Doyle

Applicant acknowledges Examiner’s ‘Notice of References Cited’, Office Action, page 1. The listed reference, line item ‘A’, US-2003/0105721 A1 Ginter et al., hereafter ‘5721’ and

INTERTRUST

Further, the listed reference, line item ‘B’ US-2002/0129241 A1 Doyle, et al., hereafter ‘9241’ and related Patents or Applications for Patent,

PROOFSPACE

Further, the following Patents and Applications for Patent are listed below for clarification.

AMc0906f\_1213\_LessEnd

, line item 'B' is similar to application 2006/0136719 Doyle, et al. herein '6719'.

ProofSpace® ProofDoc® and ProofMark® herein referred to as 'ProofMark'. ProofSpace Doyle and overall

DFS LINKAGES, INC. was formed on 2002-01-24 in Michigan by PAUL DOYLE.

With 2002/0129241 Application Doyle, et al, Assigned to Proofspace, Inc, and the cooresponding patents of interest or involve as

[http://www.proofspace.com/about\\_us/corporate\\_overview.php](http://www.proofspace.com/about_us/corporate_overview.php)

Doyles 2003 application relies on other related applications.

Doyle simply creates a hash of the primary original document and adds unique time stamping data moments and represents the compiled hash(s) as simple encoded blots of dots.

Doyle says himself that it is generally preferred to have 'less reliance on trusted third parties' – [0013]

Could it be even better if to have no parties, extra, trusted, entrusted, or not that are not part of Point 'A' and Point 'B' and the segment's scope of communicate?.

Doyle only authenticates original copies of user data. [0014] Provides a graphical indication that is in addition to the original user document.

Application for patent #2002/0129241 Doyle, et al. has, as listed inventors, Doyle, Michael D.

Doyle uses additional externally related items for user document handling.

ProofMark

<http://investing.businessweek.com/research/stocks/private/snapshot.asp?privcapId=126050>



ProofSpace, Inc. develops data authentication solutions. The company offers ProofMark that monitors the integrity of customer's electronic documents, detects unauthorized changes, and enables them to prove authenticity to regulators, auditors, clients, and counsel. It also offers ProofDoc, which allows users to designate ProofMark folders on their desktop to automatically secure various files; and ProofMail that digitally seals outgoing email communications and attachments. The company serves various sectors, such as healthcare, financial services, and electronic B2B ventures. ProofSpace, Inc. was formerly known as T-Stamps.com, Inc. ProofSpace, Inc. was founded in 1999 and is headquartered ...

[0047] ProofMark certificate or certification. Certifications are sometimes referred to in this document as certificates.

Certs are encoded in the graphical form. [0055] Certification information may be encoded in graphical form. This graphical form, or design, may be referred to as an "Indicia." The record at issue may be printed out by a computer or stored electronically and bear on it an indicia that relates to the authenticity of the document. The indicia (and record) may then be scanned and interpreted by the computer to authenticate the record.

Doyle, and Proof Mark certs are XML documents. [0063]

Certs contain a minimum of certifiable type of data elements.  
[0064]

Requires server. Server has reports, stats, ect and is necessary for Doyle to function. [0065]

Certs and Logs make up Doyle environment. [0066] Verifying the data may include confirming that the data in the certificate has not been tampered with or checking a log for record of the creation of the certificate being verified.

Uses dedicated proprietary Server and Client API's. [0067]

Server, servlets and other things necessary. [0068]

The server 200 also comprises a  
servlet engine 210, HTTP server 212,  
operating system 214 and  
database 216.

Uses http communications (necessary) in order for Doyle's system to work. Must use these channels. [0069] A customer requests a certificate using the browser 218. The customer application prepares and issues a certificate issue request. The server 200 issues a certificate in response to the request.

Uses API, XML, HTTP requests and responses. Security is presented in a generic running system. [0071]

Environments are supported by coding from Doyle. ProofSpace is needed for system. [0072]

Each of G and D have to code for their environments; whereas the securable independent electronic document has been designed around standards built and supported worldwide, unconditionally, having test cases proven to their extremes, and their use nevertheless as wide. Intertrust's DRM, based on Digibox technology, and ProofSpace's Proof Mark systems, methods of data exchange or independence capabilities are minimized because of their systems, the system's unduly needed support structure, and each prior art's incomplete and inadequate solution for security due to the additional parties involved, namely themselves.

0108] Certificates

[0109] A certificate is an electronic document

[0110] Certificates are XML (extensible Markup Language) documents

[0111] HTTP server component of a server,

[0112] Certificates can, as an option, be stored in a database on the server. When that is done, a reference URL used for retrieving the certificate can be returned instead of the full certificate.

What security lapses can be exploited in, say, 5 minutes?

[0121] In weighing these considerations, the inventors have discovered that an interval length of around 5 minutes is advantageous in some situations

Are you kidding us? Programmers who really understand those object oriented procedures could exploit the COM (Common Object Model) and its most definitely attached DCOM interface;

[0234] com.proofspace.IntervalSI

[0375] com.proofspace.StartupServlet

Software must operate or fault conditions stop processes. [0376]

[0494] Indicia

[0495] encodes certificates using any graphically interpretive symbology. For example, 2-dimensional barcode symbology or data glyphs may be used.

Only machine readable / interactive.

[0496] Other examples are possible. This will allow a superficially uniform, machine-readable graphical representation for all certifications.

[0497] As discussed above, a certificate, in an exemplary embodiment, consists of a certificate containing information which can be encoded in ASCII. An XML DTD has been defined which allows XML representations of certifications to be sent over networks using the HTTP protocol, among others. However, an XML document, with its numerous markup tags and ASCII representations of binary hashed data, may be deemed by some to be aesthetically displeasing. A graphical "logo," which may also be referred to as an "indicia" or "insignia," may be made to contain the certification's data. This provides an attractive way of storing and transporting certifications outside of computer systems.

Requires host

[0501] Proofspace software should generate an indicia for a given certificate.

Graphical representation is limited and chosen by ProofMark.

[0509] The ProofMark indicia is graphically designed so that it can be rendered as a collection of squares arranged in a Cartesian grid.

[0512] In one exemplary embodiment, in order to recover ProofMark data from a scanned bitmap, reader finds the origin (e.g. the upper left corner) of the ProofMark indicia, and determine the scale being used (if multiple insignia sizes have been elected as an option. Alternatively, the application that recovers the ProofMark information from the indicia may also simply query the user as to what size or scale indicia is being scanned).

paragraph 11, '*Regarding claim 1 ...*'

11. Applicant, and specifically

- a) *'a container... (0084, content container interoperability)'*

Differs – Ginter has containers throughout and dependant upon a distributed environment 'system'. The container in Ginter relies on this 'VDE' system . Doyle creates a graphical indicia for the users document and uses the indicia in a document supporting system. Both Ginter and Doyle do not teach an independent stand alone document container.

- b) *'a character ... (0138 content)'*

A simple character text data can be part of the apparatus, located in a .

- c) *'a first interfacing means ... (0138 content encryption)'*

Applicant specifies the first interfacing means of automation, where the said character text data component can be processed automatically using components in computer system. Applicant believes, 'content encryption', as presented by the Examiner, which is different from content data automation, is better addressed below in section 'h' – a first integrity means of encryption.

- d) *'a second interfacing means ... (0141 content can include freely ...)'*

A second interfacing means of human interaction is present with the ability for the user to freely interact and work with through various text selection and handling processing, including that of copy, cut and/or paste. Ginter includes the option for freely available and secured content control information, but limits the interaction and ability for access and human processing.

- e) *'a first referencing means ... (0900, 2241 reference to where content ...)'*

The apparatus described allows

- f) *'a first encoding means ... (0212, 0546 encoding)'*

- g) *'a first embedding means ... (0212 embed data into container)'*

- h) *'a first integrity means ... (0220 encrypt content)'*

Character text data can be encrypted according to user choice. Ginter suggests and allows encryption, specifically watermarking. Encryption can be one of many types chosen by the user. Doyle

- i) *'at least one graphical ... (1540 content can include image data)'*
- j) *'a third interfacing means ... (1545)'*
- k) *'a fourth interfacing means ... (1545)'*
- l) *'a second integrity means ... (1545)'*
- m) *'at least one overall ... (1783 public key is used for integrity verification)'*
- n) *'a fifth interfacing means ... (0084)'*
- o) *'a first independence means ... (0084)'*
- p) *'a third integrity means ... (0084)'*
- q) *'a fourth integrity means ... (0084)'*
- r) *'a fifth integrity means ... (0084)'*
- s) *'a second independence means ... (0084)'*
- t) *'a third independence means ... (0084)'*
- u) *'a fourth independence means ... (0084)'*

paragraph 11, cont, *'Claim 2 is ...'*

- v) *'a first digital signing means ... (0173, 1742 digital signature)'*

paragraph 11, cont, *'Claim 3 is ...'*

- w) *'at least one or more digital signature ... (0173, 1742)'*
- x) *'digital signing means ... (0173, 1742)'*

paragraph 11, cont, *'Claim 4 is ...'*

- y) *'at least one hash check value ... (0713, 1742)'*

In regard to the Office Action's section '*Conclusion*',  
paragraph 12, '*Any ...*'

paragraph 13, '*If ...*'

**The Objection to the Specification and the Claims Rejection Under §112.**

The spec was objected

Applicants request

First,

Note

As to how, the spec clearly

Thus the present spec clearly and completely teaches how to make and use the invention in general,, and how the template images are related to ???in particular.

Accordingly applications submit that the spec does comply with §112 and therefore request withdrawal of this objection.

**The Rejection of Claim 1-4 on**

Applicants request reconsideration of this rejection, as now applicable to claim, for the following reasons:

- 1) There is nol
- 2) The proposed combination would not be physically possible or operable.
- 3) Even if H and G were to be co
- 4) These novel physical features of claim 1-4 produce new and unexpected results and hence are unobvious and patentable over these references.

**The References and Differences of the present Apparatus Thereover**

Prior to discussing the claims and the above four points, applicants will first discuss the references and the general novelty of the present invention and its unobviuosness over the references.

Accordingly applicants submit that the dependant claims are a fortiori patentable and should also be allowed.

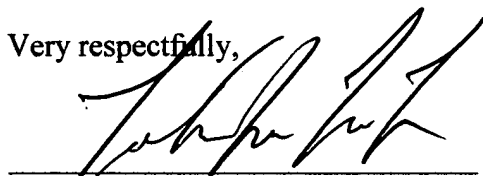
**CONCLUSION:**

For all the above reasons, applicants submit that the specification and claims are now in proper form, and that the claims all define patentably over the prior art. Therefore they submit that this application is now in condition for allowance which action they respectfully solicit.

**Conditional Request for Constructive Assistance**

Applicants have amended the specification and claims of this application so that they are proper, definite, and define novel structure which is also unobvious. If for any reason this application is not believed to be in full condition for allowance, applicants respectfully request the constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. §2173.02 and §707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

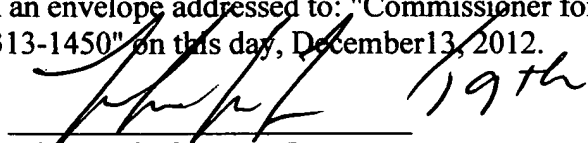
Very respectfully,



John Lewis Guymon Jr  
Applicant, Pro Se  
Tel: (801) 938-4833  
Msg: (801) 943-8461

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, December 13, 2012.

  
John Lewis Guymon Jr  
Applicant, Pro Se





Patent Application of

John Lewis Guymon Jr

for

**TITLE: SECURABLE INDEPENDENT ELECTRONIC DOCUMENT**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This application claims benefit of a Disclosure Document filed with the USPTO, titled "Intelledoc<sup>®</sup> – Intelligent Electronic Document", Serial No. 610142, filed December 16, 2006.

[0002] This application claims benefit of a Disclosure Document filed with the USPTO, titled "eSuretyBailBond - Electronic Surety Bail Bond", Serial No. 610141, filed December 16, 2006.

**FEDERALLY SPONSORED RESEARCH**

[0003] Not Applicable

**BACKGROUND—FIELD**

[0004] Inscribed within generally relates to information handling and specifically to electronic documents. Various aspects of an electronic document apparatus are described and are particularly applicable to the security and manufacture of the document container, as well as a few of the many involved processes, methods of use and possible comprised systems of the apparatus. Additional aspects presented relate to the electronic document's methods of handling and use, for example in

providing secure independent information delivery in industries of securities, commerce, trade and/or exchange.

#### BACKGROUND OF THE INVENTION—PRIOR ART

[0005] With life, there is the digital world. With information, there is the digital world. Now days, they are one in the same. We are always asking, "Is it secure?" We live, having our world untouched by anyone else, desiring so much to have things as we left them, untouched.

[0006] The technology available today allows life to seemingly flow at the speed close to that of light itself. We are literally there before we finish the push of the button. We struggle to comprehend such speeds, and will continue to do so, in such a dynamic and fast paced world. Information should be handled with care. To maintain the integrity of our intent, it must be secure.

[0007] In order to facilitate the creation and editing of electronic documents containing our information world, vast amounts of variable types of technology can be used. Agreed upon formats, specifications, recommendations and other standard defined help in accomplishing a particular task with greater information exchange and security.

[0008] Information exists in many variable formats and is transported in a vast spectrum of ways. Within the digital world, a substantial format set is available for equally substantial information type accommodations. For instance, character data handling is accomplished using text-based languages supporting a functional 'mark up' approach, having data populated with tags or other identification delimiters or data descriptors. These forms are of the markup language family, such as those of SGML, XML, HTML, cXML and other text based formats among the multiples of these established containment types.. Further image and other visually handled data is often supported using graphic image based file formats, such as PNG, JPG, TIFF, GIF, BMP and others established in the market place.

[0009] The basis of the teaching is to facilitate knowledge in the area of information processing and exchange. There are electronic information document type containers developed recently with the inability to support the secure and independent exchange as presented and taught in the following.

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[0010] Document handling systems, databases and vault systems, as well as other transaction oriented processes, information transfers and data representations. For the purpose of this disclosure and illustration of multiple embodiments, the various possible configurations of an electronic document are focused upon for reasons of security, independence, flexibility in construction, and in support of other needs.

[0011] Tangible document content range from simple character text to full color text data, with external formatting information to image data of varying form, to audio and multimedia data, to metadata, to binary data, all of which can be included within.

[0012] All areas of industry require documents, many of which have security/requirements/needs. Specifically, insurance, mortgage, securities, as well as other financially based documents; many have highly regulated legal requirements. The required technology for their electronic handling was arguably unavailable for the most part prior to the turn of the Millennium. Considerable energy to create a "paperless world" has been expended, and these efforts are ongoing. Despite all of the world's labors, highly valued documents still often remain in paper form. Where is an electronic container that will secure these assets digitally?

[0013] Viewing a graphic image may include the perception of dots, lines, characters, scripts, meshes, shades, arrangement, proportion, scale, and color. Content is presented reflecting true life and nature. Real life and nurture tells us information is here, whether it is an artistic representation of a data element or value or an intense artistic representation of the elements in life itself. A picture has been said to be worth a thousand words. Data in image form often possess substantial information content.

[0014] Similar, character text can be perceived visually. Character text in this same form can also be processed systematically. Character data is based on memory addressing units, having an array of different character representations available for the different character text data unit values. Character text includes the letters making up the words you read here. Information in its everyday basic simplest form is here, here, written with the letters of each and every one of these words that you read.

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[0015] The usage of paper forms is a predominant method for producing documents, and these forms tend to have a fixed layout and some areas of variable content. The desire to electronically create and process the information contained within documents has existed for some time and will continue. With electronic processing means, documents can be better adapted for digital commerce, trade, and exchange of transactions. Providing a container to envelope these documents increases the electronic options available for their support.

[0016] Bonds, powers of attorney, titles, deeds, leases, judgments, motions, affidavits, and other paper documents have been in use for long periods of time. These document types, in either original paper only medium or in a digital graphic memory contain a visual image or picture of the actual information that is of a transaction. Electronic form allows information storage and handling up and beyond that of the visual image-handling interface. Within digital data memory capabilities lay the ability for raw character based data to be represented. Having the two different data representation types for the information, those that of graphical image and character text, a container is built to handle exchange and transaction. Either singly or in combination, character text and graphical image data components can be present for information conveyance. Only recently have these documents had the support in place needed to facilitate the document’s handling completely using electronic means. Now, with the ability in place to facilitate both character text and graphical image components, the harmony in its presentation, handling, independence and security relies on a few key issues, specifically what is needed and how can it be done?

[0017] Information handling has its required attention and resource requirements. Security issues mandate a majority of container development process. A system built upon a well working container that includes independency from outside system requirements extends the efficiency and control of internal resources. Such efficiency and control can be maximized, becoming a secure independent electronic document solution.

[0018] Monetary based transactions have been in existence before the printed money it represented was. Trade and commerce, along with exchange, barter, vendor or venter oriented agreements have had a need to be facilitated in some fashion, similar to the mineral exchange of gold, silver, platinum and others. Money, specifically paper money and coin, is a commonplace vehicle for resource

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structured exchange and management. Monetary based items, assets, transactions and other commonplace vehicles structured on such systems all speak of the same desire and need, that being value and asset management and exchange.

[0019] Industries, such as those of the Surety, Insurance or Bail Bonding industries, have used tangible based financial supporting documents since the beginning of human based insurance back.

[0020] To represent this industry, a representation of both the 'graphical image' and 'character text' must be included for complete adapted functionality. Security is based on party involvement and need to know regulations. If independence from 'third' party is further supplied, security is only enhanced. Having your own way of packaging, distribution, processing, transacting, verifying, or authenticating document information with no internally placed outside based party is a must. Technology is available for such a system. Notarizations, or witness related verifications, have been around since times of Kings and Queens; however, for the digital equivalent, the technology has only been around since the years of the turn of the Millennium. What is needed is the independent container that supports the highest statutes backed electronic information handling possibilities defined today.

[0021] The options of markup type languages such as XML and others as the main structural component for document and sub-document handling needs has become a world wide accepted way of handling the inter-relational aspects of data files and their containment handling, grouping or other object or element structuring of relational data. The extreme ends of the data type spectrum are those of character text and graphical image. 'Graphical image' as the container for the character text becomes the solution more so than character text as the container for the graphical image.

[0022] Independent 'graphic image' containers further support handing, interface and integrity means required for enhancing security of electronic document contents. The need for digital signature signed data was necessary as well. The combination of the three increased the security measures in place. Again, security is further enhanced with the independence from other non-invited or desired parties. Further, with the ability to develop applications in house, easily, with world wide support allows a simpler manufacturing environment, reduces costs of such environments, and eases or completely releases restrictions for development, distribution, interaction, verification, authentication and achieve of the container, further developing its independence.

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[0023] Many ways of handling information are reliant upon commercial or other vendor or vender interaction. Further, there are restrictions in how data is processed, or, even further, the previous art present only parts of the electronic document apparatus, having other parts and systems and other commercially interlaced information transmissions and exchanges. Original documents are sometimes made from scanned sources, virtually removing the dynamic allocation and build properties available in a real time document manufacturing process. Additionally, some information or other image data embedding arts actually go further than that, and forever alter or restrict the original image content.

[0024] This has made and is making digital information transfer complex; further, only parts of the solution exist. A mirage of devices, interfaces, methods, all exist, and these pieces and sub standard methods creates more problems. The issue is of interoperation of the document contents. With the people involved, methods of transfer, degree of security, and control of all entities and their parties involved, there is a dedicated portion of document handling and security that needs attention.

[0025] Feature rich vendor supplied solutions are sometimes richer in content than desired. Features that are not always necessary for commonplace transactions are become common all over the place hurdles always necessary that are not features. Further, a solution that answers to all needs are in fact sometimes too much.

[0026] Prior art shows examples of complete systems that present a way of electronic document control. None of the references would piece apart or combine together to create the electronic document, specifically with regard to standards.

[0027] Prior art handles electronic documents and its delivery in different ways, further having mechanisms or apparatus that are different and act differently.

[0028] Different prior art references teach handling of data in an electronic document differently. Some alter data of an image; some built a system that comprises the document.

[0029] What is needed is a securable independent electronic document that solves one or more problems described herein and/or one or more problems that may come to the attention of one skilled in the art upon becoming familiar with this specification.

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[0030] Prior art consists of a variety of document container, their methods and their uses. The field presents several related prior art references that offer some improvements made in the field to resolve these issues. Examples include but are not limited to the references described below, which references are incorporated by reference herein:

[0031] U.S. Pat. No. 7,451,156 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE.

[0032] U.S. Pat. No. 7,441,185 issued to Coulson, et al. sets forth a METHOD AND SYSTEM FOR BINARY SERIALIZATION OF DOCUMENTS, teaches documents are considered main that of text XML, and this process reduces the XML's footprint as it is transferred.

[0033] U.S. Pat. No. 7,418,652 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR INTERLEAVING PARTS OF A DOCUMENT.

[0034] U.S. Pat. No. 7,383,502 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0035] U.S. Pat. No. 7,383,500 issued to Shur, et al. sets forth METHODS AND SYSTEMS FOR BUILDING PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0036] U.S. Pat. No. 7,373,330 issued to Klebe sets forth a METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL FORWARDING OF ENCRYPTED DOCUMENTS, teaches multiple publisher type oriented apparatus to request, email, download program and data and decrypt data.

[0037] U.S. Pat. No. 7,366,982 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0038] U.S. Pat. No. 7,359,902 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE, teaches a package system using XML.

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[0039] U.S. Pat. No. 7,356,143 issued to Morten sets forth a SYSTEM, METHOD, AND APPARATUS FOR SECURELY PROVIDING CONTENT VIEWABLE ON A SECURE DEVICE, teaches Media player doing encrypt and decrypt steps.

[0040] U.S. Pat. No. 7,343,339 issued to Harrison Jr., et al. sets forth an ELECTRONIC BOND AND GUARANTY PROCESS AND BUSINESS METHOD.

[0041] U.S. Pat. No. 7,330,974 issued to Silverbrook, et al. sets forth a METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT, teaches altering images with message data.

[0042] U.S. Pat. No. 7,330,560 issued to Donescu sets forth an INSERTION AND EXTRACTION OF A MESSAGE IN AN IMAGE.

[0043] U.S. Pat. No. 7,299,408 issued to Daconta, et al. sets forth an ELECTRONIC DOCUMENT VALIDATION.

[0044] U.S. Pat. No. 7,296,217 issued to Earnshaw, et al. sets forth an ELECTRONIC TRANSACTION DOCUMENT SYSTEM.

[0045] U.S. Pat. No. 7,295,207 issued to Yoon, et al. sets forth a METHOD FOR MANAGING ANIMATION CHUNK DATA AND ITS ATTRIBUTE INFORMATION FOR USE IN AN INTERACTIVE DISC.

[0046] U.S. Pat. No. 7,251,832 issued to Venters, III, et al. sets forth a SECURE STREAMING CONTAINER, teaches open streaming channel of media from specific exe.

[0047] U.S. Pat. No. 7,251,644 issued to Viola, et al. sets forth PROCESSING AN ELECTRONIC DOCUMENT FOR INFORMATION EXTRACTION.

[0048] U.S. Pat. No. 7,199,804 issued to Bhattacharyay, et al. sets forth INK INFORMATION IN IMAGE FILES.



[0049] U.S. Pat. No. 7,191,392 issued to Coar sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches Combination of files into a package, with access rule.

[0050] U.S. Pat. No. 7,191,186 issued to Pullen sets for a METHOD AND COMPUTER-READABLE MEDIUM FOR IMPORTING AND EXPORTING HIERARCHICALLY STRUCTURED DATA.

[0051] U.S. Pat. No. 7,181,017 issued to Nagel, et al. sets forth a SYSTEM AND METHOD FOR SECURE THREE-PARTY COMMUNICATIONS.

[0052] U.S. Pat. No. 7,172,122 issued to Alleshouse sets forth an XML SYSTEM.

[0053] U.S. Pat. No. 7,089,248 issued to King, et al. sets forth a GROUP FILE DELIVERY INCLUDING USER-DEFINED METADATA.

[0054] U.S. Pat. No. 6,954,542 issued to Miyake, et al. sets forth an IMAGE PROCESSING APPARATUS AND METHOD, teaches an application to put information into pixel image.

[0055] U.S. Pat. No. 6,908,034 issued to Alleshouse sets forth an XML SYSTEM.

[0056] U.S. Pat. No. 6,862,599 issued to King sets forth a SOFTWARE-BASED METHODOLOGY FOR THE STORAGE AND RETRIEVAL OF DIVERSE INFORMATION, teaches Pointer management for hierarchy based data.

[0057] U.S. Pat. No. 6,643,383 issued to Dugelay sets forth a METHOD FOR HIDING BINARY DATA IN A DIGITAL IMAGE.

[0058] U.S. Pat. No. 6,616,702 issued to Tonkin sets forth PREVIEWING AN ASSEMBLED DOCUMENT.

[0059] U.S. Pat. No. 6,507,858 issued to Kanerva, et al. sets forth a SYSTEM AND METHOD FOR STORING ORDERED SECTIONS HAVING DIFFERENT FILE FORMATS.

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[0060] U.S. Pat. No. 6,342,954 issued to Hisatomi, et al. sets forth an IMAGE INFORMATION PROCESSOR AND IMAGE INFORMATION TRANSMITTER.

[0061] U.S. Pat. No. 6,192,138 issued to Yamadaji sets forth an APPARATUS AND METHOD FOR EMBEDDING/UNEMBEDDING SUPPLEMENTAL INFORMATION, teaches Image and watermarking.

[0062] U.S. Pat. No. 6,138,119 issued to Hall, et al. sets forth TECHNIQUES FOR DEFINING, USING AND MANIPULATING RIGHTS MANAGEMENT DATA STRUCTURES, teaches techniques for defining, using and manipulating digital rights management data structures.

[0063] U.S. Pat. No. 6,055,321 issued to Numao, et al. sets forth a SYSTEM AND METHOD FOR HIDING AND EXTRACTING MESSAGE DATA IN MULTIMEDIA DATA, teaches hardware for examining media extracting message from transformed/scrambled data in media.

[0064] U.S. Pat. No. 5,894,558 issued to Falker sets forth a METHOD OF DISPATCHING DOCUMENTS BY CONVERTING THE DOCUMENTS FROM A CUSTOMER SPECIFIC DATA FORMAT TO A STANDARDIZED DATA FORMAT, AND THEN TRANSMITTING THE DOCUMENTS VIA MOST FAVORABLE WAY TO RECIPIENTS.

[0065] U.S. Pat. No. 5,778,372 issued to Cordell, et al. sets forth REMOTE RETRIEVAL AND DISPLAY MANAGEMENT OF ELECTRONIC DOCUMENT WITH INCORPORATED IMAGES.

[0066] U.S. Pat Application 20070106932, Coar, sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches a container of files with access rules.

[0067] U.S. Pat Application 20070011457, Dubinsky, et al. sets forth a METHOD FOR DISPLAYING AND PRINTING DIFFERENT SIZES OF SMART DOCS, teaches a visual display method for viewing a document, solving previous display issues.

[0068] U.S. Pat Application 20060150151, Dubinsky, sets forth a METHOD AND SYSTEM FOR EMBEDDING USER ASSISTANCE IN DOCUMENTS UTILIZING MARKUP LANGUAGES, teaches a system and method for providing user assistance directly in the document, where the document is of the XML type.

[0069] U.S. Pat Application 20060136477, Bishop, et al. sets forth a MANAGEMENT AND USE OF DATA IN A COMPUTER GENERATED DOCUMENT, teaches a multipart electronic document allowing sectional sub-document editing and control.

[0070] U.S. Pat Application 20060080599, Dubinsky, sets forth a METHOD AND SYSTEM FOR BUILDING AUDIT RULE SETS FOR ELECTRONIC AUDITING OF DOCUMENTS, teaches a system and method for creating documents, audit rule-sets and testing requirements. Included is application software for creation of rule sets and properties, having the software limit the documents full use and potential. The document is based exclusively on XML components with a reduced feature set capability.

[0071] U.S. Pat Application 20050138382, Hougaard, et al. sets forth a METHOD AND PROCESS FOR CREATING AN ELECTRONICALLY SIGNED DOCUMENT.

[0072] U.S. Pat Application 20040237040, Malkin, et al. sets forth a SYSTEM AND METHOD OF PROCESSING AN ELECTRONIC FORM USING LAYERED ASPECTS.

[0073] U.S. Pat Application 20040128513, Wu, Yen-Hsing, et al. sets forth a SECURED ELECTRONIC DOCUMENT AND METHOD OF VALIDATING THE SAME, teaches a secured electronic document and method for securing documents using printing means.

[0074] U.S. Pat Application 20030046274, Erickson, et al. sets forth a SOFTWARE MEDIA CONTAINER, teaches a secure media container and its handling apparatus for specifically limited digital rights management using a single container with external data and reference thereof.

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[0075] U.S. Pat Application 20020066019, Amonou, et al. sets forth INSERTION OF MESSAGES IN DIGITAL DATA, teaches a method and device to make it possible to insert messages in a subset of digital data whilst ensuring the required robustness and invisibility.

[0076] U.S. Pat Application 20020059144, Meffert, et al. sets forth a SECURED CONTENT DELIVERY SYSTEM AND METHOD, teaches implemented PKI encryption between sender and recipient.

[0077] U.S. Pat Application 20010027459, Royal, sets forth a METHOD AND APPARATUS FOR ELECTRONIC DOCUMENT EXCHANGE, teaches a method for document exchange using standard protocols that's flexible and easy to use. Shown is a specific document exchange system using specific relationships. Combination containers are used and are not dedicated document containers.

[0078] While the foregoing described prior art have provided elements of operation, they lack ability to be secure, independent of additional party involvement, having original document authentication, automation, interface and handling functionality;

[0079] Other prior art using methods such as signature mapping on graphic representations, transportation of text based content allowing alteration, outside party interface dependant, commercialized and controlled, content duplicated, specific data format type exceeded, content and cross-content support limited, altered or other missed security measured methods s required for today's digital exchange, commerce, and trade transactions for electronic information.

[0080] Environment in which the document is in is intense and requires enormous resource. The steps forward in processing the idea, the prototype and a working version that could sustain the market vitality are enormous, even though the distances traveled are small.

[0081] Thus, there remains a need in the art for a securable independent electronic document. An apparatus that is securable, easy to use, robust, alteration detectable and independent of other party's involvement, supportive of digital signatures and their witnesses, and supports complete closed party information exchange.

[0082] Prior art heretofore known suffer from a number of disadvantages, which include a number of information integrity processes.

[0083] Without a unique container, individual, simple, in-house defined ways of document processing is not allowed; it's not totally secure, for sure. Further, custom, private handling solutions cannot be used or included, nor can they be conducted, without having total control of this container. A securable independent electronic document container is defined having absolute handling means. Further, it is defined to have intentional dedication to the security within.

[0084] Security is of the utmost importance. Take a bail bond document, for example. The said document becomes a promise that good intentions are desired between all parties. It further shows the urgency and need to solve issues or problems at hand, if any. In this industry, there is a yielding to the way of the more critical needs of humanity. A simple document; a promise of resource or asset; A guarantee that information moves on, without delay. Legal processes and matters thereof are among the highest in need for common humanity based affairs. Each side depends on the document to help with issues of resource management, be-it time or money, or both. Resources close to 'home' influence and shape our lives more than the courts, fees, jails, bonds, sureties, insurances and risks. However, in the system, money has a way of saying something, and incorrect, inefficient and pretend solutions have a way of getting up and moving. The electronic document speaks its monetary and asset value having delay, inefficiency, resistance, or other influences left at the curb.

[0085] People should feel confident of their transactions. Interoperability is one main consideration. The 'binary visually graphic' container is operable among all systems and platform and computer systems. Simple cut and paste. That's all we want! It's possible. In the older DOS editors, the document can be edited, having its internal text contents simply cut and pasted into a new formed destination file, and the contents comes alive, executing, establishing, presenting, processing, transacting as needed. Any text, let alone any data, can be in there.

[0086] Cross platform creation for the reading, printing, and processing of the electronic document can occur. Further, as dates continue, as do version oriented software releases, base support will be built in already.

[0087] Developing standards or other normative based recommendations are available which describe many of the possible internal and external working components. Various utilities, tools and other applications are widely available for document creation, delivery, verification, automation, and other transaction processes. With the binary type container can be carried, such as via email or zip file or other box. Graphical applications can display visual images at the same time that text-editing applications can present character texts. The container is well supported. Tools to support are available and free.

[0088] Container could either support easy non-scripting simple graphic data decompression or character text processing interaction. With the simple graphical display being first, generic errors, such as buffer overflows or failed dictionary or image reconstructions. These generally would create internal errors and such would occur and trigger a simple closing, stoppage of processing response, which would cause little disruption. The Process of the character text could be done, generally in either area or 'interfacing' phase of the document. For instance an initial read could be done to the document, exposing its detailed content to the computer for routing and designation delivery, processing, operations and other integrated procedures or functions.

[0089] These sections can have the character data text languages that work within 7 or 8 bit formats. Remember, any data could be placed in a 6 Bit format using the Base64 MIME encoding, which, for example, could be done with international multiple character byte language formats. A use of an extensible markup language (XML), for example digital signatures and extensible hypertext markup language (XHTML), which are commonly used for creating electronic documents. Scripting code, protocol text, server page ASP, batch file process or other such text based formats are easily placed in the text sections.

[0090] Can represent and support a large variety of paper-based documents or electronic based documents.

[0091] Another feature with the electronic document is the ease of handling for document variation. Within any industry, individually dictated practices or other various conditions involved in processing an electronic document is completely supported. For example, in mortgage transactions, certain

lenders may have a common set of requirements for document validity, but might supplement these with their own particular requirements.

[0092] Impossible to Combine- The mix of the two environments, character text and graphic, is the combination of the two different environments that have evolved since the beginning of computer systems.

[0093] Rewrite The present invention can be embodied in various forms, including business processes, computer implemented methods, computer program products, computer systems and networks, user interfaces, application programming interfaces, and the like.

[0094] Prior art have differences in their presentation of the electronic document, and therefore are complete solutions that do not interact. Further, some references have technology reversed, showing combination can still be unobvious.

[0095] The World Wide Web Consortium (W3C) is the organization responsible for the XML standard, DTDs and related standards (<http://www.w3.org>). Notwithstanding the reference to DTDs to define the structure of XML documents, it should be understood that other specifications, methodologies, systems, protocols, or schemes could define the structure of markup languages.

[0096] Applications are not putting options in for a major embedded section of character text

[0097] There is no suggestion or teaching that references or presents a serried of combined ISO/IEC/W3C standards, specifically none placing digital signatures, notarizations, and self-containment inside digital graphic images.

[0098] Unobvious was the suggestion to combine the character text and graphic elements. There were prior suggestions for metadata or a comment, but the idea of internally supporting simple or rich text, markup or system command language or further other encoded random data has not come to light, let alone auto processed, digitally signed or digitally witnessed data.

## BRIEF SUMMARY OF THE INVENTION

[0099] Taught within is the development of an electronic document in response to the present state of the art, and in particular, in response to the problems and needs in the art that have not yet been fully solved. Accordingly, the embodiments presented within teach various possible solutions using an electronic document apparatus. Described herein, the apparatus shows to accompany variously different information handling requirements of exchange, commerce and trade. Offered with the electronic document apparatus is security and independence in its process, method, and manufacture, offering a system for the secure independent electronic document operation.

[0100] Providing an inert container for an electronic document having advanced security features, particularly those allowing complete system control of said container, doing so with a selected set of parties, and no more. Independence is further extended with verification abilities, allowing original vendors to verify the container and its contents.

[0101] Interrelated security with in document secures document further. Each interface could support characterization of the other, and interact to bind data of both format types.

[0102] Digital signatures, let alone XML has not been presented as something that should heavily populate a comment field, making an image container become a secure document.

[0103] The use of the electronic document using embedded enhanced XML protocols has not been discussed nor realized, and further, repeated missed signification realizations with focus diverted elsewhere shows lack of advantage realization.

[0104] The only link that best made sense was the text super imposed into the graphic format.

[0105] Any mention of items embedded are for descriptive or metadata purposes.

[0106] The main 'things' had come to frustration. It was that a mix of the two, preferably in the course of 'into one document'. Due to its advantages, the building of the electronic document would have transpired by this time.



[0107] The problem with securities assets transfer required solid positive control of container for such assets. Not until now has there been a container with enhanced security containing both automated data and visual presentation.

[0108] Standards are designed for voluntary use and do not impose any regulations. However, laws and regulations may refer to certain standards and make compliance with them compulsory. For example, the physical characteristics and format of credit cards is set out in standard number BS EN ISO/IEC 7810:1996. Adhering to this standard means that the cards can be used worldwide. [0000] Any standard is a collective work. Committees of manufacturers, users, research organizations, government departments and consumers work together to draw up standards that evolve to meet the demands of society and technology. British Standards' staffs act as secretaries to these committees and project manage the production of standards. As the world's oldest National Standards Body, BSI British Standards has over 100 years' experience of bringing together these often very varied viewpoints and of facilitating consensus.

[0109] A person skilled in a variety of art areas will become aware of how these established channels of protocol can become a solution for a spectrum of generically accepted possibilities of digital electronic commerce processing.

[0110] For the every day person, a picture identifies an item, and with a simple character data-interfacing environment, becomes a document that works for many purposes.

[0111] Outer wrapper gets the security container award.

[0112] Further, inert, free of platform and vendor requirements, you could do it!

[0113] The enhanced security, auto processing, cut and paste, and principle human interaction is a unique combination of features related to the electronic document.

[0114] Several steps in regards to XML and digital signatures, as well as the incorporation of the finalized secured data section into the image container requires several stages of steps in order to generate an electronic document of its type.

[0115] The commercial value of the electronic power of attorney relates to many aspects of information, data or other valued managed asset relates to the value of the item itself. Having the capability to support security measures that, when in place, can ensure transactions are done correctly and in form that is verifiable and authenticable then ensures the asset is secure. With this, the value then becomes that of the monetary or other financial worth of the asset itself.

[0116] Included is optional 'receipt' possibilities, where the document's contents and a show of service or directed process is created.

[0117] Multiple embodiments are presented within, and in their accordance, an electronic document apparatus, method, system and its manufacture is presented, teaching a solution for secure independent digital electronic commerce and trade.. Said embodiments allow 'Electronic Commerce and Trade' to be secure and vendor independent. Within each embodiment's system, an apparatus can be manufactured using the method described therein. The electronic documents are proven in process, allowing immediate implementation and use, in similar to that of presented embodiments. An electronic document handling digital signatures, graphic representation, data automation all within an unalterable, verifiable, secure container is explained.

[0118] Use of what's described allows freedom in the commerce world, removal of vendor dependencies, and compliance with standard structure. Therefore, in accordance with the inscribed description, objects, features, drawings and advantages, simple electronic commerce transactions can be executed with proven, well established standard information exchange specifications and recommendations, and can become an asset!?.

[0119] Emca International (Emca) is an international, private (membership-based) non-profit standards organization for information and communication systems. It acquired its name in 1994 European Computer Manufactures Association (EMCA) Geneva, 1961, To standardize systems.

[0120] Mentioned here are a few outstanding embodiments in their 'functional form' wording.

[0121] Document encryption can either be on character data, before any base 64, or on the image data, having image data encrypted/scrambled before image section creation.

[0122] The eXtensible Markup Language (XML) can be used to facilitate implementation of integrated programmable World Wide Web ("Web") based services. Through the exchange of XML-related messages, services can describe their capabilities and allow other services, applications or devices to easily invoke those capabilities. The Simple Object Access Protocol (SOAP) has been developed to further this goal. SOAP is an XML-based mechanism that bridges different object models over the Internet and provides an *open* mechanism for Web services to communicate with one another.

[0123] XML provides a format for describing structured data, and is a markup language that is similar in form to Hyper Text Markup Language (HTML) in that it is a tag-based language. Unlike HTML, however, XML tags are not predefined, permitting greater flexibility than possible with HTML. By providing a facility to define tags and the structural relationship between tags, XML supports the creation of richly structured Web documents.

[0124] The XML standard describes a class of data objects called XML documents and partially describes the behavior of computer programs which process them. XML documents are made up of storage units called entities, which contain either parsed or unparsed data. Parsed data is made up of characters, some of which form character data, and some of which form markup. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism to impose constraints on the storage layout and logical structure.

[0125] XML "elements" are structural constructs that include a start tag, an end or close tag, and the information or content that is contained between the tags. A "start tag" is formatted as "<tagname>" and an "end tag" is formatted as "</tagname>".

[0126] In an XML document, start and end tags can be nested within other start and end tags. All elements that occur within a particular element have their start and end tags occur before the end tag of that particular element. This defines a tree-like structure. Each element forms a node in this tree, and potentially has "child" or "branch" nodes. The child nodes represent any XML elements that occur between the start and end tags of the "parent" node.

[0127] One exemplary usage of XML is the exchange of data between different entities, such as client and server computers, in the form of requests and responses. A client might generate a request for information or a request for a certain server action, and a server might generate a response to the client that contains the information or confirms whether the certain action has been performed. The contents of these requests and responses are in the form of XML documents, i.e., sequences of characters that comply with the specification of XML.

[0128] [TITLE] The XML Signature Recommendation (*XML-Signature Syntax and Processing*) defines standard means for specifying information content to be digitally signed, including the ability to select a portion of an XML document to be signed using an XPath transform. [w3c dsign abstract] A specification located at <http://www.w3.org/TR/xmlsig-core/> teaches the current version of the XML digital signature processing rules and syntax.

[0129] XML Signatures provide data *integrity*, message and/or signer authentication services for data of any type, whether located within the XML that includes the signature or elsewhere.

[0130] The SOAP specification defines a uniform way of passing XML-encoded data. It also defines a way to perform remote procedure calls (RPCs) using HTTP as the underlying communication protocol.

[0131] A SOAP message is an XML document that includes a mandatory SOAP envelope, an optional SOAP Header, and a mandatory SOAP Body. SOAP provides a protocol specification for invoking methods on servers, services, components and objects. SOAP codifies the existing practice of using XML and HTTP as a method invocation mechanism. The SOAP specification mandates a small number of HTTP headers that facilitate firewall/proxy filtering. The SOAP specification also mandates an XML vocabulary that is used for representing method parameters, return values, and exceptions.

[0132] SOAP provides an *open*, extensible way for applications to communicate using XML-based messages over the Web, regardless of what operating system, object model or language particular applications may use. SOAP facilitates universal communication by defining a simple, extensible message format in standard XML and thereby providing a way to send that XML message over HTTP.

[0133] Reference throughout this specification to features, advantages, or similar language does not imply that all of the features and advantages that may be realized with the present invention should be or are in any single embodiment of the invention. Rather, language referring to the features and advantages is understood to mean that a specific feature, advantage, or characteristic described in connection with an embodiment is included in at least one embodiment of the present invention. Thus, discussion of the features and advantages, and similar language, throughout this specification may, but do not necessarily, refer to the same embodiment.

[0134] Furthermore, the described features, advantages, and characteristics of the invention may be combined in any suitable manner in one or more embodiments. One skilled in the relevant art will recognize that the invention can be practiced without one or more of the specific features or advantages of a particular embodiment. In other instances, additional features and advantages may be recognized in certain embodiments that may not be present in all embodiments of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0135] In order for the advantages of the invention to be readily understood, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments that are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings, in which:

[0136] For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

[0137] Listed are drawings that in no way limit the possibilities of the apparatus.

[0138] FIGS. 1A through 1E are block diagrams illustrating an embodiment. FIG 1A is a block diagram of one embodiment in accordance with the present invention. FIGS 1B through 1E are block diagrams of sections within the embodiment.

[0139] FIG 2 is a schematic diagram illustrating some of the types of files, if any, that may be enveloped within an embodiment.

[0140] For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the exemplary embodiments illustrated in the drawings, and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications of the inventive features illustrated herein, and any additional applications of the principles of the invention as illustrated herein, which would occur to one skilled in the relevant art and having possession of this disclosure, are to be considered within the scope of the invention.

[0141] Reference throughout this specification to "one embodiment," "an embodiment," or similar language means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment of the present invention. Thus, appearances of the

phrases "one embodiment," "an embodiment," and similar language throughout this specification may, but do not necessarily, all refer to the same embodiment, different embodiments, or component parts of the same or different illustrated invention. Additionally, reference to the wording "an embodiment," or the like, for two or more features, elements, etc. does not mean that the features are related, dissimilar, the same, etc. The use of the term "an embodiment," or similar wording, is merely a convenient phrase to indicate optional features, which may or may not be part of the invention as claimed.

[0142] Each statement of an embodiment is to be considered independent of any other statement of an embodiment despite any use of similar or identical language characterizing each embodiment. Therefore, where one embodiment is identified as "another embodiment," the identified embodiment is independent of any other embodiments characterized by the language "another embodiment." The independent embodiments are considered to be able to be combined in whole or in part one with another as the claims and/or art may direct, either directly or indirectly, implicitly or explicitly.

[0143] Finally, the fact that the wording "an embodiment," or the like, does not appear at the beginning of every sentence in the specification, such as is the practice of some practitioners, is merely a convenience for the reader's clarity. However, it is the intention of this application to incorporate by reference the phrasing "an embodiment," and the like, at the beginning of every sentence herein where logically possible and appropriate.

[0144] As used herein, "comprising," "including," "containing," "is, are," "characterized by," and grammatical equivalents thereof are inclusive or open-ended terms that do not exclude additional unrecited elements or method steps. "Comprising" is to be interpreted as including the more restrictive terms "consisting of" and "consisting essentially of."

[0145] As used herein, "graphic file", "image file", "image", "graphical data", "graphical image", "WMP", "JPG", "BMP", "PNG", "JPG", "TIFF", "GIF", "JIF", "JNG", SVG, and RTF includes any type of a visually graphic humanly perceivable medium or image based data.

[0146] As used herein, "standards group", "ISO", "IEC", W3C" includes any normative group or organization.

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[0147] As used herein, "data", "binary data", "raw data" includes data of a generic binary type.

[0148] As used herein, "byte", "character" includes a sequence of 8 adjacent bits; which are operated on as a unit by a computer, and represents an integer from 0 to 255.

[0149] As used herein, "character text", "character data" includes single, double, or multiple byte characters.

[0150] As used herein, "base64", "shrunk", includes the character representation of data in a form any of the ISO W3C ect standards.

[0151] As used herein, "block" continuous, or set amount of continues raw, binary, character text, random byte or other type of data.

[0152] As used herein, "graphic data", "image data", "pixel data" includes any series of visually representative data.

[0153] "Digital signature", "Signtaure", "Notariization", "Digital Notarization", "Cosign", "Countersign", "XML DigSig", "XML Digital Signatures" includes any digital signature data.

[0154] "Envelope", "enveloping signature", "enveloped data" all reference the encompassment of a signature section or block of structured or non-structured data character(s).

[0155] "Raw", "raw data Block", "part of data", "data section", or "blob" means a section of data that is indiscriminant of its type, size, sign or other aspect or attribute of raw

[0156] As used herein, "standards" Standards - Put at its simplest, a standard is an agreed, repeatable way of doing something. It is a published document that contains a technical specification or other precise criteria designed to be used consistently as a rule, guideline, or definition. Standards help to make life simpler and to increase the reliability and the effectiveness of many goods and services we use. Bringing together the experience and expertise of all interested parties such as the producers, sellers, buyers, users and regulators of a particular material, product, process or service creates standards.



[0157] As used herein, "URL" is Universal Resource Locator.

DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS. 1

[0158] In the following description, for the purpose of explanation, numerous details are set forth, such as diagrams, file types, and arrangements, in order to provide an understanding of one or more embodiments of the present invention. However, it is and will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention.

[0159] Certain embodiments of the securable independent electronic document may be constructed in the following fashion if desired. A text file and a graphics file may be opened simultaneously. Zero or more user files may be embedded into the text file. Security features such as checksums may then be computed on all and / or parts of the text file. The graphics file process may compute checksums or other security features on all and / or parts of the text file and / or all or parts of any embedded user files. The graphics file processing can be made to in essence to take one or more pictures of a visual representation of the text file and its embedded data and therefore these checksums and security features could vary from the security features computed by the text file process. The text file process and the graphics file process may then exchange and write the other process's security feature into its file. Both processes may then each engage in additional processing such as encryption and then compute and write another security feature perhaps by a different method than the prior checksum if desired. This procedure of security feature computation, security feature exchange and save of the other processes' security feature, and re-computation and save of a new security feature may then continue or both the text file and the graphics file may then close. Subsequent inspection of the security features, if allowed by the securable independent electronic document creator, could attest to tamper evidence in either or both of the text file or graphics file portions. Additionally, if allowed by the securable independent electronic document creator, visual validation may be available in either or both the text file and graphics file portions. A human and/or an OCR, or other type of pattern recognition software may make this visual check.

[0160] One embodiment as illustrated in **FIG 1A 100** has any User File **130** or none at all inside a [XML] markup file **120** and **140** enveloped by a [PNG] graphics file **110** and **150**. In **FIGS 1A** through **1E**, XML and PNG are shown as the markup and graphics files respectively, although in certain embodiments, other choices may exist for both. Either or both the [XML] markup file and the [PNG] graphics file may have intrinsic security features [CRC's]. As an example, **FIG 1F** shows a typical arrangement of a PNG data block or chunk with some data elements **191** through **195** and the security feature **196** at the end of the chunk. In the case of the PNG format, the data security feature takes the form of a cyclic redundancy check or CRC computed on the contents of that data chunk. In this and other file formats, other kinds, numbers, and placements of intrinsic and constructed security features may occur. Naturally, it is appreciated that variations are possible and considered within the scope of the example and embodiments.

[0161] In certain embodiments, either or both the [XML] markup file and the [PNG] graphics file may include zero or more Digital Signatures and / or Counter-Signatures of various forms. **FIGS 1B** and **1C** show as an example, typical PNG file header and file end sections respectively. In the case of the PNG file format, the structure of the file is quite flexible with regards to occurrence, number, and arrangement of data blocks or chunks after the IHDR chunk **FIG 1B.111** and before the IEND chunk **FIG 1C.159**. Continuation with an example of a use of the PNG file format in an embodiment, the markup language file and user file component, if any user files are included at all, may be inserted in zero or more Ztxt chunks **FIG 1B.113** and / or **FIG 1C.155**; and / or zero or more tEXT chunks **FIG 1B.114** and / or **FIG 1C.153**; and / or zero or more iTXT chunks **FIG 1B.115** and / or **FIG 1C.154**; and / or zero or more IDAT chunks **FIG 1B.117** and / or **FIG 1C.151**; and / or zero or more Other Sections **FIG 1B.116** and / or **FIG 1C.152**. In various embodiments, Digital Signatures **FIG 1B.112** may be added before the included data. In other embodiments, Digital Signatures **FIG 1C.156** may be added near the end of the graphics file. Still other embodiments may have Digital Signatures **FIG 1B.112** and **FIG 1C.156** both before and after the included file or no Digital Signatures at all in the graphics file portion.

[0162] Embodiments may also possess Digital Signatures in the markup file portion. As an example, in XML markup language, the World Wide Web Consortium (W3C) is just one organization that proposes and maintains standards and routines regarding Digital Signatures. Notarization elements and or files may also be included as appropriate.

[0163] The PNG file structure permits the inclusion **FIG 1B.113-116** and **FIG 1C.152-155** of several types of text data blocks or chunks and other optional types of data chunks in a file. Many of these kinds of data chunks are quite flexible as to what is precisely put into them. Various embodiments could place into these chunks information concerning advanced security features, encryption, digital rights, and / or other uses. In an example of a use of the PNG specification as the graphics file in an embodiment, the **FIG 1B.117** and **FIG 1C.151** IDAT chunks could also carry information concerning advanced security features, encryption, digital rights, and / or other uses as well. Furthermore, an embodiment that uses the PNG specification may also contain user created chunks fabricated within the rules of the specification for the purposes of advanced security, encryption, digital rights, and / or other uses as well as the pre-described chunk types.

[0164] Either or both the [XML] markup file and the [PNG] graphics file may include zero or more Optional Data Block[s] for any combination of the purposes of Advanced Security, Encryption[s], Rights, or other uses. Also, zero or more Notarizations and / or Counter-Signatures may be included. The file creator may retain, 3rd party archive, transmit, and or post the security feature[s], Digital Signatures, and Optional Data Block[s] as required. Notaries / Counter-Signers may retain, 3rd party archive, transmit, and or post as required. The embodiment receiver may check the security features [CRC's] and Digital Signatures, Notarizations, and Counter-Signatures as required. The embodiment receiver and intermediate handlers access the Optional Data Block[s] as required by the embodiment creator.

[0165] The markup file may also contain **FIG 1D.122** and **FIG 1E.141** optional sections and / or files. An embodiment could use these data to support any combination of advanced security, encryption, digital rights, non-repudiation (e.g., evidencing that a document was signed by a particular entity at a particular date and time), field validation (e.g., checking that information in a document complies with content category rules), and / or other uses as appropriate. In the case of the XML, the W3C maintains

routines to convert 8 bit data to 6 bit data and the inverse conversion 6 bit to 8 bit data with no losses of bits. These conversion routines may facilitate the inclusion of files within the markup portion of an embodiment although other conversion routines may be appropriate for certain applications.

[0166] When an originator has completed a securable independent electronic document, they may have access to several kinds of information they included and, perhaps, beyond what they put in. For their particular instance of an embodiment, there may exist zero or more files, CRCs', basic and / or advanced security features, encryption data, digital rights, digital signatures, notarizations, and other data.

[0167] FIG X and Xa show an electronic document using some of the combinations above. For instance, with the Power of Attorney electronic document shown in Fig X. the securable independent electronic document 100 has the graph 80, the text section 5, and data populating

[0168] In certain embodiments, it may appropriate for the originator to have access to none, some, or all of this information. They then may choose to retain, post, transmit to the receiver or third parties whatever portion of that information is reasonable or required. The receiver of a securable independent electronic document may have access to none, some, or all of the information about it or within it also. If reasonable or desired in certain embodiments, the receiver may verify information within and / or about a securable independent electronic document to check for evidence of tampering, validity, and other qualities. Embodiments may also permit one or more third parties to attest to the veracity of a securable independent electronic document.

[0169] Embodiments may be constructed that include an electronic representation of a legal document such as a bond, surety coupon, mortgage, or other legal document. The electronic document creator may then include one or more digital signatures in the markup language portion in accordance with guidelines set forth by the W3C XML digital signature recommendation of June 10, 2008 and / or other methods. It may be reasonably concluded that the markup language portion of a securable independent electronic document construction thus far conforms to often adopted standards and is within the scope of legal rules and regulation such as the UETA statute.

[0170] Intermediate participants such as witnesses, co-signers, counter-signers, notaries, authorizing / approving parties, and others may need only to conform to their requirements within rules and regulation to maintain the legal operability of the included legal document within a securable independent electronic document construct markup language file portion. In certain applications, when the outer graphics file portion of a securable independent electronic document construct readies to envelope the inner markup language file portion with its included document, additional steps may be taken.

[0171] It may be desirable to make any number of pictorial representations of the included document and / or its markup language component in part and / or in its entirety, which may be saved within the outer graphics file portion of a securable independent electronic document. Additional security features may also be computed on the whole or multiple parts of the inner markup language portion with the included document, which enhances the tamper evidence qualities of a securable independent electronic document.

[0172] Embodiments may be constructed having one or more securable independent electronic documents inside a securable independent electronic document. Other regular files may also be interspersed with securable independent electronic document's inside the outermost securable independent electronic document. Embedded securable independent electronic document's may also envelope further embedded securable independent electronic document's and other files. Embodiments may choose to work on the included document and its securable independent electronic document envelope in a easily readable plain-text form. An electronic document creator may construct a securable independent electronic document and then encrypt in its entirety. Information about the encryption may be stored in a second securable independent electronic document that may also contain the entire encrypted securable independent electronic document, some piece of it, or none at all. Encryption information, the encrypted whole or fragmented securable independent electronic document, which may also be inside other securable independent electronic document's, may then take separate routes to the electronic document receiver. The electronic document receiver may then decrypt and / or reconstruct all or part of the originally encrypted securable independent electronic document as appropriate.

## CONCLUSION, RAMIFICATIONS, AND SCOPE

[0173] Advantageously, the securable independent electronic document offers document creators, handlers, and recipients more opportunity and flexibility in selecting tools for data integrity, data security, data validation, data auditing, and support for other mission critical data processing needs.

[0174] The securable independent electronic document users are given the opportunity to create and / or acquire their own tools for document data processing operations. The above-mentioned tools are not necessarily limited in their scope of operation.

[0175] The document creators may be able to choose the level of interaction with outside third parties in document creation and handling.

[0176] The securable independent electronic document may be transmitted simply, such as an attachment to an email; and may be handled with tools common to the browser environment.

[0177] The signature block that contains a section for dynamic data, which is user data or other XML formatted type, including base64 MIME type of encoded data, which allows any random raw binary or character data to be inserted inside the signature block and therefore become candidate for digitally signing. Further, reference to an external object data block can be made, which therefore then includes the referenced material when the digital signature digest value is computed, even though the signed material may be outside the electronic document container.

[0178] Digital signatures can be presented in multiple forms. Adjacent, cosignatures or nested signatures enhance data integrity.

[0179] Digital signatures can be applied to both text and graphic image data sections. Multiple sections within a single text data section allow for digital signature operations to be done to both types of data sections.

[0180] Specific functionality on various types of graphic files allow for larger embedded sections. Further, additional types of data can be placed in the document, having other containment section compartments supporting other various data models. Further, these compartments can contain further

security measures, ensuring yet better containment validity, such as extended content digital signature capabilities.

[0181] The character portion of the container may contain a description of an image, in a graphic section. A graphic section can also contain an image of text, security features, encryption keys, access methods and rights, or other data. A graphic section can contain an interpreted key for a XML section. Example: A picture of a zebra could be coded image='ZEBRA', or other codeword, as well as 'embedded' values, such as a key chain. Either can be first to be created, having support by the other accordingly. In the case of creating the text first, the graphic can contain information (in graphic form), supporting original text information. Later, the text can then finish up with data supporting the graphical equivalent of the original text data to be protected.

[0182] Automated data, graphical data, and a host of other data types work to make the document securable. For example, a code word on the phone could go with a picture and be input to the automated processed text.

[0183] A wide variety of well-adopted applications already support the document.

[0184] Various encryption, security methods, or other technologies in existence, or possibly created in the future, may be implemented in the securable document container. This allows a flexible set of circumstances for enhanced document integrity.

[0185] Multiple page documents can be presented as a series of electronic documents, having the electronic document's contents independent of any header or ending container portions.

[0186] Image sections of the electronic document, its embedded documents or a combination of documents could be combined to present one totality picture. The data for these could be combined or otherwise accumulated accordingly to present a completed pictorial of the overall data environment.

[0187] Self type embedded documents support process flow and management.

[0188] Digital signatures use XML. The graphic image container PNG can support content digital signatures, which, with a specified data set, can present signatures further preserving data integrity.

[0189] Self type embedded documents support process flow and management.

[0190] Notarization or witnessing type of either acknowledgement or Juret based can be part of the document and would follow laws, statutes or other regulations in place to support commerce for a digital transaction. These laws have been in place since early 2000 for most states, having uniform acceptance to these laws commonly in place now.

[0191] Its copy is its original, having both the data and visual support included in a single container package.

[0192] Document is in its best archival state and requires no further processing for indefinite storage and retrieval needs.

[0193] Vendor verification allows for private 'in house' vendor specific trade secret secure, reliable confirmation. (Tumblers placed internally known only to internal processes, generating a result whose algorithmic generation is completely contained in vendors' control.

[0194] With this single container, and the bounds of data limitless, the extra protection sought became more and more. Soon, security possibilities evolved. Usable features become to exist.

[0195] Although the description above contains many specificities, these should not be construed as limiting the scope of the embodiments but as merely providing illustrations of some of the presently preferred embodiments. For example, the document can have other purposes, such as , ect.

[0196] Thus, the scope of the embodiments should be determined by the appended claims and their legal equivalents, rather than by the examples given,

[0197] It is understood that the above-described embodiments are only illustrative of the application of the principles of the present ELECTRONIC DOCUMENT apparatus taught within. The electronic document may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the document apparatus is, therefore, indicated by the appended claims



rather than by the foregoing descriptions. All changes, which come within the meaning and range of equivalency of the claims, are to be embraced within their scope.

[0198] Similarly, one skilled in the art would appreciate a postable resume complete with structured information concerning employment and other tangible data items that would be relative to an applicant's qualifications.

[0199] As another example, it is envisioned that the electronic document could be that of an issued patent, or published application, having tangible data represented for automation means of cataloging or other reference. Alternately, correspondence could include control structure information supporting the office type actions and / or replies.

[0200] It is also envisioned a graphic image can present a picture that contains a required process or step. The character text could include validation or authentication text, which can include English type instructions, which describe the format of the data originally placed, or otherwise represented in the graphic form.

[0201] It is expected that there could be numerous variations of the design of this invention. For example, the graphic component may consist of colors, shapes, and other visual data. Further, the character text can incorporate multiple embedded documents, digital signature blocks, or other embedded and / or encoded data in any combination.

[0202] It is also expected that another design variation of the document would be an embodiment where there may be a receipt attached to the document, using self document type embedding, where an original order or other request is encompassed inside the receipt when the order or other request is fulfilled.

[0203] It is envisioned that the components of the electronic document and method may be constructed with a variety of information. For example, the character text component can included scripting, command language, or other digital represented formats. Further, its graphical component could include barcodes, object character recognition formatted data, as well as visually confirming data.

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[0204] It is also to be realized that the components of the electronic document may be constructed of a variety of processes. In addition, component steps can be separated, each creating separate documents, and finally assembled together.

[0205] Finally, it is envisioned that the components of the electronic document may be constructed of a variety of procedures. For example, its creation could be in a controlled processing environment, with procedures creating individual sections of the document before final construction.

[0206] Thus, while the present invention has been fully described above, with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications. Including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.

That which is claimed is:

1. An information handling apparatus, said information handling apparatus consisting of:

a container, adapted for a plurality of data types of sectional components, said sectional components' comprising:

at least one data component,

wherein when said data component is comprised of a character text data type, said component comprises:

a character text data, said character text data coupled to:

a first interfacing means, wherein said character text data can be processed in an automated fashion in a computer system,

a second interfacing means, wherein said character text data comprises text characters, said characters are able to be interpreted and understood with human interaction,

a first referencing means, wherein said character text data can reference external file data using a uniform resource locator or identifier,

a first encoding means, wherein said character text data can be of an encoded type,

a first embedding means, wherein said character text data of said encoded type can contain filed data of any type, including that of said apparatus container, and

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a first integrity means, wherein said character text data can be of an encrypted format; and

at least one additional sectional data component selected from a plurality of data types,

wherein when said data component is comprised of a graphical image data type, said component comprises:

a graphical image data, said graphical image data coupled to:

a third interfacing means, wherein said graphical image data can be digitally processed in an automated fashion in a computer graphic processing system,

a fourth interfacing means, wherein said graphical image data can be realized and perceived with human interaction, and

a second integrity means, wherein said graphical image data can be of an encrypted type;

said sectional component container coupled to:

a fifth interfacing means, wherein said container’s binary file format type allows direct, non-altered, single byte precision, original ‘incrementally-filed’ data handling and storage,

a first independence means, wherein said container’s sectional component’s creation, processing or storage can be independent of said container’s contents creation,

a third integrity means, wherein said container's sectional component's creation, processing or storage can be independent from intervention of said container's association,

a fourth integrity means, wherein said container's sectional component(s) coupled to other said container's sectional components(s) can include data integrity check information of said other container's sectional component(s),

a fifth integrity means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of intervention from additional party association,

a second independence means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of an additional required party or parties' interaction,

a third independence means, wherein said container's format of a normative standard type further allows said container's validation to be independent of an additional party or parties' interaction, and

a fourth independence means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of a computing platform type.

2. The information handling apparatus of Claim 1, having said character text data further comprising:

at least one character text digital signature data section(s), said character text digital signature data section(s) coupled to:

a digital signing means, wherein said character text digital signature data section type can be at least one of the following: (i) signature; (ii) co-signature; (iii) counter-signature.

3. The information handling apparatus of Claim 2, having said container further comprising:

at least one digital signature data component, said digital signature data component comprising:

at least one digital signature data section, said digital signature data coupled to:

a subsequent digital signing means, wherein said digital signature data type can be at least one of the following: (i) signature; (ii) co-signature; (iii) counter-signature.

4. The information handling apparatus of Claim 3, having said container's component sections' further comprising:

at least one hash check value, wherein said hash check value is computed for the said component section.

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ABSTRACT:

In accordance with embodiments within, a securable independent electronic document apparatus is taught. With an authenticable and tamper detectable electronic container, elements and sections supporting platform, vendor and authentication independence, data sections and elements supporting, if user desired, digital signatures, data automation and nested embedding, graphical image data, and/or other types of data elements and sections supporting perceptual integrity and authenticity verification, and/or other free formatted data elements and sections supporting a plurality of types of data processing operations, and, if user desired, imaging representation comprised within a container using a securable and independent system. The securable independent electronic document apparatus presents solutions for the personal unique and interwoven creation and enhancement of user and document security and confidence in electronic data information's digital distribution, commerce, trade, publishing and/or exchange.

(Reference numerals in parenthesis for possible foreign filing)



| Exam<br>Init's | Cite<br># | Num     | Kind<br>Code | Date      | Author                | Pages Cited |
|----------------|-----------|---------|--------------|-----------|-----------------------|-------------|
|                | E001      | 8191158 |              | 29-May-12 | Ginter , et al.       |             |
|                | E002      | 8191157 |              | 29-May-12 | Ginter , et al.       |             |
|                | E003      | 8190528 |              | 29-May-12 | Ginter , et al.       |             |
|                | E004      | 8185478 |              | 22-May-12 | Pinkas , et al.       |             |
|                | E005      | 8185473 |              | 22-May-12 | Ginter , et al.       |             |
|                | E006      | 8181021 |              | 15-May-12 | Ginter , et al.       |             |
|                | E007      | 8141165 |              | 20-Mar-12 | Ginter , et al.       |             |
|                | E008      | 8140850 |              | 20-Mar-12 | Home , et al.         |             |
|                | E009      | 8130952 |              | 6-Mar-12  | Shamoon , et al.      |             |
|                | E010      | 8112625 |              | 7-Feb-12  | Ginter , et al.       |             |
|                | G001      | 8086662 |              | 27-Dec-11 | Doyle , et al.        |             |
|                | G002      | 8082293 |              | 20-Dec-11 | Doyle , et al.        |             |
|                | E011      | 8055913 |              | 8-Nov-11  | Ginter , et al.       |             |
|                | E012      | 8006087 |              | 23-Aug-11 | Ginter , et al.       |             |
|                | E013      | 7984509 |              | 19-Jul-11 | Ginter , et al.       |             |
|                | E014      | 7979542 |              | 12-Jul-11 | Strohwig , et al.     |             |
|                | E015      | 7940928 |              | 10-May-11 | Sibert                |             |
|                | E016      | 7925898 |              | 12-Apr-11 | Shear , et al.        |             |
|                | E017      | 7917749 |              | 29-Mar-11 | Ginter , et al.       |             |
|                | E018      | 7904707 |              | 8-Mar-11  | Shear , et al.        |             |
|                | G003      | 7894997 |              | 22-Feb-11 | Doyle , et al.        |             |
|                | E019      | 7844835 |              | 30-Nov-10 | Ginter , et al.       |             |
|                | E020      | 7831823 |              | 9-Nov-10  | Ginter , et al.       |             |
|                | E021      | 7827114 |              | 2-Nov-10  | Pinkas , et al.       |             |
|                | E022      | 7822201 |              | 26-Oct-10 | Shamoon , et al.      |             |
|                | G004      | 7814157 |              | 12-Oct-10 | Doyle                 |             |
|                | E023      | 7809138 |              | 5-Oct-10  | Shamoon , et al.      |             |
|                | G005      | 7792862 |              | 7-Sep-10  | Doyle                 |             |
|                | E024      | 7770031 |              | 3-Aug-10  | MacKay , et al.       |             |
|                | E025      | 7770016 |              | 3-Aug-10  | Home , et al.         |             |
|                | E026      | 7761916 |              | 20-Jul-10 | Shear , et al.        |             |
|                | E027      | 7752466 |              | 6-Jul-10  | Ginter , et al.       |             |
|                | E028      | 7747858 |              | 29-Jun-10 | Serret-Avila , et al. |             |
|                | E029      | 7739511 |              | 15-Jun-10 | Home , et al.         |             |
|                | E030      | 7734553 |              | 8-Jun-10  | Shear , et al.        |             |
|                | E031      | 7703130 |              | 20-Apr-10 | Pinkas , et al.       |             |
|                | E032      | 7694342 |              | 6-Apr-10  | Maher , et al.        |             |
|                | E033      | 7694133 |              | 6-Apr-10  | Sibert                |             |
|                | E034      | 7689827 |              | 30-Mar-10 | Sibert                |             |
|                | E035      | 7681240 |              | 16-Mar-10 | Maheshwari , et al.   |             |
|                | G006      | 7613571 |              | 3-Nov-09  | Doyle , et al.        |             |
|                | G007      | 7599985 |              | 6-Oct-09  | Doyle , et al.        |             |
|                | E036      | 7590853 |              | 15-Sep-09 | Shear , et al.        |             |
|                | E037      | 7587369 |              | 8-Sep-09  | Ginter , et al.       |             |

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Date Considered: \_\_\_\_\_



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|------|---------|-----------|------------------------|
| E038 | 7581092 | 25-Aug-09 | Shear , et al.         |
| E039 | 7580988 | 25-Aug-09 | Rudd                   |
| E040 | 7577609 | 18-Aug-09 | Maher                  |
| E041 | 7523194 | 21-Apr-09 | Strohwig , et al.      |
| A007 | 7454700 | 18-Nov-08 | Jones , et al.         |
| A008 | 7451392 | 11-Nov-08 | Chalecki , et al.      |
| C001 | 7451156 | 11-Nov-08 | Omstein , et al.       |
| C002 | 7441185 | 21-Oct-08 | Coulson , et al.       |
| E042 | 7430585 | 30-Sep-08 | Sibert                 |
| A009 | 7421645 | 2-Sep-08  | Reynar                 |
| C003 | 7418652 | 26-Aug-08 | Omstein , et al.       |
| B038 | 7415617 | 19-Aug-08 | Ginter , et al.        |
| A010 | 7409399 | 5-Aug-08  | Miyamoto               |
| A011 | 7401291 | 15-Jul-08 | Ramaley , et al.       |
| E044 | 7392395 | 24-Jun-08 | Ginter , et al.        |
| A012 | 7389420 | 17-Jun-08 | Tian                   |
| A013 | 7386804 | 10-Jun-08 | Ho , et al.            |
| C004 | 7383502 | 3-Jun-08  | Shur , et al.          |
| C005 | 7383500 | 3-Jun-08  | Shur , et al.          |
| C006 | 7373330 | 13-May-08 | Klebe                  |
| A014 | 7370206 | 6-May-08  | Goldman                |
| A015 | 7370018 | 6-May-08  | Bryant, Jr. , et al.   |
| C007 | 7366982 | 29-Apr-08 | Shur , et al.          |
| A016 | 7366338 | 29-Apr-08 | Jones , et al.         |
| G008 | 7362323 | 22-Apr-08 | Doyle                  |
| A017 | 7360093 | 15-Apr-08 | de Queiroz             |
| C008 | 7359902 | 15-Apr-08 | Omstein , et al.       |
| C009 | 7356143 | 8-Apr-08  | Morten                 |
| D001 | 7350238 | 25-Mar-08 | Abe , et al.           |
| D002 | 7350237 | 25-Mar-08 | Vogel , et al.         |
| A018 | 7343339 | 11-Mar-08 | Harrison, Jr. , et al. |
| A019 | 7340601 | 4-Mar-08  | Wray                   |
| C010 | 7330974 | 12-Feb-08 | Silverbrook , et al.   |
| C011 | 7330560 | 12-Feb-08 | Donescu                |
| A020 | 7302467 | 27-Nov-07 | Matsuda , et al.       |
| C012 | 7299408 | 20-Nov-07 | Daconta , et al.       |
| C013 | 7296217 | 13-Nov-07 | Earnshaw , et al.      |
| A021 | 7295679 | 13-Nov-07 | Otsuki                 |
| C014 | 7295207 | 13-Nov-07 | Yoon , et al.          |
| B005 | 7287284 | 23-Oct-07 | Hayashi                |
| A022 | 7281133 | 9-Oct-07  | Ginter , et al.        |
| A023 | 7277925 | 2-Oct-07  | Wamock                 |
| A024 | 7266527 | 4-Sep-07  | Martin                 |
| A025 | 7260585 | 21-Aug-07 | Krishnaprasad , et al. |
| C015 | 7251832 | 31-Jul-07 | Venters, III , et al.  |
| C016 | 7251644 | 31-Jul-07 | Viola , et al.         |

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Date Considered: \_\_\_\_\_

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| B002 | 7245740 |  | 17-Jul-07 | Suzaki                 |  |
| E046 | 7243236 |  | 10-Jul-07 | Sibert                 |  |
| A026 | 7239717 |  | 3-Jul-07  | Fridrich , et al.      |  |
| E047 | 7233948 |  | 19-Jun-07 | Shamoon , et al.       |  |
| E048 | 7213266 |  | 1-May-07  | Maher , et al.         |  |
| G009 | 7210035 |  | 24-Apr-07 | Doyle , et al.         |  |
| B003 | 7206432 |  | 17-Apr-07 | Iwamura                |  |
| A027 | 7200597 |  | 3-Apr-07  | Grizzard               |  |
| C017 | 7199804 |  | 3-Apr-07  | Bhattacharyay , et al. |  |
| B004 | 7197156 |  | 27-Mar-07 | Levy                   |  |
| A028 | 7191392 |  | 13-Mar-07 | Coar                   |  |
| C018 | 7191186 |  | 13-Mar-07 | Pullen                 |  |
| C019 | 7181017 |  | 20-Feb-07 | Nagel , et al.         |  |
| C020 | 7172122 |  | 6-Feb-07  | Alleshouse             |  |
| A029 | 7165174 |  | 16-Jan-07 | Ginter , et al.        |  |
| E049 | 7165174 |  | 16-Jan-07 | Ginter , et al.        |  |
| A030 | 7162635 |  | 9-Jan-07  | Bisbee , et al.        |  |
| A031 | 7150399 |  | 19-Dec-06 | Barrus , et al.        |  |
| A032 | 7149760 |  | 12-Dec-06 | Breuer                 |  |
| A033 | 7143290 |  | 28-Nov-06 | Ginter , et al.        |  |
| E051 | 7143066 |  | 28-Nov-06 | Shear , et al.         |  |
| A034 | 7143062 |  | 28-Nov-06 | Turk , et al.          |  |
| E052 | 7136840 |  | 14-Nov-06 | Pinkas , et al.        |  |
| A035 | 7134020 |  | 7-Nov-06  | Eagle , et al.         |  |
| A036 | 7133846 |  | 7-Nov-06  | Ginter , et al.        |  |
| E054 | 7133845 |  | 7-Nov-06  | Ginter , et al.        |  |
| B006 | 7130087 |  | 31-Oct-06 | Rhoads                 |  |
| E055 | 7124302 |  | 17-Oct-06 | Ginter , et al.        |  |
| E056 | 7120802 |  | 10-Oct-06 | Shear , et al.         |  |
| E057 | 7120800 |  | 10-Oct-06 | Ginter , et al.        |  |
| B007 | 7114750 |  | 3-Oct-06  | Alasia , et al.        |  |
| E058 | 7110983 |  | 19-Sep-06 | Shear , et al.         |  |
| E059 | 7107448 |  | 12-Sep-06 | MacKay , et al.        |  |
| E060 | 7100199 |  | 29-Aug-06 | Ginter , et al.        |  |
| E061 | 7095854 |  | 22-Aug-06 | Ginter , et al.        |  |
| E062 | 7092914 |  | 15-Aug-06 | Shear , et al.         |  |
| A037 | 7092910 |  | 15-Aug-06 | Iwamura                |  |
| A038 | 7089248 |  | 8-Aug-06  | King , et al.          |  |
| B008 | 7088843 |  | 8-Aug-06  | Pelly                  |  |
| A039 | 7082216 |  | 25-Jul-06 | Jones , et al.         |  |
| E063 | 7076652 |  | 11-Jul-06 | Ginter , et al.        |  |
| E064 | 7069451 |  | 27-Jun-06 | Ginter , et al.        |  |
| E065 | 7062500 |  | 13-Jun-06 | Hall , et al.          |  |
| E066 | 7058805 |  | 6-Jun-06  | Sibert                 |  |
| A040 | 7051212 |  | 23-May-06 | Ginter , et al.        |  |
| A041 | 7051206 |  | 23-May-06 | Giest , et al.         |  |

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|------|---------|-----------|------------------------|
| A042 | 7051205 | 23-May-06 | Horiguchi , et al.     |
| A043 | 7051002 | 23-May-06 | Keresman, III , et al. |
| E068 | 7050586 | 23-May-06 | Shamoon                |
| A044 | 7047419 | 16-May-06 | Black                  |
| G010 | 7047415 | 16-May-06 | Doyle , et al.         |
| A045 | 7047411 | 16-May-06 | DeMello , et al.       |
| A046 | 7047404 | 16-May-06 | Doonan , et al.        |
| A047 | 7044381 | 16-May-06 | Silverbrook , et al.   |
| A048 | 7043456 | 9-May-06  | Lindskog , et al.      |
| E069 | 7043441 | 9-May-06  | Maher                  |
| A049 | 7043411 | 9-May-06  | Ronaldson , et al.     |
| A050 | 7043025 | 9-May-06  | Aldredge               |
| A051 | 7039812 | 2-May-06  | Kawan , et al.         |
| A052 | 7039805 | 2-May-06  | Messing                |
| D004 | 7039626 | 2-May-06  | Hirata                 |
| A053 | 7039616 | 2-May-06  | Wendenburg , et al.    |
| A054 | 7036076 | 25-Apr-06 | Anwar                  |
| A055 | 7036015 | 25-Apr-06 | Vanstone , et al.      |
| A056 | 7035914 | 25-Apr-06 | Payne , et al.         |
| A057 | 7035830 | 25-Apr-06 | Shaikh                 |
| A058 | 7035817 | 25-Apr-06 | Brothers               |
| A059 | 7035409 | 25-Apr-06 | Moskowitz              |
| A060 | 7032224 | 18-Apr-06 | Kadokia , et al.       |
| D005 | 7032030 | 18-Apr-06 | Codignotto             |
| A061 | 7031943 | 18-Apr-06 | James , et al.         |
| A062 | 7028191 | 11-Apr-06 | Michener , et al.      |
| A063 | 7028189 | 11-Apr-06 | Mihcak , et al.        |
| A064 | 7028187 | 11-Apr-06 | Rosen                  |
| A065 | 7028184 | 11-Apr-06 | Hind , et al.          |
| A066 | 7028182 | 11-Apr-06 | Kilcommons             |
| A067 | 7028180 | 11-Apr-06 | Aull , et al.          |
| A068 | 7025276 | 11-Apr-06 | Lapstun , et al.       |
| A069 | 7024390 | 4-Apr-06  | Mori , et al.          |
| A070 | 7021534 | 4-Apr-06  | Kiliccote              |
| D006 | 7020839 | 28-Mar-06 | Hosoda                 |
| A071 | 7020781 | 28-Mar-06 | Saw , et al.           |
| A072 | 7020777 | 28-Mar-06 | Mihcak , et al.        |
| A073 | 7020645 | 28-Mar-06 | Bisbee , et al.        |
| A074 | 7020640 | 28-Mar-06 | Zucchetti              |
| A075 | 7017046 | 21-Mar-06 | Doyle , et al.         |
| A076 | 7016516 | 21-Mar-06 | Rhoads                 |
| A077 | 7016495 | 21-Mar-06 | Scheidt , et al.       |
| A078 | 7013286 | 14-Mar-06 | Aggarwal , et al.      |
| D007 | 7013041 | 14-Mar-06 | Miyamoto               |
| A079 | 7012710 | 14-Mar-06 | Lapstun , et al.       |
| A080 | 7010697 | 7-Mar-06  | Byrne , et al.         |

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|------|---------|-----------|-----------------------|
| D008 | 7010570 | 7-Mar-06  | Boies , et al.        |
| D009 | 7007076 | 28-Feb-06 | Hess , et al.         |
| A081 | 7006656 | 28-Feb-06 | Fridrich , et al.     |
| D010 | 7006243 | 28-Feb-06 | Simpson , et al.      |
| A082 | 7003166 | 21-Feb-06 | Abhyankar , et al.    |
| A083 | 7003132 | 21-Feb-06 | Rhoads                |
| A084 | 7000186 | 14-Feb-06 | Gropper , et al.      |
| A085 | 7000110 | 14-Feb-06 | Terao                 |
| A086 | 7000105 | 14-Feb-06 | Tallent, Jr. , et al. |
| A087 | 6999936 | 14-Feb-06 | Sehr                  |
| A088 | 6996723 | 7-Feb-06  | Kyojima , et al.      |
| A089 | 6993656 | 31-Jan-06 | Peyravian , et al.    |
| A090 | 6993655 | 31-Jan-06 | Hecht , et al.        |
| A091 | 6993153 | 31-Jan-06 | Bradley               |
| A092 | 6991174 | 31-Jan-06 | Zuili                 |
| A093 | 6991154 | 31-Jan-06 | Silverbrook , et al.  |
| A094 | 6991153 | 31-Jan-06 | Silverbrook , et al.  |
| A095 | 6990684 | 24-Jan-06 | Futamura , et al.     |
| A096 | 6990504 | 24-Jan-06 | Powell , et al.       |
| A097 | 6990480 | 24-Jan-06 | Burt                  |
| A098 | 6988279 | 17-Jan-06 | Kanevsky              |
| A099 | 6988199 | 17-Jan-06 | Toh , et al.          |
| A100 | 6985888 | 10-Jan-06 | Cordery , et al.      |
| A101 | 6985886 | 10-Jan-06 | Broadbent , et al.    |
| A102 | 6985589 | 10-Jan-06 | Morley , et al.       |
| A103 | 6983309 | 3-Jan-06  | Yoshizawa             |
| A104 | 6982625 | 3-Jan-06  | Chainer , et al.      |
| A105 | 6981151 | 27-Dec-05 | Groeneveld , et al.   |
| A106 | 6978366 | 20-Dec-05 | Ignatchenko , et al.  |
| A107 | 6976172 | 13-Dec-05 | Girard                |
| A108 | 6976165 | 13-Dec-05 | Carpentier , et al.   |
| A109 | 6975992 | 13-Dec-05 | Tucker , et al.       |
| A110 | 6975915 | 13-Dec-05 | Robitaille , et al.   |
| A111 | 6975746 | 13-Dec-05 | Davis , et al.        |
| A112 | 6975733 | 13-Dec-05 | Choi , et al.         |
| A113 | 6973646 | 6-Dec-05  | Bordawekar , et al.   |
| A114 | 6973444 | 6-Dec-05  | Binn , et al.         |
| D011 | 6972763 | 6-Dec-05  | Millett , et al.      |
| A115 | 6970836 | 29-Nov-05 | Paltenghe , et al.    |
| A116 | 6968455 | 22-Nov-05 | Okayasu , et al.      |
| A117 | 6968319 | 22-Nov-05 | Remington , et al.    |
| A118 | 6968058 | 22-Nov-05 | Kondoh , et al.       |
| A119 | 6966048 | 15-Nov-05 | Bowers                |
| A120 | 6965904 | 15-Nov-05 | Bankert , et al.      |
| A121 | 6961902 | 1-Nov-05  | Anecki , et al.       |
| A122 | 6961854 | 1-Nov-05  | Serret-Avila , et al. |

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|      |         |           |                       |
|------|---------|-----------|-----------------------|
| B009 | 6961441 | 1-Nov-05  | Hershey , et al.      |
| B010 | 6959100 | 25-Oct-05 | Rhoads                |
| A123 | 6954542 | 11-Oct-05 | Miyake , et al.       |
| E070 | 6950867 | 27-Sep-05 | Strohwig , et al.     |
| E071 | 6948070 | 20-Sep-05 | Ginter , et al.       |
| D012 | 6947162 | 20-Sep-05 | Rosenberg , et al.    |
| A124 | 6940995 | 6-Sep-05  | Choi , et al.         |
| D013 | 6940870 | 6-Sep-05  | Hamfin                |
| E072 | 6938021 | 30-Aug-05 | Shear , et al.        |
| D014 | 6925597 | 2-Aug-05  | Anwar                 |
| A125 | 6918082 | 12-Jul-05 | Gross , et al.        |
| B011 | 6915433 | 5-Jul-05  | Barber                |
| D015 | 6912462 | 28-Jun-05 | Ogaki                 |
| D016 | 6910068 | 21-Jun-05 | Zintel , et al.       |
| C021 | 6908034 | 21-Jun-05 | Alleshouse            |
| D017 | 6904454 | 7-Jun-05  | Stickler              |
| D018 | 6904176 | 7-Jun-05  | Chui , et al.         |
| D019 | 6900905 | 31-May-05 | Simpson , et al.      |
| A126 | 6892947 | 17-May-05 | Jam , et al.          |
| A127 | 6892352 | 10-May-05 | Myers                 |
| B012 | 6880755 | 19-Apr-05 | Gorbet , et al.       |
| A128 | 6862599 | 1-Mar-05  | King                  |
| B013 | 6859155 | 22-Feb-05 | Kondo , et al.        |
| G012 | 6857124 | 15-Feb-05 | Doyle                 |
| B014 | 6850624 | 1-Feb-05  | Shinoda , et al.      |
| A129 | 6834209 | 21-Dec-04 | Potz , et al.         |
| E073 | 6832316 | 14-Dec-04 | Sibert                |
| B015 | 6826291 | 30-Nov-04 | Yoshiura , et al.     |
| B016 | 6807285 | 19-Oct-04 | Iwamura               |
| E074 | 6785815 | 31-Aug-04 | Serret-Avila , et al. |
| B017 | 6782509 | 24-Aug-04 | Hirayama , et al.     |
| A130 | 6748380 | 8-Jun-04  | Poole , et al.        |
| B018 | 6748362 | 8-Jun-04  | Meyer , et al.        |
| B019 | 6731775 | 4-May-04  | Ancin                 |
| D003 | 6717573 | 6-Apr-04  | Shahoian , et al.     |
| A131 | 6714202 | 30-Mar-04 | Dorrell               |
| B020 | 6678390 | 13-Jan-04 | Honsinger             |
| B021 | 6678389 | 13-Jan-04 | Sun , et al.          |
| A132 | 6658568 | 2-Dec-03  | Ginter , et al.       |
| C022 | 6643383 | 4-Nov-03  | Dugelay               |
| E076 | 6640304 | 28-Oct-03 | Ginter , et al.       |
| E077 | 6618484 | 9-Sep-03  | Van Wie , et al.      |
| C023 | 6616702 | 9-Sep-03  | Tonkin                |
| G013 | 6616701 | 9-Sep-03  | Doyle                 |
| A133 | 6535981 | 18-Mar-03 | Shimizu               |
| A134 | 6532476 | 11-Mar-03 | King                  |

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|------|---------|--|-----------|--------------------|--|
| C024 | 6507858 |  | 14-Jan-03 | Kanerva , et al.   |  |
| D020 | 6469692 |  | 22-Oct-02 | Rosenberg          |  |
| A135 | 6456726 |  | 24-Sep-02 | Yu , et al.        |  |
| E078 | 6449367 |  | 10-Sep-02 | Van Wie , et al.   |  |
| E079 | 6427140 |  | 30-Jul-02 | Ginter , et al.    |  |
| A136 | 6418448 |  | 9-Jul-02  | Sarkar             |  |
| E080 | 6389402 |  | 14-May-02 | Ginter , et al.    |  |
| G014 | 6381696 |  | 30-Apr-02 | Doyle              |  |
| A137 | 6367013 |  | 2-Apr-02  | Bisbee , et al.    |  |
| E081 | 6363488 |  | 26-Mar-02 | Ginter , et al.    |  |
| B022 | 6354630 |  | 12-Mar-02 | Zhang , et al.     |  |
| B023 | 6343138 |  | 29-Jan-02 | Rhoads             |  |
| C025 | 6342954 |  | 29-Jan-02 | Hisatomi , et al.  |  |
| E082 | 6339765 |  | 15-Jan-02 | Maher              |  |
| B024 | 6334187 |  | 25-Dec-01 | Kadono             |  |
| B025 | 6307950 |  | 23-Oct-01 | Powell , et al.    |  |
| E083 | 6292569 |  | 18-Sep-01 | Shear , et al.     |  |
| B026 | 6278791 |  | 21-Aug-01 | Honsinger , et al. |  |
| E084 | 6253193 |  | 26-Jun-01 | Ginter , et al.    |  |
| A138 | 6246998 |  | 12-Jun-01 | Matsumori          |  |
| E085 | 6240185 |  | 29-May-01 | Van Wie , et al.   |  |
| E086 | 6237786 |  | 29-May-01 | Ginter , et al.    |  |
| A139 | 6237096 |  | 22-May-01 | Bisbee , et al.    |  |
| A140 | 6209095 |  | 27-Mar-01 | Anderson , et al.  |  |
| A141 | 6192138 |  | 20-Feb-01 | Yamadaji           |  |
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| E088 | 6157721 |  | 5-Dec-00  | Shear , et al.     |  |
| C026 | 6138119 |  | 24-Oct-00 | Hall , et al.      |  |
| E090 | 6125349 |  | 26-Sep-00 | Maher              |  |
| A142 | 6112185 |  | 29-Aug-00 | Walker , et al.    |  |
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| A143 | 6065026 |  | 16-May-00 | Comelia , et al.   |  |
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| A144 | 6047374 |  | 4-Apr-00  | Barton             |  |
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| E092 | 5982891 |  | 9-Nov-99  | Ginter , et al.    |  |
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|  | B033 | 5859920     |    | 12-Jan-99 | Daly , et al.            |  |
|  | A149 | 5855008     |    | 29-Dec-98 | Goldhaber , et al.       |  |
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|  | G015 | 5838906     |    | 17-Nov-98 | Doyle , et al.           |  |
|  | B034 | 5822436     |    | 13-Oct-98 | Rhoads                   |  |
|  | A151 | 5806072     |    | 8-Sep-98  | Kuba , et al.            |  |
|  | A152 | 5794207     |    | 11-Aug-98 | Walker , et al.          |  |
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|  | A153 | 5774870     |    | 30-Jun-98 | Storey                   |  |
|  | A154 | 5748738     |    | 5-May-98  | Bisbee , et al.          |  |
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|  | A155 | 5668945     |    | 16-Sep-97 | Ohba , et al.            |  |
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|  | B036 | 5149140     |    | 22-Sep-92 | Mowry, Jr. , et al.      |  |
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|  | G016 | 4847604     |    | 11-Jul-89 | Doyle                    |  |
|  | E103 | 4827508     |    | 2-May-89  | Shear                    |  |
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|  | A162 | 4701745     |    | 20-Oct-87 | Waterworth               |  |
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|  | H001 | 20110093527 | A1 | 21-Apr-11 | Doyle; Michael D.        |  |
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| F021 | 20100174652 | A1 | 8-Jul-10  | Shear, VICTOR H. et al.  |  |
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| F094 | 20050182956 | A1 | 18-Aug-05 | Ginter, Karl L. et al.     |  |
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| F116 | 20010026618 | A1 | 4-Oct-01  | Van Wie, David M. et al.        |

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Patent Application of

John Lewis Guymon Jr

for

TITLE: SECURABLE INDEPENDENT ELECTRONIC DOCUMENT

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims benefit of a Disclosure Document filed with the USPTO, titled “~~IntelLEDoc~~Intelledoc<sup>®</sup> – Intelligent Electronic Document”, Serial No. 610142, filed December 16, 2006.

[0002] This application claims benefit of a Disclosure Document filed with the USPTO, titled “eSuretyBailBond - Electronic Surety Bail Bond”, Serial No. 610141, filed December 16, 2006.

FEDERALLY SPONSORED RESEARCH

[0003] Not Applicable

BACKGROUND—FIELD

[0004] Inscribed within generally relates to information handling and specifically to electronic documents. Various aspects of an electronic document ~~container~~apparatus are described within and are particularly applicable to the security and manufacture of the document, ~~its manufacture, method, and container,~~ as well as a few of the many involved processes, methods of use and possible ~~comprised system,~~systems of the apparatus. Additional aspects presented relate to ~~its~~the electronic

document's methods of handling and use, for example in providing flexible, secure and independent information delivery in industries of securities, commerce and trade and/or exchange.

#### BACKGROUND OF THE INVENTION—PRIOR ART

[0005] With life, there is the digital world. With information, there is the digital world. Now days, they are one in the same. We are always asking, "Is it secure?" We live, having our world untouched by anyone else, desiring so much to have things as we left them; ~~Untouched,~~ untouched.

[0006] The technology available today allows life to seemingly flow at the speed close to that of light itself. We are literally there before we finish the push of the button. We struggle to comprehend such speeds, and will continue to do so, in such a dynamic and fast paced world. Information should be handled with care. To maintain the integrity of our intent, it must be secure.

[0007] In order to facilitate the creation and editing of electronic documents containing our information world, vast amounts of variable types of technology can be used. Agreed upon formats, specifications, recommendations and other standard defined help in accomplishing a particular task with greater information exchange and security.

[0008] Information exists in many variable formats and is transported in a vast spectrum of ways. Within the digital world, a substantial format set is available for equally substantial information type accommodations. For instance, character data handling is accomplished using text-based languages supporting a functional 'mark up' approach, having data populated with tags or other identification delimiters or data descriptors. **These forms are of the markup language family, such as those of SGML, XML, HTML, cXML and other text based formats that are among the multiple multiples of these established containment types.** ~~Further image, and other visually handled data, is accomplished using graphic based container types. Visual information is often supported using graphic image based file formats, such as PNG, JPG, TIFF, GIF, BMP or and others established in the market place.~~

[0009] The basis of the teaching is to facilitate knowledge in the area of information processing and exchange. There are some electronic information document type containers that have been developed

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recently with the inability to support the secure and independent exchange as presented and taught in the following.

[0010] Document handling systems, databases and vault systems, as well as other transaction oriented processes, information transfers and data representations. For the purpose of this disclosure and illustration of multiple embodiments, the various possible configurations of an electronic document are focused upon for reasons of security, independence, flexibility in construction, and in support of other needs.

[0011] Tangible document content range from simple character text to full color text data, with external formatting information to image data of varying form, to audio and multimedia data, to metadata, to binary data-, all of which can be included within.

[0012] All areas of industry require documents, many of which have security/requirements/needs. Specifically, insurance, mortgage, securities, as well as other financially based documents; many have highly regulated legal requirements. The required technology for their electronic handling was arguably unavailable for the most part prior to the turn of the Millennium. Considerable energy to create a "paperless world" has been expended, and these efforts are ongoing. Despite all of the world's labors, highly valued documents still often remain in paper form. Where is an electronic container that will secure these assets digitally?

[0013] Viewing a graphic image may include the perception of dots, lines, characters, scripts, meshes, shades, arrangement, proportion, scale, and color. Content is presented reflecting true life and nature. Real life and nurture tells us information is here, whether it is an artistic representation of a data element or value or an intense artistic representation of the elements in life itself. A picture has been said to be worth a thousand words. Data in image form often possess substantial information content.

[0014] Similar, character text can be perceived visually. Character text in this same form can also be processed systematically. Character data is based on memory addressing units, having an array of

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different character representations available for the different character text data unit values. Character text includes the letters making up the words you read here. Information in its everyday basic simplest form is here, here, written with the letters of each and every one of these words that you read.

[0015] The usage of paper forms is a predominant method for producing documents, and these forms tend to have a fixed layout and some areas of variable content. The desire to electronically create and process the information contained within documents has existed for some time and will continue.

With electronic processing means, documents can be better adapted for digital commerce, trade, and exchange of transactions. Providing a container to envelope these documents increases the electronic options available for their support.

[0016] Bonds, ~~Powers~~powers of attorney, ~~Title~~titles, deeds, leases, judgments, motions, affidavits, and other paper documents have been in use for long periods of time. These document types, in either original paper only medium or in a digital graphic memory contain a visual image or picture of the actual information that is of a transaction. Electronic form allows information storage and handling up and beyond that of the visual image-handling interface. Within digital data memory capabilities lay the ability for raw character based data to be represented. Having the two different data representation types for the information, those that of graphical image and character text, a container ~~could be~~is built to handle exchange and transaction. Either singly or in combination, ~~the~~ character text and graphical image data components can be present for information conveyance. Only recently have these documents had the support in place needed to facilitate ~~their complete~~the document's handling completely using electronic means. Now, with the ability in place to facilitate both character text and graphical image components, the harmony in its presentation, handling, independence and security relies on a few key issues, specifically what is needed and how can it be done?

[0017] Information handling has its required attention and resource requirements. Security issues mandate a majority of container development process. A system built upon a well working container that includes independency from outside system requirements extends the efficiency and control of internal resources. Such efficiency and control can be maximized, becoming a secure independent electronic document solution.

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[0018] Monetary based transactions have been in existence before the printed money it represented was. Trade and commerce, along with exchange, barter, vendor or vender oriented agreements have had a need to be facilitated in some fashion, similar to the mineral exchange of gold, silver, platinum and others. Money, specifically paper money and coin, is a commonplace vehicle for resource structured exchange and management. Monetary based items, assets, transactions and other commonplace vehicles structured on such systems all speak of the same desire and need, that being value and asset management and exchange.

[0019] Industries, such as those of the Surety, Insurance or Bail Bonding industries, have used tangible based financial supporting documents since the beginning of human based insurance back.

[0020] To represent this industry, a representation of both the 'graphical image' and 'character text' must be included for complete adapted functionality. Security is based on party involvement and need to know regulations. If independence from 'third' party is further supplied, security is only enhanced. Having your own way of packaging, distribution, processing, transacting, verifying, or authenticating document information with no internally placed outside based party is a must. Technology is available for such a system. Notarizations, or witness related verifications, have been around since times of Kings and Queens; however, for the digital equivalent, the technology has only been around since the years of the turn of the Millennium. What is needed is the independent container that supports the highest statutes backed electronic information handling possibilities defined today.

[0021] The options of markup type languages such as XML and others as the main structural component for document and sub-document handling needs has become a world wide accepted way of handling the inter-relational aspects of data files and their containment handling, grouping or other object or element structuring of relational data. The extreme ends of the data type spectrum are those of character text and graphical image. 'Graphical image' as the container for the character text becomes the solution more so than character text as the container for the graphical image.

[0022] Independent 'graphic image' containers further support handing, interface and integrity means required for enhancing security of electronic document contents. The need for digital signature signed data was necessary as well. The combination of the three increased the security measures in place.

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Again, security is further enhanced with the independence from other non-invited or desired parties. Further, with the ability to develop applications in house, easily, with world wide support allows a simpler manufacturing environment, reduces costs of such environments, and eases or completely releases restrictions for development, distribution, interaction, verification, authentication and achieve of the container, further developing its independence.

[0023] Many ways of handling information are reliant upon commercial or other vendor or vender interaction. Further, there are restrictions in how data is processed, or, even further, the previous art present only parts of the electronic document apparatus, having other parts and systems and other commercially interlaced information transmissions and exchanges. Original documents are sometimes made from scanned sources, virtually removing the dynamic allocation and build properties available in a real time document manufacturing process. Additionally, some information or other image data embedding arts actually go further than that, and forever alter or restrict the original image content.

[0024] This has made and is making digital information transfer complex; further, only parts of the solution exist. A mirage of devices, interfaces, methods, all exist, and these pieces and sub standard methods creates more problems. The issue is of interoperation of the document contents. With the people involved, methods of transfer, degree of security, and control of all entities and their parties involved, there is a dedicated portion of document handling and security that needs attention.

[0025] Feature rich vendor supplied solutions are sometimes richer in content than desired. Features that are not always necessary for commonplace transactions are become common all over the place hurdles always necessary that are not features. Further, a solution that answers to all needs are in fact sometimes too much.

[0026] Prior art shows examples of complete systems that present a way of electronic document control. None of the references would piece apart or combine together to create the electronic document, specifically with regard to standards.

[0027] Prior art handles electronic documents and its delivery in different ways, further having mechanisms or apparatus that are different and act differently.



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[0028] Different prior art references teach handling of data in an electronic document differently. Some alter data of an image; some built a system that comprises the document.

[0029] What is needed is a securable independent electronic document that solves one or more problems described herein and/or one or more problems that may come to the attention of one skilled in the art upon becoming familiar with this specification.

[0030] Prior art consists of a variety of document container, their methods and their uses. The field presents several related prior art references that offer some improvements made in the field to resolve these issues. Examples include but are not limited to the references described below, which references are incorporated by reference herein:

[0031] U.S. Pat. No. 7,451,156 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE.

[0032] U.S. Pat. No. 7,441,185 issued to Coulson, et al. sets forth a METHOD AND SYSTEM FOR BINARY SERIALIZATION OF DOCUMENTS, teaches documents are considered main that of text XML, and this process reduces the XML's footprint as it is transferred.

[0033] U.S. Pat. No. 7,418,652 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR INTERLEAVING PARTS OF A DOCUMENT.

[0034] U.S. Pat. No. 7,383,502 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0035] U.S. Pat. No. 7,383,500 issued to Shur, et al. sets forth METHODS AND SYSTEMS FOR BUILDING PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0036] U.S. Pat. No. 7,373,330 issued to Klebe sets forth a METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL FORWARDING OF ENCRYPTED DOCUMENTS, teaches multiple publisher type oriented apparatus to request, email, download program and data and decrypt data.

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[0037] U.S. Pat. No. 7,366,982 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0038] U.S. Pat. No. 7,359,902 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE, teaches a package system using XML.

[0039] U.S. Pat. No. 7,356,143 issued to Morten sets forth a SYSTEM, METHOD, AND APPARATUS FOR SECURELY PROVIDING CONTENT VIEWABLE ON A SECURE DEVICE, teaches Media player doing encrypt and decrypt steps.

[0040] U.S. Pat. No. 7,343,339 issued to Harrison Jr., et al. sets forth an ELECTRONIC BOND AND GUARANTY PROCESS AND BUSINESS METHOD.

[0041] U.S. Pat. No. 7,330,974 issued to Silverbrook, et al. sets forth a METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT, teaches altering images with message data.

[0042] U.S. Pat. No. 7,330,560 issued to Donescu sets forth an INSERTION AND EXTRACTION OF A MESSAGE IN AN IMAGE.

[0043] U.S. Pat. No. 7,299,408 issued to Daconta, et al. sets forth an ELECTRONIC DOCUMENT VALIDATION.

[0044] U.S. Pat. No. 7,296,217 issued to Earnshaw, et al. sets forth an ELECTRONIC TRANSACTION DOCUMENT SYSTEM.

[0045] U.S. Pat. No. 7,295,207 issued to Yoon, et al. sets forth a METHOD FOR MANAGING ANIMATION CHUNK DATA AND ITS ATTRIBUTE INFORMATION FOR USE IN AN INTERACTIVE DISC.

[0046] U.S. Pat. No. 7,251,832 issued to Venters, III, et al. sets forth a SECURE STREAMING CONTAINER, teaches open streaming channel of media from specific exe.

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[0047] U.S. Pat. No. 7,251,644 issued to Viola, et al. sets forth PROCESSING AN ELECTRONIC DOCUMENT FOR INFORMATION EXTRACTION.

[0048] U.S. Pat. No. 7,199,804 issued to Bhattacharyay, et al. sets forth INK INFORMATION IN IMAGE FILES.

[0049] U.S. Pat. No. 7,191,392 issued to Coar sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches Combination of files into a package, with access rule.

[0050] U.S. Pat. No. 7,191,186 issued to Pullen sets for a METHOD AND COMPUTER-READABLE MEDIUM FOR IMPORTING AND EXPORTING HIERARCHICALLY STRUCTURED DATA.

[0051] U.S. Pat. No. 7,181,017 issued to Nagel, et al. sets forth a SYSTEM AND METHOD FOR SECURE THREE-PARTY COMMUNICATIONS.

[0052] U.S. Pat. No. 7,172,122 issued to Alleshouse sets forth an XML SYSTEM.

[0053] U.S. Pat. No. 7,089,248 issued to King, et al. sets forth a GROUP FILE DELIVERY INCLUDING USER-DEFINED METADATA.

[0054] U.S. Pat. No. 6,954,542 issued to Miyake, et al. sets forth an IMAGE PROCESSING APPARATUS AND METHOD, teaches an application to put information into pixel image.

[0055] U.S. Pat. No. 6,908,034 issued to Alleshouse sets forth an XML SYSTEM.

[0056] U.S. Pat. No. 6,862,599 issued to King sets forth a SOFTWARE-BASED METHODOLOGY FOR THE STORAGE AND RETRIEVAL OF DIVERSE INFORMATION, teaches Pointer management for hierarchy based data.

[0057] U.S. Pat. No. 6,643,383 issued to Dugelay sets forth a METHOD FOR HIDING BINARY DATA IN A DIGITAL IMAGE.

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[0058] U.S. Pat. No. 6,616,702 issued to Tonkin sets forth PREVIEWING AN ASSEMBLED DOCUMENT.

[0059] U.S. Pat. No. 6,507,858 issued to Kanerva, et al. sets forth a SYSTEM AND METHOD FOR STORING ORDERED SECTIONS HAVING DIFFERENT FILE FORMATS.

[0060] U.S. Pat. No. 6,342,954 issued to Hisatomi, et al. sets forth an IMAGE INFORMATION PROCESSOR AND IMAGE INFORMATION TRANSMITTER.

[0061] U.S. Pat. No. 6,192,138 issued to Yamadaji sets forth an APPARATUS AND METHOD FOR EMBEDDING/UNEMBEDDING SUPPLEMENTAL INFORMATION, teaches Image and watermarking.

[0062] U.S. Pat. No. 6,138,119 issued to Hall, et al. sets forth TECHNIQUES FOR DEFINING, USING AND MANIPULATING RIGHTS MANAGEMENT DATA STRUCTURES, teaches techniques for defining, using and manipulating digital rights management data structures.

[0063] U.S. Pat. No. 6,055,321 issued to Numao, et al. sets forth a SYSTEM AND METHOD FOR HIDING AND EXTRACTING MESSAGE DATA IN MULTIMEDIA DATA, teaches hardware for examining media extracting message from transformed/scrambled data in media.

[0064] U.S. Pat. No. 5,894,558 issued to Falker sets forth a METHOD OF DISPATCHING DOCUMENTS BY CONVERTING THE DOCUMENTS FROM A CUSTOMER SPECIFIC DATA FORMAT TO A STANDARDIZED DATA FORMAT, AND THEN TRANSMITTING THE DOCUMENTS VIA MOST FAVORABLE WAY TO RECIPIENTS.

[0065] U.S. Pat. No. 5,778,372 issued to Cordell, et al. sets forth REMOTE RETRIEVAL AND DISPLAY MANAGEMENT OF ELECTRONIC DOCUMENT WITH INCORPORATED IMAGES.

[0066] U.S. Pat Application 20070106932, Coar, sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches a container of files with access rules.

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[0067] U.S. Pat Application 20070011457, Dubinsky, et al. sets forth a METHOD FOR DISPLAYING AND PRINTING DIFFERENT SIZES OF SMART DOCS, teaches a visual display method for viewing a document, solving previous display issues.

[0068] U.S. Pat Application 20060150151, Dubinsky, sets forth a METHOD AND SYSTEM FOR EMBEDDING USER ASSISTANCE IN DOCUMENTS UTILIZING MARKUP LANGUAGES, teaches a system and method for providing user assistance directly in the document, where the document is of the XML type.

[0069] U.S. Pat Application 20060136477, Bishop, et al. sets forth a MANAGEMENT AND USE OF DATA IN A COMPUTER GENERATED DOCUMENT, teaches a multipart electronic document allowing sectional sub-document editing and control.

[0070] U.S. Pat Application 20060080599, Dubinsky, sets forth a METHOD AND SYSTEM FOR BUILDING AUDIT RULE SETS FOR ELECTRONIC AUDITING OF DOCUMENTS, teaches a system and method for creating documents, audit rule-sets and testing requirements. Included is application software for creation of rule sets and properties, having the software limit the documents full use and potential. The document is based exclusively on XML components with a reduced feature set capability.

[0071] U.S. Pat Application 20050138382, Hougaard, et al. sets forth a METHOD AND PROCESS FOR CREATING AN ELECTRONICALLY SIGNED DOCUMENT.

[0072] U.S. Pat Application 20040237040, Malkin, et al. sets forth a SYSTEM AND METHOD OF PROCESSING AN ELECTRONIC FORM USING LAYERED ASPECTS.

[0073] U.S. Pat Application 20040128513, Wu, Yen-Hsing, et al. sets forth a SECURED ELECTRONIC DOCUMENT AND METHOD OF VALIDATING THE SAME, teaches a secured electronic document and method for securing documents using printing means.

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[0074] U.S. Pat Application 20030046274, Erickson, et al. sets forth a SOFTWARE MEDIA CONTAINER, teaches a secure media container and its handling apparatus for specifically limited digital rights management using a single container with external data and reference thereof.

[0075] U.S. Pat Application 20020066019, Amonou, et al. sets forth INSERTION OF MESSAGES IN DIGITAL DATA, teaches a method and device to make it possible to insert messages in a subset of digital data whilst ensuring the required robustness and invisibility.

[0076] U.S. Pat Application 20020059144, Meffert, et al. sets forth a SECURED CONTENT DELIVERY SYSTEM AND METHOD, teaches implemented PKI encryption between sender and recipient.

[0077] U.S. Pat Application 20010027459, Royal, sets forth a METHOD AND APPARATUS FOR ELECTRONIC DOCUMENT EXCHANGE, teaches a method for document exchange using standard protocols that's flexible and easy to use. Shown is a specific document exchange system using specific relationships. Combination containers are used and are not dedicated document containers.

[0078] While the foregoing described prior art have provided elements of operation, they lack ability to be secure, independent of additional party involvement, having original document authentication, automation, interface and handling functionality;

[0079] Other prior art using methods such as signature mapping on graphic representations, transportation of text based content allowing alteration, outside party interface dependant, commercialized and controlled, content duplicated, specific data format type exceeded, content and cross-content support limited, altered or other missed security measured methods s required for today's digital exchange, commerce, and trade transactions for electronic information.

[0080] Environment in which the document is in is intense and requires enormous resource. The steps forward in processing the idea, the prototype and a working version that could sustain the market vitality are enormous, even though the distances traveled are small.

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[0081] Thus, there remains a need in the art for a securable independent electronic document. An apparatus that is securable, easy to use, robust, alteration detectable and independent of other party's involvement, supportive of digital signatures and their witnesses, and supports complete closed party information exchange.

[0082] Prior art heretofore known suffer from a number of disadvantages, which include a number of information integrity processes.

[0083] Without a unique container, individual, simple, in-house defined ways of document processing is not allowed; it's not totally secure, for sure. Further, custom, private handling solutions cannot be used or included, nor can they be conducted, without having total control of this container. A securable independent electronic document container is defined having absolute handling means. Further, it is defined to have intentional dedication to the security within.

[0084] Security is of the utmost importance. Take a bail bond document, for example. The said document becomes a promise that good intentions are desired between all parties. It further shows the urgency and need to solve issues or problems at hand, if any. In this industry, there is a yielding to the way of the more critical needs of humanity. A simple document; a promise of resource or asset; A guarantee that information moves on, without delay. Legal processes and matters thereof are among the highest in need for common humanity based affairs. Each side depends on the document to help with issues of resource management, be-it time or money, or both. Resources close to 'home' influence and shape our lives more than the courts, fees, jails, bonds, sureties, insurances and risks. However, in the system, money has a way of saying something, and incorrect, inefficient and pretend solutions have a way of getting up and moving. The electronic document speaks its monetary and asset value having delay, inefficiency, resistance, or other influences left at the curb.

[0085] People should feel confident of their transactions. Interoperability is one main consideration. The 'binary visually graphic' container is operable among all systems and platform and computer systems. Simple cut and paste. That's all we want! It's possible. In the older DOS editors, the document can be edited, having its internal text contents simply cut and pasted into a new formed

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destination file, and the contents comes alive, executing, establishing, presenting, processing, transacting as needed. Any text, let alone any data, can be in there.

[0086] Cross platform creation for the reading, printing, and processing of the electronic document can occur. Further, as dates continue, as do version oriented software releases, base support will be built in already.

[0087] Developing standards or other normative based recommendations are available which describe many of the possible internal and external working components. Various utilities, tools and other applications are widely available for document creation, delivery, verification, automation, and other transaction processes. With the binary type container can be carried, such as via email or zip file or other box. Graphical applications can display visual images at the same time that text-editing applications can present character texts. The container is well supported. Tools to support are available and free.

[0088] Container could either support easy non-scripting simple graphic data decompression or character text processing interaction. With the simple graphical display being first, generic errors, such as buffer overflows or failed dictionary or image reconstructions. These generally would create internal errors and such would occur and trigger a simple closing, stoppage of processing response, which would cause little disruption. The Process of the character text could be done, generally in either area or 'interfacing' phase of the document. For instance an initial read could be done to the document, exposing its detailed content to the computer for routing and designation delivery, processing, operations and other integrated procedures or functions.

[0089] These sections can have the character data text languages that work within 7 or 8 bit formats. Remember, any data could be placed in a 6 Bit format using the Base64 MIME encoding, which, for example, could be done with international multiple character byte language formats. A use of an extensible markup language (XML), for example digital signatures and extensible hypertext markup language (XHTML), which are commonly used for creating electronic documents. Scripting code, protocol text, server page ASP, batch file process or other such text based formats are easily placed in the text sections.



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[0090] Can represent and support a large variety of paper-based documents or electronic based documents.

[0091] Another feature with the electronic document is the ease of handling for document variation. Within any industry, individually dictated practices or other various conditions involved in processing an electronic document is completely supported. For example, in mortgage transactions, certain lenders may have a common set of requirements for document validity, but might supplement these with their own particular requirements.

[0092] Impossible to Combine- The mix of the two environments, character text and graphic, is the combination of the two different environments that have evolved since the beginning of computer systems.

[0093] Rewrite The present invention can be embodied in various forms, including business processes, computer implemented methods, computer program products, computer systems and networks, user interfaces, application programming interfaces, and the like.

[0094] Prior art have differences in their presentation of the electronic document, and therefore are complete solutions that do not interact. Further, some references have technology reversed, showing combination can still be unobvious.

[0095] The World Wide Web Consortium (W3C) is the organization responsible for the XML standard, DTDs and related standards (<http://www.w3.org>). Notwithstanding the reference to DTDs to define the structure of XML documents, it should be understood that other specifications, methodologies, systems, protocols, or schemes could define the structure of markup languages.

[0096] Applications are not putting options in for a major embedded section of character text

[0097] There is no suggestion or teaching that references or presents a serried of combined ISO/IEC/W3C standards, specifically none placing digital signatures, notarizations, and self-containment inside digital graphic images.

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[0098] Unobvious was the suggestion to combine the character text and graphic elements. There were prior suggestions for metadata or a comment, but the idea of internally supporting simple or rich text, markup or system command language or further other encoded random data has not come to light, let alone auto processed, digitally signed or digitally witnessed data.

## BRIEF SUMMARY OF THE INVENTION

[0099] Taught within is the development of an electronic document in response to the present state of the art, and in particular, in response to the problems and needs in the art that have not yet been fully solved. Accordingly, the embodiments presented within teach various possible solutions using an electronic document apparatus. Described herein, the apparatus shows to accompany variously different information handling requirements of exchange, commerce and trade. Offered with the electronic document apparatus is security and independence in its process, method, and manufacture, offering a system for the secure independent electronic document operation.

[0100] Providing an inert container for an electronic document having advanced security features, particularly those allowing complete system control of said container, doing so with a selected set of parties, and no more. Independence is further extended with verification abilities, allowing original vendors to verify the container and its contents.

[0101] Interrelated security with in document secures document further. Each interface could support characterization of the other, and interact to bind data of both format types.

[0102] Digital signatures, let alone XML has not been presented as something that should heavily populate a comment field, making an image container become a secure document.

[0103] The use of the electronic document using embedded enhanced XML protocols has not been discussed nor realized, and further, repeated missed signification realizations with focus diverted elsewhere shows lack of advantage realization.

[0104] The only link that best made sense was the text super imposed into the graphic format.

[0105] Any mention of items embedded are for descriptive or metadata purposes.

[0106] The main 'things' had come to frustration. It was that a mix of the two, preferably in the course of 'into one document'. Due to its advantages, the building of the electronic document would have transpired by this time.

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[0107] The problem with securities assets transfer required solid positive control of container for such assets. Not until now has there been a container with enhanced security containing both automated data and visual presentation.

[0108] Standards are designed for voluntary use and do not impose any regulations. However, laws and regulations may refer to certain standards and make compliance with them compulsory. For example, the physical characteristics and format of credit cards is set out in standard number BS EN ISO/IEC 7810:1996. Adhering to this standard means that the cards can be used worldwide. [0000] Any standard is a collective work. Committees of manufacturers, users, research organizations, government departments and consumers work together to draw up standards that evolve to meet the demands of society and technology. British Standards' staffs act as secretaries to these committees and project manage the production of standards. As the world's oldest National Standards Body, BSI British Standards has over 100 years' experience of bringing together these often very varied viewpoints and of facilitating consensus.

[0109] A person skilled in a variety of art areas will become aware of how these established channels of protocol can become a solution for a spectrum of generically accepted possibilities of digital electronic commerce processing.

[0110] For the every day person, a picture identifies an item, and with a simple character data-interfacing environment, becomes a document that works for many purposes.

[0111] Outer wrapper gets the security container award.

[0112] Further, inert, free of platform and vendor requirements, you could do it!

[0113] The enhanced security, auto processing, cut and paste, and principle human interaction is a unique combination of features related to the electronic document.

[0114] Several steps in regards to XML and digital signatures, as well as the incorporation of the finalized secured data section into the image container requires several stages of steps in order to generate an electronic document of its type.

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[0115] The commercial value of the electronic power of attorney relates to many aspects of information, data or other valued managed asset relates to the value of the item itself. Having the capability to support security measures that, when in place, can ensure transactions are done correctly and in form that is verifiable and authenticable then ensures the asset is secure. With this, the value then becomes that of the monetary or other financial worth of the asset itself.

[0116] Included is optional 'receipt' possibilities, where the document's contents and a show of service or directed process is created.

[0117] Multiple embodiments are presented within, and in their accordance, an electronic document apparatus, method, system and its manufacture is presented, teaching a solution for secure independent digital electronic commerce and trade.. Said embodiments allow 'Electronic Commerce and Trade' to be secure and vendor independent. Within each embodiment's system, an apparatus can be manufactured using the method described therein. The electronic documents are proven in process, allowing immediate implementation and use, in similar to that of presented embodiments. An electronic document handling digital signatures, graphic representation, data automation all within an unalterable, verifiable, secure container is explained.

[0118] Use of what's described allows freedom in the commerce world, removal of vendor dependencies, and compliance with standard structure. Therefore, in accordance with the inscribed description, objects, features, drawings and advantages, simple electronic commerce transactions can be executed with proven, well established standard information exchange specifications and recommendations, and can become an asset!?.

[0119] Emca International (Emca) is an international, private (membership-based) non-profit standards organization for information and communication systems. It acquired its name in 1994 European Computer Manufactures Association (EMCA) Geneva, 1961, To standardize systems.

[0120] Mentioned here are a few outstanding embodiments in their 'functional form' wording.

[0121] Document encryption can either be on character data, before any base 64, or on the image data, having image data encrypted/scrambled before image section creation.

[0122] The eXtensible Markup Language (XML) can be used to facilitate implementation of integrated programmable World Wide Web ("Web") based services. Through the exchange of XML-related messages, services can describe their capabilities and allow other services, applications or devices to easily invoke those capabilities. The Simple Object Access Protocol (SOAP) has been developed to further this goal. SOAP is an XML-based mechanism that bridges different object models over the Internet and provides an *open* mechanism for Web services to communicate with one another.

[0123] XML provides a format for describing structured data, and is a markup language that is similar in form to Hyper Text Markup Language (HTML) in that it is a tag-based language. Unlike HTML, however, XML tags are not predefined, permitting greater flexibility than possible with HTML. By providing a facility to define tags and the structural relationship between tags, XML supports the creation of richly structured Web documents.

[0124] The XML standard describes a class of data objects called XML documents and partially describes the behavior of computer programs which process them. XML documents are made up of storage units called entities, which contain either parsed or unparsed data. Parsed data is made up of characters, some of which form character data, and some of which form markup. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism to impose constraints on the storage layout and logical structure.

[0125] XML "elements" are structural constructs that include a start tag, an end or close tag, and the information or content that is contained between the tags. A "start tag" is formatted as "<tagname>" and an "end tag" is formatted as "</tagname>".

[0126] In an XML document, start and end tags can be nested within other start and end tags. All elements that occur within a particular element have their start and end tags occur before the end tag of that particular element. This defines a tree-like structure. Each element forms a node in this tree, and

potentially has "child" or "branch" nodes. The child nodes represent any XML elements that occur between the start and end tags of the "parent" node.

[0127] One exemplary usage of XML is the exchange of data between different entities, such as client and server computers, in the form of requests and responses. A client might generate a request for information or a request for a certain server action, and a server might generate a response to the client that contains the information or confirms whether the certain action has been performed. The contents of these requests and responses are in the form of XML documents, i.e., sequences of characters that comply with the specification of XML.

[0128] [TITLE] The XML Signature Recommendation (*XML-Signature Syntax and Processing*) defines standard means for specifying information content to be digitally signed, including the ability to select a portion of an XML document to be signed using an XPath transform. [w3c digsig abstract] A specification located at <http://www.w3.org/TR/xmlsig-core/> teaches the current version of the XML digital signature processing rules and syntax.

[0129] XML Signatures provide data *integrity*, message and/or signer authentication services for data of any type, whether located within the XML that includes the signature or elsewhere.

[0130] The SOAP specification defines a uniform way of passing XML-encoded data. It also defines a way to perform remote procedure calls (RPCs) using HTTP as the underlying communication protocol.

[0131] A SOAP message is an XML document that includes a mandatory SOAP envelope, an optional SOAP Header, and a mandatory SOAP Body. SOAP provides a protocol specification for invoking methods on servers, services, components and objects. SOAP codifies the existing practice of using XML and HTTP as a method invocation mechanism. The SOAP specification mandates a small number of HTTP headers that facilitate firewall/proxy filtering. The SOAP specification also mandates an XML vocabulary that is used for representing method parameters, return values, and exceptions.

[0132] SOAP provides an *open*, extensible way for applications to communicate using XML-based messages over the Web, regardless of what operating system, object model or language particular applications may use. SOAP facilitates universal communication by defining a simple, extensible message format in standard XML and thereby providing a way to send that XML message over HTTP.

[0133] Reference throughout this specification to features, advantages, or similar language does not imply that all of the features and advantages that may be realized with the present invention should be or are in any single embodiment of the invention. Rather, language referring to the features and advantages is understood to mean that a specific feature, advantage, or characteristic described in connection with an embodiment is included in at least one embodiment of the present invention. Thus, discussion of the features and advantages, and similar language, throughout this specification may, but do not necessarily, refer to the same embodiment.

[0134] Furthermore, the described features, advantages, and characteristics of the invention may be combined in any suitable manner in one or more embodiments. One skilled in the relevant art will recognize that the invention can be practiced without one or more of the specific features or advantages of a particular embodiment. In other instances, additional features and advantages may be recognized in certain embodiments that may not be present in all embodiments of the invention.



## BRIEF DESCRIPTION OF THE DRAWINGS

[0135] In order for the advantages of the invention to be readily understood, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments that are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings, in which:

[0136] For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

[0137] Listed are drawings that in no way limit the possibilities of the apparatus.

[0138] FIGS. 1A through 1E are block diagrams illustrating an embodiment. FIG 1A is a block diagram of one embodiment in accordance with the present invention. FIGS 1B through 1E are block diagrams of sections within the embodiment.

[0139] FIG 2 is a schematic diagram illustrating some of the types of files, if any, that may be enveloped within an embodiment.

[0140] For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the exemplary embodiments illustrated in the drawings, and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications of the inventive features illustrated herein, and any additional applications of the principles of the invention as illustrated herein, which would occur to one skilled in the relevant art and having possession of this disclosure, are to be considered within the scope of the invention.

[0141] Reference throughout this specification to "one embodiment," "an embodiment," or similar language means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment of the present invention. Thus, appearances of the

phrases "one embodiment," "an embodiment," and similar language throughout this specification may, but do not necessarily, all refer to the same embodiment, different embodiments, or component parts of the same or different illustrated invention. Additionally, reference to the wording "an embodiment," or the like, for two or more features, elements, etc. does not mean that the features are related, dissimilar, the same, etc. The use of the term "an embodiment," or similar wording, is merely a convenient phrase to indicate optional features, which may or may not be part of the invention as claimed.

[0142] Each statement of an embodiment is to be considered independent of any other statement of an embodiment despite any use of similar or identical language characterizing each embodiment. Therefore, where one embodiment is identified as "another embodiment," the identified embodiment is independent of any other embodiments characterized by the language "another embodiment." The independent embodiments are considered to be able to be combined in whole or in part one with another as the claims and/or art may direct, either directly or indirectly, implicitly or explicitly.

[0143] Finally, the fact that the wording "an embodiment," or the like, does not appear at the beginning of every sentence in the specification, such as is the practice of some practitioners, is merely a convenience for the reader's clarity. However, it is the intention of this application to incorporate by reference the phrasing "an embodiment," and the like, at the beginning of every sentence herein where logically possible and appropriate.

[0144] As used herein, "comprising," "including," "containing," "is, are," "characterized by," and grammatical equivalents thereof are inclusive or open-ended terms that do not exclude additional unrecited elements or method steps. "Comprising" is to be interpreted as including the more restrictive terms "consisting of" and "consisting essentially of."

[0145] As used herein, "graphic file", "image file", "image", "graphical data", "graphical image", "WMP", "JPG", "BMP", "PNG", "JPG", "TIFF", "GIF", "JIF", "JNG", SVG, and RTF includes any type of a visually graphic humanly perceivable medium or image based data.

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[0146] As used herein, "standards group", "ISO", "IEC", "W3C" includes any normative group or organization.

[0147] As used herein, "data", "binary data", "raw data" includes data of a generic binary type.

[0148] As used herein, "byte", "character" includes a sequence of 8 adjacent bits; which are operated on as a unit by a computer, and represents an integer from 0 to 255.

[0149] As used herein, "character text", "character data" includes single, double, or multiple byte characters.

[0150] As used herein, "base64", "shrunk", includes the character representation of data in a form any of the ISO W3C ect standards.

[0151] As used herein, "block" continuous, or set amount of continues raw, binary, character text, random byte or other type of data.

[0152] As used herein, "graphic data", "image data", "pixel data" includes any series of visually representative data.

[0153] "Digital signature", "Signtaure", "Notarization", "Digital Notarization", "Cosign", "Countersign", "XML DigSig", "XML Digital Signatures" includes any digital signature data.

[0154] "Envelope", "enveloping signature", "enveloped data" all reference the encompassment of a signature section or block of structured or non-structured data character(s).

[0155] "Raw", "raw data Block", "part of data", "data section", or "blob" means a section of data that is indiscriminant of its type, size, sign or other aspect or attribute of raw

[0156] As used herein, "standards" Standards - Put at its simplest, a standard is an agreed, repeatable way of doing something. It is a published document that contains a technical specification or other precise criteria designed to be used consistently as a rule, guideline, or definition. Standards help to make life simpler and to increase the reliability and the effectiveness of many goods and services we

use. Bringing together the experience and expertise of all interested parties such as the producers, sellers, buyers, users and regulators of a particular material, product, process or service creates standards.

[0157] As used herein, “URL” is Universal Resource Locator.

#### DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS. 1

[0158] In the following description, for the purpose of explanation, numerous details are set forth, such as diagrams, file types, and arrangements, in order to provide an understanding of one or more embodiments of the present invention. However, it is and will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention.

[0159] Certain embodiments of the securable independent electronic document may be constructed in the following fashion if desired. A text file and a graphics file may be opened simultaneously. Zero or more user files may be embedded into the text file. Security features such as checksums may then be computed on all and / or parts of the text file. The graphics file process may compute checksums or other security features on all and / or parts of the text file and / or all or parts of any embedded user files. The graphics file processing can be made to in essence to take one or more pictures of a visual representation of the text file and its embedded data and therefore these checksums and security features could vary from the security features computed by the text file process. The text file process and the graphics file process may then exchange and write the other process's security feature into its file. Both processes may then each engage in additional processing such as encryption and then compute and write another security feature perhaps by a different method than the prior checksum if desired. This procedure of security feature computation, security feature exchange and save of the other processes' security feature, and re-computation and save of a new security feature may then continue or both the text file and the graphics file may then close. Subsequent inspection of the security features, if allowed by the securable independent electronic document creator, could attest to tamper evidence in either or both of the text file or graphics file portions. Additionally, if allowed by the securable independent electronic document creator, visual validation may be available in either or both the text file and graphics file portions. A human and/or an OCR, or other type of pattern

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recognition software may make this visual check.

[0160] One embodiment as illustrated in **FIG 1A 100** has any User File **130** or none at all inside a [XML] markup file **120** and **140** enveloped by a [PNG] graphics file **110** and **150**. In **FIGS 1A** through **1E**, XML and PNG are shown as the markup and graphics files respectively, although in certain embodiments, other choices may exist for both. Either or both the [XML] markup file and the [PNG] graphics file may have intrinsic security features [CRC's]. As an example, **FIG 1F** shows a typical arrangement of a PNG data block or chunk with some data elements **191** through **195** and the security feature **196** at the end of the chunk. In the case of the PNG format, the data security feature takes the form of a cyclic redundancy check or CRC computed on the contents of that data chunk. In this and other file formats, other kinds, numbers, and placements of intrinsic and constructed security features may occur. Naturally, it is appreciated that variations are possible and considered within the scope of the example and embodiments.

[0161] In certain embodiments, either or both the [XML] markup file and the [PNG] graphics file may include zero or more Digital Signatures and / or Counter-Signatures of various forms. **FIGS 1B** and **1C** show as an example, typical PNG file header and file end sections respectively. In the case of the PNG file format, the structure of the file is quite flexible with regards to occurrence, number, and arrangement of data blocks or chunks after the IHDR chunk **FIG 1B.111** and before the IEND chunk **FIG 1C.159**. Continuation with an example of a use of the PNG file format in an embodiment, the markup language file and user file component, if any user files are included at all, may be inserted in zero or more Ztxt chunks **FIG 1B.113** and / or **FIG 1C.155**; and / or zero or more tEXT chunks **FIG 1B.114** and / or **FIG 1C.153**; and / or zero or more iTXT chunks **FIG 1B.115** and / or **FIG 1C.154**; and / or zero or more IDAT chunks **FIG 1B.117** and / or **FIG 1C.151**; and / or zero or more Other Sections **FIG 1B.116** and / or **FIG 1C.152**. In various embodiments, Digital Signatures **FIG 1B.112** may be added before the included data. In other embodiments, Digital Signatures **FIG 1C.156** may be added near the end of the graphics file. Still other embodiments may have Digital Signatures **FIG 1B.112** and **FIG 1C.156** both before and after the included file or no Digital Signatures at all in the graphics file portion.

[0162] Embodiments may also possess Digital Signatures in the markup file portion. As an example, in XML markup language, the World Wide Web Consortium (W3C) is just one organization that proposes and maintains standards and routines regarding Digital Signatures. Notarization elements and or files may also be included as appropriate.

[0163] The PNG file structure permits the inclusion **FIG 1B.113-116** and **FIG 1C.152-155** of several types of text data blocks or chunks and other optional types of data chunks in a file. Many of these kinds of data chunks are quite flexible as to what is precisely put into them. Various embodiments could place into these chunks information concerning advanced security features, encryption, digital rights, and / or other uses. In an example of a use of the PNG specification as the graphics file in an embodiment, the **FIG 1B.117** and **FIG 1C.151** IDAT chunks could also carry information concerning advanced security features, encryption, digital rights, and / or other uses as well. Furthermore, an embodiment that uses the PNG specification may also contain user created chunks fabricated within the rules of the specification for the purposes of advanced security, encryption, digital rights, and / or other uses as well as the pre-described chunk types.

[0164] Either or both the [XML] markup file and the [PNG] graphics file may include zero or more Optional Data Block[s] for any combination of the purposes of Advanced Security, Encryption[s], Rights, or other uses. Also, zero or more Notarizations and / or Counter-Signatures may be included. The file creator may retain, 3rd party archive, transmit, and or post the security feature[s], Digital Signatures, and Optional Data Block[s] as required. Notaries / Counter-Signers may retain, 3rd party archive, transmit, and or post as required. The embodiment receiver may check the security features [CRC's] and Digital Signatures, Notarizations, and Counter-Signatures as required. The embodiment receiver and intermediate handlers access the Optional Data Block[s] as required by the embodiment creator.

[0165] The markup file may also contain **FIG 1D.122** and **FIG 1E.141** optional sections and / or files. An embodiment could use these data to support any combination of advanced security, encryption, digital rights, non-repudiation (e.g., evidencing that a document was signed by a particular entity at a particular date and time), field validation (e.g., checking that information in a document complies with content category rules), and / or other uses as appropriate. In the case of the XML, the W3C maintains

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routines to convert 8 bit data to 6 bit data and the inverse conversion 6 bit to 8 bit data with no losses of bits. These conversion routines may facilitate the inclusion of files within the markup portion of an embodiment although other conversion routines may be appropriate for certain applications.

[0166] When an originator has completed a securable independent electronic document, they may have access to several kinds of information they included and, perhaps, beyond what they put in. For their particular instance of an embodiment, there may exist zero or more files, CRCs', basic and / or advanced security features, encryption data, digital rights, digital signatures, notarizations, and other data.

[0167] FIG X and Xa show an electronic document using some of the combinations above. For instance, with the Power of Attorney electronic document shown in Fig X. the securable independent electronic document 100 has the graph 80, the text section 5, and data populating

[0168] In certain embodiments, it may appropriate for the originator to have access to none, some, or all of this information. They then may choose to retain, post, transmit to the receiver or third parties whatever portion of that information is reasonable or required. The receiver of a securable independent electronic document may have access to none, some, or all of the information about it or within it also. If reasonable or desired in certain embodiments, the receiver may verify information within and / or about a securable independent electronic document to check for evidence of tampering, validity, and other qualities. Embodiments may also permit one or more third parties to attest to the veracity of a securable independent electronic document.

[0169] Embodiments may be constructed that include an electronic representation of a legal document such as a bond, surety coupon, mortgage, or other legal document. The electronic document creator may then include one or more digital signatures in the markup language portion in accordance with guidelines set forth by the W3C XML digital signature recommendation of June 10, 2008 and / or other methods. It may be reasonably concluded that the markup language portion of a securable independent electronic document construction thus far conforms to often adopted standards and is within the scope of legal rules and regulation such as the UETA statute.



[0170] Intermediate participants such as witnesses, co-signers, counter-signers, notaries, authorizing / approving parties, and others may need only to conform to their requirements within rules and regulation to maintain the legal operability of the included legal document within a securable independent electronic document construct markup language file portion. In certain applications, when the outer graphics file portion of a securable independent electronic document construct readies to envelope the inner markup language file portion with its included document, additional steps may be taken.

[0171] It may be desirable to make any number of pictorial representations of the included document and / or its markup language component in part and / or in its entirety, which may be saved within the outer graphics file portion of a securable independent electronic document. Additional security features may also be computed on the whole or multiple parts of the inner markup language portion with the included document, which enhances the tamper evidence qualities of a securable independent electronic document.

[0172] Embodiments may be constructed having one or more securable independent electronic documents inside a securable independent electronic document. Other regular files may also be interspersed with securable independent electronic document's inside the outermost securable independent electronic document. Embedded securable independent electronic document's may also envelope further embedded securable independent electronic document's and other files. Embodiments may choose to work on the included document and its securable independent electronic document envelope in a easily readable plain-text form. An electronic document creator may construct a securable independent electronic document and then encrypt in its entirety. Information about the encryption may be stored in a second securable independent electronic document that may also contain the entire encrypted securable independent electronic document, some piece of it, or none at all. Encryption information, the encrypted whole or fragmented securable independent electronic document, which may also be inside other securable independent electronic document's, may then take separate routes to the electronic document receiver. The electronic document receiver may then decrypt and / or reconstruct all or part of the originally encrypted securable independent electronic document as appropriate.

## CONCLUSION, RAMIFICATIONS, AND SCOPE

[0173] Advantageously, the securable independent electronic document offers document creators, handlers, and recipients more opportunity and flexibility in selecting tools for data integrity, data security, data validation, data auditing, and support for other mission critical data processing needs.

[0174] The securable independent electronic document users are given the opportunity to create and / or acquire their own tools for document data processing operations. The above-mentioned tools are not necessarily limited in their scope of operation.

[0175] The document creators may be able to choose the level of interaction with outside third parties in document creation and handling.

[0176] The securable independent electronic document may be transmitted simply, such as an attachment to an email; and may be handled with tools common to the browser environment.

[0177] The signature block that contains a section for dynamic data, which is user data or other XML formatted type, including base64 MIME type of encoded data, which allows any random raw binary or character data to be inserted inside the signature block and therefore become candidate for digitally signing. Further, reference to an external object data block can be made, which therefore then includes the referenced material when the digital signature digest value is computed, even though the signed material may be outside the electronic document container.

[0178] Digital signatures can be presented in multiple forms. Adjacent, cosignatures or nested signatures enhance data integrity.

[0179] Digital signatures can be applied to both text and graphic image data sections. Multiple sections within a single text data section allow for digital signature operations to be done to both types of data sections.

[0180] Specific functionality on various types of graphic files allow for larger embedded sections. Further, additional types of data can be placed in the document, having other containment section

compartments supporting other various data models. Further, these compartments can contain further security measures, ensuring yet better containment validity, such as extended content digital signature capabilities.

[0181] The character portion of the container may contain a description of an image, in a graphic section. A graphic section can also contain an image of text, security features, encryption keys, access methods and rights, or other data. A graphic section can contain an interpreted key for a XML section. Example: A picture of a zebra could be coded image='ZEBRA', or other codeword, as well as 'embedded' values, such as a key chain. Either can be first to be created, having support by the other accordingly. In the case of creating the text first, the graphic can contain information (in graphic form), supporting original text information. Later, the text can then finish up with data supporting the graphical equivalent of the original text data to be protected.

[0182] Automated data, graphical data, and a host of other data types work to make the document securable. For example, a code word on the phone could go with a picture and be input to the automated processed text.

[0183] A wide variety of well-adopted applications already support the document.

[0184] Various encryption, security methods, or other technologies in existence, or possibly created in the future, may be implemented in the securable document container. This allows a flexible set of circumstances for enhanced document integrity.

[0185] Multiple page documents can be presented as a series of electronic documents, having the electronic document's contents independent of any header or ending container portions.

[0186] Image sections of the electronic document, its embedded documents or a combination of documents could be combined to present one totality picture. The data for these could be combined or otherwise accumulated accordingly to present a completed pictorial of the overall data environment.

[0187] Self type embedded documents support process flow and management.

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[0188] Digital signatures use XML. The graphic image container PNG can support content digital signatures, which, with a specified data set, can present signatures further preserving data integrity.

[0189] Self type embedded documents support process flow and management.

[0190] Notarization or witnessing type of either acknowledgement or Juret based can be part of the document and would follow laws, statutes or other regulations in place to support commerce for a digital transaction. These laws have been in place since early 2000 for most states, having uniform acceptance to these laws commonly in place now.

[0191] Its copy is its original, having both the data and visual support included in a single container package.

[0192] Document is in its best archival state and requires no further processing for indefinite storage and retrieval needs.

[0193] Vendor verification allows for private 'in house' vendor specific trade secret secure, reliable confirmation. (Tumblers placed internally known only to internal processes, generating a result whose algorithmic generation is completely contained in vendors' control.

[0194] With this single container, and the bounds of data limitless, the extra protection sought became more and more. Soon, security possibilities evolved. Usable features become to exist.

[0195] Although the description above contains many specificities, these should not be construed as limiting the scope of the embodiments but as merely providing illustrations of some of the presently preferred embodiments. For example, the document can have other purposes, such as , ect.

[0196] Thus, the scope of the embodiments should be determined by the appended claims and their legal equivalents, rather than by the examples given,

[0197] It is understood that the above-described embodiments are only illustrative of the application of the principles of the present ELECTRONIC DOCUMENT apparatus taught within. The electronic

document may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the document apparatus is, therefore, indicated by the appended claims rather than by the foregoing descriptions. All changes, which come within the meaning and range of equivalency of the claims, are to be embraced within their scope.

[0198] Similarly, one skilled in the art would appreciate a postable resume complete with structured information concerning employment and other tangible data items that would be relative to an applicant's qualifications.

[0199] As another example, it is envisioned that the electronic document could be that of an issued patent, or published application, having tangible data represented for automation means of cataloging or other reference. Alternately, correspondence could include control structure information supporting the office type actions and / or replies.

[0200] It is also envisioned a graphic image can present a picture that contains a required process or step. The character text could include validation or authentication text, which can include English type instructions, which describe the format of the data originally placed, or otherwise represented in the graphic form.

[0201] It is expected that there could be numerous variations of the design of this invention. For example, the graphic component may consist of colors, shapes, and other visual data. Further, the character text can incorporate multiple embedded documents, digital signature blocks, or other embedded and / or encoded data in any combination.

[0202] It is also expected that another design variation of the document would be an embodiment where there may be a receipt attached to the document, using self document type embedding, where an original order or other request is encompassed inside the receipt when the order or other request is fulfilled.

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[0203] It is envisioned that the components of the electronic document and method may be constructed with a variety of information. For example, the character text component can included scripting, command language, or other digital represented formats. Further, its graphical component could include barcodes, object character recognition formatted data, as well as visually confirming data.

[0204] It is also to be realized that the components of the electronic document may be constructed of a variety of processes. In addition, component steps can be separated, each creating separate documents, and finally assembled together.

[0205] Finally, it is envisioned that the components of the electronic document may be constructed of a variety of procedures. For example, its creation could be in a controlled processing environment, with procedures creating individual sections of the document before final construction.

[0206] Thus, while the present invention has been fully described above, with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications. Including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.

~~[0207] Thus, while the present invention has been fully described above with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications, including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.~~

That which is claimed is:

1. An information handling apparatus comprising, said information handling apparatus consisting of:

a container, adapted for a plurality of data types of sectional components, said container can be of a normative standard format; sectional components' comprising:

at least one character data component, comprising:

wherein when said data component is comprised of a character text data type, said component comprises:

a character text data, wherein said character text data can be of a normative standard format; coupled to:

a first interfacing means, wherein said character text data can be processed in an automated fashion in a computer system;

a second interfacing means, wherein said character text data can comprises text characters, said characters are able to be interpreted and understood with human interaction;

a first referencing means, wherein said character text data can reference external file data a file using a uniform resource locator or identifier;

a first encoding means, wherein said character text data can be of an encoded type;

← Fo

← Fo

← Fo

a first embedding means, wherein said character text data  
~~can be of said encoded type format and can contain file~~filed  
data of any type; including that of said apparatus container.  
and

a first integrity means, wherein said character text data can  
be of an encrypted format; and

at least one ~~graphical image~~additional sectional data component;  
~~comprising selected from a plurality of data types.~~

wherein when said data component is comprised of a graphical  
image data type. said component comprises:

a graphical image data ~~component;~~ said graphical image  
data coupled to:

a third interfacing means, wherein said graphical image  
data can be digitally processed in an automated fashion in a  
computer graphic processing system;

a fourth interfacing means, wherein said graphical image  
data can be realized and perceived with human interaction;   
and

a second integrity means, wherein said graphical image  
data can be of an encrypted type;

~~at least one overall data integrity check value;~~

said sectional component container coupled to:



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a fifth interfacing means, wherein said ~~containers~~ container's ~~binary file data format is of a binary type;~~ allows direct, non-altered, single byte precision, original 'incrementally-filed' data handling and storage.

a first independence means, wherein said ~~containers~~ container's ~~sectional components~~ component's creation, processing or storage can be independent of said ~~containers~~ container's ~~contents~~ creation;

a third integrity means, wherein said ~~containers~~ container's ~~sectional components~~ component's creation, processing or storage can be independent of ~~an~~ from ~~intervention~~ from ~~of~~ said ~~containers~~ container's ~~association~~;

a fourth integrity means, wherein said ~~containers~~ container's ~~sectional component(s)~~ coupled to other said container's sectional components(s) can ~~included~~ include ~~data integrity check value information of said other said container~~ container's sectional components; component(s).

a fifth integrity means, wherein ~~having said containers~~ container's ~~format be of a normative standard type further allows said containers~~ container's ~~creation, processing and/or storage to be independent of an~~ intervention ~~from additional party association~~;

a second independence means, wherein ~~having said containers~~ container's ~~format be of a normative standard type further allows said containers~~ container's ~~creation, processing and/or storage to be independent of an additional required party or parties' interaction~~;

a third independence means, wherein ~~having said containers~~ container's ~~format be of a normative standard type further allows said~~

~~containers~~container's validation to be independent of an additional party  
or parties' interaction; and

a fourth independence means, wherein having ~~said container have~~  
a container's format of a normative standard type further allows said  
~~container~~container's creation, processing and/or storage to be created,  
~~processed or stored independent of a computer system~~computing platform  
medium-type.

2. The information handling apparatus of Claim 1, having said character text data component further comprising:

at least one character text digital signature data section(s), said character text digital signature data section(s) coupled to:

a first digital signing means, wherein said character text data can be digitally signed, co-signed and countersigned; digital signature data section type can be at least one of the following: (i) signature; (ii) co-signature; (iii) counter-signature.

3. The information handling apparatus of Claim 2, having said container further comprising:

at least one or more digital signature data components; component, said digital signature data component comprising:

at least one digital signature data section, said digital signature data coupled to:

a subsequent digital signing means, wherein said digital signature component data type can be at least one of the following: (i) signature, co-signature and countersigner type; (ii) co-signature; (iii) counter-signature.

4. The information handling apparatus of Claim 3, having said ~~container~~container's component sections further comprising:

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at least one hash check value, wherein said hash check value is computed for the said component section.

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ABSTRACT:

~~{0208}~~ In accordance with embodiments within, a ~~secure~~ securable independent electronic document apparatus, ~~it's system, method, and manufacture~~ is taught. With an authenticable and tamper detectable electronic container, elements and sections supporting platform, vendor and authentication independence, character-text data sections and elements supporting, if user desired, digital signatures, data automation and nested embedding, as well as graphical image data, and/or other free format types of data elements and sections supporting manyperceptual integrity and authenticity verification, and/or other free formatted data elements and sections supporting a plurality of types of data processing operations, and, if user desired, imaging representation comprised within a container using a ~~secure~~ securable and independent system, the secure. The securable independent electronic document apparatus presents a solutionsolutions for digital the personal unique and interwoven creation and enhancement of user and document security and confidence in electronic information data information's digital distribution, commerce, trade, publishing and/or exchange.

(Reference numerals in parenthesis for possible foreign filing)



*DFW*

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Cover Letter – First Office Action – Applicant’s Reply Correspondence**

December 13, 2012

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s response to the USPTO’s ‘Notice of Non-Compliant Amendment’ communication, dated (mailed) November 27, 2012 in regard to Applicant’s amendment reply mailed September 6, 2012, having said amendment in reply to the USPTO’s Office Action dated March 6, 2012.

Applicant answers USPTO’s ‘Notice of Non-Compliant Amendment’ communication with the supply of a correctly page numbered reply. No changes were made from the original September 6, 2012 reply.

This correspondence includes:

|                        |            |                                              |
|------------------------|------------|----------------------------------------------|
| Amendments reply       | (18 pages) | (Original 9/6/12 reply w/correct page count) |
| Amended application    | (39 pages) | (Original 9/6/12 amendment)                  |
| Changes Document       | (42 pages) | (Changes shown)                              |
| Information Disclosure | (11 pages) | (SB08, includes ‘Author’ information)        |

Thank you for your consideration and time with this return correspondence.

Very respectfully,

John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service, with proper first class postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 13<sup>th</sup> day of December 2012.

*19x*

*[Signature]*  
\_\_\_\_\_  
Applicant



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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

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SALT LAKE CITY, UT 84121

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| EXAMINER |
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ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
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2494

| MAIL DATE | DELIVERY MODE |
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11/27/2012

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.

12/316,699

Applicant(s)

GUYMON ET AL.

Examiner

KAVEH ABRISHAMKAR

Art Unit

2494

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 06 September 2012 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
  - A. Amended paragraph(s) do not include markings.
  - B. New paragraph(s) should not be underlined.
  - C. Other \_\_\_\_\_.
- 2. Abstract:
  - A. Not presented on a separate sheet. 37 CFR 1.72.
  - B. Other \_\_\_\_\_.
- 3. Amendments to the drawings:
  - A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - C. Other \_\_\_\_\_.
- 4. Amendments to the claims:
  - A. A complete listing of all of the claims is not present.
  - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - D. The claims of this amendment paper have not been presented in ascending numerical order.
  - E. Other: \_\_\_\_\_.
- 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):  
See Continuation Sheet

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

/Kaveh Abrishamkar/  
Primary Examiner, Art Unit 2494

Continuation of 5 Other: Pages 18-33 of the Remarks are missing. There are no arguments pertaining to the patentability of the claims presented as filed..





09110112

1Fu/\$

**In the United States Patent and Trademark Office**

Application Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Amendments w/Reply in re Office Action of March 6, 2012**

~~August 30, 2012~~ (a) *Sept 4, 2012*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

In response to the Office Action dated (mailed) March 6, 2012, with extension of time that of three (3) months making reply date due on or before September 6<sup>th</sup>, 2012, Applicant hereby amends the Application of Patent, 12/316699, filed December 15, 2008 and remarks as follows:

**SPECIFICATION:**

By the above amendment, Applicant has amended the specification to emphasis the apparatus and its functionality and structure in support of the claims.

Amendment and printed and incorporated as separate document.

**CLAIMS:**

Amendment and printed and incorporated as separate document.

09/16/2012 CNGUYEN 10465022 12316699  
01/22/2012 560.00-09

**DRAWINGS:**

AMc0906f

Drawings not changed.

**REMARKS:**

In response to the Office Action dated March 6, 2012, Application presents the following remarks:

Applicant has rewritten the claims to define the electronic document apparatus more particularly and distinctly so as to overcome the technical objections raised in the Office Action United States Patent and Trademark Office, and define, over prior art, the ability for Patent of the Securable Independent Electronic Document apparatus.

In regard to the Office Action's section '*DETAILED ACTION*',  
paragraph 1, '*This Action ...*'

1. Applicant

paragraph 2, '*Claims 1-4 are ...*'

2. Applicant

In regard to the Office Action's section '*Information Disclosure Statement*',  
paragraph 3, '*Initialed and ...*'

3. Applicant ack 5 In dis statements filed, id filed 2008 thru 2012 Applicant includes with this first office action an amended In Dis SB07 to include further found and/or referenced patents or applications of patents.

In regard to the Office Action's section 'Claim Objections',

paragraph 4, 'Claims 1- ...'

4. Applicant has cleaned up several texts as suggested by examiner.

paragraph 5, 'Claim 1 is ...'

5. Applicant fixed 'd' on included word.

In regard to the Office Action's section '*Claim Rejection – 35 USC § 112*',  
paragraph 6, 'The following ...'

6. Applicant revised claims to better point out and distinctively claim the Securable Independent Electronic Document apparatus that is taught within Applicant's Application for Patent..

The claims, having the first an independent claim for an digital information processing apparatus, and distinctively points out said apparatus, its components, and its related means, said means operating independently and during different time periods during the apparatus's creation, use and distribution.

The claims, describing the Securable Independent Electronic Document apparatus, in accordance with MPEP S 2114, define the apparatus with its structure and included means; further, the apparatus, with the support and diligent search, and, as Applicant believes, true and correct information found thereof, as claimed and further described and taught in the specification, has structure different than that of the references cited, that of Referenced Prior Art, either during , and is so claimed having described

Further, and the specification of the Application for Patent,

paragraph 7, 'Claims 1-4 ...'

7. Applicant included amended structural relationships to describe the apparatus

paragraph 8, 'Furthermore, ...'

8. Applicant believes MPEP 2114, stating that the prior art differs in structure and therefore allows the claims within without anticipation by either Ginter or Doyle.

In regard to the Office Action's section '*Claim Rejection – 35 USC § 102*',  
paragraph 9, 'The following ...'

9. Applicant describes the differences between Ginter and DoYLES Systems and methods and that of the inscribed Securable Independent Electronic Document Apparatus.

paragraph 10, 'Claims 1- ...'

10. Applicant compares Ginter and Doyle to Securable Independent Electronic Document apparatus.

Both G and D have systems and/or methods that use particular software API's. The document described herein uses no set API or coding instruction but rather lets the user decide how and with what mechanics to build the document.

G sys lack versatility let alone 'self' doing caps.  
Includes options not wanted, has not some wanted.  
Software house is dependant to the workings of the ssthings.  
Ginter requyres trusted nodes that sincerely limit paths, ways operations or other 'circumvented' or otherwise 'the results ant path of the shipmwnt.

featured means, those of int, ind, dig,, and ??first allows the electronic document container described within to have structure, creation, security, independence overall functionality endless in possibilities, .

In Pt#, para 0117, Ginter describes a 'secure "virtual black box" ;The Virtual Distributive Environment 'VDE' , built using concepts of the Digibox container , uses the DigiBox container in conjunction with the Transit Key ???Systems 'TKS??' that are stored on different computer systems, these systems dependand on the overall VDE envioronment to handle or to move or otherwise work with the 'user data'.

G – his apparatus that contains one or more microprocessors a

Our apparatus is not a system wide thing as much as a tangible apparatus, made up of components, pieces. Put together, an electronic document, one which allows any system or method of information handing, processing and/or exchange to occur and be possible. With the increased security thru means such as those of integrity, independence, electronic commerce becomes as needed, truly secure. The apparatus allows user control of this, completely. No additional person or party ('third') is present.

Similar to a physical truss, such in patent '??' where rulings supported different combinations of items used to

5,920,861 Hall, et al.  
Appl No 08/805,804  
Filed Feb 25, 1997

Uses DigiBox tech

rights management environment in which DigiBox.TM. containers are used

Multiple containers for different uses – not user controlled

The node and container model described in 5920861 and in the Ginter et al. patent specification (along with similar other *DigiBox/VDE* (Virtual Distribution Environment) models)

The InterTrust *DigiBox* container model allows and facilitates these and other different container uses.

Uses rights control client (node )

As written before the 2002/0129241 Applicatoin

Written in 5,949,876 is VDE mention with DigiBox  
Hall , et al.

Techniques for defining using and manipulating rights management data structures

July 6, 1999

As written in patent  
7,051,212  
Ginter , et al.

Systems and methods for secure transaction management and electronic rights protection  
08/778,256

10/157,061 May 30, 2002  
20030105721

Ginter, Karl L. ; et al.

Systems and methods for secure transaction management and electronic rights protection

InterTrust Technologies Corp.  
May 30, 2002

Secure Process  
Including metering, auditing, budgeting  
Uses control sets

No apparatus claim, written in some of the different versions of the '9241 titled patent contains claim to a single stand alone secure container.  
Only methods, program packages , or systems for supporting electronic commerce are claimed in the various Ginter patents or applications.

Encryption involved, as per Ginter though Decryption CONTROLLED

Req protected environments  
Their contracts, control sets

Requires a secure system.

Appliance claim comprises a processor, memory devices and other hardware items.

New kind of environment VDE [0011]. Composed of all electronic community members

Requires API and uses Rights Permissioning and Management Language RPML [0070] . RPML provides control over the taught invention. Requires certain subsystems for further needs.  
Creates a 'virtual black box' [0117]. Comprised of nodes, content creators, content providers and others for the environment.

[0134] VDE uses SPU's, software substitutes, HPE's, secure communications, systems integrated software, control information to achieve the electronic contract/rights protection environment of the present invention.

G-Their containers move thru this VDE environment. Containers are not user integratable for the most part. Control and other usage information is not inside the original document.

D-Just provide a certificate showing/documenting 'state' of document, allowing tampering detection.

Encryption is based on their choice [0136]

Important mechanisms for enforcement of agreements and protection is provided by VDE, and is not user creatable. [0138]

We The users of the apparatus build their own enforced confidence.

Rely on VDE for confidential purposes. [0139]

Uses proprietary based software. Both G and D build the software and are forever dependant upon for its operation and upkeep. [0141]

Security is their choice, and is based on a small set of things and not an 'open' array of user possible choices. [0142]

API's [0150]

Uses/Requires the Rights Operating System [0160]

We can be a simple document sent anywhere, secure or not, having our security within and delivery open to all channels.

G-Requires this trusted network. [0167]

Security is primarily dependant on concealment of VDE and related storage activities. [0169]

[0172] allows remote control of environment.

Software applications exist for environment, its modules and methods. [0195]

[0196] support user interaction through: (a) "Pop-Up" applications /

VDE user control information and services are seamlessly integrated into such software and can be directly accessed by a user integrated into the commercial software's native design.

[0199] uses secure chains for content trust. Embedding is based on contents in VDE containers or the moment of such content into other containers.

Requires VDE overhead. [0230]

Requires a secure subsystem. [0241]

[0284] Secure Processing Units

[0285] Uses database for storage of intermediate document container handling data items.

[0286] A hardware SPU (rather than a software emulation) within a VDE node is necessary if a highly trusted environment is required. S

[0300] "Rights Operating System" ("ROS") architecture provided by the Virtual Distribution Environment;

The logical 'simple' container contains many private data items not under users control.

[0311] FIG. 17

[0656] Environment required ROS, HPE, SPE's, API's.

Employing so much extra to move data from a to b.

[0893] The Secure Database Services Manager ("SDSM")  
Secure Database Manager RSI

[0916] The container manager registers information within secure database

[2349] Example--"Virtual Silicon Container"

[2350] VDE provides a "virtual silicon container" ("virtual black box") in that several overall secure hardware environment that "virtually" exists at multiple locations and multiple electronic appliances together form the virtual silicon container 3600.

Software for Both Ginter and Doyle must be installed. [2360] With VDE installed,



‘Intertrust’ supplies the VDE, based on DigiBox Transit Key Technology involving external database storage, node distribution, and an overall closed ‘virtual black box’ distributing system that prevents the users document from truly being ‘free’. (FREE ? )

Both Ginter and Doyle require additional externally networked systems or environments. Each of G and D have to code for their environments; whereas the securable independent electronic document has been designed around standards built and supported worldwide, unconditionally, having test cases proven to their extremes, and their use nevertheless as wide. Intertrust’s DRM, based on Digibox technology, and ProofSpace’s Proof Mark systems, methods of data exchange or independence capabilities are minimized because of their systems, the system’s unduly needed support structure, and each prior art’s incomplete and inadiquite solution for security due to the additional parties involved, namely themselves.

Can the system be put into ours? Can ours be put through their system? Can theirs be embedded in one of theirs? Can ours be in theirs? In ours?  
Can they be in theirs? Ours?

Ginter – Intertrust® – Marlin, NEMO, Octopus, and ScubaKeys

INTERTRUST® ‘Intertrust’

Case Id

75590367

**DigiBox, published document Van Wie; David M.**

Doyle

Applicant acknowledges Examiner’s ‘Notice of References Cited’, Office Action, page 1. The listed reference, line item ‘A’, US-2003/0105721 A1 Ginter et al., hereafter ‘5721’ and

INTERTRUST

Further, the listed reference, line item ‘B’ US-2002/0129241 A1 Doyle, et al., hereafter ‘9241’ and related Patents or Applications for Patent,

PROOFSPACE

Further, the following Patents and Applications for Patent are listed below for clarification.

AMc0906f

, line item 'B' is similar to application 2006/0136719 Doyle, et al. herein '6719'.

ProofSpace® ProofDoc® and ProofMark® herein referred to as 'ProofMark'. ProofSpace Doyle and overall

DFS LINKAGES, INC. was formed on 2002-01-24 in Michigan by PAUL DOYLE.

With 2002/0129241 Application Doyle, et al, Assigned to Proofspace, Inc, and the cooresponding patents of interest or involve as

[http://www.proofspace.com/about\\_us/corporate\\_overview.php](http://www.proofspace.com/about_us/corporate_overview.php)

Doyles 2003 application relies on other related applications.

Doyle simply creates a hash of the primary original document and adds unique time stamping data moments and represents the compiled hash(s) as simple encoded blots of dots.

Doyle says himself that it is generally preferred to have 'less reliance on trusted third parties' – [0013]

Could it be even better if to have no parties, extra, trusted, entrusted, or not that are not part of Point 'A' and Point 'B' and the segment's scope of communicate?.

Doyle only authenticates original copies of user data. [0014] Provides a graphical indication that is in addition to the original user document.

Application for patent #2002/0129241 Doyle, et al. has, as listed inventors, Doyle, Michael D.

Doyle uses additional externally related items for user document handling.

ProofMark

<http://investing.businessweek.com/research/stocks/private/snapshot.asp?privcapId=126050>

ProofSpace, Inc. develops data authentication solutions. The company offers ProofMark that monitors the integrity of customer's electronic documents, detects unauthorized changes, and enables them to prove authenticity to regulators, auditors, clients, and counsel. It also offers ProofDoc, which allows users to designate ProofMark folders on their desktop to automatically secure various files; and ProofMail that digitally seals outgoing email communications and attachments. The company serves various sectors, such as healthcare, financial services, and electronic B2B ventures. ProofSpace, Inc. was formerly known as T-Stamps.com, Inc. ProofSpace, Inc. was founded in 1999 and is headquartered ...

[0047] ProofMark certificate or certification. Certifications are sometimes referred to in this document as certificates.

Certs are encoded in the graphical form. [0055] Certification information may be encoded in graphical form. This graphical form, or design, may be referred to as an "Indicia." The record at issue may be printed out by a computer or stored electronically and bear on it an indicia that relates to the authenticity of the document. The indicia (and record) may then be scanned and interpreted by the computer to authenticate the record.

Doyle, and Proof Mark certs are XML documents. [0063]

Certs contain a minimum of certifiable type of data elements.  
[0064]

Requires server. Server has reports, stats, ect and is necessary for Doyle to function. [0065]

Certs and Logs make up Doyle environment. [0066] Verifying the data may include confirming that the data in the certificate has not been tampered with or checking a log for record of the creation of the certificate being verified.

Uses dedicated proprietary Server and Client API's. [0067]

Server, servlets and other things necessary. [0068]

The server 200 also comprises a  
servlet engine 210, HTTP server 212,  
operating system 214 and  
database 216.

Uses http communications (necessary) in order for Doyle's system to work. Must use these channels. [0069] A customer requests a certificate using the browser 218. The customer application prepares and issues a certificate issue request. The server 200 issues a certificate in response to the request.

Uses API, XML, HTTP requests and responses. Security is presented in a generic running system. [0071]

Environments are supported by coding from Doyle. ProofSpace is needed for system. [0072]

Each of G and D have to code for their environments; whereas the securable independent electronic document has been designed around standards built and supported worldwide, unconditionally, having test cases proven to their extremes, and their use nevertheless as wide. Intertrust's DRM, based on Digibox technology, and ProofSpace's Proof Mark systems, methods of data exchange or independence capabilities are minimized because of their systems, the system's unduly needed support structure, and each prior art's incomplete and inadequate solution for security due to the additional parties involved, namely themselves.

0108] Certificates

[0109] A certificate is an electronic document

[0110] Certificates are XML (extensible Markup Language) documents

[0111] HTTP server component of a server,

[0112] Certificates can, as an option, be stored in a database on the server. When that is done, a reference URL used for retrieving the certificate can be returned instead of the full certificate.

What security lapses can be exploited in, say, 5 minutes?

[0121] In weighing these considerations, the inventors have discovered that an interval length of around 5 minutes is advantageous in some situations

Are you kidding us? Programmers who really understand those object oriented procedures could exploit the COM (Common Object Model) and its most definitely attached DCOM interface;

[0234] com.proofspace.IntervalSI

[0375] com.proofspace.StartupServlet

Software must operate or fault conditions stop processes. [0376]

[0494] Indicia

[0495] encodes certificates using any graphically interpretive symbology. For example, 2-dimensional barcode symbology or data glyphs may be used.

Only machine readable / interactive.

[0496] Other examples are possible. This will allow a superficially uniform, machine-readable graphical representation for all certifications.

[0497] As discussed above, a certificate, in an exemplary embodiment, consists of a certificate containing information which can be encoded in ASCII. An XML DTD has been defined which allows XML representations of certifications to be sent over networks using the HTTP protocol, among others. However, an XML document, with its numerous markup tags and ASCII representations of binary hashed data, may be deemed by some to be aesthetically displeasing. A graphical "logo," which may also be referred to as an "indicia" or "insignia," may be made to contain the certification's data. This provides an attractive way of storing and transporting certifications outside of computer systems.

Requires host

[0501] Proofspace software should generate an indicia for a given certificate.

Graphical representation is limited and chosen by ProofMark.

[0509] The ProofMark indicia is graphically designed so that it can be rendered as a collection of squares arranged in a Cartesian grid.

[0512] In one exemplary embodiment, in order to recover ProofMark data from a scanned bitmap, reader finds the origin (e.g. the upper left corner) of the ProofMark indicia, and determine the scale being used (if multiple insignia sizes have been elected as an option. Alternatively, the application that recovers the ProofMark information from the indicia may also simply query the user as to what size or scale indicia is being scanned).

paragraph 11, '*Regarding claim 1 ...*'

11. Applicant, and specifically

- a) *'a container... (0084, content container interoperability)'*

Differs – Ginter has containers throughout and dependant upon a distributed environment 'system'. The container in Ginter relies on this 'VDE' system . Doyle creates a graphical indicia for the users document and uses the indicia in a document supporting system. Both Ginter and Doyle do not teach an independent stand alone document container.

- b) *'a character ... (0138 content)'*

A simple character text data can be part of the apparatus, located in a .

- c) *'a first interfacing means ... (0138 content encryption)'*

Applicant specifies the first interfacing means of automation, where the said character text data component can be processed automatically using components in computer system. Applicant believes, 'content encryption', as presented by the Examiner, which is different from content data automation, is better addressed below in section 'h' – a first integrity means of encryption.

- d) *'a second interfacing means ... (0141 content can include freely ...)'*

A second interfacing means of human interaction is present with the ability for the user to freely interact and work with through various text selection and handling processing, including that of copy, cut and/or paste. Ginter includes the option for freely available and secured content control information, but limits the interaction and ability for access and human processing.

- e) *'a first referencing means ... (0900, 2241 reference to where content ...)'*

The apparatus described allows

- f) *'a first encoding means ... (0212, 0546 encoding)'*

- g) *'a first embedding means ... (0212 embed data into container)'*

- h) *'a first integrity means ... (0220 encrypt content)'*

Character text data can be encrypted according to user choice. Ginter suggests and allows encryption, specifically watermarking. Encryption can be one of many types chosen by the user. Doyle

- i) *'at least one graphical ... (1540 content can include image data)'*
- j) *'a third interfacing means ... (1545)'*
- k) *'a fourth interfacing means ... (1545)'*
- l) *'a second integrity means ... (1545)'*
- m) *'at least one overall ... (1783 public key is used for integrity verification)'*
- n) *'a fifth interfacing means ... (0084)'*
- o) *'a first independence means ... (0084)'*
- p) *'a third integrity means ... (0084)'*
- q) *'a fourth integrity means ... (0084)'*
- r) *'a fifth integrity means ... (0084)'*
- s) *'a second independence means ... (0084)'*
- t) *'a third independence means ... (0084)'*
- u) *'a fourth independence means ... (0084)'*

paragraph 11, cont, *'Claim 2 is ...'*

- v) *'a first digital signing means ... (0173, 1742 digital signature)'*

paragraph 11, cont, *'Claim 3 is ...'*

- w) *'at least one or more digital signature ... (0173, 1742)'*
- x) *'digital signing means ... (0173, 1742)'*

paragraph 11, cont, *'Claim 4 is ...'*

- y) *'at least one hash check value ... (0713, 1742)'*

In regard to the Office Action's section '*Conclusion* ',  
paragraph 12, '*Any ...* '

paragraph 13, '*If ...* '



**The Objection to the Specification and the Claims Rejection Under §112.**

The spec was objected

Applicants request

First,

Note

As to how, the spec clearly

Thus the present spec clearly and completely teaches how to make and use the invention in general,, and how the template images are related to ???in particular.

Accordingly applications submit that the spec does comply with §112 and therefore request withdrawal of this objection.

**The Rejection of Claim 1-4 on**

Applicants request reconsideration of this rejection, as now applicable to claim, for the following reasons:

- 1) There is nol
- 2) The proposed combination would not be physically possible or operable.
- 3) Even if H and G were to be co
- 4) These novel physical features of claim 1-4 produce new and unexpected results and hence are unobvious and patentable over these references.

**The References and Differences of the present Apparatus Thereover**

Prior to discussing the claims and the above four points, applicants will first discuss the references and the general novelty of the present invention and its unobviusosness over the references.

Accordingly applicants submit that the dependant claims are a fortiori patentable and should also be allowed.

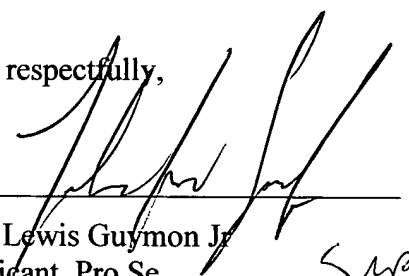
**CONCLUSION:**

For all the above reasons, applicants submit that the specification and claims are now in proper form, and that the claims all define patentably over the prior art. Therefore they submit that this application is now in condition for allowance which action they respectfully solicit.

**Conditional Request for Constructive Assistance**

Applicants have amended the specification and claims of this application so that they are proper, definite, and define novel structure which is also unobvious. If for any reason this application is not believed to be in full condition for allowance, applicants respectfully request the constructive assistance and suggestions of the Examiner pursuant to M.P.E.P. §2173.02 and §707.07(j) in order that the undersigned can place this application in allowable condition as soon as possible and without the need for further proceedings.

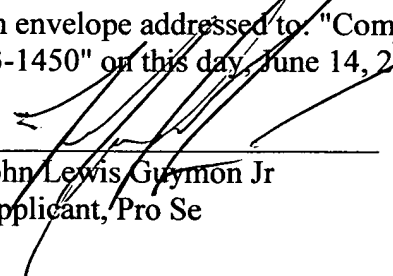
Very respectfully,

  
\_\_\_\_\_  
John Lewis Guymon Jr  
Applicant, Pro Se  
Tel: (801) 938-4833  
Msg: (801) 943-8461

*Sept 6, 2012*

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, June 14, 2012.

  
\_\_\_\_\_  
John Lewis Guymon Jr  
Applicant, Pro Se

*Sept 6, 2012*



| Exam Init's | Cite # | Num     | Kind Code | Date | Author | Pages Cited |
|-------------|--------|---------|-----------|------|--------|-------------|
|             | E001   | 8191158 |           |      |        |             |
|             | E002   | 8191157 |           |      |        |             |
|             | E003   | 8190528 |           |      |        |             |
|             | E004   | 8185478 |           |      |        |             |
|             | E005   | 8185473 |           |      |        |             |
|             | E006   | 8181021 |           |      |        |             |
|             | E007   | 8141165 |           |      |        |             |
|             | E008   | 8140850 |           |      |        |             |
|             | E009   | 8130952 |           |      |        |             |
|             | E010   | 8112625 |           |      |        |             |
|             | G001   | 8086662 |           |      |        |             |
|             | G002   | 8082293 |           |      |        |             |
|             | E011   | 8055913 |           |      |        |             |
|             | E012   | 8006087 |           |      |        |             |
|             | E013   | 7984509 |           |      |        |             |
|             | E014   | 7979542 |           |      |        |             |
|             | E015   | 7940928 |           |      |        |             |
|             | E016   | 7925898 |           |      |        |             |
|             | E017   | 7917749 |           |      |        |             |
|             | E018   | 7904707 |           |      |        |             |
|             | G003   | 7894997 |           |      |        |             |
|             | E019   | 7844835 |           |      |        |             |
|             | E020   | 7831823 |           |      |        |             |
|             | E021   | 7827114 |           |      |        |             |
|             | E022   | 7822201 |           |      |        |             |
|             | G004   | 7814157 |           |      |        |             |
|             | E023   | 7809138 |           |      |        |             |
|             | G005   | 7792862 |           |      |        |             |
|             | E024   | 7770031 |           |      |        |             |
|             | E025   | 7770016 |           |      |        |             |
|             | E026   | 7761916 |           |      |        |             |
|             | E027   | 7752466 |           |      |        |             |
|             | E028   | 7747858 |           |      |        |             |
|             | E029   | 7739511 |           |      |        |             |
|             | E030   | 7734553 |           |      |        |             |
|             | E031   | 7703130 |           |      |        |             |
|             | E032   | 7694342 |           |      |        |             |
|             | E033   | 7694133 |           |      |        |             |
|             | E034   | 7689827 |           |      |        |             |
|             | E035   | 7681240 |           |      |        |             |
|             | G006   | 7613571 |           |      |        |             |
|             | G007   | 7599985 |           |      |        |             |
|             | E036   | 7590853 |           |      |        |             |
|             | E037   | 7587369 |           |      |        |             |
|             | E038   | 7581092 |           |      |        |             |
|             | E039   | 7580988 |           |      |        |             |

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|      |         |  |        |                        |
|------|---------|--|--------|------------------------|
| E040 | 7577609 |  |        |                        |
| E041 | 7523194 |  |        |                        |
| A007 | 7454700 |  | Nov-08 | Jones , et al.         |
| A008 | 7451392 |  | Nov-08 | Chalecki , et al.      |
| C001 | 7451156 |  | Nov-08 | Ornstein , et al.      |
| C002 | 7441185 |  | Oct-08 | Coulson , et al.       |
| E042 | 7430585 |  |        |                        |
| A009 | 7421645 |  | Sep-08 | Reynar                 |
| C003 | 7418652 |  | Aug-08 | Ornstein , et al.      |
| B038 | 7415617 |  | Aug-08 | Ginter                 |
| A010 | 7409399 |  | Aug-08 | Miyamoto               |
| A011 | 7401291 |  | Jul-08 | Ramaley , et al.       |
| E044 | 7392395 |  |        |                        |
| A012 | 7389420 |  | Jun-08 | Tian                   |
| A013 | 7386804 |  | Jun-08 | Ho , et al.            |
| C004 | 7383502 |  | Jun-08 | Shur , et al.          |
| C005 | 7383500 |  | Jun-08 | Shur , et al.          |
| C006 | 7373330 |  | May-08 | Klebe                  |
| A014 | 7370206 |  | May-08 | Goldman                |
| A015 | 7370018 |  | May-08 | Bryant, Jr. , et al.   |
| C007 | 7366982 |  | Apr-08 | Shur , et al.          |
| A016 | 7366338 |  | Apr-08 | Jones , et al.         |
| G008 | 7362323 |  |        |                        |
| A017 | 7360093 |  | Apr-08 | de Queiroz; Ricardo L. |
| C008 | 7359902 |  | Apr-08 | Ornstein , et al.      |
| C009 | 7356143 |  | Apr-08 | Morten                 |
| D001 | 7350238 |  | Mar-08 | Abe , et al.           |
| D002 | 7350237 |  | Mar-08 | Vogel , et al.         |
| A018 | 7343339 |  | Mar-08 | Harrison, Jr. , et al. |
| A019 | 7340601 |  | Mar-08 | Wray                   |
| C010 | 7330974 |  | Feb-08 | Silverbrook , et al.   |
| C011 | 7330560 |  | Feb-08 | Donescu                |
| A020 | 7302467 |  | Nov-07 | Matsuda , et al.       |
| C012 | 7299408 |  | Nov-07 | Daconta , et al.       |
| C013 | 7296217 |  | Nov-07 | Eamshaw , et al.       |
| A021 | 7295679 |  | Nov-07 | Otsuki                 |
| C014 | 7295207 |  | Nov-07 | Yoon , et al.          |
| B005 | 7287284 |  | Oct-07 | Hayashi                |
| A022 | 7281133 |  | Oct-07 | Ginter , et al.        |
| A023 | 7277925 |  | Oct-07 | Wamock                 |
| A024 | 7266527 |  | Sep-07 | Martin                 |
| A025 | 7260585 |  | Aug-07 | Krishnaprasad , et al. |
| C015 | 7251832 |  | Jul-07 | Venters, III , et al.  |
| C016 | 7251644 |  | Jul-07 | Viola , et al.         |
| B002 | 7245740 |  | Jul-07 | Suzaki                 |
| E046 | 7243236 |  |        |                        |
| A026 | 7239717 |  | Jul-07 | Fridrich , et al.      |
| E047 | 7233948 |  |        |                        |

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|  |      |         |  |        |                        |
|--|------|---------|--|--------|------------------------|
|  | E048 | 7213266 |  |        |                        |
|  | G009 | 7210035 |  |        |                        |
|  | B003 | 7206432 |  | Apr-07 | Iwamura                |
|  | A027 | 7200597 |  | Apr-07 | Grizzard               |
|  | C017 | 7199804 |  | Apr-07 | Bhattacharyay , et al. |
|  | B004 | 7197156 |  | Mar-07 | Levy                   |
|  | A028 | 7191392 |  | Mar-07 | Coar                   |
|  | C018 | 7191186 |  | Mar-07 | Pullen                 |
|  | C019 | 7181017 |  | Feb-07 | Nagel , et al.         |
|  | C020 | 7172122 |  | Feb-07 | Alleshouse             |
|  | A029 | 7165174 |  | Jan-07 | Ginter , et al.        |
|  | E049 | 7165174 |  |        |                        |
|  | A030 | 7162635 |  | Jan-07 | Bisbee , et al.        |
|  | A031 | 7150399 |  | Dec-06 | Barrus , et al.        |
|  | A032 | 7149760 |  | Dec-06 | Breuer                 |
|  | A033 | 7143290 |  | Nov-06 | Ginter , et al.        |
|  | E051 | 7143066 |  |        |                        |
|  | A034 | 7143062 |  | Nov-06 | Turk , et al.          |
|  | E052 | 7136840 |  |        |                        |
|  | A035 | 7134020 |  | Nov-06 | Eagle , et al.         |
|  | A036 | 7133846 |  | Nov-06 | Ginter , et al.        |
|  | E054 | 7133845 |  |        |                        |
|  | B006 | 7130087 |  | Oct-06 | Rhoads                 |
|  | E055 | 7124302 |  |        |                        |
|  | E056 | 7120802 |  |        |                        |
|  | E057 | 7120800 |  |        |                        |
|  | B007 | 7114750 |  | Oct-06 | Alasia , et al.        |
|  | E058 | 7110983 |  |        |                        |
|  | E059 | 7107448 |  |        |                        |
|  | E060 | 7100199 |  |        |                        |
|  | E061 | 7095854 |  |        |                        |
|  | E062 | 7092914 |  |        |                        |
|  | A037 | 7092910 |  | Aug-06 | Iwamura                |
|  | A038 | 7089248 |  | Aug-06 | King , et al.          |
|  | B008 | 7088843 |  | Aug-06 | Pelly                  |
|  | A039 | 7082216 |  | Jul-06 | Jones , et al.         |
|  | E063 | 7076652 |  |        |                        |
|  | E064 | 7069451 |  |        |                        |
|  | E065 | 7062500 |  |        |                        |
|  | E066 | 7058805 |  |        |                        |
|  | A040 | 7051212 |  | May-06 | Ginter , et al.        |
|  | A041 | 7051206 |  | May-06 | Giest , et al.         |
|  | A042 | 7051205 |  | May-06 | Horiguchi , et al.     |
|  | A043 | 7051002 |  | May-06 | Keresman, III , et al. |
|  | E068 | 7050586 |  |        |                        |
|  | A044 | 7047419 |  | May-06 | Black                  |
|  | G010 | 7047415 |  |        |                        |
|  | A045 | 7047411 |  | May-06 | DeMello , et al.       |

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|      |         |        |                      |
|------|---------|--------|----------------------|
| A046 | 7047404 | May-06 | Doonan , et al.      |
| A047 | 7044381 | May-06 | Silverbrook , et al. |
| A048 | 7043456 | May-06 | Lindskog , et al.    |
| E069 | 7043441 |        |                      |
| A049 | 7043411 | May-06 | Ronaldson , et al.   |
| A050 | 7043025 | May-06 | Aldredge             |
| A051 | 7039812 | May-06 | Kawan , et al.       |
| A052 | 7039805 | May-06 | Messing              |
| D004 | 7039626 | May-06 | Hirata               |
| A053 | 7039616 | May-06 | Wendenburg , et al.  |
| A054 | 7036076 | Apr-06 | Anwar                |
| A055 | 7036015 | Apr-06 | Vanstone , et al.    |
| A056 | 7035914 | Apr-06 | Payne , et al.       |
| A057 | 7035830 | Apr-06 | Shaikh               |
| A058 | 7035817 | Apr-06 | Brothers             |
| A059 | 7035409 | Apr-06 | Moskowitz            |
| A060 | 7032224 | Apr-06 | Kadokia , et al.     |
| D005 | 7032030 | Apr-06 | Codignotto           |
| A061 | 7031943 | Apr-06 | James , et al.       |
| A062 | 7028191 | Apr-06 | Michener , et al.    |
| A063 | 7028189 | Apr-06 | Mihcak , et al.      |
| A064 | 7028187 | Apr-06 | Rosen                |
| A065 | 7028184 | Apr-06 | Hind , et al.        |
| A066 | 7028182 | Apr-06 | Killcommons          |
| A067 | 7028180 | Apr-06 | Aull , et al.        |
| A068 | 7025276 | Apr-06 | Lapstun , et al.     |
| A069 | 7024390 | Apr-06 | Mori , et al.        |
| A070 | 7021534 | Apr-06 | Kiliccote            |
| D006 | 7020839 | Mar-06 | Hosoda               |
| A071 | 7020781 | Mar-06 | Saw , et al.         |
| A072 | 7020777 | Mar-06 | Mihcak , et al.      |
| A073 | 7020645 | Mar-06 | Bisbee , et al.      |
| A074 | 7020640 | Mar-06 | Zucchetti            |
| A075 | 7017046 | Mar-06 | Doyle , et al.       |
| A076 | 7016516 | Mar-06 | Rhoads               |
| A077 | 7016495 | Mar-06 | Scheidt , et al.     |
| A078 | 7013286 | Mar-06 | Aggarwal , et al.    |
| D007 | 7013041 | Mar-06 | Miyamoto             |
| A079 | 7012710 | Mar-06 | Lapstun , et al.     |
| A080 | 7010697 | Mar-06 | Byrne , et al.       |
| D008 | 7010570 | Mar-06 | Boies , et al.       |
| D009 | 7007076 | Feb-06 | Hess , et al.        |
| A081 | 7006656 | Feb-06 | Fridrich , et al.    |
| D010 | 7006243 | Feb-06 | Simpson , et al.     |
| A082 | 7003166 | Feb-06 | Abhyankar , et al.   |
| A083 | 7003132 | Feb-06 | Rhoads               |
| A084 | 7000186 | Feb-06 | Gropper , et al.     |
| A085 | 7000110 | Feb-06 | Terao                |

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|      |         |        |                       |
|------|---------|--------|-----------------------|
| A086 | 7000105 | Feb-06 | Tallent, Jr. , et al. |
| A087 | 6999936 | Feb-06 | Sehr                  |
| A088 | 6996723 | Feb-06 | Kyojima , et al.      |
| A089 | 6993656 | Jan-06 | Peyravian , et al.    |
| A090 | 6993655 | Jan-06 | Hecht , et al.        |
| A091 | 6993153 | Jan-06 | Bradley               |
| A092 | 6991174 | Jan-06 | Zuili                 |
| A093 | 6991154 | Jan-06 | Silverbrook , et al.  |
| A094 | 6991153 | Jan-06 | Silverbrook , et al.  |
| A095 | 6990684 | Jan-06 | Futamura , et al.     |
| A096 | 6990504 | Jan-06 | Powell , et al.       |
| A097 | 6990480 | Jan-06 | Burt                  |
| A098 | 6988279 | Jan-06 | Kanevsky              |
| A099 | 6988199 | Jan-06 | Toh , et al.          |
| A100 | 6985888 | Jan-06 | Cordery , et al.      |
| A101 | 6985886 | Jan-06 | Broadbent , et al.    |
| A102 | 6985589 | Jan-06 | Morley , et al.       |
| A103 | 6983309 | Jan-06 | Yoshizawa             |
| A104 | 6982625 | Jan-06 | Chainer , et al.      |
| A105 | 6981151 | Dec-05 | Groeneveld , et al.   |
| A106 | 6978366 | Dec-05 | Ignatchenko , et al.  |
| A107 | 6976172 | Dec-05 | Girard                |
| A108 | 6976165 | Dec-05 | Carpentier , et al.   |
| A109 | 6975992 | Dec-05 | Tucker , et al.       |
| A110 | 6975915 | Dec-05 | Robitaille , et al.   |
| A111 | 6975746 | Dec-05 | Davis , et al.        |
| A112 | 6975733 | Dec-05 | Choi , et al.         |
| A113 | 6973646 | Dec-05 | Bordawekar , et al.   |
| A114 | 6973444 | Dec-05 | Blinn , et al.        |
| D011 | 6972763 | Dec-05 | Millett , et al.      |
| A115 | 6970836 | Nov-05 | Paltenghe , et al.    |
| A116 | 6968455 | Nov-05 | Okayasu , et al.      |
| A117 | 6968319 | Nov-05 | Remington , et al.    |
| A118 | 6968058 | Nov-05 | Kondoh , et al.       |
| A119 | 6966048 | Nov-05 | Bowers                |
| A120 | 6965904 | Nov-05 | Bankert , et al.      |
| A121 | 6961902 | Nov-05 | Anecki , et al.       |
| A122 | 6961854 | Nov-05 | Serret-Avila , et al. |
| B009 | 6961441 | Nov-05 | Hershey , et al.      |
| B010 | 6959100 | Oct-05 | Rhoads                |
| A123 | 6954542 | Oct-05 | Miyake , et al.       |
| E070 | 6950867 |        |                       |
| E071 | 6948070 |        |                       |
| D012 | 6947162 | Sep-05 | Rosenberg , et al.    |
| A124 | 6940995 | Sep-05 | Choi , et al.         |
| D013 | 6940870 | Sep-05 | Hamlin                |
| E072 | 6938021 |        |                       |
| D014 | 6925597 | Aug-05 | Anwar                 |

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|  |      |         |  |        |                   |  |
|--|------|---------|--|--------|-------------------|--|
|  | A125 | 6918082 |  | Jul-05 | Gross , et al.    |  |
|  | B011 | 6915433 |  | Jul-05 | Barber            |  |
|  | D015 | 6912462 |  | Jul-05 | Ogaki             |  |
|  | D016 | 6910068 |  | Jun-05 | Zintel , et al.   |  |
|  | C021 | 6908034 |  | Jun-05 | Alleshouse        |  |
|  | D017 | 6904454 |  | Jun-05 | Stickler          |  |
|  | D018 | 6904176 |  | Jun-05 | Chui , et al.     |  |
|  | D019 | 6900905 |  | May-05 | Simpson , et al.  |  |
|  | A126 | 6892947 |  | May-05 | Jam , et al.      |  |
|  | A127 | 6892352 |  | May-05 | Myers             |  |
|  | B012 | 6880755 |  | Apr-05 | Gorbet , et al.   |  |
|  | A128 | 6862599 |  | Mar-05 | King              |  |
|  | B013 | 6859155 |  | Feb-05 | Kondo , et al.    |  |
|  | G012 | 6857124 |  |        |                   |  |
|  | B014 | 6850624 |  | Feb-05 | Shinoda , et al.  |  |
|  | A129 | 6834209 |  | Dec-04 | Potz , et al.     |  |
|  | E073 | 6832316 |  |        |                   |  |
|  | B015 | 6826291 |  | Nov-04 | Yoshiura , et al. |  |
|  | B016 | 6807285 |  | Oct-04 | Iwamura           |  |
|  | E074 | 6785815 |  |        |                   |  |
|  | B017 | 6782509 |  | Aug-04 | Hirayama , et al. |  |
|  | A130 | 6748380 |  | Jun-04 | Poole , et al.    |  |
|  | B018 | 6748362 |  | Jun-04 | Meyer , et al.    |  |
|  | B019 | 6731775 |  | May-04 | Ancin             |  |
|  | D003 | 6717573 |  | Apr-04 | Shahoian , et al. |  |
|  | A131 | 6714202 |  | Mar-04 | Dorrell           |  |
|  | B020 | 6678390 |  | Jan-04 | Honsinger         |  |
|  | B021 | 6678389 |  | Jan-04 | Sun , et al.      |  |
|  | A132 | 6658568 |  | Dec-03 | Ginter , et al.   |  |
|  | C022 | 6643383 |  | Nov-03 | Dugelay           |  |
|  | E076 | 6640304 |  |        |                   |  |
|  | E077 | 6618484 |  |        |                   |  |
|  | C023 | 6616702 |  | Sep-03 | Tonkin            |  |
|  | G013 | 6616701 |  |        |                   |  |
|  | A133 | 6535981 |  | Mar-03 | Shimizu           |  |
|  | A134 | 6532476 |  | Mar-03 | King              |  |
|  | C024 | 6507858 |  | Jan-03 | Kanerva , et al.  |  |
|  | D020 | 6469692 |  | Oct-02 | Rosenberg         |  |
|  | A135 | 6456726 |  | Sep-02 | Yu , et al.       |  |
|  | E078 | 6449367 |  |        |                   |  |
|  | E079 | 6427140 |  |        |                   |  |
|  | A136 | 6418448 |  | Jul-02 | Sarkar            |  |
|  | E080 | 6389402 |  |        |                   |  |
|  | G014 | 6381696 |  |        |                   |  |
|  | A137 | 6367013 |  | Apr-02 | Bisbee , et al.   |  |
|  | E081 | 6363488 |  |        |                   |  |
|  | B022 | 6354630 |  | Mar-02 | Zhang , et al.    |  |
|  | B023 | 6343138 |  | Jan-02 | Rhoads            |  |

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|  |      |         |  |        |                    |  |
|--|------|---------|--|--------|--------------------|--|
|  | C025 | 6342954 |  | Jan-02 | Hisatomi , et al.  |  |
|  | E082 | 6339765 |  |        |                    |  |
|  | B024 | 6334187 |  | Dec-01 | Kadono             |  |
|  | B025 | 6307950 |  | Oct-01 | Powell , et al.    |  |
|  | E083 | 6292569 |  |        |                    |  |
|  | B026 | 6278791 |  | Aug-01 | Honsinger          |  |
|  | E084 | 6253193 |  |        |                    |  |
|  | A138 | 6246998 |  | Jun-01 | Matsumori          |  |
|  | E085 | 6240185 |  |        |                    |  |
|  | E086 | 6237786 |  |        |                    |  |
|  | A139 | 6237096 |  | May-01 | Bisbee , et al.    |  |
|  | A140 | 6209095 |  | Mar-01 | Anderson , et al.  |  |
|  | A141 | 6192138 |  | Feb-01 | Yamadaji           |  |
|  | E087 | 6185683 |  |        |                    |  |
|  | E088 | 6157721 |  |        |                    |  |
|  | C026 | 6138119 |  | Oct-00 | Hall , et al.      |  |
|  | E090 | 6125349 |  |        |                    |  |
|  | A142 | 6112185 |  | Aug-00 | Walker , et al.    |  |
|  | E091 | 6112181 |  |        |                    |  |
|  | A143 | 6065026 |  | May-00 | Cornelia , et al.  |  |
|  | B027 | 6055321 |  | Apr-00 | Numao , et al.     |  |
|  | A144 | 6047374 |  | Apr-00 | Barton; James M.   |  |
|  | B028 | 6031914 |  | Feb-00 | Tewfik , et al.    |  |
|  | A145 | 6006328 |  | Dec-99 | Drake              |  |
|  | B029 | 6005643 |  | Dec-99 | Morimoto , et al.  |  |
|  | E092 | 5982891 |  |        |                    |  |
|  | A146 | 5960411 |  | Sep-99 | Hartman , et al.   |  |
|  | E093 | 5949876 |  |        |                    |  |
|  | B030 | 5946414 |  | Aug-99 | Cass , et al.      |  |
|  | E094 | 5943422 |  |        |                    |  |
|  | E095 | 5920861 |  |        |                    |  |
|  | E096 | 5917912 |  |        |                    |  |
|  | E097 | 5915019 |  |        |                    |  |
|  | E098 | 5910987 |  |        |                    |  |
|  | B031 | 5894558 |  | Apr-99 | Falker             |  |
|  | E099 | 5892900 |  |        |                    |  |
|  | A147 | 5887130 |  | Mar-99 | Doi , et al.       |  |
|  | A148 | 5884272 |  | Mar-99 | Walker , et al.    |  |
|  | B032 | 5870499 |  | Feb-99 | Bender , et al.    |  |
|  | B033 | 5859920 |  | Jan-99 | Daly , et al.      |  |
|  | A149 | 5855008 |  | Dec-98 | Goldhaber , et al. |  |
|  | A150 | 5845265 |  | Dec-98 | Woolston           |  |
|  | G015 | 5838906 |  |        |                    |  |
|  | B034 | 5822436 |  | Oct-98 | Rhoads             |  |
|  | A151 | 5806072 |  | Sep-98 | Kuba , et al.      |  |
|  | A152 | 5794207 |  | Aug-98 | Walker , et al.    |  |
|  | C027 | 5778372 |  | Jul-98 | Cordell , et al.   |  |
|  | A153 | 5774870 |  | Jun-98 | Storey             |  |

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|  |      |             |  |        |                     |  |
|--|------|-------------|--|--------|---------------------|--|
|  | A154 | 5748738     |  | May-98 | Bisbee , et al.     |  |
|  | B035 | 5689587     |  | Nov-97 | Bender , et al.     |  |
|  | A155 | 5668945     |  | Sep-97 | Ohba , et al.       |  |
|  | A156 | 5619571     |  | Apr-97 | Sandstrom , et al.  |  |
|  | A157 | 5615268     |  | Mar-97 | Bisbee , et al.     |  |
|  | E100 | 5410598     |  |        |                     |  |
|  | A158 | 5375197     |  | Dec-94 | Kang                |  |
|  | B036 | 5149140     |  | Sep-92 | Mowry, Jr. , et al. |  |
|  | E101 | 5050213     |  |        |                     |  |
|  | A159 | 5022080     |  | Jun-91 | Durst , et al.      |  |
|  | A160 | 5016009     |  | May-91 | Whiting , et al.    |  |
|  | E102 | 4977594     |  |        |                     |  |
|  | G016 | 4847604     |  |        |                     |  |
|  | E103 | 4827508     |  |        |                     |  |
|  | B037 | 4790566     |  | Dec-88 | Boissier , et al.   |  |
|  | A161 | 4751740     |  | Jun-88 | Wright              |  |
|  | A162 | 4701745     |  | Oct-77 | Waterworth          |  |
|  | A163 | 4631603     |  | Dec-86 | Ryan                |  |
|  | A164 | 4264808     |  | Apr-81 | Owens , et al.      |  |
|  | F001 | 20120166807 |  |        |                     |  |
|  | F002 | 20110197285 |  |        |                     |  |
|  | F003 | 20110173695 |  |        |                     |  |
|  | F004 | 20110145602 |  |        |                     |  |
|  | F005 | 20110131422 |  |        |                     |  |
|  | H001 | 20110093527 |  |        |                     |  |
|  | F006 | 20110083009 |  |        |                     |  |
|  | F007 | 20110047389 |  |        |                     |  |
|  | F008 | 20110047078 |  |        |                     |  |
|  | F009 | 20110047077 |  |        |                     |  |
|  | F010 | 20110047054 |  |        |                     |  |
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|  | F012 | 20110022520 |  |        |                     |  |
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|  | F015 | 20100312768 |  |        |                     |  |
|  | F016 | 20100275040 |  |        |                     |  |
|  | F017 | 20100257614 |  |        |                     |  |
|  | F018 | 20100250935 |  |        |                     |  |
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|  | F024 | 20090132815 |  |        |                     |  |
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|  | F026 | 20090113200 |  |        |                     |  |
|  | F027 | 20090048978 |  |        |                     |  |
|  | F028 | 20090043652 |  |        |                     |  |

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|------|-------------|--|--|--|--|
| F029 | 20090030768 |  |  |  |  |
| F030 | 20080250241 |  |  |  |  |
| H002 | 20080163038 |  |  |  |  |
| F031 | 20080148056 |  |  |  |  |
| F032 | 20080141033 |  |  |  |  |
| F033 | 20080134342 |  |  |  |  |
| F034 | 20080133415 |  |  |  |  |
| F035 | 20080127304 |  |  |  |  |
| F036 | 20080126803 |  |  |  |  |
| F037 | 20080126798 |  |  |  |  |
| F038 | 20080120240 |  |  |  |  |
| F039 | 20080114790 |  |  |  |  |
| F040 | 20080114698 |  |  |  |  |
| F041 | 20080109242 |  |  |  |  |
| F042 | 20080109240 |  |  |  |  |
| F043 | 20080107264 |  |  |  |  |
| F044 | 20080103805 |  |  |  |  |
| F045 | 20080077531 |  |  |  |  |
| F046 | 20080052541 |  |  |  |  |
| F047 | 20080034406 |  |  |  |  |
| F048 | 20080034231 |  |  |  |  |
| F049 | 20080034230 |  |  |  |  |
| F050 | 20080034229 |  |  |  |  |
| F051 | 20080034228 |  |  |  |  |
| F052 | 20080034227 |  |  |  |  |
| F053 | 20080034214 |  |  |  |  |
| F054 | 20080033884 |  |  |  |  |
| F055 | 20080031461 |  |  |  |  |
| F056 | 20080031457 |  |  |  |  |
| F057 | 20080022137 |  |  |  |  |
| F058 | 20080022100 |  |  |  |  |
| F059 | 20080021835 |  |  |  |  |
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| F065 | 20070276759 |  |  |  |  |
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| F075 | 20070185813 |  |  |  |  |

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|--|-----------------|------------------------|----|--------|------------------------------|--|
|  | A005            | 20070106932            |    | May-07 | Coar, Michael J.             |  |
|  | F076            | 20070064943            |    |        |                              |  |
|  | F077            | 20070061594            |    |        |                              |  |
|  | A001            | 20070011457            |    | Jan-07 | Dubinsky, Andrew M. ; et al. |  |
|  | F078            | 20060277122            |    |        |                              |  |
|  | F079            | 20060248353            |    |        |                              |  |
|  | F080            | 20060248016            |    |        |                              |  |
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|  | F084            | 20060212722            |    |        |                              |  |
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|  | F086            | 20060206397            |    |        |                              |  |
|  | F087            | 20060200392            |    |        |                              |  |
|  | F088            | 20060174326            |    |        |                              |  |
|  | A002            | 20060150151            |    | Jul-06 | Dubinsky, Andrew M.          |  |
|  | H003            | 20060136719            |    |        |                              |  |
|  | C028            | 20060136477            | A1 | Jun-06 | Bishop, Andrew ; et al.      |  |
|  | H004            | 20060129982            |    |        |                              |  |
|  | F089            | 20060120525            |    |        |                              |  |
|  | A003            | 20060080599            |    | Apr-06 | Dubinsky, Andrew M.          |  |
|  | F090            | 20060069926            |    |        |                              |  |
|  | F091            | 20060053158            |    |        |                              |  |
|  | F092            | 20050246541            |    |        |                              |  |
|  | F093            | 20050240771            |    |        |                              |  |
|  | F094            | 20050182956            |    |        |                              |  |
|  | F095            | 20050177716            |    |        |                              |  |
|  | A006            | 20050138382            |    | Jun-05 | Hougaard, Todd R. ; et al.   |  |
|  | F096            | 20050060584            |    |        |                              |  |
|  | C029            | 20040237040            | A1 | Nov-04 | Malkin, Wayne Allan ; et al. |  |
|  | <del>F097</del> | <del>20040133793</del> |    |        |                              |  |
|  | <del>G030</del> | <del>20040128013</del> | A1 | Jul-04 | Wu, Yen-Hsing ; et al.       |  |
|  | <del>F098</del> | <del>20040123120</del> |    |        |                              |  |
|  | F099            | 20040107356            |    |        |                              |  |
|  | F100            | 20040103306            |    |        |                              |  |
|  | H005            | 20040078753            |    |        |                              |  |
|  | F101            | 20040054630            |    |        |                              |  |
|  | F102            | 20030191719            |    |        |                              |  |
|  | F103            | 20030163431            |    |        |                              |  |
|  | H006            | 20030154261            |    |        |                              |  |
|  | F104            | 20030105721            |    |        |                              |  |
|  | F105            | 20030088784            |    |        |                              |  |
|  | F106            | 20030069749            |    |        |                              |  |
|  | F107            | 20030069748            |    |        |                              |  |
|  | F108            | 20030066337            |    |        |                              |  |
|  | B001            | 20030046274            |    | Mar-03 | Erickson, John S. ; et al.   |  |
|  | F109            | 20030046244            |    |        |                              |  |
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|  | F111 | 20030002673 |    |        |                                   |  |
|  | H007 | 20020129241 |    |        |                                   |  |
|  | F112 | 20020112171 |    |        |                                   |  |
|  | H008 | 20020112157 |    |        |                                   |  |
|  | C031 | 20020066019 | A1 | May-02 | Amonou, Isabelle ; et al.         |  |
|  | C032 | 20020059144 | A1 | May-02 | Meffert, Gregory J. ; et al.      |  |
|  | F113 | 20020048369 |    |        |                                   |  |
|  | F114 | 20020023214 |    |        |                                   |  |
|  | F115 | 20010042043 |    |        |                                   |  |
|  | A004 | 20010039524 |    | Nov-01 | Harrison, Shelton E. JR. ; et al. |  |
|  | H009 | 20010030651 |    |        |                                   |  |
|  | C033 | 20010027459 | A1 | Oct-01 | Royal, Gregory T.                 |  |
|  | F116 | 20010026618 |    |        |                                   |  |

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Date Considered: \_\_\_\_\_



| Date       | Size      | MD5    | File        | Exam Ini | Cite # | Title                                                         |
|------------|-----------|--------|-------------|----------|--------|---------------------------------------------------------------|
| 2009-12-11 | 131361    | 502e1d | A001 ue     |          | A001   | Uniform Electronic Transactions Act (1999)                    |
| 2009-12-11 | 687468    | 4647ad | A002 Se     |          | A002   | Secure Programming for Linux and Unix HOWTO - 11.5 Cryptoc    |
| 2009-12-11 | 109196    | d0e40e | A004 as     |          | A004   | ASP File Upload using VBScript                                |
| 2009-12-11 | 41984     | d746c4 | A005 Mc     |          | A005   | Modeling biz docs in XML                                      |
| 2009-12-11 | 18220     | f18613 | A007 Cd     |          | A007   | Colorado Implements Level 3 Electronic Recording              |
| 2004-09-14 | 1581161   | ccbc9e | A008 Mc     |          | A008   | The Model Notary Act                                          |
| 2009-12-11 | 52537     | bfa53c | A009 DS     |          | A009   | Infomosaic: The Easy to Use Digital Signature                 |
| 2006-03-23 | 209408    | d20ed0 | A011 oa     |          | A011   | DSS Use Case Requirements Analysis                            |
| 2007-10-14 | 42681     | 94e28e | A012 er     |          | A012   | Notaries and Electronic Notarization                          |
| 2004-09-14 | 209408    | feac14 | A014 ar     |          | A014   | The Legal Requirements for Creating Secure and Enforceable    |
| 2009-12-11 | 499713    | 986db0 | A015 nd     |          | A015   | The Free Introductory Signing Agent Training Course           |
| 2009-12-11 | 62475     | b6e36e | A016 notary |          | A016   | The Notary Office and Its Impact in The 21st Century          |
| 2009-12-11 | 84227     | a39be2 | A017 reFra  |          | A017   | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in   |
| 2006-03-19 | 12634     | 0b151a | A018 Di     |          | A018   | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT    |
| 2006-03-19 | 347742    | 2e1d98 | A019 Nd     |          | A019   | A Position on Misleading Usage of Notary Terms in the Elect   |
| 2004-09-14 | 128516    | 71acbf | A020 di     |          | A020   | A Position On Digital Signature Laws And Notarization         |
| 2006-03-19 | 23250     | ab3c86 | A021 ir     |          | A021   | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR   |
| 2006-03-18 | 88458     | 27b8d6 | A022 2C     |          | A022   | Cumulative Notarization for Long-term Preservation of Digit   |
| 2009-12-11 | 37765     | 1d0f8d | A024 Re     |          | A024   | Requirements for Data Validation and Certification Services   |
| 2005-06-07 | 27501     | 49a0c3 | A025 Em     |          | A025   | ReadNotify Email Notary and Timestamping service              |
| 2009-12-11 | 25426     | 46c080 | A026 pa     |          | A026   | Long term electronic signature os documents retention         |
| 2009-12-11 | 10633     | acb5ab | A029 Ir     |          | A029   | Initial eNotary Signature Candidate Profiles                  |
| 2005-06-07 | 134507    | 52a6ad | A030 pc     |          | A030   | Notarized Digital Signature                                   |
| 2009-12-11 | 25127     | a60c3e | A031 Re     |          | A031   | The Electronic Notarization System Based on the Notarizati    |
| 2006-03-10 | 577195    | 1fded6 | A032 Bu     |          | A032   | The Business Case for Electronic Signing                      |
| 2005-11-06 | 209058    | 1b3d30 | A033 7      |          | A033   | XML-Signature Syntax and Processing                           |
| 2009-12-11 | 24003     | f5b5c0 | A035 Ve     |          | A035   | Software Publisher Digital Ids for Microsoft Authenticode T   |
| 2009-12-11 | 34241     | 4a8b92 | A038 EJ     |          | A038   | Electronic Signatures in Global and National Commerce Act     |
| 2009-12-11 | 23870     | e65577 | A041 RS     |          | A041   | What is digital timestamping?                                 |
| 2009-12-11 | 18921     | bc74a6 | A043 Li     |          | A043   | Howto: PGP and GnuPG                                          |
| 2009-12-11 | 17406     | b6b791 | A044 Cr     |          | A044   | Cryptography, PGP and Pine                                    |
| 2009-12-11 | 25420     | 44a968 | A045 Tu     |          | A045   | Introduction to Public Key Cryptography                       |
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| 2009-12-11 | 80943     | e3f917 | A050 Ti     |          | A050   | DigiStamp - The Trusted Third-Party Time Authority            |
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| 2009-12-11 | 21,581    | 9ee6e0 | B002 PN     |          | B002   | Current Status of PNG                                         |
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| 2009-12-11 | 6,846,266 | 01589f | B030 2C     |          | B030   | No Sure Thing                                                 |
| 2009-12-11 | 12,006    | b21c75 | B031 hi     |          | B031   | optiPNG - Revision History                                    |
| 2004-09-21 | 31,079    | c26fec | C012 Ur     |          | C012   | Uniform Electronic Transactions Act                           |
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| 2009-12-11 | 87,925    | 1535a3 | C018 PN     |          | C018   | PNG Transparency in Internet Explorer                         |
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| 2008-07-22 | 104,802   | bd0416 | D020 di     |          | D007   | HB 1300                                                       |
| 2006-03-18 | 134,278   | c62fb9 | D024 er     |          | D024   | Electronic Notarization                                       |
| 2006-03-04 | 30,762    | 9d8336 | D026 eN     |          | D026   | eNotarization                                                 |
| 2006-03-23 | 52,327    | 17b915 | D027 DS     |          | D027   | Uses cases submitted for consideration                        |
| 2007-12-28 | 511,488   | 2f7fe8 | D030 ds     |          | D030   | Digital Signature Guidelines                                  |
| 2007-09-16 | 22,307    | 721aa8 | D033 Sc     |          | D033   | Open XML Court Interface                                      |
| 2005-11-06 | 179712    | 3c790a | E007 s      |          | E007   | A how-to guide for supporting digital signatures within SOA   |
| 2006-03-23 | 126464    | 40f30b | E010 Na     |          | E010   | Namespaces in XML                                             |
| 2005-11-29 | 944640    | ab146f | E014 ec     |          | E014   | LegalXML Electronic Court Filing 3.0                          |
| 2008-04-28 | 42396     | 7a4660 | E018 PN     |          | E018   | PNG Frequently Asked Questions                                |
| 2008-05-17 | 213908    | da5ea9 | F001 pr     |          | F001   | png-list 200109.htm                                           |
| 2008-11-04 | 259949    | a58788 | F002 Cd     |          | F002   | Cover Pages Web Services Interoperability Organization (WS-   |

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|------------|--------|--------|---------|------|--------------------------------------------------------------|
| 2008-04-28 | 32538  | ac733b | F003 H3 | F003 | History of PNG.htm                                           |
| 2008-08-31 | 20472  | b7dc61 | F004 Mc | F004 | SMART Docs are Here! Ready For a SMART Envelope?             |
| 2008-08-18 | 104894 | c635fc | F005 Pc | F005 | Portable Document Format                                     |
| 2008-08-18 | 129521 | 8ca676 | F006 Pc | F006 | Portable Network Graphics                                    |
| 2008-06-22 | 84249  | 682fba | F018 Ad | F018 | Adobe Acrobat                                                |
| 2008-06-22 | 165933 | d3d450 | F025 Em | F025 | Embedding XMP Metadata in Application Files.htm              |
| 2008-06-15 | 5088   | 5743c4 | F031 li | F031 | libmng - The MNG reference library & related info            |
| 2008-06-21 | 104095 | 067db7 | F033 Nc | F033 | Notary public                                                |
| 2008-06-21 | 75893  | 8249ec | F034 Nc | F034 | NEW JERSEY NOTARY PUBLIC MANUAL                              |
| 2008-06-15 | 155211 | 0a85d7 | F038 Us | F038 | USING THE IJG JPEG LIBRARY                                   |
| 2008-08-18 | 85590  | 9456df | F041 BM | F041 | BMP file format                                              |
| 2008-06-21 | 39102  | a773aa | F042 Ex | F042 | Extensible Metadata Platform                                 |
| 2008-08-18 | 101132 | 6f4f3e | F044 Gr | F044 | Graphics Interchange Format                                  |
| 2008-08-18 | 151985 | c5dd5e | F045 JF | F045 | JPEG                                                         |
| 2008-08-18 | 114049 | 9d269b | F046 JF | F046 | JPEG 2000                                                    |
| 2008-08-18 | 20282  | 7a531e | F047 JF | F047 | JPEG Network Graphics                                        |
| 2008-08-18 | 60326  | 18ca5b | F048 Mu | F048 | Multiple-image Network Graphics                              |
| 2008-08-18 | 74306  | 107e35 | F052 Ta | F052 | Tagged Image File Format                                     |
| 2004-09-21 | 103858 | 929d44 | F056 19 | F056 | DIGITAL SIGNATURES The Key to Secure Internet Commerce       |
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| 2008-11-04 | 239629 | 869017 | F061 Pu | F061 | Publicly Available Standards.htm                             |
| 2008-10-10 | 65927  | 11d57d | F062 A  | F062 | A Digital Object Approach to Interoperable Rights Management |
| 2008-11-17 | 75749  | ab33f5 | F063 Dc | F063 | DIS-29500: Deprecated before use?                            |
| 2008-10-10 | 43907  | 48b525 | F071 Pr | F071 | Preview - SIIA Information Industry Summit 2007.htm          |
| 2008-10-28 | 24528  | 2c4f15 | F072 Cu | F072 | Current Patent Practice                                      |
| 2008-10-31 | 55003  | 4b2a0b | F074 Ne | F074 | Next-Generation Secure Computing Base                        |
| 2008-10-31 | 114324 | ecf987 | F075 Tr | F075 | Trusted Computing                                            |
| 2008-10-31 | 41681  | 3f6a4b | F077 Tr | F077 | Trusted Platform Module                                      |
| 2008-06-25 | 26239  | e8451e | F102 Ak | F102 | Adobe Streamlines Workflows for Publishers with New Metadata |
| 2008-07-22 | 57719  | 262c54 | F103 Ad | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design,    |
| 2008-06-21 | 28052  | b861d3 | F104 Ad | F104 | Extensible Metadata Platform (XMP)Adding intelligence to me  |
| 2008-07-22 | 67232  | a5c1c5 | F107 Cd | F107 | DIG35: Metadata Standard for Digital Images                  |
| 2008-07-05 | 13622  | 5e3619 | F111 IH | F111 | NewsML-G2                                                    |
| 2008-07-04 | 22774  | f72615 | F112 IH | F112 | IPTC Metadata for XMP                                        |
| 2008-07-22 | 35211  | c610ef | F113 ON | F113 | ONJava com -- XML to PDF Oh, FOP It.htm                      |
| 2008-07-22 | 33389  | 3c607b | F114 Op | F114 | The Power of Metadata                                        |
| 2008-07-22 | 170530 | 045bfe | F118 OW | F118 | OWL Web Ontology Language Guide                              |
| 2008-07-22 | 27834  | 1a5a28 | F119 Pl | F119 | Platform for Internet Content Selection (PICS)               |
| 2008-06-22 | 47553  | bb2f15 | F120 Re | F120 | Resource Description Framework (RDF)                         |
| 2008-07-04 | 11101  | 3e71c7 | F123 TI | F123 | Image2PDF 3.2 user manual                                    |
| 2008-07-04 | 12597  | 4c6d18 | F125 tu | F125 | SAA offers screencasts on Key topics all photographers invc  |
| 2008-07-22 | 140436 | 0f1fea | F126 Ur | F126 | Unicode                                                      |
| 2008-07-22 | 111088 | 08339e | F127 Ut | F127 | UTF-8                                                        |
| 2008-07-22 | 45315  | b3f89f | F128 XM | F128 | The XPath 2.0 Data Model                                     |
| 2008-07-22 | 33656  | 847618 | F129 XM | F129 | What Is XSLT                                                 |
| 2008-07-02 | 52154  | ef4e88 | F132 Ba | F132 | Base64                                                       |
| 2008-07-01 | 229554 | 392c52 | F133 CF | F133 | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                    |
| 2008-07-02 | 42434  | 0fa6e7 | F137 RE | F137 | The Base16, Base32, and Base64 Data Encodings                |
| 2008-05-16 | 177143 | c660a6 | F151 PN | F151 | PNG Digital Signatures                                       |
| 2008-05-16 | 32574  | b82173 | F155 xm | F155 | XML Packaging Working Group Charter                          |
| 2005-03-13 | 15885  | 3a6747 | F164 1. | F164 | CALIFORNIA CODES                                             |
| 2005-06-07 | 40698  | bea703 | F195 E- | F195 | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT    |
| 2005-06-07 | 29638  | cc486d | F202 Gc | F202 | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                 |
| 2005-06-07 | 38778  | fd9af9 | F205 Th | F205 | National Notary Association and Safedocs Provide E-Notariza  |
| 2005-06-07 | 31425  | 90c198 | F206 Th | F206 | ter 1. Notaries Public Reform Act                            |
| 2008-07-22 | 1791   | df9dad | F220 7  | F220 | 7 Steps to Understanding XMP Metadata                        |
| 2008-06-22 | 28042  | a04d1c | F228 Ac | F228 | Adding intelligence to media                                 |
| 2008-06-24 | 71798  | 2b83d1 | F232 Al | F232 | All eyes on how Microsoft pulls off ODF support              |
| 2008-06-14 | 30129  | 3ae58c | F233 Ar | F233 | Anti machine                                                 |
| 2008-06-21 | 122182 | 7df6fa | F235 Bu | F235 | Building Sustainable Banking Architectures                   |
| 2008-06-24 | 18675  | 4ded67 | F237 Ca | F237 | Captaris Solutions for Financial Services                    |
| 2008-06-22 | 49209  | ffd0d2 | F238 Cd | F238 | Extensible Metadata Platform (XMP)                           |

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| 2008-06-22 | 45581   | e61453 | F239 De | F239 | Describing and retrieving photos using RDF and HTTP         |
| 2008-06-24 | 70746   | b84a4c | F245 Ec | F245 | Ecma to create standard out of Microsoft rival to PDF       |
| 2008-06-06 | 20010   | 209322 | F247 Ex | F247 | Extensible Markup Language (XML)                            |
| 2008-06-24 | 72901   | e63dcc | F250 Fr | F250 | Free and Cheap Software That Kills the Big Guys             |
| 2008-06-24 | 73921   | b874ee | F263 Op | F263 | Open source tool released for translating between Microsoft |
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|  |  |  |  | C027 | PNG Portable Network Graphics Specification, Version 1.2    |
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|  |  |  |  | D002 | Microsoft Core XML Services (MSXML) 6.0                     |
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|  |  |  |  | D004 | Send Digital Signed Messages By Using CDOSYS/CDOEX          |
|  |  |  |  | D005 | National Notary Associatino Taps GeoTrust for Electronic No |
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|  |  |  |  | D012 | Cryptography                                                |
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|  |  |  |  | D014 | VeriSign Introduces Next-Generation Internet Trust Services |
|  |  |  |  | D015 | VeriSign, Microsoft & webMethods, Joined by Baltimore Techn |
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
9/6/2012 1:03 PM  
Literature Documents  
Sheet ( 15 ) of ( 15 )

Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: 2494  
Examiner: KAVEH ABRISHAMKAR

|  |  |  |  |      |                                                      |
|--|--|--|--|------|------------------------------------------------------|
|  |  |  |  | E016 | SecureXML Digital Signature & Encryption             |
|  |  |  |  | E017 | Online Carpentry: Refinishing Your Table of Contents |
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In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Cover Letter - First Office Action - Applicant's Reply Correspondence

September 6, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant's response to the USPTO's 'First Office Action' communication, dated (mailed) March 6, 2012.

This correspondence has been sent Express mail, Tag #, dated September 6, 2012 and includes:

- 560<sup>00</sup> Second Petition for Extension of Time To Reply (3 Months - Due September 6, 2012)
Payment, Ck#: [handwritten] (2nd partial payment) (Total: \$635 Total (Small entity (1.17(a)(3)))
NOT Copy, prev Ck# (1st partial payment) (Initially One (1) Month: \$75 )
Amendments w/Reply (12 pages) 18 pages
Information Disclosures (SB08A/B, 14 pages) (Added: 99 Pats, 88 Apps, 77 Refs)
Specification, as amended (38 pages)
Claims, 1-4, as amended (3 pages)
part of spec

Thank you for your consideration and time with this return correspondence.

Very respectfully,

John Lewis Guymon Jr
Tel: (801) 938-4833
Msg: (801) 943-8461

EG 935151456 [handwritten initials]

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail, Tx# \_\_\_\_\_, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 6th day of September 2012.

Applicant



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Second Petition for Extension of Time To Reply (Three Months)

September 6, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

This applicant herewith petitions the Director of the United States Patent and Trademark Office to further extend the time for reply to the Office Action dated March 6, 2012 (mailed 3/6/2012) an additional two (2) months, for a total of three (3) months, extending the date for reply from the original date of June 6, 2012 to September 6, 2012.

Submitted and included herewith is a check (Ck# 1365) for five hundred sixty (\$560.00) dollars, a second payment in part to be combined with the original one (1) month extension payment, check (Ck#1343, a copy enclosed) for seventy-five (\$75.00) dollars, to pay in full the small entity three (3) month extension fee amount in total, as per 37 CFR 1.17(a)(3), of six hundred thirty-five (\$635.00) dollars.

Thank you for your further consideration and time with this second extension request.
Very respectfully,

John Lewis Guymon Jr
Tel: (801) 938-4833
Msg: (801) 943-8461

Handwritten numbers: 1365, 560, 935151456, 45

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I certify that this correspondence will be deposited with the United States Postal Service as Express Mail, Tx# [ ], proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 6th day of September 2012.

Applicant



Patent Application of

John Lewis Guymon Jr

for

**TITLE: SECURABLE INDEPENDENT ELECTRONIC DOCUMENT**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This application claims benefit of a Disclosure Document filed with the USPTO, titled "Intelledoc<sup>®</sup> – Intelligent Electronic Document", Serial No. 610142, filed December 16, 2006.

[0002] This application claims benefit of a Disclosure Document filed with the USPTO, titled "eSuretyBailBond - Electronic Surety Bail Bond", Serial No. 610141, filed December 16, 2006.

**FEDERALLY SPONSORED RESEARCH**

[0003] Not Applicable

**BACKGROUND—FIELD**

[0004] Inscribed within generally relates to information handling and specifically to electronic documents. Various aspects of an electronic document apparatus are described and are particularly applicable to the security and manufacture of the document container, as well as a few of the many involved processes, methods of use and possible comprised systems of the apparatus. Additional aspects presented relate to the electronic document's methods of handling and use, for example in

providing secure independent information delivery in industries of securities, commerce, trade and/or exchange.

#### BACKGROUND OF THE INVENTION—PRIOR ART

[0005] With life, there is the digital world. With information, there is the digital world. Now days, they are one in the same. We are always asking, “Is it secure?” We live, having our world untouched by anyone else, desiring so much to have things as we left them, untouched.

[0006] The technology available today allows life to seemingly flow at the speed close to that of light itself. We are literally there before we finish the push of the button. We struggle to comprehend such speeds, and will continue to do so, in such a dynamic and fast paced world. Information should be handled with care. To maintain the integrity of our intent, it must be secure.

[0007] In order to facilitate the creation and editing of electronic documents containing our information world, vast amounts of variable types of technology can be used. Agreed upon formats, specifications, recommendations and other standard defined help in accomplishing a particular task with greater information exchange and security.

[0008] Information exists in many variable formats and is transported in a vast spectrum of ways. Within the digital world, a substantial format set is available for equally substantial information type accommodations. For instance, character data handling is accomplished using text-based languages supporting a functional ‘mark up’ approach, having data populated with tags or other identification delimiters or data descriptors. These forms are of the markup language family, such as those of SGML, XML, HTML, cXML and other text based formats among the multiples of these established containment types.. Further image and other visually handled data is often supported using graphic image based file formats, such as PNG, JPG, TIFF, GIF, BMP and others established in the market place.

[0009] The basis of the teaching is to facilitate knowledge in the area of information processing and exchange. There are electronic information document type containers developed recently with the inability to support the secure and independent exchange as presented and taught in the following.



[0010] Document handling systems, databases and vault systems, as well as other transaction oriented processes, information transfers and data representations. For the purpose of this disclosure and illustration of multiple embodiments, the various possible configurations of an electronic document are focused upon for reasons of security, independence, flexibility in construction, and in support of other needs.

[0011] Tangible document content range from simple character text to full color text data, with external formatting information to image data of varying form, to audio and multimedia data, to metadata, to binary data, all of which can be included within.

[0012] All areas of industry require documents, many of which have security/requirements/needs. Specifically, insurance, mortgage, securities, as well as other financially based documents; many have highly regulated legal requirements. The required technology for their electronic handling was arguably unavailable for the most part prior to the turn of the Millennium. Considerable energy to create a “paperless world” has been expended, and these efforts are ongoing. Despite all of the world’s labors, highly valued documents still often remain in paper form. Where is an electronic container that will secure these assets digitally?

[0013] Viewing a graphic image may include the perception of dots, lines, characters, scripts, meshes, shades, arrangement, proportion, scale, and color. Content is presented reflecting true life and nature. Real life and nurture tells us information is here, whether it is an artistic representation of a data element or value or an intense artistic representation of the elements in life itself. A picture has been said to be worth a thousand words. Data in image form often possess substantial information content.

[0014] Similar, character text can be perceived visually. Character text in this same form can also be processed systematically. Character data is based on memory addressing units, having an array of different character representations available for the different character text data unit values. Character text includes the letters making up the words you read here. Information in its everyday basic simplest form is here, here, written with the letters of each and every one of these words that you read.

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[0015] The usage of paper forms is a predominant method for producing documents, and these forms tend to have a fixed layout and some areas of variable content. The desire to electronically create and process the information contained within documents has existed for some time and will continue. With electronic processing means, documents can be better adapted for digital commerce, trade, and exchange of transactions. Providing a container to envelope these documents increases the electronic options available for their support.

[0016] Bonds, powers of attorney, titles, deeds, leases, judgments, motions, affidavits, and other paper documents have been in use for long periods of time. These document types, in either original paper only medium or in a digital graphic memory contain a visual image or picture of the actual information that is of a transaction. Electronic form allows information storage and handling up and beyond that of the visual image-handling interface. Within digital data memory capabilities lay the ability for raw character based data to be represented. Having the two different data representation types for the information, those that of graphical image and character text, a container is built to handle exchange and transaction. Either singly or in combination, character text and graphical image data components can be present for information conveyance. Only recently have these documents had the support in place needed to facilitate the document’s handling completely using electronic means. Now, with the ability in place to facilitate both character text and graphical image components, the harmony in its presentation, handling, independence and security relies on a few key issues, specifically what is needed and how can it be done?

[0017] Information handling has its required attention and resource requirements. Security issues mandate a majority of container development process. A system built upon a well working container that includes independency from outside system requirements extends the efficiency and control of internal resources. Such efficiency and control can be maximized, becoming a secure independent electronic document solution.

[0018] Monetary based transactions have been in existence before the printed money it represented was. Trade and commerce, along with exchange, barter, vendor or vender oriented agreements have had a need to be facilitated in some fashion, similar to the mineral exchange of gold, silver, platinum and others. Money, specifically paper money and coin, is a commonplace vehicle for resource

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structured exchange and management. Monetary based items, assets, transactions and other commonplace vehicles structured on such systems all speak of the same desire and need, that being value and asset management and exchange.

[0019] Industries, such as those of the Surety, Insurance or Bail Bonding industries, have used tangible based financial supporting documents since the beginning of human based insurance back.

[0020] To represent this industry, a representation of both the ‘graphical image’ and ‘character text’ must be included for complete adapted functionality. Security is based on party involvement and need to know regulations. If independence from ‘third’ party is further supplied, security is only enhanced. Having your own way of packaging, distribution, processing, transacting, verifying, or authenticating document information with no internally placed outside based party is a must. Technology is available for such a system. Notarizations, or witness related verifications, have been around since times of Kings and Queens; however, for the digital equivalent, the technology has only been around since the years of the turn of the Millennium. What is needed is the independent container that supports the highest statutes backed electronic information handling possibilities defined today.

[0021] The options of markup type languages such as XML and others as the main structural component for document and sub-document handling needs has become a world wide accepted way of handling the inter-relational aspects of data files and their containment handling, grouping or other object or element structuring of relational data. The extreme ends of the data type spectrum are those of character text and graphical image. ‘Graphical image’ as the container for the character text becomes the solution more so than character text as the container for the graphical image.

[0022] Independent ‘graphic image’ containers further support handing, interface and integrity means required for enhancing security of electronic document contents. The need for digital signature signed data was necessary as well. The combination of the three increased the security measures in place. Again, security is further enhanced with the independence from other non-invited or desired parties. Further, with the ability to develop applications in house, easily, with world wide support allows a simpler manufacturing environment, reduces costs of such environments, and eases or completely releases restrictions for development, distribution, interaction, verification, authentication and achieve of the container, further developing its independence.

[0023] Many ways of handling information are reliant upon commercial or other vendor or vender interaction. Further, there are restrictions in how data is processed, or, even further, the previous art present only parts of the electronic document apparatus, having other parts and systems and other commercially interlaced information transmissions and exchanges. Original documents are sometimes made from scanned sources, virtually removing the dynamic allocation and build properties available in a real time document manufacturing process. Additionally, some information or other image data embedding arts actually go further than that, and forever alter or restrict the original image content.

[0024] This has made and is making digital information transfer complex; further, only parts of the solution exist. A mirage of devices, interfaces, methods, all exist, and these pieces and sub standard methods creates more problems. The issue is of interoperation of the document contents. With the people involved, methods of transfer, degree of security, and control of all entities and their parties involved, there is a dedicated portion of document handling and security that needs attention.

[0025] Feature rich vendor supplied solutions are sometimes richer in content than desired. Features that are not always necessary for commonplace transactions are become common all over the place hurdles always necessary that are not features. Further, a solution that answers to all needs are in fact sometimes too much.

[0026] Prior art shows examples of complete systems that present a way of electronic document control. None of the references would piece apart or combine together to create the electronic document, specifically with regard to standards.

[0027] Prior art handles electronic documents and its delivery in different ways, further having mechanisms or apparatus that are different and act differently.

[0028] Different prior art references teach handling of data in an electronic document differently. Some alter data of an image; some built a system that comprises the document.

[0029] What is needed is a securable independent electronic document that solves one or more problems described herein and/or one or more problems that may come to the attention of one skilled in the art upon becoming familiar with this specification.

[0030] Prior art consists of a variety of document container, their methods and their uses. The field presents several related prior art references that offer some improvements made in the field to resolve these issues. Examples include but are not limited to the references described below, which references are incorporated by reference herein:

[0031] U.S. Pat. No. 7,451,156 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE.

[0032] U.S. Pat. No. 7,441,185 issued to Coulson, et al. sets forth a METHOD AND SYSTEM FOR BINARY SERIALIZATION OF DOCUMENTS, teaches documents are considered main that of text XML, and this process reduces the XML's footprint as it is transferred.

[0033] U.S. Pat. No. 7,418,652 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR INTERLEAVING PARTS OF A DOCUMENT.

[0034] U.S. Pat. No. 7,383,502 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0035] U.S. Pat. No. 7,383,500 issued to Shur, et al. sets forth METHODS AND SYSTEMS FOR BUILDING PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0036] U.S. Pat. No. 7,373,330 issued to Klebe sets forth a METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL FORWARDING OF ENCRYPTED DOCUMENTS, teaches multiple publisher type oriented apparatus to request, email, download program and data and decrypt data.

[0037] U.S. Pat. No. 7,366,982 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0038] U.S. Pat. No. 7,359,902 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE, teaches a package system using XML.

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[0039] U.S. Pat. No. 7,356,143 issued to Morten sets forth a SYSTEM, METHOD, AND APPARATUS FOR SECURELY PROVIDING CONTENT VIEWABLE ON A SECURE DEVICE, teaches Media player doing encrypt and decrypt steps.

[0040] U.S. Pat. No. 7,343,339 issued to Harrison Jr., et al. sets forth an ELECTRONIC BOND AND GUARANTY PROCESS AND BUSINESS METHOD.

[0041] U.S. Pat. No. 7,330,974 issued to Silverbrook, et al. sets forth a METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT, teaches altering images with message data.

[0042] U.S. Pat. No. 7,330,560 issued to Donescu sets forth an INSERTION AND EXTRACTION OF A MESSAGE IN AN IMAGE.

[0043] U.S. Pat. No. 7,299,408 issued to Daconta, et al. sets forth an ELECTRONIC DOCUMENT VALIDATION.

[0044] U.S. Pat. No. 7,296,217 issued to Earnshaw, et al. sets forth an ELECTRONIC TRANSACTION DOCUMENT SYSTEM.

[0045] U.S. Pat. No. 7,295,207 issued to Yoon, et al. sets forth a METHOD FOR MANAGING ANIMATION CHUNK DATA AND ITS ATTRIBUTE INFORMATION FOR USE IN AN INTERACTIVE DISC.

[0046] U.S. Pat. No. 7,251,832 issued to Venters, III, et al. sets forth a SECURE STREAMING CONTAINER, teaches open streaming channel of media from specific exe.

[0047] U.S. Pat. No. 7,251,644 issued to Viola, et al. sets forth PROCESSING AN ELECTRONIC DOCUMENT FOR INFORMATION EXTRACTION.

[0048] U.S. Pat. No. 7,199,804 issued to Bhattacharyay, et al. sets forth INK INFORMATION IN IMAGE FILES.

[0049] U.S. Pat. No. 7,191,392 issued to Coar sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches Combination of files into a package, with access rule.

[0050] U.S. Pat. No. 7,191,186 issued to Pullen sets for a METHOD AND COMPUTER-READABLE MEDIUM FOR IMPORTING AND EXPORTING HIERARCHICALLY STRUCTURED DATA.

[0051] U.S. Pat. No. 7,181,017 issued to Nagel, et al. sets forth a SYSTEM AND METHOD FOR SECURE THREE-PARTY COMMUNICATIONS.

[0052] U.S. Pat. No. 7,172,122 issued to Alleshouse sets forth an XML SYSTEM.

[0053] U.S. Pat. No. 7,089,248 issued to King, et al. sets forth a GROUP FILE DELIVERY INCLUDING USER-DEFINED METADATA.

[0054] U.S. Pat. No. 6,954,542 issued to Miyake, et al. sets forth an IMAGE PROCESSING APPARATUS AND METHOD, teaches an application to put information into pixel image.

[0055] U.S. Pat. No. 6,908,034 issued to Alleshouse sets forth an XML SYSTEM.

[0056] U.S. Pat. No. 6,862,599 issued to King sets forth a SOFTWARE-BASED METHODOLOGY FOR THE STORAGE AND RETRIEVAL OF DIVERSE INFORMATION, teaches Pointer management for hierarchy based data.

[0057] U.S. Pat. No. 6,643,383 issued to Dugelay sets forth a METHOD FOR HIDING BINARY DATA IN A DIGITAL IMAGE.

[0058] U.S. Pat. No. 6,616,702 issued to Tonkin sets forth PREVIEWING AN ASSEMBLED DOCUMENT.

[0059] U.S. Pat. No. 6,507,858 issued to Kanerva, et al. sets forth a SYSTEM AND METHOD FOR STORING ORDERED SECTIONS HAVING DIFFERENT FILE FORMATS.

[0060] U.S. Pat. No. 6,342,954 issued to Hisatomi, et al. sets forth an IMAGE INFORMATION PROCESSOR AND IMAGE INFORMATION TRANSMITTER.

[0061] U.S. Pat. No. 6,192,138 issued to Yamadaji sets forth an APPARATUS AND METHOD FOR EMBEDDING/UNEMBEDDING SUPPLEMENTAL INFORMATION, teaches Image and watermarking.

[0062] U.S. Pat. No. 6,138,119 issued to Hall, et al. sets forth TECHNIQUES FOR DEFINING, USING AND MANIPULATING RIGHTS MANAGEMENT DATA STRUCTURES, teaches techniques for defining, using and manipulating digital rights management data structures.

[0063] U.S. Pat. No. 6,055,321 issued to Numao, et al. sets forth a SYSTEM AND METHOD FOR HIDING AND EXTRACTING MESSAGE DATA IN MULTIMEDIA DATA, teaches hardware for examining media extracting message from transformed/scrambled data in media.

[0064] U.S. Pat. No. 5,894,558 issued to Falker sets forth a METHOD OF DISPATCHING DOCUMENTS BY CONVERTING THE DOCUMENTS FROM A CUSTOMER SPECIFIC DATA FORMAT TO A STANDARDIZED DATA FORMAT, AND THEN TRANSMITTING THE DOCUMENTS VIA MOST FAVORABLE WAY TO RECIPIENTS.

[0065] U.S. Pat. No. 5,778,372 issued to Cordell, et al. sets forth REMOTE RETRIEVAL AND DISPLAY MANAGEMENT OF ELECTRONIC DOCUMENT WITH INCORPORATED IMAGES.

[0066] U.S. Pat Application 20070106932, Coar, sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches a container of files with access rules.

[0067] U.S. Pat Application 20070011457, Dubinsky, et al. sets forth a METHOD FOR DISPLAYING AND PRINTING DIFFERENT SIZES OF SMART DOCS, teaches a visual display method for viewing a document, solving previous display issues.



[0068] U.S. Pat Application 20060150151, Dubinsky, sets forth a METHOD AND SYSTEM FOR EMBEDDING USER ASSISTANCE IN DOCUMENTS UTILIZING MARKUP LANGUAGES, teaches a system and method for providing user assistance directly in the document, where the document is of the XML type.

[0069] U.S. Pat Application 20060136477, Bishop, et al. sets forth a MANAGEMENT AND USE OF DATA IN A COMPUTER GENERATED DOCUMENT, teaches a multipart electronic document allowing sectional sub-document editing and control.

[0070] U.S. Pat Application 20060080599, Dubinsky, sets forth a METHOD AND SYSTEM FOR BUILDING AUDIT RULE SETS FOR ELECTRONIC AUDITING OF DOCUMENTS, teaches a system and method for creating documents, audit rule-sets and testing requirements. Included is application software for creation of rule sets and properties, having the software limit the documents full use and potential. The document is based exclusively on XML components with a reduced feature set capability.

[0071] U.S. Pat Application 20050138382, Hougaard, et al. sets forth a METHOD AND PROCESS FOR CREATING AN ELECTRONICALLY SIGNED DOCUMENT.

[0072] U.S. Pat Application 20040237040, Malkin, et al. sets forth a SYSTEM AND METHOD OF PROCESSING AN ELECTRONIC FORM USING LAYERED ASPECTS.

[0073] U.S. Pat Application 20040128513, Wu, Yen-Hsing, et al. sets forth a SECURED ELECTRONIC DOCUMENT AND METHOD OF VALIDATING THE SAME, teaches a secured electronic document and method for securing documents using printing means.

[0074] U.S. Pat Application 20030046274, Erickson, et al. sets forth a SOFTWARE MEDIA CONTAINER, teaches a secure media container and its handling apparatus for specifically limited digital rights management using a single container with external data and reference thereof.

[0075] U.S. Pat Application 20020066019, Amonou, et al. sets forth INSERTION OF MESSAGES IN DIGITAL DATA, teaches a method and device to make it possible to insert messages in a subset of digital data whilst ensuring the required robustness and invisibility.

[0076] U.S. Pat Application 20020059144, Meffert, et al. sets forth a SECURED CONTENT DELIVERY SYSTEM AND METHOD, teaches implemented PKI encryption between sender and recipient.

[0077] U.S. Pat Application 20010027459, Royal, sets forth a METHOD AND APPARATUS FOR ELECTRONIC DOCUMENT EXCHANGE, teaches a method for document exchange using standard protocols that's flexible and easy to use. Shown is a specific document exchange system using specific relationships. Combination containers are used and are not dedicated document containers.

[0078] While the foregoing described prior art have provided elements of operation, they lack ability to be secure, independent of additional party involvement, having original document authentication, automation, interface and handling functionality;

[0079] Other prior art using methods such as signature mapping on graphic representations, transportation of text based content allowing alteration, outside party interface dependant, commercialized and controlled, content duplicated, specific data format type excessed, content and cross-content support limited, altered or other missed security measured methods s required for today's digital exchange, commerce, and trade transactions for electronic information.

[0080] Environment in which the document is in is intense and requires enormous resource. The steps forward in processing the idea, the prototype and a working version that could sustain the market vitality are enormous, even though the distances traveled are small.

[0081] Thus, there remains a need in the art for a securable independent electronic document. An apparatus that is securable, easy to use, robust, alteration detectable and independent of other party's involvement, supportive of digital signatures and their witnesses, and supports complete closed party information exchange.

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[0082] Prior art heretofore known suffer from a number of disadvantages, which include a number of information integrity processes.

[0083] Without a unique container, individual, simple, in-house defined ways of document processing is not allowed; it's not totally secure, for sure. Further, custom, private handling solutions cannot be used or included, nor can they be conducted, without having total control of this container. A securable independent electronic document container is defined having absolute handling means. Further, it is defined to have intentional dedication to the security within.

[0084] Security is of the utmost importance. Take a bail bond document, for example. The said document becomes a promise that good intentions are desired between all parties. It further shows the urgency and need to solve issues or problems at hand, if any. In this industry, there is a yielding to the way of the more critical needs of humanity. A simple document; a promise of resource or asset; A guarantee that information moves on, without delay. Legal processes and matters thereof are among the highest in need for common humanity based affairs. Each side depends on the document to help with issues of resource management, be-it time or money, or both. Resources close to 'home' influence and shape our lives more than the courts, fees, jails, bonds, sureties, insurances and risks. However, in the system, money has a way of saying something, and incorrect, inefficient and pretend solutions have a way of getting up and moving. The electronic document speaks its monetary and asset value having delay, inefficiency, resistance, or other influences left at the curb.

[0085] People should feel confident of their transactions. Interoperability is one main consideration. The 'binary visually graphic' container is operable among all systems and platform and computer systems. Simple cut and paste. That's all we want! It's possible. In the older DOS editors, the document can be edited, having its internal text contents simply cut and pasted into a new formed destination file, and the contents comes alive, executing, establishing, presenting, processing, transacting as needed. Any text, let alone any data, can be in there.

[0086] Cross platform creation for the reading, printing, and processing of the electronic document can occur. Further, as dates continue, as do version oriented software releases, base support will be built in already.

[0087] Developing standards or other normative based recommendations are available which describe many of the possible internal and external working components. Various utilities, tools and other applications are widely available for document creation, delivery, verification, automation, and other transaction processes. With the binary type container can be carried, such as via email or zip file or other box. Graphical applications can display visual images at the same time that text-editing applications can present character texts. The container is well supported. Tools to support are available and free.

[0088] Container could either support easy non-scripting simple graphic data decompression or character text processing interaction. With the simple graphical display being first, generic errors, such as buffer overflows or failed dictionary or image reconstructions. These generally would create internal errors and such would occur and trigger a simple closing, stoppage of processing response, which would cause little disruption. The Process of the character text could be done, generally in either area or 'interfacing' phase of the document. For instance an initial read could be done to the document, exposing its detailed content to the computer for routing and designation delivery, processing, operations and other integrated procedures or functions.

[0089] These sections can have the character data text languages that work within 7 or 8 bit formats. Remember, any data could be placed in a 6 Bit format using the Base64 MIME encoding, which, for example, could be done with international multiple character byte language formats. A use of an extensible markup language (XML), for example digital signatures and extensible hypertext markup language (XHTML), which are commonly used for creating electronic documents. Scripting code, protocol text, server page ASP, batch file process or other such text based formats are easily placed in the text sections.

[0090] Can represent and support a large variety of paper-based documents or electronic based documents.

[0091] Another feature with the electronic document is the ease of handling for document variation. Within any industry, individually dictated practices or other various conditions involved in processing an electronic document is completely supported. For example, in mortgage transactions, certain

lenders may have a common set of requirements for document validity, but might supplement these with their own particular requirements.

[0092] Impossible to Combine- The mix of the two environments, character text and graphic, is the combination of the two different environments that have evolved since the beginning of computer systems.

[0093] Rewrite The present invention can be embodied in various forms, including business processes, computer implemented methods, computer program products, computer systems and networks, user interfaces, application programming interfaces, and the like.

[0094] Prior art have differences in their presentation of the electronic document, and therefore are complete solutions that do not interact. Further, some references have technology reversed, showing combination can still be unobvious.

[0095] The World Wide Web Consortium (W3C) is the organization responsible for the XML standard, DTDs and related standards (<http://www.w3.org>). Notwithstanding the reference to DTDs to define the structure of XML documents, it should be understood that other specifications, methodologies, systems, protocols, or schemes could define the structure of markup languages.

[0096] Applications are not putting options in for a major embedded section of character text

[0097] There is no suggestion or teaching that references or presents a serried of combined ISO/IEC/W3C standards, specifically none placing digital signatures, notarizations, and self-containment inside digital graphic images.

[0098] Unobvious was the suggestion to combine the character text and graphic elements. There were prior suggestions for metadata or a comment, but the idea of internally supporting simple or rich text, markup or system command language or further other encoded random data has not come to light, let alone auto processed, digitally signed or digitally witnessed data.

## BRIEF SUMMARY OF THE INVENTION

[0099] Taught within is the development of an electronic document in response to the present state of the art, and in particular, in response to the problems and needs in the art that have not yet been fully solved. Accordingly, the embodiments presented within teach various possible solutions using an electronic document apparatus. Described herein, the apparatus shows to accompany variously different information handling requirements of exchange, commerce and trade. Offered with the electronic document apparatus is security and independence in its process, method, and manufacture, offering a system for the secure independent electronic document operation.

[0100] Providing an inert container for an electronic document having advanced security features, particularly those allowing complete system control of said container, doing so with a selected set of parties, and no more. Independence is further extended with verification abilities, allowing original vendors to verify the container and its contents.

[0101] Interrelated security with in document secures document further. Each interface could support characterization of the other, and interact to bind data of both format types.

[0102] Digital signatures, let alone XML has not been presented as something that should heavily populate a comment field, making an image container become a secure document.

[0103] The use of the electronic document using embedded enhanced XML protocols has not been discussed nor realized, and further, repeated missed signification realizations with focus diverted elsewhere shows lack of advantage realization.

[0104] The only link that best made sense was the text super imposed into the graphic format.

[0105] Any mention of items embedded are for descriptive or metadata purposes.

[0106] The main ‘things’ had come to frustration. It was that a mix of the two, preferably in the course of ‘into one document’. Due to its advantages, the building of the electronic document would have transpired by this time.

[0107] The problem with securities assets transfer required solid positive control of container for such assets. Not until now has there been a container with enhanced security containing both automated data and visual presentation.

[0108] Standards are designed for voluntary use and do not impose any regulations. However, laws and regulations may refer to certain standards and make compliance with them compulsory. For example, the physical characteristics and format of credit cards is set out in standard number BS EN ISO/IEC 7810:1996. Adhering to this standard means that the cards can be used worldwide. [0000] Any standard is a collective work. Committees of manufacturers, users, research organizations, government departments and consumers work together to draw up standards that evolve to meet the demands of society and technology. British Standards' staffs act as secretaries to these committees and project manage the production of standards. As the world's oldest National Standards Body, BSI British Standards has over 100 years' experience of bringing together these often very varied viewpoints and of facilitating consensus.

[0109] A person skilled in a variety of art areas will become aware of how these established channels of protocol can become a solution for a spectrum of generically accepted possibilities of digital electronic commerce processing.

[0110] For the every day person, a picture identifies an item, and with a simple character data-interfacing environment, becomes a document that works for many purposes.

[0111] Outer wrapper gets the security container award.

[0112] Further, inert, free of platform and vendor requirements, you could do it!

[0113] The enhanced security, auto processing, cut and paste, and principle human interaction is a unique combination of features related to the electronic document.

[0114] Several steps in regards to XML and digital signatures, as well as the incorporation of the finalized secured data section into the image container requires several stages of steps in order to generate an electronic document of its type.

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[0115] The commercial value of the electronic power of attorney relates to many aspects of information, data or other valued managed asset relates to the value of the item itself. Having the capability to support security measures that, when in place, can ensure transactions are done correctly and in form that is verifiable and authenticable then ensures the asset is secure. With this, the value then becomes that of the monetary or other financial worth of the asset itself.

[0116] Included is optional ‘receipt’ possibilities, where the document’s contents and a show of service or directed process is created.

[0117] Multiple embodiments are presented within, and in their accordance, an electronic document apparatus, method, system and its manufacture is presented, teaching a solution for secure independent digital electronic commerce and trade.. Said embodiments allow ‘Electronic Commerce and Trade’ to be secure and vendor independent. Within each embodiment’s system, an apparatus can be manufactured using the method described therein. The electronic documents are proven in process, allowing immediate implementation and use, in similar to that of presented embodiments. An electronic document handling digital signatures, graphic representation, data automation all within an unalterable, verifiable, secure container is explained.

[0118] Use of what’s described allows freedom in the commerce world, removal of vendor dependencies, and compliance with standard structure. Therefore, in accordance with the inscribed description, objects, features, drawings and advantages, simple electronic commerce transactions can be executed with proven, well established standard information exchange specifications and recommendations, and can become an asset!?.

[0119] Emca International (Emca) is an international, private (membership=based) non-profit standards organization for information and communication systems. It acquired its name in 1994 European Computer Manufactures Association (EMCA) Geneva, 1961, To standardize systems.

[0120] Mentioned here are a few outstanding embodiments in their ‘functional form’ wording.

[0121] Document encryption can either be on character data, before any base 64, or on the image data, having image data encrypted/scrambled before image section creation.



[0122] The eXtensible Markup Language (XML) can be used to facilitate implementation of integrated programmable World Wide Web ("Web") based services. Through the exchange of XML-related messages, services can describe their capabilities and allow other services, applications or devices to easily invoke those capabilities. The Simple Object Access Protocol (SOAP) has been developed to further this goal. SOAP is an XML-based mechanism that bridges different object models over the Internet and provides an *open* mechanism for Web services to communicate with one another.

[0123] XML provides a format for describing structured data, and is a markup language that is similar in form to Hyper Text Markup Language (HTML) in that it is a tag-based language. Unlike HTML, however, XML tags are not predefined, permitting greater flexibility than possible with HTML. By providing a facility to define tags and the structural relationship between tags, XML supports the creation of richly structured Web documents.

[0124] The XML standard describes a class of data objects called XML documents and partially describes the behavior of computer programs which process them. XML documents are made up of storage units called entities, which contain either parsed or unparsed data. Parsed data is made up of characters, some of which form character data, and some of which form markup. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism to impose constraints on the storage layout and logical structure.

[0125] XML "elements" are structural constructs that include a start tag, an end or close tag, and the information or content that is contained between the tags. A "start tag" is formatted as "<tagname>" and an "end tag" is formatted as "</tagname>".

[0126] In an XML document, start and end tags can be nested within other start and end tags. All elements that occur within a particular element have their start and end tags occur before the end tag of that particular element. This defines a tree-like structure. Each element forms a node in this tree, and potentially has "child" or "branch" nodes. The child nodes represent any XML elements that occur between the start and end tags of the "parent" node.

[0127] One exemplary usage of XML is the exchange of data between different entities, such as client and server computers, in the form of requests and responses. A client might generate a request for information or a request for a certain server action, and a server might generate a response to the client that contains the information or confirms whether the certain action has been performed. The contents of these requests and responses are in the form of XML documents, i.e., sequences of characters that comply with the specification of XML.

[0128] [TITLE] The XML Signature Recommendation (*XML-Signature Syntax and Processing*) defines standard means for specifying information content to be digitally signed, including the ability to select a portion of an XML document to be signed using an XPath transform. [w3c digsig abstract] A specification located at <http://www.w3.org/TR/xmlsig-core/> teaches the current version of the XML digital signature processing rules and syntax.

[0129] XML Signatures provide data *integrity*, message and/or signer authentication services for data of any type, whether located within the XML that includes the signature or elsewhere.

[0130] The SOAP specification defines a uniform way of passing XML-encoded data. It also defines a way to perform remote procedure calls (RPCs) using HTTP as the underlying communication protocol.

[0131] A SOAP message is an XML document that includes a mandatory SOAP envelope, an optional SOAP Header, and a mandatory SOAP Body. SOAP provides a protocol specification for invoking methods on servers, services, components and objects. SOAP codifies the existing practice of using XML and HTTP as a method invocation mechanism. The SOAP specification mandates a small number of HTTP headers that facilitate firewall/proxy filtering. The SOAP specification also mandates an XML vocabulary that is used for representing method parameters, return values, and exceptions.

[0132] SOAP provides an *open*, extensible way for applications to communicate using XML-based messages over the Web, regardless of what operating system, object model or language particular applications may use. SOAP facilitates universal communication by defining a simple, extensible message format in standard XML and thereby providing a way to send that XML message over HTTP.

phrases "one embodiment," "an embodiment," and similar language throughout this specification may, but do not necessarily, all refer to the same embodiment, different embodiments, or component parts of the same or different illustrated invention. Additionally, reference to the wording "an embodiment," or the like, for two or more features, elements, etc. does not mean that the features are related, dissimilar, the same, etc. The use of the term "an embodiment," or similar wording, is merely a convenient phrase to indicate optional features, which may or may not be part of the invention as claimed.

[0142] Each statement of an embodiment is to be considered independent of any other statement of an embodiment despite any use of similar or identical language characterizing each embodiment. Therefore, where one embodiment is identified as "another embodiment," the identified embodiment is independent of any other embodiments characterized by the language "another embodiment." The independent embodiments are considered to be able to be combined in whole or in part one with another as the claims and/or art may direct, either directly or indirectly, implicitly or explicitly.

[0143] Finally, the fact that the wording "an embodiment," or the like, does not appear at the beginning of every sentence in the specification, such as is the practice of some practitioners, is merely a convenience for the reader's clarity. However, it is the intention of this application to incorporate by reference the phrasing "an embodiment," and the like, at the beginning of every sentence herein where logically possible and appropriate.

[0144] As used herein, "comprising," "including," "containing," "is, are," "characterized by," and grammatical equivalents thereof are inclusive or open-ended terms that do not exclude additional unrecited elements or method steps. "Comprising" is to be interpreted as including the more restrictive terms "consisting of" and "consisting essentially of."

[0145] As used herein, "graphic file", "image file", "image", "graphical data", "graphical image", "WMP", "JPG", "BMP", "PNG", "JPG", "TIFF", "GIF", "JIF", "JNG", SVG, and RTF includes any type of a visually graphic humanly perceivable medium or image based data.

[0146] As used herein, "standards group", "ISO", "IEC", "W3C" includes any normative group or organization.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0135] In order for the advantages of the invention to be readily understood, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments that are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings, in which:

[0136] For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

[0137] Listed are drawings that in no way limit the possibilities of the apparatus.

[0138] FIGS. 1A through 1E are block diagrams illustrating an embodiment. FIG 1A is a block diagram of one embodiment in accordance with the present invention. FIGS 1B through 1E are block diagrams of sections within the embodiment.

[0139] FIG 2 is a schematic diagram illustrating some of the types of files, if any, that may be enveloped within an embodiment.

[0140] For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the exemplary embodiments illustrated in the drawings, and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications of the inventive features illustrated herein, and any additional applications of the principles of the invention as illustrated herein, which would occur to one skilled in the relevant art and having possession of this disclosure, are to be considered within the scope of the invention.

[0141] Reference throughout this specification to "one embodiment," "an embodiment," or similar language means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment of the present invention. Thus, appearances of the

[0133] Reference throughout this specification to features, advantages, or similar language does not imply that all of the features and advantages that may be realized with the present invention should be or are in any single embodiment of the invention. Rather, language referring to the features and advantages is understood to mean that a specific feature, advantage, or characteristic described in connection with an embodiment is included in at least one embodiment of the present invention. Thus, discussion of the features and advantages, and similar language, throughout this specification may, but do not necessarily, refer to the same embodiment.

[0134] Furthermore, the described features, advantages, and characteristics of the invention may be combined in any suitable manner in one or more embodiments. One skilled in the relevant art will recognize that the invention can be practiced without one or more of the specific features or advantages of a particular embodiment. In other instances, additional features and advantages may be recognized in certain embodiments that may not be present in all embodiments of the invention.

[0147] As used herein, "data", "binary data", "raw data" includes data of a generic binary type.

[0148] As used herein, "byte", "character" includes a sequence of 8 adjacent bits; which are operated on as a unit by a computer, and represents an integer from 0 to 255.

[0149] As used herein, "character text", "character data" includes single, double, or multiple byte characters.

[0150] As used herein, "base64", "shrunk", includes the character representation of data in a form any of the ISO W3C ect standards.

[0151] As used herein, "block" continuous, or set amount of continues raw, binary, character text, random byte or other type of data.

[0152] As used herein, "graphic data", "image data", "pixel data" includes any series of visually representative data.

[0153] "Digital signature", "Signtaure", "Notariization", "Digital Notarization", "Cosign", "Countersign", "XML DigSig", "XML Digital Signatures" includes any digital signature data.

[0154] "Envelope", "enveloping signature", "enveloped data" all reference the encompassment of a signature section or block of structured or non-structured data character(s).

[0155] "Raw", "raw data Block", "part of data", "data section", or "blob" means a section of data that is indiscriminant of its type, size, sign or other aspect or attribute of raw

[0156] As used herein, "standards" Standards - Put at its simplest, a standard is an agreed, repeatable way of doing something. It is a published document that contains a technical specification or other precise criteria designed to be used consistently as a rule, guideline, or definition. Standards help to make life simpler and to increase the reliability and the effectiveness of many goods and services we use. Bringing together the experience and expertise of all interested parties such as the producers, sellers, buyers, users and regulators of a particular material, product, process or service creates standards.

[0157] As used herein, “URL” is Universal Resource Locator.

DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS. 1

[0158] In the following description, for the purpose of explanation, numerous details are set forth, such as diagrams, file types, and arrangements, in order to provide an understanding of one or more embodiments of the present invention. However, it is and will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention.

[0159] Certain embodiments of the securable independent electronic document may be constructed in the following fashion if desired. A text file and a graphics file may be opened simultaneously. Zero or more user files may be embedded into the text file. Security features such as checksums may then be computed on all and / or parts of the text file. The graphics file process may compute checksums or other security features on all and / or parts of the text file and / or all or parts of any embedded user files. The graphics file processing can be made to in essence to take one or more pictures of a visual representation of the text file and its embedded data and therefore these checksums and security features could vary from the security features computed by the text file process. The text file process and the graphics file process may then exchange and write the other process's security feature into its file. Both processes may then each engage in additional processing such as encryption and then compute and write another security feature perhaps by a different method than the prior checksum if desired. This procedure of security feature computation, security feature exchange and save of the other processes' security feature, and re-computation and save of a new security feature may then continue or both the text file and the graphics file may then close. Subsequent inspection of the security features, if allowed by the securable independent electronic document creator, could attest to tamper evidence in either or both of the text file or graphics file portions. Additionally, if allowed by the securable independent electronic document creator, visual validation may be available in either or both the text file and graphics file portions. A human and/or an OCR, or other type of pattern recognition software may make this visual check.

[0160] One embodiment as illustrated in **FIG 1A 100** has any User File **130** or none at all inside a [XML] markup file **120** and **140** enveloped by a [PNG] graphics file **110** and **150**. In **FIGS 1A** through **1E**, XML and PNG are shown as the markup and graphics files respectively, although in certain embodiments, other choices may exist for both. Either or both the [XML] markup file and the [PNG] graphics file may have intrinsic security features [CRC's]. As an example, **FIG 1F** shows a typical arrangement of a PNG data block or chunk with some data elements **191** through **195** and the security feature **196** at the end of the chunk. In the case of the PNG format, the data security feature takes the form of a cyclic redundancy check or CRC computed on the contents of that data chunk. In this and other file formats, other kinds, numbers, and placements of intrinsic and constructed security features may occur. Naturally, it is appreciated that variations are possible and considered within the scope of the example and embodiments.

[0161] In certain embodiments, either or both the [XML] markup file and the [PNG] graphics file may include zero or more Digital Signatures and / or Counter-Signatures of various forms. **FIGS 1B** and **1C** show as an example, typical PNG file header and file end sections respectively. In the case of the PNG file format, the structure of the file is quite flexible with regards to occurrence, number, and arrangement of data blocks or chunks after the IHDR chunk **FIG 1B.111** and before the IEND chunk **FIG 1C.159**. Continuation with an example of a use of the PNG file format in an embodiment, the markup language file and user file component, if any user files are included at all, may be inserted in zero or more Ztxt chunks **FIG 1B.113** and / or **FIG 1C.155**; and / or zero or more tEXT chunks **FIG 1B.114** and / or **FIG 1C.153**; and / or zero or more iTXT chunks **FIG 1B.115** and / or **FIG 1C.154**; and / or zero or more IDAT chunks **FIG 1B.117** and / or **FIG 1C.151**; and / or zero or more Other Sections **FIG 1B.116** and / or **FIG 1C.152**. In various embodiments, Digital Signatures **FIG 1B.112** may be added before the included data. In other embodiments, Digital Signatures **FIG 1C.156** may be added near the end of the graphics file. Still other embodiments may have Digital Signatures **FIG 1B.112** and **FIG 1C.156** both before and after the included file or no Digital Signatures at all in the graphics file portion.



[0162] Embodiments may also possess Digital Signatures in the markup file portion. As an example, in XML markup language, the World Wide Web Consortium (W3C) is just one organization that proposes and maintains standards and routines regarding Digital Signatures. Notarization elements and or files may also be included as appropriate.

[0163] The PNG file structure permits the inclusion **FIG 1B.113-116** and **FIG 1C.152-155** of several types of text data blocks or chunks and other optional types of data chunks in a file. Many of these kinds of data chunks are quite flexible as to what is precisely put into them. Various embodiments could place into these chunks information concerning advanced security features, encryption, digital rights, and / or other uses. In an example of a use of the PNG specification as the graphics file in an embodiment, the **FIG 1B.117** and **FIG 1C.151** IDAT chunks could also carry information concerning advanced security features, encryption, digital rights, and / or other uses as well. Furthermore, an embodiment that uses the PNG specification may also contain user created chunks fabricated within the rules of the specification for the purposes of advanced security, encryption, digital rights, and / or other uses as well as the pre-described chunk types.

[0164] Either or both the [XML] markup file and the [PNG] graphics file may include zero or more Optional Data Block[s] for any combination of the purposes of Advanced Security, Encryption[s], Rights, or other uses. Also, zero or more Notarizations and / or Counter-Signatures may be included. The file creator may retain, 3rd party archive, transmit, and or post the security feature[s], Digital Signatures, and Optional Data Block[s] as required. Notaries / Counter-Signers may retain, 3rd party archive, transmit, and or post as required. The embodiment receiver may check the security features [CRC's] and Digital Signatures, Notarizations, and Counter-Signatures as required. The embodiment receiver and intermediate handlers access the Optional Data Block[s] as required by the embodiment creator.

[0165] The markup file may also contain **FIG 1D.122** and **FIG 1E.141** optional sections and / or files. An embodiment could use these data to support any combination of advanced security, encryption, digital rights, non-repudiation (e.g., evidencing that a document was signed by a particular entity at a particular date and time), field validation (e.g., checking that information in a document complies with content category rules), and / or other uses as appropriate. In the case of the XML, the W3C maintains

routines to convert 8 bit data to 6 bit data and the inverse conversion 6 bit to 8 bit data with no losses of bits. These conversion routines may facilitate the inclusion of files within the markup portion of an embodiment although other conversion routines may be appropriate for certain applications.

[0166] When an originator has completed a securable independent electronic document, they may have access to several kinds of information they included and, perhaps, beyond what they put in. For their particular instance of an embodiment, there may exist zero or more files, CRCs', basic and / or advanced security features, encryption data, digital rights, digital signatures, notarizations, and other data.

[0167] FIG X and Xa show an electronic document using some of the combinations above. For instance, with the Power of Attorney electronic document shown in Fig X. the securable independent electronic document 100 has the graph 80, the text section 5, and data populating

[0168] In certain embodiments, it may appropriate for the originator to have access to none, some, or all of this information. They then may choose to retain, post, transmit to the receiver or third parties whatever portion of that information is reasonable or required. The receiver of a securable independent electronic document may have access to none, some, or all of the information about it or within it also. If reasonable or desired in certain embodiments, the receiver may verify information within and / or about a securable independent electronic document to check for evidence of tampering, validity, and other qualities. Embodiments may also permit one or more third parties to attest to the veracity of a securable independent electronic document.

[0169] Embodiments may be constructed that include an electronic representation of a legal document such as a bond, surety coupon, mortgage, or other legal document. The electronic document creator may then include one or more digital signatures in the markup language portion in accordance with guidelines set forth by the W3C XML digital signature recommendation of June 10, 2008 and / or other methods. It may be reasonably concluded that the markup language portion of a securable independent electronic document construction thus far conforms to often adopted standards and is within the scope of legal rules and regulation such as the UETA statute.

[0170] Intermediate participants such as witnesses, co-signers, counter-signers, notaries, authorizing / approving parties, and others may need only to conform to their requirements within rules and regulation to maintain the legal operability of the included legal document within a securable independent electronic document construct markup language file portion. In certain applications, when the outer graphics file portion of a securable independent electronic document construct readies to envelope the inner markup language file portion with its included document, additional steps may be taken.

[0171] It may be desirable to make any number of pictorial representations of the included document and / or its markup language component in part and / or in its entirety, which may be saved within the outer graphics file portion of a securable independent electronic document. Additional security features may also be computed on the whole or multiple parts of the inner markup language portion with the included document, which enhances the tamper evidence qualities of a securable independent electronic document.

[0172] Embodiments may be constructed having one or more securable independent electronic documents inside a securable independent electronic document. Other regular files may also be interspersed with securable independent electronic document's inside the outermost securable independent electronic document. Embedded securable independent electronic document's may also envelope further embedded securable independent electronic document's and other files. Embodiments may choose to work on the included document and its securable independent electronic document envelope in a easily readable plain-text form. An electronic document creator may construct a securable independent electronic document and then encrypt in its entirety. Information about the encryption may be stored in a second securable independent electronic document that may also contain the entire encrypted securable independent electronic document, some piece of it, or none at all. Encryption information, the encrypted whole or fragmented securable independent electronic document, which may also be inside other securable independent electronic document's, may then take separate routes to the electronic document receiver. The electronic document receiver may then decrypt and / or reconstruct all or part of the originally encrypted securable independent electronic document as appropriate.

## CONCLUSION, RAMIFICATIONS, AND SCOPE

[0173] Advantageously, the securable independent electronic document offers document creators, handlers, and recipients more opportunity and flexibility in selecting tools for data integrity, data security, data validation, data auditing, and support for other mission critical data processing needs.

[0174] The securable independent electronic document users are given the opportunity to create and / or acquire their own tools for document data processing operations. The above-mentioned tools are not necessarily limited in their scope of operation.

[0175] The document creators may be able to choose the level of interaction with outside third parties in document creation and handling.

[0176] The securable independent electronic document may be transmitted simply, such as an attachment to an email; and may be handled with tools common to the browser environment.

[0177] The signature block that contains a section for dynamic data, which is user data or other XML formatted type, including base64 MIME type of encoded data, which allows any random raw binary or character data to be inserted inside the signature block and therefore become candidate for digitally signing. Further, reference to an external object data block can be made, which therefore then includes the referenced material when the digital signature digest value is computed, even though the signed material may be outside the electronic document container.

[0178] Digital signatures can be presented in multiple forms. Adjacent, cosignatures or nested signatures enhance data integrity.

[0179] Digital signatures can be applied to both text and graphic image data sections. Multiple sections within a single text data section allow for digital signature operations to be done to both types of data sections.

[0180] Specific functionality on various types of graphic files allow for larger embedded sections. Further, additional types of data can be placed in the document, having other containment section compartments supporting other various data models. Further, these compartments can contain further

security measures, ensuring yet better containment validity, such as extended content digital signature capabilities.

[0181] The character portion of the container may contain a description of an image, in a graphic section. A graphic section can also contain an image of text, security features, encryption keys, access methods and rights, or other data. A graphic section can contain an interpreted key for a XML section. Example: A picture of a zebra could be coded image='ZEBRA', or other codeword, as well as 'embedded' values, such as a key chain. Either can be first to be created, having support by the other accordingly. In the case of creating the text first, the graphic can contain information (in graphic form), supporting original text information. Later, the text can then finish up with data supporting the graphical equivalent of the original text data to be protected.

[0182] Automated data, graphical data, and a host of other data types work to make the document securable. For example, a code word on the phone could go with a picture and be input to the automated processed text.

[0183] A wide variety of well-adopted applications already support the document.

[0184] Various encryption, security methods, or other technologies in existence, or possibly created in the future, may be implemented in the securable document container. This allows a flexible set of circumstances for enhanced document integrity.

[0185] Multiple page documents can be presented as a series of electronic documents, having the electronic document's contents independent of any header or ending container portions.

[0186] Image sections of the electronic document, its embedded documents or a combination of documents could be combined to present one totality picture. The data for these could be combined or otherwise accumulated accordingly to present a completed pictorial of the overall data environment.

[0187] Self type embedded documents support process flow and management.

[0188] Digital signatures use XML. The graphic image container PNG can support content digital signatures, which, with a specified data set, can present signatures further preserving data integrity.

[0189] Self type embedded documents support process flow and management.

[0190] Notarization or witnessing type of either acknowledgement or Juret based can be part of the document and would follow laws, statutes or other regulations in place to support commerce for a digital transaction. These laws have been in place since early 2000 for most states, having uniform acceptance to these laws commonly in place now.

[0191] Its copy is its original, having both the data and visual support included in a single container package.

[0192] Document is in its best archival state and requires no further processing for indefinite storage and retrieval needs.

[0193] Vendor verification allows for private ‘in house’ vendor specific trade secret secure, reliable confirmation. (Tumblers placed internally known only to internal processes, generating a result whose algorithmic generation is completely contained in vendors’ control.

[0194] With this single container, and the bounds of data limitless, the extra protection sought became more and more. Soon, security possibilities evolved. Usable features become to exist.

[0195] Although the description above contains many specificities, these should not be construed as limiting the scope of the embodiments but as merely providing illustrations of some of the presently preferred embodiments. For example, the document can have other purposes, such as , ect.

[0196] Thus, the scope of the embodiments should be determined by the appended claims and their legal equivalents, rather than by the examples given,

[0197] It is understood that the above-described embodiments are only illustrative of the application of the principles of the present ELECTRONIC DOCUMENT apparatus taught within. The electronic document may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the document apparatus is, therefore, indicated by the appended claims

rather than by the foregoing descriptions. All changes, which come within the meaning and range of equivalency of the claims, are to be embraced within their scope.

[0198] Similarly, one skilled in the art would appreciate a postable resume complete with structured information concerning employment and other tangible data items that would be relative to an applicant’s qualifications.

[0199] As another example, it is envisioned that the electronic document could be that of an issued patent, or published application, having tangible data represented for automation means of cataloging or other reference. Alternately, correspondence could include control structure information supporting the office type actions and / or replies.

[0200] It is also envisioned a graphic image can present a picture that contains a required process or step. The character text could include validation or authentication text, which can include English type instructions, which describe the format of the data originally placed, or otherwise represented in the graphic form.

[0201] It is expected that there could be numerous variations of the design of this invention. For example, the graphic component may consist of colors, shapes, and other visual data. Further, the character text can incorporate multiple embedded documents, digital signature blocks, or other embedded and / or encoded data in any combination.

[0202] It is also expected that another design variation of the document would be an embodiment where there may be a receipt attached to the document, using self document type embedding, where an original order or other request is encompassed inside the receipt when the order or other request is fulfilled.

[0203] It is envisioned that the components of the electronic document and method may be constructed with a variety of information. For example, the character text component can included scripting, command language, or other digital represented formats. Further, its graphical component could include barcodes, object character recognition formatted data, as well as visually confirming data.

[0204] It is also to be realized that the components of the electronic document may be constructed of a variety of processes. In addition, component steps can be separated, each creating separate documents, and finally assembled together.

[0205] Finally, it is envisioned that the components of the electronic document may be constructed of a variety of procedures. For example, its creation could be in a controlled processing environment, with procedures creating individual sections of the document before final construction.

[0206] Thus, while the present invention has been fully described above, with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications. Including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.



That which is claimed is:

1. An information handling apparatus, said information handling apparatus consisting of:

a container, adapted for a plurality of data types of sectional components, said sectional components' comprising:

at least one data component,

wherein when said data component is comprised of a character text data type, said component comprises:

a character text data, said character text data coupled to:

a first interfacing means, wherein said character text data can be processed in an automated fashion in a computer system,

a second interfacing means, wherein said character text data comprises text characters, said characters are able to be interpreted and understood with human interaction,

a first referencing means, wherein said character text data can reference external file data using a uniform resource locator or identifier,

a first encoding means, wherein said character text data can be of an encoded type,

a first embedding means, wherein said character text data of said encoded type can contain filed data of any type, including that of said apparatus container, and

a first integrity means, wherein said character text data can be of an encrypted format; and

at least one additional sectional data component selected from a plurality of data types,

wherein when said data component is comprised of a graphical image data type, said component comprises:

a graphical image data, said graphical image data coupled to:

a third interfacing means, wherein said graphical image data can be digitally processed in an automated fashion in a computer graphic processing system,

a fourth interfacing means, wherein said graphical image data can be realized and perceived with human interaction, and

a second integrity means, wherein said graphical image data can be of an encrypted type;

said sectional component container coupled to:

a fifth interfacing means, wherein said container’s binary file format type allows direct, non-altered, single byte precision, original ‘incrementally-filed’ data handling and storage,

a first independence means, wherein said container’s sectional component’s creation, processing or storage can be independent of said container’s contents creation,

a third integrity means, wherein said container's sectional component's creation, processing or storage can be independent from intervention of said container's association,

a fourth integrity means, wherein said container's sectional component(s) coupled to other said container's sectional components(s) can include data integrity check information of said other container's sectional component(s),

a fifth integrity means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of intervention from additional party association,

a second independence means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of an additional required party or parties' interaction,

a third independence means, wherein said container's format of a normative standard type further allows said container's validation to be independent of an additional party or parties' interaction, and

a fourth independence means, wherein said container's format of a normative standard type further allows said container's creation, processing and/or storage to be independent of a computing platform type.

2. The information handling apparatus of Claim 1, having said character text data further comprising:

at least one character text digital signature data section(s), said character text digital signature data section(s) coupled to:

Patent Application of John Lewis Guymon Jr for  
“Securable Independent Electronic Document”  
Page 38

a digital signing means, wherein said character text digital signature data section type can be at least one of the following: (i) signature; (ii) co-signature; (iii) counter-signature.

3. The information handling apparatus of Claim 2, having said container further comprising:

at least one digital signature data component, said digital signature data component comprising:

at least one digital signature data section, said digital signature data coupled to:

a subsequent digital signing means, wherein said digital signature data type can be at least one of the following: (i) signature; (ii) co-signature; (iii) counter-signature.

4. The information handling apparatus of Claim 3, having said container's component sections' further comprising:

at least one hash check value, wherein said hash check value is computed for the said component section.

ABSTRACT:

In accordance with embodiments within, a securable independent electronic document apparatus is taught. With an authenticable and tamper detectable electronic container, elements and sections supporting platform, vendor and authentication independence, data sections and elements supporting, if user desired, digital signatures, data automation and nested embedding, graphical image data, and/or other types of data elements and sections supporting perceptual integrity and authenticity verification, and/or other free formatted data elements and sections supporting a plurality of types of data processing operations, and, if user desired, imaging representation comprised within a container using a securable and independent system. The securable independent electronic document apparatus presents solutions for the personal unique and interwoven creation and enhancement of user and document security and confidence in electronic data information's digital distribution, commerce, trade, publishing and/or exchange.

(Reference numerals in parenthesis for possible foreign filing)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                   |                                                   |                                  |                                       |
|-----------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|---------------------------------------|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875 | Application or Docket Number<br><b>12/316,699</b> | Filing Date<br><b>12/15/2008</b> | <input type="checkbox"/> To be Mailed |
|-----------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|---------------------------------------|

| APPLICATION AS FILED – PART I                                                             |                                                                                                                                                                                                                               |              | OTHER THAN SMALL ENTITY                          |          |           |          |
|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------------------------------------------|----------|-----------|----------|
|                                                                                           | (Column 1)                                                                                                                                                                                                                    | (Column 2)   | SMALL ENTITY <input checked="" type="checkbox"/> | OR       |           |          |
| FOR                                                                                       | NUMBER FILED                                                                                                                                                                                                                  | NUMBER EXTRA | RATE (\$)                                        | FEE (\$) | RATE (\$) | FEE (\$) |
| <input type="checkbox"/> BASIC FEE<br><small>(37 CFR 1.16(a), (b), or (c))</small>        | N/A                                                                                                                                                                                                                           | N/A          | N/A                                              |          | N/A       |          |
| <input type="checkbox"/> SEARCH FEE<br><small>(37 CFR 1.16(k), (j), or (m))</small>       | N/A                                                                                                                                                                                                                           | N/A          | N/A                                              |          | N/A       |          |
| <input type="checkbox"/> EXAMINATION FEE<br><small>(37 CFR 1.16(o), (p), or (q))</small>  | N/A                                                                                                                                                                                                                           | N/A          | N/A                                              |          | N/A       |          |
| TOTAL CLAIMS<br><small>(37 CFR 1.16(j))</small>                                           | minus 20 =                                                                                                                                                                                                                    | *            | X \$ =                                           |          | X \$ =    |          |
| INDEPENDENT CLAIMS<br><small>(37 CFR 1.16(h))</small>                                     | minus 3 =                                                                                                                                                                                                                     | *            | X \$ =                                           |          | X \$ =    |          |
| <input type="checkbox"/> APPLICATION SIZE FEE<br><small>(37 CFR 1.16(s))</small>          | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |              |                                                  |          |           |          |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT <small>(37 CFR 1.16(j))</small> |                                                                                                                                                                                                                               |              |                                                  |          |           |          |
|                                                                                           |                                                                                                                                                                                                                               |              | TOTAL                                            |          | TOTAL     |          |

\* If the difference in column 1 is less than zero, enter "0" in column 2.

| APPLICATION AS AMENDED – PART II |                                                                                                         |                                  |                                    |               | OTHER THAN SMALL ENTITY |                     |           |                     |
|----------------------------------|---------------------------------------------------------------------------------------------------------|----------------------------------|------------------------------------|---------------|-------------------------|---------------------|-----------|---------------------|
|                                  | (Column 1)                                                                                              | (Column 2)                       | (Column 3)                         |               |                         |                     |           |                     |
| AMENDMENT                        | <b>09/06/2012</b>                                                                                       | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)               | ADDITIONAL FEE (\$) | RATE (\$) | ADDITIONAL FEE (\$) |
|                                  | Total <small>(37 CFR 1.16(i))</small>                                                                   | * 4                              | Minus ** 20                        | = 0           | X \$30 =                | 0                   | OR        | X \$ =              |
|                                  | Independent <small>(37 CFR 1.16(h))</small>                                                             | * 1                              | Minus *** 3                        | = 0           | X \$125 =               | 0                   | OR        | X \$ =              |
|                                  | <input type="checkbox"/> Application Size Fee <small>(37 CFR 1.16(s))</small>                           |                                  |                                    |               |                         |                     | OR        |                     |
|                                  | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small> |                                  |                                    |               |                         |                     | OR        |                     |
|                                  |                                                                                                         |                                  |                                    |               | TOTAL ADD'L FEE         | <b>0</b>            | OR        | TOTAL ADD'L FEE     |

|           |                                                                                                         |                                  |                                    |               |                 |                     |           |                     |
|-----------|---------------------------------------------------------------------------------------------------------|----------------------------------|------------------------------------|---------------|-----------------|---------------------|-----------|---------------------|
|           | (Column 1)                                                                                              | (Column 2)                       | (Column 3)                         |               |                 |                     |           |                     |
| AMENDMENT |                                                                                                         | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)       | ADDITIONAL FEE (\$) | RATE (\$) | ADDITIONAL FEE (\$) |
|           | Total <small>(37 CFR 1.16(i))</small>                                                                   | *                                | Minus **                           | =             | X \$ =          |                     | OR        | X \$ =              |
|           | Independent <small>(37 CFR 1.16(h))</small>                                                             | *                                | Minus ***                          | =             | X \$ =          |                     | OR        | X \$ =              |
|           | <input type="checkbox"/> Application Size Fee <small>(37 CFR 1.16(s))</small>                           |                                  |                                    |               |                 |                     | OR        |                     |
|           | <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small> |                                  |                                    |               |                 |                     | OR        |                     |
|           |                                                                                                         |                                  |                                    |               | TOTAL ADD'L FEE |                     | OR        | TOTAL ADD'L FEE     |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

Legal Instrument Examiner:  
 /KAREN WASHINGTON/

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



TJW

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU: Kaveh Abrishamkar

**Petition for Extension of Time To Reply**

June 5, 2012

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

This applicant herewith petitions the Director of the United States Patent and Trademark Office to extend the time for reply to the Office Action dated (mailed) 3/6/2012 for 1 (One) month from June 6, 2012 to July 6, 2012.

Submitted and included herewith is a check (#1343) for \$75.00 (Seventy Five) to cover the cost of the extension.

(Small Entry)

Thank you for your time.

Very respectfully,

John Lewis Guymon Jr  
Tel: (801) 938-4833  
Msg: (801) 943-8461

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as Express Mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 6<sup>th</sup> day of June 2012.

Applicant 06/11/2012 HVUONG1 00000000 12316699  
01 FC:2251 75.00 0P



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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 12/316,699      | 12/15/2008  | John Lewis Guymon JR. |                     | 1800             |

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| EXAMINER |
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ABRISHAMKAR, KAVEH

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

2494

| MAIL DATE | DELIVERY MODE |
|-----------|---------------|
|-----------|---------------|

03/06/2012

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



|                              |                                      |                                      |  |
|------------------------------|--------------------------------------|--------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>12/316,699 | <b>Applicant(s)</b><br>GUYMON ET AL. |  |
|                              | <b>Examiner</b><br>KAVEH ABRISHAMKAR | <b>Art Unit</b><br>2494              |  |

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 15 December 2008.
- 2a)  This action is **FINAL**.
- 2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 5)  Claim(s) 1-4 is/are pending in the application.
- 5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 1-4 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 12)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 13)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All    b)  Some \*    c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 12/15/08, 4/03, 09, 8/20/10, 1/10/12, and 1/20/12.
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5)  Notice of Informal Patent Application
- 6)  Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. This action is in response to the communication filed on December 15, 2008. Claims 1-4 were originally received for consideration. No preliminary amendments for the claims have been received.
2. Claims 1-4 are currently pending consideration.

#### ***Information Disclosure Statement***

3. Initialed and dated copies of Applicant's IDS (form 1449), received on 12/15/08, 4/03, 09, 8/20/10, 1/10/12, and 1/20/12, have been attached to this Office Action.

#### ***Claim Objections***

Claims 1-14 objected to because of the following informalities: The Applicant employs the language "can be" in multiple instances. For example, in claim 1, the claims states that the container can be of normative standard format. The Examiner notes that this language can be construed as the container is capable of being of a normative standard. Further instances include, wherein the character text data can be of an encoded type, wherein the text data can be processed in an automated fashion, and wherein the graphical image data can be of an encrypted type. There are further instances which do not limit the particular limitations but just require that an element is capable of performing a certain function. Appropriate correction is recommended.

Art Unit: 2494

Claim 1 is objected to because of the following informalities: In the limitation containing “a fourth integrity means,” the limitation states “said container components can *included* data integrity check value information.” Examiner believes that this should read “said container components can *include* data integrity check value information.” Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-4 rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential structural cooperative relationships of elements, such omission amounting to a gap between the necessary structural connections. See MPEP § 2172.01. The omitted structural cooperative relationships include interfacing means, referencing means, encoding means, embedding means, integrity means, independence means, and digital signing means. None of the aforementioned means have any structure defined in the specification.

Furthermore, Applicant is reminded that while features of an apparatus may be recited either structurally or functionally, claims directed to an apparatus must be distinguished from the prior art in terms of structure rather than function. >In re Schreiber, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429,1431-32 (Fed. Cir. 1997) (The absence of a disclosure in a prior art reference relating to function did not defeat the Board’s finding of anticipation of claimed apparatus because the limitations at issue

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were found to be inherent in the prior art reference); see also *In re Swinehart*, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971); < *In re Danly*, 263 F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959). “[A]pparatus claims cover what a device is, not what a device does.” *Hewlett-Packard Co. v. Bausch & Lomb Inc.*, 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990) (emphasis in original).

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-14 are rejected under 35 U.S.C. 102(e) as being anticipated by Ginter et al. (U.S. Patent Pub. No. US 2003/0105721).

Regarding claim 1, Ginter discloses:

An information handling apparatus comprising:

a container, adapted for sectional components, said container can be of a normative standard format (paragraph 0084: *content container interoperability*);

at least one character data component, comprising:

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a character text data, wherein said character text data can be of a normative standard format (paragraph 0138: *content*);

a first interfacing means, wherein said character text data can be processed in an automated fashion in a computer system (paragraph 0138: *content encryption*);

a second interfacing means, wherein said character text data can be interpreted and understood with human interaction (paragraph 0141: *content can include freely available information*);

a first referencing means, wherein said character text data can reference external file data a file using a uniform resource locator or identifier (paragraph 0900, 2241: *reference to where content is located with URL*);

a first encoding means, wherein said character text data can be of an encoded type (paragraph 0212, 0546: *encoding*);

a first embedding means, wherein said character text data can be of said encoded type format and can contain file data of any type (paragraph 0212: *embed data into container*); and

a first integrity means, wherein said character text data can be of an encrypted format (paragraph 0220: *encrypt content*);

at least one graphical image data component, comprising: a graphical image data component (paragraph 1540: *content can include image data*);

a third interfacing means, wherein said graphical image data can be digitally processed in an automated fashion in a computer graphic processing system (paragraph 1545);

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a fourth interfacing means, wherein said graphical image data can be realized and perceived with human interaction (paragraph 1545); and

a second integrity means, wherein said graphical image data can be of an encrypted type (paragraph 1545);

at least one overall data integrity check value (paragraph 1783: *public key is used for integrity verification*);

a fifth interfacing means, wherein said containers default file data format is of a binary type (paragraph 0084);

a first independence means, wherein said containers sectional components creation, processing or storage can be independent of said containers contents creation (paragraph 0084);

a third integrity means, wherein said containers sectional components creation, processing or storage can be independent of an intervention from said containers association (paragraph 0084);

a fourth integrity means, wherein said containers sectional components can included data integrity check value information of other said container sectional components (paragraph 0084);

a fifth integrity means, wherein having said containers format be of a normative standard type further allows said containers creation, processing or storage to be independent of an intervention from additional party association (paragraph 0084);

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a second independence means, wherein having said containers format be of a normative standard type further allows said containers creation, processing or storage to be independent of an additional required party interaction (paragraph 0084);

a third independence means, wherein having said containers format be of a normative standard type further allows said containers validation to be independent of an additional party interaction (paragraph 0084); and

a fourth independence means, wherein having said container have a format of a normative standard type further allows said container to be created, processed or stored independent of a computer system platform medium type (paragraph 0084).

Claim 2 is rejected as applied above in rejecting claim 1. Furthermore, Ginter discloses:

The apparatus of claim 1, having said character data component further comprising:

a first digital signing means, wherein said character text data can be digitally signed, cosigned and countersigned (paragraph 0173, 1742: *digital signature*).

Claim 3 is rejected as applied above in rejecting claim 2. Furthermore, Ginter discloses:

The apparatus of claim 2, having said container further comprising:

at least one or more digital signature data components (paragraph 0173, 1742);

digital signing means, wherein said digital signature component type can be one of a signature, cosignature and countersigner type (paragraph 0173, 1742).

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Claim 4 is rejected as applied above in rejecting claim 3. Furthermore, Ginter discloses:

The apparatus of claim 3, having said container component sections further comprising:

at least one hash check value, wherein said hash check value is computed for the said component section (paragraph 0713, 1742).

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAVEH ABRISHAMKAR whose telephone number is (571)272-3786. The examiner can normally be reached on Monday thru Friday 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jung Kim can be reached on 571-272-3804. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kaveh Abrishamkar/  
Primary Examiner, Art Unit 2494

/K. A./  
03/02/2012  
Primary Examiner, Art Unit 2494

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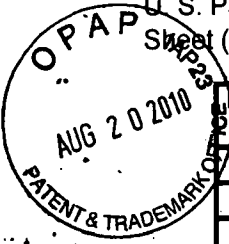
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Examiner Signature: /Kaveh Abrishamkar/

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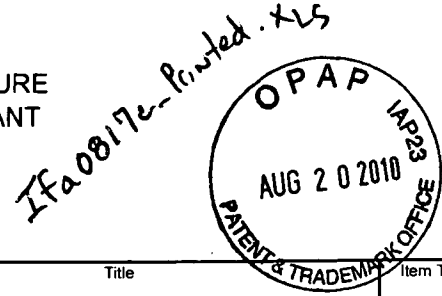
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| B023 | 6343138 | Jan-02 | Rhoads            |
| C025 | 6342954 | Jan-02 | Hisatomi , et al. |
| B024 | 6334187 | Dec-01 | Kadono            |
| B025 | 6307950 | Oct-01 | Powell , et al.   |
| B026 | 6278791 | Aug-01 | Honsinger         |
| A138 | 6246998 | Jun-01 | Matsumori         |
| A139 | 6237096 | May-01 | Bisbee , et al.   |
| A140 | 6209095 | Mar-01 | Anderson , et al. |
| A141 | 6192138 | Feb-01 | Yamadaji          |
| C026 | 6138119 | Oct-00 | Hall , et al.     |
| A142 | 6112185 | Aug-00 | Walker , et al.   |
| A143 | 6065026 | May-00 | Cornelia , et al. |
| B027 | 6055321 | Apr-00 | Numao , et al.    |
| A144 | 6047374 | Apr-00 | Barton; James M.  |
| B028 | 6031914 | Feb-00 | Tewfik , et al.   |
| A145 | 6006328 | Dec-99 | Drake             |
| B029 | 6005643 | Dec-99 | Morimoto , et al. |
| A146 | 5960411 | Sep-99 | Hartman , et al.  |

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|  | B030 | 5946414 |  | Aug-99 | Cass , et al.       |  |
|  | B031 | 5894558 |  | Apr-99 | Falker              |  |
|  | A147 | 5887130 |  | Mar-99 | Doi , et al.        |  |
|  | A148 | 5884272 |  | Mar-99 | Walker , et al.     |  |
|  | B032 | 5870499 |  | Feb-99 | Bender , et al.     |  |
|  | B033 | 5859920 |  | Jan-99 | Daly , et al.       |  |
|  | A149 | 5855008 |  | Dec-98 | Goldhaber , et al.  |  |
|  | A150 | 5845265 |  | Dec-98 | Woolston            |  |
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|  | A151 | 5806072 |  | Sep-98 | Kuba , et al.       |  |
|  | A152 | 5794207 |  | Aug-98 | Walker , et al.     |  |
|  | C027 | 5778372 |  | Jul-98 | Cordell , et al.    |  |
|  | A153 | 5774870 |  | Jun-98 | Storey              |  |
|  | A154 | 5748738 |  | May-98 | Bisbee , et al.     |  |
|  | B035 | 5689587 |  | Nov-97 | Bender , et al.     |  |
|  | A155 | 5668945 |  | Sep-97 | Ohba , et al.       |  |
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|  | A157 | 5615268 |  | Mar-97 | Bisbee , et al.     |  |
|  | A158 | 5375197 |  | Dec-94 | Kang                |  |
|  | B036 | 5149140 |  | Sep-92 | Mowry, Jr. , et al. |  |
|  | A159 | 5022080 |  | Jun-91 | Durst , et al.      |  |
|  | A160 | 5016009 |  | May-91 | Whiting , et al.    |  |
|  | B037 | 4790566 |  | Dec-88 | Boissier , et al.   |  |
|  | A161 | 4751740 |  | Jun-88 | Wright              |  |
|  | A162 | 4701745 |  | Oct-77 | Waterworth          |  |
|  | A163 | 4631603 |  | Dec-86 | Ryan                |  |
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|           | F220   |                             | 7 Steps to Understanding XMP Metadata                                                  |                      | 22-Jun-08 |                  |               |                             | 1-Jan-04          |                   |    |
|           | J031   | LEVIN, REZNITZK             | A Better Approach to PKI Based Digital Signatures                                      |                      | 10-Mar-06 | entire; esp P5   |               | Algorithmic Research        |                   |                   |    |
|           | F062   | JOHN S. ERICKS              | A Digital Object Approach to Interoperable Rights Management                           |                      | 10-Oct-08 |                  | Volume 7      | D-Lib Magazin               | 1-Jun-01          |                   |    |
|           | J013   | Paul Michael Zank           | A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY JOURNALS                     |                      | 4-Nov-05  | entire           |               | Topaz System                | 1-Jan-04          |                   |    |
|           | E007   | BRENDA COULSON              | A how-to guide for supporting digital signatures within SOAP messages                  | Website Article      | 6-Nov-05  | All              | NA            | Brenda Coulson              |                   |                   |    |
|           | J092   |                             | A Manager's Introduction to Adobe eXtensible Metadata Platform, The Adobe XML Metadata |                      | 22-Jun-08 |                  |               |                             |                   |                   |    |
|           | A020   | NATIONAL NOTARY ASSOCIATION | A Position On Digital Signature Laws And Notarization                                  | A Position Statement | 14-Sep-04 | 8 of 8           | N/A           | National Notary Association | 1-Sep-01          | Chatsworth        |    |
|           | A019   | NATIONAL NOTARY ASSOCIATION | A Position on Misleading Usage of Notary Terms in the Electronic Age                   | A Position Statement | 19-Mar-06 | 7 of 7           | N/A           | National Notary Association | 1-Sep-01          | Chatsworth        |    |
|           | A018   | MILTON G. VALE              | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT                             | Presentation         | 19-Mar-06 | 4 of 4           | N/A           | National Notary Association | 11-Aug-00         | Chatsworth        |    |
|           | J030   |                             | About digital signatures                                                               |                      | 10-Mar-06 |                  |               | Algorithmic Research        |                   |                   |    |
|           | J050   |                             | Achieving Document Integrity in Today's Practice                                       |                      | 26-Mar-06 |                  |               |                             |                   |                   |    |
|           | F228   |                             | Adding intelligence to media                                                           |                      | 22-Jun-08 |                  |               |                             |                   |                   |    |
|           | F103   |                             | Adobe - Creative Suite 3 Web Site Design, Graphic Design, Video Editing, Photo Editing |                      | 22-Jul-08 |                  |               |                             |                   |                   |    |
|           | F018   |                             | Adobe Acrobat                                                                          | Wikipedia            | 22-Jun-08 |                  |               |                             |                   |                   |    |
|           | F102   |                             | Adobe Streamlines Workflows for Publishers with New Metadata Technology                |                      | 25-Jun-08 |                  |               | Adobe                       | 24-Sep-01         | San Jose          |    |
|           | F275   |                             | Adobe turns to suppliers to put InDesign in front of publishers                        |                      | 22-Jun-08 |                  |               |                             |                   |                   |    |
|           | J082   | ADOBE                       | Adobe XMP for Creative Professionals                                                   | downloaded           | 21-Jun-08 | entire; esp P1p2 |               | Adobe                       |                   |                   |    |
|           | F232   | JOHN FONTANA                | All eyes on how Microsoft pulls off ODF support                                        |                      | 24-Jun-08 |                  |               |                             | 22-May-08         |                   |    |
|           | D006   |                             | An Introduction To The Oasis LegalXML Electronic Court Filing 3.0 Specification        |                      | 12-Nov-05 |                  |               |                             | 12-Nov-05         |                   |    |
|           | F703   | ED SIMON, PAUL              | An Introduction to XML Digital Signatures                                              | Web Article          | 15-Oct-05 |                  |               | Xml.com                     | 8-Aug-01          |                   |    |
|           | F363   | JIM BEARD                   | An Open-Source System for Electronic Court Filing                                      |                      | 14-Oct-07 |                  |               | Industry News               |                   |                   |    |
|           | U008   | Tim Berners-Lee             | Analysing PICS labels as Metadata                                                      |                      | 30-Mar-09 |                  |               |                             | 15-Jan-07         |                   |    |
|           | F233   |                             | Anti machine                                                                           |                      | 14-Jun-08 |                  |               |                             |                   |                   |    |
|           | J076   |                             | Approved Providers Guidelines for Electronic Bond Submission                           |                      | 9-Dec-07  |                  |               | State of Maryland           | 1-May-04          |                   |    |
|           | J027   |                             | AR's E-Signature Solution For Enabling E-Invoicing                                     |                      | 10-Mar-06 |                  |               | Algorithmic Research        |                   |                   |    |
|           | J112   |                             | Architecting the Infrastructure for SOA and XML                                        |                      | 4-Jul-08  |                  |               |                             |                   |                   |    |
|           | A004   | JOHN R. LEWIS               | ASP File Upload using VBScript                                                         | Webpage              | 15-May-02 | 6 of 6           | N/A           | aspzone.com                 | 10-Jul-00         | UNKNOW            |    |
|           | M001   |                             | AspEncrypt 2.0                                                                         |                      | 19-Sep-03 |                  |               |                             |                   |                   |    |
|           | C013   |                             | Authentication of Notarizations                                                        |                      |           |                  |               |                             |                   |                   |    |
|           | F132   |                             | Base64                                                                                 | Wikipedia            | 2-Jul-08  |                  |               |                             |                   |                   |    |
|           | F660   | Scott Howlett, Jeff         | Beyond ASP: XML and XSL-based Solutions Simplify Your Data Presentation Layer          |                      | 15-Oct-05 |                  | Nov-00        | MSDN                        | 1-Nov-00          |                   |    |
|           | J047   |                             | BioAPI Architecture                                                                    |                      | 23-Mar-06 |                  |               |                             |                   |                   |    |
|           | J041   |                             | Biometric Solutions to Personal Identification                                         |                      | 22-Mar-06 |                  |               |                             |                   |                   |    |
|           | F041   |                             | BMP file format                                                                        | Wikipedia            | 18-Aug-08 |                  |               |                             |                   |                   |    |
|           | F235   | MIKE WALKER                 | Building Sustainable Banking Architectures                                             |                      | 21-Jun-08 |                  |               | Microsoft                   | 1-Mar-07          |                   |    |
|           | J052   |                             | Business-Centric Methodology Specification v1.0                                        |                      | 2-Apr-06  |                  |               |                             |                   |                   |    |
|           | F164   |                             | CALIFORNIA CODES                                                                       |                      | 13-Mar-05 |                  |               |                             |                   |                   |    |
|           | P021   | John Boyer                  | Canonical XML                                                                          | W3C Recommendation   | 30-Mar-06 |                  |               |                             | 15-Mar-01         |                   |    |
|           | M021   |                             | Canonical XML Version 1.0                                                              |                      | 30-Mar-06 |                  |               |                             |                   |                   |    |
|           | F237   |                             | Captaris Solutions for Financial Services                                              |                      | 24-Jun-08 |                  |               |                             |                   |                   |    |
|           | J016   | TOPAZ SYSTEMS               | Capture the Benefits of eSignatures                                                    |                      | 4-Nov-05  | entire           | V2.0          | Topaz System                | 10-Mar-04         |                   |    |
|           | M006   |                             | Checklist for Internet-Drafts (IDs) submitted for RFC publication                      |                      | 4-Feb-06  |                  |               |                             |                   |                   |    |
|           | J096   |                             | Chunk Registration Request for Enabling the PNG Standard to Support Digital Signatures |                      | 24-Jun-08 |                  |               |                             |                   |                   |    |
|           | N007   |                             | Color in JPEG 2000                                                                     |                      | 22-Jul-08 |                  |               |                             |                   |                   |    |
|           | A007   | AMY DUFREE WEBER            | Colorado Implements Level 3 Electronic Recording                                       | Article of the Court | 3-Nov-04  | 1 of 1           | N/A           | State of Colorado           |                   | State of Colorado |    |
|           | F640   |                             | Comparison of Federal E-Signature Act and UETA on Key Consumer Provisions              |                      | 21-Sep-04 |                  |               |                             |                   |                   |    |
|           | P018   |                             | Compound Document by Reference Framework 1.0                                           |                      |           |                  | V1.0          |                             | 18-Jul-07         |                   |    |
|           | J023   | ALGORITHMIC RESEARCH        | CoSign assists Pharmaceutical companies to comply with 21 CFR, Part 11                 |                      | 10-Mar-06 | entire           |               | Algorithmic Research        |                   |                   |    |
|           | F002   |                             | Cover Pages Web Services Interoperability Organization (WS-I).htm                      |                      | 4-Nov-08  |                  |               |                             |                   |                   |    |
|           | F133   | BART PRENEEL                | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                                              |                      | 1-Jul-08  |                  |               | ESAT-COSIC Laboratory       |                   |                   |    |
|           | M025   |                             | Cryptographic Message Syntax (CMS)                                                     |                      | 8-Apr-06  |                  |               |                             |                   |                   |    |
|           | F661   | PROF. G.R. KULKARNI         | Cryptography Demystified                                                               | www.efymag.com       | 20-Oct-05 |                  | 110 June 2005 |                             |                   |                   |    |
|           | A044   | MATTEO DELL'ONORE           | Cryptography, PGP and Pine                                                             | Web Article          | 9-Jan-01  | 5 of 5           | N/A           | Matteo Dell'Onore           | 1-Jan-00          | UNKNOW            |    |
|           | A022   | DIMITRIOS LEKAKOS           | Cumulative Notarization for Long-term Preservation of Digital Signatures               | Article              | 17-Mar-06 | entire; esp P1   | N/A           | Dept of Informatics         | 3-Aug-05          | Athens, Greece    |    |
|           | F072   | DANIEL J. WEITZ             | Current Patent Practice                                                                | W3C Note             | 28-Oct-08 |                  |               |                             | 24-Jan-02         |                   |    |
|           | B002   | GREG ROELOFS                | Current Status of PNG                                                                  | http://www.libp.org  | 28-Apr-08 | 4 of 4           | NA            | Greg Roelofs                | 21-Oct-06         | NA                |    |
|           | J057   |                             | cXML User's Guide                                                                      |                      | 8-Apr-06  |                  |               |                             |                   |                   |    |
|           | F239   | YVES LAFON, BENJAMIN        | Describing and retrieving photos using RDF and HTTP                                    | W3C Note             | 22-Jun-08 |                  |               |                             | 19-Apr-02         |                   |    |
|           | J071   |                             | Developing the Justice Information Exchange Model                                      |                      | 14-Oct-07 |                  |               |                             |                   |                   |    |
|           | F107   | **Internet                  | DIG35: Metadata Standard for Digital Images                                            | downloaded           | 22-Jul-08 |                  |               |                             | 10-Jun-02         |                   |    |
|           | A050   | DIGISTAMP, INC.             | DigiStamp - The Trusted Third-Party Time Authority                                     | Web Article          | 15-May-02 | 9 of 9           | N/A           | DigiStamp, Inc              | 1-Jan-01          | UNKNOW            |    |
|           | D030   | INFORMATION SERVICES        | Digital Signature Guidelines                                                           | Article              | 1-Aug-96  | 1 of 1           | NA            | American Bar Association    | 1-Aug-96          |                   |    |
|           | J049   |                             | Digital Signature in a Web Application                                                 |                      | 25-Mar-06 |                  |               |                             |                   |                   |    |
|           | V002   |                             | Digital Signature Initiative                                                           |                      | 30-Mar-09 |                  |               |                             |                   |                   |    |
|           | P019   |                             | DIGITAL SIGNATURE STANDARD (DSS)                                                       |                      |           |                  |               |                             | 27-Jan-00         |                   |    |
|           | B012   | AKI KANIEL                  | Digital Signature Tutorial                                                             | TBD                  | 4-Mar-06  | TBD              | NA            | TBD                         |                   | NA                |    |
|           | J022   | ALGORITHMIC RESEARCH        | Digital Signatures at The Point of Sale                                                |                      | 10-Mar-06 | entire           |               | Algorithmic Research        |                   |                   |    |
|           | J028   | ALGORITHMIC RESEARCH        | Digital Signatures for Content & Document-Management Systems                           |                      | 10-Mar-06 | entire           |               | Algorithmic Research        |                   |                   |    |
|           | J026   | MOTTY ALON                  | Digital Signatures in a Document-Intensive Organization                                |                      | 16-Mar-06 | entire; P4, P5   |               | Algorithmic Research        | 1-Jul-03          |                   |    |
|           | J025   |                             | Digital signatures in Insurance                                                        |                      | 10-Mar-06 |                  |               | Algorithmic Research        |                   |                   |    |
|           | F056   | THOMAS J. SMITH             | DIGITAL SIGNATURES: The Key to Secure Internet Commerce                                |                      | 21-Sep-04 |                  |               | National Conference         | 23-Jul-98         |                   |    |
|           | J029   | ALGORITHMIC RESEARCH        | Digitally Signing MS-Word and Adobe Acrobat Documents with CoSignTM                    |                      | 10-Mar-06 | entire           |               | Algorithmic Research        |                   |                   |    |
|           | F063   |                             | DIS-29500: Deprecated before use?                                                      |                      | 17-Nov-08 |                  |               |                             |                   |                   |    |
|           | J107   |                             | Document Process Management The Case for an Integrated Lifecycle Approach              |                      | 4-Jul-08  |                  |               |                             |                   |                   |    |
|           | M008   |                             | Donald Eastlake on XML digital signatures                                              |                      | 4-Feb-06  |                  |               |                             |                   |                   |    |

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| J069 |                 | Draft Data Exchange                                                                    |                  | 8-Sep-07  |             |            |                               |           |            |
| M013 |                 | DSCA 01-21 Implementation of Electronic Countersignature Processes                     |                  | 4-Mar-06  |             |            |                               |           |            |
| A011 | TREVOR PERRIN   | DSS Use Case Requirements Analysis                                                     | Document         | 23-Mar-06 | 16 of 16    | N/A        | The Organizat                 | 16-Aug-03 | UNKNOW     |
| M031 |                 | ECF 3.0 Enhancement Proposal                                                           |                  | 16-Sep-07 |             |            |                               |           |            |
| F245 | ELIZABETH MON   | Ecma to create standard out of Microsoft rival to PDF                                  |                  | 24-Jun-08 |             |            |                               |           |            |
| M036 |                 | Electronic Data Interchange                                                            |                  | 29-Sep-08 |             |            |                               |           |            |
| J066 |                 | ELECTRONIC FILING PROJECT                                                              |                  | 8-Sep-07  |             |            |                               |           |            |
| J065 |                 | Electronic Notaries in Colorado                                                        |                  | 21-Jun-07 |             |            |                               |           |            |
| D024 | GREENWOOD       | Electronic Notarization                                                                |                  | 18-Mar-06 | entire      |            | MIT - Natl Notr               | Asc       |            |
| J012 | TOPAZ SYSTEMS   | Electronic Signature Systems - A Guide for IT Personnel                                |                  | 4-Nov-05  | entire      |            | Topaz System                  | 31-Aug-04 |            |
| J024 | ALGORITHMIC RE  | Electronic Signatures - Overcoming Barriers to Efficient Healthcare                    |                  | 10-Mar-06 | entire      |            | Algorithmic Research          |           |            |
| J060 |                 | Electronic Signatures and Infrastructures (ESI); CMS Advanced Electronic Signatures (C |                  | 8-Apr-06  |             | 1.6.3      |                               |           |            |
| J059 |                 | Electronic Signatures and Infrastructures (ESI); Electronic Signature Formats          |                  | 8-Apr-06  |             | 1.5.1      |                               |           |            |
| J056 |                 | Electronic Signatures and Infrastructures (ESI); Maintenance report                    |                  | 8-Apr-06  |             |            |                               |           |            |
| F195 |                 | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT                              |                  | 21-Sep-04 |             |            | 106th Congres                 | 30-Jun-00 |            |
| A038 | ROBINS, KAPLAN  | Electronic Signatures in Global and National Commerce Act (ESIGN) - http://www.rkm     |                  | 19-Jun-05 | All         | N/A        | Robins, Kaplan                | 1-Jan-04  | UNKNOW     |
| J046 |                 | Eliminating the Password Nightmare                                                     |                  | 22-Mar-06 |             |            | DigitalPersona                |           |            |
| J108 |                 | Email Archiving: A Proactive Approach to e-Discovery                                   |                  | 4-Jul-08  |             |            |                               |           |            |
| G006 |                 | Embedding XMP Metadata in Application Files - Draft                                    |                  | 22-Jun-08 |             |            |                               |           |            |
| F025 |                 | Embedding XMP Metadata in Application Files.htm                                        | http://partners. | 22-Jun-08 |             |            |                               | 13-Sep-02 |            |
| J081 | ADOBE           | Embedding XMP Metadata in Application Files Draft 6-6-02                               |                  | 21-Jun-08 | entire, esp | \$1.5      | Adobe                         |           |            |
| F284 | R. V. GUHA, ORA | Enabling Inferencing                                                                   |                  | 25-Jun-08 |             |            |                               | 18-Nov-98 |            |
| Q007 |                 | Enhanced Adobe XML Architecture Supports XML/PDF Form Designer and XML Data P          |                  | 31-Mar-09 |             |            |                               | 15-Jul-03 |            |
| D026 |                 | eNotarization                                                                          |                  | 14-Oct-07 |             |            |                               |           |            |
| Y006 | SURETY, INC.    | Ensuring Record Integrity with AbsoluteProofSM                                         |                  |           |             |            |                               |           |            |
| J043 | BJORN, ET.AL.   | Enterprise Security Architecture for Biometric User Authentication Systems             |                  | 22-Mar-06 | p5.9        |            | DigitalPersona                |           |            |
| B028 | MARGOT SAUNDERS | E-Sign and UETA: What Should States Do Now?                                            |                  | TBD       | 43 of 43    | NA         | Margot Saunders, Gail Hillebr |           | NA         |
| F350 | Michael Zank    | ESIGN Electronic Commerce and Electronic Signature Law Clarified.                      |                  | 21-Sep-04 | entire      |            |                               | 1-Jan-03  |            |
| P022 |                 | Exchangeable image file format                                                         | Wikipedia        | 26-Mar-09 |             |            |                               |           |            |
| J080 | NGO             | EXPLANATORY REPORT ON OFFICE OPEN XML STANDARD (ECMA-376) SUBMIT                       |                  | 6-Jun-08  | entire      |            | ECMA International            |           |            |
| F321 |                 | Explorer versus PNG (AlphaImageLoader)                                                 |                  | 19-May-03 |             |            |                               |           |            |
| J109 |                 | Extending XML-based Services Beyond the Perimeter                                      |                  | 4-Jul-08  |             |            |                               |           |            |
| F247 |                 | Extensible Markup Language (XML)                                                       | Article          | 6-Jun-08  |             |            |                               | 10-Feb-98 |            |
| F619 |                 | Extensible Markup Language (XML) 1.0                                                   | W3C Recomm       | 3-May-08  |             |            |                               | 10-Feb-98 |            |
| F373 |                 | Extensible Markup Language (XML) 1.0 (Second Edition)                                  | W3C Recomm       | 11-Sep-03 |             |            |                               | 6-Oct-00  |            |
| F602 |                 | Extensible Markup Language (XML) 1.0 (Third Edition)                                   | W3C Recomm       | 16-Sep-07 |             |            |                               | 4-Feb-04  |            |
| F042 |                 | Extensible Metadata Platform                                                           | Wikipedia        | 18-Aug-08 |             |            |                               |           |            |
| F238 |                 | Extensible Metadata Platform (XMP)                                                     |                  | 22-Jun-08 |             |            |                               | 22-Jun-04 |            |
| F104 |                 | Extensible Metadata Platform (XMP) Adding intelligence to media                        |                  | 22-Jul-08 |             |            |                               |           |            |
| Y007 |                 | fips_186-3.pdf                                                                         |                  |           |             |            |                               |           |            |
| F250 | RON WHITE       | Free and Cheap Software That Kills the Big Guys                                        |                  | 24-Jun-08 |             |            |                               |           |            |
| G004 |                 | Freely Available Standards                                                             |                  | 4-Nov-08  |             |            |                               |           |            |
| C017 |                 | Generate PKCS#7 Signatures and Envelopes                                               | http://www.asp   | 13-May-03 |             |            |                               | 1-Jan-00  |            |
| U107 | Tim Berners-Lee | Generic Resources                                                                      |                  | 30-Mar-09 |             |            |                               | 1-Jan-96  |            |
| J110 | ALTOVA          | Getting More from Your Content with Single Source Publishing                           |                  | 4-Jul-08  | entire, esp | P3p3, P8.5 | Altova                        |           |            |
| U006 | Tim Berners-Lee | Giving Information About Other Resources in HTML                                       |                  | 30-Mar-09 |             |            |                               | 20-Nov-95 |            |
| M027 |                 | GJXDM DataModeler                                                                      |                  | 21-Apr-06 |             |            |                               |           |            |
| M026 |                 | GJXDM Information Exchange Package Documentation Guidelines                            |                  | 21-Apr-06 |             |            |                               |           |            |
| J091 | SALOP           | Going Digital: Why Use Industry Metadata Standards ... and How                         |                  | 22-Jun-08 |             |            | Adobe                         |           |            |
| F044 |                 | Graphics Interchange Format                                                            | Wikipedia        | 18-Aug-08 |             |            |                               |           |            |
| J044 |                 | Guide to Fingerprint Identification                                                    |                  | 22-Mar-06 |             |            | DigitalPersona                |           |            |
| A047 | VERISIGN, INC.  | Guide To Securing Intranet And Extranet Servers                                        | http://www.veri  | 18-Dec-00 | All         | N/A        | VeriSign, Inc.                |           | UNKNOW     |
| G007 |                 | Hague Conference on Private International Law                                          | Wikipedia        | 21-Jun-08 |             |            |                               |           |            |
| F621 | LISA REIN       | Handling Binary Data in XML Documents                                                  |                  | 3-May-08  |             |            |                               | 24-Jul-98 |            |
| D007 |                 | HB 1300                                                                                | e_article.pdf    | 23-Jun-07 |             |            |                               |           |            |
| F003 |                 | History of PNG.htm                                                                     |                  | 5-Sep-08  |             |            |                               |           |            |
| B005 | GREG ROELOFS    | History of the Portable Network Graphics (PNG) Format                                  | http://www.libp  | 28-Apr-08 | 8 of 8      | NA         | Greg Roelofs                  | 12-Jun-05 | NA         |
| F202 | EXECUTIVE OFF   | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                                           |                  | 1-Mar-01  |             |            |                               | 1-Mar-01  |            |
| S003 |                 | How Does Compound Document Framework Benefit Us?                                       |                  | 26-Mar-09 |             |            |                               | 11-Jun-07 |            |
| A043 |                 | Howto: PGP and GnuPG                                                                   | Web Article      | 9-Jan-01  | 2 of 2      | N/A        | http://www.linuxdoc.org/      |           | UNKNOW     |
| F308 |                 | HOWTO: Send Digitally Signed Messages By Using CDOSYS/COEX                             | Microsoft Know   | 13-May-03 |             |            |                               |           |            |
| J102 |                 | IBM pureXML for SOA: Unlocking the business value of information                       |                  | 4-Jul-08  |             |            |                               |           |            |
| F627 | WILLIAM JACKSON | Illinois crosses the bridge                                                            |                  | 28-Dec-07 |             | 6-Aug-07   |                               |           |            |
| F123 |                 | Image2PDF 3.2 user manual                                                              |                  | 4-Jul-08  |             |            | verypdf.com Inc               |           |            |
| A021 | MILTON G. VALE  | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL AP                                | Presentation     | Early 01  | 8 OF 8      | N/A        | National Notar                | 13-Jul-00 | Chatswortl |
| J083 |                 | In Swedish ???                                                                         |                  | 22-Jun-08 |             |            |                               |           |            |
| J048 |                 | Infomosaic SecureForm Designer                                                         |                  | 25-Mar-06 |             |            |                               |           |            |
| J011 | INFOMOSAIC      | Infomosaic SecureSign Desktop Document Signer                                          |                  | 4-Nov-05  | entire      |            | Infomosaic                    | 9-Jan-04  |            |
| J010 | INFOMOSAIC      | Infomosaic SecureXML Digital Signature & Encryption Toolkit                            |                  | 4-Nov-05  | entire      |            | Infomosaic                    | 9-Jan-04  |            |
| M018 |                 | Infomosaic SecureXML Multiple Signature Demo                                           |                  | 30-Mar-06 |             |            |                               |           |            |
| A009 |                 | Infomosaic: The Easy to Use Digital Signature                                          | Webpage          | 23-Mar-06 | 8 of 8      | N/a        | http://www.info               | 2-Feb-03  | UNKNOW     |
| A029 | JOHN MESSING    | Initial eNotary Signature Candidate Profiles                                           | Article          | After 00  | 1 of 1      | N/A        | John Messing                  |           | UNKNOW     |
| A045 | VERISIGN, INC.  | Introduction to Public Key Cryptography                                                | Web Article      | 18-Jan-01 | 4 of 4      | N/A        | VeriSign, Inc.                | 1-Jan-98  | UNKNOW     |
| J116 |                 | IPTC - NAA Subject Reference Scheme                                                    |                  | 4-Jul-08  |             |            |                               |           |            |
| J113 |                 | IPTC Core Schema for XMP                                                               | Specification d  | 4-Jul-08  |             |            | IPTC                          |           |            |
| P024 |                 | IPTC Information Interchange Model                                                     |                  | 26-Mar-05 |             |            |                               |           |            |
| F112 |                 | IPTC Metadata for XMP                                                                  |                  | 4-Jul-08  |             |            |                               |           |            |
| J105 |                 | Is a Data Warehouse the Only Option for Business Intelligence?                         |                  | 4-Jul-08  |             |            |                               |           |            |

|      |                     |                                                                                         |                                  |           |             |               |                                |  |                   |
|------|---------------------|-----------------------------------------------------------------------------------------|----------------------------------|-----------|-------------|---------------|--------------------------------|--|-------------------|
| J077 |                     | JANUS: An interactive document formatter based on declarative tags                      |                                  | 16-May-08 |             |               |                                |  | 1-Jan-85          |
| F045 |                     | JPEG                                                                                    | Wikipedia                        | 18-Aug-08 |             |               |                                |  |                   |
| F046 |                     | JPEG 2000                                                                               | Wikipedia                        | 18-Aug-08 |             |               |                                |  |                   |
| N006 |                     | JPEG 2000 & JP2                                                                         |                                  | 22-Jul-08 |             |               |                                |  |                   |
| J117 |                     | JPEG 2000 Offers New Opportunities to Enrich Image Content & Applications Flexibility   |                                  | 22-Jul-08 |             |               |                                |  |                   |
| P095 |                     | JPEG Freely available source code for JPEG                                              |                                  | 19-Feb-03 |             |               |                                |  |                   |
| F047 |                     | JPEG Network Graphics                                                                   | Wikipedia                        | 18-Aug-08 |             |               |                                |  |                   |
| U001 |                     | Jpeg Source jpegsr6b.zip                                                                |                                  | 16-Nov-08 |             |               |                                |  | 14-Sep-98         |
| J062 |                     | Justice Information Sharing and the Courts: Courts implementing the GJXDM               |                                  | 21-Apr-06 |             |               |                                |  |                   |
| U004 | Michael R. Genes    | Knowledge Interchange Format                                                            |                                  | 30-Mar-09 |             |               |                                |  |                   |
| M007 |                     | Lab 10: Digital Signatures in InfoPath 2003                                             |                                  | 4-Feb-06  |             |               |                                |  |                   |
| F638 |                     | Laws-2003 Electronic Commerce Legislation                                               |                                  | 21-Sep-04 |             |               |                                |  |                   |
| F639 |                     | Laws-2003 Electronic Signatures and UETA Legislation                                    |                                  | 21-Sep-04 |             |               |                                |  |                   |
| E014 | OASIS COMMITTEE     | LegalXML Electronic Court Filing 3.0                                                    | Committee Draft                  | 15-Nov-05 | All         | NA            | OASIS                          |  | 15-Nov-05         |
| F031 |                     | libmng - The MNG reference library & related info                                       |                                  | 19-Feb-03 |             |               |                                |  |                   |
| F733 |                     | libpng 1.2.5 manual.htm                                                                 |                                  | 8-May-06  |             |               |                                |  |                   |
| F367 |                     | libxml2 Reference Manual                                                                |                                  | 12-Sep-05 |             |               |                                |  |                   |
| A026 | YUICHI SUZUKI       | Long term electronic signature os documents retention                                   | Powerpoint Presentation          | some 2004 | 10 of 10    | N/A           | Yuichi Suzuki                  |  | 1-Jan-04 UNKNOWN  |
| F276 |                     | Luxtrust: which trust ?                                                                 |                                  | 24-Jun-08 |             |               |                                |  |                   |
| J001 |                     | LZS221-C Version 6 Data Compression Software                                            |                                  | 9-Feb-98  |             |               |                                |  |                   |
| U003 | Tim Berners-Lee     | Metadata Architecture                                                                   |                                  | 30-Mar-09 |             |               |                                |  | 6-Jan-97          |
| P025 |                     | Metadata: a simple guide to describing pictures for use in online image libraries       | Whitepaper                       | 26-Mar-09 |             |               |                                |  | 9-Feb-06          |
| M023 |                     | Microsoft XML Core Services (MSXML)                                                     |                                  | 30-Mar-06 |             |               |                                |  |                   |
| Y004 |                     | Model-driven compound document development                                              |                                  |           |             |               |                                |  |                   |
| A005 | JON UDELL           | Modeling biz docs in XML                                                                | Webpage                          | 15-Oct-05 | 1 of 1      | N/A           | Jon Udell                      |  | 29-Nov-02 UNKNOWN |
| F048 | GREG ROELOFS        | Multiple-image Network Graphics                                                         | Wikipedia                        | 30-Jun-08 |             |               | http://www.libpng.org/pub/mng/ |  |                   |
| P020 |                     | Multipurpose Internet Mail Extensions                                                   |                                  |           |             |               |                                |  | 1-Nov-96          |
| E010 | W3C                 | Namespaces in XML                                                                       | World Wide Web Consortium        | 23-Mar-06 | All         | NA            | W3C                            |  | 14-Jan-99         |
| F205 |                     | National Notary Association and Safedocs Provide E-Notarization Solution for E-Mortgage |                                  | 7-Jun-05  |             |               |                                |  |                   |
| J061 |                     | NCSC Component Library Executive Summary                                                |                                  | 21-Apr-06 |             |               |                                |  |                   |
| G009 | WILLIAM A. ANDERSON | New California Jurat Law Creates Confusion                                              |                                  | 21-Jun-08 |             |               |                                |  | 23-Feb-05         |
| F034 |                     | NEW JERSEY NOTARY PUBLIC MANUAL                                                         |                                  | 21-Jun-08 |             |               | State of New Jersey            |  | 21-Mar-03         |
| Q006 |                     | Newest Version of PRISM is Now Ready for Comment                                        |                                  | 23-Feb-09 |             |               |                                |  | 20-Aug-04         |
| F111 |                     | NewsML-G2                                                                               |                                  | 5-Jul-08  |             |               |                                |  |                   |
| F074 |                     | Next-Generation Secure Computing Base                                                   | Wikipedia                        | 31-Oct-08 |             |               |                                |  |                   |
| B030 | BARBARA BOWE        | No Sure Thing                                                                           | Best's Review                    | TBD       | 4 of 4      | February 2004 | A. M. Best Co.                 |  | 1-Feb-04 NA       |
| A012 | LAURENCE LEFF       | Notaries and Electronic Notarization                                                    | Resource Page                    | 4-Mar-06  | 6 of 6      | N/A           | OASIS Open                     |  | 1-Jan-02 UNKNOWN  |
| A030 | MANOJ SRIVASTAVA    | Notarized Digital Signature                                                             | Internet Article                 | 6-Jun-05  | entire      | N/A           | Manoj Srivastava               |  | 22-Oct-02 NA      |
| M015 |                     | Notary Online Library » NNA Position Papers                                             |                                  | 19-Mar-06 |             |               |                                |  |                   |
| F033 |                     | Notary public                                                                           | Wikipedia                        | 21-Jun-08 |             |               |                                |  |                   |
| J067 |                     | OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT         |                                  | 8-Sep-07  |             |               |                                |  |                   |
| J075 |                     | OASIS LegalXML Member Section Electronic Court Filing Technical Committee Electronic    |                                  | 14-Oct-07 |             |               |                                |  |                   |
| F113 |                     | ONJava.com -- XML to PDF Oh, FOP It.htm                                                 |                                  | 22-Jul-08 |             |               |                                |  |                   |
| F263 |                     | Open source tool released for translating between Microsoft, ODF file formats           |                                  | 24-Jun-08 |             |               |                                |  |                   |
| D033 |                     | Open XML Court Interface                                                                | Website Article                  | 16-Nov-04 |             | NA            |                                |  | 16-Nov-04         |
| J094 | REUTER              | OpenDocument meta data                                                                  |                                  | 22-Jun-08 |             |               | Sun                            |  |                   |
| M009 |                     | openssl                                                                                 |                                  | 4-Feb-06  |             |               |                                |  |                   |
| B031 | CS.TORONTO.EDU      | optiPNG - Revision History                                                              | http://www.cs.toronto.edu/~opti/ | 2-Apr-06  | 3 of 3      | NA            | www.cs.toronto.edu             |  | Toronto, O        |
| F118 | MICHAEL K SMIT      | OWL Web Ontology Language Guide                                                         | W3C Recomm                       | 22-Jul-08 |             |               |                                |  | 10-Feb-04         |
| F119 | Tim Berners-Lee     | Platform for Internet Content Selection (PICS)                                          | W3C                              | 22-Jul-08 |             |               |                                |  | 15-Jan-07         |
| P017 |                     | PNG (Portable Network Graphics) Specification Version 1.0                               | W3C Recommendation               |           |             | V1.0          |                                |  | 1-Oct-96          |
| F734 |                     | PNG Alpha Transparency Page 2                                                           |                                  | 9-May-05  |             |               |                                |  |                   |
| F735 |                     | PNG Alpha Transparency Page.htm                                                         |                                  | 9-May-05  |             |               |                                |  |                   |
| K001 |                     | PNG ALPHAIMAGELOADER                                                                    | DIR                              | 19-May-03 |             |               |                                |  |                   |
| K002 |                     | PNG Behavior                                                                            |                                  | 19-May-03 |             |               |                                |  |                   |
| K005 |                     | PNG Behavior (WebFX).htm                                                                |                                  | 19-May-03 |             |               |                                |  |                   |
| K007 |                     | PNG Behavior Demo (WebFX)2.htm                                                          |                                  | 19-May-03 |             |               |                                |  |                   |
| F151 | THOMAS KOPP         | PNG Digital Signatures                                                                  | Extension Specification          | 16-May-08 |             |               |                                |  |                   |
| J079 | BOBLET, KOPP        | PNG Digital Signatures Commented Example                                                |                                  | 16-May-08 | entire      |               | Dialogika GmbH & LuxTrust S.A. |  |                   |
| J078 | KOPP                | PNG Digital Signatures Extension Specification                                          |                                  | 16-May-08 | entire, esp | Sec5.5.1.2    | Dialogika GmbH & LuxTrust S.A. |  |                   |
| E018 |                     | PNG Frequently Asked Questions                                                          |                                  | 28-Apr-08 |             |               |                                |  |                   |
| U010 |                     | PNG Group Email correspondence                                                          | Email cooresp                    | 16-May-08 |             |               |                                |  | 1-Sep-01          |
| K003 | DIR                 | PNG Inline Tests (1)                                                                    |                                  | 19-May-03 |             |               |                                |  |                   |
| F736 |                     | PNG Resources of the Miscellaneous Persuasion.htm                                       |                                  | 9-May-05  |             |               |                                |  |                   |
| F737 |                     | PNG The Definitive Guide (Online Version).htm                                           |                                  | 8-May-05  |             |               | ISBN 1-56 O'Reilly             |  |                   |
| C018 | LARRY SELTZER       | PNG Transparency in Internet Explorer                                                   |                                  | 1-Jan-05  |             |               |                                |  | 5-Oct-04          |
| B003 | GREG ROELOFS        | PNG: The Definitive Guide                                                               | http://www.libp                  | 28-Apr-08 | 3 of 3      | NA            | Greg Roelofs                   |  | 30-Dec-06 NA      |
| F001 |                     | png-list 200109.htm                                                                     | Email cooresp                    | 17-May-08 |             |               |                                |  |                   |
| K009 |                     | PNGSetup and System Administration.htm                                                  |                                  | 16-May-03 |             |               |                                |  |                   |
| F005 |                     | Portable Document Format                                                                | Wikipedia                        | 7-Oct-05  |             |               |                                |  |                   |
| F006 |                     | Portable Network Graphics                                                               | Wikipedia                        | 27-Aug-08 |             |               |                                |  | 10-Nov-03         |
| F361 |                     | Portable Network Graphics (PNG) Specification (Second Edition)                          | W3C Recomm                       | 7-Jan-08  |             | V2.0          |                                |  | 10-Nov-03         |
| F390 | KENT DAVIDSON       | Position Paper on Signed XML                                                            |                                  | 4-Feb-05  |             |               |                                |  |                   |
| F071 |                     | Preview - SIA Information Industry Summit 2007.htm                                      |                                  | 10-Oct-08 |             |               |                                |  |                   |
| F061 |                     | Publicly Available Standards.htm                                                        |                                  | 4-Nov-08  |             |               |                                |  |                   |
| Q004 |                     | Publishing Requirements for Industry Standard Metadata (PRISM)                          |                                  |           |             |               |                                |  | 6-Oct-00          |
| F429 | JOSEPH REAGLE       | Question: The status/design of XML Signatures and Encryption                            |                                  | 6-Nov-05  |             |               |                                |  | 25-May-01         |
| F266 | DAN BRICKLEY        | RDF for self-describing images                                                          |                                  | 25-Jun-08 |             |               |                                |  | 23-Jan-01         |

|      |                   |                                                                                         |                    |           |              |                          |                                      |  |           |
|------|-------------------|-----------------------------------------------------------------------------------------|--------------------|-----------|--------------|--------------------------|--------------------------------------|--|-----------|
| U009 | Dave Beckett      | RDF PNG Icon                                                                            |                    | 25-Jun-08 |              |                          |                                      |  | 19-Apr-01 |
| F267 |                   | RDF Primer                                                                              | W3C Recomm         | 22-Jun-08 |              |                          |                                      |  |           |
| P015 | Ben Adida, et al. | RDFa in XHTML: Syntax and Processing                                                    | W3C Recomm         | 26-Mar-09 |              |                          | W3C                                  |  | 14-Oct-08 |
| F729 |                   | readme.html                                                                             |                    | 9-Feb-03  |              |                          |                                      |  |           |
| A025 | A. SCHMIDT; FRA   | ReadNotify Email Notary and Timestamping service                                        | Article            | 7-Jun-05  | 3 of 3       | N/A                      | http://www.readnotify.com/rea        |  | UNKNOW    |
| F329 | Manoj K. Srivasta | Regulatory Compliance Using Digital Signature                                           |                    | 4-Nov-05  |              |                          | US Army                              |  | 27-Jun-05 |
| F731 |                   | RelayHealth - Secure Online Communications Between Doctors and Patients.htm             |                    | 10-May-06 |              |                          |                                      |  |           |
| A017 | NATIONAL NOTA     | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Flor                        | Report from th     | Late 03   | 11 of 11     | N/A                      | National Notar                       |  | 1-Mar-03  |
| F269 | JOEL NAVA         | Report on XML Packaging                                                                 | W3C                | 14-May-08 |              |                          |                                      |  | 2-Aug-99  |
| Y005 | A. SCHMIDT; T. G  | Requirements for Data Validation and Certification Services                             |                    |           |              |                          |                                      |  |           |
| A024 | A. SCHMIDT; T. G  | Requirements for Data Validation and Certification Services                             | Internet-Draft     | 31-Mar-06 | 11 of 11     | N/A                      | The internet S                       |  | 20-Jun-05 |
| F120 |                   | Resource Description Framework (RDF)                                                    | downloaded         | 22-Jul-08 |              |                          |                                      |  | 1-Jan-04  |
| F057 |                   | Revision history of Extensible Metadata Platform                                        |                    | 18-Aug-08 |              |                          |                                      |  |           |
| F125 |                   | SAA offers screencasts on Key topics all photographers involved in image licensing nee  |                    | 4-Jul-08  |              |                          |                                      |  |           |
| M011 |                   | Safeguarding the Identity and Integrity of XML Messages - Security Strategies for XML S |                    | 5-Feb-06  |              |                          |                                      |  |           |
| Q011 | JASON HOSKING     | Screencast Tutorials                                                                    |                    | 14-Mar-09 |              |                          |                                      |  |           |
| V005 |                   | SDML - Signed Document Markup Language                                                  |                    | 30-Mar-09 |              |                          |                                      |  |           |
| F732 |                   | Search - Wikipedia, the free encyclopedia.htm                                           |                    | 22-May-06 |              |                          |                                      |  |           |
| A002 | DAVID A WHEEL     | Secure Programming for Linux and Unix HOWTO - 11.5 Cryptographic                        | Webpage            | 9-Jan-01  | 1 and 2 of   | N/A                      |                                      |  | UNKNOW    |
| J006 | THAWTE            | Securing your Microsoft Internet Information Services (MS IIS) Web Server with a thawte |                    | 24-Apr-05 | entire       |                          | thawte                               |  |           |
| J005 | THAWTE            | Securing your Online Data Transfer with SSL                                             |                    | 24-Apr-05 | entire       |                          | thawte                               |  |           |
| J121 |                   | Security Challenges, Threats and Countermeasures Version 1.0                            |                    | 4-Nov-08  |              |                          |                                      |  |           |
| M034 |                   | Security Whitepapers                                                                    |                    | 24-Jun-08 |              |                          |                                      |  |           |
| M035 |                   | Semantic Web                                                                            | Wikipedia          | 22-Jul-08 |              |                          |                                      |  |           |
| U005 | Tim Berners-Lee   | Semantic Web Road map                                                                   |                    | 30-Mar-09 |              |                          |                                      |  | 1-Sep-98  |
| F397 | KARL SCHEIBEL     | Signing XML Documents and the Concept of "What You See Is What You Sign"                |                    | 4-Feb-06  | entire; esp  | Ch 1, Ch 2, P39, P47, Ch |                                      |  | 1-Jan-01  |
| J045 |                   | Simplified Identity Management, Stronger Authentication                                 |                    | 22-Mar-06 |              |                          | DigitalPersona                       |  |           |
| F004 | By Michael J. Coa | SMART Docs are Here! Ready For a SMART Envelope?                                        |                    | 31-Aug-08 |              |                          |                                      |  | 1-Apr-04  |
| J119 |                   | SMART Document Implementation Guide                                                     |                    | 17-Sep-08 |              |                          |                                      |  |           |
| F273 |                   | Smart Documents Overview                                                                |                    | 21-Jun-08 |              |                          | Microsoft                            |  |           |
| M010 |                   | SOAP Security Extensions: Digital Signature                                             |                    | 5-Feb-06  |              |                          |                                      |  |           |
| P026 |                   | SOAP Version 1.2 Part 1: Messaging Framework                                            | W3C Recommendation |           |              |                          |                                      |  | 24-Jun-03 |
| A035 | VERISIGN, INC.    | Software Publisher Digital Ids for Microsoft Authenticode Technology                    | Web Article        | 20-Apr-01 | 8 of 8       | N/A                      | VerSign, Inc.                        |  | 1-Jan-98  |
| S002 |                   | Standardizing Information and Communication Systems                                     |                    | 26-Mar-09 |              | v3.0                     |                                      |  | 1-Dec-99  |
| J068 |                   | Standards for Electronic Filing Processes                                               |                    | 8-Sep-07  |              |                          | The National Center for State Courts |  |           |
| J004 | THAWTE            | Step-Up Encryption                                                                      |                    | 24-Apr-05 | entire, S2   |                          | thawte                               |  |           |
| F423 | Brenda Coulson    | Supporting Digital Signatures Within SOAP Messages                                      |                    | 6-Nov-05  |              |                          | Dev X                                |  | 1-Jan-04  |
| J123 |                   | Supporting Standards in Intelligent Documents                                           |                    | 10-Nov-08 |              |                          | Adobe                                |  | 3-Mar-05  |
| J088 | PARSONS, ET.AL    | Tag Image File Format (TIFF) - image/tiff MIME Sub-type Registration                    |                    | 22-Jun-08 | entire, esp  | P4p2,3                   | The Internet Society                 |  |           |
| F052 |                   | Tagged Image File Format                                                                | Wikipedia          | 18-Aug-08 |              |                          |                                      |  |           |
| F206 |                   | ter 1. Notaries Public Reform Act                                                       | Utah Code          | 7-Jun-05  |              |                          |                                      |  | 1-Jan-03  |
| J103 | IXIA SOFT         | TEXTML Server                                                                           |                    | 4-Jul-08  | entire       |                          | IXIA Soft                            |  |           |
| J007 | THAWTE            | thawte's Quick Enrollment Guide:                                                        |                    | 24-Apr-05 | entire       |                          | thawte                               |  |           |
| F351 |                   | The 2004 Florida Statutes                                                               |                    | 21-Sep-04 |              |                          |                                      |  | 1-Jan-04  |
| F137 | S. JOSEFSSON, S   | The Base16, Base32, and Base64 Data Encodings                                           |                    | 2-Jul-08  |              |                          |                                      |  | 1-Jul-03  |
| A032 | DOCUSIGN, INC.    | The Business Case for Electronic Signing                                                | Article            | 10-Mar-06 | 6 of 6       | v2                       | DocuSign, Inc.                       |  | 8-Feb-06  |
| J072 |                   | The Electronic Court Filing 3.0 Standard and What's Next?                               |                    | 14-Oct-07 |              |                          |                                      |  |           |
| A031 |                   | The Electronic Notarization System Based on the Notarization System                     | Article            | 31-Mar-06 | 1 of 1       | N/A                      | http://www.moi.go.jp/ENGLISH/        |  | UNKNOW    |
| A015 | 50STATENOTAR      | The Free Introductory Signing Agent Training Course                                     | Training Cours     | Late 05   | 25 of 25     | 7th                      | 50StateNotary                        |  | 1-Jan-05  |
| J037 |                   | The Growing Real Estate Fraud Problem in Florida                                        |                    | 19-Mar-06 |              |                          |                                      |  | Columbus, |
| A014 | THOMAS J. SMED    | The Legal Requirements for Creating Secure and Enforceable Electronic                   | Document           | 14-Sep-04 | 30 of 30     | N/A                      | Thomas J. Smedinghoff, Bar           |  | UNKNOW    |
| A008 | BAUM, ET.AL       | The Model Notary Act                                                                    | Public Service     | Early 03  | entire       | N/A                      | National Notar                       |  | 1-Sep-02  |
| J104 |                   | The Next Generation of BI                                                               |                    | 4-Jul-08  |              |                          |                                      |  |           |
| A016 | DEBORAH M. TH     | The Notary Office and Its Impact in The 21st Century                                    | Presentation at    | Early 01  | 28 of 28     | N/A                      | Deborah M. Thaw, National N          |  | Chatswort |
| J040 |                   | THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY                                    |                    | 19-Mar-06 |              |                          |                                      |  |           |
| J035 |                   | THE NOTARY PUBLIC CODE OF PROFESSIONAL RESPONSIBILITY                                   |                    | 19-Mar-06 | Sec 4,6,7,E  |                          | Nat'l Notary As                      |  | 1-Nov-98  |
| F114 | RAEL DORNFES      | The Power of Metadata                                                                   |                    | 22-Jul-08 |              |                          | O'Reilly                             |  |           |
| B001 | GREG ROELOFS      | The Story of PNG                                                                        |                    | 28-Apr-08 | 3 of 3       | NA                       | Greg Roelofs                         |  | 13-Aug-00 |
| J032 |                   | The Strength of User vs. System Signing                                                 |                    | 10-Mar-06 |              |                          | Algorithmic Research                 |  |           |
| J111 |                   | The Transition from Operations to Business Value Drivers                                |                    | 4-Jul-08  |              |                          |                                      |  |           |
| M029 |                   | The Use of Electronic Documents as Originals Using the eOriginal™ system to Produce     |                    | 8-Dec-06  |              |                          |                                      |  |           |
| F128 | Bob DuCharme      | The XPath 2.0 Data Model                                                                |                    | 22-Jul-08 |              |                          | xml.com                              |  | 2-Feb-06  |
| J042 | BONDAR            | Total Password Automation in the Enterprise                                             |                    | 22-Mar-06 | P3,4         |                          | DigitalPersona                       |  |           |
| J054 |                   | Towards an XML Format for TimeStamps                                                    |                    | 8-Apr-06  |              |                          |                                      |  |           |
| M028 |                   | TRUST AND THE WORLD WIDE WEB                                                            |                    | 8-Dec-03  |              |                          |                                      |  |           |
| F075 |                   | Trusted Computing                                                                       | Wikipedia          | 31-Oct-08 |              |                          |                                      |  |           |
| F077 |                   | Trusted Platform Module                                                                 | Wikipedia          | 31-Oct-08 |              |                          |                                      |  |           |
| F128 |                   | Unicode                                                                                 | Wikipedia          | 22-Jul-08 |              |                          |                                      |  |           |
| C012 |                   | Uniform Electronic Transactions Act                                                     | http://www.law     | 21-Sep-04 |              |                          |                                      |  |           |
| A001 | NATIONAL CONF     | Uniform Electronic Transactions Act (1999)                                              | Document           | 19-Jun-05 | 1, 2, 59, 60 | N/A                      | National Confe                       |  | 1-Jan-00  |
| V004 |                   | Universal Commerce Language and Protocol (UCLP) Version 3.0                             |                    | 30-Mar-09 |              |                          |                                      |  | 20-Jan-99 |
| D027 | VARIOUS           | Uses cases submitted for consideration                                                  |                    | 23-Mar-06 |              |                          |                                      |  |           |
| T044 | Charles Heinemar  | Using Namespaces Within Your XML Documents                                              | MSDN               | 16-Oct-05 |              |                          | Microsoft Corp                       |  | 20-Jun-99 |
| V006 |                   | Using P3P for E-Commerce                                                                |                    | 30-Mar-09 |              |                          |                                      |  |           |
| K010 |                   | Using the CryptoAPI for Public/Private Data Exchange                                    |                    | 14-May-03 |              |                          |                                      |  |           |
| F038 | THOMAS G. LANG    | USING THE IJG JPEG LIBRARY                                                              |                    | 15-Jul-08 |              |                          |                                      |  | 1-Jan-95  |
| F127 |                   | UTF-8                                                                                   | Wikipedia          | 22-Jul-08 |              |                          |                                      |  |           |
| J063 |                   | VeriSign®Authenticated Content Signing                                                  |                    | 2-Nov-05  |              |                          |                                      |  |           |

|      |                    |                                                                                   |                    |           |                        |      |                    |           |         |
|------|--------------------|-----------------------------------------------------------------------------------|--------------------|-----------|------------------------|------|--------------------|-----------|---------|
| V003 |                    | W3C and Electronic Commerce                                                       |                    | 30-Mar-09 |                        |      |                    |           |         |
| J019 | INSIGHT CONSUMERS  | Web Services and XML Security                                                     |                    | 4-Feb-06  |                        |      | Siemens            |           |         |
| G003 |                    | Web Services Interoperability Organization (WS-I)                                 |                    | 4-Nov-08  |                        |      |                    |           |         |
| J120 |                    | Web Services Security SOAP Message Security 1.0                                   |                    | 4-Nov-08  |                        |      |                    |           |         |
| A041 | RSA SECURITY       | What is digital timestamping?                                                     | Article            | some 2002 | 2 of 2                 | N/A  | RSA Security, Inc. | 1-Jan-02  | UNKNOWN |
| F129 | G. KEN HOLMAN      | What is XSLT                                                                      |                    | 22-Jul-08 |                        |      | xml.com            | 16-Aug-00 |         |
| J106 |                    | What's Up, .DOC? ODF, OOXML, and the Revolutionary Implications of XML in Product |                    | 4-Jul-08  |                        |      |                    |           |         |
| M024 |                    | Witnessing GenuineDoc digital notarization process                                |                    | 31-Mar-06 |                        |      |                    |           |         |
| F691 | Chris Lovett       | XML as the API                                                                    | MSDN               | 15-Oct-05 |                        |      | Microsoft Corp.    | 16-Oct-00 |         |
| U002 |                    | XML Binary Characterization Use Cases                                             | W3c Note           | 30-Mar-09 |                        |      |                    | 31-Mar-05 |         |
| J017 | SYNGRESS.COM       | XML Digital Signatures - Chapter 5                                                |                    | 4-Nov-05  | entire                 |      |                    |           |         |
| F155 |                    | XML Packaging Working Group Charter                                               | W3C Draft          | 16-May-08 |                        |      |                    |           |         |
| P013 | JAMES CLARK, S     | XML Path Language (XPath)                                                         | W3C Recommendation |           |                        | V1.0 | W3C                | 16-Nov-99 |         |
| P012 | MICHAEL KAY        | XML Path Language (XPath) 1.0                                                     | W3C Recommendation | 26-Mar-09 |                        | V2.0 | W3C                | 23-Jan-07 |         |
| P014 | Anders Berglund    | XML Path Language (XPath) 2.0                                                     | W3C Recommendation | 26-Mar-09 |                        | V2.0 | W3C                | 23-Jan-07 |         |
| M020 |                    | XML Path Language (XPath) Version 1.0                                             |                    | 30-Mar-06 |                        |      |                    |           |         |
| F438 |                    | XML Security page                                                                 |                    | 6-Nov-05  |                        |      |                    |           |         |
| F425 | SHELLEY DOLL       | XML security: A who's who                                                         |                    | 16-Nov-05 |                        |      |                    | 8-Jul-02  |         |
| F287 | MARK BARTEL; J     | XML Signature Syntax and Processing (Second Edition)                              | W3C Proposed       | 6-Jun-08  |                        |      | W3C                | 10-Jun-08 |         |
| A033 | MARK BARTEL; J     | XML-Signature Syntax and Processing                                               | W3C Recommendation | 4-Oct-05  | 44 of 44               | N/A  | IETF, W3C          | 12-Feb-02 | UNKNOWN |
| F420 | JOHN BOYER, M      | XML-Signature XPath Filter 2.0                                                    | W3C Candidate      | 6-Nov-05  |                        |      |                    | 18-Jul-02 |         |
| J090 | ADOBE              | XMP - Extensible Metadata Platform v1.5 9-14-01                                   |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| G014 |                    | XMP Adding Intelligence to Media                                                  |                    | 22-Jun-08 |                        |      |                    |           |         |
| J089 | ADOBE              | XMP SDK Overview                                                                  |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| J085 | ADOBE              | XMP Specification Jan 04                                                          |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| J064 | ADOBE              | XMP Specification Sep 2005                                                        |                    | 13-Mar-07 | entire, esp: P11-12-14 |      | Adobe              |           |         |
| J086 |                    | XMP Specification Sep 2005                                                        |                    | 22-Jun-08 |                        |      |                    |           |         |
| J087 | ADOBE              | XMP Toolkit v 4.1.1                                                               |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| P011 | JAMES CLARK        | XSL Transformations (XSLT)                                                        | W3C Recommendation |           |                        | V1.0 | W3C                | 16-Nov-99 |         |
| M019 |                    | XSL Transformations (XSLT) Version 1.0                                            |                    | 30-Mar-06 |                        |      |                    |           |         |
| F379 | JEAN-LOUP GAILLARD | zlib 1.1.4 Manual                                                                 | Manual             | 11-Mar-02 |                        |      |                    | 1-Jun-02  |         |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./


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| SERIAL NUMBER                                                                                                                                                                                                                                                                  | FILING or 371(c)<br>DATE                                                                                          | CLASS                             | GROUP ART UNIT                                                                                                                                                                                                                                                            | ATTORNEY DOCKET<br>NO.       |                                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------|
| 12/316,699                                                                                                                                                                                                                                                                     | 12/15/2008                                                                                                        | 713                               | 2494                                                                                                                                                                                                                                                                      |                              |                                    |
| <b>APPLICANTS</b><br>John Lewis Guymon JR., Salt Lake City, UT;<br>Douglas Scott Litsey, West Valley City, UT;                                                                                                                                                                 |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| <b>** CONTINUING DATA *****</b>                                                                                                                                                                                                                                                |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| <b>** FOREIGN APPLICATIONS *****</b>                                                                                                                                                                                                                                           |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b><br>01/09/2009                                                                                                                                                                                      |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and /KAVEH<br>ABRISHAMKAR/<br>Acknowledged Examiner's Signature | <input type="checkbox"/> Met after Allowance<br>Initials                                                          | <b>STATE OR<br/>COUNTRY</b><br>UT | <b>SHEETS<br/>DRAWINGS</b><br>2                                                                                                                                                                                                                                           | <b>TOTAL<br/>CLAIMS</b><br>4 | <b>INDEPENDENT<br/>CLAIMS</b><br>1 |
| <b>ADDRESS</b><br>IBAILBONDING.COM<br>1635 EAST 7080 SOUTH<br>SALT LAKE CITY, UT 84121<br>UNITED STATES                                                                                                                                                                        |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| <b>TITLE</b><br>Securable independent electronic document                                                                                                                                                                                                                      |                                                                                                                   |                                   |                                                                                                                                                                                                                                                                           |                              |                                    |
| <b>FILING FEE<br/>RECEIVED</b><br>610                                                                                                                                                                                                                                          | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                   | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |                              |                                    |

## EAST Search History

## EAST Search History (Prior Art)

| Ref # | Hits | Search Query                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | DBs   | Default Operator | Plurals | Time Stamp          |
|-------|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|------------------|---------|---------------------|
| S1    | 1841 | 713/189.ccls.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | USPAT | OR               | OFF     | 2012/03/01<br>15:15 |
| S2    | 188  | "20010027459"   "20010039524"  <br>"20020059144"   "20020066019"  <br>"20030046274"   "20040128513"  <br>"20040237040"   "20050138382"  <br>"20060080599"   "20060136477"  <br>"20060150151"   "20070011457"  <br>"20070106932"   "5855008"   "5859920"  <br>"5870499"   "5884272"   "5887130"  <br>"5894558"   "5946414"   "5960411"  <br>"6005643"   "6006328"   "6031914"  <br>"6047374"   "6055321"   "6065026"  <br>"6112185"   "6138119"   "6192138"  <br>"6209095"   "6237096"   "6246998"  <br>"6278791"   "6307950"   "6342954"  <br>"6343138"   "6354630"   "6418448"  <br>"6456726"   "6469692"   "6535981"  <br>"6616702"   "6643383"   "6658568"  <br>"6678389"   "6714202"   "6717573"  <br>"6731775"   "6748362"   "6748380"  <br>"6782509"   "6807285"   "6826291"  <br>"6834209"   "6850624"   "6859155"  <br>"6862599"   "6880755"   "6892352"  <br>"6892947"   "6900905"   "6904176"  <br>"6904454"   "6908034"   "6910068"  <br>"6912462"   "6918082"   "6925597"  <br>"6940870"   "6940995"   "6947162"  <br>"6954542"   "6959100"   "6961441"  <br>"6961854"   "6961902"   "6965904"  <br>"6966048"   "6968058"   "6968319"  <br>"6968455"   "6970836"   "6972763"  <br>"6973444"   "6975992"   "6976165"  <br>"6981151"   "6982625"   "6983309"  <br>"6985886"   "6985888"   "6988199"  <br>"6988279"   "6990684"   "6991153"  <br>"6991154"   "6991174"   "6993153"  <br>"6993655"   "6993656"   "6996723"  <br>"6999936"   "7000105"   "7000110"  <br>"7000186"   "7003132"   "7003166"  <br>"7006243"   "7006656"   "7007076"  <br>"7010570"   "7013286"   "7016495"  <br>"7016516"   "7017046"   "7020640"  <br>"7020777"   "7020839"   "7021534"  <br>"7025276"   "7028180"   "7028184"  <br>"7028187"   "7028189"   "7028191"  <br>"7031943"   "7032030"   "7035409"  <br>"7035817"   "7035830"   "7036015"  <br>"7039616"   "7039626"   "7039805"  <br>"7039812"   "7043025"   "7043411"  <br>"7043456"   "7044381"   "7047404"  <br>"7047411"   "7047419"   "7051002"  <br>"7051205"   "7051206"   "7051212" | USPAT | OR               | OFF     | 2012/03/01<br>15:17 |

|    |     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                        |    |     |                     |
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|    |     | "7082216"   "7088843"   "7089248"<br>"7092910"   "7114750"   "7130087"<br>"7133846"   "7143290"   "7150399"<br>"7162635"   "7172122"   "7181017"<br>"7191392"   "7197156"   "7199804"<br>"7200597"   "7206432"   "7239717"<br>"7245740"   "7251644"   "7251832"<br>"7260585"   "7266527"   "7277925"<br>"7281133"   "7287284"   "7295207"<br>"7295679"   "7296217"   "7299408"<br>"7302467"   "7330560"   "7330974"<br>"7340601"   "7343339"   "7350237"<br>"7350238"   "7356143"   "7359902"<br>"7360093"   "7366338"   "7366982"<br>"7370018"   "7370206"   "7373330"<br>"7383500"   "7409399"   "7415617"<br>"7418652"   "7421645"   "7441185"<br>"7451156"   "7451392"   "7454700").PN.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                        |    |     |                     |
| S3 | 201 | ("20010027459"   "20010039524"  <br>"20020059144"   "20020066019"  <br>"20030046274"   "20040128513"  <br>"20040237040"   "20050138382"  <br>"20060080599"   "20060136477"  <br>"20060150151"   "20070011457"  <br>"20070106932"   "5855008"   "5859920"  <br>"5870499"   "5884272"   "5887130"  <br>"5894558"   "5946414"   "5960411"  <br>"6005643"   "6006328"   "6031914"  <br>"6047374"   "6055321"   "6065026"  <br>"6112185"   "6138119"   "6192138"  <br>"6209095"   "6237096"   "6246998"  <br>"6278791"   "6307950"   "6342954"  <br>"6343138"   "6354630"   "6418448"  <br>"6456726"   "6469692"   "6535981"  <br>"6616702"   "6643383"   "6658568"  <br>"6678389"   "6714202"   "6717573"  <br>"6731775"   "6748362"   "6748380"  <br>"6782509"   "6807285"   "6826291"  <br>"6834209"   "6850624"   "6859155"  <br>"6862599"   "6880755"   "6892352"  <br>"6892947"   "6900905"   "6904176"  <br>"6904454"   "6908034"   "6910068"  <br>"6912462"   "6918082"   "6925597"  <br>"6940870"   "6940995"   "6947162"  <br>"6954542"   "6959100"   "6961441"  <br>"6961854"   "6961902"   "6965904"  <br>"6966048"   "6968058"   "6968319"  <br>"6968455"   "6970836"   "6972763"  <br>"6973444"   "6975992"   "6976165"  <br>"6981151"   "6982625"   "6983309"  <br>"6985886"   "6985888"   "6988199"  <br>"6988279"   "6990684"   "6991153"  <br>"6991154"   "6991174"   "6993153"  <br>"6993655"   "6993656"   "6996723"  <br>"6999936"   "7000105"   "7000110"  <br>"7000186"   "7003132"   "7003166"  <br>"7006243"   "7006656"   "7007076"  <br>"7010570"   "7013286"   "7016495"  <br>"7016516"   "7017046"   "7020640"  <br>"7020777"   "7020839"   "7021534"  <br>"7025276"   "7028180"   "7028184"  <br>"7028187"   "7028189"   "7028191"  <br>"7031943"   "7032030"   "7035409"  <br>"7035817"   "7035830"   "7036015" | US-<br>PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |



|     |    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                    |    |     |                     |
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|     |    | "7039616"   "7039626"   "7039805"<br>"7039812"   "7043025"   "7043411"<br>"7043456"   "7044381"   "7047404"<br>"7047411"   "7047419"   "7051002"<br>"7051205"   "7051206"   "7051212"<br>"7082216"   "7088843"   "7089248"<br>"7092910"   "7114750"   "7130087"<br>"7133846"   "7143290"   "7150399"<br>"7162635"   "7172122"   "7181017"<br>"7191392"   "7197156"   "7199804"<br>"7200597"   "7206432"   "7239717"<br>"7245740"   "7251644"   "7251832"<br>"7260585"   "7266527"   "7277925"<br>"7281133"   "7287284"   "7295207"<br>"7295679"   "7296217"   "7299408"<br>"7302467"   "7330560"   "7330974"<br>"7340601"   "7343339"   "7350237"<br>"7350238"   "7356143"   "7359902"<br>"7360093"   "7366338"   "7366982"<br>"7370018"   "7370206"   "7373330"<br>"7383500"   "7409399"   "7415617"<br>"7418652"   "7421645"   "7441185"<br>"7451156"   "7451392"   "7454700").PN. |                    |    |     |                     |
| S4  | 53 | S3 and (URL)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |
| S5  | 32 | S3 and (URL) and (encrypt\$4)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |
| S6  | 24 | S3 and (URL) and (encrypt\$4) and (image)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |
| S7  | 11 | S3 and (URL) and (encrypt\$4) and (image) and (container\$)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |
| S8  | 4  | S3 and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:17 |
| S9  | 3  | S3 and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:18 |
| S10 | 3  | S3 and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature) and (binary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:18 |
| S11 | 2  | "20020129241" and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature) and (binary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:20 |
| S12 | 2  | "20020129241" and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature) and (binary) and hash                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>15:21 |
| S13 | 2  | "20030105721" and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature) and (binary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/01<br>16:54 |
| S14 | 2  | "20030105721" and (URL) and (encrypt\$4) and (image) and (container\$) and (check integrity crc) and (digital adj signature) and (binary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:16 |
| S15 | 1  | S14 and (encod\$2 with (content))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | US-PGPUB;          | OR | OFF | 2012/03/02<br>18:27 |

|     |   |                                                  |                    |    |     |                     |
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|     |   |                                                  | USPAT              |    |     |                     |
| S16 | 0 | S14 and (encod\$2 with (content))                | US-PGPUB           | OR | OFF | 2012/03/02<br>18:27 |
| S17 | 2 | S14 and (encod\$3 with (content))                | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:27 |
| S18 | 2 | "20030105721" and (encod\$3) with (content\$4)   | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:30 |
| S19 | 2 | "20030105721" and (encod\$3) with (character\$4) | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:31 |
| S20 | 2 | "20030105721" and (encod\$3)                     | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:31 |
| S21 | 2 | "20030105721" and (embed\$4)                     | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:32 |
| S22 | 2 | "20030105721" and (image)                        | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>18:43 |
| S23 | 0 | "20030105721" and (CRC)                          | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>19:24 |
| S24 | 2 | "20030105721" and (integrity)                    | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>19:25 |
| S25 | 2 | "20030105721" and (binary)                       | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>19:26 |
| S26 | 2 | "20030105721" and (digital adj signature)        | US-PGPUB;<br>USPAT | OR | OFF | 2012/03/02<br>19:30 |

**3/ 2/ 2012 9:56:59 PM**

**C:\Users\kabrishamkar\Documents\EAST\Workspaces\12316699.wsp**



In the United States Patent and Trademark Office

1/Fm

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU:

*I will be sending cleaner / updated version in a few days.*

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*ACD might NOT be up date in mail 1/4/2012*

*Also: The back side of this is notes that if possible, could you copy & return to John Guymon 1635 E 9080th SLC UT 84121*

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699.

This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

| Description                                                  | Filename     | MD5Sum Checksum                  |
|--------------------------------------------------------------|--------------|----------------------------------|
| This cover letter                                            | ICc0103e.doc | n/a                              |
| Excel spread sheet - Disclosure details                      | Idc0103b.xls | deb4e05826fe5e95554e23fc8e435160 |
| Disclosure reports (3)<br>(Sorted via Title, Date and Cite#) | Paper form;  | n/a                              |

*(Sort's allow ease of reference as well as time line analysis.)*

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr  
Telephone: (801) 943-8461  
Cell: (801) 502-1828

*801 833 0424*

*Also #2: For the likes of me I couldn't get CD to write properly - only had 1 CD today, and needed to send by today Thanks.*

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant: *[Signature]*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./

It's almost like --- where's the CD?

I distinctly remember copying the "CD" which was a copy of the CD sent to USPTO

Patent #s { 719 4435  
7818  
sent → printed (this "file set" was apparently as very



Need to find the Patent # ref XLS file! latest & might be missed.

Update to Search

Sort

DATE

"TITLE" (Filename...)

Case# [Can't do @ file level]

- P 1) 13 @ Title
- P 2) 13 @ Citations
- P 3) 13 @ Date
- 3 reports
- Date Title Case#
- TXT F1 (date) F\_od
- TXT F2 (name) F\_on
- 2 "file lists"
- TXT F3 (mids)
- 1 MDS list
- XLS "XLS" (info)
- XLS for Info
- DOC letter ".doc"
- letter for Info
- P (?) @ all
- 1 report - all
- + Dup
- + open doc's
- Via CITE #
- (includes Dups)
- includes

CD

1) CD

Orig (printed)

was: IF 90331i - printed.xls  
as IF90331i.xls is the original

3/31/2009  
11:15 PM

Pa 90331d - printed.xls

as Pa 90331d.xls

3/31/2009

(addressing ways)

Cp 90331a.doc

naming updated to

Icc 0103a.doc

Info Cover 2012 Jan 3rd

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
1/2/2012 9:43 PM  
Literature Documents  
Sheet ( 1 ) of ( 13 )



Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

|            |           |        |         |   |   |      |                                                               |
|------------|-----------|--------|---------|---|---|------|---------------------------------------------------------------|
| 2009-12-11 | 131361    | 502e1d | A001 ue | Y |   | A001 | Uniform Electronic Transactions Act (1999)                    |
| 2009-12-11 | 687468    | 4647ad | A002 Se | Y |   | A002 | Secure Programming for Linux and Unix HOWTO - 11.5 Cryptoc    |
| 2009-12-11 | 109196    | d0e40e | A004 as | Y |   | A004 | ASP File Upload using VBScript                                |
| 2009-12-11 | 41984     | d746c4 | A005 Mo | Y |   | A005 | Modeling biz docs in XML                                      |
| 2009-12-11 | 18220     | f18613 | A007 Co | Y |   | A007 | Colorado Implements Level 3 Electronic Recording              |
| 2004-09-14 | 1581161   | ccbc9e | A008 Mo | Y |   | A008 | The Model Notary Act                                          |
| 2009-12-11 | 52537     | bfa53c | A009 DS | Y |   | A009 | Infomosaic: The Easy to Use Digital Signature                 |
| 2006-03-23 | 209408    | d20ed0 | A011 oa | Y |   | A011 | DSS Use Case Requirements Analysis                            |
| 2007-10-14 | 42681     | 94e28e | A012 en | Y |   | A012 | Notaries and Electronic Notarization                          |
| 2004-09-14 | 209408    | feac14 | A014 ar | Y |   | A014 | The Legal Requirements for Creating Secure and Enforceable    |
| 2009-12-11 | 499713    | 986db0 | A015 no | Y |   | A015 | The Free Introductory Signing Agent Training Course           |
| 2009-12-11 | 62475     | b6e36e | A016 no | Y | d | A016 | The Notary Office and Its Impact in The 21st Century          |
| 2009-12-11 | 84227     | a39be2 | A017 re | Y | d | A017 | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in   |
| 2006-03-19 | 12634     | 0b151a | A018 Di | Y |   | A018 | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT    |
| 2006-03-19 | 347742    | 2e1d98 | A019 No | Y |   | A019 | A Position on Misleading Usage of Notary Terms in the Elect   |
| 2004-09-14 | 128516    | 71acbf | A020 di | Y |   | A020 | A Position On Digital Signature Laws And Notarization         |
| 2006-03-19 | 23250     | ab3c86 | A021 in | Y |   | A021 | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAL   |
| 2006-03-18 | 88458     | 27b8d6 | A022 20 | Y |   | A022 | Cumulative Notarization for Long-term Preservation of Digit   |
| 2009-12-11 | 37765     | 1d0f8d | A024 Re | Y |   | A024 | Requirements for Data Validation and Certification Services   |
| 2005-06-07 | 27501     | 49a0c3 | A025 Em | Y |   | A025 | ReadNotify Email Notary and Timestamping service              |
| 2009-12-11 | 25426     | 46c080 | A026 pa | Y |   | A026 | Long term electronic signature os documents retention?        |
| 2009-12-11 | 10633     | acb5ab | A029 In | Y |   | A029 | Initial eNotary Signature Candidate Profiles                  |
| 2005-06-07 | 134507    | 52a6ad | A030 pd | Y |   | A030 | Notarized Digital Signature                                   |
| 2009-12-11 | 25127     | a60c3e | A031 Re | Y |   | A031 | The Electronic Notarization System Based on the Notarizatio   |
| 2006-03-10 | 577195    | 1fded6 | A032 Bu | Y |   | A032 | The Business Case for Electronic Signing                      |
| 2005-11-06 | 209058    | 1b3d30 | A033 7  | Y |   | A033 | XML-Signature Syntax and Processing                           |
| 2009-12-11 | 24003     | f5b5c0 | A035 Ve | Y |   | A035 | Software Publisher Digital Ids for Microsoft Authenticode     |
| 2009-12-11 | 34241     | 4a8b92 | A038 EL | Y |   | A038 | Electronic Signatures in Global and National Commerce Act     |
| 2009-12-11 | 23870     | e65577 | A041 RS | Y |   | A041 | What is digital timestamping?                                 |
| 2009-12-11 | 18921     | bc74a6 | A043 Li | Y |   | A043 | Howto: PGP and GnuPG                                          |
| 2009-12-11 | 17406     | b6b791 | A044 Cr | Y |   | A044 | Cryptography, PGP and Pine                                    |
| 2009-12-11 | 25420     | 44a968 | A045 Tu | Y |   | A045 | Introduction to Public Key Cryptography                       |
| 2009-12-11 | 60606     | ee874d | A047 Ve | Y |   | A047 | Guide To Securing Intranet And Extranet Servers               |
| 2009-12-11 | 80943     | e3f917 | A050 Ti | Y |   | A050 | DigiStamp - The Trusted Third-Party Time Authority            |
| 2009-12-11 | 12,285    | aab601 | B001 Th | Y |   | B001 | The Story of PNG?                                             |
| 2009-12-11 | 21,581    | 9ee6e0 | B002 PN | Y |   | B002 | Current Status of PNG                                         |
| 2008-04-28 | 15,330    | cd382f | B003 PN | Y |   | B003 | PNG: The Definitive Guide                                     |
| 2008-09-05 | 33,812    | 6ec963 | B005 Hi | Y |   | B005 | History of the Portable Network Graphics (PNG) Format         |
| 2006-03-04 | 338,944   | 690833 | B012 XM | Y |   | B012 | Digital Signature Tutorial                                    |
| 2009-12-11 | 452,676   | 6aa04e | B028 E- | Y |   | B028 | E-Sign and UETA: What Should States Do Now?                   |
| 2009-12-11 | 6,846,266 | 01589f | B030 20 | Y |   | B030 | No Sure Thing                                                 |
| 2009-12-11 | 12,006    | b21c75 | B031 hi | Y |   | B031 | optiPNG - Revision History                                    |
| 2004-09-21 | 31,079    | c26fec | C012 Un | Y |   | C012 | Uniform Electronic Transactions Act                           |
| 2009-12-11 | 19,159    | 4e4d44 | C013 Or | Y |   | C013 | Authentication of Notarizations                               |
| 2003-05-13 | 31,069    | 5d2068 | C017 As | Y |   | C017 | Generate PKCS#7 Signatures and Envelopes                      |
| 2009-12-11 | 87,925    | 1535a3 | C018 PN | Y |   | C018 | PNG Transparency in Internet Explorer                         |
| 2009-12-11 | 32,265    | 576797 | D006 OA | Y |   | D006 | An Introduction To The Oasis LegalXML Electronic Court Filing |
| 2008-07-22 | 104,802   | bd0416 | D020 di | Y |   | D007 | HB 1300                                                       |
| 2006-03-18 | 134,278   | c62fb9 | D024 en | Y |   | D024 | Electronic Notarization                                       |
| 2006-03-04 | 30,762    | 9d8336 | D026 eN | Y |   | D026 | eNotarization                                                 |
| 2006-03-23 | 52,327    | 17b915 | D027 DS | Y |   | D027 | Uses cases submitted for consideration                        |
| 2007-12-28 | 511,488   | 2f7fe8 | D030 ds | Y |   | D030 | Digital Signature Guidelines                                  |
| 2007-09-16 | 22,307    | 721aa8 | D033 So | Y |   | D033 | Open XML Court Interface                                      |
| 2005-11-06 | 179712    | 3c790a | E007 9  | Y |   | E007 | A how-to guide for supporting digital signatures within SOA   |
| 2006-03-23 | 126464    | 40f30b | E010 Na | Y |   | E010 | Namespaces in XML                                             |
| 2005-11-29 | 944640    | ab146f | E014 ec | Y |   | E014 | LegalXML Electronic Court Filing 3.0                          |

Examiner Signature: /Kaveh Abrishamkar/

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Date Considered: 03/02/2012

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INFORMATION DISCLOSURE  
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Application Number: 12/316,699  
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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

|            |        |        |         |   |      |                                                              |
|------------|--------|--------|---------|---|------|--------------------------------------------------------------|
| 2008-04-28 | 42396  | 7a4660 | E018 PN | Y | E018 | PNG Frequently Asked Questions                               |
| 2008-05-17 | 213908 | da5ea9 | F001 pn | Y | F001 | png-list 200109.htm                                          |
| 2008-11-04 | 259949 | a58788 | F002 Co | Y | F002 | Cover Pages Web Services Interoperability Organization (WS-  |
| 2008-04-28 | 32538  | ac733b | F003 Hi | Y | F003 | History of PNG.htm                                           |
| 2008-08-31 | 20472  | b7dc61 | F004 Mo | Y | F004 | SMART Docs are Here! Ready For a SMART Envelope?             |
| 2008-08-18 | 104894 | c635fc | F005 Po | Y | F005 | Portable Document Format                                     |
| 2008-08-18 | 129521 | 8ca676 | F006 Po | Y | F006 | Portable Network Graphics                                    |
| 2008-06-22 | 84249  | 682fba | F018 Ad | Y | F018 | Adobe Acrobat                                                |
| 2008-06-22 | 165933 | d3d450 | F025 Em | Y | F025 | Embedding XMP Metadata in Application Files.htm              |
| 2008-06-15 | 5088   | 5743c4 | F031 li | Y | F031 | libmng - The MNG reference library & related info            |
| 2008-06-21 | 104095 | 067db7 | F033 No | Y | F033 | Notary public                                                |
| 2008-06-21 | 75893  | 8249ec | F034 No | Y | F034 | NEW JERSEY NOTARY PUBLIC MANUAL                              |
| 2008-06-15 | 155211 | 0a85d7 | F038 Us | Y | F038 | USING THE IJG JPEG LIBRARY                                   |
| 2008-08-18 | 85590  | 9456df | F041 BM | Y | F041 | BMP file format                                              |
| 2008-06-21 | 39102  | a773aa | F042 Ex | Y | F042 | Extensible Metadata Platform                                 |
| 2008-08-18 | 101132 | 6f4f3e | F044 Gr | Y | F044 | Graphics Interchange Format                                  |
| 2008-08-18 | 151985 | c5dd5e | F045 JP | Y | F045 | JPEG                                                         |
| 2008-08-18 | 114049 | 9d269b | F046 JP | Y | F046 | JPEG 2000                                                    |
| 2008-08-18 | 20282  | 7a531e | F047 JP | Y | F047 | JPEG Network Graphics                                        |
| 2008-08-18 | 60326  | 18ca5b | F048 Mu | Y | F048 | Multiple-image Network Graphics                              |
| 2008-08-18 | 74306  | 107e35 | F052 Ta | Y | F052 | Tagged Image File Format                                     |
| 2004-09-21 | 103858 | 929d44 | F056 19 | Y | F056 | DIGITAL SIGNATURES The Key to Secure Internet Commerce       |
| 2008-08-18 | 83134  | 0e6ca0 | F057 xm | Y | F057 | Revision history of Extensible Metadata Platform             |
| 2008-11-04 | 239629 | 869017 | F061 Pu | Y | F061 | Publicly Available Standards.htm                             |
| 2008-10-10 | 65927  | 11d57d | F062 A  | Y | F062 | A Digital Object Approach to Interoperable Rights Management |
| 2008-11-17 | 75749  | ab33f5 | F063 Do | Y | F063 | DIS-29500: Deprecated before use?                            |
| 2008-10-10 | 43907  | 48b525 | F071 Pr | Y | F071 | Preview - SIIA Information Industry Summit 2007.htm          |
| 2008-10-28 | 24528  | 2c4f15 | F072 Cu | Y | F072 | Current Patent Practice                                      |
| 2008-10-31 | 55003  | 4b2a0b | F074 Ne | Y | F074 | Next-Generation Secure Computing Base                        |
| 2008-10-31 | 114324 | ecf987 | F075 Tr | Y | F075 | Trusted Computing                                            |
| 2008-10-31 | 41681  | 3f6a4b | F077 Tr | Y | F077 | Trusted Platform Module                                      |
| 2008-06-25 | 26239  | e8451e | F102 Ab | Y | F102 | Adobe Streamlines Workflows for Publishers with New Metadata |
| 2008-07-22 | 57719  | 262c54 | F103 Ad | Y | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design,    |
| 2008-06-21 | 28052  | b861d3 | F104 Ad | Y | F104 | Extensible Metadata Platform (XMP) Adding intelligence to me |
| 2008-07-22 | 67232  | a5c1c5 | F107 Co | Y | F107 | DIG35: Metadata Standard for Digital Images                  |
| 2008-07-05 | 13622  | 5e3619 | F111 IP | Y | F111 | NewsML-G2                                                    |
| 2008-07-04 | 22774  | f72615 | F112 IP | Y | F112 | IPTC Metadata for XMP                                        |
| 2008-07-22 | 35211  | c610ef | F113 ON | Y | F113 | ONJava.com -- XML to PDF Oh, FOP It.htm                      |
| 2008-07-22 | 33389  | 3c607b | F114 Op | Y | F114 | The Power of Metadata                                        |
| 2008-07-22 | 170530 | 045bfe | F118 OW | Y | F118 | OWL Web Ontology Language Guide                              |
| 2008-07-22 | 27834  | 1a5a28 | F119 Pl | Y | F119 | Platform for Internet Content Selection (PICS)               |
| 2008-06-22 | 47553  | bb2f15 | F120 Re | Y | F120 | Resource Description Framework (RDF)                         |
| 2008-07-04 | 11101  | 3e71c7 | F123 TI | Y | F123 | Image2PDF 3.2 user manual                                    |
| 2008-07-04 | 12597  | 4c6d18 | F125 tu | Y | F125 | SAA offers screencasts on key topics all photographers invc  |
| 2008-07-22 | 140436 | 0f1fea | F126 Un | Y | F126 | Unicode                                                      |
| 2008-07-22 | 111088 | 08339e | F127 UT | Y | F127 | UTF-8                                                        |
| 2008-07-22 | 45315  | b3f89f | F128 XM | Y | F128 | The XPath 2.0 Data Model                                     |
| 2008-07-22 | 33656  | 847618 | F129 XM | Y | F129 | What Is XSLT                                                 |
| 2008-07-02 | 52154  | ef4e88 | F132 Ba | Y | F132 | Base64                                                       |
| 2008-07-01 | 229554 | 392c52 | F133 CR | Y | F133 | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                    |
| 2008-07-02 | 42434  | 0fa6e7 | F137 RE | Y | F137 | The Base16, Base32, and Base64 Data Encodings                |
| 2008-05-16 | 177143 | c660a6 | F151 PN | Y | F151 | PNG Digital Signatures                                       |
| 2008-05-16 | 32574  | b82173 | F155 xm | Y | F155 | XML Packaging Working Group Charter                          |
| 2005-03-13 | 15885  | 3a6747 | F164 1. | Y | F164 | CALIFORNIA CODES                                             |
| 2005-06-07 | 40698  | bea703 | F195 E- | Y | F195 | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT    |
| 2005-06-07 | 29638  | cc486d | F202 Go | Y | F202 | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                 |

Examiner Signature: /Kaveh Abrishamkar/

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Date Considered: 03/02/2012

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INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
 1/2/2012 9:43 PM  
 Literature Documents  
 Sheet ( 3 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |         |        |         |   |      |                                                             |
|------------|---------|--------|---------|---|------|-------------------------------------------------------------|
| 2005-06-07 | 38778   | fd9af9 | F205 Th | Y | F205 | National Notary Association and Safedocs Provide E-Notariza |
| 2005-06-07 | 31425   | 90c198 | F206 Ti | Y | F206 | ter 1. Notaries Public Reform Act                           |
| 2008-07-22 | 1791    | df9dad | F220 7  | Y | F220 | 7 Steps to Understanding XMP Metadata                       |
| 2008-06-22 | 28042   | a04d1c | F228 Ad | Y | F228 | Adding intelligence to media                                |
| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y | F232 | All eyes on how Microsoft pulls off ODF support             |
| 2008-06-14 | 30129   | 3ae58c | F233 Ar | Y | F233 | Anti machine                                                |
| 2008-06-21 | 122182  | 7df6fa | F235 Bu | Y | F235 | Building Sustainable Banking Architectures                  |
| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y | F237 | Captaris Solutions for Financial Services                   |
| 2008-06-22 | 49209   | ffd0d2 | F238 Co | Y | F238 | Extensible Metadata Platform (XMP)                          |
| 2008-06-22 | 45581   | e61453 | F239 De | Y | F239 | Describing and retrieving photos using RDF and HTTP         |
| 2008-06-24 | 70746   | b84a4c | F245 Ec | Y | F245 | Ecma to create standard out of Microsoft rival to PDF       |
| 2008-06-06 | 20010   | 209322 | F247 Ex | Y | F247 | Extensible Markup Language (XML)                            |
| 2008-06-24 | 72901   | e63dcc | F250 Fr | Y | F250 | Free and Cheap Software That Kills the Big Guys             |
| 2008-06-24 | 73921   | b874ee | F263 Op | Y | F263 | Open source tool released for translating between Microsoft |
| 2008-06-25 | 17044   | 8adc56 | F266 RD | Y | F266 | RDF for self-describing images                              |
| 2008-06-22 | 377523  | 42e3d5 | F267 RD | Y | F267 | RDF Primer                                                  |
| 2008-06-06 | 34409   | 6b66af | F269 Re | Y | F269 | Report on XML Packaging                                     |
| 2008-06-21 | 60844   | dc5aee | F273 Sm | Y | F273 | Smart Documents Overview                                    |
| 2008-06-22 | 23255   | 43e48f | F275 Th | Y | F275 | Adobe turns to suppliers to put InDesign in front of publis |
| 2008-06-24 | 41187   | 668830 | F276 Th | Y | F276 | Luxtrust: which trust ?                                     |
| 2008-06-25 | 18251   | fa013a | F284 W3 | Y | F284 | Enabling Inferencing                                        |
| 2008-06-06 | 235023  | e6e4b8 | F287 XM | Y | F287 | XML Signature Syntax and Processing (Second Edition)        |
| 2003-05-13 | 38215   | a522f0 | F308 28 | Y | F308 | HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX |
| 2003-05-19 | 13813   | 0b742e | F321 In | Y | F321 | Explorer versus PNG (AlphaImageLoader)                      |
| 2005-11-04 | 28927   | 0ce300 | F329 Di | Y | F329 | Regulatory Compliance Using Digital Signature               |
| 2004-09-21 | 35997   | 479ccc | F350 ES | Y | F350 | ESIGN Electronic Commerce and Electronic Signature Law Clar |
| 2004-09-21 | 108566  | 59ca99 | F351 FL | Y | F351 | The 2004 Florida Statutes                                   |
| 2004-09-21 | 1933312 | 7b76e1 | F353 MS | Y | F353 | UNIFORM COMPUTER INFORMATION TRANSACTIONS ACT               |
| 2008-04-28 | 339664  | 6a99ad | F361 Po | Y | F361 | Portable Network Graphics (PNG) Specification (Second Editi |
| 2007-10-14 | 38014   | d8248a | F363 Ar | Y | F363 | An Open-Source System for Electronic Court Filing           |
| 2005-09-12 | 4388    | e002ce | F367 in | Y | F367 | libxml2 Reference Manual                                    |
| 2003-09-11 | 213981  | 4a859d | F373 RE | Y | F373 | Extensible Markup Language (XML) 1.0 (Second Edition)       |
| 2002-03-11 | 58960   | c4bd9f | F379 zl | Y | F379 | zlib 1.1.4 Manual                                           |
| 2006-02-04 | 4451    | 7d355b | F390 co | Y | F390 | Position Paper on Signed XML                                |
| 2006-02-04 | 786296  | 2b0440 | F397 Si | Y | F397 | Signing XML Documents and the Concept of "What You See Is   |
| 2005-11-06 | 40032   | 2dda6a | F420 2  | Y | F420 | XML-Signature XPath Filter 2.0                              |
| 2005-11-06 | 37966   | be5a64 | F423 5  | Y | F423 | Supporting Digital Signatures Within SOAP Messages          |
| 2005-11-06 | 43397   | 300ac9 | F425 6  | Y | F425 | XML security: A who's who                                   |
| 2005-11-06 | 21317   | 0334b5 | F429 B  | Y | F429 | Question: The status/design of XML Signatures and Encryptic |
| 2005-11-06 | 81601   | e3b28f | F438    | Y | F438 | XML Security page                                           |
| 2007-09-16 | 260520  | c89961 | F602 Ex | Y | F602 | Extensible Markup Language (XML) 1.0 (Third Edition)        |
| 2008-05-03 | 231052  | 312640 | F619 Ex | Y | F619 | Extensible Markup Language (XML) 1.0                        |
| 2008-05-03 | 30642   | 297b21 | F621 XM | Y | F621 | Handling Binary Data in XML Documents                       |
| 2007-12-28 | 73153   | 9e5f08 | F627 Af | Y | F627 | Illinois crosses the bridge                                 |
| 2004-09-21 | 49314   | e3f9b0 | F638 20 | Y | F638 | Laws-2003 Electronic Commerce Legislation                   |
| 2004-09-21 | 23975   | 802eea | F639 20 | Y | F639 | Laws-2003 Electronic Signatures and UETA Legislation        |
| 2004-09-21 | 10797   | ca70a0 | F640 Co | Y | F640 | Comparison of Federal E-Signature Act and UETA on Key Consu |
| 2005-10-15 | 54470   | 54b75d | F660 be | Y | F660 | Beyond ASP: XML and XSL-based Solutions Simplify Your Data  |
| 2005-10-20 | 117155  | 7a48da | F661 Cr | Y | F661 | Cryptography Demystified                                    |
| 2005-10-15 | 35156   | a71ee4 | F691 XM | Y | F691 | XML as the API                                              |
| 2005-10-05 | 56635   | 03bf45 | F703 XM | Y | F703 | An Introduction to XML Digital Signatures                   |
| 2003-02-09 | 18042   | 10b0ec | F729 re | Y | F729 | readme.html                                                 |
| 2006-05-10 | 13639   | 3d5f48 | F731 Re | Y | F731 | RelayHealth - Secure Online Communications Between Doctors  |
| 2006-05-22 | 11187   | 160ccd | F732 Se | Y | F732 | Search - Wikipedia, the free encyclopedia.htm               |
| 2006-05-08 | 143876  | 288a36 | F733 li | Y | F733 | libpng 1 2 5 manual.htm                                     |
| 2006-05-09 | 2942    | 291d10 | F734 PN | Y | F734 | PNG Alpha Transparency Page 2                               |

Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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Examiner Name: \_\_\_\_\_

|            |         |        |      |    |   |   |      |                                                             |
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STATEMENT BY APPLICANT  
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Literature Documents  
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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
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Literature Documents  
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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Examiner Name: \_\_\_\_\_

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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Name: \_\_\_\_\_

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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y | F237 | Captaris Solutions for Financial Services                     |
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Date Considered: 03/02/2012

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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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Date Considered: 03/02/2012

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 STATEMENT BY APPLICANT  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
1/2/2012 8:12 PM  
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Sheet ( 1 ) of ( 13 )



Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Examiner Name: \_\_\_\_\_

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Examiner Signature: /Kaveh Abrishamkar/

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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 Sheet ( 9 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: 03/02/2012

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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: /Kaveh Abrishamkar/

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 Sheet ( 13 ) of ( 13 )

Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
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INFORMATION DISCLOSURE  
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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

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| A022 | DIMITRIOS      | Cumulative Notarization for Long-term Preservation of Digital Signatures                    | Article            | 17-Mar-06 | entire; esp      | N/A       | Dept. of Informatics             | 3-Aug-05  | Athens, Gr |  |  |
| F072 | DANIEL J. W    | Current Patent Practice                                                                     | W3C Note           | 28-Oct-08 |                  |           |                                  | 24-Jan-02 |            |  |  |
| B002 | GREG ROEL      | Current Status of PNG                                                                       | http://www.lit     | 28-Apr-08 | 4 of 4           | NA        | Greg Roelofs                     | 21-Oct-06 | NA         |  |  |
| J057 |                | eXML User's Guide                                                                           |                    | 8-Apr-06  |                  |           |                                  |           |            |  |  |
| B015 | MOROVIA C      | Department of Research & Development                                                        | Guide book         | TBD       | 9 of 9           | Volume 1  | Morovia Corporation              |           | Toronto, O |  |  |
| D039 | UTAH DEPA      | Department of Technology Services (DTS)                                                     | 2006 Annual Report |           | 1 of 1           | NA        | Utah Department of Public Safety |           |            |  |  |
| F239 | YVES LAFO      | Describing and retrieving photos using RDF and HTTP                                         | W3C Note           | 22-Jun-08 |                  |           |                                  | 19-Apr-02 |            |  |  |
| E004 | DARE OBAS      | Designing Extensible, Versionable XML Formats                                               | Website Artic      | 15-Oct-05 | 15 of 15         | NA        | Microsoft Corporati              | 15-Jul-04 |            |  |  |
| J071 |                | Developing the Justice Information Exchange Model                                           |                    | 14-Oct-07 |                  |           |                                  |           |            |  |  |
| F107 | **Internet     | DIG35: Metadata Standard for Digital Images                                                 | downloaded         | 22-Jul-08 |                  |           |                                  | 10-Jun-02 |            |  |  |
| A050 | DIGISTAMP      | DigiStamp - The Trusted Third-Party Time Authority                                          | Web Article        | 15-May-02 | 9 of 9           | N/A       | DigiStamp, Inc.                  | 1-Jan-01  | UNKNOW     |  |  |
| D030 | INFORMATI      | Digital Signature Guidelines                                                                | Article            | 1-Aug-96  | 1 of 1           | NA        | American Bar Assoc               | 1-Aug-96  |            |  |  |
| J049 |                | Digital Signature in a Web Application                                                      |                    | 25-Mar-06 |                  |           |                                  |           |            |  |  |
| V002 |                | Digital Signature Initiative                                                                |                    | 30-Mar-09 |                  |           |                                  |           |            |  |  |
| A003 | DIVISION OF    | Digital Signature Licensing Information                                                     | Webpage            | UNKNOWN   | 1 of 1           | N/A       | Utah Department of Commerce      |           | SLC, UT    |  |  |
| P019 |                | DIGITAL SIGNATURE STANDARD (DSS)                                                            |                    |           |                  |           |                                  | 27-Jan-00 |            |  |  |
| B012 | AKI KANIEL     | Digital Signature Tutorial                                                                  | TBD                | 4-Mar-06  | TBD              | NA        | TBD                              |           | NA         |  |  |
| T006 | Thomas J. Sm   | DIGITAL SIGNATURES                                                                          |                    | 21-Sep-04 |                  |           |                                  | 20-Jul-98 |            |  |  |
| A040 | DIGITAL SIG    | Digital Signatures and Public Key Infrastructure (PKI) 101                                  | Article            | Afer 00   | 5 of 5           | N/A       | Digital Signature Tr             | 1-Jan-00  | SLC, UT    |  |  |
| J022 | ALGORITHM      | Digital Signatures at The Point of Sale                                                     |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |  |
| J028 | ALGORITHM      | Digital Signatures for Content & Document-Management Systems                                |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |  |
| J026 | MOTTY ALC      | Digital Signatures in a Document-Intensive Organization                                     |                    | 10-Mar-06 | entire; P4, P5   |           | Algorithmic Research             | 1-Jul-03  |            |  |  |
| J025 |                | Digital signatures in Insurance                                                             |                    | 10-Mar-06 |                  |           | Algorithmic Research             |           |            |  |  |
| F056 | THOMAS J. S    | DIGITAL SIGNATURES The Key to Secure Internet Commerce                                      |                    | 21-Sep-04 |                  |           | National Conference              | 23-Jul-98 |            |  |  |
| A042 | SURETY, INC    | Digital Software Development Kit (SDK)                                                      | Web Article        | 13-May-02 | 4 of 4           | N/A       | Surety, Inc.                     | 1999-2001 | UNKNOW     |  |  |
| J029 | ALGORITHM      | Digitally Signing MS-Word and Adobe Acrobat Documents with CoSignTM                         |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |  |
| F063 |                | DIS-29500: Deprecated before use?                                                           |                    | 17-Nov-08 |                  |           |                                  |           |            |  |  |
| J107 |                | Document Process Management The Case for an Integrated Lifecycle Approach                   |                    | 4-Jul-08  |                  |           |                                  |           |            |  |  |
| M008 |                | Donald Eastlake on XML digital signatures                                                   |                    | 4-Feb-06  |                  |           |                                  |           |            |  |  |
| J069 |                | Draft Data Exchange                                                                         |                    | 8-Sep-07  |                  |           |                                  |           |            |  |  |
| M013 |                | DSCA 01-21 Implementation of Electronic Countersignature Processes                          |                    | 4-Mar-06  |                  |           |                                  |           |            |  |  |
| Q008 |                | dSIG Project                                                                                |                    | 10-Mar-09 |                  |           |                                  |           |            |  |  |
| V001 |                | DSig Reference Materials                                                                    |                    | 30-Mar-09 |                  |           |                                  |           |            |  |  |
| A011 | TREVOR PE      | DSS Use Case Requirements Analysis                                                          | Document           | 23-Mar-06 | 16 of 16         | N/A       | The Organization fo              | 16-Aug-03 | UNKNOW     |  |  |
| D010 |                | Dynamic Content Software Strategies Consulting Service                                      | Website Artic      | 26-Oct-05 |                  |           | InfoTrends                       | 26-Oct-05 |            |  |  |
| M031 |                | ECF 3.0Enhancement Proposal                                                                 |                    | 16-Sep-07 |                  |           |                                  |           |            |  |  |
| P023 |                | ECMA International                                                                          |                    | 26-Mar-09 |                  |           |                                  |           |            |  |  |
| F245 | ELIZABETH      | Ecma to create standard out of Microsoft rival to PDF                                       |                    | 24-Jun-08 |                  |           |                                  |           |            |  |  |
| C014 |                | ELECTROICS FOR YOU - Security                                                               | http://www.ef      | 20-Oct-05 | Page 111-116     |           | www.efymag.com                   | 1-Jun-05  |            |  |  |
| D029 |                | Electronic                                                                                  |                    |           |                  |           |                                  |           |            |  |  |
| J122 |                | Electronic Business Extensible Markup Language (ebXML)                                      |                    | 4-Nov-08  |                  |           |                                  |           |            |  |  |
| D011 | MARK A. LU     | Electronic Communications                                                                   |                    | 1-Jan-02  |                  |           | Educause                         | 1-Jan-02  |            |  |  |
| M036 |                | Electronic Data Interchange                                                                 |                    | 29-Sep-08 |                  |           |                                  |           |            |  |  |
| J066 |                | ELECTRONIC FILING PROJECT                                                                   |                    | 8-Sep-07  |                  |           |                                  |           |            |  |  |
| J065 |                | Electronic Notaries in Colorado                                                             |                    | 21-Jun-07 |                  |           |                                  |           |            |  |  |
| D024 | GREENWOO       | Electronic Notarization                                                                     |                    | 18-Mar-06 | entire           |           | MIT - Natl Notry Asc             |           |            |  |  |
| T054 | William J. Fly | Electronic Signature Forensics                                                              |                    | 4-Nov-05  |                  |           |                                  | 1-Jan-05  |            |  |  |
| J012 | TOPAZ SYST     | Electronic Signature Systems -- A Guide for IT Personnel                                    |                    | 4-Nov-05  | entire           |           | Topaz Systems                    | 31-Aug-04 |            |  |  |
| A010 | OFFICE OF S    | Electronic Signatures                                                                       | Powerpoint Pr      | 23-Mar-06 | 4 of ...         | N/A       | Office of the Secretary of State |           | UNKNOW     |  |  |
| J024 | ALGORITHM      | Electronic Signatures -- Overcoming Barriers to Efficient Healthcare                        |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |  |
| J060 |                | Electronic Signatures and Infrastructures (ESI); CMS Advanced Electronic Signatures (CADES) |                    | 8-Apr-06  |                  | 1.6.3     |                                  |           |            |  |  |
| J059 |                | Electronic Signatures and Infrastructures (ESI); Electronic Signature Formats               |                    | 8-Apr-06  |                  | 1.5.1     |                                  |           |            |  |  |
| J056 |                | Electronic Signatures and Infrastructures (ESI); Maintenance report                         |                    | 8-Apr-06  |                  |           |                                  |           |            |  |  |
| F195 |                | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT                                   |                    | 21-Sep-04 |                  |           | 106th Congress                   | 30-Jun-00 |            |  |  |
| A038 | ROBINS, KA     | Electronic Signatures in Global and National Commerce Act (ESIGN) -- FAQs and               | http://www.ek      | 19-Jun-05 | All              | N/A       | Robins, Kaplan, Mil              | 1-Jan-04  | UNKNOW     |  |  |
| J046 |                | Eliminating the Password Nightmare                                                          |                    | 22-Mar-06 |                  |           | DigitalPersona                   |           |            |  |  |
| J108 |                | Email Archiving: A Proactive Approach to e-Discovery                                        |                    | 4-Jul-08  |                  |           |                                  |           |            |  |  |
| G006 |                | Embedding XMP Metadata in Application Files - Draft                                         |                    | 22-Jun-08 |                  |           |                                  |           |            |  |  |
| F025 |                | Embedding XMP Metadata in Application Files.htm                                             | http://partners    | 22-Jun-08 |                  |           |                                  | 13-Sep-02 |            |  |  |
| J081 | ADOBE          | Embedding XMP Metadata in Application Files Draft 6-6-02                                    |                    | 21-Jun-08 | entire, esp S1.5 |           | Adobe                            |           |            |  |  |
| D008 |                | eMortgage Guidelines and Recommendations V1.0                                               |                    | 10-Jan-03 |                  |           | Mortgage Bankers A               | 10-Jan-03 |            |  |  |
| F284 | R. V. GUHA.    | Enabling Inference                                                                          |                    | 25-Jun-08 |                  |           |                                  | 18-Nov-98 |            |  |  |
| T015 |                | Encrypt Your Data to Keep It Safe                                                           |                    | 8-Oct-04  |                  |           |                                  | 24-Aug-01 |            |  |  |
| Q007 |                | Enhanced Adobe XML Architecture Supports XML/PDF Form Designer and XML Data Package         |                    | 31-Mar-09 |                  |           |                                  | 15-Jul-03 |            |  |  |
| B020 | NATIONAL       | Enjoa Reader User's Guide                                                                   | User's Guide       | TBD       | 16 of 16         | NA        | National Notary Ass              | 27-Jun-05 | NA         |  |  |
| A006 | NATIONAL       | Enjoa User's Guide                                                                          | Book               | UNKNOWN   | Table of Co      | N/A       | National Notary Association      |           | UNKNOW     |  |  |
| D026 |                | eNotarization                                                                               |                    | 14-Oct-07 |                  |           |                                  |           |            |  |  |

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|------|----------------|------------------------------------------------------------------------------------|-----------------------|-----------|---------------------------|---------------|------------------------------------|-----------|-------------|
| J043 | BJORN, ET AL   | Enterprise Security Architecture for Biometric User Authentication Systems         |                       | 22-Mar-06 | p5,9                      |               | DigitalPersona                     |           |             |
| B051 | VERISIGN       | Envincible Teams with VeriSign to Deliver Trusted Transaction Web Services         | http://corpora        | 16-Apr-01 | 3 of 3                    | NA            | VeriSign.com                       | 10-Apr-01 | NA          |
| B028 | MARGOT SA      | E-Sign and UETA: What Should States Do Now?                                        |                       | TBD       | 43 of 43                  | NA            | Margot Saunders, Gail Hillebrand   |           | NA          |
| F350 |                | ESIGN Electronic Commerce and Electronic Signature Law Clarified.                  |                       | 21-Sep-04 | entire                    |               |                                    | 1-Jan-03  |             |
| D001 |                | ETSI XML Advanced Electronic Signatures (XAdES)                                    | Technical Spe         | 1-Mar-06  | 1 to 101                  |               | ETSI                               | 1-Mar-06  |             |
| B018 | PROTOCOL       | ETSI XML Namespace                                                                 | http://portal.etsi    | 31-Mar-06 | 2 of 2                    | NA            | Protocol & Testing                 | 26-Jan-06 | NA          |
| P022 |                | Exchangeable image file format                                                     | Wikipedia             | 26-Mar-09 |                           |               |                                    |           |             |
| J080 | NGO            | EXPLANATORY REPORT ON OFFICE OPEN XML STANDARD (ECMA-376)                          | SUBMITTED             | 6-Jun-08  | entire                    |               | ECMA International                 |           |             |
| F321 |                | Explorer versus PNG (AlphaImageLoader)                                             |                       | 19-May-03 |                           |               |                                    |           |             |
| T025 | Michel I. Gall | Extending .NET Cryptography with CAPICOM and P/Invoke                              | MSDN Article          | 15-Oct-05 |                           |               |                                    | 1-Jun-03  |             |
| J109 |                | Extending XML-based Services Beyond the Perimeter                                  |                       | 4-Jul-08  |                           |               |                                    |           |             |
| F247 |                | Extensible Markup Language (XML)                                                   | Article               | 6-Jun-08  |                           |               |                                    | 10-Feb-98 |             |
| F619 |                | Extensible Markup Language (XML) 1.0                                               | W3C Recomm            | 3-May-08  |                           |               |                                    | 10-Feb-98 |             |
| F373 |                | Extensible Markup Language (XML) 1.0 (Second Edition)                              | W3C Recomm            | 11-Sep-03 |                           |               |                                    | 6-Oct-00  |             |
| F602 |                | Extensible Markup Language (XML) 1.0 (Third Edition)                               | W3C Recomm            | 16-Sep-07 |                           |               |                                    | 4-Feb-04  |             |
| F042 |                | Extensible Metadata Platform                                                       | Wikipedia             | 18-Aug-08 |                           |               |                                    |           |             |
| F238 |                | Extensible Metadata Platform (XMP)                                                 |                       | 22-Jun-08 |                           |               |                                    | 22-Jun-04 |             |
| F104 |                | Extensible Metadata Platform (XMP)Adding intelligence to media                     |                       | 22-Jul-08 |                           |               |                                    |           |             |
| F741 |                | Fedora alert FEDORA-2008-3979 (libpng10)                                           |                       | 21-Jun-08 |                           |               |                                    |           |             |
| F250 | RON WHITE      | Free and Cheap Software That Kills the Big Guys                                    |                       | 24-Jun-08 |                           |               |                                    |           |             |
| G004 |                | Freely Available Standards                                                         |                       | 4-Nov-08  |                           |               |                                    |           |             |
| C007 |                | Frequently Asked Questions                                                         | web site              |           |                           |               | eOriginal                          |           |             |
| D003 |                | Garland Municipal Court Goes Paperless                                             | Internet Article      | 6-Jul-07  |                           |               |                                    | 6-Jul-07  |             |
| C017 |                | Generate PKCS#7 Signatures and Envelopes                                           | http://www.as         | 13-May-03 |                           |               |                                    | 1-Jan-00  |             |
| U007 | Tim Berners-L  | Generic Resources                                                                  |                       | 30-Mar-09 |                           |               |                                    | 1-Jan-96  |             |
| J110 | ALTOVA         | Getting More from Your Content with Single Source Publishing                       |                       | 4-Jul-08  | entire, esp P3p3, P8.9,10 |               | Altova                             |           |             |
| E009 | FREDERICK      | Getting Started With XML Security                                                  | Website Article       | 20-Oct-05 | All                       | NA            | Frederick Hirsch                   | 1-Jan-02  |             |
| U006 | Tim Berners-L  | Giving Information About Other Resources in HTML                                   |                       | 30-Mar-09 |                           |               |                                    | 20-Nov-95 |             |
| M027 |                | GJXDM DataModeler                                                                  |                       | 21-Apr-06 |                           |               |                                    |           |             |
| M026 |                | GJXDM Information Exchange Package Documentation Guidelines                        |                       | 21-Apr-06 |                           |               |                                    |           |             |
| B027 | BAKER & M      | Global E-Commerce Law                                                              | Online Article        | TBD       | 5 of 5                    | NA            | Baker & McKenzie                   | 1-Jan-01  | NA          |
| D034 | US DEPART      | Global Justice Information Sharing Initiative:                                     | www.ijisip.gov/global |           | All                       | NA            | US Department of Justice           |           | Washington  |
| F743 |                | GnuPG 1.2.2 released                                                               |                       | 14-May-03 |                           |               |                                    |           |             |
| J091 | SALOP          | Going Digital: Why Use Industry Metadata Standards ... and How                     |                       | 22-Jun-08 |                           |               | Adobe                              |           |             |
| F044 |                | Graphics Interchange Format                                                        | Wikipedia             | 18-Aug-08 |                           |               |                                    |           |             |
| J044 |                | Guide to Fingerprint Identification                                                |                       | 22-Mar-06 |                           |               | DigitalPersona                     |           |             |
| A047 | VERISIGN, I    | Guide To Securing Intranet And Extranet Servers                                    | http://www.veri       | 18-Dec-00 | All                       | N/A           | VeriSign, Inc.                     |           | UNKNOW      |
| D017 |                | Guide to Securing Your Web Site For Business                                       | http://www.veri       | 20-Apr-01 | 1 to 8 of 13              |               | VeriSign                           |           |             |
| G007 |                | Hague Conference on Private International Law                                      | Wikipedia             | 21-Jun-08 |                           |               |                                    |           |             |
| F621 | LISA REIN      | Handling Binary Data in XML Documents                                              |                       | 3-May-08  |                           |               |                                    | 24-Jul-98 |             |
| D007 |                | HB 1300                                                                            | e_article.pdf         | 23-Jun-07 |                           |               |                                    |           |             |
| D023 | DAVID S. TH    | Historic Forum Brings Leaders and Industry Together on Electronic Notary Issues    | Website Article       |           | 3 of 3                    | NA            | Nationalnotary.org                 |           |             |
| F003 |                | History of PNG.htm                                                                 |                       | 5-Sep-08  |                           |               |                                    |           |             |
| B005 | GREG ROEL      | History of the Portable Network Graphics (PNG) Format                              | http://www.lib        | 28-Apr-08 | 8 of 8                    | NA            | Greg Roelofs                       | 12-Jun-05 | NA          |
| B007 | GREGG FRA      | House Bill 01-1393                                                                 | State Of Color        | 23-Jun-08 | 2 of 2                    | Article: 71.3 | State of Colorado                  |           | State of Co |
| B022 | CALIFORNIA     | How Bail Works                                                                     | http://www.ch         | 14-May-02 | 2 of 2                    | NA            | California Bail Agents Association |           | Sacrament   |
| B059 | TBD            | How Bail Works: History of Bail                                                    | Online Article        | 25-Feb-06 | 8 of 8                    | NA            | TBD                                |           | NA          |
| F202 | EXECUTIVE      | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                                       |                       | 1-Mar-01  |                           |               |                                    | 1-Mar-01  |             |
| S003 |                | How Does Compound Document Framework Benefit Us?                                   |                       | 26-Mar-09 |                           |               |                                    | 11-Jun-07 |             |
| R004 |                | HOWTO: Export/Import Plain Text Session Key Using CryptoAPI (Q228786)              |                       | 20-Feb-03 |                           |               |                                    |           |             |
| A043 | HTTP://WWW     | Howto: PGP and GnuPG                                                               | Web Article           | 9-Jan-01  | 2 of 2                    | N/A           | http://www.linuxdoc.org/HOWTO/M    |           | UNKNOW      |
| F308 |                | HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX                        | Microsoft Kno         | 13-May-03 |                           |               |                                    |           |             |
| N002 |                | Human Computation                                                                  | viewed                | 22-Jul-08 |                           |               |                                    |           |             |
| J102 |                | IBM pureXML for SOA: Unlocking the business value of information                   |                       | 4-Jul-08  |                           |               |                                    |           |             |
| B041 | FREE SOFTW     | ICONV                                                                              | http://www.gr         | 20-Oct-05 | 3 of 3                    | NA            | Free Software Foundation           |           | Boston, MA  |
| B044 | FREE SOFTW     | ICONV CLOSE                                                                        | http://www.gr         | 20-Oct-05 | 1 of 1                    | NA            | Free Software Foundation           |           | Boston, MA  |
| B042 | FREE SOFTW     | ICONV OPEN                                                                         | http://www.gr         | 20-Oct-05 | 4 of 4                    | NA            | Free Software Foundation           |           | Boston, MA  |
| F627 | WILLIAM JA     | Illinois crosses the bridge                                                        |                       | 28-Dec-07 |                           | 6-Aug-07      |                                    |           |             |
| F123 |                | Image2PDF 3.2 user manual                                                          |                       | 4-Jul-08  |                           |               | verypdf.com Inc                    |           |             |
| A021 | MILTON G.      | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAR                        | Presentation          | Early 01  | 8 OF 8                    | N/A           | National Notary Ass                | 13-Jul-00 | Chatsworth  |
| J083 |                | In Swedish ???                                                                     |                       | 22-Jun-08 |                           |               |                                    |           |             |
| J048 |                | Infomosaic SecureForm Designer                                                     |                       | 25-Mar-06 |                           |               |                                    |           |             |
| J011 | INFOMOSAI      | Infomosaic SecureSign Desktop Document Signer                                      |                       | 4-Nov-05  | entire                    |               | Infomosaic                         | 9-Jan-04  |             |
| J010 | INFOMOSAI      | Infomosaic SecureXML Digital Signature & Encryption Toolkit                        |                       | 4-Nov-05  | entire                    |               | Infomosaic                         | 9-Jan-04  |             |
| M018 |                | Infomosaic SecureXML Multiple Signature Demo                                       |                       | 30-Mar-06 |                           |               |                                    |           |             |
| A009 | HTTP://WWW     | Infomosaic: The Easy to Use Digital Signature                                      | Webpage               | 23-Mar-06 | 8 of 8                    | N/a           | http://www.infomos                 | 2-Feb-03  | UNKNOW      |
| D037 | US DEPART      | Information-Led Policing Research, Technology Development, Testing, and Evaluation |                       |           |                           |               | US Department of Justice           |           |             |
| A029 | JOHN MESSI     | Initial eNotary Signature Candidate Profiles                                       | Article               | After 00  | 1 of 1                    | N/A           | John Messing                       |           | UNKNOW      |
| B034 | TBD            | Installation on the Win32 Platform                                                 | TBD                   | 20-Oct-05 | 5 of 5                    | NA            | TBD                                |           | NA          |
| A045 | VERISIGN, I    | Introduction to Public Key Cryptography                                            | Web Article           | 18-Jan-01 | 4 of 4                    | N/A           | VeriSign, Inc.                     | 1-Jan-98  | UNKNOW      |
| J116 |                | IPTC - NAA Subject Reference Scheme                                                |                       | 4-Jul-08  |                           |               |                                    |           |             |
| J113 |                | IPTC Core Schema for XMP                                                           | Specification d       | 4-Jul-08  |                           |               | IPTC                               |           |             |
| P024 |                | IPTC Information Interchange Model                                                 |                       | 26-Mar-09 |                           |               |                                    |           |             |
| F112 |                | IPTC Metadata for XMP                                                              |                       | 4-Jul-08  |                           |               |                                    |           |             |
| J105 |                | Is a Data Warehouse the Only Option for Business Intelligence?                     |                       | 4-Jul-08  |                           |               |                                    |           |             |
| J077 |                | JANUS: An interactive document formatter based on declarative tags                 |                       | 16-May-08 |                           |               |                                    | 1-Jan-85  |             |
| B057 | JOHN WARN      | John Warnock's 'Camelot' signalled birth of PDF                                    | http://www.pl         | 29-May-06 | Page 1 of 7               | NA            | John Warnock, ww                   | 18-Jan-02 | NA          |

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
 3/31/2009 11:15 PM  
 Literature Documents  
 Sheet ( 4 ) of ( 7 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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|------|---------------|---------------------------------------------------------------------------------------------------|------------------|---------------|-------------|---------------|-------------------------------------|--|--|--|-----------|------------|----------------------------------------------------|
| F045 |               | JPEG                                                                                              | Wikipedia        | 18-Aug-08     |             |               |                                     |  |  |  |           |            |                                                    |
| D018 | THE DIGITA    | JPEG 2000                                                                                         |                  | 22-Jul-08     | 6 of 6      | NA            | DIG2000 Initiative                  |  |  |  |           |            |                                                    |
| F046 |               | JPEG 2000                                                                                         | Wikipedia        | 18-Aug-08     |             |               |                                     |  |  |  |           |            |                                                    |
| N006 |               | JPEG 2000 & JP2                                                                                   |                  | 22-Jul-08     |             |               |                                     |  |  |  |           |            |                                                    |
| J117 |               | JPEG 2000 Offers New Opportunities to Enrich Image Content & Applications Flexibility             |                  | 22-Jul-08     |             |               |                                     |  |  |  |           |            |                                                    |
| P095 |               | JPEG Freely available source code for JPEG                                                        |                  | 19-Feb-03     |             |               |                                     |  |  |  |           |            |                                                    |
| F047 |               | JPEG Network Graphics                                                                             | Wikipedia        | 18-Aug-08     |             |               |                                     |  |  |  |           |            |                                                    |
| U001 |               | Jpeg Source jpegsrb.zip                                                                           |                  | 16-Nov-08     |             |               |                                     |  |  |  | 14-Sep-98 |            |                                                    |
| J062 |               | Justice Information Sharing and the Courts: Courts Implementing the GJXDM                         |                  | 21-Apr-06     |             |               |                                     |  |  |  |           |            |                                                    |
| D031 | BUREAU OF     | Justice Information Sharing Resource Directory:                                                   | Online Direct    | 18-Sep-07     | 2 of ...    | NA            | Bureau of Justice Assistance        |  |  |  |           |            |                                                    |
| C005 |               | Key and CSR generation instructions                                                               | http://www.th    | 8-Oct-04      | Page 2 of 2 |               | Thawte consulting                   |  |  |  | 1-Jan-04  |            |                                                    |
| U004 | Michael R. Ge | Knowledge Interchange Format                                                                      |                  | 30-Mar-09     |             |               |                                     |  |  |  |           |            |                                                    |
| M007 |               | Lab 10: Digital Signatures in InfoPath 2003                                                       |                  | 4-Feb-06      |             |               |                                     |  |  |  |           |            |                                                    |
| D040 | SEARCH        | Law Enforcement Information Exchange Package Documentation                                        | Workshop Res     | 15-Mar-05     | All         | NA            | SEARCH                              |  |  |  |           | Sacrament  |                                                    |
| T052 |               | Laws CALIFORNIA DIGITAL SIGNATURE REGULATIONS                                                     |                  | 4-Nov-05      |             |               | California Code                     |  |  |  | 12-Jun-98 |            |                                                    |
| T016 |               | Laws Utah n: EDRIIVE 22                                                                           |                  | 14-Jan-01     |             |               |                                     |  |  |  |           |            |                                                    |
| F638 |               | Laws-2003 Electronic Commerce Legislation                                                         |                  | 21-Sep-04     |             |               |                                     |  |  |  |           |            |                                                    |
| F639 |               | Laws-2003 Electronic Signatures and UETA Legislation                                              |                  | 21-Sep-04     |             |               |                                     |  |  |  |           |            |                                                    |
| K011 |               | Laws-Utah Chapter 46                                                                              |                  | 16-May-03     |             |               |                                     |  |  |  |           |            |                                                    |
| A028 | NICK POPE     | Legal XML - E-Notary: Technical requirements for a E-Notary Digital Signature S                   | Article          | after 6-18-04 | 1 of ...    | N/A           | Nick Pope                           |  |  |  | 18-Jun-04 | UNKNOW     |                                                    |
| E014 | OASIS COM     | LegalXML Electronic Court Filing 3.0                                                              | Committee Dr     | 15-Nov-05     | All         | NA            | OASIS                               |  |  |  | 15-Nov-05 |            |                                                    |
| B040 | FREE SOFTW    | libiconv                                                                                          | http://www.gr    | 20-Oct-05     | 5 of 5      | NA            | Free Software Foun                  |  |  |  | 1-Jan-98  | Boston, MA |                                                    |
| F031 |               | libpng - The MNG reference library & related info                                                 |                  | 19-Feb-03     |             |               |                                     |  |  |  |           |            |                                                    |
| C026 |               | Libpng 1.2.10 - April 23, 2006                                                                    | http://www.lib   | 8-May-06      |             |               |                                     |  |  |  | 23-Apr-06 |            |                                                    |
| F733 |               | libpng 1.2.5 manual.htm                                                                           |                  | 8-May-06      |             |               |                                     |  |  |  |           |            |                                                    |
| B033 | IGOR ZLATK    | Libxml                                                                                            | zlatkovic.com    | 20-Oct-05     | 4 of 4      | NA            | Igor Zlatkovic                      |  |  |  |           | NA         |                                                    |
| F367 |               | libxml2 Reference Manual                                                                          |                  | 12-Sep-05     |             |               |                                     |  |  |  |           |            |                                                    |
| T037 | Chris Lovett  | ListEditor: A Useful XML Web Service                                                              | MSDN             | 15-Oct-05     |             |               | Microsoft Corporati                 |  |  |  | 21-Apr-00 |            |                                                    |
| B021 | CALIFORNIA    | Local Information                                                                                 | http://www.cb    | 14-May-02     | 1 of 1      | NA            | California Bail Agents Association  |  |  |  |           | Sacrament  |                                                    |
| A026 | YUICHI SUZ    | Long term electronic signature os documents retention                                             | Powerpoint Pr    | some 2004     | 10 of 10    | N/A           | Yuichi Suzuki                       |  |  |  | 1-Jan-04  | UNKNOW     |                                                    |
| F276 |               | Luxtrust: which trust ?                                                                           |                  | 24-Jun-08     |             |               |                                     |  |  |  |           |            |                                                    |
| J001 |               | LZS221-C Version 6 Data Compression Software                                                      |                  | 9-Feb-98      |             |               |                                     |  |  |  |           |            |                                                    |
| R001 |               | Message Authentication, Integrity, and Non-repudiation from Paper to PKI                          | An Informatio    | 13-May-02     |             |               |                                     |  |  |  |           |            | http://www.imforumi.gc.ca/new_docs/authentic_e.doc |
| U003 | Tim Berners-L | Metadata Architecture                                                                             |                  | 30-Mar-09     |             |               |                                     |  |  |  | 6-Jan-97  |            |                                                    |
| P025 |               | Metadata: a simple guide to describing pictures for use in online image libraries                 | Whitepaper       | 26-Mar-09     |             |               |                                     |  |  |  | 9-Feb-06  |            |                                                    |
| D002 |               | Microsoft Core XML Services (MSXML) 6.0                                                           | Software SDK     | 7-Nov-05      |             |               | Microsoft                           |  |  |  | 7-Nov-05  |            |                                                    |
| B017 | MICROSOFT     | Microsoft Cryptographic Service Provider Development Kit                                          | Document         | TBD           | 4 of 4      | NA            | Microsoft                           |  |  |  | 1999-2001 | NA         |                                                    |
| P005 |               | MICROSOFT FOUNDATION CLASS LIBRARY : WebImageTest                                                 |                  | 19-Feb-03     |             |               |                                     |  |  |  |           |            |                                                    |
| B014 | 5573>>MICR    | Microsoft Knowledge Base                                                                          | Article          | 28-Dec-04     | 2 of 2      | Article: Q254 | TBD                                 |  |  |  |           | NA         |                                                    |
| T012 |               | Microsoft Windows 2000 Public Key Infrastructure                                                  |                  | 7-Oct-03      |             |               | Microsoft Corporati                 |  |  |  | 1-Apr-99  |            |                                                    |
| M023 |               | Microsoft XML Core Services (MSXML)                                                               |                  | 30-Mar-06     |             |               |                                     |  |  |  |           |            |                                                    |
| D009 | HARRY GAR     | MISMO eMortgage Update                                                                            | Website Artic    | 1-Jan-06      |             |               |                                     |  |  |  | 1-Jan-06  |            |                                                    |
| C030 |               | MODEL NOTARY ACT                                                                                  |                  | 14-Sep-04     | Page 78-113 |               |                                     |  |  |  | 1-Sep-02  |            |                                                    |
| T002 |               | MODEL NOTARY ACT                                                                                  |                  | 14-Sep-04     |             |               |                                     |  |  |  | 1-Sep-02  |            |                                                    |
| A005 | JON UDELL     | Modeling biz docs in XML                                                                          | Webpage          | 15-Oct-05     | 1 of 1      | N/A           | Jon Udell                           |  |  |  | 29-Nov-02 | UNKNOW     |                                                    |
| T030 | Aaron Skonna  | MSXML 3.0 Supports XPath 1.0, XSLT 1.0, XDR, and SAX2                                             | MSDN Magaz       | 15-Oct-05     |             | Sep-00        | MSDN Magazine                       |  |  |  | 1-Sep-00  |            |                                                    |
| F048 | GREG ROEL     | Multiple-image Network Graphics                                                                   | Wikipedia        | 30-Jun-08     |             |               | http://www.libpng.org/pub/mng/      |  |  |  |           |            |                                                    |
| P020 |               | Multipurpose Internet Mail Extensions                                                             |                  |               |             |               |                                     |  |  |  | 1-Nov-96  |            |                                                    |
| E010 | W3C           | Namespaces in XML                                                                                 | World Wide W     | 23-Mar-06     | All         | NA            | W3C                                 |  |  |  | 14-Jan-99 |            |                                                    |
| D005 |               | National Notary Associatioin Taps GeoTrust for Electronic Notarizatoin Initiative Launching in Pe |                  | 31-Jan-06     |             |               |                                     |  |  |  | 31-Jan-06 |            |                                                    |
| F205 |               | National Notary Association and Safedoes Provide E-Notarization Solution for E-Mortgages          |                  | 7-Jun-05      |             |               |                                     |  |  |  |           |            |                                                    |
| J061 |               | NCSC Component Library Executive Summary                                                          |                  | 21-Apr-06     |             |               |                                     |  |  |  |           |            |                                                    |
| G009 | WILLIAM A.    | New California Jurat Law Creates Confusion                                                        |                  | 21-Jun-08     |             |               |                                     |  |  |  | 23-Feb-05 |            |                                                    |
| F034 |               | NEW JERSEY NOTARY PUBLIC MANUAL                                                                   |                  | 21-Jun-08     |             |               | State of New Jersey                 |  |  |  | 21-Mar-03 |            |                                                    |
| Q006 |               | Newest Version of PRISM is Now Ready for Comment                                                  |                  | 23-Feb-09     |             |               |                                     |  |  |  | 20-Aug-04 |            |                                                    |
| F111 |               | NewsML-G2                                                                                         |                  | 5-Jul-08      |             |               |                                     |  |  |  |           |            |                                                    |
| F074 |               | Next-Generation Secure Computing Base                                                             | Wikipedia        | 31-Oct-08     |             |               |                                     |  |  |  |           |            |                                                    |
| B030 | BARBARA B     | No Sure Thing                                                                                     | Best's Review    | TBD           | 4 of 4      | February 200  | A. M. Best Compan                   |  |  |  | 1-Feb-04  | NA         |                                                    |
| D021 | DAVID S. TH   | North Carolina Passes Histori Legislation on Electronic Notarization                              | Website Article  |               | 2 of 2      | NA            | Nationalnotary.org                  |  |  |  |           |            |                                                    |
| A012 | LAURENCE      | Notaries and Electronic Notarization                                                              | Resource Page    | 4-Mar-06      | 6 of 6      | N/A           | OASIS Open, Inc.                    |  |  |  | 1-Jan-02  | UNKNOW     |                                                    |
| A030 | MANOJ SRIV    | Notarized Digital Signature                                                                       | Internet Article | 6-Jun-05      | entire      | N/A           | Manoj Srivastava                    |  |  |  | 22-Oct-02 | NA         |                                                    |
| M015 |               | Notary Online Library » NNA Position Papers                                                       |                  | 19-Mar-06     |             |               |                                     |  |  |  |           |            |                                                    |
| F033 |               | Notary public                                                                                     | Wikipedia        | 21-Jun-08     |             |               |                                     |  |  |  |           |            |                                                    |
| A023 | A. SCHMIDT    | Notary Services' Requirements Review & Data Structures for Certifications                         | Powerpoint Pr    | After 00      | 7 of 7      | N/A           | A. Schmidt; W. Schneider; Fruanhofe |  |  |  |           | UNKNOW     |                                                    |
| J067 |               | OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT Electro           |                  | 8-Sep-07      |             |               |                                     |  |  |  |           |            |                                                    |
| J075 |               | OASIS LegalXML Member Section Electronic Court Filing Technical Committee Electronic Cour         |                  | 14-Oct-07     |             |               |                                     |  |  |  |           |            |                                                    |
| F113 |               | ONJava.com -- XML to PDF Oh, FOP It.htm                                                           |                  | 22-Jul-08     |             |               |                                     |  |  |  |           |            |                                                    |
| E017 | WAIBEL, BR    | Online Carpentry: Refinishing Your Table of Contents                                              | MSDN             | 15-Oct-05     | All         | NA            | Microsoft Corporati                 |  |  |  | 21-Dec-00 |            |                                                    |
| F263 |               | Open source tool released for translating between Microsoft, ODF file formats                     |                  | 24-Jun-08     |             |               |                                     |  |  |  |           |            |                                                    |
| D033 |               | Open XML Court Interface                                                                          | Website Artic    | 16-Nov-04     |             | NA            |                                     |  |  |  | 16-Nov-04 |            |                                                    |
| J094 | REUTER        | OpenDocument meta data                                                                            |                  | 22-Jun-08     |             |               | Sun                                 |  |  |  |           |            |                                                    |
| M009 |               | openssl                                                                                           |                  | 4-Feb-06      |             |               |                                     |  |  |  |           |            |                                                    |
| B046 | THE OPENS     | OpenSSL 0.9.8a                                                                                    | ftp://ftp.opens  | 20-Oct-05     | 4 of 4      | NA            | The OpenSSL Proje                   |  |  |  | 11-Oct-05 | NA         |                                                    |
| P097 |               | OpenSSL Welcome to the OpenSSL Project                                                            |                  |               |             |               |                                     |  |  |  |           |            |                                                    |
| B031 | CS.TORONT     | optiPNG - Revision History                                                                        | http://www.cs    | 2-Apr-06      | 3 of 3      | NA            | www.cs.toronto.edu                  |  |  |  |           | Toronto, O |                                                    |
| F118 | MICHAEL K     | OWL Web Ontology Language Guide                                                                   | W3C Recomm       | 22-Jul-08     |             |               |                                     |  |  |  |           |            |                                                    |
| D022 | PHILLIP W. B  | Pennsylvania Logs On to New Statewide eNotarization System                                        | Website Article  |               | 3 of 3      | NA            | Nationalnotary.org                  |  |  |  |           |            |                                                    |

Examiner Signature: /Kaveh Abrishamkar/

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./

|      |                |                                                                                                    |                                                       |           |                            |             |                                                       |  |  |  |                                |             |
|------|----------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-----------|----------------------------|-------------|-------------------------------------------------------|--|--|--|--------------------------------|-------------|
| F119 | Tim Berners-L  | Platform for Internet Content Selection (PICS)                                                     | W3C                                                   | 22-Jul-08 |                            |             |                                                       |  |  |  | 15-Jan-07                      |             |
| P002 |                | PNG (Portable Network Graphics) Specification                                                      | W3C Recomm                                            | 7-Oct-04  |                            | V1          |                                                       |  |  |  |                                |             |
| P017 |                | PNG (Portable Network Graphics) Specification Version 1.0                                          | W3C Recommendation                                    |           |                            | V1.0        |                                                       |  |  |  | 1-Oct-96                       |             |
| F734 |                | PNG Alpha Transparency Page 2                                                                      |                                                       | 9-May-06  |                            |             |                                                       |  |  |  |                                |             |
| F735 |                | PNG Alpha Transparency Page.htm                                                                    |                                                       | 9-May-06  |                            |             |                                                       |  |  |  |                                |             |
| K001 |                | PNG ALPHAIMAGELOADER                                                                               | DIR                                                   | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| K002 |                | PNG Behavior                                                                                       |                                                       | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| K005 |                | PNG Behavior (WebFX) .htm                                                                          |                                                       | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| K007 |                | PNG Behavior Demo (WebFX)2.htm                                                                     |                                                       | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| F151 | THOMAS KO      | PNG Digital Signatures                                                                             | Extension Spe                                         | 16-May-08 |                            |             |                                                       |  |  |  |                                |             |
| J079 | BOBLET, KO     | PNG Digital Signatures Commented Example                                                           |                                                       | 16-May-08 | entire                     |             |                                                       |  |  |  | Dialogika GmbH & LuxTrust S.A. |             |
| J078 | KOPP           | PNG Digital Signatures Extension Specification                                                     |                                                       | 16-May-08 | entire, esp Sec5.5.1.2.5.2 |             |                                                       |  |  |  | Dialogika GmbH & LuxTrust S.A. |             |
| Q009 |                | PNG dSIG chunk support                                                                             |                                                       | 31-Mar-09 |                            |             |                                                       |  |  |  |                                |             |
| E018 |                | PNG Frequently Asked Questions                                                                     |                                                       | 28-Apr-08 |                            |             |                                                       |  |  |  |                                |             |
| U010 |                | PNG Group Email correspondence                                                                     | Email cooresp                                         | 16-May-08 |                            |             |                                                       |  |  |  | 1-Sep-01                       |             |
| K003 | DIR            | PNG Inline Tests (1)                                                                               |                                                       | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| P003 |                | PNG IO Version 3.3 User and Reference Manual                                                       |                                                       | 4-Mar-03  |                            |             |                                                       |  |  |  |                                |             |
| C024 |                | PNG License                                                                                        | <a href="http://www.lib">http://www.lib</a>           | 21-Jun-08 |                            |             |                                                       |  |  |  | 15-Aug-04                      |             |
| C027 | GREG ROEL      | PNG Portable Network Graphics Specification, Version 1.2                                           |                                                       | 27-May-06 |                            |             |                                                       |  |  |  | 28-Apr-06                      |             |
| F736 |                | PNG Resources of the Miscellaneous Persuasion.htm                                                  |                                                       | 9-May-06  |                            |             |                                                       |  |  |  |                                |             |
| F737 |                | PNG The Definitive Guide (Online Version).htm                                                      |                                                       | 8-May-06  |                            | ISBN 1-5659 | O'Reilly                                              |  |  |  |                                |             |
| C018 | LARRY SEL      | PNG Transparency in Internet Explorer                                                              |                                                       | 1-Jan-05  |                            |             |                                                       |  |  |  | 5-Oct-04                       |             |
| B003 | GREG ROEL      | PNG: The Definitive Guide                                                                          | <a href="http://www.lib">http://www.lib</a>           | 28-Apr-08 | 3 of 3                     | NA          | Greg Roelofs                                          |  |  |  | 30-Dec-06                      | NA          |
| P008 | Erik Arvidsson | PngBehavior                                                                                        |                                                       |           |                            |             |                                                       |  |  |  |                                |             |
| P006 | Jason Summers  | PNGDIB - a mini DIB-PNG conversion library for Win32                                               |                                                       | 19-Feb-03 |                            |             |                                                       |  |  |  |                                |             |
| B010 | JASON SUM      | PNGDIB: A simple Windows DIB / PNG image conversion library                                        | <a href="http://entropy">http://entropy</a>           | 23-Feb-03 | 1 of 1                     | NA          | Jasob Summers                                         |  |  |  | 9-Feb-03                       | NA          |
| F001 |                | png-list 200109.htm                                                                                | Email cooresp                                         | 17-May-08 |                            |             |                                                       |  |  |  |                                |             |
| K009 |                | PNGSetup and System Administration.htm                                                             |                                                       | 19-May-03 |                            |             |                                                       |  |  |  |                                |             |
| T027 | Ralph Arvesen  | Pocket PC Signature Application Sample                                                             | MSDN Article                                          | 15-Oct-05 |                            |             |                                                       |  |  |  | Vertigo Software, Inc          | 1-Mar-03    |
| F005 |                | Portable Document Format                                                                           | Wikipedia                                             | 7-Oct-08  |                            |             |                                                       |  |  |  |                                |             |
| F006 |                | Portable Network Graphics                                                                          | Wikipedia                                             | 27-Aug-08 |                            |             |                                                       |  |  |  |                                |             |
| F361 |                | Portable Network Graphics (PNG) Specification (Second Edition)                                     | W3C Recomm                                            | 7-Jan-08  |                            | V2.0        |                                                       |  |  |  | 10-Nov-03                      |             |
| F390 | KENT DAVI      | Position Paper on Signed XML                                                                       |                                                       | 4-Feb-06  |                            |             |                                                       |  |  |  |                                |             |
| F071 |                | Preview - SIIA Information Industry Summit 2007.htm                                                |                                                       | 10-Oct-08 |                            |             |                                                       |  |  |  |                                |             |
| Q005 |                | PRISM: Publishing Requirements for Industry Standard Metadata                                      |                                                       | 23-Feb-09 |                            | V1.0        | PRISM Working Gr                                      |  |  |  | 9-Apr-01                       |             |
| C019 |                | Procedure for Signing Data                                                                         | <a href="http://msdn.m">http://msdn.m</a>             | 17-May-01 | 2 of 2                     |             |                                                       |  |  |  | 5-Dec-00                       |             |
| T028 | Dan Fox        | Protect Private Data with the Cryptography Namespaces of the .NET Framework                        | MSDN Article                                          | 15-Oct-05 |                            | Jun-02      | MSDN Magazine                                         |  |  |  | 1-Jan-02                       |             |
| B019 | PROTOCOL       | PTCC Download                                                                                      | <a href="http://portal.es">http://portal.es</a>       | 31-Mar-06 | 2 of 2                     | NA          | Protocol & Testing                                    |  |  |  | 2-Mar-06                       | NA          |
| F061 |                | Publicly Available Standards.htm                                                                   |                                                       | 4-Nov-08  |                            |             |                                                       |  |  |  |                                |             |
| Q004 |                | Publishing Requirements for Industry Standard Metadata (PRISM)                                     |                                                       |           |                            |             |                                                       |  |  |  | 6-Oct-00                       |             |
| F429 | JOSEPH REA     | Question: The status/design of XML Signatures and Encryption                                       |                                                       | 6-Nov-05  |                            |             |                                                       |  |  |  | 25-May-01                      |             |
| B026 | STATE OF U     | R590. Insurance, Administration.                                                                   | <a href="http://www.ec">http://www.ec</a>             | 9-Aug-02  | Page 1 of 3                | NA          | Code-Co/State of U                                    |  |  |  | 1-Jan-01                       | State of Ut |
| F266 | DAN BRICK      | RDF for self-describing images                                                                     |                                                       | 25-Jun-08 |                            |             |                                                       |  |  |  | 23-Jan-01                      |             |
| U009 | Dave Beckett   | RDF PNG Icon                                                                                       |                                                       | 25-Jun-08 |                            |             |                                                       |  |  |  | 19-Apr-01                      |             |
| F267 |                | RDF Primer                                                                                         | W3C Recomm                                            | 22-Jun-08 |                            |             |                                                       |  |  |  |                                |             |
| P015 | Ben Adida, et  | RdFa in XHTML: Syntax and Processing                                                               | W3C Recomm                                            | 26-Mar-09 |                            |             | W3C                                                   |  |  |  | 14-Oct-08                      |             |
| F729 |                | readme.html                                                                                        |                                                       | 9-Feb-03  |                            |             |                                                       |  |  |  |                                |             |
| A025 | HTTP://WWW     | ReadNotify Email Notary and Timestamping service                                                   | Article                                               | 7-Jun-05  | 3 of 3                     | N/A         | <a href="http://www.readnoti">http://www.readnoti</a> |  |  |  | UNKNOW                         |             |
| F329 | Manoj K. Sriv  | Regulatory Compliance Using Digital Signature                                                      |                                                       | 4-Nov-05  |                            |             | US Army                                               |  |  |  | 27-Jun-05                      |             |
| F731 |                | RelayHealth - Secure Online Communications Between Doctors and Patients.htm                        |                                                       | 10-May-06 |                            |             |                                                       |  |  |  |                                |             |
| A017 | NATIONAL       | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Florida                                | Report from th                                        | Late 03   | 11 of 11                   | N/A         | National Notary Ass                                   |  |  |  | 1-Mar-03                       | Chatsworth  |
| F269 | JOEL NAVA      | Report on XML Packaging                                                                            | W3C                                                   | 14-May-08 |                            |             |                                                       |  |  |  | 2-Aug-99                       |             |
| A024 | A. SCHMIDT     | Requirements for Data Validation and Certification Services                                        | Internet-Draft                                        | 31-Mar-06 | 11 of 11                   | N/A         | The Internet Societ                                   |  |  |  | 20-Jun-05                      | UNKNOW      |
| F120 |                | Resource Description Framework (RDF)                                                               | downloaded                                            | 22-Jul-08 |                            |             |                                                       |  |  |  | 1-Jan-04                       |             |
| F057 |                | Revision history of Extensible Metadata Platform                                                   |                                                       | 18-Aug-08 |                            |             |                                                       |  |  |  |                                |             |
| C001 | M. ROSE        | RFC 2629 - Writing I-D's and RFC's using XML                                                       | Manual                                                | 22-Jul-08 | 1-Jan-00                   | NA          | Invisible Worlds, Inc                                 |  |  |  | 1-Jun-99                       | NA          |
| C002 | HOUSLEY        | RFC 3852 Cryptographic Message Syntax                                                              | Manual                                                |           | Page 1 to 8                | NA          |                                                       |  |  |  | 1-Jul-04                       |             |
| C016 |                | Rule R590-186. Bail Bond Surety Business                                                           | <a href="http://www.utah.gov">http://www.utah.gov</a> |           |                            |             |                                                       |  |  |  | 1-Apr-06                       |             |
| F125 |                | SAAs offers screencasts on Key topics all photographers involved in image licensing need to unders |                                                       | 4-Jul-08  |                            |             |                                                       |  |  |  |                                |             |
| D025 |                | Safeguarding the                                                                                   |                                                       |           |                            |             |                                                       |  |  |  |                                |             |
| M011 |                | Safeguarding the Identity and Integrity of XML Messages - Security Strategies for XML Signatures   |                                                       | 5-Feb-06  |                            |             |                                                       |  |  |  |                                |             |
| T031 | Aaron Skonna   | SAX, the Simple API for XML                                                                        | MSDN Magaz                                            | 15-Oct-05 |                            | Nov-00      | MSDN Magazine                                         |  |  |  | 1-Nov-00                       |             |
| Q011 | JASON HOSH     | Screencast Tutorials                                                                               |                                                       | 14-Mar-09 |                            |             |                                                       |  |  |  |                                |             |
| V005 |                | SDML - Signed Document Markup Language                                                             |                                                       | 30-Mar-09 |                            |             |                                                       |  |  |  |                                |             |
| F732 |                | Search - Wikipedia, the free encyclopedia.htm                                                      |                                                       | 22-May-06 |                            |             |                                                       |  |  |  |                                |             |
| B052 | WWW.SURE       | Secure Time/Date Stamping in a Certification Authority                                             | <a href="http://www.gc">http://www.gc</a>             | 15-May-02 | 19 of 19                   | NA          | www.surety.com                                        |  |  |  | NA                             |             |
| E016 | INFOMOSAIC     | SecureXML Digital Signature & Encryption                                                           | User's Guide a                                        | 23-Mar-06 | All                        | 2.5.145.44  | Infomosaic Corpora                                    |  |  |  | 15-Dec-05                      | Santa Clara |
| J006 | THAWTE         | Securing your Microsoft Internet Information Services (MS IIS) Web Server with a                   | thawte Digital                                        | 24-Apr-05 | entire                     |             |                                                       |  |  |  |                                |             |
| J005 | THAWTE         | Securing your Online Data Transfer with SSL                                                        |                                                       | 24-Apr-05 | entire                     |             | thawte                                                |  |  |  |                                |             |
| B049 | VERISIGN       | Securing Your Web Site For Business                                                                | <a href="http://www.ver">http://www.ver</a>           | 20-Apr-01 | Page 1 and                 | NA          | VeriSign.com                                          |  |  |  | NA                             |             |
| J121 |                | Security Challenges, Threats and Countermeasures Version 1.0                                       |                                                       | 4-Nov-08  |                            |             |                                                       |  |  |  |                                |             |
| M034 |                | Security Whitepapers                                                                               |                                                       | 24-Jun-08 |                            |             |                                                       |  |  |  |                                |             |
| M035 |                | Semantic Web                                                                                       | Wikipedia                                             | 22-Jul-08 |                            |             |                                                       |  |  |  |                                |             |
| U005 | Tim Berners-L  | Semantic Web Road map                                                                              |                                                       | 30-Mar-09 |                            |             |                                                       |  |  |  | 1-Sep-98                       |             |
| D004 |                | Send Digital Signed Messages By Using CDOXSYS/CDOEX                                                | <a href="http://support">http://support</a>           | 13-Mar-03 | 4 of 4                     |             | Microsoft                                             |  |  |  |                                |             |
| B054 | PERSITS SO     | Send Secure ASP Mail in S/MIME Format                                                              | <a href="http://www.as">http://www.as</a>             | 13-May-03 | 5 of 5                     | NA          | Persits Software, Inc                                 |  |  |  | 1-Jan-00                       | NA          |
| A046 | HTTP://MSD     | Signing and Checking Code with Authenticode                                                        | Web Article/W                                         | 16-Apr-01 | 14 of 14                   | N/A         | Microsoft Corporation                                 |  |  |  | UNKNOW                         |             |

INFORMATION DISCLOSURE  
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 Literature Documents  
 Sheet ( 6 ) of ( 7 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|      |               |                                                                                                |                    |           |                                               |             |                                     |  |             |                                      |
|------|---------------|------------------------------------------------------------------------------------------------|--------------------|-----------|-----------------------------------------------|-------------|-------------------------------------|--|-------------|--------------------------------------|
| F397 | KARL SCHE     | Signing XML Documents and the Concept of "What You See Is What You Sign"                       |                    | 4-Feb-06  | entire; esp Ch 1, Ch 2, P39, P47, Ch 5, Ch 6, |             |                                     |  | 1-Jan-01    |                                      |
| J045 |               | Simplified Identity Management, Stronger Authentication                                        |                    | 22-Mar-06 |                                               |             | DigitalPersona                      |  |             |                                      |
| D019 | MICHAEL J.    | SMART Docs                                                                                     | Mortgage Tec       | 31-Aug-08 | 3 of 3                                        | NA          |                                     |  |             |                                      |
| F004 | By Michael J. | SMART Docs are Here! Ready For a SMART Envelope?                                               |                    | 31-Aug-08 |                                               |             |                                     |  | 1-Apr-04    |                                      |
| J119 |               | SMART Document Implementation Guide                                                            |                    | 17-Sep-08 |                                               |             |                                     |  |             |                                      |
| F273 |               | Smart Documents Overview                                                                       |                    | 21-Jun-08 |                                               |             | Microsoft                           |  |             |                                      |
| M010 |               | SOAP Security Extensions: Digital Signature                                                    |                    | 5-Feb-06  |                                               |             |                                     |  |             |                                      |
| P026 |               | SOAP Version 1.2 Part 1: Messaging Framework                                                   | W3C Recommendation |           |                                               |             |                                     |  | 24-Jun-03   |                                      |
| A035 | VERISIGN, I   | Software Publisher Digital Ids for Microsoft Authenticode Technology                           | Web Article        | 20-Apr-01 | 8 of 8                                        | N/A         | VeriSign, Inc.                      |  | 1-Jan-98    | UNKNOW                               |
| B050 | FRANCK MA     | SSL Certificates HOWTO                                                                         | http://www.gt      | 13-May-03 | 24 of 24                                      | NA          | Franck Martin                       |  | 20-Oct-02   | NA                                   |
| S002 |               | Standardizing Information and Communication Systems                                            |                    | 26-Mar-09 |                                               | V3.0        |                                     |  | 1-Dec-99    |                                      |
| J068 |               | Standards for Electronic Filing Processes                                                      |                    | 8-Sep-07  |                                               |             |                                     |  |             | The National Center for State Courts |
| D013 |               | State of Utah- Title 46 Norarization and Authentication of Documents and Digital Signatures    |                    | 6-May-04  | 10 of 10                                      |             |                                     |  |             |                                      |
| J004 | THAWTE        | Step-Up Encryption                                                                             |                    | 24-Apr-05 | entire, S2,                                   |             | thawte                              |  |             |                                      |
| J074 |               | Superior Court of Arizona, Maricopa County User Guide                                          |                    | 14-Oct-07 |                                               |             |                                     |  |             |                                      |
| F423 | Brenda Couls  | Supporting Digital Signatures Within SOAP Messages                                             |                    | 6-Nov-05  |                                               |             | Dev X                               |  | 1-Jan-04    |                                      |
| J123 |               | Supporting Standards in Intelligent Documents                                                  |                    | 10-Nov-08 |                                               |             | Adobe                               |  | 3-Mar-05    |                                      |
| E015 | DEVIN DU      | Supreme Court of Canada                                                                        | Website Article    | 10-Mar-06 | 2 of 2                                        | NA          | Infomosaic Corpora                  |  | 20-May-04   | Santa Clara                          |
| D038 | GEOFFREY B    | System puts data at officers' fingertips                                                       | Newspaper Ar       | 19-May-06 | 1 of 1                                        | NA          | Deseret Morning Ne                  |  | 19-May-06   | Salt Lake C                          |
| E003 |               | System.Security.Cryptography.Xml Namespace                                                     |                    | 15-Oct-05 | 1 of 1                                        | NA          | http://msdn2.microsoft.com          |  |             |                                      |
| J088 | PARSONS, E    | Tag Image File Format (TIFF) - image/tiff MIME Sub-type Registration                           |                    | 22-Jun-08 | entire, esp P4p2,3                            |             | The Internet Society                |  |             |                                      |
| F052 |               | Tagged Image File Format                                                                       | Wikipedia          | 18-Aug-08 |                                               |             |                                     |  |             |                                      |
| B047 | LYN ROBISC    | Teach Yourself Database Programming with Visual C++ in 21 Days- Day 10: Data                   | Exerp from bo      | 11-Dec-02 | Pages 1-4 d                                   | NA          | Sams Publishing                     |  | 1-Jan-99    | TBD                                  |
| F206 |               | ter 1. Notaries Public Reform Act                                                              | Utah Code          | 7-Jun-05  |                                               |             |                                     |  | 1-Jan-03    |                                      |
| J103 | IXIA SOFT     | TEXTML Server                                                                                  |                    | 4-Jul-08  | entire                                        |             | IXIA Soft                           |  |             |                                      |
| J007 | THAWTE        | thawte's Quick Enrollment Guide:                                                               |                    | 24-Apr-05 | entire                                        |             | thawte                              |  |             |                                      |
| F351 |               | The 2004 Florida Statutes                                                                      |                    | 21-Sep-04 |                                               |             |                                     |  | 1-Jan-04    |                                      |
| F137 | S. JOSEFSSO   | The Base16, Base32, and Base64 Data Encodings                                                  |                    | 2-Jul-08  |                                               |             |                                     |  | 1-Jul-03    |                                      |
| A032 | DOCUSIGN,     | The Business Case for Electronic Signing                                                       | Article            | 10-Mar-06 | 6 of 6                                        | v2          | DocuSign, Inc.                      |  | 8-Feb-06    | UNKNOW                               |
| B013 | NATIONAL N    | The Colorado Notary                                                                            | Newsletter/Ne      | 2-Dec-04  | 2 of 2                                        | Volume 1, N | TBD                                 |  | 1-Nov-04    | State of Co                          |
| J072 |               | The Electronic Court Filing 3.0 Standard and What's Next?                                      |                    | 14-Oct-07 |                                               |             |                                     |  |             |                                      |
| A031 | HTTP://WWW    | The Electronic Notarization System Based on the Notarization System                            | Article            | 31-Mar-06 | 1 of 1                                        | N/A         | http://www.moj.gov/jp/ENGLISH/intro |  |             | UNKNOW                               |
| C008 |               | The E-Sign Act                                                                                 | web site           |           |                                               |             | eOriginal                           |  |             |                                      |
| R003 | Domenick J. D | The Evolution of Security on the Web: An Introduction to Cryptosystems of the Internet         |                    | 13-May-02 |                                               |             |                                     |  | 25-Jun-96   |                                      |
| A015 | 50STATENO     | The Free Introductory Signing Agent Training Course                                            | Training Cour      | Late 05   | 25 of 25                                      | 7th         | 50StateNotary Com                   |  | 1-Jan-05    | Columbus,                            |
| D032 | THE GLOBA     | The Global Justice Reference Architecture (JRA) Web Services Service Interaction               | Website Article    | 1-Aug-07  | Title Page                                    | 1.1         | Bureau of Justice As                |  | 1-Aug-07    | Washington                           |
| J037 |               | The Growing Real Estate Fraud Problem in Florida                                               |                    | 19-Mar-06 |                                               |             |                                     |  |             |                                      |
| P007 | Zip Download  | The Independent JPEG Group's JPEG software                                                     |                    | 13-Feb-03 |                                               |             |                                     |  |             |                                      |
| A037 | ROBERT CO     | The Internet API (or How to Get from Here to There)                                            | Article            | 24-Feb-09 | 9 of 9                                        | N/A         | Microsoft Develop                   |  | 1-Jul-96    | UNKNOW                               |
| D035 | THE GLOBA     | The Justice Reference Architecture (JRA) Specification                                         | Working Draft      | 8-Aug-06  | All                                           | NA          | US Department of J                  |  | 8-Aug-06    | Washington                           |
| A014 | THOMAS J. S   | The Legal Requirements for Creating Secure and Enforceable Electronic Transactio               | Document           | 14-Sep-04 | 30 of 30                                      | N/A         | Thomas J. Smedinghoff, Baker & Mc   |  |             | UNKNOW                               |
| A008 | BAUM, ET A    | The Model Notary Act                                                                           | Public Service     | Early 03  | entire                                        | N/A         | National Notary Ass                 |  | 1-Sep-02    | UNKNOW                               |
| J104 |               | The Next Generation of BI                                                                      |                    | 4-Jul-08  |                                               |             |                                     |  |             |                                      |
| A016 | DEBORAH M     | The Notary Office and Its Impact in The 21st Century                                           | Presentation a     | Early 01  | 28 of 28                                      | N/A         | Deborah M. Thaw, National Notary A  |  |             | Chatsworth                           |
| J040 |               | THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY                                           |                    | 19-Mar-06 |                                               |             |                                     |  |             |                                      |
| J035 |               | THE NOTARY PUBLIC CODE OF PROFESSIONAL RESPONSIBILITY                                          |                    | 19-Mar-06 | Sec 4,6,7,8                                   |             | Natl Notary Assoc                   |  | 1-Nov-98    |                                      |
| F114 | RAEL DORN     | The Power of Metadata                                                                          |                    | 22-Jul-08 |                                               |             | O'Reilly                            |  |             |                                      |
| D020 | THE DIGITA    | The Power of Metadata Is Propelling Digital Imaging Beyond the Limitations of Co               | Website Article    | 22-Jul-08 |                                               | NA          | DIG35 Initiative                    |  | 1-Aug-99    |                                      |
| T022 | Joseph Reagle | The status/design of XML Signatures and Encryption                                             |                    | 6-Nov-05  |                                               |             | Internationales Cong                |  | 21-May-01   |                                      |
| B001 | GREG ROEL     | The Story of PNG                                                                               |                    | 28-Apr-08 | 3 of 3                                        | NA          | Greg Roelofs                        |  | 13-Aug-00   | NA                                   |
| J032 |               | The Strength of User vs. System Signing                                                        |                    | 10-Mar-06 |                                               |             | Algorithmic Research                |  |             |                                      |
| J097 |               | The Technical Case Against DIS 29500/OOXML                                                     |                    | 24-Jun-08 | entire                                        |             | ODF Alliance                        |  |             |                                      |
| J111 |               | The Transition from Operations to Business Value Drivers                                       |                    | 4-Jul-08  |                                               |             |                                     |  |             |                                      |
| C009 |               | The UETA                                                                                       | web site           |           |                                               |             | eOriginal                           |  |             |                                      |
| M029 |               | The Use of Electronic Documents as Originals Using the eOriginal™ system to Produce Reliable E |                    | 8-Dec-06  |                                               |             |                                     |  |             |                                      |
| B059 | MICROSOFT     | The Web Presence Provider for Microsoft FrontPage version 2002 and SharePoint                  | Microsoft Off      | 9-May-02  | 15 of 15                                      | NA          | Microsoft Corporati                 |  | April, 2001 | NA                                   |
| P099 |               | The XML C parser and toolkit of Gnome                                                          |                    |           |                                               |             |                                     |  |             |                                      |
| T039 | Amol Kher     | The XML Diff and Patch GUI Tool                                                                | MSDN               | 15-Oct-05 |                                               |             | Microsoft Corporati                 |  | 1-Jul-04    |                                      |
| F128 | Bob DuCharm   | The XPath 2.0 Data Model                                                                       |                    | 22-Jul-08 |                                               |             | xml.com                             |  | 2-Feb-05    |                                      |
| C003 |               | Timestamping Extends the Valid Date of a Digital Certificate                                   |                    |           |                                               |             | Microsoft Corporati                 |  | 1-Jan-02    |                                      |
| J015 |               | TITLE I—ELECTRONIC RECORDS AND SIGNATURES IN COMMERCE                                          |                    | 4-Nov-05  | entire                                        |             | US Congress                         |  |             |                                      |
| J042 | BONDAR        | Total Password Automation in the Enterprise                                                    |                    | 22-Mar-06 | P3,4                                          |             | DigitalPersona                      |  |             |                                      |
| J054 |               | Towards an XML Format for TimeStamps                                                           |                    | 8-Apr-06  |                                               |             |                                     |  |             |                                      |
| M028 |               | TRUST AND THE WORLD WIDE WEB                                                                   |                    | 8-Dec-06  |                                               |             |                                     |  |             |                                      |
| A049 |               | Trust Only Trustworthy Channels                                                                | Web Article        | 9-Jan-01  | 2 of 2                                        | N/A         | http://www.linuxdoc.org/HOWTO/Sc    |  |             | UNKNOW                               |
| F075 |               | Trusted Computing                                                                              | Wikipedia          | 31-Oct-08 |                                               |             |                                     |  |             |                                      |
| F077 |               | Trusted Platform Module                                                                        | Wikipedia          | 31-Oct-08 |                                               |             |                                     |  |             |                                      |
| C010 |               | UCC Revised Article 9                                                                          | Web site           |           |                                               |             | eOriginal                           |  |             |                                      |
| T046 | AARON SKO     | Understanding XML Namespaces                                                                   | Website Article    | 15-Oct-05 | All                                           | NA          | Microsoft Corporati                 |  | 1-Jul-02    |                                      |
| F126 |               | Unicode                                                                                        | Wikipedia          | 22-Jul-08 |                                               |             |                                     |  |             |                                      |
| F353 |               | UNIFORM COMPUTER INFORMATION TRANSACTIONS ACT                                                  |                    | 21-Sep-04 |                                               |             | NATIONAL CONF                       |  | 25-Sep-02   |                                      |
| C012 |               | Uniform Electronic Transactions Act                                                            | http://www.la      | 21-Sep-04 |                                               |             |                                     |  |             |                                      |
| A001 | NATIONAL C    | Uniform Electronic Transactions Act (1999)                                                     | Document           | 19-Jun-05 | 1, 2, 59, 60                                  | N/A         | National Conference                 |  | 1-Jan-00    | UNKNOW                               |
| B029 | BAKERNET,     | UNIFORM ELECTRONIC TRANSACTIONS ACT (UETA) STATE-BY-STATE                                      | bakernet.com/      | 14-Sep-04 | 6 of 6                                        | NA          | bakernet.com                        |  | 2-Jun-04    | NA                                   |
| V004 |               | Universal Commerce Language and Protocol (UCLP) Version 3.0                                    |                    | 30-Mar-09 |                                               |             |                                     |  | 20-Jan-99   |                                      |
| A039 | WEDGETAIL     | uPKI: Technical Whitepaper                                                                     | Web Article        | some 2002 | 18 of 18                                      | N/A         | Wedgetail Commun                    |  | 1-Jan-02    | Brisbane, C                          |
| D027 | VARIOUS       | Uses cases submitted for consideration                                                         |                    | 23-Mar-06 |                                               |             |                                     |  |             |                                      |

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
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Literature Documents  
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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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|------|----------------|------------------------------------------------------------------------------|--------------------|-----------|---------------------------|-----------|------------------------|------------------------------|-----------|-------------|
| T026 | Don Box        | Using ADO to Create XML-based Recordsets                                     | MSDN Article       | 15-Oct-05 |                           |           |                        |                              |           |             |
| C022 |                | Using CryptoAPI                                                              | Platform SDK       | 22-May-02 | 1 of 302                  |           |                        | Microsoft                    |           |             |
| T044 | Charles Heine  | Using Namespaces Within Your XML Documents                                   | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 20-Jun-99 |             |
| V006 |                | Using P3P for E-Commerce                                                     |                    | 30-Mar-09 |                           |           |                        |                              |           |             |
| K010 |                | Using the CryptoAPI for Public/Private Data Exchange                         |                    | 14-May-03 |                           |           |                        |                              |           |             |
| F038 | THOMAS G.      | USING THE IJG JPEG LIBRARY                                                   |                    | 15-Jun-08 |                           |           |                        |                              | 1-Jan-95  |             |
| T040 | Charlie Heiner | Using XSL to Sort and Filter Your Data                                       | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 18-Mar-99 |             |
| B025 | STATE OF UT    | Utah Code -- Statutes and Constitution Title 46                              | http://www.le      | 9-Aug-02  | Page 1 of 5               | NA        |                        | State of Utah                | 6-Aug-02  | State of Ut |
| F127 |                | UTF-8                                                                        | Wikipedia          | 22-Jul-08 |                           |           |                        |                              |           |             |
| T033 | Mihaela Cris   | Verify and Add Digital Signatures to Form Data in InfoPath 2003 Using MSXML  | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Sep-04  |             |
| C004 |                | Verisign - Guide To Securing Intranet And Extranet Servers                   | http://www.ver     | 18-Dec-00 | Page 2 to 1               | Copy 1999 |                        | VeriSign.com                 |           |             |
| D016 |                | VeriSign and Cyclone Commerce Team To Deliver Next-Generation Solutions For  | http://corporat    | 20-Apr-01 | 1 of 2                    | NA        |                        | VeriSign                     | 10-Apr-01 |             |
| C023 |                | Verisign Authenticated Content Signing                                       | Website Article    |           |                           |           |                        | Verisign                     | 10-Apr-05 |             |
| D014 |                | VeriSign Introduces Next-Generation Internet Trust Services                  | http://corporat    | 20-Apr-01 | 2 of 2                    | NA        |                        | VeriSign                     | 10-Apr-01 |             |
| D015 |                | VeriSign, Microsoft & webMethods, Joined by Baltimore Technologies, HP, IBM, | http://corporat    | 20-Apr-01 | 4 of 4                    | NA        |                        | VeriSign                     | 10-Apr-01 |             |
| J063 |                | VeriSign@Authenticated Content Signing                                       |                    | 2-Nov-06  |                           |           |                        |                              |           |             |
| E005 | DAVID ORCI     | Versioning XML Vocabularies                                                  | Website Article    | 15-Oct-05 | 12 of 12                  | NA        |                        | Xml.com                      | 27-Oct-04 |             |
| V003 |                | W3C and Electronic Commerce                                                  |                    | 30-Mar-09 |                           |           |                        |                              |           |             |
| J019 | INSIGHT CO     | Web Services and XML Security                                                |                    | 4-Feb-06  |                           |           |                        | Siemens                      |           |             |
| G003 |                | Web Services Interoperability Organization (WS-I)                            |                    | 4-Nov-08  |                           |           |                        |                              |           |             |
| J120 |                | Web Services Security SOAP Message Security 1.0                              |                    | 4-Nov-08  |                           |           |                        |                              |           |             |
| A041 | RSA SECURI     | What is digital timestamping?                                                | Article            | some 2002 | 2 of 2                    | N/A       |                        | RSA Security, Inc.           | 1-Jan-02  | UNKNOW      |
| F129 | G. KEN HOL     | What Is XSLT                                                                 |                    | 22-Jul-08 |                           |           |                        | xml.com                      | 16-Aug-00 |             |
| C011 |                | What Makes a Record Legal?                                                   |                    |           |                           |           |                        | eOriginal                    |           |             |
| T047 | Aaron Skonna   | What's New in MSXML 4.0                                                      | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Dec-01  |             |
| J106 |                | What's Up, DOC? ODF, OOXML, and the Revolutionary Implications of XML in     | Productivity Ar    | 4-Jul-08  |                           |           |                        |                              |           |             |
| T013 | David B. Cros  | Windows 2000 Server and Key Management Server Interoperability               |                    | 7-Oct-03  |                           |           |                        | Microsoft Corporati          | 14-Mar-00 |             |
| R002 | Craig Kimpel   | Windows Crypt API Interfacing                                                |                    | 13-May-02 |                           |           |                        |                              |           |             |
| B038 | IGOR ZLATK     | Windows Port                                                                 | zlatkovic.com      | 20-Oct-05 | 4 of 4                    | NA        |                        | Igor Zlatkovic               |           | NA          |
| M024 |                | Witnessing GenuineDoc digital notarization process                           |                    | 31-Mar-06 |                           |           |                        |                              |           |             |
| F419 | Shirley Kawan  | WS-Security uses SOAP to carry message                                       |                    | 5-Nov-05  |                           |           |                        | Network World                | 16-Dec-02 |             |
| T041 | Chris Lovett   | XInclude, Anyone?                                                            | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 29-May-00 |             |
| D028 | CRUELLAS,      | XML Advanced Electronic Signatures (XAdES)                                   | W3C Note           | 20-Feb-03 | All                       |           | ETSI TS 101 903 V1.3.2 |                              | 20-Feb-03 |             |
| F691 | Chris Lovett   | XML as the API                                                               | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 16-Oct-00 |             |
| U002 |                | XML Binary Characterization Use Cases                                        | W3c Note           | 30-Mar-09 |                           |           |                        |                              | 31-Mar-05 |             |
| B061 | INFOMOSAI      | XML Digital Signature                                                        | Internet Article   | 4-Nov-05  | 10 of 10                  | NA        |                        | Infomosaic Corpora           | 24-Oct-05 | NA          |
| J017 | SYNGRESS,      | XML Digital Signatures - Chapter 5                                           |                    | 4-Nov-05  | entire                    |           |                        |                              |           |             |
| T043 | Dennis Cronin  | XML Editing: A WYSIWYG XML Document Editor                                   | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Aug-02  |             |
| E011 | TIM BRAY       | XML Namespaces by Example                                                    |                    | 19-Jan-99 | All                       | NA        |                        | Tim Bray                     | 19-Jan-99 |             |
| F155 |                | XML Packaging Working Group Charter                                          | W3C Draft          | 16-May-08 |                           |           |                        |                              |           |             |
| E002 | WIKIPEDIA      | XML Paper Specification                                                      | Encyclopedia       | 29-May-06 | 1 of ...                  | NA        |                        | Wikipedia                    |           |             |
| P013 | JAMES CLAR     | XML Path Language (XPath)                                                    | W3C Recommendation |           |                           |           | V1.0                   | W3C                          | 16-Nov-99 |             |
| P014 | Anders Berglu  | XML Path Language (XPath) 2.0                                                | W3C Recomm         | 26-Mar-09 |                           |           | V2.0                   | W3C                          | 23-Jan-07 |             |
| M020 |                | XML Path Language (XPath) Version 1.0                                        |                    | 30-Mar-06 |                           |           |                        |                              |           |             |
| B037 | TBD            | XML Security Library: Compiling and linking on Windows                       | http://www.al      | 16-Oct-05 | 1 of 1                    | NA        |                        | TBD                          |           | NA          |
| B035 | TBD            | XML Security Library: XML Digital Signature                                  | http://www.al      | 15-Oct-05 | 5 of 5                    | NA        |                        | TBD                          |           | NA          |
| B036 | TBD            | XML Security Library: XML Encryption                                         | http://www.al      | 15-Oct-05 | 3 of 3                    | NA        |                        | TBD                          |           | NA          |
| F438 |                | XML Security page                                                            |                    | 6-Nov-05  |                           |           |                        |                              |           |             |
| F425 | SHELLEY D      | XML security: A who's who                                                    |                    | 6-Nov-05  |                           |           |                        |                              | 8-Jul-02  |             |
| F287 | MARK BART      | XML Signature Syntax and Processing (Second Edition)                         | W3C Propose        | 6-Jun-08  |                           |           |                        | W3C                          | 10-Jun-08 |             |
| T034 | Nancy Michell  | XML to HTML, Editable Dropdown List, Sending Large XML Files to SQL, Strea   | MSDN Magaz         | 15-Oct-05 |                           |           | Oct-01                 | Microsoft Corporati          | 1-Jan-01  |             |
| C025 |                | xmlsec Library Readme.txt                                                    |                    | 20-Oct-05 |                           |           |                        |                              |           |             |
| A033 | MARK BART      | XML-Signature Syntax and Processing                                          | W3C Recomm         | 4-Oct-05  | 44 of 44                  | N/A       |                        | IETF,W3C                     | 12-Feb-02 | UNKNOW      |
| F420 | JOHN BOYE      | XML-Signature XPath Filter 2.0                                               | W3C Candida        | 6-Nov-05  |                           |           |                        |                              | 18-Jul-02 |             |
| J090 | ADOBE          | XMP - Extensible Metadata Platform v1.5 9-14-01                              |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |             |
| G014 |                | XMP Adding Intelligence to Media                                             |                    | 22-Jun-08 |                           |           |                        |                              |           |             |
| J089 | ADOBE          | XMP SDK Overview                                                             |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |             |
| J085 | ADOBE          | XMP Specification Jan 04                                                     |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |             |
| J064 | ADOBE          | XMP Specification Sep 2005                                                   |                    | 3-May-07  | entire, esp: P11,12-14-15 |           |                        | Adobe                        |           |             |
| J086 |                | XMP Specification Sep 2005                                                   |                    | 22-Jun-08 |                           |           |                        |                              |           |             |
| J087 | ADOBE          | XMP Toolkit v 4.1.1                                                          |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |             |
| T032 | Aaron Skonna   | XPath, XSLT, and other XML Specifications                                    | MSDN Magaz         | 15-Oct-05 |                           |           | May-00                 | MSDN Magazine                | 1-May-00  |             |
| P011 | JAMES CLAR     | XSL Transformations (XSLT)                                                   | W3C Recommendation |           |                           |           | V1.0                   | W3C                          | 16-Nov-99 |             |
| M019 |                | XSL Transformations (XSLT) Version 1.0                                       |                    | 30-Mar-06 |                           |           |                        |                              |           |             |
| P012 | MICHAEL K.     | XSL Transformations (XSLT) Version 2.0                                       | W3C Recomm         | 26-Mar-09 |                           |           | V2.0                   | W3C                          | 23-Jan-07 |             |
| P098 |                | xsldb                                                                        |                    |           |                           |           |                        |                              |           |             |
| P094 |                | zlib                                                                         |                    | 19-Feb-03 |                           |           |                        |                              |           |             |
| F379 | JEAN-LOUP      | zlib 1.1.4 Manual                                                            | Manual             | 11-Mar-02 |                           |           |                        |                              | 1-Jan-02  |             |
| B032 | JEAN-LOUP      | ZLIB DATA COMPRESSION LIBRARY                                                | ZLIB Library       | 20-Oct-05 | 2 of 2                    | NA        |                        | Jean-loup Gailly, Mark Adler |           | NA          |

Examiner Signature: /Kaveh Abrishamkar/

Date Considered: 03/02/2012

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./



**In the United States Patent and Trademark Office**

Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document

Mailed: December 15, 2008

**Document Disclosure Statement Cover Letter**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

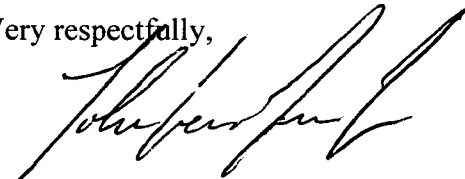
Dear Commissioner:

Please reference multiple 'Disclosure Documents' filed in support of this patent application:

"IntellEDoc – Intelligent Electronic Document", Ser. No. 610142, filed Dec 16, 2006, &  
"eSuretyBailBond - Electronic Surety Bail Bond", Ser. No. 610141, filed Dec 16, 2006.

Combined document integration and due diligence history is available upon request.

Very respectfully,

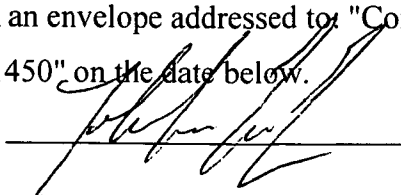


John Lewis Guymon Jr

Telephone: (801) 502-1828

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the date below.

Dated: December 15, 2008 Applicant: 

DEC 20 2006  
AP17  
PATENT

PTO/SB/95 (07-05)

Approved for use through 07/31/2008. OMB 0651-0030

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays this indicator.

### Disclosure Document Deposit Request

DISCLOSURE DOCUMENT NO.



610142

RETAINED FOR 2 YEARS

THIS IS NOT A PATENT APPLICATION

PTO-1652 (8/99)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): John L. Guymon Jr

Title of Invention: IntellEDoc - Intelligent Electronic Document

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)). CR# 200

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: [Signature]

Address: 1635 E 7080 S, SLC UT

Typed or printed name: John Lewis Guymon Jr

Address: Salt Lake City, Ut. 84121

Date: 12/16/06

City, State, Zip

#### NOTICE OF INVENTORS

It should be clearly understood that a Disclosure Document is not a patent application, nor will its receipt date in any way become the effective filing date of a later filed patent application. A Disclosure Document may be relied upon only as evidence of conception of an invention and a patent application should be diligently filed if patent protection is desired.

Your Disclosure Document will be retained for two years after the date it was received by the United States Patent and Trademark Office (USPTO) and will be destroyed thereafter unless it is referred to in a related patent application filed within the two-year period. The Disclosure Document may be referred to by way of a letter of transmittal in a new patent application or by a separate letter filed in a pending application. Unless it is desired to have the USPTO retain the Disclosure Document beyond the two-year period, it is not required that it be referred to in the patent application.

The two-year retention period should not be considered to be a "grace period" during which the inventor can wait to file his/her patent application without possible loss of benefits. It must be recognized that in establishing priority of invention an affidavit or testimony referring to a Disclosure Document must usually also establish diligence in completing the invention or in filing the patent application since the filing of the Disclosure Document.

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JOHN L GUYMON JR  
1635 E 7080 S  
SALT LAKE CTY, UT 84121-3628

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Completed applications (with) to the U.S. P.T.O. will be very dependent upon the numerous case law authorities on the status of this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DEC 20 2006  
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TRADEMARK

PTO/SB/95 (07-05)

Approved for U.S. Patent and Trademark Office  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays this indicator.

DISCLOSURE DOCUMENT NO.

### Disclosure Document Deposit Request



610141

RETAINED FOR 2 YEARS  
THIS IS NOT A PATENT APPLICATION  
PTO-1652 (8/99)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): John L. Guymon Jr

Title of Invention: eSurety Bail Bond - Electronic Surety Bail Bond

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)).  
*CK#202*

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: *John L. Guymon Jr*

Address: 1635 East 7080 S.

Typed or printed name: John Lewis Guymon Jr

Address: SALT LAKE CITY, Utah 84121

Date: 12/14/06

City, State, Zip: SALT LAKE CITY, Utah 84121

#### NOTICE OF INVENTORS

It should be clearly understood that a Disclosure Document is not a patent application, nor will its receipt date in any way become the effective filing date of a later filed patent application. A Disclosure Document may be relied upon only as evidence of conception of an invention and a patent application should be diligently filed if patent protection is desired.

Your Disclosure Document will be retained for two years after the date it was received by the United States Patent and Trademark Office (USPTO) and will be destroyed thereafter unless it is referred to in a related patent application filed within the two-year period. The Disclosure Document may be referred to by way of a letter of transmittal in a new patent application or by a separate letter filed in a pending application. Unless it is desired to have the USPTO retain the Disclosure Document beyond the two-year period, it is not required that it be referred to in the patent application.

The two-year retention period should not be considered to be a "grace period" during which the inventor can wait to file his/her patent application without possible loss of benefits. It must be recognized that in establishing priority of invention an affidavit or testimony referring to a Disclosure Document must usually also establish diligence in completing the invention or in filing the patent application since the filing of the Disclosure Document.

If you are not familiar with what is considered to be "diligence in completing the invention" or "reduction to practice" under the patent law or if you have other questions about patent matters, you are advised to consult with an attorney or agent registered to practice before the USPTO. The publication "How to Prepare for the United States Patent and Trademark Office," is available from the Superintendent of Documents, Washington, D.C. 20540.

You are also advised to consult with an attorney or agent registered to practice before the USPTO.

JOHN L GUYMON JR  
1635 E 7080 S  
SALT LAKE CITY, UT 84121-3828

202 one

Disclosures of this invention may also be used in other patent applications.

12/14/06  
PAY TO THE ORDER OF Commissioner for Patents DATE  
Ten and no/100 \$ 10.00

There is a reference made to the disclosure of this invention in the disclosure of the invention closest to you or call the USPTO from a PTDI.



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FOR Dis Doc - eSurety Bail Bond  
⑆ 24302150⑆ 153151091888⑈0202

related information: PTDI, Gazette, Instance

usbank is providing this information to the top DD.

Burden Hour governed by completed sp form and/or Commerce, F. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

|                                                                                                                        |                                            |                                                                 |
|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------------------------------------------------------------|
| <b><i>Index of Claims</i></b><br><br> | <b>Application/Control No.</b><br>12316699 | <b>Applicant(s)/Patent Under Reexamination</b><br>GUYMON ET AL. |
|                                                                                                                        | <b>Examiner</b><br>KAVEH ABRISHAMKAR       | <b>Art Unit</b><br>2494                                         |


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|---|-----------------|
| ✓ | <b>Rejected</b> |
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| <b>I</b> | <b>Interference</b> |

|          |                 |
|----------|-----------------|
| <b>A</b> | <b>Appeal</b>   |
| <b>O</b> | <b>Objected</b> |

| <input type="checkbox"/> Claims renumbered in the same order as presented by applicant |          | <input type="checkbox"/> CPA | <input type="checkbox"/> T.D. | <input type="checkbox"/> R.1.47 |  |  |  |  |  |
|----------------------------------------------------------------------------------------|----------|------------------------------|-------------------------------|---------------------------------|--|--|--|--|--|
| CLAIM                                                                                  |          | DATE                         |                               |                                 |  |  |  |  |  |
| Final                                                                                  | Original | 03/02/2012                   |                               |                                 |  |  |  |  |  |
|                                                                                        | 1        | ✓                            |                               |                                 |  |  |  |  |  |
|                                                                                        | 2        | ✓                            |                               |                                 |  |  |  |  |  |
|                                                                                        | 3        | ✓                            |                               |                                 |  |  |  |  |  |
|                                                                                        | 4        | ✓                            |                               |                                 |  |  |  |  |  |

|                                                                                                              |                                                |                                                                     |
|--------------------------------------------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------|
| <b>Search Notes</b><br><br> | <b>Application/Control No.</b><br><br>12316699 | <b>Applicant(s)/Patent Under Reexamination</b><br><br>GUYMON ET AL. |
|                                                                                                              | <b>Examiner</b><br><br>KAVEH ABRISHAMKAR       | <b>Art Unit</b><br><br>2494                                         |

|                 |                 |             |                 |
|-----------------|-----------------|-------------|-----------------|
| <b>SEARCHED</b> |                 |             |                 |
| <b>Class</b>    | <b>Subclass</b> | <b>Date</b> | <b>Examiner</b> |
|                 |                 |             |                 |

|                              |             |                 |
|------------------------------|-------------|-----------------|
| <b>SEARCH NOTES</b>          |             |                 |
| <b>Search Notes</b>          | <b>Date</b> | <b>Examiner</b> |
| EAST Search History Attached | 3/2/2012    | K.A.            |

|                            |                 |             |                 |
|----------------------------|-----------------|-------------|-----------------|
| <b>INTERFERENCE SEARCH</b> |                 |             |                 |
| <b>Class</b>               | <b>Subclass</b> | <b>Date</b> | <b>Examiner</b> |
|                            |                 |             |                 |

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In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

Handwritten 'COPY' in a circle

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699. This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

Handwritten note: Previous submission of SB08 cover letter dated January 3, 2012.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Rows include: This cover letter (ICc0103e.doc), Excel spread sheet - Disclosure details (Idc0103b.xls), Disclosure reports (3) (Sorted via Title, Date and Cite#) (Paper form; n/a).

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr
Telephone: (801) 943-8461
Cell: (801) 502-1828

Handwritten note: Not sure if I even signed the thing... thats that "original" send it back please letter thing...

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant:



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

Information Disclosure Statement Cover Letter

January 14, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is a set of two DVD's, an original and a second copy, to go with the form PTO/SB/08(B) previously sent January 3, 2012 in support of Application # 12/316,699.

As mentioned an update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Includes entries for 'This cover letter', '2 DVD's - Documents (Electronic form)', and 'Disclosure reports (3 - Re-included)'.

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

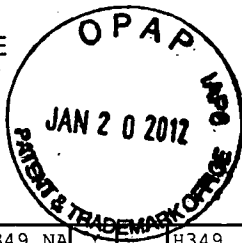
Very respectfully,
John Lewis Guymon Jr
Tel: (801) 833-0424
Msg: (801) 943-8461

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I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 14, 2012. Applicant: [Signature]

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
1/7/2012 11:32 AM  
Literature Documents  
Sheet ( 1 ) of ( 13 )



Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

|            |         |        |         |   |      |                                                               |
|------------|---------|--------|---------|---|------|---------------------------------------------------------------|
| 2010-02-20 | 2582460 | 316d06 | H349 NA | Y | H349 | 2008 Best Practices in the use of Information Technology in   |
| 2010-02-20 | 524072  | 411f0b | H202 EC | Y | H202 | 7 Steps to Electronic Filing with Electronic Court Filing 4   |
| 2008-07-22 | 1791    | df9dad | F220 7  | Y | F220 | 7 Steps to Understanding XMP Metadata                         |
| 2006-03-10 | 522514  | 07ea9f | J031 PK | Y | J031 | A Better Approach to PKI Based Digital Signatures             |
| 2010-02-27 | 190554  | 8533ce | H464 PN | Y | H464 | A Chunk Registration Request For Enabling The PNG Standard    |
| 2008-10-10 | 65927   | 11d57d | F062 A  | Y | F062 | A Digital Object Approach to Interoperable Rights Management  |
| 2005-11-04 | 46905   | b0089a | J013 no | Y | J013 | A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY J   |
| 2010-02-02 | 487862  | 68c8ce | H249 Ex | Y | H249 | A Guide to Model Rules for Electronic Filing and Service      |
| 2005-11-06 | 179712  | 3c790a | E007 9  | Y | E007 | A how-to guide for supporting digital signatures within SOA   |
| 2008-06-22 | 235412  | 933238 | J092 wh | Y | J092 | A Manager's Introduction to Adobe eXtensible Metadata Platfo  |
| 2004-09-14 | 128516  | 71acbf | A020 di | Y | A020 | A Position On Digital Signature Laws And Notarization         |
| 2006-03-19 | 347742  | 2e1d98 | A019 No | Y | A019 | A Position on Misleading Usage of Notary Terms in the Elect   |
| 2006-03-19 | 12634   | 0b151a | A018 Di | Y | A018 | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT    |
| 2010-01-31 | 741510  | 7df448 | H062 ac | Y | H062 | A primer on electronic document security                      |
| 2010-02-20 | 4283239 | f15032 | H425 Pa | Y | H425 | A Strategy for Openness                                       |
| 2010-07-29 | 161100  | 34871c | H493 RL | Y | H493 | A Tutorial on Xproc An XML Pipeline Language                  |
| 2006-03-10 | 318141  | 5b0a61 | J030 Fa | Y | J030 | About digital signatures                                      |
| 2010-06-13 | 90962   | d398e1 | H206 eF | Y | H206 | About eFiling for Courts                                      |
| 2011-01-10 | 116369  | 1d01dc | H457 Pl | Y | H457 | About the X/CADeS Plugtest                                    |
| 2006-03-26 | 169786  | 717092 | J050 WP | Y | J050 | Achieving Document Integrity in Today's Practice              |
| 2010-01-31 | 29095   | 38e1e  | H064 Ac | Y | H064 | Act (document)                                                |
| 2010-12-25 | 74590   | 7624a5 | H321 Le | Y | H321 | Action Plan on e-signatures and e-identification to facilit   |
| 2008-06-22 | 28042   | a04d1c | F228 Ad | Y | F228 | Adding intelligence to media                                  |
| 2008-07-22 | 57719   | 262c54 | F103 Ad | Y | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design, V   |
| 2008-06-22 | 84249   | 682fba | F018 Ad | Y | F018 | Adobe Acrobat                                                 |
| 2011-01-23 | 27287   | a6cd0a | H152 de | Y | H152 | Adobe Customization Wizard 9                                  |
| 2010-01-31 | 31238   | 57373f | H066 Ad | Y | H066 | Adobe Intelligent Document Platform                           |
| 2010-01-31 | 29511   | 4c8302 | H065 Ad | Y | H065 | Adobe Intelligent Documents                                   |
| 2010-01-31 | 243407  | 8a1566 | H075 ad | Y | H075 | Adobe LiveCycle Connecting people, information and processe   |
| 2010-01-31 | 27165   | ae915a | H069 Ad | Y | H069 | Adobe LiveCycle Document Security                             |
| 2010-02-27 | 141467  | 3f5379 | H553 tr | Y | H553 | Adobe solutions for trusted document sharing Enabling assur   |
| 2008-06-25 | 26239   | e8451e | F102 Ab | Y | F102 | Adobe Streamlines Workflows for Publishers with New Metadat   |
| 2008-06-22 | 23255   | 43e48f | F275 Th | Y | F275 | Adobe turns to suppliers to put InDesign in front of publi    |
| 2011-01-27 | 118499  | 713fca | H638 xd | Y | H638 | Adobe XML Architecture XML Data Package Specification Versi   |
| 2008-06-21 | 2601300 | 44cebe | J082 xm | Y | J082 | Adobe XMP for Creative Professionals                          |
| 2010-01-31 | 2123092 | af6e7e | H113 Co | Y | H113 | Adobe® LiveCycle® ES2 solutions for communications managem    |
| 2010-01-31 | 1467400 | 7e9356 | H092 BP | Y | H092 | Adobe® LiveCycle® solutions for business process management   |
| 2010-01-31 | 557304  | 3eb2f4 | H301 In | Y | H301 | Adobe® LiveCycle® solutions for intuitive user experiences    |
| 2011-01-23 | 323997  | cfcae5 | H074 ad | Y | H074 | Adobe® Supplement to ISO 32000-1 Ext 5                        |
| 2011-01-17 | 1373256 | 56d0b9 | H071 ad | Y | H071 | Adobe® Supplement to the ISO 32000                            |
| 2011-01-30 | 121447  | a62d3a | H635 XA | Y | H635 | Adobe® XMP Extensible Metadata Platform integration technol   |
| 2010-12-31 | 437698  | c962d6 | H381 oa | Y | H381 | Advanced Electronic Signature Profiles of the OASIS Digital   |
| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y | F232 | All eyes on how Microsoft pulls off ODF support               |
| 2010-06-13 | 1135797 | 1066ce | H300 In | Y | H300 | An Introduction to Cryptography                               |
| 2011-01-31 | 1394932 | 00eala | H178 Dr | Y | H178 | An Introduction to jGuard                                     |
| 2009-12-11 | 32,265  | 576797 | D006 OA | Y | D006 | An Introduction To The Oasis LegalXML Electronic Court Filing |
| 2005-10-05 | 56635   | 03bF45 | F703 XM | Y | F703 | An Introduction to XML Digital Signatures                     |
| 2007-10-14 | 38014   | d8248a | F363 An | Y | F363 | An Open-Source System for Electronic Court Filing             |
| 2009-12-11 | 14038   | c7e6e2 | U008 We | Y | U008 | Analysing PICS labels as Metadata                             |
| 2011-01-27 | 1300791 | 81787f | H083 ar | Y | H083 | Analysis, Selection, and Implementation of Electronic Docum   |
| 2008-06-14 | 30129   | 3ae58c | F233 An | Y | F233 | Anti machine                                                  |
| 2010-06-13 | 45241   | cb89d2 | H080 Ap | Y | H080 | Apabi released for mobile reading CEBX layout document techn  |
| 2010-02-20 | 85772   | 556f06 | H081 Ap | Y | H081 | Application Guidelines on Digital Signature Practices for Co  |
| 2007-12-09 | 2686358 | 6cb6e3 | J076 eB | Y | J076 | Approved Providers Guidelines for Electronic Bond Submissio   |
| 2006-03-10 | 134529  | d9bd24 | J027 e- | Y | J027 | AR's E-Signature Solution For Enabling E-Invoicing            |
| 2008-07-04 | 326623  | c388d9 | J112 ar | Y | J112 | Architecting the Infrastructure for SOA and XML               |
| 2010-02-20 | 22470   | 0f0cbc | H084 AS | Y | H084 | ASIS&T - The Information Society for the Information Age      |

Examiner Signature: \_\_\_\_\_ Page 1 of 13 Date Considered: \_\_\_\_\_

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./



INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
1/7/2012 11:32 AM  
Literature Documents  
Sheet ( 2 ) of ( 13 )

Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

|            |         |        |         |   |      |                                                               |
|------------|---------|--------|---------|---|------|---------------------------------------------------------------|
| 2009-12-11 | 109196  | d0e40e | A004 as | Y | A004 | ASP File Upload using VBScript                                |
| 2003-09-19 | 36864   | 87a779 | M001 as | Y | M001 | AspEncrypt 2.0                                                |
| 2009-12-11 | 19,159  | 4e4d44 | C013 Or | Y | C013 | Authentication of Notarizations                               |
| 2010-06-13 | 60866   | 581440 | H234 ES | Y | H234 | AUTHENTICATION STANDARDS FOR THE USE OF ELECTRONIC SIGNATURES |
| 2010-06-13 | 271091  | 1702f6 | H160 Di | Y | H160 | Avoid the Pitfalls when transitioning from paper-based to e-  |
| 2008-07-02 | 52154   | ef4e88 | F132 Ba | Y | F132 | Base64                                                        |
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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INFORMATION DISCLOSURE  
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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Examiner Name: \_\_\_\_\_

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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.A./



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

COPIY

Previous submission of SB08 cover letter dated January 3, 2012

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699. This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Rows include: This cover letter (ICc0103e.doc, n/a), Excel spread sheet - Disclosure details (Idc0103b.xls, deb4e05826fe5e95554e23fc8e435160), Disclosure reports (3) (Paper form; n/a)

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr
Telephone: (801) 943-8461
Cell: (801) 502-1828

Not sure if I even signed the thing... thats that "original" send it back please letter thing...

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant:



In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU:

Information Disclosure Statement Cover Letter

January 14, 2012

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Included with this cover letter is a set of two DVD's, an original and a second copy, to go with the form PTO/SB/08(B) previously sent January 3, 2012 in support of Application # 12/316,699.

As mentioned an update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

Table with 3 columns: Description, Filename, MD5Sum Checksum. Includes entries for 'This cover letter', '2 DVD's - Documents (Electronic form)', and 'Disclosure reports (3 - Re-included)'.

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,
John Lewis Guymon Jr
Tel: (801) 833-0424
Msg: (801) 943-8461

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I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 14, 2012. Applicant:

Handwritten signature of John Lewis Guymon Jr over a horizontal line.



INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
1/7/2012 11:32 AM  
Literature Documents  
Sheet ( 1 ) of ( 13 )



Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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Art Unit: \_\_\_\_\_  
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Application Number: 12/316,699  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
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Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

|            |           |        |         |   |  |      |                                                                |
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| 2010-06-13 | 491062    | 0e1523 | H377 NY | Y |  | H377 | NYS Technology Law                                             |
| 2010-06-13 | 2562682   | b905df | H388 OA | Y |  | H388 | OASIS issues draft of e-commerce data standard Draft include   |
| 2007-09-08 | 145575    | 623eb2 | J067 EC | Y |  | J067 | OASIS LegalXML Member Section Electronic Court Filing Techn:   |
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| 2010-06-13 | 210051    | e99241 | H396 oc | Y |  | H396 | OEBPS Container Format (OCF) 0.6                               |
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| 2010-02-04 | 36353     | 40850b | H405 Op | Y |  | H405 | Open Document Architecture                                     |
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| 2010-02-04 | 186235    | 117f5d | H410 Op | Y |  | H410 | OpenDocument                                                   |
| 2010-12-31 | 733355    | 8f982f | H411 Op | Y |  | H411 | OpenDocument                                                   |
| 2008-06-22 | 230645    | 3b5736 | J094 pd | Y |  | J094 | OpenDocument meta data                                         |
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| 2011-01-31 | 1029635   | 99b2dd | H179 Dr | Y |  | H179 | PCI and Encryption                                             |
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| 2011-01-27 | 14171448  | 91c426 | H451 PD | Y |  | H451 | PDF Reference fourth edition Adobe® Portable Document Format   |
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| 2011-01-27 | 299732    | f02210 | H446 pd | Y |  | H446 | PDF/A Metadata - XMP, RDF & Dublin Core                        |
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| 2011-01-23 | 161752    | 95e44f | H498 sa | Y |  | H498 | Personalizing Your Signature Appearances                       |
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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2011-01-23 | 303435  | d2f980 | H466 Po | Y | H466 | Portable Document Format:Image-Streamable(PDF/is)            |
| 2008-08-18 | 129521  | 8ca676 | F006 Po | Y | F006 | Portable Network Graphics                                    |
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| 2010-06-13 | 391437  | 7eb42e | H170 Do | Y | H170 | Reference.Com Document                                       |
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Date Considered: \_\_\_\_\_

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

|            |         |        |         |   |    |      |                                                              |
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INFORMATION DISCLOSURE  
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 Sheet ( 2 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-22 | 165933 | d3d450 | F025 Em | Y | F025 | Embedding XMP Metadata in Application Files.htm              |
| 2008-06-15 | 5088   | 5743c4 | F031 li | Y | F031 | libmng - The MNG reference library & related info            |
| 2008-06-21 | 104095 | 067db7 | F033 No | Y | F033 | Notary public                                                |
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| 2008-06-15 | 155211 | 0a85d7 | F038 Us | Y | F038 | USING THE IJG JPEG LIBRARY                                   |
| 2008-08-18 | 85590  | 9456df | F041 BM | Y | F041 | BMP file format                                              |
| 2008-06-21 | 39102  | a773aa | F042 Ex | Y | F042 | Extensible Metadata Platform                                 |
| 2008-08-18 | 101132 | 6f4f3e | F044 Gr | Y | F044 | Graphics Interchange Format                                  |
| 2008-08-18 | 151985 | c5dd5e | F045 JP | Y | F045 | JPEG                                                         |
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| 2008-08-18 | 20282  | 7a531e | F047 JP | Y | F047 | JPEG Network Graphics                                        |
| 2008-08-18 | 60326  | 18ca5b | F048 Mu | Y | F048 | Multiple-image Network Graphics                              |
| 2008-08-18 | 74306  | 107e35 | F052 Ta | Y | F052 | Tagged Image File Format                                     |
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| 2008-08-18 | 83134  | 0e6ca0 | F057 xm | Y | F057 | Revision history of Extensible Metadata Platform             |
| 2008-11-04 | 239629 | 869017 | F061 Pu | Y | F061 | Publicly Available Standards.htm                             |
| 2008-10-10 | 65927  | 11d57d | F062 A  | Y | F062 | A Digital Object Approach to Interoperable Rights Management |
| 2008-11-17 | 75749  | ab33f5 | F063 Do | Y | F063 | DIS-29500: Deprecated before use?                            |
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| 2008-10-31 | 114324 | ecf987 | F075 Tr | Y | F075 | Trusted Computing                                            |
| 2008-10-31 | 41681  | 3f6a4b | F077 Tr | Y | F077 | Trusted Platform Module                                      |
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| 2008-07-22 | 67232  | a5c1c5 | F107 Co | Y | F107 | DIG35: Metadata Standard for Digital Images                  |
| 2008-07-05 | 13622  | 5e3619 | F111 IP | Y | F111 | NewsML-G2                                                    |
| 2008-07-04 | 22774  | f72615 | F112 IP | Y | F112 | IPTC Metadata for XMP                                        |
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| 2008-07-22 | 33389  | 3c607b | F114 Op | Y | F114 | The Power of Metadata                                        |
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| 2008-06-22 | 47553  | bb2f15 | F120 Re | Y | F120 | Resource Description Framework (RDF)                         |
| 2008-07-04 | 11101  | 3e71c7 | F123 TI | Y | F123 | Image2PDF 3.2 user manual                                    |
| 2008-07-04 | 12597  | 4c6d18 | F125 tu | Y | F125 | SAA offers screencasts on Key topics all photographers invo  |
| 2008-07-22 | 140436 | 0f1fea | F126 Un | Y | F126 | Unicode                                                      |
| 2008-07-22 | 111088 | 08339e | F127 UT | Y | F127 | UTF-8                                                        |
| 2008-07-22 | 45315  | b3f89f | F128 XM | Y | F128 | The XPath 2.0 Data Model                                     |
| 2008-07-22 | 33656  | 847618 | F129 XM | Y | F129 | What Is XSLT                                                 |
| 2008-07-02 | 52154  | ef4e88 | F132 Ba | Y | F132 | Base64                                                       |
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| 2008-05-16 | 177143 | c660a6 | F151 PN | Y | F151 | PNG Digital Signatures                                       |
| 2008-05-16 | 32574  | b82173 | F155 xm | Y | F155 | XML Packaging Working Group Charter                          |
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| 2005-06-07 | 29638  | cc486d | F202 Go | Y | F202 | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                 |
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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-22 | 28042   | a04dlc | F228 Ad | Y | F228 | Adding intelligence to media                                 |
| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y | F232 | All eyes on how Microsoft pulls off ODF support              |
| 2008-06-14 | 30129   | 3ae58c | F233 An | Y | F233 | Anti machine                                                 |
| 2008-06-21 | 122182  | 7df6fa | F235 Bu | Y | F235 | Building Sustainable Banking Architectures                   |
| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y | F237 | Captaris Solutions for Financial Services                    |
| 2008-06-22 | 49209   | ffd0d2 | F238 Co | Y | F238 | Extensible Metadata Platform (XMP)                           |
| 2008-06-22 | 45581   | e61453 | F239 De | Y | F239 | Describing and retrieving photos using RDF and HTTP          |
| 2008-06-24 | 70746   | b84a4c | F245 Ec | Y | F245 | Ecma to create standard out of Microsoft rival to PDF        |
| 2008-06-06 | 20010   | 209322 | F247 Ex | Y | F247 | Extensible Markup Language (XML)                             |
| 2008-06-24 | 72901   | e63dcc | F250 Fr | Y | F250 | Free and Cheap Software That Kills the Big Guys              |
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| 2008-06-06 | 34409   | 6b66af | F269 Re | Y | F269 | Report on XML Packaging                                      |
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| 2004-09-21 | 108566  | 59ca99 | F351 FL | Y | F351 | The 2004 Florida Statutes                                    |
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| 2005-11-06 | 40032   | 2dda6a | F420 2  | Y | F420 | XML-Signature XPath Filter 2.0                               |
| 2005-11-06 | 37966   | be5a64 | F423 5  | Y | F423 | Supporting Digital Signatures Within SOAP Messages           |
| 2005-11-06 | 43397   | 300ac9 | F425 6  | Y | F425 | XML security: A who's who                                    |
| 2005-11-06 | 21317   | 0334b5 | F429 B  | Y | F429 | Question: The status/design of XML Signatures and Encryption |
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| 2007-12-28 | 73153   | 9e5f08 | F627 Af | Y | F627 | Illinois crosses the bridge                                  |
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| 2004-09-21 | 23975   | 802eea | F639 20 | Y | F639 | Laws-2003 Electronic Signatures and UETA Legislation         |
| 2004-09-21 | 10797   | ca70a0 | F640 Co | Y | F640 | Comparison of Federal E-Signature Act and UETA on Key Consur |
| 2005-10-15 | 54470   | 54b75d | F660 be | Y | F660 | Beyond ASP: XML and XSL-based Solutions Simplify Your Data   |
| 2005-10-20 | 117155  | 7a48da | F661 Cr | Y | F661 | Cryptography Demystified                                     |
| 2005-10-15 | 35156   | a71ee4 | F691 XM | Y | F691 | XML as the API                                               |
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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_



INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
 Sheet ( 4 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |         |        |         |   |   |      |                                                              |
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| 2010-12-03 | 2857112 | b514e4 | H024 10 | Y |   | H024 | Multiple electronic signatures on multiple documents         |
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| 2010-11-14 | 286168  | 364534 | H043 20 | Y |   | H043 | XML Signature Syntax and Processing Version 1.1 W3C Editor's |
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| 2010-01-31 | 29511   | 4c8302 | H065 Ad | Y |   | H065 | Adobe Intelligent Documents                                  |
| 2010-01-31 | 31238   | 57373f | H066 Ad | Y |   | H066 | Adobe Intelligent Document Platform                          |
| 2010-02-20 | 29694   | 9abd22 | H067 Ad | Y |   | H067 | Mars Project                                                 |
| 2010-01-31 | 27165   | ae915a | H069 Ad | Y |   | H069 | Adobe LiveCycle Document Security                            |
| 2011-01-17 | 1373256 | 56d0b9 | H071 ad | Y |   | H071 | Adobe® Supplement to the ISO 32000                           |
| 2011-01-23 | 323997  | cfcae5 | H074 ad | Y |   | H074 | Adobe® Supplement to ISO 32000-1 Ext 5                       |
| 2010-01-31 | 243407  | 8a1566 | H075 ad | Y |   | H075 | Adobe LiveCycle Connecting people, information and processe  |
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| 2010-02-02 | 151386  | 041f66 | H078 Al | Y |   | H078 | ELECTRONIC PRESENTATION AND E-SIGNATURE FOR ELECTRONIC FORM  |
| 2010-06-13 | 142899  | 935fb4 | H079 An | Y |   | H079 | Information Security Committee                               |
| 2010-06-13 | 45241   | cb89d2 | H080 Ap | Y |   | H080 | Apabi released for mobile reading CEBX layout document techn |
| 2010-02-20 | 85772   | 556f06 | H081 Ap | Y |   | H081 | Application Guidelines on Digital Signature Practices for Co |
| 2011-01-23 | 22320   | 9cfc67 | H082 Ap | Y |   | H082 | PDF Document Management Software, Services & Support         |
| 2011-01-27 | 1300791 | 81787f | H083 ar | Y |   | H083 | Analysis, Selection, and Implementation of Electronic Docume |
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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

|            |         |        |         |   |  |      |                                                              |
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| 2010-12-04 | 132608  | cec9af | H088 BD | Y |  | H088 | The European Directive on a community framework              |
| 2010-12-04 | 142987  | 2af1db | H089 BD | Y |  | H089 | BDOC: FORMAT FOR DIGITAL SIGNATURES                          |
| 2011-01-31 | 675187  | 68979b | H090 Be | Y |  | H090 | Beyond HTML: An Interview with the Creator of SGML           |
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| 2010-06-13 | 543013  | f3dadc | H094 br | Y |  | H094 | Intelligent documents support EU's BRITE project goals       |
| 2010-02-20 | 258864  | a3d4ff | H095 Bu | Y |  | H095 | Open Document Format Alliance Response to the Burton Group's |
| 2011-01-17 | 25633   | 0e9b72 | H100 CA | Y |  | H100 | CAdES (computing)                                            |
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| 2010-02-20 | 61458   | ef7c76 | H102 Ca | Y |  | H102 | Category:Electronic commerce                                 |
| 2010-02-20 | 21129   | bf7e0b | H104 Ca | Y |  | H104 | Category:Free electronic commerce software                   |
| 2010-02-27 | 30635   | f5d5a7 | H107 Ch | Y |  | H107 | Legal Solutions for You, Your Family & Your Business         |
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| 2010-02-12 | 318410  | 708e0e | H111 Co | Y |  | H111 | Code to extract plain text from a PDF file                   |
| 2010-01-31 | 2123092 | af6e7e | H113 Co | Y |  | H113 | Adobe® LiveCycle® ES2 solutions for communications managemen |
| 2010-02-02 | 51449   | 849e73 | H115 Co | Y |  | H115 | Comparison of document markup languages                      |
| 2010-02-27 | 143007  | 5bb4ab | H116 Co | Y |  | H116 | Comparison of graphics file formats                          |
| 2011-01-28 | 692167  | 5b033c | H117 Co | Y |  | H117 | Comparison of graphics file formats                          |
| 2010-01-31 | 23848   | c8a97a | H118 Co | Y |  | H118 | Compound document                                            |
| 2010-02-02 | 47231   | 93a32e | H119 Co | Y |  | H119 | Compound Document Format                                     |
| 2010-11-14 | 9293    | fba2a2 | H120 Co | Y |  | H120 | ubl-security message                                         |
| 2010-11-14 | 10551   | 67d87b | H121 Co | Y |  | H121 | ubl-security message                                         |
| 2010-02-01 | 4345446 | 2d61a3 | H122 Co | Y |  | H122 | Consolidated Case Management System Functional Standards VO  |
| 2010-02-20 | 104949  | 4c2773 | H125 Co | Y |  | H125 | Container format (digital)                                   |
| 2010-12-04 | 98392   | 028c2d | H129 Co | Y |  | H129 | Countersignatures                                            |
| 2010-02-27 | 19515   | e14727 | H130 Co | Y |  | H130 | International Development Markup Language (DML)              |
| 2010-07-29 | 78060   | 6b85b2 | H133 Cr | Y |  | H133 | Create client-side diagrammatic interaction in Web applicat  |
| 2010-12-14 | 33736   | 2fff65 | H140 Cr | Y |  | H140 | Cryptographic Message Syntax                                 |
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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
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 Examiner Name: \_\_\_\_\_

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Date Considered: \_\_\_\_\_

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Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-22 | 230645  | 3b5736 | J094 | pd | Y |   | J094 | OpenDocument meta data                                         |
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| 2008-06-24 | 18675   | 4ded67 | F237 | Ca | Y |   | F237 | Captaris Solutions for Financial Services                      |
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Date Considered: \_\_\_\_\_

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 STATEMENT BY APPLICANT  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2011-01-31 | 675187  | 68979b | H090 Be | Y | H090 | Beyond HTML: An Interview with the Creator of SGML           |
| 2011-01-31 | 870298  | a3fbd8 | H171 Do | Y | H171 | Document file extension list                                 |
| 2011-01-31 | 1394932 | 00ea1a | H178 Dr | Y | H178 | An Introduction to jGuard                                    |
| 2011-01-31 | 1029635 | 99b2dd | H179 Dr | Y | H179 | PCI and Encryption                                           |
| 2011-01-31 | 154747  | 78d4ce | H188 e0 | Y | H188 | Web Services Security                                        |
| 2011-01-31 | 1452348 | 1de3b4 | H270 Gr | Y | H270 | Graphics file extensions                                     |
| 2011-01-31 | 1023230 | a431d0 | H275 Ha | Y | H275 | Web Services Security - How does it really work...           |
| 2011-01-31 | 1771465 | 19480d | H276 He | Y | H276 | IBM Tivoli Access Manager for e-business, Version 6.1.1      |
| 2011-01-31 | 1769363 | 0ae452 | H283 IB | Y | H283 | Securing your XML and Securing your XML and Web service imp. |
| 2011-01-31 | 1271617 | 4e470b | H324 lo | Y | H324 | Preservation of Trust in Long-Term Records Management System |
| 2011-01-31 | 332792  | 803fa7 | H328 Ma | Y | H328 | Making secure Semantic Web                                   |
| 2011-01-31 | 171610  | 50142d | H330 Ma | Y | H330 | Markup Language: Encyclopedia                                |
| 2011-01-31 | 345872  | 6f7ef6 | H338 Me | Y | H338 | Rights Management Technologies: A Good Choice for Securing I |
| 2011-01-31 | 213103  | c52ed7 | H391 OA | Y | H391 | Open Document Format for Office Applications (OpenDocument)  |
| 2011-01-31 | 136340  | 1dbefd | H414 oX | Y | H414 | oXygen XML Author Professional - Summary                     |
| 2011-01-31 | 951948  | cb126b | H479 pu | Y | H479 | Supporting Market Transactions through XML Contracting Cont  |
| 2011-01-31 | 270564  | 68d5a7 | H480 RD | Y | H480 | RDFa API An API for extracting structured data from Web doc  |
| 2011-01-31 | 321791  | 0b9571 | H482 RD | Y | H482 | RDFa API An API for extracting structured data from Web doc  |
| 2011-01-31 | 317394  | d87db3 | H483 RD | Y | H483 | RDFa Core 1.1 Syntax and processing rules for embedding RDF  |
| 2011-01-31 | 293553  | b5e8c0 | H484 RD | Y | H484 | RDFa Core 1.1 Syntax and processing rules for embedding RDF  |
| 2011-01-31 | 2535935 | 1d851e | H497 S3 | Y | H497 | CICS Web Services Security and Atomic Transaction support    |
| 2011-01-31 | 1171921 | c16c0b | H517 Se | Y | H517 | Service Manager - SOA Policy Management and Governance       |
| 2011-01-31 | 337290  | del16a | H522 SO | Y | H522 | SOA with Spring (Part 2)                                     |
| 2011-01-31 | 79489   | 4f2ce1 | H576 Tu | Y | H576 | Turtle - Terse RDF Triple Language W3C Team Submission 14 J  |
| 2011-01-31 | 317172  | b73e94 | H589 US | Y | H589 | National Cyber Alert System Cyber Security Bulletin SB10-17  |
| 2011-01-31 | 3130738 | a7227c | H603 vj | Y | H603 | Introduction to Content Centric Networking                   |
| 2011-01-31 | 708745  | 0ac37e | H607 We | Y | H607 | Web IDL W3C Editor's Draft 13 December 2010                  |
| 2011-01-31 | 167651  | b28baf | H641 XH | Y | H641 | XHTML+RDFa 1.1 Support for RDFa via XHTML Modularization W3C |
| 2011-02-01 | 937447  | e5a01a | H441 PD | Y | H441 | PDF/A - the standard for long-term archiving PDF             |
| 2011-02-01 | 136123  | 9a8561 | H474 Pr | Y | H474 | Preserving the Data Explosion: Using PDF                     |
| 2011-02-07 | 54932   | af3b2a | H029 19 | Y | H029 | Frequently Asked Questions (FAQs) ISO 19005-1:2005           |
| 2011-02-07 | 444815  | 3f0bf5 | H260 Fr | Y | H260 | Frequently Asked Questions (FAQs) About Selecting Sustainab  |
| 2011-02-07 | 1016516 | e314de | H268 gl | Y | H268 | Glossary of PDF Terminology                                  |
| 2011-02-07 | 143301  | 710b02 | H623 wh | Y | H623 | PDF/A - The Basics                                           |

## ARTIFACT SHEET

Enter artifact number below. Artifact number is application number + artifact type code (see list below) + sequential letter (A, B, C ...). The first artifact folder for an artifact type receives the letter A, the second B, etc.. Examples: 59123456PA, 59123456PB, 59123456ZA, 59123456ZB

**12316699ZA**

Indicate quantity of a single type of artifact received but not scanned. Create individual artifact folder/box and artifact number for each Artifact Type.

CD(s) containing:

computer program listing

Doc Code: Computer

pages of specification

and/or sequence listing

and/or table

Doc Code: Artifact

content unspecified or combined

Doc Code: Artifact

Artifact Type Code: P

Artifact Type Code: S

Artifact Type Code: U

Stapled Set(s) Color Documents or B/W Photographs

Doc Code: Artifact    Artifact Type Code: C

Microfilm(s)

Doc Code: Artifact    Artifact Type Code: F

Video tape(s)

Doc Code: Artifact    Artifact Type Code: V

Model(s)

Doc Code: Artifact    Artifact Type Code: M

Bound Document(s)

Doc Code: Artifact    Artifact Type Code: B

Confidential Information Disclosure Statement or Other Documents marked Proprietary, Trade Secrets, Subject to Protective Order, Material Submitted under MPEP 724.02, etc.

Doc Code: Artifact    Artifact Type Code X

Other, description: **DVD**

Doc Code: Artifact    Artifact Type Code: Z





In the United States Patent and Trademark Office

1/Fm

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU:

*I will be sending cleaner / updated version in a few days.*

Information Disclosure Statement Cover Letter

January 3, 2012

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*XCD might NOT be up date in mail 1/4/2012*

*Also: The back side of this is notes that if possible, could you copy & return to John Guymon 1635 E 7080 So SLC UT 84121*

Sir/ Madam:

Included with this cover letter is an update to PTO/SB/08(B) in support of Application # 12/316,699.

This Information Disclosure correspondence includes the latest in document research and discovery. The print sheets/forms do not necessarily match the official supplied forms but present updated research/discovery to present date for the listed application. An update in the next few days should have the data laid out in proper form ready for the examiner(s) review.

The contents of this package are as follows:

| Description                             | Filename     | MD5Sum Checksum                  |
|-----------------------------------------|--------------|----------------------------------|
| This cover letter                       | ICc0103e.doc | n/a                              |
| Excel spread sheet - Disclosure details | Idc0103b.xls | deb4e05826fe5e95554e23fc8e435160 |
| Disclosure reports (3)                  | Paper form;  | n/a                              |

(Sorted via Title, Date and Cite#)

*(Sort's allow ease of reference as well as time line analysis.)*

Please consider the enclosed information, presented with the utmost due diligence and respect, as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr  
Telephone: (801) 943-8461  
Cell: (801) 502-1828

*801 833 0424*

*Also #2: For the likes of me I couldn't get CD to write properly - only had 1 CD today, and needed to send by today Thanks.*

Certificate of Mailing

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, January 3, 2012. Applicant:

*[Signature]*

It's almost like --- where's the CD?

I distinctly remember copying the "CD" which was a copy of the CD sent to USPTO

Patent #s { 719 4435  
7818  
et → printed (this "file set" was apparently as way

Need to find the Patent # ref XLS file! latest & might be missed.

Update to Search

Sort

DATE  
"TITLE" (Filename...)

Cite# [Can't do @ file level]

- P 1) 13 @ T, He
- P 2) 13 @ Cite #
- P 3) 13 @ Date
- 3 reports
- 2 "file lists"
- 1 MDS list
- XLS for Info
- letter for Info
- 1 report - all via CITE # (includes Dups) includes OPEN ONES

- TXT F1 (date) F\_od
- TXT F2 (name) F\_on
- TXT F3 (mds)
- XLS "XLS" (info)
- DOC letter ".doc"

CD 1) CD

Orig (printed)

was: IF 90331i - printed.xls  
as IF 90331i.xls is the original

3/31/2009  
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Pa 90331d - printed.xls  
as Pa 90331d.xls

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(addressing ways)

naming updated to

ICc0103a.doc  
Info Cover 2012 Jan 3rd  
IC c 01 03 a.doc

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
 1/2/2012 9:43 PM  
 Literature Documents  
 Sheet ( 1 ) of ( 13 )



Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |           |        |         |   |   |      |                                                               |
|------------|-----------|--------|---------|---|---|------|---------------------------------------------------------------|
| 2009-12-11 | 131361    | 502e1d | A001 ue | Y |   | A001 | Uniform Electronic Transactions Act (1999)                    |
| 2009-12-11 | 687468    | 4647ad | A002 Se | Y |   | A002 | Secure Programming for Linux and Unix HOWTO - 11.5 Cryptoc    |
| 2009-12-11 | 109196    | d0e40e | A004 as | Y |   | A004 | ASP File Upload using VBScript                                |
| 2009-12-11 | 41984     | d746c4 | A005 Mo | Y |   | A005 | Modeling biz docs in XML                                      |
| 2009-12-11 | 18220     | f18613 | A007 Co | Y |   | A007 | Colorado Implements Level 3 Electronic Recording              |
| 2004-09-14 | 1581161   | ccbc9e | A008 Mo | Y |   | A008 | The Model Notary Act                                          |
| 2009-12-11 | 52537     | bfa53c | A009 DS | Y |   | A009 | Infomosaic: The Easy to Use Digital Signature                 |
| 2006-03-23 | 209408    | d20ed0 | A011 oa | Y |   | A011 | DSS Use Case Requirements Analysis                            |
| 2007-10-14 | 42681     | 94e28e | A012 en | Y |   | A012 | Notaries and Electronic Notarization                          |
| 2004-09-14 | 209408    | feac14 | A014 ar | Y |   | A014 | The Legal Requirements for Creating Secure and Enforceable    |
| 2009-12-11 | 499713    | 986db0 | A015 no | Y |   | A015 | The Free Introductory Signing Agent Training Course           |
| 2009-12-11 | 62475     | b6e36e | A016 no | Y | d | A016 | The Notary Office and Its Impact in The 21st Century          |
| 2009-12-11 | 84227     | a39be2 | A017 re | Y | d | A017 | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in   |
| 2006-03-19 | 12634     | 0b151a | A018 Di | Y |   | A018 | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT    |
| 2006-03-19 | 347742    | 2e1d98 | A019 No | Y |   | A019 | A Position on Misleading Usage of Notary Terms in the Elect   |
| 2004-09-14 | 128516    | 71acbf | A020 di | Y |   | A020 | A Position On Digital Signature Laws And Notarization         |
| 2006-03-19 | 23250     | ab3c86 | A021 in | Y |   | A021 | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEAL   |
| 2006-03-18 | 88458     | 27b8d6 | A022 20 | Y |   | A022 | Cumulative Notarization for Long-term Preservation of Digit   |
| 2009-12-11 | 37765     | 1d0f8d | A024 Re | Y |   | A024 | Requirements for Data Validation and Certification Services   |
| 2005-06-07 | 27501     | 49a0c3 | A025 Em | Y |   | A025 | ReadNotify Email Notary and Timestamping service              |
| 2009-12-11 | 25426     | 46c080 | A026 pa | Y |   | A026 | Long term electronic signature os documents retention?        |
| 2009-12-11 | 10633     | acb5ab | A029 In | Y |   | A029 | Initial eNotary Signature Candidate Profiles                  |
| 2005-06-07 | 134507    | 52a6ad | A030 pd | Y |   | A030 | Notarized Digital Signature                                   |
| 2009-12-11 | 25127     | a60c3e | A031 Re | Y |   | A031 | The Electronic Notarization System Based on the Notarizatio   |
| 2006-03-10 | 577195    | 1fded6 | A032 Bu | Y |   | A032 | The Business Case for Electronic Signing                      |
| 2005-11-06 | 209058    | 1b3d30 | A033 7  | Y |   | A033 | XML-Signature Syntax and Processing                           |
| 2009-12-11 | 24003     | f5b5c0 | A035 Ve | Y |   | A035 | Software Publisher Digital Ids for Microsoft Authenticode     |
| 2009-12-11 | 34241     | 4a8b92 | A038 EL | Y |   | A038 | Electronic Signatures in Global and National Commerce Act     |
| 2009-12-11 | 23870     | e65577 | A041 RS | Y |   | A041 | What is digital timestamping?                                 |
| 2009-12-11 | 18921     | bc74a6 | A043 Li | Y |   | A043 | Howto: PGP and GnuPG                                          |
| 2009-12-11 | 17406     | b6b791 | A044 Cr | Y |   | A044 | Cryptography, PGP and Pine                                    |
| 2009-12-11 | 25420     | 44a968 | A045 Tu | Y |   | A045 | Introduction to Public Key Cryptography                       |
| 2009-12-11 | 60606     | ee874d | A047 Ve | Y |   | A047 | Guide To Securing Intranet And Extranet Servers               |
| 2009-12-11 | 80943     | e3f917 | A050 Ti | Y |   | A050 | DigiStamp - The Trusted Third-Party Time Authority            |
| 2009-12-11 | 12,285    | aab601 | B001 Th | Y |   | B001 | The Story of PNG?                                             |
| 2009-12-11 | 21,581    | 9ee6e0 | B002 PN | Y |   | B002 | Current Status of PNG                                         |
| 2008-04-28 | 15,330    | cd382f | B003 PN | Y |   | B003 | PNG: The Definitive Guide                                     |
| 2008-09-05 | 33,812    | 6ec963 | B005 Hi | Y |   | B005 | History of the Portable Network Graphics (PNG) Format         |
| 2006-03-04 | 338,944   | 690833 | B012 XM | Y |   | B012 | Digital Signature Tutorial                                    |
| 2009-12-11 | 452,676   | 6aa04e | B028 E- | Y |   | B028 | E-Sign and UETA: What Should States Do Now?                   |
| 2009-12-11 | 6,846,266 | 01589f | B030 20 | Y |   | B030 | No Sure Thing                                                 |
| 2009-12-11 | 12,006    | b21c75 | B031 hi | Y |   | B031 | optiPNG - Revision History                                    |
| 2004-09-21 | 31,079    | c26fec | C012 Un | Y |   | C012 | Uniform Electronic Transactions Act                           |
| 2009-12-11 | 19,159    | 4e4d44 | C013 Or | Y |   | C013 | Authentication of Notarizations                               |
| 2003-05-13 | 31,069    | 5d2068 | C017 As | Y |   | C017 | Generate PKCS#7 Signatures and Envelopes                      |
| 2009-12-11 | 87,925    | 1535a3 | C018 PN | Y |   | C018 | PNG Transparency in Internet Explorer                         |
| 2009-12-11 | 32,265    | 576797 | D006 OA | Y |   | D006 | An Introduction To The Oasis LegalXML Electronic Court Filing |
| 2008-07-22 | 104,802   | bd0416 | D020 di | Y |   | D007 | HB 1300                                                       |
| 2006-03-18 | 134,278   | c62fb9 | D024 en | Y |   | D024 | Electronic Notarization                                       |
| 2006-03-04 | 30,762    | 9d8336 | D026 eN | Y |   | D026 | eNotarization                                                 |
| 2006-03-23 | 52,327    | 17b915 | D027 DS | Y |   | D027 | Uses cases submitted for consideration                        |
| 2007-12-28 | 511,488   | 2f7fe8 | D030 ds | Y |   | D030 | Digital Signature Guidelines                                  |
| 2007-09-16 | 22,307    | 721aa8 | D033 So | Y |   | D033 | Open XML Court Interface                                      |
| 2005-11-06 | 179712    | 3c790a | E007 9  | Y |   | E007 | A how-to guide for supporting digital signatures within SOA   |
| 2006-03-23 | 126464    | 40f30b | E010 Na | Y |   | E010 | Namespaces in XML                                             |
| 2005-11-29 | 944640    | ab146f | E014 ec | Y |   | E014 | LegalXML Electronic Court Filing 3.0                          |

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
 1/2/2012 9:43 PM  
 Literature Documents  
 Sheet ( 2 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |        |        |         |   |      |                                                              |
|------------|--------|--------|---------|---|------|--------------------------------------------------------------|
| 2008-04-28 | 42396  | 7a4660 | E018 PN | Y | E018 | PNG Frequently Asked Questions                               |
| 2008-05-17 | 213908 | da5ea9 | F001 pn | Y | F001 | png-list 200109.htm                                          |
| 2008-11-04 | 259949 | a58788 | F002 Co | Y | F002 | Cover Pages Web Services Interoperability Organization (WS-  |
| 2008-04-28 | 32538  | ac733b | F003 Hi | Y | F003 | History of PNG.htm                                           |
| 2008-08-31 | 20472  | b7dc61 | F004 Mo | Y | F004 | SMART Docs are Here! Ready For a SMART Envelope?             |
| 2008-08-18 | 104894 | c635fc | F005 Po | Y | F005 | Portable Document Format                                     |
| 2008-08-18 | 129521 | 8ca676 | F006 Po | Y | F006 | Portable Network Graphics                                    |
| 2008-06-22 | 84249  | 682fba | F018 Ad | Y | F018 | Adobe Acrobat                                                |
| 2008-06-22 | 165933 | d3d450 | F025 Em | Y | F025 | Embedding XMP Metadata in Application Files.htm              |
| 2008-06-15 | 5088   | 5743c4 | F031 li | Y | F031 | libmng - The MNG reference library & related info            |
| 2008-06-21 | 104095 | 067db7 | F033 No | Y | F033 | Notary public                                                |
| 2008-06-21 | 75893  | 8249ec | F034 No | Y | F034 | NEW JERSEY NOTARY PUBLIC MANUAL                              |
| 2008-06-15 | 155211 | 0a85d7 | F038 Us | Y | F038 | USING THE IJG JPEG LIBRARY                                   |
| 2008-08-18 | 85590  | 9456df | F041 BM | Y | F041 | BMP file format                                              |
| 2008-06-21 | 39102  | a773aa | F042 Ex | Y | F042 | Extensible Metadata Platform                                 |
| 2008-08-18 | 101132 | 6f4f3e | F044 Gr | Y | F044 | Graphics Interchange Format                                  |
| 2008-08-18 | 151985 | c5dd5e | F045 JP | Y | F045 | JPEG                                                         |
| 2008-08-18 | 114049 | 9d269b | F046 JP | Y | F046 | JPEG 2000                                                    |
| 2008-08-18 | 20282  | 7a531e | F047 JP | Y | F047 | JPEG Network Graphics                                        |
| 2008-08-18 | 60326  | 18ca5b | F048 Mu | Y | F048 | Multiple-image Network Graphics                              |
| 2008-08-18 | 74306  | 107e35 | F052 Ta | Y | F052 | Tagged Image File Format                                     |
| 2004-09-21 | 103858 | 929d44 | F056 19 | Y | F056 | DIGITAL SIGNATURES The Key to Secure Internet Commerce       |
| 2008-08-18 | 83134  | 0e6ca0 | F057 xm | Y | F057 | Revision history of Extensible Metadata Platform             |
| 2008-11-04 | 239629 | 869017 | F061 Pu | Y | F061 | Publicly Available Standards.htm                             |
| 2008-10-10 | 65927  | 11d57d | F062 A  | Y | F062 | A Digital Object Approach to Interoperable Rights Managemen  |
| 2008-11-17 | 75749  | ab33f5 | F063 Do | Y | F063 | DIS-29500: Deprecated before use?                            |
| 2008-10-10 | 43907  | 48b525 | F071 Pr | Y | F071 | Preview - SIIA Information Industry Summit 2007.htm          |
| 2008-10-28 | 24528  | 2c4f15 | F072 Cu | Y | F072 | Current Patent Practice                                      |
| 2008-10-31 | 55003  | 4b2a0b | F074 Ne | Y | F074 | Next-Generation Secure Computing Base                        |
| 2008-10-31 | 114324 | ecf987 | F075 Tr | Y | F075 | Trusted Computing                                            |
| 2008-10-31 | 41681  | 3f6a4b | F077 Tr | Y | F077 | Trusted Platform Module                                      |
| 2008-06-25 | 26239  | e8451e | F102 Ab | Y | F102 | Adobe Streamlines Workflows for Publishers with New Metadata |
| 2008-07-22 | 57719  | 262c54 | F103 Ad | Y | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design,    |
| 2008-06-21 | 28052  | b861d3 | F104 Ad | Y | F104 | Extensible Metadata Platform (XMP)Adding intelligence to me  |
| 2008-07-22 | 67232  | a5c1c5 | F107 Co | Y | F107 | DIG35: Metadata Standard for Digital Images                  |
| 2008-07-05 | 13622  | 5e3619 | F111 IP | Y | F111 | NewsML-G2                                                    |
| 2008-07-04 | 22774  | f72615 | F112 IP | Y | F112 | IPTC Metadata for XMP                                        |
| 2008-07-22 | 35211  | c610ef | F113 ON | Y | F113 | ONJava com -- XML to PDF Oh, FOP It.htm                      |
| 2008-07-22 | 33389  | 3c607b | F114 Op | Y | F114 | The Power of Metadata                                        |
| 2008-07-22 | 170530 | 045bfe | F118 OW | Y | F118 | OWL Web Ontology Language Guide                              |
| 2008-07-22 | 27834  | 1a5a28 | F119 Pl | Y | F119 | Platform for Internet Content Selection (PICS)               |
| 2008-06-22 | 47553  | bb2f15 | F120 Re | Y | F120 | Resource Description Framework (RDF)                         |
| 2008-07-04 | 11101  | 3e71c7 | F123 TI | Y | F123 | Image2PDF 3.2 user manual                                    |
| 2008-07-04 | 12597  | 4c6d18 | F125 tu | Y | F125 | SAA offers screencasts on key topics all photographers invc  |
| 2008-07-22 | 140436 | 0f1fea | F126 Un | Y | F126 | Unicode                                                      |
| 2008-07-22 | 111088 | 08339e | F127 UT | Y | F127 | UTF-8                                                        |
| 2008-07-22 | 45315  | b3f89f | F128 XM | Y | F128 | The XPath 2.0 Data Model                                     |
| 2008-07-22 | 33656  | 847618 | F129 XM | Y | F129 | What Is XSLT                                                 |
| 2008-07-02 | 52154  | ef4e88 | F132 Ba | Y | F132 | Base64                                                       |
| 2008-07-01 | 229554 | 392c52 | F133 CR | Y | F133 | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                    |
| 2008-07-02 | 42434  | 0fa6e7 | F137 RE | Y | F137 | The Base16, Base32, and Base64 Data Encodings                |
| 2008-05-16 | 177143 | c660a6 | F151 PN | Y | F151 | PNG Digital Signatures                                       |
| 2008-05-16 | 32574  | b82173 | F155 xm | Y | F155 | XML Packaging Working Group Charter                          |
| 2005-03-13 | 15885  | 3a6747 | F164 1. | Y | F164 | CALIFORNIA CODES                                             |
| 2005-06-07 | 40698  | bea703 | F195 E- | Y | F195 | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT    |
| 2005-06-07 | 29638  | cc486d | F202 Go | Y | F202 | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                 |

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
 1/2/2012 9:43 PM  
 Literature Documents  
 Sheet ( 3 ) of ( 13 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |         |        |         |   |      |                                                             |
|------------|---------|--------|---------|---|------|-------------------------------------------------------------|
| 2005-06-07 | 38778   | fd9af9 | F205 Th | Y | F205 | National Notary Association and Safedocs Provide E-Notariza |
| 2005-06-07 | 31425   | 90c198 | F206 Ti | Y | F206 | ter 1. Notaries Public Reform Act                           |
| 2008-07-22 | 1791    | df9dad | F220 7  | Y | F220 | 7 Steps to Understanding XMP Metadata                       |
| 2008-06-22 | 28042   | a04d1c | F228 Ad | Y | F228 | Adding intelligence to media                                |
| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y | F232 | All eyes on how Microsoft pulls off ODF support             |
| 2008-06-14 | 30129   | 3ae58c | F233 An | Y | F233 | Anti machine                                                |
| 2008-06-21 | 122182  | 7df6fa | F235 Bu | Y | F235 | Building Sustainable Banking Architectures                  |
| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y | F237 | Captaris Solutions for Financial Services                   |
| 2008-06-22 | 49209   | ffd0d2 | F238 Co | Y | F238 | Extensible Metadata Platform (XMP)                          |
| 2008-06-22 | 45581   | e61453 | F239 De | Y | F239 | Describing and retrieving photos using RDF and HTTP         |
| 2008-06-24 | 70746   | b84a4c | F245 Ec | Y | F245 | Ecma to create 'standard out of Microsoft rival to PDF      |
| 2008-06-06 | 20010   | 209322 | F247 Ex | Y | F247 | Extensible Markup Language (XML)                            |
| 2008-06-24 | 72901   | e63dcc | F250 Fr | Y | F250 | Free and Cheap Software That Kills the Big Guys             |
| 2008-06-24 | 73921   | b874ee | F263 Op | Y | F263 | Open source tool released for translating between Microsoft |
| 2008-06-25 | 17044   | 8adc56 | F266 RD | Y | F266 | RDF for self-describing images                              |
| 2008-06-22 | 377523  | 42e3d5 | F267 RD | Y | F267 | RDF Primer                                                  |
| 2008-06-06 | 34409   | 6b66af | F269 Re | Y | F269 | Report on XML Packaging                                     |
| 2008-06-21 | 60844   | dc5aee | F273 Sm | Y | F273 | Smart Documents Overview                                    |
| 2008-06-22 | 23255   | 43e48f | F275 Th | Y | F275 | Adobe turns to suppliers to put InDesign in front of publis |
| 2008-06-24 | 41187   | 668830 | F276 Th | Y | F276 | Luxtrust: which trust ?                                     |
| 2008-06-25 | 18251   | fa013a | F284 W3 | Y | F284 | Enabling Inferencing                                        |
| 2008-06-06 | 235023  | e6e4b8 | F287 XM | Y | F287 | XML Signature Syntax and Processing (Second Edition)        |
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| 2005-11-04 | 28927   | 0ce300 | F329 Di | Y | F329 | Regulatory Compliance Using Digital Signature               |
| 2004-09-21 | 35997   | 479ccc | F350 ES | Y | F350 | ESIGN Electronic Commerce and Electronic Signature Law Clar |
| 2004-09-21 | 108566  | 59ca99 | F351 FL | Y | F351 | The 2004 Florida Statutes                                   |
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| 2008-04-28 | 339664  | 6a99ad | F361 Po | Y | F361 | Portable Network Graphics (PNG) Specification (Second Editi |
| 2007-10-14 | 38014   | d8248a | F363 An | Y | F363 | An Open-Source System for Electronic Court Filing           |
| 2005-09-12 | 4388    | e002ce | F367 in | Y | F367 | libxml2 Reference Manual                                    |
| 2003-09-11 | 213981  | 4a859d | F373 RE | Y | F373 | Extensible Markup Language (XML) 1.0 (Second Edition)       |
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| 2005-11-06 | 43397   | 300ac9 | F425 6  | Y | F425 | XML security: A who's who                                   |
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| 2005-11-06 | 81601   | e3b28f | F438    | Y | F438 | XML Security page                                           |
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| 2008-05-03 | 231052  | 312640 | F619 Ex | Y | F619 | Extensible Markup Language (XML) 1.0                        |
| 2008-05-03 | 30642   | 297b21 | F621 XM | Y | F621 | Handling Binary Data in XML Documents                       |
| 2007-12-28 | 73153   | 9e5f08 | F627 Af | Y | F627 | Illinois crosses the bridge                                 |
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| 2004-09-21 | 10797   | ca70a0 | F640 Co | Y | F640 | Comparison of Federal E-Signature Act and UETA on Key Consu |
| 2005-10-15 | 54470   | 54b75d | F660 be | Y | F660 | Beyond ASP: XML and XSL-based Solutions Simplify Your Data  |
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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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 STATEMENT BY APPLICANT  
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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-22 | 931213  | ab2091 | G014 | xm | Y | d | G014 | XMP Adding Inteligence to Media                             |
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| 2010-12-03 | 2857112 | b514e4 | H024 | 10 | Y |   | H024 | Multiple electronic signatures on multiple documents        |
| 2010-01-31 | 554638  | 0d7acd | H025 | 10 | Y |   | H025 | Profiles for the OASIS Security Assertion Markup Language ( |
| 2011-02-07 | 54932   | af3b2a | H029 | 19 | Y |   | H029 | Frequently Asked Questions (FAQs) ISO 19005-1:2005          |
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| 2011-01-18 | 318722  | 49cc9e | H052 | 76 | Y |   | H052 | Document management - Electronic document file format for l |
| 2011-01-31 | 115598  | b5a2f4 | H055 | 85 | Y |   | H055 | Signed metadata: method and application                     |
| 2010-12-14 | 295008  | 2923f4 | H057 | 91 | Y |   | H057 | The AdES family of standards: CAdES, XAdES, and PAdES       |
| 2010-02-20 | 998823  | 3fae53 | H058 | a6 | Y |   | H058 | How to Solve the Business Standards Dilemma the Context Dri |
| 2010-02-22 | 1059069 | 3c37c8 | H059 | aa | Y |   | H059 | The Four Flavors of Adobe PDF for Paper-based Documents     |
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| 2010-01-31 | 31238   | 57373f | H066 | Ad | Y |   | H066 | Adobe Intelligent Document Platform                         |
| 2010-02-20 | 29694   | 9abd22 | H067 | Ad | Y |   | H067 | Mars Project                                                |
| 2010-01-31 | 27165   | ae915a | H069 | Ad | Y |   | H069 | Adobe LiveCycle Document Security                           |
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| 2010-01-31 | 243407  | 8a1566 | H075 | ad | Y |   | H075 | Adobe LiveCycle Connecting people, information and processe |
| 2011-01-01 | 1602384 | 4e6ffd | H076 | AD | Y |   | H076 | ORDER ON THE CONFIRMATION OF THE SPECIFICATION ADOC-V1.0 OF |
| 2010-02-02 | 151386  | 041f66 | H078 | Al | Y |   | H078 | ELECTRONIC PRESENTATION AND E-SIGNATURE FOR ELECTRONIC FORM |
| 2010-06-13 | 142899  | 935fb4 | H079 | An | Y |   | H079 | Information Security Committee                              |
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INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
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Application Number: 12/316,699  
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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2011-01-27 | 1300791 | 81787f | H083 ar | Y |  | H083 | Analysis, Selection, and Implementation of Electronic Docum |
| 2010-02-20 | 22470   | 0f0cbc | H084 AS | Y |  | H084 | ASIS&T - The Information Society for the Information Age    |
| 2010-02-22 | 1911019 | 9911ca | H087 Ba | Y |  | H087 | Multi-language e-Discovery Three Critical Steps for Litig   |
| 2010-12-04 | 132608  | cec9af | H088 BD | Y |  | H088 | The European Directive on a community framework             |
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| 2010-02-20 | 61458   | ef7c76 | H102 Ca | Y |  | H102 | Category:Electronic commerce                                |
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| 2010-01-31 | 2123092 | af6e7e | H113 Co | Y |  | H113 | Adobe® LiveCycle® ES2 solutions for communications manage   |
| 2010-02-02 | 51449   | 849e73 | H115 Co | Y |  | H115 | Comparison of document markup languages                     |
| 2010-02-27 | 143007  | 5bb4ab | H116 Co | Y |  | H116 | Comparison of graphics file formats                         |
| 2011-01-28 | 692167  | 5b033c | H117 Co | Y |  | H117 | Comparison of graphics file formats                         |
| 2010-01-31 | 23848   | c8a97a | H118 Co | Y |  | H118 | Compound document                                           |
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| 2010-02-01 | 4345446 | 2d61a3 | H122 Co | Y |  | H122 | Consolidated Case Management System Functional Standards VC |
| 2010-02-20 | 104949  | 4c2773 | H125 Co | Y |  | H125 | Container format (digital)                                  |
| 2010-12-04 | 98392   | 028c2d | H129 Co | Y |  | H129 | Countersignatures                                           |
| 2010-02-27 | 19515   | e14727 | H130 Co | Y |  | H130 | International Development Markup Language (DML)             |
| 2010-07-29 | 78060   | 6b85b2 | H133 Cr | Y |  | H133 | Create client-side diagrammatic interaction in Web applicat |
| 2010-12-14 | 33736   | 2fffc5 | H140 Cr | Y |  | H140 | Cryptographic Message Syntax                                |
| 2011-01-23 | 967893  | e41d5c | H141 cs | Y |  | H141 | Portable Document Format: Image-Streamable                  |
| 2010-02-22 | 114442  | 847954 | H142 cs | Y |  | H142 | Major DoD Agency Selects PDF Converter Professional and Bri |
| 2010-02-02 | 17077   | 249cd0 | H147 CT | Y |  | H147 | Court Technology Framework (CTF)                            |
| 2010-06-13 | 115781  | f6ac0d | H148 Cu | Y |  | H148 | Current Listing of States That Have Enacted E-Discovery Rul |
| 2010-02-20 | 3164090 | d11be5 | H149 cX | Y |  | H149 | cXML User's Guide                                           |
| 2010-02-01 | 930825  | d3e45b | H150 da | Y |  | H150 | DocumentSign™ - Creating Trusted Documents                  |
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| 2010-06-13 | 29022   | b7f8ac | H158 Di | Y |  | H158 | Digital Evidence and Electronic Signature Law Review        |
| 2010-06-13 | 92598   | 3a7ea2 | H159 Di | Y |  | H159 | Digital Signature Guidelines Tutorial                       |
| 2010-06-13 | 271091  | 1702f6 | H160 Di | Y |  | H160 | Avoid the Pitfalls when transitioning from paper-based to e |
| 2010-06-13 | 565605  | 8b5252 | H161 Di | Y |  | H161 | Digital Signatures Provide Competitive Advantage for Top 10 |
| 2010-06-13 | 266394  | 7370b7 | H162 di | Y |  | H162 | Digital containers for shipment into the future             |
| 2011-01-14 | 96256   | aee861 | H168 do | Y |  | H168 | UBL XAdES Profile Version 1.0                               |
| 2010-06-13 | 391437  | 7eb42e | H170 Do | Y |  | H170 | Reference.Com Document                                      |
| 2011-01-31 | 870298  | a3fbd8 | H171 Do | Y |  | H171 | Document file extension list                                |
| 2010-02-20 | 38730   | 31a552 | H174 Do | Y |  | H174 | Document file format                                        |
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| 2011-01-28 | 164244  | bd3312 | H177 Do | Y |  | H177 | RDFPic Short Description                                    |
| 2011-01-31 | 1394932 | 00eala | H178 Dr | Y |  | H178 | An Introduction to jGuard                                   |
| 2011-01-31 | 1029635 | 99b2dd | H179 Dr | Y |  | H179 | PCI and Encryption                                          |
| 2010-01-31 | 457765  | b971f6 | H183 Dy | Y |  | H183 | PARTNER'S GUIDE TO XMP FOR DYNAMIC MEDIA                    |

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Date Considered: \_\_\_\_\_

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|            |         |        |         |   |      |                                                             |
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| 2010-12-03 | 10012   | d7a659 | H186 E- | Y | H186 | legalxml-enotary-comment message E-notarization XML specifi |
| 2010-06-13 | 151764  | 765674 | H187 e- | Y | H187 | ELECTRONIC SIGNATURE GUIDELINES                             |
| 2011-01-31 | 154747  | 78d4ce | H188 e0 | Y | H188 | Web Services Security                                       |
| 2010-02-20 | 40969   | 948066 | H189 eb | Y | H189 | ebXML                                                       |
| 2010-12-04 | 76800   | ee9078 | H190 ec | Y | H190 | LegalXML Electronic Court Filing 3.0 Proxy Document Signatu |
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| 2010-12-23 | 582671  | c2b0a9 | H194 ec | Y | H194 | Electronic Court Filing Version 4.0 Committee Draft 01      |
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| 2010-08-04 | 357644  | 0896bf | H197 ec | Y | H197 | Electronic Court Filing Version 4.0 Committee Draft 01 21 S |
| 2010-02-20 | 524072  | 411f0b | H202 EC | Y | H202 | 7 Steps to Electronic Filing with Electronic Court Filing 4 |
| 2010-12-04 | 626878  | 508c16 | H203 EC | Y | H203 | ECOM CADeS/XAdES Plugtest 2007 XAdES Test Specification     |
| 2010-06-13 | 47540   | 79bebe | H205 ED | Y | H205 | EDRM XML Interchange FormatSchema Documentation             |
| 2010-06-13 | 90962   | d398e1 | H206 eE | Y | H206 | About eFiling for Courts                                    |
| 2010-06-13 | 126742  | d52d32 | H207 eE | Y | H207 | Superior Court of Arizona in Maricopa County Electronic Fil |
| 2010-06-13 | 540826  | e8b997 | H208 eF | Y | H208 | Electronic Filing                                           |
| 2010-02-20 | 36704   | 59dcd4 | H209 E1 | Y | H209 | Electronic business                                         |
| 2010-02-21 | 93585   | e3aeaa | H210 E1 | Y | H210 | Electronic Court Filing 4.0 Portable Media Service Interact |
| 2010-06-13 | 125804  | aal7e7 | H211 E1 | Y | H211 | Electronic Court Records (ECR) at King County Wins "Innovat |
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| 2010-06-13 | 98228   | 6fd15e | H213 E1 | Y | H213 | Electronic Data Interchange (EDI)                           |
| 2010-02-21 | 26120   | 2ecf46 | H214 E1 | Y | H214 | Electronic Document Management: Seven Fundamentals That Sho |
| 2010-01-31 | 52971   | d21a27 | H215 E1 | Y | H215 | Electronic Document Technology Standards and Signatures     |
| 2010-12-31 | 313658  | d59bab | H221 E1 | Y | H221 | Electronic Signature Generation (PKCS#7/CMS, PDF digital si |
| 2010-02-27 | 31775   | f5d66d | H222 E1 | Y | H222 | Electronic Signatures and Online Contracts                  |
| 2010-12-14 | 707782  | 4c81fe | H227 EN | Y | H227 | eNotarization Markup Language (ENML) Version 1.0 Draft 01   |
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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

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Application Number: 12/316,699  
Filing Date: 12/15/2008  
Named Inventor: John Lewis Guymon Jr  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-05-03 | 30642   | 297b21 | F621 XM | Y | F621 | Handling Binary Data in XML Documents                       |
| 2008-05-16 | 2053927 | 5cb295 | J077 ib | Y | J077 | JANUS: An interactive document formatter based on declarati |
| 2008-05-16 | 177143  | c660a6 | F151 PN | Y | F151 | PNG Digital Signatures                                      |
| 2008-05-16 | 142117  | 2fef2a | J079 PN | Y | J079 | PNG Digital Signatures Commented Example                    |
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| 2008-05-17 | 213908  | da5ea9 | F001 pn | Y | F001 | png-list 200109.htm                                         |
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| 2008-06-06 | 34409   | 6b66af | F269 Re | Y | F269 | Report on XML Packaging                                     |
| 2008-06-06 | 235023  | e6e4b8 | F287 XM | Y | F287 | XML Signature Syntax and Processing (Second Edition)        |
| 2008-06-14 | 30129   | 3ae58c | F233 An | Y | F233 | Anti machine                                                |
| 2008-06-15 | 5088    | 5743c4 | F031 li | Y | F031 | libmng - The MNG reference library & related info           |
| 2008-06-15 | 155211  | 0a85d7 | F038 Us | Y | F038 | USING THE IJG JPEG LIBRARY                                  |
| 2008-06-21 | 2601300 | 44cebe | J082 xm | Y | J082 | Adobe XMP for Creative Professionals                        |

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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2008-06-21 | 91749   | 4c2259 | J081 XM | Y |   | J081 | Embedding XMP Metadata in Application Files Draft 6-6-02      |
| 2008-06-21 | 39102   | a773aa | F042 Ex | Y |   | F042 | Extensible Metadata Platform                                  |
| 2008-06-21 | 28052   | b861d3 | F104 Ad | Y |   | F104 | Extensible Metadata Platform (XMP) Adding intelligence to me  |
| 2008-06-21 | 42037   | 250dc8 | G007 Ha | Y |   | G007 | Hague Conference on Private International Law                 |
| 2008-06-21 | 51692   | 965e6c | G009 Na | Y |   | G009 | New California Jurat Law Creates Confusion                    |
| 2008-06-21 | 75893   | 8249ec | F034 No | Y |   | F034 | NEW JERSEY NOTARY PUBLIC MANUAL                               |
| 2008-06-21 | 104095  | 067db7 | F033 No | Y |   | F033 | Notary public                                                 |
| 2008-06-21 | 60844   | dc5aee | F273 Sm | Y |   | F273 | Smart Documents Overview                                      |
| 2008-06-22 | 235412  | 933238 | J092 wh | Y |   | J092 | A Manager's Introduction to Adobe eXtensible Metadata Platf   |
| 2008-06-22 | 28042   | a04d1c | F228 Ad | Y |   | F228 | Adding intelligence to media                                  |
| 2008-06-22 | 84249   | 682fba | F018 Ad | Y |   | F018 | Adobe Acrobat                                                 |
| 2008-06-22 | 23255   | 43e48f | F275 Th | Y |   | F275 | Adobe turns to suppliers to put InDesign in front of publis   |
| 2008-06-22 | 45581   | e61453 | F239 De | Y |   | F239 | Describing and retrieving photos using RDF and HTTP           |
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| 2008-06-22 | 643753  | 9761c5 | J091 Sa | Y |   | J091 | Going Digital: Why Use Industry Metadata Standards ... and Hc |
| 2008-06-22 | 129027  | 03ffa8 | J083 ME | Y |   | J083 | In Swedish ???                                                |
| 2008-06-22 | 230645  | 3b5736 | J094 pd | Y |   | J094 | OpenDocument meta data                                        |
| 2008-06-22 | 377523  | 42e3d5 | F267 RD | Y |   | F267 | RDF Primer                                                    |
| 2008-06-22 | 47553   | bb2f15 | F120 Re | Y |   | F120 | Resource Description Framework (RDF)                          |
| 2008-06-22 | 10334   | 85b9b4 | J088 rf | Y |   | J088 | Tag Image File Format (TIFF) - image/tiff MIME Sub-type Rec   |
| 2008-06-22 | 408776  | 407fd6 | J090 XM | Y |   | J090 | XMP - Extensible Metadata Platform v1.5 9-14-01               |
| 2008-06-22 | 931213  | ab2091 | G014 xm | Y | d | G014 | XMP Adding Inteligence to Media                               |
| 2008-06-22 | 23661   | 0361e7 | J089 XM | Y |   | J089 | XMP SDK Overview                                              |
| 2008-06-22 | 601059  | dd3ea4 | J085 xm | Y |   | J085 | XMP Specification Jan 04                                      |
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| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y |   | F232 | All eyes on how Microsoft pulls off ODF support               |
| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y |   | F237 | Captaris Solutions for Financial Services                     |
| 2008-06-24 | 224201  | e53465 | J096 pr | Y |   | J096 | Chunk Registration Request for Enabling the PNG Standard to   |
| 2008-06-24 | 70746   | b844ac | F245 Ec | Y |   | F245 | Ecma to create standard out of Microsoft rival to PDF         |
| 2008-06-24 | 72901   | e63d3c | F250 Fr | Y |   | F250 | Free and Cheap Software That Kills the Big Guys               |
| 2008-06-24 | 41187   | 668830 | F276 Th | Y |   | F276 | Luxtrust: which trust ?                                       |
| 2008-06-24 | 73921   | b874ee | F263 Op | Y |   | F263 | Open source tool released for translating between Microsoft   |
| 2008-06-24 | 53760   | 4fc784 | M034 Se | Y |   | M034 | Security Whitepapers                                          |
| 2008-06-25 | 26239   | e8451e | F102 Ab | Y |   | F102 | Adobe Streamlines Workflows for Publishers with New Metadat   |
| 2008-06-25 | 18251   | fa013a | F284 W3 | Y |   | F284 | Enabling Inferencing                                          |
| 2008-06-25 | 17044   | 8adc56 | F266 RD | Y |   | F266 | RDF for self-describing images                                |
| 2008-07-01 | 229554  | 392c52 | F133 CR | Y |   | F133 | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                     |
| 2008-07-02 | 52154   | ef4e88 | F132 Ba | Y |   | F132 | Base64                                                        |
| 2008-07-02 | 42434   | 0fa6e7 | F137 RE | Y |   | F137 | The Base16, Base32, and Base64 Data Encodings                 |
| 2008-07-04 | 326623  | c388d9 | J112 ar | Y |   | J112 | Architecting the Infrastructure for SOA and XML               |
| 2008-07-04 | 1164639 | d7577b | J107 21 | Y |   | J107 | Document Process Management The Case for an Integrated Life   |
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| 2008-07-04 | 7196630 | f45c20 | J110 st | Y |   | J110 | Getting More from Your Content with Single Source Publishin   |
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| 2008-07-04 | 11101   | 3e71c7 | F123 TI | Y |   | F123 | Image2PDF 3.2 user manual                                     |
| 2008-07-04 | 78536   | 605c63 | J116 Ii | Y |   | J116 | IPTC - NAA Subject Reference Scheme                           |
| 2008-07-04 | 381470  | c7b43f | J113 Ip | Y |   | J113 | IPTC Core Schema for XMP                                      |
| 2008-07-04 | 22774   | f72615 | F112 IP | Y |   | F112 | IPTC Metadata for XMP                                         |
| 2008-07-04 | 1853538 | 798862 | J105 14 | Y |   | J105 | Is a Data Warehouse the Only Option for Business Intelliger   |
| 2008-07-04 | 12597   | 4c6d18 | F125 tu | Y |   | F125 | SAA offers screencasts on Key topics all photographers invc   |
| 2008-07-04 | 12597   | 4c6d18 | Q011 tu | Y | d | Q011 | Screencast Tutorials                                          |

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| 2008-07-04 | 80280   | 36bcec | J104 10 | Y | J104 | The Next Generation of BI                                   |
| 2008-07-04 | 399848  | a89647 | J111 11 | Y | J111 | The Transition from Operations to Business Value Drivers    |
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| 2008-07-05 | 13622   | 5e3619 | F111 IP | Y | F111 | NewsML-G2                                                   |
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| 2008-07-22 | 57719   | 262c54 | F103 Ad | Y | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design,   |
| 2008-07-22 | 67232   | a5c1c5 | F107 Co | Y | F107 | DIG35: Metadata Standard for Digital Images                 |
| 2008-07-22 | 104,802 | bd0416 | D020 di | Y | D007 | HB 1300                                                     |
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| 2008-07-22 | 170530  | 045bfe | F118 OW | Y | F118 | OWL Web Ontology Language Guide                             |
| 2008-07-22 | 27834   | 1a5a28 | F119 PL | Y | F119 | Platform for Internet Content Selection (PICS)              |
| 2008-07-22 | 158720  | dfd155 | M035 Se | Y | M035 | Semantic Web                                                |
| 2008-07-22 | 33389   | 3c607b | F114 Op | Y | F114 | The Power of Metadata                                       |
| 2008-07-22 | 45315   | b3f89f | F128 XM | Y | F128 | The XPath 2.0 Data Model                                    |
| 2008-07-22 | 140436  | 0f1fea | F126 Un | Y | F126 | Unicode                                                     |
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| 2008-07-22 | 33656   | 847618 | F129 XM | Y | F129 | What Is XSLT                                                |
| 2008-08-18 | 85590   | 9456df | F041 BM | Y | F041 | BMP file format                                             |
| 2008-08-18 | 101132  | 6f4f3e | F044 Gr | Y | F044 | Graphics Interchange Format                                 |
| 2008-08-18 | 151985  | c5dd5e | F045 JP | Y | F045 | JPEG                                                        |
| 2008-08-18 | 114049  | 9d269b | F046 JP | Y | F046 | JPEG 2000                                                   |
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| 2008-08-18 | 60326   | 18ca5b | F048 Mu | Y | F048 | Multiple-image Network Graphics                             |
| 2008-08-18 | 104894  | c635fc | F005 Po | Y | F005 | Portable Document Format                                    |
| 2008-08-18 | 129521  | 8ca676 | F006 Po | Y | F006 | Portable Network Graphics                                   |
| 2008-08-18 | 83134   | 0e6ca0 | F057 xm | Y | F057 | Revision history of Extensible Metadata Platform            |
| 2008-08-18 | 74306   | 107e35 | F052 Ta | Y | F052 | Tagged Image File Format                                    |
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| 2008-09-05 | 33,812  | 6ec963 | B005 Hi | Y | B005 | History of the Portable Network Graphics (PNG) Format       |
| 2008-09-17 | 33839   | 8172d2 | J119 1  | Y | J119 | SMART Document Implementation Guide                         |
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| 2008-10-10 | 65927   | 11d57d | F062 A  | Y | F062 | A Digital Object Approach to Interoperable Rights Manager   |
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| 2008-10-31 | 114324  | ecf987 | F075 Tr | Y | F075 | Trusted Computing                                           |
| 2008-10-31 | 41681   | 3f6a4b | F077 Tr | Y | F077 | Trusted Platform Module                                     |
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| 2008-11-17 | 75749   | ab33f5 | F063 Do | Y | F063 | DIS-29500: Deprecated before use?                           |
| 2008-12-03 | 928063  | f203d3 | J078 PN | Y | J078 | PNG Digital Signatures Extension Specification              |
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| 2009-12-11 | 109196  | d0e40e | A004 as | Y | A004 | ASP File Upload using VBScript                              |
| 2009-12-11 | 19,159  | 4e4d44 | C013 Or | Y | C013 | Authentication of Notarizations                             |
| 2009-12-11 | 79500   | b2c736 | P021 Ca | Y | P021 | Canonical XML                                               |
| 2009-12-11 | 104187  | b8861b | N007 di | Y | N007 | Color in JPEG 2000                                          |
| 2009-12-11 | 18220   | f18613 | A007 Co | Y | A007 | Colorado Implements Level 3 Electronic Recording            |
| 2009-12-11 | 50918   | 8ca4c5 | P018 Co | Y | P018 | Compound Document by Reference Framework 1.0                |
| 2009-12-11 | 17406   | b6b791 | A044 Cr | Y | A044 | Cryptography, PGP and Pine                                  |

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 Examiner Name: \_\_\_\_\_

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| 2009-12-11 | 80943     | e3f917 | A050 Ti | Y | A050 | DigiStamp - The Trusted Third-Party Time Authority           |
| 2009-12-11 | 4130      | 454204 | V002 Di | Y | V002 | Digital Signature Initiative                                 |
| 2009-12-11 | 42970     | c4f0a5 | P019 FI | Y | P019 | DIGITAL SIGNATURE STANDARD (DSS)                             |
| 2009-12-11 | 34241     | 4a8b92 | A038 El | Y | A038 | Electronic Signatures in Global and National Commerce Act    |
| 2009-12-11 | 33744     | 407d9f | Q007 Co | Y | Q007 | Enhanced Adobe XML Architecture Supports XML/PDF Form Design |
| 2009-12-11 | 140741    | 7f05b8 | Y006 Ab | Y | Y006 | Ensuring Record Integrity with AbsoluteProofSM               |
| 2009-12-11 | 452,676   | 6aa04e | B028 E- | Y | B028 | E-Sign and UETA: What Should States Do Now?                  |
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| 2009-12-11 | 549566    | 90dca9 | Y007 fi | Y | Y007 | fips 186-3.pdf                                               |
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| 2009-12-11 | 60606     | ee874d | A047 Ve | Y | A047 | Guide To Securing Intranet And Extranet Servers              |
| 2009-12-11 | 45568     | 105d4d | S003 Ho | Y | S003 | How Does Compound Document Framework Benefit Us?             |
| 2009-12-11 | 18921     | bc74a6 | A043 Li | Y | A043 | Howto: PGP and GnuPG                                         |
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| 2009-12-11 | 166463    | f4bf21 | N006 xd | Y | N006 | JPEG 2000 & JP2                                              |
| 2009-12-11 | 50435     | 611166 | J117 jp | Y | J117 | JPEG 2000 Offers New Opportunities to Enrich Image Content   |
| 2009-12-11 | 7099      | 8fc6dc | P095 [1 | Y | P095 | JPEG Freely available source code for JPEG                   |
| 2009-12-11 | 88164     | a4ba62 | U004 Kn | Y | U004 | Knowledge Interchange Format                                 |
| 2009-12-11 | 25426     | 46c080 | A026 pa | Y | A026 | Long term electronic signature os documents retention        |
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| 2009-12-11 | 59646     | 9e7213 | Y004 Mo | Y | Y004 | Model-driven compound document development                   |
| 2009-12-11 | 41984     | d746c4 | A005 Mo | Y | A005 | Modeling biz docs in XML                                     |
| 2009-12-11 | 74669     | 0b22d7 | P020 rf | Y | P020 | Multipurpose Internet Mail Extensions                        |
| 2009-12-11 | 18107     | 937643 | Q006 Ne | Y | Q006 | Newest Version of PRISM is Now Ready for Comment             |
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| 2009-12-11 | 231393    | 0e4f0e | P015 RD | Y | P015 | RDFa in XHTML: Syntax and Processing                         |
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| 2009-12-11 | 43334     | 2b383a | Y005 dr | Y | Y005 | Requirements for Data Validation and Certification Service   |
| 2009-12-11 | 37765     | 1d0f8d | A024 Re | Y | A024 | Requirements for Data Validation and Certification Services  |
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| 2009-12-11 | 24875     | 587f2c | U005 Se | Y | U005 | Semantic Web Road map                                        |
| 2009-12-11 | 164569    | 703a19 | P026 SO | Y | P026 | SOAP Version 1.2 Part 1: Messaging Framework                 |
| 2009-12-11 | 24003     | f5b5c0 | A035 Ve | Y | A035 | Software Publisher Digital Ids for Microsoft Authenticode T  |
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| 2009-12-11 | 131361    | 502e1d | A001 ue | Y | A001 | Uniform Electronic Transactions Act (1999)                   |
| 2009-12-11 | 91901     | 46de2d | V004 Un | Y | V004 | Universal Commerce Language and Protocol (UCLP) Version 3.0  |
| 2009-12-11 | 47807     | 9c608b | T044 Yo | Y | T044 | Using Namespaces Within Your XML Documents                   |
| 2009-12-11 | 75528     | 165623 | V006 Us | Y | V006 | Using P3P for E-Commerce                                     |
| 2009-12-11 | 23763     | 8151a1 | V003 W3 | Y | V003 | W3C and Electronic Commerce                                  |

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| 2010-01-31 | 27165    | ae915a | H069 Ad | Y | H069 | Adobe LiveCycle Document Security                                     |
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| 2010-01-31 | 557304   | 3eb2f4 | H301 In | Y | H301 | Adobe® LiveCycle® solutions for intuitive user experiences            |
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| 2010-01-31 | 644542   | 5c4fe3 | H674 XM | Y | H674 | XMP SPECIFICATION PART 3 STORAGE IN FILES                             |
| 2010-02-01 | 4345446  | 2d61a3 | H122 Co | Y | H122 | Consolidated Case Management System Functional Standards V1.0         |
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| 2010-02-02 | 47231    | 93a32e | H119 Co | Y | H119 | Compound Document Format                                              |
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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2011-02-01 | 136123  | 9a8561 | H474 Pr | Y |  | H474 | Preserving the Data Explosion: Using PDF                    |
| 2011-02-07 | 444815  | 3f0bf5 | H260 Fr | Y |  | H260 | Frequently Asked Questions (FAQs) About Selecting Sustainab |
| 2011-02-07 | 54932   | af3b2a | H029 19 | Y |  | H029 | Frequently Asked Questions (FAQs) ISO 19005-1:2005          |
| 2011-02-07 | 1016516 | e314de | H268 gl | Y |  | H268 | Glossary of PDF Terminology                                 |
| 2011-02-07 | 143301  | 710b02 | H623 wh | Y |  | H623 | PDF/A - The Basics                                          |

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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| 2010-02-20 | 524072  | 411f0b | H202 EC | Y | H202 | 7 Steps to Electronic Filing with Electronic Court Filing 4   |
| 2008-07-22 | 1791    | df9dad | F220 7  | Y | F220 | 7 Steps to Understanding XMP Metadata                         |
| 2006-03-10 | 522514  | 07ea9f | J031 PK | Y | J031 | A Better Approach to PKI Based Digital Signatures             |
| 2010-02-27 | 190554  | 8533ce | H464 PN | Y | H464 | A Chunk Registration Request For Enabling The PNG Standard    |
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| 2008-06-22 | 235412  | 933238 | J092 wh | Y | J092 | A Manager's Introduction to Adobe eXtensible Metadata Platf   |
| 2004-09-14 | 128516  | 71acbf | A020 di | Y | A020 | A Position On Digital Signature Laws And Notarization         |
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| 2010-02-20 | 4283239 | f15032 | H425 Pa | Y | H425 | A Strategy for Openness                                       |
| 2010-07-29 | 161100  | 34871c | H493 RL | Y | H493 | A Tutorial on Xproc An XML Pipeline Language                  |
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| 2010-06-13 | 90962   | d398e1 | H206 eF | Y | H206 | About eFiling for Courts                                      |
| 2011-01-10 | 116369  | 1d01dc | H457 PL | Y | H457 | About the X/CAeS Plugtest                                     |
| 2006-03-26 | 169786  | 717092 | J050 WP | Y | J050 | Achieving Document Integrity in Today's Practice              |
| 2010-01-31 | 29095   | 38eb1e | H064 Ac | Y | H064 | Act (document)                                                |
| 2010-12-25 | 74590   | 7624a5 | H321 Le | Y | H321 | Action Plan on e-signatures and e-identification to facilit   |
| 2008-06-22 | 28042   | a04d1c | F228 Ad | Y | F228 | Adding intelligence to media                                  |
| 2008-07-22 | 57719   | 262c54 | F103 Ad | Y | F103 | Adobe - Creative Suite 3 Web Site Design, Graphic Design,     |
| 2008-06-22 | 84249   | 682fba | F018 Ad | Y | F018 | Adobe Acrobat                                                 |
| 2011-01-23 | 27287   | a6cd0a | H152 de | Y | H152 | Adobe Customization Wizard 9                                  |
| 2010-01-31 | 31238   | 57373f | H066 Ad | Y | H066 | Adobe Intelligent Document Platform                           |
| 2010-01-31 | 29511   | 4c8302 | H065 Ad | Y | H065 | Adobe Intelligent Documents                                   |
| 2010-01-31 | 243407  | 8a1566 | H075 ad | Y | H075 | Adobe LiveCycle Connecting people, information and processe   |
| 2010-01-31 | 27165   | ae915a | H069 Ad | Y | H069 | Adobe LiveCycle Document Security                             |
| 2010-02-27 | 141467  | 3f5379 | H553 tr | Y | H553 | Adobe solutions for trusted document sharing Enabling assur   |
| 2008-06-25 | 26239   | e8451e | F102 Ab | Y | F102 | Adobe Streamlines Workflows for Publishers with New Metadata  |
| 2008-06-22 | 23255   | 43e48f | F275 Th | Y | F275 | Adobe turns to suppliers to put InDesign in front of public   |
| 2011-01-27 | 118499  | 713fca | H638 xd | Y | H638 | Adobe XML Architecture XML Data Package Specification Versi   |
| 2008-06-21 | 2601300 | 44cebe | J082 xm | Y | J082 | Adobe XMP for Creative Professionals                          |
| 2010-01-31 | 2123092 | af6e7e | H113 Co | Y | H113 | Adobe® LiveCycle® ES2 solutions for communications managemen  |
| 2010-01-31 | 1467400 | 7e9356 | H092 BP | Y | H092 | Adobe® LiveCycle® solutions for business process management   |
| 2010-01-31 | 557304  | 3eb2f4 | H301 In | Y | H301 | Adobe® LiveCycle® solutions for intuitive user experiences    |
| 2011-01-23 | 323997  | cfcae5 | H074 ad | Y | H074 | Adobe® Supplement to ISO 32000-1 Ext 5                        |
| 2011-01-17 | 1373256 | 56d0b9 | H071 ad | Y | H071 | Adobe® Supplement to the ISO 32000                            |
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| 2010-12-31 | 437698  | c962d6 | H381 oa | Y | H381 | Advanced Electronic Signature Profiles of the OASIS Digital   |
| 2008-06-24 | 71798   | 2b83d1 | F232 Al | Y | F232 | All eyes on how Microsoft pulls off ODF support               |
| 2010-06-13 | 1135797 | 1066ce | H300 In | Y | H300 | An Introduction to Cryptography                               |
| 2011-01-31 | 1394932 | 00eala | H178 Dr | Y | H178 | An Introduction to jGuard                                     |
| 2009-12-11 | 32,265  | 576797 | D006 OA | Y | D006 | An Introduction To The Oasis LegalXML Electronic Court Filing |
| 2005-10-05 | 56635   | 03bf45 | F703 XM | Y | F703 | An Introduction to XML Digital Signatures                     |
| 2007-10-14 | 38014   | d8248a | F363 An | Y | F363 | An Open-Source System for Electronic Court Filing             |
| 2009-12-11 | 14038   | c7e6e2 | U008 We | Y | U008 | Analysing PICS labels as Metadata                             |
| 2011-01-27 | 1300791 | 81787f | H083 ar | Y | H083 | Analysis, Selection, and Implementation of Electronic Docum   |
| 2008-06-14 | 30129   | 3ae58c | F233 An | Y | F233 | Anti machine                                                  |
| 2010-06-13 | 45241   | cb89d2 | H080 Ap | Y | H080 | Apabi released for mobile reading CEBX layout document tech   |
| 2010-02-20 | 85772   | 556f06 | H081 Ap | Y | H081 | Application Guidelines on Digital Signature Practices for C   |
| 2007-12-09 | 2686358 | 6cb6e3 | J076 eB | Y | J076 | Approved Providers Guidelines for Electronic Bond Submissio   |
| 2006-03-10 | 134529  | d9bd24 | J027 e- | Y | J027 | AR's E-Signature Solution For Enabling E-Invoicing            |
| 2008-07-04 | 326623  | c388d9 | J112 ar | Y | J112 | Architecting the Infrastructure for SOA and XML               |

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| 2009-12-11 | 109196  | d0e40e | A004 as | Y | A004 | ASP File Upload using VBScript                               |
| 2003-09-19 | 36864   | 87a779 | M001 as | Y | M001 | AspEncrypt 2.0                                               |
| 2009-12-11 | 19,159  | 4e4d44 | C013 Or | Y | C013 | Authentication of Notarizations                              |
| 2010-06-13 | 60866   | 581440 | H234 ES | Y | H234 | AUTHENTICATION STANDARDS FOR THE USE OF ELECTRONIC SIGNATURE |
| 2010-06-13 | 271091  | 1702f6 | H160 Di | Y | H160 | Avoid the Pitfalls when transitioning from paper-based to e  |
| 2008-07-02 | 52154   | ef4e88 | F132 Ba | Y | F132 | Base64                                                       |
| 2010-12-04 | 142987  | 2af1db | H089 BD | Y | H089 | BDOC: FORMAT FOR DIGITAL SIGNATURES                          |
| 2011-01-18 | 538281  | 483cc8 | H547 tr | Y | H547 | Best Practices for handling electronic signatures and signe  |
| 2005-10-15 | 54470   | 54b75d | F660 be | Y | F660 | Beyond ASP: XML and XSL-based Solutions Simplify Your Data   |
| 2011-01-31 | 675187  | 68979b | H090 Be | Y | H090 | Beyond HTML: An Interview with the Creator of SGML           |
| 2006-03-23 | 399499  | 1e900b | J047 Bi | Y | J047 | BioAPI Architecture                                          |
| 2006-03-22 | 46936   | 4b54eb | J041 bi | Y | J041 | Biometric Solutions to Personal Identification               |
| 2008-08-18 | 85590   | 9456df | F041 BM | Y | F041 | BMP file format                                              |
| 2008-06-21 | 122182  | 7df6fa | F235 Bu | Y | F235 | Building Sustainable Banking Architectures                   |
| 2006-04-02 | 330742  | 7132a2 | J052 bc | Y | J052 | Business-Centric Methodology Specification v1.0              |
| 2011-01-17 | 25633   | 0e9b72 | H100 CA | Y | H100 | CAdES (computing)                                            |
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| 2009-12-11 | 79500   | b2c736 | P021 Ca | Y | P021 | Canonical XML                                                |
| 2006-03-30 | 209408  | 78d993 | M021 RE | Y | M021 | Canonical XML Version 1.0                                    |
| 2010-12-14 | 79536   | f8111f | H009 R  | Y | H009 | Canonical XML Version 1.0 W3C Recommendation 15 March 2001   |
| 2010-12-14 | 95373   | a1ef20 | H014 R  | Y | H014 | Canonical XML Version 1.1 W3C Recommendation 2 May 2008      |
| 2008-06-24 | 18675   | 4ded67 | F237 Ca | Y | F237 | Captaris Solutions for Financial Services                    |
| 2010-02-28 | 15965   | 0aa5c9 | H535 Th | Y | H535 | CAPTCHA: Telling Humans and Computers Apart Automatically    |
| 2005-11-04 | 1035519 | 881cb6 | J016 to | Y | J016 | Capture the Benefits of eSignatures                          |
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| 2010-02-20 | 61458   | ef7c76 | H102 Ca | Y | H102 | Category:Electronic commerce                                 |
| 2010-02-20 | 21129   | bf7e0b | H104 Ca | Y | H104 | Category:Free electronic commerce software                   |
| 2010-02-27 | 71553   | ba5feb | H461 PN | Y | H461 | Chapter 11. PNG Options and Extensions                       |
| 2010-02-21 | 22028   | d4dc35 | H280 iA | Y | H280 | ChartLogic iAchieve EMR                                      |
| 2006-02-04 | 263680  | 22cd0a | M006 l  | Y | M006 | Checklist for Internet-Drafts (IDs) submitted for RFC publi  |
| 2008-06-24 | 224201  | e53465 | J096 pn | Y | J096 | Chunk Registration Request for Enabling the PNG Standard to  |
| 2011-01-31 | 2535935 | 1d851e | H497 S3 | Y | H497 | CICS Web Services Security and Atomic Transaction support    |
| 2010-12-05 | 195416  | 55adb6 | H492 rf | Y | H492 | CMS Advanced Electronic Signatures (CAdES)                   |
| 2010-02-12 | 318410  | 708e0e | H111 Co | Y | H111 | Code to extract plain text from a PDF file                   |
| 2009-12-11 | 104187  | b8861b | N007 di | Y | N007 | Color in JPEG 2000                                           |
| 2009-12-11 | 18220   | f18613 | A007 Co | Y | A007 | Colorado Implements Level 3 Electronic Recording             |
| 2010-02-02 | 51449   | 849e73 | H115 Co | Y | H115 | Comparison of document markup languages                      |
| 2004-09-21 | 10797   | ca70a0 | F640 Co | Y | F640 | Comparison of Federal E-Signature Act and UETA on Key Consu  |
| 2010-02-27 | 143007  | 5bb4ab | H116 Co | Y | H116 | Comparison of graphics file formats                          |
| 2011-01-28 | 692167  | 5b033c | H117 Co | Y | H117 | Comparison of graphics file formats                          |
| 2010-01-31 | 23848   | c8a97a | H118 Co | Y | H118 | Compound document                                            |
| 2009-12-11 | 50918   | 8ca4c5 | P018 Co | Y | P018 | Compound Document by Reference Framework 1.0                 |
| 2010-02-02 | 47231   | 93a32e | H119 Co | Y | H119 | Compound Document Format                                     |
| 2010-06-13 | 120595  | f99530 | H521 Sm | Y | H521 | Compound Documents Create Documents With The Features Of Mu  |
| 2010-02-01 | 4345446 | 2d61a3 | H122 Co | Y | H122 | Consolidated Case Management System Functional Standards VC  |
| 2010-02-20 | 104949  | 4c2773 | H125 Co | Y | H125 | Container format (digital)                                   |
| 2006-03-10 | 98329   | 5421da | J023 Di | Y | J023 | CoSign assists Pharmaceutical companies to comply with 21 C  |
| 2010-12-04 | 98392   | 028c2d | H129 Co | Y | H129 | Countersignatures                                            |
| 2010-02-02 | 17077   | 249cd0 | H147 CT | Y | H147 | Court Technology Framework (CTF)                             |
| 2008-11-04 | 259949  | a58788 | F002 Co | Y | F002 | Cover Pages Web Services Interoperability Organization (WS-  |
| 2010-07-29 | 78060   | 6b85b2 | H133 Cr | Y | H133 | Create client-side diagrammatic interaction in Web applicat  |
| 2008-07-01 | 229554  | 392c52 | F133 CR | Y | F133 | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                    |
| 2010-12-14 | 33736   | 2fffc5 | H140 Cr | Y | H140 | Cryptographic Message Syntax                                 |
| 2006-04-08 | 488448  | d4abf4 | M025 RE | Y | M025 | Cryptographic Message Syntax (CMS)                           |
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| 2009-12-11 | 17406   | b6b791 | A044 Cr | Y | A044 | Cryptography, PGP and Pine                                  |
| 2006-03-18 | 88458   | 27b8d6 | A022 20 | Y | A022 | Cumulative Notarization for Long-term Preservation of Digit |
| 2010-06-13 | 115781  | f6ac0d | H148 Cu | Y | H148 | Current Listing of States That Have Enacted E-Discovery Rul |
| 2008-10-28 | 24528   | 2c4f15 | F072 Cu | Y | F072 | Current Patent Practice                                     |
| 2009-12-11 | 21,581  | 9ee6e0 | B002 PN | Y | B002 | Current Status of PNG                                       |
| 2006-04-08 | 2842385 | 5c11e3 | J057 cX | Y | J057 | cXML User's Guide                                           |
| 2010-02-20 | 3164090 | d11be5 | H149 cX | Y | H149 | cXML User's Guide                                           |
| 2011-01-27 | 779168  | e8a69a | H314 la | Y | H314 | DAK migrates their information archive to PDF/A with LuraTe |
| 2010-02-20 | 1150010 | 0bb8bc | H352 NA | Y | H352 | Data Governance Part III: Frameworks - Structure for Organi |
| 2010-06-13 | 739469  | 60bf94 | H293 IN | Y | H293 | Data Integrity and Evidence in the Cloud                    |
| 2010-12-31 | 95183   | b005b0 | H387 OA | Y | H387 | Defining an XML interface to process digital signatures for |
| 2008-06-22 | 45581   | e61453 | F239 De | Y | F239 | Describing and retrieving photos using RDF and HTTP         |
| 2010-06-13 | 34309   | 794ee6 | H615 We | Y | H615 | Designing for Accessibility - Electronic Documents          |
| 2010-02-20 | 200751  | ed7775 | H354 NA | Y | H354 | Desperately Seeking Security Frameworks - A Roadmap for Sta |
| 2007-10-14 | 8752713 | ab3048 | J071 JI | Y | J071 | Developing the Justice Information Exchange Model           |
| 2008-07-22 | 67232   | a5c1c5 | F107 Co | Y | F107 | DIG35: Metadata Standard for Digital Images                 |
| 2009-12-11 | 80943   | e3f917 | A050 Ti | Y | A050 | DigiStamp - The Trusted Third-Party Time Authority          |
| 2010-06-13 | 266394  | 7370b7 | H162 di | Y | H162 | Digital containers for shipment into the future             |
| 2010-06-13 | 29022   | b7f8ac | H158 Di | Y | H158 | Digital Evidence and Electronic Signature Law Review        |
| 2010-12-04 | 197815  | 82530c | H584 UN | Y | H584 | Digital Evidence Certification Recommendation               |
| 2010-12-14 | 108867  | ccb340 | H157 di | Y | H157 | Digital Signature and Multiple Signature: Different Cases f |
| 2011-01-23 | 256518  | 899aa5 | H060 ac | Y | H060 | Digital Signature Appearances                               |
| 2007-12-28 | 511,488 | 2f7fe8 | D030 ds | Y | D030 | Digital Signature Guidelines                                |
| 2010-06-13 | 92598   | 3a7ea2 | H159 Di | Y | H159 | Digital Signature Guidelines Tutorial                       |
| 2006-03-25 | 2234030 | 41327d | J049 Sr | Y | J049 | Digital Signature in a Web Application                      |
| 2009-12-11 | 4130    | 454204 | V002 Di | Y | V002 | Digital Signature Initiative                                |
| 2010-12-31 | 562104  | 3f9885 | H380 oa | Y | H380 | Digital Signature Service Core Protocols, Elements, and Bir |
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| 2010-06-13 | 57760   | d16408 | H254 FI | Y | H254 | DIGITAL SIGNATURE STANDARD (DSS)                            |
| 2006-03-04 | 338,944 | 690833 | B012 XM | Y | B012 | Digital Signature Tutorial                                  |
| 2011-01-23 | 4332777 | 645623 | H063 ac | Y | H063 | Digital Signatures & Rights Management in the Acrobat Famil |
| 2006-03-10 | 74526   | 153a04 | J022 PC | Y | J022 | Digital Signatures at The Point of Sale                     |
| 2006-03-10 | 166063  | 8f8604 | J028 Di | Y | J028 | Digital Signatures for Content & Document-Management System |
| 2006-03-10 | 128491  | e1bae9 | J026 Co | Y | J026 | Digital Signatures in a Document-Intensive Organization     |
| 2011-01-23 | 754585  | 304ff4 | H061 Ac | Y | H061 | Digital Signatures in a PDF                                 |
| 2006-03-10 | 117025  | df547c | J025 In | Y | J025 | Digital signatures in Insurance                             |
| 2010-06-13 | 565605  | 8b5252 | H161 Di | Y | H161 | Digital Signatures Provide Competitive Advantage for Top 10 |
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| 2006-03-10 | 284252  | 96adfd | J029 Di | Y | J029 | Digitally Signing MS-Word and Adobe Acrobat Documents with  |
| 2008-11-17 | 75749   | ab33f5 | F063 Do | Y | F063 | DIS-29500: Deprecated before use?                           |
| 2010-02-20 | 1886913 | 971423 | H351 NA | Y | H351 | DO YOU THINK? OR DO YOU KNOW?                               |
| 2011-01-31 | 870298  | a3fbd8 | H171 Do | Y | H171 | Document file extension list                                |
| 2010-02-20 | 38730   | 31a552 | H174 Do | Y | H174 | Document file format                                        |
| 2011-01-18 | 318722  | 49cc9e | H052 76 | Y | H052 | Document management - Electronic document file format for l |
| 2010-02-21 | 19056   | 92bbcc | H108 Ch | Y | H108 | Document Management (DM)                                    |
| 2010-06-13 | 121900  | 015de3 | H021 04 | Y | H021 | Document Management Systems                                 |
| 2011-01-17 | 8995189 | bb5960 | H436 PD | Y | H436 | Document management - Portable document format - Part 1: PE |
| 2008-07-04 | 1164639 | d7577b | J107 21 | Y | J107 | Document Process Management The Case for an Integrated Life |
| 2010-01-31 | 926337  | 706641 | H524 so | Y | H524 | DocumentSign™ - Compliance with AEC Requirements            |
| 2010-02-01 | 930825  | d3e45b | H150 da | Y | H150 | DocumentSign™ - Creating Trusted Documents                  |
| 2006-02-04 | 84480   | fed036 | M008 Do | Y | M008 | Donald Eastlake on XML digital signatures                   |
| 2007-09-08 | 1920249 | 204a81 | J069 dr | Y | J069 | Draft Data Exchange                                         |
| 2006-03-04 | 57344   | 74793d | M013 DS | Y | M013 | DSCA 01-21 Implementation of Electronic Countersignature Pr |
| 2006-03-23 | 209408  | d20ed0 | A011 oa | Y | A011 | DSS Use Case Requirements Analysis                          |
| 2010-02-20 | 40969   | 948066 | H189 eb | Y | H189 | ebXML                                                       |

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|            |         |        |         |   |      |                                                              |
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| 2007-09-16 | 96256   | e36def | M031 dc | Y | M031 | ECF 3.0 Enhancement Proposal                                 |
| 2008-06-24 | 70746   | b84a4c | F245 Ec | Y | F245 | Ecma to create standard out of Microsoft rival to PDF        |
| 2010-12-04 | 626878  | 508c16 | H203 EC | Y | H203 | ECOM CADES/XAdES Plugtest 2007 XAdES Test Specification      |
| 2010-02-22 | 1506701 | 151e04 | H624 Wh | Y | H624 | eDiscovery Compliance and THE New Requirements of it: The I  |
| 2010-06-13 | 47540   | 79bebe | H205 ED | Y | H205 | EDRM XML Interchange Format Schema Documentation             |
| 2010-02-22 | 459383  | e4a8af | H684 Yc | Y | H684 | Eight Criteria for Selecting a Digital File Delivery Service |
| 2010-02-20 | 36704   | 59dcd4 | H209 El | Y | H209 | Electronic business                                          |
| 2010-02-21 | 93585   | e3aeaa | H210 El | Y | H210 | Electronic Court Filing 4.0 Portable Media Service Interact  |
| 2010-02-03 | 113862  | 47e86d | H191 ec | Y | H191 | Electronic Court Filing 4.0 Portable Media Service Interact  |
| 2010-12-23 | 210944  | 53e497 | H006 D  | Y | H006 | Electronic Court Filing 4.0 Web Services Service Interacti   |
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| 2010-12-23 | 582671  | c2b0a9 | H194 ec | Y | H194 | Electronic Court Filing Version 4.0 Committee Draft 01       |
| 2010-08-04 | 357644  | 0896bf | H197 ec | Y | H197 | Electronic Court Filing Version 4.0 Committee Draft 01 21 S  |
| 2010-06-13 | 125804  | aa17e7 | H211 El | Y | H211 | Electronic Court Records (ECR) at King County Wins "Innovat  |
| 2008-09-29 | 111104  | 4d2811 | M036 El | Y | M036 | Electronic Data Interchange                                  |
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Date Considered: \_\_\_\_\_



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Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Application Number: 12/316,699  
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 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Date Considered: \_\_\_\_\_



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 STATEMENT BY APPLICANT  
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Application Number: 12/316,699  
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 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

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Examiner Signature: \_\_\_\_\_

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## ARTIFACT SHEET

Enter artifact number below. Artifact number is application number + artifact type code (see list below) + sequential letter (A, B, C ...). The first artifact folder for an artifact type receives the letter A, the second B, etc.. Examples: 59123456PA, 59123456PB, 59123456ZA, 59123456ZB

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Indicate quantity of a single type of artifact received but not scanned. Create individual artifact folder/box and artifact number for each Artifact Type.

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|                          |          | pages of specification          | <input type="checkbox"/>            |
|                          |          | and/or sequence listing         |                                     |
|                          |          | and/or table                    | Artifact Type Code: S               |
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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U. S. Patent Documents

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Application Number: 12/ 316,699

Filing Date: 12/15/2008

Named Inventor: John Lewis Guymon Jr

Art Unit: \_\_\_\_\_

Examiner Name: \_\_\_\_\_

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|                | C030      | 20040128513 | A1           | Jul-04 | Wu, Yen-Hsing ; et al.            |             |
|                | B001      | 20030046274 |              | Mar-03 | Erickson, John S. ; et al.        |             |
|                | C031      | 20020066019 | A1           | May-02 | Amonou, Isabelle ; et al.         |             |
|                | C032      | 20020059144 | A1           | May-02 | Meffert, Gregory J. ; et al.      |             |
|                | A004      | 20010039524 |              | Nov-01 | Harrison, Shelton E. JR. ; et al. |             |
|                | C033      | 20010027459 | A1           | Oct-01 | Royal, Gregory T.                 |             |
|                | A007      | 7454700     |              | Nov-08 | Jones , et al.                    |             |
|                | A008      | 7451392     |              | Nov-08 | Chalecki , et al.                 |             |
|                | C001      | 7451156     |              | Nov-08 | Ornstein , et al.                 |             |
|                | C002      | 7441185     |              | Oct-08 | Coulson , et al.                  |             |
|                | A009      | 7421645     |              | Sep-08 | Reynar                            |             |
|                | C003      | 7418652     |              | Aug-08 | Ornstein , et al.                 |             |
|                | B038      | 7415617     |              | Aug-08 | Ginter                            |             |
|                | A010      | 7409399     |              | Aug-08 | Miyamoto                          |             |
|                | A011      | 7401291     |              | Jul-08 | Ramaley , et al.                  |             |
|                | A012      | 7389420     |              | Jun-08 | Tian                              |             |
|                | A013      | 7386804     |              | Jun-08 | Ho , et al.                       |             |
|                | C004      | 7383502     |              | Jun-08 | Shur , et al.                     |             |
|                | C005      | 7383500     |              | Jun-08 | Shur , et al.                     |             |
|                | C006      | 7373330     |              | May-08 | Klebe                             |             |
|                | A014      | 7370206     |              | May-08 | Goldman                           |             |
|                | A015      | 7370018     |              | May-08 | Bryant, Jr. , et al.              |             |
|                | C007      | 7366982     |              | Apr-08 | Shur , et al.                     |             |
|                | A016      | 7366338     |              | Apr-08 | Jones , et al.                    |             |
|                | A017      | 7360093     |              | Apr-08 | de Queiroz; Ricardo L.            |             |
|                | C008      | 7359902     |              | Apr-08 | Ornstein , et al.                 |             |
|                | C009      | 7356143     |              | Apr-08 | Morten                            |             |
|                | D001      | 7350238     |              | Mar-08 | Abe , et al.                      |             |
|                | D002      | 7350237     |              | Mar-08 | Vogel , et al.                    |             |
|                | A018      | 7343339     |              | Mar-08 | Harrison, Jr. , et al.            |             |
|                | A019      | 7340601     |              | Mar-08 | Wray                              |             |
|                | C010      | 7330974     |              | Feb-08 | Silverbrook , et al.              |             |
|                | C011      | 7330560     |              | Feb-08 | Donescu                           |             |
|                | A020      | 7302467     |              | Nov-07 | Matsuda , et al.                  |             |
|                | C012      | 7299408     |              | Nov-07 | Daconta , et al.                  |             |
|                | C013      | 7296217     |              | Nov-07 | Earnshaw , et al.                 |             |
|                | A021      | 7295679     |              | Nov-07 | Otsuki                            |             |

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|  | C014 | 7295207 |  | Nov-07 | Yoon , et al.          |  |
|  | B005 | 7287284 |  | Oct-07 | Hayashi                |  |
|  | A022 | 7281133 |  | Oct-07 | Ginter , et al.        |  |
|  | A023 | 7277925 |  | Oct-07 | Warnock                |  |
|  | A024 | 7266527 |  | Sep-07 | Martin                 |  |
|  | A025 | 7260585 |  | Aug-07 | Krishnaprasad , et al. |  |
|  | C015 | 7251832 |  | Jul-07 | Venters, III , et al.  |  |
|  | C016 | 7251644 |  | Jul-07 | Viola , et al.         |  |
|  | B002 | 7245740 |  | Jul-07 | Suzaki                 |  |
|  | A026 | 7239717 |  | Jul-07 | Fridrich , et al.      |  |
|  | B003 | 7206432 |  | Apr-07 | Iwamura                |  |
|  | A027 | 7200597 |  | Apr-07 | Grizzard               |  |
|  | C017 | 7199804 |  | Apr-07 | Bhattacharyay , et al. |  |
|  | B004 | 7197156 |  | Mar-07 | Levy                   |  |
|  | A028 | 7191392 |  | Mar-07 | Coar                   |  |
|  | C018 | 7191186 |  | Mar-07 | Pullen                 |  |
|  | C019 | 7181017 |  | Feb-07 | Nagel , et al.         |  |
|  | C020 | 7172122 |  | Feb-07 | Alleshouse             |  |
|  | A029 | 7165174 |  | Jan-07 | Ginter , et al.        |  |
|  | A030 | 7162635 |  | Jan-07 | Bisbee , et al.        |  |
|  | A031 | 7150399 |  | Dec-06 | Barrus , et al.        |  |
|  | A032 | 7149760 |  | Dec-06 | Breuer                 |  |
|  | A033 | 7143290 |  | Nov-06 | Ginter , et al.        |  |
|  | A034 | 7143062 |  | Nov-06 | Turk , et al.          |  |
|  | A035 | 7134020 |  | Nov-06 | Eagle , et al.         |  |
|  | A036 | 7133846 |  | Nov-06 | Ginter , et al.        |  |
|  | B006 | 7130087 |  | Oct-06 | Rhoads                 |  |
|  | B007 | 7114750 |  | Oct-06 | Alasia , et al.        |  |
|  | A037 | 7092910 |  | Aug-06 | Iwamura                |  |
|  | A038 | 7089248 |  | Aug-06 | King , et al.          |  |
|  | B008 | 7088843 |  | Aug-06 | Pelly                  |  |
|  | A039 | 7082216 |  | Jul-06 | Jones , et al.         |  |
|  | A040 | 7051212 |  | May-06 | Ginter , et al.        |  |
|  | A041 | 7051206 |  | May-06 | Giest , et al.         |  |
|  | A042 | 7051205 |  | May-06 | Horiguchi , et al.     |  |
|  | A043 | 7051002 |  | May-06 | Keresman, III , et al. |  |
|  | A044 | 7047419 |  | May-06 | Black                  |  |
|  | A045 | 7047411 |  | May-06 | DeMello , et al.       |  |
|  | A046 | 7047404 |  | May-06 | Doonan , et al.        |  |
|  | A047 | 7044381 |  | May-06 | Silverbrook , et al.   |  |
|  | A048 | 7043456 |  | May-06 | Lindskog , et al.      |  |
|  | A049 | 7043411 |  | May-06 | Ronaldson , et al.     |  |
|  | A050 | 7043025 |  | May-06 | Alldredge              |  |
|  | A051 | 7039812 |  | May-06 | Kawan , et al.         |  |
|  | A052 | 7039805 |  | May-06 | Messing                |  |
|  | D004 | 7039626 |  | May-06 | Hirata                 |  |

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| A053 | 7039616 | May-06 | Wendenburg , et al.   |
| A054 | 7036076 | Apr-06 | Anwar                 |
| A055 | 7036015 | Apr-06 | Vanstone , et al.     |
| A056 | 7035914 | Apr-06 | Payne , et al.        |
| A057 | 7035830 | Apr-06 | Shaikh                |
| A058 | 7035817 | Apr-06 | Brothers              |
| A059 | 7035409 | Apr-06 | Moskowitz             |
| A060 | 7032224 | Apr-06 | Kadakia , et al.      |
| D005 | 7032030 | Apr-06 | Codignotto            |
| A061 | 7031943 | Apr-06 | James , et al.        |
| A062 | 7028191 | Apr-06 | Michener , et al.     |
| A063 | 7028189 | Apr-06 | Mihcak , et al.       |
| A064 | 7028187 | Apr-06 | Rosen                 |
| A065 | 7028184 | Apr-06 | Hind , et al.         |
| A066 | 7028182 | Apr-06 | Killcommons           |
| A067 | 7028180 | Apr-06 | Aull , et al.         |
| A068 | 7025276 | Apr-06 | Lapstun , et al.      |
| A069 | 7024390 | Apr-06 | Mori , et al.         |
| A070 | 7021534 | Apr-06 | Kiliccote             |
| D006 | 7020839 | Mar-06 | Hosoda                |
| A071 | 7020781 | Mar-06 | Saw , et al.          |
| A072 | 7020777 | Mar-06 | Mihcak , et al.       |
| A073 | 7020645 | Mar-06 | Bisbee , et al.       |
| A074 | 7020640 | Mar-06 | Zucchetti             |
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| A077 | 7016495 | Mar-06 | Scheidt , et al.      |
| A078 | 7013286 | Mar-06 | Aggarwal , et al.     |
| D007 | 7013041 | Mar-06 | Miyamoto              |
| A079 | 7012710 | Mar-06 | Lapstun , et al.      |
| A080 | 7010697 | Mar-06 | Byrne , et al.        |
| D008 | 7010570 | Mar-06 | Boies , et al.        |
| D009 | 7007076 | Feb-06 | Hess , et al.         |
| A081 | 7006656 | Feb-06 | Fridrich , et al.     |
| D010 | 7006243 | Feb-06 | Simpson , et al.      |
| A082 | 7003166 | Feb-06 | Abhyankar , et al.    |
| A083 | 7003132 | Feb-06 | Rhoads                |
| A084 | 7000186 | Feb-06 | Gropper , et al.      |
| A085 | 7000110 | Feb-06 | Terao                 |
| A086 | 7000105 | Feb-06 | Tallent, Jr. , et al. |
| A087 | 6999936 | Feb-06 | Sehr                  |
| A088 | 6996723 | Feb-06 | Kyojima , et al.      |
| A089 | 6993656 | Jan-06 | Peyravian , et al.    |
| A090 | 6993655 | Jan-06 | Hecht , et al.        |
| A091 | 6993153 | Jan-06 | Bradley               |
| A092 | 6991174 | Jan-06 | Zuili                 |

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| A093 | 6991154 | Jan-06 | Silverbrook , et al.  |
| A094 | 6991153 | Jan-06 | Silverbrook , et al.  |
| A095 | 6990684 | Jan-06 | Futamura , et al.     |
| A096 | 6990504 | Jan-06 | Powell , et al.       |
| A097 | 6990480 | Jan-06 | Burt                  |
| A098 | 6988279 | Jan-06 | Kanevsky              |
| A099 | 6988199 | Jan-06 | Toh , et al.          |
| A100 | 6985888 | Jan-06 | Cordery , et al.      |
| A101 | 6985886 | Jan-06 | Broadbent , et al.    |
| A102 | 6985589 | Jan-06 | Morley , et al.       |
| A103 | 6983309 | Jan-06 | Yoshizawa             |
| A104 | 6982625 | Jan-06 | Chainer , et al.      |
| A105 | 6981151 | Dec-05 | Groeneveld , et al.   |
| A106 | 6978366 | Dec-05 | Ignatchenko , et al.  |
| A107 | 6976172 | Dec-05 | Girard                |
| A108 | 6976165 | Dec-05 | Carpentier , et al.   |
| A109 | 6975992 | Dec-05 | Tucker , et al.       |
| A110 | 6975915 | Dec-05 | Robitaille , et al.   |
| A111 | 6975746 | Dec-05 | Davis , et al.        |
| A112 | 6975733 | Dec-05 | Choi , et al.         |
| A113 | 6973646 | Dec-05 | Bordawekar , et al.   |
| A114 | 6973444 | Dec-05 | Blinn , et al.        |
| D011 | 6972763 | Dec-05 | Millett , et al.      |
| A115 | 6970836 | Nov-05 | Paltenghe , et al.    |
| A116 | 6968455 | Nov-05 | Okayasu , et al.      |
| A117 | 6968319 | Nov-05 | Remington , et al.    |
| A118 | 6968058 | Nov-05 | Kondoh , et al.       |
| A119 | 6966048 | Nov-05 | Bowers                |
| A120 | 6965904 | Nov-05 | Bankert , et al.      |
| A121 | 6961902 | Nov-05 | Anecki , et al.       |
| A122 | 6961854 | Nov-05 | Serret-Avila , et al. |
| B009 | 6961441 | Nov-05 | Hershey , et al.      |
| B010 | 6959100 | Oct-05 | Rhoads                |
| A123 | 6954542 | Oct-05 | Miyake , et al.       |
| D012 | 6947162 | Sep-05 | Rosenberg , et al.    |
| A124 | 6940995 | Sep-05 | Choi , et al.         |
| D013 | 6940870 | Sep-05 | Hamlin                |
| D014 | 6925597 | Aug-05 | Anwar                 |
| A125 | 6918082 | Jul-05 | Gross , et al.        |
| B011 | 6915433 | Jul-05 | Barber                |
| D015 | 6912462 | Jul-05 | Ogaki                 |
| D016 | 6910068 | Jun-05 | Zintel , et al.       |
| C021 | 6908034 | Jun-05 | Alleshouse            |
| D017 | 6904454 | Jun-05 | Stickler              |
| D018 | 6904176 | Jun-05 | Chui , et al.         |
| D019 | 6900905 | May-05 | Simpson , et al.      |

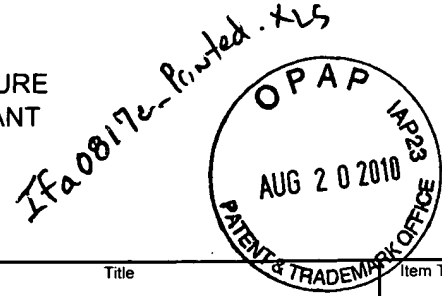
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| A126 | 6892947 | May-05 | Jam , et al.      |
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| A129 | 6834209 | Dec-04 | Potz , et al.     |
| B015 | 6826291 | Nov-04 | Yoshiura , et al. |
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| A130 | 6748380 | Jun-04 | Poole , et al.    |
| B018 | 6748362 | Jun-04 | Meyer , et al.    |
| B019 | 6731775 | May-04 | Ancin             |
| D003 | 6717573 | Apr-04 | Shahoian , et al. |
| A131 | 6714202 | Mar-04 | Dorrell           |
| B020 | 6678390 | Jan-04 | Honsinger         |
| B021 | 6678389 | Jan-04 | Sun , et al.      |
| A132 | 6658568 | Dec-03 | Ginter , et al.   |
| C022 | 6643383 | Nov-03 | Dugelay           |
| C023 | 6616702 | Sep-03 | Tonkin            |
| A133 | 6535981 | Mar-03 | Shimizu           |
| A134 | 6532476 | Mar-03 | King              |
| C024 | 6507858 | Jan-03 | Kanerva , et al.  |
| D020 | 6469692 | Oct-02 | Rosenberg         |
| A135 | 6456726 | Sep-02 | Yu , et al.       |
| A136 | 6418448 | Jul-02 | Sarkar            |
| A137 | 6367013 | Apr-02 | Bisbee , et al.   |
| B022 | 6354630 | Mar-02 | Zhang , et al.    |
| B023 | 6343138 | Jan-02 | Rhoads            |
| C025 | 6342954 | Jan-02 | Hisatomi , et al. |
| B024 | 6334187 | Dec-01 | Kadono            |
| B025 | 6307950 | Oct-01 | Powell , et al.   |
| B026 | 6278791 | Aug-01 | Honsinger         |
| A138 | 6246998 | Jun-01 | Matsumori         |
| A139 | 6237096 | May-01 | Bisbee , et al.   |
| A140 | 6209095 | Mar-01 | Anderson , et al. |
| A141 | 6192138 | Feb-01 | Yamadaji          |
| C026 | 6138119 | Oct-00 | Hall , et al.     |
| A142 | 6112185 | Aug-00 | Walker , et al.   |
| A143 | 6065026 | May-00 | Cornelia , et al. |
| B027 | 6055321 | Apr-00 | Numao , et al.    |
| A144 | 6047374 | Apr-00 | Barton; James M.  |
| B028 | 6031914 | Feb-00 | Tewfik , et al.   |
| A145 | 6006328 | Dec-99 | Drake             |
| B029 | 6005643 | Dec-99 | Morimoto , et al. |
| A146 | 5960411 | Sep-99 | Hartman , et al.  |

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|--|------|---------|--|--------|---------------------|--|
|  | B030 | 5946414 |  | Aug-99 | Cass , et al.       |  |
|  | B031 | 5894558 |  | Apr-99 | Falker              |  |
|  | A147 | 5887130 |  | Mar-99 | Doi , et al.        |  |
|  | A148 | 5884272 |  | Mar-99 | Walker , et al.     |  |
|  | B032 | 5870499 |  | Feb-99 | Bender , et al.     |  |
|  | B033 | 5859920 |  | Jan-99 | Daly , et al.       |  |
|  | A149 | 5855008 |  | Dec-98 | Goldhaber , et al.  |  |
|  | A150 | 5845265 |  | Dec-98 | Woolston            |  |
|  | B034 | 5822436 |  | Oct-98 | Rhoads              |  |
|  | A151 | 5806072 |  | Sep-98 | Kuba , et al.       |  |
|  | A152 | 5794207 |  | Aug-98 | Walker , et al.     |  |
|  | C027 | 5778372 |  | Jul-98 | Cordell , et al.    |  |
|  | A153 | 5774870 |  | Jun-98 | Storey              |  |
|  | A154 | 5748738 |  | May-98 | Bisbee , et al.     |  |
|  | B035 | 5689587 |  | Nov-97 | Bender , et al.     |  |
|  | A155 | 5668945 |  | Sep-97 | Ohba , et al.       |  |
|  | A156 | 5619571 |  | Apr-97 | Sandstrom , et al.  |  |
|  | A157 | 5615268 |  | Mar-97 | Bisbee , et al.     |  |
|  | A158 | 5375197 |  | Dec-94 | Kang                |  |
|  | B036 | 5149140 |  | Sep-92 | Mowry, Jr. , et al. |  |
|  | A159 | 5022080 |  | Jun-91 | Durst , et al.      |  |
|  | A160 | 5016009 |  | May-91 | Whiting , et al.    |  |
|  | B037 | 4790566 |  | Dec-88 | Boissier , et al.   |  |
|  | A161 | 4751740 |  | Jun-88 | Wright              |  |
|  | A162 | 4701745 |  | Oct-77 | Waterworth          |  |
|  | A163 | 4631603 |  | Dec-86 | Ryan                |  |
|  | A164 | 4264808 |  | Apr-81 | Owens , et al.      |  |

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|-----------|--------|----------------------|----------------------------------------------------------------------------------------|-----------------------------------------|-----------|------------------|---------------|--------------------------------------------|-------------------|-------------------|----|
|           | F220   |                      | 7 Steps to Understanding XMP Metadata                                                  |                                         | 22-Jun-08 |                  |               |                                            | 1-Jan-04          |                   |    |
|           | J031   | LEVIN, REZNITZK      | A Better Approach to PKI Based Digital Signatures                                      |                                         | 10-Mar-06 | entire; esp P5   |               | Algorithmic Research                       |                   |                   |    |
|           | F062   | JOHN S. ERICKS       | A Digital Object Approach to Interoperable Rights Management                           |                                         | 10-Oct-08 |                  | Volume 7      | D-Lib Magazin                              | 1-Jun-01          |                   |    |
|           | J013   | Paul Michael Zank    | A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY JOURNALS                     |                                         | 4-Nov-05  | entire           |               | Topaz System                               | 1-Jan-04          |                   |    |
|           | E007   | BRENDA COULSON       | A how-to guide for supporting digital signatures within SOAP messages                  | Website Article                         | 6-Nov-05  | All              | NA            | Brenda Coulson                             |                   |                   |    |
|           | J092   |                      | A Manager's Introduction to Adobe eXtensible Metadata Platform, The Adobe XML Metadata |                                         | 22-Jun-08 |                  |               |                                            |                   |                   |    |
|           | A020   | NATIONAL NOTARY      | A Position On Digital Signature Laws And Notarization                                  | A Position Statement                    | 14-Sep-04 | 8 of 8           | N/A           | National Notary                            | 1-Sep-01          | Chatsworth        |    |
|           | A019   | NATIONAL NOTARY      | A Position on Misleading Usage of Notary Terms in the Electronic Age                   | A Position Statement                    | 19-Mar-06 | 7 of 7           | N/A           | National Notary                            | 1-Sep-01          | Chatsworth        |    |
|           | A018   | MILTON G. VALE       | A Presentation To The MULTI STATE DIGITAL SIGNATURE SUMMIT                             | Presentation                            | 19-Mar-06 | 4 of 4           | N/A           | National Notary                            | 11-Aug-00         | Chatsworth        |    |
|           | J030   |                      | About digital signatures                                                               |                                         | 10-Mar-06 |                  |               | Algorithmic Research                       |                   |                   |    |
|           | J050   |                      | Achieving Document Integrity in Today's Practice                                       |                                         | 26-Mar-06 |                  |               |                                            |                   |                   |    |
|           | F228   |                      | Adding intelligence to media                                                           |                                         | 22-Jun-08 |                  |               |                                            |                   |                   |    |
|           | F103   |                      | Adobe - Creative Suite 3 Web Site Design, Graphic Design, Video Editing, Photo Editing |                                         | 22-Jul-08 |                  |               |                                            |                   |                   |    |
|           | F018   |                      | Adobe Acrobat                                                                          | Wikipedia                               | 22-Jun-08 |                  |               |                                            |                   |                   |    |
|           | F102   |                      | Adobe Streamlines Workflows for Publishers with New Metadata Technology                |                                         | 25-Jun-08 |                  |               | Adobe                                      | 24-Sep-01         | San Jose          |    |
|           | F275   |                      | Adobe turns to suppliers to put InDesign in front of publishers                        |                                         | 22-Jun-08 |                  |               |                                            |                   |                   |    |
|           | J082   | ADOBE                | Adobe XMP for Creative Professionals                                                   | downloaded                              | 21-Jun-08 | entire; esp P1p2 |               | Adobe                                      |                   |                   |    |
|           | F232   | JOHN FONTANA         | All eyes on how Microsoft pulls off ODF support                                        |                                         | 24-Jun-08 |                  |               |                                            | 22-May-08         |                   |    |
|           | D006   |                      | An Introduction To The Oasis LegalXML Electronic Court Filing 3.0 Specification        |                                         | 12-Nov-05 |                  |               |                                            | 12-Nov-05         |                   |    |
|           | F703   | ED SIMON, PAUL       | An Introduction to XML Digital Signatures                                              | Web Article                             | 15-Oct-05 |                  |               | Xml.com                                    | 8-Aug-01          |                   |    |
|           | F363   | JIM BEARD            | An Open-Source System for Electronic Court Filing                                      |                                         | 14-Oct-07 |                  |               | Industry News                              |                   |                   |    |
|           | U008   | Tim Berners-Lee      | Analysing PICS labels as Metadata                                                      |                                         | 30-Mar-09 |                  |               |                                            | 15-Jan-07         |                   |    |
|           | F233   |                      | Anti machine                                                                           |                                         | 14-Jun-08 |                  |               |                                            |                   |                   |    |
|           | J076   |                      | Approved Providers Guidelines for Electronic Bond Submission                           |                                         | 9-Dec-07  |                  |               | State of Maryland                          | 1-May-04          |                   |    |
|           | J027   |                      | AR's E-Signature Solution For Enabling E-Invoicing                                     |                                         | 10-Mar-06 |                  |               | Algorithmic Research                       |                   |                   |    |
|           | J112   |                      | Architecting the Infrastructure for SOA and XML                                        |                                         | 4-Jul-08  |                  |               |                                            |                   |                   |    |
|           | A004   | JOHN R. LEWIS        | ASP File Upload using VBScript                                                         | Webpage                                 | 15-May-02 | 6 of 6           | N/A           | aspzone.com                                | 10-Jul-00         | UNKNOW            |    |
|           | M001   |                      | AspEncrypt 2.0                                                                         |                                         | 19-Sep-03 |                  |               |                                            |                   |                   |    |
|           | C013   |                      | Authentication of Notarizations                                                        |                                         |           |                  |               |                                            |                   |                   |    |
|           | F132   |                      | Base64                                                                                 | Wikipedia                               | 2-Jul-08  |                  |               |                                            |                   |                   |    |
|           | F660   | Scott Howlett, Jeff  | Beyond ASP: XML and XSL-based Solutions Simplify Your Data Presentation Layer          |                                         | 15-Oct-05 |                  | Nov-00        | MSDN                                       | 1-Nov-00          |                   |    |
|           | J047   |                      | BioAPI Architecture                                                                    |                                         | 23-Mar-06 |                  |               |                                            |                   |                   |    |
|           | J041   |                      | Biometric Solutions to Personal Identification                                         |                                         | 22-Mar-06 |                  |               |                                            |                   |                   |    |
|           | F041   |                      | BMP file format                                                                        | Wikipedia                               | 18-Aug-08 |                  |               |                                            |                   |                   |    |
|           | F235   | MIKE WALKER          | Building Sustainable Banking Architectures                                             |                                         | 21-Jun-08 |                  |               | Microsoft                                  | 1-Mar-07          |                   |    |
|           | J052   |                      | Business-Centric Methodology Specification v1.0                                        |                                         | 2-Apr-06  |                  |               |                                            |                   |                   |    |
|           | F164   |                      | CALIFORNIA CODES                                                                       |                                         | 13-Mar-05 |                  |               |                                            |                   |                   |    |
|           | P021   | John Boyer           | Canonical XML                                                                          | W3C Recommendation                      | 30-Mar-06 |                  |               |                                            | 15-Mar-01         |                   |    |
|           | M021   |                      | Canonical XML Version 1.0                                                              |                                         | 30-Mar-06 |                  |               |                                            |                   |                   |    |
|           | F237   |                      | Captaris Solutions for Financial Services                                              |                                         | 24-Jun-08 |                  |               |                                            |                   |                   |    |
|           | J016   | TOPAZ SYSTEMS        | Capture the Benefits of eSignatures                                                    |                                         | 4-Nov-05  | entire           | V2.0          | Topaz System                               | 10-Mar-04         |                   |    |
|           | M006   |                      | Checklist for Internet-Drafts (IDs) submitted for RFC publication                      |                                         | 4-Feb-06  |                  |               |                                            |                   |                   |    |
|           | J096   |                      | Chunk Registration Request for Enabling the PNG Standard to Support Digital Signatures |                                         | 24-Jun-08 |                  |               |                                            |                   |                   |    |
|           | N007   |                      | Color in JPEG 2000                                                                     |                                         | 22-Jul-08 |                  |               |                                            |                   |                   |    |
|           | A007   | AMY DUFREE WEBER     | Colorado Implements Level 3 Electronic Recording                                       | Article of the Court                    | 3-Nov-04  | 1 of 1           | N/A           | State of Colorado                          |                   | State of Colorado |    |
|           | F640   |                      | Comparison of Federal E-Signature Act and UETA on Key Consumer Provisions              |                                         | 21-Sep-04 |                  |               |                                            |                   |                   |    |
|           | P018   |                      | Compound Document by Reference Framework 1.0                                           |                                         |           |                  | V1.0          |                                            | 18-Jul-07         |                   |    |
|           | J023   | ALGORITHMIC RESEARCH | CoSign assists Pharmaceutical companies to comply with 21 CFR, Part 11                 |                                         | 10-Mar-06 | entire           |               | Algorithmic Research                       |                   |                   |    |
|           | F002   |                      | Cover Pages Web Services Interoperability Organization (WS-I).htm                      |                                         | 4-Nov-08  |                  |               |                                            |                   |                   |    |
|           | F133   | BART PRENEEL         | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                                              |                                         | 1-Jul-08  |                  |               | ESAT-COSIC Laboratory                      |                   |                   |    |
|           | M025   |                      | Cryptographic Message Syntax (CMS)                                                     |                                         | 8-Apr-06  |                  |               |                                            |                   |                   |    |
|           | F661   | PROF. G.R. KULKARNI  | Cryptography Demystified                                                               | www.efymag.com                          | 20-Oct-05 |                  | 110 June 2005 |                                            |                   |                   |    |
|           | A044   | MATTEO DELL'ONORE    | Cryptography, PGP and Pine                                                             | Web Article                             | 9-Jan-01  | 5 of 5           | N/A           | Matteo Dell'Onore                          | 1-Jan-00          | UNKNOW            |    |
|           | A022   | DIMITRIOS LEKAKIS    | Cumulative Notarization for Long-term Preservation of Digital Signatures               | Article                                 | 17-Mar-06 | entire; esp P1   | N/A           | Dept of Informatics                        | 3-Aug-05          | Athens, Greece    |    |
|           | F072   | DANIEL J. WEITZ      | Current Patent Practice                                                                | W3C Note                                | 28-Oct-08 |                  |               |                                            | 24-Jan-02         |                   |    |
|           | B002   | GREG ROELOFS         | Current Status of PNG                                                                  | http://www.libpng.org/pub/png/spec/1.0/ | 28-Apr-08 | 4 of 4           | NA            | Greg Roelofs                               | 21-Oct-06         | NA                |    |
|           | J057   |                      | cXML User's Guide                                                                      |                                         | 8-Apr-06  |                  |               |                                            |                   |                   |    |
|           | F239   | YVES LAFON, BENJAMIN | Describing and retrieving photos using RDF and HTTP                                    | W3C Note                                | 22-Jun-08 |                  |               |                                            | 19-Apr-02         |                   |    |
|           | J071   |                      | Developing the Justice Information Exchange Model                                      |                                         | 14-Oct-07 |                  |               |                                            |                   |                   |    |
|           | F107   | **Internet           | DIG35: Metadata Standard for Digital Images                                            | downloaded                              | 22-Jul-08 |                  |               |                                            | 10-Jun-02         |                   |    |
|           | A050   | DIGISTAMP, INC.      | DigiStamp - The Trusted Third-Party Time Authority                                     | Web Article                             | 15-May-02 | 9 of 9           | N/A           | DigiStamp, Inc                             | 1-Jan-01          | UNKNOW            |    |
|           | D030   | INFORMATION SERVICES | Digital Signature Guidelines                                                           | Article                                 | 1-Aug-96  | 1 of 1           | NA            | American Bar Association                   | 1-Aug-96          |                   |    |
|           | J049   |                      | Digital Signature in a Web Application                                                 |                                         | 25-Mar-06 |                  |               |                                            |                   |                   |    |
|           | V002   |                      | Digital Signature Initiative                                                           |                                         | 30-Mar-09 |                  |               |                                            |                   |                   |    |
|           | P019   |                      | DIGITAL SIGNATURE STANDARD (DSS)                                                       |                                         |           |                  |               |                                            | 27-Jan-00         |                   |    |
|           | B012   | AKI KANIEL           | Digital Signature Tutorial                                                             | TBD                                     | 4-Mar-06  | TBD              | NA            | TBD                                        |                   | NA                |    |
|           | J022   | ALGORITHMIC RESEARCH | Digital Signatures at The Point of Sale                                                |                                         | 10-Mar-06 | entire           |               | Algorithmic Research                       |                   |                   |    |
|           | J028   | ALGORITHMIC RESEARCH | Digital Signatures for Content & Document-Management Systems                           |                                         | 10-Mar-06 | entire           |               | Algorithmic Research                       |                   |                   |    |
|           | J026   | MOTTY ALON           | Digital Signatures in a Document-Intensive Organization                                |                                         | 10-Mar-06 | entire; P4, P5   |               | Algorithmic Research                       | 1-Jul-03          |                   |    |
|           | J025   |                      | Digital signatures in Insurance                                                        |                                         | 10-Mar-06 |                  |               | Algorithmic Research                       |                   |                   |    |
|           | F056   | THOMAS J. SMOLEK     | DIGITAL SIGNATURES: The Key to Secure Internet Commerce                                |                                         | 21-Sep-04 |                  |               | National Conference on Electronic Commerce | 23-Jul-98         |                   |    |
|           | J029   | ALGORITHMIC RESEARCH | Digitally Signing MS-Word and Adobe Acrobat Documents with CoSignTM                    |                                         | 10-Mar-06 | entire           |               | Algorithmic Research                       |                   |                   |    |
|           | F063   |                      | DIS-29500: Deprecated before use?                                                      |                                         | 17-Nov-08 |                  |               |                                            |                   |                   |    |
|           | J107   |                      | Document Process Management The Case for an Integrated Lifecycle Approach              |                                         | 4-Jul-08  |                  |               |                                            |                   |                   |    |
|           | M008   |                      | Donald Eastlake on XML digital signatures                                              |                                         | 4-Feb-06  |                  |               |                                            |                   |                   |    |



|      |                     |                                                                                         |                                     |           |             |               |                                |  |            |
|------|---------------------|-----------------------------------------------------------------------------------------|-------------------------------------|-----------|-------------|---------------|--------------------------------|--|------------|
| J077 |                     | JANUS: An interactive document formatter based on declarative tags                      |                                     | 16-May-08 |             |               |                                |  | 1-Jan-85   |
| F045 |                     | JPEG                                                                                    | Wikipedia                           | 18-Aug-08 |             |               |                                |  |            |
| F046 |                     | JPEG 2000                                                                               | Wikipedia                           | 18-Aug-08 |             |               |                                |  |            |
| N006 |                     | JPEG 2000 & JP2                                                                         |                                     | 22-Jul-08 |             |               |                                |  |            |
| J117 |                     | JPEG 2000 Offers New Opportunities to Enrich Image Content & Applications Flexibility   |                                     | 22-Jul-08 |             |               |                                |  |            |
| P095 |                     | JPEG Freely available source code for JPEG                                              |                                     | 19-Feb-03 |             |               |                                |  |            |
| F047 |                     | JPEG Network Graphics                                                                   | Wikipedia                           | 18-Aug-08 |             |               |                                |  |            |
| U001 |                     | Jpeg Source jpegsr6b.zip                                                                |                                     | 16-Nov-08 |             |               |                                |  | 14-Sep-98  |
| J062 |                     | Justice Information Sharing and the Courts: Courts implementing the GJXDM               |                                     | 21-Apr-06 |             |               |                                |  |            |
| U004 | Michael R. Genes    | Knowledge Interchange Format                                                            |                                     | 30-Mar-09 |             |               |                                |  |            |
| M007 |                     | Lab 10: Digital Signatures in InfoPath 2003                                             |                                     | 4-Feb-06  |             |               |                                |  |            |
| F638 |                     | Laws-2003 Electronic Commerce Legislation                                               |                                     | 21-Sep-04 |             |               |                                |  |            |
| F639 |                     | Laws-2003 Electronic Signatures and UETA Legislation                                    |                                     | 21-Sep-04 |             |               |                                |  |            |
| E014 | OASIS COMMITTEE     | LegalXML Electronic Court Filing 3.0                                                    | Committee Draft                     | 15-Nov-05 | All         | NA            | OASIS                          |  | 15-Nov-05  |
| F031 |                     | libmng - The MNG reference library & related info                                       |                                     | 19-Feb-03 |             |               |                                |  |            |
| F733 |                     | libpng 1.2.5 manual.htm                                                                 |                                     | 8-May-06  |             |               |                                |  |            |
| F367 |                     | libxml2 Reference Manual                                                                |                                     | 12-Sep-05 |             |               |                                |  |            |
| A026 | YUICHI SUZUKI       | Long term electronic signature os documents retention                                   | Powerpoint Presentation             | some 2004 | 10 of 10    | N/A           | Yuichi Suzuki                  |  | 1-Jan-04   |
| F276 |                     | Luxtrust: which trust ?                                                                 |                                     | 24-Jun-08 |             |               |                                |  |            |
| J001 |                     | LZS221-C Version 6 Data Compression Software                                            |                                     | 9-Feb-98  |             |               |                                |  |            |
| U003 | Tim Berners-Lee     | Metadata Architecture                                                                   |                                     | 30-Mar-09 |             |               |                                |  | 6-Jan-97   |
| P025 |                     | Metadata: a simple guide to describing pictures for use in online image libraries       | Whitepaper                          | 26-Mar-09 |             |               |                                |  | 9-Feb-06   |
| M023 |                     | Microsoft XML Core Services (MSXML)                                                     |                                     | 30-Mar-06 |             |               |                                |  |            |
| Y004 |                     | Model-driven compound document development                                              |                                     |           |             |               |                                |  |            |
| A005 | JON UDELL           | Modeling biz docs in XML                                                                | Webpage                             | 15-Oct-05 | 1 of 1      | N/A           | Jon Udell                      |  | 29-Nov-02  |
| F048 | GREG ROELOFS        | Multiple-image Network Graphics                                                         | Wikipedia                           | 30-Jun-08 |             |               | http://www.libpng.org/pub/mng/ |  |            |
| P020 |                     | Multipurpose Internet Mail Extensions                                                   |                                     |           |             |               |                                |  | 1-Nov-96   |
| E010 | W3C                 | Namespaces in XML                                                                       | World Wide Web Consortium           | 23-Mar-06 | All         | NA            | W3C                            |  | 14-Jan-99  |
| F205 |                     | National Notary Association and Safedocs Provide E-Notarization Solution for E-Mortgage |                                     | 7-Jun-05  |             |               |                                |  |            |
| J061 |                     | NCSC Component Library Executive Summary                                                |                                     | 21-Apr-06 |             |               |                                |  |            |
| G009 | WILLIAM A. ANDERSON | New California Jurat Law Creates Confusion                                              |                                     | 21-Jun-08 |             |               |                                |  | 23-Feb-05  |
| F034 |                     | NEW JERSEY NOTARY PUBLIC MANUAL                                                         |                                     | 21-Jun-08 |             |               | State of New Jersey            |  | 21-Mar-03  |
| Q006 |                     | Newest Version of PRISM is Now Ready for Comment                                        |                                     | 23-Feb-09 |             |               |                                |  | 20-Aug-04  |
| F111 |                     | NewsML-G2                                                                               |                                     | 5-Jul-08  |             |               |                                |  |            |
| F074 |                     | Next-Generation Secure Computing Base                                                   | Wikipedia                           | 31-Oct-08 |             |               |                                |  |            |
| B030 | BARBARA BOWE        | No Sure Thing                                                                           | Best's Review                       | TBD       | 4 of 4      | February 2004 | A. M. Best Co.                 |  | 1-Feb-04   |
| A012 | LAURENCE LEFF       | Notaries and Electronic Notarization                                                    | Resource Page                       | 4-Mar-06  | 6 of 6      | N/A           | OASIS Open                     |  | 1-Jan-02   |
| A030 | MANOJ SRIVASTAVA    | Notarized Digital Signature                                                             | Internet Article                    | 6-Jun-05  | entire      | N/A           | Manoj Srivastava               |  | 22-Oct-02  |
| M015 |                     | Notary Online Library » NNA Position Papers                                             |                                     | 19-Mar-06 |             |               |                                |  | NA         |
| F033 |                     | Notary public                                                                           | Wikipedia                           | 21-Jun-08 |             |               |                                |  |            |
| J067 |                     | OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT         |                                     | 8-Sep-07  |             |               |                                |  |            |
| J075 |                     | OASIS LegalXML Member Section Electronic Court Filing Technical Committee Electronic    |                                     | 14-Oct-07 |             |               |                                |  |            |
| F113 |                     | ONJava.com - XML to PDF Oh, FOP It.htm                                                  |                                     | 22-Jul-08 |             |               |                                |  |            |
| F263 |                     | Open source tool released for translating between Microsoft, ODF file formats           |                                     | 24-Jun-08 |             |               |                                |  |            |
| D033 |                     | Open XML Court Interface                                                                | Website Article                     | 16-Nov-04 |             | NA            |                                |  | 16-Nov-04  |
| J094 | REUTER              | OpenDocument meta data                                                                  |                                     | 22-Jun-08 |             |               | Sun                            |  |            |
| M009 |                     | openssl                                                                                 |                                     | 4-Feb-06  |             |               |                                |  |            |
| B031 | CS.TORONTO.EDU      | optiPNG - Revision History                                                              | http://www.cs.toronto.edu/~optipng/ | 2-Apr-06  | 3 of 3      | NA            | www.cs.toronto.edu             |  | Toronto, O |
| F118 | MICHAEL K SMIT      | OWL Web Ontology Language Guide                                                         | W3C Recomm                          | 22-Jul-08 |             |               |                                |  | 10-Feb-04  |
| F119 | Tim Berners-Lee     | Platform for Internet Content Selection (PICS)                                          | W3C                                 | 22-Jul-08 |             |               |                                |  | 15-Jan-07  |
| P017 |                     | PNG (Portable Network Graphics) Specification Version 1.0                               | W3C Recommendation                  |           |             | V1.0          |                                |  | 1-Oct-96   |
| F734 |                     | PNG Alpha Transparency Page 2                                                           |                                     | 9-May-05  |             |               |                                |  |            |
| F735 |                     | PNG Alpha Transparency Page.htm                                                         |                                     | 9-May-05  |             |               |                                |  |            |
| K001 |                     | PNG ALPHAIMAGELOADER                                                                    | DIR                                 | 19-May-03 |             |               |                                |  |            |
| K002 |                     | PNG Behavior                                                                            |                                     | 19-May-03 |             |               |                                |  |            |
| K005 |                     | PNG Behavior (WebFX).htm                                                                |                                     | 19-May-03 |             |               |                                |  |            |
| K007 |                     | PNG Behavior Demo (WebFX)2.htm                                                          |                                     | 19-May-03 |             |               |                                |  |            |
| F151 | THOMAS KOPP         | PNG Digital Signatures                                                                  | Extension Specification             | 16-May-08 |             |               |                                |  |            |
| J079 | BOBLET, KOPP        | PNG Digital Signatures Commented Example                                                |                                     | 16-May-08 | entire      |               | Dialogika GmbH & LuxTrust S.A. |  |            |
| J078 | KOPP                | PNG Digital Signatures Extension Specification                                          |                                     | 16-May-08 | entire, esp | Sec5.5.1.2    | Dialogika GmbH & LuxTrust S.A. |  |            |
| E018 |                     | PNG Frequently Asked Questions                                                          |                                     | 28-Apr-08 |             |               |                                |  |            |
| U010 |                     | PNG Group Email correspondence                                                          | Email cooresp                       | 16-May-08 |             |               |                                |  | 1-Sep-01   |
| K003 | DIR                 | PNG Inline Tests (1)                                                                    |                                     | 19-May-03 |             |               |                                |  |            |
| F736 |                     | PNG Resources of the Miscellaneous Persuasion.htm                                       |                                     | 9-May-05  |             |               |                                |  |            |
| F737 |                     | PNG The Definitive Guide (Online Version).htm                                           |                                     | 8-May-05  |             |               | ISBN 1-56 O'Reilly             |  |            |
| C018 | LARRY SELTZER       | PNG Transparency in Internet Explorer                                                   |                                     | 1-Jan-05  |             |               |                                |  | 5-Oct-04   |
| B003 | GREG ROELOFS        | PNG: The Definitive Guide                                                               | http://www.libp                     | 28-Apr-08 | 3 of 3      | NA            | Greg Roelofs                   |  | 30-Dec-06  |
| F001 |                     | png-list 200109.htm                                                                     | Email cooresp                       | 17-May-08 |             |               |                                |  |            |
| K009 |                     | PNGSetup and System Administration.htm                                                  |                                     | 16-May-03 |             |               |                                |  |            |
| F005 |                     | Portable Document Format                                                                | Wikipedia                           | 7-Oct-05  |             |               |                                |  |            |
| F006 |                     | Portable Network Graphics                                                               | Wikipedia                           | 27-Aug-08 |             |               |                                |  | 10-Nov-03  |
| F361 |                     | Portable Network Graphics (PNG) Specification (Second Edition)                          | W3C Recomm                          | 7-Jan-08  |             | V2.0          |                                |  | 10-Nov-03  |
| F390 | KENT DAVIDSON       | Position Paper on Signed XML                                                            |                                     | 4-Feb-05  |             |               |                                |  |            |
| F071 |                     | Preview - SIIA Information Industry Summit 2007.htm                                     |                                     | 10-Oct-08 |             |               |                                |  |            |
| F061 |                     | Publicly Available Standards.htm                                                        |                                     | 4-Nov-08  |             |               |                                |  |            |
| Q004 |                     | Publishing Requirements for Industry Standard Metadata (PRISM)                          |                                     |           |             |               |                                |  | 8-Oct-00   |
| F429 | JOSEPH REAGLE       | Question: The status/design of XML Signatures and Encryption                            |                                     | 6-Nov-05  |             |               |                                |  | 25-May-01  |
| F266 | DAN BRICKLEY        | RDF for self-describing images                                                          |                                     | 25-Jun-08 |             |               |                                |  | 23-Jan-01  |

|      |                     |                                                                                         |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
|------|---------------------|-----------------------------------------------------------------------------------------|-----------------|--------------------|-----------|--------------|-----|--|--|--|--|--|--------------------------------------|--|--|--|--|--|--|----------------------|
| U009 | Dave Beckett        | RDF PNG Icon                                                                            |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 19-Apr-01            |
| F267 |                     | RDF Primer                                                                              |                 | W3C Recomm         |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| P015 | Ben Adida, et al.   | RDFa in XHTML: Syntax and Processing                                                    |                 | W3C Recomm         |           |              |     |  |  |  |  |  | W3C                                  |  |  |  |  |  |  | 14-Oct-08            |
| F729 |                     | readme.html                                                                             |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A025 | A. SCHMIDT; FRA     | ReadNotify Email Notary and Timestamping service                                        | Article         |                    | 7-Jun-05  | 3 of 3       | N/A |  |  |  |  |  | http://www.readnotify.com/rea        |  |  |  |  |  |  | UNKNOWN              |
| F329 | Manoj K. Srivastava | Regulatory Compliance Using Digital Signature                                           |                 |                    | 4-Nov-05  |              |     |  |  |  |  |  | US Army                              |  |  |  |  |  |  | 27-Jun-05            |
| F731 |                     | RelayHealth - Secure Online Communications Between Doctors and Patients.htm             |                 |                    | 10-May-06 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A017 | NATIONAL NOTAR      | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Florida                     | Report from th  |                    | Late 03   | 11 of 11     | N/A |  |  |  |  |  | National Notar                       |  |  |  |  |  |  | 1-Mar-03             |
| F269 | JOEL NAVA           | Report on XML Packaging                                                                 |                 | W3C                |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 2-Aug-99             |
| Y005 | A. SCHMIDT; T. G    | Requirements for Data Validation and Certification Services                             |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A024 | A. SCHMIDT; T. G    | Requirements for Data Validation and Certification Services                             |                 | Internet-Draft     |           |              |     |  |  |  |  |  | The internet S                       |  |  |  |  |  |  | 20-Jun-05            |
| F120 |                     | Resource Description Framework (RDF)                                                    |                 | downloaded         |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Jan-04             |
| F057 |                     | Revision history of Extensible Metadata Platform                                        |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F125 |                     | SAA offers screencasts on Key topics all photographers involved in image licensing need |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| M011 |                     | Safeguarding the Identity and Integrity of XML Messages - Security Strategies for XML S |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| Q011 | JASON HOSKING       | Screencast Tutorials                                                                    |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| V005 |                     | SDML - Signed Document Markup Language                                                  |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F732 |                     | Search - Wikipedia, the free encyclopedia.htm                                           |                 |                    |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A002 | DAVID A WHEELER     | Secure Programming for Linux and Unix HOWTO - 11.5 Cryptographic                        | Webpage         |                    | 9-Jan-01  | 1 and 2 of 2 | N/A |  |  |  |  |  |                                      |  |  |  |  |  |  | UNKNOWN              |
| J006 | THAWTE              | Securing your Microsoft Internet Information Services (MS IIS) Web Server with a thawte |                 |                    | 24-Apr-05 | entire       |     |  |  |  |  |  | thawte                               |  |  |  |  |  |  |                      |
| J005 | THAWTE              | Securing your Online Data Transfer with SSL                                             |                 |                    | 24-Apr-05 | entire       |     |  |  |  |  |  | thawte                               |  |  |  |  |  |  |                      |
| J121 |                     | Security Challenges, Threats and Countermeasures Version 1.0                            |                 |                    | 4-Nov-08  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| M034 |                     | Security Whitepapers                                                                    |                 |                    | 24-Jun-08 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| M035 |                     | Semantic Web                                                                            |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| U005 | Tim Berners-Lee     | Semantic Web Road map                                                                   |                 |                    | 30-Mar-09 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Sep-98             |
| F397 | KARL SCHEIBEL       | Signing XML Documents and the Concept of "What You See is What You Sign"                |                 |                    | 4-Feb-06  | entire; esp  |     |  |  |  |  |  | Ch 1, Ch 2, P39, P47, Ch             |  |  |  |  |  |  | 1-Jan-01             |
| J045 |                     | Simplified Identity Management, Stronger Authentication                                 |                 |                    | 22-Mar-06 |              |     |  |  |  |  |  | DigitalPersona                       |  |  |  |  |  |  |                      |
| F004 | By Michael J. Coa   | SMART Docs are Here! Ready For a SMART Envelope?                                        |                 |                    | 31-Aug-08 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Apr-04             |
| J119 |                     | SMART Document Implementation Guide                                                     |                 |                    | 17-Sep-08 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F273 |                     | Smart Documents Overview                                                                |                 |                    | 21-Jun-08 |              |     |  |  |  |  |  | Microsoft                            |  |  |  |  |  |  |                      |
| M010 |                     | SOAP Security Extensions: Digital Signature                                             |                 |                    | 5-Feb-06  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| P026 |                     | SOAP Version 1.2 Part 1: Messaging Framework                                            |                 | W3C Recommendation |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 24-Jun-03            |
| A035 | VERISIGN, INC.      | Software Publisher Digital Ids for Microsoft Authenticode Technology                    | Web Article     |                    | 20-Apr-01 | 8 of 8       | N/A |  |  |  |  |  | VeriSign, Inc.                       |  |  |  |  |  |  | 1-Jan-98             |
| S002 |                     | Standardizing Information and Communication Systems                                     |                 |                    | 26-Mar-09 |              |     |  |  |  |  |  | v3.0                                 |  |  |  |  |  |  | 1-Dec-99             |
| J068 |                     | Standards for Electronic Filing Processes                                               |                 |                    | 8-Sep-07  |              |     |  |  |  |  |  | The National Center for State Courts |  |  |  |  |  |  |                      |
| J004 | THAWTE              | Step-Up Encryption                                                                      |                 |                    | 24-Apr-05 | entire; S2   |     |  |  |  |  |  | thawte                               |  |  |  |  |  |  |                      |
| F423 | Brenda Coulson      | Supporting Digital Signatures Within SOAP Messages                                      |                 |                    | 6-Nov-05  |              |     |  |  |  |  |  | Dev X                                |  |  |  |  |  |  | 1-Jan-04             |
| J123 |                     | Supporting Standards in Intelligent Documents                                           |                 |                    | 10-Nov-08 |              |     |  |  |  |  |  | Adobe                                |  |  |  |  |  |  | 3-Mar-05             |
| J088 | PARSONS, ET.AL      | Tag Image File Format (TIFF) - image/tiff MIME Sub-type Registration                    |                 |                    | 22-Jun-08 | entire; esp  |     |  |  |  |  |  | P4p2,3                               |  |  |  |  |  |  | The Internet Society |
| F052 |                     | Tagged Image File Format                                                                |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F206 |                     | ter 1. Notaries Public Reform Act                                                       |                 | Utah Code          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Jan-03             |
| J103 | IXIA SOFT           | TEXTML Server                                                                           |                 |                    | 4-Jul-08  | entire       |     |  |  |  |  |  | IXIA Soft                            |  |  |  |  |  |  |                      |
| J007 | THAWTE              | thawte's Quick Enrollment Guide:                                                        |                 |                    | 24-Apr-05 | entire       |     |  |  |  |  |  | thawte                               |  |  |  |  |  |  |                      |
| F351 |                     | The 2004 Florida Statutes                                                               |                 |                    | 21-Sep-04 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Jan-04             |
| F137 | S. JOSEFSSON, S     | The Base16, Base32, and Base64 Data Encodings                                           |                 |                    | 2-Jul-08  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Jul-03             |
| A032 | DOCUSIGN, INC.      | The Business Case for Electronic Signing                                                | Article         |                    | 10-Mar-06 | 6 of 6       | v2  |  |  |  |  |  | DocuSign, Inc.                       |  |  |  |  |  |  | 8-Feb-06             |
| J072 |                     | The Electronic Court Filing 3.0 Standard and What's Next?                               |                 |                    | 14-Oct-07 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A031 |                     | The Electronic Notarization System Based on the Notarization System                     | Article         |                    | 31-Mar-06 | 1 of 1       | N/A |  |  |  |  |  | http://www.mca                       |  |  |  |  |  |  | UNKNOWN              |
| A015 | 50STATENOTAR        | The Free Introductory Signing Agent Training Course                                     | Training Cours  |                    | Late 05   | 25 of 25     | 7th |  |  |  |  |  | 50StateNotary                        |  |  |  |  |  |  | 1-Jan-05             |
| J037 |                     | The Growing Real Estate Fraud Problem in Florida                                        |                 |                    | 19-Mar-06 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A014 | THOMAS J. SMED      | The Legal Requirements for Creating Secure and Enforceable Electronic                   | Document        |                    | 14-Sep-04 | 30 of 30     | N/A |  |  |  |  |  | Thomas J. Smedinghoff, Bar           |  |  |  |  |  |  | UNKNOWN              |
| A008 | BAUM, ET.AL.        | The Model Notary Act                                                                    |                 | Public Service     |           |              |     |  |  |  |  |  | National Notar                       |  |  |  |  |  |  | 1-Sep-02             |
| J104 |                     | The Next Generation of BI                                                               |                 |                    | 4-Jul-08  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A016 | DEBORAH M. TH       | The Notary Office and Its Impact in The 21st Century                                    | Presentation at |                    | Early 01  | 28 of 28     | N/A |  |  |  |  |  | Deborah M Thaw, National N           |  |  |  |  |  |  | Chatsworth           |
| J040 |                     | THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY                                    |                 |                    | 19-Mar-06 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| J035 |                     | THE NOTARY PUBLIC CODE OF PROFESSIONAL RESPONSIBILITY                                   |                 |                    | 19-Mar-06 | Sec 4,6,7,8  |     |  |  |  |  |  | Natl Notary As                       |  |  |  |  |  |  | 1-Nov-98             |
| F114 | RAEL DORNFES        | The Power of Metadata                                                                   |                 |                    | 22-Jul-08 |              |     |  |  |  |  |  | O'Reilly                             |  |  |  |  |  |  |                      |
| B001 | GREG ROELOFS        | The Story of PNG                                                                        |                 |                    | 28-Apr-08 | 3 of 3       | NA  |  |  |  |  |  | Greg Roelofs                         |  |  |  |  |  |  | 13-Aug-00            |
| J032 |                     | The Strength of User vs. System Signing                                                 |                 |                    | 10-Mar-06 |              |     |  |  |  |  |  | Algorithmic Research                 |  |  |  |  |  |  |                      |
| J111 |                     | The Transition from Operations to Business Value Drivers                                |                 |                    | 4-Jul-08  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| M029 |                     | The Use of Electronic Documents as Originals Using the eOriginal™ system to Produce     |                 |                    | 8-Dec-06  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F128 | Bob DuCharme        | The XPath 2.0 Data Model                                                                |                 |                    | 22-Jul-08 |              |     |  |  |  |  |  | xml.com                              |  |  |  |  |  |  | 2-Feb-06             |
| J042 | BONDAR              | Total Password Automation in the Enterprise                                             |                 |                    | 22-Mar-06 | P3,4         |     |  |  |  |  |  | DigitalPersona                       |  |  |  |  |  |  |                      |
| J054 |                     | Towards an XML Format for TimeStamps                                                    |                 |                    | 8-Apr-06  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| M028 |                     | TRUST AND THE WORLD WIDE WEB                                                            |                 |                    | 8-Dec-03  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F075 |                     | Trusted Computing                                                                       |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F077 |                     | Trusted Platform Module                                                                 |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F126 |                     | Unicode                                                                                 |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| C012 |                     | Uniform Electronic Transactions Act                                                     |                 | http://www.law     |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| A001 | NATIONAL CONF       | Uniform Electronic Transactions Act (1999)                                              | Document        |                    | 18-Jun-95 | 1, 2, 59, 60 | N/A |  |  |  |  |  | National Confe                       |  |  |  |  |  |  | 1-Jan-00             |
| V004 |                     | Universal Commerce Language and Protocol (UCLP) Version 3.0                             |                 |                    | 30-Mar-09 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 20-Jan-99            |
| D027 | VARIOUS             | Uses cases submitted for consideration                                                  |                 |                    | 25-Mar-06 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| T044 | Charles Heinemar    | Using Namespaces Within Your XML Documents                                              |                 | MSDN               |           |              |     |  |  |  |  |  | Microsoft Corp                       |  |  |  |  |  |  | 20-Jun-99            |
| V006 |                     | Using P3P for E-Commerce                                                                |                 |                    | 30-Mar-09 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| K010 |                     | Using the CryptoAPI for Public/Private Data Exchange                                    |                 |                    | 14-May-03 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| F038 | THOMAS G. LANG      | USING THE IJG JPEG LIBRARY                                                              |                 |                    | 15-Jul-08 |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  | 1-Jan-95             |
| F127 |                     | UTF-8                                                                                   |                 | Wikipedia          |           |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |
| J063 |                     | VeriSign®Authenticated Content Signing                                                  |                 |                    | 2-Nov-05  |              |     |  |  |  |  |  |                                      |  |  |  |  |  |  |                      |

|      |                    |                                                                                   |                    |           |                        |      |                    |           |         |
|------|--------------------|-----------------------------------------------------------------------------------|--------------------|-----------|------------------------|------|--------------------|-----------|---------|
| V003 |                    | W3C and Electronic Commerce                                                       |                    | 30-Mar-09 |                        |      |                    |           |         |
| J019 | INSIGHT CONSUMERS  | Web Services and XML Security                                                     |                    | 4-Feb-05  |                        |      | Siemens            |           |         |
| G003 |                    | Web Services Interoperability Organization (WS-I)                                 |                    | 4-Nov-08  |                        |      |                    |           |         |
| J120 |                    | Web Services Security SOAP Message Security 1.0                                   |                    | 4-Nov-08  |                        |      |                    |           |         |
| A041 | RSA SECURITY       | What is digital timestamping?                                                     | Article            | some 2002 | 2 of 2                 | N/A  | RSA Security, Inc. | 1-Jan-02  | UNKNOWN |
| F129 | G. KEN HOLMAN      | What is XSLT                                                                      |                    | 22-Jul-08 |                        |      | xml.com            | 16-Aug-00 |         |
| J106 |                    | What's Up, .DOC? ODF, OOXML, and the Revolutionary Implications of XML in Product |                    | 4-Jul-08  |                        |      |                    |           |         |
| M024 |                    | Witnessing GenuineDoc digital notarization process                                |                    | 31-Mar-06 |                        |      |                    |           |         |
| F691 | Chris Lovett       | XML as the API                                                                    | MSDN               | 15-Oct-05 |                        |      | Microsoft Corp.    | 16-Oct-00 |         |
| U002 |                    | XML Binary Characterization Use Cases                                             | W3c Note           | 30-Mar-09 |                        |      |                    | 31-Mar-05 |         |
| J017 | SYNGRESS.COM       | XML Digital Signatures - Chapter 5                                                |                    | 4-Nov-05  | entire                 |      |                    |           |         |
| F155 |                    | XML Packaging Working Group Charter                                               | W3C Draft          | 16-May-08 |                        |      |                    |           |         |
| P013 | JAMES CLARK, S     | XML Path Language (XPath)                                                         | W3C Recommendation |           |                        | V1.0 | W3C                | 16-Nov-99 |         |
| P012 | MICHAEL KAY        | XML Path Language (XPath) 1.0                                                     | W3C Recomm         | 26-Mar-09 |                        | V2.0 | W3C                | 23-Jan-07 |         |
| P014 | Anders Berglund    | XML Path Language (XPath) 2.0                                                     | W3C Recomm         | 26-Mar-09 |                        | V2.0 | W3C                | 23-Jan-07 |         |
| M020 |                    | XML Path Language (XPath) Version 1.0                                             |                    | 30-Mar-06 |                        |      |                    |           |         |
| F438 |                    | XML Security page                                                                 |                    | 6-Nov-05  |                        |      |                    |           |         |
| F425 | SHELLEY DOLL       | XML security: A who's who                                                         |                    | 16-Nov-05 |                        |      |                    | 8-Jul-02  |         |
| F287 | MARK BARTEL; J     | XML Signature Syntax and Processing (Second Edition)                              | W3C Proposed       | 6-Jun-08  |                        |      | W3C                | 10-Jun-08 |         |
| A033 | MARK BARTEL; J     | XML-Signature Syntax and Processing                                               | W3C Recomm         | 4-Oct-05  | 44 of 44               | N/A  | IETF W3C           | 12-Feb-02 | UNKNOWN |
| F420 | JOHN BOYER, M      | XML-Signature XPath Filter 2.0                                                    | W3C Candidate      | 6-Nov-05  |                        |      |                    | 18-Jul-02 |         |
| J090 | ADOBE              | XMP - Extensible Metadata Platform v1.5 9-14-01                                   |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| G014 |                    | XMP Adding Intelligence to Media                                                  |                    | 22-Jun-08 |                        |      |                    |           |         |
| J089 | ADOBE              | XMP SDK Overview                                                                  |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| J085 | ADOBE              | XMP Specification Jan 04                                                          |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| J064 | ADOBE              | XMP Specification Sep 2005                                                        |                    | 13-Mar-07 | entire, esp: P11 12-14 |      | Adobe              |           |         |
| J086 |                    | XMP Specification Sep 2005                                                        |                    | 22-Jun-08 |                        |      |                    |           |         |
| J087 | ADOBE              | XMP Toolkit v 4.1.1                                                               |                    | 22-Jun-08 | entire                 |      | Adobe              |           |         |
| P011 | JAMES CLARK        | XSL Transformations (XSLT)                                                        | W3C Recommendation |           |                        | V1.0 | W3C                | 16-Nov-99 |         |
| M019 |                    | XSL Transformations (XSLT) Version 1.0                                            |                    | 30-Mar-06 |                        |      |                    |           |         |
| F379 | JEAN-LOUP GAILLARD | zlib 1.1.4 Manual                                                                 | Manual             | 11-Mar-02 |                        |      |                    | 1-Jun-02  |         |

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Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU:

**Information Disclosure Statement Cover Letter (Update- #2 Ida0817a.doc)**

August 17, 2010

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Attached are updated forms PTO/SB/08(A&B) and two (2) CD's (1 org/ 1 dup) in support of Application # 12/316,699. Form SB08A, 6 pages, represents the patents referenced. (last 20 #'s missed March 31, 2009). Form SB08B, 5 pages, represents 340 documents referenced and included in digital form, having the citation number included in each digital file name. Included on the CD is this cover letter and the current forms in the Microsoft Excel format, named and keyed:

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Ifa0817c\_printed.xls      md5sum:      542de30ab7c6a0692bc8859b07cbf282

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Very respectfully,

*[Signature]*  
John Lewis Guymon Jr  
Telephone: (801) 943-8461  
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SALT LAKE CITY, UT 84121

CONFIRMATION NO. 1800
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Title:Securable independent electronic document

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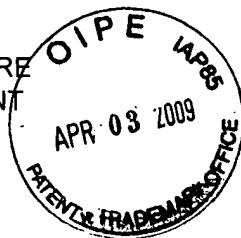
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|             | C004   | 7383502     |           | Jun-08 | Shur , et al.                     |             |
|             | C005   | 7383500     |           | Jun-08 | Shur , et al.                     |             |
|             | C006   | 7373330     |           | May-08 | Klebe                             |             |
|             | A014   | 7370206     |           | May-08 | Goldman                           |             |
|             | A015   | 7370018     |           | May-08 | Bryant, Jr. , et al.              |             |
|             | C007   | 7366982     |           | Apr-08 | Shur , et al.                     |             |
|             | A016   | 7366338     |           | Apr-08 | Jones , et al.                    |             |
|             | A017   | 7360093     |           | Apr-08 | de Queiroz; Ricardo L.            |             |
|             | C008   | 7359902     |           | Apr-08 | Ornstein , et al.                 |             |
|             | C009   | 7356143     |           | Apr-08 | Morten                            |             |
|             | D001   | 7350238     |           | Mar-08 | Abe , et al.                      |             |
|             | D002   | 7350237     |           | Mar-08 | Vogel , et al.                    |             |
|             | A018   | 7343339     |           | Mar-08 | Harrison, Jr. , et al.            |             |
|             | A019   | 7340601     |           | Mar-08 | Wray                              |             |
|             | C010   | 7330974     |           | Feb-08 | Silverbrook , et al.              |             |
|             | C011   | 7330560     |           | Feb-08 | Donescu                           |             |
|             | A020   | 7302467     |           | Nov-07 | Matsuda , et al.                  |             |
|             | C012   | 7299408     |           | Nov-07 | Daconta , et al.                  |             |
|             | C013   | 7296217     |           | Nov-07 | Earnshaw , et al.                 |             |
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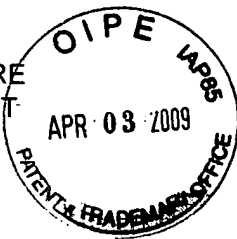
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|  | C014 | 7295207 |  | Nov-07 | Yoon , et al.          |  |
|  | B005 | 7287284 |  | Oct-07 | Hayashi                |  |
|  | A022 | 7281133 |  | Oct-07 | Ginter , et al.        |  |
|  | A023 | 7277925 |  | Oct-07 | Warnock                |  |
|  | A024 | 7266527 |  | Sep-07 | Martin                 |  |
|  | A025 | 7260585 |  | Aug-07 | Krishnaprasad , et al. |  |
|  | C015 | 7251832 |  | Jul-07 | Venters, III , et al.  |  |
|  | C016 | 7251644 |  | Jul-07 | Viola , et al.         |  |
|  | B002 | 7245740 |  | Jul-07 | Suzaki                 |  |
|  | A026 | 7239717 |  | Jul-07 | Fridrich , et al.      |  |
|  | B003 | 7206432 |  | Apr-07 | Iwamura                |  |
|  | A027 | 7200597 |  | Apr-07 | Grizzard               |  |
|  | C017 | 7199804 |  | Apr-07 | Bhattacharyay , et al. |  |
|  | B004 | 7197156 |  | Mar-07 | Levy                   |  |
|  | A028 | 7191392 |  | Mar-07 | Coar                   |  |
|  | C018 | 7191186 |  | Mar-07 | Pullen                 |  |
|  | C019 | 7181017 |  | Feb-07 | Nagel , et al.         |  |
|  | C020 | 7172122 |  | Feb-07 | Alleshouse             |  |
|  | A029 | 7165174 |  | Jan-07 | Ginter , et al.        |  |
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|  | A031 | 7150399 |  | Dec-06 | Barrus , et al.        |  |
|  | A032 | 7149760 |  | Dec-06 | Breuer                 |  |
|  | A033 | 7143290 |  | Nov-06 | Ginter , et al.        |  |
|  | A034 | 7143062 |  | Nov-06 | Turk , et al.          |  |
|  | A035 | 7134020 |  | Nov-06 | Eagle , et al.         |  |
|  | A036 | 7133846 |  | Nov-06 | Ginter , et al.        |  |
|  | B006 | 7130087 |  | Oct-06 | Rhoads                 |  |
|  | B007 | 7114750 |  | Oct-06 | Alasia , et al.        |  |
|  | A037 | 7092910 |  | Aug-06 | Iwamura                |  |
|  | A038 | 7089248 |  | Aug-06 | King , et al.          |  |
|  | B008 | 7088843 |  | Aug-06 | Pelly                  |  |
|  | A039 | 7082216 |  | Jul-06 | Jones , et al.         |  |
|  | A040 | 7051212 |  | May-06 | Ginter , et al.        |  |
|  | A041 | 7051206 |  | May-06 | Giest , et al.         |  |
|  | A042 | 7051205 |  | May-06 | Horiguchi , et al.     |  |
|  | A043 | 7051002 |  | May-06 | Keresman, III , et al. |  |
|  | A044 | 7047419 |  | May-06 | Black                  |  |
|  | A045 | 7047411 |  | May-06 | DeMello , et al.       |  |
|  | A046 | 7047404 |  | May-06 | Doonan , et al.        |  |
|  | A047 | 7044381 |  | May-06 | Silverbrook , et al.   |  |
|  | A048 | 7043456 |  | May-06 | Lindskog , et al.      |  |
|  | A049 | 7043411 |  | May-06 | Ronaldson , et al.     |  |
|  | A050 | 7043025 |  | May-06 | Aldredge               |  |
|  | A051 | 7039812 |  | May-06 | Kawan , et al.         |  |
|  | A052 | 7039805 |  | May-06 | Messing                |  |
|  | D004 | 7039626 |  | May-06 | Hirata                 |  |

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| A053 | 7039616 | May-06 | Wendenburg , et al.   |
| A054 | 7036076 | Apr-06 | Anwar                 |
| A055 | 7036015 | Apr-06 | Vanstone , et al.     |
| A056 | 7035914 | Apr-06 | Payne , et al.        |
| A057 | 7035830 | Apr-06 | Shaikh                |
| A058 | 7035817 | Apr-06 | Brothers              |
| A059 | 7035409 | Apr-06 | Moskowitz             |
| A060 | 7032224 | Apr-06 | Kadakia , et al.      |
| D005 | 7032030 | Apr-06 | Codignotto            |
| A061 | 7031943 | Apr-06 | James , et al.        |
| A062 | 7028191 | Apr-06 | Michener , et al.     |
| A063 | 7028189 | Apr-06 | Mihcak , et al.       |
| A064 | 7028187 | Apr-06 | Rosen                 |
| A065 | 7028184 | Apr-06 | Hind , et al.         |
| A066 | 7028182 | Apr-06 | Killcommons           |
| A067 | 7028180 | Apr-06 | Aull , et al.         |
| A068 | 7025276 | Apr-06 | Lapstun , et al.      |
| A069 | 7024390 | Apr-06 | Mori , et al.         |
| A070 | 7021534 | Apr-06 | Kiliccote             |
| D006 | 7020839 | Mar-06 | Hosoda                |
| A071 | 7020781 | Mar-06 | Saw , et al.          |
| A072 | 7020777 | Mar-06 | Mihcak , et al.       |
| A073 | 7020645 | Mar-06 | Bisbee , et al.       |
| A074 | 7020640 | Mar-06 | Zucchetti             |
| A075 | 7017046 | Mar-06 | Doyle , et al.        |
| A076 | 7016516 | Mar-06 | Rhoads                |
| A077 | 7016495 | Mar-06 | Scheidt , et al.      |
| A078 | 7013286 | Mar-06 | Aggarwal , et al.     |
| D007 | 7013041 | Mar-06 | Miyamoto              |
| A079 | 7012710 | Mar-06 | Lapstun , et al.      |
| A080 | 7010697 | Mar-06 | Byrne , et al.        |
| D008 | 7010570 | Mar-06 | Boies , et al.        |
| D009 | 7007076 | Feb-06 | Hess , et al.         |
| A081 | 7006656 | Feb-06 | Fridrich , et al.     |
| D010 | 7006243 | Feb-06 | Simpson , et al.      |
| A082 | 7003166 | Feb-06 | Abhyankar , et al.    |
| A083 | 7003132 | Feb-06 | Rhoads                |
| A084 | 7000186 | Feb-06 | Gropper , et al.      |
| A085 | 7000110 | Feb-06 | Terao                 |
| A086 | 7000105 | Feb-06 | Tallent, Jr. , et al. |
| A087 | 6999936 | Feb-06 | Sehr                  |
| A088 | 6996723 | Feb-06 | Kyojima , et al.      |
| A089 | 6993656 | Jan-06 | Peyravian , et al.    |
| A090 | 6993655 | Jan-06 | Hecht , et al.        |
| A091 | 6993153 | Jan-06 | Bradley               |
| A092 | 6991174 | Jan-06 | Zuili                 |

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| A093 | 6991154 | Jan-06 | Silverbrook , et al.  |
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| A095 | 6990684 | Jan-06 | Futamura , et al.     |
| A096 | 6990504 | Jan-06 | Powell , et al.       |
| A097 | 6990480 | Jan-06 | Burt                  |
| A098 | 6988279 | Jan-06 | Kanevsky              |
| A099 | 6988199 | Jan-06 | Toh , et al.          |
| A100 | 6985888 | Jan-06 | Cordery , et al.      |
| A101 | 6985886 | Jan-06 | Broadbent , et al.    |
| A102 | 6985589 | Jan-06 | Morley , et al.       |
| A103 | 6983309 | Jan-06 | Yoshizawa             |
| A104 | 6982625 | Jan-06 | Chainer , et al.      |
| A105 | 6981151 | Dec-05 | Groeneveld , et al.   |
| A106 | 6978366 | Dec-05 | Ignatchenko , et al.  |
| A107 | 6976172 | Dec-05 | Girard                |
| A108 | 6976165 | Dec-05 | Carpentier , et al.   |
| A109 | 6975992 | Dec-05 | Tucker , et al.       |
| A110 | 6975915 | Dec-05 | Robitaille , et al.   |
| A111 | 6975746 | Dec-05 | Davis , et al.        |
| A112 | 6975733 | Dec-05 | Choi , et al.         |
| A113 | 6973646 | Dec-05 | Bordawekar , et al.   |
| A114 | 6973444 | Dec-05 | Blinn , et al.        |
| D011 | 6972763 | Dec-05 | Millett , et al.      |
| A115 | 6970836 | Nov-05 | Paltenghe , et al.    |
| A116 | 6968455 | Nov-05 | Okayasu , et al.      |
| A117 | 6968319 | Nov-05 | Remington , et al.    |
| A118 | 6968058 | Nov-05 | Kondoh , et al.       |
| A119 | 6966048 | Nov-05 | Bowers                |
| A120 | 6965904 | Nov-05 | Bankert , et al.      |
| A121 | 6961902 | Nov-05 | Anecki , et al.       |
| A122 | 6961854 | Nov-05 | Serret-Avila , et al. |
| B009 | 6961441 | Nov-05 | Hershey , et al.      |
| B010 | 6959100 | Oct-05 | Rhoads                |
| A123 | 6954542 | Oct-05 | Miyake , et al.       |
| D012 | 6947162 | Sep-05 | Rosenberg , et al.    |
| A124 | 6940995 | Sep-05 | Choi , et al.         |
| D013 | 6940870 | Sep-05 | Hamlin                |
| D014 | 6925597 | Aug-05 | Anwar                 |
| A125 | 6918082 | Jul-05 | Gross , et al.        |
| B011 | 6915433 | Jul-05 | Barber                |
| D015 | 6912462 | Jul-05 | Ogaki                 |
| D016 | 6910068 | Jun-05 | Zintel , et al.       |
| C021 | 6908034 | Jun-05 | Alleshouse            |
| D017 | 6904454 | Jun-05 | Stickler              |
| D018 | 6904176 | Jun-05 | Chui , et al.         |
| D019 | 6900905 | May-05 | Simpson , et al.      |

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| A126 | 6892947 | May-05 | Jam , et al.      |
| A127 | 6892352 | May-05 | Myers             |
| B012 | 6880755 | Apr-05 | Gorbet , et al.   |
| A128 | 6862599 | Mar-05 | King              |
| B013 | 6859155 | Feb-05 | Kondo , et al.    |
| B014 | 6850624 | Feb-05 | Shinoda , et al.  |
| A129 | 6834209 | Dec-04 | Potz , et al.     |
| B015 | 6826291 | Nov-04 | Yoshiura , et al. |
| B016 | 6807285 | Oct-04 | Iwamura           |
| B017 | 6782509 | Aug-04 | Hirayama , et al. |
| A130 | 6748380 | Jun-04 | Poole , et al.    |
| B018 | 6748362 | Jun-04 | Meyer , et al.    |
| B019 | 6731775 | May-04 | Ancin             |
| D003 | 6717573 | Apr-04 | Shahoian , et al. |
| A131 | 6714202 | Mar-04 | Dorrell           |
| B020 | 6678390 | Jan-04 | Honsinger         |
| B021 | 6678389 | Jan-04 | Sun , et al.      |
| A132 | 6658568 | Dec-03 | Ginter , et al.   |
| C022 | 6643383 | Nov-03 | Dugelay           |
| C023 | 6616702 | Sep-03 | Tonkin            |
| A133 | 6535981 | Mar-03 | Shimizu           |
| A134 | 6532476 | Mar-03 | King              |
| C024 | 6507858 | Jan-03 | Kanerva , et al.  |
| D020 | 6469692 | Oct-02 | Rosenberg         |
| A135 | 6456726 | Sep-02 | Yu , et al.       |
| A136 | 6418448 | Jul-02 | Sarkar            |
| A137 | 6367013 | Apr-02 | Bisbee , et al.   |
| B022 | 6354630 | Mar-02 | Zhang , et al.    |
| B023 | 6343138 | Jan-02 | Rhoads            |
| C025 | 6342954 | Jan-02 | Hisatomi , et al. |
| B024 | 6334187 | Dec-01 | Kadono            |
| B025 | 6307950 | Oct-01 | Powell , et al.   |
| B026 | 6278791 | Aug-01 | Honsinger         |
| A138 | 6246998 | Jun-01 | Matsumori         |
| A139 | 6237096 | May-01 | Bisbee , et al.   |
| A140 | 6209095 | Mar-01 | Anderson , et al. |
| A141 | 6192138 | Feb-01 | Yamadaji          |
| C026 | 6138119 | Oct-00 | Hall , et al.     |
| A142 | 6112185 | Aug-00 | Walker , et al.   |
| A143 | 6065026 | May-00 | Cornelia , et al. |
| B027 | 6055321 | Apr-00 | Numao , et al.    |
| A144 | 6047374 | Apr-00 | Barton; James M.  |
| B028 | 6031914 | Feb-00 | Tewfik , et al.   |
| A145 | 6006328 | Dec-99 | Drake             |
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| A146 | 5960411 | Sep-99 | Hartman , et al.  |

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|           | T029   |               | .NET Framework System.Security.Cryptography.Xml Namespace                                     | Class Library    | 15-Oct-05 |                  |             | Microsoft Corporati                | 1-Jan-05          |              |    |
|           | F220   |               | 7 Steps to Understanding XMP Metadata                                                         |                  | 22-Jun-08 |                  |             |                                    | 1-Jan-04          |              |    |
|           | B004   | GREG ROELF    | A Basic Introduction to PNG Features                                                          |                  | 28-Apr-08 | 8 of 8           | NA          | Greg Roelofs                       | 30-Jan-08         | NA           |    |
|           | E008   | Brian Randell | A Beginner's Guide to the XML DOM                                                             | MSDN             | 15-Oct-05 | All              | Oct-99      | Microsoft Corporati                | 1-Oct-99          |              |    |
|           | J031   | LEVIN, REZ    | A Better Approach to PKI Based Digital Signatures                                             |                  | 10-Mar-06 | entire, esp P5   |             | Algorithmic Research               |                   |              |    |
|           | F062   | JOHN S. ERIC  | A Digital Object Approach to Interoperable Rights Management                                  |                  | 10-Oct-08 |                  | Volume 7 Nu | D-Lib Magazine                     | 1-Jun-01          |              |    |
|           | T036   | Benjamin Gur  | A Dynamic Index: Reinventing the Online Help in XML                                           | MSDN             | 15-Oct-05 |                  |             | Microsoft Corporati                | 3-Apr-02          |              |    |
|           | J013   | Paul Michael  | A GUIDE TO BEST AND WORST PRACTICES FOR ELECTRONIC NOTARY JOURNALS                            |                  | 4-Nov-05  | entire           |             | Topaz Systems, Inc.                | 1-Jan-04          |              |    |
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|           | J092   |               | A Manager's Introduction to Adobe eXtensible Metadata Platform, The Adobe XML Metadata Fr     |                  | 22-Jun-08 |                  |             |                                    |                   |              |    |
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|           | E012   | AARON SKO     | A Quick Guide to XML Schema-Part 2                                                            | Website Article  |           | All              | NA          | Aaron Skonnard                     |                   |              |    |
|           | T048   | Dare Obasanjo | A Survey of APIs and Techniques for Processing XML                                            | Web Article      | 15-Oct-05 |                  |             | Xml.com                            | 9-Jul-03          |              |    |
|           | C020   |               | About CryptoAPI                                                                               | Platform SDK     | 22-May-02 | 2 of 66          |             | Microsoft                          |                   |              |    |
|           | J030   |               | About digital signatures                                                                      |                  | 10-Mar-06 |                  |             | Algorithmic Research               |                   |              |    |
|           | B023   | CALIFORNIA    | About the CBAA WebProject                                                                     |                  | 14-May-02 | 2 of 2           | NA          | California Bail Agents Association |                   | Sacramento   |    |
|           | J050   |               | Achieving Document Integrity in Today's Practice                                              |                  | 26-Mar-06 |                  |             |                                    |                   |              |    |
|           | F228   |               | Adding intelligence to media                                                                  |                  | 22-Jun-08 |                  |             |                                    |                   |              |    |
|           | F103   |               | Adobe - Creative Suite 3 Web Site Design, Graphic Design, Video Editing, Photo Editing Softwa |                  | 22-Jul-08 |                  |             |                                    |                   |              |    |
|           | F018   |               | Adobe Acrobat                                                                                 | Wikipedia        | 22-Jun-08 |                  |             |                                    |                   |              |    |
|           | F102   |               | Adobe Streamlines Workflows for Publishers with New Metadata Technology                       | E                | 25-Jun-08 |                  |             | Adobe                              | 24-Sep-01         | San Jose, C  |    |
|           | F275   |               | Adobe turns to suppliers to put InDesign in front of publishers                               |                  | 22-Jun-08 |                  |             |                                    |                   |              |    |
|           | J082   | ADOBE         | Adobe XMP for Creative Professionals                                                          | downloaded       | 21-Jun-08 | entire, esp P1p2 |             | Adobe                              |                   |              |    |
|           | F232   | JOHN FONT     | All eyes on how Microsoft pulls off ODF support                                               |                  | 24-Jun-08 |                  |             |                                    | 22-May-08         |              |    |
|           | B053   | UPAQ LTD.     | An Introduction to Encryption and PKI                                                         |                  | 15-May-02 | 6 of 6           | NA          | UPAQ Ltd.                          | 1-Jan-01          | Zurich, Swi  |    |
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|           | F363   | JIM BEARD     | An Open-Source System for Electronic Court Filing                                             |                  | 14-Oct-07 |                  |             | Industry News                      |                   |              |    |
|           | U008   | Tim Berners-L | Analysing PICS labels as Metadata                                                             |                  | 30-Mar-09 |                  |             |                                    | 15-Jan-07         |              |    |
|           | F233   |               | Anti machine                                                                                  |                  | 14-Jun-08 |                  |             |                                    |                   |              |    |
|           | B006   | TBD           | Appendix E State Electronic Transactions Laws                                                 |                  | 23-Jun-07 | 5 of 5           | NA          | TBD                                |                   | NA           |    |
|           | J076   |               | Approved Providers Guidelines for Electronic Bond Submission                                  |                  | 9-Dec-07  |                  |             |                                    |                   |              |    |
|           | J027   |               | AR's E-Signature Solution For Enabling E-Invoicing                                            |                  | 10-Mar-06 |                  |             | Algorithmic Research               |                   |              |    |
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|           | Q003   |               | ASCII                                                                                         | Wikipedia        | 22-Jul-08 |                  |             |                                    |                   |              |    |
|           | A004   | JOHN R. LEV   | ASP File Upload using VBScript                                                                | Webpage          | 15-May-02 | 6 of 6           | N/A         | aspzone.com                        | 10-Jul-00         | UNKNOW       |    |
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|           | F660   | Scott Howlett | Beyond ASP: XML and XSL-based Solutions Simplify Your Data Presentation Layer                 |                  | 15-Oct-05 |                  | Nov-00      | MSDN                               | 1-Nov-00          |              |    |
|           | J047   |               | BioAPI Architecture                                                                           |                  | 23-Mar-06 |                  |             |                                    |                   |              |    |
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|           | F041   |               | BMP file format                                                                               | Wikipedia        | 18-Aug-08 |                  |             |                                    |                   |              |    |
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|           | D041   | SEARCH        | Building Exchange Content Using the Global Justice XML Data Model:                            | User Guide       | 1-Jun-05  | All              | NA          | SEARCH                             |                   |              |    |
|           | F235   | MIKE WALK     | Building Sustainable Banking Architectures                                                    |                  | 21-Jun-08 |                  |             | Microsoft                          | 1-Mar-07          |              |    |
|           | J052   |               | Business-Centric Methodology Specification v1.0                                               |                  | 2-Apr-06  |                  |             |                                    |                   |              |    |
|           | F164   |               | CALIFORNIA CODES                                                                              |                  | 13-Mar-05 |                  |             |                                    |                   |              |    |
|           | P021   | John Boyer    | Canonical XML                                                                                 | W3C Recomm       | 30-Mar-06 |                  |             |                                    | 15-Mar-01         |              |    |
|           | M021   |               | Canonical XML Version 1.0                                                                     |                  | 30-Mar-06 |                  |             |                                    |                   |              |    |
|           | F237   |               | Captain's Solutions for Financial Services                                                    |                  | 24-Jun-08 |                  |             |                                    |                   |              |    |
|           | J016   | TOPAZ SYST    | Capture the Benefits of eSignatures.                                                          |                  | 4-Nov-05  | entire           | V2.0        | Topaz Systems                      | 10-Mar-04         |              |    |
|           | E019   | MICROSOFT     | CDO for NT Server (CDONTS)                                                                    | Website Article  | 24-Feb-02 | 9 of 9           | NA          | beyondny.com                       | 11-Apr-00         |              |    |
|           | E013   |               | Chapter 16: cXML Digital Signatures                                                           | User's Guide     |           | 333 through      | NA          |                                    |                   |              |    |
|           | M006   |               | Checklist for Internet-Drafts (IDs) submitted for RFC publication                             |                  | 4-Feb-06  |                  |             |                                    |                   |              |    |
|           | J096   |               | Chunk Registration Request for Enabling the PNG Standard to Support Digital Signatures        |                  | 24-Jun-08 |                  |             |                                    |                   |              |    |
|           | C029   | ROB CARON     | Coding Techniques and Programming Practices                                                   |                  | 30-Oct-06 |                  |             | Microsoft Corporati                | 1-Feb-00          |              |    |
|           | N007   |               | Color in JPEG 2000                                                                            |                  | 22-Jul-08 |                  |             |                                    |                   |              |    |
|           | A007   | AMY DUFRE     | Colorado Implements Level 3 Electronic Recording                                              | Article of the C | 3-Nov-04  | 1 of 1           | N/A         | State of Colorado                  |                   | State of Co  |    |
|           | C006   | NATIONAL I    | Common Biometric Exchange Formats Framework                                                   |                  | 23-Mar-06 |                  |             | NISTIR 6529                        | 5-Apr-04          |              |    |
|           | T014   | Laszlo Barany | Comparing PGP & S/MIME                                                                        |                  | 7-Oct-03  |                  |             | NISTIR 6529-A                      | 1-Oct-99          |              |    |
|           | F640   |               | Comparison of Federal E-Signature Act and UETA on Key Consumer Provisions                     |                  | 21-Sep-04 |                  |             |                                    |                   |              |    |
|           | R005   |               | COMPLETE ::NOTARY BONDS and ERRORS & OMISSIONS INSURANCE                                      |                  | 27-Jan-03 |                  |             |                                    |                   |              |    |
|           | P018   |               | Compound Document by Reference Framework 1.0                                                  |                  |           |                  | V1.0        |                                    | 18-Jul-07         |              |    |
|           | S001   |               | compound document formats (cdf)                                                               |                  | 26-Mar-09 |                  |             |                                    |                   |              |    |
|           | E006   | TODD SUND     | Construct secure networked applications with certificates, Part 1                             | Website Article  | 25-Feb-06 | All              | NA          |                                    |                   |              |    |
|           | J023   | ALGORITHM     | CoSign assists Pharmaceutical companies to comply with 21 CFR, Part 11                        |                  | 10-Mar-06 | entire           |             | Algorithmic Research               |                   |              |    |

|      |                |                                                                                            |                    |           |                  |           |                                  |           |            |  |
|------|----------------|--------------------------------------------------------------------------------------------|--------------------|-----------|------------------|-----------|----------------------------------|-----------|------------|--|
| F002 |                | Cover Pages Web Services Interoperability Organization (WS-I).htm                          |                    | 4-Nov-08  |                  |           |                                  |           |            |  |
| A036 | MICROSOFT      | Creating Signed CAB Files for MFC and ATL Controls                                         | Technical Arti     | 30-Dec-00 | 6 of 6           | N/A       | Microsoft Corporati              | 10-Nov-99 | UNKNOW     |  |
| C021 |                | CryptoAPI Reference                                                                        | Platform SDK       | 22-May-02 | 1 of 696         |           | Microsoft                        |           |            |  |
| A002 | HTTP://WWW     | Cryptographic Algorithms and Protocols                                                     | Webpage            | 9-Jan-01  | 1 and 2 of 2     | N/A       | http://www.linuxdoc.org/HOWTO/S  |           | UNKNOW     |  |
| F133 | BART PREN      | CRYPTOGRAPHIC HASH FUNCTIONS: AN OVERVIEW                                                  |                    | 1-Jul-08  |                  |           | ESAT-COSIC Laboratory            |           |            |  |
| M025 |                | Cryptographic Message Syntax (CMS)                                                         |                    | 8-Apr-06  |                  |           |                                  |           |            |  |
| D012 |                | Cryptography                                                                               | Platform SDK       | 22-May-02 | 1 of 1           | NA        |                                  |           |            |  |
| F661 | PROF. G.R. K   | Cryptography Demystified                                                                   | www.efymag         | 20-Oct-05 | 110              | June 2005 |                                  |           |            |  |
| B045 | PAUL D. SHE    | Cryptography Simplified in Microsoft .NET                                                  | PDSA.com           | 20-Oct-05 | 21 of 21         | NA        | Paul D. Sheriff, PDS             | 1-Oct-03  | NA         |  |
| A044 | MATTEO DE      | Cryptography, PGP and Pine                                                                 | Web Article        | 9-Jan-01  | 5 of 5           | N/A       | Matteo Dell'Omodar               | 1-Jan-00  | UNKNOW     |  |
| A022 | DIMITRIOS      | Cumulative Notarization for Long-term Preservation of Digital Signatures                   | Article            | 17-Mar-06 | entire; esp      | N/A       | Dept. of Informatics             | 3-Aug-05  | Athens, Gr |  |
| F072 | DANIEL J. W    | Current Patent Practice                                                                    | W3C Note           | 28-Oct-08 |                  |           |                                  | 24-Jan-02 |            |  |
| B002 | GREG ROEL      | Current Status of PNG                                                                      | http://www.li      | 28-Apr-08 | 4 of 4           | NA        | Greg Roelofs                     | 21-Oct-06 | NA         |  |
| J057 |                | eXML User's Guide                                                                          |                    | 8-Apr-06  |                  |           |                                  |           |            |  |
| B015 | MOROVIA C      | Department of Research & Development                                                       | Guide book         | TBD       | 9 of 9           | Volume 1  | Morovia Corporation              |           | Toronto, O |  |
| D039 | UTAH DEPA      | Department of Technology Services (DTS)                                                    | 2006 Annual Report |           | 1 of 1           | NA        | Utah Department of Public Safety |           |            |  |
| F239 | YVES LAFO      | Describing and retrieving photos using RDF and HTTP                                        | W3C Note           | 22-Jun-08 |                  |           |                                  | 19-Apr-02 |            |  |
| E004 | DARE OBAS      | Designing Extensible, Versionable XML Formats                                              | Website Artic      | 15-Oct-05 | 15 of 15         | NA        | Microsoft Corporati              | 15-Jul-04 |            |  |
| J071 |                | Developing the Justice Information Exchange Model                                          |                    | 14-Oct-07 |                  |           |                                  |           |            |  |
| F107 | **Internet     | DIG35: Metadata Standard for Digital Images                                                | downloaded         | 22-Jul-08 |                  |           |                                  | 10-Jun-02 |            |  |
| A050 | DIGISTAMP      | DigiStamp - The Trusted Third-Party Time Authority                                         | Web Article        | 15-May-02 | 9 of 9           | N/A       | DigiStamp, Inc.                  | 1-Jan-01  | UNKNOW     |  |
| D030 | INFORMATI      | Digital Signature Guidelines                                                               | Article            | 1-Aug-96  | 1 of 1           | NA        | American Bar Assoc               | 1-Aug-96  |            |  |
| J049 |                | Digital Signature in a Web Application                                                     |                    | 25-Mar-06 |                  |           |                                  |           |            |  |
| V002 |                | Digital Signature Initiative                                                               |                    | 30-Mar-09 |                  |           |                                  |           |            |  |
| A003 | DIVISION O     | Digital Signature Licensing Information                                                    | Webpage            | UNKNOWN   | 1 of 1           | N/A       | Utah Department of Commerce      |           | SLC, UT    |  |
| P019 |                | DIGITAL SIGNATURE STANDARD (DSS)                                                           |                    |           |                  |           |                                  | 27-Jan-00 |            |  |
| B012 | AKI KANIEL     | Digital Signature Tutorial                                                                 | TBD                | 4-Mar-06  | TBD              | NA        | TBD                              |           | NA         |  |
| T006 | Thomas J. Sm   | DIGITAL SIGNATURES                                                                         |                    | 21-Sep-04 |                  |           |                                  | 20-Jul-98 |            |  |
| A040 | DIGITAL SIC    | Digital Signatures and Public Key Infrastructure (PKI) 101                                 | Article            | After 00  | 5 of 5           | N/A       | Digital Signature Tr             | 1-Jan-00  | SLC, UT    |  |
| J022 | ALGORITHM      | Digital Signatures at The Point of Sale                                                    |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |
| J028 | ALGORITHM      | Digital Signatures for Content & Document-Management Systems                               |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |
| J026 | MOTTY ALO      | Digital Signatures in a Document-Intensive Organization                                    |                    | 10-Mar-06 | entire; P4, P5   |           | Algorithmic Research             | 1-Jul-03  |            |  |
| J025 |                | Digital signatures in Insurance                                                            |                    | 10-Mar-06 |                  |           | Algorithmic Research             |           |            |  |
| F056 | THOMAS J. S    | DIGITAL SIGNATURES The Key to Secure Internet Commerce                                     |                    | 21-Sep-04 |                  |           | National Conference              | 23-Jul-98 |            |  |
| A042 | SURETY, INC    | Digital Software Development Kit (SDK)                                                     | Web Article        | 13-May-02 | 4 of 4           | N/A       | Surety, Inc.                     | 1999-2001 | UNKNOW     |  |
| J029 | ALGORITHM      | Digitally Signing MS-Word and Adobe Acrobat Documents with CoSignTM                        |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |
| F063 |                | DIS-29500: Deprecated before use?                                                          |                    | 17-Nov-08 |                  |           |                                  |           |            |  |
| J107 |                | Document Process Management The Case for an Integrated Lifecycle Approach                  |                    | 4-Jul-08  |                  |           |                                  |           |            |  |
| M008 |                | Donald Eastlake on XML digital signatures                                                  |                    | 4-Feb-06  |                  |           |                                  |           |            |  |
| J069 |                | Draft Data Exchange                                                                        |                    | 8-Sep-07  |                  |           |                                  |           |            |  |
| M013 |                | DSCA 01-21 Implementation of Electronic Countersignature Processes                         |                    | 4-Mar-06  |                  |           |                                  |           |            |  |
| Q008 |                | dSIG Project                                                                               |                    | 10-Mar-09 |                  |           |                                  |           |            |  |
| V001 |                | DSig Reference Materials                                                                   |                    | 30-Mar-09 |                  |           |                                  |           |            |  |
| A011 | TREVOR PE      | DSS Use Case Requirements Analysis                                                         | Document           | 23-Mar-06 | 16 of 16         | N/A       | The Organization fo              | 16-Aug-03 | UNKNOW     |  |
| D010 |                | Dynamic Content Software Strategies Consulting Service                                     | Website Artic      | 26-Oct-05 |                  |           | InfoTrends                       | 26-Oct-05 |            |  |
| M031 |                | ECF 3.0Enhancement Proposal                                                                |                    | 16-Sep-07 |                  |           |                                  |           |            |  |
| P023 |                | ECMA International                                                                         |                    | 26-Mar-09 |                  |           |                                  |           |            |  |
| F245 | ELIZABETH      | Ecma to create standard out of Microsoft rival to PDF                                      |                    | 24-Jun-08 |                  |           |                                  |           |            |  |
| C014 |                | ELECTROICS FOR YOU - Security                                                              | http://www.ef      | 20-Oct-05 | Page 111-116     |           | www.efymag.com                   | 1-Jun-05  |            |  |
| D029 |                | Electronic                                                                                 |                    |           |                  |           |                                  |           |            |  |
| J122 |                | Electronic Business Extensible Markup Language (ebXML)                                     |                    | 4-Nov-08  |                  |           |                                  |           |            |  |
| D011 | MARK A. LU     | Electronic Communications                                                                  |                    | 1-Jan-02  |                  |           | Educause                         | 1-Jan-02  |            |  |
| M036 |                | Electronic Data Interchange                                                                |                    | 29-Sep-08 |                  |           |                                  |           |            |  |
| J066 |                | ELECTRONIC FILING PROJECT                                                                  |                    | 8-Sep-07  |                  |           |                                  |           |            |  |
| J065 |                | Electronic Notaries in Colorado                                                            |                    | 21-Jun-07 |                  |           |                                  |           |            |  |
| D024 | GREENWOO       | Electronic Notarization                                                                    |                    | 18-Mar-06 | entire           |           | MIT - Natl Notry Asc             |           |            |  |
| T054 | William J. Fly | Electronic Signature Forensics                                                             |                    | 4-Nov-05  |                  |           |                                  | 1-Jan-05  |            |  |
| J012 | TOPAZ SYST     | Electronic Signature Systems -- A Guide for IT Personnel                                   |                    | 4-Nov-05  | entire           |           | Topaz Systems                    | 31-Aug-04 |            |  |
| A010 | OFFICE OF S    | Electronic Signatures                                                                      | Powerpoint Pr      | 23-Mar-06 | 4 of ...         | N/A       | Office of the Secretary of State |           | UNKNOW     |  |
| J024 | ALGORITHM      | Electronic Signatures -- Overcoming Barriers to Efficient Healthcare                       |                    | 10-Mar-06 | entire           |           | Algorithmic Research             |           |            |  |
| J060 |                | Electronic Signatures and Infrastructures (ESI); CMS Advanced Electronic Signatures (CAeS) |                    | 8-Apr-06  |                  | 1.6.3     |                                  |           |            |  |
| J059 |                | Electronic Signatures and Infrastructures (ESI); Electronic Signature Formats              |                    | 8-Apr-06  |                  | 1.5.1     |                                  |           |            |  |
| J056 |                | Electronic Signatures and Infrastructures (ESI); Maintenance report                        |                    | 8-Apr-06  |                  |           |                                  |           |            |  |
| F195 |                | ELECTRONIC SIGNATURES IN GLOBAL AND NATIONAL COMMERCE ACT                                  |                    | 21-Sep-04 |                  |           | 106th Congress                   | 30-Jun-00 |            |  |
| A038 | ROBINS, KA     | Electronic Signatures in Global and National Commerce Act (ESIGN) -- FAQs and              | http://www.ri      | 19-Jun-05 | All              | N/A       | Robins, Kaplan, Mil              | 1-Jan-04  | UNKNOW     |  |
| J046 |                | Eliminating the Password Nightmare                                                         |                    | 22-Mar-06 |                  |           | DigitalPersona                   |           |            |  |
| J108 |                | Email Archiving: A Proactive Approach to e-Discovery                                       |                    | 4-Jul-08  |                  |           |                                  |           |            |  |
| G006 |                | Embedding XMP Metadata in Application Files - Draft                                        |                    | 22-Jun-08 |                  |           |                                  |           |            |  |
| F025 |                | Embedding XMP Metadata in Application Files.htm                                            | http://partners    | 22-Jun-08 |                  |           |                                  | 13-Sep-02 |            |  |
| J081 | ADOBE          | Embedding XMP Metadata in Application Files Draft 6-6-02                                   |                    | 21-Jun-08 | entire, esp S1.5 |           | Adobe                            |           |            |  |
| D008 |                | eMortgage Guidelines and Recommendations V1.0                                              |                    | 10-Jan-03 |                  |           | Mortgage Bankers A               | 10-Jan-03 |            |  |
| F284 | R. V. GUHA,    | Enabling Inference                                                                         |                    | 25-Jun-08 |                  |           |                                  | 18-Nov-98 |            |  |
| T015 |                | Encrypt Your Data to Keep It Safe                                                          |                    | 8-Oct-04  |                  |           |                                  | 24-Aug-01 |            |  |
| Q007 |                | Enhanced Adobe XML Architecture Supports XML/PDF Form Designer and XML Data Package        |                    | 31-Mar-09 |                  |           |                                  | 15-Jul-03 |            |  |
| B020 | NATIONAL       | Enjoa Reader User's Guide                                                                  | User's Guide       | TBD       | 16 of 16         | NA        | National Notary Ass              | 27-Jun-05 | NA         |  |
| A006 | NATIONAL       | Enjoa User's Guide                                                                         | Book               | UNKNOWN   | Table of Co      | N/A       | National Notary Association      |           | UNKNOW     |  |
| D026 |                | eNotarization                                                                              |                    | 14-Oct-07 |                  |           |                                  |           |            |  |



|      |                                    |                                                                                    |                                    |           |                           |               |                                    |           |                   |
|------|------------------------------------|------------------------------------------------------------------------------------|------------------------------------|-----------|---------------------------|---------------|------------------------------------|-----------|-------------------|
| J043 | BJORN, ET AL                       | Enterprise Security Architecture for Biometric User Authentication Systems         |                                    | 22-Mar-06 | p5,9                      |               | DigitalPersona                     |           |                   |
| B051 | VERISIGN                           | Unvincible Teams with VeriSign to Deliver Trusted Transaction Web Services         | http://corporat                    | 16-Apr-01 | 3 of 3                    | NA            | VeriSign.com                       | 10-Apr-01 | NA                |
| B028 | MARGOT SAUNDERS                    | E-Sign and UETA: What Should States Do Now?                                        |                                    | TBD       | 43 of 43                  | NA            | Margot Saunders, Gail Hillebrand   |           | NA                |
| F350 |                                    | ESIGN Electronic Commerce and Electronic Signature Law Clarified.                  |                                    | 21-Sep-04 | entire                    |               |                                    | 1-Jan-03  |                   |
| D001 |                                    | ETSI XML Advanced Electronic Signatures (XAdES)                                    | Technical Spe                      | 1-Mar-06  | 1 to 101                  |               | ETSI                               | 1-Mar-06  |                   |
| B018 | PROTOCOL                           | ETSI XML Namespace                                                                 | http://portal.etsi                 | 31-Mar-06 | 2 of 2                    | NA            | Protocol & Testing                 | 26-Jan-06 | NA                |
| P022 |                                    | Exchangeable image file format                                                     | Wikipedia                          | 26-Mar-09 |                           |               |                                    |           |                   |
| J080 | NGO                                | EXPLANATORY REPORT ON OFFICE OPEN XML STANDARD (ECMA-376)                          | SUBMITTED                          | 6-Jun-08  | entire                    |               | ECMA International                 |           |                   |
| F321 |                                    | Explorer versus PNG (AlphaImageLoader)                                             |                                    | 19-May-03 |                           |               |                                    |           |                   |
| T025 | Michel I. Gall                     | Extending .NET Cryptography with CAPICOM and P/Invoke                              | MSDN Article                       | 15-Oct-05 |                           |               |                                    | 1-Jun-03  |                   |
| J109 |                                    | Extending XML-based Services Beyond the Perimeter                                  |                                    | 4-Jul-08  |                           |               |                                    |           |                   |
| F247 |                                    | Extensible Markup Language (XML)                                                   | Article                            | 6-Jun-08  |                           |               |                                    | 10-Feb-98 |                   |
| F619 |                                    | Extensible Markup Language (XML) 1.0                                               | W3C Recomm                         | 3-May-08  |                           |               |                                    | 10-Feb-98 |                   |
| F373 |                                    | Extensible Markup Language (XML) 1.0 (Second Edition)                              | W3C Recomm                         | 11-Sep-03 |                           |               |                                    | 6-Oct-00  |                   |
| F602 |                                    | Extensible Markup Language (XML) 1.0 (Third Edition)                               | W3C Recomm                         | 16-Sep-07 |                           |               |                                    | 4-Feb-04  |                   |
| F042 |                                    | Extensible Metadata Platform                                                       | Wikipedia                          | 18-Aug-08 |                           |               |                                    |           |                   |
| F238 |                                    | Extensible Metadata Platform (XMP)                                                 |                                    | 22-Jun-08 |                           |               |                                    | 22-Jun-04 |                   |
| F104 |                                    | Extensible Metadata Platform (XMP) Adding intelligence to media                    |                                    | 22-Jul-08 |                           |               |                                    |           |                   |
| F741 |                                    | Fedora alert FEDORA-2008-3979 (libpng10)                                           |                                    | 21-Jun-08 |                           |               |                                    |           |                   |
| F250 | RON WHITE                          | Free and Cheap Software That Kills the Big Guys                                    |                                    | 24-Jun-08 |                           |               |                                    |           |                   |
| G004 |                                    | Freely Available Standards                                                         |                                    | 4-Nov-08  |                           |               |                                    |           |                   |
| C007 |                                    | Frequently Asked Questions                                                         | web site                           |           |                           |               | eOriginal                          |           |                   |
| D003 |                                    | Garland Municipal Court Goes Paperless                                             | Internet Article                   | 6-Jul-07  |                           |               |                                    | 6-Jul-07  |                   |
| C017 |                                    | Generate PKCS#7 Signatures and Envelopes                                           | http://www.as                      | 13-May-03 |                           |               |                                    | 1-Jan-00  |                   |
| U007 | Tim Berners-Lee                    | Generic Resources                                                                  |                                    | 30-Mar-09 |                           |               |                                    | 1-Jan-96  |                   |
| J110 | ALTOVA                             | Getting More from Your Content with Single Source Publishing                       |                                    | 4-Jul-08  | entire, esp P3p3, P8,9,10 |               | Altova                             |           |                   |
| E009 | FREDERICK                          | Getting Started With XML Security                                                  | Website Article                    | 20-Oct-05 | All                       | NA            | Frederick Hirsch                   | 1-Jan-02  |                   |
| U006 | Tim Berners-Lee                    | Giving Information About Other Resources in HTML                                   |                                    | 30-Mar-09 |                           |               |                                    | 20-Nov-95 |                   |
| M027 |                                    | GJXDM DataModeler                                                                  |                                    | 21-Apr-06 |                           |               |                                    |           |                   |
| M026 |                                    | GJXDM Information Exchange Package Documentation Guidelines                        |                                    | 21-Apr-06 |                           |               |                                    |           |                   |
| B027 | BAKER & MCKENZIE                   | Global E-Commerce Law                                                              | Online Article                     | TBD       | 5 of 5                    | NA            | Baker & McKenzie                   | 1-Jan-01  | NA                |
| D034 | US DEPARTMENT OF JUSTICE           | Global Justice Information Sharing Initiative:                                     | www.ijisip.gov/global              |           | All                       | NA            | US Department of Justice           |           | Washington        |
| F743 |                                    | GnuPG 1.2.2 released                                                               |                                    | 14-May-03 |                           |               |                                    |           |                   |
| J091 | SALOP                              | Going Digital: Why Use Industry Metadata Standards ... and How                     |                                    | 22-Jun-08 |                           |               | Adobe                              |           |                   |
| F044 |                                    | Graphics Interchange Format                                                        | Wikipedia                          | 18-Aug-08 |                           |               |                                    |           |                   |
| J044 |                                    | Guide to Fingerprint Identification                                                |                                    | 22-Mar-06 |                           |               | DigitalPersona                     |           |                   |
| A047 | VERISIGN, INC.                     | Guide To Securing Intranet And Extranet Servers                                    | http://www.verisign.com            | 18-Dec-00 | All                       | N/A           | VeriSign, Inc.                     |           | UNKNOWN           |
| D017 |                                    | Guide to Securing Your Web Site For Business                                       | http://www.verisign.com            | 20-Apr-01 | 1 to 8 of 13              |               | VeriSign                           |           |                   |
| G007 |                                    | Hague Conference on Private International Law                                      | Wikipedia                          | 21-Jun-08 |                           |               |                                    |           |                   |
| F621 | LISA REIN                          | Handling Binary Data in XML Documents                                              |                                    | 3-May-08  |                           |               |                                    | 24-Jul-98 |                   |
| D007 |                                    | HB 1300                                                                            | e_article.pdf                      | 23-Jun-07 |                           |               |                                    |           |                   |
| D023 | DAVID S. THOMAS                    | Historic Forum Brings Leaders and Industry Together on Electronic Notary Issues    | Website Article                    |           | 3 of 3                    | NA            | Nationalnotary.org                 |           |                   |
| F003 |                                    | History of PNG.htm                                                                 |                                    | 5-Sep-08  |                           |               |                                    |           |                   |
| B005 | GREG ROELOFS                       | History of the Portable Network Graphics (PNG) Format                              | http://www.libpng.org/pub/png/     | 28-Apr-08 | 8 of 8                    | NA            | Greg Roelofs                       | 12-Jun-05 | NA                |
| B007 | GREGG FRAZEE                       | House Bill 01-1393                                                                 | State Of Colorado                  | 23-Jun-08 | 2 of 2                    | Article: 71.3 | State of Colorado                  |           | State of Colorado |
| B022 | CALIFORNIA BAIL AGENTS ASSOCIATION | How Bail Works                                                                     | http://www.calbail.com             | 14-May-02 | 2 of 2                    | NA            | California Bail Agents Association |           | Sacramento        |
| B059 | TBD                                | How Bail Works: History of Bail                                                    | Online Article                     | 25-Feb-06 | 8 of 8                    | NA            | TBD                                |           | NA                |
| F202 | EXECUTIVE                          | HOW DO E-NOTARIZATION AND E-SIGNATURES WORK?                                       |                                    | 1-Mar-01  |                           |               |                                    | 1-Mar-01  |                   |
| S003 |                                    | How Does Compound Document Framework Benefit Us?                                   |                                    | 26-Mar-09 |                           |               |                                    | 11-Jun-07 |                   |
| R004 |                                    | HOWTO: Export/Import Plain Text Session Key Using CryptoAPI (Q228786)              |                                    | 20-Feb-03 |                           |               |                                    |           |                   |
| A043 | HTTP://WWW.LINUXDOCS.ORG           | Howto: PGP and GnuPG                                                               | Web Article                        | 9-Jan-01  | 2 of 2                    | N/A           | http://www.linuxdoc.org/HOWTO/M    |           | UNKNOWN           |
| F308 |                                    | HOWTO: Send Digitally Signed Messages By Using CDOSYS/CDOEX                        | Microsoft Knowledge Base           | 13-May-03 |                           |               |                                    |           |                   |
| N002 |                                    | Human Computation                                                                  | viewed                             | 22-Jul-08 |                           |               |                                    |           |                   |
| J102 |                                    | IBM pureXML for SOA: Unlocking the business value of information                   |                                    | 4-Jul-08  |                           |               |                                    |           |                   |
| B041 | FREE SOFTWARE FOUNDATION           | ICONV                                                                              | http://www.gnu.org/software/iconv/ | 20-Oct-05 | 3 of 3                    | NA            | Free Software Foundation           |           | Boston, MA        |
| B044 | FREE SOFTWARE FOUNDATION           | ICONV CLOSE                                                                        | http://www.gnu.org/software/iconv/ | 20-Oct-05 | 1 of 1                    | NA            | Free Software Foundation           |           | Boston, MA        |
| B042 | FREE SOFTWARE FOUNDATION           | ICONV OPEN                                                                         | http://www.gnu.org/software/iconv/ | 20-Oct-05 | 4 of 4                    | NA            | Free Software Foundation           |           | Boston, MA        |
| F627 | WILLIAM JAMES                      | Illinois crosses the bridge                                                        |                                    | 28-Dec-07 |                           | 6-Aug-07      |                                    |           |                   |
| F123 |                                    | Image2PDF 3.2 user manual                                                          |                                    | 4-Jul-08  |                           |               | verypdf.com Inc                    |           |                   |
| A021 | MILTON G. CHATSWORTH               | IN NOTARIZATION, THERE IS NO SUBSTITUTE FOR PERSONAL APPEARANCE                    | Presentation                       | Early 01  | 8 OF 8                    | N/A           | National Notary Association        | 13-Jul-00 | Chatsworth        |
| J083 |                                    | In Swedish ???                                                                     |                                    | 22-Jun-08 |                           |               |                                    |           |                   |
| J048 |                                    | Infomosaic SecureForm Designer                                                     |                                    | 25-Mar-06 |                           |               |                                    |           |                   |
| J011 | INFOMOSAIC                         | Infomosaic SecureSign Desktop Document Signer                                      |                                    | 4-Nov-05  | entire                    |               | Infomosaic                         | 9-Jan-04  |                   |
| J010 | INFOMOSAIC                         | Infomosaic SecureXML Digital Signature & Encryption Toolkit                        |                                    | 4-Nov-05  | entire                    |               | Infomosaic                         | 9-Jan-04  |                   |
| M018 |                                    | Infomosaic SecureXML Multiple Signature Demo                                       |                                    | 30-Mar-06 |                           |               |                                    |           |                   |
| A009 | HTTP://WWW.INFOFOMOSAIC.COM        | Infomosaic: The Easy to Use Digital Signature                                      | Webpage                            | 23-Mar-06 | 8 of 8                    | N/A           | http://www.infomosaic.com          | 2-Feb-03  | UNKNOWN           |
| D037 | US DEPARTMENT OF JUSTICE           | Information-Led Policing Research, Technology Development, Testing, and Evaluation |                                    |           |                           |               | US Department of Justice           |           |                   |
| A029 | JOHN MESSING                       | Initial eNotary Signature Candidate Profiles                                       | Article                            | After 00  | 1 of 1                    | N/A           | John Messing                       |           | UNKNOWN           |
| B034 | TBD                                | Installation on the Win32 Platform                                                 | TBD                                | 20-Oct-05 | 5 of 5                    | NA            | TBD                                |           | NA                |
| A045 | VERISIGN, INC.                     | Introduction to Public Key Cryptography                                            | Web Article                        | 18-Jan-01 | 4 of 4                    | N/A           | VeriSign, Inc.                     | 1-Jan-98  | UNKNOWN           |
| J116 |                                    | IPTC - NAA Subject Reference Scheme                                                |                                    | 4-Jul-08  |                           |               |                                    |           |                   |
| J113 |                                    | IPTC Core Schema for XMP                                                           | Specification document             | 4-Jul-08  |                           |               | IPTC                               |           |                   |
| P024 |                                    | IPTC Information Interchange Model                                                 |                                    | 26-Mar-09 |                           |               |                                    |           |                   |
| F112 |                                    | IPTC Metadata for XMP                                                              |                                    | 4-Jul-08  |                           |               |                                    |           |                   |
| J105 |                                    | Is a Data Warehouse the Only Option for Business Intelligence?                     |                                    | 4-Jul-08  |                           |               |                                    |           |                   |
| J077 |                                    | JANUS: An interactive document formatter based on declarative tags                 |                                    | 16-May-08 |                           |               |                                    | 1-Jan-85  |                   |
| B057 | JOHN WARMOCK                       | John Warnock's 'Camelot' signalled birth of PDF                                    | http://www.pdf.com                 | 29-May-06 | Page 1 of 7               | NA            | John Warnock, www.pdf.com          | 18-Jan-02 | NA                |

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
 Sheet ( 4 ) of ( 7 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|      |               |                                                                                                |                  |               |             |               |                                     |           |  |                                                    |
|------|---------------|------------------------------------------------------------------------------------------------|------------------|---------------|-------------|---------------|-------------------------------------|-----------|--|----------------------------------------------------|
| F045 |               | JPEG                                                                                           | Wikipedia        | 18-Aug-08     |             |               |                                     |           |  |                                                    |
| D018 | THE DIGITA    | JPEG 2000                                                                                      |                  | 22-Jul-08     | 6 of 6      | NA            | DIG2000 Initiative                  |           |  |                                                    |
| F046 |               | JPEG 2000                                                                                      | Wikipedia        | 18-Aug-08     |             |               |                                     |           |  |                                                    |
| N006 |               | JPEG 2000 & JP2                                                                                |                  | 22-Jul-08     |             |               |                                     |           |  |                                                    |
| J117 |               | JPEG 2000 Offers New Opportunities to Enrich Image Content & Applications Flexibility          |                  | 22-Jul-08     |             |               |                                     |           |  |                                                    |
| P095 |               | JPEG Freely available source code for JPEG                                                     |                  | 19-Feb-03     |             |               |                                     |           |  |                                                    |
| F047 |               | JPEG Network Graphics                                                                          | Wikipedia        | 18-Aug-08     |             |               |                                     |           |  |                                                    |
| U001 |               | Jpeg Source jpegsr0b.zip                                                                       |                  | 16-Nov-08     |             |               |                                     | 14-Sep-98 |  |                                                    |
| J062 |               | Justice Information Sharing and the Courts: Courts Implementing the GJXDM                      |                  | 21-Apr-06     |             |               |                                     |           |  |                                                    |
| D031 | BUREAU OF     | Justice Information Sharing Resource Directory:                                                | Online Direct    | 18-Sep-07     | 2 of ...    | NA            | Bureau of Justice Assistance        |           |  |                                                    |
| C005 |               | Key and CSR generation instructions                                                            | http://www.th    | 8-Oct-04      | Page 2 of 2 |               | Thawte consulting l                 | 1-Jan-04  |  |                                                    |
| U004 | Michael R. Ge | Knowledge Interchange Format                                                                   |                  | 30-Mar-09     |             |               |                                     |           |  |                                                    |
| M007 |               | Lab 10: Digital Signatures in InfoPath 2003                                                    |                  | 4-Feb-06      |             |               |                                     |           |  |                                                    |
| D040 | SEARCH        | Law Enforcement Information Exchange Package Documentation                                     | Workshop Res     | 15-Mar-05     | All         | NA            | SEARCH                              |           |  | Sacrament                                          |
| T052 |               | Laws CALIFORNIA DIGITAL SIGNATURE REGULATIONS                                                  |                  | 4-Nov-05      |             |               | California Code                     | 12-Jun-98 |  |                                                    |
| T016 |               | Laws Utah n: EDRIIVE 22                                                                        |                  | 14-Jan-01     |             |               |                                     |           |  |                                                    |
| F638 |               | Laws-2003 Electronic Commerce Legislation                                                      |                  | 21-Sep-04     |             |               |                                     |           |  |                                                    |
| F639 |               | Laws-2003 Electronic Signatures and UETA Legislation                                           |                  | 21-Sep-04     |             |               |                                     |           |  |                                                    |
| K011 |               | Laws-Utah Chapter 46                                                                           |                  | 16-May-03     |             |               |                                     |           |  |                                                    |
| A028 | NICK POPE     | Legal XML - E-Notary: Technical requirements for a E-Notary Digital Signature S                | Article          | after 6-18-04 | 1 of ...    | N/A           | Nick Pope                           | 18-Jun-04 |  | UNKNOW                                             |
| E014 | OASIS COM     | LegalXML Electronic Court Filing 3.0                                                           | Committee Dr     | 15-Nov-05     | All         | NA            | OASIS                               | 15-Nov-05 |  |                                                    |
| B040 | FREE SOFTW    | libconv                                                                                        | http://www.gr    | 20-Oct-05     | 5 of 5      | NA            | Free Software Foun                  | 1-Jan-98  |  | Boston, MA                                         |
| F031 |               | libmng - The MNG reference library & related info                                              |                  | 19-Feb-03     |             |               |                                     |           |  |                                                    |
| C026 |               | Libpng 1.2.10 - April 23, 2006                                                                 | http://www.lib   | 8-May-06      |             |               |                                     | 23-Apr-06 |  |                                                    |
| F733 |               | libpng 1.2.5 manual.htm                                                                        |                  | 8-May-06      |             |               |                                     |           |  |                                                    |
| B033 | IGOR ZLATK    | Libxml                                                                                         | zlatkovic.com    | 20-Oct-05     | 4 of 4      | NA            | Igor Zlatkovic                      |           |  | NA                                                 |
| F367 |               | libxml2 Reference Manual                                                                       |                  | 12-Sep-05     |             |               |                                     |           |  |                                                    |
| T037 | Chris Lovett  | ListEditor: A Useful XML Web Service                                                           | MSDN             | 15-Oct-05     |             |               | Microsoft Corporati                 | 21-Apr-00 |  |                                                    |
| B021 | CALIFORNIA    | Local Information                                                                              | http://www.cb    | 14-May-02     | 1 of 1      | NA            | California Bail Agents Association  |           |  | Sacrament                                          |
| A026 | YUICHI SUZ    | Long term electronic signature os documents retention                                          | Powerpoint Pr    | some 2004     | 10 of 10    | N/A           | Yuichi Suzuki                       | 1-Jan-04  |  | UNKNOW                                             |
| F276 |               | Luxtrust: which trust ?                                                                        |                  | 24-Jun-08     |             |               |                                     |           |  |                                                    |
| J001 |               | LZS21-C Version 6 Data Compression Software                                                    |                  | 9-Feb-98      |             |               |                                     |           |  |                                                    |
| R001 |               | Message Authentication, Integrity, and Non-repudiation from Paper to PKI                       | An Informatio    | 13-May-02     |             |               |                                     |           |  | http://www.imforumj.gc.ca/new_docs/authentic_e.doc |
| U003 | Tim Berners-L | Metadata Architecture                                                                          |                  | 30-Mar-09     |             |               |                                     | 6-Jan-97  |  |                                                    |
| P025 |               | Metadata: a simple guide to describing pictures for use in online image libraries              | Whitepaper       | 26-Mar-09     |             |               |                                     | 9-Feb-06  |  |                                                    |
| D002 |               | Microsoft Core XML Services (MSXML) 6.0                                                        | Software SDK     | 7-Nov-05      |             |               | Microsoft                           | 7-Nov-05  |  |                                                    |
| B017 | MICROSOFT     | Microsoft Cryptographic Service Provider Development Kit                                       | Document         | TBD           | 4 of 4      | NA            | Microsoft                           | 1999-2001 |  | NA                                                 |
| P005 |               | MICROSOFT FOUNDATION CLASS LIBRARY : WebImageTest                                              |                  | 19-Feb-03     |             |               |                                     |           |  |                                                    |
| B014 | 5573>>MICR    | Microsoft Knowledge Base                                                                       | Article          | 28-Dec-04     | 2 of 2      | Article: Q254 | TBD                                 |           |  | NA                                                 |
| T012 |               | Microsoft Windows 2000 Public Key Infrastructure                                               |                  | 7-Oct-03      |             |               | Microsoft Corporati                 | 1-Apr-99  |  |                                                    |
| M023 |               | Microsoft XML Core Services (MSXML)                                                            |                  | 30-Mar-06     |             |               |                                     |           |  |                                                    |
| D009 | HARRY GAR     | MISMO eMortgage Update                                                                         | Website Artic    | 1-Jan-06      |             |               |                                     | 1-Jan-06  |  |                                                    |
| C030 |               | MODEL NOTARY ACT                                                                               |                  | 14-Sep-04     | Page 78-113 |               |                                     | 1-Sep-02  |  |                                                    |
| T002 |               | MODEL NOTARY ACT                                                                               |                  | 14-Sep-04     |             |               |                                     | 1-Sep-02  |  |                                                    |
| A005 | JON UDELL     | Modeling biz docs in XML                                                                       | Webpage          | 15-Oct-05     | 1 of 1      | N/A           | Jon Udell                           | 29-Nov-02 |  | UNKNOW                                             |
| T030 | Aaron Skonna  | MSXML 3.0 Supports XPath 1.0, XSLT 1.0, XDR, and SAX2                                          | MSDN Magaz       | 15-Oct-05     |             | Sep-00        | MSDN Magazine                       | 1-Sep-00  |  |                                                    |
| F048 | GREG ROEL     | Multiple-image Network Graphics                                                                | Wikipedia        | 30-Jun-08     |             |               |                                     |           |  | http://www.libpng.org/pub/mng/                     |
| P020 |               | Multipurpose Internet Mail Extensions                                                          |                  |               |             |               |                                     | 1-Nov-96  |  |                                                    |
| E010 | W3C           | Namespaces in XML                                                                              | World Wide W     | 23-Mar-06     | All         | NA            | W3C                                 | 14-Jan-99 |  |                                                    |
| D005 |               | National Notary Associatio Taps GeoTrust for Electronic Notarizatin Initiative Launching in Pe |                  | 31-Jan-06     |             |               |                                     | 31-Jan-06 |  |                                                    |
| F205 |               | National Notary Association and Safedocs Provide E-Notarization Solution for E-Mortgages       |                  | 7-Jun-05      |             |               |                                     |           |  |                                                    |
| J061 |               | NCSC Component Library Executive Summary                                                       |                  | 21-Apr-06     |             |               |                                     |           |  |                                                    |
| G009 | WILLIAM A.    | New California Jurat Law Creates Confusion                                                     |                  | 21-Jun-08     |             |               |                                     | 23-Feb-05 |  |                                                    |
| F034 |               | NEW JERSEY NOTARY PUBLIC MANUAL                                                                |                  | 21-Jun-08     |             |               | State of New Jersey                 | 21-Mar-03 |  |                                                    |
| Q006 |               | Newest Version of PRISM is Now Ready for Comment                                               |                  | 23-Feb-09     |             |               |                                     | 20-Aug-04 |  |                                                    |
| F111 |               | NewsML-G2                                                                                      |                  | 5-Jul-08      |             |               |                                     |           |  |                                                    |
| F074 |               | Next-Generation Secure Computing Base                                                          | Wikipedia        | 31-Oct-08     |             |               |                                     |           |  |                                                    |
| B030 | BARBARA B     | No Sure Thing                                                                                  | Best's Review    | TBD           | 4 of 4      | February 200  | A. M. Best Compan                   | 1-Feb-04  |  | NA                                                 |
| D021 | DAVID S. TH   | North Carolina Passes Histori Legislation on Electronic Notarization                           | Website Article  |               | 2 of 2      | NA            | Nationalnotary.org                  |           |  |                                                    |
| A012 | LAURENCE      | Notaries and Electronic Notarization                                                           | Resource Page    | 4-Mar-06      | 6 of 6      | N/A           | OASIS Open, Inc.                    | 1-Jan-02  |  | UNKNOW                                             |
| A030 | MANOJ SRIV    | Notarized Digital Signature                                                                    | Internet Article | 6-Jun-05      | entire      | N/A           | Manoj Srivastava                    | 22-Oct-02 |  | NA                                                 |
| M015 |               | Notary Online Library » NNA Position Papers                                                    |                  | 19-Mar-06     |             |               |                                     |           |  |                                                    |
| F033 |               | Notary public                                                                                  | Wikipedia        | 21-Jun-08     |             |               |                                     |           |  |                                                    |
| A023 | A. SCHMIDT    | Notary Services' Requirements Review & Data Structures for Certifications                      | Powerpoint Pr    | After 00      | 7 of 7      | N/A           | A. Schmidt; W. Schneider; Fruanhofe |           |  | UNKNOW                                             |
| J067 |               | OASIS LegalXML Member Section Electronic Court Filing Technical Committee DRAFT Electro        |                  | 8-Sep-07      |             |               |                                     |           |  |                                                    |
| J075 |               | OASIS LegalXML Member Section Electronic Court Filing Technical Committee Electronic Cour      |                  | 14-Oct-07     |             |               |                                     |           |  |                                                    |
| F113 |               | ONJava.com -- XML to PDF Oh, FOP It.htm                                                        |                  | 22-Jul-08     |             |               |                                     |           |  |                                                    |
| E017 | WAIBEL, BR    | Online Carpentry: Refinishing Your Table of Contents                                           | MSDN             | 15-Oct-05     | All         | NA            | Microsoft Corporati                 | 21-Dec-00 |  |                                                    |
| F263 |               | Open source tool released for translating between Microsoft, ODF file formats                  |                  | 24-Jun-08     |             |               |                                     |           |  |                                                    |
| D033 |               | Open XML Court Interface                                                                       | Website Artic    | 16-Nov-04     |             | NA            |                                     | 16-Nov-04 |  |                                                    |
| J094 | REUTER        | OpenDocument meta data                                                                         |                  | 22-Jun-08     |             |               | Sun                                 |           |  |                                                    |
| M009 |               | openssl                                                                                        |                  | 4-Feb-06      |             |               |                                     |           |  |                                                    |
| B046 | THE OPENS     | OpenSSL 0.9.8a                                                                                 | ftp://ftp.opens  | 20-Oct-05     | 4 of 4      | NA            | The OpenSSL Proje                   | 11-Oct-05 |  | NA                                                 |
| P097 |               | OpenSSL Welcome to the OpenSSL Project                                                         |                  |               |             |               |                                     |           |  |                                                    |
| B031 | CS.TORONT     | optiPNG - Revision History                                                                     | http://www.cs    | 2-Apr-06      | 3 of 3      | NA            | www.cs.toronto.edu                  |           |  | Toronto, O                                         |
| F118 | MICHAEL K     | OWL Web Ontology Language Guide                                                                | W3C Recomm       | 22-Jul-08     |             |               |                                     |           |  | 10-Feb-04                                          |
| D022 | PHILLIP W. B  | Pennsylvania Logs On to New Statewide eNotarization System                                     | Website Article  |               | 3 of 3      | NA            | Nationalnotary.org                  |           |  |                                                    |

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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|------|----------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-----------|--------------------------|-------------|-------------------------------------------------------|--|--|--------------------------------|-------------|
| F119 | Tim Berners-L  | Platform for Internet Content Selection (PICS)                                                     | W3C                                                   | 22-Jul-08 |                          |             |                                                       |  |  | 15-Jan-07                      |             |
| P002 |                | PNG (Portable Network Graphics) Specification                                                      | W3C Recomm                                            | 7-Oct-04  |                          | V1          |                                                       |  |  |                                |             |
| P017 |                | PNG (Portable Network Graphics) Specification Version 1.0                                          | W3C Recommendation                                    |           |                          | V1.0        |                                                       |  |  | 1-Oct-96                       |             |
| F734 |                | PNG Alpha Transparency Page 2                                                                      |                                                       | 9-May-06  |                          |             |                                                       |  |  |                                |             |
| F735 |                | PNG Alpha Transparency Page.htm                                                                    |                                                       | 9-May-06  |                          |             |                                                       |  |  |                                |             |
| K001 |                | PNG ALPHAIMAGELOADER                                                                               | DIR                                                   | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| K002 |                | PNG Behavior                                                                                       |                                                       | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| K005 |                | PNG Behavior (WebFX) .htm                                                                          |                                                       | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| K007 |                | PNG Behavior Demo (WebFX)2.htm                                                                     |                                                       | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| F151 | THOMAS KO      | PNG Digital Signatures                                                                             | Extension Spe                                         | 16-May-08 |                          |             |                                                       |  |  |                                |             |
| J079 | BOBLET, KO     | PNG Digital Signatures Commented Example                                                           |                                                       | 16-May-08 | entire                   |             |                                                       |  |  | Dialogika GmbH & LuxTrust S.A. |             |
| J078 | KOPP           | PNG Digital Signatures Extension Specification                                                     |                                                       | 16-May-08 | entire, esp Sec5.1.2.5.2 |             |                                                       |  |  | Dialogika GmbH & LuxTrust S.A. |             |
| Q009 |                | PNG dSIG chunk support                                                                             |                                                       | 31-Mar-09 |                          |             |                                                       |  |  |                                |             |
| E018 |                | PNG Frequently Asked Questions                                                                     |                                                       | 28-Apr-08 |                          |             |                                                       |  |  |                                |             |
| U010 |                | PNG Group Email correspondance                                                                     | Email cooresp                                         | 16-May-08 |                          |             |                                                       |  |  | 1-Sep-01                       |             |
| K003 | DIR            | PNG Inline Tests (1)                                                                               |                                                       | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| P003 |                | PNG IO Version 3.3 User and Reference Manual                                                       |                                                       | 4-Mar-03  |                          |             |                                                       |  |  |                                |             |
| C024 |                | PNG License                                                                                        | <a href="http://www.lib">http://www.lib</a>           | 21-Jun-08 |                          |             |                                                       |  |  | 15-Aug-04                      |             |
| C027 | GREG ROEL      | PNG Portable Network Graphics Specification, Version 1.2                                           |                                                       | 27-May-06 |                          |             |                                                       |  |  | 28-Apr-06                      |             |
| F736 |                | PNG Resources of the Miscellaneous Persuasion.htm                                                  |                                                       | 9-May-06  |                          |             |                                                       |  |  |                                |             |
| F737 |                | PNG The Definitive Guide (Online Version).htm                                                      |                                                       | 8-May-06  |                          | ISBN 1-5659 | O'Reilly                                              |  |  |                                |             |
| C018 | LARRY SEL      | PNG Transparency in Internet Explorer                                                              |                                                       | 1-Jan-05  |                          |             |                                                       |  |  | 5-Oct-04                       |             |
| B003 | GREG ROEL      | PNG: The Definitive Guide                                                                          | <a href="http://www.lib">http://www.lib</a>           | 28-Apr-08 | 3 of 3                   | NA          | Greg Roelofs                                          |  |  | 30-Dec-06                      | NA          |
| P008 | Erik Arvidsson | PngBehavior                                                                                        |                                                       |           |                          |             |                                                       |  |  |                                |             |
| P006 | Jason Summers  | PNGDIB - a mini DIB-PNG conversion library for Win32                                               |                                                       | 19-Feb-03 |                          |             |                                                       |  |  |                                |             |
| B010 | JASON SUM      | PNGDIB: A simple Windows DIB / PNG image conversion library                                        | <a href="http://entropyj">http://entropyj</a>         | 23-Feb-03 | 1 of 1                   | NA          | Jasob Summers                                         |  |  | 9-Feb-03                       | NA          |
| F001 |                | png-list 200109.htm                                                                                | Email cooresp                                         | 17-May-08 |                          |             |                                                       |  |  |                                |             |
| K009 |                | PNGSetup and System Administration.htm                                                             |                                                       | 19-May-03 |                          |             |                                                       |  |  |                                |             |
| T027 | Ralph Arvesen  | Pocket PC Signature Application Sample                                                             | MSDN Article                                          | 15-Oct-05 |                          |             |                                                       |  |  | Vertigo Software, Inc          | 1-Mar-03    |
| F005 |                | Portable Document Format                                                                           | Wikipedia                                             | 7-Oct-08  |                          |             |                                                       |  |  |                                |             |
| F006 |                | Portable Network Graphics                                                                          | Wikipedia                                             | 27-Aug-08 |                          |             |                                                       |  |  |                                |             |
| F361 |                | Portable Network Graphics (PNG) Specification (Second Edition)                                     | W3C Recomm                                            | 7-Jan-08  |                          | V2.0        |                                                       |  |  | 10-Nov-03                      |             |
| F390 | KENT DAVI      | Position Paper on Signed XML                                                                       |                                                       | 4-Feb-06  |                          |             |                                                       |  |  |                                |             |
| F071 |                | Preview - SIIA Information Industry Summit 2007.htm                                                |                                                       | 10-Oct-08 |                          |             |                                                       |  |  |                                |             |
| Q005 |                | PRISM: Publishing Requirements for Industry Standard Metadata                                      |                                                       | 23-Feb-09 |                          | V1.0        | PRISM Working Gr                                      |  |  | 9-Apr-01                       |             |
| C019 |                | Procedure for Signing Data                                                                         | <a href="http://msdn.m">http://msdn.m</a>             | 17-May-01 | 2 of 2                   |             |                                                       |  |  | 5-Dec-00                       |             |
| T028 | Dan Fox        | Protect Private Data with the Cryptography Namespaces of the .NET Framework                        | MSDN Article                                          | 15-Oct-05 |                          | Jun-02      | MSDN Magazine                                         |  |  | 1-Jan-02                       |             |
| B019 | PROTOCOL       | PTCC Download                                                                                      | <a href="http://portal.e">http://portal.e</a>         | 31-Mar-06 | 2 of 2                   | NA          | Protocol & Testing                                    |  |  | 2-Mar-06                       | NA          |
| F061 |                | Publicly Available Standards.htm                                                                   |                                                       | 4-Nov-08  |                          |             |                                                       |  |  |                                |             |
| Q004 |                | Publishing Requirements for Industry Standard Metadata (PRISM)                                     |                                                       |           |                          |             |                                                       |  |  | 6-Oct-00                       |             |
| F429 | JOSEPH REA     | Question: The status/design of XML Signatures and Encryption                                       |                                                       | 6-Nov-05  |                          |             |                                                       |  |  | 25-May-01                      |             |
| B026 | STATE OF U     | R590. Insurance, Administration.                                                                   | <a href="http://www.ec">http://www.ec</a>             | 9-Aug-02  | Page 1 of 3              | NA          | Code-Co/State of U                                    |  |  | 1-Jan-01                       | State of Ut |
| F266 | DAN BRICK      | RDF for self-describing images                                                                     |                                                       | 25-Jun-08 |                          |             |                                                       |  |  | 23-Jan-01                      |             |
| U009 | Dave Beckett   | RDF PNG Icon                                                                                       |                                                       | 25-Jun-08 |                          |             |                                                       |  |  | 19-Apr-01                      |             |
| F267 |                | RDF Primer                                                                                         | W3C Recomm                                            | 22-Jun-08 |                          |             |                                                       |  |  |                                |             |
| P015 | Ben Adida, et  | RDFa in XHTML: Syntax and Processing                                                               | W3C Recomm                                            | 26-Mar-09 |                          |             | W3C                                                   |  |  | 14-Oct-08                      |             |
| F729 |                | readme.html                                                                                        |                                                       | 9-Feb-03  |                          |             |                                                       |  |  |                                |             |
| A025 | HTTP://WWW     | ReadNotify Email Notary and Timestamping service                                                   | Article                                               | 7-Jun-05  | 3 of 3                   | N/A         | <a href="http://www.readnoti">http://www.readnoti</a> |  |  | UNKNOW                         |             |
| F329 | Manoj K. Sriv  | Regulatory Compliance Using Digital Signature                                                      |                                                       | 4-Nov-05  |                          |             | US Army                                               |  |  | 27-Jun-05                      |             |
| F731 |                | RelayHealth - Secure Online Communications Between Doctors and Patients.htm                        |                                                       | 10-May-06 |                          |             |                                                       |  |  |                                |             |
| A017 | NATIONAL N     | REPORT & ANALYSIS: The Growing Real Estate Fraud Problem in Florida                                | Report from th                                        | Late 03   | 11 of 11                 | N/A         | National Notary Ass                                   |  |  | 1-Mar-03                       | Chatsworth  |
| F269 | JOEL NAVA      | Report on XML Packaging                                                                            | W3C                                                   | 14-May-08 |                          |             |                                                       |  |  | 2-Aug-99                       |             |
| A024 | A. SCHMIDT     | Requirements for Data Validation and Certification Services                                        | Internet-Draft                                        | 31-Mar-06 | 11 of 11                 | N/A         | The Internet Societ                                   |  |  | 20-Jun-05                      | UNKNOW      |
| F120 |                | Resource Description Framework (RDF)                                                               | downloaded                                            | 22-Jul-08 |                          |             |                                                       |  |  | 1-Jan-04                       |             |
| F057 |                | Revision history of Extensible Metadata Platform                                                   |                                                       | 18-Aug-08 |                          |             |                                                       |  |  |                                |             |
| C001 | M. ROSE        | RFC 2629 - Writing I-D's and RFC's using XML                                                       | Manual                                                | 22-Jul-08 | 1-Jan-00                 | NA          | Invisible Worlds, Inc                                 |  |  | 1-Jun-99                       | NA          |
| C002 | HOUSLEY        | RFC 3852 Cryptographic Message Syntax                                                              | Manual                                                |           | Page 1 to 8              | NA          |                                                       |  |  | 1-Jul-04                       |             |
| C016 |                | Rule R590-186. Bail Bond Surety Business                                                           | <a href="http://www.utah.gov">http://www.utah.gov</a> |           |                          |             |                                                       |  |  | 1-Apr-06                       |             |
| F125 |                | SAAs offers screencasts on Key topics all photographers involved in image licensing need to unders |                                                       | 4-Jul-08  |                          |             |                                                       |  |  |                                |             |
| D025 |                | Safeguarding the                                                                                   |                                                       |           |                          |             |                                                       |  |  |                                |             |
| M011 |                | Safeguarding the Identity and Integrity of XML Messages - Security Strategies for XML Signatures   |                                                       | 5-Feb-06  |                          |             |                                                       |  |  |                                |             |
| T031 | Aaron Skomna   | SAX, the Simple API for XML                                                                        | MSDN Magaz                                            | 15-Oct-05 |                          | Nov-00      | MSDN Magazine                                         |  |  | 1-Nov-00                       |             |
| Q011 | JASON HOSH     | Screencast Tutorials                                                                               |                                                       | 14-Mar-09 |                          |             |                                                       |  |  |                                |             |
| V005 |                | SDML - Signed Document Markup Language                                                             |                                                       | 30-Mar-09 |                          |             |                                                       |  |  |                                |             |
| F732 |                | Search - Wikipedia, the free encyclopedia.htm                                                      |                                                       | 22-May-06 |                          |             |                                                       |  |  |                                |             |
| B052 | WWW.SURE       | Secure Time/Date Stamping in a Certification Authority                                             | <a href="http://www.gc">http://www.gc</a>             | 15-May-02 | 19 of 19                 | NA          | www.surety.com                                        |  |  | NA                             |             |
| E016 | INFOMOSAIC     | SecureXML Digital Signature & Encryption                                                           | User's Guide a                                        | 23-Mar-06 | All                      | 2.5.145.44  | Infomosaic Corpora                                    |  |  | 15-Dec-05                      | Santa Clara |
| J006 | THAWTE         | Securing your Microsoft Internet Information Services (MS IIS) Web Server with a thawte Digital    |                                                       | 24-Apr-05 | entire                   |             | thawte                                                |  |  |                                |             |
| J005 | THAWTE         | Securing your Online Data Transfer with SSL                                                        |                                                       | 24-Apr-05 | entire                   |             | thawte                                                |  |  |                                |             |
| B049 | VERISIGN       | Securing Your Web Site For Business                                                                | <a href="http://www.ve">http://www.ve</a>             | 20-Apr-01 | Page 1 and               | NA          | VeriSign.com                                          |  |  | NA                             |             |
| J121 |                | Security Challenges, Threats and Countermeasures Version 1.0                                       |                                                       | 4-Nov-08  |                          |             |                                                       |  |  |                                |             |
| M034 |                | Security Whitepapers                                                                               |                                                       | 24-Jun-08 |                          |             |                                                       |  |  |                                |             |
| M035 |                | Semantic Web                                                                                       | Wikipedia                                             | 22-Jul-08 |                          |             |                                                       |  |  |                                |             |
| U005 | Tim Berners-L  | Semantic Web Road map                                                                              |                                                       | 30-Mar-09 |                          |             |                                                       |  |  | 1-Sep-98                       |             |
| D004 |                | Send Digital Signed Messages By Using CDOXSYS/CDOEX                                                | <a href="http://support">http://support</a>           | 13-Mar-03 | 4 of 4                   |             | Microsoft                                             |  |  |                                |             |
| B054 | PERSITS SO     | Send Secure ASP Mail in S/MIME Format                                                              | <a href="http://www.as">http://www.as</a>             | 13-Mar-03 | 5 of 5                   | NA          | Persits Software, Inc                                 |  |  | 1-Jan-00                       | NA          |
| A046 | HTTP://MSD     | Signing and Checking Code with Authenticode                                                        | Web Article/W                                         | 16-Apr-01 | 14 of 14                 | N/A         | Microsoft Corporation                                 |  |  | UNKNOW                         |             |

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT  
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 Literature Documents  
 Sheet ( 6 ) of ( 7 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|      |               |                                                                                                |                                           |           |                                               |             |                                                                                     |  |             |                                      |
|------|---------------|------------------------------------------------------------------------------------------------|-------------------------------------------|-----------|-----------------------------------------------|-------------|-------------------------------------------------------------------------------------|--|-------------|--------------------------------------|
| F397 | KARL SCHE     | Signing XML Documents and the Concept of "What You See Is What You Sign"                       |                                           | 4-Feb-06  | entire; esp Ch 1, Ch 2, P39, P47, Ch 5, Ch 6, |             |                                                                                     |  | 1-Jan-01    |                                      |
| J045 |               | Simplified Identity Management, Stronger Authentication                                        |                                           | 22-Mar-06 |                                               |             | DigitalPersona                                                                      |  |             |                                      |
| D019 | MICHAEL J.    | SMART Docs                                                                                     | Mortgage Tec                              | 31-Aug-08 | 3 of 3                                        | NA          |                                                                                     |  |             |                                      |
| F004 | By Michael J. | SMART Docs are Here! Ready For a SMART Envelope?                                               |                                           | 31-Aug-08 |                                               |             |                                                                                     |  | 1-Apr-04    |                                      |
| J119 |               | SMART Document Implementation Guide                                                            |                                           | 17-Sep-08 |                                               |             |                                                                                     |  |             |                                      |
| F273 |               | Smart Documents Overview                                                                       |                                           | 21-Jun-08 |                                               |             | Microsoft                                                                           |  |             |                                      |
| M010 |               | SOAP Security Extensions: Digital Signature                                                    |                                           | 5-Feb-06  |                                               |             |                                                                                     |  |             |                                      |
| P026 |               | SOAP Version 1.2 Part 1: Messaging Framework                                                   | W3C Recommendation                        |           |                                               |             |                                                                                     |  | 24-Jun-03   |                                      |
| A035 | VERISIGN, I   | Software Publisher Digital Ids for Microsoft Authenticode Technology                           | Web Article                               | 20-Apr-01 | 8 of 8                                        | N/A         | VeriSign, Inc.                                                                      |  | 1-Jan-98    | UNKNOW                               |
| B050 | FRANCK MA     | SSL Certificates HOWTO                                                                         | <a href="http://www.gt">http://www.gt</a> | 13-May-03 | 24 of 24                                      | NA          | Franck Martin                                                                       |  | 20-Oct-02   | NA                                   |
| S002 |               | Standardizing Information and Communication Systems                                            |                                           | 26-Mar-09 |                                               | V3.0        |                                                                                     |  | 1-Dec-99    |                                      |
| J068 |               | Standards for Electronic Filing Processes                                                      |                                           | 8-Sep-07  |                                               |             |                                                                                     |  |             | The National Center for State Courts |
| D013 |               | State of Utah- Title 46 Norarization and Authentication of Documents and Digital Signatures    |                                           | 6-May-04  | 10 of 10                                      |             |                                                                                     |  |             |                                      |
| J004 | THAWTE        | Step-Up Encryption                                                                             |                                           | 24-Apr-05 | entire, S2,                                   |             | thawte                                                                              |  |             |                                      |
| J074 |               | Superior Court of Arizona, Maricopa County User Guide                                          |                                           | 14-Oct-07 |                                               |             |                                                                                     |  |             |                                      |
| F423 | Brenda Couls  | Supporting Digital Signatures Within SOAP Messages                                             |                                           | 6-Nov-05  |                                               |             | Dev X                                                                               |  | 1-Jan-04    |                                      |
| J123 |               | Supporting Standards in Intelligent Documents                                                  |                                           | 10-Nov-08 |                                               |             | Adobe                                                                               |  | 3-Mar-05    |                                      |
| E015 | DEVIN DU      | Supreme Court of Canada                                                                        | Website Article                           | 10-Mar-06 | 2 of 2                                        | NA          | Infomosaic Corpora                                                                  |  | 20-May-04   | Santa Clara                          |
| D038 | GEOFFREY B    | System puts data at officers' fingertips                                                       | Newspaper Ar                              | 19-May-06 | 1 of 1                                        | NA          | Deseret Morning Ne                                                                  |  | 19-May-06   | Salt Lake C                          |
| E003 |               | System.Security.Cryptography.Xml Namespace                                                     |                                           | 15-Oct-05 | 1 of 1                                        | NA          | <a href="http://msdn2.microsoft.com">http://msdn2.microsoft.com</a>                 |  |             |                                      |
| J088 | PARSONS, E    | Tag Image File Format (TIFF) - image/tiff MIME Sub-type Registration                           |                                           | 22-Jun-08 | entire, esp P4p2,3                            |             | The Internet Society                                                                |  |             |                                      |
| F052 |               | Tagged Image File Format                                                                       | Wikipedia                                 | 18-Aug-08 |                                               |             |                                                                                     |  |             |                                      |
| B047 | LYN ROBISS    | Teach Yourself Database Programming with Visual C++ in 21 Days- Day 10: Data                   | Exern from bo                             | 11-Dec-02 | Pages 1-4 d                                   | NA          | Sams Publishing                                                                     |  | 1-Jan-99    | TBD                                  |
| F206 |               | ter 1. Notaries Public Reform Act                                                              | Utah Code                                 | 7-Jun-05  |                                               |             |                                                                                     |  | 1-Jan-03    |                                      |
| J103 | IXIA SOFT     | TEXTML Server                                                                                  |                                           | 4-Jul-08  | entire                                        |             | IXIA Soft                                                                           |  |             |                                      |
| J007 | THAWTE        | thawte's Quick Enrollment Guide:                                                               |                                           | 24-Apr-05 | entire                                        |             | thawte                                                                              |  |             |                                      |
| F351 |               | The 2004 Florida Statutes                                                                      |                                           | 21-Sep-04 |                                               |             |                                                                                     |  | 1-Jan-04    |                                      |
| F137 | S. JOSEFSSO   | The Base16, Base32, and Base64 Data Encodings                                                  |                                           | 2-Jul-08  |                                               |             |                                                                                     |  | 1-Jul-03    |                                      |
| A032 | DOCUSIGN,     | The Business Case for Electronic Signing                                                       | Article                                   | 10-Mar-06 | 6 of 6                                        | v2          | DocuSign, Inc.                                                                      |  | 8-Feb-06    | UNKNOW                               |
| B013 | NATIONAL N    | The Colorado Notary                                                                            | Newsletter/Ne                             | 2-Dec-04  | 2 of 2                                        | Volume 1, N | TBD                                                                                 |  | 1-Nov-04    | State of Co                          |
| J072 |               | The Electronic Court Filing 3.0 Standard and What's Next?                                      |                                           | 14-Oct-07 |                                               |             |                                                                                     |  |             |                                      |
| A031 | HTTP://WWW    | The Electronic Notarization System Based on the Notarization System                            | Article                                   | 31-Mar-06 | 1 of 1                                        | N/A         | <a href="http://www.moj.go.jp/ENGLISH/intro">http://www.moj.go.jp/ENGLISH/intro</a> |  |             | UNKNOW                               |
| C008 |               | The E-Sign Act                                                                                 | web site                                  |           |                                               |             | eOriginal                                                                           |  |             |                                      |
| R003 | Domenick J. D | The Evolution of Security on the Web: An Introduction to Cryptosystems of the Internet         |                                           | 13-May-02 |                                               |             |                                                                                     |  | 25-Jun-96   |                                      |
| A015 | 50STATENO     | The Free Introductory Signing Agent Training Course                                            | Training Cour                             | Late 05   | 25 of 25                                      | 7th         | 50StateNotary Com                                                                   |  | 1-Jan-05    | Columbus,                            |
| D032 | THE GLOBA     | The Global Justice Reference Architecture (JRA) Web Services Service Interaction               | Website Article                           | 1-Aug-07  | Title Page                                    | 1.1         | Bureau of Justice As                                                                |  | 1-Aug-07    | Washington                           |
| J037 |               | The Growing Real Estate Fraud Problem in Florida                                               |                                           | 19-Mar-06 |                                               |             |                                                                                     |  |             |                                      |
| P007 | Zip Download  | The Independent JPEG Group's JPEG software                                                     |                                           | 13-Feb-03 |                                               |             |                                                                                     |  |             |                                      |
| A037 | ROBERT CO     | The Internet API (or How to Get from Here to There)                                            | Article                                   | 24-Feb-09 | 9 of 9                                        | N/A         | Microsoft Develop                                                                   |  | 1-Jul-96    | UNKNOW                               |
| D035 | THE GLOBA     | The Justice Reference Architecture (JRA) Specification                                         | Working Draft                             | 8-Aug-06  | All                                           | NA          | US Department of J                                                                  |  | 8-Aug-06    | Washington                           |
| A014 | THOMAS J. S   | The Legal Requirements for Creating Secure and Enforceable Electronic Transactio               | Document                                  | 14-Sep-04 | 30 of 30                                      | N/A         | Thomas J. Smedinghoff, Baker & Mc                                                   |  |             | UNKNOW                               |
| A008 | BAUM, ET A    | The Model Notary Act                                                                           | Public Service                            | Early 03  | entire                                        | N/A         | National Notary Ass                                                                 |  | 1-Sep-02    | UNKNOW                               |
| J104 |               | The Next Generation of BI                                                                      |                                           | 4-Jul-08  |                                               |             |                                                                                     |  |             |                                      |
| A016 | DEBORAH M     | The Notary Office and Its Impact in The 21st Century                                           | Presentation a                            | Early 01  | 28 of 28                                      | N/A         | Deborah M. Thaw, National Notary A                                                  |  |             | Chatsworth                           |
| J040 |               | THE NOTARY OFFICE AND ITS IMPACT IN THE 21ST CENTURY                                           |                                           | 19-Mar-06 |                                               |             |                                                                                     |  |             |                                      |
| J035 |               | THE NOTARY PUBLIC CODE OF PROFESSIONAL RESPONSIBILITY                                          |                                           | 19-Mar-06 | Sec 4,6,7,8                                   |             | Natl Notary Assoc                                                                   |  | 1-Nov-98    |                                      |
| F114 | RAEL DORN     | The Power of Metadata                                                                          |                                           | 22-Jul-08 |                                               |             | O'Reilly                                                                            |  |             |                                      |
| D020 | THE DIGITA    | The Power of Metadata Is Propelling Digital Imaging Beyond the Limitations of Co               | Website Article                           | 22-Jul-08 |                                               | NA          | DIG35 Initiative                                                                    |  | 1-Aug-99    |                                      |
| T022 | Joseph Reagle | The status/design of XML Signatures and Encryption                                             |                                           | 6-Nov-05  |                                               |             | Internationales Cong                                                                |  | 21-May-01   |                                      |
| B001 | GREG ROEL     | The Story of PNG                                                                               |                                           | 28-Apr-08 | 3 of 3                                        | NA          | Greg Roelofs                                                                        |  | 13-Aug-00   | NA                                   |
| J032 |               | The Strength of User vs. System Signing                                                        |                                           | 10-Mar-06 |                                               |             | Algorithmic Research                                                                |  |             |                                      |
| J097 |               | The Technical Case Against DIS 29500/OOXML                                                     |                                           | 24-Jun-08 | entire                                        |             | ODF Alliance                                                                        |  |             |                                      |
| J111 |               | The Transition from Operations to Business Value Drivers                                       |                                           | 4-Jul-08  |                                               |             |                                                                                     |  |             |                                      |
| C009 |               | The UETA                                                                                       | web site                                  |           |                                               |             | eOriginal                                                                           |  |             |                                      |
| M029 |               | The Use of Electronic Documents as Originals Using the eOriginal™ system to Produce Reliable E |                                           | 8-Dec-06  |                                               |             |                                                                                     |  |             |                                      |
| B059 | MICROSOFT     | The Web Presence Provider for Microsoft FrontPage version 2002 and SharePoint                  | Microsoft Offi                            | 9-May-02  | 15 of 15                                      | NA          | Microsoft Corporati                                                                 |  | April, 2001 | NA                                   |
| P099 |               | The XML C parser and toolkit of Gnome                                                          |                                           |           |                                               |             |                                                                                     |  |             |                                      |
| T039 | Amol Kher     | The XML Diff and Patch GUI Tool                                                                | MSDN                                      | 15-Oct-05 |                                               |             | Microsoft Corporati                                                                 |  | 1-Jul-04    |                                      |
| F128 | Bob DuCharm   | The XPath 2.0 Data Model                                                                       |                                           | 22-Jul-08 |                                               |             | xml.com                                                                             |  | 2-Feb-05    |                                      |
| C003 |               | Timestamping Extends the Valid Date of a Digital Certificate                                   |                                           |           |                                               |             | Microsoft Corporati                                                                 |  | 1-Jan-02    |                                      |
| J015 |               | TITLE I—ELECTRONIC RECORDS AND SIGNATURES IN COMMERCE                                          |                                           | 4-Nov-05  | entire                                        |             | US Congress                                                                         |  |             |                                      |
| J042 | BONDAR        | Total Password Automation in the Enterprise                                                    |                                           | 22-Mar-06 | P3,4                                          |             | DigitalPersona                                                                      |  |             |                                      |
| J054 |               | Towards an XML Format for TimeStamps                                                           |                                           | 8-Apr-06  |                                               |             |                                                                                     |  |             |                                      |
| M028 |               | TRUST AND THE WORLD WIDE WEB                                                                   |                                           | 8-Dec-06  |                                               |             |                                                                                     |  |             |                                      |
| A049 |               | Trust Only Trustworthy Channels                                                                | Web Article                               | 9-Jan-01  | 2 of 2                                        | N/A         | <a href="http://www.linuxdoc.org/HOWTO/Sc">http://www.linuxdoc.org/HOWTO/Sc</a>     |  |             | UNKNOW                               |
| F075 |               | Trusted Computing                                                                              | Wikipedia                                 | 31-Oct-08 |                                               |             |                                                                                     |  |             |                                      |
| F077 |               | Trusted Platform Module                                                                        | Wikipedia                                 | 31-Oct-08 |                                               |             |                                                                                     |  |             |                                      |
| C010 |               | UCC Revised Article 9                                                                          | Web site                                  |           |                                               |             | eOriginal                                                                           |  |             |                                      |
| T046 | AARON SKO     | Understanding XML Namespaces                                                                   | Website Article                           | 15-Oct-05 | All                                           | NA          | Microsoft Corporati                                                                 |  | 1-Jul-02    |                                      |
| F126 |               | Unicode                                                                                        | Wikipedia                                 | 22-Jul-08 |                                               |             |                                                                                     |  |             |                                      |
| F353 |               | UNIFORM COMPUTER INFORMATION TRANSACTIONS ACT                                                  |                                           | 21-Sep-04 |                                               |             | NATIONAL CONF                                                                       |  | 25-Sep-02   |                                      |
| C012 |               | Uniform Electronic Transactions Act                                                            | <a href="http://www.la">http://www.la</a> | 21-Sep-04 |                                               |             |                                                                                     |  |             |                                      |
| A001 | NATIONAL C    | Uniform Electronic Transactions Act (1999)                                                     | Document                                  | 19-Jun-05 | 1, 2, 59, 60                                  | N/A         | National Conference                                                                 |  | 1-Jan-00    | UNKNOW                               |
| B029 | BAKERNET,     | UNIFORM ELECTRONIC TRANSACTIONS ACT (UETA) STATE-BY-STATE                                      | bakernet.com/                             | 14-Sep-04 | 6 of 6                                        | NA          | bakernet.com                                                                        |  | 2-Jun-04    | NA                                   |
| V004 |               | Universal Commerce Language and Protocol (UCLP) Version 3.0                                    |                                           | 30-Mar-09 |                                               |             |                                                                                     |  | 20-Jan-99   |                                      |
| A039 | WEDGETAIL     | uPKI: Technical Whitepaper                                                                     | Web Article                               | some 2002 | 18 of 18                                      | N/A         | Wedgetail Commun                                                                    |  | 1-Jan-02    | Brisbane, C                          |
| D027 | VARIOUS       | Uses cases submitted for consideration                                                         |                                           | 23-Mar-06 |                                               |             |                                                                                     |  |             |                                      |

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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 STATEMENT BY APPLICANT  
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 Literature Documents  
 Sheet ( 7 ) of ( 7 )

Application Number: 12/316,699  
 Filing Date: 12/15/2008  
 Named Inventor: John Lewis Guymon Jr  
 Art Unit: \_\_\_\_\_  
 Examiner Name: \_\_\_\_\_

|      |                |                                                                              |                    |           |                           |           |                        |                              |           |  |             |
|------|----------------|------------------------------------------------------------------------------|--------------------|-----------|---------------------------|-----------|------------------------|------------------------------|-----------|--|-------------|
| T026 | Don Box        | Using ADO to Create XML-based Recordsets                                     | MSDN Article       | 15-Oct-05 |                           |           |                        |                              |           |  |             |
| C022 |                | Using CryptoAPI                                                              | Platform SDK       | 22-May-02 | 1 of 302                  |           |                        | Microsoft                    |           |  |             |
| T044 | Charles Heine  | Using Namespaces Within Your XML Documents                                   | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 20-Jun-99 |  |             |
| V006 |                | Using P3P for E-Commerce                                                     |                    | 30-Mar-09 |                           |           |                        |                              |           |  |             |
| K010 |                | Using the CryptoAPI for Public/Private Data Exchange                         |                    | 14-May-03 |                           |           |                        |                              |           |  |             |
| F038 | THOMAS G.      | USING THE IJG JPEG LIBRARY                                                   |                    | 15-Jun-08 |                           |           |                        |                              | 1-Jan-95  |  |             |
| T040 | Charlie Heiner | Using XSL to Sort and Filter Your Data                                       | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 18-Mar-99 |  |             |
| B025 | STATE OF UT    | Utah Code -- Statutes and Constitution Title 46                              | http://www.le      | 9-Aug-02  | Page 1 of 5               | NA        |                        | State of Utah                | 6-Aug-02  |  | State of Ut |
| F127 |                | UTF-8                                                                        | Wikipedia          | 22-Jul-08 |                           |           |                        |                              |           |  |             |
| T033 | Mihaela Cris   | Verify and Add Digital Signatures to Form Data in InfoPath 2003 Using MSXML  | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Sep-04  |  |             |
| C004 |                | Verisign - Guide To Securing Intranet And Extranet Servers                   | http://www.ver     | 18-Dec-00 | Page 2 to 1               | Copy 1999 |                        | VeriSign.com                 |           |  |             |
| D016 |                | VeriSign and Cyclone Commerce Team To Deliver Next-Generation Solutions For  | http://corporat    | 20-Apr-01 | 1 of 2                    | NA        |                        | VeriSign                     | 10-Apr-01 |  |             |
| C023 |                | Verisign Authenticated Content Signing                                       | Website Article    |           |                           |           |                        | Verisign                     | 10-Apr-05 |  |             |
| D014 |                | VeriSign Introduces Next-Generation Internet Trust Services                  | http://corporat    | 20-Apr-01 | 2 of 2                    | NA        |                        | VeriSign                     | 10-Apr-01 |  |             |
| D015 |                | VeriSign, Microsoft & webMethods, Joined by Baltimore Technologies, HP, IBM, | http://corporat    | 20-Apr-01 | 4 of 4                    | NA        |                        | VeriSign                     | 10-Apr-01 |  |             |
| J063 |                | VeriSign®Authenticated Content Signing                                       |                    | 2-Nov-06  |                           |           |                        |                              |           |  |             |
| E005 | DAVID ORC      | Versioning XML Vocabularies                                                  | Website Article    | 15-Oct-05 | 12 of 12                  | NA        |                        | Xml.com                      | 27-Oct-04 |  |             |
| V003 |                | W3C and Electronic Commerce                                                  |                    | 30-Mar-09 |                           |           |                        |                              |           |  |             |
| J019 | INSIGHT CO     | Web Services and XML Security                                                |                    | 4-Feb-06  |                           |           |                        | Siemens                      |           |  |             |
| G003 |                | Web Services Interoperability Organization (WS-I)                            |                    | 4-Nov-08  |                           |           |                        |                              |           |  |             |
| J120 |                | Web Services Security SOAP Message Security 1.0                              |                    | 4-Nov-08  |                           |           |                        |                              |           |  |             |
| A041 | RSA SECURI     | What is digital timestamping?                                                | Article            | some 2002 | 2 of 2                    | N/A       |                        | RSA Security, Inc.           | 1-Jan-02  |  | UNKNOW      |
| F129 | G. KEN HOL     | What Is XSLT                                                                 |                    | 22-Jul-08 |                           |           |                        | xml.com                      | 16-Aug-00 |  |             |
| C011 |                | What Makes a Record Legal?                                                   |                    |           |                           |           |                        | eOriginal                    |           |  |             |
| T047 | Aaron Skonna   | What's New in MSXML 4.0                                                      | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Dec-01  |  |             |
| J106 |                | What's Up, DOC? ODF, OOXML, and the Revolutionary Implications of XML in     | Productivity A     | 4-Jul-08  |                           |           |                        |                              |           |  |             |
| T013 | David B. Cros  | Windows 2000 Server and Key Management Server Interoperability               |                    | 7-Oct-03  |                           |           |                        | Microsoft Corporati          | 14-Mar-00 |  |             |
| R002 | Craig Kimpel   | Windows Crypt API Interfacing                                                |                    | 13-May-02 |                           |           |                        |                              |           |  |             |
| B038 | IGOR ZLATK     | Windows Port                                                                 | zlatkovic.com      | 20-Oct-05 | 4 of 4                    | NA        |                        | Igor Zlatkovic               |           |  | NA          |
| M024 |                | Witnessing GenuineDoc digital notarization process                           |                    | 31-Mar-06 |                           |           |                        |                              |           |  |             |
| F419 | Shirley Kawa   | WS-Security uses SOAP to carry message                                       |                    | 5-Nov-05  |                           |           |                        | Network World                | 16-Dec-02 |  |             |
| T041 | Chris Lovett   | XInclude, Anyone?                                                            | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 29-May-00 |  |             |
| D028 | CRUELLAS,      | XML Advanced Electronic Signatures (XAdES)                                   | W3C Note           | 20-Feb-03 | All                       |           | ETSI TS 101 903 V1.3.2 |                              | 20-Feb-03 |  |             |
| F691 | Chris Lovett   | XML as the API                                                               | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 16-Oct-00 |  |             |
| U002 |                | XML Binary Characterization Use Cases                                        | W3c Note           | 30-Mar-09 |                           |           |                        |                              | 31-Mar-05 |  |             |
| B061 | INFOMOSAI      | XML Digital Signature                                                        | Internet Article   | 4-Nov-05  | 10 of 10                  | NA        |                        | Infomosaic Corpora           | 24-Oct-05 |  | NA          |
| J017 | SYNGRESS,      | XML Digital Signatures - Chapter 5                                           |                    | 4-Nov-05  | entire                    |           |                        |                              |           |  |             |
| T043 | Dennis Cronin  | XML Editing: A WYSIWYG XML Document Editor                                   | MSDN               | 15-Oct-05 |                           |           |                        | Microsoft Corporati          | 1-Aug-02  |  |             |
| E011 | TIM BRAY       | XML Namespaces by Example                                                    |                    | 19-Jan-99 | All                       | NA        |                        | Tim Bray                     | 19-Jan-99 |  |             |
| F155 |                | XML Packaging Working Group Charter                                          | W3C Draft          | 16-May-08 |                           |           |                        |                              |           |  |             |
| E002 | WIKIPEDIA      | XML Paper Specification                                                      | Encyclopedia       | 29-May-06 | 1 of ...                  | NA        |                        | Wikipedia                    |           |  |             |
| P013 | JAMES CLAR     | XML Path Language (XPath)                                                    | W3C Recommendation |           |                           |           | V1.0                   | W3C                          | 16-Nov-99 |  |             |
| P014 | Anders Bergh   | XML Path Language (XPath) 2.0                                                | W3C Recomm         | 26-Mar-09 |                           |           | V2.0                   | W3C                          | 23-Jan-07 |  |             |
| M020 |                | XML Path Language (XPath) Version 1.0                                        |                    | 30-Mar-06 |                           |           |                        |                              |           |  |             |
| B037 | TBD            | XML Security Library: Compiling and linking on Windows                       | http://www.al      | 16-Oct-05 | 1 of 1                    | NA        |                        | TBD                          |           |  | NA          |
| B035 | TBD            | XML Security Library: XML Digital Signature                                  | http://www.al      | 15-Oct-05 | 5 of 5                    | NA        |                        | TBD                          |           |  | NA          |
| B036 | TBD            | XML Security Library: XML Encryption                                         | http://www.al      | 15-Oct-05 | 3 of 3                    | NA        |                        | TBD                          |           |  | NA          |
| F438 |                | XML Security page                                                            |                    | 6-Nov-05  |                           |           |                        |                              |           |  |             |
| F425 | SHELLEY D      | XML security: A who's who                                                    |                    | 6-Nov-05  |                           |           |                        |                              | 8-Jul-02  |  |             |
| F287 | MARK BART      | XML Signature Syntax and Processing (Second Edition)                         | W3C Propose        | 6-Jun-08  |                           |           |                        | W3C                          | 10-Jun-08 |  |             |
| T034 | Nancy Michell  | XML to HTML, Editable Dropdown List, Sending Large XML Files to SQL, Strea   | MSDN Magaz         | 15-Oct-05 |                           |           | Oct-01                 | Microsoft Corporati          | 1-Jan-01  |  |             |
| C025 |                | xmlsec Library Readme.txt                                                    |                    | 20-Oct-05 |                           |           |                        |                              |           |  |             |
| A033 | MARK BART      | XML-Signature Syntax and Processing                                          | W3C Recomm         | 4-Oct-05  | 44 of 44                  | N/A       | IETF,W3C               |                              | 12-Feb-02 |  | UNKNOW      |
| F420 | JOHN BOYE      | XML-Signature XPath Filter 2.0                                               | W3C Candida        | 6-Nov-05  |                           |           |                        |                              | 18-Jul-02 |  |             |
| J090 | ADOBE          | XMP - Extensible Metadata Platform v1.5 9-14-01                              |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |  |             |
| G014 |                | XMP Adding Intelligence to Media                                             |                    | 22-Jun-08 |                           |           |                        |                              |           |  |             |
| J089 | ADOBE          | XMP SDK Overview                                                             |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |  |             |
| J085 | ADOBE          | XMP Specification Jan 04                                                     |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |  |             |
| J064 | ADOBE          | XMP Specification Sep 2005                                                   |                    | 3-May-07  | entire, esp: P11,12-14-15 |           |                        | Adobe                        |           |  |             |
| J086 |                | XMP Specification Sep 2005                                                   |                    | 22-Jun-08 |                           |           |                        |                              |           |  |             |
| J087 | ADOBE          | XMP Toolkit v 4.1.1                                                          |                    | 22-Jun-08 | entire                    |           |                        | Adobe                        |           |  |             |
| T032 | Aaron Skonna   | XPath, XSLT, and other XML Specifications                                    | MSDN Magaz         | 15-Oct-05 |                           |           | May-00                 | MSDN Magazine                | 1-May-00  |  |             |
| P011 | JAMES CLAR     | XSL Transformations (XSLT)                                                   | W3C Recommendation |           |                           |           | V1.0                   | W3C                          | 16-Nov-99 |  |             |
| M019 |                | XSL Transformations (XSLT) Version 1.0                                       |                    | 30-Mar-06 |                           |           |                        |                              |           |  |             |
| P012 | MICHAEL K.     | XSL Transformations (XSLT) Version 2.0                                       | W3C Recomm         | 26-Mar-09 |                           |           | V2.0                   | W3C                          | 23-Jan-07 |  |             |
| P098 |                | xsldbg                                                                       |                    |           |                           |           |                        |                              |           |  |             |
| P094 |                | zlib                                                                         |                    | 19-Feb-03 |                           |           |                        |                              |           |  |             |
| F379 | JEAN-LOUP      | zlib 1.1.4 Manual                                                            | Manual             | 11-Mar-02 |                           |           |                        |                              | 1-Jan-02  |  |             |
| B032 | JEAN-LOUP      | ZLIB DATA COMPRESSION LIBRARY                                                | ZLIB Library       | 20-Oct-05 | 2 of 2                    | NA        |                        | Jean-loup Gailly, Mark Adler |           |  | NA          |

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_



*ITW*

**In the United States Patent and Trademark Office**

Serial Number: 12/316,699  
Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Examiner/GAU:

**Information Disclosure Statement Cover Letter**

March 31, 2009

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/ Madam:

Attached are forms PTO/SB/08(A&B) in support of Application # 12/316,699. These two forms, SB08A with six (6) pages and SB08B having seven (7) pages, represent the current state of the application reference lists at this time. These reference lists will have updates having modifications for the completion of their contents and to have non-relevant items removed in order for a more concise and easier reference review.

These lists generate from spreadsheet based data tables and are available for your review as necessary. These lists are currently named and keyed as follows:

Pa90331d\_printed.xls      md5sum:      51d379525e033d9621b866ebfc577fd6  
If90331i\_printed.xls      md5sum:      cac7ede845f73200971fd07164c555ae

Please consider the enclosed information as a bona-fide attempt towards fulfilling the Information Disclosure requirements of this patent application. Thank you for your time.

Very respectfully,

John Lewis Guymon Jr  
Telephone: (801) 943-8461  
Cell: (801) 502-1828

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on this day, April 1, 2009. Applicant: \_\_\_\_\_



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Table with 6 columns: APPLICATION NUMBER, FILING or 371(c) DATE, GRP ART UNIT, FIL FEE REC'D, ATTY. DOCKET NO, TOT CLAIMS, IND CLAIMS. Values: 12/316,699, 12/15/2008, 2624, 610, (blank), 4, 1

CONFIRMATION NO. 1800

UPDATED FILING RECEIPT



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Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

John Lewis Guymon JR., Salt Lake City, UT;
Douglas Scott Litsey, West Valley City, UT;

Power of Attorney: None

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/09/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 12/316,699

Projected Publication Date: 06/17/2010

Non-Publication Request: No

Early Publication Request: No

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**Title**

Securable independent electronic document

**Preliminary Class**

382

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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3-16-09

JR  
R



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|--------------------|-----------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
| 12/316,699         | 12/15/2008            | John Lewis Guyman JR. |                        |

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CONFIRMATION NO. 1800  
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0C000000034001633

Date Mailed: 01/13/2009

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$165 to complete the basic filing fee for a small entity.*

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$610 for a small entity

- \$165 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$270 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$110 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.

03/16/2009 MR8DELRI 00000017 12316699  
 01 FC:2011 165.00 OP  
 02 FC:2111 270.00 OP  
 03 FC:2311 110.00 OP  
 04 FC:2051 65.00 OP

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*/aabranysos/*

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Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

In the United States Patent and Trademark Office

Serial Number: 12/316699  
Application Filed: Dec 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document  
Mailed: March 13, 2009



Reply to Notice Of Missing Parts - Cover Letter

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Patent Office:

Attached is a completed Form PTO-2038 for the fees and surcharge costs in filing the above described patent. Also enclosed is a copy of the Notice to File Missing Parts, mailed 01/13/2009.

**Please note:** The spelling of my last name 'Guymon' is incorrectly listed on the patent application. The name was presented correctly on the supplied application but has been mistakenly entered into the system, having an 'a' instead of an 'o' in its spelling. Please make a change to the correct spelling. I will follow up to confirm as needed.

Thank you for your time.

Very respectfully,

  
John Lewis Guymon Jr

Telephone: (801) 943-8461 (Office), (801) 953-1685 (Alternate), (801) 502-1828 (Cell)

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Dated: 3-13-09 Applicant: 



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CONFIRMATION NO. 1800

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FILING RECEIPT



Date Mailed: 01/13/2009

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Applicant(s)

John Lewis Guyman JR., Salt Lake City, UT;
Douglas Scott Litsey, West Valley City, UT;

Power of Attorney: None

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/09/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 12/316,699

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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**Title**

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**Preliminary Class**

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set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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Table with 4 columns: APPLICATION NUMBER (12/316,699), FILING OR 371(C) DATE (12/15/2008), FIRST NAMED APPLICANT (John Lewis Guyman JR.), ATTY. DOCKET NO./TITLE

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FILED UNDER 37 CFR 1.53(b)

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Items Required To Avoid Abandonment:

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/aabranos/

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Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

**In the United States Patent and Trademark Office**

Application Filed: December 15, 2008  
Applicant: John Lewis Guymon Jr  
Application Title: Securable Independent Electronic Document

Mailed: December 15, 2008

**Document Disclosure Statement Cover Letter**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

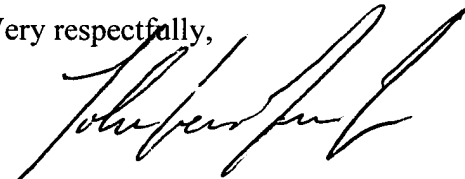
Dear Commissioner:

Please reference multiple 'Disclosure Documents' filed in support of this patent application:

"IntellEDoc – Intelligent Electronic Document", Ser. No. 610142, filed Dec 16, 2006, &  
"eSuretyBailBond - Electronic Surety Bail Bond", Ser. No. 610141, filed Dec 16, 2006.

Combined document integration and due diligence history is available upon request.

Very respectfully,



John Lewis Guymon Jr

Telephone: (801) 502-1828

**Certificate of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the date below.

Dated: December 15, 2008 Applicant: 

DEC 20 2006  
PATENT

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### Disclosure Document Deposit Request

DISCLOSURE DOCUMENT NO.



610142

RETAINED FOR 2 YEARS

THIS IS NOT A PATENT APPLICATION

PTO-1652 (8/99)

**Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Inventor(s): John L. Guymon Jr

Title of Invention: IntellEDoc - Intelligent Electronic Document

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10<sup>00</sup> is enclosed to cover the fee (37 CFR 1.21(c)). *24200*

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: *John Lewis Guymon Jr*

Address: 1635 E 7080 S. SLC UT

Typed or printed name: John Lewis Guymon Jr

Address: Salt Lake City, Ut. 84121

Date: 12/16/06

City, State, Zip

#### NOTICE OF INVENTORS

It should be clearly understood that a Disclosure Document is not a patent application, nor will its receipt date in any way become the effective filing date of a later filed patent application. A Disclosure Document may be relied upon only as evidence of conception of an invention and a patent application should be diligently filed if patent protection is desired.

Your Disclosure Document will be retained for two years after the date it was received by the United States Patent and Trademark Office (USPTO) and will be destroyed thereafter unless it is referred to in a related patent application filed within the two-year period. The Disclosure Document may be referred to by way of a letter of transmittal in a new patent application or by a separate letter filed in a pending application. Unless it is desired to have the USPTO retain the Disclosure Document beyond the two-year period, it is not required that it be referred to in the patent application.

The two-year retention period should not be considered to be a "grace period" during which the inventor can wait to file his/her patent application without possible loss of benefits. It must be recognized that in establishing priority of invention an affidavit or testimony referring to a Disclosure Document must usually also establish diligence in completing the invention or in filing the patent application since the filing of the Disclosure Document.

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**JOHN L GUYMON JR**  
1635 E 7080 S  
SALT LAKE CTY, UT 84121-3628

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FOR Dis Doc - IntellEDoc

*John L. Guymon Jr*

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completing applications with the USPTO, is the only way to reduce the burden of this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DISCLOSURE DOCUMENT NO.

### Disclosure Document Deposit Request



610141

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PTO-1652 (8/99)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): John L. Guymon Jr

Title of Invention: eSurety Bail Bond - Electronic Surety Bail Bond

Enclosed is a disclosure of the above-titled invention consisting of 4 sheets of description and 0 sheets of drawings. A check or money order in the amount of 10.00 is enclosed to cover the fee (37 CFR 1.21(c)).  
*CK#202*

The undersigned, being a named inventor of the disclosed invention, requests that the enclosed papers be accepted under the Disclosure Document Program, and that they be preserved for a period of two years.

Signature of Inventor: *John Lewis Guymon Jr*

Address: 1635 East 7080 S.

Typed or printed name: John Lewis Guymon Jr

Address: SALT LAKE CITY, Utah 84121

Date: 12/16/06

City, State, Zip: SALT LAKE CITY, Utah 84121

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If you are not familiar with what is considered to be "diligence in completing the invention" or "reduction to practice" under the patent law or if you have other questions about patent matters, you are advised to consult with an attorney or agent registered to practice before the USPTO. The publication "How to Prepare a Disclosure Document" from the United States Patent and Trademark Office, is available from the Superintendent of Documents, Washington, DC 20540. A complete list of these publications is available in the Superintendent of Documents directory of most major cities. Also, many libraries have these publications.

You are also advised that more than one year may be required to process a disclosure document.

Disclosures of this type may also be used to establish the date of invention.

There is a reference to the disclosure document in the closest to you or call the USPTO from a PTDI.

Burden Hour governed by completed sp form and/or Commerce, F. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN L GUYMON JR  
1635 E 7080 S  
SALT LAKE CTY, UT 84121-3828

202 ore

12/16/06

97-215/1243 4036

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Commissioner for Patents

DATE

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FOR

Dis Doc - eSurety Bail Bond

*John L. Guymon Jr*

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related motion : PTDI, iazette, instance

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Office of Initial Patent Examination

Telephone Interview Summary

Original Application Number:

12/316-699

Name of Contact:

John Baymon

Phone Number:

801-502-1828

Per telephone conversation with the applicant on 12/18/09-12/19/08 the following instructions have been given:

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**PATENT APPLICATION FEE DETERMINATION RECORD**  
 Substitute for Form PTO-875

Application or Docket Number  
12316699

| APPLICATION AS FILED – PART I                                             |                                                                                                                                                                                                                               |                            | SMALL ENTITY |                                            | OR |              | OTHER THAN SMALL ENTITY |  |
|---------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------|--------------------------------------------|----|--------------|-------------------------|--|
| FOR                                                                       | NUMBER FILED<br>(Column 1)                                                                                                                                                                                                    | NUMBER EXTRA<br>(Column 2) | RATE (\$)    | FEE (\$)                                   |    | RATE (\$)    | FEE (\$)                |  |
| BASIC FEE<br><small>(37 CFR 1.16(a), (b), or (c))</small>                 | N/A                                                                                                                                                                                                                           | N/A                        | N/A          | \$165                                      |    | N/A          | \$330                   |  |
| SEARCH FEE<br><small>(37 CFR 1.16(k), (i), or (m))</small>                | N/A                                                                                                                                                                                                                           | N/A                        | N/A          | \$270                                      |    | N/A          | \$540                   |  |
| EXAMINATION FEE<br><small>(37 CFR 1.16(o), (p), or (q))</small>           | N/A                                                                                                                                                                                                                           | N/A                        | N/A          | \$110                                      |    | N/A          | \$220                   |  |
| TOTAL CLAIMS<br><small>(37 CFR 1.16(l))</small>                           | 4                                                                                                                                                                                                                             | minus 20 =                 | X \$26 =     | /                                          | OR | X \$52 =     | /                       |  |
| INDEPENDENT CLAIMS<br><small>(37 CFR 1.16(h))</small>                     | 1                                                                                                                                                                                                                             | minus 3 =                  | X \$110 =    | /                                          |    | X \$220 =    | /                       |  |
| APPLICATION SIZE FEE<br><small>(37 CFR 1.16(s))</small>                   | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                            | \$135        |                                            |    | \$270        |                         |  |
| MULTIPLE DEPENDENT CLAIM PRESENT <small>(37 CFR 1.16(j))</small>          |                                                                                                                                                                                                                               |                            | \$195        |                                            |    | \$390        |                         |  |
| * If the difference in column 1 is less than zero, enter "0" in column 2. |                                                                                                                                                                                                                               |                            | <b>TOTAL</b> | <span style="font-size: 1.5em;">545</span> |    | <b>TOTAL</b> |                         |  |

**APPLICATION AS AMENDED – PART II**

| AMENDMENT A                                                                    |                                  |       |                                    |               | SMALL ENTITY           |                     | OR |                        | OTHER THAN SMALL ENTITY |  |
|--------------------------------------------------------------------------------|----------------------------------|-------|------------------------------------|---------------|------------------------|---------------------|----|------------------------|-------------------------|--|
|                                                                                | CLAIMS REMAINING AFTER AMENDMENT | MINUS | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)              | ADDITIONAL FEE (\$) |    | RATE (\$)              | ADDITIONAL FEE (\$)     |  |
| Total<br><small>(37 CFR 1.16(i))</small>                                       | *                                | Minus | **                                 | =             | X \$26 =               |                     | OR | X \$52 =               |                         |  |
| Independent<br><small>(37 CFR 1.16(h))</small>                                 | *                                | Minus | ***                                | =             | X \$110 =              |                     | OR | X \$220 =              |                         |  |
| Application Size Fee <small>(37 CFR 1.16(s))</small>                           |                                  |       |                                    |               |                        |                     |    |                        |                         |  |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small> |                                  |       |                                    |               | \$195                  |                     | OR | \$390                  |                         |  |
|                                                                                |                                  |       |                                    |               | <b>TOTAL ADD'L FEE</b> |                     | OR | <b>TOTAL ADD'L FEE</b> |                         |  |
| AMENDMENT B                                                                    |                                  |       |                                    |               | SMALL ENTITY           |                     | OR |                        | OTHER THAN SMALL ENTITY |  |
|                                                                                | CLAIMS REMAINING AFTER AMENDMENT | MINUS | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$)              | ADDITIONAL FEE (\$) |    | RATE (\$)              | ADDITIONAL FEE (\$)     |  |
| Total<br><small>(37 CFR 1.16(i))</small>                                       | *                                | Minus | **                                 | =             | X \$26 =               |                     | OR | X \$52 =               |                         |  |
| Independent<br><small>(37 CFR 1.16(h))</small>                                 | *                                | Minus | ***                                | =             | X \$110 =              |                     | OR | X \$220 =              |                         |  |
| Application Size Fee <small>(37 CFR 1.16(s))</small>                           |                                  |       |                                    |               |                        |                     |    |                        |                         |  |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small> |                                  |       |                                    |               | \$195                  |                     | OR | \$390                  |                         |  |
|                                                                                |                                  |       |                                    |               | <b>TOTAL ADD'L FEE</b> |                     | OR | <b>TOTAL ADD'L FEE</b> |                         |  |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b>                                                                              |                                                                                                                                                                                                                               |                     |                                           |                      | Application or Docket Number |                            |                                |                            |
|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|-------------------------------------------|----------------------|------------------------------|----------------------------|--------------------------------|----------------------------|
| Substitute for Form PTO-875                                                                                                     |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| <b>APPLICATION AS FILED – PART I</b>                                                                                            |                                                                                                                                                                                                                               |                     | <b>SMALL ENTITY</b>                       |                      | <b>OR</b>                    |                            | <b>OTHER THAN SMALL ENTITY</b> |                            |
| (Column 1)                      (Column 2)                                                                                      |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| <b>FOR</b>                                                                                                                      | <b>NUMBER FILED</b>                                                                                                                                                                                                           | <b>NUMBER EXTRA</b> | <b>RATE (\$)</b>                          | <b>FEE (\$)</b>      |                              | <b>RATE (\$)</b>           | <b>FEE (\$)</b>                |                            |
| BASIC FEE<br>(37 CFR 1.16(a), (b), or (c))                                                                                      | N/A                                                                                                                                                                                                                           | N/A                 | N/A                                       | 165. <sup>00</sup>   |                              | N/A                        |                                |                            |
| SEARCH FEE<br>(37 CFR 1.16(k), (l), or (m))                                                                                     | N/A                                                                                                                                                                                                                           | N/A                 | N/A                                       | 270. <sup>00</sup>   |                              | N/A                        |                                |                            |
| EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q))                                                                                | N/A                                                                                                                                                                                                                           | N/A                 | N/A                                       | 110. <sup>00</sup>   |                              | N/A                        |                                |                            |
| TOTAL CLAIMS<br>(37 CFR 1.16(i))                                                                                                | 4                                                                                                                                                                                                                             | minus 20 = *        | X                                         | =                    |                              | X                          | =                              |                            |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h))                                                                                          | 1                                                                                                                                                                                                                             | minus 3 = *         | X                                         | =                    |                              | X                          | =                              |                            |
| APPLICATION SIZE FEE<br>(37 CFR 1.16(s))                                                                                        | If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                     |                                           |                      |                              |                            |                                |                            |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))                                                                               |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| * If the difference in column 1 is less than zero, enter "0" in column 2.                                                       |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| <b>APPLICATION AS AMENDED – PART II</b>                                                                                         |                                                                                                                                                                                                                               |                     | <b>SMALL ENTITY</b>                       |                      | <b>OR</b>                    |                            | <b>OTHER THAN SMALL ENTITY</b> |                            |
| (Column 1)                      (Column 2)                      (Column 3)                                                      |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| <b>AMENDMENT A</b>                                                                                                              | <b>CLAIMS REMAINING AFTER AMENDMENT</b>                                                                                                                                                                                       |                     | <b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b> | <b>PRESENT EXTRA</b> | <b>RATE (\$)</b>             | <b>ADDITIONAL FEE (\$)</b> | <b>RATE (\$)</b>               | <b>ADDITIONAL FEE (\$)</b> |
|                                                                                                                                 | Total<br>(37 CFR 1.16(i))                                                                                                                                                                                                     | *                   | Minus **                                  | =                    | X                            | =                          | X                              | =                          |
|                                                                                                                                 | Independent<br>(37 CFR 1.16(h))                                                                                                                                                                                               | *                   | Minus ***                                 | =                    | X                            | =                          | X                              | =                          |
|                                                                                                                                 | Application Size Fee (37 CFR 1.16(s))                                                                                                                                                                                         |                     |                                           |                      |                              |                            |                                |                            |
|                                                                                                                                 | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| TOTAL ADD'L FEE                                                                                                                 |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| <b>AMENDMENT B</b>                                                                                                              | <b>CLAIMS REMAINING AFTER AMENDMENT</b>                                                                                                                                                                                       |                     | <b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b> | <b>PRESENT EXTRA</b> | <b>RATE (\$)</b>             | <b>ADDITIONAL FEE (\$)</b> | <b>RATE (\$)</b>               | <b>ADDITIONAL FEE (\$)</b> |
|                                                                                                                                 | Total<br>(37 CFR 1.16(i))                                                                                                                                                                                                     | *                   | Minus **                                  | =                    | X                            | =                          | X                              | =                          |
|                                                                                                                                 | Independent<br>(37 CFR 1.16(h))                                                                                                                                                                                               | *                   | Minus ***                                 | =                    | X                            | =                          | X                              | =                          |
|                                                                                                                                 | Application Size Fee (37 CFR 1.16(s))                                                                                                                                                                                         |                     |                                           |                      |                              |                            |                                |                            |
|                                                                                                                                 | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| TOTAL ADD'L FEE                                                                                                                 |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.                                           |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".                                       |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".                                        |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |
| The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. |                                                                                                                                                                                                                               |                     |                                           |                      |                              |                            |                                |                            |

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PTO/SB/05 (08-08)  
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|------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No.    |                                           |
|                                                                                                                              | First Inventor         | John L. Guymon Jr.                        |
|                                                                                                                              | Title                  | Securable Independent Electronic Document |
|                                                                                                                              | Express Mail Label No. | <b>EH 547148060 US</b>                    |

|                                                                                                     |                                                                                          |
|-----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents. | <b>ADDRESS TO:</b> Commissioner for Patents<br>P.O. Box 1450<br>Alexandria VA 22313-1450 |
|-----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|

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| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17)</li> <li>2. <input checked="" type="checkbox"/> <b>Applicant claims small entity status.</b><br/>See 37 CFR 1.27.</li> <li>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>38</u>]<br/>Both the claims and abstract must start on a new page<br/>(For information on the preferred arrangement, see MPEP 608.01(a))</li> <li>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) [Total Sheets <u>2</u>]</li> <li>5. <b>Oath or Declaration</b> [Total Sheets _____]             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)                 <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ol> </li> </ol> </li> <li>6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</li> <li>7. <input type="checkbox"/> <b>CD-ROM or CD-R</b> in duplicate, large table or Computer Program (Appendix)<br/><input type="checkbox"/> Landscape Table on CD</li> <li>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b><br/>(if applicable, items a. - c. are required)             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:                 <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ol> </li> </ol> | <p style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <ol style="list-style-type: none"> <li>9. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))<br/>Name of Assignee _____</li> <li>10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> (when there is an assignee) <input type="checkbox"/> <b>Power of Attorney</b></li> <li>11. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</li> <li>12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement</b> (PTO/SB/08 or PTO-1449)<br/><input type="checkbox"/> Copies of citations attached</li> <li>13. <input type="checkbox"/> <b>Preliminary Amendment</b></li> <li>14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> (MPEP 503)<br/>(Should be specifically itemized)</li> <li>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b><br/>(if foreign priority is claimed)</li> <li>16. <input type="checkbox"/> <b>Nonpublication Request</b> under 35 U.S.C. 122(b)(2)(B)(i).<br/>Applicant must attach form PTO/SB/35 or equivalent.</li> <li>17. <input checked="" type="checkbox"/> <b>Other:</b> <u>Document Disclosure</u><br/><u>Cover Letter</u></li> </ol> |
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: \_\_\_\_\_

Prior application information:      Examiner: \_\_\_\_\_      Art Unit: \_\_\_\_\_

**19. CORRESPONDENCE ADDRESS**

The address associated with Customer Number: 63451      OR       Correspondence address below

|         |                    |           |                       |
|---------|--------------------|-----------|-----------------------|
| Name    | John L. Guymon Jr. |           |                       |
| Address | 1635 E. 7080 S.    |           |                       |
| City    | Salt Lake City     | State     | UT                    |
| Country | USA                | Telephone | 801-502-1828          |
|         |                    | Zip Code  | 84121                 |
|         |                    | Email     | admin@ballbonding.com |

|                   |                    |                                   |               |
|-------------------|--------------------|-----------------------------------|---------------|
| Signature         |                    | Date                              | Dec. 15, 2008 |
| Name (Print/Type) | John L. Guymon Jr. | Registration No. (Attorney/Agent) |               |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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|                                                                                                                                                                                            |                          |                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------|
| Effective on 12/08/2004.<br>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).<br><h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2009</h3> | <b>Complete if Known</b> |                    |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27                                                                                                  | Application Number       |                    |
|                                                                                                                                                                                            | Filing Date              | Dec 15, 2008       |
|                                                                                                                                                                                            | First Named Inventor     | John L. Guymon Jr. |
|                                                                                                                                                                                            | Examiner Name            |                    |
|                                                                                                                                                                                            | Art Unit                 |                    |
| TOTAL AMOUNT OF PAYMENT (\$) <u>545.00</u>                                                                                                                                                 |                          |                    |
| Attorney Docket No.                                                                                                                                                                        |                          |                    |

**METHOD OF PAYMENT** (check all that apply)

Check  
  Credit Card  
  Money Order  
  None  
  Other (please identify): \_\_\_\_\_

Deposit Account  
 Deposit Account Number: \_\_\_\_\_  
 Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  
  Charge fee(s) indicated below, **except for the filing fee**

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  
  Credit any overpayments

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**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 330         | 165                   | 540         | 270                   | 220              | 110                   | \$545.00       |
| Design           | 220         | 110                   | 100         | 50                    | 140              | 70                    | 0              |
| Plant            | 220         | 110                   | 330         | 165                   | 170              | 85                    | 0              |
| Reissue          | 330         | 165                   | 540         | 270                   | 650              | 325                   | 0              |
| Provisional      | 220         | 110                   | 0           | 0                     | 0                | 0                     | 0              |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|----------------------------------------------------|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 52       | 26                    |
| Each independent claim over 3 (including Reissues) | 220      | 110                   |
| Multiple dependent claims                          | 390      | 195                   |

**Total Claims** - 20 or HP = \_\_\_\_\_ x \$26 = \_\_\_\_\_      **Multiple Dependent Claims**  
 HP = highest number of total claims paid for, if greater than 20.      **Fee (\$)**      **Fee Paid (\$)**  
**Indep. Claims** - 3 or HP = \_\_\_\_\_ x \$110 = \_\_\_\_\_      **Fee (\$)**      **Fee Paid (\$)**  
 HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_  
 Extra Sheets      Number of each additional 50 or fraction thereof      Fee (\$)  
 Fee Paid (\$)  
 \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)      **Fees Paid (\$)**  
 Other (e.g., late filing surcharge): \_\_\_\_\_      \$ 0.00

**SUBMITTED BY**

|                   |                    |                                   |                        |
|-------------------|--------------------|-----------------------------------|------------------------|
| Signature         |                    | Registration No. (Attorney/Agent) | Telephone 801-502-1828 |
| Name (Print/Type) | John L. Guymon Jr. | Date                              | Dec. 15, 2008          |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patent Application of

John Lewis Guymon Jr

for

TITLE: SECURABLE INDEPENDENT ELECTRONIC DOCUMENT

#### CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims benefit of a Disclosure Document filed with the USPTO, titled “IntellIEDoc – Intelligent Electronic Document”, Serial No. 610142, filed December 16, 2006.

[0002] This application claims benefit of a Disclosure Document filed with the USPTO, titled “eSuretyBailBond - Electronic Surety Bail Bond”, Serial No. 610141, filed December 16, 2006.

#### FEDERALLY SPONSORED RESEARCH

[0003] Not Applicable

#### BACKGROUND—FIELD

[0004] Inscribed within generally relates to information handling and specifically to electronic documents. Various aspects of an electronic document container are described within and are particularly applicable to the security of the document, its manufacture, method, and comprised system. Additional aspects presented relate to its handling and use, for example in providing flexible, secure and independent information delivery in industries of securities, commerce and trade.

## BACKGROUND OF THE INVENTION—PRIOR ART

[0005] With life, there is the digital world. With information, there is the digital world. Now days, they are one in the same. We are always asking, “Is it secure?” We live, having our world untouched by anyone else, desiring so much to have things as we left them; Untouched.

[0006] The technology available today allows life to seemingly flow at the speed close to that of light itself. We are literally there before we finish the push of the button. We struggle to comprehend such speeds, and will continue to do so, in such a dynamic and fast paced world. Information should be handled with care. To maintain the integrity of our intent, it must be secure.

[0007] In order to facilitate the creation and editing of electronic documents containing our information world, vast amounts of variable types of technology can be used. Agreed upon formats, specifications, recommendations and other standard defined help in accomplishing a particular task with greater information exchange and security.

[0008] Information exists in many variable formats and is transported in a vast spectrum of ways. Within the digital world, a substantial format set is available for equally substantial information type accommodations. For instance, character data handling is accomplished using text-based languages supporting a functional ‘mark up’ approach, having data populated with tags or other identification delimiters or data descriptors. These forms are of the markup language family, such as SGML, XML, HTML, cXML and other text based formats that are among the multiple established containment types. Further image, and other visually handled data, is accomplished using graphic based container types. Visual information is often supported using graphic image based file formats, such as PNG, JPG, TIFF, GIF, BMP or others established in the market place.

[0009] The basis of the teaching is to facilitate knowledge in the area of information processing and exchange. There are some electronic information document type containers that have been developed recently.

[0010] Document handling systems, databases and vault systems, as well as other transaction oriented processes, information transfers and data representations. For the purpose of this disclosure and

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illustration of multiple embodiments, the various possible configurations of an electronic document are focused upon for reasons of security, independence, flexibility in construction, and in support of other needs.

[0011] Tangible document content range from simple character text to full color text data, with external formatting information to image data of varying form, to audio and multimedia data, to metadata, to binary data.

[0012] All areas of industry require documents, many of which have security/requirements/needs. Specifically, insurance, mortgage, securities, as well as other financially based documents; many have highly regulated legal requirements. The required technology for their electronic handling was arguably unavailable for the most part prior to the turn of the Millennium. Considerable energy to create a "paperless world" has been expended, and these efforts are ongoing. Despite all of the world's labors, highly valued documents still often remain in paper form. Where is an electronic container that will secure these assets digitally?

[0013] Viewing a graphic image may include the perception of dots, lines, characters, scripts, meshes, shades, arrangement, proportion, scale, and color. Content is presented reflecting true life and nature. Real life and nurture tells us information is here, whether it is an artistic representation of a data element or value or an intense artistic representation of the elements in life itself. A picture has been said to be worth a thousand words. Data in image form often possess substantial information content.

[0014] Similar, character text can be perceived visually. Character text in this same form can also be processed systematically. Character data is based on memory addressing units, having an array of different character representations available for the different character text data unit values. Character text includes the letters making up the words you read here. Information in its everyday basic simplest form is here, here, written with the letters of each and every one of these words that you read.

[0015] The usage of paper forms is a predominant method for producing documents, and these forms tend to have a fixed layout and some areas of variable content. The desire to electronically create and process the information contained within documents has existed for some time and will continue. With electronic processing means, documents can be better adapted for digital commerce, trade, and

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exchange of transactions. Providing a container to envelope these documents increases the electronic options available for their support.

[0016] Bonds, Powers of attorney, Titles, deeds, leases, judgments, motions, affidavits, and other paper documents have been in use for long periods of time. These document types, in either original paper only medium or in a digital graphic memory contain a visual image or picture of the actual information that is of a transaction. Electronic form allows information storage and handling up and beyond that of the visual image-handling interface. Within digital data memory capabilities lay the ability for raw character based data to be represented. Having the two different data representation types for the information, those that of graphical image and character text, a container could be built to handle exchange and transaction. Either singly or in combination, the character text and graphical image data components can be present for information conveyance. Only recently have these documents had the support in place needed to facilitate their complete electronic means. Now, with the ability in place to facilitate both character text and graphical image components, the harmony in its presentation, handling, independence and security relies on a few key issues, specifically what is needed and how can it be done?

[0017] Information handling has its required attention and resource requirements. Security issues mandate a majority of container development process. A system built upon a well working container that includes independency from outside system requirements extends the efficiency and control of internal resources. Such efficiency and control can be maximized, becoming a secure independent electronic document solution.

[0018] Monetary based transactions have been in existence before the printed money it represented was. Trade and commerce, along with exchange, barter, vendor or vender oriented agreements have had a need to be facilitated in some fashion, similar to the mineral exchange of gold, silver, platinum and others. Money, specifically paper money and coin, is a commonplace vehicle for resource structured exchange and management. Monetary based items, assets, transactions and other commonplace vehicles structured on such systems all speak of the same desire and need, that being value and asset management and exchange.

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[0019] Industries, such as those of the Surety, Insurance or Bail Bonding industries, have used tangible based financial supporting documents since the beginning of human based insurance back.

[0020] To represent this industry, a representation of both the ‘graphical image’ and ‘character text’ must be included for complete adapted functionality. Security is based on party involvement and need to know regulations. If independence from ‘third’ party is further supplied, security is only enhanced. Having your own way of packaging, distribution, processing, transacting, verifying, or authenticating document information with no internally placed outside based party is a must. Technology is available for such a system. Notarizations, or witness related verifications, have been around since times of Kings and Queens; however, for the digital equivalent, the technology has only been around since the years of the turn of the Millennium. What is needed is the independent container that supports the highest statutes backed electronic information handling possibilities defined today.

[0021] The options of markup type languages such as XML and others as the main structural component for document and sub-document handling needs has become a world wide accepted way of handling the inter-relational aspects of data files and their containment handling, grouping or other object or element structuring of relational data. The extreme ends of the data type spectrum are those of character text and graphical image. ‘Graphical image’ as the container for the character text becomes the solution more so than character text as the container for the graphical image.

[0022] Independent ‘graphic image’ containers further support handing, interface and integrity means required for enhancing security of electronic document contents. The need for digital signature signed data was necessary as well. The combination of the three increased the security measures in place. Again, security is further enhanced with the independence from other non-invited or desired parties. Further, with the ability to develop applications in house, easily, with world wide support allows a simpler manufacturing environment, reduces costs of such environments, and eases or completely releases restrictions for development, distribution, interaction, verification, authentication and achieve of the container, further developing its independence.

[0023] Many ways of handling information are reliant upon commercial or other vendor or vender interaction. Further, there are restrictions in how data is processed, or, even further, the previous art present only parts of the electronic document apparatus, having other parts and systems and other

commercially interlaced information transmissions and exchanges. Original documents are sometimes made from scanned sources, virtually removing the dynamic allocation and build properties available in a real time document manufacturing process. Additionally, some information or other image data embedding arts actually go further than that, and forever alter or restrict the original image content.

[0024] This has made and is making digital information transfer complex; further, only parts of the solution exist. A mirage of devices, interfaces, methods, all exist, and these pieces and sub standard methods creates more problems. The issue is of interoperation of the document contents. With the people involved, methods of transfer, degree of security, and control of all entities and their parties involved, there is a dedicated portion of document handling and security that needs attention.

[0025] Feature rich vendor supplied solutions are sometimes richer in content than desired. Features that are not always necessary for commonplace transactions are become common all over the place hurdles always necessary that are not features. Further, a solution that answers to all needs are in fact sometimes too much.

[0026] Prior art shows examples of complete systems that present a way of electronic document control. None of the references would piece apart or combine together to create the electronic document, specifically with regard to standards.

[0027] Prior art handles electronic documents and its delivery in different ways, further having mechanisms or apparatus that are different and act differently.

[0028] Different prior art references teach handling of data in an electronic document differently. Some alter data of an image; some built a system that comprises the document.

[0029] What is needed is a securable independent electronic document that solves one or more problems described herein and/or one or more problems that may come to the attention of one skilled in the art upon becoming familiar with this specification.

[0030] Prior art consists of a variety of document container, their methods and their uses. The field presents several related prior art references that offer some improvements made in the field to resolve

these issues. Examples include but are not limited to the references described below, which references are incorporated by reference herein:

[0031] U.S. Pat. No. 7,451,156 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE.

[0032] U.S. Pat. No. 7,441,185 issued to Coulson, et al. sets forth a METHOD AND SYSTEM FOR BINARY SERIALIZATION OF DOCUMENTS, teaches documents are considered main that of text XML, and this process reduces the XML's footprint as it is transferred.

[0033] U.S. Pat. No. 7,418,652 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR INTERLEAVING PARTS OF A DOCUMENT.

[0034] U.S. Pat. No. 7,383,502 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0035] U.S. Pat. No. 7,383,500 issued to Shur, et al. sets forth METHODS AND SYSTEMS FOR BUILDING PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0036] U.S. Pat. No. 7,373,330 issued to Klebe sets forth a METHOD AND APPARATUS FOR TRACKING AND CONTROLLING E-MAIL FORWARDING OF ENCRYPTED DOCUMENTS, teaches multiple publisher type oriented apparatus to request, email, download program and data and decrypt data.

[0037] U.S. Pat. No. 7,366,982 issued to Shur, et al. sets forth PACKAGES THAT CONTAIN PRE-PAGINATED DOCUMENTS.

[0038] U.S. Pat. No. 7,359,902 issued to Ornstein, et al. sets forth a METHOD AND APPARATUS FOR MAINTAINING RELATIONSHIPS BETWEEN PARTS IN A PACKAGE, teaches a package system using XML.



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[0039] U.S. Pat. No. 7,356,143 issued to Morten sets forth a SYSTEM, METHOD, AND APPARATUS FOR SECURELY PROVIDING CONTENT VIEWABLE ON A SECURE DEVICE, teaches Media player doing encrypt and decrypt steps.

[0040] U.S. Pat. No. 7,343,339 issued to Harrison Jr., et al. sets forth an ELECTRONIC BOND AND GUARANTY PROCESS AND BUSINESS METHOD.

[0041] U.S. Pat. No. 7,330,974 issued to Silverbrook, et al. sets forth a METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT, teaches altering images with message data.

[0042] U.S. Pat. No. 7,330,560 issued to Donescu sets forth an INSERTION AND EXTRACTION OF A MESSAGE IN AN IMAGE.

[0043] U.S. Pat. No. 7,299,408 issued to Daconta, et al. sets forth an ELECTRONIC DOCUMENT VALIDATION.

[0044] U.S. Pat. No. 7,296,217 issued to Earnshaw, et al. sets forth an ELECTRONIC TRANSACTION DOCUMENT SYSTEM.

[0045] U.S. Pat. No. 7,295,207 issued to Yoon, et al. sets forth a METHOD FOR MANAGING ANIMATION CHUNK DATA AND ITS ATTRIBUTE INFORMATION FOR USE IN AN INTERACTIVE DISC.

[0046] U.S. Pat. No. 7,251,832 issued to Venters, III, et al. sets forth a SECURE STREAMING CONTAINER, teaches open streaming channel of media from specific exe.

[0047] U.S. Pat. No. 7,251,644 issued to Viola, et al. sets forth PROCESSING AN ELECTRONIC DOCUMENT FOR INFORMATION EXTRACTION.

[0048] U.S. Pat. No. 7,199,804 issued to Bhattacharyay, et al. sets forth INK INFORMATION IN IMAGE FILES.

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[0049] U.S. Pat. No. 7,191,392 issued to Coar sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches Combination of files into a package, with access rule.

[0050] U.S. Pat. No. 7,191,186 issued to Pullen sets for a METHOD AND COMPUTER-READABLE MEDIUM FOR IMPORTING AND EXPORTING HIERARCHICALLY STRUCTURED DATA.

[0051] U.S. Pat. No. 7,181,017 issued to Nagel, et al. sets forth a SYSTEM AND METHOD FOR SECURE THREE-PARTY COMMUNICATIONS.

[0052] U.S. Pat. No. 7,172,122 issued to Alleshouse sets forth an XML SYSTEM.

[0053] U.S. Pat. No. 7,089,248 issued to King, et al. sets forth a GROUP FILE DELIVERY INCLUDING USER-DEFINED METADATA.

[0054] U.S. Pat. No. 6,954,542 issued to Miyake, et al. sets forth an IMAGE PROCESSING APPARATUS AND METHOD, teaches an application to put information into pixel image.

[0055] U.S. Pat. No. 6,908,034 issued to Alleshouse sets forth an XML SYSTEM.

[0056] U.S. Pat. No. 6,862,599 issued to King sets forth a SOFTWARE-BASED METHODOLOGY FOR THE STORAGE AND RETRIEVAL OF DIVERSE INFORMATION, teaches Pointer management for hierarchy based data.

[0057] U.S. Pat. No. 6,643,383 issued to Dugelay sets forth a METHOD FOR HIDING BINARY DATA IN A DIGITAL IMAGE.

[0058] U.S. Pat. No. 6,616,702 issued to Tonkin sets forth PREVIEWING AN ASSEMBLED DOCUMENT.

[0059] U.S. Pat. No. 6,507,858 issued to Kanerva, et al. sets forth a SYSTEM AND METHOD FOR STORING ORDERED SECTIONS HAVING DIFFERENT FILE FORMATS.

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[0060] U.S. Pat. No. 6,342,954 issued to Hisatomi, et al. sets forth an IMAGE INFORMATION PROCESSOR AND IMAGE INFORMATION TRANSMITTER.

[0061] U.S. Pat. No. 6,192,138 issued to Yamadaji sets forth an APPARATUS AND METHOD FOR EMBEDDING/UNEMBEDDING SUPPLEMENTAL INFORMATION, teaches Image and watermarking.

[0062] U.S. Pat. No. 6,138,119 issued to Hall, et al. sets forth TECHNIQUES FOR DEFINING, USING AND MANIPULATING RIGHTS MANAGEMENT DATA STRUCTURES, teaches techniques for defining, using and manipulating digital rights management data structures.

[0063] U.S. Pat. No. 6,055,321 issued to Numao, et al. sets forth a SYSTEM AND METHOD FOR HIDING AND EXTRACTING MESSAGE DATA IN MULTIMEDIA DATA, teaches hardware for examining media extracting message from transformed/scrambled data in media.

[0064] U.S. Pat. No. 5,894,558 issued to Falker sets forth a METHOD OF DISPATCHING DOCUMENTS BY CONVERTING THE DOCUMENTS FROM A CUSTOMER SPECIFIC DATA FORMAT TO A STANDARDIZED DATA FORMAT, AND THEN TRANSMITTING THE DOCUMENTS VIA MOST FAVORABLE WAY TO RECIPIENTS.

[0065] U.S. Pat. No. 5,778,372 issued to Cordell, et al. sets forth REMOTE RETRIEVAL AND DISPLAY MANAGEMENT OF ELECTRONIC DOCUMENT WITH INCORPORATED IMAGES.

[0066] U.S. Pat Application 20070106932, Coar, sets forth a SYSTEM AND METHOD FOR THE CREATION OF VIRTUAL INFORMATION PACKAGES, teaches a container of files with access rules.

[0067] U.S. Pat Application 20070011457, Dubinsky, et al. sets forth a METHOD FOR DISPLAYING AND PRINTING DIFFERENT SIZES OF SMART DOCS, teaches a visual display method for viewing a document, solving previous display issues.

[0068] U.S. Pat Application 20060150151, Dubinsky, sets forth a METHOD AND SYSTEM FOR EMBEDDING USER ASSISTANCE IN DOCUMENTS UTILIZING MARKUP LANGUAGES, teaches a system and method for providing user assistance directly in the document, where the document is of the XML type.

[0069] U.S. Pat Application 20060136477, Bishop, et al. sets forth a MANAGEMENT AND USE OF DATA IN A COMPUTER GENERATED DOCUMENT, teaches a multipart electronic document allowing sectional sub-document editing and control.

[0070] U.S. Pat Application 20060080599, Dubinsky, sets forth a METHOD AND SYSTEM FOR BUILDING AUDIT RULE SETS FOR ELECTRONIC AUDITING OF DOCUMENTS, teaches a system and method for creating documents, audit rule-sets and testing requirements. Included is application software for creation of rule sets and properties, having the software limit the documents full use and potential. The document is based exclusively on XML components with a reduced feature set capability.

[0071] U.S. Pat Application 20050138382, Hougaard, et al. sets forth a METHOD AND PROCESS FOR CREATING AN ELECTRONICALLY SIGNED DOCUMENT.

[0072] U.S. Pat Application 20040237040, Malkin, et al. sets forth a SYSTEM AND METHOD OF PROCESSING AN ELECTRONIC FORM USING LAYERED ASPECTS.

[0073] U.S. Pat Application 20040128513, Wu, Yen-Hsing, et al. sets forth a SECURED ELECTRONIC DOCUMENT AND METHOD OF VALIDATING THE SAME, teaches a secured electronic document and method for securing documents using printing means.

[0074] U.S. Pat Application 20030046274, Erickson, et al. sets forth a SOFTWARE MEDIA CONTAINER, teaches a secure media container and its handling apparatus for specifically limited digital rights management using a single container with external data and reference thereof.

[0075] U.S. Pat Application 20020066019, Amonou, et al. sets forth INSERTION OF MESSAGES IN DIGITAL DATA, teaches a method and device to make it possible to insert messages in a subset of digital data whilst ensuring the required robustness and invisibility.

[0076] U.S. Pat Application 20020059144, Meffert, et al. sets forth a SECURED CONTENT DELIVERY SYSTEM AND METHOD, teaches implemented PKI encryption between sender and recipient.

[0077] U.S. Pat Application 20010027459, Royal, sets forth a METHOD AND APPARATUS FOR ELECTRONIC DOCUMENT EXCHANGE, teaches a method for document exchange using standard protocols that’s flexible and easy to use. Shown is a specific document exchange system using specific relationships. Combination containers are used and are not dedicated document containers.

[0078] While the foregoing described prior art have provided elements of operation, they lack ability to be secure, independent of additional party involvement, having original document authentication, automation, interface and handling functionality;

[0079] Other prior art using methods such as signature mapping on graphic representations, transportation of text based content allowing alteration, outside party interface dependant, commercialized and controlled, content duplicated, specific data format type exceeded, content and cross-content support limited, altered or other missed security measured methods s required for today’s digital exchange, commerce, and trade transactions for electronic information.

[0080] Environment in which the document is in is intense and requires enormous resource. The steps forward in processing the idea, the prototype and a working version that could sustain the market vitality are enormous, even though the distances traveled are small.

[0081] Thus, there remains a need in the art for a securable independent electronic document. An apparatus that is securable, easy to use, robust, alteration detectable and independent of other party’s involvement, supportive of digital signatures and their witnesses, and supports complete closed party information exchange.

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[0082] Prior art heretofore known suffer from a number of disadvantages, which include a number of information integrity processes.

[0083] Without a unique container, individual, simple, in-house defined ways of document processing is not allowed; it's not totally secure, for sure. Further, custom, private handling solutions cannot be used or included, nor can they be conducted, without having total control of this container. A securable independent electronic document container is defined having absolute handling means. Further, it is defined to have intentional dedication to the security within.

[0084] Security is of the utmost importance. Take a bail bond document, for example. The said document becomes a promise that good intentions are desired between all parties. It further shows the urgency and need to solve issues or problems at hand, if any. In this industry, there is a yielding to the way of the more critical needs of humanity. A simple document; a promise of resource or asset; A guarantee that information moves on, without delay. Legal processes and matters thereof are among the highest in need for common humanity based affairs. Each side depends on the document to help with issues of resource management, be-it time or money, or both. Resources close to 'home' influence and shape our lives more than the courts, fees, jails, bonds, sureties, insurances and risks. However, in the system, money has a way of saying something, and incorrect, inefficient and pretend solutions have a way of getting up and moving. The electronic document speaks its monetary and asset value having delay, inefficiency, resistance, or other influences left at the curb.

[0085] People should feel confident of their transactions. Interoperability is one main consideration. The 'binary visually graphic' container is operable among all systems and platform and computer systems. Simple cut and paste. That's all we want! It's possible. In the older DOS editors, the document can be edited, having its internal text contents simply cut and pasted into a new formed destination file, and the contents comes alive, executing, establishing, presenting, processing, transacting as needed. Any text, let alone any data, can be in there.

[0086] Cross platform creation for the reading, printing, and processing of the electronic document can occur. Further, as dates continue, as do version oriented software releases, base support will be built in already.

[0087] Developing standards or other normative based recommendations are available which describe many of the possible internal and external working components. Various utilities, tools and other applications are widely available for document creation, delivery, verification, automation, and other transaction processes. With the binary type container can be carried, such as via email or zip file or other box. Graphical applications can display visual images at the same time that text-editing applications can present character texts. The container is well supported. Tools to support are available and free.

[0088] Container could either support easy non-scripting simple graphic data decompression or character text processing interaction. With the simple graphical display being first, generic errors, such as buffer overflows or failed dictionary or image reconstructions. These generally would create internal errors and such would occur and trigger a simple closing, stoppage of processing response, which would cause little disruption. The Process of the character text could be done, generally in either area or 'interfacing' phase of the document. For instance an initial read could be done to the document, exposing its detailed content to the computer for routing and designation delivery, processing, operations and other integrated procedures or functions.

[0089] These sections can have the character data text languages that work within 7 or 8 bit formats. Remember, any data could be placed in a 6 Bit format using the Base64 MIME encoding, which, for example, could be done with international multiple character byte language formats. A use of an extensible markup language (XML), for example digital signatures and extensible hypertext.markup language (XHTML), which are commonly used for creating electronic documents. Scripting code, protocol text, server page ASP, batch file process or other such text based formats are easily placed in the text sections.

[0090] Can represent and support a large variety of paper-based documents or electronic based documents.

[0091] Another feature with the electronic document is the ease of handling for document variation. Within any industry, individually dictated practices or other various conditions involved in processing an electronic document is completely supported. For example, in mortgage transactions, certain

lenders may have a common set of requirements for document validity, but might supplement these with their own particular requirements.

[0092] Impossible to Combine- The mix of the two environments, character text and graphic, is the combination of the two different environments that have evolved since the beginning of computer systems.

[0093] Rewrite The present invention can be embodied in various forms, including business processes, computer implemented methods, computer program products, computer systems and networks, user interfaces, application programming interfaces, and the like.

[0094] Prior art have differences in their presentation of the electronic document, and therefore are complete solutions that do not interact. Further, some references have technology reversed, showing combination can still be unobvious.

[0095] The World Wide Web Consortium (W3C) is the organization responsible for the XML standard, DTDs and related standards (<http://www.w3.org>). Notwithstanding the reference to DTDs to define the structure of XML documents, it should be understood that other specifications, methodologies, systems, protocols, or schemes could define the structure of markup languages.

[0096] Applications are not putting options in for a major embedded section of character text

[0097] There is no suggestion or teaching that references or presents a serried of combined ISO/IEC/W3C standards, specifically none placing digital signatures, notarizations, and self-containment inside digital graphic images.

[0098] Unobvious was the suggestion to combine the character text and graphic elements. There were prior suggestions for metadata or a comment, but the idea of internally supporting simple or rich text, markup or system command language or further other encoded random data has not come to light, let alone auto processed, digitally signed or digitally witnessed data.



## BRIEF SUMMARY OF THE INVENTION

[0099] Taught within is the development of an electronic document in response to the present state of the art, and in particular, in response to the problems and needs in the art that have not yet been fully solved. Accordingly, the embodiments presented within teach various possible solutions using an electronic document apparatus. Described herein, the apparatus shows to accompany variously different information handling requirements of exchange, commerce and trade. Offered with the electronic document apparatus is security and independence in its process, method, and manufacture, offering a system for the secure independent electronic document operation.

[0100] Providing an inert container for an electronic document having advanced security features, particularly those allowing complete system control of said container, doing so with a selected set of parties, and no more. Independence is further extended with verification abilities, allowing original vendors to verify the container and its contents.

[0101] Interrelated security with in document secures document further. Each interface could support characterization of the other, and interact to bind data of both format types.

[0102] Digital signatures, let alone XML has not been presented as something that should heavily populate a comment field, making an image container become a secure document.

[0103] The use of the electronic document using embedded enhanced XML protocols has not been discussed nor realized, and further, repeated missed signification realizations with focus diverted elsewhere shows lack of advantage realization.

[0104] The only link that best made sense was the text super imposed into the graphic format.

[0105] Any mention of items embedded are for descriptive or metadata purposes.

[0106] The main 'things' had come to frustration. It was that a mix of the two, preferably in the course of 'into one document'. Due to its advantages, the building of the electronic document would have transpired by this time.

[0107] The problem with securities assets transfer required solid positive control of container for such assets. Not until now has there been a container with enhanced security containing both automated data and visual presentation.

[0108] Standards are designed for voluntary use and do not impose any regulations. However, laws and regulations may refer to certain standards and make compliance with them compulsory. For example, the physical characteristics and format of credit cards is set out in standard number BS EN ISO/IEC 7810:1996. Adhering to this standard means that the cards can be used worldwide. [0000] Any standard is a collective work. Committees of manufacturers, users, research organizations, government departments and consumers work together to draw up standards that evolve to meet the demands of society and technology. British Standards' staffs act as secretaries to these committees and project manage the production of standards. As the world's oldest National Standards Body, BSI British Standards has over 100 years' experience of bringing together these often very varied viewpoints and of facilitating consensus.

[0109] A person skilled in a variety of art areas will become aware of how these established channels of protocol can become a solution for a spectrum of generically accepted possibilities of digital electronic commerce processing.

[0110] For the every day person, a picture identifies an item, and with a simple character data-interfacing environment, becomes a document that works for many purposes.

[0111] Outer wrapper gets the security container award.

[0112] Further, inert, free of platform and vendor requirements, you could do it!

[0113] The enhanced security, auto processing, cut and paste, and principle human interaction is a unique combination of features related to the electronic document.

[0114] Several steps in regards to XML and digital signatures, as well as the incorporation of the finalized secured data section into the image container requires several stages of steps in order to generate an electronic document of its type.

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[0115] The commercial value of the electronic power of attorney relates to many aspects of information, data or other valued managed asset relates to the value of the item itself. Having the capability to support security measures that, when in place, can ensure transactions are done correctly and in form that is verifiable and authenticable then ensures the asset is secure. With this, the value then becomes that of the monetary or other financial worth of the asset itself.

[0116] Included is optional 'receipt' possibilities, where the document's contents and a show of service or directed process is created.

[0117] Multiple embodiments are presented within, and in their accordance, an electronic document apparatus, method, system and its manufacture is presented, teaching a solution for secure independent digital electronic commerce and trade.. Said embodiments allow 'Electronic Commerce and Trade' to be secure and vendor independent. Within each embodiment's system, an apparatus can be . manufactured using the method described therein. The electronic documents are proven in process, allowing immediate implementation and use, in similar to that of presented embodiments. An electronic document handling digital signatures, graphic representation, data automation all within an unalterable, verifiable, secure container is explained.

[0118] Use of what's described allows freedom in the commerce world, removal of vendor dependencies, and compliance with standard structure. Therefore, in accordance with the inscribed description, objects, features, drawings and advantages, simple electronic commerce transactions can be executed with proven, well established standard information exchange specifications and recommendations, and can become an asset!?.

[0119] Emca International (Emca) is an international, private (membership-based) non-profit standards organization for information and communication systems. It acquired its name in 1994 European Computer Manufactures Association (EMCA) Geneva, 1961, To standardize systems.

[0120] Mentioned here are a few outstanding embodiments in their 'functional form' wording.

[0121] Document encryption can either be on character data, before any base 64, or on the image data, having image data encrypted/scrambled before image section creation.

[0122] The eXtensible Markup Language (XML) can be used to facilitate implementation of integrated programmable World Wide Web ("Web") based services. Through the exchange of XML-related messages, services can describe their capabilities and allow other services, applications or devices to easily invoke those capabilities. The Simple Object Access Protocol (SOAP) has been developed to further this goal. SOAP is an XML-based mechanism that bridges different object models over the Internet and provides an *open* mechanism for Web services to communicate with one another.

[0123] XML provides a format for describing structured data, and is a markup language that is similar in form to Hyper Text Markup Language (HTML) in that it is a tag-based language. Unlike HTML, however, XML tags are not predefined, permitting greater flexibility than possible with HTML. By providing a facility to define tags and the structural relationship between tags, XML supports the creation of richly structured Web documents.

[0124] The XML standard describes a class of data objects called XML documents and partially describes the behavior of computer programs which process them. XML documents are made up of storage units called entities, which contain either parsed or unparsed data. Parsed data is made up of characters, some of which form character data, and some of which form markup. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism to impose constraints on the storage layout and logical structure.

[0125] XML "elements" are structural constructs that include a start tag, an end or close tag, and the information or content that is contained between the tags. A "start tag" is formatted as "<tagname>" and an "end tag" is formatted as "</tagname>".

[0126] In an XML document, start and end tags can be nested within other start and end tags. All elements that occur within a particular element have their start and end tags occur before the end tag of that particular element. This defines a tree-like structure. Each element forms a node in this tree, and potentially has "child" or "branch" nodes. The child nodes represent any XML elements that occur between the start and end tags of the "parent" node.

[0127] One exemplary usage of XML is the exchange of data between different entities, such as client and server computers, in the form of requests and responses. A client might generate a request for information or a request for a certain server action, and a server might generate a response to the client that contains the information or confirms whether the certain action has been performed. The contents of these requests and responses are in the form of XML documents, i.e., sequences of characters that comply with the specification of XML.

[0128] [TITLE] The XML Signature Recommendation (*XML-Signature Syntax and Processing*) defines standard means for specifying information content to be digitally signed, including the ability to select a portion of an XML document to be signed using an XPath transform. [w3c digsig abstract] A specification located at <http://www.w3.org/TR/xmlsig-core/> teaches the current version of the XML digital signature processing rules and syntax.

[0129] XML Signatures provide data *integrity*, message and/or signer authentication services for data of any type, whether located within the XML that includes the signature or elsewhere.

[0130] The SOAP specification defines a uniform way of passing XML-encoded data. It also defines a way to perform remote procedure calls (RPCs) using HTTP as the underlying communication protocol.

[0131] A SOAP message is an XML document that includes a mandatory SOAP envelope, an optional SOAP Header, and a mandatory SOAP Body. SOAP provides a protocol specification for invoking methods on servers, services, components and objects. SOAP codifies the existing practice of using XML and HTTP as a method invocation mechanism. The SOAP specification mandates a small number of HTTP headers that facilitate firewall/proxy filtering. The SOAP specification also mandates an XML vocabulary that is used for representing method parameters, return values, and exceptions.

[0132] SOAP provides an *open*, extensible way for applications to communicate using XML-based messages over the Web, regardless of what operating system, object model or language particular applications may use. SOAP facilitates universal communication by defining a simple, extensible message format in standard XML and thereby providing a way to send that XML message over HTTP.

[0133] Reference throughout this specification to features, advantages, or similar language does not imply that all of the features and advantages that may be realized with the present invention should be or are in any single embodiment of the invention. Rather, language referring to the features and advantages is understood to mean that a specific feature, advantage, or characteristic described in connection with an embodiment is included in at least one embodiment of the present invention. Thus, discussion of the features and advantages, and similar language, throughout this specification may, but do not necessarily, refer to the same embodiment.

[0134] Furthermore, the described features, advantages, and characteristics of the invention may be combined in any suitable manner in one or more embodiments. One skilled in the relevant art will recognize that the invention can be practiced without one or more of the specific features or advantages of a particular embodiment. In other instances, additional features and advantages may be recognized in certain embodiments that may not be present in all embodiments of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0135] In order for the advantages of the invention to be readily understood, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments that are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings, in which:

[0136] For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

[0137] Listed are drawings that in no way limit the possibilities of the apparatus.

[0138] FIGS. 1A through 1E are block diagrams illustrating an embodiment. FIG 1A is a block diagram of one embodiment in accordance with the present invention. FIGS 1B through 1E are block diagrams of sections within the embodiment.

[0139] FIG 2 is a schematic diagram illustrating some of the types of files, if any, that may be enveloped within an embodiment.

[0140] For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the exemplary embodiments illustrated in the drawings, and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications of the inventive features illustrated herein, and any additional applications of the principles of the invention as illustrated herein, which would occur to one skilled in the relevant art and having possession of this disclosure, are to be considered within the scope of the invention.

[0141] Reference throughout this specification to "one embodiment," "an embodiment," or similar language means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment of the present invention. Thus, appearances of the

phrases "one embodiment," "an embodiment," and similar language throughout this specification may, but do not necessarily, all refer to the same embodiment, different embodiments, or component parts of the same or different illustrated invention. Additionally, reference to the wording "an embodiment," or the like, for two or more features, elements, etc. does not mean that the features are related, dissimilar, the same, etc. The use of the term "an embodiment," or similar wording, is merely a convenient phrase to indicate optional features, which may or may not be part of the invention as claimed.

[0142] Each statement of an embodiment is to be considered independent of any other statement of an embodiment despite any use of similar or identical language characterizing each embodiment. Therefore, where one embodiment is identified as "another embodiment," the identified embodiment is independent of any other embodiments characterized by the language "another embodiment." The independent embodiments are considered to be able to be combined in whole or in part one with another as the claims and/or art may direct, either directly or indirectly, implicitly or explicitly.

[0143] Finally, the fact that the wording "an embodiment," or the like, does not appear at the beginning of every sentence in the specification, such as is the practice of some practitioners, is merely a convenience for the reader's clarity. However, it is the intention of this application to incorporate by reference the phrasing "an embodiment," and the like, at the beginning of every sentence herein where logically possible and appropriate.

[0144] As used herein, "comprising," "including," "containing," "is, are," "characterized by," and grammatical equivalents thereof are inclusive or open-ended terms that do not exclude additional unrecited elements or method steps. "Comprising" is to be interpreted as including the more restrictive terms "consisting of" and "consisting essentially of."

[0145] As used herein, "graphic file", "image file", "image", "graphical data", "graphical image", "WMP", "JPG", "BMP", "PNG", "JPG", "TIFF", "GIF", "JIF", "JNG", SVG, and RTF includes any type of a visually graphic humanly perceivable medium or image based data.

[0146] As used herein, "standards group", "ISO", "IEC", "W3C" includes any normative group or organization.



[0147] As used herein, "data", "binary data", "raw data" includes data of a generic binary type.

[0148] As used herein, "byte", "character" includes a sequence of 8 adjacent bits; which are operated on as a unit by a computer, and represents an integer from 0 to 255.

[0149] As used herein, "character text", "character data" includes single, double, or multiple byte characters.

[0150] As used herein, "base64", "shrunk", includes the character representation of data in a form any of the ISO W3C ect standards.

[0151] As used herein, "block" continuous, or set amount of continues raw, binary, character text, random byte or other type of data.

[0152] As used herein, "graphic data", "image data", "pixel data" includes any series of visually representative data.

[0153] "Digital signature", "Signtaure", "Notariization", "Digital Notarization", "Cosign", "Countersign", "XML DigSig", "XML Digital Signatures" includes any digital signature data.

[0154] "Envelope", "enveloping signature", "enveloped data" all reference the encompassment of a signature section or block of structured or non-structured data character(s).

[0155] "Raw", "raw data Block", "part of data", "data section", or "blob" means a section of data that is indiscriminant of its type, size, sign or other aspect or attribute of raw

[0156] As used herein, "standards" Standards - Put at its simplest, a standard is an agreed, repeatable way of doing something. It is a published document that contains a technical specification or other precise criteria designed to be used consistently as a rule, guideline, or definition. Standards help to make life simpler and to increase the reliability and the effectiveness of many goods and services we use. Bringing together the experience and expertise of all interested parties such as the producers, sellers, buyers, users and regulators of a particular material, product, process or service creates standards.

[0157] As used herein, “URL” is Universal Resource Locator.

#### DETAILED DESCRIPTION—FIRST EMBODIMENT—FIGS. 1

[0158] In the following description, for the purpose of explanation, numerous details are set forth, such as diagrams, file types, and arrangements, in order to provide an understanding of one or more embodiments of the present invention. However, it is and will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention.

[0159] Certain embodiments of the securable independent electronic document may be constructed in the following fashion if desired. A text file and a graphics file may be opened simultaneously. Zero or more user files may be embedded into the text file. Security features such as checksums may then be computed on all and / or parts of the text file. The graphics file process may compute checksums or other security features on all and / or parts of the text file and / or all or parts of any embedded user files. The graphics file processing can be made to in essence to take one or more pictures of a visual representation of the text file and its embedded data and therefore these checksums and security features could vary from the security features computed by the text file process. The text file process and the graphics file process may then exchange and write the other process's security feature into its file. Both processes may then each engage in additional processing such as encryption and then compute and write another security feature perhaps by a different method than the prior checksum if desired. This procedure of security feature computation, security feature exchange and save of the other processes' security feature, and re-computation and save of a new security feature may then continue or both the text file and the graphics file may then close. Subsequent inspection of the security features, if allowed by the securable independent electronic document creator, could attest to tamper evidence in either or both of the text file or graphics file portions. Additionally, if allowed by the securable independent electronic document creator, visual validation may be available in either or both the text file and graphics file portions. A human and/or an OCR, or other type of pattern recognition software may make this visual check.

[0160] One embodiment as illustrated in **FIG 1A 100** has any User File **130** or none at all inside a [XML] markup file **120** and **140** enveloped by a [PNG] graphics file **110** and **150**. In **FIGS 1A** through **1E**, XML and PNG are shown as the markup and graphics files respectively, although in certain embodiments, other choices may exist for both. Either or both the [XML] markup file and the [PNG] graphics file may have intrinsic security features [CRC's]. As an example, **FIG 1F** shows a typical arrangement of a PNG data block or chunk with some data elements **191** through **195** and the security feature **196** at the end of the chunk. In the case of the PNG format, the data security feature takes the form of a cyclic redundancy check or CRC computed on the contents of that data chunk. In this and other file formats, other kinds, numbers, and placements of intrinsic and constructed security features may occur. Naturally, it is appreciated that variations are possible and considered within the scope of the example and embodiments.

[0161] In certain embodiments, either or both the [XML] markup file and the [PNG] graphics file may include zero or more Digital Signatures and / or Counter-Signatures of various forms. **FIGS 1B** and **1C** show as an example, typical PNG file header and file end sections respectively. In the case of the PNG file format, the structure of the file is quite flexible with regards to occurrence, number, and arrangement of data blocks or chunks after the IHDR chunk **FIG 1B.111** and before the IEND chunk **FIG 1C.159**. Continuation with an example of a use of the PNG file format in an embodiment, the markup language file and user file component, if any user files are included at all, may be inserted in zero or more Ztxt chunks **FIG 1B.113** and / or **FIG 1C.155**; and / or zero or more tEXT chunks **FIG 1B.114** and / or **FIG 1C.153**; and / or zero or more iTXT chunks **FIG 1B.115** and / or **FIG 1C.154**; and / or zero or more IDAT chunks **FIG 1B.117** and / or **FIG 1C.151**; and / or zero or more Other Sections **FIG 1B.116** and / or **FIG 1C.152**. In various embodiments, Digital Signatures **FIG 1B.112** may be added before the included data. In other embodiments, Digital Signatures **FIG 1C.156** may be added near the end of the graphics file. Still other embodiments may have Digital Signatures **FIG 1B.112** and **FIG 1C.156** both before and after the included file or no Digital Signatures at all in the graphics file portion.

[0162] Embodiments may also possess Digital Signatures in the markup file portion. As an example, in XML markup language, the World Wide Web Consortium (W3C) is just one organization that proposes and maintains standards and routines regarding Digital Signatures. Notarization elements and or files may also be included as appropriate.

[0163] The PNG file structure permits the inclusion **FIG 1B.113-116** and **FIG 1C.152-155** of several types of text data blocks or chunks and other optional types of data chunks in a file. Many of these kinds of data chunks are quite flexible as to what is precisely put into them. Various embodiments could place into these chunks information concerning advanced security features, encryption, digital rights, and / or other uses. In an example of a use of the PNG specification as the graphics file in an embodiment, the **FIG 1B.117** and **FIG 1C.151** IDAT chunks could also carry information concerning advanced security features, encryption, digital rights, and / or other uses as well. Furthermore, an embodiment that uses the PNG specification may also contain user created chunks fabricated within the rules of the specification for the purposes of advanced security, encryption, digital rights, and / or other uses as well as the pre-described chunk types.

[0164] Either or both the [XML] markup file and the [PNG] graphics file may include zero or more Optional Data Block[s] for any combination of the purposes of Advanced Security, Encryption[s], Rights, or other uses. Also, zero or more Notarizations and / or Counter-Signatures may be included. The file creator may retain, 3rd party archive, transmit, and or post the security feature[s], Digital Signatures, and Optional Data Block[s] as required. Notaries / Counter-Signers may retain, 3rd party archive, transmit, and or post as required. The embodiment receiver may check the security features [CRC's] and Digital Signatures, Notarizations, and Counter-Signatures as required. The embodiment receiver and intermediate handlers access the Optional Data Block[s] as required by the embodiment creator.

[0165] The markup file may also contain **FIG 1D.122** and **FIG 1E.141** optional sections and / or files. An embodiment could use these data to support any combination of advanced security, encryption, digital rights, non-repudiation (e.g., evidencing that a document was signed by a particular entity at a particular date and time), field validation (e.g., checking that information in a document complies with content category rules), and / or other uses as appropriate. In the case of the XML, the W3C maintains

routines to convert 8 bit data to 6 bit data and the inverse conversion 6 bit to 8 bit data with no losses of bits. These conversion routines may facilitate the inclusion of files within the markup portion of an embodiment although other conversion routines may be appropriate for certain applications.

[0166] When an originator has completed a securable independent electronic document, they may have access to several kinds of information they included and, perhaps, beyond what they put in. For their particular instance of an embodiment, there may exist zero or more files, CRCs', basic and / or advanced security features, encryption data, digital rights, digital signatures, notarizations, and other data.

[0167] FIG X and Xa show an electronic document using some of the combinations above. For instance, with the Power of Attorney electronic document shown in Fig X. the securable independent electronic document 100 has the graph 80, the text section 5, and data populating

[0168] In certain embodiments, it may appropriate for the originator to have access to none, some, or all of this information. They then may choose to retain, post, transmit to the receiver or third parties whatever portion of that information is reasonable or required. The receiver of a securable independent electronic document may have access to none, some, or all of the information about it or within it also. If reasonable or desired in certain embodiments, the receiver may verify information within and / or about a securable independent electronic document to check for evidence of tampering, validity, and other qualities. Embodiments may also permit one or more third parties to attest to the veracity of a securable independent electronic document.

[0169] Embodiments may be constructed that include an electronic representation of a legal document such as a bond, surety coupon, mortgage, or other legal document. The electronic document creator may then include one or more digital signatures in the markup language portion in accordance with guidelines set forth by the W3C XML digital signature recommendation of June 10, 2008 and / or other methods. It may be reasonably concluded that the markup language portion of a securable independent electronic document construction thus far conforms to often adopted standards and is within the scope of legal rules and regulation such as the UETA statute.

[0170] Intermediate participants such as witnesses, co-signers, counter-signers, notaries, authorizing / approving parties, and others may need only to conform to their requirements within rules and regulation to maintain the legal operability of the included legal document within a securable independent electronic document construct markup language file portion. In certain applications, when the outer graphics file portion of a securable independent electronic document construct readies to envelope the inner markup language file portion with its included document, additional steps may be taken.

[0171] It may be desirable to make any number of pictorial representations of the included document and / or its markup language component in part and / or in its entirety, which may be saved within the outer graphics file portion of a securable independent electronic document. Additional security features may also be computed on the whole or multiple parts of the inner markup language portion with the included document, which enhances the tamper evidence qualities of a securable independent electronic document.

[0172] Embodiments may be constructed having one or more securable independent electronic documents inside a securable independent electronic document. Other regular files may also be interspersed with securable independent electronic document's inside the outermost securable independent electronic document. Embedded securable independent electronic document's may also envelope further embedded securable independent electronic document's and other files. Embodiments may choose to work on the included document and its securable independent electronic document envelope in a easily readable plain-text form. An electronic document creator may construct a securable independent electronic document and then encrypt in its entirety. Information about the encryption may be stored in a second securable independent electronic document that may also contain the entire encrypted securable independent electronic document, some piece of it, or none at all. Encryption information, the encrypted whole or fragmented securable independent electronic document, which may also be inside other securable independent electronic document's, may then take separate routes to the electronic document receiver. The electronic document receiver may then decrypt and / or reconstruct all or part of the originally encrypted securable independent electronic document as appropriate.

## CONCLUSION, RAMIFICATIONS, AND SCOPE

[0173] Advantageously, the securable independent electronic document offers document creators, handlers, and recipients more opportunity and flexibility in selecting tools for data integrity, data security, data validation, data auditing, and support for other mission critical data processing needs.

[0174] The securable independent electronic document users are given the opportunity to create and / or acquire their own tools for document data processing operations. The above-mentioned tools are not necessarily limited in their scope of operation.

[0175] The document creators may be able to choose the level of interaction with outside third parties in document creation and handling.

[0176] The securable independent electronic document may be transmitted simply, such as an attachment to an email; and may be handled with tools common to the browser environment.

[0177] The signature block that contains a section for dynamic data, which is user data or other XML formatted type, including base64 MIME type of encoded data, which allows any random raw binary or character data to be inserted inside the signature block and therefore become candidate for digitally signing. Further, reference to an external object data block can be made, which therefore then includes the referenced material when the digital signature digest value is computed, even though the signed material may be outside the electronic document container.

[0178] Digital signatures can be presented in multiple forms. Adjacent, cosignatures or nested signatures enhance data integrity.

[0179] Digital signatures can be applied to both text and graphic image data sections. Multiple sections within a single text data section allow for digital signature operations to be done to both types of data sections.

[0180] Specific functionality on various types of graphic files allow for larger embedded sections. Further, additional types of data can be placed in the document, having other containment section compartments supporting other various data models. Further, these compartments can contain further

security measures, ensuring yet better containment validity, such as extended content digital signature capabilities.

[0181] The character portion of the container may contain a description of an image, in a graphic section. A graphic section can also contain an image of text, security features, encryption keys, access methods and rights, or other data. A graphic section can contain an interpreted key for a XML section. Example: A picture of a zebra could be coded image='ZEBRA', or other codeword, as well as 'embedded' values, such as a key chain. Either can be first to be created, having support by the other accordingly. In the case of creating the text first, the graphic can contain information (in graphic form), supporting original text information. Later, the text can then finish up with data supporting the graphical equivalent of the original text data to be protected.

[0182] Automated data, graphical data, and a host of other data types work to make the document securable. For example, a code word on the phone could go with a picture and be input to the automated processed text.

[0183] A wide variety of well-adopted applications already support the document.

[0184] Various encryption, security methods, or other technologies in existence, or possibly created in the future, may be implemented in the securable document container. This allows a flexible set of circumstances for enhanced document integrity.

[0185] Multiple page documents can be presented as a series of electronic documents, having the electronic document's contents independent of any header or ending container portions.

[0186] Image sections of the electronic document, its embedded documents or a combination of documents could be combined to present one totality picture. The data for these could be combined or otherwise accumulated accordingly to present a completed pictorial of the overall data environment.

[0187] Self type embedded documents support process flow and management.

[0188] Digital signatures use XML. The graphic image container PNG can support content digital signatures, which, with a specified data set, can present signatures further preserving data integrity.



[0189] Self type embedded documents support process flow and management.

[0190] Notarization or witnessing type of either acknowledgement or Juret based can be part of the document and would follow laws, statutes or other regulations in place to support commerce for a digital transaction. These laws have been in place since early 2000 for most states, having uniform acceptance to these laws commonly in place now.

[0191] Its copy is its original, having both the data and visual support included in a single container package.

[0192] Document is in its best archival state and requires no further processing for indefinite storage and retrieval needs.

[0193] Vendor verification allows for private ‘in house’ vendor specific trade secret secure, reliable confirmation. (Tumblers placed internally known only to internal processes, generating a result whose algorithmic generation is completely contained in vendors’ control.

[0194] With this single container, and the bounds of data limitless, the extra protection sought became more and more. Soon, security possibilities evolved. Usable features become to exist.

[0195] Although the description above contains many specificities, these should not be construed as limiting the scope of the embodiments but as merely providing illustrations of some of the presently preferred embodiments. For example, the document can have other purposes, such as , ect.

[0196] Thus, the scope of the embodiments should be determined by the appended claims and their legal equivalents, rather than by the examples given,

[0197] It is understood that the above-described embodiments are only illustrative of the application of the principles of the present ELECTRONIC DOCUMENT apparatus taught within. The electronic document may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the document apparatus is, therefore, indicated by the appended claims

rather than by the foregoing descriptions. All changes, which come within the meaning and range of equivalency of the claims, are to be embraced within their scope.

[0198] Similarly, one skilled in the art would appreciate a postable resume complete with structured information concerning employment and other tangible data items that would be relative to an applicant's qualifications.

[0199] As another example, it is envisioned that the electronic document could be that of an issued patent, or published application, having tangible data represented for automation means of cataloging or other reference. Alternately, correspondence could include control structure information supporting the office type actions and / or replies.

[0200] It is also envisioned a graphic image can present a picture that contains a required process or step. The character text could include validation or authentication text, which can include English type instructions, which describe the format of the data originally placed, or otherwise represented in the graphic form.

[0201] It is expected that there could be numerous variations of the design of this invention. For example, the graphic component may consist of colors, shapes, and other visual data. Further, the character text can incorporate multiple embedded documents, digital signature blocks, or other embedded and / or encoded data in any combination.

[0202] It is also expected that another design variation of the document would be an embodiment where there may be a receipt attached to the document, using self document type embedding, where an original order or other request is encompassed inside the receipt when the order or other request is fulfilled.

[0203] It is envisioned that the components of the electronic document and method may be constructed with a variety of information. For example, the character text component can included scripting, command language, or other digital represented formats. Further, its graphical component could include barcodes, object character recognition formatted data, as well as visually confirming data.

[0204] It is also to be realized that the components of the electronic document may be constructed of a variety of processes. In addition, component steps can be separated, each creating separate documents, and finally assembled together.

[0205] Finally, it is envisioned that the components of the electronic document may be constructed of a variety of procedures. For example, its creation could be in a controlled processing environment, with procedures creating individual sections of the document before final construction.

[0206] Thus, while the present invention has been fully described above, with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications. Including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.

[0207] Thus, while the present invention has been fully described above with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiment of the invention, it will be apparent to those of ordinary skill in the art that numerous modifications, including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made, without departing from the principles and concepts of the invention as set forth in the claims.

That which is claimed is:

1. An information handling apparatus comprising:

a container, adapted for sectional components, said container can be of a normative standard format;

at least one character data component, comprising:

a character text data, wherein said character text data can be of a normative standard format;

a first interfacing means, wherein said character text data can be processed in an automated fashion in a computer system;

a second interfacing means, wherein said character text data can be interpreted and understood with human interaction;

a first referencing means, wherein said character text data can reference external file data a file using a uniform resource locator or identifier;

a first encoding means, wherein said character text data can be of an encoded type;

a first embedding means, wherein said character text data can be of said encoded type format and can contain file data of any type; and

a first integrity means, wherein said character text data can be of an encrypted format;

at least one graphical image data component, comprising:

a graphical image data component;

a third interfacing means, wherein said graphical image data can be digitally processed in an automated fashion in a computer graphic processing system;

a fourth interfacing means, wherein said graphical image data can be realized and perceived with human interaction; and

a second integrity means, wherein said graphical image data can be of an encrypted type;

at least one overall data integrity check value;

a fifth interfacing means, wherein said containers default file data format is of a binary type;

a first independence means, wherein said containers sectional components creation, processing or storage can be independent of said containers contents creation;

a third integrity means, wherein said containers sectional components creation, processing or storage can be independent of an intervention from said containers association;

a fourth integrity means, wherein said containers sectional components can included data integrity check value information of other said container sectional components;

a fifth integrity means, wherein having said containers format be of a normative standard type further allows said containers creation, processing or storage to be independent of an intervention from additional party association;

a second independence means, wherein having said containers format be of a normative standard type further allows said containers creation, processing or storage to be independent of an additional required party interaction;

a third independence means, wherein having said containers format be of a normative standard type further allows said containers validation to be independent of an additional party interaction; and

a fourth independence means, wherein having said container have a format of a normative standard type further allows said container to be created, processed or stored independent of a computer system platform medium type.

2. The apparatus of Claim 1, having said character data component further comprising:

a first digital signing means, wherein said character text data can be digitally signed, cosigned and countersigned;

3. The apparatus of Claim 2, having said container further comprising:

at least one or more digital signature data components;

digital signing means, wherein said digital signature component type can be one of a signature, cosignature and countersigner type.

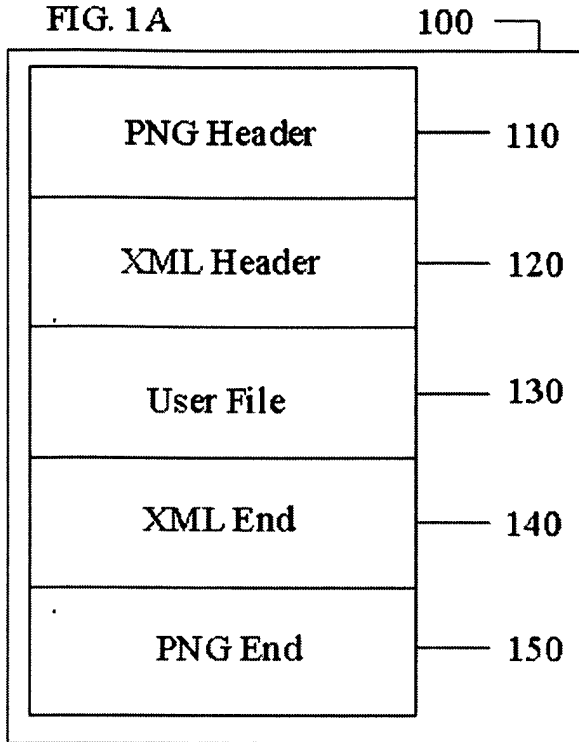
4. The apparatus of Claim 3, having said container component sections further comprising:

at least one hash check value, wherein said hash check value is computed for the said component section.

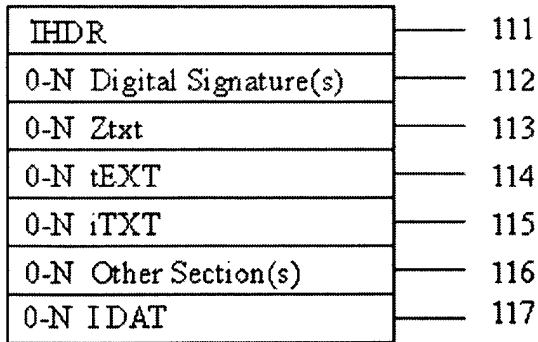
ABSTRACT:

[0208] In accordance with embodiments within, a secure independent electronic document apparatus, it's system, method, and manufacture is taught. With an authenticable and tamper detectable electronic container supporting platform vendor and authentication independence, character text data sections supporting digital signatures, data automation and nested embedding, as well as graphical image data, or other free format data sections supporting many data processing operations, imaging representation comprised within a container using a secure and independent system, the secure independent electronic document presents a solution for digital electronic information distribution, commerce, trade and exchange.

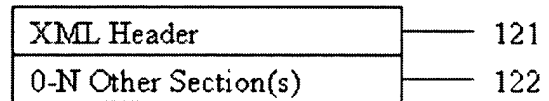
(Reference numerals in parenthesis for possible foreign filing)



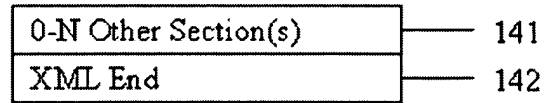
**FIG. 1B**



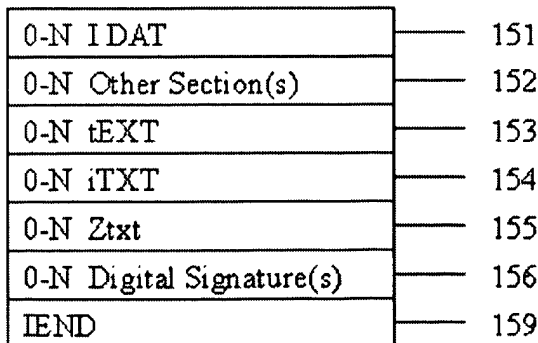
**FIG. 1D**



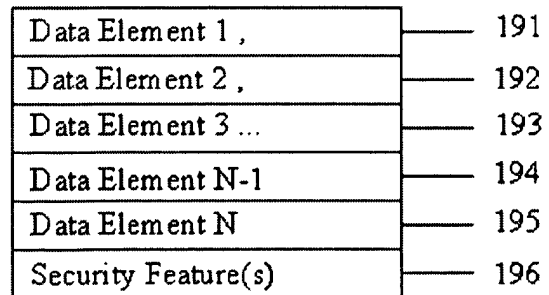
**FIG. 1E**



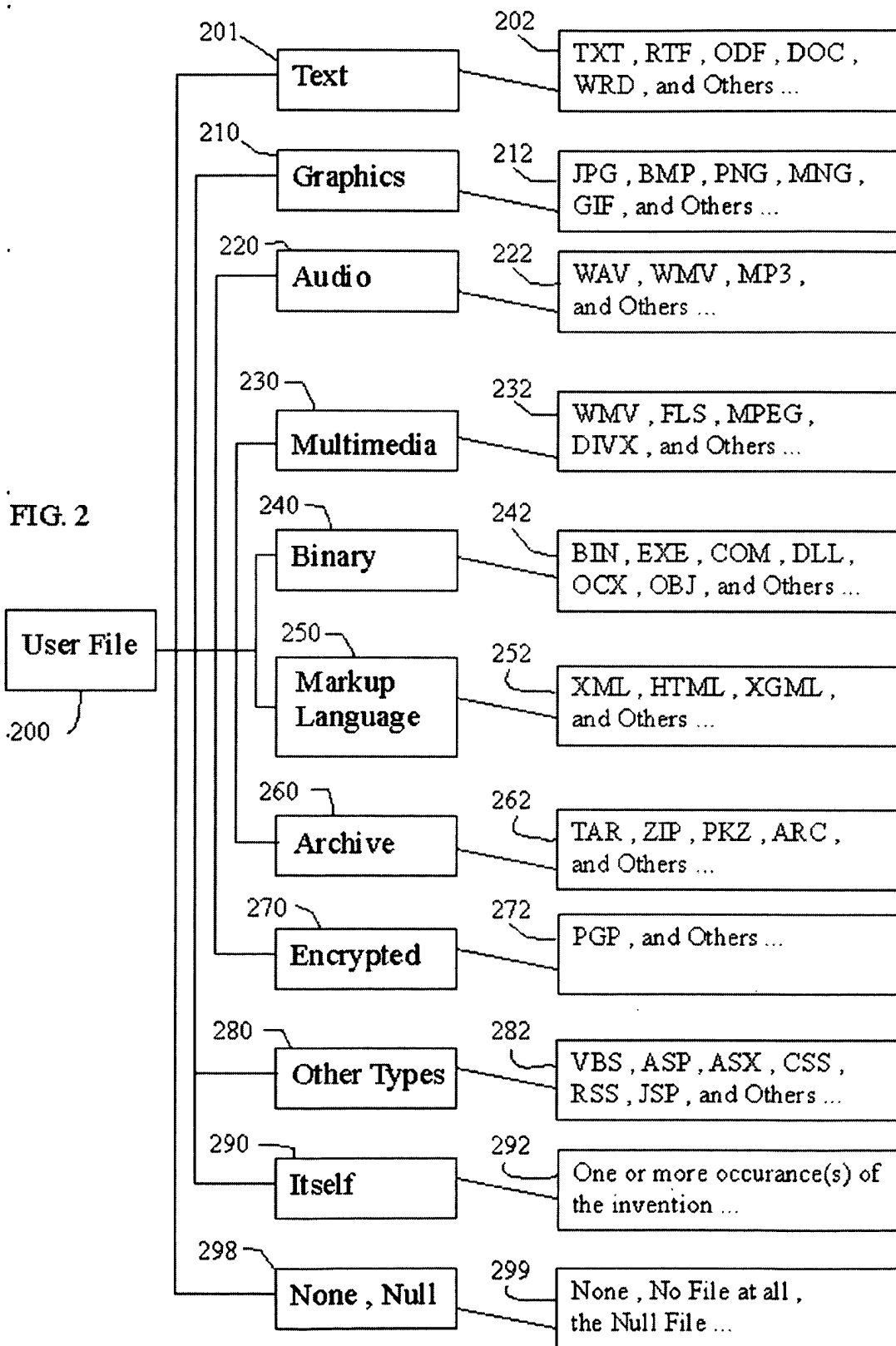
**FIG. 1C**



**FIG. 1F**







Doc Code: OATH

Document Description: Oath or declaration filed

PTO/SB/01 (10-08)

Approved for use through 06/30/2010. OMB 0651-0032

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|                                                                                                                                                                                                                                                                                               |                        |                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--------------------|
| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br><b>(37 CFR 1.63)</b><br><br><input checked="" type="checkbox"/> Declaration Submitted With Initial Filing <b>OR</b> <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (f)) required) | Attorney Docket Number |                    |
|                                                                                                                                                                                                                                                                                               | First Named Inventor   | John L. Guymon Jr. |
|                                                                                                                                                                                                                                                                                               | COMPLETE IF KNOWN      |                    |
|                                                                                                                                                                                                                                                                                               | Application Number     |                    |
|                                                                                                                                                                                                                                                                                               | Filing Date            | Dec. 15, 2008      |
|                                                                                                                                                                                                                                                                                               | Art Unit               |                    |
| Examiner Name                                                                                                                                                                                                                                                                                 |                        |                    |

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Securable Independent Electronic Document

(Title of the Invention)

the application of which

is attached hereto

OR

was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International

Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

**Authorization To Permit Access To Application by Participating Offices**

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

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**DECLARATION — Utility or Design Patent Application**

**Claim of Foreign Priority Benefits**

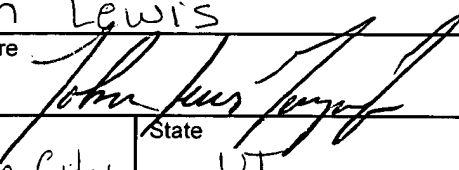
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed                                                                                         | Certified Copy Attached?                                                                                     |                                                                                                              |
|-------------------------------------|---------|----------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                     |         |                                  |                                                                                                              | YES                                                                                                          | NO                                                                                                           |
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Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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**DECLARATION — Utility or Design Patent Application**

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| Direct all correspondence to:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> The address associated with Customer Number: | <input type="checkbox"/> OR                                                   | <input checked="" type="checkbox"/> Correspondence address below |
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| Name<br>John L. Guymon Jr.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                  |                                                                               |                                                                  |
| Address<br>1635 E. 7080 S.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                  |                                                                               |                                                                  |
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| Salt Lake City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | UT                                                                               | 84121                                                                         |                                                                  |
| Country                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Telephone                                                                        | Email                                                                         |                                                                  |
| USA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 801-502-1828                                                                     | admin@ibailbonding.com                                                        |                                                                  |
| <b>WARNING:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                  |                                                                               |                                                                  |
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| Given Name (first and middle [if any])                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                  | Family Name or Surname                                                        |                                                                  |
| John Lewis                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                  | Guymon Jr.                                                                    |                                                                  |
| Inventor's Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                  |                                                                               | Date                                                             |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                  |                                                                               | Dec. 15, 2008                                                    |
| Residence: City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | State                                                                            | Country                                                                       | Citizenship                                                      |
| Salt Lake City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | UT                                                                               | USA                                                                           | USA                                                              |
| Mailing Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                  |                                                                               |                                                                  |
| 1635 E. 7080 S.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                  |                                                                               |                                                                  |
| City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | State                                                                            | Zip                                                                           | Country                                                          |
| Salt Lake City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | UT                                                                               | 84121                                                                         | USA                                                              |
| <input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1st supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                  |                                                                               |                                                                  |

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|                    |                                                     |
|--------------------|-----------------------------------------------------|
| <b>DECLARATION</b> | <b>ADDITIONAL INVENTOR(S)</b><br>Supplemental Sheet |
|                    | Page <u>1</u> of <u>1</u>                           |

|                                                     |       |                                                                               |             |
|-----------------------------------------------------|-------|-------------------------------------------------------------------------------|-------------|
| <b>Name of Additional Joint Inventor, if any:</b>   |       | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |
| Given Name (first and middle (if any))              |       | Family Name or Surname                                                        |             |
| DOUGLAS SCOTT                                       |       | LITSEY                                                                        |             |
| Inventor's Signature<br><i>Douglas Scott Litsey</i> |       | Date 12/15/08                                                                 |             |
| Residence: City                                     | State | Country                                                                       | Citizenship |
| WEST VALLEY CITY                                    | UT    | USA                                                                           | USA         |
| Mailing Address<br>3061 WEST 3500 SOUTH #A-2        |       |                                                                               |             |
| City                                                | State | Zip                                                                           | Country     |
| WEST VALLEY CITY                                    | UT    | 84119                                                                         | USA         |
| <b>Name of Additional Joint Inventor, if any:</b>   |       | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |
| Given Name (first and middle (if any))              |       | Family Name or Surname                                                        |             |
|                                                     |       |                                                                               |             |
| Inventor's Signature                                |       | Date                                                                          |             |
|                                                     |       |                                                                               |             |
| Residence: City                                     | State | Country                                                                       | Citizenship |
|                                                     |       |                                                                               |             |
| Mailing Address                                     |       |                                                                               |             |
| City                                                | State | Zip                                                                           | Country     |
|                                                     |       |                                                                               |             |
| <b>Name of Additional Joint Inventor, if any:</b>   |       | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |
| Given Name (first and middle (if any))              |       | Family Name or Surname                                                        |             |
|                                                     |       |                                                                               |             |
| Inventor's Signature                                |       | Date                                                                          |             |
|                                                     |       |                                                                               |             |
| Residence: City                                     | State | Country                                                                       | Citizenship |
|                                                     |       |                                                                               |             |
| Mailing Address                                     |       |                                                                               |             |
| City                                                | State | Zip                                                                           | Country     |
|                                                     |       |                                                                               |             |

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

**Title of  
Invention**

Securable Independent Electronic Document

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- The attached application, or  
 Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
 As amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

#### FULL NAME OF INVENTOR(S)

Inventor one: John L. Graymon Jr. Date: Dec 15, 2008

Signature: [Signature] Citizen of: USA

Inventor two: DOUGLAS SCOTT LITSEY Date: Dec 15, 2008

Signature: [Signature] Citizen of: USA

Additional inventors or a legal representative are being named on \_\_\_\_\_ additional form(s) attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                           |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Attorney Docket Number                    |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Application Number                        |  |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Securable Independent Electronic Document |  |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.</p> <p>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> |                                           |  |

**Secrecy Order 37 CFR 5.2**

- Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

**Applicant Information:**

|                                                                                                                                                                                 |                   |                                                                |                    |                                                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------------------------------------------------------|--------------------|-------------------------------------------------------------|
| <b>Applicant 1</b>                                                                                                                                                              |                   |                                                                |                    |                                                             |
| <b>Applicant Authority</b> <input checked="" type="radio"/> Inventor                                                                                                            |                   | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| <b>Prefix</b>                                                                                                                                                                   | <b>Given Name</b> | <b>Middle Name</b>                                             | <b>Family Name</b> | <b>Suffix</b>                                               |
| Mr                                                                                                                                                                              | John              | Lewis                                                          | Guymon             | Jr.                                                         |
| <b>Residence Information (Select One)</b> <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | Salt Lake City    | <b>State/Province</b>                                          | UT                 | <b>Country of Residence</b> USA                             |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                         |                   |                                                                |                    |                                                             |
| <b>Mailing Address of Applicant:</b>                                                                                                                                            |                   |                                                                |                    |                                                             |
| <b>Address 1</b>                                                                                                                                                                |                   | 1635 E. 7080 S.                                                |                    |                                                             |
| <b>Address 2</b>                                                                                                                                                                |                   |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | Salt Lake City    | <b>State/Province</b>                                          | UT                 |                                                             |
| <b>Postal Code</b>                                                                                                                                                              | 84121             | <b>Country</b>                                                 | USA                |                                                             |
| <b>Applicant 2</b>                                                                                                                                                              |                   |                                                                |                    |                                                             |
| <b>Applicant Authority</b> <input checked="" type="radio"/> Inventor                                                                                                            |                   | <input type="radio"/> Legal Representative under 35 U.S.C. 117 |                    | <input type="radio"/> Party of Interest under 35 U.S.C. 118 |
| <b>Prefix</b>                                                                                                                                                                   | <b>Given Name</b> | <b>Middle Name</b>                                             | <b>Family Name</b> | <b>Suffix</b>                                               |
| MR                                                                                                                                                                              | DOUGLAS           | SCOTT                                                          | LITSEY             |                                                             |
| <b>Residence Information (Select One)</b> <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service |                   |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | WEST VALLEY CITY  | <b>State/Province</b>                                          | UT                 | <b>Country of Residence</b> USA                             |
| <b>Citizenship under 37 CFR 1.41(b)</b>                                                                                                                                         |                   |                                                                |                    |                                                             |
| <b>Mailing Address of Applicant:</b>                                                                                                                                            |                   |                                                                |                    |                                                             |
| <b>Address 1</b>                                                                                                                                                                |                   | 3061 WEST 3500 SOUTH #A-2                                      |                    |                                                             |
| <b>Address 2</b>                                                                                                                                                                |                   |                                                                |                    |                                                             |
| <b>City</b>                                                                                                                                                                     | WEST VALLEY CITY  | <b>State/Province</b>                                          | UT                 |                                                             |
| <b>Postal Code</b>                                                                                                                                                              | 84119             | <b>Country</b>                                                 | USA                |                                                             |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <span style="float: right;">Add</span>     |                   |                                                                |                    |                                                             |

**Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below.  
For further information see 37 CFR 1.33(a). 63451

- An Address is being provided for the correspondence information of this application.

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|                                           |                                           |                                                                                      |
|-------------------------------------------|-------------------------------------------|--------------------------------------------------------------------------------------|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number                    |                                                                                      |
|                                           | Application Number                        |                                                                                      |
| Title of Invention                        | Securable Independent Electronic Document |                                                                                      |
| Customer Number                           | 63451                                     |                                                                                      |
| Email Address                             | admin@ibailbonding.com                    | <input type="button" value="Add Email"/> <input type="button" value="Remove Email"/> |

**Application Information:**

|                                         |                                           |                                           |                                     |
|-----------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------|
| Title of the Invention                  | Securable Independent Electronic Document |                                           |                                     |
| Attorney Docket Number                  |                                           | Small Entity Status Claimed               | <input checked="" type="checkbox"/> |
| Application Type                        | Utility Patent                            |                                           |                                     |
| Subject Matter                          |                                           |                                           |                                     |
| Suggested Class (if any)                | 707, 715, 345                             | Sub Class (if any)                        |                                     |
| Suggested Technology Center (if any)    |                                           |                                           |                                     |
| Total Number of Drawing Sheets (if any) | 2                                         | Suggested Figure for Publication (if any) |                                     |

**Publication Information:**

|                          |                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Request Early Publication (Fee required at time of Request 37 CFR 1.219)                                                                                                                                                                                                                                                                                                                      |
| <input type="checkbox"/> | <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application <b>has not and will not</b> be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. |

**Representative Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                  |                                              |                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|---------------------------------------------------------|
| <p>Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.</p> |                                                  |                                              |                                                         |
| Please Select One:                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <input checked="" type="radio"/> Customer Number | <input type="radio"/> US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 63451                                            |                                              |                                                         |

**Domestic Benefit/National Stage Information:**

|                                                                                                                                                                                                                                                                                                                                                                                                               |                 |                          |                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------------------|---------------------------------------|
| <p>This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.</p> |                 |                          |                                       |
| Prior Application Status                                                                                                                                                                                                                                                                                                                                                                                      |                 |                          | <input type="button" value="Remove"/> |
| Application Number                                                                                                                                                                                                                                                                                                                                                                                            | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD)              |
|                                                                                                                                                                                                                                                                                                                                                                                                               |                 |                          |                                       |
| <p>Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button.</p>                                                                                                                                                                                                                                                                                  |                 |                          |                                       |

**Foreign Priority Information:**



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|                                           |                                           |  |
|-------------------------------------------|-------------------------------------------|--|
| <b>Application Data Sheet 37 CFR 1.76</b> | Attorney Docket Number                    |  |
|                                           | Application Number                        |  |
| Title of Invention                        | Securable Independent Electronic Document |  |

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|                                                                                                        |                      |                                 |                                                               |
|--------------------------------------------------------------------------------------------------------|----------------------|---------------------------------|---------------------------------------------------------------|
| <input type="button" value="Remove"/>                                                                  |                      |                                 |                                                               |
| Application Number                                                                                     | Country <sup>1</sup> | Parent Filing Date (YYYY-MM-DD) | Priority Claimed                                              |
|                                                                                                        | USA                  | Dec. 15, 2008                   | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button. |                      |                                 |                                                               |

### Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

#### Assignee 1

If the Assignee is an Organization check here.

|        |            |             |             |        |
|--------|------------|-------------|-------------|--------|
| Prefix | Given Name | Middle Name | Family Name | Suffix |
|        |            |             |             |        |

#### Mailing Address Information:

|                      |  |                |  |  |
|----------------------|--|----------------|--|--|
| Address 1            |  |                |  |  |
| Address 2            |  |                |  |  |
| City                 |  | State/Province |  |  |
| Country <sup>1</sup> |  | Postal Code    |  |  |
| Phone Number         |  | Fax Number     |  |  |
| Email Address        |  |                |  |  |

Additional Assignee Data may be generated within this form by selecting the **Add** button.

### Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

|            |      |           |                   |                     |
|------------|------|-----------|-------------------|---------------------|
| Signature  |      |           | Date (YYYY-MM-DD) | Dec. 15, 2008       |
| First Name | John | Last Name | Guymon            | Registration Number |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**