



APPLICATION NO.		ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/163,528 02/14/2017		9566106	153190	1030	
25944 OLIFF PLC	7590	01/25/2017			

P.O. BOX 320850 ALEXANDRIA, VA 22320-4850

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

OLYMPUS CORPORATION, Hachioji-shi, Tokyo, JAPAN; Hideo SANAI, Hachioji-shi, JAPAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u>

<u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) OLIFF PLC 277 S Washington St. Suite 500 Alexandria, Virginia 22314 APPLICATION NO. FILING DATE FIRST NAMED INV 14/163,528 01/24/2014 TITLE OF INVENTION: SURGICAL DEVICE					Fee(s) Transn papers. Each a have its own of I hereby certin States Postal 3 addressed to transmitted to	nittal. This ce additional pa vertificate of f Certific Ty that this F Service with the Mail Sto the USPTO (ling can only be used rtificate cannot be use per, such as an assign mailing or transmissio ate of Mailing or Tr ee(s) Transmittal is be sufficient postage for op ISSUE FEE addre 571) 273-2885, on the VEY DOCKET NO. 153190	ed for a ment o on. ansmis eing de first cla ess abo date ind	ny other r formal ssion posited v ass mail ove, or t dicated b	accompanying drawing, must with the United in an envelope being facsimile below. (Depositor's name) (Signature) (Date) ATION NO.
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DU	JE PUBLI	CATION FEE DUE	PREV. PAID	ISSUE FEE	TOTAL FEE(S) DI	UE	DA	TE DUE
nonprovisional	UNDISCOUNTED	\$960.00					\$960.00	I	01/	/25/2017
EXA	MINER	ART UNIT	CLA	SS-SUBCLASS						
J. E.	Della	3739		606-045						
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, (2) The name of a single firm (having as a member a PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 0 liff PLC										
 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) OLYMPUS CORPORATION Tokyo, Japan Please check the appropriate assignee category or categories (will not be printed on the patent): 										
4a. The following	g fee(s) are submitte	d:	4b. P	ayment of Fee(s): (Pl	ease first reap	 ply any prev	viously paid issue fee	show	n above)
X Issue Fee				A check is end						
Publicatio	on Fee (No small ent	ity discount pern	nitted)	Payment by cr	edit card. Form	PTO-2038 i	s attached.			
Advance	Order - # of Copies			X The Director i overpayment, to I	-	-	the required fee(s), 15-0461 (enclose	-	-	v, or credit any of this form).
5. Change in En	tity Status (from sta	tus indicated abo	ove)							
Applicant	t certifying micro en	tity status. See 37	7 CFR 1.29				Status (see forms PTO/ pted at the risk of appli			
Applicant	t asserting small enti	ty status. See 37	CFR 1.27	<u>NOTE:</u> If the applic be a notification of I	1	2	icro entity status, chech tity status.	king thi	s box wi	ll be taken to
Applicant	t changing to regular	undiscounted fe	e status.		is box will be tal		tification of loss of ent	titlemer	it to sma	ll or micro
NOTE: This form n	nust be signed in acco	rdance with 37 CF	R 1.31 and 1.	33. See 37 CFR 1.4 for	r signature requi	rements and c	ertifications.			
Authorized S	ignature		/Bryan K.	Hsu/		Dat	e January 4, 2017	1		
Typed or printed name Bryan K. Hsu				Reg	istration No.		72,762			

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Electronic Patent Application Fee Transmittal						
Application Number:	163528					
Filing Date:	24	-Jan-2014				
Title of Invention:	SURGICAL DEVICE					
First Named Inventor/Applicant Name:	Hic	deo SANAI				
Filer:	Jar	nes Albert Oliff/Dar	risaw Tatum			
Attorney Docket Number:	15	3190				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
UTILITY APPL ISSUE FEE		1501	1	960	960	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		960	

Electronic Ac	knowledgement Receipt
EFS ID:	27970177
Application Number:	14163528
International Application Number:	
Confirmation Number:	1030
Title of Invention:	SURGICAL DEVICE
First Named Inventor/Applicant Name:	Hideo SANAI
Customer Number:	25944
Filer:	James Albert Oliff/Darrisaw Tatum
Filer Authorized By:	James Albert Oliff
Attorney Docket Number:	153190
Receipt Date:	04-JAN-2017
Filing Date:	24-JAN-2014
Time Stamp:	16:35:02
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes			
Payment Type	DA			
Payment was successfully received in RAM	\$960			
RAM confirmation Number	010517INTEFSW00003288150461			
Deposit Account				
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				

File Listing:

Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
		33558		
1 Issue Fee Payment (PTO-85B) Issue_Fee_Transmittal.pdf	1621895d5fe6408e977503d82b712df0031 74f5d	no	1	
	1	ļ L	1	
		30565		
Fee Worksheet (SB06)	fee-info.pdf	d7a6ffcae9fd060abec7446d956c8ae11f960 7a7	no	2
	 	<u> </u>		
	Total Files Size (in bytes)	: 64	4123	
	Issue Fee Payment (PTO-85B)	Issue Fee Payment (PTO-85B) Issue_Fee_Transmittal.pdf Fee Worksheet (SB06) fee-info.pdf	Document Description File Name Message Digest Issue Fee Payment (PTO-85B) 33558 33558 Issue_Fee_Transmittal.pdf 1621895d5fe6408e977503d82b712df0031 7415d 7415d Fee Worksheet (SB06) fee-info.pdf d7a6ffcae9fd060abec7446d956c6ae11f9d0	Document Description File Name Message Digest Part /.zip Issue Fee Payment (PTO-85B) Issue_Fee_Transmittal.pdf 33558 no Issue Fee Payment (PTO-85B) Issue_Fee_Transmittal.pdf 1621895d5fe6408e977503d82b712df0031 no Fee Worksheet (SB06) fee-info.pdf 30565 no

1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450							
MENT OF COM					zinia 22313-1450		
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS		
14/163,528	01/24/2014	3739	1740	153190	7 1		
25944 OLIFF PLC P.O. BOX 320 ALEXANDRIA	850 , VA 22320-48	50		CORRECTE	ONFIRMATION NO. 1030 D FILING RECEIPT		

Date Mailed: 12/29/2016

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Hideo SANAI, Hachioji-shi, JAPAN;

Applicant(s)

OLYMPUS CORPORATION, Hachioji-shi, Tokyo, JAPAN;

Assignment For Published Patent Application

OLYMPUS CORPORATION, Hachioji-shi, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number <u>25944</u>

Domestic Priority data as claimed by applicant

This application is a CON of PCT/JP2013/060447 04/05/2013 which claims benefit of 61/636,269 04/20/2012

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.*

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 02/07/2014

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/163,528**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No Title

SURGICAL DEVICE

Preliminary Class

606

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Hideo SANAI Attn: OIPE

Group Art Unit: 3739

Docket No.: 153190

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

REQUEST TO UPDATE NAME OF APPLICANT AND REQUEST FOR CORRECTION OF PALM RECORDS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached is an Updated Application Data Sheet and marked-up original filing receipt updating the name and address of the Applicant.

In compliance with 37 CFR §3.73(c), the undersigned hereby states that OLYMPUS

CORPORATION is the assignee of the entire right, title, and interest in the patent application identified above by virtue of an assignment from the inventors or previous owner(s) of the patent application identified above. A copy of the assignment is attached hereto and is concurrently being submitted for recordation.

The undersigned is authorized to act on behalf on the assignee by virtue of the Power of Attorney submitted herewith. In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given. Entry of these documents should satisfy the requirements set forth under 37 CFR §3.73(c) to

update the name of the Applicant. Therefore, prompt issuance of an Official Filing Receipt bearing the updated name of the Applicant is respectfully solicited.

Respectfully submitted,

/Bryan K. Hsu/

James A. Oliff Registration No. 27,075

Bryan K. Hsu Registration No. 72,762

JAO:BKH/cfr

Date: December 23, 2016

Attachments: Updated Application Data Sheet Assignment General Power of Attorney

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190
		Application Number	14/163,528
Title of Invention	SURGICAL DEVICE		
bibliographic data arran This document may be	noed in a format specified by the Un	sited States Patent and Trademark C mitted to the Office in electronic for	being submitted. The following form contains the office as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Inventor 1						Remove	
Legal I	Name						
Prefix	Given Name		Middle Name		Family Na	ime	Suffix
	Hideo				SANAI		
Resid	ence Information	(Select One) 🔿	US Residency	Non US F	lesidency 🔘	Active US Military Servio	:e
City	Hachloji-shi		Country of Resid	ence ⁱ		JP	
	Address of inven	*****				****	******
Addre	8 \$ 1	c/o OLYMPUS I.P	SERVICES CO., LT	D.			
Addre	ss 2	I.P. Support Dept.	, 2-3 Kuboyama-cho				
City	Hachloji-shi,	Tokyo		State/Pr	ovince		
Posta	I Code	192-8512	Col	untry i	JP		
	ventors Must Be L ated within this form		I Inventor Informa Add button.	tion blocks	s may be	Add	

Correspondence Information:

 Enter either Customer Number or complete the Correspondence Information section below.

 For further information see 37 CFR 1.33(a).

 An Address is being provided for the correspondence information of this application.

 Customer Number
 25944

 Email Address
 email@oliff.com

Application Information:

Title of the Invention	SURGICAL DEVICE	SURGICAL DEVICE					
Attorney Docket Number	153190		Small Entity Status Claimed				
Application Type	Nonprovisional						
Subject Matter	Utility						
Total Number of Drawing Sheets (if any)		7	Suggested Figure for Publication (if any)				



PTD/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Short 27 CED 4 76		Attorney Docket Number	153190		
	Application Data Sheet 37 CFR 1.76		Application Number	14/163,528	1
	Title of Invention	SURGICAL DEVICE			

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

this information in the App Either enter Customer Nu	lication Data Sheet does not i	constitute a power of attorney in sentative Name section below.	er of attorney in the application. Providing the application (see 37 CFR 1.32). If both sections are completed the customer
Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	25944		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status	Pending	Remove		
Application Number	Continuity Type	Prior Application Number Filing Date (YYYY-MM-DD)		
	Continuation of	PCT/JP2013/060447	2013-04-05	
Prior Application Status	Expired		Remova	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	
PCT/JP2013/060447	non provisional of	61/636,269	2012-04-20	

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, epplicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).



PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Anniiantian Da	to Choot	37 000 4 76	Attorney Docket Number	153190		1
Application Data Sheet 37 CFR 1.76			Application Number	<u>14/163,52</u>	8	
Title of Invention SURGICAL DEVICE						
					Remove	
Application Nu	mber	Country ⁱ	Filing Date (YYYY-	-MM-DD)	Access Code	(if applicable)
Additional Foreign Add button.	Priority Dat	ta may be gener	ated within this form by sele	cting the		

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

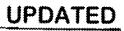
If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean intellectual Property Office (KIPO), the World Intellectual Property Office (WPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.



PTC/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

े

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Doc	Attorney Docket Number 153190		
Approacion Da		Application N	lumber	ar <u>14/163,528</u>	
Title of Invention	SURGICAL DEVICE				
Applicant 1					·······
If the applicant is the ir The information to be 1.43; or the name and who otherwise shows applicant under 37 CF proprietary interest) to identified in this section	provided in this section is the na address of the assignee, perso sufficient proprietary interest in R 1.46 (assignee, person to wh gether with one or more joint in n.	ame and address in to whom the ir the matter who i om the inventor ventors, then the	i of the legal rep iventor is under i s the applicant u is obligated to a joint inventor or	resentative w an obligation nder 37 CFR ssign, or pers inventors wh	on who otherwise shows sufficient o are also the applicant should be Clear
Assignee	O Legal R	epresentative un	der 35 U.S.C. 1	17 (Joint Inventor
O Person to whom th	e inventor is obligated to assign.		O Person	who shows su	ifficient proprietary interest
If applicant is the leg	al representative, indicate th	ne authority to I	ile the patent a	pplication, t	he inventor is:
			*****	••••••••••••••••••••••••••••••••••••••	
Name of the Deceas	sed or Legally Incapacitated	Inventor :			
If the Applicant is a	n Organization check here.	\boxtimes	********		
Organization Name	OLYMPUS MEDICAL SY	STEMS CORP.	OLYMPUS	CORPOR	ATION
Mailing Address I	nformation For Applicant:	***************************************			
Address 1	43-2, Helegaya 3-ch	ome, Shibuya ku	- <u>2951 Ishil</u>	kawa-ma	<u>chi</u>
Address 2			~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	******	
City Toige Hachioji-		shi, Tokyo	State/Provin	ce	
Country JP			Postal Code	19	92-8507
Phone Number			Fax Number		
Email Address		i		k	
Additional Applicant	Data may be generated with	in this form by	selecting the A	dd button.	

Non-Applicant Assignee Information:

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Assignee 1

Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).

If the Assignee is an Organization check here.



PTC/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76 Attorney Docket Number 153190 Application Number 14/163,528	153190		
Application bata oneet of OFA 1.70		Application Number	<u>14/163,528</u>
Title of Invention	SURGICAL DEVICE		

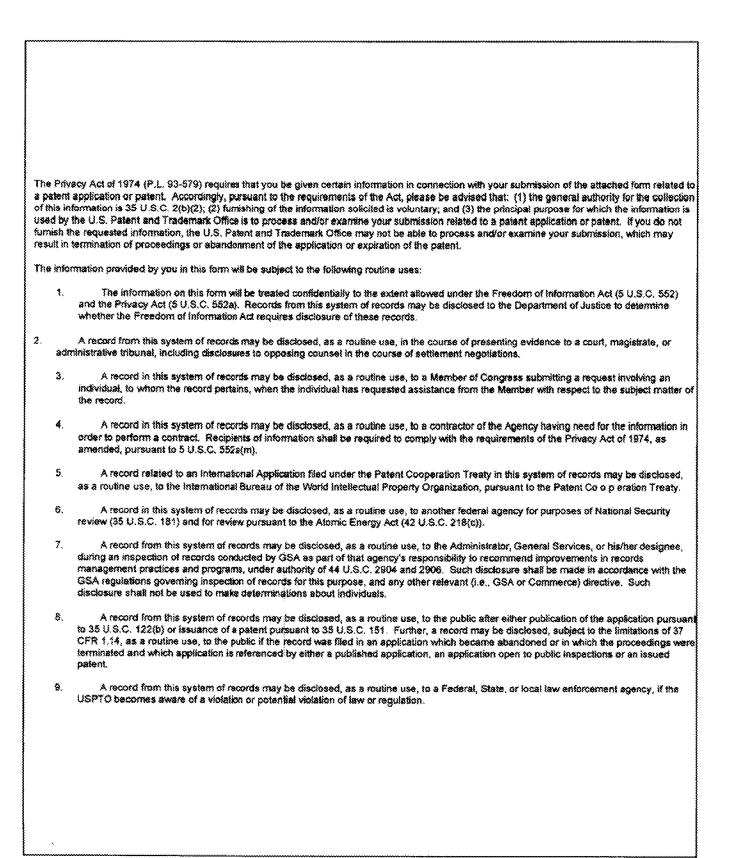
Organization Name		
I		
Mailing Address Information F	on-Applicant Assignee:	
Address 1		
Address 2		
City	State/Province	
Country ⁱ	Postal Code	
Phone Number	Fax Number	
Email Address		
Additional Assignee Data may be	arated within this form by selecting the Add button.	

Signature:

NOTE: This certifications.	NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications.						
Signature	Etop 1	k		Date (YYYY-MM-DD)	12/23/2016		
First Name Bryan Last Name Hsu Registration Number 72762							
Additional Sig	gnature may be geni	erated within th	is form by selecting the Add	button.			

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement



DECLARATION

I, Kenichiro Ono of Shinjuku Monolith, 3-1 Nishi-Shinjuku 2-chome, Shinjuku-ku, Tokyo, Japan, understand both English and Japanese, am the translator of the English document attached, and do hereby declare and state that the attached English document contains an accurate translation of Certificate of full registry records of Olympus Corporation and that all statements made herein are true to the best of my knowledge.

> Declared in Tokyo, Japan July 8, 2016

Kenichiro Ono

Certificate of full registry records

3

2951 Ishikawa-machi, Hachiqii-shi, Tokyo Olympus Corporation

	Y				
Corporate number	0110-01-005222				
Corporate Name	Olympus Corporation				
Head Office	2851 Ishikawa-machi, Hachigi-shi, Tokyo				
Method of Public Natice	Made through electronic public notice. http://www.olympus.co.jp/jp/ir/				
	However, if the Company is unable to make a public announcement through the electronic public				
	announcement due to accidents or other circumstances in which it is unable to make an electronic public announcement, public notices shall be given on the Nihon Keizel Shimbun.				
Date of Incorporation	October 12, 1919				
Purposes	 Manufacture, sele, repair and leasing of microscopes, cameras, precision measuring instruments and other optical devices; Manufacture, sale, repair and leasing of medical equipment, veterinary equipment, office equipment and other general purpose devices and equipment; Manufacture, sale, repair and leasing of electrical and communications devices and equipment; Manufacture and sale of pharmaceuticals, quasindrugs, cosmetics, industrial chemicals and ohemical substances; Manufacture and sale of food products and animal feed; Development and sale of software, computerized data processing and repair and leasing of computers; Information service using communication networks; Export and import of each of the foregoing items and products related thereto; Laboratory testing and water quality analysis on contract; Purchase and sale of used goods; Personnel dispatchment business; Travel agency business; Leasing, sale and purchase of real estate and real estate agency business; Construction planning and construction works on a contract basis; Investment and consulting business; 				
Number of Stocks for One Unit	18. Other activities incidental or related to any of the foregoing items.				
Total Number of Stocks Authorized to be Issued	1,000,000,000 shares				

2951 Ishikawa-machi, Hachioji-shi, Tokyo Olympus Corporation

Olympus Corporation				
Total Number of Issued Stocks, Classes and Number of Such Stocks	Tatal number of issued stocks: 342,671,508 shares			
Total Amount of Capital	¥124,520,292,789			
Name, Address and Place of Share Registration Agent	Sumitomo Mitsui Trust Bank, Limited 4~1, Marunouchi 1-Chome, Chiyoda~ku, Tokyo Sumitomo Mitsui Trust Bank, Limited Stock Transfe 4~1, Marunouchi 1-Chome, Chiyoda~ku, Tokyo	r Agency Busin	ess Planni	ng Department
Matters Pertaining	(Director) Hiroyuki Sasa	June 26	2015	(reassumed)
Directors and Auditors	(Director) Yasuo Takeuchi	June 26	2015	(reassumed)
	(Director) Shigeo Hayashi	June 26	2015	(reassumed)
	(Director) Takuya Goto	June 26	2015	(reassumed)
	(Director) Shiro Hinuta	June 26	2015	(reassumed)
	(Director) Sumitaka Fujika	June 26	2015	(reassumed)
	(Dinactor) Motoyoshi Nishikawa	June 26	2015	(reassumed)
	(Director) Keiko Unotoro	June 26	2015	(reassumed)
	(Director) Akihiro Taguchi	June 26	2015	(assumed)
	(Director)Haruo Ogawa	June 26	2015	(assumed)
		L]

2951 Ishikawa-machi, Hachioji-shi, Tokyo Olympus Corporation

.

Cignipus Corporation	······	******	~~~~~	*****	
	2115.15-1.Nishishinjuku 6-Chome, Shinjuku-ku, Tokyo	June 26,	2015	(reassumed)	
	(Representative Director) Hiroyuki Sasa				
	51~2. Yoyogi 4~Chome, Shibuya~ku, Tokyo	April 1	2018	(translate of address)	
	(Representative Director) Hiroyuki Sasa	April 21	2016	(registered)	
	(Corporate Auditor) Takashi Saito	April 20,	2012	(assumed)	
	(Corporate Auditor) Masashi Shimizu	April 20,	2012	(assumed)	
	(Corporate Auditor) Nobuo Nagoya	April 20,	2012	(berruzza)	
	(Outside Corporate Auditor)			·····	
	(Corporate Auditor) Katsuya Natori	April 20,	2012	(assumed)	
	(Outside Corporate Auditor)				
	(Accounting Auditors) Ernst & Young ShinNihon LLC	June 26	2015	(resigned)	
Outside Directors' and Outside Auditor's limitetion of Liebility	Pursuant to Article 427, Paragraph 1 of the Comp contracts with outside directors limiting the liability for with their negligence of duties. Maximum liability, how applicable laws and regulations. Pursuant to Article 427, Paragraph 1 of the Comp contracts with outside auditors limiting the liability for of with their negligence of duties. Maximum liability, ho applicable laws and regulations.	damage of out vever, shall be eny Law, the damages of out	side directs the amous Company tside audits	ors in connection at as set forth in may enter into ors in connection	
Warrant	The 1st stock acquisition rights Number of the Warrants 397warrants	,, , , , , , , , , , , , , , , , , , ,			
	Class and number of the underlying shares, and the method of calculation of those The common stock of the Company 39,700 shares The underlying shares for the Warrants for Subscription shall be the common stock of the Company granted in units of 100 shares per warrant (hereafter referred to as the "Number of Granted Shares").				
	In the event the Company carries out a stock stock split in respect of the common stock of t Number of Granted Shares shall be adjusted ac resulting fractions of shares omitted. Number of the Granted Shares after adjust	the Company a cordingly by th	ifter the all is following	lotment date, the formula with the	
	adjustment × Ratio of stock split, bonus share				

ş

2951 Ishikawa-machi, Hachiqii-shi, Tokyo Olympus Corporation

The Namber of Granted Shares after adjustment shall be applied after the date following the record date for the stock split or bonus share allotment in the case of the stock split or bonus share allotment, and in the case of the reverse stock split the date following its effective date. In the event, however, that the stock split or bonus share allotment is carried out on the condition that the motion to increase capital or a reserve by decreasing the amount of surplus is approved by the general meeting of shareholders of the Company, and a date before the conclusion of the said general meeting is set as the record date for the stock split or bonus share allotment, the Number of Granted Shares after the adjustment shall be applied after the date following the date of the conclusion of the said general meeting of shareholders.

In the event the warrant survives the Company after a corporate merger of either the absorption or consolidation type, or an exchange or transfer of shares which renders the Company a wholy-owned subsidiary, the Number of Granted Shares may be adjusted as deemed necessary according to the ratio of the merger, exchange or transfer. Should the Number of Granted Shares be adjusted, the Company will provide the details by a notice or public announcement to the persons holding the Warrants on record in the stock option registry (hereafter referred to as the "Warrant Holders") on or before the day before the effective date of the adjustment of the Number of Granted Shares. Should the Company be unable to issue the notice or public announcement without further delay.

The paid-in amount for the warrant, the method of calculation of the warrant or the unnecessariness of the paid-in for the warrant

The amount to be paid in for each Warrant shall be the amount calculated by multiplying a value of the option price (with a fraction less than 1 yen rounded up) per share calculated using the Black-Scholes Model based on the basic figures provided in (2) to (7) below by the Number of the Granted Shares.

$$C = Se^{-\eta T} N(d) - Xe^{-\eta T} N(d - \sigma \sqrt{T})$$
there

$$d = \frac{\ln\left(\frac{S}{X}\right) + \left(r - q + \frac{\sigma^2}{2}\right)T}{\sigma\sqrt{T}}$$

(1) Option price per share (C)

W

- (2) Share price (S): Closing price for the regular trading of the common shares of the Company at the Tokyo Stock Exchange on August 26, 2013 (if no closing price is available, the base price for the next trading day);
- (3) Exercise price (X): 1 yen
- (4) Estimated residual period (T): 15 years
- (5) Volatility (3). Price volatility calculated from the closing prices for the regular trading of the common shares of the Company on the last trading day of each week during the 15-year period (from August 27, 1998 to August 26, 2013);
- (6) Risk-free interest rate (γ): Interest rate of the government bonds the years remaining to maturity of which correspond the estimated rasidual period;

 (7)Dividend yield (q) Dividend per share (a simple average of the actual dividends paid in the most recent two fiscal periods) ÷ share price determined in Item (2) above
 (8)Cumulative distribution function (N(*))

* Assumes a setting off of the right to demand remuneration of the allottees against the obligation for payment for the Warrant

Value of the properties contributed in the exercise of the warrant, or the method of calculation of it

2951 Ishikawa-machi, Hachioji-shi, Tokyo Olympus Comporation

.

Olympus Corporation	······
	The value of the properties contributed in the exercise of each Warrant shall be the amount calculated by multiplying 1 yen per share to be delivered in the exercise of the Warrant for Subscription by the Number of Granted Shares.
	Exercise period
	From August 27, 2013 to August 26, 2043
	Conditions for the exercise of the warrants
	(1) The exercise of the Warrant by a Warrant Holder who is no longer in the position of
	either director or executive officer of the Company is limited to the period of 10 years from one year after the day following the date on which he loses the status.
	(2) Should a Werrant Holder is appointed as an auditor after his resignation from the position of director or executive officer, the exercise of his Warrant shall be limited to a period of 10 years from one year after the date on which he loses the position of the auditor.
	(3) In the event of the death of a warrant holder, the Warrant may be exercised by his heir.
	(4) The Warrant Holder may exercise all or part of his Warrants.
	Matters and requirements for the acquisition of the warrants
	In the event in which the Board of Directors of the Company approves (or passes the
	resolution if a resolution of the general meeting of shareholders is not required) one of the
	resolutions set out in Paragraphs (1), (2), (3), (4) or (5) below, the Company may acquire
	the Warrants without compensation on the date separately determined by the Board of Directors.
	(1) Resolution to approve a merger agreement under which the Company is absorbed;
	(2) Resolution to approve an agreement for a company split or a plan for an
	Incorporation-type company split by which the Company is split;
	(3) Resolution to approve a share exchange agreement or a share transfer plan under which the Company becomes a wholly-owned subsidiary;
	(4) Resolution to approve an amendment to the Articles of Incorporation to provide for the requirement of the approval of the Company in respect of all classes of the shares
	issued by the Company in the event of the acquisition of such shares by assignment
	(5)Resolution to approve an amendment to the Articles of Incorporation with respect to
	the underlying shares or classes of shares for the Warrant of which the acquisition by
	assignment requires the approval of the Company to provide for the requirement for the
######################################	Company to acquire all shares by a resolution of the General Meeting of Shareholders
	The 2st stock acquisition rights
	Number of the Werrants
	410warrants
	Class and number of the underlying shares, and the mothod of calculation of those
	The common stock of the Company 41,000 shares
	The underlying shares for the Warrants for Subscription shall be the common stock
	of the Company granted in units of 100 shares per warrant (hereafter referred to as the "Number of Granted Shares").
	In the event the Company carries out a stock split, bonus share allotment, or reverse
	stock split in respect of the common stock of the Company after the allotment date, the
	Number of Granted Shares shall be adjusted accordingly by the following formula with the
	resulting fractions of shares omitted.
	Number of the Granted Shares after adjustment = Number of Granted Shares before

à.

2951 Ishikawa-machi, Hachioji-shi, Tokyo Olympus Corporation

The Number of Granted Shares after adjustment shall be applied after the date following the record date for the stock split or bonus share allotment in the case of the stock split or bonus share allotment, and in the case of the reverse stock split the date following its effective date. In the event, however, that the stock split or bonus share allotment is carried out on the condition that the motion to increase capital or a reserve by decreasing the amount of surplus is approved by the general meeting of shareholders of the Company, and a date before the conclusion of the said general meeting is set as the record date for the stock split or bonus share allotment, the Number of Granted Shares after the adjustment shall be applied after the date following the date of the conclusion of the said general meeting of shareholders.

In the event the warrant survives the Company after a corporate marger of either the absorption or consolidation type, or an exchange or transfer of shares which renders the Company a wholy-owned subsidiary, the Number of Granted Shares may be adjusted as deemed necessary according to the ratio of the marger, exchange or transfer. Should the Number of Granted Shares be adjusted, the Company will provide the details by a notice or public announcement to the persons holding the Warrants on record in the stock option registry (hereafter referred to as the "Warrant Holders") on or before the day before the effective date of the adjustment of the Number of Granted Shares. Should the Company be unable to issue the notice or public announcement on or before the day before the said effective date, the Company will make the notice or public announcement without further delay.

The paid-in amount for the warrant, the method of calculation of the warrant or the unnecessariness of the paid-in for the warrant.

The amount to be paid in for each Warrant shall be the amount calculated by multiplying a value of the option price (with a fraction less than 1 yen rounded up) per share calculated using the Black-Scholes Model based on the basic figures provided in (2) to (7) below by the Number of the Granted Shares.

$$C = Se^{-qT}N(d) - Xe^{-rT}N(d - \sigma\sqrt{T})$$
where

$$=\frac{\ln\left(\frac{S}{X}\right) + \left(r - q + \frac{\sigma^2}{2}\right)T}{\sigma\sqrt{T}}$$

(1) Option price per share (C)

đ

- (2) Share price (S): Closing price for the regular trading of the common shares of the Company at the Tokyo Stock Exchange on July 11, 2014 (if no closing price is available, the base price for the next trading day);
- (3) Exercise price (X): 1 yerr
- (4) Estimated residual period (T): 15 years
- (5) Volatility (8): Price voletility calculated from the closing prices for the regular trading of the common shares of the Company on the last trading day of each week during the 15-year period (from July 12, 1999 to July 11, 2014);
- (6) Risk-free interest rate (γ). Interest rate of the government bonds the years remaining to maturity of which correspond the estimated residual period;
- (7) Dividend yield (q), Dividend per share (a simple average of the actual dividends paid in the most recent two fiscal periods) ÷ share price determined in Item (2) above
- (8) Cumulative distribution function (N(\cdot))
 - * Assumes a setting off of the right to demand remuneration of the ellottees against the obligation for payment for the Warrant

2951 Ishikawa-machi, Hachioji-shi, Tokyo

Olympus -	Corporation
-----------	-------------

.

Olympus Corporation	
	Value of the properties contributed in the exercise of the warrant, or the method of calculation of it
	The value of the properties contributed in the exercise of each Warrant shall be the
	amount calculated by multiplying 1 yen per share to be delivered in the exercise of the
	Warrant for Subscription by the Number of Granted Shares.
	Exercise period
	From July 12, 2014 to July 11, 2044
	Conditions for the exercise of the warrants
	(I) The exercise of the Warrant by a Warrant Holder who is no longer in the position of
	either director or executive officer of the Company is limited to the period of 10 years
	from one year after the day following the date on which he loses the status.
	(2) Should a Warrant Holder is appointed as an auditor after his resignation from the
	position of director or executive officer, the exercise of his Warrant shall be limited to a
	period of 10 years from one year after the date on which he loses the position of the suditor.
	(3) In the event of the death of a warrant holder, the Warrant may be exercised by his heir.
	(4) The Warrant Holder may exercise all or pert of his Warrants.
	Matters and requirements for the acquisition of the warrants
	In the event in which the Board of Directors of the Company approves (or passes the
	resolution if a resolution of the general meeting of shareholders is not required) one of the
	resolutions set out in Paragraphs (1), (2), (3), (4) or (5) below, the Company may acquire
	the Warrants without compensation on the date separately determined by the Board of Directors.
	 Resolution to approve a merger agreement under which the Company is absorbed;
	(2) Resolution to approve an agreement for a company split or a plan for an incorporation-type company split by which the Company is split.
	(3) Resolution to approve a share exchange agreement or a share transfer plan under
	which the Company becomes a wholly-owned subsidiary;
	(4) Resolution to approve an amendment to the Articles of Incorporation to provide for the
	requirement of the approval of the Company in respect of all classes of the shares
	issued by the Company in the event of the acquisition of such shares by assignment
	of (Sillennition to announ an announcet to the Artisian of basementing with respect to
	(5)Resolution to approve an amendment to the Articles of Incorporation with respect to the underlying shares or classes of shares for the Warrant of which the acquisition by
	assignment requires the approval of the Company to provide for the requirement for the
	Company to acquire all shares by a resolution of the General Meeting of Shareholders.
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	The 3st stock acquisition rights
	Number of the Warrants
	387warrants
	Class and number of the underlying shares, and the method of calculation of those
	The common stock of the Company 38,700 shares
	The underlying shares for the Warrants for Subscription shall be the common stock of the Company granted in units of 100 shares per warrant (hereafter referred to as the
	"Number of Granted Shares").
	In the event the Company carries out a stock split, bonus share allotment, or reverse
	stock split in respect of the common stock of the Company after the allotment date, the
	Number of Granted Shares shall be adjusted accordingly by the following formula with the
	resulting fractions of shares omitted.
	Number of the Granted Shares after adjustment = Number of Granted Shares before
	adjustment × Ratio of stock split, bonus share allotment or reverse stock split

#### 2951 Ishikawa-machi, Hadhiqii-shi, Tokyo Olympus Corporation

The Number of Granted Shares after adjustment shall be applied after the date following the record date for the stock split or bonus share allotment in the case of the stock split or bonus share allotment, and in the case of the reverse stock split the date following its effective date. In the event, however, that the stock split or bonus share allotment is carried

out on the condition that the motion to increase capital or a reserve by decreasing the amount of surplus is approved by the general meeting of shareholders of the Company, and a date before the conclusion of the said general meeting is set as the record date for the stock split or bonus share allotment, the Number of Granted Shares after the adjustment shall be applied after the date following the date of the conclusion of the said general meeting of shareholders.

In the event the warrant survives the Company after a corporate merger of either the absorption or consolidation type, or an exchange or transfer of shares which renders the Company a wholy-owned subsidiary, the Number of Granted Shares may be adjusted as deemed nacessary according to the ratio of the merger, exchange or transfer. Should the Number of Granted Shares be adjusted, the Company will provide the details by a notice or public announcement to the persons holding the Warrants on record in the stock option registry (hereafter referred to as the "Warrant Holders") on or before the day before the effective date of the adjustment of the Number of Granted Shares. Should the Company be unable to issue the notice or public announcement on or before the day before the said effective date, the Company will make the notice or public announcement without further delay.

The paid-in smount for the warrant, the method of calculation of the warrant or the unnecessariness of the paid-in for the warrant

The amount to be paid in for each Warrant shall be the amount calculated by multiplying a value of the option price (with a fraction less than 1 yen rounded up) per share calculated using the Black-Scholes Model based on the basic figures provided in (2) to (7) below by the Number of the Granted Shares.

$$C = Se^{+qT}N(d) - Xe^{-rT}N(d - \sigma\sqrt{T})$$

where

$$d = \frac{\ln\left(\frac{S}{X}\right) + \left(r - q + \frac{\sigma^2}{2}\right)T}{\sigma\sqrt{T}}$$

- (1) Option price per share (C)
- (2) Share price (S): Closing price for the regular trading of the common shares of the Company at the Tokyo Stock Exchange on July 13, 2015 (if no closing price is available, the base price for the next trading day);

(3) Exercise price (X): 1 yen

- (4) Estimated residual period (T): 15 years
- (5) Volatility (δ): Price volatility calculated from the closing prices for the regular trading of the common shares of the Company on the last trading day of each week during the 15-year period (from July 14, 2000 to July 13, 2015);
- (6) Risk-free interest rate (γ) Interest rate of the government bonds the years remaining to maturity of which correspond the estimated residual period;
- (7) Dividend yield (q): Dividend per share (a simple average of the actual dividends paid in the most recent two fiscal periods) ÷ share price determined in Rem (2) above
- (8) Cumulative distribution function  $(N(\cdot))$ 
  - Assumes a setting off of the right to demand romuneration of the allottees against the obligation for payment for the Warrant.

#### 2951 Ishikawa-mechi, Hachioji-shi, Tokyo Olympus Corporation

Olympus Corporacion	
	Value of the properties contributed in the exercise of the warrant, or the method of calculation of it
	The value of the properties contributed in the exercise of each Warrant shall be the
	amount calculated by multiplying I yen per share to be delivered in the exercise of the
	Warrant for Subscription by the Number of Granted Shares.
	Exercise period
	From July 14, 2015 to July 13, 2045
	Concitions for the exercise of the warrants
	(1) The exercise of the Warrant by a Warrant Holder who is no longer in the position of
	either director or executive officer of the Company is limited to the period of 10 years
	from one year after the day following the date on which he loses the status.
	(2) Should a Warrant Holder is appointed as an auditor after his resignation from the
	position of director or executive officer, the exercise of his Warrant shall be limited to a
	period of 10 years from one year after the date on which he loses the position of the
	auditor.
	(3) In the event of the death of a warrant holder, the Warrant may be exercised by his heir.
	(4) The Warrant Holder may exercise all or part of his Warrants.
	Matters and requirements for the acquisition of the warrants
	In the event in which the Board of Directors of the Company approves (or passes the
	resolution if a resolution of the general meeting of shareholders is not required) one of the
	resolutions set out in Paregraphs (1), (2), (3), (4) or (5) below, the Company may acquire
	the Warrants without compensation on the date separately determined by the Board of
	Directors.
	(1) Resolution to approve a merger agreament under which the Company is absorbed:
	(2) Resolution to approve an agreement for a company split or a plan for an
	incorporation-type company split by which the Company is split;
	(3) Resolution to approve a share exchange agreement or a share transfer plan under
	which the Company becomes a wholly-owned subsidiary;
	(4) Resolution to approve an amendment to the Articles of Incorporation to provide for the
	requirement of the approval of the Company in respect of all classes of the shares
	issued by the Company in the event of the acquisition of such shares by assignment;
	or
	(5)Resolution to approve an amondment to the Articles of Incorporation with respect to
	the underlying shares or classes of shares for the Warrant of which the acquisition by
	assignment requires the approval of the Company to provide for the requirement for the
	Company to acquire all shares by a resolution of the General Meeting of Shareholders.
Matters Pertaining	
establishment of Meeting of	Establishment of Meeting of the Board of Directors
the Board of Directors	
Matters Perteining	
establishment of	Establishment of Corporate Auditors
Corporate Auditors	
Matters Pertaining	
establishment of Board of	Establishment of Board of Corporate Auditors
Corporate Auditors	
Matters Pertaining	Cutch Schwarth of Acar with a Acat
establishment of	Establishment of Accounting Auditors
Accounting Auditors	
Matters Pertaining	Head Office transfer from 43-2, Hatagsya 2-Chome, Shibuya-ku, Tokyo on April 1 , 2016
registered record	
	Registered on April 1, 2016

ţ

2951 Ishikawa-machi, Hachiqil-shi, Tokyo Olympus Corporation

This document is to certify that the contents described above are all of the matters registered in the commercial registry, which are not closed.

(Tokyo Legal Affairs Bureau Hachioji Branch Jurisdiction)

#### April 25, 2016

Tokyo Legal Affairs

Registrar

Sadahiko Kurosawa (Official seal)

1.1.1. 1. 1.1.1.

ŝ

# 履歷事項全部証明書

....

•.

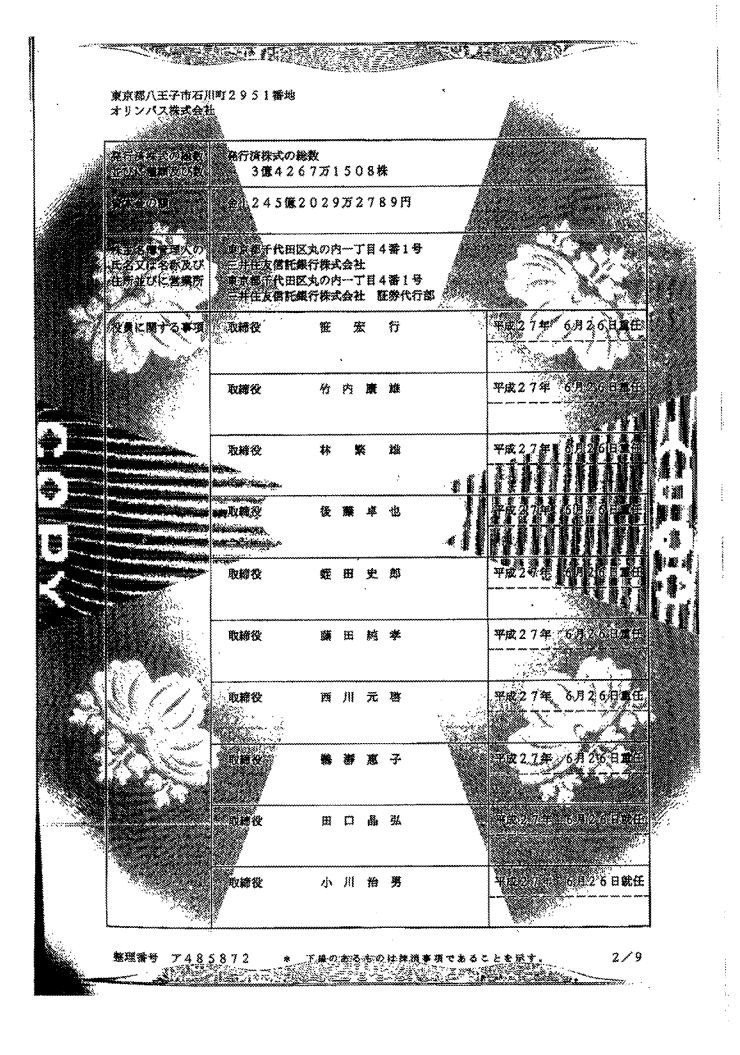
<u>````</u>.

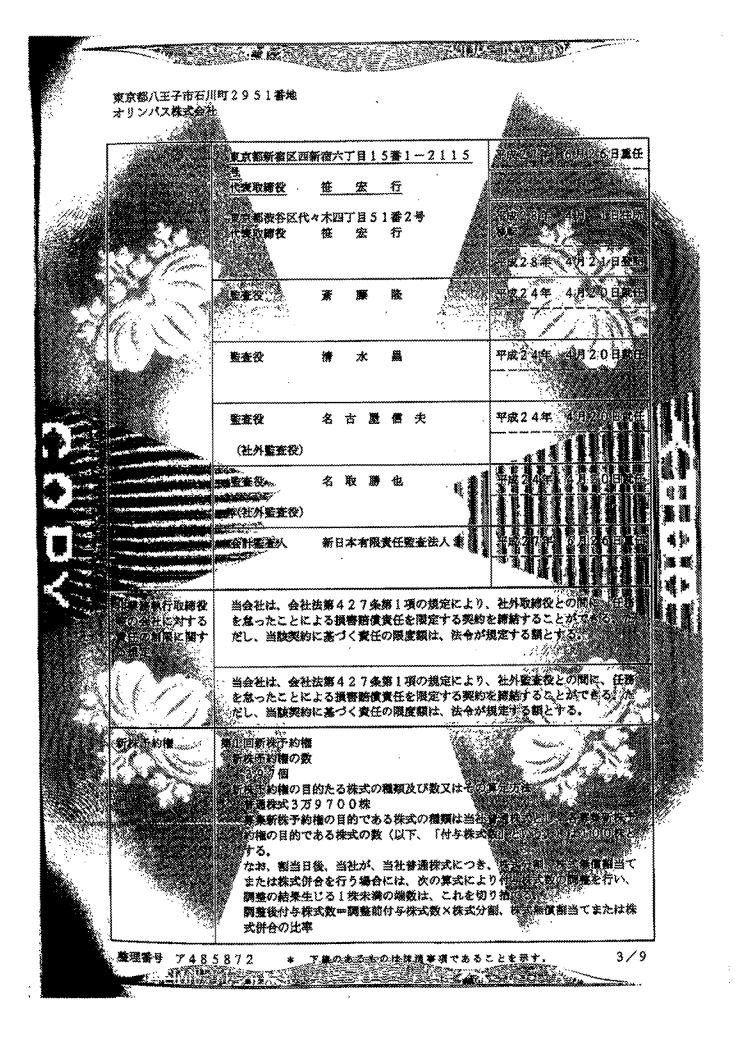
**~**_____

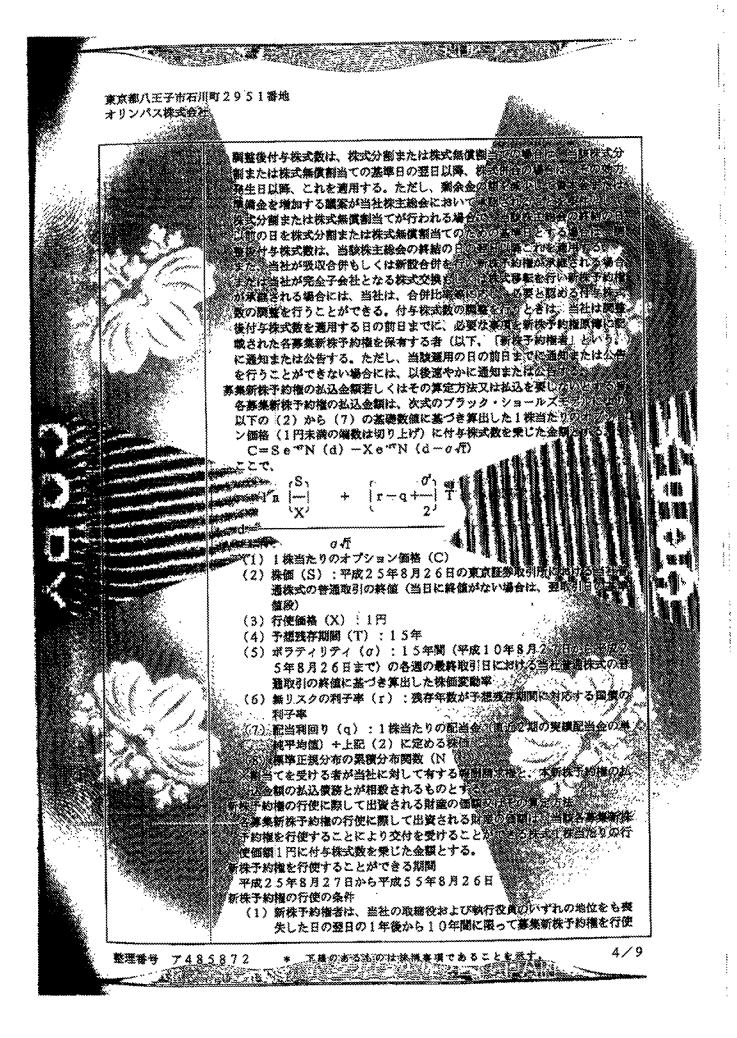
÷.,

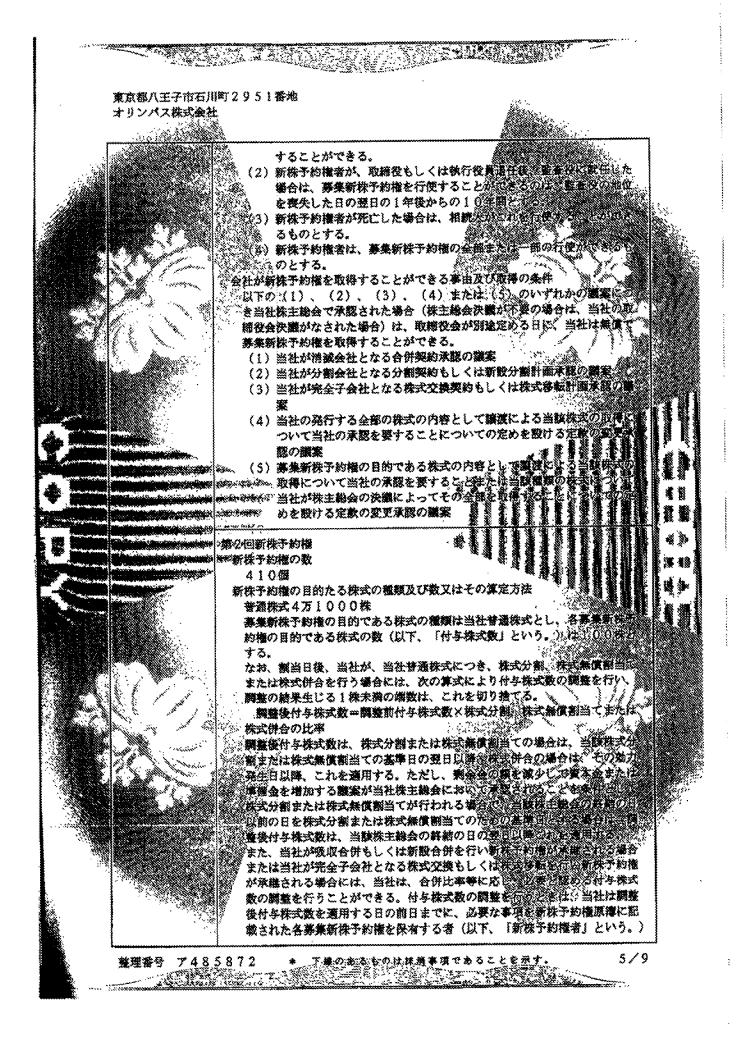
•• . , *.., s

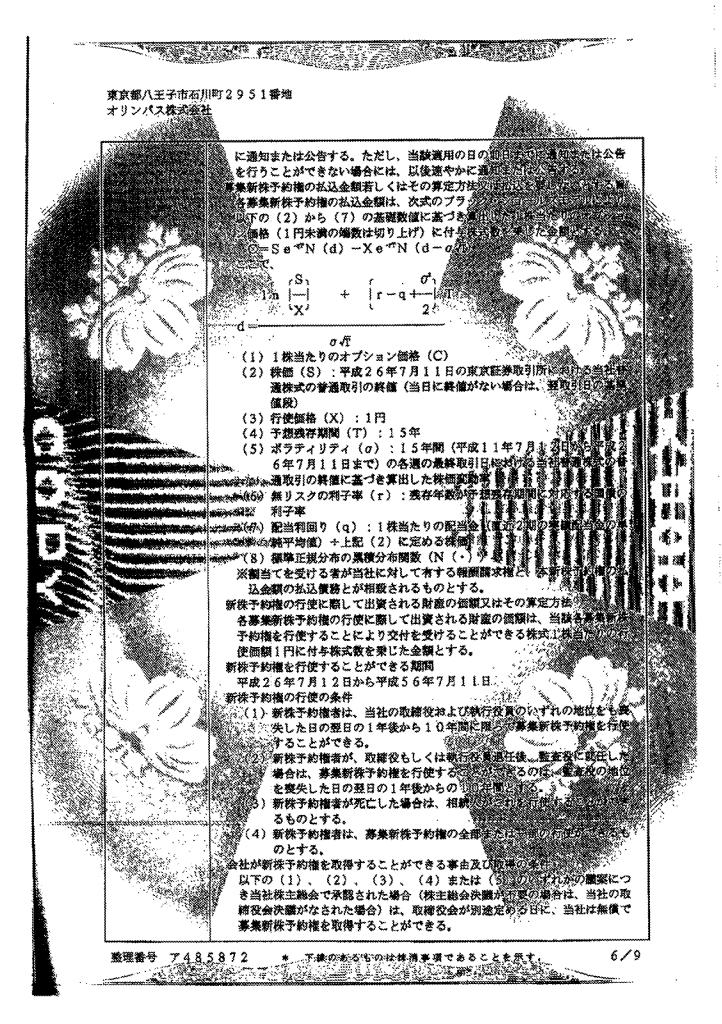
1. <b>1</b> 2	東京都八王子市石川オリンパス株式会社		
	会社在人等番号	0110-01-005222 	
		************************************	
		東京都八王子市石川町2951番	
Ċ1	公告をする方法	電子公告とする。 http://www.olym	1pus. co.
		jp/jp/ir/ ただし、事故その他やむを得ない 電子公告による公告をすることが は、日本経済新聞に掲載して行う	できない場合。 しんりょう しんりょうがい
**************************************	資社成立の年月日	大正8年10月12日	
		売ならびに修理および賃貸 3. 電気機械器具および通信値 務 4. 医薬品、医薬部外品、化制 5. 食品および解料の製造販列	2被器具の製造販売ならびに修理および賃貸業 E品、工業用業品および化学物質の製造販売 ちよびコンピュータによる情報処理業務ならび た情報提供サービス が関連する商品の輸出入 つ受託業務 一般廃業物処理業 が介介 に請負 ・グ業務
	<b>举元株式数</b> 。(六)	·····································	
	条行可能株式総数		
	<b>養理番号 748</b>	5872 <b>* 78088808</b>	株構事項であることを示す。 1/9

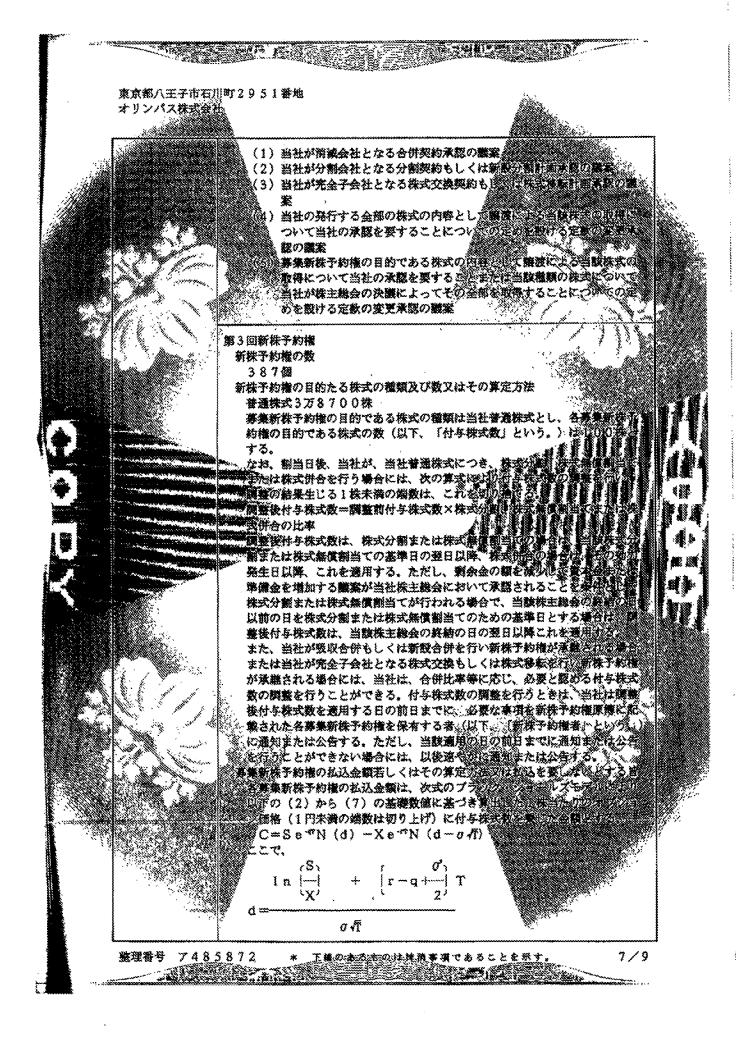


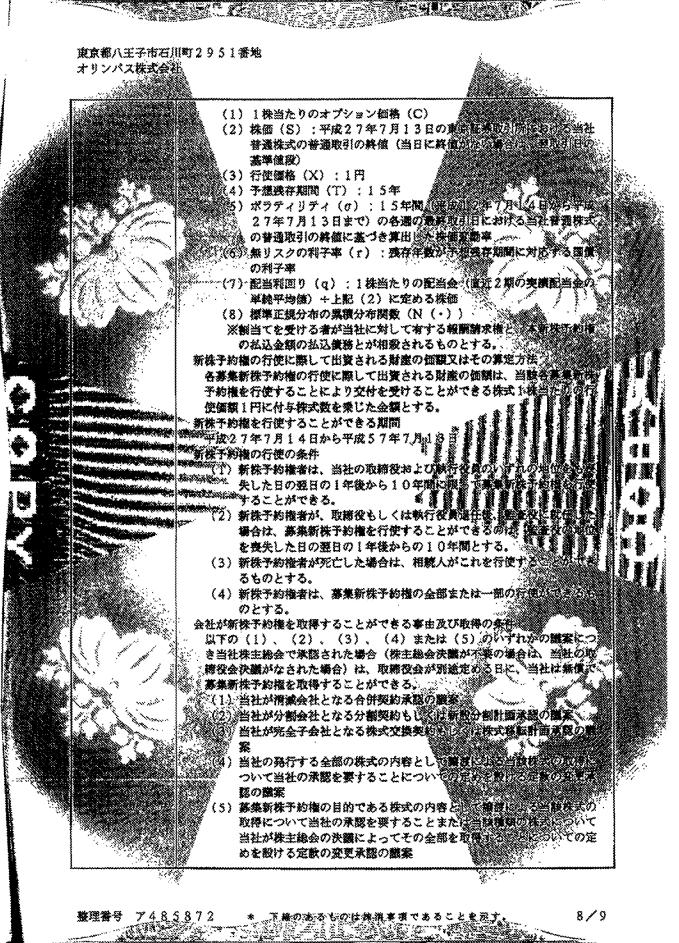


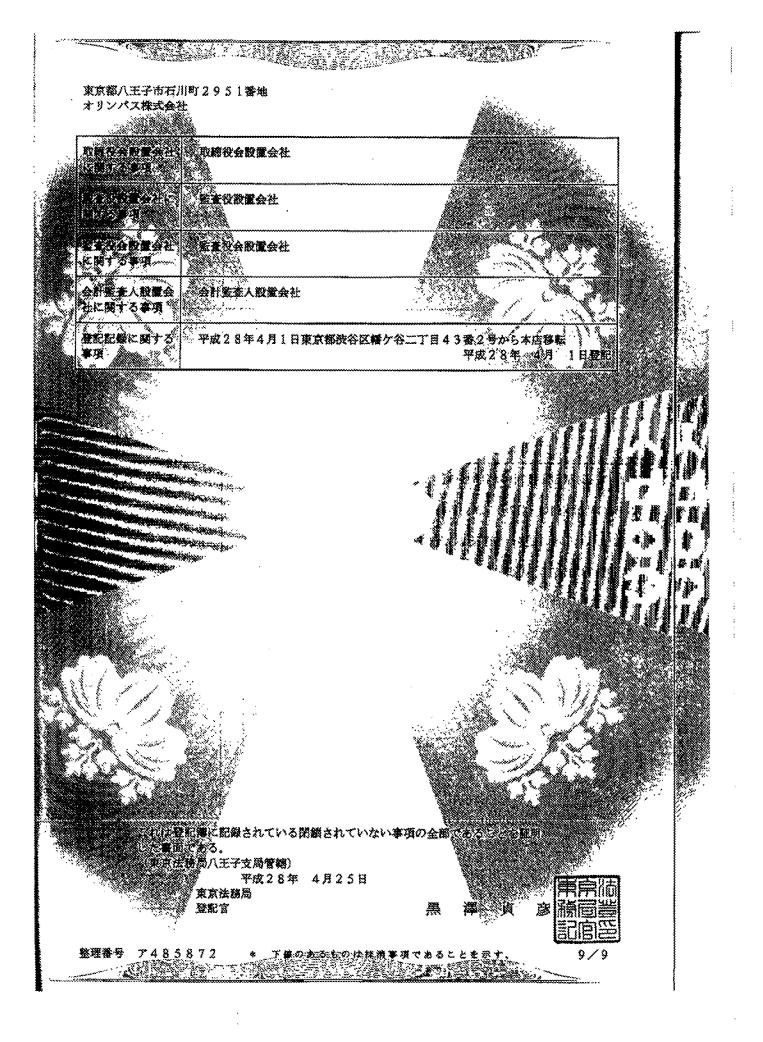












Doc Code: PA., Document Description: Power of Attorney

PTO/AJA/828 (07-13) Description: Power of Attorney
Approved tor use Strough 11/30/2014. OMB 0851-0051
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Raduction Act of 1995, no persons are required to respond to a collection of information unless & displays a valid OMB control number

POWER OF ATTORNEY BY APPLICANT							
I hereby revoke all the boxes below.	previous powers o	f attorney given in the	application ide	ntified in <u>either</u> t	he attached	transmittal letter or	
	Application Numi	;#?	Filing	Dete			
(1)		s may be left blank if inf		and an farm DTAM			
I hereby ap;	oint the Patent Prec		th the following C	lustomer Number i	as my/our atto	rney(s) or agent(s), and dication referenced in	
the attached OR	i transmittel letter (fo	m PTO/AIA/82A) or ide		5944			
all business	in the United States	amed in the attached li Patent and Trademark 'TO/AIA/82A) or identifi	Office connected	therewith for the p	setent applicat		
Please recognize or change the correspondence address for the application identified in the attached transmittai letter or the boxes above to:							
OR			omer Number				
OR	associated with Cu	Romer Number:					
Firm or Individual N	NTIS						
Address							
City			State		Zip		
Country Telephone			Emeil	1			
I am the Applicant (if	the Applicant is a jur	etic entity, list the Appli		box):			
r	Joint Inventor (title n	******		**********	·····		
Legel Repre	entative of a Deces	eed or Legally Incapaci	tated Inventor (tit	le not required bei	ow)		
Assignee or	Person to Whom the	Inventor is Under an C	bligation to Assig	n (provide signer's	s title if applica	ent is a juristic entity)	
	Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a patition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being fied with this document) (provide signer's title if applicant is a juristic entity)						
The independent of		******	if Applicant for I	*****			
Signature	The undersigned (whose title is supplied below) is suthorized to <u>sol on behalf</u> of the applicant (e.g., where the applicant is a juristic entity). Signature Date (Optional)						
Name	Mitsugu Sakai 🗸			L	Ł	*****	
Title		of Intellectual Property Plan	ning Department in	intellectual Property	& Licensing Divi	ision of Olympus Corporation	
		med by the applicant in a ant, use multiple forms.	accordance with 3	7 CFR 1.33. See 37	CFR 1.4 for s	ignature requirements	
Total of	forms are subr	sittleci. 131, 1.32, and 1.33. The ission	maline is particulated to a	Main or retain a house	hu tha netile util	-to be the Bay Jacob bay then	

The collection of Information is required by 37 CFR 1,131, 1.32, and 1.35. The information is required to obtain or retain a banefit by the public which is to like (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for mixing file surder, should be sent to like Chief Information Officer, U.S. Destending USPTO. The will vary depending upon the individual case. Any comments of the amount of time you require to complete this form and/or suggestions for relating file surder, should be sent to like Chief Information Officer, U.S. Destender and Trademark Officer, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22313-1450, If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

Electronic Ac	knowledgement Receipt
EFS ID:	27896612
Application Number:	14163528
International Application Number:	
Confirmation Number:	1030
Title of Invention:	SURGICAL DEVICE
First Named Inventor/Applicant Name:	Hideo SANAI
Customer Number:	25944
Filer:	James Albert Oliff/Cyndi Racine
Filer Authorized By:	James Albert Oliff
Attorney Docket Number:	153190
Receipt Date:	23-DEC-2016
Filing Date:	24-JAN-2014
Time Stamp:	16:54:10
Application Type:	Utility under 35 USC 111(a)

## Payment information:

Submitted with	Payment		no			
File Listing	:					
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				107316		
1	Applicant Response to Pre-Exam Formalities Notice	Red	Req_to_update_PALM_201612 23.pdf	39b4640d015168e04e0f53c52a8149f7d41 2258c	no	2
Warnings:		I			I	

Information:						
2	Application Data Sheet	ADS20161223.pdf	11505617 138a7a497eb8939adc95130bd2479a01d8 22c085	no	27	
Warnings:						
Information:						
This is not an U	SPTO supplied ADS fillable form					
		Total Files Size (in bytes)	116	512933		
characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> f a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.					
lf a timely su U.S.C. 371 an	<u>National Stage of an International Application under 35 U.S.C. 371</u> f a timely submission to enter the national stage of an international application is compliant with the conditions of 35 J.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.					
lf a new inter an internatio and of the In	ational stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>lew International Application Filed with the USPTO as a Receiving Office</u> Ta new international application is being filed and the international application includes the necessary components for n international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number nd of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning ational security, and the date shown on this Acknowledgement Receipt will establish the international filing date of					

United St	ates Patent and Tradem	UNITED STA United State: Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/163,528	01/24/2014	Hideo SANAI	153190
25944 OLIFF PLC			CONFIRMATION NO. 1030 R CFR REQUEST
P.O. BOX 320850 ALEXANDRIA, VA 22320	4850		CC000000087641269* Date Mailed: 12/06/2016

### **RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

### Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

• The ADS submitted on __12/01/2016__ attempts to change the applicant but cannot be entered. Any request to change the applicant once the applicant has been specified must include (1) an application data sheet specifying the new applicant in the Applicant Information section, and (2) a statement under 37 CFR 3.73(c) (USPTO Form PTO/AIA/96 or an equivalent) to show chain of title to the new applicant. The application data sheet must contain markings to show the information that is being changed, with underlining for additions and strike-through or brackets for deletions. See 37 CFR 1.76(c)(2).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/ggasgedom/

_____

### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Attn: OIPE

Group Art Unit: 3739

Docket No.: 153190

Filed: January 24, 2014

For: SURGICAL DEVICE

### **REQUEST FOR CORRECTION OF PALM RECORDS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached is a photocopy of the updated Application Data Sheet on which errors have been corrected. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

/Bryan K. Hsu/

James A. Oliff Registration No. 27,075

Bryan K. Hsu Registration No. 72,762

JAO:BKH/cfr

Attachment: Updated Application Data Sheet

Date: December 1, 2016

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461



I

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

A	4- Oh+ 27 OED 4 76	Attorney Docket Number	153190		
Application Data Sheet 37 CFR 1.76		Application Number	<u>14/163,528</u>		
Title of Invention SURGICAL DEVICE					
bibliographic data arran This document may be	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.				

### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### **Inventor Information:**

Legal	tor 1 Name				
Prefix	Given Name	Middle Name	Family N	ame	Suffix
	Hideo		SANAI		
Resid	ience information (Select	t One) 🔿 US Residency 💽	Non US Residency	) Active US Military Service	1
Ċity	Hachioji-shi	Country of Resid	ence I	PL	
Addre	Address of Inventor: ss 1 clo.01	LYMPUS I.P. SERVICES CO., LT		PORATION	
Addre	985 2 I <del>.P. C</del>	upport Bept.,-2-3-Kuboyama-cho-	2951 Ishikawa-	<u>machi</u>	
	Hachioji-shi, Tokyo		State/Province		
City	Theorem in the state of the sta				
		8512- <u>192-8507</u> Co	untryi JP		

### **Correspondence Information:**

Enter either Customer For further information	Number or complete the Correspondence Information a see 37 CFR 1.33(a).	section below.	
An Address is being provided for the correspondence Information of this application.			
Customer Number	25944		
Email Address	email@oliff.com		alities trainers and and

### **Application Information:**

Title of the Invention	SURGICAL DEVIC	E	
Attorney Docket Number	153190		Small Entity Status Claimed
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing	Sheets (if any)	7	Suggested Figure for Publication (if any)



PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Ameliantian Da	to Cheet 27 CED 4 76	Attorney Docket Number	153190	
Application Da	ta Sheet 37 CFR 1.76	Application Number	14/163,528	
 Title of Invention		-		

### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

### **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	C Limited Recognition (37 CFR 11.9)
Customer Number	25944		

### Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status	Pending		
Application Number	Continuity Type	Prior Application Number Filing Date (YYYY-N	
	Continuation of	PCT/JP2013/060447	2013-04-05
Prior Application Status	Expired		
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/JP2013/060447	non provisional of	61/636,269	2012-04-20
Additional Domestic Renefi	it/National Stage Data may be	a concrated within this form	

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.

### Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, epplicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).



PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190	
			Application Number	<u>1</u> 4/163,52	28
Title of Invention	SURGI	CAL DEVICE			
Application Nu	mber	Country ⁱ	Filing Date (YYY)	′- <b>MM-</b> DD)	Access Code ⁱ (if applicable)
Additional Foreign Add button.	Priority	Data may be gener	ated within this form by se	ecting the	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

### Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date o f filing this Authorization.

### **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.



PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Doc	ket Number	153190		
Application Da	La Sliber	57 GFR 1.70	Application N	lumber	<u>14/163,52</u>	28
Title of Invention SURGICAL DEVICE						
						section should not be completed.
1.43; or the name and who otherwise shows applicant under 37 CF	address of th sufficient prop R 1.46 (assig gether with or	ne assignee, perso prietary interest in t nee, person to who	n to whom the ir the matter who i om the inventor	iventor is under s the applicant u is obligated to a	an obligation Inder 37 CFR ssign, or pers	the is the applicant under 37 CFR to assign the invention, or person 1.46. If the applicant is an on who otherwise shows sufficient to are also the applicant should be
Assignee		C Legal R	epresentative ur	nder 35 U.S.C.	117	Joint Inventor
O Person to whom th	e inventor is c	bligated to assign.		O Person	who shows s	ufficient proprietary interest
If applicant is the leg	al represen	tative, indicate th	ne authority to	file the patent a	application, 1	the inventor is:
· · · · · · · · · · · · · · · · · · ·						
Name of the Decea	sed or Lega	lly Incapacitated	Inventor :			
If the Applicant is a	n Organizat	tion check here.	$\boxtimes$			
Organization Name	, OFXW	PUS MEDICAL SY	STEMS-GORP.	OLYMPUS	CORPO	RATION
Mailing Address I	nformation	For Applicant:				
Address 1	43	-2, Hetegeye 2-ch	ome,-Shibuya-ki	+ <u>2951 Ishi</u>	kawa-ma	<u>chi</u>
Address 2						
City	Ŧe	Hachioji-s	<u>shi, Tokyo</u>	State/Provin	ice	
Country JP				Postal Code	1	<u>92-8507</u>
Phone Number				Fax Number		
Email Address						
Additional Applicant	Data may b	e generated with	nin this form by	selecting the	Add button.	

### Non-Applicant Assignee Information:

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

### Assignee 1

Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).

 $\boxtimes$ 

If the Assignee is an Organization check here.



PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application De	ta Sheet 37 CFR 1.76	Attorney Docket Number	153190
Application Da	ta Sneet S/ CFR 1.70	Application Number	14/163,528
Title of Invention	SURGICAL DEVICE		

Mailing Address Information For No	n-Applicant Assignee:	
Address 1		
Address 2		
City	State/Province	
Country i	Postal Code	
Phone Number	Fax Number	
Email Address		

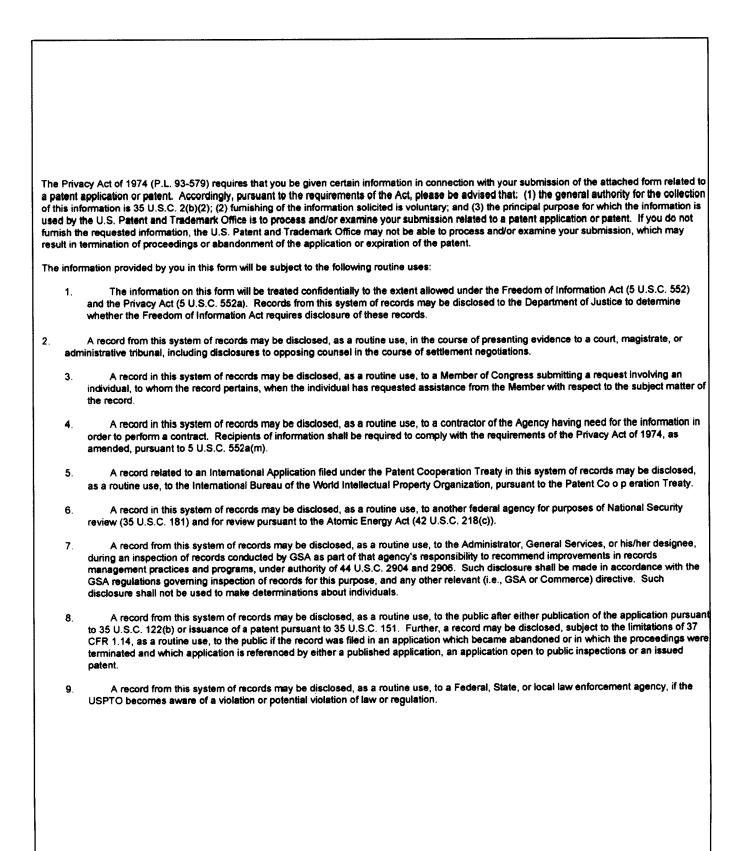
### Signature:

NOTE: This certifications	-	l in accordance	with 37 CFR 1.33. See 37	CFR 1.4 for signature re-	quirements and
Signature	Chan /	ka		Date (YYYY-MM-DD)	2016-12-01
First Name	Bryan	Last Name	Hsu	Registration Number	72762

Additional Signature may be generated within this form by selecting the Add button.

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### **Privacy Act Statement**



Electronic Ac	knowledgement Receipt
EFS ID:	27667945
Application Number:	14163528
International Application Number:	
Confirmation Number:	1030
Title of Invention:	SURGICAL DEVICE
First Named Inventor/Applicant Name:	Hideo SANAI
Customer Number:	25944
Filer:	James Albert Oliff/Cyndi Racine
Filer Authorized By:	James Albert Oliff
Attorney Docket Number:	153190
Receipt Date:	01-DEC-2016
Filing Date:	24-JAN-2014
Time Stamp:	15:59:31
Application Type:	Utility under 35 USC 111(a)

## Payment information:

Submitted with Payment			no				
File Listing	g:						
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Request for Corrected Filing Receipt		Req_PALM_Corr.pdf	102613 0546929f9c5f3d2b1ff95e54fc39ce92d2f7af a0	no	1	
Warnings:							

Information					
2	Application Data Sheet	Updated_ADS.pdf	425845 a0f007729cf3c4f6725cc58d8e3e5cd086bb accd	no	6
Warnings:					I
Information:					
This is not an U	SPTO supplied ADS fillable form				
		Total Files Size (in bytes)	5	28458	
Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg	d by the applicant, and including page described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin	tion includes the necessary c R 1.54) will be issued in due g date of the application.	components for a filir	g date (see	37 CFR
lf a timely su U.S.C. 371 ar	ge of an International Application ur bmission to enter the national stage od other applicable requirements a F ge submission under 35 U.S.C. 371 wi	of an international applicati orm PCT/DO/EO/903 indicati	ng acceptance of the	applicatior	
lf a new inter an internatic and of the In	tional Application Filed with the USP mational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RG urity, and the date shown on this Ack	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	of the International ourse, subject to pres	Application scriptions c	Number oncerning

the application.





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

25944 7590 10/25/2016 OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-4850 EXAMINER DELLA, JAYMI E

ART UNIT PAPER NUMBER
3739

DATE MAILED: 10/25/2016

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/163,528	01/24/2014	Hideo SANAI	153190	1030

TITLE OF INVENTION: SURGICAL DEVICE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	01/25/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

25944 7590 10/25/2016 **OLIFF PLC** P.O. BOX 320850 ALEXANDRIA, VA 22320-4850

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO. CONFIRMATION		
14/163,528 TITLE OF INVENTION	01/24/2014 I: SURGICAL DEVICE	·	Hideo SANAI		1:	53190	1030
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE TO	TAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	•	\$960	01/25/2017
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	1			
DELLA,	JAYMI E	3739	606-045000	4			
	oondence address (or Cha B/122) attached. lication (or "Fee Address D2 or more recent) attach	ange of Correspondence	<ol> <li>For printing on the p</li> <li>The names of up to or agents OR, alternativ</li> <li>The name of a sing registered attorney or a 2 registered patent atto listed, no name will be</li> </ol>	<ul> <li>3 registered pater vely,</li> <li>le firm (having as a agent) and the nam rneys or agents. If</li> </ul>	nt attorneys	2	
<ul> <li>(A) NAME OF ASSI</li> <li>Please check the appropriate</li> <li>4a. The following fee(s)</li> </ul>	iate assignee category or		(B) RESIDENCE: (CITY rinted on the patent) :	Individual 🗖 Co	orporation or		oup entity 🔲 Government shown above)
	No small entity discount  # of Copies		<ul> <li>A check is enclosed.</li> <li>Payment by credit car</li> <li>The director is hereby overpayment, to Depo</li> </ul>				ficiency, or credits any n extra copy of this form).
Applicant assertin	<b>tus</b> (from status indicate ng micro entity status. Se g small entity status. See ng to regular undiscounte	ee 37 CFR 1.29 e 37 CFR 1.27	<u>NOTE</u> : Absent a valid ce fee payment in the micro <u>NOTE</u> : If the application to be a notification of los <u>NOTE</u> : Checking this bo: entity status, as applicabl	was previously un s of entitlement to x will be taken to b	der micro en micro entity	tity status, check status.	-
NOTE: This form must l	be signed in accordance v	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for sign	ature requirements	and certifica	ttions.	
Authorized Signature				Date			
Typed or printed nam	e			Registration N	lo		
			Page 2 of 3				

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ITED STATES PATE	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/163,528	01/24/2014	Hideo SANAI	153190	1030
25944 75	90 10/25/2016		EXAM	IINER
OLIFF PLC P.O. BOX 320850			DELLA,	JAYMI E
ALEXANDRIA, V	A 22320-4850		ART UNIT	PAPER NUMBER
			3739	
			DATE MAILED: 10/25/201	6

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	<b>Application No.</b> 14/163,528	Applicant( SANAI, HIE	
Notice of Allowability	Examiner JAYMI DELLA	Art Unit 3739	AIA (First Inventor to File) Status No
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS I herewith (or previously mailed), a Notice of Allowance (PTOL-8: NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	S (OR REMAINS) CLOSED 5) or other appropriate comm <b>RIGHTS.</b> This application is	in this application. If no nunication will be mailed	<i>ce address</i> ot included d in due course. <b>THIS</b>
<ol> <li>This communication is responsive to <u>Amendment submitter</u></li> <li>A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was</li> </ol>	as/were filed on		
<ol> <li>An election was made by the applicant in response to a re requirement and election have been incorporated into this</li> </ol>	-	h during the interview c	on; the restriction
<ol> <li>The allowed claim(s) is/are <u>1-5</u>. As a result of the allowed Highway program at a participating intellectual property of http://www.uspto.gov/patents/init_events/pph/index.jsp or</li> </ol>	ffice for the corresponding ap	plication. For more info	
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority unconstraints of the certified copies: <ul> <li>a) ☐ All</li> <li>b) ☐ Some</li> <li>c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents hat</li> <li>2. ☐ Certified copies of the priority documents hat</li> <li>3. ☐ Copies of the certified copies of the priority documents hat</li> <li>3. ☐ Copies of the certified copies of the priority documents hat</li> <li>a) ☐ All</li> <li>copies of the certified copies of the priority documents hat</li> <li>copies of the certified copies of the priority documents hat</li> <li>copies of the certified copies of the priority documents hat</li> <li>copies of the certified copies of the priority documents hat</li> <li>certified copies not received:</li> </ul> </li> <li>Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> <li>CORRECTED DRAWINGS ( as "replacement sheets") much including changes required by the attached Examine Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in the formation of the copies of the attached Examiner's comment regarding REQUIREMENT Formation of the copies of the certified copies of the copies of</li></ul>	ve been received. ve been received in Application documents have been received " of this communication to fil IMENT of this application. ust be submitted. er's Amendment / Comment of a <b>1.84(c)) should be written on</b> on the header according to <b>37 C</b> BIOLOGICAL MATERIAL m	on No ed in this national stage e a reply complying wit or in the Office action of <b>the drawings in the fron</b> <b>FR 1.121(d).</b> uust be submitted. Note	h the requirements f <b>t (not the back) of</b>
Attachment(s)         1.        Notice of References Cited (PTO-892)         2.        Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date         3.        Examiner's Comment Regarding Requirement for Deposition of Biological Material         4.        Interview Summary (PTO-413), Paper No./Mail Date         /JAYMI DELLA/	5. 🛛 Examiner 6. 🔀 Examiner	s Amendment/Comme s Statement of Reason	
Primary Examiner, Art Unit 3739			

### NOTICE OF ALLOWANCE

### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

2. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

### Response to Amendment

3. Acknowledgment is made to the amendment received 10/6/2016.

4. Applicant's amendments to the claims are sufficient to overcome the claim objections set forth in the previous office action.

### Examiner's Amendment

5. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Ee Ming Yap on October 11, 2016.

The application has been amended as follows:

1) Amend claim 1 as follows: amend "an impedance detection section configured to detect an impedance between two pinching members that are configured to pinch the living tissue in between two pinching members that are configured to pinch the living tissue in the treatment section" to an impedance detection section configured to detect an impedance between two pinching members of the treatment section that are configured to pinch the living tissue- in II. 23-25.

### **Reasons for Allowance**

6. The following is an examiner's statement of reasons for allowance: As for claim 1, the prior art of record, taken alone or in combination, fails to disclose or render obvious "A surgical apparatus comprising: a treatment section for treating a living tissue; an energy generation section for providing high-frequency current to the treatment section; a liquid feeding conduit for feeding a liquid to the living tissue; a suction conduit for suctioning the liquid; an energy control section configured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section; a first pump drive section configured for feeding the liquid from the liquid feeding conduit while the high-frequency current is output in response to a command for an output of the high-frequency output control signal from the energy control section, and configured to stop the feeding of the liquid from the liquid feeding conduit when the first pump drive section receives a command for stopping the high-

### Application/Control Number: 14/163,528 Art Unit: 3739

frequency output control signal, wherein the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate simultaneously with stopping the high-frequency output control signal; a second pump drive section configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to stop the suction of the liquid form the suction conduit after suctioning for the predetermined period of time or in the predetermined amount; and an impedance detection section configured to detect an impedance between two pinching members of the treatment section that are configured to pinch the living tissue, wherein the predetermined period of time or the predetermined amount is configured to vary according to the impedance detected by the impedance detection section."

7. The closest prior art is regarded as **Utley et al. (2006/0259029, previously cited), Christopherson et al. (2004/0215181) and Mulier et al. (2006/0015097, previously cited).** Utely et al. disclose a treatment section, energy generation section, liquid feeding conduit, suction conduit, energy control section, first pump drive section for feeding liquid and a second pump drive section for activating suctioning. Utely et al. fail to disclose the first pump drive section to cause the feeding of liquid to terminate simultaneously with stopping an output of a high-frequency output control signal and the second pump drive section configured to cause suctioning of fluid only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, the suctioning occurring for a Application/Control Number: 14/163,528 Art Unit: 3739

predetermined period of time or a predetermined amount. However, Christopherson et al. disclose a pump drive section to cause the feeding of liquid to terminate simultaneously as stopping an output of a high-frequency output control signal and Mulier et al. disclose a pump drive section configured to cause suctioning of fluid only after the second pump drive section receives the command for stopping the highfrequency output control signal from the energy control section. Utley, Christopherson and Mulier fail to disclose "the predetermined period of time or the predetermined amount...configured to vary according to [an] impedance detected by [an] impedance detection section".

### 8. Claims 1-5 are allowed.

9. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAYMI DELLA whose telephone number is (571)270-1429. The examiner can normally be reached on M-Th 8:00-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Stoklosa can be reached on (571)272-1213. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 14/163,528 Art Unit: 3739

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAYMI DELLA/ Primary Examiner, Art Unit 3739

### EAST Search History

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	51	((suction\$4 or vacuum\$4 or aspirat\$4) and (liquid or fluid or irrigant or irrigation or irrigating) and (high\$frequency or radio\$frequency or rf or hf) and (pump\$4) and (stop\$4 or terminat\$4 or discontinu\$4)).clm.		OR	OFF	2016/10/11 12:18
S1	56	sanai-hideo\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:33
S2	8620	(olympus and medical and systems).as.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:33
S3	304	hatta-shinji\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:34
S4	8	(S1 or S3) and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	USPAT; USOCR; FPRS;	OR	OFF	2015/04/07 20:34
S5	15	(S1 or S3) and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:35
S6	7	S5 not S4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:35
S7	277	S2 and (pump or pumping or pumped)	US-PGPUB;	OR	OFF	2015/04/07

		and (liquid or fluid or irrigation or irrigating or irrigant or saline)	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			20:36
58	193	S2 and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)		OR	OFF	2015/04/07 20:36
<del>S</del> 9	9	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("6666860") or ("20100185196") or ("20030040672") or ("20100324458") or ("6306131") or ("20010032002") or ("20070156134")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/07 20:46
S10	290	a61b18/0206.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:52
S11	1374	a61c1/07.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:52
S12	3466	a61f9/00745.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S13	294	a61f2013/15869.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S14	1868	a61h23/0245.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:54
S15	190	a61h39/007.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2015/04/07 20:54

		<u> </u>	IBM_TDB			
S16	1667	a61m11/005.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:55
S18	63	a61m2205/3693.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:56
S19	0	"14163528."	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S20	1	"14163528"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S21	24996	( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR A61B2218/002 OR A61B2218/007).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S22	2	"14163203"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S23	30022	( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR A61B2018/00994 OR A61B2217/007 OR A61B2218/002).CPC. )	USPAT; USOCR; FPRS;	OR	OFF	2015/04/07 20:58
S24	4949	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:58
S25	4535		US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/07 20:58

		or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	epo; Jpo; Derwent; IBM_tdb			
S26	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:38
S28	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:38
S29	827287	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (output or current or voltage or power or energy)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S30	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S31	283150	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S32	487127	(time or duration or amount or volume or level) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:40
S34	605	S26 and S28 and S29 and S30 and S31	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:40
S35	235	S26 and S28 and S30 and (S31 same S29)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 09:15
S36	56	(S28 and (S26 same S32) and (S29 same S31) and (S26 same S30))	US-PGPUB; USPAT;	OR	OFF	2015/04/08 09:32

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S37	26	"9814131"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 10:25
S38	30	(fluid\$assisted and electrocautery and device).ti.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 10:26
S39	0	("2006265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S40	1	("20060265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S41	4	"11433982"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:24
S42	1	(11/433982).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 11:24
S44	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034 or a61b2017/2812 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:31
S45	134	S44 and S26 and S28 and S30	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:32
S46	437017	(controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/08 14:22

		pumping or pumped or liquid or fluid or irrigation or irrigating or irrigant or saline or suction or suctioning or suctioned or vacuum or vacum or aspirate or aspirated or aspirating or aspiration)	FPRS; EPO; JPO; DERWENT; IBM_TDB			
S47	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b2017/125 or or a61b2017/22031 or a61b2017/22035 or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S48	242	S46 and S47	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S49	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S50	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S51	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S53	30	S46 and S47 and S49 and S50 and S51	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:24
S54	1	("20050033278").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:06
S55	1	("5417709").PN.	US-PGPUB;	OR	OFF	2015/04/08

	******		USPAT; USOCR			15:10
S56	213	("2397823"   "3019790"   "3980086"   "4646751"   "4750488"   "4763668"   "4880015"   "5016614"   "5123902"   "5131379"   "5141519"   "5152778"   "5195958"   "5197968"   "5209747"   "5217460"   "5224931"   "5286255"   "5300087"   "5312391").PN. OR ("5417709").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:13
S58	113	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 15:15
S59	9	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline) and S46	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 15:17
S60	1	("20050010212").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:23
S61	1	(10/206842).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:26
S62	275280	((stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) near3 (energy or current or voltage or power)) with ((commence or commenced or commencing or start or started or starting or begin or beginning or begun or activate or activation or activating or activated) near3 (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)(output or current or voltage or power or energy))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:02
S63	611	S47 and S62 and S50	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:03
S65	20	S47 and S62 and S50 and S51 and S49	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:03
S66	4478	((liquid or fluid or irrigate or irrigation	US-PGPUB;	OR	OFF	2015/04/08

			USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			16:27
S67	278197	("128" or "606" or "607").clas.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S68	552	S66 and S67	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
<b>S</b> 69	43	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or terminating or stop or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28

		stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)).clm.				
S70	9	S67 and S69	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S71	45	aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as.	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08
S72	45	(pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:37

S74		("20080167645").PN.	US-PGPUB; USPAT; USOCR		OFF	2015/04/08 16:41
		ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))				
		((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or	FPRS; EPO; JPO; DERWENT; IBM_TDB			
S73	12	((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or nf or electrosurgical)) and arthrocare.as. and (controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) (((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/08 16:39
		or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or				

0	<u>.</u>					
S75	44	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) same (suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) and ((terminate or termination or terminated or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspirate or aspirating or aspirated or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08
S76			US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:49

		(radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and S67 and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))				
S77	3	(("8523850") or ("8523851") or ("8523852")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 17:18
S78	1	("20100042101").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 10:22
S79	0	("2009015705").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:05
S80	1	("20090157075").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:05
S81	1	("6306131"). <b>PN</b> .	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:11
S82	113	(high\$frequency or radio\$frequency) with microwave with ultrasonic\$4 with cryogen\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	OFF	2015/08/06 11:40
S83	5145	(606/32-38).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:40
S84	15	S82 and S83	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/06 11:40
S85	10028	(high\$frequency or radio\$frequency) with ultrasonic\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/06 11:47
S86	192	S83 and S85	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/06 11:47
S87	35	S83 and S85 and (forcep or jaw)	US-PGPUB; USPAT;	OR	OFF	2015/08/06 11:49

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S88	1	("20070225697").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 12:21
S89	14755	(606/32-52).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 08:52
S90	1407946	aspiration or aspirating or aspirated or aspirate or suction or suctioned or suctioning	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 08:52
S92	3738	S89 and S90	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 08:53
S93	5945753	stop or stopped or stopping or halt or halted or halting or terminate or terminating or termination or terminated or stoppage	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 08:54
S94	261	(S90 with S93) and S89	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 08:54
S95	2232	S93 with (energy or power or voltage or current or frequency or wave\$form) with S90	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 09:14
S96	34	S89 and S95	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/07 09:14
S97	1	("20060259029").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 09:50
S98	1	("20060265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 10:30
S99	1	("20110040299").PN.	US-PGPUB;	OR	OFF	2015/08/07

			USPAT; USOCR			11:30
S100	1	("20060015097").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 11:44
S101	1	("20100042101").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/11/23 08:42
S102	2	(("20100042101") or ("20090157075")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/11/23 08:58
S103	30775	parameter with impedance	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/11/23 09:01
S104	1	S102 and S103	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/11/23 09:01
S105	3	(("6666860") or ("20020058933") or ("20080167645")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/11/23 09:20
S106	1907	((liquid or irrigation or irrigant or irrigating or fluid or saline) and impedance and (control or controller or controlling or control\$unit or processor or microprocessor or microcontroller) and (time or amount or duration)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:07
S107	7711	a61b2018/00875.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08
S108	4543	a61b2018/00642.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08
S109	1346	a61b2018/00744.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08
S110	2039	a61b2018/00761.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08

			USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			07:08
S112	13289	S107 or S108 or S109 or S110 or S111	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08
S113	124	S106 and S112	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:08
S114	707	(((liquid or irrigation or irrigant or irrigating or fluid or saline) with (time or amount or duration)) and impedance and (control or controller or controlling or control\$unit or processor or microprocessor or microcontroller)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:14
S115	3	(("20100042101") or ("20090157075") or ("6306131")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/01/05 07:15
S116	37	S114 and S112	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:22
S117	5	(((liquid or irrigation or irrigant or irrigating or fluid or saline) with impedance) same ((control or controller or controlling or control\$unit or processor or microprocessor or microcontroller) with (liquid or irrigation or irrigant or irrigating or fluid or saline)) same ((liquid or irrigation or irrigant or irrigating or fluid or saline)) same ((liquid or irrigation or irrigant or irrigating or fluid or saline) with impedance with (large or larger or great or greater or increas\$4) with amount)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:31
S118	14	(((liquid or irrigation or irrigant or irrigating or fluid or saline) same impedance) same ((large or larger or great or greater or increas\$4) with amount)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 07:41
S119	12	(impedance same (large or larger or great or greater or increas\$4) with (rate near4 (liquid or irrigation or irrigant or irrigating or fluid or saline))).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2016/01/05 08:35

			DERWENT; IBM_TDB			
S120	280	(impedance same (large or larger or great or greater or increas\$4) with (rate near4 (liquid or irrigation or irrigant or irrigating or fluid or saline)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 08:39
S121	112	(impedance with (large or larger or great or greater or increas\$4) with (rate near4 (liquid or irrigation or irrigant or irrigating or fluid or saline)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 08:57
S122	121	(impedance with (large or larger or great or greater or increas\$4) with (rate near4 (liquid or irrigation or irrigant or irrigating or fluid or saline or infuse or infusing or infusion)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 09:08
S123	9	(impedance with (large or larger or great or greater or increas\$4) with (rate near4 (infuse or infusing or infusion)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 09:08
S124	14	S109 and (rate same (impedance with (increas\$4 or greater or bigger or larger or large)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 09:11
S125	374	a61b2018/00744.cpc. and rate	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 09:41
S126	70	a61b2018/00744.cpc. and (rate with impedance)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/01/05 09:54
S127	3	((10/986687) or (12/315423)).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/01/05 10:00
S128	1	("20060009758").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/01/05 10:01
S129	1346	a61b2018/00744.cpc.	US-PGPUB; USPAT; USOCR;	OR	OFF	2016/01/05 10:19

			FPRS; EPO; JPO; DERWENT; I BM_TDB			
S130	1	(14/163203).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/28 13:51
S131	4		US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/28 13:55
S132	5652	(stop or stopped or stoppage or stopping or terminate or terminating or termination or terminated or halt or halted or halting or discontinue or discontinued or discontinuing) with (Energy or power or voltage or generator or current) with (time or duration) with rate	USPAT;	OR	ON	2016/09/28 14:10
S133	466	(stop or stopped or stoppage or stopping or terminate or terminating or termination or terminated or halt or halted or halting or discontinue or discontinued or discontinuing) with (Energy or power or voltage or generator or current) with (amount or volume) with impedance	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:10
S135	6097	S132 or S133	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:16
S136	47628	a61b18\$.cpc. or a61b2018\$.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:16
S137	153	S135 and S136	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:16
S138	361	(stop or stopped or stoppage or stopping or terminate or terminating or termination or terminated or halt or halted or halting or discontinue or discontinued or discontinuing) with (Energy or power or voltage or generator or current) with (time or duration) with rate with (liquid or fluid or irrigant or irrigating or irrigation or saline or coolant)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:18
S139	16	(stop or stopped or stoppage or stopping or terminate or terminating or termination or terminated or halt or halted or halting or discontinue or discontinued or discontinuing) with (Energy or power or voltage or generator or current) with (amount or volume) with impedance with (liquid or fluid or irrigant or irrigating or irrigation or saline or coolant)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/09/28 14:18

S141	1	("20050075701").PN.	US-PGPUB; USPAT; USOCR	OR	2 2	2016/09/28 15:38
S142	1	("6235022").PN.	US-PGPUB; USPAT; USOCR	OR		2016/09/28 16:05
S143	1	("20050118383").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/28 17:45

# EAST Search History (Interference)

Ref #	Hits	Search Query		Default Operator		Time Stamp
12		((suction\$4 or vacuum\$4 or aspirat\$4) and (liquid or fluid or irrigant or irrigation or irrigating) and (high\$frequency or radio\$frequency or rf or hf) and (pump\$4) and (stop\$4 or terminat\$4 or discontinu\$4)).clm.	USPAT	OR	OFF	2016/10/11 12:23

10/11/2016 12:58:44 PM

C:\Users\jdella\Documents\EAST\Workspaces\14163203 & 14163528.wsp

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

Symbol			Туре	Version
A61B	18	14	F	2013-01-01
A61B	17	320092	1	2013-01-01
A61B	18	1445	1	2013-01-01
A61B	2218	002	A	2013-01-01
A61B	2218	007	A	2013-01-01
A61B	2017	00026	A	2013-01-01
A61B	2018	00875	A	2013-01-01

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	Ę	5
/JAYMI DELLA/ Primary Examiner.Art Unit 3739	10/11/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	2
U.S. Patent and Trademark Office		Pa	rt of Paper No. 20161011

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SS	FIC	ΑΤΙ	ON	
	CLASS			SUBCLASS					С	LAIMED			N	ION-	CLAIMED
28						А	6	1	В	18 / 14 (2006.01.01)					
CROSS REFERENCE(S)								-							
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

NONE	Total Clain	ns Allowed:			
(Assistant Examiner)	(Date)	Ę	5		
/JAYMI DELLA/ Primary Examiner.Art Unit 3739	10/11/2016	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	2		
Lo Detectional Technology Office					

U.S. Patent and Trademark Office

Part of Paper No. 20161011

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

	Claims renumbered in the same order as presented by applicant							СР	A [	] T.D.	C	] R.1.	47		
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1														
2	2														
3	3														
4	4														
5	5														
-	6														
-	7														

NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	Ę	5	
/JAYMI DELLA/ Primary Examiner.Art Unit 3739	10/11/2016	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	2	
U.S. Patent and Trademark Office Part of Paper No. 20161011				

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

CPC- SEARCHED						
Symbol	Date	Examiner				
( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR	2015/04/07	JD				
A61B2218/002 OR A61B2218/007).CPC. )						
( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR	2015/04/07	JD				
A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR						
A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR						
A61B2018/00994 OR A61B2217/007 OR A61B2218/0						

CPC COMBINATION SETS - SEARCHED						
Symbol	Date	Examiner				

US CLASSIFICATION SEARCHED							
Class	Subclass	Date	Examiner				

SEARCH NOTES						
Search Notes	Date	Examiner				
see EAST search history	2015/04/29	JD				
updated EAST search	2015/08/07	JD				
updated EAST search	2016/04/20 &	JD				
	26					
updated EAST search	2016/10/11	JD				

INTERFERENCE SEARCH							
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner				
	see intererence search printout	2016/10/11	JD				

/JAYMI DELLA/ Primary Examiner.Art Unit 3739

# PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

Group Art Unit: 3739

Examiner: J. DELLA

Docket No.: 153190

## **AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In reply to the July 7, 2016 Office Action, please consider the following:

Amendments to the Claims as reflected in the listing of claims; and

Remarks.

#### Amendments to the Claims:

The following listing of claims will replace all prior versions, and listings, of claims in the application:

 (Currently Amended) A surgical apparatus comprising: a treatment section for treating a living tissue;

an energy generation section for providing high-frequency current to the treatment section;

a liquid feeding conduit for feeding a liquid to the living tissue;

a suction conduit for suctioning the liquid;

an energy control section configured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section;

a first pump drive section configured to feed for feeding the liquid from the liquid feeding conduit while the high-frequency current is output, inoutput in response to a command for an output of the high-frequency output control signal from the energy control section, and configured to stop the feeding of the liquid from the liquid feeding conduit; when conduit when the first pump drive section receives a command for stopping the high-frequency output control signal, wherein the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate at the same time as a stoppage of the output of the high-frequency current; and simultaneously with stopping the high-frequency output control signal;

a second pump drive section configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to stop the suction of the liquid

-2-

from the suction conduit after suctioning for the predetermined period of time or in the predetermined amount: and

an impedance detection section configured to detect an impedance between two pinching members that are configured to pinch the living tissue in between two pinching members that are configured to pinch the living tissue in the treatment section.

wherein the predetermined period of time or the predetermined amount is configured to vary according to the impedance detected by the impedance detection section.

2. (Previously Presented) The surgical apparatus according to claim 1,

wherein

the predetermined period of time or the predetermined amount is stored in a storage section and can be set or changed.

3. (Previously Presented) The surgical apparatus according to claim 1, wherein

the predetermined period of time or the predetermined amount is set according to a period of time of outputting the high-frequency current or a period of time of driving the first pump drive section.

4. (Currently Amended) The surgical apparatus according to claim 1, wherein upon receipt of an instruction for generating the high-frequency current to the energy generation section after stoppage of stopping the high-frequency eurrent, output control signal, the energy control section is configured to stop the second pump drive section

suctioning the liquid from the liquid feeding conduit.

5. (Currently Amended) The surgical apparatus according to claim 1, further comprising

a pump configured to connect with the suction conduit, wherein the energy control section is configured to perform control so that driving of the pump is started so as to

-3-

perform the suction of the liquid via the <u>pump,pump</u> in response to <u>after</u> stopping <del>of the high</del>frequency current of the high-frequency output control signal, and the pump is stopped after suctioning the liquid via the pump for the predetermined period of time or in the predetermined amount.

6-7. (Canceled)

#### **REMARKS**

Claims 1-5 are pending in this application. By this Amendment, claims 1 and 5 are amended. No new matter is added.

## I. <u>Allowable Subject Matter</u>

Applicant thanks the Examiner for the indication that claim 7 contains allowable subject matter. Claim 1 is amended to include the allowable features of claim 7. Thus, Applicant submits that this application is in condition for allowance.

## II. <u>Claim Objections</u>

The Office Action objects to claims 1, 4, 5 and 7 for having informalities. The claims are amended responsive to the objection. Withdrawal of the objection is respectfully requested.

#### III. 35 U.S.C. §103 Rejection

The Office Action rejects claims 1 and 3-5 under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2006/0259029 (Utley) in view of U.S. Patent Application Publication No. 2004/0215181 (Christopherson) and further in view of U.S. Patent Application Publication No. 2006/015097 (Mulier); and claim 2 under 35 U.S.C. § 103(a) as being unpatentable over Utely in view of Christopherson and Mulier and further in view of U.S. Patent Application Publication Publication No. 2011/0040299 (Kim). These rejections are respectfully moot.

Claim 1 is amended to include the allowable features of claim 7. Thus, claim 1 is in condition for allowance. Claims 2-5 are dependent claims and thus are also in condition for allowance.

Withdrawal of the rejections is respectfully requested.

## IV. Conclusion

In view of the foregoing, it is respectfully submitted that this application is in condition for allowance. Favorable reconsideration and prompt allowance of the claims are earnestly solicited.

Should the Examiner believe that anything further would be desirable in order to place this application in even better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

/Ee Ming T. Yap/ James A. Oliff Registration No. 27,075

Ee Ming T. Yap Registration No. 65,347

JAO:ETY/ixp

Date: October 6, 2016

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	27141468				
Application Number:	14163528				
International Application Number:					
Confirmation Number:	1030				
Title of Invention:	SURGICAL DEVICE				
First Named Inventor/Applicant Name:	Hideo SANAI				
Customer Number:	25944				
Filer:	James Albert Oliff/In Park				
Filer Authorized By:	James Albert Oliff				
Attorney Docket Number:	153190				
Receipt Date:	06-OCT-2016				
Filing Date:	24-JAN-2014				
Time Stamp:	14:07:20				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment			no				
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
				29214			
1		201	161005_Amendment.pdf	bbf9a09df0ec11aa8f07e18b3fc60ac7b357 e8de	yes	6	

	Multipart Description/PDF files in .zip description					
	Document Description	Start	End			
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1			
	Claims	2	4			
	Applicant Arguments/Remarks Made in an Amendment	5	6			
Warnings:						
Information:						
	Total Files Size (in bytes):	29	214			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

								to a collection of inform n or Docket Number		valid OMB control number
P	ATENT APPL			Form P		N RECORD		-/163,528	01/24/2014	To be Mailed
									LARGE 🗌 SMA	
					APPLIC	ATION AS FIL	ED – PAR	TI		
			(	(Column 1		(Column 2)				
	FOR		NU	IMBER FIL	.ED	NUMBER EXTRA		RATE (\$)		=EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))		N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))		N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(0), (p),			N/A		N/A		N/A		
TO (37	TAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =		
IND	INDEPENDENT CLAIMS (37 CFR 1.16(h)) minus 3 = *					X \$ =				
	APPLICATION SIZE FEE (37 CFR 1.16(s)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPEN	NDENT CLA	AIM PRE	ESENT (3	7 CFR 1.16(j))					
* If	the difference in colu	umn 1 is les	ss than z	zero, ente	r "0" in column 2.			TOTAL		
		(Colum			(Column 2)	CION AS AMEN (Column 3		ART II		
AMENDMENT	10/06/2016	REMAIN AFTER AMEND	IING		NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 6		Minus	** 20	= 0		× \$80 =		0
EN	Independent (37 CFR 1.16(h))	* 1		Minus	***3	= 0		x \$420 =		0
AM	Application Si	ize Fee (37	CFR 1.	16(s))						
		NTATION OF	MULTIPI	LE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))				
		(Colum	ın 1)		(Column 2)	(Column 3	)	TOTAL ADD'L F	ĒĒ	0
L		CLAIN REMAIN AFTE AMENDI	NING ER		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	×		Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*		Minus	***	=		X \$ =		
1EN	Application Si	ize Fee (37	CFR 1.	16(s))					_	
AM		NTATION OF	MULTIPI	LE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))				
** If ***   The	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))      TOTAL ADD'L FEE      If the entry in column 1 is less than the entry in column 2, write "0" in column 3.      If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".      If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".      e "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.      collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to									

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

## ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Unit	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22. www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/163,528	01/24/2014	Hideo SANAI	153190	1030
25944 OLIFF PLC	7590 07/07/2010	5	EXAM	IINER
P.O. BOX 3208	350 A, VA 22320-4850		DELLA,	JAYMI E
			ART UNIT	PAPER NUMBER
			3739	
			NOTIFICATION DATE	DELIVERY MODE
			07/07/2016	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com jarmstrong@oliff.com

	Application No. 14/163,528	Applicant(s) SANAI, HIDE	
Office Action Summary	Examiner JAYMI DELLA	Art Unit 3739	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Poriod for Poply	bears on the cover sheet with the	corresponden	ce address
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPL' THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	G(a). In no event, however, may a reply be ti will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	mely filed h the mailing date o ED (35 U.S.C. § 13;	f this communication.
Status         1) Responsive to communication(s) filed on <u>10/6</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>			
2a) This action is <b>FINAL</b> . 2b) This	action is non-final.		
3) An election was made by the applicant in resp	-		ng the interview on
<ul> <li>the restriction requirement and election</li> <li>4) Since this application is in condition for alloward closed in accordance with the practice under E</li> </ul>	nce except for formal matters, pr	osecution as t	to the merits is
Disposition of Claims*			
<ul> <li>5) ☐ Claim(s) <u>1-5 and 7</u> is/are pending in the applic 5a) Of the above claim(s) is/are withdray 6) ☐ Claim(s) is/are allowed.</li> <li>7) ☐ Claim(s) <u>1-5</u> is/are rejected.</li> <li>8) ☐ Claim(s) <u>7</u> is/are objected to.</li> <li>9) ☐ Claim(s) are subject to restriction and/o</li> <li>* If any claims have been determined <u>allowable</u>, you may be eleparticipating intellectual property office for the corresponding a <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or send</li> <li>Application Papers <ul> <li>10) ☐ The specification is objected to by the Examine 11) ☐ The drawing(s) filed on is/are: a) ☐ acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct</li> </ul> </li> </ul>	wn from consideration. Ir election requirement. ligible to benefit from the <b>Patent Pro</b> pplication. For more information, ple I an inquiry to <u>PPHfeedback@uspto.</u> er. epted or b) dojected to by the drawing(s) be held in abeyance. Se	ase see gov. Examiner. e 37 CFR 1.85	(a).
Priority under 35 U.S.C. § 119		-	
12)       Acknowledgment is made of a claim for foreign         12)       Acknowledgment is made of a claim for foreign         Certified copies:       a)         a)       All         b)       Some** c)         None of the:       1.         Certified copies of the priority documen         2.       Certified copies of the priority documen         3.       Copies of the certified copies of the priority application from the International Bureau         ** See the attached detailed Office action for a list of the certified	ts have been received. ts have been received in Applica prity documents have been receiv u (PCT Rule 17.2(a)).	tion No.	
Attachment(s) 1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summary		
	Bapar Na(s)/Mail D		
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	SB/08b) 4) 🗌 Other:		

# **DETAILED ACTION**

1. The following is a Non-Final Office Action on the merits.

# Notice of Pre-AIA or AIA Status

2. The present application is being examined under the pre-AIA first to invent provisions.

3. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

# Continued Examination Under 37 CFR 1.114

4. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/6/2015 has been entered.

# Response to Amendment

5. Acknowledgment is made to the amendment received 10/6/2015.

6. Applicant's amendments to the claims are sufficient to overcome the claim objections set forth in the previous office action.

# Information Disclosure Statement

7. The information disclosure statement filed 2/17/2016 fails to comply with 37 CFR 1.98(a)(3)(i) because it does not include a concise explanation of the relevance, as it is presently understood by the individual designated in 37 CFR 1.56(c) most knowledgeable about the content of the information, of each reference listed that is not in the English language. It has been placed in the application file, but the information with the strike-through therein has not been considered.

# **Claim Objections**

8. **Claim 1** is objected to because of the following informalities: amend "to feed" to for feeding- in II. 9. Appropriate correction is required.

 Claim 1 is objected to because of the following informalities: amend "output, in" to –output in- in II. 10. Appropriate correction is required.

10. **Claim 1** is objected to because of the following informalities: amend "to stop feeding" to –to stop the feeding- in II. 11-12. Appropriate correction is required.

11. **Claim 1** is objected to because of the following informalities: amend "conduit, when" to –conduit when- in II. 12. Appropriate correction is required.

12. **Claim 1** is objected to because of the following informalities: amend "to terminate at the same time as a stoppage of the output of the high-frequency current" to

-to terminate simultaneously with stopping the high-frequency output control signal- in II. 14-15. Appropriate correction is required.

13. **Claim 4** is objected to because of the following informalities: amend "after stoppage of the high-frequency current" to –after stopping the high-frequency output control signal- in II. 3. Appropriate correction is required.

14. **Claim 5** is objected to because of the following informalities: amend "pump, in" to -pump in- in II. 5. Appropriate correction is required.

15. **Claim 5** is objected to because of the following informalities: amend "stopping of the high-frequency current of the high-frequency output control signal" to - after stopping the high-frequency output control signal- in II. 5-6. Appropriate correction is required.

16. **Claim 7** is objected to because of the following informalities: amend "varies" to – is configured to vary- in II. 5. Appropriate correction is required.

# Claim Rejections - 35 USC § 103

17. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

18. Claims 1 & 3-5 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Utley et al. (2006/0259029, previously cited) in view of Christopherson et al. (2004/0215181) and Mulier et al. (2006/0015097, previously cited).

**19.** Concerning **claim 1**, as illustrated in Fig. 82, Utley et al. disclose **a surgical apparatus** (system 24; [0120]) **comprising:** 

a treatment section for treating a living tissue (operative element 36; [0125]); an energy generation section for providing high-frequency current to the treatment section (generator 38 supplies radiofrequency treatment energy; [0130]);

a liquid feeding conduit for feeding a liquid to the living tissue (fluid delivery apparatus 44 conveys processing fluid F through the lumen 98 for discharge at the treatment site; [0179]);

a suction conduit for suctioning the liquid (aspirating apparatus 46 conveys aspirated material from or near from the operative element 36 through lumen 102 for discharge; [0133], [0180]);

an energy control section configured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section (controller 52 governs the power levels, cycles, and duration of radio frequency energy as well as delivery of processing fluid, and if desired, the removal of aspirated material; [0135]);

a first pump drive section that configured to feed the liquid from the liquid feeding conduit while the high-frequency current is output (fluid delivery apparatus 44 comprises an integrated pump 428 that is coupled to the I/O device 54; [0394-0395), in response to a command for an output of the high- frequency output control signal from the energy control section, and configured to stop feeding of the liquid from the liquid feeding conduit, when the first pump drive section receives a command for stopping the high- frequency output control signal (when the foot pedal 416 is pressed, the controller 52 activates the pump rotor 428 and cooling liquid is

conveyed through the treatment device TD into contact with mucosal tissue at the targeted site and after a preliminary time period, the controller 52 applies rf energy through the electrodes, and controller 52 terminates the conveyance of rf ablation energy and commands a flow of cooling liquid for a predetermined time after the energy is stopped; [0437-0438], [0451-0452]); **and** 

a second pump drive section configured to cause the suction conduit to suction the liquid (an additional dedicated pump rotor or equivalent pumping mechanism to perform the aspiration function; [0399]) for a predetermined period of time or in a predetermined amount, after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to stop suction of the liquid from the suction conduit after suctioning for the predetermined period of time or in the predetermined amount (controller 52 terminates the conveyance of rf ablation energy and commands aspiration from the treatment device for a predetermined time after the energy is stopped in conjunction with the flow of cooling liquid; [0451-0452]).

Utely et al. fail to disclose the first pump drive section causing the feeding of the liquid from the liquid feeding conduit to terminate at the same time, or simultaneously, as stopping the high-frequency output control signal. However, Christopherson et al. disclose a surgical apparatus (10) comprising a treatment section (21), an energy generation section (30) a liquid feeding conduit (24), an energy control section (controller) configured output a high-frequency output control signal for controlling the high-frequency current from the energy generation section (30) and a first pump drive

section (pump). Christopherson et al. further disclose the first pump drive section configured to feed the liquid from the liquid feeding conduit (24) while the highfrequency current is output in response to a command for an output of the highfrequency output control signal from the energy control section (controller) and configured to stop feeding the liquid from the liquid feeding conduit when the first pump drive section (pump) is configured for stopping the high-frequency output control signal. Christopherson et al. further discloses the first pump drive section (pump) causes the feeding of the liquid from the liquid feeding conduit to terminate either simultaneously or for a period of time after termination of ablation energy. At the time of the invention, it would have been obvious to one of ordinary skill in the art to modify the invention such that the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate either simultaneously as termination of ablation energy since Christopherson et al. teaches terminating the liquid feeding simultaneously or for a time period to be equivalents in the art ([0044], [0064], [0061], [0063])

Utely et al. fail to disclose the second pump drive section configured to cause suctioning only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control suction. However, Mulier et al. disclose a surgical apparatus (20) comprising an energy generation section (22) where suctioning is provided either during or subsequent to termination of the application of RF power to tissue. It would have been an obvious matter of design choice to one having ordinary skill in the art at the time the invention was made to suction only after receiving a command for stopping energy delivery since Mulier et al.

teaches suctioning during energy delivery and after termination of energy delivery to be equivalents in the art. ([0044]

20. Concerning **claim 3**, Utely et al. disclose the predetermined time to be set according to the period of time for driving the first pump (428) ([0451-0452]).

21. Concerning **claim 4**, Utely et al. disclose that the energy control section (52) stops the second pump after receiving the instruction to stop the output of energy ([0451-0452]; Fig. 82).

22. Concerning **claim 5**, Utely et al. disclose controlling the driving of the second suction pump to start when the high frequency energy is stopped, and stopping the second pump after the liquid has been supplied for the predetermined period of time ([0452]).

23. Claim 2 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Utely (2006/0259029, previously cited) Christopherson et al. (2004/0215181) and Mulier et al. (2006/0015097, previously cited), as applied to claim 1, in view of Kim et al. (2011/0040299, previously cited).

24. Concerning **claim 2**, Utely et al. disclose the predetermined period of time to be stored in a storage section of generator (52) ([0134], [0437]). While Utely et al. disclose an I/O device (54) to input control and processing variables ([0136]), Utely et al. fail to specifically disclose the predetermined period of time configured to be set or changed. However, Kim et al. disclose a surgical apparatus comprising a front panel (2305) of an energy control section (2301) that includes display and time set elements for various

parameters of the surgical apparatus ([0034]). At the time of the invention, it would have been obvious to one of ordinary skill in the art to modify the invention of Utely in such that the predetermined period can be set or changed in order to provide the benefit of user control on the input time parameters as taught by Kim et al. ([0034]; Fig. 1)

### Allowable Subject Matter

25. Claim 7 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. As allowable subject matter has been indicated, applicant's reply must either comply with all formal requirements or specifically traverse each requirement not complied with. See 37 CFR 1.111(b) and MPEP § 707.07(a).
26. The following is a statement of reasons for the indication of allowable subject matter: the prior art, neither alone nor in combination discloses "the predetermined period of time or the predetermined amount varies according to the impedance detected by the impedance detection".

### Response to Arguments

27. Applicant's arguments are moot in view of the new ground(s) of rejection.

# Conclusion

28. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: **Inagaki et al. (2010/0042101).** 

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAYMI DELLA whose telephone number is (571)270-1429. The examiner can normally be reached on M-Th 8:00-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Stoklosa can be reached on (571)272-1213. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAYMI DELLA/ Primary Examiner, Art Unit 3739

Notice of References Cited	Application/Control No. 14/163,528	Applicant(s)/Pa Reexamination SANAI, HIDEC	า			
Notice of hereferices ched	Examiner	Art Unit				
	JAYMI DELLA	3739	Page 1 of 1			

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	A	US-2004/0215181 A1	10-2004	Christopherson, Mark A.	A61B18/1477	606/32
*	в	US-2010/0042101 A1	02-2010	Inagaki; Genri	A61B18/1442	606/52
	с	US-				
	D	US-				
	Е	US-				
	F	US-				
	G	US-				
	н	US-				
	I	US-				
	J	US-				
	к	US-				
	L	US-				
	м	US-				

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
	Ν					
	0					
	Р					
	Q					
	R					
	s					
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	~	
	w	
	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

CPC- SEARCHED					
Symbol	Date	Examiner			
( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR	2015/04/07	JD			
A61B2218/002 OR A61B2218/007).CPC. )					
( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR	2015/04/07	JD			
A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR					
A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR					
A61B2018/00994 OR A61B2217/007 OR A61B2218/0					

CPC COMBINATION SETS - SEARCHED					
Symbol	Date	Examiner			

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOTES							
Search Notes	Date	Examiner					
see EAST search history	2015/04/29	JD					
updated EAST search	2015/08/07	JD					
updated EAST search	2016/04/20 &	JD					
	26						

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			

/JAYMI DELLA/ Primary Examiner.Art Unit 3739	

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

14163528 - GAU: 3739

PTO/SB/08a (03-15)

Approved for use through 07/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		14163528
Filing Date		2014-01-24
First Named Inventor	Hideo	SANAI
Art Unit		3739
Examiner Name	J. E. C	Della
Attorney Docket Number		153190

	U.S.PATENTS Remove											
Examiner Initial*	Cite No	P	atent Number	Kind Code ¹	Issue D	)ate	of cited Document				Lines where ges or Relev	
	1	в	920415		2014-12	2-30	Govari					
If you wis	h to ac	ld a	dditional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
				U.S.P	ATENT	APPLIC	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite I	٩o	Publication Number	Kind Code ¹	Publica Date	ition	of cited Document		Releva	s,Columns,Lines where ant Passages or Relevant es Appear		
	1		20060258975	A1	2006-11	-16	Takahashi					
If you wis	h to ac	ld a	dditional U.S. Publi	shed Ap	plication	i citatio	n information p	please click the Ade	d button	Add		
					FOREI	<b>SN PAT</b>		ENTS		Remove		
Examiner Initial*	Cite No		reign Document mber ³	Country Code²i		Kind Code⁴	Publication Applicant of cited F		where Rel	or Relevant	т5	
	1	102	2138823	CN		A	2011-08-03	Biosense Webster Ltd	srael			×
If you wish to add additional Foreign Patent Document citation information please click the Add button Add								•				
				NON	-PATE		RATURE DO	CUMENTS		Remove		
Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T⁵				

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		14163528	14163528	- GAU:	3739
Filing Date		2014-01-24			
First Named Inventor	Hideo	SANAI			
Art Unit		3739			
Examiner Name	J. E. I	Della			
Attorney Docket Numb	er	153190			

1 Da	1 December 23, 2015 Office Action issued in Chinese Patent Application No. 201380010521.9.						
If you wish to add a	additional non-patent literature document citation	information please click the Add b	utton Add				
EXAMINER SIGNATURE							
Examiner Signatur	Examiner Signature /JAYMI E DELLA/ Date Considered 04/20/2016						
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						
Standard ST.3). ³ For .	SPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901 Japanese patent documents, the indication of the year of the the appropriate symbols as indicated on the document under lation is attached.	reign of the Emperor must precede the seria	al number of the patent docume	nt.			

eceipt date: 02/17/2016	Application Number		14163528 - GAU: 3739 14163528	
INFORMATION DISCLOSURE	Filing Date		2014-01-24	
	First Named Inventor	tor Hideo SANAI		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3739	
	Examiner Name	e J. E. Della		
	Attorney Docket Numb	er	153190	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/B. Graham Nelson/	Date (YYYY-MM-DD)	2016-02-17
Name/Print	B. Graham Nelson	Registration Number	72699

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	644	(suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) with (time or duration or amount) with impedance	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:46
L5	45010	a61b18\$.cpc. or a61b2018\$.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:46
L6	32	4 and L5	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:46
L8	878	((suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) with (time or duration or amount)) same ((time or duration or amount) with impedance)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:47
L9	33	L5 and L8	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:47
L10	0	6 not L9	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:47
L11	9500	(suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) same (time or duration or amount) same impedance	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT		ON	2016/04/26 18:48
L12	174	5 and 11	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT		ON	2016/04/26 18:48
L13	141	12 not 9	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/26 18:48
L14	77	((suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) same (time or duration or amount) same impedance).clm.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT		ON	2016/04/26 18:57

S1	56	sanai-hideo\$.in.	US-PGPUB; USPAT; USOCR; FPRS;	OR	OFF	2015/04/07 20:33
			EPO; JPO; DERWENT IBM_TDB			
S2	8620	(olympus and medical and systems).as.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:33
S3	304	hatta-shinji\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:34
S4	8	(S1 or S3) and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:34
S5	15	(S1 or S3) and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:35
S6	7	S5 not S4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:35
S7	277	S2 and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:36
S8	193	S2 and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:36
S9	9	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("66666860") or ("20100185196") or ("20030040672") or ("20100324458") or ("6306131")	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/07 20:46

		or ("20010032002") or ("20070156134")).PN.				
S10	290	a61b18/0206.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:52
S11	1374	a61c1/07.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:52
S12	3466	a61f9/00745.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S13	294	a61f2013/15869.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S14	1868	a61h23/0245.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:54
S15	190	a61h39/007.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:54
S16	1667	a61m11/005.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:55
S18	63	a61m2205/3693.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:56
S19	0	"14163528."	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/07 20:57

			FPRS; EPO; JPO; DERWENT IBM_TDB			
S20	1	"14163528"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:57
S21	24996	( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR A61B2218/002 OR A61B2218/007).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:57
S22	2	"14163203"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:57
S23	30022	( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR A61B2018/00994 OR A61B2217/007 OR A61B2218/002).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:58
S24	4949	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:58
S25	4535	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:58
S26	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:38
S28	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:38

S29	827287	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (output or current or voltage or power or energy)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S30	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S31	283150	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S32	487127	(time or duration or amount or volume or level) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:40
S34	605	S26 and S28 and S29 and S30 and S31	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:40
S35	235	S26 and S28 and S30 and (S31 same S29)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 09:15
S36	56	(S28 and (S26 same S32) and (S29 same S31) and (S26 same S30))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 09:32
S37	26	"9814131"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 10:25
S38	30	(fluid\$assisted and electrocautery and device).ti.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/04/08 10:26

			DERWENT			
S39	0	("2006265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S40	1	("20060265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S41	4	"11433982"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 11:24
S42	1	(11/433982).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 11:24
S44	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b2017/1125 or or a61b2017/12031 or a61b2017/22034 or a61b2017/22035 or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 11:31
S45	134	S44 and S26 and S28 and S30	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:32
S46	437017	(controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigation or irrigating or irrigant or saline or suction or suctioning or suctioned or vacuum or vacum or aspirate or aspirated or aspirating or aspiration)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:22
S47	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b17/2909 or a61b17/30 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 14:23

		or a61b2017/22035 or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.				
S48	242	S46 and S47	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 14:23
S49	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S50	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S51	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S53	30	S46 and S47 and S49 and S50 and S51	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:24
S54	1	("20050033278").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:06
S55	1	("5417709").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:10
S56	213	("2397823"   "3019790"   "3980086"   "4646751"   "4750488"   "4763668"   "4880015"   "5016614"   "5123902"   "5131379"   "5141519"   "5152778"   "5195958"   "5197968"   "5209747"   "5217460"   "5224931"   "5286255"   "5300087"   "5312391").PN. OR ("5417709").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:13
S58	113	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 15:15

S59	9	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline) and S46	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 15:17
S60	1	("20050010212").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:23
S61	1	(10/206842). <b>A</b> PP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:26
S62	275280	((stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) near3 (energy or current or voltage or power)) with ((commence or commenced or commencing or start or started or starting or begin or beginning or begun or activate or activation or activating or activated) near3 (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) (output or current or voltage or power or energy))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 16:02
S63	611	S47 and S62 and S50	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 16:03
S65	20	S47 and S62 and S50 and S51 and S49	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08 16:03
S66	4478	((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or	EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:27

		stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)				
S67	278197	("128" or "606" or "607").clas.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S68	552	S66 and S67	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S69	43	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or de\$activation or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or de\$activation or finish or finished or finishing or conclude or concluded or concluding) with (lenergy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)).clm.	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08 16:28
S70	9	S67 and S69	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;		OFF	2015/04/08 16:28

			IBM_TDB		<u></u>	
571	45	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or terminated or de\$activated or de\$activation or de\$activated or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as.	USPAT; USOCR;	OR	OFF	2015/04/08
572	45	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with	EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08

		processor or micro\$processor or cpu or control\$unit)				
573	12	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirate or aspirate or aspirating or aspirate or aspirate or	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08
574	1	("20080167645").PN.	US-PGPUB; USPAT; USOCR		OFF	2015/04/08 16:41
575	44	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) same (suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:49

S77 S78	3	irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) (("8523850") or ("8523851") or ("8523852")).PN. ("20060259029").PN.	US-PGPUB; USPAT; USOCR US-PGPUB; USPAT;		OFF	2015/04/08 17:18 2015/04/29 07:22
S76	697	de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspirate or aspirating or suctioned or aspirate or aspirate or irrigating or gas) same (suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspirate or aspirating or aspirate or aspirate or termination or terminated or termination or terminated or termination or de\$activation or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or terminating or stop or stopping or stopped or de\$activate or de\$activation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigate or irrigation or irrigant or irrigate or irrigation or irrigant or irrigate or indop\$ocontroller or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:49

			USOCR			
579	2231	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or hf or electrosurgical)) and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspirate or aspirating or	EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 09:25
580	33909	(time or duration or minute or second) with (set or pre\$set or input) with (suction or suctioned or suctioning or aspirate or aspirated or aspiration or aspirating or vacuum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 10:29
581	18834	606/32-52.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 10:29
\$82	60	S80 and S81	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	OFF	2015/04/29 10:29

S83	1505	(time or duration or minute or second or amount or volume) with impedance with (suction or suctioned or suctioning or aspirate or aspirated or aspiration or aspirating or vacuum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 11:22
S84	29	S81 and S83	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:22
S85	85847	a61b18\$.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 11:37
S86	76075	a61b2018\$.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 11:37
S87	97114	S85 or S86	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:37
<u>S88</u>	36	S83 and S87	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 11:37
S89	108	((time or duration or minute or second or amount or volume) with impedance with (suction or suctioned or suctioning or aspirate or aspirated or aspiration or aspirating or vacuum)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 11:42
S90	5	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("6666860")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 12:51
S91	75	malecki.in. and suction\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/29 14:11
S92	2	(10/952492).APP.	US-PGPUB;	OR	OFF	2015/04/29

			USPAT; USOCR			14:12
S93	5	(("5417709") or ("20100137751") or ("20110040299") or ("20060265035") or ("20060259029")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 14:15
S94	164	della adj jaymi	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/29 14:21
S95	2	(("20060259029") or ("20060015097")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/04/20 15:58
S99	324180	(liquid or irrigant or irrigation or irrigating or fluid) near4 (off or stop\$5 or terminat\$4 or discontinu\$5 or halt\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 16:58
S100	1411586	(output or energy or energiz\$4 or current or voltage or power or generator) near4 (off or stop\$5 or terminat\$4 or discontinu\$5 or halt\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 16:58
S101	11625	S99 with S100	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 16:59
S102	44934	a61b18\$.cpc. or a61b2018\$.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 16:59
S103	175	S101 and S102	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 16:59
S104	17	(US-20030040672-\$ or US- 20060259029-\$ or US-20060265035-\$ or US-20110306968-\$ or US- 20110306967-\$ or US-20050033278-\$ or US-20050010212-\$ or US- 20040024396-\$ or US-20080167645-\$ or US-20060074410-\$ or US- 20040215181-\$ or US-20140058294- \$).did. or (US-6063081-\$ or US- 6056735-\$ or US-5417709-\$ or US- 7338434-\$ or US-6045549-\$).did.	US-PGPUB; USPAT	OR	ON	2016/04/20 18:12
S105	3	S103 and S104	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 18:12
S106	4	(("5836909") or ("5279547") or ("5797901") or ("20080167645")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/04/20 18:14

		("5797901") or ("20080167645") or ("8920415") or ("20060258975")). <b>PN</b> .	USPAT; USOCR			18:15
S108	3	(("5836909") or ("20080167645") or ("5279547")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/04/20 18:15
S109	3	(("20060259029") or ("20040215181") or ("20060015097")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/04/20 18:38
S110	1374	sanai.in.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 18:52
S111	38	sanai.in. and olympus.as.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 18:52
S112	8	sanai.in. and olympus.as.	US-PGPUB	OR	ON	2016/04/20 18:52
S113	1	("20100042101").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/04/20 18:59
S114	86	(suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) with (time or duration or amount) with (pre\$set or pre\$determin\$4 or set\$point) with impedance		OR	ON	2016/04/20 19:05
S115	0	S102 and S114	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 19:05
S116	877	((suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) with (time or duration or amount)) same ((time or duration or amount) with impedance)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2016/04/20 19:06
S117	33	S102 and S116	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT		ON	2016/04/20 19:06

## EAST Search History (Interference)

Ref Hits #	Search Query		Default Operator	2	Time Stamp
L15 39	((suction\$4 or vacuum\$4 or vacum\$4 or aspirat\$4) same (time or duration or amount) same impedance).clm.	USPAT	OR	ON	2016/04/26 18:57

### 4/26/2016 6:58:33 PM C:\ Users\ jdella\ Documents\ EAST\ Workspaces\ 14163528.wsp

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

14163528 - GAU: 3739

PTO/SB/08a (03-15)

Approved for use through 07/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		14163528
Filing Date		2014-01-24
First Named Inventor	Hideo	SANAI
Art Unit		3739
Examiner Name J. E. I		Della
Attorney Docket Number	ər	153190

	U.S.PATENTS Remove											
Examiner Initial*	Cite No	P	atent Number	Kind Code ¹	Issue D	)ate	of cited Document				Lines where ges or Relev	
	1	в	920415		2014-12	2-30	Govari					
If you wish to add additional U.S. Patent citation information please click the Add button.												
				U.S.P	ATENT	APPLIC	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite N	٩o	Publication Number	Kind Code ¹	Publica Date	ition	of cited Document					
	1		20060258975	A1	2006-11	-16	Takahashi					
If you wis	h to ac	ld a	dditional U.S. Publi	shed Ap	plication	i citatio	n information p	please click the Ade	d button	Add		
					FOREI	<b>SN PAT</b>		ENTS		Remove		
Examiner Initial*	Cite No		reign Document mber ³	Country Code²i		Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	eor  v  F	where Rel	or Relevant	т5
	1	102	2138823	CN		A	2011-08-03	Biosense Webster Israel Ltd				×
If you wis	h to ac	ld a	dditional Foreign Pa	atent Do	cument	citation	information p	lease click the Add	button	Add		•
				NON	-PATE		RATURE DO	CUMENTS		Remove		
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item								T⁵			

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

			14163528	<u></u>	
Application Number	Application Number		14100020	- GAU.	2739
Filing Date		2014-01-24			
First Named Inventor Hideo		SANAI			
Art Unit		3739			
Examiner Name J. E. [		Della			
Attorney Docket Numb	153190				

1 Docor	nber 23, 2015 Office Action issued in Chinese Pate	nt Application No. 201380010521.0.	x0000000000000000000000000000000000000	×
If you wish to add add	itional non-patent literature document citation i	information please click the Add bu	utton Add	
	EXAMINER SIG	NATURE		
Examiner Signature	/JAYMI E DELLA/	Date Considered	04/20/2016	
	reference considered, whether or not citation is mance and not considered. Include copy of th		-	
Standard ST.3). ³ For Japa	D Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901. nese patent documents, the indication of the year of the re ppropriate symbols as indicated on the document under V n is attached.	eign of the Emperor must precede the seria	al number of the patent doc	ument.

eceipt date: 02/17/2016	Application Number		14163528 - GAU: 3739 14163528		
	Filing Date		2014-01-24		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Hideo	SANAI		
(Not for submission under 37 CFR 1.99)	Art Unit		3739		
	Examiner Name	J. E. I	Della		
	Attorney Docket Number		153190		

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/B. Graham Nelson/	Date (YYYY-MM-DD)	2016-02-17
Name/Print	B. Graham Nelson	Registration Number	72699

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

14163528 - GAU: 3739

PTO/SB/08a (03-15)

Approved for use through 07/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		14163528
Filing Date		2014-01-24
First Named Inventor Hideo		SANAI
Art Unit		3739
Examiner Name J. E. I		Della
Attorney Docket Number		153190

						U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	F	Patent Number	Kind Code ¹	Issue D	)ate	e Name of Patentee or Applicant of cited Document		Relev	s,Columns,Lines where /ant Passages or Relev es Appear	
	1	5	836909	A	1998-11	I-17	Cosmescu				
	2	5	279547	A	1994-01	I-18	Costin				
	3	5	797901	A	1998-08	3-25	Cosmescu				
lf you wisl	h to ac	dd a	dditional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
				U.S.P	ATENT	APPLI				Remove	
Examiner Initial*	Cite	No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ıment	Relev	s,Columns,Lines where /ant Passages or Relev es Appear	
	1		20080167645	A1	2008-07	<b>'</b> -10	Woloszko				
lf you wisl	h to ac	dd a	dditional U.S. Publi	⊥ shed Ap	plication	n citatio	ا n information ہ	please click the Ade	l d butto	on. Add	
					FOREI	GN PAT		IENTS		Remove	
Examiner Initial*	Cite No		reign Document Imber ³	Country Code ²		Kind Code⁴	Publication Date Name of Patented Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	<b>T</b> 5
	1										

EFS Web 2.1.17 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.E.D/

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	14163528	14163528	- GAU:	3/39	
Filing Date	2014-01-24				
First Named Inventor Hideo		SANAI			
Art Unit		3739			
Examiner Name J. E. I		Della			
Attorney Docket Numb	153190				

If you wis	h to ac	dd add	ditional Foreign Patent Document citation in	formation please	click the Add buttor	Add				
			NON-PATENT LITER	ATURE DOCUM	ENTS	Remove				
Examiner Initials*	Cite No	l (book magazine journal serial symposium catalog etc) date pages(s) volume-issue number(s) i 19								
	1	Septe	September 14, 2015 Extended European Search Report issued in European Patent Application No. 13779010.1.							
If you wis	h to ao	dd add	ditional non-patent literature document citati	ion information pl	ease click the Add b	outton Add				
			EXAMINER	SIGNATURE						
Examiner	Signa	ature	/JAYMI E DELLA/		Date Considered	04/20/2016				
			reference considered, whether or not citatic rmance and not considered. Include copy c			-				
Standard ST ⁴ Kind of do	Г.З). ^з F cument	For Japa by the	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP s anese patent documents, the indication of the year of t appropriate symbols as indicated on the document und on is attached.	the reign of the Empe	ror must precede the ser	ial number of the patent doc	cument.			

- and data: 10/12/2015			14163528 - GAU: 3739		
aceipt date: 10/13/2015	Application Number		14163528 - GAU: 5759		
	Filing Date		2014-01-24		
INFORMATION DISCLOSURE	First Named Inventor Hideo		eo SANAI		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3739		
	Examiner Name	J. E. D	Della		
	Attorney Docket Numb	er	153190		

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/B. Graham Nelson/	Date (YYYY-MM-DD)	2015-10-13
Name/Print	B. Graham Nelson	Registration Number	72699

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		14163528
Filing Date		2014-01-24
First Named Inventor Hideo		SANAI
Art Unit		3739
Examiner Name J. E. I		Della
Attorney Docket Number		153190

						U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	P	Patent Number	Kind Code ¹	Issue D	)ate	Name of Patentee of Applicant		Releva		Lines where ges or Relev	
	1	в	920415		2014-12	2-30	Govari					
If you wis	h to ac	ld a	dditional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
				U.S.P	ATENT	APPLIC	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite N	٩o	Publication Number	Kind Code ¹	Publica Date	ition	of cited Decument		Releva		Lines where ges or Relev	
	1		20060258975	A1	2006-11	-16	Takahashi					
If you wis	h to ac	ld a	dditional U.S. Publis	shed Ap	plicatior	n citation	n information p	please click the Ade	d button	Add		
					FOREI	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	ner Cite Foreign Document No Number ³		-	Country Code²İ			Publication Date	Name of Patentee Applicant of cited Document	eor  \  F	where Rel	or Relevant	<b>T</b> 5
	1	102	2138823	CN		A	2011-08-03	Biosense Webster Ltd	srael			×
If you wish to add additional Foreign Patent Document citation information please click the Add button Add									•			
				NON	I-PATE		RATURE DO	CUMENTS		Remove		
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T⁵			

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		14163528		
Filing Date		2014-01-24		
First Named Inventor Hideo		SANAI		
Art Unit		3739		
Examiner Name	J. E. Della			
Attorney Docket Number		153190		

1 Decer	ember 23, 2015 Office Action issued in Chinese Patent Application No. 201380010521.9.	×							
If you wish to add add	ditional non-patent literature document citation information please click the Add button Ad	bt							
EXAMINER SIGNATURE									
Examiner Signature	Date Considered								
	f reference considered, whether or not citation is in conformance with MPEP 609. Draw line ormance and not considered. Include copy of this form with next communication to applican	-							
Standard ST.3). ³ For Japa	TO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two- panese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place ion is attached.	the patent document.							

	Application Number		14163528
	Filing Date		2014-01-24
INFORMATION DISCLOSURE	First Named Inventor	Hideo SANAI	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3739
	Examiner Name	J. E. [	Della
	Attorney Docket Numb	er	153190

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

 $\times$  See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/B. Graham Nelson/	Date (YYYY-MM-DD)	2016-02-17
Name/Print	B. Graham Nelson	Registration Number	72699

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

Attorney Docket No.: 153190

Confirmation No.: 1030

Art Unit: 3739

Examiner: J. E. Della

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08 Form. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits after the filing of a Request for Continued Examination under 37 CFR §1.114. No certification or fee is required.
  - 2. With respect to the following foreign language document:

Foreign Cite No	Abstract Provided	Discussed in	Discussed in	Corresponds to
1		Specification	NPL. cite(s)	U.S. Pat. cite(s) <u>1</u> ; U.S. Pub. cite(s) ; For. cite(s)

3. With respect to Non-Patent Literature Citation No. 1, the partial translation is provided on the document itself.

 $\boxtimes$ 

Respectfully submitted,

Electronic signature: / B. Graham Nelson / James A. Oliff Registration No.: 27,075 B. Graham Nelson Registration No.: 72,699

JAO:BGN/est

Date: February 17, 2016

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

## (19) 中华人民共和国国家知识产权局



# (12)发明专利申请



(10)申请公布号 CN 102138823 A (43)申请公布日 2011.08.03

- (21)申请号 201010615651.3
- (22)申请日 2010.12.16
- (30)优先权数据 12/639096 2009.12.16 US
- (71)申请人 韦伯斯特生物官能(以色列)有限公司

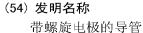
地址 以色列约克尼姆

- (72)发明人 A·戈瓦里
- (74)专利代理机构 中国专利代理(香港)有限公司 72001

代理人 朱海煜 卢江

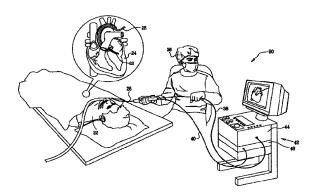
(51) Int.Cl. *A61B 18/12*(2006.01)

权利要求书 2 页 说明书 4 页 附图 5 页



(57) 摘要

本发明提供了一种侵入式探针,所述侵入式 探针包括插入管,所述插入管包括中央腔和远端 部分,所述中央腔用于提供冲洗流体,而所述远 端部分具有多个穿过其中的穿孔,所述穿孔在所 述插入管的所述中央腔和外表面之间提供流体连 通。所述插入管的所述远端部分上装配有至少一 个螺旋电极。



CN 102138823 A

1. 一种侵入式探针,包括:

插入管,所述插入管包括中央腔和远端部分,所述中央腔用于提供冲洗流体,而所述远端部分具有多个穿过其中的穿孔,所述穿孔在所述插入管的所述中央腔和外表面之间提供流体连通;以及

至少一个螺旋电极,装配在所述插入管的所述远端部分上。

2. 根据权利要求1所述的探针,还包括穿过所述管并电连接到所述至少一个螺旋电极的一根或多根线材。

3. 根据权利要求1所述的探针,其中所述至少一个螺旋电极覆盖一些所述穿孔。

 4. 根据权利要求1所述的探针,其中所述至少一个螺旋电极包括多个螺旋电极,所述 多个螺旋电极沿所述远端部分分布。

5. 根据权利要求1所述的探针,其中所述插入管被构造为用于穿过血管插入受试者的 心室,从而让所述至少一个螺旋电极接触所述心脏内的心内膜组织。

6. 根据权利要求1所述的探针,其中所述多个穿孔包括至少8个穿孔。

7. 根据权利要求 6 所述的探针,其中所述多个穿孔包括至少 50 个穿孔。

8. 根据权利要求1所述的探针,其中所述穿孔的直径小于0.5mm。

9. 根据权利要求 8 所述的探针,其中所述穿孔的直径小于 0.2mm。

10. 根据权利要求1所述的探针,其中所述穿孔具有根据所述穿孔相应纵向位置而变化的相应尺寸。

11. 根据权利要求 1 所述的探针,其中所述至少一个螺旋电极包括螺旋缠绕在所述插 入管的所述远端部分周围的线圈。

12. 根据权利要求 1 所述的探针,其中所述至少一个螺旋电极包括沿螺旋图案切割的 管。

13. 医疗设备,包括:

探针,用于插入受试者体内,所述探针包括:

插入管,所述插入管包括中央腔和远端部分,所述远端部分具有多个穿过其中的穿孔, 所述穿孔在所述插入管的所述中央腔和外表面之间提供流体连通;以及

至少一个螺旋电极,装配在所述插入管的所述远端部分上,并且被构造为接触所述身体内的组织;

能量产生器,用于连接到所述探针,以向所述至少一个螺旋电极提供电能;以及

冲洗泵,用于连接到所述中央腔,以经所述中央腔和所述穿孔向所述组织供应冲洗流体。

14. 根据权利要求 13 所述的设备,其中所述能量产生器被连接以向所述至少一个螺旋电极供应电能,以消融所述组织。

15. 根据权利要求 13 所述的设备,其中所述探针被构造为用于穿过血管插入所述受试者的心脏,以消融所述心脏内的心肌组织。

16. 一种处理方法,包括:

将探针插入受试者体内,所述探针包括:

插入管,所述插入管包括中央腔和远端部分,所述远端部分具有多个穿过其中的穿孔, 所述穿孔在所述插入管的所述中央腔和外表面之间提供流体连通;以及

至少一个螺旋电极,装配在所述插入管的所述远端部分上; 将所述至少一个螺旋电极与所述身体内的组织接触;

通过所述至少一个螺旋电极向所述组织施加电能;以及

通过所述中央腔和所述穿孔向所述组织供应冲洗流体。

17. 根据权利要求 16 所述的方法,其中插入所述探针包括将所述探针经血管穿入所述 受试者的心脏,并且其中施加所述电能包括消融所述心脏内的心肌组织。

18. 根据权利要求 16 所述的方法,其中供应所述流体包括冷却所述远端部分和所述组织。

19. 种用丁制备医疗装置的方法,包括:

穿过包括中央腔的插入管的远端部分的外表面形成多个穿孔,从而在所述插入管的所 述中央腔和外表面之间提供流体连通;

在所述插入管的所述远端部分上滑动包含导电材料的至少一个螺旋电极;以及

将所述至少一个螺旋电极固定到所述插入管的所述远端部分的所述外表面上。

20. 根据权利要求 19 所述的方法,还包括将一根或多根线材穿过所述管,并将所述线 材电连接到所述至少一个螺旋电极上。

21. 根据权利要求 19 所述的方法,其中所述至少一个螺旋电极覆盖一些所述穿孔。

22. 根据权利要求 19 所述的方法,其中滑动所述至少一个螺旋电极包括沿所述远端部 分定位多个螺旋电极。

### 带螺旋电极的导管

#### 技术领域

[0001] 本发明整体涉及医疗装置,具体地讲,涉及冷却侵入式探针在身体内所接触的组织的方法。

#### 背景技术

[0002] 在一些医疗程序中,将能量以浓缩剂量局部地施加到身体组织,并且希望将处理 区域冷却以减少附带的组织损伤。

[0003] 例如,使用心脏消融疗法来治疗心律失常,方法为通过用射频(RF)电能加热组织 以在心肌内产生不导电的损伤。已经发现的是,冷却消融位点区域会减少组织焦化和血栓 形成。出于此目的,Biosense Webster Inc. (Diamond Bar, California)提供了作为其集 成式消融系统一部分的ThermoCool[®]冲洗顶端导管。该金属导管顶端以RF电流供能来消 融组织,并且具有若干个用于冲洗处理位点的周边孔,这些孔环绕该顶端周边分布。连接到 导管的泵将盐水溶液输送到导管顶端,并且该溶液在所述过程中经周边孔流出,从而冷却 导管顶端和组织。

### 发明内容

[0004] 下文描述的本发明的实施例提供了用于创伤性医疗手术的冲洗探针(例如用于 RF 消融的冲洗导管),以及制备此类探针的有效方法。

[0005] 因此根据本发明的实施例提供了侵入式探针,该探针包括插入管和远端部分,其中插入管包括用于提供冲洗流体的中央腔,而远端部分具有多个穿过其中的穿孔,这些穿孔在插入管的中央腔和外表面之间提供流体连通。插入管的远端部分上装配有至少一个螺旋电极。

[0006] 通常,探针包括穿过插入管并电连接到上述至少一个螺旋电极的一根或多根线 材。除此之外或作为另外一种选择,该至少一个螺旋电极覆盖一些穿孔。

[0007] 在一个实施例中,该至少一个螺旋电极包括多个螺旋电极,这些电极沿远端部分分布。

[0008] 在本发明所公开的实施例中,插入管被构造为用于穿过血管插入受试者的心室, 从而让该至少一个螺旋电极接触心脏内的心内膜组织。

[0009] 通常,多个穿孔包括至少八个穿孔,并可能包括至少五十个穿孔。穿孔通常具有小于 0.5mm、并可能小于 0.2mm 的直径。穿孔可具有根据其相应纵向位置而变化的相应尺寸。 [0010] 在一个实施例中,该至少一个螺旋电极包括螺旋缠绕在插入管远端部分周围的线圈。在另一个实施例中,该至少一个螺旋电极包括沿螺旋图案切割的管。

[0011] 根据本发明的实施例还提供了包括用于插入受试者体内的探针的医疗设备。该探 针包括插入管和远端部分,其中插入管包括中央腔,而远端部分具有多个穿过其中的穿孔, 这些穿孔在插入管的中央腔和外表面之间提供流体连通;同时,插入管远端部分上装配有 至少一个螺旋电极,并配置为接触体内组织。能量产生器连接到探针,以向上述至少一个螺

旋电极提供电能。冲洗泵连接到中央腔,用来经中央腔和穿孔向组织供应冲洗流体。

[0012] 在本发明所公开的实施例中,连接的能量产生器用于向该至少一个螺旋电极供电,以消融组织。例如,探针可以被构造为用于穿过血管插入受试者的心脏,以消融心脏内的心肌组织。

[0013] 此外,根据本发明的实施例提供了处理方法(包括将探针插入受试者体内)。该探 针包括插入管和远端部分,其中插入管包括中央腔,而远端部分具有多个穿过其中的穿孔, 这些穿孔在插入管的中央腔和外表面之间提供流体连通;同时,插入管远端部分上装配有 至少一个螺旋电极。该至少一个螺旋电极与体内的组织接触。电能通过该至少一个螺旋电 极施加到组织,而冲洗流体经中央腔和穿孔供应到组织。

[0014] 通常,供应冲洗流体是为了冷却远端部分和组织。

[0015] 根据本发明的实施例还提供了制造医疗装置的方法,该方法包括穿过包括中央腔的插入管的远端部分的外表面生成多个穿孔,从而在插入管的中央腔和外表面之间提供流体连通。包含导电材料的至少一个螺旋电极在插入管远端部分上滑动。然后将该至少一个螺旋电极固定到插入管远端部分的外表面上。

[0016] 通过以下结合附图的实施例的详细说明,将更全面地理解本发明:

#### 附图说明

[0017] 图1为根据本发明实施例的用于心脏消融疗法的系统的示意性说明图;

[0018] 图 2 为根据本发明实施例的穿孔导管插入管的示意性侧视图;

[0019] 图 3 为根据本发明实施例的线圈电极的示意性侧视图;

[0020] 图 4 为根据本发明实施例的装配有线圈电极的穿孔导管的远端部分的示意性侧视图;

[0021] 图 5 为图 4 的导管沿 V-V 线截取的示意性剖视图;以及

[0022] 图 6 为根据本发明实施例的装配有线圈电极的环状标测导管的示意性侧视图。

### 具体实施方式

[0023] 在上述 RF 电消融手术中,冲洗消融位点区域可减少组织焦化、血栓形成,以及消 融电极和组织之间的粘合。到目前为止,用于冲洗的方法和装置需要将电极本身穿孔,以 使得冲洗流体可经穿孔由导管进入处理区域。此类穿孔电极和制备穿孔的方法在(例 如)2008 年 7 月 15 提交的美国专利申请 12/173,150 中有所描述,该专利申请转让给本专 利申请的受让人,并且其公开内容以引用方式并入本文中。然而,制备穿孔既浪费时间,成 本也太高,并且可能削弱电极结构。

[0024] 下文描述的本发明的实施例提供了制备具冲洗功能的环形电极的简便而经济的 方法。侵入式探针(例如导管)在其外壁上即将设置环形电极的区域内具有多个穿透外壁 的穿孔。这些穿孔与探针内用于将冲洗流体传输到穿孔的中央腔连通。导电性线圈电极 (该电极通常具有螺旋弹簧的形状和回弹性)在电极日标位置处装配并固定到探针。该线 圈电极连接到穿过探针的一根或多根线材上,这些线材可用于(例如)向线圈提供用于消 融疗法的 RF 电能。虽然设置的线圈电极通常会覆盖探针壁内一些穿孔,但位于线圈匝之间 的间隙内的其他穿孔不会被线圈电极覆盖。操作过程中,从这些开放的穿孔中流出的冲洗

流体可冲洗到整个处理区域。

[0025] 上文所述和随后的附图所示出的设计既方便制造,又成本低廉。该设计不仅具备 穿孔冲洗电极可实现的有益效果,还避免了在电极中形成穿孔将会遇到的困难和高昂的成 本。这种电极结构可用于沿导管或其他结构(例如套索结构)的长度形成多个环形电极。

[0026] 图 1 为根据本发明实施例的用于心脏消融疗法的系统 20 的示意性说明图。操作员 26 将导管 28 穿过血管插入受试者 22 的心脏 24 的腔室内,操纵该导管以使导管的远端 部分 32 接触心内膜待处理的区域。在导管的远端部分穿孔,以允许冲洗处理区域,如下文 所示和所述。然而,在其他方面,系统 20 类似于本领域中已知的用于心脏消融处理的系统 (例如上述 Biosense Webster 系统),并且此类系统的组件可适用丁系统 20。

[0027] 在将导管 28 的远端部分 32 定位于消融位点、并确保远端部分的电极(如下所示)接触该位点的心内膜之后,操作员 26 启动控制台 42 内的射频(RF)能量产生器 44,以通过电缆 38 向电极供应 RF 能。同时,冲洗泵 48 通过管 40 和导管 28 内的中央腔向远端部分供应冷却流体(例如盐水溶液)。可调节 RF 能量产生器和冲洗泵的操作,以在消融过程中提供适当体积的冲洗流体来冷却电极和组织,而不会向心脏加入过多的冲洗流体。远端部分 32 中的温度传感器(图中未示出)可以向控制台 42 提供反馈信息用于控制 RF 能量剂量和 / 或冲洗流体体积。

[0028] 图 2 为根据本发明实施例的导管 28 的插入管 50 的一部分的示意性侧视图。图中 示出了在将电极组装到远端部分 32 上之前的制造阶段的插入管远端部分。管 50 通常包含 合适的生物相容性塑料(例如聚氨酯),并通常具有约 2.3mm 的直径和约 0.15mm 的壁厚。 然而,这些尺寸仅以举例方式给出,根据应用要求可使用更大或更小的尺寸。

[0029] 管 50 远端部分的外表面被多个穿孔 52 穿透,这些穿孔纵向(即沿与导管 28 的纵向轴线平行的方向)和环向(沿围绕轴的周长的方向)分布在远侧顶端的表面上。可通过本领域已知的任何合适方法在管 50 内形成穿孔,例如,在制造管时预先模制穿孔,或者在挤出管之后通过冲孔或钻孔方式(使用激光器或机械装置)在管内形成穿孔。

[0030] 远端部分 32 包括内部贮存器 56,该贮存器由管 50 内的中央腔 58 供应冲洗流体。 穿孔 52 在贮存器 56 和管 50 的外表面之间延伸。在附图所示实施例中,贮存器 56 具有内 表面 54,内表面可通过(例如)在管 50 内装配直径较小的管形成。作为另外一种选择,贮 存器可占据管 50 远侧顶端处的整个内部空间,然后再由邻近远侧顶端的塞子(未示出)封 堵,并连通中央腔 58。可供选择的贮存器构型对于本领域的技术人员将显而易见,并将被视 为在本发明的范围内。

[0031] 通常,管50具有至少8个穿孔,穿孔直径小于0.5mm,这样的结构可在远端部分32的整个区域上同时纵向和环向分配冲洗流体,而不会使流向心脏的冷却流体过量。然而,发明人已发现有利的是在远端部分内具有至少50个穿孔,并且穿孔直径不大于0.2mm、并可能小至约0.1mm。穿孔尺寸可任选地在远侧顶端的整个长度上变化,以补偿压力变化,并确保在整个长度上的流量相等。出于此目的,与更靠近冲洗流体入口的较近侧的穿孔相比,可将顶端最远侧部分和其附近的穿孔制备得稍大。

[0032] 图 3 为根据本发明实施例的线圈电极 60 的示意性侧视图。该电极装配在管 50 上,如下文中的附图所示。电极 60 通常包含有回弹力的生物相容性导电材料,例如金、铂或铱线材,或者这些金属的合金。线圈电极可包括缠绕成螺旋线圈的线材(如图所示),其形状

与卷簧类似。作为另外一种选择,线圈电极可由管制成,通过(例如)激光切割法将该管沿 螺旋图案切开,以形成螺旋形状。线圈电极具有等于或略小于管 50 的外径的内径,以使得 线圈正好贴合到管上。

[0033] 现在参见图 4 和 5,图中示意性地示出根据本发明实施例的导管 28 的远端部分 32,该部分是通过将线圈电极 60 装配到管 50 上而制成的。图 4 为侧视图,而图 5 为沿图 4 的 V-V 线截取的剖视图。将电极 60 滑移到管 50 上的所需位置处,然后采用粘合或其他方式 使电极紧紧固定在管上的该位置处。管 50 内部的一根或多根线材 62 穿透管的外表面(可能穿过其中一个穿孔 52),并且焊接到电极 60 上或以其他方式与电极 60 结合在一起。类似 地,本领域已知的可用于与环形电极建立电连接的任何合适的技术都可以用于此目的。线 材 62 穿过导管 28 的近端,并在这里通过电缆 38 连接到 RF 能量产生器 44(图 1)。

[0034] 由图 4 和 5 可知,当电极 60 紧固到管 50 上时会覆盖一些穿孔(在图 5 中标记为 52B)。然而,足够多的穿孔(标记为 52A)仍保持开放,以对电极接触的区域进行充分的冲洗。此布置方法是有利的,因为这样可回避在管 50 内形成穿孔 52 时、以及将电极 60 设置 到管上时的高位置精度需要。在消融手术过程中,中央腔 58(图 2)将冲洗流体从冲洗泵 48(图 1)输送到贮存器 56。在电极 60 传送 RF 能消融组织的同时,冲洗流体经穿孔 52A 由 管 50 流出进入周围组织。

[0035] 图 6 为根据本发明实施例的装配有线圈电极 76 的环状标测导管 70 的示意性侧视 图。环状标测导管插入管被成形为其轴 72 的远端部分 74 大致呈圆形套索形状。这种套索 形状可用于(例如)在治疗心房纤颤时沿环肺静脉口的路线消融心肌组织。

[0036] 要沿所需路线同时消融多处位置,需将电极 76 分布在远端部分 74 的周围。采用 上述方式将每个电极滑移到所需位置,并紧固和电连接到导管 70 内的线材上。远端部分 74 也可具有用于冲洗的穿孔(图中未示出),就像在导管 28 内的那样。同样,可以沿其他类型 导管的长度、以及在其他类型的管状探针上分布多个线圈电极。

[0037] 虽然上述实施例具体涉及心脏内的 RF 消融处理中使用的导管,但本发明的原理可相似地适用于其他器官和其他类型的诊断治疗过程,尤其是涉及向身体组织施加能量的过程。例如,可以将具有相似类型冲洗顶端的装置用于包括使用微波或超声波加热组织的治疗过程。又如,也可在不对其他类型的导管和管状探针进行冲洗的情况下使用上述类型的线圈电极。

[0038] 因此,应当理解,上述实施例是以举例的方式进行阐述,本发明不仅限于上文所具体示出和描述的内容。并且,本发明的范围包括上述各种特征的组合和子组合、以及本领域技术人员在阅读上述说明书时可能想到的并且现有技术中未公开的变型形式和修改形式。

7

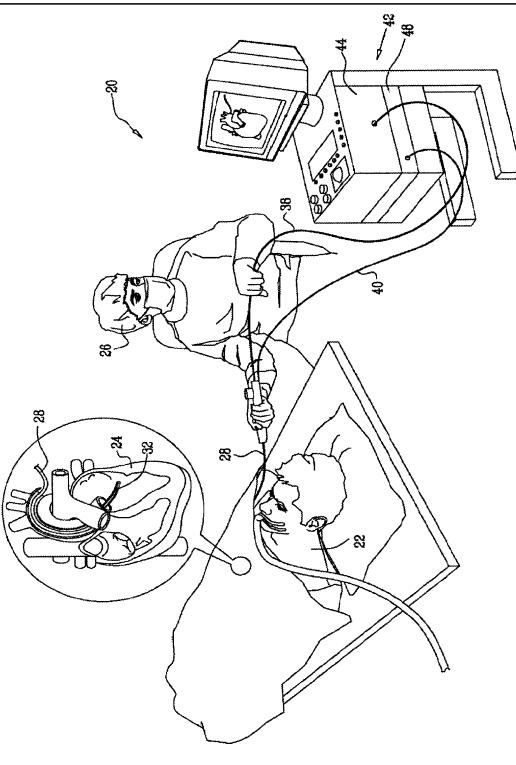


图 1

8

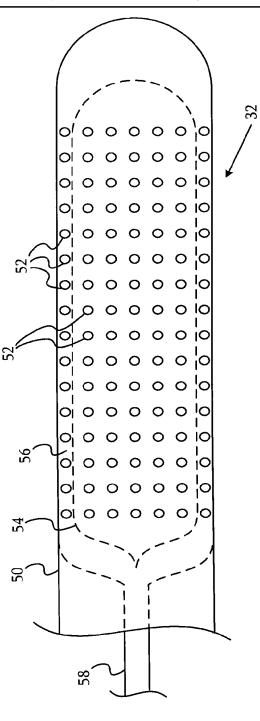
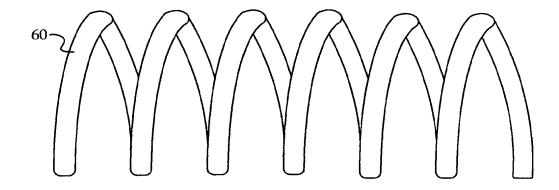
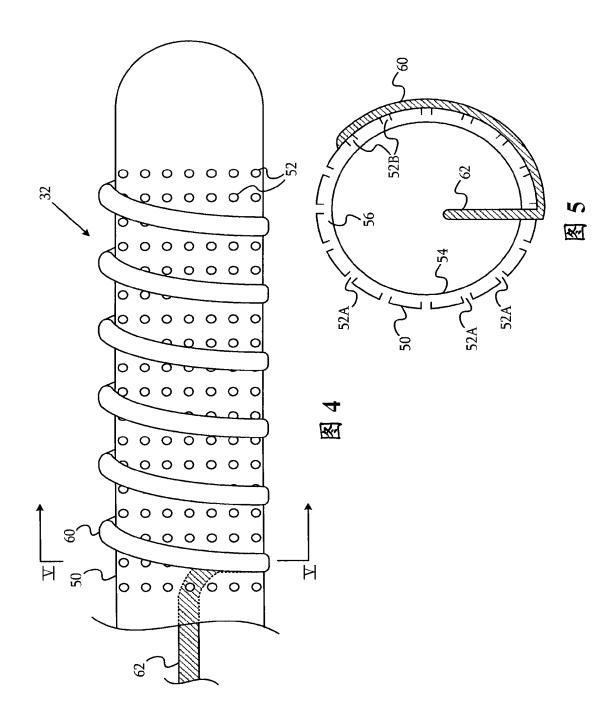


图 2







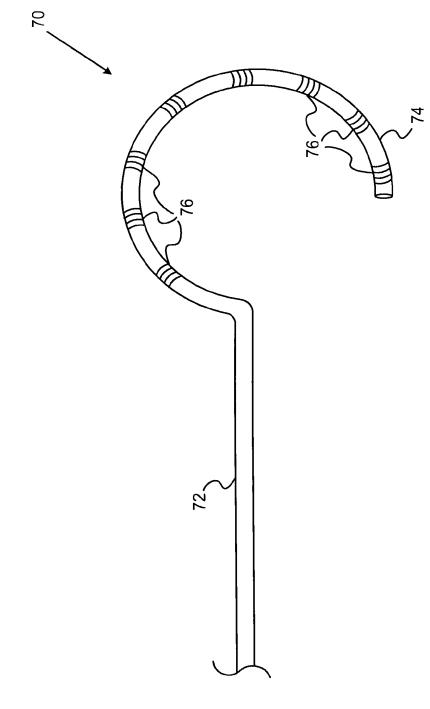


图 6



Espacenet

Bibliographic data: CN102138823 (A) - 2011-08-03

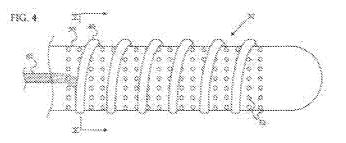
Catheter with helical electrode

Inventor(s):	ASSAF GOVARI $\pm$ (GOVARI ASSAF)				
Applicant(s):	BIOSENSE WEBSTER ISRAEL LTD <u>+</u> (BIOSENSE WEBSTER ISRAEL LTD)				
Classification:	- international:A61B18/12 - cooperative: A61B18/1492; A61B17/320068; A61B18/1815; A61B2018/00011; A61B2018/1407; A61B2018/1435; A61B2018/1861; A61B2218/002				
Application number:	CN20101615651 20101216				
Priority number(s):	<u>US20090639096 20091216</u>				
Also published	EP2335632 (A2) EP2335632 (A3)				

Also published	EP2335632 (A2)	<u>EP2335632 (A3)</u>
as:	EP2335632 (B1)	<u>US2013150847 (A1)</u>
	US9131981 (B2)	more

Abstract of CN102138823 (A)

The present invention provides an invasive probe including an insertion tube containing a lumen for providing an irrigation fluid and a distal



portion having a plurality of perforations therethrough providing fluid communication between the lumen and an outer surface of the insertion tube. At least one helical electrode is fitted over the distal portion of the insertion tube.



# Patent Translate

Powered by EPO and Google

# Notice

This translation is machine-generated. It cannot be guaranteed that it is intelligible, accurate, complete, reliable or fit for specific purposes. Critical decisions, such as commercially relevant or financial decisions, should not be based on machine-translation output.

# DESCRIPTION CN102138823

The present invention provides an invasive probe, the invasive probe includes an insertion tube, said insertion tube includes a central lumen and a distal end portion of said central cavity for supplying flushing fluid, and said distal end portion having a plurality of perforations therethrough, the perforations between the central cavity of the insertion tube and the outer surface to provide fluid communication. At least one helical electrode fitted over the distal portion of the insertion tube.

Catheter with a spiral electrode

TECHNICAL FIELD

The present invention generally relates to medical devices, particularly, it relates to a method of cooling an invasive probe in contact with the body tissue.

Background technique

In some medical procedures, in order to concentrate the energy dose applied topically to body tissue, and you want to deal with district cooling to reduce incidental tissue damage.

For example, cardiac ablation therapy used to treat arrhythmia, method to produce a non-conducting lesions in the myocardium by heating with radio frequency energy (RF) tissue. It has been found that the cooling of the ablation site reduces tissue coking and thrombosis. For this purpose, Biosense Webster Inc. (Diamond Bar, C alifornia) offers as part of its integrated ablation system of the [image] flush tip of the catheter. The metal catheter tip with RF current to ablate the tissue energized, and having a plurality of processing sites for flushing peripheral holes which surround the periphery of the top of the. C onnected to the catheter delivery pump saline solution to the catheter tip, and the solution flows out through the holes in the periphery of the process, so as to cool the catheter tip and the tissue.

#### SUMMARY

Example embodiments of the present invention described below provides a flush probes for invasive medical procedure (e.g. irrigated catheter for RF ablation), as well as an effective method for preparing such probes.

Thus provided according to an embodiment of the present invention the invasive probe, the probe includes an insertion tube and a distal portion, wherein the insertion tube includes means for providing irrigation fluid lumen, and the distal end portion having a plurality of perforations therethrough, perforations provided between the insertion tube and the outer surface of the lumen in fluid communication. Equipped with at least one helical electrode on the distal portion of the insertion tube.

Generally, a probe is inserted through the tube and including electrically connected to said at least one helical electrode of one or more wires. Additionally or alternatively, the at least one helical electrode covers some of the perforations.

In one embodiment, the at least one helical electrode comprises a plurality of helical electrodes which are distributed along the distal portion.

In the disclosed embodiment, the insertion tube is configured for insertion through a blood vessel subject ventricle, allowing the endocardial tissue of the at least one helical electrode contact within the heart.

Typically, a plurality of perforations comprises at least eight perforations and may include at least fifty perforations. Perforation generally less than 0.5mm, and may be less than 0.2mm diameter. Perforations may have respective longitudinal positions according to their respective sizes that vary.

17-02-2016 2

In one embodiment, the at least one helical electrode comprises a helical coil wound around the distal portion of the insertion tube. In another embodiment, the at least one helical electrode includes a tube cut along a spiral pattern.

According to an embodiment of the present invention also provides a medical device comprising a probe inserted into the body of a subject. The probe includes an insertion tube and a distal portion, wherein the insertion tube includes a central lumen, and the distal end portion having a plurality of perforations therethrough, the perforations providing fluid communication between the lumen of the insertion tube and an outer surface; and, At least one helical electrode fitted on the distal end portion of the insertion tube and configured to contact the body tissue. Energy generator connected to the probe, to provide electrical power to said at least one helical electrode. Flush pump is connected to the central chamber to the central chamber and pierced by irrigation fluid supply to the tissue.

In the disclosed embodiment, the connection of the energy generating at least one helical electrode to the power supply is used to ablate the tissue. For example, the probe may be configured for insertion through a blood vessel into a heart of the subject, to ablate myocardial tissue within the heart.

Further, according to an embodiment of the present invention provides a method of treatment (including inserting a probe into a subject). The probe includes an insertion tube and a distal portion, wherein the insertion tube includes a central lumen, and the distal end portion having a plurality of perforations therethrough, the perforations providing fluid communication between the lumen of the insertion tube and an outer surface; and, At least one helical electrode fitted on the distal end portion of the insertion tube. The at least one helical electrode in contact with the body tissue. Power by at least one helical electrode is applied to the tissue, and rinse fluid supply by the central chamber and perforations to the tissue.

Typically, the supply of flushing fluid is cooled to a distal portion and organizations.

A ccording to an embodiment of the present invention between the further provides a method of manufacturing a medical device, the method comprising passing through the distal end portion including an outer surface of the insertion tube lumen generating a plurality of perforations, whereby the insertion tube lumen and an outer surface It provides fluid communication. Including a conductive material in the at least one helical electrode is slidably inserted into the distal portion of the tube. The at least one helical electrode is then affixed to the outer surface of the distal end of the insertion tube portion.

The following detailed description of embodiments of the drawings, the present invention will be more fully understood:

BRIEF DESCRIPTION

Figure 1 is an embodiment of the present invention for cardiac ablation therapy system schematic illustrating;

Figure 2 is an embodiment of a catheter according to the perforation of the insertion tube of the present invention is a schematic side view;

Figure 3 is a schematic side view of a coil electrode according to the embodiment of the present invention;

Figure 4 is an embodiment of the present invention is equipped with a coil electrode schematic side view of the distal end portion of the piercing catheter;

Figure 5 is a sectional view of the catheter along line V-V of Figure 4 taken schematic; and

Figure 6 is an annular coil electrode mapping catheter schematic side view of the assembly according to an embodiment of the present invention.

detailed description

In the RF power ablation surgery, rinse the ablation site reduces tissue coking, thrombosis, and the ablation electrode and the tissue adhesive. So far, a method and apparatus for flushing the electrode itself needs to be perforated, such that irrigation fluid may be perforated by a duct into the processing area. Such hole electrodes and methods of making perforations in (for example) U.S. Patent submitted by 15 July 2008 Application 12 / 173, 150 is described in the patent application assigned to the assignee of the present patent application, and whose disclosure reference incorporated herein. However, the preparation of perforation is a waste of time, cost too much, and may weaken the electrode structure.

The embodiments described below, the present invention provides a ring-shaped electrode prepared with the cleansing function of a simple and economical method. Invasive probe (e.g. catheter) having a plurality of perforations through an outer wall provided in the outer wall of the ring electrode is about the area. The perforations within a probe for transmitting flushing fluid to the perforated central cavity in communication. C onductive coil electrode (the electrode usually has the shape of a spiral spring and resilience) is fitted and secured to the probe at the location of the target electrode. The coil electrode is connected to the probe through one or more wires, these wires can be used (for example) to provide RF power to the coil for ablation therapy. Although the coil electrode disposed generally covers the inner wall of the probe perforations, other perforation gap between the turns of the coil within the coil will not be covered electrode. D uring the operation, the outflow from these open perforations flushing fluid can rinse the entire processing area.

Illustrated convenient design described above and the subsequent manufacturing drawings, and low cost. This design not only has perforations rinse the electrode can be achieved beneficial effects, but also to avoid the high cost and difficulty in forming perforations will encounter in the electrode. Such an electrode structure can be formed for a plurality of ring electrodes along the catheter or other structures (e.g., lasso structure) in length.

Figure 1 is a system for cardiac ablation therapy, according to an embodiment of the present invention is a schematic illustration of FIG. 20. The operator of the catheter 26 inserted into the vessel 28 through the heart of the subject 22 of the chamber 24, the manipulation of the catheter to the distal end of the catheter contacts the endocardium region portion 32 to be treated. The distal end of the catheter is perforated to allow the rinse treatment area, as shown and described below. H owever, in other respects, it is similar to the system 20 are known in the art for cardiac ablation system for treating (e.g., the above-mentioned Biosense W ebster system), and the components of such systems can be applied to the system 20.

After the distal end portion 32 of catheter 28 positioned at the ablation site, and ensuring that the distal end portion of the electrode (as shown) contacting the endocardial site, operator 26 actuates a radio frequency (RF) within console 42 energy generator 44 through cable 38 to the electrode can supply RF. Meanwhile, rinse the pump 48 through the pipe 40 and 28 within the central lumen catheter to the distal part of the supply of cooling fluid (such as saline solution). A djustable RF energy generator and the flushing operation of the pump, in order to provide the appropriate volume of irrigation fluid during ablation electrode and the tissue to cool without adding too much to the heart of the flushing fluid. The distal end portion 32 a temperature sensor (not shown) can provide feedback to console 42 for controlling the RF energy dosage and / or flushing fluid volume.

Figure 2 is a catheter according to an embodiment of the present invention, a portion of the insertion tube 50 is a schematic side view of the 28. It is shown in the distal portion of the insertion tube to the distal end portion of the electrode assembly 32 before the manufacturing stage. T ube 50 typically comprises a suitable biocompatible plastic (e.g. polyurethane), and generally having a wall thickness of about 2.3mm and about 0.15mm in diameter.

However, these dimensions are given by way of example only, depending on the application requirements can be larger or smaller size.

The distal end portion of the outer surface of the tube 50 is a plurality of perforations 52 penetrate these perforations longitudinally (i.e. along the longitudinal axis of the catheter 28 in a direction parallel) and circumferentially (along a direction of the circumference around the axis) distributed in the distal tip on the surface. Perforations may be formed by any suitable method known in the art in conduit 50, e.g., pre-molded perforated tube during manufacture, or by punching or drilling (using a laser or mechanical means) in the inner tube in the tube after extrusion forming perforation.

The distal end portion 32 includes an internal reservoir 56, the reservoir chamber 58 from the central tube 50 of the supply flushing fluid. Perforations 52 between the reservoir 56 and the outer surface of the tube 50 extends. In the embodiment shown in the drawings, the reservoir 56 has an inner surface 54, the inner surface can be (e.g.) in a small diameter tube 50 in the mounting tube formation. Alternatively, the reservoir may occupy the entire interior space of the tube 50 at the distal tip, and then near the distal tip plug (not shown) block, and communicating the central chamber 58. Alternative reservoir configurations skilled in the art will be apparent, and will be considered within the scope of the present invention.

Typically, the tube 50 has at least eight perforations diameter of less than 0.5mm, such a configuration can be simultaneously longitudinal and circumferential distribution of flushing fluid in the entire area of  $\Box$  the distal end portion 32 without causing excessive cooling fluid flow to the heart. However, the inventors have found that it is advantageous to have at least fifty perforations in the distal portion and the perforation diameter not larger than 0.2mm, and may be as small as about 0.1mm. Size of the perforations may optionally be varied over the entire length of the distal tip to compensate for pressure variation and ensure that the flow is equal over the entire length. For this purpose, compared with the flushing fluid inlet is closer to the proximal side of the perforation, it may be the most distal tip portion and the vicinity thereof prepared slightly greater than the perforations.

Figure 3 is a schematic side view of a coil electrode 60 according to the embodiment of the present invention. The electrode is mounted on the tube 50, as shown in the drawings below. Electrode 60 typically comprises a resilient biocompatible conductive material, such as gold, platinum or iridium wire, or an alloy of these metals. C oil electrode may comprise a wire wound helical coil (as shown), which is similar to the shape of the coil spring. Alternatively, the coil electrode may be controlled to, by (e.g.) a laser cutting method to cut the tube along a spiral pattern to form a spiral shape. C oil electrode has an inner diameter equal to or slightly smaller than the outer diameter of tube 50, so that the winding up fit to the tube.

Referring now to Figures 4 and 5, which schematically illustrates the distal end of a catheter according to an

embodiment of the present invention, 28 parts of 32, which is formed by the coil electrode portion 60 is fitted to the tube 50 made. Figure 4 is a side view, and FIG. 5 is a sectional view taken along line V-V of Figure 4 taken. The electrode 60 is slipped to the desired position on the tube 50, and then using an adhesive or other means firmly fixed to the electrode at a position on the tube. Inside the tube 50 one or more wires 62 penetrate the outer surface of the tube (possibly through one of perforations 52), and the upper electrode 60 is welded to or otherwise combined with the electrode 60 together. Similarly, known in the art may be used to establish a ring electrode electrically connected to any suitable technique may be used for this purpose. The proximal end of the wire 62 through the catheter 28, and is connected via cable 38 where the RF energy generator 44 (FIG. 1).

As shown in Figures 4 and 5, when the electrode 60 is fastened to the tube 50 will cover a number of perforations (labeled in Figure 5 as 52B). However, a sufficient number of perforations (labeled 52A) remains open to contact with the electrode area of  $\Box$  the full flush. This method is advantageous arrangement, because it can be avoided when the perforations 52 are formed in the inner tube 50, and the electrode 60 is set to the high accuracy position on the tube when required. In the ablation procedure, the central cavity 58 (FIG. 2) Rinse the flushing fluid from the pump 48 (FIG. 1) to the reservoir 56. Electrode 60 can transmit RF ablation of tissue at the same time, the flushing fluid through the perforations 52A by the outflow pipe 50 into the surrounding tissue.

Figure 6 is assembled according to an embodiment of the present invention, the coil electrode lasso 70 a schematic side view 76. Lasso catheter tube is inserted into the distal end of the shaft portion 74 for forming a substantially circular 72 lasso shape. This lasso shape can be used (for example) in the treatment of atrial fibrillation ablation of cardiac tissue along the route circumferential pulmonary vein ostium.

To simultaneously route multiple locations along a desired ablation electrode 76 need to be distributed around the distal end portion 74. With the above manner will slip each electrode to the desired position, and tighten the wire and electrically connected to the conduit 70 inside. The distal end portion 74 may also have perforations (not shown), as in the inside of the catheter 28 for flushing. Similarly, along the length of the other types of catheters, as well as the distribution of the plurality of coil electrodes on other types of tubular probes.

Although the above embodiments relate specifically catheter RF ablation treatment within the heart using, the principles of the present invention can be similarly applied to other organs and other types of diagnosis and treatment process, especially process involves applying energy to the body tissue. For example, the top of a similar type of apparatus for washing treatment comprising the use of a microwave or ultrasonic tissue heating. Another example, of the type described above may also be used in the coil electrode not other types of catheters and tubular probes washing conditions.

Accordingly, it should be understood that the embodiments described above are cited by way of elaboration, the

present invention is not limited to the above-described and illustrated specific content. Further, the scope of the present invention includes both combinations and sub-combinations of the various features, as well as variations and modifications thereof skilled in the art upon reading the foregoing description may think and are not disclosed in the prior art.



# Patent Translate

Powered by EPO and Google

# Notice

This translation is machine-generated. It cannot be guaranteed that it is intelligible, accurate, complete, reliable or fit for specific purposes. Critical decisions, such as commercially relevant or financial decisions, should not be based on machine-translation output.

## CLAIMS CN102138823

1.

1. An invasive probe, comprising: Insertion tube, said insertion tube includes a central lumen and a distal end portion of said central cavity for supplying flushing fluid, and the distal end portion having a plurality of perforations therethrough, the perforations in said insertion tube between the central lumen and said outer surface to provide fluid communication; and At least one helical electrode fitted in the distal portion of the insertion tube on.

2.

2. The probe according to claim 1, further comprising said tube and electrically connected through said at least one helical electrode to the one or more wires.

3.

3. The probe according to claim 1, wherein said at least one helical electrode covers some of the perforations.

4. The probe according to claim 1, wherein said at least one helical electrode comprises a plurality of spiral electrodes, the plurality of electrodes along the distal portion of the helical profile.

5.

5. The probe according to claim 1, wherein the insertion tube is configured for insertion through a blood vessel into a subject ventricle, so that at least one helical electrode in contact with the endocardial tissue within the heart.

6.

6. The probe according to claim 1, wherein said plurality of perforations comprises at least eight perforations.

7.

7. The probe according to claim 6, wherein said plurality of perforations comprises at least fifty perforations.

#### 8.

8. The probe according to claim 1, wherein the diameter of the perforation is less than 0.5mm.

#### 9.

9. The probe according to claim 8, wherein the perforations diameter of less than 0.2mm.

10.

10. The probe according to claim 1, wherein said perforations having perforations according to the respective longitudinal locations of the respective sizes that vary.

11.

11. The probe according to claim 1, wherein said at least one helical electrode comprises a helical coil wound around the distal portion of the insertion tube.

12.

12. The probe according to claim 1, wherein said at least one helical electrode includes a tube cut along a spiral pattern.

13.

13. Medical devices, including: Probe, for insertion into a body of a subject, the probe comprising: Insertion tube, said insertion tube includes a central lumen and a distal portion, said distal end portion having a plurality of perforations therethrough, the perforations providing fluid communication between the central lumen and an outer surface of the insertion tube; as well as At least one helical electrode fitted over the distal portion of the insertion tube and is configured to contact the body's tissues; Energy generator, for connection to the probe, to provide electrical power to said at least one helical electrode; and Flush the pump for connection to the central chamber and through the perforations flushing fluid supply to the tissue.

14.

14. The apparatus according to claim 13, wherein said energy generator is connected to said at least one helical electrode to supply electric power to ablate the tissue.

15.

Fifteen. The apparatus according to claim 13, wherein said probe is configured for insertion through a blood vessel into a heart of the subject, to ablate the myocardial tissue within the heart.

16.

16. A method of processing method, comprising: Inserting a probe into a subject, the probe comprising: Insertion tube, said insertion tube includes a central lumen and a distal portion, said distal end portion having a plurality of perforations therethrough, the perforations providing fluid communication between the central lumen and an outer surface of the insertion tube ;as well as At least one helical electrode fitted over the distal portion of the insertion tube; Said at least one helical electrode contact with the tissue within the body; A pplying at least one helical electrode to the tissue by the energy; and Flushing fluid supply to the tissue through the central chamber and the perforation.

17.

17. The method according to claim 16, wherein said probe comprises inserting the probe through the blood vessels to penetrate the heart of the subject, and wherein applying the electrical energy comprises the myocardial tissue ablation within the heart.

18.

18. The method according to claim 16, wherein said fluid supply portion and said distal end comprises cooling the tissue.

19.

19. A method for preparing a medical device, comprising: Through the distal end portion including an outer surface of the insertion tube lumen forming a plurality of perforations to provide fluid communication between the lumen of the insertion tube and an outer surface; On the distal portion of the insertion tube contains a sliding electrically conductive material is at least one helical electrode; and T he at least one helical electrode is fixed to the outer surface of the distal end of the insertion tube portion.

20. The method of claim 19, further comprising one or more wires through the tube, the wire and electrically connected to at least one of said helical electrode.

21.

twenty one. The method according to claim 19, wherein said at least one helical electrode covers some of the perforations.

twenty two. The method according to claim 19, wherein said slide comprises at least one helical electrode positioned along the distal portion of a plurality of spiral electrodes.

Electronic Ac	Electronic Acknowledgement Receipt				
EFS ID:	24942960				
Application Number:	14163528				
International Application Number:					
Confirmation Number:	1030				
Title of Invention:	SURGICAL DEVICE				
First Named Inventor/Applicant Name:	Hideo SANAI				
Customer Number:	25944				
Filer:	James Albert Oliff/Bess Telle				
Filer Authorized By:	James Albert Oliff				
Attorney Docket Number:	153190				
Receipt Date:	17-FEB-2016				
Filing Date:	24-JAN-2014				
Time Stamp:	18:32:41				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted wit	h Payment		no				
File Listing	<b>j:</b>						
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Non Patent Literature	tio	cember_23_2015_Office_Ac n_issued_in_Chinese_Patent	555282	no	4	
			oplication_No_20138001052 19.PDF	dbac01932e81fe917e9b40c55c0386e1d8a e48af			
Warnings:							
Information:							

2	Information Disclosure Statement (IDS)	Information_Disclosure_State	1034948 no		4			
-	Form (SB08)	ment_Fillable_PDF.pdf	40d515dcdce2880766aa42ebf97487968ec be9c8		· ·			
Warnings:								
Information:								
3	Transmittal Letter	Information_Disclosure_State	21960	no	2			
		ment.pdf	cf49323e80259b749cb22efb74f94f539076 0a72					
Warnings:								
Information:								
4	Foreign Reference	CN102138823A.pdf	552584	no	12			
	roleign helenee		48721f93deeded79d08c6325ce4476a9ed3 dbbbc					
Warnings:								
Information:								
5	Foreign Reference	Translation_of_CN102138823A.	130434	no	14			
5	pdf 1dc052c542cf4c397c479b8d7785e6baf452 389e							
Warnings:								
Information:								
		Total Files Size (in bytes)	: 20	75208				
characterized Post Card, as o <u>New Applicati</u> If a new applic 1.53(b)-(d) and Acknowledge <u>National Stag</u> If a timely sub U.S.C. 371 and national stage <u>New Internati</u> If a new intern an internation	edgement Receipt evidences receipt by the applicant, and including pag described in MPEP 503. ions Under 35 U.S.C. 111 cation is being filed and the applicat d MPEP 506), a Filing Receipt (37 CF ment Receipt will establish the filing <u>e of an International Application un</u> omission to enter the national stage d other applicable requirements a Fo e submission under 35 U.S.C. 371 wi <u>onal Application Filed with the USP</u> national application is being filed an nal filing date (see PCT Article 11 and ernational Filing Date (Form PCT/RC	tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>der 35 U.S.C. 371</u> of an international application orm PCT/DO/EO/903 indication II be issued in addition to the <u>TO as a Receiving Office</u> ad the international applicat d MPEP 1810), a Notification	It serves as evidence components for a filin course and the date s fon is compliant with ng acceptance of the e Filing Receipt, in du ion includes the nece of the International	of receipt s og date (see shown on th the condition application e course. ssary comp Application	imilar to a 37 CFR is ons of 35 h as a onents for			
	rity, and the date shown on this Ack n.		establish the internat	-	-			

UNITED STA	ates Patent and Tradem	UNITED STA United States Address: COMMI PO. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/163,528	01/24/2014	Hideo SANAI	153190
25944 OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-	4850		CONFIRMATION NO. 1030 EPTANCE LETTER

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/28/2015.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/hsarwari/

	S DEPARTMENT OF COMMERCE atent and Trademark Office IONER FOR PATENTS 0 infignia 22313-1450 w				
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
14/163,528	01/24/2014	3739	1740	153190	7 1
14/163,528 01/24/2014 3739 1740 25944 OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-4850				CORRECT	CONFIRMATION NO. 1030 ED FILING RECEIPT

Date Mailed: 11/03/2015

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Hideo SANAI, Hachioji-shi, JAPAN;

Applicant(s)

OLYMPUS CORPORATION, Tokyo, JAPAN;

Assignment For Published Patent Application OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number <u>25944</u>

#### Domestic Priority data as claimed by applicant

This application is a CON of PCT/JP2013/060447 04/05/2013 which claims benefit of 61/636,269 04/20/2012

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 02/07/2014 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 14/163,528 Projected Publication Date: Not Applicable Non-Publication Request: No Early Publication Request: No Title

#### SURGICAL DEVICE

#### **Preliminary Class**

606

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

Doc Code: PA.. Document Description: Power of Attorney

PTO/AIA/82B (07-13)

Description: Power of Attorney U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unters it displays a valid OMB control number

#### **POWER OF ATTORNEY BY APPLICANT**

to transact all business in the United States Patent and Trademark Office connected therewith for the application reference the attached transmittal letter (form PTO/AIA/82A) or identified above: OR I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to t all business in the United States Patent and Trademark Office connected therewith for the patent application referenced i attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)	iced in transact					
<ul> <li>I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent to transact all business in the United States Patent and Trademark Office connected therewith for the application reference the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>OR</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to t all business in the United States Patent and Trademark Office connected therewith for the patent application referenced i attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)</li> <li>Please recognize or change the correspondence address for the application identified in the attached transmitel letter or the boxes above to:</li> <li>OR</li> <li>The address associated with the above-mentioned Customer Number</li> <li>OR</li> <li>The address associated with Customer Number:</li> <li>OR</li> <li>Firm or</li> </ul>	transact					
<ul> <li>I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent to transact all business in the United States Patent and Trademark Office connected therewith for the application reference the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>OR</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to t all business in the United States Patent and Trademark Office connected therewith for the patent application referenced i attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)</li> <li>Please recognize or change the correspondence address for the application identified in the attached transmitel letter or the boxes above to:</li> <li>OR</li> <li>The address associated with the above-mentioned Customer Number</li> <li>OR</li> <li>The address associated with Customer Number:</li> <li>OR</li> <li>Firm or</li> </ul>	iced in transact					
<ul> <li>I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent to transact all business in the United States Patent and Trademark Office connected therewith for the application reference the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>OR</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to tall business in the United States Patent and Trademark Office connected therewith for the patent application referenced is attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to tall business in the United States Patent and Trademark Office connected therewith for the patent application referenced is attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)</li> <li>Please recognize or change the correspondence address for the application identified in the attached transmiteletter or the boxes above to:</li> <li>I he address associated with the above-mentioned Customer Number</li> <li>OR</li> <li>The address associated with Customer Number:</li> <li>OR</li> <li>Firm or</li> </ul>	iced in transact					
attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)         Please recognize or change the correspondence address for the application identified in the attached transmister or the boxes above to:         Image: The address associated with the above-mentioned Customer Number         OR         Image: The address associated with Customer Number:         OR         Firm or	<ul> <li>I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>OR</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact</li> </ul>					
Please recognize or change the correspondence address for the application identified in the attached transminister or the boxes above to:         Image: Im	in the					
	The address associated with the above-mentioned Customer Number OR The address associated with Customer Number:					
Address						
City State Zip						
Country						
Telephone Email	- <u>-</u>					
I am the Applicant (If the Applicant is a juristic entity, list the Applicant name in the box):						
OLYMPUS CORPORATION						
Inventor or Joint Inventor (title not required below)						
Legel Representative of a Deceased or Legally Incapacitated Inventor (title not required below)						
Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)						
Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)						
Signature of Applicant for Patent						
The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic en	ntity).					
Signature Date (Optional)						
Name Mitsugu Sakai 🖌						
Title General Manager of Intellectual Property Planning Department in Intellectual Property & Licensing Division of Olympus (						
NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature require and certifications. If more then one applicant, use multiple forms.						
Total of forms are submitted.						

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Annlingtion De	te Cheet 27 CED 4 76	Attorney Docket Number	15319	0		
Application Data Sheet 37 CFR 1.76		Application Number	14	163	528	
Title of Invention	SURGICAL DEVICE					
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the						

document may be printed and included in a paper filed application.

#### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

#### **Inventor Information:**

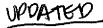
Invent	or 1					Remove	
Legal 1	Name						
Prefix	Given Name		Middle Name		Family	y Name	Suffix
	Hideo				SANAI		
Resid	ence Information	n (Select One) 🔿	US Residency	Non US I	Residency	Active US Military Service	e
City	Hachioji-shi		Country of Resi	dence i		JP	
-	Address of Inve	· · · · · · · · · · · · · · · · · · ·					
Addre	ss 1	c/o OLYMPUS I.	P. SERVICES CO., L	TD.			
Addre	ss 2	I.P. Support Dept	., 2-3 Kuboyama-cho				
City	Hachioji-shi	i, Tokyo		State/P	ovince		
Postal	Code	192-8512	Co	ountry i	JP		
		Listed - Additiona m by selecting the	al Inventor Informa Add button.	ation block	s may be	Add	

#### **Correspondence Information:**

Enter either Customer For further information	Number or complete the Correspondence n see 37 CFR 1.33(a).	ce Information section below.
An Address is bei	ng provided for the correspondence Info	ormation of this application.
Customer Number	25944	
Email Address	email@oliff.com	Add Email Remove Email

#### **Application Information:**

Title of the Invention	SURGICAL DEVIC	Έ	
Attorney Docket Number	153190		Small Entity Status Claimed
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing	Sheets (if any)	7	Suggested Figure for Publication (if any)



PTO/AIA/14 (03-13)

Approved for use through 01/31/2014, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFK 1.76     Application Number     14 1.63, 528       Title of Invention     SURGICAL DEVICE     SURGICAL DEVICE	Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190			
Title of Invention SURGICAL DEVICE			Application Number	14	163	528	
	Title of Invention	SURGICAL DEVICE					

#### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<b>Request Not to Publish.</b> Thereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

#### **Representative Information:**

 Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).

 Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

 Please Select One:

 O Customer Number

 O US Patent Practitioner

 Limited Recognition (37 CFR 11.9)
 Customer Number

#### Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Continuation of	PCT/JP2013/060447	2013-04-05
Prior Application Status	Expired		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/JP2013/060447	non provisional of	61/636,269	2012-04-20

by selecting the **Add** button.

#### **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

### UPDATED

PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless It contains a valid OMB control number,

Application Data Sheat 27 CEP 1 76		Attorney Docket Number	153190	
Application Data Sheet 37 CFR 1.76			Application Number	14/163,528
Title of Invention SURGICAL DEVICE				
Application Nu	mber	Country ⁱ	Filing Date (YYYY	Remove -MM-DD) Access Code ⁱ (if applicable)
Additional Foreign Add button.	Priority Data	may be gener	ated within this form by sele	ecting the

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

#### Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date o f filing this Authorization.

#### **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

UDDATED

PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190		
		Application Number	14/163	528	
Title of Invention	SURGICAL DE	CAL DEVICE			
Applicant 1					· · · · · · · · · · · · · · · · · · ·
The information to be   1.43; or the name and who otherwise shows applicant under 37 CF	provided in this s address of the a sufficient propriet R 1,46 (assignee gether with one o	ection is the na ssignee, perso ary interest in , person to wh		presentative who an obligation to under 37 CFR 1. assign, or person	is the applicant under 37 CFR assign the invention, or person
Assignee		🔿 Legal R	epresentative under 35 U.S.C.	117 🔘	Joint Inventor
O Person to whom th	e inventor is oblig	ated to assign.	O Person	who shows suffi	cient proprietary interest
If applicant is the leg	al representati	ve, indicate th	he authority to file the patent	application, the	inventor is:
			<u></u>		<u> </u>
Name of the Decea	sed or Legally I	ncapacitated	Inventor :		
If the Applicant is a	n Organization	check here.	$\boxtimes$		
Organization Name			STEMS CORP. OLYM	PUS CORI	PORATION
Mailing Address I	nformation Fo	r Applicant:			
Address 1 43-2, Hatagaya 2-ch			ome, Shibuya-ku		
Address 2					· ·
City Tokyo		State/Provi	nce		
Country JP		Postal Code			
Phone Number		Fax Number			
Email Address				<u></u>	<u></u>
Additional Applicant	Data may be g	enerated with	nin this form by selecting the	Add button.	

#### Non-Applicant Assignee Information:

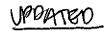
Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### Assignee 1

Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).

 $\boxtimes$ 

If the Assignee is an Organization check here.



PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

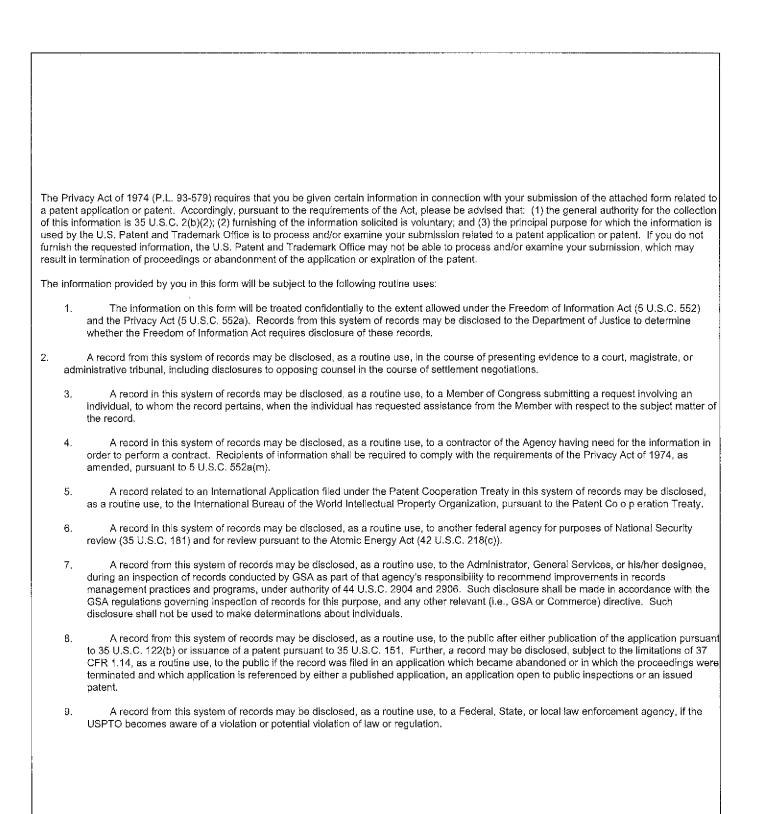
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190	
		Application Number	14/163,528	
Title of Invention	SURGICAL DEVICE			
Organization Name	e			
Mailing Address I	nformation	For Non-Applic	ant Assignee:	
Address 1				
Address 2				
City			State/Provi	nce
Country ⁱ			Postal Code	)
Phone Number		Fax Number	r	
Email Address				
Additional Assignee	ə Data may	be generated wit	nin this form by selecting the	Add button.
Signature:				

NOTE: This certifications	•	l in accordance	e with 37 CFR 1.33. See	37 CFR 1.4 for signature re	quirements	and
Signature	576	S	-	Date (YYYY-MM-DD)	2015/11	028
First Name	B. graham	Last Name	Nelson	Registration Number	72,6	99 19
Additional S	v ignature may be gen	erated within th	his form by selecting the	Add button.	•	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

#### **Privacy Act Statement**



United States Patent and Trademark			and Trademar	UNITED STATE United States P Address: COMMISS P.O. Box 145	ingania 22010-1450
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS
14/163,528	01/24/2014		1740	153190	7 1
				(	CONFIRMATION NO. 1030
25944				UPDATED	FILING RECEIPT
OLIFF PLC P.O. BOX 320 ALEXANDRIA		50			C000000067492070*
					Date Mailed: 04/03/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)	Lides CANAL Lloopini shi LADANI
Applicant(s)	Hideo SANAI, Hachioji-shi, JAPAN;
	OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN
	Published Patent Application
	OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN
Power of Attorne	y: The patent practitioners associated with Customer Number <u>25944</u>

Domestic Priority data as claimed by applicant This application is a CON of PCT/JP2013/060447 04/05/2013

which claims benefit of 61/636,269 04/20/2012

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 02/07/2014 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 14/163,528 Projected Publication Date: 07/10/2014 Non-Publication Request: No

page 1 of 3

Early Publication Request: No Title

SURGICAL DEVICE

#### **Preliminary Class**

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Attn: OIPE

Group Art Unit: 3739

Docket No.: 153190

Filed: January 24, 2014

For: SURGICAL DEVICE

#### REQUEST TO UPDATE NAME OF APPLICANT AND REQUEST FOR CORRECTION OF PALM RECORDS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached is an Updated Application Data Sheet and marked-up original filing receipt updating the name and address of the Applicant.

In compliance with 37 CFR §3.73(c), the undersigned hereby states that OLYMPUS CORPORATION is the assignee of the entire right, title, and interest in the patent application identified above by virtue of assignment from the inventors or previous owner(s) of the patent application identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 036276, Frame 0543.

The undersigned is authorized to act on behalf of the assignee by virtue of the Power of Attorney submitted herewith. In accordance with 37 CFR §1.36(a), submission of the Power of Attorney revokes any powers of attorney previously given.

Entry of these documents should satisfy the requirements set forth under 37 CFR §3.73(c) to update the name of the Applicant. Therefore, prompt issuance of an Official Filing Receipt bearing the updated name of the Applicant is respectfully solicited.

Respectfully submitted,

/ B. Graham Nelson /

James A. Oliff Registration No. 27,075

B. Graham Nelson Registration No. 72,699

JAO:BGN/est

Date: October 28, 2015

Attachments:

Updated Application Data Sheet Marked-up Filing Receipt General Power of Attorney

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	23918978					
Application Number:	14163528					
International Application Number:						
Confirmation Number:	1030					
Title of Invention:	SURGICAL DEVICE					
First Named Inventor/Applicant Name:	Hideo SANAI					
Customer Number:	25944					
Filer:	James Albert Oliff/Bess Telle					
Filer Authorized By:	James Albert Oliff					
Attorney Docket Number:	153190					
Receipt Date:	28-OCT-2015					
Filing Date:	24-JAN-2014					
Time Stamp:	15:48:57					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment			no					
File Listing:								
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Power of Attorney 2	20	20151028153190_GENPOA.pdf	83741	no	1		
				a11f85722eef225438c23913baf6f8e9ecd32 191	110			
Warnings:								
Information:								

2	Application Data Sheet	20151028153190_MARKEDUPA DS.pdf	410662 35a23e00ec69d60a68905bb9d308a32693c 27b1b	0a68905bb9d308a32693c						
Warnings:										
Information:										
This is not an USPTO supplied ADS fillable form										
3	Miscellaneous Incoming Letter	20151028153190_MARKEDUP	211943	no	3					
		OFR.pdf	02d1827218fe7a3c0a16595aa095cfdea1e0 8e8c							
Warnings:										
Information:										
4	Miscellaneous Incoming Letter	20151028153190_REQUEST.pdf	52179	no	1					
			868d9b959365977e8318f9c6f548f2988767 d39c							
Warnings:										
Information:			1							
		Total Files Size (in bytes)	: 7	58525						
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u>										
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.										
lf a new inter an internatic and of the In	tional Application Filed with the USF mational application is being filed a onal filing date (see PCT Article 11 ar ternational Filing Date (Form PCT/R urity, and the date shown on this Acl on.	nd the international applicat nd MPEP 1810), a Notification O/105) will be issued in due c	of the International ourse, subject to pres	Application scriptions c	Number oncerning					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		14163528
Filing Date		2014-01-24
First Named Inventor Hideo		SANAI
Art Unit		3739
Examiner Name	J. E. [	Della
Attorney Docket Number		153190

					U.S.I	PATENTS			Remove	
Examiner Cite Initial* No Patent No		Patent Number	Kind Code ¹			Name of Patentee or Applicant of cited Document		nt Pages,Columns,Lines when Relevant Passages or Rele Figures Appear		nt
	1	5836909	A	1998-11	-17	Cosmescu				
	2	5279547	A	1994-01	-18	Costin		ostin		
	3	5797901	A	1998-08	3-25	Cosmescu				
lf you wis	n to ac	d additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
			U.S.P	ATENT	APPLIC	CATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite I	No Publication Number	Kind Code ¹	Publica Date	ition	Name of Patentee or Applicant of cited Document		Relev	s,Columns,Lines where vant Passages or Relevar es Appear	nt
	1	20080167645	A1	2008-07	'-10	Woloszko				
If you wis	n to ac	d additional U.S. Publi	⊥ shed Ap	plication	i citation	i n information p	please click the Ade	l d butto	on. Add	
			-	FOREI	GN PAT		IENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code⁴	Publication Date Name of Patentee Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Г5
	1									

# INFORMATION DISCLOSURE Application Number 14163528 Filing Date 2014-01-24 First Named Inventor Hideo SANAI Art Unit 3739 Examiner Name J. E. Della Attorney Docket Number 153190

If you wish to add additional Foreign Patent Document citation information please click the Add button Add								
NON-PATENT LITERATURE DOCUMENTS Remove								
Examiner Initials*	Cite No	(book madazine journal serial symposium catalog etc.) date pades(s) volume-issue number(s) 19						
	1	September 14, 2015 Extended European Search Report issued in European Patent Application No. 13779010.1.						
If you wis	h to ao	d additional	non-patent literature document citation information please click the Add I	outton Add				
			EXAMINER SIGNATURE					
Examiner	Signa	ture	Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								

INFORMATION DISCLOSURE	Application Number		14163528	
	Filing Date		2014-01-24	
	First Named Inventor Hideo		SANAI	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3739	
	Examiner Name J. E. [		. Della	
	Attorney Docket Numb	er	153190	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

**X** See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/B. Graham Nelson/	Date (YYYY-MM-DD)	2015-10-13
Name/Print	B. Graham Nelson	Registration Number	72699

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

Attorney Docket No.: 153190

Confirmation No.: 1030

Art Unit: 3739

Examiner: J. E. Della

#### **INFORMATION DISCLOSURE STATEMENT WITH 30-DAY CERTIFICATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08 Form. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

 $\boxtimes$ 

1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits after the filing of a Request for Continued Examination under 37 CFR §1.114. No certification or fee is required. 2. Each item of information contained in this Information Disclosure Statement was either first cited in a communication from a patent office in a counterpart foreign or international application or from the USPTO, or is a communication that was issued by a patent office in a counterpart foreign or international application or by the USPTO, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Electronic signature: / B. Graham Nelson / James A. Oliff Registration No.: 27,075 B. Graham Nelson Registration No.: 72,699

JAO:BGN/est

Date: October 13, 2015

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	23768926					
Application Number:	14163528					
International Application Number:						
Confirmation Number:	1030					
Title of Invention:	SURGICAL DEVICE					
First Named Inventor/Applicant Name:	Hideo SANAI					
Customer Number:	25944					
Filer:	James Albert Oliff/Bess Telle					
Filer Authorized By:	James Albert Oliff					
Attorney Docket Number:	153190					
Receipt Date:	13-OCT-2015					
Filing Date:	24-JAN-2014					
Time Stamp:	15:36:37					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment			no					
File Listing:								
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Non Patent Literature	_E:	151013September_14_2015 xtended_European_Search_	390444	no	7		
		Re	port_issued_in_European_P atent_App.pdf	6df2bc521cccc62b592e30d4b301a4947a4 4bcb1				
Warnings:								
Information:								

		Total Files Size (in bytes)	14	46132	
Information	1:				
Warnings:					
		re_Statement_02.pdf	ab5149e314d3ffecbdc83065c362db2a488 33ec6		
3	Transmittal Letter	20151013Information_Disclosu	20712	no	2
Informatio	1:				1
Warnings:					
_	Form (SB08)	re_Statement_Fillable_PDF.pdf	f97d06deb306428ac7e4b7eb699aa708b8c 2ced2		
2	Information Disclosure Statement (IDS)	20151013Information_Disclosu		no	4

#### New Applications Under 35 U.S.C. 111

Post Card, as described in MPEP 503.

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

Examiner: J. DELLA Docket No.: 153190

Group Art Unit: 3739

#### MAIL STOP RCE

#### LARGE ENTITY REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. §1.114

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the provisions of 37 C.F.R. §1.114, Applicant(s) hereby request(s) continued examination (1st request).

Applicant(s) further request(s) entry and consideration of the attached submission.

The above-identified application was filed on or after June 8, 1995. Thus, entry is proper under 37 C.F.R. §1.114(d).

The fees associated with this filing are being paid electronically with this filing. The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) for this filing to Deposit Account No. 15-0461.

Respectfully submitted,

/ B. Graham Nelson /

James A. Oliff Registration No. 27,075

B. Graham Nelson Registration No. 72,699

JAO:BGN/est

Date: October 6, 2015

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Patent Application Fee Transmittal							
Application Number:	14	163528					
Filing Date:	24	Jan-2014					
Title of Invention:	SURGICAL DEVICE						
First Named Inventor/Applicant Name:	Hic	leo SANAI					
Filer:	Jar	nes Albert Oliff/Bes	s Telle				
Attorney Docket Number:	15	3190					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	Total in USD (\$)			1200

Electronic Acknowledgement Receipt					
EFS ID:	23705814				
Application Number:	14163528				
International Application Number:					
Confirmation Number:	1030				
Title of Invention:	SURGICAL DEVICE				
First Named Inventor/Applicant Name:	Hideo SANAI				
Customer Number:	25944				
Filer:	James Albert Oliff/Bess Telle				
Filer Authorized By:	James Albert Oliff				
Attorney Docket Number:	153190				
Receipt Date:	06-OCT-2015				
Filing Date:	24-JAN-2014				
Time Stamp:	15:28:21				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1200
RAM confirmation Number	1760
Deposit Account	150461
Authorized User	NELSON, GRAHAM

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		2015100610615_Amdt_w_RCE	43739	Vac	8				
1		_BGN.pdf	39b164faa47aad3aee753f9069c259026400 db64	yes	0				
	Multipart Description/PDF files in .zip description								
-	Document De	scription	n Start En						
-	Amendment Submitted/Entere	ed with Filing of CPA/RCE	1	1					
	Claims		2	2 4					
	Applicant Arguments/Remarks	Made in an Amendment	5	8					
Warnings:									
Information:									
2	Request for Continued Examination	2015100610-06-15_RCE.pdf	19555	no	1				
	(RCE)		1f1a84e68f7eaf980e6fe596af164ff00d195d 30						
Warnings:		·							
This is not a US	PTO supplied RCE SB30 form.								
Information:									
3	Fee Worksheet (SB06)	fee-info.pdf	30439	no	2				
5		ecc93b97e8f6f4de859f0a8f91ff5c4ad4d73 7be		-					
Warnings:									
Information:									
		Total Files Size (in bytes)	: 9	3733					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI	Group Art Unit: 3739		
Application No.: 14/163,528	Examiner:	J. DELLA	
Filed: January 24, 2014	Docket No.:	153190	

For: SURGICAL DEVICE

#### AMENDMENT WITH REQUEST FOR CONTINUED EXAMINATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In reply to the August 17, 2015 Office Action, and upon entry of the attached Request

for Continued Examination, please consider the following:

Amendments to the Claims as reflected in the listing of claims; and

Remarks.

#### Amendments to the Claims:

The following listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A surgical apparatus comprising:

a treatment section for treating a living tissue;

an energy generation section for providing high-frequency current to the treatment section;

a liquid feeding conduit for feeding a liquid to the living tissue;

a suction conduit for suctioning the liquid;

an energy control section configured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section;

a first pump drive section configured to feed the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high-frequency output control signal from the energy control section, and configured to stop feeding of the liquid from the liquid feeding conduit, when the first pump drive section receives a command for stopping the high-frequency output control signal, wherein the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate at the same time as a stoppage of the output of the high-frequency current; and

a second pump drive section configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to stop the suction of the liquid from the suction conduit after suctioning for the predetermined period of time or in the predetermined amount.

-2-

2. (Previously Presented) The surgical apparatus according to claim 1, wherein

the predetermined period of time or the predetermined amount is stored in a storage section and can be set or changed.

3. (Previously Presented) The surgical apparatus according to claim 1, wherein

the predetermined period of time or the predetermined amount is set according to a period of time of outputting the high-frequency current or a period of time of driving the first pump drive section.

4. (Previously Presented) The surgical apparatus according to claim 1, wherein

upon receipt of an instruction for generating the high-frequency current to the energy generation section after stoppage of the high-frequency current, the energy control section is configured to stop the second pump drive section suctioning the liquid from the liquid feeding conduit.

5. (Previously Presented) The surgical apparatus according to claim 1, further comprising

a pump configured to connect with the suction conduit, wherein the energy control section is configured to perform control so that driving of the pump is started so as to perform the suction of the liquid via the pump, in response to stopping of the high-frequency current of the high-frequency output control signal, and the pump is stopped after suctioning the liquid via the pump for the predetermined period of time or in the predetermined amount.

6. (Canceled)

7. (Previously Presented) The surgical apparatus according to claim 1, comprising

-3-

an impedance detection section configured to detect an impedance between two pinching members that are configured to pinch the living tissue in the treatment section,

wherein the predetermined period of time or the predetermined amount varies according to the impedance detected by the impedance detection section.

#### **REMARKS**

Claims 1-5 and 7 are pending in this application. By this Amendment, claim 1 is amended. No new matter is added. The claims still sufficiently correspond to the allowed claims in the corresponding Japanese application.

#### I. Formal Matters

Claim 1 is objected to for an alleged informality. In response, claim 1 is amended as suggested in the Office Action, thus rendering the objection moot.

#### II. <u>The Pending Claims Define Patentable Subject Matter</u>

Claim 7 is not rejected over any prior art of record in the Office Action. Thus, it is assumed that claim 7 is considered allowable by the Patent Office. Confirmation is respectfully requested.

Claims 1 and 3-5 are rejected under 35 U.S.C. §103(a) over Utley (US 2006/0259029) in view of Mulier (US 2006/0015097). Claim 2 is rejected under 35 U.S.C. §103(a) over Utley in view of Mulier and Kim (US 2011/0040299). In response, Applicant respectfully traverses the rejections.

Independent claim 1 is amended and recites, *inter alia*, "a first pump drive section configured to feed the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high-frequency output control signal from the energy control section, and configured to stop feeding of the liquid from the liquid feeding conduit, when the first pump drive section receives a command for stopping the highfrequency output control signal, *wherein the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate <u>at the same time</u> as a stoppage of the <i>output of the high-frequency current*; and a second pump drive section configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, *only after* the second pump drive section receives the command for

-5-

stopping the high-frequency output control signal from the energy control section, and configured to stop the suction of the liquid from the suction conduit after suctioning for the predetermined period of time or in the predetermined amount." As shown in Figure 6, the high-frequency current output signal and the feeding pump drive signal are output at the same time (t1) and also stop *at the same time* (t2). Also, Figure 6 of this application shows that the suction pump drive signal is output for a predetermined period of time (Ts) *only after* the high-frequency current output signal is stopped, and the suction pump drive signal is stopped after the predetermined period of time ends (t3).

The combined teachings of Utley and Mulier fail to render obvious the features recited in independent claim 1. As described in paragraph [0437] of Utley, when the foot pedal 416 is depressed, the fluid is supplied via the fluid delivery apparatus 44 to the treatment site and is simultaneously discharged via the aspirating apparatus 46 from the treatment site in an open loop. During this initial fluid flow, there is no output of radio frequency energy. Then, after the preliminary time period has passed, the controller 52 applies radio frequency energy to the treatment site, at which time the simultaneous delivery and discharge of the fluid is continued. After treatment is complete, the controller 52 stops the radio frequency energy. At this time, the controller 52 commands the *continued delivery* and discharge of the fluid through the open loop for a predetermined period of time has passed, the flow is fluid is stopped.

It is indicated on pages 5 and 7 of the Office Action that there was previously no requirement in the claims that the delivery of the cooling fluid terminate at the same time as the energy. Accordingly, independent claim 1 is amended to clarify that "the first pump drive section causes the feeding of the liquid from the liquid feeding conduit to terminate <u>at the</u> <u>same time</u> as a stoppage of the output of the high-frequency current." In contrast, as

-6-

acknowledged on page 7 of the Office Action, Utley discloses in paragraph [0452] that, upon termination of the radio frequency energy, the controller 52 commands the *continued delivery* of the fluid through the open loop for a predetermined period of time *after stopping the radio frequency energy*. Accordingly, Utley fails to disclose a pump drive section configured to stop the fluid flow <u>*at the same time*</u> as a stoppage of the output of the highfrequency current.

Mulier is relied upon in the Office Action merely for disclosing that suction may be performed during or at the end of an ablation procedure. Mulier fails to remedy the deficiencies of Utley with respect to independent claim 1 because Mulier likewise fails to teach or even suggest the claimed first pump drive section which "causes the feeding of the liquid from the liquid feeding conduit to terminate *at the same time* as a stoppage of the output of the high-frequency current." Kim is relied upon in the Office Action merely for disclosing an operation panel of a medical treatment device, and Kim likewise fails to suggest the claimed features. For at least the above reasons, withdrawal of the rejections is respectfully requested.

#### III. <u>Conclusion</u>

In view of the foregoing, it is respectfully submitted that this application is in condition for allowance. Favorable reconsideration and prompt allowance are earnestly solicited.

-7-

Should the Examiner believe that anything further would be desirable in order to

place this application in even better condition for allowance, the Examiner is invited to

contact the undersigned at the telephone number set forth below.

Respectfully submitted,

/ B. Graham Nelson /

James A. Oliff Registration No. 27,075

B. Graham Nelson Registration No. 72,699

JAO:BGN/est

Attachment: Request for Continued Examination

Date: October 6, 2015

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

								a collection of informa or Docket Number	tion unless it displays a v Filing Date	alid OMB control number.
P							163,528	01/24/2014	To be Mailed	
	ENTITY: 🛛 LARGE 🗌 SMALL 🗌 MICRO									
					APPLIC	ATION AS FIL	ED – PARI	.1		
			(C	Column 1	)	(Column 2)				
	FOR		NUN	MBER FIL	ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))		N/A	N/A			N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))		N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), (		N/A			N/A		N/A		
TO1 (37	AL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	S		mi	nus 3 = *			X \$ =		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPEN	IDENT CLA	IM PRES	SENT (37	7 CFR 1.16(j))					
* If t	he difference in colu	umn 1 is les	s than ze	ero, ente	r "0" in column 2.			TOTAL		
		(Colum	n 1)		<b>APPLICAT</b> (Column 2)	ON AS AMEN (Column 3		RT II		
AMENDMENT	10/06/2015	CLAIMS REMAINI AFTER AMENDM			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 6		Minus	** 20	= 0		x \$80 =		0
N N	Independent (37 CFR 1.16(h))	* 1		Minus	***3	= 0		x \$420 =		0
AM	Application Size Fee (37 CFR 1.16(s))									
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
								TOTAL ADD'L F	EE	0
		(Colum	n 1)		(Column 2)	(Column 3)	)			
Г		CLAIN REMAIN AFTE AMENDM	ling R		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*		Minus	**	=		X \$ =		
AMENDM	Independent (37 CFR 1.16(h))	*		Minus	***	=		X \$ =		
ЧU	Application Size Fee (37 CFR 1.16(s))									
AN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
TOTAL ADD'L FEE										
** lf *** l	he entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previousl per Previous	y Paid Fo sly Paid F	or" IN T⊢ For" IN TI	IIS SPACE is less HIS SPACE is less	than 20, enter "20" than 3, enter "3".		LIE /LYNNELL J ^u propriate box in col		
This c	ollection of informat	ion is requi	red by 37	7 CFR 1.	16. The information	n is required to obt	ain or retain a	benefit by the publi	c which is to file (and	by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov							
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
14/163,528	01/24/2014	Hideo SANAI	153190	1030			
25944 OLIFF PLC	7590 08/17/2015	i .	EXAN	IINER			
P.O. BOX 3208	350 A, VA 22320-4850		DELLA,	JAYMI E			
			ART UNIT	PAPER NUMBER			
			3739				
			NOTIFICATION DATE	DELIVERY MODE			
			08/17/2015	ELECTRONIC			

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com jarmstrong@oliff.com

	Application I 14/163,528	No.	Applicant(s) SANAI, HIDEO					
Office Action Summary	Examiner JAYMI DELLA	Ą	Art Unit 3739	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY</li> <li>THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period v</li> <li>Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	36(a). In no event, h will apply and will exp e, cause the applicati	- nowever, may a reply be tin bire SIX (6) MONTHS from on to become ABANDONE	nely filed the mailing date ( D (35 U.S.C. § 13	of this communication. 33).				
Status								
1) Responsive to communication(s) filed on <u>7/30</u>		ve filed en						
A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>								
2a) This action is <b>FINAL</b> . 2b) This 3) An election was made by the applicant in resp	s action is non-		aat farth dur	ing the interview on				
; the restriction requirement and election		•		ing the interview off				
<ul> <li>4) Since this application is in condition for alloward</li> </ul>				to the merits is				
closed in accordance with the practice under E	•							
<ul> <li>Disposition of Claims*</li> <li>5) ○ Claim(s) <u>1-5</u> is/are pending in the application. 5a) Of the above claim(s) is/are withdray</li> <li>6) □ Claim(s) is/are allowed.</li> <li>7) ○ Claim(s) <u>1-5</u> is/are rejected.</li> <li>8) □ Claim(s) is/are objected to.</li> <li>9) □ Claim(s) is/are subject to restriction and/ot</li> <li>* If any claims have been determined <u>allowable</u>, you may be e participating intellectual property office for the corresponding a <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or send</li> <li>Application Papers <ul> <li>10) □ The specification is objected to by the Examinet</li> <li>11) □ The drawing(s) filed on is/are: a) □ acc</li> <li>Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct</li> </ul> </li> </ul>	or election requ ligible to benefit application. For r d an inquiry to <u>P</u> er. epted or b)□ drawing(s) be h	irement. from the <b>Patent Pro</b> a nore information, plea <u>PHfeedback@uspto.c</u> objected to by the l eld in abeyance. See	ase see <u>aov</u> . Examiner. e 37 CFR 1.85	5(a).				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>Certified copies: <ul> <li>a) All</li> <li>b) Some** c) None of the:</li> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ul> </li> <li>** See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachment(s) 1) X Notice of References Cited (PTO-892)	31	Interview Summary	(PTO-413)					
<ul> <li>2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08a an</li></ul>		Paper No(s)/Mail Da						
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	4)	Other:						

#### **DETAILED ACTION**

1. The following is a Final Office Action on the merits.

2. The present application is being examined under the pre-AIA first to invent provisions.

3. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

#### Response to Amendment

4. Acknowledgment is made to the amendment received 7/30/2015

5. Applicant's amendments to the claims are sufficient to overcome the claim objections set forth in the previous office action.

6. Applicant's amendments to the claims are sufficient to overcome the 35 USC 101 rejections set forth in the previous office action.

Applicant's amendments to the claims are sufficient to overcome the 35 USC
 112(b), second paragraph rejections set forth in the previous office action.

#### Claim Objections

8. **Claim 1** is objected to because of the following informalities: amend "stop suction" to -stop the suction- in II. 19. Appropriate correction is required.

#### Claim Rejections - 35 USC § 103

9. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

10. Claims 1 & 3-5 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Utley et al. (2006/0259029, previously cited) in view of Mulier et al. (2006/0015097).

**11.** Concerning **claim 1**, as illustrated in Fig. 82, Utley et al. disclose **a surgical apparatus** (system 24; [0120]) **comprising:** 

a treatment section for treating a living tissue (operative element 36; [0125]); an energy generation section for providing high-frequency current to the treatment section (generator 38 supplies radiofrequency treatment energy; [0130]);

a liquid feeding conduit for feeding a liquid to the living tissue (fluid delivery apparatus 44 conveys processing fluid F through the lumen 98 for discharge at the treatment site; [0179]);

a suction conduit for suctioning the liquid (aspirating apparatus 46 conveys aspirated material from or near from the operative element 36 through lumen 102 for discharge; [0133], [0180]);

an energy control section configured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section (controller 52 governs the power levels, cycles, and duration of radio frequency energy as well as delivery of processing fluid, and if desired, the removal of aspirated material; [0135]);

a first pump drive section that configured to feed the liquid from the liquid feeding conduit while the high-frequency current is output (fluid delivery apparatus 44 comprises an integrated pump 428 that is coupled to the I/O device 54; [0394-0395), in response to a command for an output of the high- frequency output control signal from the energy control section, and configured to stop feeding of the liquid from the liquid feeding conduit, when the first pump drive section receives a command for stopping the high- frequency output control signal (when the foot pedal 416 is pressed, the controller 52 activates the pump rotor 428 and cooling liquid is conveyed through the treatment device TD into contact with mucosal tissue at the targeted site and after a preliminary time period, the controller 52 applies rf energy through the electrodes, and controller 52 terminates the conveyance of rf ablation energy and commands a flow of cooling liquid for a predetermined time after the energy is stopped; [0437-0438], [0451-0452]); and

a second pump drive section configured to cause the suction conduit to suction the liquid (an additional dedicated pump rotor or equivalent pumping mechanism to perform the aspiration function; [0399]) for a predetermined period of time or in a predetermined amount, after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to stop suction of the liquid from the suction conduit after suctioning for the predetermined period of time or in the predetermined amount (controller 52 terminates the conveyance of rf ablation energy

and commands aspiration from the treatment device for a predetermined time after the energy is stopped in conjunction with the flow of cooling liquid; [0451-0452]).

Utely et al. fail to disclose the second pump drive section configured to cause suctioning only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control suction. However, Mulier et al. disclose a surgical apparatus (20) comprising an energy generation section (22) where suctioning is provided either during or subsequent to termination of the application of RF power to tissue. It would have been an obvious matter of design choice to one having ordinary skill in the art at the time the invention was made to suction only after receiving a command for stopping energy delivery since Mulier et al. teaches suctioning during energy delivery and after termination of energy delivery to be equivalents in the art.

The Examiner notes to Applicant that there is no requirement in the claims for the delivery of the cooling fluid to terminate at the same time as the energy.

12. Concerning **claim 3**, Utely et al. disclose the predetermined time to be set according to the period of time for driving the first pump (428) ([0451-0452]).

13. Concerning **claim 4**, Utely et al. disclose that the energy control section (52) stops the second pump after receiving the instruction to stop the output of energy ([0451-0452]; Fig. 82).

14. Concerning **claim 5**, Utely et al. disclose controlling the driving of the second suction pump to start when the high frequency energy is stopped, and stopping the

second pump after the liquid has been supplied for the predetermined period of time ([0452]).

# 15. Claim 2 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Utely (2006/0259029, previously cited), as applied to claim 1, in view of Kim et al. (2011/0040299, previously cited).

16. Concerning **claim 2**, Utely et al. disclose the predetermined period of time to be stored in a storage section of generator (52) ([0134], [0437]). While Utely et al. disclose an I/O device (54) to input control and processing variables ([0136]), Utely et al. fail to specifically disclose the predetermined period of time configured to be set or changed. However, Kim et al. disclose a surgical apparatus comprising a front panel (2305) of an energy control section (2301) that includes display and time set elements for various parameters of the surgical apparatus ([0034]). At the time of the invention, it would have been obvious to one of ordinary skill in the art to modify the invention of Utely in such that the predetermined period can be set or changed in order to provide the benefit of user control on the input time parameters as taught by Kim et al. ([0034]; Fig. 1)

#### **Response to Arguments**

17. Applicant's arguments are moot in view of the new ground(s) of rejection with respect to the amended limitation of the "second pump drive section".

18. Applicant's arguments have been fully considered but they are not found to be persuasive with respect to the amended limitation of the "first pump drive section".

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., the delivery of cooling fluid terminates at the same time as the energy) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). The phrase "when", as used in the limitation "configured to stop feeding of the liquid from the liquid feeding conduit, when the first pump drive section receives a command for stopping the high-frequency output control signal" does not <u>require</u> the pump to stop supplying the liquid immediately. Since Utely discloses supplying liquid for a few seconds after terminating the energy, Utely just discloses stopping the liquid a few seconds after "when" the command is received.

19. Applicant's arguments are moot in view of the new ground(s) of rejection with respect to the reference of Yachi et al.

#### Conclusion

20. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAYMI DELLA whose telephone number is (571)270-1429. The examiner can normally be reached on M-Th 8:00-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on (571)272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAYMI DELLA/ Primary Examiner, Art Unit 3739

Notice of References Cited	Application/Control No. 14/163,528	Reexamination	
Notice of References Cited	Examiner	Art Unit	
	JAYMI DELLA	3739	Page 1 of 1

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-2006/0015097	01-2006	Mulier et al.	606/041
	В	US-			
	С	US-			
	D	US-			
	ш	US-			
	F	US-			
	G	US-			
	Н	US-			
	-	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Ν					
	0					
	Ρ					
	Ø					
	R					
	S					
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	v	
	w	
	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

CPC- SEARCHED				
Symbol	Date	Examiner		
( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR	2015/04/07	JD		
A61B2218/002 OR A61B2218/007).CPC. )				
( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR	2015/04/07	JD		
A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR				
A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR				
A61B2018/00994 OR A61B2217/007 OR A61B2218/0				

CPC COMBINATION SETS - SEAR	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED				
Subclass	Date	Examiner		
•				

SEARCH NOTES		
Search Notes	Date	Examiner
see EAST search history	2015/04/29	JD
updated EAST search	2015/08/07	JD

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
-			

	/JAYMI DELLA/ Primary Examiner.Art Unit 3739

## **EAST Search History**

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	14755	(606/32-52). OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 08:52
L2	1407946	aspiration or aspirating or aspirated or aspirate or suction or suctioned or suctioning	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 08:52
L4	3738	1 and 2	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 08:53
L5	5945753	stop or stopped or stopping or halt or halted or halting or terminate or terminating or termination or terminated or stoppage	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 08:54
L6	261	(2 with 5) and 1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 08:54
L7	2232	5 with (energy or power or voltage or current or frequency or wave\$form) with 2	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 09:14
L8	34	1 and 7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/07 09:14
L9	1	("20060259029").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 09:50
L10	1	("20060265035").PN.	US-PGPUB; USPAT;	OR	OFF	2015/08/07 10:30

	ļ <u></u>		USOCR			
L11	1	("20110040299"). <b>PN</b> .	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 11:30
L12	1	("20060015097").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/07 11:44
S1	56	sanai-hideo\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:33
S2	8620	(olympus and medical and systems).as.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:33
S3	304	hatta-shinji\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:34
S4	8	(S1 or S3) and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:34
S5	15	(S1 or S3) and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:35
S6	7	S5 not S4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:35
S7	277	S2 and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:36
S8	193	S2 and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and	US-PGPUB; USPAT; USOCR; FPRS;	OR	OFF	2015/04/07 20:36

		(liquid or fluid or irrigation or irrigating or irrigant or saline)	EPO; JPO; DERWENT IBM_TDB			
S9	9	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("66666860") or ("20100185196") or ("20030040672") or ("20100324458") or ("6306131") or ("20010032002") or ("20070156134")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/07 20:46
S10	290	a61b18/0206.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:52
S11	1374	a61c1/07.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:52
S12	3466	a61f9/00745.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB		OFF	2015/04/07 20:53
S13	294	a61f2013/15869.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/07 20:53
S14	1868	a61h23/0245.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/07 20:54
S15	190	a61h39/007.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB		OFF	2015/04/07 20:54
S16	1667	a61m11/005.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/07 20:55
S18	63	a61m2205/3693.cpc.	US-PGPUB; USPAT;	OR	OFF	2015/04/07 20:56

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S19	0	"14163528."	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/07 20:57
S20	1	"14163528"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S21	24996	( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR A61B2218/002 OR A61B2218/007).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S22	2	"14163203"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S23	30022	( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR A61B2018/00994 OR A61B2217/007 OR A61B2218/002).CPC. )		OR	OFF	2015/04/07 20:58
S24	4949	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	USPAT;	OR	OFF	2015/04/07 20:58
S25	4535	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	USPAT;	OR	OFF	2015/04/07 20:58
S26	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:38

S28	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:38
S29	827287	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (output or current or voltage or power or energy)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S30	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S31	283150	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:39
S32	487127	(time or duration or amount or volume or level) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:40
S34	605	S26 and S28 and S29 and S30 and S31	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 08:40
S35	235	S26 and S28 and S30 and (S31 same S29)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 09:15
S36	56	(S28 and (S26 same S32) and (S29 same S31) and (S26 same S30))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 09:32
S37	26	"9814131"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/04/08 10:25

			DERWENT			
S38	30	(fluid\$assisted and electrocautery and device).ti.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 10:26
S39	0	("2006265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S40	1	("20060265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S41	4	"11433982"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 11:24
S42	1	(11/433982).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 11:24
S44	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034 or a61b2017/22035 or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/282 or or a61b2017/29 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.		OR	OFF	2015/04/08 11:31
S45	134	S44 and S26 and S28 and S30	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 11:32
S46	437017	(controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigation or irrigating or irrigant or saline or suction or suctioning or suctioned or vacuum or vacum or aspirate or aspirated or aspirating or aspiration)		OR	OFF	2015/04/08 14:22
S47	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/04/08 14:23

		a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b17/2909 or a61b17/30 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034 or a61b2017/22035 or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	DERWENT			
S48	242	S46 and S47	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S49	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S50	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S51	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S53	30	S46 and S47 and S49 and S50 and S51	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:24
S54	1	("20050033278"). <b>PN</b> .	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:06
S55	1	("5417709").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:10
S56	213	("2397823"   "3019790"   "3980086"   "4646751"   "4750488"   "4763668"   "4880015"   "5016614"   "5123902"   "5131379"   "5141519"   "5152778"   "5195958"   "5197968"   "5209747"   "5217460"   "5224931"   "5286255"   "5300087"   "5312391").PN. OR ("5417709").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:13
S58	113	S56 and (suction or suctioned or suctioning or aspirate or aspiration or	US-PGPUB; USPAT;	OR	OFF	2015/04/08 15:15

		aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S59	9	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline) and S46	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 15:17
S60	1	("20050010212").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:23
S61	1	(10/206842).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:26
S62	275280	((stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) near3 (energy or current or voltage or power)) with ((commence or commenced or commencing or start or started or starting or begin or beginning or begun or activate or activation or activating or activated) near3 (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)(output or current or voltage or power or energy))		OR	OFF	2015/04/08
S63	611	S47 and S62 and S50	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 16:03
S65	20	S47 and S62 and S50 and S51 and S49	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:03
<b>S66</b>	4478	((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:27

		concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)				
S67	278197	("128" or "606" or "607").clas.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08 16:28
S68	552	S66 and S67	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S69	43	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or finishing or conclude or concluded or concluding) with (lenergy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)).clm.		OR	OFF	2015/04/08
S70	9	S67 and S69	US-PGPUB; USPAT;	OR	OFF	2015/04/08 16:28

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S71	45	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (lenergy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or istopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:36
S72	45	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or terminated or termination or terminated or termination or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or de\$activation or finish or finished or finishing or conclude or concluded or concluding with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08

		(radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and (controller or micro\$controller or processor or micro\$processor or cpu or control\$unit)				
S73	12	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirate) or aspiration or vacuum or vacum))		OR	OFF	2015/04/08 16:39
S74	1	("20080167645").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 16:41
S75	44	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) same (suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:49

		voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))				
S76	697	· · · · · · · · · · · · · · · · · · ·	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/04/08
S77			US-PGPUB; USPAT;	OR	OFF	2015/04/08 17:18

		<u>  </u>	USOCR			
S78	1	("20100042101").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 10:22
S79	0	("2009015705").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:05
S80	1	("20090157075").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:05
S81	1	("6306131"). <b>PN</b> .	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:11
S82	113	(high\$frequency or radio\$frequency) with microwave with ultrasonic\$4 with cryogen\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/06 11:40
S83	5145	(606/32-38).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 11:40
S84	15	S82 and S83	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB		OFF	2015/08/06 11:40
S85	10028	(high\$frequency or radio\$frequency) with ultrasonic\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB		OFF	2015/08/06 11:47
S86	192	S83 and S85	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/06 11:47
S87	35	S83 and S85 and (forcep or jaw)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB	OR	OFF	2015/08/06 11:49
S88	1	("20070225697").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/06 12:21

# EAST Search History (Interference)

< This search history is empty>

8/7/2015 11:44:55 AM

# $\label{eq:c:loss} C:\ Users\ jdella\ Documents\ EAST\ Work spaces\ 14163203\ \&\ 14163528.wsp$

## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

For: SURGICAL DEVICE

Group Art Unit: 3739

Examiner: J. DELLA

Docket No.: 153190

## **AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In reply to the May 4, 2015 Office Action, please consider the following:

Amendments to the Specification;

Amendments to the Claims as reflected in the listing of claims; and

Remarks.

## Amendments to the Specification:

Please amend the paragraph beginning at line 27 of page 4 as follows:

Furthermore, at a distal end portion of the treatment unit 21, an opening portion 21a for feeding saline is provided, and the opening portion 21a is connected to a tube 21b inserted inside the sheath portion 32. As indicated by dotted arrow a in Fig. 1, the opening portion 21a and a tube <u>42b 21b</u> are disposed so that saline is fed and dripped toward a pinching part between the probe 31a and the movable member 31b in the treatment section 31. Accordingly, the opening portion 21a is a liquid feeding port provided in the treatment section 31, for feeding saline from the tube 21b, which is a liquid feeding conduit, between a living tissue and the treatment section 31.

Please amend the paragraph beginning at line 15 of page 13 as follows:

Accordingly, the projection portion 51 and the receiving member 52 in the handle portion 36A form a liquid suction restricting mechanism arranged at a position midway in the suction tube 21d, the liquid suction restricting mechanism restricting the flow of a suctioned liquid inside the suction tube 21d in response to an operation of the movable handle <u>3636b1</u>, which is an operating handle.

Please amend the paragraph beginning at line 22 of page 14 as follows:

When the surgeon opens the handle portion 36A along with the stoppage of the energy output, the projection portion 51 no longer presses the suction tube 21d, and thus suction is started. As a result, saline is suctioned from the opening portion 21c at a distal end portion of a sheath portion 32 in the treatment instrument 4411A. In Fig. 10, at a time t12, the energy output is stopped and suction is started.

-2-

#### Amendments to the Claims:

The following listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A surgical apparatus comprising:

a treatment section for treating a living tissue;

an energy generation section for providing high-frequency current to the treatment section;

a liquid feeding conduit for feeding a liquid to the living tissue;

a suction conduit for suctioning the liquid;

an energy control section that outputsconfigured to output a high-frequency output control signal for controlling the high-frequency current from the energy generation section;

a first pump drive section that feeds configured to feed the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high-frequency output control signal from the energy control section, and stops configured to stop feeding of the liquid from the liquid feeding conduit, in response to when the first pump drive section receives a command for stopping the high-frequency output control signal; and

a second pump drive section that suctions configured to cause the suction conduit to suction the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, in response to a only after the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and stops-configured to stop suction of the liquid from the suction conduit after the suction suction for the predetermined amount.

2. (Currently Amended) The surgical apparatus according to claim 1, wherein

-3-

the predetermined period of time or the predetermined amount is stored in a storage section and can be <u>set/ehangedset or changed</u>.

3. (Currently Amended) The surgical apparatus according to claim 1, wherein the predetermined period of time or the predetermined amount is set according to a period of time of outputting the <u>high-frequency current energy</u>-or a period of time of driving the first pump <u>drive section</u>.

4. (Currently Amended) The surgical apparatus according to claim 1, wherein upon receipt of an instruction for generating the <u>high-frequency current energy</u>-to the energy generation section after stoppage of the <u>high-frequency currentoutput of the energy</u>, the energy control section <u>etops-is configured to stop</u> the second pump<u>drive section</u> suctioning the liquid from the liquid feeding conduit.

5. (Currently Amended) The surgical apparatus according to claim 1, <u>further</u> <u>comprising</u>

a pump configured to connect with the suction conduit, wherein the energy control section performs-is configured to perform control so that driving of the second-pump is started so as to perform the suction of the liquid via the second-pump, in response to the stoppage-stopping of the high-frequency current output-of the high-frequency output control signal, and the pump is stopped after supply of suctioning the liquid via the second-pump for the predetermined period of time or in the predetermined amount.

6. (Canceled)

 (Currently Amended) The surgical apparatus according to claim 1, comprising

an impedance detection section <u>section section</u> an impedance between two pinching members that <u>are configured to</u> pinch the living tissue in the treatment section,

-4-

wherein the predetermined period of time or the predetermined amount varies

according to the impedance detected by the impedance detection section.

#### **REMARKS**

Claims 1-5 and 7 are pending in this application. By this Amendment, the specification and claims 1-5 and 7 are amended to clarify the recited features. No new matter is added.

## I. Formal Matters

The Abstract is objected to for allegedly exceeding 150 words. However, the Abstract submitted with the Preliminary Amendment of March 24, 2014 in fact only has 147 words. Applicant notes that a hyphenated word is a single word (e.g., "high-frequency"). Withdrawal of the objection is respectfully requested.

Claims 1 and 5 are objected to for informalities. In response, claims 1 and 5 are amended as suggested in the Office Action, thus rendering the objection moot.

Claims 1-5 and 7 are rejected under 35 U.S.C. §101 as not being drawn to statutory subject matter. In response, the claims have been amended to clarify that the claims are drawn to a machine by reciting "configured to," as suggested in the Office Action, thus rendering this rejection moot.

Claims 1-5 and 7 are rejected under 35 U.S.C. §112, second paragraph, as being indefinite. In response, the claims have been amended to clarify that the claims are drawn to a machine by reciting "configured to," as suggested in the Office Action, thus rendering this rejection moot. The claims have also been amended to resolve the remaining issues based on the suggestions offered in the Office Action, thus rendering this rejection moot.

### II. <u>The Pending Claims Define Patentable Subject Matter</u>

Claims 1 and 3-5 are rejected under 35 U.S.C. §102(b) over Utley (US 2006/0259029). Claims 1, 3 and 4 are rejected under 35 U.S.C. §102(b) over Yachi (US 2006/0265035). In response, Applicant respectfully traverses the rejections.

-6-

Independent claim 1 recites, *inter alia*, "a first pump drive section configured to *feed* the liquid from the liquid feeding conduit *while* the high-frequency current is output, *in response to* a command for an output of the high-frequency output control signal from the energy control section, and configured to *stop* feeding of the liquid from the liquid feeding conduit, *when* the first pump drive section receives a command for stopping the high-frequency output control signal; and a second pump drive section configured to cause the suction conduit to *suction* the liquid for a predetermined period of time or in a predetermined amount, *only after* the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section, and configured to *stop* suction of the liquid from the suction conduit *after* suctioning for the predetermined period of time or in the predetermined amount."

The claimed features may be understood with reference to Figure 6 of this application. As shown in Figure 6, the high-frequency current output signal and the feeding pump drive signal are output at the same time (t1) and also stop *at the same time* (t2). Also, Figure 6 shows that the suction pump drive signal is output for a predetermined period of time (Ts) *only after* the high-frequency current output signal is stopped, and the suction pump drive signal is stopped after the predetermined period of time ends (t3).

Utley fails to teach the features recited in independent claim 1. Utley discloses a treatment system 24 which includes an operative element 36, a generator 38 which supplies radio frequency energy to the treatment site, a fluid delivery apparatus 44 which supplies fluid to the treatment site, and an aspirating apparatus 46 which discharges aspirated material from the treatment site. As described in paragraph [0437] of Utley, when the foot pedal 416 is depressed, the fluid is supplied via the fluid delivery apparatus 44 to the treatment site and is simultaneously discharged via the aspirating apparatus 46 from the treatment site in an open loop. During this initial fluid flow, there is no output of radio frequency energy. Then,

-7-

after the preliminary time period has passed, the controller 52 applies radio frequency energy to the treatment site, at which time the simultaneous delivery and discharge of the fluid is continued. After treatment is complete, the controller 52 stops the radio frequency energy. At this time, the controller 52 commands the continued delivery and discharge of the fluid through the open loop for a predetermined period of time after stopping the radio frequency energy. Once the predetermined period of time has passed, the flow is fluid is stopped.

Utley is deficient with respect to independent claim 1 for at least the following reasons. It is indicated on page 13 of the Office Action that there was previously no requirement in the claims that the delivery of the cooling fluid terminate at the same time as the energy. Accordingly, independent claim 1 is amended to recite that the first pump drive section is "configured to *stop* feeding of the liquid from the liquid feeding conduit, *when* the first pump drive section receives a command for stopping the high-frequency output control signal." In contrast, Utley discloses in paragraph [0452] that, upon termination of the radio frequency energy, the controller 52 commands the *continued* delivery and discharge of the fluid through the open loop for a predetermined period of time after stopping the radio frequency energy. Accordingly, Utley fails to teach a pump drive section configured to stop the fluid flow *when* the pump drive section receives a command for stopping the radio frequency energy. For at least this reason, independent claim 1 is not anticipated by Utley. Withdrawal of the rejection is respectfully requested.

In addition, it is indicated on page 14 of the Office Action that there was previously no requirement in the claims that the suctioning occur only after termination of the energy. Accordingly, independent claim 1 is amended to recite that the second pump drive section is "configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, *only after* the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control

-8-

section." In contrast, Utley discloses that the aspirating apparatus 46 is continuously discharging fluid *even before* the radio frequency energy is output, and furthermore continues discharging fluid *while* radio frequency energy is applied to the treatment site. Clearly the fluid is discharged in Utley before the command for stopping radio frequency energy is received. Utley thus fails to teach causing the aspirating apparatus 46 to discharge the fluid "*only after* the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section." For this reason also, independent claim 1 is not anticipated by Utley. Withdrawal of the rejection is respectfully requested.

Yachi likewise fails to teach the features recited in independent claim 1. In the ninth embodiment of Yachi shown in Figure 41 (and relied upon in the rejection), Yachi discloses a treatment process in which the following steps are taken: (a) the ultrasonic vibration switch is turned on; (b) water supply and water suction are stopped; (c) the ultrasonic output is started for treating tissue via ultrasonic driving; (d) a control signal is sent to begin suction, such that "suction is performed substantially at the same time with ultrasonic driving" (paragraph [0284]); (e) when treatment is finished, the ultrasonic vibration switch is turned off; (f) then a fluid is supplied and is continued together with the suction for a predetermined amount of time; and (g) after the predetermined amount of time has passed, the fluid supply and suction are stopped, and the routine is finished.

Yachi thus fails to disclose "a first pump drive section configured to *feed* the liquid from the liquid feeding conduit *while* the high-frequency current is output" as recited in independent claim 1. As explained in paragraphs [0282] and [0283] and as shown in the flowchart of Figure 41, Yachi teaches outputting ultrasonic energy only after it has been determined that there is *no* fluid being supplied. Turning the ultrasonic switch on in Step 31 is *different* than outputting the ultrasonic energy in Step 42. Yachi teaches that the ultrasonic

-9-

energy is output only after it has been determined that there is **no** fluid being supplied (i.e., that the water supply has been stopped). Indeed, as described in paragraph [0106], the fluid is supplied for the purpose of cooling the instrument after it has been heated by the ultrasonic energy; the fluid is not supplied while the ultrasonic treatment is occurring. Accordingly, Yachi fails to teach "a first pump drive section configured to *feed* the liquid from the liquid feeding conduit *while* the high-frequency current is output." For at least this reason, withdrawal of the rejection is respectfully requested.

Yachi also fails to teach "configured to *stop* feeding of the liquid from the liquid feeding conduit, *when* the first pump drive section receives a command for stopping the high-frequency output control signal." On the contrary, in Yachi the water supply is stopped *before* ultrasonic output is even started. There is *no* teaching to stop the water supply when a command for *stopping* the ultrasonic output is received. For this reason also, independent claim 1 is not anticipated by Yachi. Withdrawal of the rejection is respectfully requested.

Yachi further fails to teach "configured to cause the suction conduit to suction the liquid for a predetermined period of time or in a predetermined amount, *only after* the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section." In contrast, Yachi discloses that the suction is operated at the *same time* as the ultrasonic driving: "suction is performed substantially at the same time with ultrasonic driving" (paragraph [0284]). Yachi thus fails to teach causing the suction to be performed "*only after* the second pump drive section receives the command for stopping the high-frequency output control signal from the energy control section." For this reason also, independent claim 1 is not anticipated by Yachi. Withdrawal of the rejection is respectfully requested.

Claim 2 is rejected under 35 U.S.C. §103(a) over Yachi in view of Kim (US 2011/0040299). In response, Applicant respectfully traverses the rejection. As discussed

-10-

above, Yachi is deficient with respect to independent claim 1. Kim fails to remedy the deficiencies of Yachi because Kim neither teaches nor suggests the features recited in independent claim 1, including the claimed first and second pump drive sections. Accordingly, the combined teachings of Yachi and Kim would not have rendered obvious the surgical apparatus of independent claim 1. Withdrawal of the rejection is respectfully requested.

Claim 7 is not rejected over any prior art of record in the Office Action. Thus, it is assumed that claim 7 will be allowable upon withdrawal of the 35 U.S.C. §101 and 35 U.S.C. §112 rejections.

## III. Conclusion

In view of the foregoing, it is respectfully submitted that this application is in condition for allowance. Favorable reconsideration and prompt allowance are earnestly solicited.

Should the Examiner believe that anything further would be desirable in order to

place this application in even better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

/ B. Graham Nelson /

James A. Oliff Registration No. 27,075

B. Graham Nelson Registration No. 72,699

JAO:BGN/cbb

Date: July 30, 2015

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Acknowledgement Receipt					
EFS ID:	23073071				
Application Number:	14163528				
International Application Number:					
Confirmation Number:	1030				
Title of Invention:	SURGICAL DEVICE				
First Named Inventor/Applicant Name:	Hideo SANAI				
Customer Number:	25944				
Filer:	James Albert Oliff/Charisse Balangawan				
Filer Authorized By:	James Albert Oliff				
Attorney Docket Number:	153190				
Receipt Date:	30-JUL-2015				
Filing Date:	24-JAN-2014				
Time Stamp:	15:54:31				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment no						
File Listing:						
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Multi Message Digest Part /.zip		Pages (if appl.)	
1		2015073072915_Amdt_BGN.	48755			
		pdf	d1635a2852c3e1de58a5ff5682e9b5deabb 5dcb0	yes	12	

	Multipart Description/PDF files in .zip description					
	Document Description	Start End				
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1			
	Specification	2	2			
	Claims	3	5			
	Applicant Arguments/Remarks Made in an Amendment	6	12			
Warnings:						
Information:						
	Total Files Size (in bytes):	4	18755			

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

P	Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 Application or Docket Number 14/163,528 Filing Date 01/24/2014 To be Mailed									
		Gubstilule				. 1/		3. <u>.</u>		
	ENTITY: 🛛 LARGE 🗌 SMALL 🗌 MICRO									
				APPLIC	ATION AS FIL	ED – PAR	ті			
	(Column 1) (Column 2)									
	FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$)									
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A	N/A				
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p), 0		N/A		N/A		N/A			
	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =			
IND	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =			
	APPLICATION SIZE FEE (37 CFR 1.16(s))       If the specification and drawings exceed 100 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPEN		,	477				_		
" IT L	he difference in colu	umin i is iess tr	ian zero, ente	r u in column 2.			TOTAL			
		(Column 1)	)	(Column 2)	ON AS AMEN (Column 3		RT II			
AMENDMENT	07/30/2015	CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	ONAL FEE (\$)	
ME	Total (37 CFR 1.16(i))	* 6	Minus	** 20	= 0		× \$80 =		0	
	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		x \$420 =		0	
AMI	Application Size Fee (37 CFR 1.16(s))									
-	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
		(Column 1	)	(Column 2)	(Column 3		TOTAL ADD'L FE	E	0	
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	ONAL FEE (\$)	
IENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =			
AMENDM	Independent (37 CFR 1.16(h))	×	Minus	***	=		X \$ =			
IEN I	Application Size Fee (37 CFR 1.16(s))									
A	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gamering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Unit	TMENT OF COMMERCE Trademark Office FOR PATENTS 313-1450			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/163,528	01/24/2014	Hideo SANAI	153190	1030
25944 OLIFF PLC	7590 05/04/201	5	EXAN	IINER
P.O. BOX 3208	350 A, VA 22320-4850		DELLA,	JAYMI E
			ART UNIT	PAPER NUMBER
			3739	
			NOTIFICATION DATE	DELIVERY MODE
			05/04/2015	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com jarmstrong@oliff.com

	Application No. 14/163,528	Applicant(s) SANAI, HIDEO					
Office Action Summary	Examiner JAYMI DELLA	Art Unit 3739	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period of - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	nely filed the mailing date of D (35 U.S.C. § 133	f this communication.				
Status							
1) Responsive to communication(s) filed on 7/30.	/ <u>2014</u> .						
A declaration(s)/affidavit(s) under <b>37 CFR 1</b> .1	1 <b>30(b)</b> was/were filed on						
2a) This action is <b>FINAL</b> . 2b) This	action is non-final.						
3) An election was made by the applicant in resp	-		ng the interview on				
; the restriction requirement and election							
4) Since this application is in condition for allowa			to the merits is				
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.					
Disposition of Claims*							
5) Claim(s) <u>1-5 and 7</u> is/are pending in the applic	ation.						
5a) Of the above claim(s) is/are withdra	wn from consideration.						
6) Claim(s) is/are allowed.							
7) Claim(s) <u>1-5 &amp; 7</u> is/are rejected.							
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction and/o	r election requirement.						
* If any claims have been determined allowable, you may be e	igible to benefit from the Patent Pro	secution High	<b>way</b> program at a				
participating intellectual property office for the corresponding a	pplication. For more information, ple	ase see					
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <u>PPHfeedback@uspto.</u>	<u>gov</u> .					
Application Papers							
10) The specification is objected to by the Examine	er.						
11) The drawing(s) filed on is/are: a) acc		Examiner.					
Applicant may not request that any objection to the			(a).				
Replacement drawing sheet(s) including the correct							
		J					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a	)-(a) or (t).					
Certified copies:							
a) All b) Some** c) None of the:	to have been reactived						
1. Certified copies of the priority documen		tion No					
2. Certified copies of the priority documen							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)).							
** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)							
1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summary	(PTO 412)					
	Paper No(s)/Mail D						
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date <u>1/24/2014</u> .	SB/08b) 4) Other:						

Application/Control Number: 14/163,528 Art Unit: 3739

## **DETAILED ACTION**

1. The following is a First Action, Non-Final Office Action on the merits.

## Notice of Pre-AIA or AIA Status

2. The present application is being examined under the pre-AIA first to invent provisions.

3. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

## Priority

4. Applicant's claim for the benefit of a prior-filed application under 35 U.S.C. 119(e) or under 35 U.S.C. 120, 121, or 365(c) is acknowledged. Applicant has complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 365 (c) & 119(e) as follows:

The later-filed application must be an application for a patent for an invention which is also disclosed in the prior application (the parent or original nonprovisional application or provisional application). The disclosure of the invention in the parent application and in the later-filed application must be sufficient to comply with the requirements of 35 U.S.C. 112(a) or the first paragraph of pre-AIA 35 U.S.C. 112,

Application/Control Number: 14/163,528 Art Unit: 3739

except for the best mode requirement. See Transco Products, Inc. v. Performance

Contracting, Inc., 38 F.3d 551, 32 USPQ2d 1077 (Fed. Cir. 1994)

The disclosure of the prior-filed application, Application Nos.

PCT/JP2013/060447 & 61/636269 provide adequate support or enablement in the

manner provided by 35 U.S.C. 112(a) or pre-AIA 35 U.S.C. 112, first paragraph for one

or more claims of this application.

Accordingly, the claims are given the priority date of 4/20/2012.

# Specification

5. The abstract of the disclosure is objected to because it exceeds 150 words.

Correction is required. See MPEP § 608.01(b).

6. Applicant is reminded of the proper language and format for an abstract of the

disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

# **Claim Objections**

7. **Claim 1** is objected to because of the following informalities: amend "and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount" to -and stops suction of the liquid from the suction conduit after suctioning for the predetermined period of time or in the predetermined for the predetermined period of time or in the predetermined amount. Appropriate correction is required.

Claim 5 is objected to because of the following informalities: amend "suction" to –the suction- in II. 3. Appropriate correction is required.

9. **Claim 5** is objected to because of the following informalities: amend "after supply of the liquid via the second pump for the predetermined period of time or in the predetermined amount" to -after supplying the liquid via the second pump for the predetermined period of time or in the predetermined amount- in II. 4-5. Appropriate correction is required.

# Claim Rejections - 35 USC § 101

10. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

# 11. Claims 1-5 & 7 are rejected under 35 U.S.C. 101 because the claimed

# invention is directed to non-statutory subject matter.

12. **Claim 1** recites the limitation "a first pump drive section that feeds" in II. 9, making it a claim that is directed to neither a "process" nor a "machine", but rather

embraces or overlaps two different statutory classes of invention due to the active verb "feeds". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

13. **Claim 1** recites the limitation "a first pump drive section that ...stops feeding of the liquid" in II. 11, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "stops". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

14. **Claim 1** recites the limitation "a second pump drive section that suctions" in II. 14, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "suctions". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

15. **Claim 1** recites the limitation "a second pump drive section that …stops suction of the liquid" in II. 11, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "stops". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

16. **Claim 4** recites the limitation "the energy control section stops the second pump" in II. 3, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "stops". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

17. **Claim 5** recites the limitation "the energy control section performs control" in II. 1-2, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "performs". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

18. **Claim 7** recites the limitation "an impedance detection section that detects an impedance" in II. 1-2, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "detects". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

19. **Claim 7** recites the limitation "two pinching members that pinch the living tissue" in II. 2-3, making it a claim that is directed to neither a "process" nor a "machine", but rather embraces or overlaps two different statutory classes of invention due to the active verb "pinch". See MPEP 2173.05(p)II and 35 USC 112, second paragraph rejection below.

#### Claim Rejections - 35 USC § 112

#### 20. The following is a quotation of 35 U.S.C. 112(b):

(b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph: The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

21. Claims 1-5 & 7 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

**22. Claim 1** recites the limitation "a first pump drive section that feeds" in II. 9, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "feeds". (See MPEP 2173.05(p)II). It is suggested to amend "that feeds" to –that is configured to feed- in II. 9. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

**23. Claim 1** recites the limitation "a first pump drive section that ...stops feeding of the liquid" in II. 11, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "stops". (See MPEP 2173.05(p)II). It is suggested to amend "and stops of" to –and is configured to stop- in II. 11. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

24. Claim 1 recites the limitation "a second pump drive section that suctions" in II. 14, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "suctions". (See MPEP 2173.05(p)II). It is suggested to amend "that suctions" to –is configured to suction- in II. 14. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

**25. Claim 1** recites the limitations "a command for stopping the high-frequency output control signal- in II. 12-13 & 15-16. It is unclear if the "command" of II. 15-16 is the same as or different from that of II. 12-13. For purposes of examination, II. 15-16 will be interpreted as –the command for stopping the high-frequency output control signal-.

**26. Claim 1** recites the limitation "a second pump drive section that …stops suction of the liquid" in II. 16-17, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "stops". (See MPEP 2173.05(p)II). It is suggested to amend "and stops" to –and is configured to stop- in II. 16. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

27. Claims 2-5 & 7 depend from claim 1 and are thus also rejected.

**28. Claim 2** recites the limitation "set/changed" in II. 3. It is unclear if the claim is positively reciting -set and changed- or -set or changed- since the symbol "/" means "or" grammatically. For purposes of examination, the claim will be interpreted as -set or changed- in II. 3.

**29. Claim 3** recites the limitation "the energy" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the energy" to –the high-frequency current- in II. 3

**30. Claim 3** recites the limitation "the first pump" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the first pump" to –the first pump drive section- in II. 3.

**31. Claim 4** recites the limitation "the energy" in II. 2. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the energy" to –the high-frequency current- in II. 3

**32. Claim 4** recites the limitation "the output of the energy" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the output of the energy" to –the high-frequency current- in II. 3

**33. Claim 4** recites the limitation "the energy control section stops the second pump" in II. 3, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "stops". (See MPEP 2173.05(p)II). It is suggested to amend "the energy control section stops the second pump" to –the energy control section is configured to stop the second pump drive section- in II. 3. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

**34. Claim 4** recites the limitation "the second pump" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the first pump" to –the second pump drive section- in II. 3.

**35. Claim 5** recites the limitation "the energy control section performs control" in II. 1-2, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "performs". (See MPEP 2173.05(p)II). It is suggested to amend "the energy control performs control" to – the energy control section is configured to perform control- in II. 1-2. The claim will be

interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

**36. Claim 5** recites the limitation "the second pump" in II. 2, 3, & 5 (three times). There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the first pump" to –the second pump drive section- in II. 2, 3, & 5.

**37. Claim 5** recites the limitation "the stoppage" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the stoppage" to –stopping- in II. 3

**38. Claim 5** recites the limitation "the output" in II. 3. There is insufficient antecedent basis for this limitation in the claim. It is suggested to amend "the output" to –the high-frequency current- in II. 3

**39. Claim 5** recites the limitation "the pump" in II. 4. There is insufficient antecedent basis for this limitation in the claim. It is also unclear which "pump" is being referred to: "the first pump drive section" or the "second pump drive section". For purposes of examination, it will be interpreted as -the first pump drive section or the second pump drive section - in II. 4.

**40. Claim 5** recites the limitation "supply of the liquid via the second pump" in II. 4-5; however, claim 1, upon which claim 5 depends, recites the limitation "a first pump drive section that feeds the liquid...a second pump drive section that suctions the liquid". Thus, it is the first pump drive section that supplies the liquid, not the "second pump" as claimed in claim 5. It is unclear how the second pump can supply the liquid when it is aspirating the liquid. For purposes of examination, the claim will be interpreted as -the

pump is stopped after supply of the liquid for the predetermined period of time or in the predetermined amount---in II. 4-5.

**41. Claim 7** recites the limitation "an impedance detection section that detects an impedance" in II. 1-2, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "detects". (See MPEP 2173.05(p)II). It is suggested to amend "an impedance detection section that detects an impedance" to –an impedance detection section that detect an impedance- in II. 1-2. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

**42. Claim 7** recites the limitation "two pinching members that pinch the living tissue" in II. 2-3, making it a claim that is directed to neither a "process" nor a "machine". It is unclear if the claim is a product claim or a process claim due to the active verb "pinch". (See MPEP 2173.05(p)II). It is suggested to amend "two pinching members that pinch the living tissue" to –two pinching members that are configured to pinch the living tissue in II. 2-3. The claim will be interpreted in the suggested manner. Please note corresponding 35 USC 101 rejection above.

#### Claim Rejections - 35 USC § 102

43. The following is a quotation of the appropriate paragraphs of pre-AIA 35 U.S.C.102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

# 44. Claims 1 & 3-5 are rejected under pre-AIA 35 U.S.C. 102(b) as being anticipated by Utley et al. (2006/0259029).

45. Concerning claim 1, as illustrated in Fig. 82, Utley et al. disclose a surgical

apparatus (system 24; [0120]) comprising:

a treatment section for treating a living tissue (operative element 36; [0125]);

an energy generation section for providing high-frequency current to the

treatment section (generator 38 supplies radiofrequency treatment energy; [0130]);

a liquid feeding conduit for feeding a liquid to the living tissue (fluid delivery

apparatus 44 conveys processing fluid F through the lumen 98 for discharge at the

treatment site; [0179]);

a suction conduit for suctioning the liquid (aspirating apparatus 46 conveys aspirated material from or near from the operative element 36 through lumen 102 for discharge; [0133], [0180]);

an energy control section that outputs a high-frequency output control signal for controlling the high-frequency current from the energy generation section (controller 52 governs the power levels, cycles, and duration of radio frequency energy as well as delivery of processing fluid, and if desired, the removal of aspirated material; [0135]);

a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output (fluid delivery apparatus 44

comprises an integrated pump 428 that is coupled to the I/O device 54; [0394-0395), in response to a command for an output of the high- frequency output control signal from the energy control section, and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the high- frequency output control signal (when the foot pedal 416 is pressed, the controller 52 activates the pump rotor 428 and cooling liquid is conveyed through the treatment device TD into contact with mucosal tissue at the targeted site and after a preliminary time period, the controller 52 applies rf energy through the electrodes, and controller 52 terminates the conveyance of rf ablation energy and commands a flow of cooling liquid for a predetermined time after the energy is stopped; [0437-0438], [0451-0452]); and

a second pump drive section that suctions the liquid from the suction conduit (an additional dedicated pump rotor or equivalent pumping mechanism to perform the aspiration function; [0399]) for a predetermined period of time or in a predetermined amount, in response to a command for stopping the highfrequency output control signal from the energy control section, and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount (controller 52 terminates the conveyance of rf ablation energy and commands aspiration from the treatment device for a predetermined time after the energy is stopped in conjunction with the flow of cooling liquid; [0451-0452]).

The Examiner notes to Applicant that there is no requirement in the claims for the delivery of the cooling fluid to terminate at the same time as the energy.

The Examiner notes to Applicant that there is no requirement in the claims for the suctioning to only occur after termination of the energy.

46. Concerning **claim 3**, Utely et al. disclose the predetermined time to be set according to the period of time for driving the first pump (428) ([0451-0452]).

47. Concerning **claim 4**, Utely et al. disclose that the energy control section (52) stops the second pump after receiving the instruction to stop the output of energy ([0451-0452]; Fig. 82).

48. Concerning **claim 5**, Utely et al. disclose controlling the driving of the second suction pump to start when the high frequency energy is stopped, and stopping the second pump after the liquid has been supplied for the predetermined period of time ([0452]).

# 49. Claim 1 & 3-4 are rejected under pre-AIA 35 U.S.C. 102(b) as being anticipated by Yachi et al. (2006/0265035).

**50.** Concerning **claim 1**, as illustrated in Fig. 40-41, Yachi et al. disclose **a surgical apparatus** (ultrasonic treatment apparatus 750; [0280]) **comprising:** 

a treatment section for treating a living tissue (ultrasonic treatment instrument 751; [0283]);

an energy generation section for providing high-frequency current to the treatment section (a vibrator, not shown, of the ultrasonic suction treatment instrument 532 can be also connected to the ultrasonic generator 528 // or // high frequency power supply 527 can be connected to the ultrasonic treatment instrument 501 and the

ultrasonic suction treatment instrument 532, respectively, by a high frequency cable 541; [0221], [0224]);

a liquid feeding conduit for feeding a liquid to the living tissue (water supply unit 529 supplies fluid to water supply tube 531; [0208], [0222]);

a suction conduit for suctioning the liquid (suction unit 529 suctions from suction tube 542; [0222], [0224]);

an energy control section that outputs a high-frequency output control signal for controlling the high-frequency current from the energy generation section (control signal sent from control means 570 which is a control portion disposed in the ultrasonic generator 528 of the ultrasonic treatment apparatus 500 to the water supply/suction unit 529 and the insufflator 530 through the communication cable 538. That is, it is performed by operation control of the control means 570; [0235]);

a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high- frequency output control signal from the energy control section (first at Step S31, the ultrasonic vibration switch 535 is turned on and a sound signal is inputted. Then, at Step S22, by operation of the water supply/suction unit 529, it is determined whether a fluid of water is being supplied from the tip end opening portion 543 to the grip portion 5 and the probe 8; [0281]), and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the high- frequency output control signal; and a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or

in a predetermined amount, in response to a command for stopping the highfrequency output control signal from the energy control section, and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount (the water supply /suction unit 529 and the insufflator 530 are operated for a preset time of several seconds and a fluid of water is supplied from the tip end opening portion to the grip portion 5 or the probe 8, while smoke, mist or the like is sucked from the trocar 790. Then, at Step S47, it is determined whether the time during which the fluid is supplied and sucked has reached the preset several seconds or not. Returning to Step S47, if the time during which the fluid of water is supplied/sucked has reached the preset several seconds, the routine goes onto Step S48, where the water supply /suction unit 529 and the insufflator 530 are stopped and then, the routine is finished; [0286-0288]). 51. Concerning **claim 3**, Yachi et al. disclose the predetermined period of time to be

set according to the period of time for driving the first pump with the liquid ([0288]).

52. Concerning **claim 4**, Yachi et al. disclose that upon receipt of an instruction for generating energy (cycle of s50) after stopping energy (s42), the energy control section stops the second pump (248) ([0282], [0287]; Fig. 41).

#### Claim Rejections - 35 USC § 103

53. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been

obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

54. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating

obviousness or nonobviousness.

# 55. Claim 2 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yachi et al. (2006/0265035), as applied to claim 1, in further view of Kim et al. (2011/0040299).

56. Concerning **claim 2**, Yachi et al. disclose the predetermined period of time to be stored in a storage section pf generator (528) ([0235], [0243], [0246-0247]). Yachi et al. fail to disclose the predetermined period of time configured to be set or changed. However, Kim et al. disclose a surgical apparatus comprising a front panel (2305) of an energy control section (2301) that includes display and time set elements for various parameters of the surgical apparatus). At the time of the invention, it would have been obvious to one of ordinary skill in the art to modify the invention of Slater in view of Yachi et al. such that the predetermined period can be set or changed in order to

provide the benefit of user control on the input time parameters as taught by Kim et al. ([0034]; Fig. 1)

#### Conclusion

57. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: **Slater (5,417,709)** discloses an electrosurgical forceps with both aspirating and suctioning conduits; **Tadami (2010/0137751)** disclose an electrosurgical apparatus where suction is applied after energy is stopped for a predetermined amount of time, but fails to disclose a first pump section for feeding liquid.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAYMI DELLA whose telephone number is (571)270-1429. The examiner can normally be reached on M-Th 8:00-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on (571)272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAYMI DELLA/ Primary Examiner, Art Unit 3739

Notice of References Cited	Application/Control No. 14/163,528	Applicant(s)/Pa Reexamination SANAI, HIDEC	I
Notice of helefences ched	Examiner	Art Unit	
	JAYMI DELLA	3739	Page 1 of 1
	DATENT DOOUNENTO		

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-5,417,709 A	05-1995	Slater, Charles R.	606/205
*	В	US-2006/0265035 A1	11-2006	Yachi et al.	607/101
*	С	US-2006/0259029 A1	11-2006	Utley et al.	606/041
*	D	US-2010/0137751 A1	06-2010	Tadami, Yusuke	601/2
*	Е	US-2011/0040299 A1	02-2011	Kim et al.	606/33
	F	US-			
	G	US-			
	Т	US-			
	—	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	v	
	w	
	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

#### EAST Search History

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	75	malecki.in. and suction\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 14:11
L2	2	(10/952492).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 14:12
L3	5	(("5417709") or ("20100137751") or ("20110040299") or ("20060265035") or ("20060259029")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 14:15
S1	56	sanai-hideo\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:33
S2	8620	(olympus and medical and systems).as.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:33
S3	304	hatta-shinji\$.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:34
S4	8	(S1 or S3) and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:34
S5	15	(S1 or S3) and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:35
S6	7	S5 not S4	US-PGPUB; USPAT;	OR	OFF	2015/04/07 20:35

 $file:///Cl/Users/jdella/Documents/e-Red\%20Folder/14163528/EASTSearchHistory.14163528_AccessibleVersion.htm[4/29/2015\ 2:16:11\ PM]$ 

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S7	277	S2 and (pump or pumping or pumped) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:36
S8	193	S2 and (pump or pumping or pumped) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:36
S9	9	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("66668860") or ("20100185196") or ("20030040672") or ("20100324458") or ("6306131") or ("20010032002") or ("20070156134")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/07 20:46
S10	290	a61b18/0206.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:52
S11	1374	a61c1/07.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/0 20:52
S12	3466	a61f9/00745.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S13	294	a61f2013/15869.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:53
S14	1868	a61h23/0245.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:54

S15	190	a61h39/007.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:54
S16	1667	a61m11/005.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:55
S18	63	a61m2205/3693.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:56
S19	0	"14163528."	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S20	1	"14163528"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S21	24996	( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR A61B2218/002 OR A61B2218/007).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S22	2	"14163203"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:57
S23	30022	( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR A61B2018/00994 OR A61B2217/007 OR A61B2218/002).CPC. )	USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2015/04/07 20:58
S24	4949	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation	US-PGPUB; USPAT; USOCR; FPRS;	OR	OFF	2015/04/07 20:58

		or irrigating or irrigant or saline)	EPO; JPO; DERWENT; IBM_TDB			
S25	4535	(S21 or S23) and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/07 20:58
S26	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:38
S28	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:38
S29	827287	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (output or current or voltage or power or energy)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S30	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S31	283150	(stop or stopping or stoppage or stopped or terminate or terminating or termination or terminated or discontinue or discontinued or discontinuing) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:39
S32	487127	(time or duration or amount or volume or level) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:40
S34	605	S26 and S28 and S29 and S30 and S31	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 08:40
S35	235	S26 and S28 and S30 and (S31 same S29)	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/08 09:15

			FPRS; EPO; JPO; DERWENT; IBM_TDB			
S36	56	(S28 and (S26 same S32) and (S29 same S31) and (S26 same S30))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 09:32
S37	26	"9814131"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 10:25
S38	30	(fluid\$assisted and electrocautery and device).ti.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 10:26
S39	0	("2006265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S40	1	("20060265035").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 10:27
S41	4	"11433982"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:24
S42	1	(11/433982).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 11:24
S44	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1452 or a61b2018/1455 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b17/2909 or a61b17/30 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034 or a61b2017/2812 or or a61b2017/28 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 11:31
S45	134	S44 and S26 and S28 and S30	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/04/08 11:32

			DERWENT; IBM_TDB			
S46	437017	control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigation or	FPRS; EPO; JPO;	OR	OFF	2015/04/08 14:22
	33304	(a61b18/1442 or a61b18/1445 or a61b18/1447 or a61b2018/1442 or a61b2018/145 or s61b2018/1442 or a61b2018/1455 or a61b2018/1452 or a61b2018/146 or a61b2018/1457 or a61b2018/146 or a61b2018/1462 or a61b17/28 or a61b17/2812 or a61b17/2816 or a61b17/282 or a61b17/285 or a61b17/29 or a61b17/2909 or a61b17/30 or a61b2017/1125 or or a61b2017/22031 or a61b2017/22034 or a61b2017/2812 or or a61b2017/282 or or a61b2017/2812 or or a61b2017/282 or or a61b2017/29 or or a61b2017/2926 or a61b2017/30 or a61b10/06).cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08 14:23
548	242	S46 and S47	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
549	527895	(pump or pumping or pumped) with (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S50	1620869	high\$frequency or hf or radio\$frequency or rf	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
S51	881068	(pump or pumping or pumped) with (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:23
553	30	S46 and S47 and S49 and S50 and S51	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 14:24
S54	1	("20050033278").PN.	US-PGPUB;	OR	OFF	2015/04/08

			USPAT; USOCR			15:06
S55	1	("5417709").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:10
S56	213	("2397823"   "3019790"   "3980086"   "4646751"   "4750488"   "4763668"   "4880015"   "5016614"   "5123902"   "5131379"   "5141519"   "5152778"   "5195958"   "5197968"   "5209747"   "5217460"   "5224931"   "5286255"   "5300087"   "5312391").PN. OR ("5417709").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:13
S58	113	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 15:15
S59	9	S56 and (suction or suctioned or suctioning or aspirate or aspiration or aspirated or aspirating or vacuum or vacum) and (liquid or fluid or irrigation or irrigating or irrigant or saline) and S46	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 15:17
S60	1	("20050010212").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:23
S61	1	(10/206842).APP.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 15:26
S62	275280	discontinuing) near3 (energy or current or voltage or power)) with ((commence	USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/04/08 16:02
S63	611	S47 and S62 and S50	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:03
S65	20	S47 and S62 and S50 and S51 and S49	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;		OFF	2015/04/08 16:03

			IBM_TDB			
S66	4478	((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or termination or terminated or termination or terminated or termination or terminated or termination or cessation or finish or finished or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08
S67	278197	("128" or "606" or "607").clas.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	OFF	2015/04/08 16:28
S68	552	S66 and S67	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S69	43	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28

		terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)).clm.				
S70	9	S67 and S69	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:28
S71		(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (leuergy or current or voltage or power or output)) and ((terminate or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activated or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and arthrocare.as.			OFF	2015/04/08
S72	45		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08 16:37

S75	44	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) same (suction or suctioning or suctioned or	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/04/08 16:49
S74		("20080167645").PN.	US-PGPUB; USPAT; USOCR		OFF	2015/04/08 16:41
S73		de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or f or high\$frequency or hf or electrosurgical)) and arthrocare.as. and (controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) (((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspirate or aspirating or gas) with (pump or pumping or pumped)) and ((terminate or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activation or de\$activate or da\$activation or da\$activate or da\$activation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigation or irrigant or irrigation or irrigation or irrigant or irrigation or irrigation or irrigation or pumped or liquid or fluid or irrigate or irrigation or irrigation or irrigation or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2015/04/08 16:39
		finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or				

		aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or ff or high\$frequency or hf or electrosurgical)) and arthrocare.as. and ((controller or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))	FPRS; EPO; JPO; DERWENT; IBM_TDB			
S76	697	(suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum)) and	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/08

		pumped or liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas or suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum))				
S77	3	(("8523850") or ("8523851") or ("8523852")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/08 17:18
S78	1	("20060259029").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 07:22
S79	2231	(((liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas) with (pump or pumping or pumped)) and ((suction or suctioning or suctioned or aspirate or aspirating or aspirated or aspiration or vacuum or vacum) with (pump or pumping or pumped)) and ((terminate or termination or terminated or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or de\$activating or end or ending or ended or cease or ceased or ceasing or cessation or finish or finished or finishing or conclude or concluded or concluding) with (energy or current or voltage or power or output)) and ((terminate or terminating or stop or stopping or stopped or de\$activate or de\$activation or de\$activated or finishing or conclude or concluded or concluding) with (liquid or fluid or irrigate or irrigation or irrigant or irrigating or gas)) and (radio\$frequency or rf or high\$frequency or hf or electrosurgical)) and ((controller or micro\$controller or processor or micro\$controller or processor or micro\$processor or cpu or control\$unit) with (pump or pumping or pumped or irrigant or irrigating or gas or suction or irrigant or irrigating or gas or suction or irrigant or irrigating or gas or suction or irrigant or irrigating or aspirate or aspirating or aspirated or aspirate or aspirating or aspirate or aspirate or		OR	OFF	2015/04/29
S80	33909	(time or duration or minute or second) with (set or pre\$set or input) with (suction or suctioned or suctioning or aspirate or aspirated or aspiration or aspirating or vacuum)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 10:29
S81	18834	606/32-52.cds.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2015/04/29 10:29

			IBM_TDB			
S82	60	S80 and S81	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 10:29
S83	1505	with (suction or suctioned or suctioning	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:22
S84	29	S81 and S83	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:22
S85	85847	a61b18\$.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:37
S86	76075	a61b2018\$.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:37
S87	97114	S85 or S86	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:37
S88	36	S83 and S87	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:37
S89	108	or amount or volume) with impedance with (suction or suctioned or suctioning	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/04/29 11:42
S90	5	(("20100137751") or ("20030040672") or ("20100324458") or ("20060265035") or ("66666860")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/04/29 12:51

#### EAST Search History (Interference)

< This search history is empty>

4/ 29/ 2015 2:16:07 PM C:\ Users\ jdella\ Documents\ EAST\ Workspaces\ 14163528.wsp



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

#### **CONFIRMATION NO. 1030**

SERIAL NUM	BER	FILING OI			CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	RNEY DOCKET	
14/163,52	8	01/24/2			606		3739		3739		153190
		RUL	Ε								
	APPLICANTS OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN, Assignee (with 37 CFR 1.172 Interest);										
INVENTORS Hideo SA	INVENTORS Hideo SANAI, Hachioji-shi, JAPAN;										
whi	cation i ch clair	s a CON of F ms benefit of	°CT/JP201 61/636,26	13/060 9 04/2		1	/JD/				
** FOREIGN AF	PPLICA	TIONS *****	********	******	* None, /J	D/					
** <b>IF REQUIRE</b> 02/07/201			LICENS	E GRA	NTED **						
Foreign Priority claime		Yes No	D. Mot of	ftor	STATE OR		HEETS	тот		INDEPENDENT	
35 USC 119(a-d) cond	litions met JAYMI E E		Met af Allowa	ance	COUNTRY		WINGS	CLAI		CLAIMS	
	Examiner's	Signature	Initials		JAPAN		1	7		1	
ADDRESS											
OLIFF PL		0									
P.O. BOX		u 'A 22320-485	0								
UNITED S			-								
TITLE											
SURGICA	AL DEV	ICE									
							🗅 All Fe	es			
							🖵 1.16 F	Fees (Fil	ing)		
		Authority has	0		•	NT	🛛 1.17 F	Fees (Pr	ocess	ing Ext. of time)	
			Ũ				Other		,		
							Credit				
								-			

# Receipt date: 01/24/2014

·		Sheet 1 of 1		
Modified Form PTO-1449 (REV. 07/09) PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 153190	APPLICATION NO. New U.S. Patent Application		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	FIRST NAMED INVENTOR Hideo SANAI			
	FILING DATE January 24, 2014			

	U.S. PATENT DOCUMENTS									
Examiner Initials	Cite No.	Document Number	Date	Name						
	1	2010/0137751 A1	06/03/2010	Tadami						
	2	2003/0040672 A1	02/27/2003	Ogura et al.						
	3	2010/0324458 A1	12/23/2010	Okada et al.						
	4	2006/0265035 A1	11/23/2006	Yachi et al.						
	5	6,666,860 B1	12/23/2003	Takahashi						

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number	Date	Country	With English Abstract	With English Translation			
	6	WO 98/14131 A1	04/09/1998	WIPO					
	7	.ም-A-2006-341066	12/21/2006	JAPAN	x	x			
	8	JP-A-2006-187668	07/20/2006	JAPAN	X	Х			
	9	JP-A-2001-501513	02/06/2001	JAPAN		Х			
	10	JP-A-2001-112768	04/24/2001	JAPAN	X	Х			

	OTHER DOCUMENTS							
Examiner Initials	Cite No.	Including name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	11	International Search Report issued in International Application No. PCT/JP2013/060447 dated May 7, 2013 (with translation).						

EXAMINE	ER /Jaymi Della/	DATE CONSIDERED 04/07/2015
Examiner:	Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through the considered. Include copy of this form with next communication to applicant.	bugh citation if not in conformance and

Date: January ALLO REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.D./

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	14163528	SANAI, HIDEO
	Examiner	Art Unit
	JAYMI DELLA	3739

CPC- SEARCHED		
Symbol	Date	Examiner
( (A61B17/320092 OR A61B18/14 OR A61B18/1445 OR	2015/04/07	JD
A61B2218/002 OR A61B2218/007).CPC.)		
( (A61B17/320092 OR A61B18/12 OR A61B18/1445 OR	2015/04/07	JD
A61B2017/00026 OR A61B2018/00029 OR A61B2018/00684 OR		
A61B2018/00744 OR A61B2018/00761 OR A61B2018/00875 OR		
A61B2018/00994 OR A61B2217/007 OR A61B2218/0		

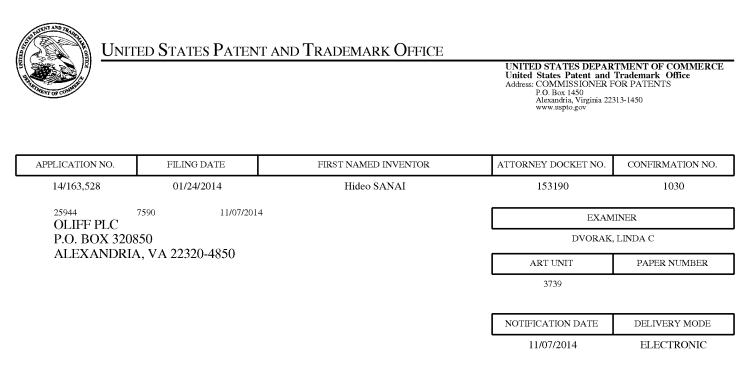
<b>CPC COMBINATION SETS - SEARCHED</b>		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOTES			
Search Notes	Date	Examiner	
see EAST search history	2015/04/29	JD	

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

	/JAYMI DELLA/ Primary Examiner.Art Unit 3739
--	-------------------------------------------------



#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OfficeAction25944@oliff.com jarmstrong@oliff.com UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Application of Hideo Sanai Application No.: 14/163,528 Filed: 24 January 2014 Attorney Docket No.: 153190 For: SURGICAL DEVICE DECISION ON REQUEST TO
PARTICIPATE IN THE PATENT
PROSECUTION HIGHWAY
PROGRAM AND PETITION
TO MAKE SPECIAL UNDER
37 CFR 1.102(a)

This is a decision on the request to participate in the Patent Prosecution Highway (PPH) program and the petition under 37 CFR 1.102(a), filed 30 July 2014, to make the above-identified application special.

The request and petition are **GRANTED**.

#### **DISCUSSION**

A grantable request to participate in the PPH pilot program and petition to make special require:

1. The U.S. application for which participation in the Global/IP5 PPH pilot program is requested must have the same earliest date, whether this is the priority date or filing date, as that of a corresponding national or regional application filed with another Global/IP5 PPH participating office or a corresponding PCT international application for which one of the Global/IP5 PPH participating offices was the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA).

2. Applicant must:

a. Ensure all the claims in the U.S. application must sufficiently correspond or be amended to sufficiently correspond to the allowable/patentable claim(s) in the corresponding Office of Earlier Examination (OEE) application and

- b. Submit a claims correspondence table in English;
- 3. Examination of the U.S. application has <u>not</u> begun;
- 4. Applicant must submit:
  - a. Documentation of prior office action:

i. a copy of the office action(s) just prior to the "Decision to Grant a Patent" from each of the Global/IP5 PPH participating office application(s) containing the allowable/patentable claim(s) or

ii. if the allowable/patentable claims(s) are from a "Notification of Reasons for Refusal" then the Notification of Reasons for Refusal or

iii. if the Global/IP5 PPH participating office application is a first action allowance then no office action from the Global/IP5 PPH participating office is necessary should be indicated on the request/petition form or iv. the latest work product in the international phase of the OEE PCT application;

b. An English language translation of the Global/IP5 PPH participating office action or work product from (4)(a)(i)-(ii) or (iv) above;

5. Applicant must submit:

a. An IDS listing the documents cited by the Global/IP5 PPH participating office examiner in the Global/IP5 PPH participating office action or work product (unless already submitted in this application)

b. Copies of the documents except U.S. patents or U.S. patent application publications (unless already submitted in this application);

The request to participate in the PPH pilot program and petition comply with the above requirements. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3204. All other inquiries concerning the examination or status of the application is accessible in the PAIR system at <u>http://www.uspto.gov/ebc.index.html</u>.

This application will be forwarded to the examiner for action on the merits commensurate with this decision.

/SDB/

Sherry D. Brinkley Paralegal Specialist Office of Petitions

Office of Petitions: Dec	ision Count Sheet	Mailing Month
Application No.	14163528	* 1 4 1 6 3 5 2 8 *
For US serial numbers: enter num For PCT: enter "51+single digit of	-	Ex: 10123456 . for PCT/US05/12345, enter 51512345
Deciding Official:	BRINKLEY, SHER	RY
Count (1) - Palm Credit Decision: GRANT - Decision Type: 652 - Petition to	14/163,528 FINANCE WORK NEEDED Select Check Box for YES	$\mathbf{F} = \begin{bmatrix} \mathbf{H} \\ \mathbf{H} $
Notes:		* 6 5 2 *
Count (2)		
Decision: n/a	FI NANCE WORK NEEDED	S
Decision Type: NONE		
Notes:		
Count (3) Decision: n/a	FI NANCE WORK NEEDED	5
Decision Type: NONE		
Notes:		
Initials of Approving O	fficial (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 11/4/2014	Of	fice of Petitions Internal Document - Ver. 5.0

**Office of Petitions: Routing Sheet** 



Application No.: 14/163,528

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application.



### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Group Art Unit:

Application No.: 14/163,528

Examiner:

Filed: January 24, 2014

Docket No.: 153190

For: SURGICAL DEVICE

# PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Please consider the following:

Amendments to the Claims as reflected in the listing of claims;

Remarks.

### Amendments to the Claims:

The following listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Original) A surgical apparatus comprising:

a treatment section for treating a living tissue;

an energy generation section for providing high-frequency current to the treatment section;

a liquid feeding conduit for feeding a liquid to the living tissue;

a suction conduit for suctioning the liquid;

an energy control section that outputs a high-frequency output control signal for controlling the high-frequency current from the energy generation section;

a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the highfrequency output control signal from the energy control section, and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the highfrequency output control signal; and

a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, in response to a command for stopping the high-frequency output control signal from the energy control section, and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount.

2. (Original) The surgical apparatus according to claim 1, wherein the predetermined period of time or the predetermined amount is stored in a storage section and can be set/changed.

-2-

3. (Original) The surgical apparatus according to claim 1, wherein the predetermined period of time or the predetermined amount is set according to a period of time of outputting the energy or a period of time of driving the first pump.

4. (Original) The surgical apparatus according to claim 1, wherein upon receipt of an instruction for generating the energy to the energy generation section after stoppage of the output of the energy, the energy control section stops the second pump.

5. (Original) The surgical apparatus according to claim 1, wherein the energy control section performs control so that driving of the second pump is started so as to perform suction of the liquid via the second pump, in response to the stoppage of the output of the high-frequency output control signal, and the pump is stopped after supply of the liquid via the second pump for the predetermined period of time or in the predetermined amount.

6. (Canceled)

7. (Original) The surgical apparatus according to claim 1, comprising an impedance detection section that detects an impedance between two pinching members that pinch the living tissue in the treatment section,

wherein the predetermined period of time or the predetermined amount varies according to the impedance detected by the impedance detection section.

-3-

### **REMARKS**

Claims 1-5 and 7 are pending in this application. By this Amendment, claim 6 is cancelled. No new matter is added.

In view of the foregoing, it is respectfully submitted that this application is in condition for allowance. Favorable reconsideration and prompt allowance are earnestly solicited.

Should the Examiner believe that anything further would be desirable in order to place this application in even better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

James A. Oliff

James A. Oliff Registration No. 27,075

B. Graham Nelson Registration No. 72,699

JAO:BGN/dlh

Date: July 30, 2014

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Ac	Electronic Acknowledgement Receipt							
EFS ID:	19727213							
Application Number:	14163528							
International Application Number:								
Confirmation Number:	1030							
Title of Invention:	SURGICAL DEVICE							
First Named Inventor/Applicant Name:	Hideo SANAI							
Customer Number:	25944							
Filer:	Linda Marie Saltiel/Dustin Halas							
Filer Authorized By:	Linda Marie Saltiel							
Attorney Docket Number:	153190							
Receipt Date:	30-JUL-2014							
Filing Date:	24-JAN-2014							
Time Stamp:	14:20:50							
Application Type:	Utility under 35 USC 111(a)							

# Payment information:

Submitted wit	h Payment	no					
File Listing	<b>j:</b>						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Petition to make special under Patent	153190pph.pdf	340581	340581 no			
·	Prosecution Hwy	13313000011001	64189251ecc5f046395e97f9c4fd91c6478c 205a	110	3		
Warnings:	·		· · ·				
Information:							

2	Petition to make special under Patent	153190OA.pdf	340284	no	5	
-	Prosecution Hwy		d9a38b5c852876a3822c002b8cf236ad31a 83ba4			
Warnings:	· · ·		·		•	
nformation	n:		_			
3		240969	yes	4		
		153190prelim.pdf	879c7bd8372c21d330be68ebcbecc32146f 7f31d	,		
	Multipa	art Description/PDF files in	n .zip description			
	Document Des	cription	Start	E	nd	
	Preliminary Ame	ndment	1		1	
	Claims		2		3	
	Applicant Arguments/Remarks N	Made in an Amendment	4	4		
Warnings:						
Informatior	n:					
		Total Files Size (in byte	s): 9	21834		
	wledgement Receipt evidences receipt ed by the applicant, and including pag	on the noted date by the	USPTO of the indicated			
characteriz Post Card, a <u>New Applic</u> If a new app 1.53(b)-(d) a Acknowled <u>National Sta</u> If a timely s U.S.C. 371 a	wledgement Receipt evidences receipt ed by the applicant, and including pag as described in MPEP 503. <u>ations Under 35 U.S.C. 111</u> plication is being filed and the applicat and MPEP 506), a Filing Receipt (37 CFI gement Receipt will establish the filing age of an International Application une ubmission to enter the national stage of and other applicable requirements a Fo	on the noted date by the e counts, where applicabl ion includes the necessary R 1.54) will be issued in du date of the application. <u>der 35 U.S.C. 371</u> of an international applica orm PCT/DO/EO/903 indica	USPTO of the indicated e. It serves as evidence y components for a filir le course and the date s ation is compliant with ating acceptance of the	of receipt s ng date (see shown on th the condition application	imilar to 37 CFR his ons of 33	

#### VERIFICATION OF TRANSLATION

The undersigned, residing at Musashi Bldg. 4-4, Nishishinjuku 7-chome, Shinjuku-ku, Tokyo, Japan,

Japanese Patent Application No. 2013-558264

### declares:

- 1) that I know well both the Japanese and English Languages;
- 2) that I translated the Office Action from Japanese to English;
- 3) that the attached English translation is a true and correct translation of the Office Action of the above-identified Japanese Application to the best of my knowledge and belief; and
- 4) that all statements made of my own knowledge are true and that all statements made on information and belief are with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereof.

Signature of translator

Kenji MATSUMOTO

Dated: July 10, 2014

# Office Action (Notice of Examiner's Reasons for Rejection)

Japanese Patent Application No. 2013-558264 Drafting date: February 17, 2014 Examiner in JPO: Satoshi MURAKAMI 9424 3I00 Attorneys of Applicant of Patent Application: Mr. Susumu ITOH (and two others) Applied Article(s): Article 36

This application shall be rejected by the reasons mentioned below. If the applicant should have any arguments against this, the applicant is advised to file the same within sixty days from the mail date of this notice.

### Reason

The subject application includes claims for patent which do not comply with the requirement provided in Article 36, paragraph 6, item 2 of Japanese Patent Law in the points noted below.

#### Note

In claim 6, inclusion of an operating handle is recited but it is unclear what is operated by the operating handle, which renders the invention indefinite. For example, there is a question that it is not technically held except a case where the operating handle generates energy. Further, claim 6 refers to claim 1 and as a result claim 6 renders the invention indefinite. That is, when the recitation of claim 1 is normally interpreted, it is interpreted that the second pump is driven upon receiving a command for stopping the high-frequency output control signal, and it is not deemed that the second pump is driven in response to an energy output, intended by claim 6 and shown in the second embodiment. Furthermore, in the case where the second pump is driven upon receiving the command for stopping the high-frequency output control signal, the liquid suction restricting mechanism is unnecessary and technically indefinite.

Thus, the invention according to claim 6 is not clear.

<Claims for which reason for rejection is not found>

No reason for rejection is found for the inventions defined in claims 1through 5 and 7 at present. If a reason for rejection is newly found, the reason for rejection

_____

Record of the prior art document search

- Searched Field IPC A61B 18/00
- Prior Art Documents

Japanese Patent Application Laid-Open Publication No. 2006-341066 Japanese Patent Application Laid-Open Publication No. 2006-187668

This record of the result of prior art document search does not constitute reasons for rejection.

If the applicant should have any inquiry in connection with the contents of this notice or wish to conduct an interview thereon, please contact the following. Patent Examination Section, 2nd Division, Welfare Service Apparatus (Therapeutic Apparatus) Satoshi MURAKAMI

TEL. 03 (3581) 1101 Extension 3346 FAX. 03 (3501) 0672 整理番号:

1

拒絶理由通知書

特許出願の番号	特願2013-558264
起案日	平成26年 2月17日
特許庁審查官	村上 聡 9424 3100
特許出願人代理人	伊藤 進(外 2名) 様
適用条文	第36条

この出願は、次の理由によって拒絶をすべきものです。これについて意見が ありましたら、この通知書の発送の日から60日以内に意見書を提出してくだ さい。

#### 理由

この出願は、特許請求の範囲の記載が下記の点で、特許法第36条第6項第2 号に規定する要件を満たしていない。

### 記

請求項6に、操作ハンドルを有することが記載されているが、この操作ハンド ルが何を操作するハンドルであるのか不明であり発明を不明確にしている。例え ば、操作ハンドルがエネルギーを発生させるもの以外の場合には、技術的に成立 しないのではないか。さらに、請求項6は、請求項1を引用している結果、発明 を不明確にしている。つまり、請求項1の記載を通常に解すれば、高周波出力制 御信号の停止命令を受けて第2のポンプが駆動されるものと解され、請求項6が 意図している第2の実施の形態にあるような、エネルギー出力に応じて第2のポ ンプを駆動させるようなものとは認められない。また、高周波出力制御信号の停 止命令を受けて第2のポンプが駆動されるものの場合、流体吸引規制機構は不要 となり技術的に不明確になる。

よって、請求項6に係る発明は明確でない。

<拒絶の理由を発見しない請求項>

請求項1ないし5、7に係る発明については、現時点では、拒絶の理由を発見 しない。拒絶の理由が新たに発見された場合には拒絶の理由が通知される。 0

先行技術文献調査結果の記録

・調査した分野 IPC A61B18/00

・先行技術文献 特開2006-341066号公報 特開2006-187668号公報

この先行技術文献調査結果の記録は、拒絶理由を構成するものではありません

この拒絶理由通知の内容に関するお問い合わせ、または面接のご希望がござい ましたら下記までご連絡下さい。

審査第二部福祉サービス機器(治療機器) 村上 聡 TEL. 03 (3581) 1101 内線3346 FAX. 03 (3501) 0672

### Doc Code: PPH.PET.652

Document Description: Petition to make special under Patent Pros Hwy

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	ΡΑΤΕ			IN THE GLOBAL/IP5 PILOT PROGRAM IN THE USPTO				
Application No	In No.: 14/163,528 First Named Inventor: Hideo SANAI							
Filing Date:	Janua	ary 24, 2014	Attorney Docket No.:	153190				
Title of the Invention:	SURGIC	AL DEVICE						
SUBMITTED	/IA EFS-WE	RTICIPATION IN THE PPH B. INFORMATION REGA V/PATENTS/PROCESS/F	RDING EFS-WEB IS AV	NG WITH THE REQUIRED DOCUMENTS MUST BE AILABLE AT				
PETITIONS T	O MAKE TH	E ABOVE-IDENTIFIED AF	PLICATION SPECIAL U	SECUTION HIGHWAY (PPH) PILOT PROGRAM AND INDER THE PPH PILOT PROGRAM.				
		nation (OEE): ^{Japan (J}						
				to U.S. PROV 61/636,269, and U.S. 14/163,528 is continuation of that PCT )				
Both the OE the followin	E applicat g earliest c	ion and the above-ide late (filing or priority c	ntified U.S. application late): April 20, 2012 (filing	on have date of U.S. PROV 61/636,269)				
Type of OE	E work pro	duct relied upon: Dec	ision to grant a patent					
Mailing date	of OEE w	ork product: June 3, 201	4					
l. Req	uired Docu	iments:						
a.	A copy of	the most recent office	action prior to the d	ecision to grant a patent or the most recent PCT				
	work prod	uct (along with an Eng	lish translation, if no	ot in the English language):				
	🖸 is	attached.						
		already present in the	,,					
	🔲 is	not attached because i	t is available to the US	SPTO via the Dossier Access System or WIPO's				
		ATENTSCOPE system.						
	🗖 is	not attached because t	he decision to grant a	patent was the first office action.				
b.	(1) An info	rmation disclosure st	atement listing the d	ocuments cited in the OEE work product:				
	i:	s attached.						
	E ł	nas already been filed in	the U.S. application.					
	i:	s not attached because	no references were ci	ted in the document in section a. above.				
	(2) Copies	of all cited document	s (except for U.S. pat	ents or U.S. patent application publications)				
		are attached.						
		nave already been filed						
		are not attached becaus	e no references were	cited in the document in section a. above.				

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 119, 37 CFR 1.55, and 37 CFR 1.102(d). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

REQUES	T For I	PARTICIPATION IN	THE GLOBAL/IP5 PPH PILOT PROGRAM IN THE USPTO (continued)
Application No.:	14/16	3,528	First Named Inventor: Hideo SANAI
II. Claims Corr	espond	ence Table:	
Claims in US App	lication	Patentable Claims in OEE Application	Explanation regarding the correspondence
1		1	US Claim 1 sufficiently corresponds to JP Claim 1.
2		2	US Claim 2 sufficiently corresponds to JP Claim 2.
3		3	US Claim 3 sufficiently corresponds to JP Claim 3.
4		4	US Claim 4 sufficiently corresponds to JP Claim 4.
5		5	US Claim 5 sufficiently corresponds to JP Claim 5.
7		6	US Claim 7 sufficiently corresponds to JP Claim 6.
· · · · · · · · · · · · · · · · · · ·			
III. All the clai OEE applicatio		e US application s	ufficiently correspond to the patentable/allowable claims in the
Signature	A	Min	_{Date} July 30, 2014
Name <b>B</b>	Grah	am Nelson	72 699
(Print/Typed)	Siul		(Page 2 of 2)

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Г	ATENT APPLI		for Form PT		RECORD		n or Docket Number /163,528	Filing Date 01/24/2014	To be Mailed
							ENTITY: 🛛 L	ARGE 🗌 SMA	
				APPLIC/	ATION AS FILE	D – PAR	ТІ		
			(Column 1	)	(Column 2)		-		
_	FOR		NUMBER FIL	ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), (		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))	(4))	min	us 20 = *			X \$ =		
INC	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	FEE for frac	paper, the a small entity	pplication size f	gs exceed 100 sho ee due is \$310 (\$ onal 50 sheets or . 41(a)(1)(G) and	155			
	MULTIPLE DEPEN	IDENT CLAIM F	RESENT (37	′ CFR 1.16(j))					
* If	the difference in colu	ımn 1 is less tha	an zero, enter	"0" in column 2.			TOTAL		
LN.	07/30/2014	(Column 1) CLAIMS REMAINING AFTER AMENDMEN	r	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXT	RA	RATE (\$)	ADDITIC	DNAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 6	Minus	** 20	= 0		x \$80 =		0
Ϊ	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		x \$420 =		0
AΝ	Application Si	ze Fee (37 CFF	l 1.16(s))					_	
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEND	DENT CLAIM (37 CFF	R 1.16(j))				
		(Column 1)		(Column 2)	(Column 3)		TOTAL ADD'L FE	E	0
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	RA	RATE (\$)	ADDITIC	ONAL FEE (\$)
DMENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ΜQ	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
AMEN	Application Si	ze Fee (37 CFF	l 1.16(s))						
¥				DENT CLAIM (37 CFF	R 1.16(j))				
** lf ***	the entry in column ² the "Highest Numbe If the "Highest Numb "Highest Number P collection of informat	er Previously Pa er Previously Pa	id For" IN TH aid For" IN Th	IS SPACE is less HIS SPACE is less Independent) is the	than 20, enter "20". than 3, enter "3".	und in the a	TOTAL ADD'L FE LIE /VERONICA [ ppropriate box in colur	DAY EVERETT/	

preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED ST	ates Patent and Tradem	UNITED STA United State Address COMMI P. Box	ia, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/163,528	01/24/2014	Hideo SANAI	153190
			<b>CONFIRMATION NO. 1030</b>
25944		PUBLICA	TION NOTICE
OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320	-4850		OC000000069514460*

Title:SURGICAL DEVICE

Publication No.US-2014-0194871-A1 Publication Date:07/10/2014

# NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

	ΡΑΤ	ENT APPLI		<b>DN FEE DE</b> titute for Form		TION RECOP	RD		tion or Docket Num 3,528	nber	
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTIT									OTHER THAN OR SMALL ENTITY		
	FOR	NUMBE	R FILE	D NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)	
	SIC FEE FR 1.16(a), (b), or (c))	N	/A	٩	J/A	N/A		]	N/A	280	
	RCH FEE FR 1.16(k), (i), or (m))	N	/A	٩	J/A	N/A		1	N/A	600	
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	٩	J/A	N/A		1	N/A	720	
	AL CLAIMS FR 1.16(i))	7	minus	20= *				OR	× 80 =	0.00	
	EPENDENT CLAI FR 1.16(h))	^{MS} 1	minus	3 = *				1	× 420 =	0.00	
FEE	PLICATION SIZ E CFR 1.16(s))	E sheets of p \$310 (\$15 50 sheets	oaper, th 5 for sma or fractic	and drawings e e application si all entity) for ea on thereof. See CFR 1.16(s).	ze fee due is ch additional					0.00	
MUL	TIPLE DEPENDI	ENT CLAIM PRE	SENT (3	7 CFR 1.16(j))						0.00	
*lft	he difference in c	olumn 1 is less th	an zero,	enter "0" in colur	mn 2.	TOTAL		1	TOTAL	1600	
		CATION AS A			1			-			
		(Column 1)		(Column 2)	(Column 3)	SMAL	L ENTITY	OR	OTHEF SMALL		
NT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
ΝÜ	Total (37 CFR 1.16(i))	*	Minus	**	=	x =	=	OR	x =		
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =	=	OR	x =		
AM	Application Size F	ee (37 CFR 1.16(s))									
	FIRST PRESENT	ATION OF MULTIPL	E DEPEN	DENT CLAIM (37 (	CFR 1.16(j))			OR			
	1					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)		-	-			
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
ΜË	Total (37 CFR 1.16(i))	*	Minus	**	=	X =	=	OR	x =		
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =	=	OR	x =		
AM		ee (37 CFR 1.16(s))	-					]			
	FIRST PRESENT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))			OR			
	1					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
*	<ul> <li>If the entry in co</li> <li>If the "Highest N</li> <li>If the "Highest Ni</li> <li>The "Highest Num</li> </ul>	lumber Previous umber Previously I	ly Paid Fo Paid For"	or" IN THIS SPA IN THIS SPACE is	CE is less than s less than 3, en	20, enter "20".	ox in column 1.				

	United State	<u>s Patent</u>	and Tradema	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER FO PC: Box 1450 Alexandria, Virginia 22313 www.uspto.gov	d Trademark ( R PATENTS	
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/163,528	01/24/2014		1740	153190	7	1
25944 OLIFF PLC P.O. BOX 320 ALEXANDRIA	850 , VA 22320-485	50			G RECEIF	-

Date Mailed: 04/03/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Hideo SANAI, Hachioji-shi, JAPAN;

Applicant(s)

OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN

Assignment For Published Patent Application

OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 25944

# Domestic Priority data as claimed by applicant

This application is a CON of PCT/JP2013/060447 04/05/2013 which claims benefit of 61/636,269 04/20/2012

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 02/07/2014 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 14/163,528 Projected Publication Date: 07/10/2014 Non-Publication Request: No Early Publication Request: No Title

SURGICAL DEVICE

### **Preliminary Class**

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

# **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.:14/163,528

Filed: January 24, 2014

Docket No.: 153190

**Mail Stop Missing Parts** 

Attn:

For: SURGICAL DEVICE

### RESPONSE TO NOTICE TO FILE MISSING PARTS WITH TRANSLATION INCLUDING DRAWINGS AND CONFIRMATION OF SUBMISSION OF TRANSLATION OF PROVISIONAL APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on <u>February 10, 2014</u>, submitted herewith is a translation of the application including drawings. Upon information and belief, the translation is an accurate English translation of the application as filed.

					SMALL	ENTITY		OTHER TH	
	(Column I)	(Column 2)	(Column 3)				, 5	SMALL EN	TITY
	CLAIMS REMAINING AFTER TRANSLATION AND ANY PRELIMINARY AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADD'L FEE	<u>OR</u>	RATE	ADD'L FEE
TOTAL CLAIMS	*7 MINUS	**20	=0		x 40 =	\$		x 80 =	\$
INDEP CLAIMS	*1 MINUS	***3	=0		x 210 =	\$		x 420 =	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ 390 =	\$	OR	+ 780 =	\$
						\$			\$

[†]round up to next integer

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Please charge my Deposit Account No. <u>15-0461</u> in the amount of  $\boxtimes$  \$140.00 for the fee under 37 C.F.R. §1.17(i) and any excess claim fees noted above.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Examination and allowance of this application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated for this filing to Deposit Account No. 15-0461.

Respectfully submitted,

uise

James A. Oliff Registration No. 27,075

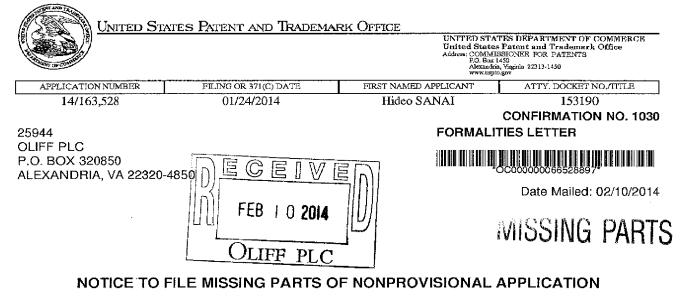
Todd M. Guise Registration No. 46,748

Olga Hernandez Registration No. 58,232

JAO:TMG/OZH/jxr

Date: March 24, 2014

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461



FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

DUE DATE

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification, a statement that the translation is accurate, and the processing fee set forth in 37 CFR 1.17(i). (See 37 CFR 1.52(d)).
- The above-identified nonprovisional application is claiming the benefit of a prior-filed provisional application that is in a non-English language. Pursuant to 37 CFR 1.78(a)(5), applicant is required to file:

1. In the prior-filed provisional application, an English language translation of the prior-filed provisional application and a statement that the translation is accurate; and

2. In the above-identified nonprovisional application, a confirmation that the translation and statement have been filed in the prior-filed provisional application.

To avoid abandonment of the above-identified nonprovisional application, applicant is required to file these items within the time period set forth in this notice, unless applicant files a corrected application data sheet in compliance with 37 CFR 1.76 and, if appropriate, an amendment to the specification, to delete the benefit claim within the time period. Please note that once applicant deletes the benefit claim to the prior-filed provisional application, the Office will not accept any resubmission of the benefit claim.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

• Applicant must file an English translation of the application, the \$ 140 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

#### SUMMARY OF FEES DUE:

The fee(s) required within TWO MONTHS from the date of this Notice to avoid abandonment is/are:

page 1 of 2

DOCKETED 800

• \$ 140 English translation surcharge.

• \$( .00) Previous Payment Amount.

•\$ 140 TOTAL FEE BALANCE DUE.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <u>http://www.uspto.gov/ebc.</u>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ebanaybanay/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

# PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: 14/163,528

Filed: January 24, 2014

Docket No.: 153190

For: SURGICAL DEVICE

# PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Please consider the following:

Amendments to the Specification; and

Remarks.

# Amendments to the Specification:

Please replace the Abstract with the attached substitute Abstract.

### REMARKS

Claims 1-7 are pending in this application. By this Amendment, the Abstract is substituted. No new matter is added.

Should the Examiner believe that anything further would be desirable in order to place this application in even better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

Luise

James A. Oliff Registration No. 27,075

Todd M. Guise Registration No. 46,748

Olga Hernandez Registration No. 58,232

JAO:TMG/OZH/jxr

Attachment: Substitute Abstract

Date: March 24, 2014

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

## ABSTRACT

A surgical apparatus includes: a treatment section treating a living tissue; an energy generation section providing high-frequency current to the treatment section; a liquid feeding conduit feeding a liquid to the living tissue; a suction conduit suctioning the liquid; an energy control section that outputs a high-frequency output control signal for controlling the energy generation section; a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, according to a command of the high-frequency output control signal; and a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, according to a command for stopping the high-frequency output control signal, and stops suction of the liquid after the suction.

### SURGICAL APPARATUS

### CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation application of PCT/JP2013/060447 filed on April 5, 2013 and claims benefit of U.S. Provisional Patent Application No. 61/636,269 filed in the U.S.A. on April 20, 2012, the entire contents of which are incorporated herein by this reference.

### BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a surgical apparatus, and specifically relates to a surgical apparatus that can output high-frequency current.

### 2. Description of the Related Art

Surgical treatment instruments are used for treatments such as coagulation or dissection of a living tissue in surgical operations. Among the surgical treatment instruments, there are those of a type that pinches or comes into contact with a living tissue to perform treatment (what is called a "scissors shape" or a "scoop shape" type). Also, as surgical treatment instruments, for example, high-frequency treatment instruments that can output high-frequency current have been known. As surgical treatment instruments, for example, ultrasound treatment instruments that can output high-frequency current, and furthermore, in recent years, energy treatment instruments that can simultaneously output ultrasound vibration and high-frequency current have been known.

In a scissors shape-type ultrasound treatment instrument, one of the members performs ultrasound vibration, and the other jaw member is opened/closed relative to the one member for pinching. Also, a scissors shape-type high-frequency treatment instrument provides a bipolar output of high-frequency current using two members. There are cases where such treatment instrument is used to provide treatment using a high-frequency output while dripping saline. For example, in order to stop oozing bleeding in the parenchyma of a liver, that is, in order to stop the flow of blood oozing over a board area, a high-frequency output is provided while saline is dripped. Providing a high-frequency output with the oozing bleeding area immersed in saline enables a treatment to stop the oozing bleeding to be provided over the broad area. When such treatment to stop oozing bleeding is provided, a puddle of saline is formed inside the body, and thus, an assistant suctions the accumulated saline using a suction tube.

Also, the specifications of US Patent Application Laid-Open Publication Nos. US2010/137751A1, US2003/0040672A1 and US2010/0324458A1 each disclose a surgical apparatus that supplies a liquid to a treatment instrument and suctions the liquid during treatment.

### SUMMARY OF THE INVENTION

A surgical apparatus according to an aspect of the present invention includes: a treatment section for treating a living tissue; an energy generation section for providing high-frequency current to the treatment section; a liquid feeding conduit for feeding a liquid to the living tissue; a suction conduit for suctioning the liquid; an energy control section that outputs a high-frequency output control signal for controlling the high-frequency current from the energy generation section; a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the highfrequency output control signal from the energy control section, and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the high-frequency output control signal; and a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, in response to a command for stopping the high-frequency output control signal from the energy control section, and stops suction of the liquid

- 2 -

from the suction conduit after the suction for the predetermined period of time or in the predetermined amount.

# BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagram for describing a configuration of a surgical apparatus according to a first embodiment of the present invention;

Fig. 2 is a block diagram illustrating configurations of a power supply unit 12, a liquid feeding unit 13 and a suction unit 14 according to the first embodiment of the present invention;

Fig. 3 is a diagram indicating a relationship between a high-frequency output time period To and a suction time period Ts, which is stored in a storage section 42, according to the first embodiment of the present invention;

Fig. 4 is a flowchart illustrating an example of processing performed by a CPU 41 that controls an HF output section 44 and pump drive sections 46 and 48, according to the first embodiment of the present invention;

Fig. 5 is a flowchart illustrating an example of processing performed by the CPU 41 that controls the HF output section 44 and the pump drive sections 46 and 48, according to the first embodiment of the present invention;

Fig. 6 is a timing chart of an output signal EOUT for a high-frequency current output and pump drive signals PiOUT and PsOUT for pumps 47 and 49 according to the first embodiment of the present invention;

Fig. 7 is a diagram for describing a configuration of a surgical apparatus 1A according to a second embodiment of the present invention;

Fig. 8 is a flowchart illustrating an example of processing performed by a CPU 41 that controls an HF output section 44 and pump drive sections 46 and 48 according to the second embodiment of the present invention;

Fig. 9 is a flowchart illustrating an example of processing performed by the CPU 41 that controls the HF output section 44 and the pump drive sections 46 and 48 according to the second embodiment of the present invention; and

- 3 -

Fig. 10 is a timing chart of an output signal EOUT for a high-frequency current output, a pump drive signal PiOUT for a pump 47, a pump drive signal PsOUT for a pump 49, and saline suction from an opening portion 21c, according to the second embodiment of the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention will be described below by means of embodiments. (First Embodiment)

Fig. 1 is a diagram for describing a configuration of a surgical apparatus according to a first embodiment of the present invention. As illustrated in Fig. 1, a surgical apparatus 1 includes a treatment instrument 11, a power supply unit 12, a liquid feeding unit 13 and a suction unit 14.

The treatment instrument 11 is a scissors shape-type surgical treatment instrument that can output at least either ultrasound vibration energy or high-frequency current energy. The treatment instrument 11 includes a treatment unit 21, a handle unit 22, a transducer unit 23, a signal cable 24 and a liquid feeding tube 25.

The treatment unit 21 includes a treatment section 31 for treating a living tissue, and an elongated sheath portion 32. The treatment section 31 includes a probe 31a, and a movable member 31b, which is a jaw member. The sheath portion 32 is a cylindrical member, and a shaft member or the like for opening/closing the probe 31a and the movable member 31b relative to each other is inserted inside the sheath portion 32. The movable member 31b can pivot with a pin 32a as a pivot axis, the pin 32a being provided at a distal end of the sheath portion 32, according to a motion of the shaft member or the like by an operation of the handle unit 22. Accordingly, a distal end portion of the probe 31a and the movable member 31b form a pinching portion that pinches a living tissue.

Furthermore, at a distal end portion of the treatment unit 21, an opening portion 21a for feeding saline is provided, and the opening portion 21a is connected to a tube 21b inserted inside the sheath portion 32. As indicated by dotted arrow a in Fig. 1, the opening portion 21a and a tube 12b are disposed so that saline is fed

- 4 -

and dripped toward a pinching part between the probe 31a and the movable member 31b in the treatment section 31. Accordingly, the opening portion 21a is a liquid feeding port provided in the treatment section 31, for feeding saline from the tube 21b, which is a liquid feeding conduit, between a living tissue and the treatment section 31.

A proximal end portion of the tube 21b inserted also inside the handle unit 22 is connected to the liquid feeding tube 25, whereby the tube 21b and the liquid feeding tube 25 are in communication with each other. As described later, the treatment instrument 11 is configured so that saline, which is a liquid fed from the liquid feeding unit 13, can pass through the liquid feeding tube 25 and the tube 21b and be ejected from the opening portion 21a. Accordingly, the liquid feeding tube 25 and the tube 21b form a liquid feeding conduit for feeding saline.

Also, at the distal end portion of the treatment unit 21, an opening portion 21c for suctioning saline is provided, and the opening portion 21c is connected to a tube 21d inserted inside the sheath portion 32. A proximal end portion of the tube 21d inserted also inside the handle unit 22 is connected to a suction tube 26, whereby the tube 21d and the suction tube 26 are in communication with each other. As described later, the treatment instrument 11 is configured so that saline can be suctioned from the opening portion 21c via the suction tube 26 and the tube 21d by the suction unit 14. Accordingly, the suction tube 26 and the tube 21d form a suction conduit for suctioning saline.

The opening portion 21a is a liquid feeding port provided in the treatment section 31, for feeding saline from the tube 21b, which is included in the liquid feeding conduit. The opening portion 21c is a suction port provided in the treatment section 31, for suctioning saline into the tube 21d, which is included in the suction conduit.

The handle unit 22 includes a rotating knob 35 on a distal end side of a cylindrical body portion 34. A surgeon can change an orientation of the probe 31a in the treatment section 31 by rotating the rotating knob 35 around an axis of the body portion 34.

- 5 -

A transducer unit 23 is attached to a proximal end portion of the body portion 34. The transducer unit 23 is connected to the probe 31a. The transducer unit 23 includes an ultrasound transducer (not illustrated) inside, which enables the probe 31a to perform ultrasound vibration.

The body portion 34 includes a handle portion 36, and the handle portion 36 includes a fixed handle 36a and a movable handle 36b. The handle portion 36 is an operating handle for pinching a living tissue. When a surgeon operates the movable handle 36b so as to come close to the fixed handle 36a, that is, close the handle portion 36, the movable member 31b in the treatment section 31 pivots, enabling a living tissue to be pinched between the probe 31a and the movable member 31b.

Furthermore, in the body portion 34, a plurality of switches 37 for output operation are provided. Accordingly, the surgeon turns on relevant one(s) of the switches 37 while grasping the handle portion 36 with a living tissue pinched between the distal end portion of the probe 31a and the movable member 31b in the treatment section 31, whereby treatment using an ultrasound vibration output, a highfrequency current output or a simultaneous output of ultrasound vibration and highfrequency current can be provided.

The power supply unit 12 is a control apparatus, and as described later, includes a control section, and performs control of ultrasound vibration output and high-frequency current output, and liquid feeding and suction according to operations of the switches 37 by the surgeon.

The liquid feeding unit 13 is connected to the power supply unit 12 via a signal cable 13a. Also, the liquid feeding unit 13 is connected to the treatment instrument 11 via the liquid feeding tube 25 for liquid feeding, enabling saline to be fed from the liquid feeding unit 13 to the treatment instrument 11.

The suction unit 14 is connected to the power supply unit 12 via a signal cable 14a. Also, the suction unit 14 is connected to the treatment instrument 11 via the suction tube 26 for suction, enabling saline to be suctioned from the opening portion 21c by the suction unit 14.

- 6 -

Fig. 2 is a block diagram illustrating configurations of the power supply unit 12, the liquid feeding unit 13 and the suction unit 14. The power supply unit 12 is a control apparatus that controls energy output of the treatment instrument 11. The power supply unit 12 includes an operating panel 40, which serves as an operating/setting section, a central processing unit (hereinafter referred to as "CPU") 41, which serves as a control section, a storage section 42, an ultrasound output section (hereinafter referred to as "US output section") 43 that outputs a drive signal for driving the transducer unit 23 for ultrasound vibration output, a high-frequency output section (hereinafter referred to as an "HF output section") 44 that outputs a high-frequency current signal for high-frequency current output, and an impedance detection section 45.

As described above, the CPU 41 controls an ultrasound vibration output, a high-frequency current output or a simultaneous output of ultrasound vibration and high-frequency current. The control is performed by the CPU 41 executing a control program stored in the storage section 42.

The storage section 42 includes, e.g., a ROM that stores the control program, a RAM that serves as a working memory area at the time of execution of the program, and a nonvolatile rewritable memory that stores information on liquid feeding time periods, which will be described later.

The US output section 43 outputs a drive signal for making the probe 31a perform ultrasound vibration, to the treatment instrument 11 via the signal cable 24 based on an ultrasound output control signal from the CPU 41.

The HF output section 44 outputs a high-frequency current signal for supplying a bipolar high-frequency output to the treatment section 31, to the treatment instrument 11 via the signal cable 24 based on a high-frequency output control signal from the CPU 41.

Accordingly, the US output section 43 and the HF output section 44 are energy generation sections that generate energy for providing ultrasound vibration and high-frequency current to the treatment section 31, respectively.

- 7 -

The impedance detection section 45 is a circuit for detecting an impedance of a living tissue pinched between the probe 31a and the movable member 31b. In other words, the impedance detection section 45 detects an impedance between two pinching members that pinch a living tissue in the treatment section 31. The impedance detection section 45 supplies a detection signal according to the impedance between the probe 31a and the movable member 31b, to the CPU 41.

Operating signals from the switches 37 are also inputted to the CPU 41. Note that here, as described later, an instruction for an energy output is provided by operation of a relevant one of the switches 37 by the surgeon; however, such energy output instruction may be provided via, e.g., a foot switch.

The liquid feeding unit 13 includes a pump drive section 46 and a pump 47. The pump drive section 46 is a drive circuit that outputs a drive signal for driving the pump 47, based on a pump drive signal from the CPU 41 via the signal cable 13a. The pump 47, which serves as a first pump, is connected to a non-illustrated tank, and is driven based on a drive signal from the pump drive section 46 and supplies saline retained in the tank to the tube 25. Performance of discharge by the pump 47 is, for example, 20 ml/min. In other words, the pump 47 is a pump for supplying saline to the liquid feeding conduit in order to feed a liquid of saline from the opening portion 21a, which is a liquid feeding port.

The suction unit 14 includes a pump drive section 48 and a pump 49. The pump drive section 48 is a drive circuit that outputs a drive signal for driving the pump 49 based on a pump drive signal from the CPU 41 via the signal cable 14a. The pump 49, which serves as a second pump, is connected to a non-illustrated tank, is driven based on a drive signal from the pump drive section 48, and discharges saline suctioned via the tube 26 to the tank (not illustrated). Performance of suction by the pump 49 is, for example, 20 ml/min. In other words, the pump 49 is a pump for suctioning a liquid of saline from the opening portion 21c, which is a suction port, via the suction conduit.

- 8 -

The CPU 41 controls the US output section 43 and the HF output section 44 and also controls the pump drive sections 46 and 48, according to operating signals from the switches 37.

In the storage section 42, later-described data on suction time periods for suction of saline by the pump 49, which are set in advance, is stored.

Fig. 3 is a diagram indicating a relationship between high-frequency output time period To and suction time period Ts, which is stored in the storage section 42. Since high-frequency output and driving of the liquid feeding pump 47 are linked to each other, the suction time period Ts is proportional to the high-frequency output time period To. Accordingly, the suction time period Ts relative to the highfrequency output time period To is set in advance so that as the high-frequency output time period To increases, the suction time period Ts is longer, and stored in the storage section 42. The suction time period Ts according to the high-frequency output time period To is stored in the form of, for example, table data in the storage section 42. As described later, the high-frequency output time period To is equal to a period of time of driving the pump 47.

Note that the suction time period Ts according to the high-frequency output time period To can be set/changed by, e.g., a surgeon via the operating panel 40 in the power supply unit 12 according to a difference in performance between the pumps 47 and 49 and a demand from the surgeon.

In other words, for the suction time period Ts, a period of time necessary for removing saline fed for energy-used treatment by means of suction of the saline is set.

Note that in Fig. 3, the suction time period Ts is set so as to increase in linear proportion to the high-frequency output time period To; however, as indicated by the alternate long and short dash line, the suction time period Ts may be set so as to increase in a stepwise manner.

Figs. 4 and 5 are flowcharts illustrating an example of processing performed by the CPU 41 that controls the HF output section 44 and the pump drive sections 46 and 48. Fig. 6 is a timing chart of an output signal EOUT for a high-frequency current output and pump drive signals PiOUT and PsOUT for the pumps 47 and 49. The processing in Fig. 4 is processing performed by the CPU 41 when a relevant one of the switches 37 is depressed and hereby turned on to provide an instruction for an energy output of high-frequency current.

Upon receipt of an instruction for an energy output provided by depression of a relevant one of the switches 37, the CPU 41 provides an energy output designated by the instruction (S1). If the energy output is a high-frequency current output, the HF output section 44 is driven so as to provide a predetermined or designated output. Simultaneously with the energy output, the CPU 41 outputs a pump drive signal PiOUT to the pump drive section 46 to drive the liquid feeding pump 47 (S2). In Fig. 6, an output signal EOUT becomes high at a time t1, whereby energy output and liquid feeding are started.

The CPU 41 starts time measurement using, e.g., a software counter, simultaneously with the energy output, to measure a period of time of the output of the high-frequency current (S3).

Then, the CPU 41 determines whether or not the switch 37 is turned off, that is, the energy output is stopped (S4), and if the energy output is not stopped (S4: NO), the processing returns to S1. If the energy output is stopped (S4: YES), the CPU 41 stops the measurement of the output time period (S5), and stops the output of the pump drive signal PiOUT to stop the liquid feeding pump 47 (S6).

Then, the CPU 41 calculates a period of time of the energy output from a count value of the counter for output time period measurement, and reads a suction time period Ts stored in the storage section 42 based on the calculated output time period To (S7). For example, in Fig. 3, if the output time period To is to1, a value ts1 of the suction time period Ts is read.

The CPU 41 outputs a pump drive signal PsOUT to the pump drive section 48 to drive the pump 49, whereby suction is performed (S8). As a result, saline that has been fed to stop oozing bleeding and accumulated is suctioned from the opening portion 21c at the distal end portion of the sheath portion 32 of the treatment instrument 11. In Fig. 6, at a time t2, the energy output and the driving of the liquid feeding pump 47 are stopped and suction is started.

Note that driving of the suction pump 49 may be performed after a lapse of a predetermined period of time from stoppage of the energy output. For example, the pump 49 may be driven after a lapse of a period of time from stoppage of an energy output to the extent that a surgeon does not feel stressed about the period of time. The period of time to the extent that a surgeon does not feel stressed about the period of time of time is, for example, a time lag of 0.5 seconds.

Simultaneously with the start of the suction, the CPU 41 further starts time measurement using, e.g., a software counter that is separate from the counter for output time period measurement, to measure a suction time period Ts (S9).

The CPU 41 determines whether or not an instruction for a start of an energy output is provided by a relevant one of the switches 37 being operated during the suction (S10). If an energy output is started (S10: YES), the CPU 41 discontinues the output of the pump drive signal PsOUT to the pump drive section 48 to stop the pump 49, whereby the suction is stopped (S11), and the processing returns to S1. In other words, upon receipt of an instruction for generating energy to the HF output section 44, which is an energy generation section, after stoppage of an energy output, the CPU 41 stops the pump 49 to prioritize the instruction provided via a surgeon's operation.

If no energy output is started (S10: NO), whether or not a period of time passed from the start of the suction has reached the read suction time period Ts is determined (S12). The CPU 41 determines whether or not a period of time from the start of the suction has reached the read suction time period Ts. If the period of time from the start of the suction has not yet reached the suction time period Ts read from the storage section 42 (S12: NO), the processing returns to S8 and the suction is continued.

If the period of time passed from the start of the suction has reached the suction time period Ts (S12: YES), the CPU 41 stops the output of the pump drive signal PsOUT to the pump drive section 48 to stop the suction (S13), and clears the two counters used for measuring the output time period and the suction time period (S14). In Fig. 6, at a time t3, the suction is stopped.

- 11 -

In other words, the CPU 41, which is a control section, controls the pump 49 so that in connection with stoppage of an output of energy from the HF output section 44, which is an energy generation section, saline is suctioned by the pump 49 for a predetermined period of time after the stoppage of the energy output. More specifically, the CPU 41 performs control so that driving of the pump 49 is started in response to stoppage of an output of energy, so as to perform suction of saline by the pump 49 for a suction time period according to an energy output time period or a liquid feeding time period, and the pump 49 is stopped after suction of saline by the pump 49 for the predetermined period of time.

As a result, suction is performed during a set suction time period Ts, and thus, saline accumulated as a result of being fed at the time of energy-used treatment is suctioned in the amount of the accumulation. In other words, a surgeon performs suction of saline accumulated inside a body as a result of a treatment to stop oozing bleeding with no assistant, and thus, the accumulated saline is removed without the need for an assistant and without hindrance of the vision by a suction tube operated by an assistant, enabling the surgeon to promptly continue the surgical operation.

As described above, with the above-described surgical apparatus 1 according to the present embodiment, when, e.g., treatment for oozing bleeding is provided, saline accumulated inside the body is promptly removed, enabling a surgeon to promptly continue the surgical operation. As a result, the duration of the surgical operation can be reduced.

#### (Second Embodiment)

Although in the above-described first embodiment, in connection with stoppage of an energy output, the CPU 41 drives the pump 49 to start suction, in a second embodiment, suction is restricted by mechanically pressing the suction tube 26 or the suction tube 21d according to a pinching operation performed via the handle portion 36 and suction is started when the pinching operation is cancelled.

Fig. 7 is a diagram for describing a configuration of a surgical apparatus 1A according to the present embodiment. In Fig. 7, components that are the same as those in Fig. 1 are provided with reference numerals that are the same as those in Fig.

- 12 -

1, and a description thereof will be omitted. Here, a handle portion 36A is operated so as to be closed when an instruction for an energy output is provided.

In the surgical apparatus 1A, a movable handle 36b1 in a treatment instrument 11A includes a projection portion 51 that abuts against and thereby deforms a suction tube 21d when the handle portion 36A is closed. Also, inside a fixed handle 36a, a receiving member 52 that receives the suction tube 21d deformed by the projection portion 51 is provided in a fixed manner.

In other words, if the handle portion 36A is closed and the movable handle 36b1 is thereby moved in the direction indicated by solid arrow b in Fig. 7, the projection portion 51 mechanically presses the suction tube 21d against the receiving member 52 inside the fixed handle 36a to deform the suction tube 21d so that suction from the pump 49 via the suction tube 21d is stopped. If a surgeon opens the handle portion 36A, the suction tube 21d is released from the force from the projection portion 51 and thus, saline flows inside the suction tube 21d.

Accordingly, the projection portion 51 and the receiving member 52 in the handle portion 36A form a liquid suction restricting mechanism arranged at a position midway in the suction tube 21d, the liquid suction restricting mechanism restricting the flow of a suctioned liquid inside the suction tube 21d in response to an operation of the movable handle 36, which is an operating handle.

Configurations of a power supply unit 12, a liquid feeding unit 13 and a suction unit 14 are similar to those illustrated in Fig. 2. The content of processing performed by a CPU 41 is different from that in the first embodiment.

Figs. 8 and 9 are flowcharts illustrating an example of processing performed by the CPU 41 that controls an HF output section 44 and pump drive sections 46 and 48. In Figs. 8 and 9, processing steps that are the same as those in Figs. 4 and 5 are provided with reference numerals that are the same as those in Figs. 4 and 5, and only a simplified description thereof will be provided. Figs. 8 and 9 are different from Figs. 4 and 5 in terms of, e.g., the order of processing steps. Fig. 10 is a timing chart of an output signal EOUT for a high-frequency current output, a pump drive signal PiOUT for a pump 47, a pump drive signal PsOUT for the pump 49, and suction of saline from an opening portion 21c.

In Fig. 8, upon receipt of an instruction for an energy output provided by depression of a relevant one of switches 37, the CPU 41 provides an energy output designated by the instruction (S1), and subsequently, outputs a drive signal to the pump drive section 46 to drive the pump 47 to feed a liquid (S2). Then, the CPU 41 outputs a pump drive signal PsOUT to the pump drive section 48 to drive the pump 49 to perform suction (S8).

When the instruction for an energy output is provided, the handle portion 36A is closed, and thus, the projection portion 51 deforms the liquid feeding tube 21d. Accordingly, even if the pump 49 is driven, suction by the pump 49 is not performed. In Fig. 10, an output of an output signal EOUT is started at a time t11, but suction of saline is not performed.

Subsequent to S8, the CPU 41 starts measurement of an output time period (S3), and subsequently, determines whether or not the energy output is stopped (S4).

If no instruction for stopping the energy output is provided (S4: NO), the processing returns to S1. If an instruction for stopping the energy output is provided as a result of the depression of the switch 37 being discontinued (S4: YES), the CPU 41 stops the measurement of the output time period (S5), stops the liquid feeding pump 47 (S6), and reads a suction time period Ts according the measured output time period, which is stored in a storage section 42 (S7).

When the surgeon opens the handle portion 36A along with the stoppage of the energy output, the projection portion 51 no longer presses the suction tube 21d, and thus suction is started. As a result, saline is suctioned from the opening portion 21c at a distal end portion of a sheath portion 32 in the treatment instrument 11. In Fig. 10, at a time t12, the energy output is stopped and suction is started.

After the stoppage of the energy output, the CPU 41 starts measurement of a suction time period (S9). The CPU 41 determines whether or not an instruction for a start of an energy output is provided by the switch 37 being operated when suction is performed (S10), and if an energy output is started (S10: YES), the handle portion

- 14 -

36A is closed, and the processing returns to S1. This is because an instruction according to a surgeon's operation is prioritized as in the first embodiment.

If no energy output is started (S10: NO), whether or not a period of time from the stoppage of the energy output has reached the read suction time period Ts is determined (S12), and if the period of time passed from the start of the suction has not reached the suction time period Ts yet (S12: NO), the processing returns to S9 and the suction is continued.

If the period of time from the start of the suction has reached the suction time period Ts (S12: YES), the CPU 41 discontinues the output of the pump drive signal PsOUT to the pump drive section 48 to stop the suction (S13) and thereby terminates the processing. In Fig. 10, at a time t13, the suction is stopped.

Accordingly, with the present embodiment, also, suction is performed for a set liquid feeding time period Ts, and thus, saline accumulated inside a body as a result of treatment for oozing bleeding is suctioned after an energy output.

As described above, with the above-described surgical apparatus 1A according to the present embodiment, when, e.g., treatment for oozing bleeding is provided, saline accumulated inside the body is suctioned, and thus, the accumulated saline is removed without the need for an assistant and without hindrance of the vision by a suction tube operated by an assistant, enabling a surgeon to promptly continue the surgical operation.

Note that although in the above-described example, an operation of the switch 37 for an energy output and an opening/closing operation of the handle portion 36A are independent from each other, a switch may be provided in the handle portion 36A so that when the switch is closed by an operation of the movable handle 36b1 in the handle portion 36A, the switch is turned on to generate an instruction signal for an energy output. For example, in Fig. 7, a projection portion 53, which is separate from the projection portion 51, is provided in the movable handle 36b1 indicated in parentheses, and a switch 54 that is pressed by the projection portion 53 when the handle portion 36A is closed is provided in the fixed handle 36a.

- 15 -

Such configuration enables an operation of a switch for an energy output and an opening/closing operation of the handle portion 36A can be linked to each other.

As described above, with the surgical apparatus according to each of the above-described embodiments, when, e.g., treatment for oozing bleeding is provided, saline accumulated inside the body is promptly removed, enabling a surgeon to promptly continue the surgical operation.

The surgical apparatus according to each of the above-described embodiments is effective especially for energy-used treatment for the parenchyma of a liver. In the case of the parenchyma of a liver, which is surrounded by a membrane, oozing bleeding easily occurs. In such cases, with the surgical apparatus according to each of the above-described embodiments, saline is promptly removed after an end of an energy output for treatment, enabling a surgeon to promptly continue the surgical operation.

Note that the surgical apparatus according to each of the embodiments is effectively applicable not only to the parenchyma of a liver, but also to, e.g., other organs such as blood vessels.

(Modification 1)

Although in each of the two embodiments described above, suction is performed for a predetermined period of time after an end of an energy output, it is possible that suction is performed in a predetermined amount instead of the suction being performed for a predetermined period of time. In other words, a CPU 41 may control a pump 49 so as to suction a predetermined amount of liquid according to an output time period or a liquid feeding time period at the time of an output for energyused treatment.

In such case, also, information on the predetermined amount, that is, a suction amount, is stored in a storage section 42, and as in the two embodiments described above, the predetermined amount is set so as to vary according to a measured output time period or a measured liquid feeding period. Furthermore, values of the liquid feeding amounts stored in the storage section 42 can be set/changed by a surgeon. (Modification 2)

- 16 -

Although in the two embodiments and modification 1 described above, a suction time period or a suction amount is a suction time period or a suction amount set in advance in the storage section 42 according to an energy output time period or a liquid feeding time period, a suction pattern such as a suction time period may be changed according to a value of an impedance of a living tissue pinched between a probe 31a and a movable member 31b, which is detected by an impedance detection section 45.

#### (Modification 3)

Although in the two embodiments and modification 1 described above, a suction time period or a suction amount is a suction time period or a suction amount set in advance in a storage section 42 according to an energy output time period or a liquid feeding time period, a surgeon may set a suction time period or a suction amount via an operating panel 40 to perform suction for the set suction time period or the liquid feeding time period.

Accordingly, a CPU 41 controls a pump 49 so as to perform suction for the set suction time period or in the set suction amount after an end of an energy output. (Modification 4)

Although in the two embodiments and the respective modifications described above, a liquid feeding tube 21b and a suction tube 21d are each provided inside a treatment instrument 11 or 11A, provision of the tubes inside a sheath portion 32 causes the problem of an increase in diameter of the sheath portion 32. Therefore, at least one of the liquid feeding tube 21b and the suction tube 21d may be substituted by a space between an inner pipe and an outer pipe inside the sheath portion 32 or a space between the inner pipe and a probe 31a.

In such case, the inside and the outside of each of the inner pipe and the outer pipe are coated with an insulating material. This is intended to prevent occurrence of electrical conduction even if saline exists between the inner pipe and the outer pipe or between the inner pipe and the probe 31a.

- 17 -

For example, where saline is made to flow on the outside of the probe 31a, an electrical short between the circuit probe 31a and the inner pipe can be prevented. Furthermore, where saline is made to flow between the inner pipe and the outer pipe, an electrical short between the inner pipe and the outer pipe can be prevented.

Coating of the insides of the inner pipe and the outer pipe with an insulating material can be performed by inserting an injection nozzle to the inside of each of the pipes and injecting the insulating material from the nozzle while the nozzle is moved inside the pipe.

Such configuration enables supply of saline to a treatment section 31 without an increase in diameter of the sheath portion 32. Furthermore, provision of insulating coating as described above reduces resistance to slide relative to the outer pipe if the inner pipe is a drive shaft for the scissors shape type.

The present invention is not limited to the above-described embodiments, and various modifications, alterations and the like are possible without departing from the spirit of the present invention.

#### WHAT IS CLAIMED IS:

1. A surgical apparatus comprising:

a treatment section for treating a living tissue;

an energy generation section for providing high-frequency current to the treatment section;

a liquid feeding conduit for feeding a liquid to the living tissue;

a suction conduit for suctioning the liquid;

an energy control section that outputs a high-frequency output control signal for controlling the high-frequency current from the energy generation section;

a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high-frequency output control signal from the energy control section, and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the high-frequency output control signal; and

a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, in response to a command for stopping the high-frequency output control signal from the energy control section, and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount.

2. The surgical apparatus according to claim 1, wherein the predetermined period of time or the predetermined amount is stored in a storage section and can be set/changed.

3. The surgical apparatus according to claim 1, wherein the predetermined period of time or the predetermined amount is set according to a period of time of outputting the energy or a period of time of driving the first pump. 4. The surgical apparatus according to claim 1, wherein upon receipt of an instruction for generating the energy to the energy generation section after stoppage of the output of the energy, the energy control section stops the second pump.

5. The surgical apparatus according to claim 1, wherein the energy control section performs control so that driving of the second pump is started so as to perform suction of the liquid via the second pump, in response to the stoppage of the output of the high-frequency output control signal, and the pump is stopped after supply of the liquid via the second pump for the predetermined period of time or in the predetermined amount.

6. The surgical apparatus according to claim 1, further comprising a liquid suction restricting mechanism arranged at a position midway in the suction conduit, the suction restricting mechanism restricting suction of the liquid flowing in the suction conduit in response to an operation of an operating handle.

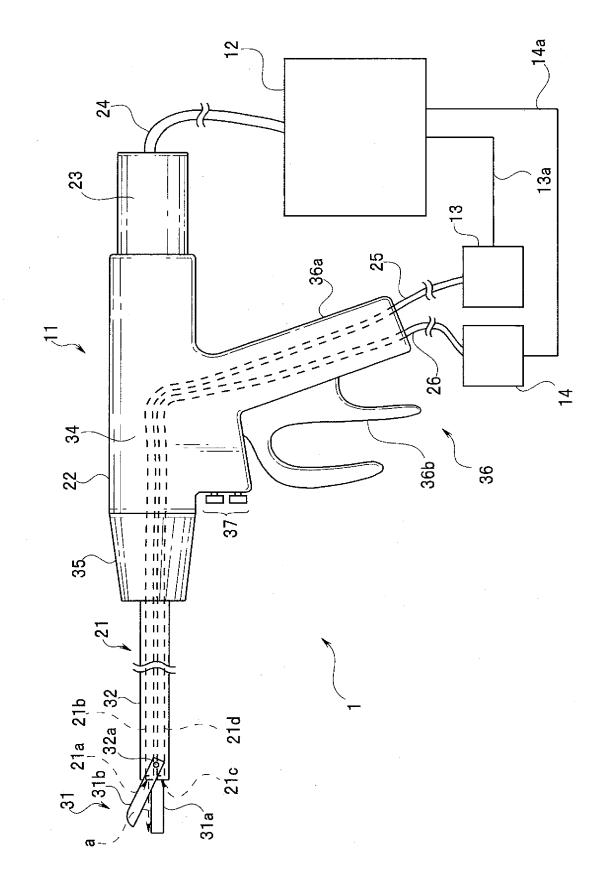
7. The surgical apparatus according to claim 1, comprising an impedance detection section that detects an impedance between two pinching members that pinch the living tissue in the treatment section,

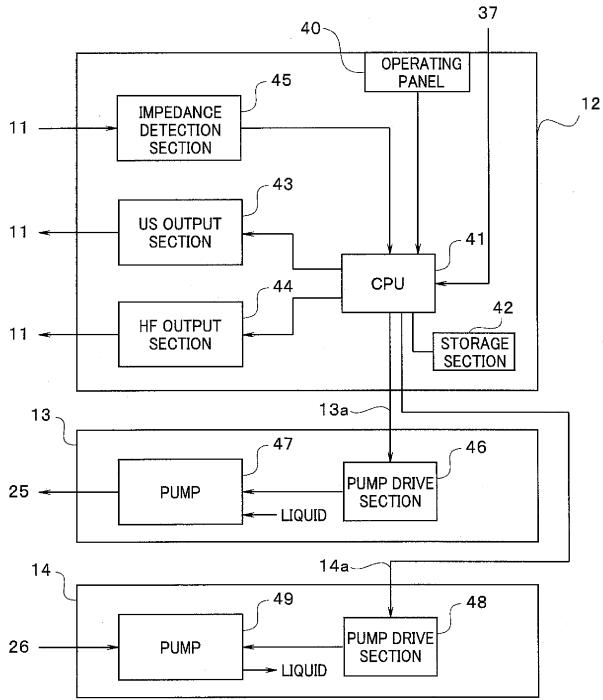
wherein the predetermined period of time or the predetermined amount varies according to the impedance detected by the impedance detection section.

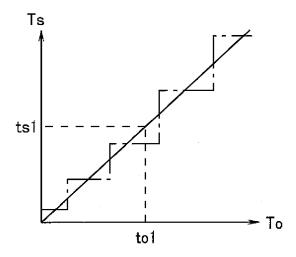
#### ABSTRACT OF THE DISCLOSURE

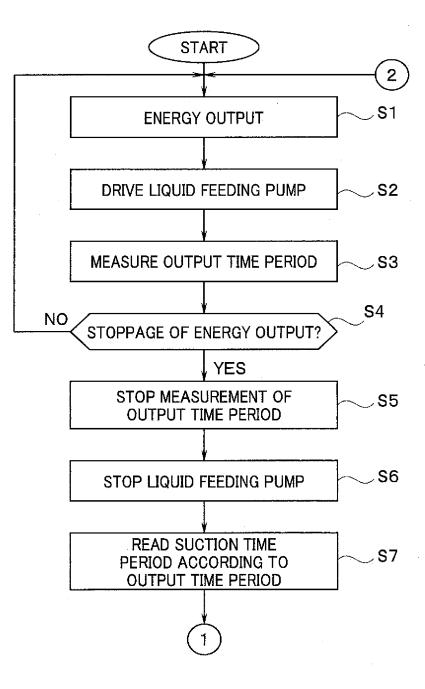
A surgical apparatus includes: a treatment section for treating a living tissue; an energy generation section for providing high-frequency current to the treatment section; a liquid feeding conduit for feeding a liquid to the living tissue; a suction conduit for suctioning the liquid; an energy control section that outputs a highfrequency output control signal for controlling the high-frequency current from the energy generation section; a first pump drive section that feeds the liquid from the liquid feeding conduit while the high-frequency current is output, in response to a command for an output of the high-frequency output control signal, and stops feeding of the liquid from the liquid feeding conduit, in response to a command for stopping the high-frequency output control signal; and a second pump drive section that suctions the liquid from the suction conduit for a predetermined period of time or in a predetermined amount, in response to a command for stopping the highfrequency output control signal, and stops suction of the liquid from the suction conduit after the suction for the predetermined period of time or in the predetermined amount.

- 21 -



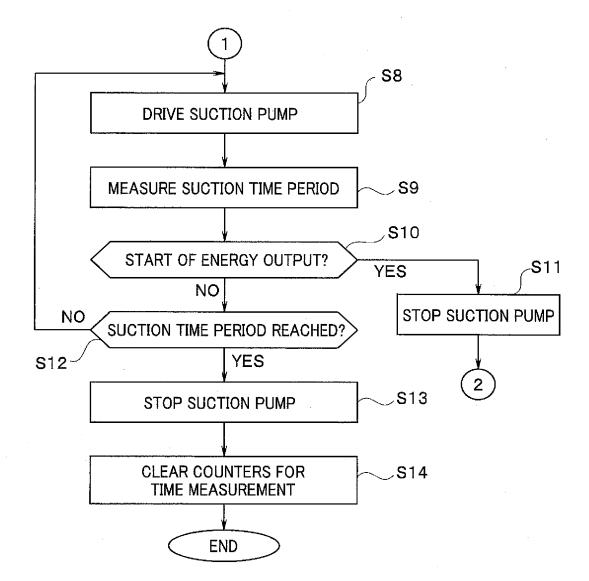


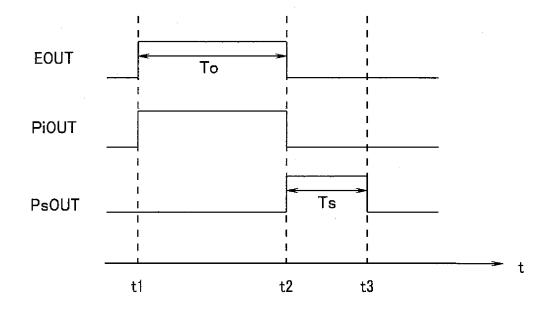


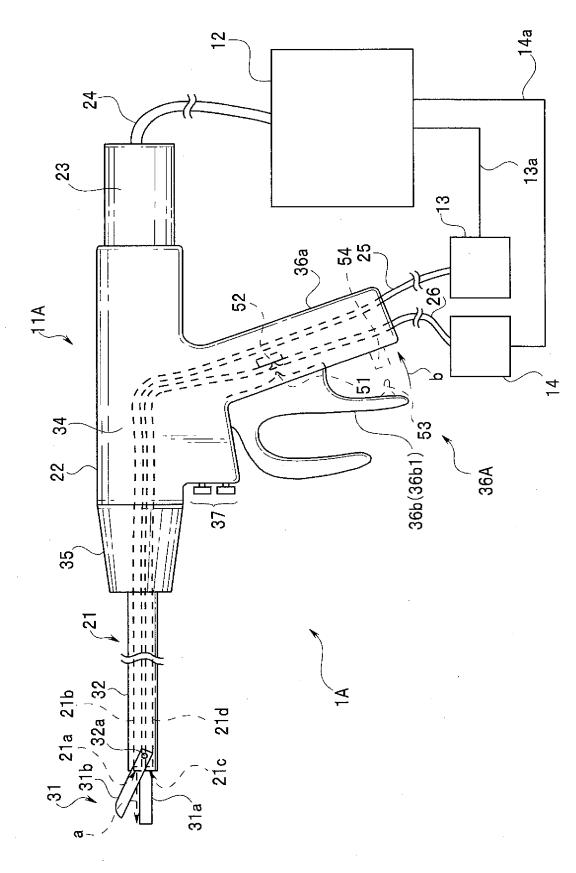


÷.

. . . .

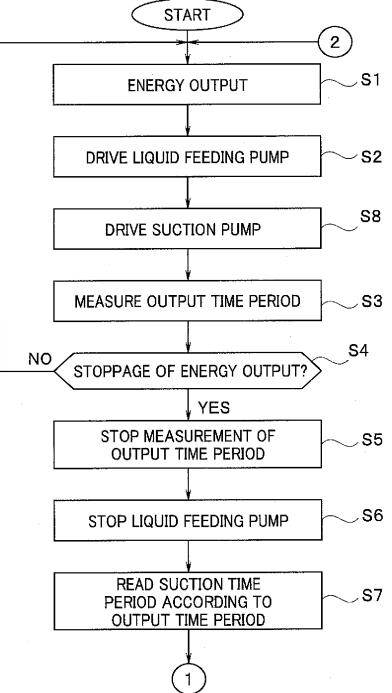






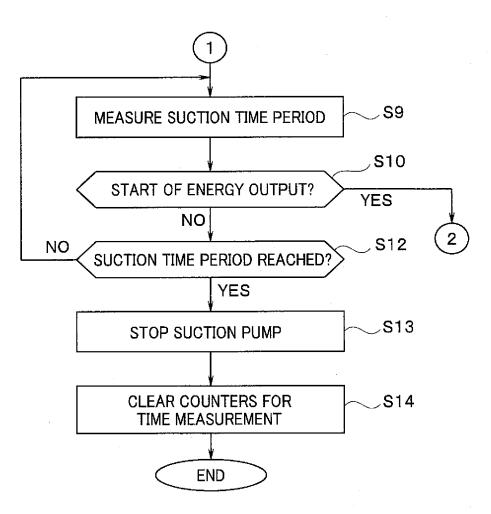
-----

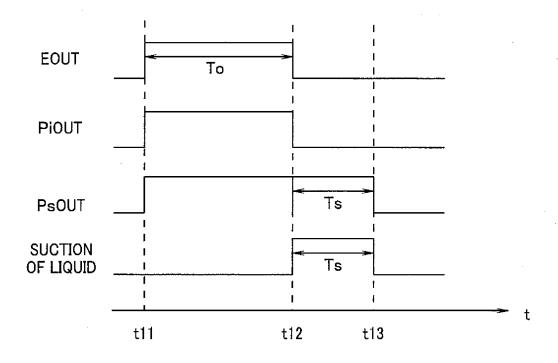
.



_____

. . .





#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: OIPE

Hideo SANAI

Application No.: 14/163,528

Docket No.: 153190

Filed: January 24, 2014

For: SURGICAL DEVICE

#### <u>CONFIRMATION OF FILING OF</u> TRANSLATION OF PROVISIONAL APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with 37 CFR 1.78 (a)(5), an accurate translation of Provisional Application No. 61/636,269, filed on April 20, 2012, has been filed in the provisional application.

Respectfully submitted,

Luin James A. Oliff

Registration No. 27,075

Todd M. Guise Registration No. 46,748

Olga Hernandez Registration No. 58,232

JAO:TMG/OZH/jxr

Date: March 24, 2014

OLIFF PLC P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

Electronic Patent Application Fee Transmittal							
Application Number:	14163528						
Filing Date:	24-Jan-2014						
Title of Invention:	SURGICAL DEVICE						
First Named Inventor/Applicant Name:	Hideo SANAI						
Filer:	James Albert Oliff/Jovita Rudd						
Attorney Docket Number:	153190						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Non-English Translation		1053	1	140	140		
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code Quantity Amount			Sub-Total in USD(\$)	
Miscellaneous:					
	Tot	al in USD	)(\$)	140	

Electronic Ac	Electronic Acknowledgement Receipt						
EFS ID:	18563065						
Application Number:	14163528						
International Application Number:							
Confirmation Number:	1030						
Title of Invention:	SURGICAL DEVICE						
First Named Inventor/Applicant Name:	Hideo SANAI						
Customer Number:	25944						
Filer:	James Albert Oliff/Jovita Rudd						
Filer Authorized By:	James Albert Oliff						
Attorney Docket Number:	153190						
Receipt Date:	24-MAR-2014						
Filing Date:	24-JAN-2014						
Time Stamp:	15:10:46						
Application Type:	Utility under 35 USC 111(a)						

## Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$140				
RAM confirmation Number	1707				
Deposit Account	150461				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)					
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)					

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Applicant Response to Pre-Exam	152100 Decembra adf	216749		4
Formalities Notice		153190_Response.pdf	d6fe2407fd071c422ee6e352a3f6fd7e47aa d101	no	4
Warnings:			· ·		
Information:		1	1		
2		97949	yes	4	
		4e38892da5f37f094718c2e48c4b2b8880c4 bc0f			
_	Multi	part Description/PDF files in	.zip description		
	Document De	scription	Start	End	
	Preliminary Am	Preliminary Amendment			
	Specifica	2	2		
	Applicant Arguments/Remarks	3	3		
	Abstrac	4	4		
Warnings:					
Information:		1			
3		153190_App.pdf	1372480	yes	31
			c649c065338dd0bab1e7ff4cd2812aa0bfa3 edfe		
	Multi	part Description/PDF files in	.zip description		
	Document De	Document Description Start			
	Specifica	tion	1	18	
	Claims	5	19	20	
	Abstrac	21	21		
	Drawings-only black and	22	31		
Warnings:			<u> </u>		
Information:					
4	Miscellaneous Incoming Letter	153190_Conf_of_Filing.pdf	38253	no	1
	e		fccf2bab87be8ee79f244008f4fc88c6aa5ce 92b		
Warnings:					

		Total Files Size (in bytes):	. 17	55427				
Information:								
Warnings:								
-			563b68f0708a9d8a48304ba9ea6a546344f 3f2ee	63b68f0708a9d8a48304ba9ea6a546344f				
5	Fee Worksheet (SB06)	fee-info.pdf	29996	no	2			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Application or Docket Number 14/163,528			
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY							OTHER THAN ORSMALL ENTITY				
	FOR	NUMBE	R FILE	D NUMBE	R EXTRA	RATE(	\$)	FEE(\$)		RATE(\$)	FEE(\$)
	SIC FEE FR 1.16(a), (b), or (c))	N	/A	٩	J/A	N/A				N/A	280
	RCH FEE FR 1.16(k), (i), or (m))	N	/A	٩	J/A	N/A				N/A	600
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	١	J/A	N/A				N/A	720
	AL CLAIMS FR 1.16(i))	1	minus	20=					OR	× 80 =	0.00
	EPENDENT CLAII FR 1.16(h))	^{MS} 1	minus	3 = *						× 420 =	0.00
APPLICATION SIZE FEE (37 CFR 1.16(s)) (37 CFR 1.16(s))										0.00	
MUI	TIPLE DEPENDE	ENT CLAIM PRE	SENT (3	7 CFR 1.16(j))							0.00
* If t	he difference in co	olumn 1 is less th	an zero,	enter "0" in colur	mn 2.	ΤΟΤΑΙ	-			TOTAL	1600
	APPLIC	CATION AS A	MEND	ED - PART I	I		•		•		
		(Column 1)		(Column 2)	(Column 3)	SN	SMALL ENTITY		OR	OTHEF SMALL	R THAN ENTITY
NT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(S	5)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=		OR	x =	
AM	Application Size Fe	e (37 CFR 1.16(s))			•						
	FIRST PRESENT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))				OR		
						TOTAI ADD'L F			OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(S	5)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	×	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=		OR	x =	
AM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))				OR		
	1					TOTA ADD'L F			OR	TOTAL ADD'L FEE	
*	<ul> <li>If the entry in cc</li> <li>If the "Highest N</li> <li>If the "Highest Nu</li> <li>The "Highest Num</li> </ul>	lumber Previous	ly Paid Fo Paid For"	or" IN THIS SPA IN THIS SPACE is	CE is less than s less than 3, en	20, enter "20" ter "3".		in column 1.			

	United State	<u>s Patent</u>	and Tradema	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER FO PC: Bax 1450 Alexandria, Virginia 22313 www.uspto.gov	d Trademark ( R PATENTS		
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS	
14/163,528	01/24/2014		1600	153190	1	1	
				CONFI	RMATION	NO. 1030	
25944	25944 FILING RECEIPT						
OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-4850							

Date Mailed: 02/10/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Hideo SANAI, Hachioji-shi, JAPAN;

Applicant(s)

OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN

Assignment For Published Patent Application

OLYMPUS MEDICAL SYSTEMS CORP., Tokyo, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number <u>25944</u>

#### Domestic Priority data as claimed by applicant

Non-Publication Request: No

This application is a CON of PCT/JP2013/060447 04/05/2013 which claims benefit of 61/636,269 04/20/2012

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 02/07/2014 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/163,528 Projected Publication Date:** To Be Determined - pending completion of Missing Parts Early Publication Request: No Title

SURGICAL DEVICE

#### **Preliminary Class**

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

UNITED STA	ates Patent and Tradema	UNITED STA United States Address: COMMI P. O. Box J	a, Virginia 22313-1450		
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE		
14/163,528	01/24/2014	Hideo SANAI	153190		
			<b>CONFIRMATION NO. 1030</b>		
25944		FORMALI	TIES LETTER		
OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-	4850	*OC00000066528897*			
			Date Mailed: 02/10/2014		

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification, a statement that the translation is accurate, and the processing fee set forth in 37 CFR 1.17(i). (See 37 CFR 1.52(d)).
- The above-identified nonprovisional application is claiming the benefit of a prior-filed provisional application that is in a non-English language. Pursuant to 37 CFR 1.78(a)(5), applicant is required to file:

1. In the prior-filed provisional application, an English language translation of the prior-filed provisional application and a statement that the translation is accurate; and

2. In the above-identified nonprovisional application, a confirmation that the translation and statement have been filed in the prior-filed provisional application.

To avoid abandonment of the above-identified nonprovisional application, applicant is required to file these items within the time period set forth in this notice, unless applicant files a corrected application data sheet in compliance with 37 CFR 1.76 and, if appropriate, an amendment to the specification, to delete the benefit claim within the time period. Please note that once applicant deletes the benefit claim to the prior-filed provisional application, the Office will not accept any resubmission of the benefit claim.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

• Applicant must file an English translation of the application, the \$ 140 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

#### SUMMARY OF FEES DUE:

The fee(s) required within **TWO MONTHS** from the date of this Notice to avoid abandonment is/are:

- \$ 140 English translation surcharge.
- <u>\$(.00)</u> Previous Payment Amount.
- \$ 140 TOTAL FEE BALANCE DUE.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <u>https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</u>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <u>http://www.uspto.gov/ebc.</u>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ebanaybanay/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STA	ates Patent and Tradem	UNITED STA United States Address: COMMI POL Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/163,528	01/24/2014	Hideo SANAI	153190
25944 OLIFF PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-	4850		CONFIRMATION NO. 1030 EPTANCE LETTER

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/24/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/abalinang/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

### PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFI	FICE
-----------------------------------------------	------

	one: (		A1 36-6400 36-2787	Attorney Docket No.: 153190					
Custon	ner Nu	mber:							
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			atents	CONTINUING APPLICATION TRANSMITTAL RULE 1.53(B)					
Transm	itted he	erewitł	h for filing under 37 C.F.R. §1.53(b) is a						
		$\boxtimes$	Continuation Divisional	Continuation	n-in-Part				
			pending International Application No. PCT/JP20 Application No. 61/636,269 filed April 20, 2012		ril 5, 2013, which claims the benefit				
For (Ti	tle):		Surgical Device						
By (Inv	ventors)	:	Hideo SANAI						
		The D	<ul> <li>a. A copy of the Declaration from the parent a <ul> <li>(a) a copy of the prior application or (b) a r</li> <li>application that does not contain new matter</li> </ul> </li> <li>b. A new Declaration. (Used with the same, f <ul> <li>application, (b) a revised, reformatted or ed</li> <li>new matter, or (c) a new specification.)</li> </ul> </li> <li>Declaration is a combined English-language/fore</li> </ul>	application. (Used wi revised, reformatted o er.) rewer or additional in lited version of the pr eign-language Declar	th the same or fewer inventors and or edited version of the prior eventors and (a) a copy of the prior for application that does not contain ration. On information and belief, the				
2.	$\boxtimes$	-	wer of Attorney from the applicant is attached.						
			a. A copy of the Power of Attorney from the p						
		$\boxtimes$	b. A new Power of Attorney.						
	$\boxtimes$		The Power of Attorney is a General Power of Attorney.						
		The Power of Attorney is a Specific Power of Attorney for this application.							
		The P	Power of Attorney is in a combined Declaration.	Power of Attorney.					
			a. The Power of Attorney identifies no more t	han ten attorneys.					
			<ul><li>b. The Power of Attorney identifies more than for Power of Attorney is filed herewith.</li><li>c. The Power of Attorney is to the attorneys a</li></ul>						

### 3. $\square$ The fees due upon filing are calculated below:

# CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED BELOW

FOR:	NO. FILED	NO. EXTRA		
BASIC FEE				
EXAMINATION FEE				
SEARCH FEE				
APPLICATION SIZE FEE Total pages 27 - 100 =	0 ÷ 50	= †0		
TOTAL CLAIMS	20 - 20	= *0		
INDEP CLAIMS	3 - 3	= *0		
MULTIPLE DEPENDEN	Γ CLAIMS PRES	ENTED		
NON-ELECTRONIC FILING FEE				
*If the difference is less than z	ero, enter "0".			

SMALL	ENT		THER TI MALL EI				
RATE	]	FEE	<u>OR</u>		RATE	FEE	
	\$	140	OR			\$	280
	\$	360	<u>OR</u>			\$	720
	\$	300	<u>OR</u>			\$	600
x 200 =	\$			x	400 =	\$	0
x 40 =	\$		<u>OR</u>	x	80 =	\$	0
x 210 =	\$		<u>OR</u>	x	420 =	\$	0
+ 390 =	\$		<u>OR</u>	+	780 =	\$	0
	\$	200				\$	0
TOTAL	\$		<u>OR</u>	T	OTAL	\$	1,600

*If the difference is less than zero, enter "0".

tround up to next integer

- 4. Please charge Deposit Account No. <u>15-0461</u> in the amount of <u>\$1,600.00</u> to cover the above fees. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461.
- 5. Application Data Sheet is attached.
- 6. Formal drawings (Figs. 1-10) are attached.
  - Use Figure for front page of Publication.
- 7. Priority of foreign application(s) No. _____ filed _____ is claimed under 35 U.S.C. §119 and/or §365(b).
  - The certified copy was filed in prior Application No. _____ on _____.
  - An electronic copy of the above foreign application(s) was received by the PTO in prior Application No. _____.
  - A certified copy of the above foreign application(s) is filed herewith.
  - A copy of the priority document was transmitted by the International Bureau in prior Application No. _____.
  - An electronic copy of the above foreign application should be automatically retrieved by the PTO in accordance with the electronic priority document exchange service.
- 8. X Priority of U.S. Provisional Application No. <u>61/636,269</u> filed <u>April 20, 2012</u> is claimed under 35 U.S.C. §119. (A Preliminary Amendment is attached to reflect the claim of benefit in the specification if not already present.)
- 9. The prior application is assigned of record to _____, ____ and recorded at Reel _____, Frame _____.
- 10. This application is filed by fewer than all the inventors named in the prior application (37 C.F.R §1.53(b)(1)). Delete the following inventor(s) named in the prior application:

Rule 53(b) Continuing Application of International Application No. PCT/JP2013/060447 Filed April 5, 2013

- 11. A Preliminary Amendment is attached. The Preliminary Amendment amends the specification to reflect the continuation data. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered claim in the application and claims canceled are identified in the listing of claims.
- 12. An Information Disclosure Statement is attached.
- 13. Small entity status:
  - a. Entitlement to small entity status is asserted.
  - b. Small entity status is no longer claimed.
- 14. Other:
- 15. As also indicated in the attached Request, this application is NOT to be published under 35 U.S.C. 122(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.
- 16. The claims in this continuing application differ from the claims in the parent application(s). As to each claim or claim term in this continuing application that is broader than any claim or claim term in any parent application, any previous disclaimer of claim scope made during prosecution of any parent application is rescinded. The Examiner is requested to consider such broader claims and/or claim terms in view of all of the prior art without any previous disclaimers.

Respectfully submitted, Huise James A. Oliff

Registration No. 27,075

Todd M. Guise Registration No. 46,748

Olga Hernandez Registration No. 58,232

JAO:TMG/OZH/cck

Date: January 24, 2014 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

### 手術装置

### CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation application of PCT/JP2013/060447 filed on April 5, 2013 and claims benefit of U.S. Provisional Patent Application No. 61/636,269 filed in the U.S.A. on April 20, 2012, the entire contents of which are incorporated herein by this reference.

### BACKGROUND OF THE INVENTION

1. Field of the Invention

本発明は、手術装置に関し、特に、高周波電流出力の可能な手術装置に関する。

2. Description of the Related Art

外科用処置具は、手術において、生体組織の凝固、切開等の処置を行うため に用いられている。外科用処置具には、生体組織を挟持したり、あるいは接触 させたりして処置するタイプのもの(いわゆるシザース形状あるいはヘラ形状 タイプ)がある。また、外科用処置具には、例えば、高周波電流出力が可能な 高周波処置具が知られている。外科用処置具には、例えば、超音波振動出力が 可能な超音波処置具と、高周波電流出力が可能な高周波処置具、さらに近年は、 超音波振動出力と高周波電流出力の同時出力が可能なエネルギー処置具も知ら れている。

シザース形状タイプの超音波処置具では、一方の部材が超音波振動し、他方 のジョー部材は、挟持のために一方の部材に対して開閉される。また、シザー ス形状タイプの高周波処置具では、2つの部材を用いて高周波電流のバイポー ラ出力がなされる。

このような処置具を用いて、生理食塩水を滴下しながら、高周波出力による 処置を行う場合がある。例えば、肝臓実質に対する、ウージング出血に対する 止血のために、すなわち広範囲に亘って滲み出すような出血を止めるために、 生理食塩水を滴下しながら、高周波出力が行われる。ウージング出血している 範囲を生理食塩水で浸した状態で高周波出力を与えることによって、ウージン グ出血に対する止血処置を広範囲に亘って行うことができる。このようなウー ジング出血に対する止血のための処置を行うと、体内に生理食塩水の水溜りが できてしまうため、助手が吸引管を用いて溜まった生理食塩水を吸引する。

また、米国特許公開公報 US2010/137751A1 号明細書、米国特許公開公報 US2003/0040672A1 号明細書及び米国特許公開公報 US2010/0324458A1 号明細書 には、処置中に処置具への液体の供給と、液体の吸引を行う手術装置が開示さ れている。

#### SUMMARY OF THE INVENTION

本発明の一態様の手術装置は、生体組織を処置するための処置部と、前記処置部に高周波電流を与えるためのエネルギー発生部と、前記生体組織に液体を

1

送出するための送液管路と、前記液体を吸引するための吸引管路と、前記エネ ルギー発生部の高周波電流を制御するための高周波出力制御信号を出力するエ ネルギー制御部と、前記エネルギー制御部の前記高周波出力制御信号の出力命 令に応じて、前記高周波電流が出力されている間、前記送液管路から前記液体 を送出するとともに、前記高周波出力制御信号の停止命令に応じて、前記送液 管路からの前記液体の送出を停止する第1のポンプ駆動部と、前記エネルギー 制御部の前記高周波出力制御信号の停止命令に応じて、所定の時間若しくは所 定の量だけ、前記吸引管路から前記液体の吸引を行うとともに、前記所定の時 間もしくは所定の量の吸引の後に前記吸引管路から前記液体の吸引を停止する 第2のポンプ駆動部と、を有する。

BRIEF DESCRIPTION OF THE DRAWINGS

【図1】本発明の第1の実施の形態に係る手術装置の構成を説明するための図 である。

【図2】本発明の第1の実施の形態に係る、電源ユニット12、送液ユニット 13及び吸引ユニット14の構成を示すブロック図である。

【図3】本発明の第1の実施の形態に係る、記憶部42に記憶された、高周波 出力時間Toと、吸引時間Tsの関係を示す図である。

【図4】本発明の第1の実施の形態に係る、HF出力部44、及びポンプ駆動 部46、48を制御するCPU41の処理の例を示すフローチャートである。

【図5】本発明の第1の実施の形態に係る、HF出力部44、及びポンプ駆動 部46、48を制御するCPU41の処理の例を示すフローチャートである。

【図6】本発明の第1の実施の形態に係る、高周波電流出力のための出力信号 EOUTと、ポンプ47、49のポンプ駆動信号PiOUT、PsOUTのタ イミングチャートである。

【図7】本発明の第2の実施の形態に係る手術装置1Aの構成を説明するための図である。

【図8】本発明の第2の実施の形態に係る、HF出力部44及びポンプ駆動部 46、48を制御するCPU41の処理の例を示すフローチャートである。

【図9】本発明の第2の実施の形態に係る、HF出力部44及びポンプ駆動部 46、48を制御するCPU41の処理の例を示すフローチャートである。

【図10】本発明の第2の実施の形態に係る、高周波電流出力のための出力信 号EOUTと、ポンプ47のポンプ駆動信号PiOUTと、ポンプ49のポン プ駆動信号PsOUTと、開口部21cからの生理食塩水の吸引のタイミング チャートである。

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT(S)

以下、本発明を、実施の形態により説明する。

(第1の実施の形態)

図1は、本発明の第1の実施の形態に係る手術装置の構成を説明するための 図である。図1に示すように、手術装置1は、処置具11、電源ユニット12、 送液ユニット13及び吸引ユニット14を含んで構成されている。 処置具11は、超音波振動エネルギー及び高周波電流エネルギーの少なくと も一方を出力可能な、シザース形状タイプの外科用処置具である。処置具11 は、処置ユニット21,ハンドルユニット22、振動子ユニット23、信号ケ ーブル24及び送液チューブ25を有して構成されている。

処置ユニット21は、生体組織を処置するための処置部31と、細長いシース部32とから構成される。処置部31は、プローブ31aと、ジョー部材である可動部材31bとを有する。シース部32は、筒状部材であり、内部にプローブ31a、可動部材31bの開閉を行うための軸部材等が挿通されている。可動部材31bは、ハンドルユニット22に対する操作による軸部材等の動きに応じて、シース部32の先端に設けられたピン32aを軸中心にして回動可能となっている。よって、プローブ31aの先端部と可動部材31bは、生体組織を挟持する挟持部を構成する。

さらに、処置ユニット21の先端部には、生理食塩水を送出するための開口 部21aが設けられており、開口部21aは、シース部32内に挿通されたチ ューブ21bに接続されている。図1の点線の矢印aで示すように、処置部3 1のプローブ31aと可動部材31bの間の挟持部分に向かって、生理食塩水 が送出されて滴下できるように、開口部21aとチューブ12bは配設されて いる。よって、開口部21aは、処置部31に設けられ、送液管路であるチュ ーブ21bからの生理食塩水を生体組織と処置部31の間に送出するための送 液口である。

ハンドルユニット22内にも挿通されたチューブ21bの基端部は、送液チ ューブ25と接続され、チューブ21bと、送液チューブ25は連通している。 後述するように、送液ユニット13から送出された液体である生理食塩水が、 送液チューブ25とチューブ21bとを通り、開口部21aから出射可能に、 処置具11は構成されている。よって、送液チューブ25とチューブ21bが、 生理食塩水を送出するための送液管路を構成する。

また、処置ユニット21の先端部には、生理食塩水を吸引するための開口部 21 cが設けられており、開口部21 cは、シース部32内に挿通されたチュ ーブ21dに接続されている。ハンドルユニット22内にも挿通されたチュー ブ21dの基端部は、吸引チューブ26と接続され、チューブ21dと、吸引 チューブ26は連通している。後述するように、吸引ユニット14により、生 理食塩水を、吸引チューブ26とチューブ21dとを介して、開口部21 cか ら吸引可能に、処置具11は構成されている。よって、吸引チューブ26とチ ューブ21dが、生理食塩水を吸引するための吸引管路を構成する。

そして、開口部21aは、処置部31に設けられ、送液管路であるチューブ 21bからの生理食塩水を送出するための送液口である。開口部21cは、処 置部31に設けられ、吸引管路であるチューブ21d内に生理食塩水を吸引す るための吸引口である。

ハンドルユニット22は、筒状の胴体部34の先端側に回転ノブ35を有する。術者は、回転ノブ35を胴体部34の軸周りに回すことによって、処置部31のプローブ31aの向きを変えることができる。

3

胴体部34の基端部には、振動子ユニット23が装着されている。振動子ユ ニット23は、プローブ31aに接続されている。振動子ユニット23は、内 部に、超音波振動子(図示せず)を有し、プローブ31aを超音波振動させる ことができるようになっている。

胴体部34は、ハンドル部36を有し、ハンドル部36は、固定ハンドル3 6aと可動ハンドル36bを含む。ハンドル部36は、生体組織を挟持するた めの操作ハンドルである。術者は、可動ハンドル36bを固定ハンドル36a に対して近づくように、すなわちハンドル部36を閉じるように操作すると、 処置部31の可動部材31bを回動して、プローブ31aと可動部材31bの 間に生体組織を挟持することができる。

さらに、胴体部34には、出力操作用の複数のスイッチ37が設けられている。よって、術者は、ハンドル部36を把持しながら操作して、処置部31の プローブ31aの先端部と可動部材31bによって生体組織を挟持した状態で、 スイッチ37をオン操作することによって、超音波振動出力、高周波電流出力 あるいは超音波振動と高周波電流の同時出力による処置を行うことができる。

電源ユニット12は、制御装置であり、後述するように、制御部を含み、術 者によるスイッチ37の操作に応じて、超音波振動出力及び高周波電流出力、 送液及び吸引の制御を行う。

送液ユニット13は、電源ユニット12と信号ケーブル13aにより接続されている。また、送液ユニット13は、送液用の送液チューブ25により処置 具11と接続されており、送液ユニット13から処置具11へ生理食塩水を送 出可能となっている。

吸引ユニット14は、電源ユニット12と信号ケーブル14aにより接続されている。また、吸引ユニット14は、吸引用の吸引チューブ26により処置 具11と接続されており、吸引ユニット14により、開口部21cから生理食 塩水を吸引可能となっている。

図2は、電源ユニット12、送液ユニット13及び吸引ユニット14の構成 を示すブロック図である。電源ユニット12は、処置具11のエネルギー出力 を制御する制御装置である。電源ユニット12は、操作設定部としての操作パ ネル40,制御部としての中央処理装置(以下、CPUという)41,記憶部 42、超音波振動出力のための振動子ユニット23を駆動する駆動信号を出力 する超音波出力部(以下、US出力部という)43、高周波電流出力のための 高周波電流信号を出力する高周波出力部(以下、HF出力部という)44、及 びインピーダンス検出部45を含んでいる。

CPU41は、上述したように、超音波振動出力、高周波電流出力あるいは 超音波振動と高周波電流の同時出力の制御を行う。その制御は、CPU41が 記憶部42に記憶された制御プログラムを実行することによって行われる。

記憶部42は、その制御プログラムを記憶するROM、プログラム実行時の 作業用記憶領域としてのRAM、及び後述する送液時間の情報を記憶する、書 き換え可能な不揮発性のメモリなどを含んでいる。 US出力部43は、CPU41からの超音波出力制御信号に基づいて、プロ ーブ31aを超音波振動させるための駆動信号を、信号ケーブル24を介して 処置具11へ出力する。

HF出力部44は、CPU41からの高周波出力制御信号に基づいて、処置 部31にバイポーラの高周波出力を供給するための高周波電流信号を、信号ケ ーブル24を介して処置具11へ出力する。

よって、US出力部43及びHF出力部44は、それぞれ処置部31に超音 波振動及び高周波電流を与えるためのエネルギーを発生するエネルギー発生部 である。

インピーダンス検出部45は、プローブ31aと可動部材31b間に挟持さ れた生体組織のインピーダンスを検出するための回路である。すなわち、イン ピーダンス検出部45は、処置部31において生体組織を挟持する2つの挟持 部材間のインピーダンスを検出する。インピーダンス検出部45は、プローブ 31aと可動部材31b間のインピーダンスに応じた検出信号を、CPU41 へ供給する。

また、CPU41には、スイッチ37からの操作信号も入力される。なお、 ここでは、後述するようにスイッチ37が術者によって操作されることによっ て、エネルギー出力の指示が行われるが、エネルギー出力の指示は、フットス イッチなどによって行うようにしてもよい。

送液ユニット13は、ポンプ駆動部46と、ポンプ47とを含む。ポンプ駆動部46は、信号ケーブル13aを介して、CPU41からのポンプ駆動信号 に基づいて、ポンプ47を駆動する駆動信号を出力する駆動回路である。第1 のポンプとしてのポンプ47は、図示しないタンクに接続され、ポンプ駆動部 46からの駆動信号に基づいて駆動され、タンクに貯留された生理食塩水をチ ューブ25へ供給する。ポンプ47の吐出能力は、例えば、20m1/min である。すなわち、ポンプ47は、送液口である開口部21aから生理食塩水 の液体を送出するために送液管路に生理食塩水を供給するためのポンプである。

吸引ユニット14は、ポンプ駆動部48と、ポンプ49とを含む。ポンプ駆動部48は、信号ケーブル14aを介して、CPU41からのポンプ駆動信号 に基づいて、ポンプ49を駆動する駆動信号を出力する駆動回路である。第2 のポンプとしてのポンプ49は、図示しないタンクに接続され、ポンプ駆動部 48からの駆動信号に基づいて駆動され、チューブ26を介して吸引された生 理食塩水をタンク(図示せず)に排出する。ポンプ49の吸引能力は、例えば、 20ml/minである。すなわち、ポンプ49は、吸引口である開口部21 cから吸引管路を介して生理食塩水の液体を吸引するためのポンプである。

CPU41は、スイッチ37からの操作信号に応じて、US出力部43とH F出力部44を制御すると共に、ポンプ駆動部46及び48を制御する。

記憶部42には、後述するポンプ49により吸引される生理食塩水の吸引時間のデータが、予め設定されて記憶されている。

図3は、記憶部42に記憶された、高周波出力時間Toと、吸引時間Tsの 関係を示す図である。高周波出力と送液ポンプ47の駆動は、連動しているの で、高周波出力時間Toに対して、吸引時間Tsは比例関係を有している。よ って、高周波出力時間 T o が大きくなるにつれて、吸引時間 T s が長くなるように、記憶部42には、高周波出力時間 T o に対する吸引時間 T s が予め設定 されて記憶されている。高周波出力時間 T o に応じた吸引時間 T s は、例えば、 テーブルデータの形式で、記憶部42に記憶される。後述するように、高周波 出力時間 T o は、ポンプ47の駆動時間に等しい。

なお、高周波出力時間 Toに応じた吸引時間 Tsは、ポンプ47と49の能力の違い、術者の要望に応じて、電源ユニット12の操作パネル40において、術者等が設定変更可能である。

すなわち、吸引時間 T s は、エネルギーによる処置に送出された生理食塩水 が吸引してなくなる状態にするのに必要な時間が設定される。

なお、図3では、吸引時間Tsは、高周波出力時間Toに対して、線形的に 比例して増加するように設定されているが、一点鎖線で示すように、段階的に 増加するように設定してもよい。

図4と図5は、HF出力部44、及びポンプ駆動部46、48を制御するC PU41の処理の例を示すフローチャートである。図6は、高周波電流出力の ための出力信号EOUTと、ポンプ47、49のポンプ駆動信号PiOUT、 PsOUTのタイミングチャートである。

図4の処理は、スイッチ37が押下されてオンされて、高周波電流のエネル ギー出力が指示された時に、CPU41により実行される処理である。

スイッチ37が押下されてエネルギー出力の指示を受けると、CPU41は、 その指示されたエネルギー出力を行う(S1)。エネルギー出力が高周波電流 出力の場合、所定のあるいは指示された出力でHF出力部44は駆動される。 エネルギー出力と同時に、CPU41は、ポンプ駆動部46にポンプ駆動信号 PiOUTを出力して、送液用のポンプ47を駆動する(S2)。図6におい て、出力信号EOUTが時刻t1でHIGHとなって、エネルギー出力と送液 が開始される。

CPU41は、さらに、エネルギー出力と同時に、ソフトウエアカウンタな どを利用した計時を開始して、高周波電流の出力時間を計測する(S3)。

そして、CPU41は、スイッチ37がオフされたか、すなわちエネルギー 出力の停止がされたかを判定し(S4)、エネルギー出力の停止がされていな いときには(S4:NO)、処理は、S1に戻る。エネルギー出力の停止がさ れたとき(S4:YES)、CPU41は、出力時間の計測を停止し(S5)、 ポンプ駆動信号PiOUTの出力を停止して、送液用のポンプ47を停止する (S6)。

そして、CPU41は、出力時間の計測のためのカウンタのカウント値から、 エネルギー出力の出力時間を算出し、その算出された出力時間Toに基づいて、 記憶部42に記憶されている吸引時間Tsを読み出す(S7)。例えば、図3 において、出力時間Toがto1であると、吸引時間Tsの値ts1が読み出 される。

CPU41は、ポンプ駆動部48にポンプ駆動信号PsOUTを出力し、ポンプ49を駆動して、吸引を行う(S8)。その結果、処置具11のシース部32の先端部の開口部21cから、ウージング出血の止血ために送液されて溜

まった生理食塩水が吸引される。図6では時刻 t 2において、エネルギー出力 と送液用のポンプ47の駆動が停止され、かつ吸引が開始される。

なお、吸引用のポンプ49の駆動は、エネルギー出力の停止後、所定の時間 が経過してから行うようにしてもよい。例えば、エネルギー出力の停止後、術 者がストレスを感じない程度の時間が経過した後に、ポンプ49を駆動するよ うにしてもよい。術者がストレスを感じない程度の時間とは、例えば、0.5 秒のタイムラグである。

CPU41は、さらに、吸引の開始と同時に、出力時間を計時のためのカウ ンタとは別のソフトウエアカウンタなどを利用した計時を開始して、吸引時間 Tsを計測する(S9)。

CPU41は、吸引をしているときに、スイッチ37が操作されて、エネル ギー出力の開始が指示されたか否かを判定する(S10)。エネルギー出力が 開始されると(S10:YES)、CPU41は、ポンプ駆動部48へのポン プ駆動信号PsOUTの出力を止め、ポンプ49を停止して吸引を停止し(S 11)、処理は、S1に戻る。すなわち、CPU41は、エネルギーの出力停 止後に、エネルギー発生部であるHF出力部44に対するエネルギーの発生指 示を受けると、ポンプ49を停止させ、術者による操作指示を優先する。

エネルギー出力が開始されないとき(S10:NO)、吸引を開始してから の経過時間が、読み出された吸引時間Tsを経過したか否かが判定される(S 12)。CPU41は、吸引を開始してからの時間が、読み出された吸引時間 Tsを経過したか否かを判定する。吸引を開始してからの経過時間が、記憶部 42から読み出された吸引時間Tsを経過していないときは(S12:NO)、 処理は、S8に戻り、吸引は続けられる。

吸引を開始してからの経過時間が吸引時間 T s を経過すると(S12:YES)、CPU41は、ポンプ駆動部48へのポンプ駆動信号 P s OUTの出力 を停止し、吸引を停止し(S13)、出力時間と吸引時間を係止するために用 いられた2つのカウンタをクリアにする(S14)。図6では時刻t3におい て、吸引が停止されている。

すなわち、制御部であるCPU41は、エネルギー発生部であるHF出力部 44からのエネルギーの出力停止に連動して、エネルギーの出力停止後、所定 の時間だけ、ポンプ49により生理食塩水の吸引を行うように、ポンプ49を 制御する。具体的には、CPU41は、エネルギーの出力停止に応じて、エネ ルギー出力時間あるいは送液時間に応じた吸引時間だけ、ポンプ49による生 理食塩水の吸引を行うように、ポンプ49の駆動を開始し、所定の時間だけ、 ポンプ49による生理食塩水の吸引を行った後、ポンプ49を停止するように、 制御する。

その結果、設定された吸引時間 T s の間、吸引が行われるので、エネルギー による処置時に送液されて溜まった生理食塩水を、溜まった分だけ吸引される。 すなわち、術者は、助手によらずに、ウージング出血に対する止血のための処 置により体内に溜まった生理食塩水が吸引されるので、助手を要せずに、かつ 助手の操作する吸引管による視界の妨げもなく、溜まった生理食塩水の除去が 行われ、術者は、手術を迅速に続けることができる。 以上のように、上述した本実施の形態の手術装置1によれば、ウージング出 血に対する処置などを行った場合に、体内に溜まった生理食塩水が迅速に除去 されるので、術者は、迅速に手術を続けることができる。結果として、手術時 間の短時間に繋がる。

(第2の実施の形態)

上述した第1の実施の形態では、エネルギー出力の停止に連動して、CPU 41がポンプ49を駆動して吸引が開始されるが、第2の実施の形態では、ハ ンドル部36における挟持操作に応じて、吸引チューブ26あるいは吸引チュ ーブ21dを機械的に押圧することによって吸引を規制し、その挟持操作が解 除されると、吸引が開始される。

図7は、本実施の形態に係る手術装置1Aの構成を説明するための図である。 図7において、図1と同じ構成要素については、同じ符号を付して説明は省略 する。ここでは、エネルギー出力の指示がされているとき、ハンドル部36A は、閉じられるように、操作される。

手術装置1Aにおいて、処置具11Aの可動ハンドル36b1は、ハンドル 部36Aが閉じると、吸引チューブ21dに当接して、変形させるための突起 部51を有する。また、固定ハンドル36a内には、突起部51によって変形 される吸引チューブ21dを受ける受け部材52が固定して設けられている。

すなわち、ハンドル部36Aが閉じられて、図7において実線の矢印bで示 す方向に、可動ハンドル36b1が移動すると、突起部51は、固定ハンドル 36a内において吸引チューブ21dを受け部材52に対して機械的に押圧し て、吸引チューブ21dによる、ポンプ49からの吸引を止めるように、吸引 チューブ21dを変形させる。術者が、ハンドル部36Aを開くと、吸引チュ ーブ21dは突起部51からの力を受けなくなり、吸引チューブ21d内を生 理食塩水が流れる。

よって、ハンドル部36Aにおける突起部51と受け部材52は、吸引チュ ーブ21dの途中に配置され、操作ハンドルである可動ハンドル36に対する 操作に応じて、吸引チューブ21d内を吸引される液体の流れを規制する液体 吸引規制機構を構成する。

電源ユニット12、送液ユニット13及び吸引ユニット14の構成は、図2 に示す構成と同様である。CPU41の処理の内容が、第1の実施の形態の処 理と異なる。

図8及び図9は、HF出力部44及びポンプ駆動部46、48を制御するC PU41の処理の例を示すフローチャートである。図8及び図9において、図 4及び図5と同じ処理については、同じ符号を付して、説明は簡略に行う。図 8及び図9は、図4及び図5の処理の順番等が異なる。図10は、高周波電流 出力のための出力信号EOUTと、ポンプ47のポンプ駆動信号PiOUTと、 ポンプ49のポンプ駆動信号PsOUTと、開口部21cからの生理食塩水の 吸引のタイミングチャートである。

図8では、スイッチ37が押下されてエネルギー出力の指示を受けると、C PU41は、その指示されたエネルギー出力を行い(S1)、続いて、ポンプ 駆動部46に駆動信号を出力し、ポンプ47を駆動して、送液を行う(S2)。 そして、CPU41は、ポンプ駆動部48にポンプ駆動信号PsOUTを出力し、ポンプ49を駆動して、吸引を行う(S8)。

エネルギー出力の指示がされているとき、ハンドル部36Aは、閉じられて いるので、突起部51は、送液チューブ21dを変形させている。よって、ポ ンプ49が駆動されても、ポンプ49による吸引は行われない。図10におい て、出力信号EOUTが時刻t11で出力を開始しているが、生理食塩水の吸 引はされない。

S8の後、CPU41は、出力時間の計測を開始し(S3)、続いて、エネ ルギー出力の停止がされたかを判定する(S4)。

エネルギー出力の停止が指示されていないときには(S4:NO)、処理は、 S1に戻る。スイッチ37の押下されなくなってエネルギー出力の停止が指示 されたとき(S4:YES)、CPU41は、出力時間の計測を停止し(S 5)、送液用のポンプ47を停止し(S6)、計測された出力時間に応じた、 記憶部42に記憶されている吸引時間Tsを読み出す(S7)。

エネルギー出力の停止に伴い、術者がハンドル部36Aを開くと、突起部5 1が吸引チューブ21dを押圧しなくなるので、吸引が開始される。その結果、 処置具11のシース部32の先端部の開口部21cから生理食塩水が吸引され る。図10では時刻t12において、エネルギー出力の停止がされ、かつ吸引 が開始される。

CPU41は、エネルギー出力の停止後、吸引時間の計測を開始する(S 9)。CPU41は、吸引をしているときに、スイッチ37が操作されて、エ ネルギー出力の開始が指示されたか否かを判定し(S10)、エネルギー出力 が開始されると(S10:YES)、ハンドル部36Aは閉じられて、処理は、 S1に戻る。これも、第1の実施の形態と同様に、術者による操作指示を優先 するためである。

エネルギー出力が開始されないとき(S10:NO)、エネルギー出力の停止がされてからの時間が、読み出された吸引時間Tsを経過したか否かが判定 され(S12)、吸引を開始してからの経過時間が吸引時間Tsを経過してい ないときは(S12:NO)、処理は、S9に戻り、吸引は続けられる。

吸引を開始してからの経過時間が吸引時間Tsを経過すると(S12:YES)、CPU41は、ポンプ駆動部48へのポンプ駆動信号PsOUTの出力をしないで、吸引を停止し(S13)、処理を終了する。図10では時刻t1 3において、吸引が停止されている。

よって、本実施の形態によっても、吸引が設定された送液時間 T s の間行われるので、ウージング出血に対する処置により体内に溜まった生理食塩水は、 エネルギー出力後に吸引される。

以上のように、上述した本実施の形態の手術装置1Aによれば、ウージング 出血に対する処置などを行った場合に、体内に溜まった生理食塩水が吸引され るので、助手を要せずに、かつ助手の操作する吸引管による視界の妨げもなく、 溜まった生理食塩水の除去が行われ、術者は、迅速に手術を続けることができ る。 なお、上記の例では、エネルギー出力のためのスイッチ37の操作とハンド ル部36Aの開閉動作は、独立しているが、ハンドル部36Aにスイッチを設 け、ハンドル部36Aの可動ハンドル36b1の操作によりスイッチが閉じる と、スイッチがオンになって、エネルギー出力の指示信号が発生するようにし てもよい。例えば、図7において、括弧書きで示すように、可動ハンドル36 b1に、突起部51とは別の突起部53を設け、固定ハンドル36aに、ハン ドル部36Aを閉じたときに突起部53によって押下されるスイッチ54が設 けられている。

このような構成によれば、エネルギー出力のためのスイッチの操作とハンド ル部36Aの開閉動作とを連動させることができる。

以上のように、上述した各実施の形態の手術装置によれば、ウージング出血 に対する処置などを行った場合に、体内に溜まった生理食塩水が迅速に除去さ れるので、術者は、迅速に手術を続けることができる。

上述した各実施の形態の手術装置は、特に、肝臓実質に対するエネルギー処 置に有効である。膜に包まれた肝臓実質の場合、ウージング出血が発生し易い。 そのような場合に、上述した各実施の形態の手術装置によれば、処置のための エネルギー出力の終了後に生理食塩水が迅速に除去されるので、術者は、迅速 に手術を続けることができる。

なお、各実施の形態の手術装置は、肝臓実質だけでなく、血管等の他の臓器 などに対しても適用可能であり、有効である。

(変形例1)

上述した2つの実施の形態では、エネルギー出力の終了後、吸引を所定の時間行うようにしているが、吸引は、所定の時間に代えて、所定の量だけ行うようにしてもよい。すなわち、エネルギー処置時の出力時の出力時間または送液時間に応じた所定の量の液体を、吸引するように、CPU41は、ポンプ49を制御してもよい。

その場合も、所定の量すなわち吸引量の情報は、記憶部42に記憶され、上述した2つの実施の形態のように、計測された出力時間又は送液時間に応じて 異なるように設定される。さらに、その記憶部42に記憶された送液量の値は、 術者が設定変更可能である。

(変形例2)

上述した2つの実施の形態及び上記変形例1では、吸引時間あるいは吸引量 は、エネルギー出力時間又は送液時間に応じて記憶部42に予め設定された吸 引時間あるいは吸引量であるが、吸引時間等の吸引のパターンを、インピーダ ンス検出部45により検出されたプローブ31aと可動部材31b間に挟持さ れた生体組織のインピーダンスの値に応じて、変更するようにしてもよい。

(変形例3)

上述した2つの実施の形態及び上記変形例1では、吸引時間あるいは吸引量 は、エネルギー出力時間又は送液時間に応じて記憶部42に予め設定された吸 引時間あるいは吸引量であるが、術者が操作パネル40において、吸引時間あ るいは吸引量を設定し、エネルギー出力時間又は送液時間に拘わらず、その設 定された吸引時間あるいは吸引量だけ、吸引するようにしてもよい。 よって、CPU41は、エネルギー出力の終了後、その設定された吸引時間 あるいは吸引量だけ、吸引するように、ポンプ49を制御する。 (変形例4)

上述した2つの実施の形態及び各変形例では、送液チューブ216と吸引チ ューブ21dがそれぞれ処置具11,11A内に設けられているが、チューブ をシース部32内に設けることは、シース部32の径が太くなるという問題が ある。そこで、送液チューブ216と吸引チューブ21dの少なくとも一方を、 シース部32内のインナーパイプとアウターパイプの間の空間、あるいはイン ナーパイプとプローブ31aの間の空間で代替するようにしてもよい。

その場合、インナーパイプとアウターパイプのそれぞれの内側と外側を、絶縁材料でコーティングを行う。生理食塩水がインナーパイプとアウターパイプの間あるいはインナーパイプとプローブ31aの間に存在しても、電気的な導通が発生しないようにするためである。

例えば、プローブ31aの外側に生理食塩水を流す場合、プローブ31aと インナーパイプ間の電気的短絡が防止可能となる。さらに、インナーパイプと アウターパイプの間に生理食塩水を流す場合、インナーパイプとアウターパイ プの間の電気的短絡が防止可能となる。

インナーパイプとアウターパイプの内側の絶縁材料のコーティングは、それ ぞれのパイプの内側に噴射式とノズルを挿入し、ノズルをパイプの内部で移動 させながら、ノズルから絶縁材料を噴射することによって行うことができる。

このような構成によれば、シース部32の径を太くすることなく、生理食塩 水を処置部31へ供給することができる。また、上記のような絶縁性コーティ ングを行うことは、インナーパイプがシザース形状タイプの駆動軸である場合 には、アウターパイプとの摺動抵抗を低下させることにも繋がる。

本発明は、上述した実施の形態に限定されるものではなく、本発明の要旨を 変えない範囲において、種々の変更、改変等が可能である。 WHAT IS CLAIMED IS:

【請求項1】

生体組織を処置するための処置部と、

前記処置部に高周波電流を与えるためのエネルギー発生部と、

前記生体組織に液体を送出するための送液管路と、

前記液体を吸引するための吸引管路と、

前記エネルギー発生部の高周波電流を制御するための高周波出力制御信号を出力するエネルギー制御部と、

前記エネルギー制御部の前記高周波出力制御信号の出力命令に応じて、前記高 周波電流が出力されている間、前記送液管路から前記液体を送出するとともに、前 記高周波出力制御信号の停止命令に応じて、前記送液管路からの前記液体の送出 を停止する第1のポンプ駆動部と、

前記エネルギー制御部の前記高周波出力制御信号の停止命令に応じて、所定の時間若しくは所定の量だけ、前記吸引管路から前記液体の吸引を行うとともに、前記 所定の時間もしくは所定の量の吸引の後に前記吸引管路から前記液体の吸引を停止する第2のポンプ駆動部と、

を有することを特徴とする手術装置。

【請求項2】

前記所定の時間若しくは前記所定の量は、記憶部に記憶され、かつ設定変更 可能であることを特徴とする請求項1に記載の手術装置。

【請求項3】

前記所定の時間若しくは前記所定の量は、前記エネルギーの出力時間又は前 記第1のポンプの駆動時間に応じて設定されることを特徴とする請求項1に記 載の手術装置。

【請求項4】

前記エネルギー制御部は、前記エネルギーの出力停止後に前記エネルギー発 生部に対する前記エネルギーの発生指示を受けると、前記第2のポンプを停止 することを特徴とする請求項1に記載の手術装置。

【請求項5】

前記エネルギー制御部は、前記高周波出力制御信号の出力停止に応じて、前 記第2のポンプによる前記液体の吸引を行うように、前記第2のポンプの駆動 を開始し、前記所定の時間若しくは前記所定の量だけ、前記第2のポンプによ る前記液体の供給を行った後、前記ポンプを停止するように制御することを特 徴とする請求項1に記載の手術装置。

【請求項6】

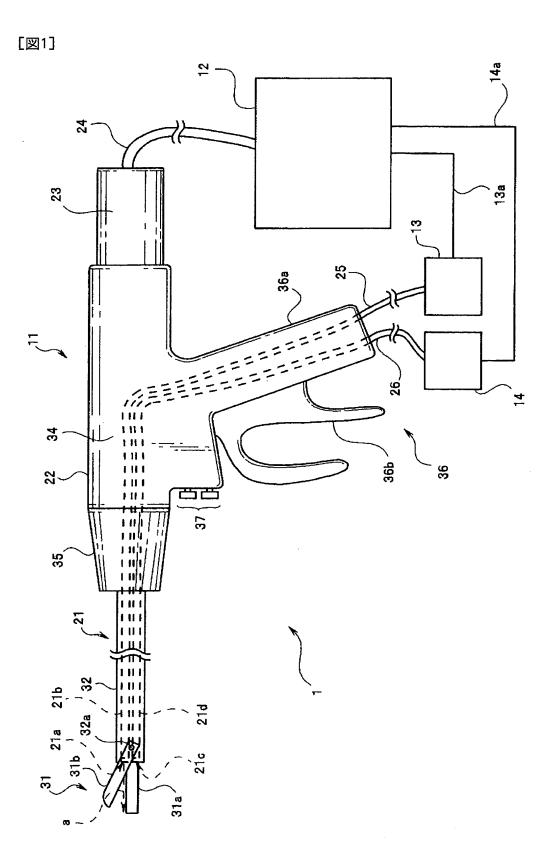
前記吸引管路の途中に配置され、操作ハンドルに対する操作に応じて、前記 吸引管路に流れる前記液体の吸引を規制する液体吸引規制機構を、さらに有す ることを特徴とする請求項1に記載の手術装置。

【請求項7】

前記処置部において前記生体組織を挟持する2つの挟持部材間のインピーダ ンスを検出するインピーダンス検出部を有し、 前記所定の時間若しくは前記所定の量は、前記インピーダンス検出部におい て検出されたインピーダンスに応じて異なることを特徴とする請求項1に記載 の手術装置。 1

### ABSTRACT OF THE DISCLOSURE

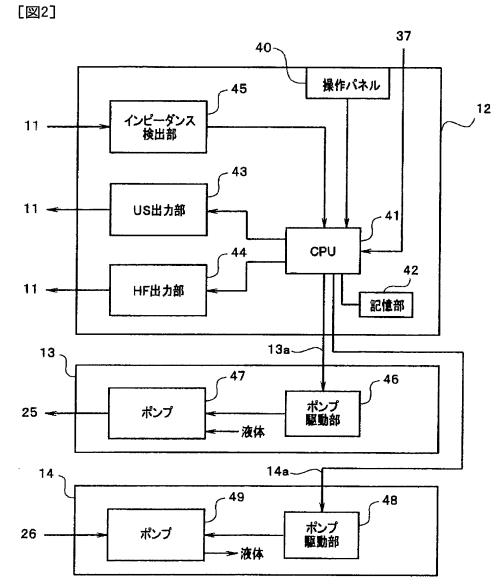
手術装置は、生体組織を処置するための処置部と、前記処置部に高周波電流を与え るためのエネルギー発生部と、前記生体組織に液体を送出するための送液管路と、 前記液体を吸引するための吸引管路と、前記エネルギー発生部の高周波電流を制 御するための高周波出力制御信号を出力するエネルギー制御部と、前記高周波出 力制御信号の出力命令に応じて、前記高周波電流が出力されている間、前記送液管 路から前記液体を送出するとともに、前記高周波出力制御信号の停止命令に応じて、 前記送液管路からの前記液体の送出を停止する第1のポンプ駆動部と、前記高周 波出力制御信号の停止命令に応じて、所定の時間若しくは所定の量だけ、前記吸引 管路から前記液体の吸引を行うとともに、前記所定の時間もしくは所定の量の吸引 の後に前記吸引管路から前記液体の吸引を停止する第2のポンプ駆動部と、を有す る。



)

)

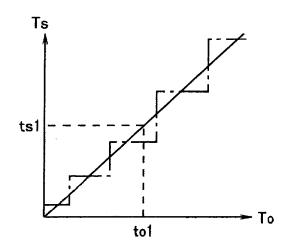
1/7



[図3]

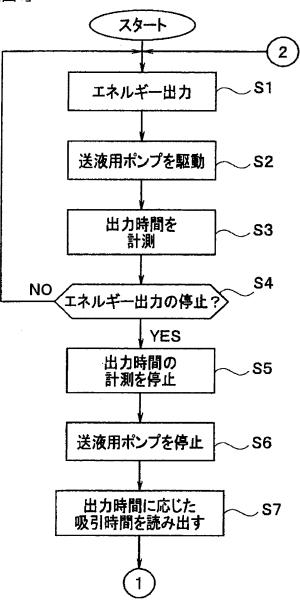
)

)



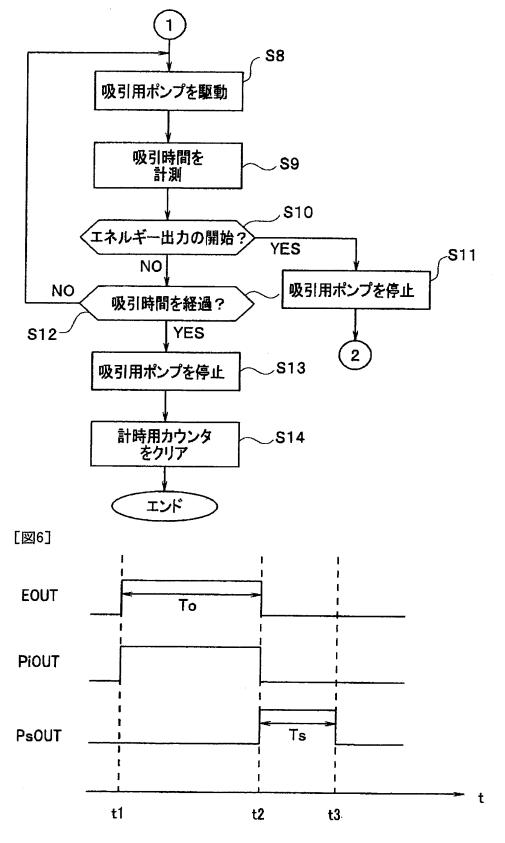
ł

ì



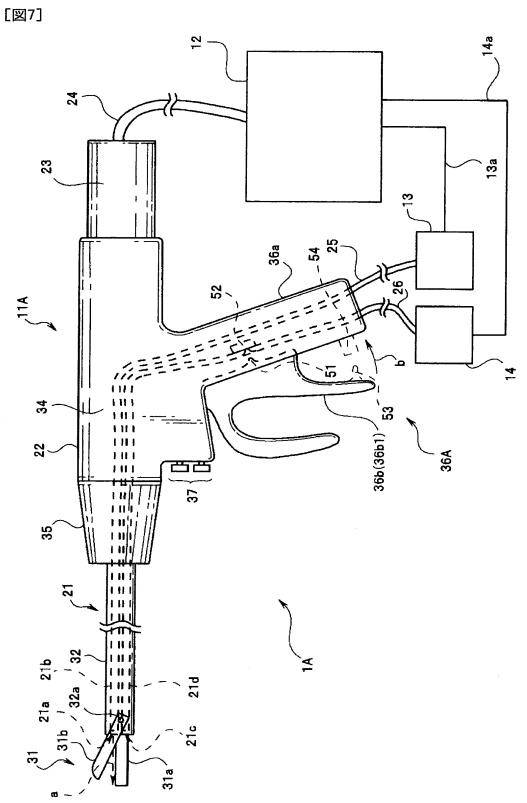


Ì



4/7

.) 1.

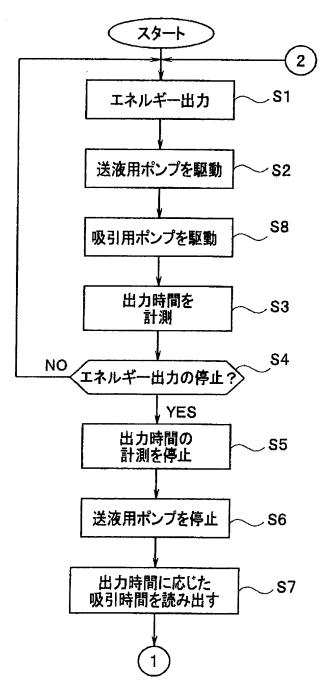


١

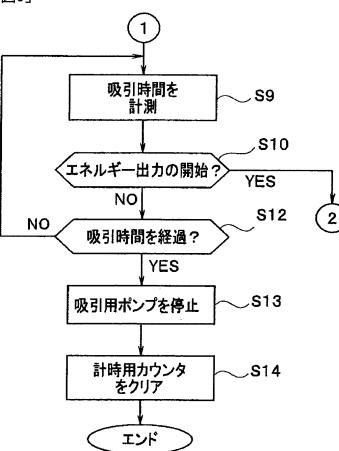
[図8]

. |

}



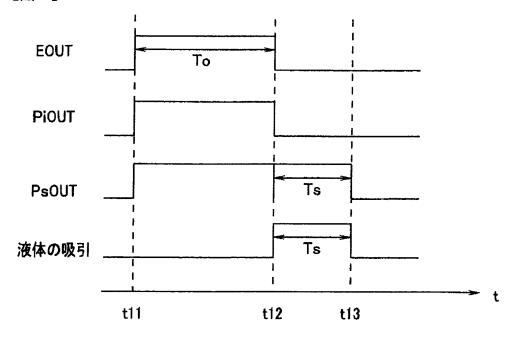
3 I.



[図10]

)

ł



t Ir	nder the Panenwork	Reduction Act of 1995, pp pp	U.S. P	atent and Trademark (	PTO/AIA/01 (06-12) for use through 01/31/2014. OMB 0651-0032 Office; U.S. DEPARTMENT OF COMMERCE
	申請デ	ータシート(37 CFR 1.1	76)を使った実用及び意匠登	録出願宣誓書(37	V CFR 1.63)
発明の名称 Title of Invention	SURGIO	CAL DEVICE			
下記発明者である As the below nam	私は、つぎのことか ed inventor. I her	「らを宣誓します。 ebv declare that:			
本宣誓は This declaration is directed to:	添付さ	れている、あるいは tached application, or			
	[ れてい	ます。	に出願されたPCT国際出		として出願されているものに宛てら filed on
上記の出願は私自 The above-identifi	身、あるいは私が	権限を授与したものによって s made or authorized to b	く行われたものです。		,
, 私は本出願書中に I believe that I am	あらわれるもともと the original inven	の発明者、あるいはもともと tor or an original joint inve	cの共同発明者です。 entor of a claimed invention in the	application	
本宣誓書においてお とを認めます。	放意に虚偽の申し edge that any will	立てを行った場合は 18 U.S	.C. 1001 により、罰金あるいは最高:	五(5)年の禁固刑、あ	るいはその両方による罰則の対象となるこ by fine or imprisonment of not more
			警告: WARNING:		
ットカード番号のよ USPTO(米国特許 ないよう、請願者/ 記録内容は、出願 が入手可能なもの。 出願書中に参考とし ために提出されたし Petitioner/applicar theft. Personal inform of personal inform information from th available to the pu application) or issu is referenced in a	うな個人情報の割 筒標庁)は、請願 出願者は、USPT の公開、あるいは となることを知って して言及されてい PTO-2038 様式に att is cautioned to pTO-2038 sub ation is included the documents be bilic after publica Jance of a patem published applic	記は(支払いを目的とした あるいは出願申請のうえて のに書類を提出する前に。 特許交付後は、(37 CFR におく必要があります。さら る場合は、一般人の入手 は出願ファイルには保持さ a avoid submitting perso s social security number nitted for payment purpor in documents submitted fore submitting them to ation of the application (it t. Furthermore, the reco ation or an issued pater	た、小切手あるいはクレジットカート いっさい要求していません。このよ よく注意し、もしあった場合は訂正し 1.213(a)の規制に合致した非公開 に、出願が放棄された記録であっ が可能となる場合があります。小せ れず、したがって一般人が入手す nal information in documents file s, bank account numbers, or cre ses) is never required by the US d to the USPTO, petitioners/app the USPTO, Petitioner/applican inless a non-publication request rd from an abandoned application	ド使用認証書類であ ような個人情報が US し、抹消せねばなりま 引申請が申請書のな でも、その出願が公 切手およびクレジット ることはできません。 ed in a patent appli edit card numbers ( SPTO to support a licants should cons it is advised that th t in compliance with on may also be ava d credit card author	cation that may contribute to identity other than a check or credit card
LEGAL NAME OF I	NVENTOR				
発明者: Inventor:Hideo : 署名:	SANAI Idideo	Sanai		日付(任意): Date (Optional):	
こと。なお残余の発明 Note: An application previously filed. Use This collection of infom	者ことにPTO/AI/   data sheet (PTC   an additional PT   nation is required by	A/01 用紙を使用する。 0/AIA/14 or equivalent), in 10/AIA/01 form for each a 135 U.S.C. 115 and 37 CFR	cluding naming the entire inventiv dditional inventor.	e entity, must accor	れ、あるいは前もって出願がなされている npany this form or must have been by the public which is to file (and by the
ncluding gathering, pre of time you require to c Department of Comme	eparing, and submit omplete this form a rce, P.O. Box 1450,	ting the completed application nd/or suggestions for reducin	S.C. 122 and 37 CFR 1.11 and 1.14. T n form to the USPTO. Time will vary de g this burden, should be sent to the CP DO NOT SEND FEES OR COMPLET	his collection is estima pending upon the indivi- nief Information Officer	ted to take 1 minute to complete, vidual case. Any comments on the amount

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## プライバシー保護法声明書

1974 年プライバシー保護法 (P.L. 93-579) は、特許出願あるいは特許に関する添付種類の提出に関連して 特定情報があなたに与えられるよう規定しています。したがって、同法規の規定にしたがい、下記のことがら を銘記してください。(1) 本情報の収集を律する法規は 35 U.S.C. 2(b)(2)です。(2) 求められた情報の提供は、 本人の任意です。さらには、(3) 米国特許商標庁がこの情報を使用する主目的は、特許出願または特許の 提出を処理し、あるいは審査するためです。求められた情報を提供しなかった場合、米国特許商標庁は提出 されたものを処理、審査できなくなる場合がありますので、その結果として、処理の打ち切り、あるいは出願 の破棄、あるいは特許失効に終わることがあります。

本用紙に記載された情報は、下記の通常使用目的に従います。

- 本用紙に記載されている情報は、情報公開法(5 U.S.C. 552)およびプライバシー保護法(5 U.S.C 552a)が許容する範囲において極秘扱いとなります。本記録システムの記録は、本記録の開示が情 報公開法で要求されているか否かを判断するために、司法省に開示される場合があります。
- 本記録システムの記録は通常使用目的として、示談交渉手順における反対側弁護士への開示を含め、証拠の提示として法廷、予審判事、あるいは行政裁判所に開示される場合があります。
- 本記録システム中の記録は通常使用目的として、記録に関する個人が該当する記録に関して、米国 会議員に支援を要請する場合、個人の関与を要請する米国会議員に開示される場合があります。
- 4. 本記録システム中の記録は通常使用目的として、契約を執行するためにその情報を必要とする、本 庁の契約業者に開示される場合があります。情報の受理者は5 U.S.C. 552a(m)に基づき、1974 プラ イバシー法の規定要件を順守しなければなりません。
- 5. 特許協力条約のもとで出願された国際出願に関する本記録システム内の記録は、通常使用目的として、特許協力条約に基づき、世界知的所有権機関に開示される場合があります。
- 6. 本記録システムの記録は通常使用目的として、国家安全保障(35 U.S.C. 181)による再審理、および原子力法(42 U.S.C. 218(c)にもとづく再審理の目的において、他の連邦政府機関に開示される場合があります。
- 7. 本記録システムの記録は通常使用目的として、44 U.S.C. 2904 及び 2906 に基づく記録管理慣行及 びプログラムの改善を推奨するために、米一般調達局長官(GSA)により、当機関の責任の一部として 行われる記録の検査機関中に、GSA、またはその被指名人に開示される場合があります。上記の開 示は、本目的のための記録検査を規定する GSA 規定、及び関連(GSA あるいは商務省)の指令に 準拠して行われます。かかる開示は、個人を特定する目的のもとに使用されてはなりません。
- 8. 本記録システムの記録は通常使用目的として、35 U.S.C. 122(b)に基づく出願公開後あるいは 35 U.S.C. 151に基づく特許発行後に、一般に開示される場合があります。さらに記録は通常使用目的として、37 CFR 1.14の制限のなかで、出願がなされても放棄され、またはその処理が終決しており、なおかつそれが公開出願で参照されている、特許出願が一般審査のために公開されている、または特許が発行されている場合は、一般に公開されることがあります。
- 9. 本記録システムの記録は、通常使用目的として、米国特許商標庁が、法律や法規の違反した、あるいは潜在的に違反があると判断した場合は、連邦、州、または地方自治体の警察等に開示される場合があります。

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

Application Number	New U.S. Patent Application					
Filing Date	January 24, 2014					
First Named Inventor	Hideo SANAI	Hideo SANAI				
Title	SURGICAL DEVICE					
Art Unit	N/A	N/A				
Examiner Name	N/A	N/A				
Attorney Docket Numb	153190					
SIGNATURE o	f Applicant or Patent Practitioner					
Signature /TC	dd M. Guise/ for James A. Oliff	Date (Optional)	2014-01-24			
Name Todo	I M. Guise	Registration Number	46748			
Title (if Applicant is a juristic entity)						
Applicant Name (if Applicant	s a juristic entity)					
<b>NOTE:</b> This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certimore than one applicant, use multiple forms.			ements and certifications. If			
*Total of 1	forms are submitted.					

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

PTO/AIA/82B (07-12) Approved for use through 11/30/2014. OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unders it contains a value OMB control number.

	U.S. Falent and Hauemark Office, U.S. DEFARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond	I to a collection of information unless it contains a valid OMB control number.

### 出願者による委任状 **POWER OF ATTORNEY BY APPLICANT**

	こもと、添付の伝送書式中に						
	hereby revoke all previous powers of attorney given in the application identified in the attached transmittal letter.						
	私は下記の顧客番号に関した特許弁護5 書類)に記載されている出願に関連した					式PTO/AIA/82A あるいはその同等	
	青銅)に記載さなている西線に関連した hereby appoint Practitioner(s) associat					t(s), and to transact all business in	
	he United States Patent and Trademark	c Office connected	therewith for	or the application referen	nced in the attacl	hed transmittal letter (form	
	PTO/AIA/82A or equivalent:			05044		1	
Ő	• -			25944			
	ムは下記の特許弁護士を、私/私たちの				式PTO/AIA/82A ま	5るいはその同等書類)に記載されて	
	いる出願に関連した米国特許商標局に対 hereby appoint Practitioner(s) named b				at all huainana in	the Linited States Datent and	
	rademark Office connected therewith f						
	登録名	番	号		登録名	番号	
	Name Registration	Num	ber	Name	Registration	Number	
						·····	
1					1.0 1		
	同定された特許の住所を認め						
Please	recognize or change the corr	espondence a	iddress to	or the above-identi	fied patent to	):	
L 🔳	上記顧客番号に関連した住所。						
	he address associated with the above-	mentioned Custor	ner Number				
あるい OF	, -						
	ヽ 面客番号に関連した住所。						
	開き留ちて、関連した正方。 The address associated with Customer	Number:					
また OF							
	勝所または個						
	人名 irm or						
	ndividual Name						
住所							
Address							
市町村名				州名		郵便	
City				State		│番号│ │Zip	
国名							
Country							
電話				イーメール			
Telephone	e			Email			
			[1/2	1			

[Page 1 of 2]

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

.

PTO/AIA/82B (07-12) Approved for use through 11/30/2014. OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless if contains a valid OMB control number.

Ϊ.

Under the Pa	perwork Reduction Act of 1995	5, no persons are required to respond to a collection	of information unles	ss it contains a valid OMB control number.
		出願者による委任状		
	POWER	R OF ATTORNEY BY APP	LICANT	
私は出願者であり:				
I am the Applicant:				
□ 発明者あるいに	は共同発明者である			
Inventor or Join	nt Inventor			
死亡、あるいに	は禁治産者とされた多	発明者の法的代理人である		
Legal Represe	ntative of a Decease	ed or Legally Incapacitated Invento	r	
🚽 被譲渡人あるレ	いは発明者が譲渡する	る義務を負う人物である		
Assignee or Pe	erson to Whom the li	nventor is Under an Obligation to A	Assign	
別面において-	ト分な <i>独占的</i> 所有権(	(たとえば出願のなかで 37 CFR 1.	46(b)(2) のも	とにおける請願が出願のなか
□ で受理された、	あるいは本書類とる	ともに申請がなされた場合)を有す	ることを示し	た人物である)
		fficient Proprietary Interest (e.g., a		
		currently being filed with this docur	•	
		特許出願人の署名 SIGNATURE of Applicant for Patent		
署名	4-1		日付	1 30 -13
Signature	7 Suzak		Date	Apr. 30, 2013
姓名 Name	Akira Šuzuki		電話 Telephone	
肩書きおよび会社 Title and Company		of Intellectual Property Departr		• • • • •
		出願者あるいは出願者の代理人の署名か		
		こと。署名がひとつ以上ある場合はその		
		the applicant or applicant's representati		
		<ol> <li>Submit multiple forms for more than or</li> </ol>	ie signalure, set	BUGIUW .
└─」*提出書式の合計数は	よである。			
Total of	forms are submitted.			
		[2/2]		

[2/2] [Page 2 of 2]

### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo SANAI

Application No.: New U.S. Patent Application

Filed: January 24, 2014

Docket No.: 153190

For: SURGICAL DEVICE

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- One or more reference cited herein was cited in the International Search Report.
   See References <u>7-10</u>. An original and English language version of the International
   Search Report are attached for the Examiner's information as Reference <u>11</u>.
- 3. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- 4. An English language Abstract of one or more non-English language reference is included. See References <u>7, 8 & 10</u>.
- 5. A computer-generated English language translation of one or more references cited herein has been obtained and is attached, but has not been reviewed for accuracy. See References <u>7-10</u>.

References <u>4-6</u> correspond to References <u>7, 10 & 9</u>, respectively. 6.

Respectfully submitted,

wie James A. Óliff

Registration No. 27,075

Todd M. Guise Registration No. 46,748

Olga Hernandez Registration No. 58,232

JAO:TMG/OZH/cck

Date: January 24, 2014

**OLIFF PLC** P.O. Box 320850 Alexandria, Virginia 22320-4850 Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461

 $\boxtimes$ 

		Sheet I of I
Modified Form PTO-1449 (REV. 07/09) PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 153190	APPLICATION NO. New U.S. Patent Application
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	FIRST NAMED INVENTOR Hideo SANAI	
	FILING DATE January 24, 2014	

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number	Date	Name			
	1	2010/0137751 A1	06/03/2010	Tadami			
	2	2003/0040672 A1	02/27/2003	Ogura et al.			
	3	2010/0324458 A1	12/23/2010	Okada et al.			
	4	2006/0265035 A1	11/23/2006	Yachi et al.			
	5	6,666,860 B1	12/23/2003	Takahashi			

		FOREIG	N PATENT DC	CUMENTS		
Examiner Initials	Cite No.	Document Number	Date	Country	With English Abstract	With English Translation
	6	WO 98/14131 A1	04/09/1998	WIPO		
:	7	ም-A-2006-341066	12/21/2006	JAPAN	Х	Х
	8	JP-A-2006-187668	07/20/2006	JAPAN	Х	Х
	9	JP-A-2001-501513	02/06/2001	JAPAN		Х
	10	JP-A-2001-112768	04/24/2001	JAPAN	Х	х

		OTHER DOCUMENTS		
Examiner Initials	Cite Including name of the author (in CAPITAL LETTERS), title of the article (when appropriate the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volumber(s), publisher, city and/or country where published.			
	11	International Search Report issued in International Application No. PCT/JP2013/060447 dated May 7, 2013 (with translation).		

EXAMIN	ER	DATE CONSIDERED
Examiner:	Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through not considered. Include copy of this form with next communication to applicant.	bugh citation if not in conformance and



WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification $6$ :		(11) International Publication Number: WO 98/14131
A61B 18/14, A61M 1/00	A1	(42) * (
(21) International Application Number:PCT/US(22) International Filing Date:2 October 1996 (0)		CH. DE. DK ES EL ER CR CR IE IT LUL MG
<ul> <li>(71) Applicant: MEDTRONIC, INC. [US/US]; 7000 Cenenue Northeast, Minneapolis, MN 55432 (US).</li> <li>(72) Inventors: MULIER, Peter, M., J.; 1408 Forest Lane, State /li></ul>	St Paul	With international search report.
MN 55112 (US). HOEY, Michael, F.; 5377 Pon Shorview, MN 55126 (US).	d Drive	
(74) Agents: KINGHORN, Curtis, D. et al.; Medtronic, In Central Avenue Northeast, MS301, Minneapolis, Mt (US).	nc., 7000 N 55432	
4) Title: FLUID-ASSISTED ELECTROCAUTERY DEV	/ICE	
	<u>&gt;</u>	24
20 19 18 16 10 7 14	-i2	28
/) Abstract		

An electrocautery instrument (10) is provided with a source of conductive fluid coupled to a proximal end of a hollow electrode (16). Conductive fluid is communicated through said electrode (16) and expelled out of the distal end thereof during electrocautery, forming a "virtual electrode". The infused conductive liquid conduits the RF electrocautery energy away from the conductive electrode (16), thereby displacing the region of thermal generation and reducing the extent of burns and perforations caused by conventional electrocautery electrodes. In one embodiment, the electrode (16) is partially disposed within and extends distally out of a retractable suction tube (14), such that smoke and fluid are aspirated from the electrocautery site. When the suction tube (14) is fully advanced, the electrode (16) is concealed therein, enabling suction without electrocautery to be performed.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Аппеліа	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	\$Z	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ТJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	тт	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CIF	Central African Republic	JP	Јарал	NE	Niger	VN	Viet Nam
CG	Congo	KE	Кепуа	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon	244	Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal	-	
CU	Cuba	KZ	Kazakstan	RO	Romania		
cz	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	ĽK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

1

# FLUID-ASSISTED ELECTROCAUTERY DEVICE

# FIELD OF THE INVENTION

This invention relates generally to the field of medical instruments, and more particularly relates to an electrocautery device.

# **BACKGROUND OF THE INVENTION**

Various types of electrocautery devices for incising and cauterizing body tissue are known and used in the medical field. Typically, such devices include a conductive blade or needle which serves as one electrode in an electrical circuit which is completed via a grounding electrode coupled to the patient. Incision of tissue is accomplished by applying a source of electrical energy (most commonly, a radio-frequency generator) to the blade. Upon application of the blade to the tissue, a voltage gradient is created, thereby inducing current flow and related heat generation at the point of contact. With sufficiently high levels of electrical energy, the heat generated is sufficient to cut the tissue and, advantageously to simultaneously cauterize severed blood vessels.

15

20

10

5

It is widely recognized in the prior art that the often substantial amount of smoke produced by electrocauterization of tissue is at least unpleasant, and in some cases distracting or even hazardous to the operator and other attending medical personnel. As a result, it has been proposed, and is common, to provide an electrocautery device with smoke-aspirating capabilities, such that the smoke produced from electrocauterization is quickly withdrawn from the area of incision. Smoke aspiration may be accomplished by providing, in the handle of the electrocautery device near the electrocautery blade/electrode, an inlet port to be coupled to a vacuum or suction source. Examples of this are described in U.S. Patent No. 4,307,720 to Weber, Jr., entitled "Electrocautery

PCT/US96/15796

2

Apparatus and Method and Means for Cleaning the Same;" in U.S. Patent No. 5,242,442 to Hirschfeld, entitled "Smoke Aspirating Electrosurgical Device;" and in U.S. Patent No. 5.269,781 to Hewell, entitled "Suction Assisted Electrocautery Unit."

It has also been recognized in the prior art that the accumulation of coagulated blood, tissue rubble, and other debris on the electrode/blade of an electrocautery device can present a problem for the operator, necessitating the periodic cleaning of the blade. e.g., by wiping the blade over sterilized gauze or the like. This is generally regarded as undesirable, since the need to clean the electrode/blade tends to interrupt the incision procedure and increases the risks associated with contamination of the blade or the incision, damage to the blade, injury to the operator, and the like. To address this problem, it has been proposed in the prior art to provide an electrocautery instrument in which the electrode/blade is in slidable engagement with the instrument's handle, such that when the blade is retracted into the hand, any adhering debris automatically scraped off onto the tip of the handle. Such an instrument is proposed in the above-referenced Weber, Jr. '720 patent. While this arrangement may have some benefit, it still may be necessary to wipe off the tip of the handle once the blade is retracted. It is believed that a more direct and effective approach to the problem would be to reduce the amount of debris created during the electrocautery process, thereby eliminating or at least reducing the need to clean the electrode/blade.

20

## SUMMARY OF THE INVENTION

In view of the foregoing considerations, the present invention is directed to an improved electrocautery instrument.

10

15

3

In one embodiment of the invention, an electrocautery instrument is configured with an electrode/blade disposed within a retractable suction tube, such that with the suction tube advanced, the electrode/blade is concealed within the tube, and with the suction tube retracted, the distal end of the electrode/blade is exposed for performing electrocautery.

In accordance with one aspect of the invention, the electrocautery electrode/blade is implemented with a hollow, conductive tube, flattened at it distal end into a blade-like configuration. Conductive fluid is applied to the proximal end of the hollow electrode/blade, and expelled from the distal (blade) end thereof during electrocautery. In accordance with another aspect of the invention, the conductive fluid emanating from the electrode/blade conducts the RF electrocautery energy away from the blade, so that it is primarily the fluid, rather than the metal blade, which actually accomplishes the cutting of tissue. That is, the fluid serves as a "virtual" electrocautery electrode. Since it is the fluid, rather than the blade, which incises and cauterizes, no burns or perforations are made to the tissue, reducing the amount of debris in the incision. Also, the flow of fluid through the electrode/blade tends to keep the blade clean and cool.

# BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other aspects of the present invention may perhaps be best appreciated with reference to a detailed description of a specific embodiment of the invention, when read in conjunction with the accompanying drawings, wherein:

Figure 1 is a perspective view of an electrocautery instrument in accordance with one embodiment of the invention; and

15

20

10

4

Figure 2 is a enlarged perspective view of the distal end of the electrode/blade of the electrocautery instrument of Figure 1.

# DETAILED DESCRIPTION OF A SPECIFIC EMBODIMENT OF THE INVENTION

Referring to Figure 1, there is shown a perspective view of a fluid-assisted electrocautery instrument 10 in accordance with one embodiment of the invention. Electrocautery instrument 10 comprises a handle 12, a suction tube 14, and an electrocautery electrode/blade 16. Handle 12 is preferably made of a sterilizable, rigid, and non-conductive material, such as nylon or the like. Suction tube 14, which is also preferably made of a sterilizable and non-conductive material, is slidably disposed partially within an internal lumen of handle 12, and projects distally out of the end thereof. Electrode/blade 16 is disposed within suction tube 14 and handle 12. Suction tube 18 is adapted to slide proximally and distally with respect to handle 12 and electrode 16 (i.e., in the directions of arrow 20 in Figure 1) by means of a sliding lever 18 extending out of a slot 19 in handle 12. With suction tube 14 in a retracted position, as shown in Figure 1, a distal portion of electrode/blade 16 projects beyond the distal end of tube 14, such that electrocautery can be performed. With suction tube in an advanced position, suction tube 14 completely conceals the tip of electrode/blade 16.

In accordance with one aspect of the invention, electrode/blade 16 is preferably implemented using a hollow cylindrical tube which has been flatted at its distal end, as shown in the greatly enlarged perspective view of Figure 2. In addition to being flattened, a portion of the distal end of electrode/blade 16 is removed to form a longitudinal slit 22 therein.

10

15

20

5

Three connections are made to electrocautery instrument 10: One terminal (e.g., positive) of a radio-frequency (RF) generator (not shown in Figure 1) is electrically coupled to electrode/blade 16 via a wire 24; a source of fluid to be expelled from slit 22 in electrode/blade 16 is coupled to the proximal end of electrode/blade 16 via a flexible tube or hose 26; and a suction hose 28 is coupled to handle 12 so as to be in communication with the internal lumen of handle 12 and with suction tube 14. When suction is applied via hose 28, air and fluid are drawn into the distal end of suction tube 14, as indicated by arrows 30. The ability to advance or retract suction tube 14 with respect to electrode/blade 16 enables the operator of the instrument to perform electrocautery while simultaneously aspirating smoke and fluid from the incision site, or to use suction tube 14 alone, without performing electrocautery.

As noted above, conductive fluid is communicated from inflow tube 26 and communicated along the length of electrode/blade 16 to be expelled from the distal end thereof. This is done in order to establish a so-called virtual electrode for performing electrocautery. The infusion of conductive fluid simultaneously with the application of RF energy is discussed in further detail in: U.S. patent application serial number 08/113,441 entitled "Method and Apparatus for R-F Ablation," filed on August 27, 1993 in the name of Peter M.J. Mulier and Michael F. Hoey, in U.S. patent application serial number 08/303,246, entitled "Method and Apparatus for RF Ablation," filed on September 8, 1994 in the name of Peter M.J. Mulier; and in U.S. patent application S.N. 08/302,304 entitled "Method and Apparatus for RF Ablation," filed in the name of Peter M.J. Mulier and Michael F. Hoey on September 8, 1994. The foregoing '441 '246, and '304 applications (hereinafter collectively referred to as "the RF ablation

10

5

6

applications") are each commonly assigned to the assignee of the present invention, and incorporated by reference herein in their respective entireties.

As described in the RF ablation patents, the infusion of conducting fluid into the area of application of RF energy creates a "virtual electrode," the size and shape of which can be controllably modified, and which can be rendered more or less conductive, thereby modifying the spread of RF energy. By varying such factors as the RF energy and duration, the rate of infusion of conductive liquid, and the conductivity of the infused solution, the size, shape, and intensity of the "virtual electrode" – i.e., the intensity of thermal production in the area, can be controlled. In the case of the electrocautery device in accordance with the present invention, application of the conductive solution during the application of RF energy further assists by preventing overheating of the electrode/blade, extending the point at which burning or charring of tissue would otherwise normally occur. To enhance this effect, it is contemplated that the solution being infused may first be cooled.

Conductive solutions believed to be suitable for establishing the virtual electrode include saline, saturated saline, and Ringer's solution, among others. Regarding the source of conductive fluid, it is contemplated that a conventional pump may be coupled to input line 26. Alternatively, it is contemplated that a small, pre-pressurized canister of conductive solution may be used, such that no pump is required. In one embodiment, handle 12 may be configured to receive such a pressurized canister therein, eliminating the need for input line 26.

Although in the embodiment of Figure 1, input line 26, suction line 28, and electrical connection 24 are depicted separately, it is contemplated that these connections

10

5

15

7

to instrument 10 may be consolidated into a single line having two separate fluidconducting lumens therein (one for input of conductive solution, one for suction), alongside an insulated electrical conductor.

Various alternate configurations of electrode/blade 16 are also contemplated. In one embodiment, a porous metal element is substituted for the flattened tube configuration of Figures 1 and 2.

From the foregoing detailed description of a specific embodiment of the invention, it should be apparent that a method and apparatus for performing fluid-assisted electrocautery of body tissue has been disclosed, wherein fluid delivered out of a hollow electrocautery electrode/blade creates a virtual electrode which incises and cauterizes the tissue.

Although a specific embodiment of the invention has been described herein, this has been done solely for the purposes of illustrating various aspects of the invention, and is not intended to be limiting with respect to the scope of the invention. It is contemplated that various substitutions, alterations, and/or modifications, including but not limited to those specifically discussed herein, may be made to the disclosed embodiment without departing from the spirit and scope of the invention as defined in the appended claims, which follow.

8

## WHAT IS CLAIMED IS:

1. A fluid-assisted electrocautery instrument, comprising:

an elongate handle having proximal and distal ends and having a longitudinal lumen extending between said proximal and distal ends;

a suction tube, disposed partially within said lumen of said handle and having a distal end extending out of said distal end of said handle;

a conductive electrocautery electrode adapted to be coupled to a source of radiofrequency energy, said electrode comprising an elongate tube defining an internal lumen extending between proximal and distal ends of said electrode, said electrode disposed within said suction tube such that a distal end of said electrode extends distally beyond said distal end of suction tube;

a fluid input tube, coupled to said proximal end of said electrode and in fluid communication with said internal lumen of said electrode, such that conductive fluid supplied from said input tube is communicated along said electrode and expelled from said distal end of said electrode.

2. An electrocautery instrument in accordance with claim 1, wherein said distal end of said electrode is flattened into a blade-like configuration.

3. An electrocautery instrument in accordance with claim 1, further comprising: a suction hose, adapted to be coupled between said proximal end of said suction tube and a suction pump, for aspirating smoke and fluid during electrocautery.

4. An electrocautery instrument in accordance with claim 1, wherein said suction tube is slidably disposed in said handle such that said suction tube is

10

5

15

PCT/US96/15796

9

slidable between a fully retracted position wherein a distal end of said electrode extends beyond said distal end of said suction tube, and a fully advanced position wherein said distal end of said electrode is disposed within said suction tube.

5. A method of performing electrocautery, comprising the steps of:

(a) applying radio-frequency energy to an electrocautery site via a hollow, conductive electrode;

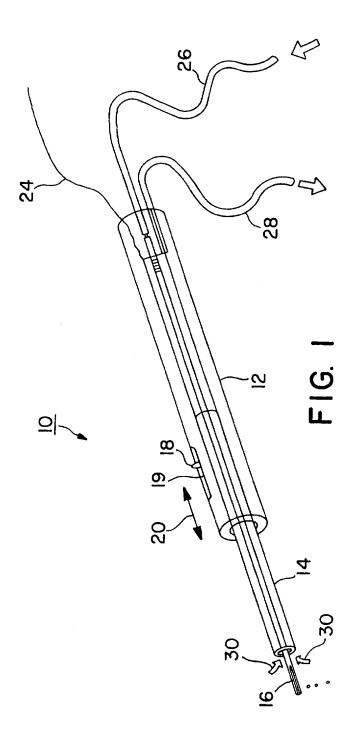
(b) simultaneously with step (a), infusing said electrocautery site with a conductive liquid expelled from said electrode.

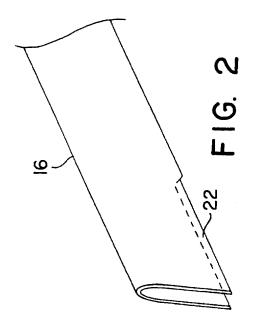
6. A method in accordance with claim 5, further comprising the step of aspirating smoke and fluid the electrocautery site with a suction tube partially surrounding said electrode.

10

il (...

PCT/US96/15796







# INTERNATIONAL SEARCH REPORT

. 1

Inter. nal Application No PCT/US 96/15796

A. CLA	SSIFICATION OF SUBJECT MANY		PCT/US 96/15796
IPC 6	SSIFICATION OF SUBJECT MATTER A61B18/14 A61M1/00		
Accordin	g to International Patent Classification (IPC) or to both nationa	l classification au + 100	
B. FIEL	DS SEARCHED		
IPC 6	a documentation searched (classification system followed by classification	ssification symbols)	
Document	tation searched other than minimum documentation to the exter	it that such documents are includ	led in the fields searched
Clastra			
Electronic	data base consulted during the international search (name of da	ata base and, where practical, sea	urch terms used)
C. DOCU	MENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of	the relevant passages	Relevant to claim No.
Y	US 4 326 529 A (DOSS JAMES D E April 1982	TAL) 27	1-4
	see abstract; figures 1-5 see column 3, line 13 - column	1 line 10	
Y			
	US 5 472 441 A (EDWARDS STUART December 1995	D ET AL) 5	1-4
	see abstract; figure 1		
	see column 7, line 1 - line 55		
	US 1 735 271 A (SUTTEN H. GROFF) 12 November 1929		2
	see page 1, line 44 - page 2, figure 1	line 10;	
		-/	
X Furth	er documents are listed in the continuation of box C.	X Patent family memb	ers are listed in annex.
special cate	gories of cited documents :	النوسية 	
consider	nt defining the general state of the art which is not red to be of particular relevance		after the international filing date in conflict with the application but principle or theory underlying the
earlier de filing da	ocument but published on or after the international ate	"X" document of narticular n	elevance: the claimed investiga
	nt which may throw doubts on prionty claim(s) or i cited to establish the publication date of another or other special reason (as specified)	involve an inventive step	when the document is taken alone
documen	it referring to an oral disclosure, use, exhibition or	document is combined w	elevance; the claimed invention involve an inventive step when the nth one or more other such docu-
document	n the proving date claimed	in the art.	t being obvious to a person skilled
	tual completion of the international search	& document member of the Date of mailing of the inte	
2 .	June 1997		1 2. 06. 97
ne and mai	iling address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer	
	NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016	Zeinstra, H	4

Form PCT/ISA/210 (second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

Inte: nal Application No PCT/US 96/15796 1

ategory *	tion) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	WO 90 03152 A (CONSIDINE JOHN ;COLIN JOHN BUNCE (GB)) 5 April 1990 see abstract; figures 6-9 see page 9, line 14 - page 12, line 8	1,3,4
A	US 5 167 659 A (OHTOMO NAOKI ET AL) 1 December 1992 see abstract; figures 1-3 see column 1, line 63 - column 2, line 37 see column 3, line 11 - line 68	1
A	US 5 401 272 A (PERKINS RODNEY C) 28 March 1995 see abstract; figure 1A see column 2, line 59 - column 3, line 35	1
с		

In .ational application No.

INTERNATIONAL SEARCH REPORT	PCT/US 96/ 15796
Box I Observations where certain claims were found unsearchable (Continuation of	f item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under A 1. X Claims Nos.; 5,6 because they relate to subject matter not required to be searched by this Authority, p PCT Rule 39.1 (iv)	
2. Claims Nos.: because they relate to parts of the International Application that do not comply with an extent that no meaningful International Search can be carried out, specifically:	the prescribed requirements to such
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second a	
Box II Observations where unity of invention is lacking (Continuation of item 2 of fir	st sheet)
This International Searching Authority found multiple inventions in this international application	on, as follows:
1. As all required additional search fees were timely paid by the applicant, this Internation searchable claims.	nal Search Report covers all
2. As all searchable claims could be searched without effort justifying an additional fee, th of any additional fee.	is Authority did not invite payment
3. As only some of the required additional search fees were timely paid by the applicant, t covers only those claims for which fees were paid, specifically claims Nos.:	his International Search Report
4. No required additional search fees were timely paid by the applicant. Consequently, this restricted to the invention first mentioned in the claims; it is covered by claims Nos.;	International Search Report is
Remark on Protest The additional search fees were acco	

.: 1

# INTERNATIONAL SEARCH REPORT

i

Int. onal Application No

Information on patent family members		PCT/US 96/15796	
Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4326529 A	27-04-82	NONE	
US 5472441 A	05-12-95	US 5458597 A AU 1051495 A WO 9513113 A US 5536267 A US 5507743 A US 5599345 A US 5599346 A	17-10-95 29-05-95 18-05-95 16-07-96 16-04-96 04-02-97 04-02-97
US 1735271 A	12-11-29	NONE	
WO 9003152 A	05-04-90	DE 68920747 D DE 68920747 T EP 0435929 A JP 4501674 T US 5441503 A	02-03-95 08-06-95 10-07-91 26-03-92 15-08-95
US 5167659 A	01-12-92	JP 4022354 A JP 7034805 B	27-01-92 19-04-95
US 5401272 A	28-03-95	US 5441498 A	15-08-95

# PATENT ABSTRACTS OF JAPAN

(11)Publication number : 2006-341066 (43)Date of publication of application : 21.12.2006

(51)Int.Cl.		A61B	18/04 17/28 18/00	(2006. 01) (2006. 01) (2006. 01)
(21)Application numbe	er : 2005–334665		(71)Applic	cant : OLYMPUS MEDICAL SYSTEMS CORF
(22)Date of filing :	18.11.2005		(72)Invent	tor: YANAI CHIE
_				SAKAI RIYOUJI
				MURAKAMI EIJI
				MASUDA SHINYA
				ΙΜΟΤΟ ΚΑΤSU

## (30)Priority

Priority number : 2005141536

Priority date : 13.05.2005

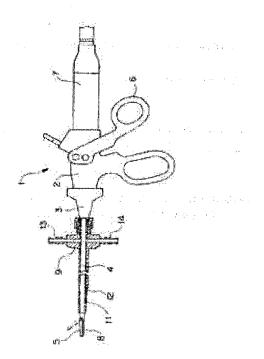
Priority country : JP

# (54) MEDICAL TREATMENT INSTRUMENT

## (57)Abstract:

PROBLEM TO BE SOLVED: To provide a medical treatment instrument capable of effectively sucking smoke or mist produced during treatment and quickly cooling the heated treatment portion after the treatment, thereby enhancing handleability.

SOLUTION: This medical treatment instrument includes: an elongated insertion portion 4 inserted into a body cavity; a clamping portion 5 and a probe 8 provided at the distal end of the insertion portion 4 for treating a treated tissue in the body cavity by heating; a sheath 9 into which at least a part of the insertion portion 4 is inserted; a flow passage 12 formed between the outer circumference of the insertion portion 4 and the inner circumference of the sheath 9 and through which either of a fluid supplied to the clamping portion 5 and the probe 8 and the fluid sucked from the inside of the body cavity flows; a water and air sending port 13 and a suction port 14 communicating with the flow passage 12; and an opening communicating with the flow passage 12 and opened opposite to the clamping portion 5 and the probe 8.



#### * NOTICES *

JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

#### CLAIMS

## [Claim(s)]

[Claim 1]

An insert portion of thin length inserted into the abdominal cavity,

A treatment part which is provided at a tip of the aforementioned insert portion and takes a measure by heating an organization for treatment in the aforementioned abdominal cavity,

A cylindrical member in which at least one copy of the aforementioned insert portion is inserted,

A flow path through which at least 1 side of a fluid which is formed between a periphery of the aforementioned insert portion and inner circumference of the aforementioned cylindrical member, and is supplied to the aforementioned treatment part, and a fluid sucked out of the aforementioned abdominal cavity flows,

An outflow entrance which performs at least 1 side of supply to the aforementioned flow path of a fluid which communicates the aforementioned flow path and is supplied to the aforementioned treatment part, and discharge from the aforementioned flow path of a fluid sucked out of the aforementioned abdominal cavity,

An opening by which communicated the aforementioned flow path, and the opening was opposed and carried out to the aforementioned treatment part,

A providing medical treatment device.

[Claim 2]

The medical treatment device according to claim 1 while the aforementioned cylindrical member is formed in large diameter rather than a path of the aforementioned insert portion in which a path of an inner peripheral surface of this cylindrical member was inserted, wherein the aforementioned treatment part provided at a tip of the aforementioned insert portion is projected from the aforementioned cylindrical member.

[Claim 3]

The medical treatment device according to claim 1 with which a cross-sectional area of the aforementioned opening is characterized by being smaller than a cross-sectional area of the aforementioned flow path.

[Claim 4]

The aforementioned treatment part consists of a freely openable/closable opening/closing part to a heating part which heats the aforementioned organization for treatment, and this heating part,

The medical treatment device according to claim 1 or 3 currently opposing and carrying out the opening of the aforementioned opening to the aforementioned heating part at least.

[Translation done.]

#### * NOTICES *

# JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

#### DETAILED DESCRIPTION

[Detailed Description of the Invention] [Field of the Invention] [0001]

The treatment part which the present invention heats the organization for treatment in the abdominal cavity, and deals with this organization for treatment is related with the medical treatment device provided by the insert portion of thin length. [Background of the Invention]

#### [0002]

Conventionally, the ultrasonic treatment tool which is a medical treatment device from which organization excision, an organic matter, and other sediments are removed is variously proposed using supersonic vibration.

For example, a Patent document 1 has disclosed the ultrasonic treatment tool which can suck particles (aerosol is called hereafter), such as blood in the abdominal cavity generated to this ultrasonic treatment in the case, while taking the ultrasonic measures of the organization for treatment using supersonic vibration.

#### [0003]

Specifically the ultrasonic treatment tool disclosed in the Patent document 1. The ultrasonic transducer (a vibrator is only called hereafter) is provided by the handpiece, and it has the composition connected with the probe for ultrasonic transmission of thin length (a probe is only called hereafter) with which the treatment part to which this vibrator takes the ultrasonic measures of the organization for treatment was provided. From this, the supersonic vibration which the vibrator emitted by drive is transmited to a treatment part via a probe.

[0004]

In the position (the tip side is called hereafter) which opposes to the treatment position of a probe at an ultrasonic treatment tool, the wrap sheath is provided by periphery shape so that annular open space may be formed between the peripheries by the side of this end of the probe.

#### [0005]

Open space and a suction opening which communicates are formed in a sheath.

Suction sources are connected to this suction opening via a suction tube.

The pipeline connected to the water supplying source which sprays water on an ultrasonic treatment tool to a treatment part, and which carries out an opening to the tip side is arranged.

#### [0006]

The ultrasonic treatment tool which was constituted in this way and which was disclosed in the Patent document 1 takes the ultrasonic measures of the organization for treatment by spraying water on a probe using the aerosol or mist generated by the cavitation while transmiting supersonic vibration to a treatment part. The aerosol generated during treatment can be sucked now by suction sources via the opening, suction opening, and suction tube which were formed in the sheath.

[Patent document 1] EP,0645987,B gazette

[Description of the Invention]

[Problem to be solved by the invention]

[0007]

By the way, the vibrator which faced performing ultrasonic treatment using the ultrasonic treatment tool, and was provided by the handpiece generates heat for the drive which emits supersonic vibration. In order to prevent that the handpiece is heated by this generation of heat, and it becomes impossible to hold, the ultrasonic treatment tool disclosed in the Patent document 1 has composition which cools a vibrator by supplying water to a vibrator in the case of ultrasonic treatment. [0008]

However, in the ultrasonic treatment tool currently used, in order to perform treatment of coagulation or incision using the frictional heat of a probe and the organization for treatment, there is a problem that cooling by the water under treatment disclosed in the Patent document 1 makes treatment capability be deteriorated, and is not preferable in recent years. The circumstances where what has the composition which becomes unnecessary is becoming in use also have supply of water in cooling of the vibrator under drive.

[0009]

Even if it is an ultrasonic treatment tool which does not need supply of water for cooling of the vibrator under such a drive, a cavitation etc. occur during treatment with the moisture which the organization for treatment originally has. Also in the treatment using the laser treatment implement etc. which take a measure by the high frequency treatment tool which takes a measure with Joule heat not only using an ultrasonic treatment tool but using the high frequency current, or a laser beam, smoke and mist occur by treatment.

[0010]

As for the treatment using various treatment implements, it is common to also insert an endoscope into the abdominal cavity in order to observe the treatment part in the abdominal cavity with a treatment implement, but the mist and smoke which were generated during treatment will become very troublesome for an operator in order to soil the lens of the front face of an endoscope, while barring the field of view of an endoscope.

#### [0011]

For this reason, in the case of treatment, even if it is a treatment implement which is not made to generate a cavitation

intentionally, it is necessary to constitute so that the smoke generated during treatment, mist, etc. can be sucked by suction sources via the opening formed in the sheath, and a suction tube. [0012]

In the treatment implement which deals with the organization for treatment using heat, the treatment part serves as an elevated temperature immediately after treatment. Therefore, when carrying out extraction of the treatment implement out of the abdominal cavity, it is common to carry out, after cooling a high temperature treatment part. [0013]

However, since extraction was performed after usually waiting for a treatment part to cool automatically, it could not work promptly, and also this had the problem that it was very complicated for an operator. In the ultrasonic treatment tool with which this was disclosed in the Patent document 1, consideration is not made at all.

[0014]

While being able to suck effectively the smoke and mist which are generated during treatment in the medical treatment device which the object of this invention is made in light of the above-mentioned circumstances, heats the organization for treatment in the abdominal cavity, and deals with this organization for treatment, It is in providing the medical treatment device which improved usability by having the composition which can cool the heated treatment part promptly after treatment. [Means for solving problem]

[0015]

To achieve the above objects, a medical treatment device by the present invention is provided with the following. An insert portion of thin length inserted into the abdominal cavity.

A treatment part which is provided at a tip of the aforementioned insert portion and takes a measure by heating an organization for treatment in the aforementioned abdominal cavity.

The flow path through which at least 1 side of the fluid which is formed between the cylindrical member in which at least one copy of the aforementioned insert portion is inserted, and the periphery of the aforementioned insert portion and the inner circumference of the aforementioned cylindrical member, and is supplied to the aforementioned treatment part, and the fluid sucked out of the aforementioned abdominal cavity flows, An opening by which communicated the aforementioned flow path, communicated an outflow entrance which performs at least 1 side of supply to the aforementioned flow path of a fluid supplied to the aforementioned treatment part, and discharge from the aforementioned flow path of a fluid sucked out of the aforementioned flow path, and the opening was opposed and carried out to the aforementioned treatment part.

## [Effect of the Invention]

[0016]

While being able to suck effectively the smoke and mist which are generated during treatment in the medical treatment device which heats the organization for treatment in the abdominal cavity, and deals with this organization for treatment according to the present invention. The medical treatment device which improved usability can be provided by having the composition which can cool the heated treatment part promptly after treatment.

[0017]

If a measure is taken in the organization for treatment with an ultrasonic treatment tool, a high frequency treatment tool, a laser treatment implement, etc., mist and smoke will occur from the organization for treatment. Although such mist and smoke will be the cause which bars a view in the treatment which used the endoscope and the lens of the front face of an endoscope will be soiled further, according to the present invention, an observation visual field into the trap is reliably securable by sucking the mist generated during treatment, smoke, etc.

#### [0018]

In the treatment implement which deals with the organization for treatment using heat, the treatment part of the treating apparatus serves as an elevated temperature immediately after treatment. According to the present invention, since the dirt which adhered fluids, such as water and gas, to it at the treatment part while cooling the treatment part to the treatment part by returning water or carrying out a supplied air is removable immediately after treatment, the dirt of the treatment part after treatment can be prevented.

[Best Mode of Carrying Out the Invention]

[0019]

Hereafter, an embodiment of the invention is described with reference to Drawings.

Although there are a high frequency treatment tool using the Joule heat by the ultrasonic treatment tool and high frequency current using an ultrasonic wave, etc., a laser treatment implement using a laser beam, an RF treatment implement using electromagnetic waves, etc. in the medical treatment device which performs various treatment of a surgical operation etc. using heat, In this embodiment, the ultrasonic treatment tool for coagulotomy is mentioned as an example, and is described. [0020]

(A 1st embodiment)

It is a figure showing the modification to which the figure and Fig.2 which <u>Fig.1</u> made the section the ultrasonic treatment tool in which a 1st embodiment of the present invention is shown for a part of insert portion, and were shown provided the expanded sectional view by the side of the proximal end of the insert portion of <u>Fig.1</u>, and Fig.3 provided the grip slot on the periphery of the connection screw in Fig.2. The cross sectional view where the figure which <u>Fig.4</u> expanded the tip side of the insert portion of Fig.1, and was shown, and Fig.5 are along the V-V line in <u>Fig.4</u>, and Fig.6 are the block diagrams showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool of Fig.1.

In the following descriptions, an ultrasonic treatment tool describes the tip and operating part side for the side inserted into the abdominal cavity as a end face side.

As shown in <u>Fig.1</u>, the ultrasonic treatment tool 1 has the long and slender insert portion 4 inserted into the abdominal cavity, and is constituted, and the grip part 5 which is a treatment part is arranged at the tip side of the insert portion 4. The grip part 5 constitutes the opening/closing part which comprised a freely openable/closable pair of jaw, for example, grips and heats the organization for treatment in the abdominal cavity by this jaw, and deals with coagulotomy etc. The grip part 5 is freely openable/closable to the tip side of the probe 8 mentioned later. [0022]

The end face side of the insert portion 4 is connected at the tip of the rotating knob 3 which is an operating part. It is connected at the tip of the stationary handle 2 which is an operating part, and the rotating knob 3 carries out rotatably operating of this insert portion 4 in the direction of the circumference of an axis by making the axial center of the insert portion 4 into a center of rotation.

[0023]

The movable handle 6 which carries out switching operation of the pair of jaw of the grip part 5 to the stationary handle 2 is attached via the supporting shaft, enabling free rotation. The probe 8 of the thin length by whom the tip was connected to the grip part 5 and the end face was connected to the vibrator 7 and who is a heating part inserted in the insert portion 4 is attached to the stationary handle 2.

#### [0024]

Since the probe 8 performs various treatment of coagulation etc. to the organization for treatment using the heat generated with the electric power supplied from the power supply body 27 (refer to  $\underline{Fig.6}$ ) mentioned later, the part connected to the grip part 5 which is a tip side of the probe 8 constitutes the treatment part.

#### [0025]

The periphery of the insert portion 4 and the periphery excluding the grip part 5 side of the insert portion 4 and the tip side of the probe 8 specifically are equipped with the returning-water suction sheath (a sheath is only called hereafter) 9 which is a cylindrical member. That is, the grip part 5 and tip side of the probe 8 is projected from the sheath 9. The sheath 9 is freely attachable/detachable to at least one copy of the periphery of the insert portion 4. That is, at least one copy of the insert portion 4 is inserted in the sheath 9.

#### [0026]

As shown in <u>Fig.2</u>, the sheath 9 has the body part 10 of flange shape, the insert portion [ small diameter / body part / 10 / which extended from the approximately center of this body part 10 to the tip side ] 11, and the connection part [ small diameter / body part / 10 / which extended from the approximately center of the body part 10 to the end face side ] 134, for example, and the principal part is constituted. The screw is formed in the periphery of the connection part 134. [0027]

The inner diameter of the hole of the insert portion 11 is formed in large diameter from the outer diameter of the insert portion 4. Of this, the flow path 12 is formed between the inner circumference of the insert portion 11, and the periphery of the insert portion 4. The flow paths 10r and 10l. approximately perpendicular to the hole which communicates the body part 10 from a tip to a end face in this body part 10 are formed. The flow paths 10r and 10l. communicate the flow path 12.

## [0028]

The returning-water supplied-air port 13 which is the input for connecting with returning water and the air supply device 29 (refer to Fig.6) which has a pump returning water mentioned later and for supplied airs in the body part 10 is provided so that it may become approximately perpendicular to the insert portion 11. The returning-water supplied-air port 13 has the flow path 13r, and this flow path 13r communicates with the flow path 10r of the body part 10. That is, in the flow path 12, the flow path 13r communicates.

[0029]

The suction port 14 which is a tap hole for connecting with the suction unit 30 (refer to  $\underline{Fig.6}$ ) which has a pump for suction mentioned later in the body part 10 is provided so that it may become approximately perpendicular to the insert portion 11. The suction port 14 has the flow path 14r, and this flow path 14r communicates with 10 l. of flow paths of the body part 10. That is, in the flow path 12, the flow path 14r communicates.

#### [0030]

If they are the ultrasonic treatment tool 1 and a range in which it does not interfere, the returning-water supplied-air port 13 and the suction port 14 are not restricted approximately perpendicularly to the insert portion 11, but will free the degree of setting angle and will be provided by the body part 10.

[0031]

The returning-water supplied-air port 13 and the suction port 14 constitute the outflow entrance which performs at least 1 side of supply to the flow path 12 of the fluid supplied to the treatment part which consists of the grip part 5 and tip side of the probe 8, and the discharge from the flow path 12 of the fluid sucked out of the abdominal cavity. [0032]

The end of the tube 32 which connects the returning-water supplied-air port 13, and returning water and an air supply device 29 to the periphery of the returning-water supplied-air port 13 is covered. The end of the tube 32 which connects the suction port 14 and the suction unit 30 also to the periphery of the suction port 14 is covered. [0033]

The key-like protruding part 15 which prevents the omission of the covered tube 32 is provided by the periphery of the returning-water supplied-air port 13 and the suction port 14. By this, direct continuation of the end of the tube 32 can be carried out to each port 13 and 14.

#### [0034]

The RUAROKKU cap 16 for connecting with the RUAROKKU connector which is not illustrated connected to each end of the tube 32 is provided by the end of the periphery of the returning-water supplied-air port 13 and the suction port 14, respectively. By this, a RUAROKKU connector can connect to each port 13 and 14 the tube 32 connected to the end. [0035]

Only either of the protruding part 15 and the RUAROKKU cap 16 may be provided in each port 13 and 14. The number of connections provided by the body part 10 is not limited to two, but if it is one or more, it can provide any number. When providing one, it describes in detail by the <u>Fig.18</u> mentioned later - Fig.22. [0036]

The annular connection screw 17 is screwed in the screw part formed in the periphery of the connection part 134 of the body part 10. In the axial direction (the direction of an insertion shaft is called below) of an insert portion, the connection screw 17 is attached to the periphery of the connection part 134 so that the elastic member 18 and the sleeve 19 may be put between the proximal end 21 of the connection screw 17, and the bottom part 34 of the connection part 134.

The elastic member 18 and the sleeve 19 are formed annularly, and each inner circumference of the elastic member 18 and the sleeve 19 is largely formed rather than the outer diameter of the insert portion 4 so that the insert portion 4 may be inserted in.

#### [0038]

The inner circumference of the opening formed in the proximal end 21 of the connection screw 17 is also largely formed rather than the outer diameter of the insert portion 4 so that the insert portion 4 may be inserted in. The inner circumference of the opening formed in the proximal end 21 is formed smaller than the path by the side of the tip of the rotating knob 3. [0039]

The grip slot 20 may be formed so that it may be easy to attach to the connection part 134, as shown to the periphery of the connection screw 17 in Fig.3.

#### [0040]

In order to attach to the connection part 134 of the body part 10 the connection screw 17, the elastic member 18, and the sleeve 19 which were constituted in this way, first, the end face side of the elastic member 18 is inserted in the tip side of the sleeve 19, and the proximal end of this elastic member 18 is dashed against the flange 22 formed in the end face of the sleeve 19.

#### [0041]

Subsequently, where the elastic member 18 and the sleeve 19 are combined, carry out fitting of the tip side of the elastic member 18 to the inner circumference of the connection part 134 of the body part 10, and at the end in the proximal end 21 of the connection screw 17. The connection screw 17 is made to screw in the periphery of the connection part 134 so that the elastic member 18 and the sleeve 19 may be crushed in the direction of an insertion shaft.

#### [0042]

In this case, the length which combined the elastic member 18 and the sleeve 19 is shorter than what added the length of the hole of the proximal end of the connection part 134, and the length of the hole of the connection screw 17. [0043]

As shown in <u>Fig.4</u>, the tip of the sheath 9 when the insert portion 4 is equipped with the sheath 9 is placed at the end face side rather than the grip part 5 so that the grip part 5 and tip side of the probe 8 may be exposed. The tip of the insert portion 11 of the sheath 9 is formed in tapered shape.

#### [0044]

As shown in <u>Fig.5</u>, for example, it abuts the inner circumference of the point of the insert portion 11 with the periphery of the insert portion 4, the four circular arcs 25 which the four convex supporting parts 24 are formed, and connect the four supporting parts 24 with the inner circumference of the point of the insert portion 11 in accordance with the inner circumference of the point of the insert portion 11 are formed.

#### [0045]

The tip flow path 26 is formed between the four circular arcs 25 divided with the four supporting parts 24, and the periphery of the insert portion 4, and this tip flow path 26 communicates with the flow path 12 formed between the inner circumference of the insert portion 11, and the periphery of the insert portion 4.

#### [0046]

When the tip of the sheath 9 when it equips with the sheath 9 is placed at the end face side rather than the grip part 5, the opening of the tip flow path 26 is carried out to the insert portion 4 as the opening 35 at the end face side of the grip part 5 which it is near the position which opposes to the grip part 5 or the probe 8. The opening 35 is provided from the grip part 5 by the near position by the side of the tip of the probe 8. That is, the opening of the opening 35 is carried out to the tip side of the probe 8 at least.

#### [0047]

As shown in <u>Fig.6</u>, the ultrasonic treatment device 100 has the ultrasonic treatment tool 1, the power supply body 27, the switch 28, returning water and the air supply device 29 that are supply sources, and the suction unit 30 which is suction sources, and the principal part is constituted.

#### [0048]

The vibrator 7 of the ultrasonic treatment tool 1 is connected with the power supply body 27 which performs an ultrasonic drive. The switch 28 for oscillating the vibrator 7 is connected to the power supply body 27.

#### [0049]

The power supply body 27, returning water and an air supply device 29, and the suction unit 30 are electrically connected by the cable 31 so that it can interlock and operate. Returning water and the air supply device 29 are connected by the returning-water supplied-air port 13 and the tube 32 of the ultrasonic treatment tool 1, and the suction unit 30 is connected by the suction port 14 and the tube 32 of the ultrasonic treatment tool 1.

#### [0050]

On the other hand, a fluid from returning water and the air supply device 29 here a supplied air and when water is returned. A fluid passes through the flow path 10r and the flow path 12 between the inner circumference of the insert portion 11 of the sheath 9, and the periphery of the insert portion 4, after advancing into the flow path 13r of the returning-water supplied-air port 13 via the tube 32 connected with returning water and the air supply device 29. From the tip opening 35 of the tip flow path 26, returning water and a supplied air are turned on the grip part 5 and tip side of the probe 8.

#### [0051]

On the other hand, when fluids, such as smoke and mist, are sucked by the suction unit 30, after advancing into the tip flow path 26 from the tip opening 35, a fluid passes through the flow paths 12, 10L, and 14r, and is discharged from the tip opening 35 from the suction unit 30 via the tube 32 connected to the suction port 14.

#### [0052]

Next, it describes using  $\underline{Fig.1}$  - Fig.6 and Fig.7 - Fig.9 about an operation of the ultrasonic treatment tool 1 of this embodiment constituted in this way.

The exploded view where <u>Fig.7</u> freed itself from the insert portion 4 of the ultrasonic treatment tool 1 of Fig.1 to the sheath 9, and Fig.8, The flow chart and Fig.9 in which the control method of the ultrasonic treatment device at the time of dealing with the organization for treatment in the abdominal cavity was shown using the ultrasonic treatment tool of <u>Fig.1</u> are a figure showing the state where the tip side of a grip part was moved for the tip side of the insert portion of the sheath of <u>Fig.1</u> to the wrap position. [0053]

First, after inserting the sheath 9 in the insert portion 4 of the ultrasonic treatment tool 1 until it runs against the rotating knob 3 from the proximal end side of the sheath 9 to the tip side of this insert portion 4 as shown in Fig.7, as shown in Fig.2.The connection screw 17 is made to screw in the periphery of the connection part 134 so that the elastic member 18 and the sleeve

19 may be crushed for the connection screw 17 in the direction of an insertion shaft in the proximal end 21 of this connection screw 17.

[0054]

As a result, the inner diameter of the elastic member 18 becomes small, and the inner circumference of the elastic member 18 is stuck with the periphery of the insert portion 4. The sheath 9 is fixed to the ultrasonic treatment tool 1 by this. In this case, as mentioned above, the flow path 12 is formed between the periphery of the insert portion 4, and the inner circumference of the insert portion 11 of the sheath 9. The flow path 12 communicates with the tip flow path 26, the flow paths 10r and 10l. of the body part 10, the flow path 13r of the returning-water supplied-air port 13, and the flow path 14r of the suction port 14. [0055]

Then, the returning-water supplied-air port 13, and returning water and an air supply device 29 are connected by the tube 32, and further, after connecting the suction port 14 and the suction unit 30 by the tube 32, the insert portion 4 of the ultrasonic treatment tool 1 is inserted into the abdominal cavity, and it deals with the organization for treatment in the abdominal cavity. [0056]

The following operations are performed by sending a control signal to returning water, the air supply device 29, and the suction unit 30 via the communication wire which is not illustrated from the control means 70 which is the control part arranged in the power supply body 27 of the ultrasonic treatment device 100. That is, it is performed by the motion control of the control means 70.

[0057]

When dealing with the organization for treatment in the abdominal cavity, after the organization for treatment which becomes a candidate for treatment is first gripped by the grip part 5, as shown in <u>Fig.8</u>. In Step S1, the vibrator 7 performs an ultrasonic drive in response to supply of the electric power from the power supply body 27 by carrying out ON operation of a supersonic vibration switch (SW) and the becoming switch 28 (refer to <u>Fig.6</u>). Vibration of the vibrator 7 is transmited to the grip part 5 via the probe 8. The organization for treatment is heated by this by friction with the organization for treatment by vibration of the probe 8 by the side of a tip, and treatment of coagulotomy etc. is performed.

[0058]

Subsequently, a fluid has it returning water or judged by the operation of returning water and the air supply device 29 from the opening 35 to the grip part 5 or the probe 8 in Step S2 whether the supplied air is carried out. [0059]

On the other hand, a fluid from the opening 35 returning water or when the supplied air is carried out, After stopping returning water or the supplied air of the fluid from the opening 35 and checking again that the switch 28 is ON at Step S10 by branching to Step S3 and stopping returning water and the air supply device 29, it shifts to step S4. On the other hand, from the opening 35, a fluid shifts to step S4, returning water or when a supplied air is not carried out.

[0060]

In step S4, it will interlock, if heating treatment of the organization for treatment is carried out by the grip part 5, and when the suction unit 30 operates, suction of the mist and smoke which were generated on the occasion of treatment is performed. Specifically, after the fluid sucked by the suction unit 30 advances into the tip flow path 26 from the tip opening 35, it flows through the flow paths 12, 10L, and 14r, and is discharged from the tip opening 35 from the suction unit 30 via the tube 32 connected to the suction port 14.

[0061]

As shown in <u>Fig.9</u>, in this case the sheath 9, Since it is connected to the elastic member 18 and can slide to the insert portion 4, after making the insert portion 11 of the sheath 9 slide to the tip side and covering the grip part 5, a fluid may be sucked from the tip of the insert portion 4 by extruding the tip opening 35 from the grip part 5 to the tip side. [0062]

After the treatment of the organization for treatment by the grip part 5 is completed, in Step S5, by carrying out the turn off operation of the switch 28 (refer to  $\underline{Fig.6}$ ), supply of the electric power from the power supply body 27 is intercepted, and the vibrator 7 stops.

[0063]

Subsequently, in conjunction with a stop of the vibrator 7, suction of the mist and smoke which were generated on the occasion of treatment is stopped by suspending the suction unit 30 at Step S6. Then, it shifts to Step S7. [0064]

receiving the grip part 5 or the probe 8 by operating in Step S7, only while [several seconds] returning water and the air supply device 29 are the time set up beforehand -- the tip opening 35 to a fluid is returning water -- or a supplied air is carried out. [0065]

Specifically the fluid supplied from returning water and the air supply device 29, After advancing into the flow path 13r of the returning-water supplied-air port 13 via the tube 32, it flows through the flow path 12 between the inner circumference of the insert portion 11 of the sheath 9, and the periphery of the insert portion 4, and returning water and a supplied air are made the grip part 5 or the probe 8 from the tip opening 35 of the tip flow path 26. The grip part 5 or the probe 8 heated by treatment is cooled by this. The dirt of the grip part 5 adhering by treatment is removable by returning water or the supplied air of a fluid. [0066]

Subsequently, in Step S8, it is judged whether the fluid has passed while [several seconds] returning water or the time by which the supplied air was carried out was set up beforehand since the tip opening 35. A routine is ended, after returning to Step S2, suspending returning water and the air supply device 29 for the supplied air or returning-water time of a fluid after a lapse for several seconds in Step S3 and checking OFF of the switch 28 in the subsequent step S10, if while [several seconds] being set up beforehand had not passed.

[0067]

If it returned to Step S8, and the fluid has passed while [several seconds] returning water or the time by which the supplied air was carried out was set up beforehand since the tip opening 35, It shifts to step S9, and finally, by step S9, by stopping returning water and the air supply device 29, returning water or the supplied air of the fluid from the tip opening 35 is stopped, and a routine is completed after that.

[0068]

Thus, in the ultrasonic treatment tool 1 of this embodiment, while providing returning water or the flow paths 10r, 12, 13r, and 26 for carrying out a supplied air for the fluid to the ultrasonic treatment tool 1, the flow paths 10l., 12, 14r, and 26 which suck a

fluid from the inside of the abdominal cavity were provided at the time of treatment. That is, it indicated that the flow path 12 provided between the insert portion 4 and the insert portion 11 served as the flow path which sucks a fluid from the inside of the abdominal cavity while being returning water or a flow path for carrying out a supplied air about a fluid. [0069]

The opening 35 of the flow paths 10r, 10l., 12, 13r, 14r, and 26 was provided to the tip side of the ultrasonic treatment tool 1 so that opposed and it might be placed near the grip part 5 and the probe 8. [0070]

Treatment using a treatment implement can be performed reliably, always securing an operator's view by this, since the smoke and mist which are generated in the case of ultrasonic treatment were effectively sucked via the flow paths 10l., 12, 14r, and 26 with the suction unit 30.

#### [0071]

A fluid from the opening 35 via the flow paths 10r, 12, 13r, and 26 with returning water and the air supply device 29 to the grip part 5 and the probe 8 which became an elevated temperature with frictional heat immediately after ultrasonic treatment Since [ returning water or since a supplied air can be carried out ], The heated grip part 5 or the probe 8 can be promptly cooled after treatment.

#### [0072]

It becomes unnecessary to provide two flow paths with the flow path which sucks returning water or the flow path for carrying out a supplied air, and the fluid out of the abdominal cavity for a fluid to the insert portion 4.

[0073]

From the above thing, the ultrasonic treatment tool which improved usability can be provided for an operator. [0074]

A modification is shown hereafter.

It was indicated that it was used by this embodiment in order that returning water or the fluid by which a supplied air is carried out may cool the grip part 5 and the probe 8 after treatment from the opening 35. Not only this but a fluid may be used for washing in the abdominal cavity a supplied air or by returning water into the abdominal cavity. [0075]

According to this, after insertion of the returning-water siphon from another port of the ultrasonic treatment tool 1 is omissible and also carrying out extraction of the ultrasonic treatment tool out of the abdominal cavity, the time and effort which inserts only the returning-water siphon is omissible.

[0076]

(A 2nd embodiment)

The expanded sectional view by the side of the proximal end of the insert portion of <u>Fig.10</u> and the Fig.12 of the figure and Fig.11 which a part of insert portion made the section the ultrasonic treatment tool for which <u>Fig.10</u> shows a 2nd embodiment of the present invention, and were shown are the expanded sectional views by the side of the point of the insert portion of <u>Fig.10</u>. [0077]

It differs in that the composition of the ultrasonic treatment tool of this embodiment provides to a rotating knob, without providing the returning-water supplied-air port 13 and the suction port 14 to the body part 10 of the sheath 9 as compared with the ultrasonic treatment tool 1 of a 1st embodiment shown in the above-mentioned <u>Fig.1</u> – Fig.9.Therefore, only this point of difference is described, the same code is given to the same composition as a 1st embodiment, and that description is omitted. [0078]

As shown in <u>Fig.10</u>, the ultrasonic treatment tool 201 has the long and slender insert portion 4 inserted into the abdominal cavity, and is constituted, and the grip part 5 which is a treatment part is arranged at the tip side of the insert portion 4. The side, at least one copy, for example, the end face, of the insert portion 4, is covered by the rotating knob 37 which is a cylindrical member of an operating part. As a result, the grip part 5 and tip side of the probe 8 is projected from the rotating knob 37. The rotating knob 37 carries out rotatably operating of this insert portion 4 in the direction of the circumference of an axis by making the axial center of the insert portion 4 into a center of rotation, and its function is as substantially identical as the rotating knob 3 of a first embodiment.

[0079]

Space 38 which is a flow path is formed between the periphery by the side of the end face of the insert portion 4, and the inner circumference of the rotating knob 37. That is, the end face side of the insert portion 4 is inserted in space 38 of the inner circumference of the rotating knob 37. The rotating knob 37 is connected at the tip of the stationary handle 2 of an operating part.

[0080]

The movable handle 6 which carries out switching operation of the pair of jaw of the grip part 5 to the stationary handle 2 is attached via the supporting shaft, enabling free rotation. The probe 8 of the thin length by whom the tip was connected to the grip part 5 and the end face was connected to the vibrator 7 inserted in the pipeline probe channel 46 in the insert portion 4 is attached to the stationary handle 2.

[0081]

As shown in <u>Fig.11</u>, are an inside of the insert portion 4 and between the inner circumference of the insert portion 4, and the periphery of the pipeline probe channel 46. For example, the driving shaft channel 40 which transmits operation of the movable handle 6 to the grip part 5 and in which the driving shaft 39 which connects the movable handle 6 and the grip part 5 is inserted is arranged. The driving shaft channel 40 also constitutes the flow path. [0082]

As shown in <u>Fig.12</u>, in the position which opposes to the grip part 5, the opening of the tip side of the driving shaft channel 40 is carried out to the tip side of the insert portion 4 as the opening 41. The driving shaft channel 40 is inserted in with space 38 of the rotating knob 37. The opening 41 is provided from the grip part 5 by the near position by the side of the tip of the probe 8. That is, the opening of the opening 41 is carried out to the tip side of the probe 8 at least. [0083]

The returning-water supplied-air port 13 is provided by the rotating knob 37. The returning-water supplied-air port 13 has the flow path 13r, and this flow path 13r communicates with space 38 of the rotating knob 37. That is, in the flow path 13r, the driving shaft channel 40 communicates. The returning-water supplied-air port 13 is connected with returning water and the air supply device 29 via the tube 32 like a 1st embodiment mentioned above.

#### JP,2006-341066,A [DETAILED DESCRIPTION]

#### [0084]

The suction port 14 is provided by the rotating knob 37. The suction port 14 has the flow path 14r, and this flow path 14r communicates with space 38 of the rotating knob 37. That is, in the flow path 14r, the driving shaft channel 40 communicates. The suction port 14 is connected with the suction unit 30 via the tube 32 like a 1st embodiment mentioned above. [0085]

It provides so that the rubber lining 44 which an outer peripheral surface sticks to the middle position of the probe 8 in the insert portion 4 at the inner circumference of the pipeline probe channel 46 may cover the probe 8. The tip ring 68 and the rubber lining 69 are arranged also between the component arranged by the inner circumference of the stationary handle 2, and the probe 8 inserted in in the stationary handle 2.

[0086]

Since the composition of the ultrasonic treatment device with which the ultrasonic treatment tool 201 constituted in this way is arranged is the same as the composition of the ultrasonic treatment device of the 1st enforcement shown in  $\underline{Fig.6}$ , the description is omitted.

[0087]

Next, it describes about an operation of the ultrasonic treatment tool 201 of this embodiment constituted in this way. Hereafter, since the control action of the ultrasonic treatment device 100 in the case of treatment is the same as the control action of a 1st embodiment shown in <u>Fig.8</u>, the description is omitted. Therefore, only the flow of the fluid in the flow path of the ultrasonic treatment tool 201 at the time of sucking a fluid for a fluid, returning water or when carrying out a supplied air is hereafter described as an operation of this embodiment.

#### [0088]

First, the returning-water supplied-air port 13, and returning water and an air supply device 29 are connected by the tube 32, and further, after connecting the suction port 14 and the suction unit 30 by the tube 32, the insert portion 4 of the ultrasonic treatment tool 201 is inserted into the abdominal cavity, and it deals with the organization for treatment in the abdominal cavity. [0089]

Then, when, sucking fluids, such as mist generated in the case of treatment, on the other hand, After the fluid sucked by the suction unit 30 advances into the driving shaft channel 40, it flows through the flow paths 38 and 14r, and is discharged from the opening 41 from the suction unit 30 via the tube 32 connected to the suction port 14. [0090]

Under the present circumstances, since the fluid which entered in the rotating knob 37 has the rubber lining 44 provided by the probe 8, it has composition which does not flow into the pipeline probe channel 46. Since the tip ring 68 and the rubber lining 69 are provided by the inner circumference of the stationary handle 2, the sucked fluid has composition not flowing. [0091]

On the other hand, after the fluid supplied from returning water and the air supply device 29 advances into the flow path 13r of the returning-water supplied-air port 13 via the tube 32, it flows through space 38 and the driving shaft channel 40, and, as for returning water and a supplied air, is made into the grip part 5 and the probe 8 from the tip opening 41. [0092]

Thus, in the ultrasonic treatment tool 201 in which this embodiment is shown, the returning-water supplied-air port 13 and the suction port 14 were provided to the rotating knob 37. The driving shaft channel 40 in which the driving shaft 39 arranged in the insert portion 4 is inserted was used as a flow path at the time of sucking the fluid at returning water or the time of carrying out a supplied air for a fluid. That is, it indicated that the driving shaft channel 40 served as the flow path which sucks a fluid from the inside of the abdominal cavity while being returning water or a flow path for carrying out a supplied air about a fluid.

By this, since the flow path through which a fluid flows into the ultrasonic treatment tool 201 can be formed even if it does not use the sheath 9, compared with a 1st embodiment mentioned above, a manufacturing cost is reducible. [0094]

When rotating the rotating knob 37, the returning-water supplied-air port 13 and the suction port 14 can be moved to the position which does not contact to rotation of a rotating knob.

[0095]

Other effects are the same as that of the ultrasonic treatment tool of a 1st embodiment mentioned above.

[0096]

#### (A 3rd embodiment)

The figure and Fig.14 which  $\underline{Fig.13}$  made the section the ultrasonic treatment tool in which a 3rd embodiment of the present invention is shown for a part of insert portion, and were shown, It is a cross sectional view where the cross sectional view which is along the XIV-XIV line in  $\underline{Fig.13}$ , and  $\underline{Fig.15}$  are along the expanded sectional view by the side of the proximal end of the insert portion of  $\underline{Fig.13}$ , Fig.16 is along the expanded sectional view by the side of the insert portion of  $\underline{Fig.13}$ , and Fig.17 is along the XVII-XVII line in Fig.16.

#### [0097]

The composition of the ultrasonic treatment tool of this embodiment extends a rotating knob to the tip side of an insertion shaft as compared with the ultrasonic treatment tool 1 of a 2nd embodiment shown in the above-mentioned  $\underline{Fig.10}$  -  $\overline{Fig.12}$ , and the points which provided the returning-water supplied-air port 13 and the suction port 14 to the this extended part differ. Therefore, only this point of difference is described, the same code is given to the same composition as a 2nd embodiment, and that description is omitted.

#### [0098]

As shown in <u>Fig.13</u>, the ultrasonic treatment tool 301 has the long and slender insert portion 4 inserted into the abdominal cavity, and is constituted, and the grip part 5 which is a treatment part is arranged at the tip side of the insert portion 4. The side, at least one copy, for example, the end face, of the insert portion 4, is covered with the rotating knob 42 which is a cylindrical member of an operating part. That is, the grip part 5 and tip side of the probe 8 is projected from the rotating knob 42. [0099]

in addition — being extended in the direction of a tip of the insert portion 4 from the rotating knob 37 of a 2nd embodiment, although the rotating knob 42 carries out rotatably operating of this insert portion 4 in the direction of the circumference of an axis by making the axial center of the insert portion 4 into a center of rotation and its function is as substantially identical as the rotating knob 37 of a second embodiment — formation — now, it is. The rotating knob 42 is connected at the tip of the

## stationary handle 2 of an operating part.

[0100]

The movable handle 6 which carries out switching operation of the pair of jaw of the grip part 5 to the stationary handle 2 is attached via the supporting shaft, enabling free rotation. The probe 8 of the thin length by whom the tip was connected to the grip part 5 and the end face was connected to the vibrator 7 inserted in the pipeline probe channel 46 in the insert portion 4 is attached to the stationary handle 2. Between the inner circumference of the pipeline probe channel 46, and the periphery of the probe 8, as shown in <u>Fig.15</u>, the flow path 312 is formed.

#### [0101]

An outer peripheral surface is the sectional shape stuck to the inner circumference of the pipeline probe channel 46, and the circular rubber lining 44 is provided by the position by the side of the end face of the probe 8 in the insert portion 4, for example.

#### [0102]

An outer peripheral surface is the sectional shape stuck to the inner circumference of the pipeline probe channel 46, and the rubber lining 45 of the elliptical form is provided by the position by the side of the tip of the probe 8 in the insert portion 4, for example.

#### [0103]

In detail, as shown in  $\underline{Fig.17}$ , it is in the pipeline probe channel 46, and the rubber lining 45 to which the two contact parts 45t abut the periphery of the probe 8 with the inner circumference of the pipeline probe channel 46 is covered.

#### [0104]

The tip flow path 47 is formed between the rubber lining 45 and the inner circumference of the pipeline probe channel 46 into which the two contact parts 45t were divided. The tip flow path 47 communicates with the flow path 312, and as shown in <u>Fig.16</u>, in the position which opposes to the grip part 5, the opening is carried out to the tip side of the insert portion 4 as the opening 48. The opening 48 is provided from the grip part 5 by the near position by the side of the tip of the probe 8. That is, the opening of the opening 48 is carried out to the tip side of the probe 8 at least.

#### [0105]

The rubber lining 45 will not be limited to an elliptical form, if the tip flow path 47 is formed between this rubber lining 45 and the inner circumference of the pipeline probe channel 46.

#### [0106]

As shown in Fig.14, fitting of the returning-water supplied-air port 13 is carried out to the hole 43 provided by the insert portion 4, and it is provided by the part extended at the insertion shaft tip side of the rotating knob 42. In this case, the returning-water supplied-air port 13 is provided so that it may not interfere in the part extended at the insertion shaft tip side of the rotating knob 42 with the driving shaft channel 40 approximately perpendicularly [ the insert portion 4 ].

[0107]

The returning-water supplied-air port 13 has the flow path 13r, and this flow path 13r communicates with the flow path 312. That is, in the tip flow path 47, the flow path 13r communicates. The returning-water supplied-air port 13 is connected with returning water and the air supply device 29 via the tube 32 like the 1st and 2 embodiment mentioned above. [0108]

As shown in <u>Fig.14</u>, fitting of the suction port 14 is carried out to the hole 43 provided by the insert portion 4, and it is provided by the part extended at the insertion shaft tip side of the rotating knob 42. The suction port 14 is provided so that it may not interfere in the part extended at the insertion shaft tip side of the rotating knob 42 with the driving shaft channel 40 approximately perpendicularly [ the insert portion 4 ].

#### [0109]

The suction port 14 has the flow path 14r, and this flow path 14r communicates with the flow path 312. That is, in the tip flow path 47, the flow path 14r communicates. The suction port 14 is connected with the suction unit 30 via the tube 32 like the 1st and 2 embodiment mentioned above.

[0110]

Since the composition of the ultrasonic treatment device with which the ultrasonic treatment tool 301 constituted in this way is arranged is the same as the composition of the ultrasonic treatment device of the 1st enforcement shown in  $\underline{Fig.6}$ , the description is omitted.

[0111]

Next, it describes about an operation of the ultrasonic treatment tool 301 of the Moto embodiment constituted in this way. Hereafter, since the control action of the ultrasonic treatment device 100 in the case of treatment is the same as the control action of a 1st embodiment shown in <u>Fig.8</u>, the description is omitted. Therefore, only the flow of the fluid in the flow path of the ultrasonic treatment tool 301 at the time of sucking a fluid for a fluid, returning water or when carrying out a supplied air is hereafter described as an operation of this embodiment.

#### [0112]

First, the returning-water supplied-air port 13, and returning water and an air supply device 29 are connected by the tube 32, and further, after connecting the suction port 14 and the suction unit 30 by the tube 32, the insert portion 4 of the ultrasonic treatment tool 301 is inserted into the abdominal cavity, and it deals with the organization for treatment in the abdominal cavity. [0113]

Then, when, sucking fluids, such as mist generated in the case of treatment, on the other hand, after the fluid sucked by the suction unit 30 advances into the tip flow path 47 from the opening 48, it flows through the flow paths 312 and 14r, and is discharged from the suction unit 30 via the tube 32 connected to the suction port 14.

[0114]

At this time, the fluid which entered in the pipeline probe channel 46 has composition which does not flow in the stationary handle 2 by the rubber lining 44.

[0115]

On the other hand, after the fluid supplied from returning water and the air supply device 29 advances into the flow path 13r of the returning-water supplied-air port 13 via the tube 32, it flows through the flow path 312 and the tip flow path 47, and, as for returning water and a supplied air, is made into the grip part 5 or the probe 8 from the opening 48. [0116]

Thus, even if it constitutes the ultrasonic treatment tool 301 in which this embodiment is shown, the same effect as the

ultrasonic treatment tool 201 of a 2nd embodiment mentioned above can be acquired. [0117]

A modification is shown hereafter.

<u>Fig.18</u> is a fragmentary sectional view showing the modification which provided the slot in the position which opposes to Fig.1, Fig.10, and the grip part of the probe of the ultrasonic treatment tool of Fig.13.

[0118]

As shown in <u>Fig.18</u>, it is a point of the probe 8 connected to the grip part 5, and the five slots 49 may be formed in the back surface 8r of a connecting face, for example. Thus, by forming the five slots 49 in the back surface 8r of the probe 8 connected to the grip part 5, since it becomes largely by the surface area fang furrow 49 of the back surface 8r of the probe 8, the heat collected on the probe 8 radiates heat easily after treatment — it is returning water to the probe 8 about a fluid — or a supplied air is carried out and also the temperature of the probe 8 can be lowered. [0119]

# In this case, even if it is, returning water or the supplied air of a fluid to the probe 8 may be performed. The number of the slots 49 is not limited to five pieces, but the slot 49 may be learned except the surface which gears with the grip part 5, and shoes formation may be carried out. The form and the direction of the slot 49 can be set up arbitrarily. [0120]

Another modification is shown hereafter.

The fragmentary sectional view and Fig.20 Fig.19 indicates the modification which provided one port which commonalized the returning-water supplied-air port and the suction port to be to the ultrasonic treatment tool of Fig.1, The block diagram showing the outline of the composition of the ultrasonic treatment device with which the expanded sectional view by the side of the proximal end of the insert portion of Fig.19 and Fig.21 have an ultrasonic treatment tool of Fig.19, and Fig.22 are the block diagrams showing the outline of another composition of the ultrasonic treatment device of Fig.21.

#### [0121]

The ultrasonic treatment tool 1 of a 1st embodiment mentioned above was shown that two ports, the returning-water suppliedair port 13 and the suction port 14, are provided by the body part 10. The port 323 which shared the returning-water supplied-air port 13 and the suction port 14 not only to this but to the body part 10 may be provided only one. That is, the port 323 constitutes input and a tap hole.

#### [0122]

Specifically, as shown in  $\underline{Fig.19}$ , the common port 323 for connecting with returning water, the air supply device 29 (refer to Fig.21), and the suction unit 30 (refer to Fig.21) at the body part 10 is provided. As shown in  $\underline{Fig.20}$ , the common port 323 has the flow path 323r, and this flow path 323r communicates with the flow path 10r (10l.) of the body part 10. That is, in the flow path 12, the flow path 323r communicates.

[0123]

The common port 323 constitutes the outflow entrance which performs at least 1 side of supply to the flow path 12 of the fluid supplied to the treatment part which consists of the grip part 5 and tip side of the probe 8, and the discharge from the flow path 12 of the fluid sucked out of the abdominal cavity.

#### [0124]

As shown in <u>Fig.21</u>, the common port 323, and returning water, the air supply device 29 and the suction unit 30 are connected by the tube 32 with which the switching value 64 was provided by the middle position. Under the present circumstances, the end of the tube 32 is covered by the periphery of the common port 323. The switching value 64 switches returning water of the fluid from returning water and the air supply device 29 or supplied-air operation, and the suctioning operation of the fluid by the suction unit 30 to one side.

#### [0125]

As the connection by the tube 32 of the common port 323, and returning water, the air supply device 29 and the suction unit 30 is shown in <u>Fig.22</u>, returning water and the air supply device 29, and the suction unit 30 may be independently connected to the common port 323. In this case, the ultrasonic treatment device 100 can consist of [ rather than ] easy mechanisms inexpensive using the switching valve 64.

#### [0126]

On the other hand, a fluid from returning water and the air supply device 29 here a supplied air and when water is returned, A fluid by the switching valve 64 via the tube 32 connected with returning water and the air supply device 29, After advancing into the flow path 323r of the common port 323, it passes through the flow paths 10r (101.) and 12, and returning water and a supplied air are made the grip part 5 or the probe 8 from the tip opening 35 (refer to Fig.4) of the tip flow path 26 (refer to Fig.5). [0127]

On the other hand, when a fluid is sucked by the suction unit 30 from the tip opening 35, By the switching valve 64, a fluid passes through the flow paths 12 and 10r (10l.), after advancing into the tip flow path 26 from the tip opening 35, and it is discharged from the suction unit 30 via the tube 32 connected to the common port 323. [0128]

Thus, the same effect as a 1st embodiment mentioned above even if it provided the port for suction returning water or for supplied airs only one to the ultrasonic treatment tool can be acquired. Such composition may be applied to the ultrasonic treatment tool 201 of a 2nd embodiment mentioned above, and the ultrasonic treatment tool 301 of a 3rd embodiment. [0129]

#### (A 4th embodiment)

The figure and Fig.24 which <u>Fig.23</u> made the section the ultrasonic treatment tool in which a 4th embodiment of the present invention is shown for a part of insert portion, and were shown,The figure showing the state where the figure and Fig.25 in which the point side of the insert portion of <u>Fig.23</u> was expanded and shown moved the slider member of Fig.24 at the tip of the direction of an insertion shaft, and Fig.26 are cross sectional views which are along the IIXVI–IIXVI line in Fig.25. [0130]

The composition of the ultrasonic treatment tool of this embodiment is compared with the ultrasonic treatment tool 301 of a 3rd embodiment shown in the ultrasonic treatment tool 201 of a 2nd embodiment shown in the ultrasonic treatment tool 1 of a 1st embodiment shown in the above-mentioned  $\underline{Fig.1}$  -  $\overline{Fig.9}$ , the above-mentioned  $\overline{Fig.10}$  -  $\overline{Fig.12}$ , the above-mentioned  $\overline{Fig.13}$  -  $\overline{Fig.17}$ , It faces cooling the grip part 5 and the probe 8, and differs in that a fluid is not used. Therefore, only this point of difference is described, the same code is given to the 1st - the same composition as a 3rd embodiment, and that description is

#### omitted.

[0131]

As shown in <u>Fig.23</u>, the ultrasonic treatment tool 401 has the long and slender insert portion 4 inserted into the abdominal cavity, and is constituted, and the grip part 5 which is a treatment part is arranged at the tip side of the insert portion 4. The end face side of the insert portion 4 is covered by the rotating knob 50 of the operating part. [0132]

The rotating knob 50 carries out rotatably operating of this insert portion 4 in the direction of the circumference of an axis by making the axial center of the insert portion 4 into a center of rotation, and its function is as substantially identical as the rotating knob 3 of a first embodiment. The rotating knob 50 is connected at the tip of the stationary handle 2 of an operating part.

#### [0133]

The movable handle 6 which carries out switching operation of the pair of jaw of the grip part 5 to the stationary handle 2 is attached via the supporting shaft, enabling free rotation. The probe 8 of the thin length by whom the tip was connected to the grip part 5 and the end face was connected to the vibrator 7 is attached to the stationary handle 2. [0134]

It is a end face side of the insert portion 4, and the wrap slider receptacle 51 is provided by the nearly tip of the rotating knob 50 in the insert portion 4, and, as for this slider receptacle 51, the proximal end is fixed between the inner circumference by the side of the tip of the rotating knob 50, and the periphery of the insert portion 4.

[0135]

The stopper 54 is covered at the tip of the slider receptacle 51, and C rings 52 and 53 are covered, respectively between the stopper 54 of the slider receptacle 51, and the proximal end of the slide receptacle 51.

[0136] The slider member 55 is covered by the periphery of the insert portion 4 so that the slider receptacle 51 may be covered. The holding part 56 of flange shape is provided by the proximal end of the slider member 55, and as shown in <u>Fig.24</u>, the slider receptacle 51 and the protruding part 57 abutted slidably are formed in the inner circumference of this holding part 56. [0137]

As shown in <u>Fig.25</u> and Fig.26, of the slider member 55, it is a periphery of the covered insert portion 4, and the slider 63 of linear shape is formed in the part to which the slider receptacle 51 is not provided along with the insert portion 4. [0138]

The connection part 58 is formed in the point of the slider member 55, and the proximal end of the flat spring 59 of thin length is connected to it along with the insert portion 4 in this connection part 58. The cooling units 60, such as gauze made to become wet, are provided by the point of the flat spring 59, and this cooling unit 60 is abutting the tapered surface 62 usually formed in the tip cover 61 which supports the grip part 5, as shown in Fig.24.

[0139]

Next, it describes about an operation of this embodiment constituted in this way.

The tip side of the probe 8 is made to abut the cooling unit 60 to which the tapered surface 62 is abutted by carrying out slide movement of the slider member 55 to the position shown in Fig.25 from the position shown in  $\frac{\text{Fig.24}}{\text{Fig.24}}$  after the end of ultrasonic treatment of the organization for treatment in the abdominal cavity by the grip part 5. The tip side of the probe 8 is cooled by this.

[0140]

In this case, as shown in  $\underline{Fig.25}$ , as for the slider member 55, the protruding part 57 is fixed by restricting movement of the direction of an insertion shaft with the stopper 54 and C ring 52.

#### [0141]

The position shown in Fig.24 is made to carry out slider movement of the slider member 55 after cooling of the probe 8 from the position shown in <u>Fig.25</u>.Under the present circumstances, slide movement of the protruding part 57 is carried out to the end face side by moving the end face side of the holding part 56 of the slider member 55 until it runs against the tip side of the rotating knob 50, crushing C rings 52 and 53.

[0142]

If the slider member 55 carries out slide movement, the connection part 58 and the flat spring 59 will also move to the end face side simultaneously. When the connection part 58 does slide movement, it is guided so that slide movement may be carried out along with an insertion shaft with the slider 63 provided by the periphery of the insert portion 4, without rotating. [0143]

Slide movement of the cooling unit 60 is carried out to the end face side, sliding on the tapered surface 62 of the tip cover 61. Under the present circumstances, as shown in <u>Fig.24</u>, the tip side of the flat spring 59 curves. [0144]

As for the slider member 55 moved to the position of <u>Fig.24</u>, the protruding part 57 is fixed when movement of the direction of an insertion shaft is restricted by C ring 53 and the rotating knob 50.

[0145]

Thus, when cooling the probe 8 after treatment, it was indicated that the ultrasonic treatment tool 401 of this embodiment performed the cooling unit 60 by making the probe 8 abut by carrying out slide movement of the slider member 55 covered by the insert portion 4 in the direction of an insertion shaft, without using a fluid. [0146]

Since the probe which became an elevated temperature with frictional heat by ultrasonic treatment can be cooled by this even if it connects an ultrasonic treatment tool with neither returning water and an air supply device nor a suction unit, the probe after treatment can be cooled in low cost.

[0147]

Although smoke, mist, etc. which are generated during ultrasonic treatment in this case cannot be sucked, suction of smoke, mist, etc. is also realizable by using this embodiment in combination with the 1st mentioned above – a 3rd embodiment. [0148]

A modification is shown hereafter.

In the 1st mentioned above - a 4th embodiment, although the ultrasonic treatment tool for coagulotomy was mentioned as the example and described to the medical treatment device, if it is a medical treatment device which takes a measure not only using

this but using heat, or a medical treatment device for the bottoms of an endoscope, of course, this embodiment is applicable. [0149]

It is not necessary to say that it is applicable to the treatment implement etc. which take a measure by generating heat specifically with the high frequency treatment tool using the Joule heat by the high frequency current, etc., the laser treatment implement using a laser beam, RF treatment implement using electromagnetic waves, exothermic forceps, etc. [0150]

(A 5th embodiment)

The figure and Fig.28 which <u>Fig.27</u> made the section the ultrasonic treatment tool in which a 5th embodiment of the present invention is shown for a part of insert portion, and were shown. The expanded sectional view by the side of the proximal end of the insert portion of <u>Fig.27</u> and Fig.29. The cross sectional view where the cross sectional view which is along the IIXIX-IIXIX line in <u>Fig.28</u>, and Fig.30 are along the expanded sectional view by the side of the tip of the insert portion of Fig.27, and Fig.31 is along the IIIXI-IIIXI line in Fig.30, and Fig.32 are the block diagrams showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool of Fig.27.

#### [0151]

as shown in <u>Fig.27</u>, the ultrasonic treatment tool 501 is inserted into the abdominal cavity — thin — it has the long insert portion 4, and is constituted, and the grip part 5 which is a treatment part is arranged at the tip side of the insert portion 4. The grip part 5 comprises a freely openable/closable pair of jaw, for example, grips and heats the organization for treatment in the abdominal cavity by this jaw, and deals with coagulotomy etc.

[0152]

The end face side of the insert portion 4 is connected at the tip of the rotating knob 503 which is an operating part. The rotating knob 503 is connected at the tip of the stationary handle 2 which is an operating part. The rotating knob 503 carries out rotatably operating of this insert portion 4 in the direction of the circumference of an axis by making the axial center of the insert portion 4 into a center of rotation.

#### [0153]

The movable handle 6 which carries out switching operation of the pair of jaw of the grip part 5 to the stationary handle 2 is attached via the supporting shaft, enabling free rotation. the tip was connected to the stationary handle 2 at the grip part 5, and the end face was connected to the vibrator 7 -- thin -- the long probe 8 is inserted in the insert portion 4, and is attached. [0154]

Since the probe 8 performs various treatment of coagulation etc. to the organization for treatment using the heat generated with the electric power which is the electrical energy supplied from the power supply body, the part connected to the grip part 5 which is a tip side of the probe 8 constitutes the treatment part.

[0155]

The periphery of the insert portion 4 and the periphery excluding the grip part 5 side of the insert portion 4 and the tip side of the probe 8 specifically are equipped with the returning-water suction sheath 509 which is a cylindrical member. The grip part 5 and tip side of the probe 8 is projected from the sheath 509. The sheath 509 is removable to at least one copy of the periphery of the insert portion 4. That is, at least one copy of the insert portion 4 is inserted in in the sheath 509. [0156]

As shown in <u>Fig.28</u>, the sheath 509, for example The body part 510 of flange shape, It has the insert portion [ small diameter / body part / 510 ] 511 which extended from the center of this of this body part 510 to the tip side, and the connection part [ small diameter / body part / 510 ] 515 which extended from the center of this of the body part 510 to the end face side, and the principal part is constituted.

[0157]

The inner diameter of the hole of the insert portion 511 is formed in large diameter from the outer diameter of the insert portion 4. Of this, the flow path 512 is formed between the inner circumference of the insert portion 511, and the periphery of the insert portion 4. The flow paths 510r and 510l. approximately perpendicular to the hole which communicates the body part 510 from a tip to a end face in this body part 510 are formed. The flow paths 510r and 510l. communicate the flow path 512.

It provides so that the returning-water port 513 which is the input for connecting with the returning-water suction unit 529 (refer to <u>Fig.32</u>) later mentioned to the body part 510 may become approximately perpendicular to the insert portion 511. The returning-water port 513 has the flow path 513r, and this flow path 513r communicates with the flow path 510r of the body part 510. That is, in the flow path 512, the flow path 513r communicates. The air supply device which is not illustrated and connection may be possible for the returning-water port 513.

[0159]

As shown in <u>Fig.29</u>, the suction port 514 which is a tap hole for connecting with the pneumoperitoneum apparatus 530 (refer to Fig.32) which has a flue gas function later mentioned to the body part 510 is provided so that it may become approximately perpendicular to the insert portion 511. The suction port 514 has the flow path 514r, and this flow path 514r communicates with 510 I. of flow paths of the body part 510. That is, in the flow path 512, the flow path 514r communicates. [0160]

If they are the ultrasonic treatment tool 501 and a range in which it does not interfere, the returning-water port 513 and the suction port 514 are not restricted approximately perpendicularly to the insert portion 511, but to the body part 510, can free the degree of setting angle and can provide it.

[0161]

The returning-water port 513 and the suction port 514 constitute the outflow entrance which performs at least 1 side of supply to the flow path 512 of the fluid supplied to the treatment part which consists of the grip part 5 and tip side of the probe 8, and the discharge from the flow path 512 of the fluid sucked out of the abdominal cavity. [0162]

The end of the returning-water tube 531 (refer to Fig.32) which connects the returning-water port 513 and the returning-water suction unit 529 to the periphery of the returning-water port 513 and which is mentioned later is covered. The returning-water tube 531 is connectable not only with the returning-water suction unit 529 but the ultrasonic suction treatment implement 532 shown in Fig.32. In this case, the returning-water port 513 is formed in the returning-water port which the ultrasonic suction treatment implement 532 does not illustrate, and common form. [0163]

As shown in <u>Fig.29</u>, the RUAROKKU cap 516 for connecting with the RUAROKKU connector which the exhaust tube 533 does not illustrate so that the exhaust tube 533 mentioned later can be connected which connects the suction port 514 and the pneumoperitoneum apparatus 530 at the tip which the suction port 514 projected is provided. [0164]

As shown in <u>Fig.28</u>, the concave part 517 which carries out an opening to the connection part 515 of the body part 510 towards the end face side is provided, and the elastic member 518 which becomes the concave part 517, for example from an O ring etc. is inserted.

#### [0165]

The inner diameter of the hole of the O ring of the elastic member 518 is formed in the degree which can insert the insert portion 4 smaller than the outer diameter of the insert portion 4. When the insert portion 4 is inserted in the hole of the O ring of the elastic member 518, the airtightness between the insert portion 4 and the elastic member 518 is maintained by carrying out elastic deformation of the elastic member 518 between the inner peripheral surface of the concave part 517, and the insert portion 4. That is, the elastic member 518 is stuck with the periphery of the insert portion 4, where elastic deformation is carried out.

#### [0166]

The opening of the concave part 517 is formed in the proximal end 519 of the connection part 515, and the inner circumference of this opening is largely formed rather than the outer diameter of the insert portion 4 smaller than the path by the side of the tip of the rotating knob 503.

#### [0167]

At the tip of the rotating knob 503 of the ultrasonic treatment tool 501, the engagement element 544 which is at least one engagement means is provided, and the engagement receiving part 545 which is an engagement means by which engagement of the engagement element 544 is carried out to the proximal end 519 of the connection part 515 of the sheath 509 is provided. [0168]

If engagement of the engagement element 544 and the engagement receiving part 545 is carried out after the insert portion 4 of the ultrasonic treatment tool 501 is inserted into the sheath 509, as shown in  $\underline{Fig.30}$ , the engagement element 544 and the engagement receiving part 545. In the proximal end 519 and the rotating knob 503, the tip opening 543 of the sheath 509 is provided, respectively so that it may be placed at the probe 8 side which is a  $\underline{Fig.30}$  Nakashita side. [0169]

The insert portion 4 is inserted into the sheath 509, and where engagement of the engagement element 544 and the engagement receiving part 545 is carried out, if the rotating knob 503 rotates, the rotating knob 503 and the sheath 509 will interlock and rotate by the engagement of the engagement element 544 and the engagement receiving part 545. [0170]

Under the present circumstances, the positional relationship of the tip opening 543 of the sheath 509, and the probe 8, Not changing, when the rotating knob 503 and the sheath 509 interlock and rotate to the opening 543, Since it is always placed near the probe 8 and the grip part 5, the fluid which passed through the flow paths 513r, 510r, 512, and 526 is reliably supplied to the probe 8 and the grip part 5, and, as a result, the probe 8 and the grip part 5 are cooled. The opening 543 is placed at the near position by the side of the tip of the probe 8 from the grip part 5. That is, the opening of the opening 543 is carried out to the tip side of the probe 8 at least.

#### [0171]

As shown in  $\underline{Fig.30}$ , the tip of the sheath 509 when the insert portion 4 is equipped with the sheath 509 is placed at the end face side rather than the grip part 5 so that the grip part 5 and tip side of the probe 8 may be exposed. The tip side of the sheath 509 is formed in tapered shape.

#### [0172]

As shown in <u>Fig.31</u>, the periphery of the insert portion 4 and the supporting part 524 with which it abuts are formed in the inner circumference by the side of the tip of the insert portion 511, and the circular arc 525 is formed in the inner circumference by the side of the tip of the insert portion 511. With the engagement position of the engagement element 544 and the engagement receiving part 545, when the circular arc 525 also equips the insert portion 4 with the sheath 509, it is placed at the <u>Fig.30</u> Nakashita 8, i.e., probe, side.

#### [0173]

The tip flow path 526 is formed between the circular arc 525 and the periphery of the insert portion 4. The tip flow path 526 communicates with the flow path 512 formed between the inner circumference of the insert portion 511, and the periphery of the insert portion 4.

#### [0174]

As shown in <u>Fig.32</u>, the ultrasonic treatment device 500, It has the ultrasonic treatment tool 501, the ultrasonic suction treatment implement 532, the main part 527 of the electrotome, the ultrasonic output unit 528, the returning-water suction unit 529, the pneumoperitoneum apparatus 530, the electrotome switch 534, the supersonic vibration switch 535, and the returning-water suction switch 536, and the principal part is constituted.

#### [0175]

The vibrator 7 of the ultrasonic treatment tool 501 is connected to the ultrasonic output unit 528 which performs an ultrasonic drive with the ultrasonic cable 537. The vibrator which the ultrasonic suction treatment implement 532 does not illustrate is connectable with the ultrasonic output unit 528 similarly. The supersonic vibration switch 535 for oscillating the vibrator 7 is connected to the ultrasonic output unit 528.

#### [0176]

The ultrasonic output unit 528, the electrotome 527, the returning-water suction unit 529, and the pneumoperitoneum apparatus 530 of each other are electrically connected by the telecommunication cable 538 so that it can interlock and operate. The returning-water suction unit 529 is connected to the returning-water port 513 of the ultrasonic treatment tool 501 by the returning-water tube 531. The returning-water tube 531 connected to the returning-water suction unit 529 is connectable also with the returning-water port which the ultrasonic suction treatment implement 532 does not illustrate. [0177]

The pneumoperitoneum apparatus 530 which is a suction part is connected to the suction port 514 of the ultrasonic treatment tool 501 by the exhaust tube 533. The pneumoperitoneum apparatus 530 is further connected to the known trocar 540 by the air supply tube 539. The exhaust tube 533 is also connectable with the trocar 540.

#### [0178]

The electrotome 527 is connectable with the ultrasonic treatment tool 501 and the ultrasonic suction treatment implement 532 with the electrotome cable 541, respectively. The returning-water suction unit 529 is connectable with the ultrasonic suction treatment implement 532 with the suction tube 542.

[0179]

When water is returned to a fluid by the returning-water suction unit 529, a fluid, After advancing into the flow path 513r of the returning-water port 513 via the returning-water tube 531 connected to the returning-water suction unit 529, it passes through the flow path 510r and the flow path 512, and water is returned from the tip opening 543 of the tip flow path 526 at the grip part 5 and tip side of the probe 8. An air supply device may be sufficient as the returning-water suction unit 529 in this case, and the fluid carried may be a gas.

[0180]

Here, the effective area product of the tip opening 543 is formed smaller than the pipeline area of the flow path 512. Therefore, since the tip flow path 526 is extracted to nozzle shape by the tip opening 543, the flow rate of the fluid to which water is returned from the tip opening 543 becomes early.

[0181]

If the cross-sectional area of A1 and the opening 543 is set to A2, this the cross-sectional area of the flow path 512. When the relation of V1A1=V2A2 (V1: the flow rate in a flow path, the V2 opening flow rate) is realized and V1 is set constant by a known Bernoulli's theorem, the flow rate V2. It is because V2 will consist of a relation of V2=V1 (A1/A2) largely to the pipeline cross-sectional area A1 according to a known Venturi effect if the value of the opening sectional area A2 is small.

[0182]

Therefore, the flow rate V2 in the opening 543 becomes largely like this embodiment as compared with the flow rate V1 in the flow path 512 by providing the one opening 543 of a small area to the pipeline area of the flow path 512. [0183]

When the outer diameter of the sheath 509 of the ultrasonic treatment tool 501 is especially designed as 5-10 mm, it is more effective often [ making the cross-sectional area A2 into 30% or less to the cross-sectional area A1 ], and 5 to 10% of preferably.

#### [0184]

Since the tip opening 543 is arranged at the probe 8 side as mentioned above, a fluid is reliably sent to both the probe 8 and the grip part 5.

[0185]

On the other hand, when fluids, such as smoke and mist, are sucked by the pneumoperitoneum apparatus 530 from the tip opening 543, After a fluid advances into the tip flow path 526 from the tip opening 543, it is discharged from the pneumoperitoneum apparatus 530 via the exhaust tube 533 which passed through the flow paths 512, 510I., and 514r, and was connected to the suction port 514.

[0186]

Next, it describes using Fig.27 – Fig.32, and Fig.33 about an operation of the ultrasonic treatment tool 501 of this embodiment constituted in this way.<u>Fig.33</u> is the flow chart which showed the control method of the ultrasonic treatment device at the time of dealing with the organization for treatment in the abdominal cavity using the ultrasonic treatment tool of Fig.27. [0187]

First, if the insert portion 4 of the ultrasonic treatment tool 501 is equipped with the sheath 509 from the proximal end side of this sheath 509 until it runs against the rotating knob 503 to the tip side of the insert portion 4, and engagement of the engagement element 544 and the engagement receiving part 545 is carried out to it. As shown in <u>Fig.28</u>, elastic deformation of the elastic member 518 is carried out between the inner peripheral surface of the concave part 517, and the outer peripheral surface of the insert portion 4, and it is stuck to this elastic member 518 to the insert portion 4. In this case, as mentioned above, the flow path 512 is formed between the periphery of the insert portion 4, and the inner circumference of the insert portion 511 of the sheath 509.

#### [0188]

Then, after the returning-water port 513 and the returning-water suction unit 529 are connected by the returning-water tube 531 and the suction port 514 and the pneumoperitoneum apparatus 530 are further connected with the exhaust tube 533, the insert portion 4 of the ultrasonic treatment tool 501 is inserted into the abdominal cavity, and treatment of the treatment organization in the abdominal cavity is performed.

[0189]

The following operations are performed by sending a control signal to the returning-water suction unit 529 and the pneumoperitoneum apparatus 530 via the telecommunication cable 538 from the control means 570 which is the control part arranged in the ultrasonic output unit 528 of the ultrasonic treatment device 500. That is, it is performed by the motion control of the control means 570.

[0190]

When dealing with the organization for treatment in the abdominal cavity, after the organization for treatment which becomes a candidate for treatment by the grip part 5 is gripped, as shown in <u>Fig.33</u>. First, in Step S21, the vibrator 7 performs an ultrasonic drive in response to supply of the electric power from the ultrasonic output unit 528 by carrying out ON operation of the supersonic vibration switch 535 used as a supersonic vibration switch.

[0191] Vibration of the vibrator 7 is transmited to the grip part 5 via the probe 8. The organization for treatment is heated by this by friction with the organization for treatment by vibration of the probe 8 by the side of a tip, and treatment of coagulotomy etc. is performed.

[0192]

Subsequently, in Step S22, it is judged from the tip opening 543 to the grip part 5 and the probe 8 by the operation of the returning-water suction unit 529 whether water is returned to the fluid. [0193]

On the other hand, when water is returned to the fluid from the tip opening 543, After returning water of the fluid from the tip opening 543 is stopped and it is again checked by branching to Step S23 and suspending the returning-water suction unit 529 that the supersonic vibration switch 535 is ON at the subsequent step S30, it shifts to Step S24. On the other hand, in Step S22,

when water is not returned to the fluid from the tip opening 543, it shifts to Step S24.

[0194]

In Step S24, it will interlock, if heating treatment of the organization for treatment is carried out by the grip part 5, and when the pneumoperitoneum apparatus 530 operates, suction of the mist and smoke which were generated on the occasion of treatment is performed. Specifically, after the fluid sucked by the pneumoperitoneum apparatus 530 advances into the tip flow path 526 from the tip opening 543, it flows through the flow paths 512, 5101, and 514r, and is discharged from the pneumoperitoneum apparatus 530 via the exhaust tube 533 connected to the suction port 514.

#### [0195]

After the treatment of the organization for treatment by the grip part 5 is completed, in Step S25, by carrying out the turn off operation of the switch 535, the electric power supply from the ultrasonic output unit 528 is intercepted, and the vibrator 7 stops.

#### [0196]

Subsequently, in conjunction with a stop of the vibrator 7, suction of the mist and smoke which were generated on the occasion of treatment is stopped by suspending the pneumoperitoneum apparatus 530 at Step S26. In this case, a stop of the pneumoperitoneum apparatus 530 may be delayed from a stop of the vibrator 7, without making it synchronize with a stop of the vibrator 7. Then, it shifts to Step S27.

#### [0197]

In Step S27, water is returned to a fluid from the tip opening 543 to the grip part 5 or the probe 8 by operating, only while [several seconds] the returning-water suction unit 529 is the time set up beforehand. [0198]

Specifically the fluid supplied from the returning-water suction unit 529, After advancing into the flow path 513r of the returningwater port 513 via the returning-water tube 531, as it flows through the flow path 512 of the insert portion 511 of the sheath 509 and mentioned above from the tip opening 543 of the tip flow path 526. Water is returned by the grip part 5 or the probe 8 after the flow rate has increased according to the Venturi effect.

[0199]

The grip part 5 or BUROBU 8 heated by treatment is cooled by this. The dirt of the grip part 5 and the probe 8 adhering by treatment is removed by returning water of a fluid.

#### [0200]

Subsequently, in Step S28, it is judged whether the time when water was returned to the fluid has passed while [ several seconds ] being set up beforehand since the tip opening 543. In [ if it has not passed during several seconds set up beforehand, return to Step S22, and ] the subsequent step S23. The returning-water suction unit 529 is suspended for the supplied air or returning-water time of a fluid after a lapse for several seconds, and in the subsequent step 30, after OFF of the switch 535 is checked, a routine is ended.

[0201]

If the time when it returned to Step S28 at, and water was returned to the fluid from the tip opening 543 has passed while [several seconds] being set up beforehand, By shifting to Step S29 and suspending the returning-water suction unit 529, returning water of the fluid from the tip opening 543 is stopped, and a routine is completed after that. [0202]

Thus, in the ultrasonic treatment tool 501 of this embodiment, While the flow paths 510r, 512, 513r, and 526 for returning a fluid to the ultrasonic treatment tool 501 were provided, it indicated at the time of treatment that the flow paths 510l., 512, 514r, and 526 which suck a fluid from the inside of the abdominal cavity were provided. It indicated that stage eclipse ********* 512 served as the flow path which sucks a fluid from the inside of the abdominal cavity between the insert portion 4 and the insert portion 511 while being a flow path for returning a fluid. It indicated that it provided at the tip side of the ultrasonic treatment tool 501 so that the tip opening 543 of the flow paths 510r, 5101, 512, 513r, 514r, and 526 might be placed for carrying out at the side near the probe 8.

[0203]

According to such composition, treatment using a treatment implement can be performed reliably, always securing an operator's view, since the smoke and mist which are generated in the case of ultrasonic treatment were effectively sucked via the flow paths 510I., 512, 514r, and 526 by the pneumoperitoneum apparatus 530. [0204]

To the grip part 5 and the probe 8 which became an elevated temperature with frictional heat after ultrasonic treatment, with the returning-water suction unit 529, Since the fluid whose flow rate increased according to the Venturi effect can be returned from the opening 543 via the flow paths 510r, 512, 513r, and 526, The grip part 5 and the probe 8 which carried out treatment late-coming heat can be cooled in a short time with water supply quantity smaller than the case where the cross-sectional area A2 of the tip opening 543 is not narrowed down.

[0205]

While the tip opening 543 is provided near the probe 8, Since the tip opening 543 is placed at the probe 8 side with the engagement of the engagement element 544 and the engagement receiving part 545, though the probe 8 has turned to what kind of direction, Since a fluid can be reliably supplied to the probe 8, the probe 8 which is a heating source can be cooled reliably. [0206]

From the above thing, the ultrasonic treatment tool 501 which improved usability can be provided for an operator. Other effects are the same as that of the ultrasonic treatment tool 1 of a 1st embodiment mentioned above. [0207]

A modification is shown hereafter. According to the composition of the ultrasonic treatment device 500 of this embodiment, high frequency can be supplied to the ultrasonic treatment tool 501 and the ultrasonic suction treatment implement 532 from the electrotome 527 connected to the pneumoperitoneum apparatus 530 by not only suction of smoke and mist but the telecommunication cable 538 in the case of an ultrasonic output. That is, also when performing the high frequency output, the pneumoperitoneum apparatus 530 can interlock like the time of an ultrasonic output, and suction of smoke and mist can be performed.

[0208]

It indicated that the fluid to which water is returned from the tip opening 543 was used in order to cool the grip part 5 and the probe 8 after treatment. Not only in this but in this embodiment, a fluid may be used for washing in the abdominal cavity by

returning water to a fluid in the abdominal cavity.

[0209]

The ultrasonic treatment tool 501 inserted into the sheath 509 may be a high frequency treatment tool which can connect with the electrotome 527 and which is not illustrated, and even if it is in this case, returning water and suction of a fluid are possible for it like this embodiment.

[0210]

(A 6th embodiment)

Fig.34 is the flow chart which showed the control method of the ultrasonic treatment device of having an ultrasonic treatment tool in which a 6th embodiment of the present invention is shown.

[0211]

The control method of an ultrasonic treatment device of having an ultrasonic treatment tool of this embodiment differs in that suction is performed by the pneumoperitoneum apparatus 530, before heating treatment of the organization for treatment is carried out by the grip part 5 as compared with a 5th embodiment shown in Fig.33. The composition of an ultrasonic treatment tool and an ultrasonic treatment device is the same as that of a 5th embodiment. Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted. [0212]

As shown in Fig.34, in Step S31, ON operation of the supersonic vibration switch 535 is carried out first, and a correspondence item is input. Subsequently, in Step S22, it is judged from the tip opening 543 to the grip part 5 and the probe 8 by the operation of the returning-water suction unit 529 whether water is returned to the fluid. [0213]

When water is returned to the fluid from the tip opening 543, It branches to Step S23 and returning water of the fluid from the opening 543 is stopped by suspending the returning-water suction unit 529, and in the subsequent step S30, after it is checked again that the switch 535 is ON, it shifts to Step S24. In Step S22, when water is not returned to the fluid from the tip opening 543, it shifts to Step S24.

[0214]

In Step S24, a control signal is sent to the pneumoperitoneum apparatus 530 via the telecommunication cable 538 from the ultrasonic output unit 528, and suction of smoke etc. is started by the pneumoperitoneum apparatus 530. In the subsequent step S32, the control signal of a suction start is sent to the ultrasonic output unit 528 via the telecommunication cable 538 from the pneumoperitoneum apparatus 530, electric power is supplied to the vibrator 7 from the ultrasonic output unit 528 in response to it, and an ultrasonic output is started. This ultrasonic output may be started after a suction start and fixed time lapse. Then, it shifts to Step S25.

[0215]

Hereafter, since Step S25 - Step S29 are the same as Step S25 of a 5th embodiment - Step S29 which were mentioned above, the description skips them.

[0216]

Since according to this embodiment the mist and smoke at the time of taking a measure via an ultrasonic output by operating suction by the pneumoperitoneum apparatus 530 previously are reliably sucked before heating treatment of the organization for treatment is carried out using an ultrasonic wave. An operator's view can be kept good and the user-friendly ultrasonic treatment tool 501 can be provided. Other effects are the same as that of a 5th embodiment mentioned above. [0217]

(A 7th embodiment)

The expanded sectional view by the side of the tip of the sheath of the ultrasonic treatment tool which Fig.35 shows a 7th embodiment of the present invention, and Fig.36 are cross sectional views which are along the IIIXVI-IIIXVI line in Fig.35. [0218]

The composition of the ultrasonic treatment tool of this embodiment differs in the point which provided two or more tip openings as compared with a 5th embodiment shown in Fig.27 - Fig.33.Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted. [0219]

As shown in Fig.35, the tip of the sheath 609 when the insert portion 4 of the ultrasonic treatment tool 601 is equipped with the sheath 509 of a 5th embodiment and the sheath 609 which is the cylindrical members which have the same composition is placed at the end face side rather than the grip part 5 so that the grip part 5 and tip side of the probe 8 may be exposed. [0220]

As shown in Fig.36, the periphery of the insert portion 4 and the supporting part 624 with which it abuts are formed in the inner circumference by the side of the point of the insert portion 611, and the circular arc 625 is formed in the inner circumference of the point of the insert portion 611, for example at four places. If the formation point of the circular arc 625 is plurality, it is good without limit.

[0221]

The four tip flow paths 626 are formed between the four circular arcs 625 and the periphery of the insert portion 4, and this tip flow path 626 communicates with the flow path 612 formed between the inner circumference of the insert portion 611, and the periphery of the insert portion 4.

[0222]

Between the four circular arcs 625 and the periphery of the insert portion 4, the four tip openings 643 which are the openings by the side of four tips of the tip flow path 626 are formed. Abbreviation etc. are not spread and their sum total of the crosssectional area of the four tip openings 643 in this embodiment is in the cross-sectional area of the tip opening 543 of a 5th embodiment. Near the probe 8, the four tip openings 643 reach grip part 5, they oppose probe 8, an opening is carried out from the grip part 5, and it is placed at the near position by the side of the tip of the probe 8 from the grip part 5. That is, the opening of the four tip openings 643 is carried out to the tip side of the probe 8 at least.

[0223]

In the ultrasonic treatment tool 601 of this embodiment, it has the composition that the engagement receiving part 545 provided by the proximal end 519 of the connection part 515 of the engagement element 544 provided at the tip of the rotating knob 503 of the ultrasonic treatment tool 501 of the 5th enforcement mentioned above and the sheath 509 is not arranged. [0224]

According to the composition of such an ultrasonic treatment tool 601 of this embodiment, by providing two or more tip openings 643, Since it becomes unnecessary to position the tip opening 643 to the probe 8 like a 5th embodiment mentioned above, even if it inserts the insert portion 4 in every direction to the inside of the sheath 609, When a fluid is returned from the returning-water suction unit 529, water can be returned to the probe 8 and the grip part 5. Therefore, the probe 8 and the grip part 5 can be cooled reliably. Other effects are the same as that of a 5th embodiment mentioned above.

#### [0225]

(An 8th embodiment)

The cross sectional view where the expanded sectional view by the side of the tip of the ultrasonic treatment tool which  $\underline{\text{Fig.37}}$  shows an 8th embodiment of the present invention, and Fig.38 are along the IIIXVIII-IIIXVIII line in  $\underline{\text{Fig.37}}$ , and Fig.39 are cross sectional views which are along the IIIXIX-IIIXIX line in  $\underline{\text{Fig.37}}$ .

#### [0226]

The composition of the ultrasonic treatment tool of this embodiment differs as compared with a 5th embodiment shown in Fig.27 - Fig.33 in that side surface opening parts other than a tip opening are provided by the insert portion of the sheath. Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted.

#### [0227]

As shown in <u>Fig.37</u>, the tip of the sheath 709 when the insert portion 4 of the ultrasonic treatment tool 701 is equipped with the sheath 509 of a 5th embodiment and the sheath 709 which is the cylindrical members which have the same composition is placed at the end face side rather than the grip part 5 so that the grip part 5 and tip side of the probe 8 may be exposed. [0228]

As shown in <u>Fig.38</u> and Fig.39, the periphery of the insert portion 4 and the supporting part 724 with which it abuts are formed in the inner circumference by the side of the tip of the insert portion 711, and the plurality 725, for example, four circular arcs, is formed in the inner circumference by the side of the tip of the insert portion 711. [0229]

The four tip flow paths 726 are formed between the four circular arcs 725 and the periphery of the insert portion 4, and this tip flow path 726 communicates with the flow path 712 formed in the question of the inner circumference of the insert portion 711, and the periphery of the insert portion 4.

#### [0230]

As shown in <u>Fig.38</u> at the tip side of the insert portion 711 of the sheath 709. The four side surface opening parts 746 are provided every 90 degree in the circumferential top, and further, as shown in <u>Fig.39</u>, the four side surface opening parts 746 are provided from the position shown in Fig.38 also at the end face side rather than the position shown in the Fig.38 of the sheath 709 by the position shifted 45 degree to the circumferential top, respectively.

#### [0231]

According to such composition of the ultrasonic treatment tool 701, from the grip part 5 near the probe 8, When sucking mist and smoke with the pneumoperitoneum apparatus 530 from the tip opening 743 which an opening is carried out so that it may oppose to the tip side of the probe 8 at least, and is placed at the near position by the side of the tip of the probe 8 from the grip part 5, Though the metaphor tip opening 743 was closed by an organization and blood, suction can be performed from the side surface opening part 746. Other effects are the same as that of a 5th embodiment mentioned above.

#### [0232] (A 9th embodiment)

The block diagram showing the outline of the composition of the ultrasonic treatment device with which  $\underline{Fig.40}$  has an ultrasonic treatment tool in which a 9th embodiment of the present invention is shown, and  $\underline{Fig.41}$  are the flow charts which showed the control method of the sound wave treating apparatus of  $\underline{Fig.40}$ .

#### [0233]

As compared with a 5th embodiment that showed the control method of the ultrasonic treatment device of having an ultrasonic treatment tool of this embodiment to <u>Fig.33</u>, after the heating treatment of the organization for treatment by the grip part 5 differs in that suction is performed by not only returning water but the pneumoperitoneum apparatus 530. The composition of an ultrasonic treatment tool and an ultrasonic treatment device is the same as that of a 5th embodiment. Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted. [0234]

As shown in <u>Fig.40</u>, another trocar 790 for suction which is different in the trocar 540 is connected to the pneumoperitoneum apparatus 530 of the ultrasonic treatment device 750 via the exhaust tube 783. In this embodiment, the sheath 759 does not need to have a suction port.

#### [0235]

As shown in <u>Fig.41</u>, in Step S31, ON operation of the supersonic vibration switch 535 is carried out first, and a signal is input. Subsequently, in Step S41, water is returned to the fluid by the operation of the returning-water suction unit 529 from the tip opening to the grip part 5 and the probe 8, or it is judged by operation of the pneumoperitoneum apparatus 530 whether smoke, mist, etc. are sucked from the trocar 790.

[0236]

When water is returned to a fluid from the tip opening 543 and smoke, mist, etc. are sucked with the trocar 790, By branching to Step S49 and suspending the returning-water suction unit 529 and the pneumoperitoneum apparatus 530, Returning water of the fluid from an opening is stopped and suction of the smoke of the trocar 790, mist, etc. is stopped, and in the subsequent step S50, after it is checked again that the switch 535 is ON, it shifts to Step S42. In Step S41, when water is not returned to the fluid from a tip opening, and when smoke, mist, etc. are not sucked with the trocar 790, it shifts to Step S42. [0237]

In Step S42, the vibrator 7 performs an ultrasonic drive in response to supply of the electric power from the ultrasonic output unit 528. The organization for treatment is heated by this by friction with the organization for treatment by vibration of the probe 8 by the side of the tip of the ultrasonic treatment tool 751, and treatment of coagulotomy etc. is performed. [0238]

In the subsequent step S43, the pneumoperitoneum apparatus 530 starts suction with the trocar 790 by sending a control signal to the pneumoperitoneum apparatus 530 via the telecommunication cable 538 from the ultrasonic output unit 528. This suction is performed at the ultrasonic drive of the vibrator 7, and the time of substantially the same.

#### [0239]

After the treatment of the organization for treatment by the grip part 5 is completed, in Step S44, by carrying out the turn off operation of the switch 535, the electric power supply from the ultrasonic output unit 528 is intercepted, and the vibrator 7 stops in the subsequent step S45.

[0240]

In Step S46, while water is returned to a fluid from a tip opening to the grip part 5 or the probe 8 by operating only while [several seconds] the returning-water suction unit 529 and the pneumoperitoneum apparatus 530 are the time set up beforehand, smoke, mist, etc. are sucked from the trocar 790.

#### [0241]

Subsequently, in Step S47, it is judged whether the time which was returned to the fluid and sucked passed while [several seconds] being set up beforehand. In [ if it has not passed during several seconds set up beforehand, return to Step S41, and ] the subsequent step S49, After returning water of a fluid and suction time are performed for several seconds, the returning-water suction unit 529 and the pneumoperitoneum apparatus 530 are suspended, and in the subsequent step 50, after OFF of the switch 535 is checked, a routine is ended.

#### [0242]

It returns to Step S47, and if the time when water was returned to the fluid at and it was sucked has passed while [ several seconds ] being set up beforehand, it will shift to Step S48, the returning-water suction unit 529 and the pneumoperitoneum apparatus 530 will be suspended, and a routine will be completed after that.

#### [0243]

Thus, according to this embodiment, after ultrasonic treatment, since suctioning operation is extensible and suction of mist or smoke can be performed more for a long time, an operator's view can be kept good. Other effects are the same as that of a 5th embodiment mentioned above.

#### [0244]

(A 10th embodiment)

The expanded sectional view by the side of the proximal end of the insert portion of the ultrasonic treatment tool which  $\underline{Fig.42}$  shows a 10th embodiment of the present invention, and  $\underline{Fig.43}$  are cross sectional views which are along the IVXIII-IVXIII line in  $\underline{Fig.42}$ .

[0245]

When the composition of the ultrasonic treatment tool of this embodiment rotates a rotating knob as compared with a 5th embodiment shown in  $\underline{Fig.27}$  – Fig.33, it differs in that the ultrasonic treatment tool has the structure of preventing ****** of the returning-water tube connected to the returning-water port, and the exhaust tube connected to the suction port. Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted.

[0246]

As shown in <u>Fig.42</u>, the principal part comprises the rotating part 850 by which the sheath 809 which is a cylindrical member of the ultrasonic treatment tool 801 of this embodiment was provided inside the body part 810 and this body part 810. [0247]

As for the tip [ of the rotating part 850 ], and end face side, the projecting part 853 is formed in each, and the projecting part 853 is touched by the elastic plate 854 arranged by each at the tip [ between the body part 810 and the rotating part 850 ], and end face side only at the peak.

#### [0248]

The inner diameter of the hole of the insert portion 811 is formed in large diameter rather than the insert portion 4. Of this, the flow path 812 is formed between the inner circumference of the insert portion 811, and the periphery of the insert portion 4. Two or more holes 852 are formed in the rotating part 850 along with the circumferential direction, and the hole 852 of this plurality communicates space 851 between the flow path 812, and the inner circumference of the body part 810 and the periphery of the rotating part 850. Therefore, space 851 and the flow path 812 communicate. [0249]

As shown in <u>Fig.43</u>, the returning-water port 813 which is the input for connecting with the returning-water suction unit 529 at the body part 810 is provided. The returning-water tube 531 for connecting with the returning-water suction unit 529 is connected to the returning-water port 813.

#### [0250]

The returning-water port 813 has the flow path 813r, and this flow path 813r communicates with the flow path 810r of the body part 810. The flow path 813r communicates with space 851, and, thereby, the flow path 813r communicates with the flow path 812.

[0251]

The suction port 814 which is a tap hole for connecting with the pneumoperitoneum apparatus 530 which has a flue gas function in the body part 810 is provided. The exhaust tube 533 for connecting with the pneumoperitoneum apparatus 530 is connected to the suction port 814.

#### [0252]

The suction port 814 has the flow path 814r, and this flow path 814r communicates with 810 l. of flow paths of the body part 810. The flow path 814r communicates with space 851, and, thereby, this flow path 814r communicates with the flow path 812. [0253]

The returning-water port 813 and the suction port 814 constitute the outflow entrance which performs at least 1 side of supply to the flow path 812 of the fluid supplied to the treatment part which consists of the grip part 5 and tip side of the probe 8, and the discharge from the flow path 812 of the fluid sucked out of the abdominal cavity. [0254]

The concave part which carries out an opening to the proximal end 819 of the rotating part 850 towards the end face side is provided, and the elastic member 818 which becomes a concave part, for example from an O ring etc. is inserted. The inner diameter of the hole of the O ring of the elastic member 818 is formed in the degree which can insert the insert portion 4 smaller than the outer diameter of the insert portion 4, When the insert portion 4 is inserted in the hole of the O ring of the elastic member 818, between a concave part and the insert portion 4, elastic deformation of the elastic member 818 is carried out, and airtightness is maintained between the insert portion 4 and the elastic member 818. That is, it is stuck with the periphery of the

insert portion 4 in the state where it deformed. The inner circumference of the opening of a concave part is largely formed rather than the outer diameter of the insert portion 4 smaller than the path by the side of the tip of the rotating knob 803. [0255]

The rotating part 850 of the ultrasonic treatment tool 801 which has such composition, Since it is in contact with the elastic plate 854 only at the peak of the projecting part 853 and the peripheral part of other rotating parts 850 does not touch, if the rotating knob 803 is rotated, the insert portion 4 will be interlocked with and the rotating part 850 will rotate to the body part 810. That is, only the rotating part 850 rotates separately from the body part 810. [0256]

When rotating the rotating knob 803, ****** of the returning-water tube 531 connected to the returning-water port 813 and the exhaust tube 533 connected to the suction port 814 is prevented by this. Other effects are the same as that of a 5th embodiment mentioned above.

[0257]

#### (An 11th embodiment)

<u>Fig.44</u> is the figure having made a part of insert portion the section and in which showing the ultrasonic treatment tool in which an 11th embodiment of the present invention is shown.

[0258]

The composition of the ultrasonic treatment tool of this embodiment differs in that the ultrasonic treatment tool has the structure which can return a fluid with sufficient operativity as compared with a 5th embodiment shown in  $\frac{\text{Fig.}27}{\text{Fig.}33}$ . Therefore, only this point of difference is described, the same code is given to the same composition as a 5th embodiment, and that description is omitted.

#### [0259]

As shown in <u>Fig.44</u>, the insert portion 4 of the ultrasonic treatment tool 901 is equipped with the sheath 909 which is a cylindrical member, and the returning-water port 913 which has input in the sheath 909 is formed. In the returning-water port 913, the returning-water tube 931 is freely attachable/detachable, and this returning-water tube 931 is connected to the fluid supplying source 965 which is a fluid supply part. The returning-water tube 931 may be connected to the returning-water suction unit 529 in this case.

#### [0260]

The lever 964 which is a means for switching of the pinch valve 960 is attached to the stationary handle 902 which is an operating part by the side of the end face of the insert portion 4 via the mounting part 967 which is an attaching means, and the protruding part 962 which can abut freely on some returning-water tubes 931 is provided by the lever 964. The pinch valve 960 may be freely attachable/detachable to the stationary handle 902 in the mounting part 967. [0261]

When not returning a fluid from the tip opening 943, the protruding part 962 presses some returning-water tubes 931, and it crushes some returning-water tubes 931 so that a fluid may not pass through the inside of the returning-water tube 931. [0262]

When returning water, and the end side of the lever 964 rotates to an arrow direction among <u>Fig.44</u>, the lever 964 rotates focusing on the fulcrum 961, and it rotates in the direction which the protruding part 962 separates from the returning-water tube 931. Since the flow path of the returning-water tube 931 is opened by this, from the grip part 5, near the probe 8, the opening of it is carried out by it so that it may oppose to the tip side of the probe 8 at least, and it is returned to a fluid from the tip opening 943 which is placed at the near position by the side of the tip of the probe 8 from the grip part 5. [0263]

In stopping returning water of a fluid, the lever 964 returns to the position of the origin which crushes some returning-water tubes 931 by operation of the spring 963 by releasing operation of the lever 964 by an operator. [0264]

According to such composition, returning-water operation of the fluid from the tip opening 943 through the flow path 912 can be easily performed with sufficient operativity in the midst of treatment to the timing which an operator means. Other effects are the same as that of a 5th embodiment mentioned above.

[0265]

[Additional remark]

As explained in full detail above, according to the embodiment of the present invention, the composition like the following can be obtained. namely

(1) The insert portion of thin length inserted into the abdominal cavity,

The treatment part which is provided at the tip of the aforementioned insert portion and takes a measure by heating the organization for treatment in the aforementioned abdominal cavity,

The cylindrical member in which at least one copy of the aforementioned insert portion is inserted,

The flow path through which at least 1 side of the fluid which is formed between the periphery of the aforementioned insert portion and the inner circumference of the aforementioned cylindrical member, and is supplied to the aforementioned treatment part, and the fluid sucked out of the aforementioned abdominal cavity flows,

The outflow entrance which performs at least 1 side of supply to the aforementioned flow path of the fluid which communicates the aforementioned flow path and is supplied to the aforementioned treatment part, and the discharge from the aforementioned flow path of the fluid sucked out of the aforementioned abdominal cavity,

The opening by which communicated the aforementioned flow path, and the opening was opposed and carried out to the aforementioned treatment part,

The providing medical treatment device.

[0266]

(2) The insert portion of thin length inserted into the abdominal cavity,

The treatment part which is provided at the tip of the aforementioned insert portion and takes a measure by adding heat to the organization for treatment in the aforementioned abdominal cavity,

The cylindrical member of the hollow and long picture which project and arrange the aforementioned treatment part to the tip side outside while covering from the near side of the aforementioned insert portion to the tip side,

It is formed of space between the aforementioned insert portion made to insert in the aforementioned cylindrical member, and the inner peripheral surface of the aforementioned cylindrical member, and is a flow path which can pass a fluid from the near

side of the aforementioned cylindrical member to the tip side,

The cap for fluid supplies linked to the fluid supplying source which supplies the aforementioned fluid which communicates the aforementioned flow path, is provided by the near side of the aforementioned cylindrical member, and is supplied to the tip side of the aforementioned cylindrical member via the aforementioned flow path,

The cap for suction linked to the suction sources which communicate the aforementioned flow path, are provided by the near side of the aforementioned cylindrical member, and suck the aforementioned fluid from the tip side of the aforementioned cylindrical member via the aforementioned flow path,

The opening which could supply the fluid to the aforementioned treatment part projected and arranged from the tip of the aforementioned cylindrical member via the aforementioned flow path from the aforementioned cap for fluid supplies, and was provided by the point of the aforementioned cylindrical member which sucks the aforementioned fluid near [ aforementioned ] the treatment part via the aforementioned flow path from the aforementioned suction cap,

The providing medical treatment device.

[0267]

(3) The medical treatment device of the description to the additional remark 1 or 2 while the aforementioned cylindrical member is formed in large diameter rather than the path of the aforementioned insert portion in which the path of the aforementioned inner peripheral surface was inserted, wherein the aforementioned treatment part provided at the tip of the aforementioned insert portion from the aforementioned cylindrical member is projected.

[0268]

(4) It is attached to the aforementioned insert portion of the medical treatment device which has a long and slender long picture insert portion for inserting into the treatment part for taking a measure using heat, and the abdominal cavity freely attachable/detachable, A channel for a wrap cylindrical member and the aforementioned cylindrical member to let the aforementioned insert portion pass for the aforementioned insert portion is provided. The medical treatment device equipping the flow path and the aforementioned flow path for having an opening, and furthermore returning water and sucking near [ aforementioned ] a treatment part with the returning-water suction implement possessing the cap for connecting with the source of returning water, and suction sources.

[0269]

(5) The medical treatment device of the description to the additional remark 4 forming the aforementioned flow path by space made between the aforementioned insert portions in the state where have the form and the dimension which the aforementioned channel does not stick over the aforementioned insert portion and the whole circumference, and it attached to the aforementioned insert portion.

[0270]

(6) Returning water for medical treatment devices, the suction control system detecting the output of the medical treatment device of the description of control of the aforementioned returning water and suction to the additional remarks 1-5, and performing it.

[0271]

(7) Returning water for medical treatment devices of the description to the additional remark 6, wherein the aforementioned medical treatment device is an ultrasonic coagulotomy treatment implement, a suction system.

[0272] (8) Returning water for medical treatment devices of the description to the additional remark 6, wherein the aforementioned medical treatment device is a high frequency treatment tool, a suction system.

[0273]

(9) Returning water for medical treatment devices of the description to the additional remark 6, wherein the aforementioned medical treatment device is a laser treatment implement, a suction system.

[0274]

(10) The medical treatment device which has a treatment part for taking a measure using heat, and a long and slender long picture insert portion inserted into the abdominal cavity, Returning water for medical treatment devices, the suction system which it has an opening near [ aforementioned ] a treatment part, and the flow path returned and sucked in the aforementioned insert portion at the aforementioned treatment part is arranged, and are further characterized by providing the cap for connecting with the aforementioned insert portion proximal end with the source of returning water, and suction sources in the aforementioned flow path.

[0275]

(11) Returning water for medical treatment devices of the description to the additional remark 10 provided with the equipment which controls timing and time when performing returning water and suction to the aforementioned treatment part, a suction system.

[0276]

(12) The heating part which constitutes the aforementioned treatment part,

The grip part which constitutes the aforementioned treatment part, and is opened and closed to the aforementioned heating part,

It consists of a driving shaft of the thin length who connects and does a switching action to the aforementioned grip part, Returning water for medical treatment devices of the description to the additional remark 10 forming the aforementioned flow path by space made between the aforementioned insert portions in the state where have the form and the dimension which the channel for letting the aforementioned driving shaft pass does not stick over the aforementioned insert portion and the whole circumference, and it attached to the aforementioned insert portion, a suction system. [0277]

(13) The heating part of the thin length from whom the tip side constitutes the aforementioned treatment part at least, Returning water for medical treatment devices of the description to the additional remark 10 forming the aforementioned flow path by space made between the aforementioned insert portions in the state where have the form and the dimension which the channel for letting the aforementioned heating part pass does not stick over the aforementioned insert portion and the whole circumference, and it attached to the aforementioned insert portion, a suction system.

[0278]

(14) Returning water for medical treatment devices of the description to the additional remark 10 detecting the output of the aforementioned medical treatment device and performing control of the aforementioned returning water and suction, a suction

system.

[0279]

(15) Returning water for medical treatment devices of the description to the additional remark 10, wherein the aforementioned medical treatment device is an ultrasonic coagulotomy treatment implement, a suction system. [0280]

(16) Returning water for medical treatment devices of the description to the additional remark 10, wherein the aforementioned medical treatment device is a high frequency treatment tool, a suction system.

[0281]

(17) Returning water for medical treatment devices of the description to the additional remark 10, wherein the aforementioned medical treatment device is a laser treatment implement, a suction system.

[0282]

(18) The medical treatment device providing the form for a heat sink to the aforementioned treatment part in the medical treatment device which has a long and slender long picture insert portion for inserting into the treatment part for taking a measure using heat, and the abdominal cavity

(19) In the medical treatment device which has a long and slender long picture insert portion for inserting into the treatment part for taking a measure using heat, and the abdominal cavity, A cooling system for medical treatment devices contacting the aforementioned cooling member to the aforementioned treatment part, and cooling the aforementioned treatment part by holding the cooling member and the aforementioned cooling member for carrying out the endothermic of the heat of the aforementioned treatment part, having a movable cooling system along with an insert portion, and operating the aforementioned cooling system after treatment.

[0283]

(20) A cooling system for medical treatment devices of the description to the additional remark 19, wherein the aforementioned cooling member is a blanket-like component dipped in the physiological saline. [0284]

(21) A cooling system for medical treatment devices of the description to the additional remark 19, wherein the aforementioned cooling member consists of material with biocompatibility.

[0285]

(22) The medical treatment device of the description to the additional remark 1 to which the cross-sectional area of the aforementioned opening is characterized by being smaller than the cross-sectional area of the aforementioned flow path. [0286]

(23) The aforementioned treatment part consists of a freely openable/closable opening/closing part to the heating part which heats the aforementioned organization for treatment, and this heating part,

The medical treatment device of the description to the additional remark 1 or 22 currently opposing and carrying out the opening of the aforementioned opening to the aforementioned heating part at least.

[0287]

(24) The medical treatment device of the description to the additional remark 22, wherein the cross-sectional areas of the aforementioned opening constitute 30% or less of the cross-sectional areas of the aforementioned flow path. [0288]

(25) The operating knob which is provided at the end face side of the aforementioned insert portion, and rotates the aforementioned insert portion to an axial direction,

The engagement means engaged [ cylindrical member / aforementioned ] in the aforementioned operating knob and the aforementioned cylindrical member rotatable with the aforementioned insert portion by the rotating operation of the aforementioned operating knob,

The medical treatment device of the description to the providing additional remark 23.

[0289]

(26) The medical treatment device of the description to the additional remark 23, wherein the aforementioned heating part is a probe which transmits supersonic vibration.

[0290]

(27) The insert portion of thin length inserted into the abdominal cavity,

The treatment part which is provided at the tip of the aforementioned insert portion and takes a measure by heating the organization for treatment in the aforementioned abdominal cavity by supplying electrical energy from a power supply, The cylindrical member in which at least one copy of the aforementioned insert portion is inserted,

The flow path through which the fluid which is formed between the periphery of the aforementioned insert portion and the inner circumference of the aforementioned cylindrical member, and is sucked out of the aforementioned abdominal cavity flows, The tap hole which discharges the fluid which communicates the aforementioned flow path and is sucked out of the

aforementioned abdominal cavity from the aforementioned flow path,

The opening which communicated the aforementioned flow path and was provided by the near position of the aforementioned treatment part,

The suction part which is connected to the aforementioned tap hole and sucks a fluid,

The control part which electrical energy is supplied from the aforementioned power supply, the aforementioned treatment part precedes heating the aforementioned organization for treatment, and predetermined makes carry out the time operation of the aforementioned suction part,

The providing medical treatment device.

[0291]

(28) The insert portion of thin length inserted into the abdominal cavity,

The treatment part which is provided at the tip of the aforementioned insert portion and takes a measure by heating the organization for treatment in the aforementioned abdominal cavity,

The operating part which operates the aforementioned treatment part provided by the end face of the aforementioned insert portion,

The cylindrical member in which at least one copy of the aforementioned insert portion is inserted,

The flow path through which the fluid which is formed between the periphery of the aforementioned insert portion and the inner circumference of the aforementioned cylindrical member, and is supplied to the aforementioned treatment part flows,

Input which flows into this flow path the fluid which communicates the aforementioned flow path and is supplied to the aforementioned treatment part,

The opening which communicated the aforementioned flow path and was provided by the near position of the aforementioned treatment part,

The fluid supply part which supplies a fluid to the aforementioned input,

The changeover section which is provided between the aforementioned input and the aforementioned fluid supply part, and turns supply of a fluid on and off,

The attaching means which makes the aforementioned control part freely attachable/detachable to the aforementioned grip part, The providing medical treatment device.

[0292]

The additional remarks 1-9 are equipping the insert portion of a medical treatment device with a returning-water suction sheath, and form a returning-water suction passage in the gap made on the inside of a returning-water suction sheath, and the outside of the insert portion. Mist suction, returning water, and a supplied air were to be made by making the returning-water suction port provided by the opening and returning-water suction passage which were provided at the tip side, and the returning-water suction sheath by this communicate. It sucks at the time of an output, and an output is detected and returning-water suction is controlled by performing suction for several seconds at the time of an output halt. [0293]

The additional remarks 10-15 provide a returning-water suction port to the rotating knob of an ultrasonic treatment tool, make a returning-water suction port, the driving shaft channel which is the pipelines along which the driving shaft for making a grip part drive passes, and the opening provided at the tip of an inside sheath communicate, and enabled it to perform returning-water suction. It sucks at the time of an output, and an output is detected and returning-water suction is controlled by performing suction for several seconds at the time of an output halt.

[0294]

The additional remarks 5, 7, 9, and 11 interlock the power supply body, returning water and an air supply device, and suction unit of the above-mentioned ultrasonic treatment tool. Returning water and an air supply device, and a suction unit are connected to a returning-water port with a tube.

[0295]

The surface area of a probe is enlarged by providing a slot on the lower surface of a probe, and the temperature of the probe which went up by ultrasonic treatment was made for the additional remark 16 to radiate heat easily.

[0296]

The additional remarks 17–19 provide the component for cooling on the lower surface of a probe, and tended to have cooled the temperature of the probe which went up by ultrasonic treatment by a cooling member being applied to a probe. [Brief Description of the Drawings]

[0297]

<u>[Drawing 1]</u>The figure in which a part of insert portion's having made the section the ultrasonic treatment tool in which a 1st embodiment of the present invention is shown, and showing it.

[Drawing 2]The expanded sectional view by the side of the proximal end of the insert portion of Fig.1.

Drawing 3]The figure showing the modification which provided the grip slot on the periphery of the connection screw in <u>Fig.2</u>. [Drawing 4]The figure expanding and showing the tip side of the insert portion of <u>Fig.1</u>.

[Drawing 5] The cross sectional view which is along the V–V line in Fig.4.

[Drawing 6] The block diagram showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool of Fig.1.

[Drawing 7] The exploded view which freed itself from the insert portion 4 of the ultrasonic treatment tool 1 of Fig.1 to the sheath 9.

[Drawing 8] The flow chart which showed the control method of the ultrasonic treatment device at the time of dealing with the organization for treatment in the abdominal cavity using the ultrasonic treatment tool of <u>Fig.1</u>.

[Drawing 9] The figure showing the state where the tip side of a grip part was moved for the tip side of the insert portion of the sheath of Fig.1 to the wrap position.

[Drawing 10]The figure in which a part of insert portion's having made the section the ultrasonic treatment tool in which a 2nd embodiment of the present invention is shown, and showing it.

[Drawing 11]The expanded sectional view by the side of the proximal end of the insert portion of Fig.10.

[Drawing 12] The expanded sectional view by the side of the point of the insert portion of Fig.10.

[Drawing 13] The figure in which a part of insert portion's having made the section the ultrasonic treatment tool in which a 3rd embodiment of the present invention is shown, and showing it.

[Drawing 14] The cross sectional view which is along the XIV-XIV line in Fig.13.

[Drawing 15] The expanded sectional view by the side of the proximal end of the insert portion of Fig.13.

[Drawing 16] The expanded sectional view by the side of the point of the insert portion of Fig.13.

[Drawing 17] The cross sectional view which is along the XVII-XVII line in Fig.16.

[Drawing 18] The fragmentary sectional view showing the modification which provided the slot in the position which opposes to Fig.1, Fig.10, and the grip part of the probe of the ultrasonic treatment tool of Fig.13.

[Drawing 19] The fragmentary sectional view showing the modification which provided one port which commonalized the

returning-water supplied-air port and the suction port in the ultrasonic treatment tool of Fig.1.

[Drawing 20] The expanded sectional view by the side of the proximal end of the insert portion of Fig.19.

[Drawing 21] The block diagram showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool of Fig.19.

[Drawing 22] The block diagram showing the outline of another composition of the ultrasonic treatment device of Fig.21.

[Drawing 23]The figure in which a part of insert portion's having made the section the ultrasonic treatment tool in which a 4th embodiment of the present invention is shown, and showing it.

[Drawing 24]The figure expanding and showing the point side of the insert portion of Fig.23.

[Drawing 25]The figure showing the state where the slider member of Fig.24 was moved at the tip of the direction of an insertion shaft

[Drawing 26]The cross sectional view which is along the IIXVI-IIXVI line in Fig.25.

#### JP,2006-341066,A [DETAILED DESCRIPTION]

[Drawing 27]The figure in which a part of insert portion's having made the section the ultrasonic treatment tool in which a 5th embodiment of the present invention is shown, and showing it.

[Drawing 28]The expanded sectional view by the side of the proximal end of the insert portion of Fig.27.

[Drawing 29]The cross sectional view which is along the IIXIX-IIXIX line in Fig.28.

[Drawing 30]The expanded sectional view by the side of the tip of the insert portion of Fig.27.

[Drawing 31]The cross sectional view which is along the IIIXI-IIIXI line in Fig.30.

[Drawing 32]The block diagram showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool of Fig.27.

[Drawing 33]The flow chart which showed the control method of the ultrasonic treatment device at the time of dealing with the organization for treatment in the abdominal cavity using the ultrasonic treatment tool of Fig.27.

[Drawing 34]The flow chart which showed the control method of the ultrasonic treatment device of having an ultrasonic treatment tool in which a 6th embodiment of the present invention is shown.

[Drawing 35]The expanded sectional view by the side of the tip of the sheath of the ultrasonic treatment tool in which a 7th embodiment of the present invention is shown.

[Drawing 36]The cross sectional view which is along the IIIXVI-IIIXVI line in Fig.35.

[Drawing 37]The expanded sectional view by the side of the tip of the ultrasonic treatment tool in which an 8th embodiment of the present invention is shown.

[Drawing 38]The cross sectional view which is along the IIIXVIII-IIIXVIII line in Fig.37.

[Drawing 39]The cross sectional view which is along the IIIXIX-IIIXIX line in Fig.37.

[Drawing 40]The block diagram showing the outline of the composition of the ultrasonic treatment device which has an ultrasonic treatment tool in which a 9th embodiment of the present invention is shown.

[Drawing 41]The flow chart which showed the control method of the sound wave treating apparatus of Fig.40.

[Drawing 42]The expanded sectional view by the side of the proximal end of the insert portion of the ultrasonic treatment tool in which a 10th embodiment of the present invention is shown.

[Drawing 43]The cross sectional view which is along the IVXIII-IVXIII line in Fig.42.

[Drawing 44]The figure having made a part of insert portion the section and in which showing the ultrasonic treatment tool in which an 11th embodiment of the present invention is shown.

[Explanations of letters or numerals]

[0298]

- 1 --- Ultrasonic treatment tool
- 4 --- Insert portion
- 5 -- Grip part
- 8 --- Probe
- 9 --- Returning-water suction sheath
- 10l. -- Flow path
- 10r -- Flow path
- 12 --- Flow path
- 13 --- Returning-water supplied-air port
- 13r -- Flow path
- 14 -- Suction port
- 14r -- Flow path
- 26 --- Tip flow path
- 29 -- Returning water and air supply device
- 30 --- Suction unit
- 37 --- Rotating knob
- 38 -- Space
- 40 -- Driving shaft channel
- 42 -- Rotating knob 47 -- Tip flow path
- 201 --- Ultrasonic treatment tool
- 301 --- Ultrasonic treatment tool
- 312 -- Flow path
- 323 -- Common port
- 323r -- Flow path
- 501 -- Ultrasonic treatment tool
- 509 -- Sheath
- 512 --- Flow path
- 513 -- Returning-water port
- 514 --- Suction port
- 543 -- Tip opening
- 601 --- Ultrasonic treatment tool
- 609 -- Sheath
- 612 --- Flow path
- 643 -- Tip opening
- 701 --- Ultrasonic treatment tool
- 709 -- Sheath
- 712 -- Flow path
- 743 --- Tip opening
- 801 -- Ultrasonic treatment tool
- 809 --- Sheath
- 812 -- Flow path
- 813 -- Returning-water port

- 814 -- Suction port 901 -- Ultrasonic treatment tool 909 -- Sheath
- 912 --- Flow path
- 913 --- Returning-water port
- 943 -- Tip opening
- A1 --- Cross-sectional area of a flow path A2 --- Cross-sectional area of an opening

[Translation done.]

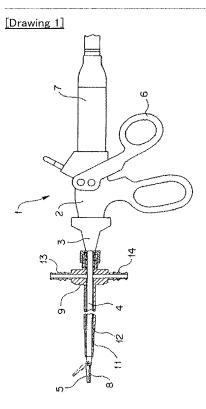
JP,2006-341066,A [DRAWINGS]

#### * NOTICES *

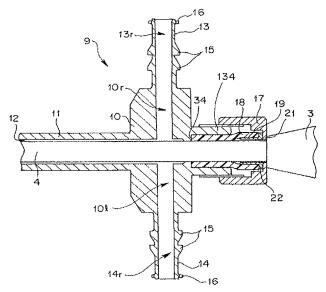
JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

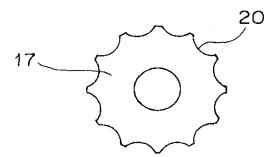
#### DRAWINGS



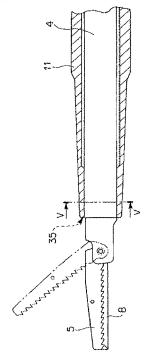
#### [Drawing 2]



[Drawing 3]

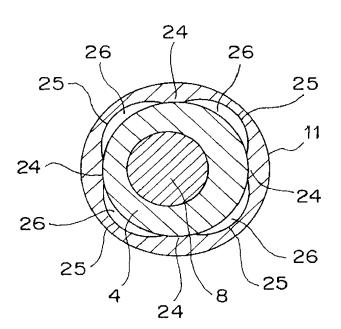


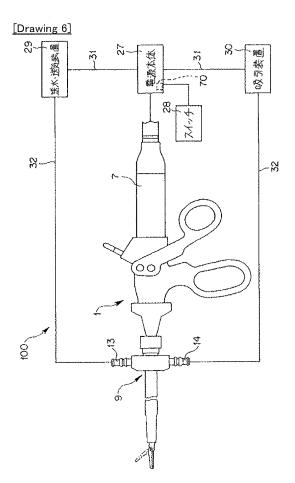
# [Drawing 4]



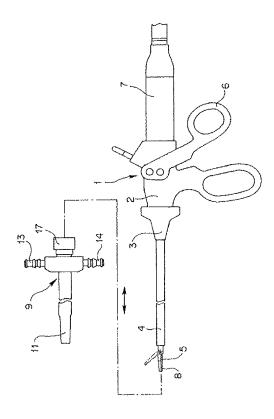


.

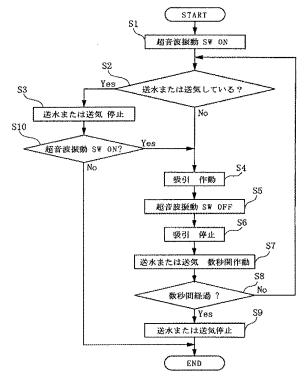




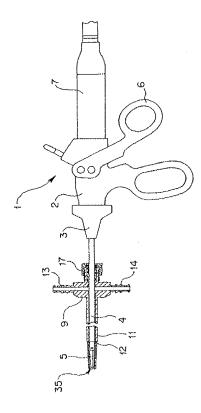




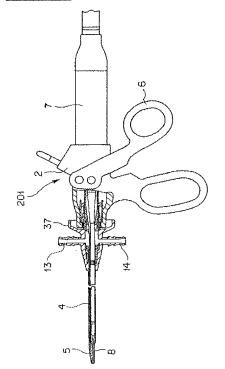
# [Drawing 8]



### [Drawing 9]

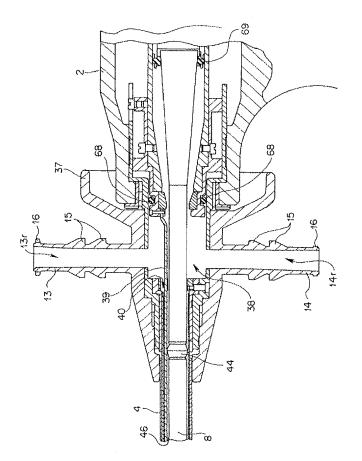


[Drawing 10]

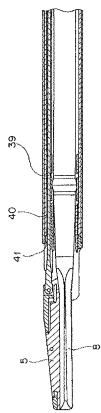




. .

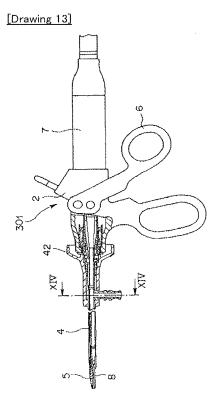




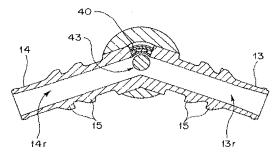


# JP,2006-341066,A [DRAWINGS]

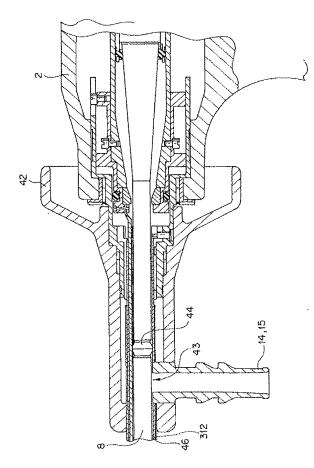
10 H. 11



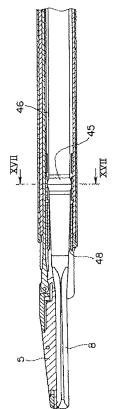
# [Drawing 14]



[Drawing 15]

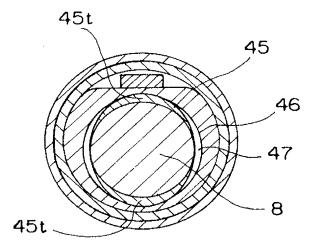




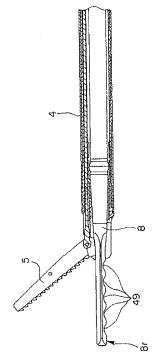


. .

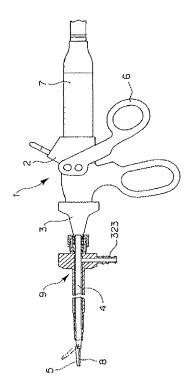




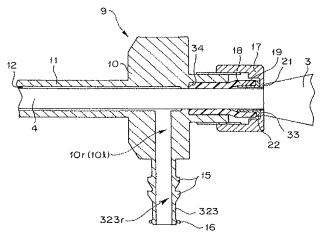




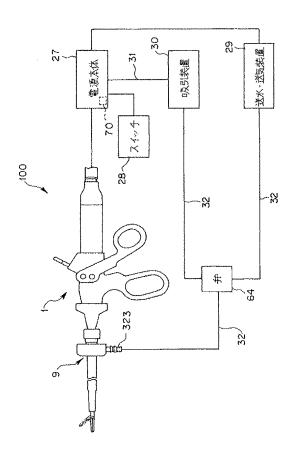




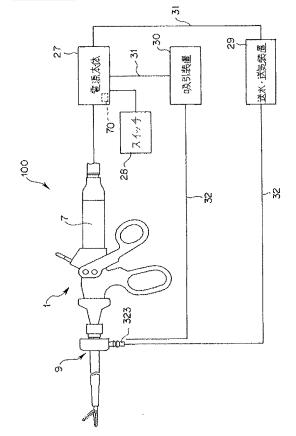
# [Drawing 20]



[Drawing 21]



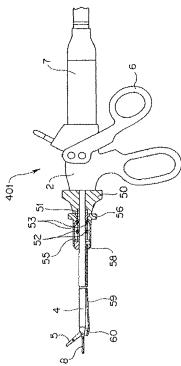




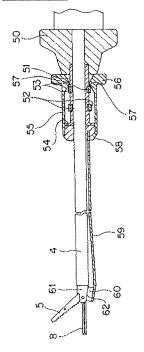
JP,2006-341066,A [DRAWINGS]

[Drawing 23]

4

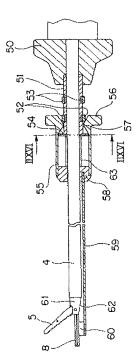




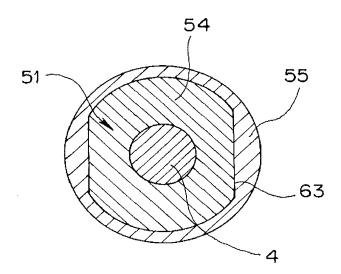




Page 12 of 22



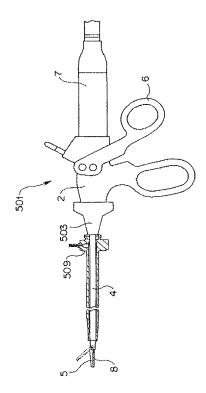
# [Drawing 26]



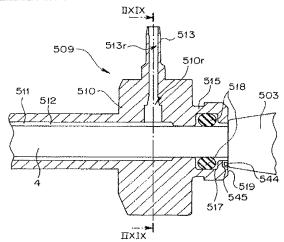
[Drawing 27]

- 1

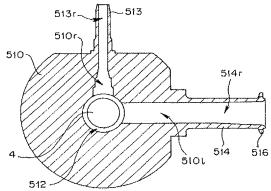
1 I I I I



# [Drawing 28]

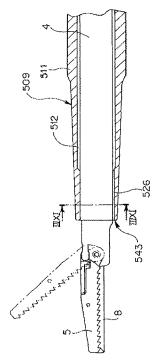




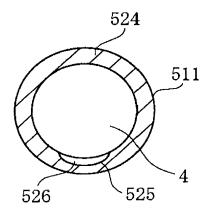


1 I.

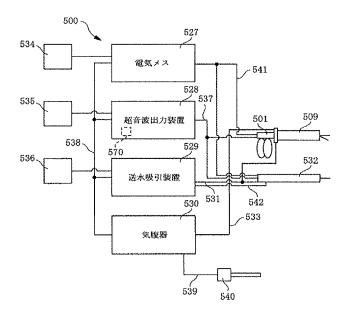




[Drawing 31]

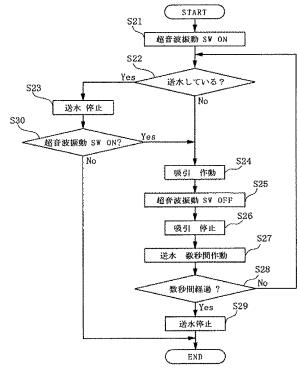


[Drawing 32]



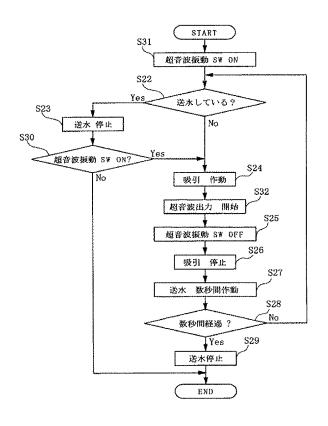
1

### [Drawing 33]

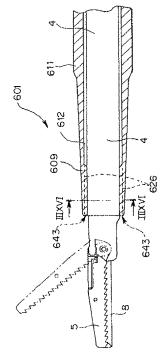


[Drawing 34]

- 1 - 1

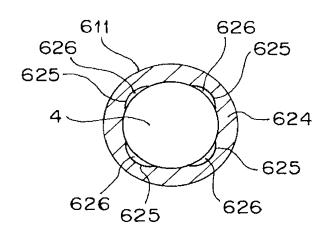


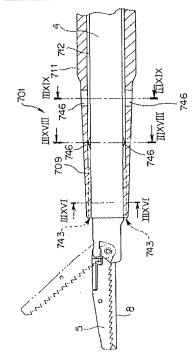






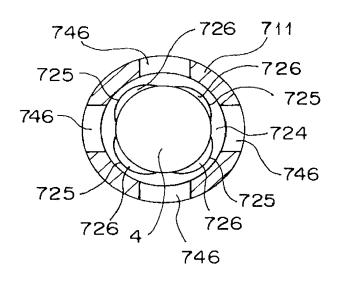
1 4.



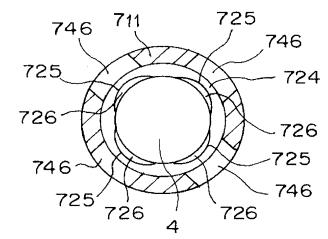




) I

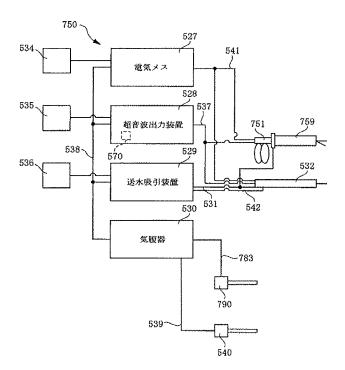




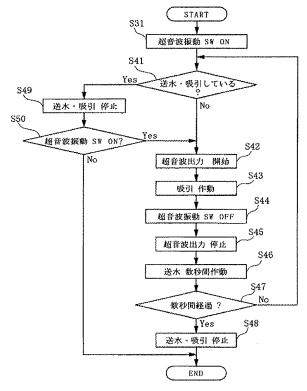




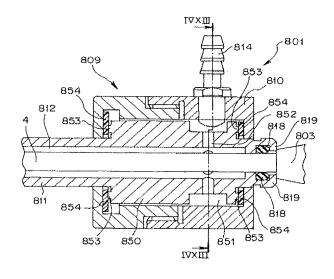
1 1



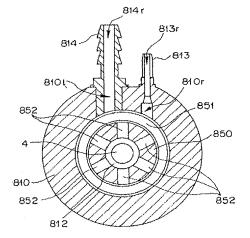
# [Drawing 41]



### [Drawing 42]



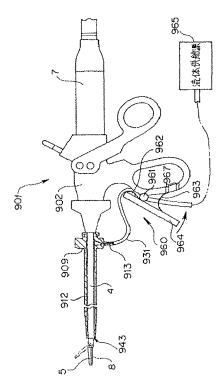






# JP,2006-341066,A [DRAWINGS]

1 1.



# [Translation done.]

. . . . .

# (12)公開特許公報(A)

(11)特許出願公開番号

# 特開2006-341066

(P2006-341066A)

(43) 公開日 平成18年12月21日 (2006. 12. 21)

(51) Int.C1.			FI			テーマコード (参考)
A61B	18/04	(2006.01)	A 6 1 B	17/38	310	4C060
A61B	17/ <b>28</b>	(2006.01)	A 6 1 B	17/28	310	
A61B	18/00	(2006.01)	A 6 1 B	17/36	330	

審査請求 未請求 請求項の数 4 OL (全 46 頁)

(21) 出願番号 (22) 出願日 (31) 優先権主張番号	特願2005-334665 (P2005-334665) 平成17年11月18日 (2005.11.18) 特願2005-141536 (P2005-141536)	(71)出願人	304050923 オリンパスメディカルシステムズ株式会社 東京都渋谷区幡ヶ谷2丁目43番2号
(32) 優先日	平成17年5月13日 (2005.5.13)	(74)代理人	100076233
(33) 優先権主張国	日本国(JP)		弁理士 伊藤 進
		(72)発明者	谷内 千恵
			東京都渋谷区幡ヶ谷2丁目43番2号 オ
			リンパスメディカルシステムズ株式会社内
		(72)発明者	酒井 涼次
			東京都渋谷区幡ヶ谷2丁目43番2号 オ
			リンパスメディカルシステムズ株式会社内
		(72)発明者	村上 栄治
			東京都渋谷区幡ヶ谷2丁目43番2号 オ
			リンパスメディカルシステムズ株式会社内
			最終頁に続く

(54) 【発明の名称】 医療用処置具

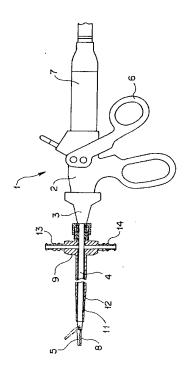
(19) 日本国特許庁(JP)

(57)【要約】

【課題】処置中に発生する煙やミストを効果的に吸引す ることができるとともに、処置後、発熱した処置部を迅 速に冷却できる構成を有することにより、使い勝手を向 上した医療用処置具を提供する。

【解決手段】体腔内に挿入される細長の挿入部4と、前 記挿入部4の先端に設けられ、前記体腔内の処置対象組 織を加熱して処置を行う把持部5,プローブ8と、挿入 部4の少なくとも一部が挿通されるシース9と、挿入部 4の外周とシース9の内周との間に形成され、把持部5 ,プローブ8に対し供給する流体と前記体腔内から吸引 する流体との少なくとも一方が流れる流路12と、流路 12に連通する送水送気ポート13,吸引ポート14と 、流路12に連通して把持部5,プローブ8に対向して 開口された開口部と、を具備することを特徴とする。

【選択図】図1



【特許請求の範囲】

【請求項1】

体腔内に挿入される細長の挿入部と、

前記挿入部の先端に設けられ、前記体腔内の処置対象組織を加熱して処置を行う処置部と、

前記挿入部の少なくとも一部が挿通される筒状部材と、

前記挿入部の外周と前記筒状部材の内周との間に形成され、前記処置部に対し供給する 流体と前記体腔内から吸引する流体との少なくとも一方が流れる流路と、

前記流路に連通して、前記処置部に対し供給される流体の前記流路への供給と前記体腔内から吸引される流体の前記流路からの排出との少なくとも一方を行う流出入口と、

前記流路に連通して、前記処置部に対向して開口された開口部と、

を具備することを特徴とする医療用処置具。

【請求項2】

前記筒状部材は、該筒状部材の内周面の径が挿通された前記挿入部の径よりも大径に形成されているとともに、前記筒状部材から、前記挿入部の先端に設けられた前記処置部が 突出されていることを特徴とする請求項1に記載の医療用処置具。

【請求項3】

前記開口部の断面積が、前記流路の断面積よりも小さいことを特徴とする請求項1に記載の医療用処置具。

【請求項4】

前記処置部は、前記処置対象組織を加熱する加熱部と該加熱部に対して開閉自在な開閉部とからなり、

前記開口部は、少なくとも前記加熱部に対向して開口していることを特徴とする請求項 1または3に記載の医療用処置具。

【発明の詳細な説明】

【技術分野】

[0001]

本発明は、体腔内の処置対象組織を加熱し該処置対象組織の処置を行う処置部が、細長の挿入部に設けられた医療用処置具に関する。

【背景技術】

[0002]

従来、超音波振動を用いて、組織切除や有機物及びその他の堆積物を除去する医療用処置具である超音波処置具が種々提案されている。

例えば特許文献1には、超音波振動を用いて処置対象組織を超音波処置するとともに、 該超音波処置に際に発生する体腔内の血液等の粒子(以下、エアロゾルと称す)を吸引す ることができる超音波処置具が開示されている。

[0003]

具体的には、特許文献1に開示された超音波処置具は、ハンドピースに、超音波振動子 (以下、単に振動子と称す)が設けられており、該振動子が、処置対象組織を超音波処置 する処置部が設けられた細長の超音波伝達用プローブ(以下、単にプローブと称す)に連 結された構成を有している。このことから、振動子が駆動により発した超音波振動は、プ ローブを介して処置部に伝達されるようになっている。

[0004]

また、超音波処置具には、プローブの処置位置に対向する位置(以下、先端側と称す) を、該プローブ先端側の外周との間に環状の開空間を形成するよう周状に覆うシースが設 けられている。

[0005]

シースには、開空間と連通する吸引口が形成されており、該吸引口には、吸引チューブ を介して吸引源が接続されている。さらに、超音波処置具には、処置部に対し水を吹き付 ける、先端側に開口する水供給源に接続された管路が配設されている。 20

10

30

 $\begin{bmatrix} 0 & 0 & 0 & 6 \end{bmatrix}$ 

このように構成された、特許文献1に開示された超音波処置具は、処置部に超音波振動 を伝達するとともに水をプローブに吹き付けることにより、キャビテーションによって発 生させたエアロゾルまたはミストを用いて処置対象組織を超音波処置する。また、処置中 に発生したエアロゾルを、シースに形成された開口部、吸引口及び吸引チューブを介して 、吸引源により吸引できるようになっている。

【特許文献1】欧州特許第0645987号公報

【発明の開示】

【発明が解決しようとする課題】

[0007]

ところで、超音波処置具を用いて超音波処置を行うに際し、ハンドピースに設けられた 振動子は、超音波振動を発する駆動のため発熱する。この発熱により、ハンドピースが熱 されて、保持出来なくなることを防止するため、特許文献1に開示された超音波処置具は 、超音波処置の際、振動子に水を供給することにより、振動子を冷却する構成となってい る。

 $\begin{bmatrix} 0 & 0 & 0 & 8 \end{bmatrix}$ 

しかしながら、近年、使用されている超音波処置具においては、プローブと処置対象組 織との摩擦熱を利用して凝固や切開の処置を行うため、特許文献1に開示された処置中の 水による冷却は、処置能力を低下させてしまい好ましくないといった問題がある。また、 駆動中の振動子の冷却に、水の供給が不要となる構成を有するものが主流となってきてい るといった経緯もある。

[0009]

このような駆動中の振動子の冷却に、水の供給を必要としない超音波処置具であっても 、処置対象組織が本来有する水分により、処置中にキャビテーション等が発生する。また 、超音波処置具だけでなく、高周波電流を使用してジュール熱により処置を行う高周波処 置具やレーザー光により処置を行うレーザー処置具等を用いた処置においても、処置によ り煙やミストが発生する。

[0010]

各種処置具を用いた処置は、処置具とともに、体腔内の処置部位を観察するため、内視 鏡も体腔内に挿入するのが一般的であるが、処置中に発生したミストや煙は、内視鏡の視 30 界を妨げるとともに、内視鏡前面のレンズを汚すため術者にとって非常に煩わしいものと なる。

[0011]

このため、意図的にキャビテーションを発生させない処置具であっても、処置の際、処 置中に発生した煙やミスト等を、シースに形成された開口部、及び吸引チューブを介して 、吸引源により吸引できるように構成する必要がある。

[0012]

また、熱を利用して処置対象組織を処置する処置具においては、処置直後は、処置部は 、高温となっている。よって、処置具を体腔内から抜去する際等は、高温の処置部を冷却 した後行うのが一般的である。

[0013]

しかしながら、これも通常は、処置部が自然に冷却するのを待ってから抜去を行うため、迅速に作業を行うことができない他、術者にとっては大変煩雑であるといった問題があった。また、このことは、特許文献1に開示された超音波処置具では、何ら考慮がなされていない。

[0014]

本発明の目的は、上記事情に鑑みてなされたものであり、体腔内の処置対象組織を加熱 し該処置対象組織の処置を行う医療用処置具において、処置中に発生する煙やミストを効 果的に吸引することができるとともに、処置後、発熱した処置部を迅速に冷却できる構成 を有することにより、使い勝手を向上した医療用処置具を提供することにある。 10

【課題を解決するための手段】

[0015]

上記目的を達成するために本発明による医療用処置具は、体腔内に挿入される細長の挿入部と、前記挿入部の先端に設けられ、前記体腔内の処置対象組織を加熱して処置を行う 処置部と、前記挿入部の少なくとも一部が挿通される筒状部材と、前記挿入部の外周と前 記筒状部材の内周との間に形成され、前記処置部に対し供給する流体と前記体腔内から吸 引する流体との少なくとも一方が流れる流路と、前記流路に連通して、前記処置部に対し 供給される流体の前記流路への供給と前記体腔内から吸引される流体の前記流路からの排 出との少なくとも一方を行う流出入口と、前記流路に連通して、前記処置部に対向して開 口された開口部と、を具備することを特徴とする。

10

20

【発明の効果】 【0016】

本発明によれば、体腔内の処置対象組織を加熱し該処置対象組織の処置を行う医療用処 置具において、処置中に発生する煙やミストを効果的に吸引することができるとともに、 処置後、発熱した処置部を迅速に冷却できる構成を有することにより、使い勝手を向上し た医療用処置具を提供することができる。

[0017]

また、超音波処置具や高周波処置具やレーザー処置具等で処置対象組織に処置を行うと、処置対象組織からミストや煙が発生する。これらのミストや煙は、内視鏡を用いた処置においては視野を妨げる原因となり、さらに内視鏡前面のレンズを汚してしまうが、本発明によれば、処置中に発生するミストや煙等を吸引することで、術中の観察視野を確実に確保することができる。

[0018]

さらに、熱を利用して処置対象組織を処置する処置具においては、処置直後は処置装置 の処置部は高温となっている。本発明によれば、処置直後に、処置部に水やガス等の流体 を送水または送気することで処置部を冷却するとともに、処置部に付着した汚れを除去す ることができることから処置後の処置部の汚れを防止することができる。

【発明を実施するための最良の形態】

[0019]

以下、図面を参照して本発明の実施の形態を説明する。

尚、熱を利用して外科手術等の各種処置を行う医療用処置具には、超音波を利用した超 音波処置具、高周波電流によるジュール熱等を利用した高周波処置具、レーザー光を利用 したレーザー処置具、電磁波を利用したRF処置具等あるが、本実施の形態においては、 凝固切開用の超音波処置具を例に挙げて説明する。

【0020】

(第1実施の形態)

図1は、本発明の第1実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示 した図、図2は、図1の挿入部の基端部側の拡大断面図、図3は、図2中の接続ネジの外 周に把持溝を設けた変形例を示す図である。また、図4は、図1の挿入部の先端側を拡大 して示した図、図5は、図4中のV-V線に沿う断面図、図6は、図1の超音波処置具を 有する超音波処置装置の構成の概略を示すブロック図である。

[0021]

尚、以下の説明において、超音波処置具は、体腔内に挿入される側を先端側、操作部側 を基端側として説明する。

図1に示すように、超音波処置具1は、体腔内に挿入される細長い挿入部4を有して構成されており、挿入部4の先端側に、処置部である把持部5が配設されている。尚、把持部5は、例えば開閉自在な一対のジョーから構成された開閉部を構成しており、該ジョーにより、体腔内の処置対象組織を把持して加熱し、凝固切開等の処置を行う。尚、把持部5は、後述するプローブ8の先端側に対して開閉自在である。 【0022】 40

30

また、挿入部4の基端側は、操作部である回動ノブ3の先端に接続されている。尚、回動ノブ3は、操作部である固定ハンドル2の先端に接続されており、挿入部4の軸心を回転中心として該挿入部4を軸回り方向に回転操作するものである。 【0023】

さらに、固定ハンドル2に、把持部5の一対のジョーを開閉操作する可動ハンドル6が、 枢支軸を介して回動自在に取り付けられている。また、固定ハンドル2には、挿入部4 に挿通された、先端が把持部5に接続され、基端が振動子7に接続された加熱部である細 長のプローブ8が取り付けられている。

[0024]

尚、プローブ8は、後述する電源本体27(図6参照)から供給された電力により発生 10 する熱を利用して処置対象組織に対し凝固等の各種処置を行うものであることから、プロ ーブ8の先端側である把持部5に接続された部位は、処置部を構成している。

【 O O 2 5 】

また、挿入部4の外周、具体的には、挿入部4の把持部5及びプローブ8の先端側を除いた外周には、筒状部材である送水吸引シース(以下、単にシースと称す)9が装着されている。即ち、シース9から把持部5及びプローブ8の先端側が突出されている。尚、シース9は、挿入部4の外周の少なくとも一部に対して着脱自在である。即ち、挿入部4の 少なくとも一部は、シース9に挿通されている。

【0026】

図2に示すように、シース9は、例えばフランジ状の本体部10と、該本体部10の略 20 中央から先端側に延出した本体部10より小径な挿入部11と、本体部10の略中央から 基端側に延出した本体部10より小径な接続部134とを有して主要部が構成されている 。尚、接続部134の外周には、ネジが形成されている。

[0027]

挿入部11の孔の内径は、挿入部4の外径より大径に形成されている。このことにより、挿入部11の内周と挿入部4の外周との間に流路12が形成されている。また、本体部 10に、該本体部10を先端から基端まで連通する孔に略垂直な流路10r, 101が形成されている。尚、流路10r, 101は、流路12に連通している。

[0028]

さらに、本体部10に、後述する送水、送気用のポンプを持つ送水・送気装置29(図 306参照)と、接続するための流入口である送水送気ポート13が、挿入部11と略垂直となるよう設けられている。送水送気ポート13は、流路13rを有しており、該流路13 rは、本体部10の流路10rと連通している。即ち、流路13rは、流路12とも連通している。

【0029】

また、本体部10に、後述する吸引用のポンプを持つ吸引装置30(図6参照)と、接続するための流出口である吸引ポート14が、挿入部11と略垂直となるよう設けられている。吸引ポート14は、流路14rを有しており、該流路14rは、本体部10の流路 101と連通している。即ち、流路14rは、流路12とも連通している。

[0030]

尚、送水送気ポート13,吸引ポート14は、超音波処置具1と干渉しない範囲であれ ば、挿入部11に対して略垂直に限らず、本体部10に、取り付け角度を自由にして設け られる。

【0031】

また、送水送気ポート13、吸引ポート14は、把持部5及びプローブ8の先端側から なる処置部に対し供給される流体の流路12への供給と、体腔内から吸引される流体の流 路12からの排出との少なくとも一方を行う流出入口を構成している。

【 O O 3 2】

送水送気ポート13の外周に、送水送気ポート13と送水・送気装置29とを接続する チューブ32の一端が被覆される。また、吸引ポート14の外周にも、吸引ポート14と

40

吸引装置30とを接続するチューブ32の一端が被覆される。 【0033】

尚、送水送気ポート13,吸引ポート14の外周には、被覆されたチューブ32の抜け を防止するカギ状の凸部15が設けられている。このことにより、各ポート13,14に 、チューブ32の一端を直接接続することができる。

[0034]

また、送水送気ポート13,吸引ポート14の外周の端部に、チューブ32のそれぞれ の一端に接続される、図示しないルアロックコネクタと接続するためのルアロック口金1 6がそれぞれ設けられている。このことにより、各ポート13,14に、ルアロックコネ クタが一端に接続されたチューブ32を接続することができる。

[0035]

尚、各ポート13,14に、凸部15とルアロック口金16とのいずれか一方のみを設 けてもよい。また、本体部10に設けられるポートの数は、2つに限定されず、1つ以上 であれば、任意の数を設けることができる。尚、1つのみ設ける場合は、後述する図18 ~図22で詳細に説明する。

【0036】

本体部10の接続部134の外周に形成されたネジ部に、環状の接続ネジ17が螺合されている。接続ネジ17は、挿入部の軸方向(以下挿入軸方向と称す)において、接続ネジ17の基端部21と、接続部134の底部34との間に、弾性部材18とスリーブ19 とを挟み込むように、接続部134の外周に取り付けられている。 【0037】

20

40

10

尚、弾性部材18及びスリーブ19は、環状に形成されており、弾性部材18及びスリ ーブ19の各内周は、挿入部4が挿通されるよう、挿入部4の外径よりも大きく形成され ている。

【0038】

さらに、接続ネジ17の基端部21に形成された開口の内周も、挿入部4が挿通される よう、挿入部4の外径よりも大きく形成されている。尚、基端部21に形成された開口の 内周は、回動ノブ3の先端側の径より小さく形成されている。

【0039】

また、接続ネジ17の外周に、図3に示すように、接続部134に対して取り付け易い 30 よう、把持溝20を形成してもよい。

[0040]

このように構成された接続ネジ17、弾性部材18、スリーブ19を、本体部10の接続部134に取り付けるには、先ず、スリーブ19の先端側に弾性部材18の基端側を差し込み、該弾性部材18の基端部を、スリーブ19の基端に形成されたフランジ22に突き当てる。

[0041]

次いで、弾性部材18とスリーブ19とを組み合わせた状態で、弾性部材18の先端側 を、本体部10の接続部134の内周に嵌合させ、最後に、接続ネジ17の基端部21で 、弾性部材18とスリーブ19とを挿入軸方向に押し潰すように、接続ネジ17を、接続 部134の外周に螺合させる。

[0042]

尚、この際、弾性部材18とスリーブ19とを組み合わせた長さは、接続部134の基 端部の孔の長さと、接続ネジ17の孔の長さを足したものより短くなっている。

[0043]

図4に示すように、挿入部4に、シース9を装着したときのシース9の先端は、把持部 5及びプローブ8の先端側が露出されるよう、把持部5よりも基端側に位置する。また、 シース9の挿入部11の先端は、テーパ状に形成されている。

[0044]

図5に示すように、挿入部11の先端部の内周に、挿入部4の外周と当接する、例えば 50

(6)

4つの凸状の支持部24が形成されており、また、挿入部11の先端部の内周に、4つの 支持部24を、挿入部11の先端部の内周に沿って連結する4つの円弧25が形成されて いる。

【0045】

4つの支持部24で区切られた、4つの円弧25と挿入部4の外周との間には、先端流路26が形成されており、該先端流路26は、挿入部11の内周と挿入部4の外周との間に形成された流路12と連通している。

【0046】

また、先端流路26は、挿入部4に、シース9を装着したときのシース9の先端が、把 持部5よりも基端側に位置することにより、把持部5またはプローブ8に対向する位置の 近傍である、把持部5の基端側において開口部35として開口されている。尚、開口部3 5は、把持部5よりプローブ8の先端側の近傍位置に設けられている。即ち、開口部35 は、少なくともプローブ8の先端側に対して開口されている。

【0047】

図6に示すように、超音波処置装置100は、超音波処置具1と、電源本体27と、ス イッチ28と、供給源である送水・送気装置29と、吸引源である吸引装置30とを有し て主要部が構成されている。

[0048]

超音波処置具1の振動子7は、超音波駆動を行う電源本体27と接続されている。また、電源本体27に、振動子7を発振させるためのスイッチ28が接続されている。 【0049】

100491

電源本体27と送水・送気装置29と吸引装置30とは、連動して作動できるようケー ブル31により電気的に接続されている。さらに、送水・送気装置29は、超音波処置具 1の送水送気ポート13とチューブ32により接続されており、吸引装置30は、超音波 処置具1の吸引ポート14とチューブ32により接続されている。 【0050】

ここで、一方、送水・送気装置29より流体が送気、送水された場合は、流体は、送水 ・送気装置29と接続されたチューブ32を介して、送水送気ポート13の流路13rに 進入した後、流路10r,シース9の挿入部11の内周と挿入部4の外周との間の流路1 2を通過して、先端流路26の先端開口部35から、把持部5及びプローブ8の先端側に 送水・送気される。

【0051】

他方、先端開口部35から、吸引装置30により煙、ミスト等の流体が吸引された場合は、流体は、先端開口部35から先端流路26に進入した後、流路12,101,14r を通過して、吸引ポート14に接続されたチューブ32を介して、吸引装置30より排出 される。

【0052】

次に、このように構成された本実施の形態の超音波処置具1の作用について図1~図6、及び図7~図9を用いて説明する。

図7は、図1の超音波処置具1の挿入部4からシース9を脱却した分解図、図8は、図 40 1の超音波処置具を用いて、体腔内の処置対象組織の処置を行う際の超音波処置装置の制 御方法を示したフローチャート、図9は、図1のシースの挿入部の先端側を把持部の先端 側を覆う位置に移動させた状態を示す図である。

【0053】

先ず、図7に示すように、シース9を、超音波処置具1の挿入部4に、該挿入部4の先端側に対し、シース9の基端部側から回動ノブ3に突き当たるまで挿入した後、図2に示すように、接続ネジ17を、該接続ネジ17の基端部21で、弾性部材18とスリーブ19とを挿入軸方向に押し潰すように、接続ネジ17を、接続部134の外周に螺合させる

[0054]

(7)

50

20

30

その結果、弾性部材18の内径が小さくなり、弾性部材18の内周は、挿入部4の外周と密着する。このことにより、シース9は、超音波処置具1に固定される。尚、この際、上述したように、挿入部4の外周とシース9の挿入部11の内周との間に、流路12が形成される。流路12は、先端流路26と、本体部10の流路10r,101と、送水送気ポート13の流路13rと、吸引ポート14の流路14rと連通している。 【0055】

その後、送水送気ポート13と送水・送気装置29とをチューブ32で接続し、さらに、吸引ポート14と吸引装置30とをチューブ32で接続した後、超音波処置具1の挿入部4を体腔内に挿入して、体腔内の処置対象組織の処置を行う。

【0056】

尚、以下の動作は、超音波処置装置100の電源本体27内に配設された制御部である 制御手段70から、送水・送気装置29及び吸引装置30へ、図示しない通信線を介して 制御信号が送られることによって行われる。即ち、制御手段70の動作制御により行われ る。

[0057]

体腔内の処置対象組織の処置を行う際は、先ず、把持部5により、処置対象となる処置 対象組織が把持された後、図8に示すように、ステップS1において、超音波振動スイッ チ(SW)となるスイッチ28(図6参照)がオン操作されることにより、電源本体27 からの電力の供給を受け、振動子7は超音波駆動を行う。振動子7の振動は、プローブ8 を介して把持部5に伝達される。このことにより、処置対象組織は、先端側のプローブ8 の振動による処置対象組織との摩擦により加熱され、凝固切開等の処置が行われる。 【0058】

20

30

40

10

次いで、ステップS2において、送水・送気装置29の作動により、開口部35から、 把持部5またはプローブ8に対して、流体が送水または送気されているか否かを判定される。

【0059】

一方、開口部35から流体が送水または送気されている場合は、ステップS3に分岐し、送水・送気装置29を停止させることにより、開口部35からの流体の送水または送気を停止させ、ステップS10でスイッチ28がオンであることが再度確認された後、ステップS4に移行する。他方、開口部35から流体が送水または送気されてない場合は、ステップS4に移行する。

[0060]

ステップS4では、把持部5により処置対象組織が加熱処置されると連動して、吸引装置30が作動されることにより、処置の際に発生したミストや煙の吸引が行われる。具体的には、先端開口部35から、吸引装置30により吸引された流体は、先端開口部35から先端流路26に進入した後、流路12,101,14rを流れて、吸引ポート14に接続されたチューブ32を介して、吸引装置30より排出される。

[0061]

尚、この際、図9に示すように、シース9は、弾性部材18に接続されているため、挿 入部4に対してスライド可能であることから、シース9の挿入部11を、先端側にスライ ドさせ把持部5を覆った後、先端開口部35を、把持部5より先端側へ押し出すことによ り、挿入部4の先端より流体を吸引してもよい。

[0062]

把持部5による処置対象組織の処置が終了すると、ステップS5において、スイッチ2 8(図6参照)がオフ操作されることにより、電源本体27からの電力の供給が遮断され、振動子7が停止する。

【0063】

次いで、振動子7の停止と連動して、ステップS6では、吸引装置30が停止されるこ とにより、処置の際に発生したミストや煙の吸引が停止される。その後、ステップS7に 移行する。 [0064]

ステップS7では、送水・送気装置29が、あらかじめ設定された時間である数秒間だ け作動されることにより、把持部5またはプローブ8に対して、先端開口部35から流体 が、送水または送気される。

[0065]

具体的には、送水・送気装置29から供給された流体は、チューブ32を介して、送水 送気ポート13の流路13rに進入した後、シース9の挿入部11の内周と挿入部4の外 周との間の流路12を流れて、先端流路26の先端開口部35から、把持部5またはプロ ーブ8に送水・送気される。このことにより、処置により熱された把持部5またはプロー ブ8は冷却される。また、処置により付着した把持部5の汚れを、流体の送水または送気 により除去することができる。

[0066]

次いで、ステップS8では、先端開口部35から、流体が送水または送気された時間が 、あらかじめ設定された数秒間を経過したか否かが判定される。あらかじめ設定された数 秒間が経過してなければ、ステップS2に戻り、ステップS3において、流体の送気また は送水時間が数秒間経過後、送水・送気装置29が停止され、続くステップS10におい て、スイッチ28のオフが確認された後、ルーチンを終了する。

[0067]

ステップS8に戻って、先端開口部35から、流体が送水または送気された時間が、あ らかじめ設定された数秒間を経過しておれば、ステップS9に移行し、最後に、ステップ S9では、送水・送気装置29を停止させることにより、先端開口部35からの流体の送 水または送気が停止され、その後ルーチンが終了する。

[0068]

このように、本実施の形態の超音波処置具1においては、超音波処置具1に、流体を送 水または送気するための流路10r,12,13r,26を設けるとともに、処置の際、 体腔内から流体を吸引する流路101,12,14r,26を設けた。即ち挿入部4と挿 入部11との間に設けられた流路12は、流体を送水または送気するための流路であると ともに、体腔内から流体を吸引する流路を兼ねていると示した。

[0069]

また、流路10r, 101, 12, 13r, 14r, 26の開口部35を、把持部5及 30 びプローブ8の近傍に対向して位置するよう、例えば超音波処置具1の先端側に設けた。 [0070]

このことにより、超音波処置の際に発生する煙やミストを、吸引装置30により、流路 101,12,14r,26を介して効果的に吸引することができるので、術者の視野を 常に確保したまま、処置具を用いた処置を確実に行うことができる。

[0071]

また、超音波処置直後、摩擦熱により高温となった把持部5及びプローブ8に、送水・ 送気装置29により、流路10r, 12, 13r, 26を介して開口部35から流体を送 水または送気することができるため、処置後、発熱した把持部5またはプローブ8を迅速 に冷却できる。

[0072]

さらに、挿入部4に流体を送水または送気するための流路と、体腔内から流体を吸引す る流路との、2つの流路を設ける必要がなくなる。

[0073]

以上のことから、術者にとって、使い勝手を向上した超音波処置具を提供することがで きる。

[0074]

尚、以下、変形例を示す。

本実施の形態においては、開口部35から送水または送気される流体は、処置後の把持 部5及びプローブ8を冷却するために用いられると示した。これに限らず、流体は、体腔

50

20

10

内に送気または送水されることにより、体腔内の洗滌に用いられてもよい。 【0075】

このことによれば、超音波処置具1の別ポートからの送水吸引管の挿入を省略すること ができる他、超音波処置具を体腔内から抜去した後、送水吸引管のみを挿入する手間を省 略することができる。

[0076]

(第2実施の形態)

図10は、本発明の第2実施の形態を示す超音波処置具を挿入部の一部のみ断面にして 示した図、図11は、図10の挿入部の基端部側の拡大断面図、図12は、図10の挿入 部の先端部側の拡大断面図である。

[0077]

本実施の形態の超音波処置具の構成は、上記図1~図9に示した第1実施の形態の超音 波処置具1と比して、送水送気ポート13と吸引ポート14とを、シース9の本体部10 に設けずに、回動ノブに設ける点が異なる。よって、この相違点のみを説明し、第1実施 の形態と同様の構成には同じ符号を付し、その説明は省略する。

【0078】

図10に示すように、超音波処置具201は、体腔内に挿入される細長い挿入部4を有 して構成されており、挿入部4の先端側に、処置部である把持部5が配設されている。ま た、挿入部4の少なくとも一部、例えば基端側は、操作部の筒状部材である回動ノブ37 に被覆されている。その結果、回動ノブ37から把持部5及びプローブ8の先端側が突出 されている。尚、回動ノブ37は、挿入部4の軸心を回転中心として該挿入部4を軸回り 方向に回転操作するものであり、機能は、第1の実施の形態の回動ノブ3と略同一のもの である。

20

10

[0079]

また、挿入部4の基端側の外周と、回動ノブ37の内周との間に、流路である空間38 が形成されている。即ち、挿入部4の基端側は、回動ノブ37の内周の空間38に挿通さ れている。また、回動ノブ37は、操作部の固定ハンドル2の先端に接続されている。 【0080】

さらに、固定ハンドル2に、把持部5の一対のジョーを開閉操作する可動ハンドル6が 、枢支軸を介して回動自在に取り付けられている。また、固定ハンドル2には、挿入部4 30 内の管路プローブチャンネル46に挿通された、先端が把持部5に接続され、基端が振動 子7に接続された細長のプローブ8が取り付けられている。

[0081]

また、図11に示すように、挿入部4の内部であって、挿入部4の内周と、管路プロー ブチャンネル46の外周との間に、例えば可動ハンドル6の操作を把持部5に伝達する、 可動ハンドル6と把持部5とを接続する駆動軸39が挿通される駆動軸チャンネル40が 配設されている。尚、駆動軸チャンネル40は、流路も構成している。

[0082]

尚、駆動軸チャンネル40の先端側は、図12に示すように、挿入部4の先端側において、例えば把持部5に対向する位置において開口部41として開口されている。また、駆動軸チャンネル40は、回動ノブ37の空間38と挿通している。尚、開口部41は、把持部5よりプローブ8の先端側の近傍位置に設けられている。即ち、開口部41は、少なくともプローブ8の先端側に対して開口されている。

[0083]

さらに、回動ノブ37に、送水送気ポート13が設けられている。送水送気ポート13 は、流路13rを有しており、該流路13rは、回動ノブ37の空間38と連通している 。即ち、流路13rは、駆動軸チャンネル40とも連通している。尚、送水送気ポート1 3は、上述した第1実施の形態同様、送水・送気装置29とチューブ32を介して接続さ れている。 【0084】

また、回動ノブ37に、吸引ポート14が設けられている。吸引ポート14は、流路1 4 r を有しており、該流路14 r は、回動ノブ37の空間38と連通している。即ち、流 路14 r は、駆動軸チャンネル40とも連通している。尚、吸引ポート14は、上述した 第1実施の形態同様、吸引装置30とチューブ32を介して接続されている。 【0085】

(11)

また、挿入部4内におけるプローブ8の中途位置に、外周面が、管路プローブチャンネル46の内周に密着するゴムライニング44がプローブ8を覆うように設けられている。 さらに、固定ハンドル2の内周に配設された部材と、固定ハンドル2内に挿通されたプロ ーブ8との間にも、先端リング68,ゴムライニング69が配設されている。

【0086】

尚、このように構成された超音波処置具201が配設される超音波処置装置の構成は、図6に示した第1実施の超音波処置装置の構成と同一であるため、その説明は省略する。 【0087】

次に、このように構成された本実施の形態の超音波処置具201の作用について説明す る。尚、以下、処置の際の超音波処置装置100の制御動作は、図8に示した第1実施の 形態の制御動作と同様であるため、その説明は省略する。よって、以下、流体を送水また は送気する際、及び流体を吸引する際の超音波処置具201の流路における流体の流れの みを本実施の形態の作用として説明する。

【0088】

先ず、送水送気ポート13と送水・送気装置29とを、チューブ32で接続し、さらに 20 、吸引ポート14と吸引装置30とを、チューブ32で接続した後、超音波処置具201 の挿入部4を体腔内に挿入して、体腔内の処置対象組織の処置を行う。

[0089]

その後、一方、処置の際に発生するミスト等の流体を吸引する際は、開口部41から、 吸引装置30により吸引された流体は、駆動軸チャンネル40に進入した後、流路38, 14rを流れて、吸引ポート14に接続されたチューブ32を介して、吸引装置30より 排出される。

【0090】

この際、回動ノブ37内に入り込んだ流体は、プローブ8に設けられたゴムライニング 44があるため、管路プローブチャンネル46に流入しない構成となっている。また固定 30 ハンドル2の内周にも、先端リング68とゴムライニング69とが設けられているため、 吸引した流体は流入しない構成となっている。

[0091]

他方、送水・送気装置29から供給された流体は、チューブ32を介して、送水送気ポ ート13の流路13rに進入した後、空間38、駆動軸チャンネル40を流れて、先端開 口部41から、把持部5及びプローブ8に送水・送気される。

[0092]

このように、本実施の形態を示す超音波処置具201においては、送水送気ポート13 及び吸引ポート14を、回動ノブ37に設けた。また、挿入部4内に配設された駆動軸3 9が挿通される駆動軸チャンネル40を、流体を送水または送気する際の、流体を吸引す る際の流路として用いた。即ち駆動軸チャンネル40は、流体を送水または送気するため の流路であるとともに、体腔内から流体を吸引する流路を兼ねていると示した。 【0093】

40

10

このことにより、シース9を用いなくとも、超音波処置具201に流体が流れる流路を 形成することができるため、上述した第1実施の形態に比べ、製造コストを削減すること ができる。

[0094]

また、回動ノブ37を回動させる際、送水送気ポート13及び吸引ポート14を、回動 ノブの回動に対して接触しない位置に移動させることができる。

[0095]

10

30

50

尚、その他の効果は、上述した第1実施の形態の超音波処置具と同一である。

[0096]

(第3実施の形態)

図13は、本発明の第3実施の形態を示す超音波処置具を挿入部の一部のみ断面にして 示した図、図14は、図13中のXIV-XIV線に沿う断面図、図15は、図13の挿 入部の基端部側の拡大断面図、図16は、図13の挿入部の先端部側の拡大断面図、図1 7は、図16中のXVII-XVII線に沿う断面図である。 [0097]

(12)

本実施の形態の超音波処置具の構成は、上記図10~図12に示した第2実施の形態の 超音波処置具1と比して、回動ノブを挿入軸の先端側に延長し、該延長した部位に、送水 送気ポート13及び吸引ポート14を設けた点が異なる。よって、この相違点のみを説明 し、第2実施の形態と同様の構成には同じ符号を付し、その説明は省略する。 [0098]

図13に示すように、超音波処置具301は、体腔内に挿入される細長い挿入部4を有 して構成されており、挿入部4の先端側に、処置部である把持部5が配設されている。ま た、挿入部4の少なくとも一部、例えば基端側は、操作部の筒状部材である回動ノブ42 によって被覆されている。即ち、回動ノブ42から把持部5及びプローブ8の先端側が突 出されている。

[0099]

尚、回動ノブ42は、挿入部4の軸心を回転中心として該挿入部4を軸回り方向に回転 20 操作するものであり、機能は、第2の実施の形態の回動ノブ37と略同一のものであるが 、第2実施の形態の回動ノブ37より、挿入部4の先端方向に延長されて形成さている。 また、回動ノブ42は、操作部の固定ハンドル2の先端に接続されている。

[0100]

さらに、固定ハンドル2に、把持部5の一対のジョーを開閉操作する可動ハンドル6が 、枢支軸を介して回動自在に取り付けられている。また、固定ハンドル2には、挿入部4 内の管路プローブチャンネル46に挿通された、先端が把持部5に接続され、基端が振動 子7に接続された細長のプローブ8が取り付けられている。尚、管路プローブチャンネル 46の内周とプローブ8の外周との間に、図15に示すように、流路312が形成されて いる。

[0101]

また、挿入部4内におけるプローブ8の基端側の位置に、外周面が、管路プローブチャ ンネル46の内周に密着する断面形状で、例えば円形のゴムライニング44が設けられて いる。

[0102]

さらに、挿入部4内におけるプローブ8の先端側の位置に、外周面が、管路プローブチ ャンネル46の内周に密着する断面形状で、例えば楕円形のゴムライニング45が設けら れている。

[0103]

詳しくは、図17に示すように、管路プローブチャンネル46内であって、プローブ8 40 の外周に、2つの当接部45tが管路プローブチャンネル46の内周と当接する、ゴムラ イニング45が被覆されている。

[0104]

2 つの当接部 4 5 t が区切られた、ゴムライニング 4 5 と管路プローブチャンネル 4 6 の内周との間には、先端流路47が形成されている。尚、先端流路47は、流路312と 連通しており、図16に示すように、挿入部4の先端側において、例えば把持部5に対向 する位置において開口部48として開口されている。尚、開口部48は、把持部5よりプ ローブ8の先端側の近傍位置に設けられている。即ち、開口部48は、少なくともプロー ブ8の先端側に対して開口されている。 [0105]

尚、ゴムライニング45は、該ゴムライニング45と管路プローブチャンネル46の内 周との間に、先端流路47が形成されるのであれば、楕円形に限定されない。 【0106】

(13)

回動ノブ42の挿入軸先端側に延長された部位に、送水送気ポート13が、図14に示 すように、挿入部4に設けられた孔43に嵌合されて設けられている。尚、この際、送水 送気ポート13は、回動ノブ42の挿入軸先端側に延長された部位に、挿入部4とは略垂 直に、駆動軸チャンネル40と干渉しないように設けられている。

[0107]

送水送気ポート13は、流路13rを有しており、該流路13rは、流路312と連通 している。即ち流路13rは、先端流路47とも連通している。尚、送水送気ポート13 10 は、上述した第1,2実施の形態同様、送水・送気装置29とチューブ32を介して接続 されている。

【0108】

また、回動ノブ42の挿入軸先端側に延長された部位に、吸引ポート14が、図14に 示すように、挿入部4に設けられた孔43に嵌合されて設けられている。尚、吸引ポート 14は、回動ノブ42の挿入軸先端側に延長された部位に、挿入部4とは略垂直に、駆動 軸チャンネル40と干渉しないように設けられている。

[0109]

吸引ポート14は、流路14rを有しており、該流路14rは、流路312と連通して いる。即ち流路14rは、先端流路47とも連通している。尚、吸引ポート14は、上述 した第1,2実施の形態同様、吸引装置30とチューブ32を介して接続されている。 【0110】

尚、このように構成された超音波処置具301が配設される超音波処置装置の構成は、図6に示した第1実施の超音波処置装置の構成と同一であるため、その説明は省略する。 【0111】

次に、このように構成された本実施の形態の超音波処置具301の作用について説明す る。尚、以下、処置の際の超音波処置装置100の制御動作は、図8に示した第1実施の 形態の制御動作と同様であるため、その説明は省略する。よって、以下、流体を送水また は送気する際、流体を吸引する際の超音波処置具301の流路における流体の流れのみを 本実施の形態の作用として説明する。

[0112]

先ず、送水送気ポート13と送水・送気装置29とを、チューブ32で接続し、さらに、吸引ポート14と吸引装置30とを、チューブ32で接続した後、超音波処置具301の挿入部4を体腔内に挿入して、体腔内の処置対象組織の処置を行う。

 $\begin{bmatrix} 0 & 1 & 1 & 3 \end{bmatrix}$ 

その後、一方、処置の際に発生するミスト等の流体を吸引する際は、開口部48から、吸引装置30により吸引された流体は、先端流路47に進入した後、流路312,14r を流れて、吸引ポート14に接続されたチューブ32を介して、吸引装置30より排出される。

[0114]

このとき、管路プローブチャンネル46内に入り込んだ流体は、ゴムライニング44に より固定ハンドル2内に流入しない構成となっている。

【0115】

他方、送水・送気装置29から供給された流体は、チューブ32を介して、送水送気ポ ート13の流路13rに進入した後、流路312,先端流路47を流れて、開口部48か ら、把持部5またはプローブ8に送水・送気される。

[0116]

このように、本実施の形態を示す超音波処置具301を構成しても、上述した第2実施の形態の超音波処置具201と同様の効果を得ることができる。

[0 1 1 7]

30

20

尚、以下、変形例を示す。

図18は、図1、図10、図13の超音波処置具のプローブの把持部に対向する位置に 溝を設けた変形例を示す部分断面図である。

(14)

[0 1 1 8[·]]

図18に示すように、把持部5に接続されたプローブ8の先端部であって、接続面の裏面8rに、例えば5個の溝49を形成しても良い。このように、把持部5に接続されるプローブ8の裏面8rに、5個の溝49を形成することにより、プローブ8の裏面8rの表面積が溝49により大きくなることから、処置後、プローブ8に溜まった熱が放熱しやすくなり、流体をプローブ8に送水または送気する他、プローブ8の温度を下げることができる。

[0119]

また、この場合であっても、プローブ8に対する流体の送水または送気を行ってもよい。さらに、溝49の数は、5個に限定されず、溝49は、把持部5と噛み合う面以外ならいくつ形成しても良い。また溝49の形状や方向は、任意に設定することができる。 【0120】

尚、以下、さらに別の変形例を示す。

図19は、図1の超音波処置具に、送水送気ポートと吸引ポートとを共通化したポート を1つ設けた変形例を示す部分断面図、図20は、図19の挿入部の基端部側の拡大断面 図、図21は、図19の超音波処置具を有する超音波処置装置の構成の概略を示すブロッ ク図、図22は、図21の超音波処置装置のさらに別の構成の概略を示すブロック図であ る。

[0121]

上述した第1実施の形態の超音波処置具1においては、本体部10に、送水送気ポート 13及び吸引ポート14の2つのポートが設けられていると示した。これに限らず、本体 部10に、送水送気ポート13と吸引ポート14とを共用化したポート323を1つだけ 設けても良い。即ちポート323は、流入口と流出口とを構成する。

[0122]

具体的には、図19に示すように、本体部10に、送水・送気装置29(図21参照) 及び吸引装置30(図21参照)と、接続するための共用ポート323が設けられている。図20に示すように、共用ポート323は、流路323rを有しており、該流路323 rは、本体部10の流路10r(101)と連通している。即ち、流路323rは、流路 12とも連通している。

[0123]

尚、共用ポート323は、把持部5及びプローブ8の先端側からなる処置部に対し供給 される流体の流路12への供給と、体腔内から吸引される流体の流路12からの排出との 少なくとも一方を行う流出入口を構成している。

 $\begin{bmatrix} 0 & 1 & 2 & 4 \end{bmatrix}$ 

また、図21に示すように、共用ポート323と、送水・送気装置29及び吸引装置3 0とは、切換弁64が中途位置に設けられたチューブ32により接続されている。この際 、共用ポート323の外周に、チューブ32の一端が被覆される。切換弁64は、送水・ 送気装置29からの流体の送水または送気動作と、吸引装置30による流体の吸引動作を 一方に切り換えるものである。

【0125】

尚、共用ポート323と、送水・送気装置29及び吸引装置30とのチューブ32によ る接続は、図22に示すように、共用ポート323に対して、送水・送気装置29と吸引 装置30とを別々に接続しても良い。この場合、切換弁64を用いるよりも、簡単な機構 で安価に超音波処置装置100を構成することができる。

【0126】

ここで、一方、送水・送気装置29より流体が送気、送水された場合は、流体は、切換 弁64により、送水・送気装置29と接続されたチューブ32を介して、共用ポート32

10

30

20

3の流路323rに進入した後、流路10r(101), 12を通過して、先端流路26 (図5参照)の先端開口部35(図4参照)から、把持部5またはプローブ8に送水・送 気される。

 $\begin{bmatrix} 0 & 1 & 2 & 7 \end{bmatrix}$ 

他方、先端開口部35から、吸引装置30により流体が吸引された場合は、流体は、切 換弁64により、先端開口部35から先端流路26に進入した後、流路12,10r(1 01)を通過して、共用ポート323に接続されたチューブ32を介して、吸引装置30 より排出される。

 $\begin{bmatrix} 0 & 1 & 2 & 8 \end{bmatrix}$ 

このように、超音波処置具に、送水または送気用の及び吸引用のポートを1つだけ設け 10 ても上述した第1実施の形態と同様の効果を得ることができる。尚、このような構成は、 上述した第2実施の形態の超音波処置具201、第3実施の形態の超音波処置具301に 適用してもよい。

[0129]

(第4実施の形態)

図23は、本発明の第4実施の形態を示す超音波処置具を挿入部の一部のみ断面にして 示した図、図24は、図23の挿入部の先端部側を拡大して示した図、図25は、図24 のスライダ部材を挿入軸方向先端に移動させた状態を示す図、図26は、図25中のⅠⅠ X V I – I I X V I 線に沿う断面図である。

[0130]

本実施の形態の超音波処置具の構成は、上記図1~図9に示した第1実施の形態の超音 波処置具1、上記図10~図12に示した第2実施の形態の超音波処置具201、上記図 13~図17に示した第3実施の形態の超音波処置具301と比して、把持部5及びプロ ーブ8を冷却するに際し、流体を用いない点が異なる。よって、この相違点のみを説明し 、第1~第3実施の形態と同様の構成には同じ符号を付し、その説明は省略する。  $\begin{bmatrix} 0 & 1 & 3 & 1 \end{bmatrix}$ 

図23に示すように、超音波処置具401は、体腔内に挿入される細長い挿入部4を有 して構成されており、挿入部4の先端側に、処置部である把持部5が配設されている。ま た、挿入部4の基端側は、操作部の回動ノブ50に被覆されている。

[0 1 3 2]

尚、回動ノブ50は、挿入部4の軸心を回転中心として該挿入部4を軸回り方向に回転 操作するものであり、機能は、第1の実施の形態の回動ノブ3と略同一のものである。ま た、回動ノブ50は、操作部の固定ハンドル2の先端に接続されている。

[0133]

さらに、固定ハンドル2に、把持部5の一対のジョーを開閉操作する可動ハンドル6が 、枢支軸を介して回動自在に取り付けられている。また、固定ハンドル2には、先端が把 持部5に接続され、基端が振動子7に接続された細長のプローブ8が取り付けられている

[0134]

また、挿入部4の基端側であって、回動ノブ50の先端近傍に、挿入部4を覆うスライ 40 ダ受け51が設けられており、該スライダ受け51は、基端部が回動ノブ50の先端側の 内周と、挿入部4の外周との間に固定されている。

 $\begin{bmatrix} 0 & 1 & 3 & 5 \end{bmatrix}$ 

スライダ受け51の先端に、ストッパ54が被覆されており、スライダ受け51のスト ッパ54とスライド受け51の基端部との間に、Cリング52,53が、それぞれ被覆さ れている。

[0136]

スライダ受け51を覆うように、挿入部4の外周には、スライダ部材55が被覆されて いる。スライダ部材55の基端部に、フランジ状の保持部56が設けられており、該保持 部56の内周には、図24に示すように、スライダ受け51と摺動自在に当接する凸部5

20

7が形成されている。

 $\begin{bmatrix} 0 & 1 & 3 & 7 \end{bmatrix}$ 

さらに、図25、図26に示すように、スライダ部材55によって、被覆された挿入部4の外周であって、スライダ受け51が設けられていない部位には、挿入部4に沿って直線状のスライダ63が形成されている。

[0138]

また、スライダ部材550先端部に、接続部58が形成されており、該接続部58に、 挿入部4に沿って細長の板バネ59の基端部が接続されている。板バネ59の先端部に、 湿らせたガーゼ等の冷却部60が設けられており、該冷却部60は、図24に示すように 、通常は、把持部5を支持する先端カバー61に形成されたテーパ面62に当接している。

[0139]

次に、このように構成された本実施の形態の作用について説明する。

把持部5による体腔内の処置対象組織の超音波処置終了後、図24に示す位置から図25に示す位置に、スライダ部材55をスライド移動させることで、テーパ面62に当接している冷却部60を、プローブ8の先端側に当接させる。このことにより、プローブ8の 先端側は冷却される。

[0140]

尚、この際、図25に示すように、スライダ部材55は、凸部57がストッパ54とC リング52とにより挿入軸方向の移動が規制されることにより、固定される。

[0 1 4 1]

プローブ8の冷却後、図25に示す位置から、図24に示す位置にスライダ部材55を スライダ移動させる。この際、スライダ部材55の保持部56の基端側を、回動ノブ50 の先端側に突き当たるまで移動させることで、凸部57はCリング52,53を押し潰し ながら基端側にスライド移動する。

[0142]

また、スライダ部材55がスライド移動すると、接続部58及び板バネ59も同時に基 端側に移動する。尚、接続部58がスライド移動する際は、挿入部4の外周に設けられた スライダ63により、挿入軸に沿って、回転することなくスライド移動するようガイドさ れる。

[0143]

また、冷却部60は、先端カバー61のテーパ面62を摺動しながら、基端側にスライド移動する。この際、図24に示すように、板バネ59の先端側は湾曲する。

[0144]

図24の位置に移動されたスライダ部材55は、凸部57がCリング53と回動ノブ50とにより挿入軸方向の移動が規制されることにより、固定される。

このように、本実施の形態の超音波処置具401においては、処置後のプローブ8を冷却する際、流体を用いずに、挿入部4に被覆されたスライダ部材55を挿入軸方向にスライド移動させることにより、冷却部60を、プローブ8に当接させることにより行うと示した。

[0146]

このことにより、超音波処置具を送水・送気装置や吸引装置と接続しなくても、超音波 処置により摩擦熱で高温となったプローブを冷却することができるため、低コストにて、 処置後のプローブを冷却することができる。

[0147]

尚、この場合、超音波処置中に発生する煙やミスト等は吸引することができないが、本 実施の形態を、上述した第1~第3実施の形態と組み合わせて用いることにより、煙やミ スト等の吸引も実現できる。

[0148]

50

40

20

尚、以下、変形例を示す。

上述した第1~第4の実施の形態においては、医療用処置具には、凝固切開用の超音波 処置具を例に挙げて説明したが、これに限らず、熱を利用して処置を行う医療用処置具ま たは内視鏡下用医療用処置具であれば、本実施の形態が適用可能であるということは、勿 論である。

[0149]

具体的には、高周波電流によるジュール熱等を利用した高周波処置具、レーザー光を利 用したレーザー処置具、電磁波を利用した R F 処置具、発熱鉗子等により熱を発生させて 処置を行う処置具等にも適用することができることは云うまでもない。

[0150]

(第5実施の形態)

図27は、本発明の第5実施の形態を示す超音波処置具を挿入部の一部のみ断面にして 示した図、図28は、図27の挿入部の基端部側の拡大断面図、図29は、図28中のII XIX-IIXIX線に沿う断面図、図30は、図27の挿入部の先端側の拡大断面図、図31は 、図30中のIIIXI-IIIXI線に沿う断面図、図32は、図27の超音波処置具を有する超 音波処置装置の構成の概略を示すブロック図である。

[0151]

図27に示すように、超音波処置具501は、体腔内に挿入される細長な挿入部4を有 して構成されており、挿入部4の先端側に、処置部である把持部5が配設されている。尚 、把持部5は、例えば開閉自在な一対のジョーから構成されており、該ジョーにより、体 腔内の処置対象組織を把持して加熱し、凝固切開等の処置を行う。 【0152】

20

30

10

また、挿入部4の基端側は、操作部である回動ノブ503の先端に接続されている。尚、回動ノブ503は操作部である固定ハンドル2の先端に接続されている。回動ノブ50 3は、挿入部4の軸心を回転中心として、該挿入部4を軸周り方向に回転操作するもので ある。

**[**0153**]** 

さらに、固定ハンドル2に、把持部5の一対のジョーを開閉操作する可動ハンドル6が 、枢支軸を介して回動自在に取り付けられている。また、固定ハンドル2に、先端が把持 部5に接続され、基端が振動子7に接続された細長なプローブ8が、挿入部4に挿通され て取り付けられている。

[0154]

尚、プローブ8は、電源本体から供給された電気エネルギである電力により発生する熱 を利用して処置対象組織に対し、凝固等の各種処置を行うものであることから、プローブ 8の先端側である把持部5に接続された部位は、処置部を構成している。

【O155】

また、挿入部4の外周、具体的には、挿入部4の把持部5及びプローブ8の先端側を除いた外周に、筒状部材である送水吸引シース509が装着されている。シース509から把持部5及びプローブ8の先端側が突出されている。尚、シース509は、挿入部4の外周の少なくとも一部に対して着脱可能である。即ち、挿入部4の少なくとも一部は、シース509内に挿通されている。

[0156]

図28に示すように、シース509は、例えばフランジ状の本体部510と、該本体部 510の該中央から先端側に延出した、本体部510より小径な挿入部511と、本体部 510の該中央から基端側に延出した、本体部510より小径な接続部515とを有して 主要部が構成されている。

 $\begin{bmatrix} 0 & 1 & 5 & 7 \end{bmatrix}$ 

挿入部511の孔の内径は、挿入部4の外径より大径に形成されている。このことにより、挿入部511の内周と挿入部4の外周との間に流路512が形成されている。また、本体部510に、該本体部510を先端から基端まで連通する孔に略垂直な流路510r

50

、5101が形成されている。尚、流路510r、5101は、流路512に連通している。

【0158】

さらに、本体部510に、後述する送水吸引装置529(図32参照)と接続するため の流入口である送水ポート513が挿入部511に対し略垂直となるように設けられてい る。送水ポート513は流路513rを有しており、該流路513rは本体部510の流 路510rと連通している。即ち、流路513rは流路512とも連通している。尚、送 水ポート513は、図示しない送気装置と接続可能であってもよい。

 $\begin{bmatrix} 0 & 1 & 5 & 9 \end{bmatrix}$ 

また、図29に示すように、本体部510に、後述する排煙機能を有する気腹器530 10 (図32参照)と接続するための流出口である吸引ポート514が、挿入部511に対し 略垂直になるように設けられている。吸引ポート514は、流路514rを有しており、 該流路514rは、本体部510の流路5101と連通している。即ち、流路514rは 、流路512とも連通している。

[0160]

尚、送水ポート513、吸引ポート514は、超音波処置具501と干渉しない範囲で あれば、挿入部511に対して略垂直に限らず、本体部510に、取り付け角度を自由に して設けることができる。

 $\begin{bmatrix} 0 & 1 & 6 & 1 \end{bmatrix}$ 

また、送水ポート513、吸引ポート514は、把持部5及びプローブ8の先端側から 20 なる処置部に対し供給される流体の流路512への供給と、体腔内から吸引される流体の 流路512からの排出との少なくとも一方を行う流出入口を構成している。

【0162】

送水ポート513の外周に、送水ポート513と送水吸引装置529とを接続する、後述する送水チューブ531(図32参照)の一端が被覆される。尚、送水チューブ531 は、送水吸引装置529に限らず、図32に示す超音波吸引処置具532にも接続するこ とができる。この場合、送水ポート513は超音波吸引処置具532の図示しない送水ポ ートと共通の形状に形成される。

[0163]

図29に示すように、吸引ポート514の突出した先端に、吸引ポート514と気腹器 30 530とを接続する、後述する排気チューブ533が接続できるように、排気チューブ5 33の図示しないルアロックコネクタと接続するためのルアロック口金516が設けられ ている。

[0164]

図28に示すように、本体部510の接続部515に、基端側に向けて開口する凹部5 17が設けられており、凹部517に、例えばOリング等からなる弾性部材518が嵌入 されている。

[0165]

弾性部材518のOリングの孔の内径は、挿入部4が挿入可能な程度に挿入部4の外径 よりも小さく形成されている。弾性部材518のOリングの孔に挿入部4が挿入された際 40 、弾性部材518が、凹部517の内周面と挿入部4との間において弾性変形されること により、挿入部4と弾性部材518との間の気密が保たれる。即ち、弾性部材518は、 弾性変形された状態で挿入部4の外周と密着される。

[0166]

また、接続部515の基端部519に、凹部517の開口が形成されており、該開口の 内周は、挿入部4の外径よりも大きく、回動ノブ503の先端側の径よりも小さく形成さ れている。

[0167]

超音波処置具501の回動ノブ503の先端に、少なくとも1つの係合手段である係合 要素544が設けられており、シース509の接続部515の基端部519に、係合要素

544が係合される係合手段である係合受け部545が設けられている。 【0168】

係合要素544と係合受け部545とは、超音波処置具501の挿入部4がシース50 9内に挿入された後、係合要素544と係合受け部545とが係合されると、図30に示 すように、シース509の先端開口部543が、図30中下側であるプローブ8側に位置 されるよう、基端部519、回動ノブ503においてそれぞれ設けられている。 【0169】

挿入部4がシース509内に挿入され、係合要素544と係合受け部545とが係合さ れた状態で、回動ノブ503が回転されると、係合要素544と係合受け部545との係 合により、回動ノブ503とシース509とが連動して回転される。 【0170】

この際、シース509の先端開口部543とプローブ8との位置関係は、回動ノブ50 3とシース509とが連動して回転されることにより変わらないことから、即ち、開口部 543は、常にプローブ8及び把持部5の近傍に位置することから、流路513r、51 0r、512、526を通過した流体は、確実に、プローブ8及び把持部5に供給され、 その結果、プローブ8及び把持部5が冷却される。尚、開口部543は、把持部5よりプ ローブ8の先端側の近傍位置に位置されている。即ち、開口部543は、少なくともプロ ーブ8の先端側に対して開口されている。

[0171]

図30に示すように、挿入部4にシース509を装着したときのシース509の先端は 20 、把持部5及びプローブ8の先端側が露出されるように、把持部5よりも基端側に位置す る。また、シース509の先端側は、テーパ状に形成されている。

[0172]

図31に示すように、挿入部511の先端側の内周に、挿入部4の外周と当接する支持 部524が形成されており、また、挿入部511の先端側の内周に円弧525が形成され ている。円弧525も、係合要素544と係合受け部545との係合位置により、挿入部 4にシース509を装着した際、図30中下側、即ち、プローブ8側に位置する。 【0173】

円弧525と挿入部4の外周との間に、先端流路526が形成されている。先端流路5 26は、挿入部511の内周と挿入部4の外周との間に形成された流路512と連通して 30 いる。

[0174]

図32に示すように、超音波処置装置500は、超音波処置具501と超音波吸引処置 具532と電気メス本体527と超音波出力装置528と送水吸引装置529と気腹器5 30と電気メススイッチ534と超音波振動スイッチ535と送水吸引スイッチ536と を有して主要部が構成されている。

[0175]

超音波処置具501の振動子7は、超音波ケーブル537によって超音波駆動を行う超音波出力装置528に接続されている。また、超音波吸引処置具532の図示しない振動子も同様に、超音波出力装置528に接続可能である。また、超音波出力装置528に、振動子7を発振させるための超音波振動スイッチ535が接続されている。 【0176】

40

10

超音波出力装置528と電気メス527と送水吸引装置529と気腹器530とは、連動して作動できるよう通信ケーブル538により互いに電気的に接続されている。さらに、送水吸引装置529は、送水チューブ531により超音波処置具501の送水ポート5 13に接続されている。尚、送水吸引装置529に接続された送水チューブ531は、超音波吸引処置具532の図示しない送水ポートにも接続可能である。

[0177]

吸引部である気腹器530は、排気チューブ533により超音波処置具501の吸引ポ ート514に接続されている。気腹器530は、さらに送気チューブ539により、既知

(20)

のトロッカ540に接続されている。尚、トロッカ540には、排気チューブ533も接 続可能である。

【0178】

また、電気メス527は、電気メスケーブル541により超音波処置具501と超音波 吸引処置具532とにそれぞれ接続可能である。さらに、送水吸引装置529は、吸引チ ューブ542により超音波吸引処置具532に接続可能である。

[0179]

送水吸引装置529により流体が送水された場合、流体は、送水吸引装置529に接続 された送水チューブ531を介して、送水ポート513の流路513rに進入した後、流 路510r、流路512を通過して、先端流路526の先端開口部543から、把持部5 及びプローブ8の先端側に送水される。尚、この場合、送水吸引装置529は送気装置で もよく、運ばれる流体は気体であっても良い。

[0180]

ここで、先端開口部543の開口面積は、流路512の管路面積よりも小さく形成されている。よって、先端開口部543にて先端流路526がノズル状に絞られるため、先端開口部543から送水される流体の流速が早くなる。

[0 1 8 1]

これは、流路512の断面積をA1、開口部543の断面積をA2とすると、既知のベルヌーイの定理により、V1A1=V2A2(V1:流路内流速、V2開口部流速)の関係が成り立ち、V1を一定とすると流速V2は、V2=V1(A1/A2)の関係から、既知のベンチュリー効果により、管路断面積A1に対して開口断面積A2の値が小さいと、V2が大きくなるためである。

【0182】

よって、本実施の形態のように、流路512の管路面積に対して小さい面積の開口部5 43を一箇所設けることにより、開口部543における流速V2は、流路512での流速 V1と比較して大きくなる。

[0183]

特に、超音波処置具501のシース509の外径を5~10mmとして設計した場合、 断面積A1に対して、断面積A2を30%以下とするのが良く、好ましくは、5~10% がより効果的である。

[0 1 8 4]

また、先端開口部543は、上述したように、プローブ8側に配置されているため、プローブ8及び把持部5の両方に流体が確実に送られる。

【0185】

他方、先端開口部543から、気腹器530により煙、ミスト等の流体が吸引された場合は、流体は、先端開口部543から先端流路526に進入した後、流路512、510 1、514rを通過して吸引ポート514に接続された排気チューブ533を介して、気 腹器530より排出される。

[0186]

次に、このように構成された本実施の形態の超音波処置具501の作用について図27 40 ~図32、及び図33を用いて説明する。図33は、図27の超音波処置具を用いて、体 腔内の処置対象組織の処置を行う際の超音波処置装置の制御方法を示したフローチャート である。

[0187]

先ず、超音波処置具501の挿入部4に、シース509が、該シース509の基端部側 から、挿入部4の先端側に対し回動ノブ503に突き当たるまで装着され、係合要素54 4と係合受け部545とが係合されると、図28に示すように、凹部517の内周面と挿 入部4の外周面との間で弾性部材518が弾性変形され、該弾性部材518が挿入部4に 対し密着される。尚、この際、上述したように挿入部4の外周とシース509の挿入部5 11の内周との間に、流路512が形成される。 10

20

30

[0188]

その後、送水ポート513と送水吸引装置529とが送水チューブ531で接続され、 さらに吸引ポート514と気腹器530とが排気チューブ533で接続された後、超音波 処置具501の挿入部4が体腔内に挿入され、体腔内の処置組織の処置が行われる。 【0189】

尚、以下の動作は、超音波処置装置500の超音波出力装置528内に配設された制御 部である制御手段570から送水吸引装置529及び気腹器530へ、通信ケーブル53 8を介して制御信号が送られることによって行われる。即ち制御手段570の動作制御に より行われる。

[0190]

体腔内の処置対象組織の処置を行う際は、把持部5により処置対象となる処置対象組織 が把持された後、図33に示すように、先ず、ステップS21において、超音波振動スイ ッチとなる超音波振動スイッチ535がオン操作されることにより、超音波出力装置52 8からの電力の供給を受け、振動子7は超音波駆動を行う。

[0191]

振動子7の振動は、プローブ8を介して把持部5に伝達される。このことにより、処置 対象組織は、先端側のプローブ8の振動による処置対象組織との摩擦により加熱され、凝 固切開等の処置が行われる。

[0192]

次いで、ステップ S 2 2 において、送水吸引装置 5 2 9 の作動により、先端開口部 5 4 20 3 から、把持部 5 及びプローブ 8 に対して、流体が送水されているか否かが判定される。 【 0 1 9 3】

一方、先端開口部543から流体が送水されている場合は、ステップS23に分岐し、 送水吸引装置529が停止されることにより、先端開口部543からの流体の送水が停止 され、続くステップS30で超音波振動スイッチ535がオンであることが再度確認され た後、ステップS24に移行する。他方、ステップS22において、先端開口部543か ら流体が送水されていない場合は、ステップS24に移行する。

[0194]

ステップS24では、把持部5により処置対象組織が加熱処置されると連動して、気腹器530が作動されることにより、処置の際に発生したミストや煙の吸引が行われる。具 体的には、気腹器530により吸引された流体は、先端開口部543から先端流路526 に進入した後、流路512、5101、514rを流れて、吸引ポート514に接続され た排気チューブ533を介して、気腹器530より排出される。

[0195]

把持部5による処置対象組織の処置が終了すると、ステップS25において、スイッチ 535がオフ操作されることにより、超音波出力装置528からの電力供給が遮断され、 振動子7が停止する。

[0196]

次いで、振動子7の停止と連動して、ステップS26では、気腹器530が停止される ことにより、処置の際に発生したミストや煙の吸引が停止される。この場合、気腹器53 4 0の停止は、振動子7の停止と同期させずに、振動子7の停止より遅らせてもよい。その 後、ステップS27に移行する。

【0197】

ステップS27では、送水吸引装置529が、あらかじめ設定された時間である数秒間 だけ作動されることにより、把持部5またはプローブ8に対して、先端開口部543から 流体が送水される。

[0198]

具体的には、送水吸引装置529から供給された流体は、送水チューブ531を介して、送水ポート513の流路513rに進入した後、シース509の挿入部511の流路5 12を流れて、先端流路526の先端開口部543から、上述したように、ベンチュリー

10

効果により流速が増した状態で、把持部5またはプローブ8に送水される。 【0199】

このことにより、処置により熱された把持部5またはブローブ8は冷却される。また、 処置により付着した把持部5及びプローブ8の汚れが、流体の送水により除去される。 【0200】

次いで、ステップS28では、先端開口部543から、流体が送水された時間が、あら かじめ設定された数秒間を経過したか否かが判定される。あらかじめ設定された数秒間経 過していなければ、ステップS22に戻り、続くステップS23において、流体の送気ま たは送水時間が数秒間経過後、送水吸引装置529が停止され、続くステップ30におい て、スイッチ535のオフが確認された後、ルーチンが終了される。

[0201]

ステップS28に戻って、先端開口部543から流体が送水された時間が、あらかじめ 設定された数秒間を経過しておれば、ステップS29に移行し、送水吸引装置529が停 止されることにより、先端開口部543からの流体の送水が停止され、その後、ルーチン が終了する。

[0202]

このように、本実施の形態の超音波処置具501においては、超音波処置具501に、 流体を送水するための流路510r、512、513r、526が設けられているととも に、処置の際、体腔内から流体を吸引する流路5101、512、514r、526が設 けられていると示した。また、挿入部4と挿入部511との間に段けられた流路512は 、流体を送水するための流路であるとともに、体腔内から流体を吸引する流路を兼ねてい ると示した。されに、流路510r、5101、512、513r、514r、526の 先端開口部543が、プローブ8の近傍側に位置されるよう、超音波処置具501の先端 側に設けられていると示した。

[0203]

このような構成によれば、超音波処置の際に発生する煙やミストが、気腹器530により、流路5101、512、514r、526を介して効果的に吸引されることから、術者の視野を常に確保したまま、処置具を用いた処置を確実に行うことができる。 【0204】

また、超音波処置後、摩擦熱により高温となった把持部5及びプローブ8に送水吸引装 30 置529により、流路510r、512、513r、526を介して開口部543から、 ベンチュリー効果により流速が増した流体を送水することができるため、処置後発熱した 把持部5及びプローブ8を、先端開口部543の断面積A2を絞り込まない場合よりも少 ない送水量で短時間に冷却することができる。

【0205】

さらに、先端開口部543がプローブ8の近傍に設けられているとともに、係合要素5 44と係合受け部545との係合により、先端開口部543がプローブ8側に位置される ことから、プローブ8がいかなる方向を向いていたとしても、確実に流体をプローブ8に 供給することができることから、発熱源であるプローブ8を、確実に冷却することができ る。

【0206】

以上のことから、術者にとって、使い勝手を向上した超音波処置具501を提供することができる。尚、その他の効果は、上述した第1実施形態の超音波処置具1と同様である。

 $\begin{bmatrix} 0 & 2 & 0 & 7 \end{bmatrix}$ 

尚、以下、変形例を示す。本実施の形態の超音波処置装置500の構成によれば、超音 波出力の際、煙、ミストの吸引のみならず、通信ケーブル538によって気腹器530に 接続された電気メス527から超音波処置具501及び超音波吸引処置具532に高周波 を供給することができる。即ち、高周波出力を行っている際にも超音波出力時と同様に気 腹器530が連動して煙、ミストの吸引を行うことができる。

[0208]

また、先端開口部543から送水される流体は、処置後の把持部5及びプローブ8を冷却するために用いられると示した。これに限らず、本実施の形態においても、流体が体腔内に送水されることにより、流体は、体腔内の洗滌に用いられてもよい。

【0209】

さらに、シース509内に挿入される超音波処置具501は、電気メス527に接続可 能な図示しない高周波処置具であってもよく、この場合であっても、本実施の形態と同様 に、流体の送水及び吸引が可能である。

[0210]

(第6実施の形態)

10

図34は、本発明の第6実施の形態を示す超音波処置具を有する超音波処置装置の制御 方法を示したフローチャートである。

【0211】

本実施の形態の超音波処置具を有する超音波処置装置の制御方法は、図33に示した第、 5実施の形態と比して、把持部5により処置対象組織が加熱処置される前に、気腹器53 0により吸引が行われる点が異なる。尚、超音波処置具、超音波処置装置の構成は、第5 実施形態と同一である。よって、この相違点のみを説明し、第5実施の形態と同様の構成 には同じ符号を付し、その説明は省略する。

[0212]

図34に示すように、先ずステップS31において超音波振動スイッチ535がオン操 20 作され音信号が入力される。次いで、ステップS22において、送水吸引装置529の作 動により、先端開口部543から、把持部5及びプローブ8に対して、流体が送水されて いるか否かが判定される。

[0213]

先端開口部543から流体が送水されている場合は、ステップS23に分岐し、送水吸引装置529が停止されることにより、開口部543からの流体の送水が停止され、続くステップS30において、スイッチ535がオンであることが再度確認された後、ステップS24に移行する。ステップS22において、先端開口部543から流体が送水されていない場合は、ステップS24に移行する。

[0214]

ステップS24では、超音波出力装置528から通信ケーブル538を介して気腹器5 30に制御信号が送られ、気腹器530により、煙等の吸引が開始される。続くステップS32では、気腹器530から通信ケーブル538を介して超音波出力装置528に、吸 引開始の制御信号が送られ、それを受けて超音波出力装置528から振動子7に電力が供 給されて超音波出力が開始される。尚、この超音波出力は、吸引開始後、一定時間経過後 に開始されても構わない。その後、ステップS25に移行する。

[0215]

尚、以下、ステップS25~ステップS29は、上述した第5実施形態のステップS2 5~ステップS29と同一であるため、その説明は省略する。

[0216]

本実施の形態によれば、処置対象組織が超音波を用いて加熱処置される前に、気腹器5 30による吸引を先に作動させることにより、超音波出力を介して処置を行う際のミスト 及び煙が確実に吸引されるため、術者の視野を良好に保ち、使い勝手のよい超音波処置具 501を提供することができる。尚、その他の効果は、上述した第5実施の形態と同様で ある。

[0217]

(第7実施の形態)

図35は、本発明の第7実施の形態を示す超音波処置具のシースの先端側の拡大断面図、図36は、図35中のIIIXVI-IIIXVI線に沿う断面図である。

【 O 2 1 8 】

30

本実施の形態の超音波処置具の構成は、図27~図33に示した第5実施の形態と比して、先端開口部を複数設けた点が異なる。よって、この相違点のみを説明し、第5実施の 形態と同様の構成には同じ符号を付し、その説明は省略する。

(24)

[0219]

図35に示すように、超音波処置具601の挿入部4に、第5実施の形態のシース50 9と同様の構成を有する筒状部材であるシース609を装着したときのシース609の先端は、把持部5及びプローブ8の先端側が露出されるように、把持部5よりも基端側に位置する。

[0220]

図36に示すように、挿入部611の先端部側の内周に、挿入部4の外周と当接する支 10 持部624が形成されており、また、挿入部611の先端部の内周に円弧625が、例え ば4箇所において形成されている。尚、円弧625の形成箇所は、複数であればいくつで もよい。

[0221]

4つの円弧625と挿入部4の外周との間には、4つの先端流路626が形成されており、該先端流路626は、挿入部611の内周と挿入部4の外周との間に形成された流路 612と連通している。

[0222]

4つの円弧625と挿入部4の外周との間に、4つの先端流路626の先端側の開口で ある先端開口部643が、4つ形成されている。尚、本実施の形態における4つの先端開 口部643の断面積の合計は、第5実施形態の先端開口部543の断面積に略等しくなっ ている。尚、4つの先端開口部643は、把持部5よりプローブ8の近傍に、把持部5及 びプローブ8対向して開口され、把持部5よりプローブ8の先端側の近傍位置に位置され ている。即ち、4つの先端開口部643は、少なくともプローブ8の先端側に対して開口 されている。

[0223]

尚、本実施の形態の超音波処置具601においては、上述した第5実施の超音波処置具501の回動ノブ503の先端に設けられた係合要素544及びシース509の接続部5 15の基端部519に設けられた係合受け部545が配設されない構成となっている。 【0224】

このような、本実施の形態の超音波処置具601の構成によれば、先端開口部643が 複数設けられていることにより、上述した第5実施の形態のように、先端開口部643を 、プローブ8に対して位置決めする必要がなくなることから、挿入部4を、シース609 内に対しどの方向に挿入したとしても、送水吸引装置529から流体を送水した際に、プ ローブ8及び把持部5に送水することができる。よって、確実にプローブ8及び把持部5 を冷却することができる。尚、その他の効果は、上述した第5実施の形態と同様である。 【0225】

(第8実施の形態)

図 3 7 は、本発明の第 8 実施の形態を示す超音波処置具の先端側の拡大断面図、図 3 8 は、図 3 7 中におけるIIIXVIII-IIIXVIII線に沿う断面図、図 3 9 は、図 3 7 中におけるI IIXIX-IIIXIX線に沿う断面図である。

[0226]

本実施の形態の超音波処置具の構成は、図27~図33に示した第5実施の形態と比して、シースの挿入部に先端開口部以外の側面開口部が設けられている点が異なる。よって、この相違点のみを説明し、第5実施の形態と同様の構成には同じ符号を付し、その説明は省略する。

【0227】

図37に示すように、超音波処置具701の挿入部4に第5実施の形態のシース509 と同様の構成を有する筒状部材であるシース709を装着したときのシース709の先端 は、把持部5及びプローブ8の先端側が露出されるように、把持部5よりも基端側に位置 30

20

する。

[0228]

また、図38、図39に示すように、挿入部711の先端側の内周に、挿入部4の外周 と当接する支持部724が形成されており、また、挿入部711の先端側の内周に、複数 、例えば4つの円弧725が形成されている。

(25)

【0229】

4 つの円弧725と挿入部4の外周との間に、4 つの先端流路726 が形成されており、該先端流路726 は、挿入部711の内周と挿入部4の外周との間に形成された流路7 12と連通している。

【0230】

また、シース709の挿入部711の先端側に、図38に示すように、側面開口部74 6が、円周上に90度毎に4箇所設けられており、さらに、図39に示すように、シース 709の図38に示す位置よりも基端側にも、図38に示す位置から、円周上に45度そ れぞれずらした位置に、側面開口部746が4箇所設けられている。

[0231]

このような、超音波処置具701の構成によれば、把持部5よりプローブ8の近傍に、 少なくともプローブ8の先端側に対向するよう開口され、把持部5よりプローブ8の先端 側の近傍位置に位置されている先端開口部743からミストや煙を気腹器530にて吸引 する際、例え先端開口部743が組織や血液により塞がれていたとしても、側面開口部7 46から吸引を行うことができる。尚、その他の効果は、上述した第5実施の形態と同様 である。

20

30

40

10

【0232】

(第9実施の形態)

図40は、本発明の第9実施の形態を示す超音波処置具を有する超音波処置装置の構成の概略を示すブロック図、図41は、図40の音波処置装置の制御方法を示したフローチャートである。

 $\begin{bmatrix} 0 & 2 & 3 & 3 \end{bmatrix}$ 

本実施の形態の超音波処置具を有する超音波処置装置の制御方法は、図33に示した第5実施の形態と比して、把持部5による処置対象組織の加熱処置後も、送水のみならず気腹器530により吸引が行われる点が異なる。尚、超音波処置具、超音波処置装置の構成は、第5実施形態と同一である。よって、この相違点のみを説明し、第5実施の形態と同様の構成には同じ符号を付し、その説明は省略する。

【0234】

図40に示すように、超音波処置装置750の気腹器530に、トロッカ540とは異なる別の吸引用のトロッカ790が、排気チューブ783を介して接続されている。尚、本実施の形態においては、シース759は吸引ポートを有していなくともよい。

【0235】

図41に示すように、先ずステップS31において超音波振動スイッチ535がオン操作され信号が入力される。次いで、ステップS41において、送水吸引装置529の作動により、先端開口部から、把持部5及びプローブ8に対して、流体が送水されているか、及び気腹器530の動作により、トロッカ790から煙、ミスト等が吸引されているか否かが判定される。

[0236]

先端開口部543から流体が送水され、トロッカ790により煙、ミスト等が吸引されている場合は、ステップS49に分岐し、送水吸引装置529、気腹器530が停止されることにより、開口部からの流体の送水が停止され、トロッカ790の煙、ミスト等の吸引が停止され、続くステップS50において、スイッチ535がオンであることが再度確認された後、ステップS42に移行する。ステップS41において、先端開口部から流体が送水されていない場合、及びトロッカ790により煙、ミスト等が吸引されていない場合は、ステップS42に移行する。

[0237]

ステップS42では、超音波出力装置528からの電力の供給を受け、振動子7は超音 波駆動を行う。このことにより、処置対象組織は、超音波処置具751の先端側のプロー ブ8の振動による処置対象組織との摩擦により加熱され、凝固切開等の処置が行われる。 【0238】

続く、ステップS43では、超音波出力装置528から通信ケーブル538を介して気 腹器530に制御信号が送られることにより、気腹器530は、トロッカ790により吸 引を開始する。尚、該吸引は、振動子7の超音波駆動と略同時に行われる。

[0239]

把持部5による処置対象組織の処置が終了すると、ステップS44において、スイッチ 10
535がオフ操作されることにより、続くステップS45において、超音波出力装置52
8からの電力供給が遮断され、振動子7が停止する。

[0240]

ステップS46では、送水吸引装置529及び気腹器530が、あらかじめ設定された 時間である数秒間だけ作動されることにより、把持部5またはプローブ8に対して、先端 開口部から流体が送水されるとともに、トロッカ790から、煙、ミスト等が吸引される

[0241]

次いで、ステップS47では、流体が送水された、及び吸引された時間が、あらかじめ 設定された数秒間を経過したか否かが判定される。あらかじめ設定された数秒間経過して いなければ、ステップS41に戻り、続くステップS49において、流体の送水、吸引時 間が数秒間行われた後、送水吸引装置529、気腹器530が停止され、続くステップ5 0において、スイッチ535のオフが確認された後、ルーチンを終了する。

【 O 2 4 2 】

ステップS47に戻って、流体が送水、吸引された時間が、あらかじめ設定された数秒間を経過しておれば、ステップS48に移行し、送水吸引装置529、気腹器530が停止され、その後、ルーチンが終了する。

【0243】

このように、本実施の形態によれば、超音波処置後、吸引動作を延長することができる ため、より長時間、ミストや煙の吸引ができることから、術者の視野を良好に保つことが 30 できる。尚、その他の効果は、上述した第5実施の形態と同様である。

[0244]

(第10実施の形態)

図42は、本発明の第10実施の形態を示す超音波処置具の挿入部の基端部側の拡大断面図、図43は、図42中のIVXIII-IVXIII線に沿う断面図である。

 $\begin{bmatrix} 0 & 2 & 4 & 5 \end{bmatrix}$ 

本実施の形態の超音波処置具の構成は、図27~図33に示した第5実施の形態と比して、回動ノブを回転させた際、送水ポートに接続された送水チューブ、吸引ポートに接続された排気チューブの絡まりを防止する構造を超音波処置具が有している点が異なる。よって、この相違点のみを説明し、第5実施の形態と同様の構成には同じ符号を付し、その説明は省略する。

【0246】

図42に示すように、本実施の形態の超音波処置具801の筒状部材であるシース80 9は、本体部810と該本体部810の内部に設けられた回転部850とから主要部が構成されている。

【 0 2 4 7 】

回転部850の先端側、基端側それぞれに突状部853が形成されており、突状部85 3は、本体部810と回転部850との間の先端側、基端側にそれぞれに配設された弾性 板854に、頂点のみで接触されている。

[0248]

40

挿入部811の孔の内径は、挿入部4よりも大径に形成されている。このことにより、 挿入部811の内周と挿入部4の外周との間に、流路812が形成されている。また、回 転部850に、円周方向に沿って複数の孔852が形成されており、該複数の孔852は 、流路812と、本体部810の内周と回転部850の外周との間の空間851とに連通 している。よって空間851と流路812とは連通している。

【0249】

さらに、図43に示すように、本体部810に、送水吸引装置529と接続するための 流入口である送水ポート813が設けられている。送水ポート813に、送水吸引装置5 29と接続するための送水チューブ531が接続される。

[0250]

送水ポート813は、流路813rを有しており、該流路813rは、本体部810の 流路810rと連通している。さらに、流路813rは空間851と連通しており、これ により、流路813rは流路812と連通している。

**[**0251**]** 

また、本体部810に、排煙機能を有する気腹器530と接続するための流出口である 吸引ポート814が設けられている。吸引ポート814に、気腹器530と接続するため の排気チューブ533が接続される。

[0252]

吸引ポート814は流路814rを有しており、該流路814rは、本体部810の流路8101と連通している。さらに、流路814rは空間851と連通しており、これに より、該流路814rは流路812と連通している。 【0253】

20

30

10

また、送水ポート813、吸引ポート814は、把持部5及びプローブ8の先端側から なる処置部に対し供給される流体の流路812への供給と、体腔内から吸引される流体の 流路812からの排出との少なくとも一方を行う流出入口を構成している。 【0254】

回転部850の基端部819に、基端側に向けて開口する凹部が設けられており、凹部 に、例えばOリング等からなる弾性部材818が嵌入されている。弾性部材818のOリ ングの孔の内径は、挿入部4が挿入可能な程度に挿入部4の外径よりも小さく形成されて おり、弾性部材818のOリングの孔に挿入部4が挿入された際に、弾性部材818は、 凹部と挿入部4との間において弾性変形され、挿入部4と弾性部材818との間で気密が 保たれる。即ち、変形された状態で挿入部4の外周と密着される。また、凹部の開口の内 周は、挿入部4の外径よりも大きく、回動ノブ803の先端側の径よりも小さく形成され ている。

 $\begin{bmatrix} 0 & 2 & 5 & 5 \end{bmatrix}$ 

このような構成を有する超音波処置具801の回転部850は、突状部853の頂点の みで弾性板854に接触しており、他の回転部850の外周部分は接触していないため、 回動ノブ803を回転させると、挿入部4に連動して回転部850は、本体部810に対 して回転する。即ち、本体部810とは別に回転部850のみが回転する。

[0256]

このことにより、回動ノブ803を回転させた際、送水ポート813に接続された送水 チューブ531、吸引ポート814に接続された排気チューブ533の絡まりが防止され る。尚、その他の効果は、上述した第5実施の形態と同様である。

[0257]

(第11実施の形態)

図44は、本発明の第11実施の形態を示す超音波処置具を挿入部の一部のみを断面に して示した図である。

[0258]

本実施の形態の超音波処置具の構成は、図27~図33に示した第5実施の形態と比して、流体の送水を操作性良く行える構造を超音波処置具が有している点が異なる。よって

、この相違点のみを説明し、第5実施の形態と同様の構成には同じ符号を付し、その説明 は省略する。

【0259】

図44に示すように、超音波処置具901の挿入部4に、筒状部材であるシース909 が装着されており、シース909に、流入口を有する送水ポート913が形成されている 。送水ポート913に、送水チューブ931が着脱自在であり、該送水チューブ931は 、流体供給部である流体供給源965に接続されている。尚、この場合、送水チューブ9 31は送水吸引装置529に接続されていても構わない。

[0260]

挿入部4の基端側の操作部である固定ハンドル902に、ピンチバルブ960の切換手 10 段であるレバー964が、取り付け手段である取り付け部967を介して取り付けられて おり、レバー964に、送水チューブ931の一部に当接自在な突起部962が設けられ ている。尚、ピンチバルブ960は、取り付け部967において、固定ハンドル902に 対し着脱自在であっても構わない。

 $\begin{bmatrix} 0 & 2 & 6 & 1 \end{bmatrix}$ 

突起部962は、流体を先端開口部943から送水しない場合は、送水チューブ931 の一部を押圧し、送水チューブ931内を流体が通過しないように送水チューブ931の 一部を押し潰す。

[0262]

送水を行う場合、レバー964の一端側が、図44中、矢印方向に回転されることによ 20 り、レバー964が、支点961を中心に回転され、突起部962が送水チューブ931 から離間する方向に回転される。このことにより、送水チューブ931の流路は、開成さ れることから、把持部5よりプローブ8の近傍に、少なくともプローブ8の先端側に対向 するよう開口され、把持部5よりプローブ8の先端側の近傍位置に位置されている先端開 口部943から流体が送水される。

[0263]

流体の送水を停止させる場合には、術者によるレバー964の操作が解放されることに より、レバー964は、バネ963の作用により、送水チューブ931の一部を押し潰す 元の位置に戻る。

【0264】

このような構成によれば、処置の最中に、流路912を介する先端開口部943からの 流体の送水操作を術者の意図するタイミングで操作性良く容易に行うことができる。尚、 その他の効果は、上述した第5実施の形態と同様である。

[0265]

[付記]

以上詳述した如く、本発明の実施形態によれば、以下の如き構成を得ることができる。 即ち、

(1)体腔内に挿入される細長の挿入部と、

前記挿入部の先端に設けられ、前記体腔内の処置対象組織を加熱して処置を行う処置部と、

40

30

前記挿入部の少なくとも一部が挿通される筒状部材と、

前記挿入部の外周と前記筒状部材の内周との間に形成され、前記処置部に対し供給する流体と前記体腔内から吸引する流体との少なくとも一方が流れる流路と、

前記流路に連通して、前記処置部に対し供給される流体の前記流路への供給と前記体腔内から吸引される流体の前記流路からの排出との少なくとも一方を行う流出入口と、

前記流路に連通して、前記処置部に対向して開口された開口部と、

を具備することを特徴とする医療用処置具。

 $\begin{bmatrix} 0 & 2 & 6 & 6 \end{bmatrix}$ 

(2)体腔内に挿入される細長の挿入部と、

前記挿入部の先端に設けられ、前記体腔内の処置対象組織に熱を付加して処置を行う処 50

(28)

置部と、

前記挿入部の手前側から先端側まで被覆するとともに、前記処置部を先端側外側へ突出して配置させる中空旦つ長尺の筒状部材と、

前記筒状部材に挿通させた前記挿入部と前記筒状部材の内周面との間の空間によって形成され、前記筒状部材の手前側から先端側まで流体の通過が可能な流路と、

前記流路に連通して前記筒状部材の手前側に設けられ、前記流路を介して前記筒状部材の先端側へ供給する前記流体を供給する流体供給源と接続する流体供給用口金と、

前記流路に連通して前記筒状部材の手前側に設けられ、前記流路を介して前記筒状部材の先端側から前記流体を吸引する吸引源と接続する吸引用口金と、

前記流体供給用口金から前記流路を介して前記筒状部材の先端から突出して配置された 前記処置部へ流体を供給可能で、且つ、前記吸引口金から前記流路を介して前記処置部近 傍から前記流体を吸引する前記筒状部材の先端部に設けられた開口部と、

を具備したことを特徴とする医療用処置具。

[0267]

(3)前記筒状部材は、前記内周面の径が挿通された前記挿入部の径よりも大径に形成 されているとともに、前記筒状部材から前記挿入部の先端に設けられた前記処置部が突出 されていることを特徴とする付記1または2に記載の医療用処置具。

[0268]

(4)熱を利用して処置を行うための処置部と体腔内に挿入するための細長い長尺な挿入部とを有する医療用処置具の前記挿入部に着脱自在に取り付けられ、前記挿入部を覆う 筒状部材と前記筒状部材は前記挿入部を通すためのチャンネルを具備し、さらに前記処置 部近辺に開口部を有し、送水、吸引するための流路と前記流路に送水源、吸引源に接続す るための口金とを具備した送水吸引具と、を備えたことを特徴とする医療用処置具。 【0269】

(5)前記チャンネルが、前記挿入部と全周に渡り密着しない形状、寸法を有し、前記 挿入部に組み付けた状態で、前記挿入部との間にできる空間により前記流路を形成するこ とを特徴とする付記4に記載の医療用処置具。

[0270]

(6)前記送水、吸引の制御を、付記1~5に記載の医療用処置具の出力を検知して行うことを特徴とする医療用処置具用送水、吸引制御システム。

[0271]

(7)前記医療用処置具は、超音波凝固切開処置具であることを特徴とする付記6に記載の医療用処置具用送水、吸引システム。

 $\begin{bmatrix} 0 & 2 & 7 & 2 \end{bmatrix}$ 

(8)前記医療用処置具は、高周波処置具であることを特徴とする付記6に記載の医療 用処置具用送水、吸引システム。

[0273]

(9)前記医療用処置具は、レーザー処置具であることを特徴とする付記6に記載の医療用処置具用送水、吸引システム。

【0274】

(10)熱を利用して処置を行うための処置部と体腔内に挿入する細長い長尺な挿入部 とを有する医療用処置具と、前記処置部付近に開口部を有し、前記挿入部内に前記処置部 に送水、吸引する流路が配設され、さらに前記挿入部基端部に、前記流路に送水源、吸引 源と接続するための口金とを具備したことを特徴とする医療用処置具用送水、吸引システ ム。

 $\begin{bmatrix} 0 & 2 & 7 & 5 \end{bmatrix}$ 

(11)前記処置部に送水、吸引を行う際にタイミングと時間とを制御する装置を備えたことを特徴とする付記10に記載の医療用処置具用送水、吸引システム。 【0276】

(12)前記処置部を構成する加熱部と、

前記処置部を構成して、前記加熱部に対して開閉する把持部と、

前記把持部に接続して開閉動作させる細長の駆動軸とからなり、

前記駆動軸を通すためのチャンネルが前記挿入部と全周に渡り密着しない形状、寸法を 有し、前記挿入部に組み付けた状態で、前記挿入部との間にできる空間により前記流路を 形成することを特徴とする付記10に記載の医療用処置具用送水、吸引システム。 【0277】

(13)少なくとも先端側が前記処置部を構成する細長の加熱部と、

前記加熱部を通すためのチャンネルが前記挿入部と全周に渡り密着しない形状、寸法を 有し、前記挿入部に組み付けた状態で、前記挿入部との間にできる空間により前記流路を 形成することを特徴とする付記10に記載の医療用処置具用送水、吸引システム。 【0278】

(14)前記送水、吸引の制御を、前記医療用処置具の出力を検知して行うことを特徴 とする付記10に記載の医療用処置具用送水、吸引システム。

[0279]

(15)前記医療用処置具は、超音波凝固切開処置具であることを特徴とする付記10 に記載の医療用処置具用送水、吸引システム。

[0280]

(16)前記医療用処置具は、高周波処置具であることを特徴とする付記10に記載の 医療用処置具用送水、吸引システム。

[0281]

(17)前記医療用処置具は、レーザー処置具であることを特徴とする付記10に記載の医療用処置具用送水、吸引システム。

[0282]

(18)熱を利用して処置を行うための処置部と体腔内に挿入するための細長い長尺な 挿入部とを有する医療用処置具において、前記処置部にヒートシンクのための形状を設け たことを特徴とする医療用処置具

(19)熱を利用して処置を行うための処置部と体腔内に挿入するための細長い長尺な 挿入部とを有する医療用処置具において、前記処置部の熱を吸熱するための冷却部材と前 記冷却部材を保持し、挿入部に沿って移動可能な冷却装置とを備え、処置後に前記冷却装 置を操作することにより、前記冷却部材を前記処置部に接触させて、前記処置部を冷却す ることを特徴とする医療用処置具用冷却装置。

[0283]

(20)前記冷却部材が生理食塩水に浸された布状部材であることを特徴とする付記1 9に記載の医療用処置具用冷却装置。

[0284]

(21)前記冷却部材が生体適合性のある材料からなることを特徴とする付記19に記載の医療用処置具用冷却装置。

【0285】

(22)前記開口部の断面積が、前記流路の断面積よりも小さいことを特徴とする付記 1に記載の医療用処置具。

[0286]

(23)前記処置部は、前記処置対象組織を加熱する加熱部と該加熱部に対して開閉自 在な開閉部とからなり、

前記開口部は、少なくとも前記加熱部に対向して開口していることを特徴とする付記1 または22に記載の医療用処置具。

[0287]

(24)前記開口部の断面積が、前記流路の断面積の30%以下であることを特徴とする付記22に記載の医療用処置具。

[0288]

(25)前記挿入部の基端側に設けられ、前記挿入部を軸方向に対して回動させる操作 50

20

30

10

ノブと、

前記操作ノブの回動操作により前記挿入部とともに前記筒状部材を回動可能に前記操作ノブと前記筒状部材とを係合する係合手段と、

(31)

を具備することを特徴とする付記23に記載の医療用処置具。

[0289]

(26)前記加熱部は、超音波振動を伝達するプローブであることを特徴とする付記2 3に記載の医療用処置具。

[0290]

(27)体腔内に挿入される細長の挿入部と、

前記挿入部の先端に設けられ、電源から電気エネルギを供給されることにより前記体腔 10 内の処置対象組織を加熱して処置を行う処置部と、

前記挿入部の少なくとも一部が挿通される筒状部材と、

前記挿入部の外周と前記筒状部材の内周との間に形成され、前記体腔内から吸引する流体が流れる流路と、

前記流路に連通して、前記体腔内から吸引される流体を前記流路から排出する流出口と、

前記流路に連通して、前記処置部の近傍位置に設けられた開口部と、

前記流出口に接続され、流体を吸引する吸引部と、

前記電源から電気エネルギが供給されて前記処置部が前記処置対象組織を加熱するに先立って、前記吸引部を所定の時間作動させる制御部と、

を具備することを特徴とする医療用処置具。

 $\begin{bmatrix} 0 & 2 & 9 & 1 \end{bmatrix}$ 

(28)体腔内に挿入される細長の挿入部と、

前記挿入部の先端に設けられ、前記体腔内の処置対象組織を加熱して処置を行う処置部と、

前記挿入部の基端に設けられた前記処置部を操作する操作部と、

前記挿入部の少なくとも一部が挿通される筒状部材と、

前記挿入部の外周と前記筒状部材の内周との間に形成され、前記処置部に対し供給する流体が流れる流路と、

前記流路に連通して、前記処置部に対し供給する流体を該流路へ流入する流入口と、 前記流路に連通して、前記処置部の近傍位置に設けられた開口部と、

前記流入口に流体を供給する流体供給部と、

前記流入口と前記流体供給部との間に設けられ、流体の供給をオンオフする切換部と、 前記制御部を前記把持部に対し着脱自在とする取り付け手段と、

を具備することを特徴とする医療用処置具。

【0292】

付記1~9は、送水吸引シースを医療用処置具の挿入部に装着することで、送水吸引シ ースの内側と、挿入部の外側にできた隙間で送水吸引流路を形成する。これにより、先端 側に設けられた開口部と送水吸引流路と送水吸引シースに設けられた送水吸引ポートを連 通させることにより、ミスト吸引や送水、送気をできるようにした。また、出力時に吸引 を行い、出力停止時に数秒間吸引を行うことで、出力を検知し送水吸引を制御する。 【0293】

また、付記10~15は、超音波処置具の回動ノブに送水吸引ポートを設け、送水吸引 ポートと、把持部を駆動させるための駆動軸が通る管路である駆動軸チャンネルと、内側 シース先端に設けられた開口部を連通させ、送水吸引を行うことができるようにした。ま た、出力時に吸引を行い、出力停止時に数秒間吸引を行うことで、出力を検知し送水吸引 を制御する。

【0294】

さらに、付記5,7,9,11は、上記超音波処置具の電源本体と送水・送気装置と吸引装置を連動させる。送水・送気装置と吸引装置はチューブにより送水ポートと接続させ

20

30

40

る。

[0295]

また、付記16は、プローブの下面に溝を設けることでプローブの表面積を大きくし、 超音波処置によって上昇したプローブの温度を放熱しやすいようにした。

(32)

【0296】

さらに、付記17~19は、プローブの下面に冷却用の部材を設け、冷却部材をプローブにあてることで超音波処置によって上昇したプローブの温度を冷ましやすいようにした

【図面の簡単な説明】

[0297]

10

20

30

40

【図1】本発明の第1実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示した図。

【図2】図1の挿入部の基端部側の拡大断面図。

【図3】図2中の接続ネジの外周に把持溝を設けた変形例を示す図。

【図4】図1の挿入部の先端側を拡大して示した図。

【図5】図4中のV-V線に沿う断面図。

【図6】図1の超音波処置具を有する超音波処置装置の構成の概略を示すブロック図。

【図7】図1の超音波処置具1の挿入部4からシース9を脱却した分解図。

【図8】図1の超音波処置具を用いて、体腔内の処置対象組織の処置を行う際の超音波処置装置の制御方法を示したフローチャート。

- 【図9】図1のシースの挿入部の先端側を把持部の先端側を覆う位置に移動させた状態を示す図。
- 【図10】本発明の第2実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示した図。
- 【図11】図10の挿入部の基端部側の拡大断面図。
- 【図12】図10の挿入部の先端部側の拡大断面図。

【図13】本発明の第3実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示した図。

- 【図14】図13中のXIV-XIV線に沿う断面図。
- 【図15】図13の挿入部の基端部側の拡大断面図。
- 【図16】図13の挿入部の先端部側の拡大断面図。
- 【図17】図16中のXVII-XVII線に沿う断面図。

【図18】図1、図10、図13の超音波処置具のプローブの把持部に対向する位置に溝 を設けた変形例を示す部分断面図。

【図19】図1の超音波処置具に、送水送気ポートと吸引ポートとを共通化したポートを 1つ設けた変形例を示す部分断面図。

【図20】図19の挿入部の基端部側の拡大断面図。

【図21】図19の超音波処置具を有する超音波処置装置の構成の概略を示すブロック図。

- 【図22】図21の超音波処置装置のさらに別の構成の概略を示すブロック図。
- 【図23】本発明の第4実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示 した図。
- 【図24】図23の挿入部の先端部側を拡大して示した図。
- 【図25】図24のスライダ部材を挿入軸方向先端に移動させた状態を示す図。
- 【図26】図25中のIIXVI-IIXVI線に沿う断面図。
- 【図27】本発明の第5実施の形態を示す超音波処置具を挿入部の一部のみ断面にして示した図。
- 【図28】図27の挿入部の基端部側の拡大断面図。
- 【図29】図28中のIIXIX-IIXIX線に沿う断面図。
- 【図30】図27の挿入部の先端側の拡大断面図。

【図31】図30中のIIIXI-IIIXI線に沿う断面図。 【図32】図27の超音波処置具を有する超音波処置装置の構成の概略を示すブロック図 0 【図33】図27の超音波処置具を用いて、体腔内の処置対象組織の処置を行う際の超音 波処置装置の制御方法を示したフローチャート。 【図34】本発明の第6実施の形態を示す超音波処置具を有する超音波処置装置の制御方 法を示したフローチャート。 【図35】本発明の第7実施の形態を示す超音波処置具のシースの先端側の拡大断面図。 【図36】図35中のIIIXVI-IIIXVI線に沿う断面図。 【図37】本発明の第8実施の形態を示す超音波処置具の先端側の拡大断面図。 【図38】図37中におけるIIIXVIII-IIIXVIII線に沿う断面図。 【図39】図37中におけるIIIXIX-IIIXIX線に沿う断面図。 【図40】本発明の第9実施の形態を示す超音波処置具を有する超音波処置装置の構成の 概略を示すブロック図。 【図41】図40の音波処置装置の制御方法を示したフローチャート。 【図42】本発明の第10実施の形態を示す超音波処置具の挿入部の基端部側の拡大断面 図。 【図43】図42中のIVXIII-IVXIII線に沿う断面図。 【図44】本発明の第11実施の形態を示す超音波処置具を挿入部の一部のみを断面にし て示した図。 【符号の説明】 [0298]1 … 超 音 波 処 置 具 4…挿入部 5…把持部 8…プローブ 9…送水吸引シース 101…流路 10r…流路 1 2 … 流路 13…送水送気ポート 13r…流路 14…吸引ポート 1 4 r … 流路 2 6 … 先端流路 2 9 ··· 送水・送気装置 30…吸引装置 37…回動ノブ 3 8 … 空間 40…駆動軸チャンネル 4 2…回動ノブ 4 7 … 先 端 流 路 201…超音波処置具 3 0 1 … 超音波処置具 312…流路 323…共用ポート

3 2 3 r … 流路

509…シース 512…流路

501…超音波処置具

(33)

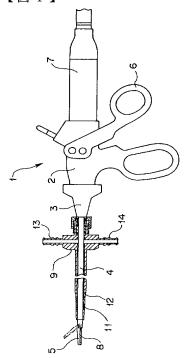
20

10

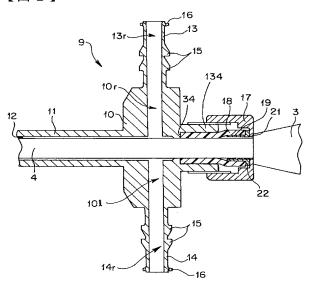
30

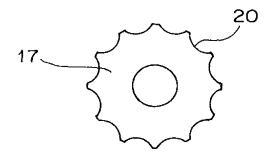
513…送水ポート 514…吸引ポート 543…先端開口部 6 0 1 … 超音波処置具 609…シース 612…流路 643…先端開口部 7 0 1 … 超音波処置具 709…シース 7 1 2 … 流路 7 4 3 … 先端開口部 801…超音波処置具 809…シース 8 1 2 … 流路 813…送水ポート 814…吸引ポート 901…超音波処置具 909…シース 912…流路 913…送水ポート 943…先端開口部 A 1 … 流路の断面積 A 2 … 開口部の断面積

【図1】

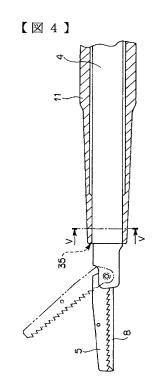




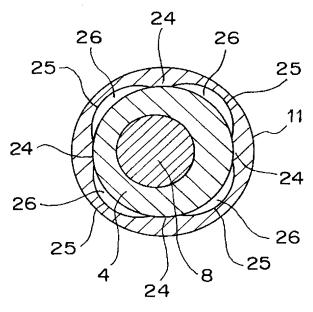


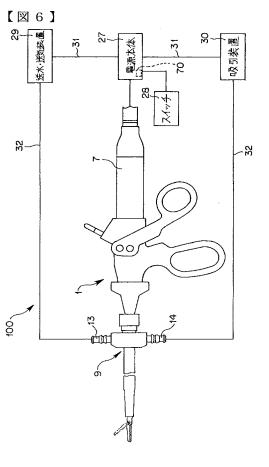


| {



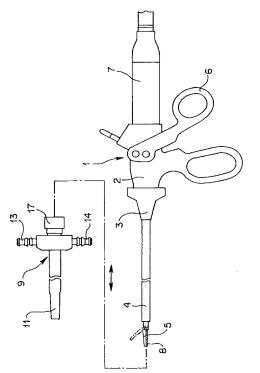
【図 5】





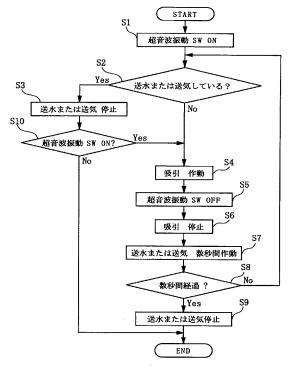
(35)



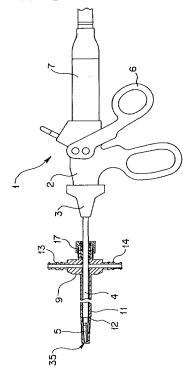


(36)

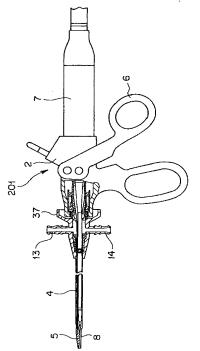
【図8】

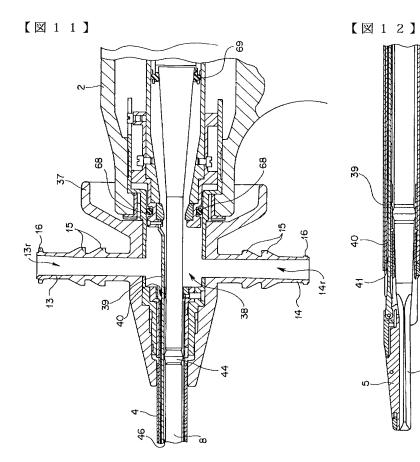


【図9】

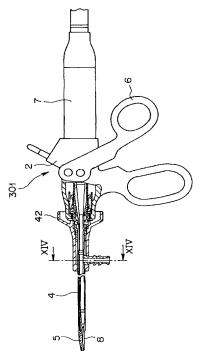


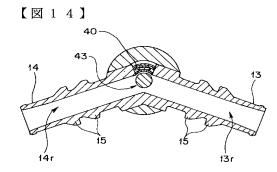


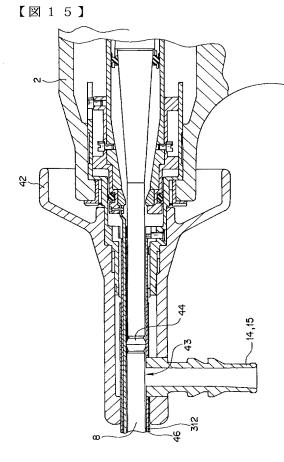


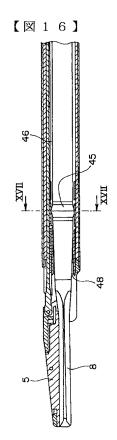




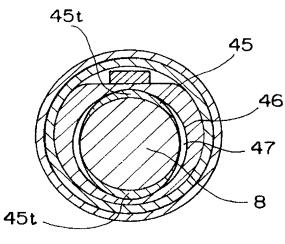


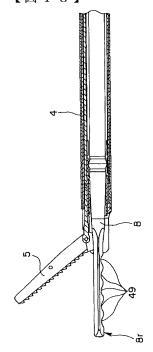




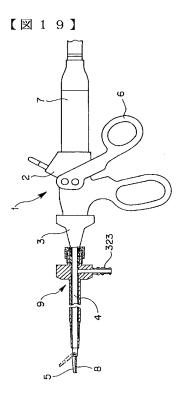


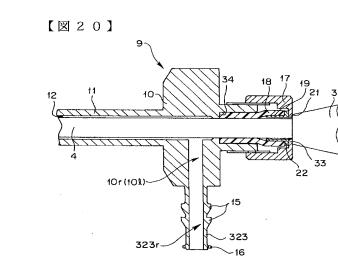
【図17】



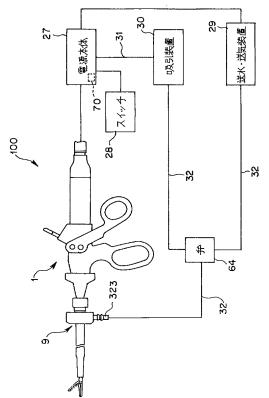


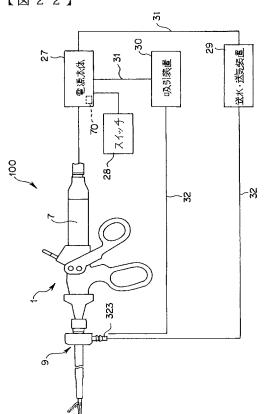
【図18】





【図21】

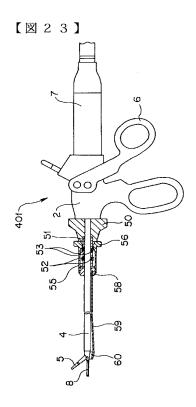




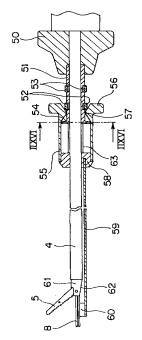
【図22】

(39)

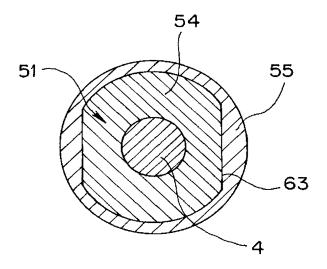
J L.



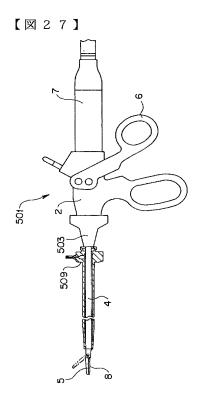
【図25】



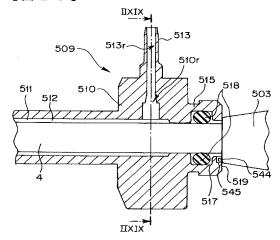
【図26】

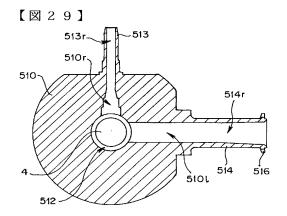


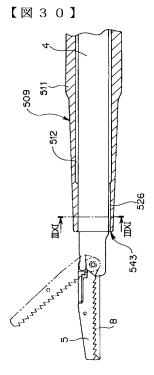
- L



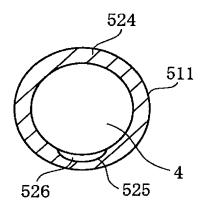
【図28】



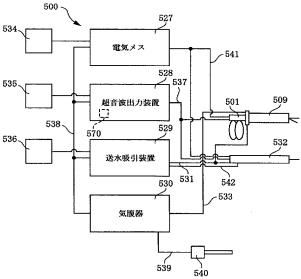








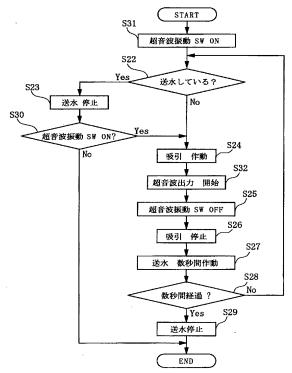


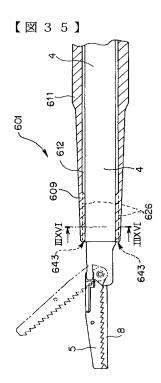


【図33】

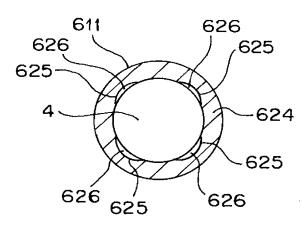
START S21 ŧ 超音波振動 S₩ ON S22 Yes 送水している? S23 No 送水 停止 S30 Yes 超音波振動 SW ON? No S24 吸引 作動 S25 超音波振動 SW OFF S26 吸引 停止 S27 ŧ 送水 数秒間作動 S28 t No 数秒間経過? Yes Ş29 送水停止 4 END

【図34】

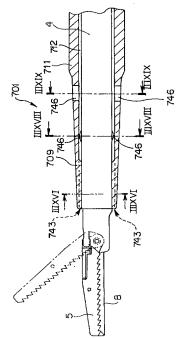




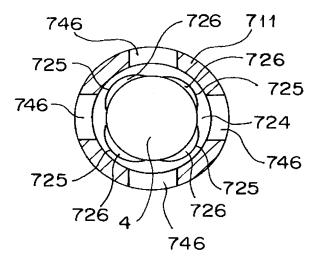
【図36】





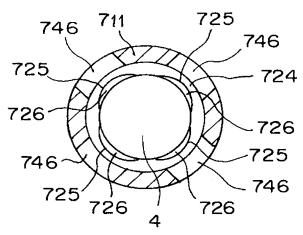


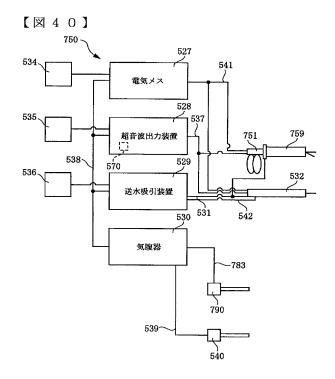
【図38】



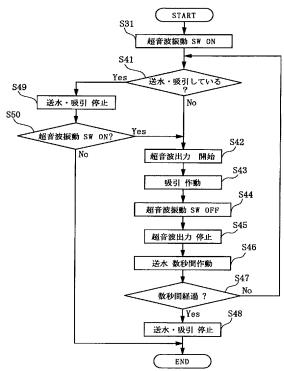


. 1

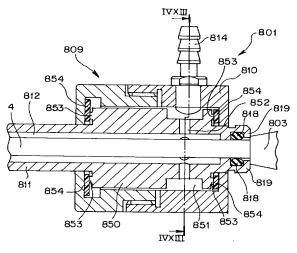


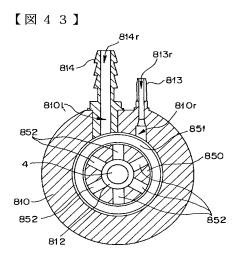




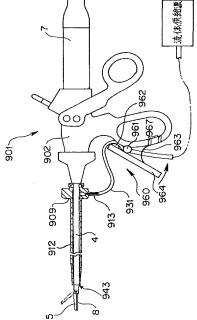


【図42】









. .

フロントページの続き

(72)発明者 増田 信弥 東京都渋谷区幡ヶ谷2丁目43番2号 オリンパスメディカルシステムズ株式会社内

(72)発明者 井本 克

東京都渋谷区幡ヶ谷2丁目43番2号 オリンパスメディカルシステムズ株式会社内 Fターム(参考) 4C060 GG24 JJ12 JJ15 MM26

## PATENT ABSTRACTS OF JAPAN

(11)Publication number : 2006–187668 (43)Date of publication of application : 20.07.2006

(51)Int.Cl.	A61B A61B	17/34 18/00 18/12	(2006. 01) (2006. 01) (2006. 01)
(21)Application number : 2006-107879		(71)App	licant : OLYMPUS CORP

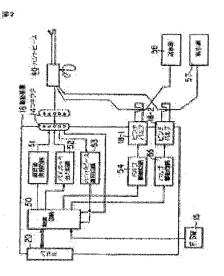
(21)Application number : 2006–107879 (22)Date of filing : 10.04.2006 (71)Applicant : OLYMPUS CORP (72)Inventor : SAKURAI TOMOHISA MIYAZAWA TARO

## (54) OPERATION SYSTEM

## (57)Abstract:

PROBLEM TO BE SOLVED: To provide an operation system by which an operator may optimally combine and execute functions required for a plurality of treatments the operator desires.

SOLUTION: The operation system includes a connector 14 to connect any of a plurality of handpieces 40 with treating parts for executing prescribed treatment on an organism, an ultrasonic oscillating circuit 51 to drive the treatment parts of the handpieces 40 connected to the connector 14 for executing treatment by ultrasonic vibration, a bipolar output circuit 52 to drive the treatment parts of the hand pieces 40 connected to the connector 14 for executing treatment by electric energy, a handpiece discriminating circuit 53 to discriminate the type of the handpiece 40 connected to the connector 14, and a control circuit 50 to change control methods for the ultrasonic oscillating circuit 51 and the bipolar output circuit 52 corresponding to the discriminating result by the handpiece discriminating circuit 53.



## * NOTICES *

JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

#### CLAIMS

[Claim(s)]

[Claim 1]

A connector for connecting any of two or more kinds of handpiece which has a treatment part for performing predetermined treatment to a living body they are,

A first circuit that performs a drive for performing first predetermined treatment to a treatment part of handpiece connected to the aforementioned connector,

A different second circuit from said first circuit for performing a drive for performing different second treatment from said first treatment to a treatment part of handpiece connected to the aforementioned connector,

A handpiece identification circuit which distinguishes a kind of the aforementioned handpiece connected to the aforementioned connector,

A control means which changes said 1st [ the ] and a control method of said second circuit according to a discriminated result by the aforementioned handpiece identification circuit,

A ****(ing) operation system.

[Claim 2]

A connector for connecting any of two or more kinds of handpiece which has a treatment part for performing predetermined treatment to a living body,

An ultrasonic output circuit which performs a drive for performing treatment by supersonic vibration to a treatment part of handpiece connected to the aforementioned connector,

An electrotome output circuit for performing a drive for performing treatment by electrical energy to a treatment part of handpiece connected to the aforementioned connector,

A handpiece identification circuit which distinguishes a kind of the aforementioned handpiece connected to the aforementioned connector,

A control means which changes a control method of the aforementioned ultrasonic output circuit and the aforementioned electrotome output circuit according to a discriminated result by the aforementioned handpiece identification circuit, A ****(ing) operation system.

[Translation done.]

#### * NOTICES *

# JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

## DETAILED DESCRIPTION

[Detailed Description of the Invention] [Field of the Invention] [0001] The present invention relates to an operation system. [Background of the Invention] [0002]

Various kinds of operation systems are known from before, for example, supersonic vibration is used. There are ultrasonic coagulation / incision equipment which vibrates a treatment implement, and solidifies and cuts a body tissue open, an electrocautery apparatus which cauterizes a body tissue by the thermal action by high-frequency power, ultrasonic suction equipment which grinds and sucks an unnecessary body tissue, etc. Since the operation system of these various kinds has the strong point and a fault, respectively, undergoing an operation, combining each operation system suitably is proposed. [0003]

For example, it is possible to stop the bleeding of the bleeding from the thin blood vessel at the time of the ultrasonic suction which was a fault of ultrasonic suction equipment by the combination of ultrasonic suction equipment and a mono- Poral electrocautery apparatus by the cautery operation by an electrocautery apparatus. [0004]

However, when a mono- Poral electrocautery apparatus is used, there is that case where leak current occurs constitutionally, and there is a problem of doing damage by this in addition to the affected part.

### [0005]

Then, International Publication WO 95/No. 17855 is disclosing the operation system which conquered the problem of leak current by combining ultrasonic suction equipment and a bipolar electrocautery apparatus.

[Description of the Invention]

[Problem to be solved by the invention]

[0006]

However, in the combination of ultrasonic suction equipment and a bipolar electrocautery apparatus, although the structure of a handpiece portion is disclosed in detail, above-mentioned International Publication WO 95/No. 17855, The driving source for driving ultrasonic suction equipment and the driving source for driving a bipolar electrocautery apparatus are constituted separately, and were left to control by each driving source about how the output of each equipment is made to act on a treatment part.

[0007]

It is combining organically at least two or more equipment outputs, and the place which the present invention is made paying attention to such problem, and is made into the purpose is one piece of equipment, and there is in providing the operation system which can be provided combining suitably a function required for two or more treatment which an operator wants to perform. [Means for solving problem]

[0008]

In order to attain the above-mentioned purpose, the first mode of the present invention. The connector for connecting any of two or more kinds of handpiece which is operation systems and has a treatment part for performing predetermined treatment to a living body they are. The first circuit that performs the drive for performing first predetermined treatment to the treatment part of the handpiece connected to the aforementioned connector, A different second circuit from the above-mentioned first circuit for performing the drive for performing different second treatment from the above-mentioned first treatment to the treatment part of the handpiece connected to the aforementioned connector, It has a handpiece identification circuit which distinguishes the kind of the aforementioned handpiece connected to the aforementioned connector, and a control means which changes the 1st [ above-mentioned ] and the control method of the above-mentioned second circuit according to the discriminated result by the aforementioned handpiece identification circuit.

#### [0009]

The connector for connecting any of two or more kinds of handpiece which the second mode of the present invention is an operation system, and has a treatment part for performing predetermined treatment to a living body. The ultrasonic output circuit which performs the drive for performing treatment by supersonic vibration to the treatment part of the handpiece connected to the aforementioned connector. The electrotome output circuit for performing the drive for performing treatment by electrical energy to the treatment part of the handpiece connected to the aforementioned connector, It has a handpiece identification circuit which distinguishes the kind of the aforementioned handpiece connected to the aforementioned connector, and a control means which changes the control method of the aforementioned ultrasonic output circuit according to the discriminated result by the aforementioned handpiece identification circuit. [Effect of the Invention]

[0010]

Since at least two or more equipment outputs can be combined organically according to the present invention, it can provide with one piece of equipment, combining suitably a function required for two or more treatment which an operator wants to perform. [Best Mode of Carrying Out the Invention]

[0011]

Hereafter, with reference to Drawings, the embodiment of the present invention is described in detail. [0012]

<u>Fig.1</u> is a shown figure the schematic structure of the operation system concerning a 1st embodiment of the present invention, and to the driving device 16, While the handpiece 40 is connected via the connector 21-2 and the ultrasonic code 14-2 via the connector 21-1 and the bipolar code 14-1, the foot switch (F. SW) 15 is connected removably. While having the panel 20 in which the button for assigning setting out of the size of the output of each equipment and the function of the foot switch (F. SW) 15 other than the electric power switch 22 to the front face of the driving device 16 was provided. The side surface provides, as projected in the pinch valve 18-1 and 18-2 from a side surface. [0013]

The tube 14-3 was further connected to the handpiece 40, and this tube 14-3 has branched. As for the tube, while branching is connected to the physiological saline bottle 17 via the concave part of the pinch valve 18-1. The tube of another side is connected to the suction bottle 19 via the concave part of the pinch valve 18-2. This suction bottle 19 is connected to the wall suction part 23. The physiological saline is accumulated in the physiological saline bottle 17, and the physiological saline according to bolting of the pinch valve 18-1 is supplied to a treatment part via the tube 14-3. According to bolting of the pinch valve 18-2, an unnecessary organization is sucked and stored via the tube 14-3 by suction by the wall suction part 23 to the suction bottle 19 from a treatment part.

#### [0014]

The handpiece 40 has the handle 13 and serves as the handpiece main part 19 in which the ultrasonic transducer which is not illustrated was built from the probe 11 which transmits vibration of this ultrasonic transducer to the treatment part 8. This probe 11 has the suction path 11-1 over probe length, in order to carry out ultrasonic suction of the organization. The probe 11 is surrounded by the sheath 12 except for the tip. The grasping tools 10 called the jaw by which an opening-and-closing drive is carried out to the tip of the probe 11 to the treatment part 8 are provided. These grasping tools 10 are connected rotatable focusing on the rotation pin which is not illustrated to the point of the sheath 12. If the handle 13 is operated, the opening-and-closing drive of the grasping tools 10 will be carried out to the tip of the grasping tools 10 will be carried out to the tip of the grasping tools 10, and ultrasonic coagulation and incision are performed. The grasping tools 10 and the tip of the probe 11 consist of a bipolar current carrying part, and it also has a function as bipolar type electrotome. [0015]

In order for <u>Fig.2</u> to be a figure showing the first internal configuration of the driving device 16 shown in Fig.1 and to perform ultrasonic suction, ultrasonic coagulation, and incision, The ultrasonic oscillation circuit 51 for generating the electrical energy which vibrates an ultrasonic transducer. The bipolar output circuit 52 which generates the electrical energy supplied to the bipolar type electrotome. The handpiece identification circuit 53 which identifies which handpiece is connected via the connector 21–1 among two or more kinds of handpiece. The valve drive electronics 54 for driving the pinch valve 18–1, the valve drive electronics 55 for driving the pinch valve 18–2, and the control circuit 50 that controls operation of each above-mentioned circuit integrative are provided. 56 is a source of returning water and corresponds to the physiological saline bottle 17 of <u>Fig.1</u>. 57 is suction sources and corresponds to the wall suction part 23 of <u>Fig.1</u>.

[0016] The control

The control circuit 50 can distinguish the kind of handpiece connected to the driving device 16 by the handpiece identification circuit 53, can change a control parameter, and can change the control method of each energy source. By this, among cautery of the coagulotomy of the organization by supersonic vibration, ultrasonic suction, and the organization by the electrotome, at least two treatment can be switched simultaneous and can be performed. The coagulotomy of the organization by supersonic vibration as shows <u>Fig.1</u> as a kind of handpiece. The thing of the type which can deal with cautery of ultrasonic suction and the organization by the electrotome simultaneously, and coagulotomy of the organization by supersonic vibration deal with cautery of the type which can deal with cautery of the electrotome simultaneously, and the type which can deal with cautery of the electrotome simultaneously, and the type which can deal with cautery of the organization by the electrotome simultaneously, and the type which can deal with cautery of the organization by the electrotome simultaneously, and the type which can deal with cautery of the organization by the electrotome simultaneously, and the type which can deal with cautery of the organization by the electrotome simultaneously, and the type which can deal with cautery of the organization by the electrotome simultaneously. [0017]

When the 1st pedal 15-1 (<u>Fig.1</u>) is operated, in the foot switch (F. SW) 15 Ultrasonic coagulation, If the ultrasonic oscillation circuit 51 drives and the 2nd pedal 15-2 (<u>Fig.1</u>) is operated in order to cut it open, the bipolar output circuit 52 will drive that electrical energy should be supplied to the electrotome.

[0018]

<u>Fig.3</u> is a figure showing the second internal configuration of the driving device 16. Although the source of an oscillation for performing ultrasonic coagulation, incision, and ultrasonic suction, the source of bipolar one for conducting the operation by the electrotome, and the valve driving source were accommodated in one main part with the composition of the driving device 16 shown in the above-mentioned <u>Fig.2</u>. In this composition, constitute each driving source from a separate body, and it connects via the connection parts 156 and 157. While the main control part 50–1 provided by the main part for an ultrasonic output contacts between the control circuit 50–2 for a bipolar output, and the control circuit 50–3 for a valve drive, each driving source is controlled integrative. The panel 20–2 is used in order to perform various kinds of setting out, when conducting only the operation by the electrotome.

#### [0019]

<u>Fig.4</u> is a time chart for describing the above-mentioned pinch valve 18-1 and the operation which drives 18-2 and controls water supply quantity and suction quantity.

#### [0020]

Although the fixed water-feeding pressure and suction force which are the source 56 of returning water and the suction sources  $57 (\underline{Fig.2})$  have occurred, each pressure can be adjusted by driving each pinch valve 18-1 and 18-2, squeezing the tube 14-3, and changing the degree of opening and closing. That is, the pinch valve 18-1 and the degree of opening and closing of 18-2 are beforehand set up from the panel 20 based on the time rate (duty) of a pulse switching signal, and returning water or suction adjusted by operating the foot switch (F. SW) 15 can be performed. [0021]

According to a 1st above-mentioned embodiment, it can provide with one piece of equipment by combining at least two or more circuit outputs, combining suitably a function required for two or more treatment which an operator wants to perform. [0022]

<u>Fig.5</u> is a figure showing the composition of the operation system concerning a 2nd embodiment of the present invention, the composition in the case of switching ultrasonic coagulation, and incision and the bipolar coagulation by the electrotome

simultaneous, and performing it is shown, and Fig.6 is a figure for describing the operation. [0023]

The ultrasonic output circuit 60 which generates the electrical energy for vibrating the ultrasonic transducer 63 built in the handpiece 71 inside the driving device 70, The bipolar output circuit 61 which generates the electrical energy for supplying at the tip of the jaw 66 which constitutes a bipolar current carrying part and has a function as bipolar electrotome, and the probe 67, The panel 68 for setting up the size of allotment of the function of the foot switch (F. SW) 64 or the output of each equipment and the control circuit 62 which controls the output of the ultrasonic output circuit 60 and the output of the bipolar output circuit 61 based on operation of the foot switch (F. SW) 64 are provided.

If an operator grabs the handle 65, the opening-and-closing drive of the jaw 66 will be carried out to the tip of the probe 67, and ultrasonic coagulation and incision are performed by putting a body tissue between the jaw 66 and the tip of the probe 67. Coagulation by the electrotome is performed by supplying electrical energy to the jaw 66 and the probe 67 from the bipolar output circuit 61.

#### [0025]

As shown in <u>Fig.6</u>, in performing ultrasonic incision, An ultrasonic output can cut it open simultaneously by operating the pedal 64-1 of the foot switch (F. SW) 64, and weakening a bipolar output for an ultrasonic output strongly, gathering the speed of organization conversion with a bipolar output. When performing ultrasonic coagulation, an ultrasonic output can only be weakly solidified by strengthening a bipolar output by operating the pedal 64-2 of the foot switch (F. SW) 64, without an organization sticking. Thus, although an organization can be slowly solidified and cut open at an appropriate temperature, It becomes possible to perform efficient treatment by combining the electrocautery apparatus in which cautery temperature has the fault that an organization will be cauterized rapidly highly although treatment speed is quick with ultrasonic coagulotomy equipment with the fault that treatment speed is comparatively slow.

#### [0026]

<u>Fig.7</u> is a shown figure the composition inside the handpiece of an ultrasonic surgery apparatus with ultrasonic suction and the function of the bipolar electrotome in a 3rd embodiment of the present invention, and The ultrasonic transducer 103, The probe 100 connected to this ultrasonic transducer 103, and the insulating layer 101 laminated around probe 100 point at least. It has the conductive layer 102 by the laminating formation by which adjoined the upper layer and the high frequency insulation was carried out from the probe 100, and the bipolar electrotome is constituted between the conductive layers 102 with the probe 100. The insulating layer 101 is formed by coating of insulating ceramics or an insulating plastic. The operation switch mentioned later is provided by handpiece outside.

#### [0027]

<u>Fig.8</u> is an appearance perspective view of the handpiece in a 3rd embodiment, and the handpiece main part 30 which built in the sheath 32 which surrounds the probe 100 shown in Fig.7, and the ultrasonic transducer 103 shown in Fig.7 is illustrated. From this handpiece main part 30, the bipolar code 34-1, the ultrasonic code 34-2, and the tube 34-3 are pulled out, and these are connected to the drive circuit mentioned later. The operation switch 33-1 which has a function equivalent to a foot switch (F. SW) in the handpiece main part 30, and 33-2 are provided.

#### [0028]

<u>Fig.9</u> is an entire configuration figure of the ultrasonic surgery apparatus which added the circuit configuration of the body part to the handpiece of composition of having described above. The bipolar output circuit 105 where the driving part 110 generates the electrical energy supplied to the bipolar electrotome, The ultrasonic oscillation circuit 106 which generates the electrical energy for vibrating the ultrasonic transducer 103, The panel 108 for assigning setting out of the control circuit 107 which controls these two circuits based on the operation switch 33-1 and the signal from 33-2, and the output of each equipment, and the operation switch 33-1 and the function of 33-2 is provided.

## [0029]

When the operation switch 33-1 is operated, the ultrasonic oscillation circuit 106 is turned on by the control circuit 107, by this, electrical energy is supplied to the ultrasonic transducer 103, the ultrasonic transducer 103 vibrates, and ultrasonic suction becomes possible. When the operation switch 33-2 is operated, the bipolar output circuit 105 by the control circuit 107 is turned on, electrical energy is supplied to the bipolar electrotome by this, and cautery treatment is attained by it. [0030]

As it is indicated in  $\underline{Fig.10}$  as the above-mentioned electrode 104 and the conductive layer 102, in near the paragraph of vibration of the ultrasonic transducer 103, it is in contact.  $\underline{Fig.10}$  (A) shows the contact state in case the electrode 104 consists of electrical conductive gum (O ring), and  $\underline{Fig.10}$  (B) shows the contact state in case the electrode 104 consists of spring contacts. [0031]

Since according to a 3rd above-mentioned embodiment it does not care about a treatment part since a bipolar output can be applied to an ultrasonic treatment device, and a counter electrode plate also becomes unnecessary, a safe and user-friendly operation system can be provided.

#### [0032]

<u>Fig.11</u> is a shown figure the modification of a 3rd embodiment of the above-mentioned present invention, and inside the handpiece of an ultrasonic surgery apparatus, The ultrasonic transducer 103 and the probe 100 connected to this ultrasonic transducer 103. It has the conductive layer 102-1 by which plural-laminates formation was carried out, 102-2 and the insulating layer 101-1, and 101-2 around this probe 100. On both sides of the insulating layer 101-1 and 101-2, the high frequency insulation is carried out, respectively, and the conductive layer 102-1 and 102-2 constitute the bipolar electrotome between the conductive layer 102-1 and 102-2. Fig.12 shows the cross sectional view of Fig.11.

<u>Fig.13</u> is an entire configuration figure of the ultrasonic surgery apparatus which added the circuit configuration of the driving part to the handpiece of composition of having described above. Since this composition, the operation, and the effect are completely the same as that of <u>Fig.9</u>, a description is omitted.

[0034]

Here, as it is indicated in  $\underline{Fig.14}$  as the above-mentioned electrode 104, the conductive layer 102-1, and 102-2, in near the paragraph of vibration of the ultrasonic transducer 103, it is in contact. Here, the contact state in case the electrode 104 consists of electrical conductive gum (O ring) is shown. [0035] <u>Fig.15</u> is a figure showing the composition of the ultrasonic surgery apparatus concerning a 4th embodiment of the present invention. As shown in <u>Fig.15</u>, the handpiece 210 comprises the probe 200 which has the suction path 200-1, the ultrasonic transducer 201, and the built-in suction pump 202. The suction pump 202 is connected to the suction thing storage container 204 via the suction tube 203 extended to outside from the inside of the handpiece 210. The body part 211 is provided with the following.

Ultrasonic oscillation circuit 205.

Control circuit 206.

Pinch valve 207.

Navigational panel 208.

The foot switch (F. SW) 209 is connected to the control circuit 206.

[0036]

In the above-mentioned composition, if an operator operates and turns on the ultrasonic output pedal 209-2 of the foot switch (F. SW) 209, the control circuit 206 will respond to this and will vibrate the ultrasonic transducer 201. This vibration is transmited at probe 200 tip. If the suction pedal 209-1 is operated and turned on in this state, the control circuit 206 will be controlled to open the pinch valve 207 while responding to this and operating the suction pump 202. The unnecessary organization at probe 200 tip passes the probe 200 and the suction tube 203, and is sucked and stored in the suction thing storage container 204 by this. And if the suction pedal 209-1 is turned off, while the suction pump 202 will be suspended, the pinch valve 207 closes and suctioning operation is suspended.

[0037]

Here, as it is indicated in Fig.14 as the above-mentioned electrode 104, the conductive layer 102-1, and 102-2, in near the paragraph of vibration of the ultrasonic transducer 103, it is in contact. Here, the contact state in case the electrode 104 consists of electrical conductive gum (O ring) is shown.

[0038]

According to a 4th above-mentioned embodiment, the suction force stable since the suction force was used at the both ends of the suction tube 203 by providing the suction pump 202 in the handpiece 210 is acquired. Since the influence of the suction-force deterioration by line resistance can be suppressed to the minimum, an organization can be sucked stably. [0039]

<u>Fig.16</u> is a figure showing the above-mentioned modification of a 4th embodiment. Although the fundamental composition and its operation are the same as that of <u>Fig.15</u>, it differs here in that the suction pump 202 in the handpiece 210 is connected to the external suction means 212 via the suction tube 203 and the suction thing storage container 204. [0040]

Since the suction force of the external suction means 212 is kept constant according to such composition, it is effective in a time lag until suction force occurs at probe 200 tip decreasing after operation of the suction pedal 209-1. [0041]

<u>Fig.17</u> is a figure showing the further modification of a 4th above-mentioned embodiment. Although the fundamental composition and its operation are the same as that of <u>Fig.15</u>. In this modification, the path of the suction tube 203–1 which ties the suction pump 202 and the suction thing storage container 204 is thin, and it differs in that the path of the suction tube 203–3 which ties the external suction means 212 and the suction thing storage container 204 is formed thickly. That is, it makes a path thin so that it may improve operativity, since the suction tube 203–1 by the side of the handpiece 210 is related to operativity, and in order to suppress pressure loss to the minimum, it makes the diameter of a tube of other portions the large diameter. In this modification, the suction thing storage container 204, When a suction thing is stored to the limit, it comprises the disposable container 204–1 which can be removed and thrown away, and the container 204–2 for holding this, and the two containers 204–1 and 204–2 are connected with the suction tube 203–2 of the large diameter.

#### [0042]

Invention of the following composition is included in the above-mentioned specific embodiment.

[0043]

1.

Handpiece which has the treatment part provided with the current carrying part of at least 2 poles while the vibrational energy from an ultrasonic transducer and this ultrasonic transducer is transmited and carries out supersonic vibration, Returning water and the suction means which performs suction from a treatment part while performing returning water to a treatment part via this handpiece,

The ultrasonic output circuit which generates the electrical energy for vibrating the aforementioned ultrasonic transducer, and is supplied to the aforementioned ultrasonic transducer, A driving device which has a control means which controls the electrotome output circuit which generates the electrical energy for cauterizing an organization and is supplied to the current carrying part of at least 2 above-mentioned poles, aforementioned returning water and suction means, the aforementioned ultrasonic output circuit, and the aforementioned electrotome output circuit integrative,

It provides,

An operation system characterized by the coagulotomy of the organization by supersonic vibration, ultrasonic suction, and switching at least two treatment simultaneous and performing it among cautery of the organization by the electrotome based on control of the aforementioned control means.

[0044] 1-1.

The aforementioned returning water and suction means of 1. contain the pinch valve for opening and closing the aforementioned tube, in order to control the water supply quantity to the treatment part through a tube, and the suction quantity from the treatment part through a tube.

[0045]

1-2.

The aforementioned pinch valve of 1.1 controls water supply quantity and suction quantity by changing the ratio of the openingand-closing time which opens and closes the aforementioned tube.

[0046] 1-3.

The aforementioned returning water and suction means of 1. are constituted as integrally as the aforementioned driving device.

[0047]

1-4.

The aforementioned control means of 1. switches the control method of each energy source according to the kind of handpiece connected to the aforementioned driving device. [0048]

1-5.

The aforementioned ultrasonic output circuit of 1., the aforementioned electrotome output circuit, and aforementioned returning water and suction means comprise a separate body, and for these each means, a control circuit is provided, and while one of the control circuits of each means contacts other control circuits as a main control circuit, they control the whole. [0049]

1-6.

The aforementioned control means of 1. has a mode in which a measure is taken by giving priority to specific treatment among cautery of the organization by the coagulation of the organization by supersonic vibration, incision, ultrasonic suction, and the electrotome.

[0050] 2.

Handpiece containing the conductive operation component which an ultrasonic transducer, the probe which transmits the supersonic vibration by this ultrasonic transducer to a treatment part, and this probe are insulated electrically, is arranged, and acts on a treatment part,

A source of an ultrasonic oscillation, a source of a bipolar electrotome oscillation, and a driving device that includes a control means in order to supply simultaneously the oscillation output of the source of these both oscillations to the aforementioned probe and an operation component with the combination pattern which consists of the timing and size, An operation system to provide.

[0051]

2-1.

It has a setting-out means for setting up the aforementioned combination pattern of 2. arbitrarily.

[0052]

3.

Vibrator,

The probe connected to this vibrator,

The insulating layer laminated around the end-of-the-probe part at least,

The conductive layer by the laminating formation by which adjoined the upper layer and the high frequency insulation was carried out from the probe,

It provides,

An ultrasonic surgery apparatus constituting the bipolar electrotome between the aforementioned probe and the aforementioned conductive layer.

[0053]

3-1.

Having two or more conductive layers and insulating layers which were laminated around the aforementioned probe of 3., on both sides of the aforementioned insulating layer, the high frequency insulation is carried out, respectively, and the aforementioned conductive layer constitutes the bipolar electrotome between the aforementioned conductive layers.

[0054]

3-2.

The aforementioned insulating layer of 3. is formed by coating of insulating ceramics.

[0055]

3-3.

The aforementioned insulating layer of 3. is formed by coating of the insulating plastic.

[0056]

4.

Vibrator

The probe which has a suction path,

Built-in suction pump,

An ultrasonic surgery apparatus having the handpiece to provide.

[0057]

4-1.

The built-in suction pump and external suction means in the aforementioned handpiece of 4. are connected via the suction tube and the suction thing storage container.

[0058]

4-2.

4. The path of the suction tube which ties the aforementioned external suction means and a suction thing storage container is formed more thickly than the path of the suction tube which ties a built-in suction pump and a suction thing storage container. [Brief_Description of the Drawings]

[0059]

[Drawing 1]It is a figure showing the schematic structure of the operation system concerning a 1st embodiment of the present invention.

[Drawing 2]It is a figure showing the first internal configuration of the driving device shown in Fig.1.

[Drawing 3] It is a figure showing the second internal configuration of the driving device shown in Fig.1.

[Drawing 4] It is a time chart for describing the operation which drives a pinch valve and controls water supply quantity and suction quantity.

[Drawing 5] It is a figure showing the composition of the operation system concerning a 2nd embodiment of the present invention.

[Drawing 6]It is a figure for describing an operation of a 2nd embodiment.

[Drawing 7]It is a figure showing the composition inside the handpiece of a 3rd embodiment of the present invention. [Drawing 8]It is an appearance perspective view of the handpiece concerning a 3rd embodiment of the present invention. [Drawing 9]It is an entire configuration figure of the ultrasonic surgery apparatus which added the circuit configuration of the body part to the handpiece in a 3rd embodiment.

[Drawing 10]In a 3rd embodiment, it is a figure for describing the contact state of an electrode and a conductive layer.

[Drawing 11] It is a figure showing the modification of a 3rd embodiment of the present invention.

<u>[Drawing 12]</u>The cross sectional view of the handpiece shown in <u>Fig.11</u> is shown.

[Drawing 13]It is an entire configuration figure of the ultrasonic surgery apparatus which added the circuit configuration of the body part to the handpiece in the modification of a 3rd embodiment.

[Drawing 14]In the modification of a 3rd embodiment, it is a figure for describing the contact state of an electrode and a conductive layer.

[Drawing 15] It is a figure showing the composition of the ultrasonic surgery apparatus concerning a 4th embodiment of the present invention.

[Drawing 16][It is a figure showing the composition of the modification of a 4th embodiment of the present invention. [Drawing 17][It is a figure showing the composition of the further modification of a 4th embodiment of the present invention. [Explanations of letters or numerals]

[0060]

10 Grasping tools (jaw)

11 Probe

12 Sheath

13 Handle

14-1 Bipolar code

14-2 Ultrasonic code

14-3 Tube

15 Foot switch (F. SW)

16 Driving device

17 Physiological saline bottle

18 Pinch valve

19 Handpiece main part

23 Wall suction part

40 Handpiece

[Translation done.]

## * NOTICES *

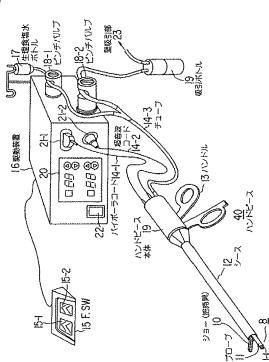
JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

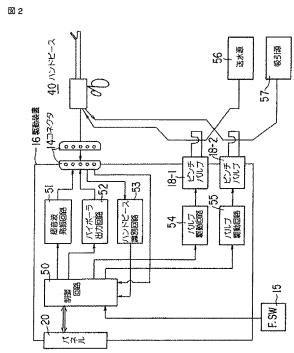
## DRAWINGS

## [Drawing 1]

## 図 1

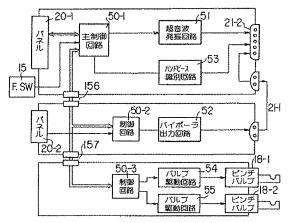


[Drawing 2]



## [Drawing 3]

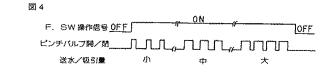
図 3



## [Drawing 4]

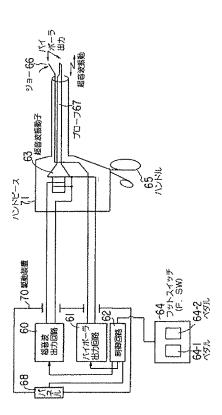
 $http://www4.ipdl.inpit.go.jp/cgi-bin/tran_web_cgi_ejje?atw_u=http\%3A\%2F\%2Fwww4.ipdl.inpit.go.jp\%2FTokujitu\%2... 1/23/2014$ 

JP,2006-187668,A [DRAWINGS]



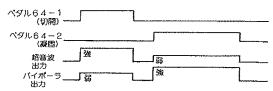
## [Drawing 5]

図 5



## [Drawing 6]

図 6



## [Drawing 7]

 $http://www4.ipdl.inpit.go.jp/cgi-bin/tran_web_cgi_ejje?atw_u=http\%3A\%2F\%2Fwww4.ipdl.inpit.go.jp\%2FTokujitu\%2... 1/23/2014$ 

図 7

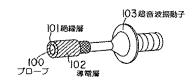
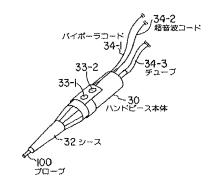
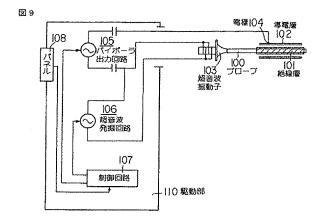




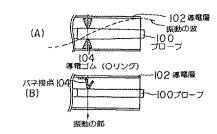
図 8



[Drawing 9]

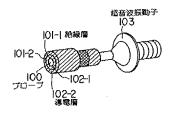






## [Drawing 11]

図 11



[Drawing 12]

図 12

- L -

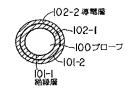
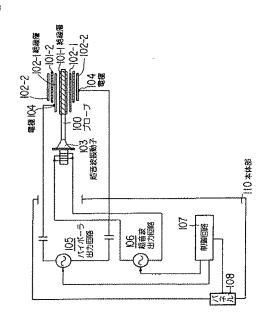


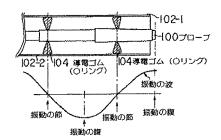


図 13



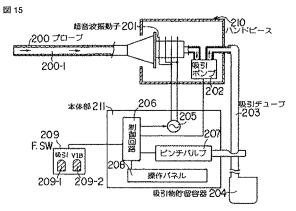
[Drawing 14]

図 14

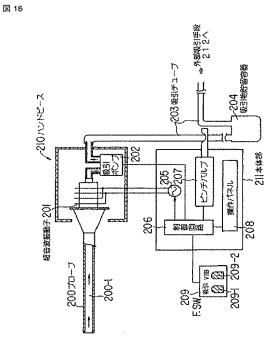


4

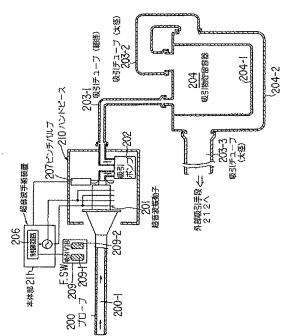
[Drawing 15]



[Drawing 16]



## [Drawing 17]



## [Translation done.]

Page 9 of 9

## (12)公開特許公報(A)

(11)特許出願公開番号

QG 1,20

Ľ

F.SW

## 特開2006-187668 (P2006-187668A)

(43) 公開日 平成18年7月20日 (2006.7.20)

(51) Int.C1.			FI			テーマコード(参考)
A61B	17/34	(2006.01)	A 6 1 B	17/34	310	40060
A61B	18/00	(2006.01)	A 6 1 B	17/36	330	
A61B	18/12	(2006.01)	A 6 1 B	17/39		

-		審查	請求 有 請求項の数 2 OL (全 14 頁)
(21) 出願番号 (22) 出願日	特願2006-107879 (P2006-107879) 平成18年4月10日 (2006.4.10)	(71)出願人	オリンパス株式会社
(62) 分割の表示 原出願日	特願平10-127367の分割 平成10年5月11日 (1998.5.11)	何小時期上	東京都渋谷区幡ヶ谷2丁目43番2号 100058479
冰山旗口	十成10年5月11日(1996.5.11)	(74)代理人	100056479 弁理士 鈴江 武彦
		(74)代理人	
			弁理士 河野 哲
		(74)代理人	100088683
			弁理士 中村 誠
		(74)代理人	
			弁理士 蔵田 昌俊
		(74)代理人	
			弁理士 峰 隆司
		(74)代理人	
			弁理士 福原 淑弘
			最終頁に続く

図2

8

(54) 【発明の名称】手術装置

(19) 日本国特許庁(JP)

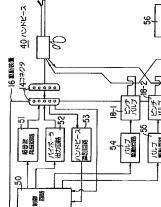
(57)【要約】

【選択図】

【課題】1つの装置で、操作者が行ないたい複数の処置 に必要な機能を適宜組み合わせて提供すること。

【解決手段】手術装置であって、生体に対して所定の処 置を行うための処置部を有する、複数種類のハンドピー ス40の何れを接続するためのコネクタ14と、コネク タ14に接続されたハンドピース40の処置部に対して 超音波振動による処置を行うための駆動を行う超音波発 振回路51と、コネクタ14に接続されたハンドピース 40の処置部に対して電気エネルギによる処置を行うた めの駆動を行うためのバイポーラ出力回路52と、コネ クタ14に接続されたハンドピース40の種類を判別す るハンドピース識別回路53と、ハンドピース識別回路 53による識別結果に応じて超音波発振回路51とバイ ポーラ出力回路52の制御方法を変更する制御回路50 とを有する。

図2



【特許請求の範囲】

【請求項1】

生体に対して所定の処置を行うための処置部を有する、複数種類のハンドピースの何れ かを接続するためのコネクタと、

(2)

前記コネクタに接続されたハンドピースの処置部に対して所定の第1の処置を行うための駆動を行う第1の回路と、

前記コネクタに接続されたハンドピースの処置部に対して前記第1の処置とは異なる第 2の処置を行うための駆動を行うための、前記第1の回路とは異なる第2の回路と、

前記コネクタに接続された前記ハンドピースの種類を判別するハンドピース識別回路と、

10

前記ハンドピース識別回路による識別結果に応じて前記第1及び前記第2の回路の制御 方法を変更する制御手段と、

を有することを特徴とする手術装置。

【請求項2】

生体に対して所定の処置を行うための処置部を有する、複数種類のハンドピースの何れ を接続するためのコネクタと、

前記コネクタに接続されたハンドピースの処置部に対して超音波振動による処置を行うための駆動を行う超音波出力回路と、

前記コネクタに接続されたハンドピースの処置部に対して電気エネルギによる処置を行うための電気メス出力回路と、

- 前記コネクタに接続された前記ハンドピースの種類を判別するハンドピース識別回路と、
- 前記ハンドピース識別回路による識別結果に応じて前記超音波出力回路と前記電気メス 出力回路の制御方法を変更する制御手段と、

を有することを特徴とする手術装置。

【発明の詳細な説明】

【技術分野】

[0001]

本発明は手術装置に関するものである。

【背景技術】

[0002]

従来より各種の手術装置が知られており、例えば、超音波振動を利用し、処置具を振動 させて生体組織を凝固、切開する超音波凝固・切開装置や、高周波電力による熱作用で生 体組織の焼灼を行なう電気メス装置や、不要な生体組織を粉砕して吸引する超音波吸引装 置などがある。これら各種の手術装置にはそれぞれ長所、欠点があるので、各々の手術装 置を適宜組み合わせて手術を行なうことが提案されている。

[0003]

例えば、超音波吸引装置とモノポーラ電気メス装置との組み合せによって、超音波吸引 装置の欠点であった超音波吸引時における細い血管からの出血を電気メス装置による焼灼 作用により止血することが可能である。

[0004]

ところが、モノポーラ電気メス装置を使用した場合には、その構成上、もれ電流が発生 する場合があり、これによって患部以外に損傷を与えてしまうという問題がある。 【0005】

そこで、国際公開WO95/17855号は、超音波吸引装置とバイポーラ電気メス装置とを組み合せることにより、もれ電流の問題を克服した手術装置を開示している。

【発明の開示】

【発明が解決しようとする課題】

[0006]

しかしながら、上記した国際公開WO95/17855号は、超音波吸引装置とバイポ 50

20

30

ーラ電気メス装置との組み合せにおいて、ハンドピース部分の構造を詳細に開示している が、超音波吸引装置を駆動するための駆動源と、バイポーラ電気メス装置を駆動するため の駆動源とは別個に構成されており、各装置の出力をどのように処置部に作用させるかに ついては個々の駆動源による制御に委ねられていた。

[0007]

本発明はこのような課題に着目してなされたものであり、その目的とするところは、少 なくとも2つ以上の装置出力を有機的に結合させることで、1つの装置で、操作者が行な いたい複数の処置に必要な機能を適宜組み合わせて提供できる手術装置を提供することに ある。

【課題を解決するための手段】

[0008]

上記の目的を達成するために、本発明の第1の態様は、手術装置であって、生体に対し て所定の処置を行うための処置部を有する、複数種類のハンドピースの何れかを接続する ためのコネクタと、前記コネクタに接続されたハンドピースの処置部に対して所定の第1 の処置を行うための駆動を行う第1の回路と、前記コネクタに接続されたハンドピースの 処置部に対して前記第1の処置とは異なる第2の処置を行うための駆動を行うための、前 記第1の回路とは異なる第2の回路と、前記コネクタに接続された前記ハンドピースの種 類を判別するハンドピース識別回路と、前記ハンドピース識別回路による識別結果に応じ て前記第1及び前記第2の回路の制御方法を変更する制御手段と、を有する。

[0009]

また、本発明の第2の態様は、手術装置であって、生体に対して所定の処置を行うため の処置部を有する、複数種類のハンドピースの何れを接続するためのコネクタと、前記コ ネクタに接続されたハンドピースの処置部に対して超音波振動による処置を行うための駆 動を行う超音波出力回路と、前記コネクタに接続されたハンドピースの処置部に対して電 気エネルギによる処置を行うための駆動を行うための電気メス出力回路と、前記コネクタ に接続された前記ハンドピースの種類を判別するハンドピース識別回路と、前記ハンドピ ース識別回路による識別結果に応じて前記超音波出力回路と前記電気メス出力回路の制御 方法を変更する制御手段と、を有する。

【発明の効果】

[0010]

本発明によれば、少なくとも2つ以上の装置出力を有機的に結合させることができるの で、1つの装置で、操作者が行ないたい複数の処置に必要な機能を適宜組み合わせて提供 することができる。

【発明を実施するための最良の形態】

[0011]

以下、図面を参照して本発明の実施形態を詳細に説明する。

[0012]

図1は本発明の第1実施形態に係る手術装置の概略構成を示す図であり、駆動装置16 には、コネクタ21-1とバイポーラコード14-1とを介して、かつ、コネクタ21-2と超音波コード14-2とを介して、ハンドピース40が接続されるとともに、フット スイッチ(F.SW)15が着脱可能に接続されている。駆動装置16の前面には電源ス イッチ22の他に、各装置の出力の大きさの設定やフットスイッチ(F.SW)15の機 能の割り付けを行なうための釦が設けられたパネル20を有するとともに、同側面にはピ ンチバルブ18-1及び18-2が側面から突出するように設けられている。 【0013】

ハンドピース40にはさらにチューブ14-3が接続され、このチューブ14-3は分岐している。分岐した一方のチューブはピンチバルブ18-1の凹部を介して生理食塩水ボトル17に接続されている。また、他方のチューブはピンチバルブ18-2の凹部を介して吸引ボトル19に接続されている。この吸引ボトル19は壁吸引部23に接続されている。生理食塩水ボトル17には生理食塩水が溜められており、ピンチバルブ18-1の

20

10

締め付けに応じた生理食塩水がチューブ14-3を介して被処置部に供給される。また、 壁吸引部23による吸引により、ピンチバルブ18-2の締め付けに応じて、不要な組織 が被処置部からチューブ14-3を介して吸引ボトル19へと吸引・収納される。 【0014】

(4)

ハンドピース40は、ハンドル13を有し、図示せぬ超音波振動子が内蔵されたハンド ピース本体19と、この超音波振動子の振動を処置部8に伝達するプローブ11からなる 。このプローブ11は組織を超音波吸引するためにプローブ長さに渡って吸引路11-1 を有する。プローブ11はその先端を除いてシース12に包囲されている。また、処置部 8には、プローブ11の先端に対して開閉駆動されるジョーと呼ばれる把持具10が設け られている。この把持具10はシース12の先端部に図示せぬ回動ピンを中心に回動可能 に連結されている。ハンドル13を操作すると把持具10がプローブ11の先端に対して 開閉駆動されて、プローブ11の先端と把持具10との間に生体組織を挟み込んで超音波 凝固、切開を行なうようになっている。さらに、把持具10とプローブ110の先端とは二 極の導電部からなり、バイポーラ式電気メスとしての機能をも有している。

図2は図1に示す駆動装置16の第1の内部構成を示す図であり、超音波吸引、超音波 凝固、切開を行なうために、超音波振動子を振動させる電気エネルギを発生するための超 音波発振回路51と、バイポーラ式電気メスへ供給される電気エネルギを発生するバイポ ーラ出力回路52と、複数種類のハンドピースのうちどのハンドピースがコネクタ21-1を介して接続されているかを識別するハンドピース識別回路53と、ピンチバルブ18 -1を駆動するためのバルブ駆動回路54と、ピンチバルブ18-2を駆動するためのバ ルブ駆動回路55と、上記した各回路の動作を統合的に制御する制御回路50とを具備し ている。56は送水源であり、図1の生理食塩水ボトル17に対応する。57は吸引源で あり、図1の壁吸引部23に対応する。

[0016]

制御回路50は駆動装置16に接続されたハンドピースの種類をハンドピース識別回路 53により判別し、制御パラメータを変更して各エネルギ源の制御方法を変えることがで きる。これによって、超音波振動による組織の凝固切開と、超音波吸引と、電気メスによ る組織の焼灼のうち少なくとも2つの処置を同時に又は切り換えて行なうことができる。 ハンドピースの種類としては、図1に示すような、超音波振動による組織の凝固切開と、 超音波吸引と、電気メスによる組織の焼灼の処置を同時に行えるタイプのものや、後述す るような、超音波振動による組織の凝固切開と、電気メスによる組織の焼灼の処置を同時 に行なえるタイプ、超音波吸引と電気メスによる組織の焼灼の処置を同時に行なえるタイプ

[0017]

また、フットスイッチ(F. SW)15において、第1ペダル15-1(図1)を操作 すると超音波凝固、切開を行なうべく超音波発振回路51が駆動され、第2ペダル15-2(図1)を操作すると電気メスに電気エネルギを供給すべくバイポーラ出力回路52が 駆動される。

[0018]

図3は駆動装置16の第2の内部構成を示す図である。前記した図2に示す駆動装置1 6の構成では超音波凝固、切開、超音波吸引を行なうための発振源と、電気メスによる手 術を行なうためのバイポーラ源と、バルブ駆動源とを1つの本体に収容したが、この構成 では、各駆動源を別体で構成して接続部156、157を介して接続し、超音波出力用の 本体に設けられた主制御部50-1がバイポーラ出力用の制御回路50-2とバルブ駆動 用の制御回路50-3との間で連絡を取りながら、各駆動源を統合的に制御するものであ る。パネル20-2は電気メスによる手術のみを行なう場合に各種の設定を行なうために 使用されるものである。

[0019]

図 4 は上記したピンチバルブ 1 8 - 1 、 1 8 - 2 を駆動して送水量や吸引量を制御する 50

10

20

30

動作を説明するためのタイムチャートである。

[0020]

送水源56、吸引源57(図2)ではある一定の送水圧や吸引圧が発生しているが、各 圧力は各ピンチバルブ18-1、18-2を駆動してチューブ14-3を圧搾して開閉の 度合いを変化させることにより調整することができる。すなわち、ピンチバルブ18-1 、18-2の開閉の度合いをパルス開閉信号の時間割合(デューティ)に基づいてパネル 20からあらかじめ設定しておき、フットスイッチ(F.SW)15を操作することで調 整された送水あるいは吸引を行なうことができる。

[0 0 2 1]

上記した第1実施形態によれば、少なくとも2つ以上の回路出力を結合させることで、 10 1つの装置で、操作者が行ないたい複数の処置に必要な機能を適宜組み合わせて提供する ことができる。

[0022]

図5は本発明の第2実施形態に係る手術装置の構成を示す図であり、超音波凝固、切開 と電気メスによるバイポーラ凝固とを同時にまたは切り換えて行なう場合の構成を示して おり、図6はその作用を説明するための図である。

[0023]

駆動装置70の内部にはハンドピース71に内蔵された超音波振動子63を振動させる ための電気エネルギを発生する超音波出力回路60と、二極の導電部を構成し、バイポー ラ電気メスとしての機能を有するジョー66及びプローブ67の先端とに供給するための 電気エネルギを発生するバイポーラ出力回路61と、フットスイッチ(F.SW)64の 機能の割り付けや各装置の出力の大きさを設定するためのパネル68と、フットスイッチ (F.SW)64の操作に基づいて超音波出力回路60の出力とバイポーラ出力回路61 の出力を制御する制御回路62とが設けられている。

[0024]

操作者がハンドル65を握るとジョー66がプローブ67の先端に対して開閉駆動されて、ジョー66とプローブ67の先端との間に生体組織を挟み込むことにより超音波凝固、切開が行われる。また、バイポーラ出力回路61からジョー66とプローブ67に電気エネルギを供給することにより、電気メスによる凝固が行われる。

【 O O 2 5 】

図6に示すように、超音波切開を行なう場合には、フットスイッチ(F.SW)64の ペダル64-1を操作して超音波出力を強く、かつ、バイポーラ出力を弱くすることによ り、バイポーラ出力により組織変成の速度を上げながら同時に超音波出力により切開を行 なうことができる。また、超音波凝固を行なう場合には、フットスイッチ(F.SW)6 4のペダル64-2を操作して超音波出力を弱く、かつ、バイポーラ出力を強くすること により、組織がこびりつかずに凝固のみを行なうことができる。このように、組織を適度 の温度でゆっくりと凝固、切開することができるが、処置速度が比較的遅いという欠点を もつ超音波凝固切開装置に、処置速度は速いが焼灼温度が高く組織が急激に焼灼されてし まうという欠点をもつ電気メス装置を組み合わせることで効率のよい処置を行なうことが 可能になる。

[0026]

図7は本発明の第3実施形態において、超音波吸引とバイポーラ電気メスの機能をもつ 超音波手術装置のハンドピース内部の構成を示す図であり、超音波振動子103と、この 超音波振動子103に接続されたプローブ100と、少なくともプローブ100先端部の 周囲に積層形成された絶縁層101と、その上層に隣接し、かつ、プローブ100から高 周波絶縁された積層形成による導電層102を有し、プローブ100との導電層102と の間でバイポーラ電気メスを構成している。絶縁層101は絶縁性セラミックあるいは絶 縁性プラスチックのコーティングで形成されている。ハンドピース外部には後述する操作 スイッチが設けられている。

20

図8は第3実施形態におけるハンドピースの外観斜視図であり、図7に示すプローブ1 00を包囲するシース32と、図7に示す超音波振動子103を内蔵したハンドピース本 体30とが図示されている。このハンドピース本体30からはバイポーラコード34-1 、超音波コード34-2、チューブ34-3が引き出されており、これらは後述する駆動 回路に接続される。ハンドピース本体30にはフットスイッチ(F.SW)と同等の機能 をもつ操作スイッチ33-1及び33-2とが設けられている。

[0028]

図9は上記した構成のハンドピースに本体部の回路構成を加えた超音波手術装置の全体 構成図である。駆動部110はバイポーラ電気メスに供給される電気エネルギを発生する バイポーラ出力回路105と、超音波振動子103を振動させるための電気エネルギを発 生する超音波発振回路106と、操作スイッチ33-1及び33-2からの信号に基づい てこれら 2 つの回路を制御する制御回路 1 0 7 と、各装置の出力の設定や操作スイッチ 3 3-1及び33-2の機能の割り付けを行なうためのパネル108とを具備している。  $\begin{bmatrix} 0 & 0 & 2 & 9 \end{bmatrix}$ 

操作スイッチ33-1を操作すると制御回路107により超音波発振回路106がON され、これによって超音波振動子103に電気エネルギが供給されて超音波振動子103 が振動して超音波吸引が可能になる。また、操作スイッチ33-2を操作すると制御回路 107によるバイポーラ出力回路105がONされ、これによってバイポーラ電気メスに 電気エネルギが供給されて焼灼処置が可能になる。

[0030]

なお、上記した電極104と導電層102とは図10に示すように、超音波振動子10 3の振動の節付近において接触している。図10(A)は電極104が導電ゴム(Oリン グ)からなる場合の接触状態を示しており、図10(B)は電極104がバネ接点からな る場合の接触状態を示している。

[0031]

上記した第3実施形態によれば、超音波処置装置にバイポーラ出力を印加できるため、 処置部位を気にせず、かつ、対極板も不要になるため、安全で使い勝手の良い手術装置を 提供できる。

[0032]

図11は上記した本発明の第3実施形態の変形例を示す図であり、超音波手術装置のハ 30 ンドピース内部には、超音波振動子103と、この超音波振動子103に接続されたプロ ーブ100と、このプローブ100の周囲に複数積層形成された導電層102-1、10 2-2と絶縁層101-1、101-2とを有し、導電層102-1、102-2はそれ ぞれ絶縁層101-1、101-2を挟んで高周波絶縁されており、導電層102-1、 102-2間においてバイポーラ電気メスを構成している。図12は図11の断面図を示 している。

[0033]

図 1 3 は 上記 し た 構 成 の ハ ン ド ピ ー ス に 駆 動 部 の 回 路 構 成 を 加 え た 超 音 波 手 術 装 置 の 全 体構成図である。この構成、作用、効果は図9と全く同様であるので説明を省略する。 [0034]

ここで、上記した電極104と導電層102-1、102-2とは図14に示すように 、超音波振動子103の振動の節付近において接触している。ここでは電極104が導電 ゴム(Oリング)からなる場合の接触状態を示している。 [0035]

図15は本発明の第4実施形態に係る超音波手術装置の構成を示す図である。図15に 示すように、ハンドピース210は吸引路200-1を有するプローブ200と、超音波 振動子201と、内蔵された吸引ポンプ202とから構成される。また、吸引ポンプ20 2はハンドピース210の内部から外部へと延長する吸引チューブ203を介して吸引物 貯留容器204に接続されている。また、本体部211は、超音波発振回路205と、制 御回路206と、ピンチバルブ207と、操作パネル208とを具備する。制御回路20

10

20

40

6にはフットスイッチ(F.SW)209が接続されている。

[0036]

上記した構成において、操作者がフットスイッチ(F.SW)209の超音波出力ペダ ル209-2を操作してONすると、制御回路206はこれに応答して超音波振動子20 1を振動させる。この振動はプローブ200先端に伝達される。この状態で吸引ペダル2 09-1を操作してONすると、制御回路206はこれに応答して吸引ポンプ202を作 動させるとともに、ピンチバルブ207を開くように制御する。これによって、プローブ 200先端の不要な組織はプローブ200、吸引チューブ203を介して吸引物貯留容器 204に吸引、貯留される。そして、吸引ペダル209-1をOFFすると吸引ポンプ2 02が停止されるとともにピンチバルブ207が閉じて吸引動作が停止される。 [0037]

ここで、上記した電極104と導電層102-1、102-2とは図14に示すように 、超音波振動子103の振動の節付近において接触している。ここでは電極104が導電 ゴム(〇リング)からなる場合の接触状態を示している。

[0038]

上記した第4実施形態によれば、ハンドピース210内に吸引ポンプ202を設けるこ とで、吸引チューブ203の両端で吸引力を働かせることができるので安定した吸引力が 得られる。また、管路抵抗による吸引力低下の影響を最小限に抑えることができるため、 安定して組織を吸引できる。

[0039]

図16は上記した第4実施形態の変形例を示す図である。その基本的な構成及びその作 用は図15と同様であるが、ここでは、ハンドピース210内の吸引ポンプ202が、吸 引チューブ203と吸引物貯留容器204とを介して外部吸引手段212に接続されてい る点が異なっている。

[0040]

このような構成によれば、外部吸引手段212の吸引圧は常に一定に保たれているので ,吸引ペダル209-1の操作後、プローブ200先端に吸引圧が発生するまでのタイム ラグが少なくなるという効果がある。

[0041]

図17は上記した第4実施形態のさらなる変形例を示す図である。その基本的な構成及 びその作用は図15と同様であるが、本変形例では、吸引ポンプ202と吸引物貯留容器 204とを結ぶ吸引チューブ203-1の径が細く、かつ、外部吸引手段212と吸引物 貯留容器204とを結ぶ吸引チューブ203-3の径が太く形成されている点が異なって いる。すなわち、ハンドピース210側の吸引チューブ203-1は操作性に関係するの で操作性を良くするべく径を細くし、他の部分のチューブ径は圧力損失を最小限に抑える ために太径としている。さらに、本変形例では吸引物貯留容器204は、吸引物がいっぱ いに貯留されたときに取り外して捨てることができる使い捨て容器204-1とこれを保 持するための容器204-2とから構成されており、2つの容器204-1と204-2 とは太径の吸引チューブ203-2で接続されている。

[0042]

上記した具体的実施形態には以下のような構成の発明が含まれている。

[0043]

1.

超音波振動子と、この超音波振動子からの振動エネルギが伝達されて超音波振動すると ともに、少なくとも二極の導電部を備えた処置部とを有するハンドピースと、

このハンドピースを介して被処置部への送水を行なうとともに、被処置部からの吸引を 行なう送水・吸引手段と、

前記超音波振動子を振動させるための電気エネルギを発生して前記超音波振動子に供給 する超音波出力回路と、組織を焼灼するための電気エネルギを発生して前記少なくとも二 極の導電部に供給する電気メス出力回路と、前記送水・吸引手段と、前記超音波出力回路

20

10

と、前記電気メス出力回路とを統合的に制御する制御手段とを有する駆動装置と、 を具備し、

前記制御手段の制御に基づいて、超音波振動による組織の凝固切開と、超音波吸引と、 電気メスによる組織の焼灼のうち少なくとも2つの処置を同時に又は切り換えて行なうよ うにしたことを特徴とする手術装置。

[0044]

1 - 1.

1. の前記送水・吸引手段は、チューブを介した被処置部への送水量と、チューブを介した被処置部からの吸引量を制御するために、前記チューブを開閉するためのピンチバルブを含む。

[0045]

1 - 2.

1.1の前記ピンチバルブは、前記チューブを開閉する開閉時間の割合を変化させることによって送水量と吸引量とを制御する。

[0046]

1 - 3.

1. の前記送水・吸引手段は、前記駆動装置と一体的に構成されている。

[0047]

1 - 4.

1. の前記制御手段は、前記駆動装置に接続されるハンドピースの種類に応じて、各エ 20 ネルギ源の制御方法を切り換える。

【0048】

1 - 5.

1. の前記超音波出力回路と前記電気メス出力回路と、前記送水・吸引手段とは別体で 構成され、これら各手段には、制御回路が設けられ、各手段の制御回路の1つが主制御回 路として他の制御回路と連絡を取りながら全体の制御を行なう。

[0049]

1 - 6.

1.の前記制御手段は、超音波振動による組織の凝固、切開、超音波吸引、電気メスに よる組織の焼灼のうち特定の処置を優先して処置を行なうモードを有する。

[0050] 2.

超音波振動子と、この超音波振動子による超音波振動を被処置部に伝達するプローブと、このプローブとは電気的に絶縁されて配置され被処置部に作用する導電性の作用部材とを含むハンドピースと、

超音波発振源と、バイポーラ電気メス発振源と、これら両発振源の発振出力を、そのタ イミングと大きさとからなる組み合わせパターンで前記プローブと作用部材とに同時に供 給するべく制御手段とを含む駆動装置と、

を具備する手術装置。

[0051]

2 - 1.

40

30

10

2. の前記組み合わせパターンを任意に設定するための設定手段を有する。

[0052]

3.

振動子と、

この振動子に接続されたプローブと、

少なくともプローブ先端部の周囲に積層形成された絶縁層と、

その上層に隣接し、かつ、プローブから高周波絶縁された積層形成による導電層と、

を具備し、

前記プローブと前記導電層との間でバイポーラ電気メスを構成したことを特徴とする超 50

(8)

音波手術装置。 [0053]3 - 1. 3.の前記プローブの周囲に複数の積層形成された導電層及び絶縁層を有し、前記導電 層はそれぞれ前記絶縁層を挟んで高周波絶縁されており、前記導電層間においてバイポー ラ電気メスを構成する。 [0054]3 - 2. 3.の前記絶縁層が絶縁性セラミックのコーティングにより形成されている。 [0055]10 3 - 3. 3.の前記絶縁層が絶縁性プラスチックのコーティングにより形成されている。 [0056]4. 振動子と 吸引路を有するプローブと、 内蔵吸引ポンプと、 を具備するハンドピースを有することを特徴とする超音波手術装置。 [0057]4 - 1. 20 4. の前記ハンドピース内の内蔵吸引ポンプと外部吸引手段とが、吸引チューブ及び吸 引物貯留容器を介して接続されている。 [0058]4 - 2. 4. 前記外部吸引手段と吸引物貯留容器とを結ぶ吸引チューブの径が、内蔵吸引ポンプ と吸引物貯留容器とを結ぶ吸引チューブの径よりも太く形成されている。 【図面の簡単な説明】 [0059]【図1】本発明の第1実施形態に係る手術システムの概略構成を示す図である。 【図2】図1に示す駆動装置の第1の内部構成を示す図である。 30 【図3】図1に示す駆動装置の第2の内部構成を示す図である。 【図4】ピンチバルブを駆動して送水量や吸引量を制御する動作を説明するためのタイム チャートである。 【図5】本発明の第2実施形態に係る手術装置の構成を示す図である。 【図 6 】 第 2 実施形態の作用を説明するための図である。 【図7】本発明の第3実施形態のハンドピース内部の構成を示す図である。 【図8】本発明の第3実施形態に係るハンドピースの外観斜視図である。 【図9】第3実施形態におけるハンドピースに本体部の回路構成を加えた超音波手術装置 の全体構成図である。 【図10】第3実施形態において、電極と導電層との接触状態を説明するための図である 40 【図11】本発明の第3実施形態の変形例を示す図である。 【図12】図11に示すハンドピースの断面図を示している。 【図13】 第3 実施形態の変形例におけるハンドピースに本体部の回路構成を加えた超音 波手術装置の全体構成図である。 【図14】第3実施形態の変形例において、電極と導電層の接触状態を説明するための図 である。 【図15】本発明の第4実施形態に係る超音波手術装置の構成を示す図である。 【図16】本発明の第4実施形態の変形例の構成を示す図である。 【図17】本発明の第4実施形態のさらなる変形例の構成を示す図である。 50

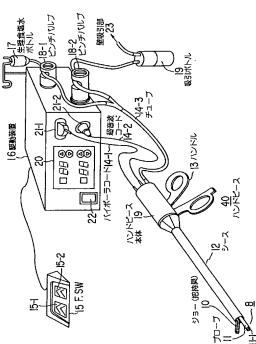
(9)

JP 2006-187668 A 2006.7.20

【符号の説明】 [0060]1 0 把持具(ジョー) プローブ 1 1 シース 1 2 1 3 ハンドル 14-1 バイポーラコード 1 4 - 2超音波コード 14-3 チューブ フットスイッチ (F. SW) 1 5 駆動装置 1 6 1 7 生理食塩水ボトル ピンチバルブ 1 8 ハンドピース本体 1 9 23 壁吸引部 4 0 ハンドピース

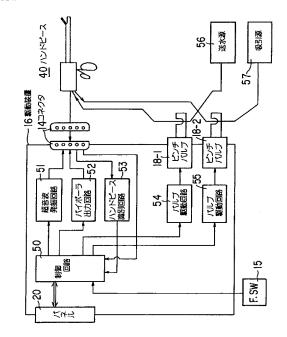
## 【図1】

図 1



【図2】 図2

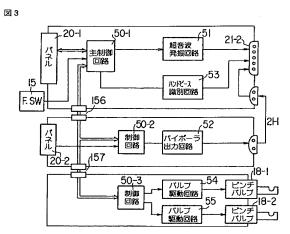
(10)

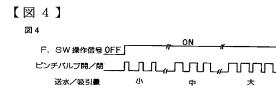




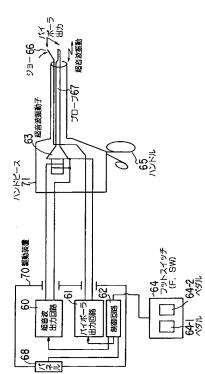


1 1









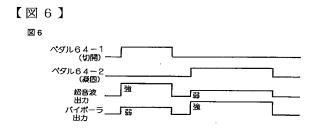
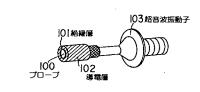


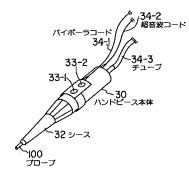


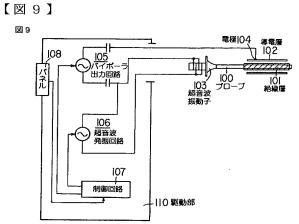
図 7





OFF





「104 導電ゴム (Oリング)

↓ 振動の節

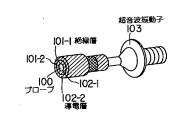
- |02.導電層

「<u>あ.</u> 00 `ーフ 振動の波

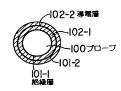
-102 導電層

-100プローフ

【図11】 図 11







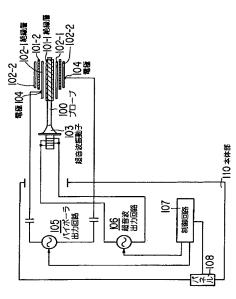


【図10】

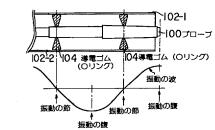
(A)

バネ接点 |04 (B)

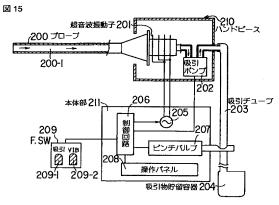
図 10





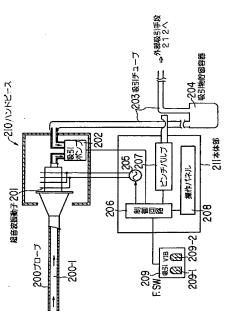






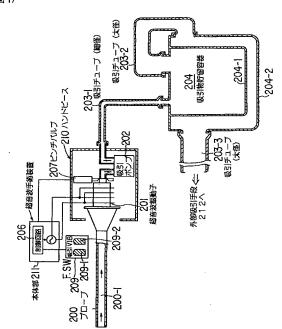
1

【図 1 6】 ⊠ 16



5

【図 1 7】 ⊠17



1 1

フロントページの続き

 (74)代理人
 100084618

 弁理士
 村松
 貞男

 (74)代理人
 100092196

弁理士 橋本 良郎

(72)発明者 櫻井 友尚

東京都渋谷区幡ヶ谷2丁目43番2号 オリンパス株式会社内

(72)発明者 宮澤 太郎

- 東京都渋谷区幡ヶ谷2丁目43番2号 オリンパス株式会社内
- Fターム(参考) 4C060 FF02 KK03 MM24

#### * NOTICES *

JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

#### CLAIMS

#### [Claim(s)]

1. In a galvanocautery of a fluid auxiliary type the aforementioned galvanocautery, A long and slender handle which has a end face and a tip and is provided with the length direction lumen which extends between the aforementioned end face and the aforementioned tip, A suction tube in which a part is arranged in the aforementioned lumen of the aforementioned handle, and a tip extends outside the aforementioned tip of the aforementioned handle, Are the conductive electrocauterization electrode constituted so that it might be connected to a source of radio-frequency energy, and the aforementioned electrode, Have a long and slender tube which constitutes a lumen which extends between a end face of the aforementioned electrode, and a tip, and the aforementioned electrode. The aforementioned electrocauterization electrode arranged in the aforementioned suction tube so that a tip of the aforementioned electrode may extend in the direction of a tip across the aforementioned tip of the aforementioned suction tube, Connected with the aforementioned end face of the aforementioned electrode, and fluid communicating was carried out to the aforementioned lumen of the aforementioned electrode, A galvanocautery of a fluid auxiliary type having a fluid input tube which it is a fluid input tube, and conductive fluid supplied from the aforementioned electrode.

2. Galvanocautery according to claim 1 which aforementioned tip of aforementioned electrode is formed evenly and has blade-like form.

3. Galvanocautery according to claim 1 which has further suction hose in which it came to communicate between aforementioned end face of aforementioned suction tube, and suction pump in order to suck smoke and fluid in electrocauterization.

4. Position in which, as for aforementioned suction tube, tip of aforementioned electrode extends across aforementioned tip of aforementioned suction tube and which was retracted completely. The galvanocautery according to claim 1 slidably arranged in the aforementioned handle so that the aforementioned tip of the aforementioned electrode can slide between positions which are arranged in the aforementioned suction tube, and which advanced completely.

5. In a method of performing, electrocauterization an aforementioned method, (a) A method of having a process of applying radiofrequency energy to an electrocauterization part via a conductive hollow electrode, and the (b) process (a) and a process of injecting into the aforementioned electrocauterization part simultaneously a conductive liquid emitted from the aforementioned electrode of performing electrocauterization.

6. Method according to claim 5 of having further process of sucking smoke and fluid from aforementioned electrocauterization part with suction tube which surrounds aforementioned electrode partially.

[Translation done.]

#### * NOTICES *

JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

#### DETAILED DESCRIPTION

[Detailed Description of the Invention]

<u>Fluid auxiliary electrocauterization equipment</u> More particularly, the <u>field present invention of invention</u> relates to electrocauterization equipment about the field of a medical device as a whole.

The electrocauterization equipment of various kinds for performing incision and cautery of <u>invention of background</u> systems of the body is known for the medical field, and it is used. Typically, the conductive blade or needle which serves as one electrode of an electric circuit is contained in such equipment. An electrode circuit is completed by connecting a ground electrode to a patient. Incision of an organization is completed by adding an electrical energy source (most generally high frequency generator) to a blade. If a blade is applied in an organization, a voltage gradient will occur and current and related generation of heat will occur by this in a contact place. When the level of electrical energy is sufficient expensive, the generated heat can cauterize a blood vessel simultaneously with cutting sufficiently for cutting an organization, and advantageously.

In the prior art, it becomes [ whether in many cases it generates by the electrocauterization of an organization, and ], and is diffusely careful by a lot of smoke being unpleasant at least depending on the case, and it is widely known depending on the case that it is dangerous for an operator and a paramedical personnel. Therefore, equipping electrocauterization equipment with the flue gas performance which discharges promptly the smoke generated by the electrocauterization from an incision region is proposed, and it is generally performed. Flue gas can be performed by providing the inlet port connected with a negative pressure source, i.e., suction sources, near the electrocauterization blade / the electrode of the handle of electrocauterization equipment. "The example was endowed with the waiver junior Electrocauterization equipment and a method, US,4,307,720.B of a title called

and the cleaning method", It describes in US,5,242,442,B of the title the "flue gas type electrosurgical device" endowed with Hirschfeld, and US,5,269,781,B of the title the "suction auxiliary electrocauterization unit" endowed with HEWERU. When the solidified blood collected on the electrode/blade of electrocauterization equipment, organization waste, and other waste produce a problem, for example, wipe a blade with gauze etc. for an operator, it needs to clean a blade periodically. This needs to interrupt the section in cleaning of an electrode/blade, and, generally, is considered not to be desirable for a risk of following on contamination of a blade, i.e., incision equipment, damage to a blade, and wounded [ of an operator ] increasing etc. In order to solve this problem, if a blade is retracted in a handle, by the prior art, it will have been proposed that an electrode/blade provides the galvanocautery which engaged with the handle of the instrument slidably so that the waste adhering to a blade may fail to be automatically scratched from the chip of a handle. Such an instrument is described in the '720 patent of the waiver of upper **. According to this composition, although the advantage of a certain degree is acquired, once a blade is retracted, it is still necessary to wipe off the chip of a handle. To this problem furthermore, a direct and effective method is decreasing the quantity of the waste by which it is generated in an electrocauterization process, and decreasing, even if the necessity for cleaning of an electrode/blade by this or it is small.

The outline present invention of invention relates to providing an improvement galvanocautery in view of the above consideration.

According to one working example of the present invention, if a suction tube is advanced, an electrode/blade will be covered in a tube, and a galvanocautery has the electrode/blade arranged in a drawing-in type suction tube so that it may expose, in order that the tip of an electrode/blade may perform the electrocauterization, if a suction tube is drawn in.

According to one characteristics of the present invention, the electrode/blade for electrocauterization have the conductive hollow tube which made the tip even in a blade-like form. Conductive fluid is added to the end face of a hollow electrode / blade, and is emitted from the tip of an electrode/blade into the electrocauterization.

According to one characteristics of the present invention, the conductive fluid which came out of the electrode/blade keeps away high frequency electrocauterization energy from a blade, and thereby, actually, cutting of an organization is not a metal blade and is mainly performed by the fluid. That is, a fluid acts as a "virtual" electrocauterization electrode. In order for not a blade but a fluid to perform incision and cautery, an organization does not burn, or a hole does not open in an organization, and the quantity of the waste which comes out at the time of incision decreases. The flow of the fluid which passes an electrode/blade has the tendency to hold a blade at low temperature purely.

The characteristics of more than and everything but <u>brief explanation of the drawings</u> this invention will be best understood by referring to the detailed description of the specific working example of the present invention in relation to an accompanying drawing.

Fig. 1 is a perspective view of a galvanocautery by one working example of the present invention.

Fig. 2 is an expansion perspective view at a tip of the electrode/blade of a galvanocautery of Fig. 1.

Reference of <u>detailed description Fig. 1 of the specific working example of the present invention</u> has shown the perspective view of the fluid auxiliary galvanocautery 10 by one working example of the present invention in this figure. The galvanocautery 10 contains the handle 12, the suction tube 14, and the electrocauterization electrode / blade 16. The handle 12 is made by sterilized rigidity, such as nylon, being nonconducing preferably. It is made of a sterilized nonconductor preferably, the part is slidably arranged in the lumen of the handle 12, and the suction tube 14 is projected in the direction of a tip besides the end of a handle. The electrode / blade 16 is arranged in the suction tube 14 and the handle 12. The suction tube 14 slides forward and backward about the handle 12 and the electrode 16 by the sliding lever 18 extended out of the slot 19 of the handle 12 (in namely, the direction of the arrow 20 of Fig. 1). In the state where the suction tube 14 is in the depression position shown in Fig. 1, the tip end part of the electrode / blade 16 is projected across the tip of the tube 14, therefore can perform the

electrocauterization. When a suction tube is in an advance position, the suction tube 14 covers the tip of an electrode / blade 16 completely.

According to one characteristics of the present invention, preferably, the electrode / blade 16 is formed using the cylindrical shape hollow tube which flattened the tip, as shown in the expansion perspective view of Fig. 2. It flattens, and also a part of tip of an electrode / blade 16 is removed, and the length direction slit 22 is formed.

Three joining segments are provided by the galvanocautery 10. One terminal (for example, positive electrode) of a high frequency (RF) generator (not shown in Fig. 1) is electrically connected to an electrode / blade 16 via the lead 24. The supply source of the fluid which should be emitted from the slit 22 of an electrode / blade 16 is connected with the end face of an electrode / blade 16 via the flexible tube 26, i.e., a hose, and the suction hose 28 is connected with the handle 12 so that it may communicate with the lumen of the handle 12, and the suction tube 14. If a suction force is applied via the hose 28, air and a fluid will be drawn at the tip of the suction tube 14, as the arrow 30 shows. Since it can advance the suction tube 14 about an electrode / blade 16 or retract, the suction tube 14 can be alone used for the operator of an instrument, without being able to perform the electrocauterization, sucking smoke and a fluid from an incision part, or performing the electrocauterization.

As mentioned above, conductive fluid is poured from the inflow tube 26, and it passes along with the length of an electrode / blade 16, and emits from the tip of an electrode/blade. This is performed in order to form what is called a virtual electrode for carrying out the electrocauterization. It is Peter M to add radio-frequency energy simultaneously with the injection of conductive fluid on August 27, 1993.

J. U.S. patent application 08th of a title called "the method and equipment" for performing high frequency excision for which it applied in the name of Mueller and Michael F. Hui / No. 113,441, U.S. patent application 08th of a title called "the method and equipment" for performing high frequency excision for which it applied in the name of Peter M.J. Mueller on September 8, 1994 / No. 303,246, And it is discussed still in detail by U.S. patent application 08th of a title called "the method and equipment" for performing high frequency excision for which it applied on September 8, 1994 in the name of heater M.J. Mueller and Michael F. Hui / No. 303,246, And it is discussed still in detail by U.S. patent application 08th of a title called "the method and equipment" for performing high frequency excision for which it applied on September 8, 1994 in the name of heater M.J. Mueller and Michael F. Hui / No. 302,304. '441 application of upper **, '246 application, and '304 application (in a lower sentence, these applications are collectively called "high frequency excision application") are respectively transferred to a grantee of the present invention. By having touched such patent application, contents currently disclosed in the patent application should be incorporated into this Description.

A "virtual electrode" is formed by injecting conductive fluid into a radio-frequency energy application region as it describes in the high frequency excision patent. The size and form of a virtual electrode can deform under control, are made to conductivity to some extent, and can change a spread of radio-frequency energy by this. The size of a "virtual electrode", form, and strength, i.e., the strength of generation of heat in a region, are controllable by changing factors, such as radio-frequency energy and temporal duration, grouting velocity of a conductive liquid, and the conductivity of the injected solution.

Overheating of an electrode/blade is prevented, and when a solution is not added, the part from which the organization which usually produces burns and ********* happens is kept from becoming largely by adding a conductive solution during application of radio-frequency energy in the case of the electrocauterization equipment by the present invention. Since this effect is heightened, it is possible to cool the solution injected first first.

It divides to the conductive solution considered to be suitable for formation of a virtual electrode, and a physiological saline, saturation physiology salt salt water, and the Ringer's solution are contained in it. It is good to connect the conventional pump with the input line 26 about the source of conductive fluid. In another mode, the small canister containing a conductive solution by which precompression was carried out is used so that a pump may not be needed.

In one working example, the handle 12 receives such an overpressure canister in an inside, and it can form it so that the necessity for the input line 26 may be abolished.

In the working example of <u>Fig.1</u>, although the input line 26, the suction line 28, and the electrical connection 24 are shown independently, these connecting parts to the absorber goods 10 are summarized, an inside can be equipped with two separate lumens which lead a fluid, and the insulated lead can make it the single line provided along with the side part.

The form of various modifications of an electrode / blade 16 is also considered. In one working example, it replaces with the tube form which Fig. 1 and Fig. 2 flattened, and a metal porosity element is used.

It is clear that the method and equipment for performing the fluid auxiliary electrocauterization of systems of the body which form the virtual electrode in which the fluid discharged from the hollow electrode / blade for electrocauterization cuts open and cauterizes an organization from the detailed description of the more than of the specific working example of the present invention were disclosed.

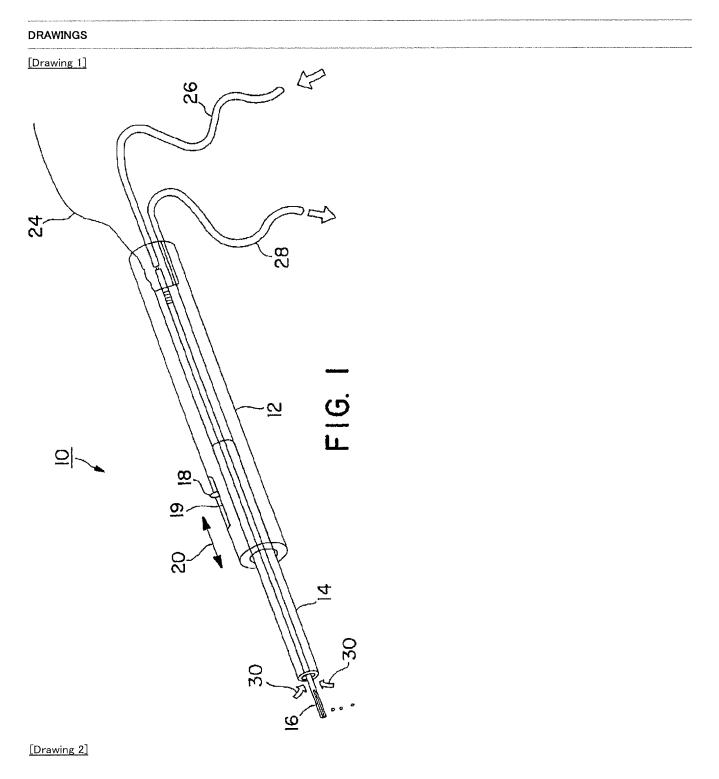
Although the specific working example of the present invention was described, this is performed in order to show the various characteristics of the present invention chiefly, and does not try not to limit about the range of the present invention. Although the working example discussed specifically is included in this Description, it is possible to give the working example of disclosure, without deviating from the pneuma and the range of this Description which described various substitution which is not limited to this, change, and/or deformation in attached Claim.

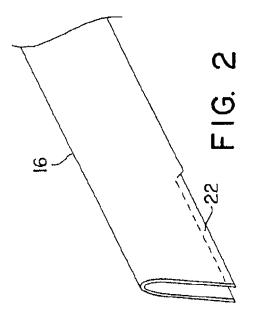
[Translation done.]

## * NOTICES *

# JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.





[Translation done.]

## (12) 公表特許公報(A)

(11)特許出願公表番号

特表2001-501513

(P2001-501513A)

(全 14 頁)

## (43) 公表日 平成13年2月6日(2001.2.6)

予備審査請求 有

(51) Int.Cl. ⁷		識別記号	FΙ			テーマコード(参考)
A 6 1 B	18/12		A 6 1 B	17/39	310	
	18/04			17/38	310	

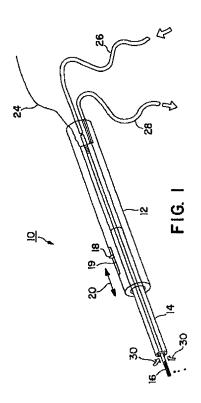
審査請求 未請求

(21)出願番号	特願平10-516462	(71)出顧人	メドトロニック・インコーポレーテッド
(86) (22)出顧日	平成8年10月2日(1996.10.2)		アメリカ合衆国ミネソタ州55432, ミネア
(85)翻訳文提出日	平成11年4月2日(1999.4.2)		ポリス,ノース・イースト・セントラル・
(86)国際出願番号	PCT/US96/15796		アベニュー 7000
(87)国際公開番号	WO98/14131	(72)発明者	ミュリアー, ピーター・エム・ジェイ
(87)国際公開日	平成10年4月9日(1998.4.9)		アメリカ合衆国ミネソタ州55112, セン
(81)指定国	EP(AT, BE, CH, DE,		ト・ポール,フォレスト・レイン 1408
DK, ES, FI,	FR, GB, GR, IE, IT, L	(72)発明者	ホーイ,マイケル・エフ
U, MC, NL, F	T, SE), AU, CA, JP		アメリカ合衆国ミネソタ州55126,ショア
			ピュー, ポンド・ドライブ 5377
		(74)代理人	弁理士 社本 一夫 (外5名)

(54) 【発明の名称】 流体補助電気焼灼装置

## (57)【要約】

導電性流体源が中空電極の基端に連結された電気焼灼器 である。導電性流体は、前記電極と連通し、電気焼灼中 に電極の先端から放出されて「仮想電極」を形成する。 注入された導電性液体は、高周波電気焼灼エネルギを導 電性電極から離隔させ、これによって熱の発生領域を移 動させて、従来の電気焼灼電極によって発生させられる 燃焼や穿孔の程度を減少させる。一つの実施例では、電 極の一部は、引込み式電気焼灼箇所から煙及び流体を吸 引する吸引チュープの先端の内部に配置され、且つ、こ の先端から外方に延在する。吸引チューブを完全に前進 させた場合には、電極は吸引チューブ内に隠され、電気 焼灼を行うことなく吸引を行うことができる。



## (19)日本国特許庁(JP)

(2)

【特許請求の範囲】

1. 流体補助式の電気焼灼器において、前記電気焼灼器は、

基端及び先端を有し、前記基端と前記先端との間に延在する長さ方向内腔を備 える、細長いハンドルと、

一部が前記ハンドルの前記内腔内に配置され、先端が前記ハンドルの前記先端 の外に延在する、吸引チューブと、

高周波エネルギ源に接続されるように構成された導電性電気焼灼電極であって、前記電極は、前記電極の基端と先端との間に延在する内腔を構成する細長いチューブを備え、前記電極は、前記電極の先端が前記吸引チューブの前記先端を越えて先端方向に延在するように前記吸引チューブ内に配置されている、前記導電 性電気焼灼電極と、

前記電極の前記基端に連結され、かつ、前記電極の前記内腔と流体連通した、 流体入力チューブであって、前記入力チューブから供給された導電性流体が前記 電極に沿って供給され、前記電極の前記先端から放出される、流体入力チューブ と、

を有することを特徴とする、流体補助式の電気焼灼器。

2. 前記電極の前記先端は平坦に形成されてブレード状形体を有する、請求項1 に記載の電気焼灼器。

3. 電気焼灼中に煙及び流体を吸引するため、前記吸引チューブの前記基端と吸 引ポンプとの間に連通されるようになった吸引ホースを更に有する、請求項1に 記載の電気焼灼器。

4. 前記吸引チューブは、前記電極の先端が前記吸引チューブの前記先端を越え て延在する完全に引っ込められた位置と、前記電極の前記先端が前記吸引チュー ブ内に配置された完全に前進した位置との間で摺動できるように、前記ハンドル 内に摺動自在に配置されている、請求項1に記載の電気焼灼器。

5. 電気焼灼を行う方法において、前記方法は、

(a) 導電性中空電極を介して電気焼灼箇所に高周波エネルギを印加する工程と

(b)工程(a)と同時に、前記電極から放出された導電性の液体を前記電気焼 灼箇所に注入する工程と、

(3)

を有することを特徴とする、電気焼灼を行う方法。

1.1

6. 前記電極を部分的に包囲する吸引チューブによって前記電気焼灼箇所から煙 及び流体を吸引する工程を更に有する、請求項5に記載の方法。

## 【発明の詳細な説明】

_ | |

## 流体補助電気焼灼装置

## 発明の分野

本発明は、全体として、医療器具の分野に関し、更に詳細には、電気焼灼装置に関する。

## 発明の背景

身体組織の切開及び焼灼を行うための様々な種類の電気焼灼装置が医療の分野 で知られており且つ使用されている。代表的には、このような装置には、電気回 路の一方の電極として役立つ導電性のブレード又はニードルが含まれる。電極回 路は、接地電極を患者に接続することによって完成する。組織の切開は、電気エ ネルギ源(最も一般的には、高周波発生器)をブレードに加えることによって完 了する。ブレードを組織に当てると、電圧勾配が発生し、これによって電流及び 関連した発熱が接触箇所で発生する。電気エネルギのレベルが十分に高い場合に は、発生した熱は組織を切断するのに十分であり、有利には、血管を切断と同時 に焼灼できる。

従来技術において、組織の電気焼灼によって多くの場合に発生するかなり大量 の煙は、少なくとも不快であり、場合によっては注意を散漫にし、場合によって は術者及び医療補助員にとって危険であるということが広く知られている。その ため、電気焼灼によって発生した煙を切開領域から迅速に排出する排煙性能を電 気焼灼装置に備えることが提案されており、一般的に行われている。排煙は、負 圧源即ち吸引源に連結される入口ポートを、電気焼灼装置のハンドルの電気焼灼 ブレード/電極の近くに設けることによって行うことができる。例は、ウェーバ ー・ジュニアに賦与された「電気焼灼装置及び方法、及びそのクリーニング方法 」という標題の米国特許第4,307,720号、ハーシュフェルドに賦与され た「排煙式電気手術装置」という標題の米国特許第5,242,442号、及

びへウェルに賦与された「吸引補助電気焼灼ユニット」という標題の米国特許第 5,269,781号に記載されている。

電気焼灼装置の電極/ブレードに溜まった凝固した血液、組織屑、及び他の屑

が術者にとって問題を生じ、例えばブレードをガーゼ等で拭くこと等によってブ レードのクリーニングを定期的に行うことを必要とする。これは、一般的には、 電極/ブレードのクリーニングには切開術を中断する必要があり、ブレード即ち 切開装置の汚染、ブレードの損傷、術者の受傷に伴う危険が増大する等のため、 望ましくないと考えられている。この問題点を解決するため、従来技術では、ブ レードをハンドル中に引っ込めると、ブレードに付着した屑がハンドルのチップ から自動的に掻き落とされるように、電極/ブレードが器具のハンドルと摺動自 在に係合した電気焼灼器を提供することが提案されてきた。このような器具は、 上掲のウェーバーの'720特許に記載されている。この構成によれば、或る程 度の利点が得られるが、それでも、ひとたびブレードが引っ込められると、ハン ドルのチップを拭き取る必要がある。この問題点に対する更に直接的であり且つ 効果的な方法は、電気焼灼プロセス中に発生する屑の量を減少し、これによって 、電極/ブレードのクリーニングの必要をなくすか或いは少なくとも減少するこ とである。

### 発明の概要

本発明は、以上の配慮に鑑み、改良電気焼灼器を提供することに関する。

本発明の一実施例によれば、電気焼灼器は、吸引チューブを前進させると電極 /ブレードがチューブ内に覆い隠され、吸引チューブを引っ込めると電極/ブレ ードの先端が電気焼灼を行うために露呈するように引込み式吸引チューブ内に配 置された電極/ブレードを有する。

本発明の一つの特徴によれば、電気焼灼用電極/ブレードは、先端をブレード 状形体に平らにした導電性中空チューブを有する。導電性流体は、中空電極/ブ レードの基端に加えられ、電気焼灼中に電極/ブレードの先端から放出される。 本発明の一つの特徴によれば、電極/ブレードから出た導電性流体が高周波電気

焼灼エネルギをブレードから遠ざけ、これにより、組織の切断が、実際には、金 属製ブレードでなく主として流体によって行なわれる。即ち、流体は「仮想」電 気焼灼電極として作用する。切開及び焼灼を行うのがブレードでなく流体である ため、組織が燃えたり組織に穴が開いたりすることがなく、切開時に出る屑の量 が減少する。更に、電極/ブレードを通過する流体の流れは、ブレードを清浄に 且つ低温に保持する傾向がある。

# 図面の簡単な説明

本発明の以上の及び他の特徴は、本発明の特定の実施例の詳細な説明を添付図面と関連して参照することにより最もよく理解されるであろう。

第1図は、本発明の一実施例による電気焼灼器の斜視図であり、

第2図は、第1図の電気焼灼器の電極/ブレードの先端の拡大斜視図である。

## 本発明の特定の実施例の詳細な説明

第1図を参照すると、この図には、本発明の一実施例による流体補助電気焼灼 器10の斜視図が示してある。電気焼灼器10は、ハンドル12、吸引チューブ 14、及び電気焼灼電極/ブレード16を含む。ハンドル12は、好ましくは、 ナイロン等の殺菌された剛性の不導体でできている。吸引チューブ14もまた、 好ましくは、殺菌された不導体でできており、一部がハンドル12の内腔内に摺 動自在に配置されており、ハンドルの端部の外に先端方向に突出している。電極 /ブレード16は、吸引チューブ14及びハンドル12内に配置されている。の 引チューブ14は、ハンドル12のスロット19の外に延びる摺動レバー18に よって、ハンドル12及び電極16に関して前後に(即ち第1図の矢印20の方 向に)摺動するようになっている。吸引チューブ14が第1図に示す引っ込み位 置にある状態では、電極/ブレード16の先端部分はチューブ14の先端を越え て突出しており、そのため、電気焼灼を行うことができる。吸引チューブが前進 位置にある場合には、吸引チューブ14は電極/ブレード16の尖端を完全に覆 い隠す。

本発明の一つの特徴によれば、電極/ブレード16は、好ましくは、第2図の

拡大斜視図に示すように、先端を平らにした円筒形中空チューブを使用して形成 されている。平らにされている他、電極/ブレード16の先端の一部を除去し、 長さ方向スリット22が形成されている。

電気焼灼器10には三つの連結部分が設けられている。高周波(RF)発生器 (第1図には示さず)の一方の端子(例えば正極)を導線24を介して電極/ブ

(6)

1 1.

レード16に電気的に接続し、電極/ブレード16のスリット22から放出され るべき流体の供給源が電極/ブレード16の基端に可撓性チューブ即ちホース2 6を介して連結され、吸引ホース28が、ハンドル12の内腔及び吸引チューブ 14と連通するようにハンドル12に連結されている。ホース28を介して吸引 力を加えると、空気及び流体が矢印30が示すように吸引チューブ14の先端に 引込まれる。吸引チューブ14を電極/ブレード16に関して前進させたり引っ 込めたりできるため、器具のオペレータは、切開箇所から煙や流体を吸引しなが ら電気焼灼を行うことができ、又は電気焼灼を行わずに吸引チューブ14を単独 で使用できる。

上述のように、導電性流体を流入チューブ26から流し、電極/ブレード16 の長さに沿って流し、電極/ブレードの先端から放出する。これは、電気焼灼を 実施するためのいわゆる仮想電極を形成するために行われる。導電性流体の注入 と同時に高周波エネルギを加えることは、1993年8月27日にピーターM. J.ミュラー及びマイケルF.ホイの名で出願された「高周波切除を行うための 方法及び装置」という標題の米国特許出願第08/113,441号、1994 年9月8日にピーターM.J.ミュラーの名で出願された「高周波切除を行うた めの方法及び装置」という標題の米国特許出願第08/303,246号、及び 1994年9月8日にヒーターM.J.ミュラー及びマイケルF.ホイの名で出 願された「高周波切除を行うための方法及び装置」という標題の米国特許出願第 08/302,304号に更に詳細に論じられている。上掲の'441出願、' 246出願、及び'304出願(下文において、これらの出願を纏めて「高周波 切除出願」と呼ぶ)は、各々、本発明の譲受人に譲渡されており、これらの特

許出願に触れたことにより、その特許出願に開示されている内容は本明細書中に 組入れたものとする。

高周波切除特許に記載されているように、高周波エネルギ適用領域に導電性流体を注入することにより、「仮想電極」を形成する。仮想電極の大きさ及び形状は制御下で変形でき、多かれ少なかれ導電性にでき、これによって高周波エネル ギの拡がりを変えることができる。高周波エネルギ及び持続時間、導電性液体の 注入速度、及び注入した溶液の導電性等の要因を変化させることによって、「仮 想電極」の大きさ、形状、及び強さ、即ち領域内での発熱の強さを制御できる。 本発明による電気焼灼装置の場合には、高周波エネルギの適用中に導電性溶液を 加えることにより、電極/ブレードの過熱を阻止し、溶液が加えられない場合に 通常生じる組織の燃えや焦げが起こる箇所が大きくならないようにする。この効 果を高めるため、先ず最初に、注入される溶液を冷却することが考えられる。

仮想電極の形成に適していると考えられる導電性溶液には、とりわけ、生理食 塩水、飽和生理食塩塩水、リンゲル溶液が含まれる。導電性流体源に関し、入力 ライン26に従来のポンプを連結するのがよい。別の態様では、ポンプが必要と されないように、導電性溶液が入った小さな予圧されたキャニスタを使用する。 一実施例では、ハンドル12は、このような過圧キャニスタを内部に受け入れ、 入力ライン26の必要をなくすように形成できる。

図1の実施例では、入力ライン26、吸引ライン28、及び電気接続部24が 別々に示してあるが、吸収体物品10へのこれらの連結部を纏め、流体を導く二 つの別々の内腔を内部に備え、絶縁された導線が側部に沿って設けられた単一の ラインにすることができる。

電極/ブレード16の様々な変形例の形体も考えられる。一実施例では、第1 図及び第2図の平らにしたチューブ形体に代えて金属製多孔質エレメントが使用 される。

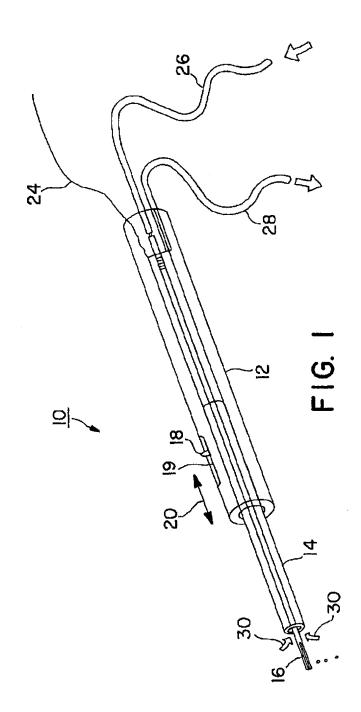
本発明の特定の実施例の以上の詳細な説明から、電気焼灼用中空電極/ブレードから排出された流体が組織を切開し焼灼する仮想電極を形成する、身体組織の

流体補助電気焼灼を行うための方法及び装置が開示されたことは明らかである。

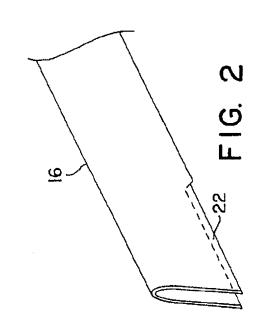
本発明の特定の実施例を説明したが、これは、専ら本発明の様々な特徴を示す 目的で行ったものであって、本発明の範囲に関して限定を行おうとするものでは ない。本明細書中に特定的に論じた実施例を含むがこれに限定されない様々な代 替、変更、及び/又は変形を、添付の請求の範囲に記載した本明細書の精神及び 範囲から逸脱することなく、開示の実施例に施すことが考えられる。

| 1

【図1】







# 【国際調査報告】

	INTERNATIONAL SEARCH	DEBODT	·		
	INTERNATIONAL SEARCH	REFURI	Inter. Ani Appl	cation No	
			PCT/US 96	/15796	
A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61B18/14 A61M1/00					
According t	o International Patent Classification (IPC) or to both national classific	ation and IPC			
and the second se	SEARCHED				
IPC 6	ocumentation searched (classification system followed by classificatio A61B A61M	•			
Documental	ion searched other than minimum documentation to the extent that su	ch decuments are inc	ludea in the fields s	carched	
Electronic d	ata base consulted during the international search (name of data base	and, where practical,	search terms used)		
C. DOCUN	IENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where appropriate, of the rele	evant passages		Relevant to claim No.	
Y	US 4 326 529 A (DOSS JAMES D ET AL) 27 April 1982 see abstract; figures 1-5 see column 3, line 13 - column 4, line 10			1 <b>-4</b>	
Y	US 5 472 441 A (EDWARDS STUART D ET AL) 5 December 1995 see abstract; figure 1 see column 7, line 1 - line 55			1-4	
A	US 1 735 271 A (SUTTEN H. GROFF) 12 November 1929 see page 1, line 44 - page 2, line 10; figure 1 			2	
-					
X Furt	her documents are listed in the continuation of box C.	X Patent family	members are listed	in annex.	
<ul> <li>Special categories of cited documents :</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document published prior to the international filing date but later than the priority date claimed</li> <li>"T" later document published after the international or priority date claimed</li> <li>"T" later document published after the international or priority date claimed</li> <li>"T" later document published after the international or priority date claimed</li> <li>"T" later document published after the international filing date but later than the priority date claimed</li> <li>"T" later document published after the international or priority date claimed</li> <li>"T" later document published after the international or priority date claimed</li> <li>"T" later document published after the international filing date but later than the priority date claimed</li> </ul>			th the application but teory underlying the claimed invention is econsidered to cument at taken alone claimed invention vecative stop when the one other such docu- us to a person stilled i family		
	actual completion of the international search June 1997	Date of mailing of the international search report			
Name and i	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fas. (+31-70) 340-3016	Authorized officer			

Form PCT/ISA/210 (second sheet) (July 1992]

# 特表2001-501513

. 1

# (12)

INTERNATIONAL SEARCH REPORT

	INTERNATIONAL SEARCH REPORT	
		Inter nat Application No
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 96/15796
Category *	Citaton of document, with indication, where appropriate, of the relevant passages	Relevant to chairs No.
		Relevant to claim No,
A	W0 90 03152 A (CONSIDINE JOHN ;COLIN JOHN BUNCE (GB)) 5 April 1990 see abstract; figures 6-9 see page 9, line 14 - page 12, line 8	1,3,4
A	US 5 167 659 A (OHTOMO NAOKI ET AL) 1 December 1992 see abstract; figures 1-3 see column 1, line 63 - column 2, line 37 see column 3, line 11 - line 68	1
A	US 5 401 272 A (PERKINS RODNEY C) 28 March 1995 see abstract; figure 1A see column 2, line 59 - column 3, line 35	1

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

# 特表2001-501513

	In ational application No.			
INTERNATIONAL SEARCH REPORT	PCT/US 96/ 15796			
Box I Observations where certain claims were found unsearchable (Continuation of	if item 1 of first sheet)			
This International Search Report has not been established in respect of certain claims under	Arlicle 17(2)(2) for the following reasons:			
1. X Claims Nos.: 5,6 because they relate to subject matter not required to be searched by this Authority, namely: PCT Rule 39.1 (iv)				
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:				
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second	d and third sentences of Rule 6.4(x).			
Box II Observations where unity of invention is lacking (Continuation of item 2 of	first sheet)			
This International Searching Authority found multiple inventions in this international applica	tion, as follows:			
	· · · · · · · · · · · · · · · · · · ·			
1. As all required additional search fees were timely paid by the applicant, this Interna searchable claims.	tional Scarch Report covers all			
2: As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.				
3. As only some of the required additional search fees were timely paid by the applicat covers only those claims for which fees were paid, specifically claims Nos.:	nt, this International Search Report			
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:				
	accompanied by the applicant's protest. yment of additional search fees.			

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

L

11	1
1)	4)

INT P	CDNATHANIAT CEADELL	REPORT	P	
	ERNATIONAL SEARCH Information on patent family members	REFURI		Application No
	PCT/US		96/15796	
Patent document cited in search report	Publication date	Patent famil member(s)		Publication date
US 4326529 A	27-04-82	NONE	<u> </u>	
US 5472441 A	05-12 <b>-95</b>	US 545859 AU 105149 WO 951311 US 553626 US 550774 US 559934 US 559934	5 A 3 A 7 A 3 A 5 A	17-10-95 29-05-95 18-05-95 16-07-96 16-04-96 04-02-97 04-02-97
US 1735271 A	12-11-29	NONE	یز چا که نو <b>پر بر و پر ب</b> ر ا	~ = <b>= =</b> = <del>-</del>
WO 9003152 A	05-04-90	DE 6892074 DE 6892074 EP 043592 JP 450167 US 544150	7 T 9 A 74 T	02-03-95 08-06-95 10-07-91 26-03-92 15-08-95
US 5167659 A	01-12-92	JP 402235 JP 703480		27-01-92 19-04-95
US 5401272 A	28-03-95	US 544149	18 A	15-08-95

INTERNATIONAL SEARCH REPORT

1 1

Form PCT/ISA/210 (patent family annex) (July 1992)

# PATENT ABSTRACTS OF JAPAN

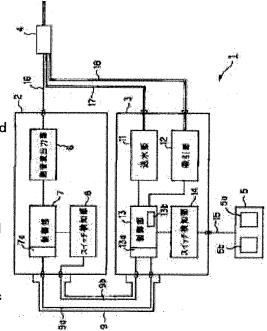
(11)Publication number :2001-112768(43)Date of publication of application :24.04.2001

(51)Int.Cl.		A61B 17/22 A61B 18/00
1		A61B 18/12
(21)Application numb	er : 11-294331	(71)Applicant : OLYMPUS OPTICAL CO LTD
(22)Date of filing :	15.10.1999	(72)Inventor: TAKAHASHI HIROYUKI

# (54) LIQUID SUPPLY/COLLECTION DEVICE

# (57)Abstract:

PROBLEM TO BE SOLVED: To provide a liquid supply/collection device which is economical and has a wide application and is not only simply used by itself but also capable of being controlled together with an ultrasonic surgery device and a high radio frequency surgery device. SOLUTION: Both the water supplying part 11 for feeding water and the suction part 12 for sucking water are controlled by a control part 13, and they are used separately, but also when he ultrasonic surgery device 2 is connected through a communication cable 9, the kind of the connected device is recognized by the communication between both for sending and receiving the ID information to become an interlocked control condition, and, for instance, when the ultrasonic pedal 5a of a foot switch 5 is trodden on, the ultrasonic operating device 2 outputs an output signal for ultrasonically driving it to an ultrasonic probe 4, and also a water supply/suction device 3 jets the liquid from the end opening of the ultrasonic probe 4 through the water supplying part 11 so that both devices can be interlockedly operated.



### * NOTICES *

# JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

### CLAIMS

### [Claim(s)]

[Claim 1]A feeding means which supplies a fluid.

A recovery means which carries out suction recovery of the fluid etc.

In a fluid supply recovery system provided with the above,

A means of communication which communicates with an ultrasonic surgery apparatus or a high frequency operation system connected selectively, In accordance with classification of equipment identified by device identification means to identify classification of connected equipment, and the aforementioned device identification means, A fluid supply recovery system having a control means of the aforementioned feeding means and a recovery means which is interlocked with operation of the aforementioned ultrasonic surgery apparatus or a high frequency operation system, and operates a feeding means at least by communication with the aforementioned ultrasonic surgery apparatus or a high frequency operation system.

[Translation done.]

* NOTICES *

JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

### DETAILED DESCRIPTION

[Detailed Description of the Invention] [0001]

[Field of the Invention]The present invention relates to the fluid supply recovery system in which supply of a fluid etc. and collecting operation are possible in conjunction with the ultrasonic surgery apparatus or high frequency operation system connected.

[0002]

[Description of the Prior Art]In recent years, in order to perform a surgical operation, the high frequency operation system (electrotome) which takes a measure by energizing the ultrasonic surgery apparatus and high frequency current by an ultrasonic wave came to be used widely. For example, with the ultrasonic surgery apparatus of JP,H6-38973,A, supersonic vibration is transmitted to a probe, and it is equipment which crushes, emulsifies and sucks an organization, and mainly comprises an ultrasonic outputting part, a returning-water suction part, and a switch part.

[0003]It is for collecting the organizations and rinsing liquid (cooling fluid) which were emulsified in order to cool a probe, in order that the role of a returning-water suction part may wash an organization at this time. These days, there is also ultrasonic coagulotomy equipment which comprises an ultrasonic outputting part which does not have a returning-water sucking function as it discloses in JP,H9-38098,A, and a switch part.

[0004]<u>Fig.7</u> shows the composition of the conventional ultrasonic surgery apparatus 51 relevant to the present invention. The ultrasonic probe 53 which conducts the operation which this ultrasonic surgery apparatus 51 was connected to the main device 52 and this main device 52, and the operator gripped, and used the ultrasonic wave, It is connected to the main device 52 and has the foot switch 54 which performs operation which controls ON/OFF of an ultrasonic output, etc. to the ultrasonic probe 53 via this main device 52.

[0005]The returning-water part 55 which returns water in the main device 52, and the suction part 56 to suck. It has the ultrasonic outputting part 57 which outputs an ultrasonic wave, and the control part 58 which controls these. Having the switch detection part 59 which detects operation of the ultrasonic pedal 54a of the foot switch 54, and the returning-water pedal 54b, the control part 58 controls operation of a returning-water part and the ultrasonic outputting part 58 by the detection result of this switch detection part 59.

[0006]The returning-water part 55 and the suction part 56 are connected with the ultrasonic probe 53 via the returning-water tube and the suction tube, respectively. The ultrasonic outputting part 57 is also connected with the ultrasonic probe 53 via the ultrasonic drive cable.

[0007]In this conventional example, after powering on, if the ultrasonic probe 53 is connected to the main device 52, the suction part 56 will start suction with the command of the control part 58, and a liquid, an organization, etc. will be sucked from the opening which was provided at the tip of the ultrasonic probe 53 and which is not illustrated. If the ultrasonic pedal 54a of the foot switch 54 is stepped on, the information will be input into the switch detection part 59, and a detection result will be transmitted to the control part 58. The control part 58 gives an operation command to the ultrasonic outputting part 57 and the returning-water part 55.

[0008]The ultrasonic output according to setting out is transmitted to the ultrasonic probe 53, the tip of the ultrasonic probe 53 vibrates, and the ultrasonic outputting part 57 crushes and emulsifies an organization. The returning-water part 55 ejects the liquid for washing an organization from the opening which was provided at the tip of the ultrasonic probe 53 in the water supply guantity according to setting out and which is not illustrated, and the liquid for cooling the ultrasonic probe 53.

[0009]By performing 2 above-mentioned operations simultaneously, crushing, emulsification, and suction work are [ an organization ] realizable. If the returning-water pedal 54a of the foot switch 54 is stepped on, the information will be input into the switch detection part 59, and a detection result will be transmitted to the control part 58. The control part 58 gives an operation command to the returning-water part 55. A returning-water part ejects the liquid for washing an organization from the opening which was provided at the tip of the ultrasonic probe 53 in the water supply quantity according to 55 setting out and which is not illustrated.

[0010]On the other hand, a high frequency operation system (electrotome) cuts open and solidifies an organization according to the high frequency current. For example, in the bipolar system for brain surgery, while there is a water pipe way and it returns water to bipolar forceps, the high frequency current is outputted.

[0011]The role of the water supplying means at this time is protecting an organization from an extremes-of-temperature rise, and preventing carbonization of an organization by being interlocked with washing of an organization, and the output of the electrotome. Thereby, there is a merit for which an organization becomes difficult to adhere to the electrode of a treatment implement. The composition at this time is high frequency output sections, a returning-water part, and a switch part. However, that in which it is usually most which comprised high frequency output sections and a switch part, and the electrotome provided the returning-water part is marketed as a brain surgery special-purpose machine.

[0012]Fig.8 shows the composition of the conventional electrotome 61 relevant to the present invention. The bipolar forceps 63 which this electrotome 61 is connected to the main device 62 and this main device 62, and deals with incision, coagulation, etc. by the high frequency current, It is connected to the main device 62 and has the foot switch 64 which performs incision by the bipolar forceps 63, and operation of coagulation via this main device 62.

[0013]The returning-water part 65 which returns water in the main device 62, and the high frequency output sections 66 which output the high frequency current, Having the switch detection part 68 which has the control part 67 which controls these, and

detects operation of the coagulation pedal 64a of the foot switch 64, and the incision pedal 64b, the control part 67 controls operation of the returning-water part 65 and the high frequency output sections 67 by the detection result of this switch detection part 68.

[0014] The returning-water part 65 is connected with the bipolar forceps 63 via a returning-water tube, and the high frequency output sections 66 are connected with the bipolar forceps 63 via the high frequency signal cable.

[0015]In this conventional example, if the bipolar forceps 63 is connected to the main device 62 and the incision pedal 64a of the foot switch 64 is stepped on, that information will be input into the switch detection part 68, and a detection result will be transmitted to the control part 67. The control part 67 gives an operation command to the high frequency output sections 66. The high frequency output sections 66 transmit the high frequency output according to setting out to the bipolar forceps 63 a treatment implement and here, and an incision output gives them to an organization from the tip electrode part which is not illustrated.

[0016]If the coagulation pedal 64b of the foot switch 64 is stepped on, the information will be input into the switch detection part 68, and a detection result will be transmitted to the control part 67. The control part 67 gives an operation command to the high frequency output sections 66 and the returning-water part 65.

[0017]The high frequency output sections 66 transmit the high frequency output according to setting out to the bipolar forceps 63 a treatment implement and here, and a coagulation output is given to an organization from the tip electrode part which is not illustrated.

[0018] The returning-water part 65 ejects the water supply quantity according to setting out from the opening at the tip which the bipolar forceps 63 does not illustrate. The coagulation which is not carbonized is attained washing an organization by performing 2 above-mentioned operations simultaneously. Since an organization does not carbonize, an organization does not adhere to the polar zone.

[0019]

[Problem to be solved by the invention]In the actual condition of what is a function in which the returning-water parts 55 and 65 etc. are common in the ultrasonic surgery apparatus 51 and the electrotome 61 of a conventional example as described by <u>Fig.7</u> and Fig.8, it is marketed as separate equipment, and a user has to stop having to prepare two common functions, it is uneconomical and treatment is restricted.When the thing with the overlapping function was installed, respectively, the problem which becomes narrow also had a way place.

[0020]The present invention was made in view of the point mentioned above, and use independently is aimed at providing an ultrasonic surgery apparatus and a high frequency operation system, and the economical fluid supply recovery system with a wide scope whose gang control is possible from the first.

#### [0021]

[Means for solving problem]In the fluid supply recovery system provided with the feeding means which supplies a fluid, and the recovery means which carries out suction recovery of the fluid etc., The means of communication which communicates with the ultrasonic surgery apparatus or high frequency operation system connected selectively. In accordance with the classification of the equipment identified by device identification means to identify the classification of the connected equipment, and the aforementioned device identification means, By having provided the control means of the aforementioned feeding means and the recovery means which is interlocked with operation of the aforementioned ultrasonic surgery apparatus or a high frequency operation system, and operates a feeding means at least by communication with the aforementioned ultrasonic surgery apparatus or a high frequency operation system. Use independently can be performed, and as it connected with the equipment with which classification differs and gang control was completed, economical use was completed and the wide scope is secured. [0022]

[Mode for carrying out the invention]Hereafter, an embodiment of the invention is described with reference to Drawings. (First embodiment) <u>Fig.1</u> thru/or Fig.3 start the first embodiment of the present invention, <u>Fig.1</u> shows the entire configuration of the ultrasonic surgery system provided with the first embodiment, Fig.2 shows the contents of processing of a returning-water suction unit, and Fig.3 shows the contents of processing of an ultrasonic surgery apparatus.

[0023] The ultrasonic surgery apparatus (main part of an ultrasonic surgery apparatus) 2 which the ultrasonic surgery system 1 provided with the first embodiment of the present invention shown in <u>Fig.1</u> uses an ultrasonic wave, and emulsifies [ which emulsifies, and cuts open and solidifies it ] it and sucks a body tissue, The returning-water suction unit (main part of a returning-water suction unit) 3 as a fluid supply recovery system (present invention) connected via this ultrasonic surgery apparatus 2 and telecommunication cable 9, It consists of the ultrasonic probe 4 as an ultrasonic treatment part which it is connected with the ultrasonic surgery apparatus 2 and the returning-water suction unit 3, and an operator grips, and performs treatment by an ultrasonic wave, and the foot switch 5 which is connected with the returning-water suction unit 3, for example, and performs control operation of ultrasonic output operation and returning-water operation.

[0024]In this ultrasonic surgery system 1, the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 serve as a separate body. The ultrasonic surgery apparatus 2 shown by <u>Fig.1</u> is equivalent to the thing which deleted the returning-water part 55 and the suction part 56 from the ultrasonic surgery apparatus 51 shown by Fig.7 and by which functional limitation was carried out.

[0025]Specifically, this ultrasonic surgery apparatus 2 has the ultrasonic outputting part 6 which outputs an ultrasonic wave, the control part 7 which controls operation of this ultrasonic outputting part 6, and the switch detection part 8 which detects the operation switch of the ultrasonic pedal 5a of the foot switch 5, and the returning-water pedal 5b via the returning-water suction unit 3.

[0026] The above-mentioned control part 7 has apparatus connected with the telecommunication cable 9, and the communications department 7a which performs communication of the returning-water suction unit 3 and both directions here. In this communications department 7a, classification of the apparatus of this ultrasonic surgery apparatus 2 is made identifiable, and it has the generating means of the ID information which is also characteristic data. It has the identification device which identifies the classification of the apparatus, etc. by the ID information from the apparatus connected by the telecommunication cable 9. The ultrasonic outputting part 6 is equivalent to conventional ultrasonic coagulotomy equipment, and is constituted from a power supply and a control part required to output an ultrasonic wave, an oscillation part, an outputting part, and a detection part by the ultrasonic outputting part 6.

[0027]The returning-water suction unit 3 has the returning-water part 11 which returns water, the suction part 12 to suck, the control part 13 which controls these, and the switch detection part 14 which detects the operation switch of the foot switch 5 connected to the returning-water suction unit 3. This foot switch 5 is connected to the returning-water suction unit 3 freely

#### attachable/detachable by the connecting cable 15.

[0028]It is connected freely attachable/detachable between the returning-water suction unit 3 and the ultrasonic surgery apparatus 2, and is connected with the control part 7 of the ultrasonic surgery apparatus 2 by the signal wire 9a of the telecommunication cable 9 which communicates, and the control part 13 of the returning-water suction unit 3. It is connected also with the switch detection part 8 of the ultrasonic surgery apparatus 2 by the signal wire 9b of the telecommunication cable 9.

[0029] The above-mentioned control part 13 has the control part 7 (inner communications department 7a) of the ultrasonic surgery apparatus 2, and the communications department 13a which performs bidirectional communication the apparatus connected with the above-mentioned telecommunication cable 9, and here. In this communications department 13a, classification of the apparatus of this returning-water suction unit 3 is made identifiable, and it has the generating means of the ID information which is also characteristic data. It has the identification device which identifies the classification of the apparatus, etc. by the ID information from the apparatus connected by the telecommunication cable 9 (according to this embodiment). For simplification, ID information comprises a type code part which shows the classification of apparatus, and an ID code part peculiar to that apparatus, and enables classification of apparatus, and identification between the apparatus of the same classification by sending this ID information to the connected apparatus, for example.

[0030] The returning-water suction unit 3 enables it to change the water supply quantity from the returning-water part 11, and the suction quantity (suction force) by the suction part 12 according to the apparatus and the treatment implement which are connected. For this reason, the preset value of the water supply quantity corresponding to the connected apparatus and suction quantity (suction force) is memorized to the storage part 13b which comprises a memory in the control part 13, etc., It displays with the display panel which does not illustrate the used preset value last time when apparatus with the ID code corresponding to the connected apparatus is connected again, and in not changing the displayed preset value, it returns water and sucks with the preset value.

[0031] The above-mentioned ultrasonic probe 4 is connected with the ultrasonic outputting part 6 of the ultrasonic surgery apparatus 2 freely attachable/detachable via the ultrasonic drive cable 16, and this ultrasonic probe 4 is connected with the returning-water part 11 of the returning-water suction unit 3, and the suction part 12 freely attachable/detachable via the returning-water tube 17 and the suction tube 18, respectively.

[0032]When the ultrasonic surgery apparatus 2 is connected, this embodiment, Will be in the ultrasonic surgery apparatus 2 and the state of the gang control of a returning-water sucking function, and by this, Crushing, emulsification, washing, and suction are attained in an organization (it becomes possible to solidify, while in the case of the electrotome it will be in the state of gang control, and only a returning-water function washes an organization and cools by this so that it may describe by a second embodiment).

[0033]As mentioned above, gang control is possible for the ultrasonic surgery system 1 so that the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 may be connected with the telecommunication cable 9 and it may describe below. As for this returning-water suction unit 3, also when a high frequency operation system is connected instead of the ultrasonic surgery apparatus 2, that high frequency operation system and gang control are possible.

[0034]And connection of the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 will begin communication mutually. It is recognized with which apparatus both apparatus of each other is connected now by ID information peculiar to equipment. When it cannot recognize mutually, only operation peculiar to each equipment is carried out, but it is ceased on the other hand, to commit gang control.

[0035]When it transmits and receives mutually for every fixed time and different ID is replied, and when a reply does not come back, ID information is recognized to be a connection abnormality and performs communication error processing. For example, it notifies of warning and operation of equipment stops.

[0036]Next, with reference to Fig.2, the communications processing of the returning-water suction unit 3 of this embodiment is described. A start of communications processing will judge whether as shown in Step S1, the ultrasonic surgery apparatus 3 was connected. That is, the control part 13 of the returning-water suction unit 3 performs bidirectional communication by the apparatus connected to this returning-water suction unit 3 by the communications department 13a via the telecommunication cable 9. The classification of the apparatus judges that it is the ultrasonic surgery apparatus 3 from the ID information replied to the signal which the classification of the other party's apparatus asks.

[0037]It is judged whether there is any change in apparatus ID (ID information) connected as it was shown in Step S2, when the ultrasonic surgery apparatus 3 was connected with this judgment, When there is no change in connected apparatus ID, gang control processing with the ultrasonic surgery apparatus 3 of Step S3 is performed, and it is monitored after processing of this gang control whether there is any change in apparatus ID returned and connected to Step S2.

[0038]And when connected apparatus ID has change, communication error processing of step S4 is performed. As this communication error processing, only the apparatus which the error generated warns of an error display, and the connected apparatus (in this case, ultrasonic surgery apparatus of a different ID code part from the ID code part of a front thing) does not perform an error display only by halting, for example. (And it is based on operation of a reset switch etc.) The connected apparatus is also can be operated after error release.

[0039]It is judged whether the electrotome was connected to the ****** case judged that the ultrasonic surgery apparatus 3 is not connected in judgment of Step S1 on the other hand as shown in Step S5. When it is judged that the electrotome is not connected, it is made to make it operate by returning-water suction unit 3 independent one shown in Step S6.

[0040]It judges [ whether there is any change in connected apparatus ID which is shown in Step S7 when it is judged in judgment of Step S5 that the electrotome is connected, and ], When there is no change in connected apparatus ID, gang control processing with the electrotome of Step S8 is performed, and it is monitored after processing of this gang control whether there is any change in apparatus ID returned and connected to Step S7.

[0041]And when connected apparatus ID has change, communication error processing of step S4 is performed. Although the gang control with this electrotome related to the second embodiment, it was described by Fig.2.

[0042]On the other hand, the ultrasonic surgery apparatus 2 performs communications processing as shown in Fig.3. A start of communications processing will judge whether the returning-water suction unit 3 shown in Step S11 was connected. That is, the other party's apparatus by which the control part 7 of the ultrasonic surgery apparatus 2 was connected to this ultrasonic surgery apparatus 2 by the communications department 7a via the telecommunication cable 9 (in this case) The classification of the apparatus which sent the signal which asks the classification of apparatus and was connected from the information on the type code part of the replied ID information to the returning-water suction unit 3 judges that it is the returning-water suction

### JP,2001-112768,A [DETAILED DESCRIPTION]

unit 3.

[0043]When it is judged by this judgment that the returning-water suction unit 3 is not connected, as it is shown in Step S12, control management independent [ ultrasonic surgery apparatus 2 ] is performed. It judges [ whether there is any change in apparatus ID connected as it was shown in Step S13, when it was judged on the other hand that the returning-water suction unit 3 is connected and ], When there is no change in connected apparatus ID, gang control processing with the returning-water suction unit 3 of Step S14 is performed, and it is monitored after processing of this gang control whether there is any change in apparatus ID returned and connected to Step S13. And when connected apparatus ID has change, communication error processing of Step S15 is performed. A display is not performed only by only the apparatus which the error generated as this communication error processing was described by Fig.2 performing an error display, and suspending the connected apparatus. The connected apparatus is also can be operated after error release.

[0044]As described with reference to Fig.2 and Fig.3, in this ultrasonic surgery system 1,Identify the classification of the other party's connected apparatus and it enables it to perform control management in conjunction with the apparatus by connection with the telecommunication cable 9, and when not connected, control management apparatus independent [ each ] is performed. [0045]Next, operation of gang control is described first. If it recognizes that the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 of each other were connected as mentioned above by both ID information, it will be in the state of gang control. Then, if the ultrasonic probe 4 is connected to the ultrasonic surgery apparatus 2, from the control part 7 of the ultrasonic surgery apparatus 2, it transmits to the control part 13 of the returning-water suction unit 3, and that connection information will send a control signal to the suction part 12, and will carry out the suction drive of this control part.

[0046]And if the ultrasonic pedal 5a of the foot switch 5 is stepped on, the information on the switch ON by the operation to complete will be input into the switch detection part 14 of the returning-water suction unit 3, and the detection result will be transmitted to the control part 13 of the returning-water suction unit 3. The control part 13 of the returning-water suction unit 3 transmits that to the returning-water part 11 of this returning-water suction unit, the control part 7 of the ultrasonic surgery apparatus 2, and the switch detection part 8. The returning-water opening which was provided at the tip of the ultrasonic probe 4 in the water supply quantity according to setting out and which is not illustrated.

[0047]By the control part 7 of the ultrasonic surgery apparatus 2, from the ultrasonic outputting part 6 of this ultrasonic surgery apparatus 2, An ultrasonic driving output is generated, supersonic vibration of the ultrasonic transducer in the ultrasonic probe 4 which is not illustrated is carried out via the ultrasonic drive cable 16, the supersonic vibration is transmited to the ultrasonic treatment part at the tip of the ultrasonic probe 4, by supersonic vibration, it cuts open and solidifies and the organization which pressed the ultrasonic treatment part is emulsified.

[0048]In that case, suction recovery of the liquid to which water was returned, the blood which bled, the crushed explant, etc. is carried out via the suction tube 18 by the suctioning operation by the suction part 12, the excessive fluid of an ultrasonic treatment part periphery is removed promptly, and the state of being easy to continue ultrasonic treatment is maintained. [0049]If the returning-water pedal 5b of the foot switch 5 is stepped on, the information will be input into the switch detection part 14 of the returning-water suction unit 3, and a detection result will be transmitted to the control part 13 of the returning-water suction unit 3 transmits that to the returning-water part 11 of the returning-water suction unit 3, and the control part 7 of the ultrasonic surgery apparatus 2.

[0050]The returning-water part 11 ejects the liquid for washing an organization from the returning-water opening which was provided at the tip of the ultrasonic probe 4 in the water supply quantity according to setting out and which is not illustrated. Also in this case, the excessive fluid of an ultrasonic treatment part periphery is promptly removed by the suctioning operation by the suction part 12.

[0051]Thus, by connecting the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 according to this embodiment, By connecting the returning-water suction unit 3, the ultrasonic surgery apparatus 2 which does not have returning water and a suction means will be in the state of interlocking and operating, and will function as the ultrasonic surgery apparatus which has returning water and a suction means similarly.

[0052]When not connecting the ultrasonic surgery apparatus 2 and the returning-water suction unit 3, each can be used as independent apparatus. For example, if it connects the foot switch for ultrasonic coagulotomy to the contact button of the switch detection part 8 in using the ultrasonic surgery apparatus 2 alone (as ultrasonic coagulotomy equipment which does not have a returning-water sucking function), it can be used as ultrasonic coagulotomy equipment.

[0053] This returning-water suction unit 3 can be alone used by not connecting the returning-water suction unit 3 with apparatus, such as the ultrasonic surgery apparatus 2. in this case, the thing for which the foot switch for returning water and suction etc. which are not illustrated to the switch detection part 14 are connected -- as a water conveyance device, it can be used as a suction unit by operation of the foot switch for returning-water suction, and returning water and suctioning operation of this returning-water suction unit 3 can be alone used as a returning-water suction unit.

[0054]the telecommunication cable 9 -- not using it (that is, state where both are not connected) -- the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 can also be used by an independent control state, respectively by using the ultrasonic surgery apparatus 2 and the returning-water suction unit 3. That is, although both do not commit ganged operation using the returning-water suction unit 3 with existing ultrasonic (it does not have returning-water sucking function) coagulotomy equipment (or ultrasonic surgery apparatus 2 used as the same function as this), treatment which is performed by making the function of returning water and suction add can be performed.

[0055]In this case, since both do not interlock, they have to operate each with a foot switch etc. appropriately, but since each is controllable independently, it becomes possible to perform treatment in conditions which differ from the usual gang control largely, and directions for use and the use technique can be increased. On the other hand, in the conventional example of Fig.7, since only the directions for the case where gang control of the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 of Fig.1 is unified and carried out can be done, treatment conditions are restrained. Treatment -- use with a returning-water suction unit independent cannot be performed -- is restrained.

[0056]Thus, according to this embodiment, by connecting the returning-water suction unit 3 to the ultrasonic surgery apparatus 2 with the telecommunication cable 9, the ultrasonic surgery system 1 which uses both interlocking can be constituted, each can also be used alone, and equipment with user-friendly extendibility can be realized.

[0057](Second embodiment) Next, the second embodiment of the present invention is described with reference to <u>Fig.4</u> and Fig.5.<u>Fig.4</u> shows the entire configuration of the high frequency operation system of the second embodiment of the present invention, and Fig.5 shows the contents of processing by the side of a high frequency operation system (electrotome).

### JP,2001-112768,A [DETAILED DESCRIPTION]

[0058] The high frequency operation system (electrotome) 22 which the high frequency operation system 21 provided with the second embodiment of the present invention shown in <u>Fig.4</u> cuts a body tissue open, and solidifies according to the high frequency current. The returning-water suction unit 3 connected via this electrotome 22 and telecommunication cable 9. The bipolar forceps 24 as a bipolar treatment implement of the high-frequency-treatment part which it is connected with the electrotome 22 and the returning-water suction unit 3, and an operator grips, and performs treatment by high frequency, and the shape of tweezers which face across and solidify an organization more specifically. For example, it is connected with the returning-water operation. In this high frequency operation system 21, the electrotome 22 and the returning-water suction unit 3 serve as a separate body.

[0059] The electrotome 22 shown by Fig.4 is equivalent to the thing which deleted the returning-water part 65 from the electrotome 61 shown by Fig.8 and by which functional limitation was carried out.Specifically, the electrotome 22 has the high frequency output sections 26 which output high frequency, the control part 27 which controls operation of these high frequency output sections 26, and the switch detection part 28 which detects the operation switch of the incision pedal 25a of the foot switch 25, and the coagulation pedal 25b via the returning-water suction unit 3.

[0060] The above-mentioned control part 27 has apparatus connected with the telecommunication cable 9, and the communications department 27a which performs communication of the returning-water suction unit 3 and both directions here. In this communications department 27a, the classification of the apparatus of this electrotome 22 is possible, and it has the generating means of ID information with characteristic data. It has the identification device which identifies the classification of the apparatus, etc. by the ID information from the apparatus connected by the telecommunication cable 9.

[0061] The high frequency output sections 26 are equivalent to the conventional high frequency operation system, and are constituted from a power supply and a control part required to output high frequency, an oscillation part, an outputting part, and a detection part by these high frequency output sections 26. On the other hand, the returning-water suction unit 3 has composition described by the first embodiment, attaches the same code as a first embodiment, and omits the description. [0062] It is connected with the high frequency output sections 26 of the electrotome 22 via the high frequency drive cable 31, and the bipolar forceps 24 is connected with the returning-water part 11 of the returning-water suction unit 3 via the returning-water tube 32.

[0063] The electrotome 22 and the returning-water suction unit 3 are connected with the telecommunication cable 9, and gang control is possible also for this embodiment. Next, it came to have described the communications processing by the returning-water suction unit 3 in this embodiment by <u>Fig.2</u>. If it describes simply and the returning-water suction unit 3 will be connected with the electrotome 22, communication will be begun mutually. It is recognized with which apparatus both apparatus owns ID information peculiar to equipment, and is mutually connected now by the ID information.

[0064]When it cannot recognize mutually, only operation peculiar to each equipment is carried out, and gang control is not committed. When it transmits and receives mutually for every fixed time and different ID information is replied, or when a reply does not come back, ID information is recognized to be a connection abnormality, and notifies of warning as communication error processing, and operation of equipment stops it.

[0065]On the other hand, the communications processing by the electrotome 22 comes to be shown in <u>Fig.5</u>. The processing shown in <u>Fig.5</u> serves as contents replaced by the electrotome independent control management shown by step S12' instead of Step S12 in processing of Fig.3, and since others are the same, they attach the same code, and they omit the description. [0066]Next, the operation in the case of the gang control recognized that the returning-water suction unit 3 of each other was connected with the electrotome 22 by ID information is described. If the incision pedal 25a of the foot switch 25 is stepped on, the information will be input into the switch detection part 14 of the returning-water suction unit 3, and a detection result will be transmitted to the control part 13 of the returning-water suction unit 3.

[0067] The control part 13 of the returning-water suction unit 3 transmits the information to the control part 27 of the electrotome 22. The control part 27 of the electrotome 22 is transmitted to the high frequency output sections 26 of the electrotome 22, between the two-electrodes parts which the tip of the bipolar forceps 24 does not illustrate, the high frequency current is outputted through the organization across which it faced in the two-electrodes part, and the measures of incision are taken.

[0068]If the coagulation pedal 25b of the foot switch 25 is stepped on, the information will be input into the switch detection part 14 of the returning-water suction unit 3, and a detection result will be transmitted to the control part 13 of the returning-water suction unit 3. The control part 13 of the returning-water suction unit 3 transmits the information to the control part 27 and the switch detection part 28 of the electrotome 22.

[0069] The control part 27 of the electrotome 22 transmits the information to the high frequency output sections 26 of the electrotome 22, between the two-electrodes parts which the tip of the bipolar forceps 24 does not illustrate, the high frequency current is outputted through the organization across which it faced in the two-electrodes part, and the measures of coagulation are taken. Simultaneously, the control part 13 of the returning-water suction unit 3 transmits the information to the returning-water part 11 of the returning-water suction unit 3, and a liquid is ejected from the returning-water opening which the tip of the bipolar forceps 24 does not illustrate.

[0070] if it is made not to connect the telecommunication cable 9 on the other hand — the electrotome 22 and the returningwater suction unit 3 — each can be used independently and directions for use and use ********* can be increased. Although described by the case where the apparatus (equipment) connected as mentioned above is the electrotome 22, others have the same effect as the case of a first embodiment.

[0071]And by setting to one the returning-water suction means which can be commonalized from the 1st and a second embodiment, and enabling it to recognize a connection partner, the number of units can be reduced and equipment can be used efficiently.

[0072]Since it is connectable with the ultrasonic surgery apparatus 2, the electrotome 22, and both, the returning-water suction unit 3 can be saved also economically and in space.

[0073]Since it distinguishes to the ultrasonic surgery apparatus 2, the electrotome 22, and which the returning-water suction unit 3 was connected and only the required function of the returning-water suction unit 3 operates based on the information, the user-friendly (operativity) use of a user is attained by easy operation.

[0074](A 3rd embodiment) Next, a 3rd embodiment of the present invention is described with reference to <u>Fig.6</u>. In the description of the above-mentioned 1st or second embodiment, The foot switch 5 for ultrasonic surgery and the foot switch 25 for electrotome which are connected to the returning-water suction unit 3, Connector form differs from signal wiring and it is to

the returning-water suction unit 3 (for example, where the ultrasonic surgery apparatus 2 is connected with the telecommunication cable 9). as [ connect / accidentally / instead of the foot switch 5 for ultrasonic surgery being connected / the foot switch 25 for electrotome ] --- erroneous connection is carried out and it operates. It enables it to prevent by this the malfunction (control) which is not meant. Although this can secure safety, there are also inconvenient surfaces, such as cost increase by enabling it to connect that from which two or more connector form differs, or the number of signal wiring increasing etc.

[0075]In the high frequency operation system 21 of <u>Fig.4</u> high frequency operation system 21' provided with this embodiment, When the generating means of ID for identification is provided to the foot switch 25 for electrotome and it is connected to the returning-water suction unit 3, it enables it to recognize that the connected foot switch is the foot switch 25 for electrotome etc. In <u>Fig.6</u>, although the case of the foot switch 25 for electrotome was shown, the generating means of ID for identification is provided also like the foot switch for ultrasonic surgery.

[0076] The identification resistance 33 is attached, for example in the foot switch 25, constant current flows there from the constant current source 34 provided to the returning-water suction unit 3, and an identifying method identifies what type of foot switch it is with the voltage level generated in the identification resistance 33.

[0077]When the ultrasonic surgery apparatus 2 and the returning-water suction unit 3 are connected and the foot switch 25 for an electrosurgery operation is connected by this, for example, Since it is a model from which the ultrasonic surgery apparatus 2 and the foot switch 25 differ, a user is notified of warning and that and it urges connecting a right foot switch.

[0078]By classification of a foot switch, an ultrasonic surgery apparatus fork end changes the wiring which transmits to the switch detection part 8 of the electrotome 22, or 28 from the returning-water suction unit 3, and an ultrasonic surgery apparatus fork end becomes controllable about the electrotome 22.

[0079]Thereby, the control part 13 and ultrasonic surgery apparatus fork end of the returning-water suction unit 3 can make the same the connector of the cable which connects the switch detection part 8 of the electrotome 22, or 28, and there is an advantage which does not need to be exchanged one by one depending on the model to connect. Others have the same effect as the 1st or a second embodiment.

[0080]In the case of a foot switch, in ****, it described as a switching means connected to the returning-water suction unit 3, but it may not limit to a foot switch and remote switches, such as other switches, for example, a hand switch etc., may be used. [0081][Additional remark]

1. In fluid supply recovery system provided with feeding means which supplies fluid, and recovery means which carries out suction recovery of fluid etc., The means of communication which communicates with the ultrasonic surgery apparatus or high frequency operation system connected selectively. In accordance with the classification of the equipment identified by device identification means to identify the classification of the connected equipment, and the aforementioned device identification means, A fluid supply recovery system having a control means of the aforementioned feeding means and a recovery means which is interlocked with operation of the aforementioned ultrasonic surgery apparatus or a high frequency operation system.

2. Means of communication which connects and communicates with ultrasonic surgery apparatus or high frequency operation system, A device identification means to identify the classification of the connected equipment, and the feeding means which supplies a fluid to the treatment part of the aforementioned ultrasonic surgery apparatus or the aforementioned high frequency operation system, The recovery means which collects the fluid supplied to the treatment part of the aforementioned ultrasonic surgery apparatus or the aforementioned ultrasonic surgery apparatus or the aforementioned ultrasonic surgery apparatus or the aforementioned high frequency operation system, organizations, etc., The control means which is interlocked with operation of the aforementioned ultrasonic surgery apparatus or a high frequency operation system, and operates the aforementioned feeding means and a recovery means by communication with the aforementioned ultrasonic surgery apparatus or a high frequency operation system in accordance with the classification of the equipment identified by the aforementioned device identification means, A fluid supply recovery system characterized by preparation ******.

[0082]3. Means which has peculiar ID information of each apparatus (equipment) in returning-water suction unit, ultrasonic surgery apparatus, and electrosurgery operation system, In the operation system 4. additional remark 3 which performs motion control based on the result of the identification detection means for identifying ID of the apparatus connected when other apparatus was connected, and an identification detection means, The operation system with which gang control of an ultrasonic output means, water supplying means, and the suction means is carried out when a returning-water suction unit and an ultrasonic surgery apparatus are connected.

[0083]5. Operation system with which gang control of high frequency output means and water supplying means is carried out in additional remark 3 when returning-water suction unit and electrosurgery operation system are connected.

6. Returning-water suction unit, ultrasonic surgery apparatus, electrosurgery operation system which distinguish by ID of which apparatus foot switch with ID information for distinguishing whether they are foot switch for ultrasonic surgery and foot switch for electrosurgery operation and connected foot switch are things.

[0084]

[Effect of the Invention]In the fluid supply recovery system which was provided with the feeding means which supplies a fluid, and the recovery means which carries out suction recovery of the fluid etc. according to the present invention as described above. The means of communication which communicates with the ultrasonic surgery apparatus or high frequency operation system connected selectively. In accordance with the classification of the equipment identified by device identification means to identify the classification of the connected equipment, and the aforementioned device identification means. Since the control means of the aforementioned feeding means and the recovery means which is interlocked with operation of the aforementioned ultrasonic surgery apparatus or a high frequency operation system, and operates a feeding means at least is provided by communication with the aforementioned ultrasonic surgery apparatus or a high frequency operation system. Use independently can be performed, and as it connects with the equipment with which classification differs and gang control is possible, economical use can be performed and a wide scope can be secured.

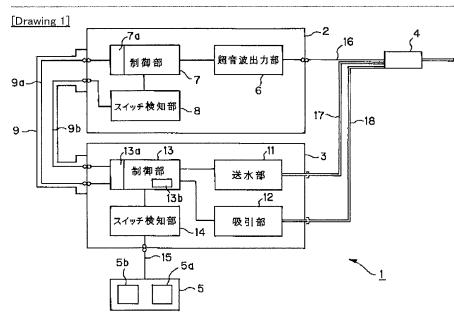
[Translation done.]

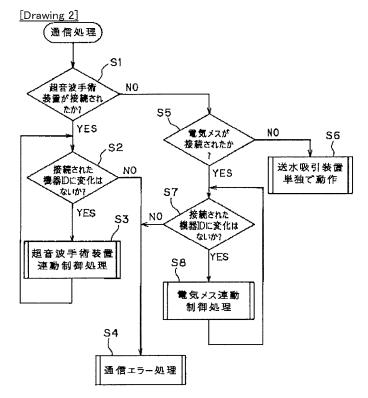
### * NOTICES *

# JPO and INPIT are not responsible for any damages caused by the use of this translation.

This document has been translated by computer. So the translation may not reflect the original precisely.
 **** shows the word which can not be translated.
 In the drawings, any words are not translated.

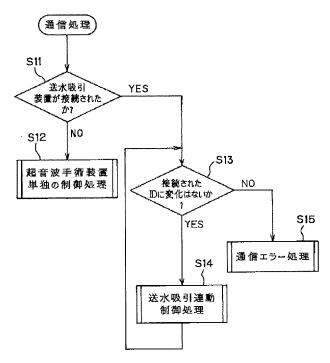
### DRAWINGS



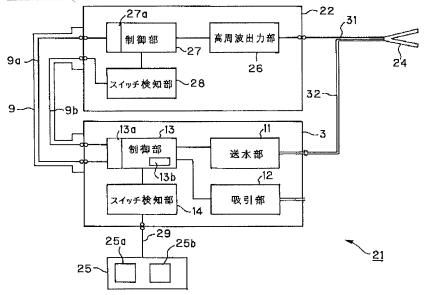




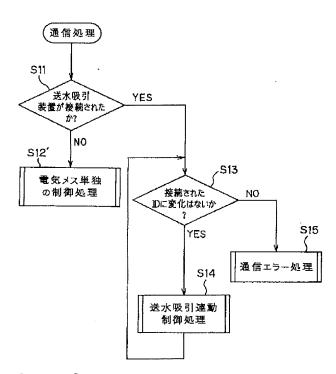
### JP,2001-112768,A [DRAWINGS]



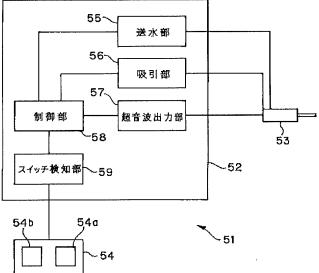
[Drawing 4]



[Drawing 5]

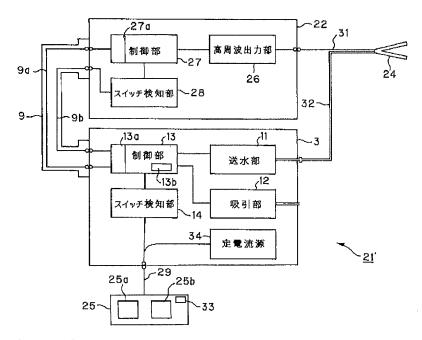


### [Drawing 7]

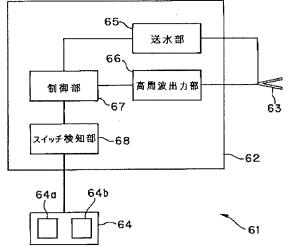




( (



[Drawing 8]



[Translation done.]

# (12) 公開特許公報(A)

(11)特許出願公開番号 特開2001-112768

(P2001-112768A) (43)公開日 平成13年4月24日(2001.4.24)

(51) Int.Cl. ⁷		識別記号	FΙ			テーマコート [*] (参考)
A 6 1 B	17/22	330	A 6 1 B	17/22	330	4C060
	18/00			17/36	330	
	18/12			1 <b>7/39</b>	310	
					320	
			審査請			OL (全 11 頁

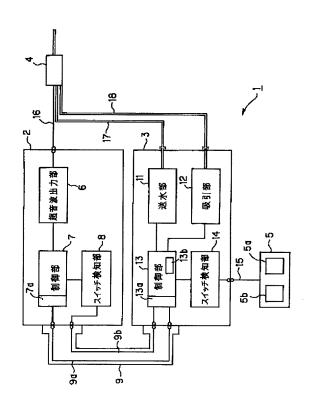
(21)出願番号	特願平11-294331	(71)出願人 000000376
		オリンパス光学工業株式会社
(22)出顧日	平成11年10月15日(1999.10.15)	東京都渋谷区幡ヶ谷2丁目43番2号
		(72)発明者 ▲高▼橋 裕之
		東京都渋谷区幡ヶ谷2丁目43番2号 オリ
		ンパス光学工業株式会社内
		(74)代理人 100076233
		弁理士 伊藤 進
		Fターム(参考) 4C060 JJ15 JJ17 JJ24 JJ25 KK03
		KK04 KK10 KK15 KK22 MM24

(54) 【発明の名称】 流体供給回収装置

(57)【要約】

【課題】 単独での使用はもとより、超音波手術装置及 び高周波手術装置と連動制御ができる経済的、かつ適用 範囲が広い流体供給回収装置を提供する。

【解決手段】 送水を行う送水部11と吸引を行う吸引 部12とを制御部13で制御し、単独での使用の他に、 通信ケーブル9により超音波手術装置2が接続される と、両者でID情報を送受する通信により、接続された 装置機種を認識して連動制御状態となり、例えばフット スイッチ5の超音波ペダル5aを踏む操作を行うと、超 音波手術装置2は超音波プローブ4に超音波駆動する出 力信号を出力すると共に、送水吸引装置3は送水部11 から液体を超音波プローブ4の先端開口から射出するよ うに両装置が連動して働くようにした。



40

【0007】この従来例では、電源投入後、超音波プロ ーブ53が装置本体52に接続されると、制御部58の 命令により吸引部56が吸引を開始し、超音波プローブ 53の先端に設けられた図示しない開口部より、液体、 組織等を吸引する。フットスイッチ54の超音波ペダル 54 aが踏まれたら、その情報がスイッチ検知部59に 入力され、検知結果が制御部58に伝えられる。制御部 58は、超音波出力部57及び送水部55に動作命令を 出す。

【0008】超音波出力部57は設定に応じた超音波出 力を超音波プローブ53に伝え、超音波プローブ53の 先端が振動し、組織を破砕、乳化する。送水部55は設 定に応じた送水量を超音波プローブ53の先端に設けら れた図示しない開口部より組織を洗滌するための液体、 かつ超音波プローブ53を冷却するための液体を射出す る。

【0009】上記ふたつの動作を同時に行うことによ

り、組織を破砕、乳化、吸引作業を実現できる。又、フ ットスイッチ54の送水ペダル54aが踏まれたら、そ の情報がスイッチ検知部59に入力され、検知結果が制 御部58に伝えられる。制御部58は、送水部55に動 作命令を出す。送水部は55設定に応じた送水量を超音 波プローブ53の先端に設けられた図示しない開口部よ り組織を洗滌するための液体を射出する。

【0010】一方、高周波手術装置(電気メス)は、高 周波電流により組織を切開、凝固させるものである。例 えば、脳外科用のバイポーラシステムでは、バイポーラ フォーセプスに送水管路があり、送水しながら高周波電 流を出力する。

【0011】このときの送水手段の役割は、組織の洗 滌、電気メスの出力と連動することにより組織を過度の 温度上昇から保護し、組織の炭化を防止することであ る。又、これにより、組織が処置具の電極に付着しにく くなるメリットがある。このときの構成は、高周波出力 部、送水部、スイッチ部である。但し、電気メスは通 常、高周波出力部、スイッチ部から構成されたものがほ とんどで、送水部を設けたものは、脳外科専用機として 市販されている。

【0012】図8は本発明に関連する従来の電気メス6 1の構成を示す。この電気メス61は装置本体62と、 この装置本体62に接続され、高周波電流で切開、凝固 等の処置を行う例えばバイポーラフォーセプス63と、 装置本体62に接続され、この装置本体62を介してバ イポーラフォーセプス63による切開、凝固の操作を行 うフットスイッチ64とを有する。

【0013】装置本体62内には送水を行う送水部65 と、高周波電流を出力する高周波出力部66と、これら を制御する制御部67とを有し、また、フットスイッチ

【特許請求の範囲】

【請求項1】 流体を供給する供給手段と、流体等を吸引回収する回収手段とを備えた流体供給回収装置において、

1

選択的に接続される超音波手術装置または高周波手術装 置と通信する通信手段と、

接続された装置の種別を識別する装置識別手段と、

前記装置識別手段によって識別された装置の種別に合わ せて、前記超音波手術装置または高周波手術装置との通 信により前記供給手段および回収手段の少なくとも供給 10 手段を前記超音波手術装置または高周波手術装置の動作 に連動して動作させる制御手段と、

を備えたことを特徴とする流体供給回収装置。

【発明の詳細な説明】

[0001]

【発明の属する技術分野】本発明は接続される超音波手 術装置または高周波手術装置と連動して流体等の供給、 回収動作が可能な流体供給回収装置に関する。

[0002]

【従来の技術】近年、外科手術を行うために超音波によ 20 る超音波手術装置や高周波電流を通電して処置を行う高 周波手術装置(電気メス)が広く用いられるようになっ た。例えば、特開平6-38973号の超音波手術装置 では、超音波振動をプローブに伝え、組織を破砕、乳 化、吸引する装置であり、主に超音波出力部、送水吸引 部、スイッチ部から構成されている。

【0003】このとき、送水吸引部の役割は、組織を洗 滌するため、プローブを冷却するため、乳化した組織及 び洗滌液(冷却液)を回収するためのものである。又、 最近では、特開平9-38098号に開示されているよ うに送水吸引機能のない超音波出力部、スイッチ部から 構成される超音波凝固切開装置もある。

【0004】図7は本発明に関連する従来の超音波手術 装置51の構成を示す。この超音波手術装置51は装置 本体52と、この装置本体52に接続され、術者が把持 して超音波を利用した手術を行う超音波プローブ53 と、装置本体52に接続され、この装置本体52を介し て超音波プローブ53に超音波出力のON/OFF等を 制御する操作を行うフットスイッチ54とを有する。

【0005】装置本体52内には送水を行う送水部55 と、吸引を行う吸引部56と、超音波を出力する超音波 出力部57と、これらを制御する制御部58とを有し、 また、フットスイッチ54の超音波ペダル54a及び送 水ペダル54bの操作を検知するスイッチ検知部59と を有し、このスイッチ検知部59の検出結果により制御 部58は送水部及び超音波出力部58の動作を制御す る。

【0006】また、送水部55と吸引部56とはそれぞ れ送水チューブ及び吸引チューブを介して超音波プロー ブ53と接続されている。また、超音波出力部57も超 50

30

64の凝固ペダル64a及び切開ペダル64bの操作を 検知するスイッチ検知部68とを有し、このスイッチ検 知部68の検出結果により制御部67は送水部65及び 高周波出力部67の動作を制御する。

【0014】また、送水部65は送水チューブを介して バイポーラフォーセプス63と接続され、高周波出力部 66は高周波信号ケーブルを介してバイポーラフォーセ プス63と接続されている。

【0015】この従来例では装置本体62にバイポーラ フォーセプス63が接続されて、フットスイッチ64の 10 切開ペダル64aが踏まれたら、その情報がスイッチ検 知部68に入力され、検知結果が制御部67に伝えられ る。制御部67は、高周波出力部66に動作命令を出 す。高周波出力部66は設定に応じた高周波出力を処置 具、ここではバイポーラフォーセプス63に伝え、図示 しない先端電極部より組織に切開出力が与える。

【0016】フットスイッチ64の凝固ペダル64bが 踏まれたら、その情報がスイッチ検知部68に入力さ れ、検知結果が制御部67に伝えられる。制御部67 は、高周波出力部66及び送水部65に動作命令を出 す。

【0017】高周波出力部66は、設定に応じた高周波 出力を処置具、ここではバイポーラフォーセプス63に 伝え、図示しない先端電極部より組織には凝固出力が与 えられる。

【0018】送水部65は、設定に応じた送水量をバイ ポーラフォーセプス63の図示しない先端の開口部より 射出する。上記ふたつの動作を同時に行うことにより、 組織を洗滌しながら、炭化させない凝固が可能となる。 又、組織が炭化しないことから、電極部に組織が付着し ない。

[0019]

【発明が解決しようとする課題】 図7及び図8で説明し たように従来例の超音波手術装置51及び電気メス61 では送水部55、65等が共通する機能であるものの、 現状では別々の装置として市販されており、ユーザは共 通する機能を2つ準備しなければならなくなり、不経済 であるし、処置が制限される。また、重複する機能を持 ったものをそれぞれ設置すると、術場が狭くなる問題も あった。

【0020】本発明は、上述した点に鑑みてなされたも ので、単独での使用はもとより、超音波手術装置及び高 周波手術装置と連動制御ができる経済的、かつ適用範囲 が広い流体供給回収装置を提供することを目的とする。

[0021]

【課題を解決するための手段】流体を供給する供給手段 と、流体等を吸引回収する回収手段とを備えた流体供給 回収装置において、選択的に接続される超音波手術装置 または高周波手術装置と通信する通信手段と、接続され た装置の種別を識別する装置識別手段と、前記装置識別 50 特開2001-112768

4

手段によって識別された装置の種別に合わせて、前記超 音波手術装置または高周波手術装置との通信により前記 供給手段および回収手段の少なくとも供給手段を前記超 音波手術装置または高周波手術装置の動作に連動して動 作させる制御手段と、を設けたことにより、単独での使 用ができると共に、種別が異なる装置に接続して連動制 御ができるようにして、経済的な使用ができ、かつ広い 適用範囲を確保している。

[0022]

【発明の実施の形態】以下、図面を参照して本発明の実 施の形態を説明する。

(第1の実施の形態)図1ないし図3は本発明の第1の 実施の形態に係り、図1は第1の実施の形態を備えた超 音波手術システムの全体構成を示し、図2は送水吸引装 置の処理内容を示し、図3は超音波手術装置の処理内容 を示す。

【0023】図1に示す本発明の第1の実施の形態を備 えた超音波手術システム1は超音波を利用して生体組織 を切開、凝固、乳化、吸引する超音波手術装置(超音波

手術装置本体)2と、この超音波手術装置2と通信ケー ブル9を介して接続される(本発明の)流体供給回収装 置としての送水吸引装置(送水吸引装置本体)3と、超 音波手術装置2及び送水吸引装置3と接続され、術者が 把持して超音波による処置を行う超音波処置部としての 超音波プローブ4と、例えば送水吸引装置3と接続さ れ、超音波出力動作及び送水動作の制御操作を行うフッ トスイッチ5とからなる。

【0024】この超音波手術システム1では超音波手術 装置2と送水吸引装置3が別体となったものである。ま た、図1で示す超音波手術装置2は、図7で示す超音波 手術装置51から送水部55及び吸引部56を削除した 機能限定されたものに相当する。

【0025】 具体的には、この超音波手術装置2は超音 波を出力する超音波出力部6と、この超音波出力部6等 の動作を制御する制御部7と、送水吸引装置3を介して フットスイッチ5の超音波ペダル5a及び送水ペダル5 bのスイッチ操作を検出するスイッチ検知部8とを有す る。

【0026】上記制御部7は、通信ケーブル9で接続さ れる機器、ここでは送水吸引装置3と双方向の通信を行 40 う通信部7aを有する。また、この通信部7a内には、 この超音波手術装置2の機器の種別を識別可能にすると 共に、固有情報でもあるID情報の発生手段を備えてい る。また、通信ケーブル9により接続される機器からの ID情報により、その機器の種別等を識別する識別手段 を備えている。また、超音波出力部6は、従来の超音波 凝固切開装置に相当するものであり、超音波出力部6に は、超音波を出力するのに必要な、電源、制御部、発振 部、出力部、検知部から構成されている。

【0027】また、送水吸引装置3は送水を行う送水部

11と、吸引を行う吸引部12と、これらを制御する制 御部13と、送水吸引装置3に接続されるフットスイッ チ5のスイッチ操作を検知するスイッチ検知部14とを 有する。このフットスイッチ5は接続ケーブル15によ り、送水吸引装置3に着脱自在に接続される。

【0028】送水吸引装置3の制御部13は送水吸引装置3及び超音波手術装置2間で着脱自在に接続され、通信を行う通信ケーブル9の信号線9aにより超音波手術装置2の制御部7と接続されると共に、通信ケーブル9の信号線9bにより超音波手術装置2のスイッチ検知部 108とも接続される。

【0029】上記制御部13は、上記通信ケーブル9で 接続される機器、ここでは超音波手術装置2の制御部7 (内の通信部7a)と双方向の通信を行う通信部13a を有する。また、この通信部13a内には、この送水吸 引装置3の機器の種別を識別可能とすると共に、固有情 報でもあるID情報の発生手段を備えている。また、通 信ケーブル9により接続される機器からのID情報によ り、その機器の種別等を識別する識別手段を備えている

(本実施の形態では、簡単化のため、例えば I D 情報は 機器の種別を示す種別コード部とその機器固有の I D コ ード部とから構成され、接続された機器に対し、この I D 情報を送ることにより、機器の種別と同じ種別の機器 間での識別を可能とする)。

【0030】また、送水吸引装置3は接続される機器及 び処置具に応じて、送水部11からの送水量、吸引部1 2による吸引量(吸引圧)を変更できるようにしてい る。このため、接続された機器に対応した送水量、吸引 量(吸引圧)の設定値を制御部13内のメモリ等で構成 される記憶部13bに記憶しておき、接続された機器に 対応したIDコードを持つ機器が再度、接続された場合 に、前回、使用された設定値を図示しない表示パネルで 表示し、その表示した設定値を変更しない場合にはその 設定値で送水、吸引する。

【0031】上記超音波プローブ4は超音波駆動ケーブ ル16を介して超音波手術装置2の超音波出力部6と着 脱自在に接続され、またこの超音波プローブ4は送水吸 引装置3の送水部11及び吸引部12とそれぞれ送水チ ューブ17及び吸引チューブ18を介して着脱自在に接 続される。

【0032】本実施の形態は超音波手術装置2が接続された場合には、超音波手術装置2と送水吸引機能の連動 制御の状態となり、これにより、組織を破砕、乳化、洗 滌、吸引が可能となる(第2の実施の形態で説明するよ うに電気メスの場合、送水機能のみが連動制御の状態と なりこれにより、組織を洗滌、冷却しながら凝固するこ とが可能となる)。

【0033】上述のように超音波手術システム1は超音 波手術装置2と送水吸引装置3とが通信ケーブル9で接 続され、以下に説明するように連動制御が可能となって 50 6 小皿刊壮要のひ招女社主

いる。なお、この送水吸引装置3は超音波手術装置2の 代わりに高周波手術装置が接続された場合にも、その高 周波手術装置と連動制御が可能になっている。

【0034】そして、超音波手術装置2と送水吸引装置 3が接続されると、互いに通信を始める。両機器は装置 固有のID情報により、互いに今どの機器と接続されて いるかを認識する。一方、互いに認識できない場合は、 各装置固有の動作しかせず、連動制御は働かないように なる。

【0035】ID情報は一定期間ごとに互いに送受信し て、異なったIDが返信された場合及び返信が帰ってこ ない場合は、接続異常と認識して、通信エラー処理を実 行する。例えば、警告を告知し、装置の動作が停止す る。

【0036】次に図2を参照して本実施の形態の送水吸 引装置3の通信処理を説明する。通信処理が開始する と、ステップS1に示すように超音波手術装置3が接続 されたか否かの判断を行う。つまり、送水吸引装置3の 制御部13は通信部13aにより、この送水吸引装置3 に通信ケーブル9を介して接続された機器とで双方向の 通信を行い、相手方の機器の種別の問い合わせる信号に 対し、返信されるID情報からその機器の種別が超音波 手術装置3か否かの判断を行う。

【0037】この判断で、超音波手術装置3が接続され た場合にはステップS2に示すように接続された機器 I D(ID情報)に変化がないか否かの判断を行い、接続 された機器IDに変化がない場合には、ステップS3の 超音波手術装置3との連動制御処理を行い、この連動制 御の処理の後、ステップS2に戻り、接続された機器 I Dに変化がないかをモニタする。

【0038】そして、接続された機器IDに変化がある 場合には、ステップS4の通信エラー処理を行う。この 通信エラー処理としては、例えば、エラーが発生した機 器のみがエラー表示の警告を行い、接続された機器(こ の場合には、前のもののIDコード部とは異なるIDコ ード部の超音波手術装置)は一時停止するのみでエラー 表示は行わない。(そして、リセットスイッチ等の操作 による)エラー解除後、接続された機器も動作可能とな る。

40 【0039】一方、ステップS1の判断において、超音 波手術装置3が接続されていないと判断したた場合には ステップS5に示すように電気メスが接続されたか否か を判断し、電気メスが接続されていないと判断した場合 にはステップS6に示す送水吸引装置3単独で動作させ るようにする。

【0040】また、ステップS5の判断において、電気 メスが接続されていると判断した場合にはステップS7 に示す接続された機器IDに変化がないか否か判断を行 い、接続された機器IDに変化がない場合には、ステッ プS8の電気メスとの連動制御処理を行い、この連動制

30

20

20

御の処理の後、ステップS7に戻り、接続された機器I Dに変化がないかをモニタする。

【0041】そして、接続された機器IDに変化がある 場合には、ステップS4の通信エラー処理を行う。な お、この電気メスとの連動制御は第2の実施の形態に関 連するが図2で説明した。

【0042】一方、超音波手術装置2は図3に示すよう な通信処理を行う。通信処理が開始すると、ステップS 11に示す送水吸引装置3が接続されたか否かの判断を 行う。つまり、超音波手術装置2の制御部7は通信部7 aにより、この超音波手術装置2に通信ケーブル9を介 して接続された相手方の機器(この場合には、送水吸引 装置3)に対し、機器の種別を問い合わせる信号を送 り、返信されたID情報の種別コード部の情報から接続 された機器の種別が送水吸引装置3か否かの判断を行 う。

【0043】この判断で、送水吸引装置3が接続されて いないと判断した場合にはステップ S12に示すように 超音波手術装置2単独の制御処理を行う。一方、送水吸 引装置3が接続されていると判断した場合にはステップ S13に示すように接続された機器 IDに変化がないか 否か判断を行い、接続された機器 I Dに変化がない場合 には、ステップS14の送水吸引装置3との連動制御処 理を行い、この連動制御の処理の後、ステップS13に 戻り、接続された機器 I Dに変化がないかをモニタす る。そして、接続された機器 I Dに変化がある場合に は、ステップS15の通信エラー処理を行う。この通信 エラー処理は図2で説明したように例えば、エラーが発 生した機器のみがエラー表示を行い、接続された機器は 一時停止するのみで表示は行わない。エラー解除後、接 30 続された機器も動作可能となる。

【0044】図2及び図3を参照して説明したように、 この超音波手術システム1では、通信ケーブル9での接 続により、接続された相手方の機器の種別を識別し、そ の機器と連動して制御処理を行うことができるようにす ると共に、接続されていない場合にはそれぞれの機器単 独での制御処理を行う。

【0045】次にまず、連動制御の動作を説明する。上 述のように超音波手術装置 2 と送水吸引装置 3 が互いに 接続されたことを両者のID情報により認識すると、連 40 動制御の状態となる。その後、超音波プローブ4を超音 波手術装置2に接続すると、その接続情報が超音波手術 装置2の制御部7から、送水吸引装置3の制御部13に 伝えられ、この制御部は吸引部12に制御信号を送り、 吸引駆動させる。

【0046】そして、フットスイッチ5の超音波ペダル 5 aが踏まれると、その踏む操作によるスイッチONの 情報が送水吸引装置3のスイッチ検知部14に入力さ れ、その検知結果が送水吸引装置3の制御部13に伝え られる。送水吸引装置3の制御部13は、この送水吸引 50

8 装置の送水部11と超音波手術装置2の制御部7及びス イッチ検知部8にその旨を伝える。送水部11は、設定

に応じた送水量を超音波プローブ4の先端に設けられた 図示しない送水開口部より、組織を洗滌するための液 体、かつ超音波プローブ4を冷却するための液体を射出 する。

【0047】又、超音波手術装置2の制御部7により、 この超音波手術装置2の超音波出力部6から、超音波駆 動出力を発生し、超音波駆動ケーブル16を介して超音 波プローブ4内の図示しない超音波振動子を超音波振動 させ、その超音波振動をその超音波プローブ4の先端の 超音波処置部に伝達し、超音波処置部を押し当てた組織 を超音波振動で切開、凝固、乳化等する。

【0048】その際、送水された液体や、出血した血 液、破砕された組織片等が吸引部12による吸引動作に より、吸引チューブ18を介して吸引回収され、超音波 処置部周辺の余分な流体等は速やかに除去され、超音波 処置を続行し易い状態が維持される。

【0049】また、フットスイッチ5の送水ペダル5b が踏まれると、その情報が送水吸引装置3のスイッチ検 知部14に入力され、検知結果が送水吸引装置3の制御 部13に伝えられる。送水吸引装置3の制御部13は、 送水吸引装置3の送水部11、超音波手術装置2の制御 部7にその旨を伝える。

【0050】送水部11は、設定に応じた送水量を超音 波プローブ4の先端に設けられた図示しない送水開口部 より、組織を洗滌するための液体を射出する。この場合 にも、吸引部12による吸引動作により超音波処置部周 辺の余分な流体等は速やかに除去される。

【0051】 このように、本実施の形態によれば、超音 波手術装置2と送水吸引装置3を接続することにより、 送水及び吸引手段を有しない超音波手術装置2は送水吸 引装置3を接続することにより連動して動作する状態と なり、送水及び吸引手段を有する超音波手術装置と同様 に機能する。

【0052】また、超音波手術装置2と送水吸引装置3 を接続しない場合にはそれぞれを単独の機器として使用 できる。例えば、超音波手術装置2を(送水吸引機能を 有しない超音波凝固切開装置として)単独で使用する場 合には、スイッチ検知部8の接続端子に超音波凝固切開 用のフットスイッチを接続すれば、超音波凝固切開装置 として使用することができる。

【0053】また、送水吸引装置3を超音波手術装置2 等の機器と接続しないことにより、この送水吸引装置3 を単独で使用できる。この場合には、スイッチ検知部1 4に図示しない送水及び吸引用フットスイッチ等を接続 することにより、この送水吸引装置3の送水及び吸引動 作を送水吸引用フットスイッチの操作で送水装置とし て、或いは吸引装置として或いは送水吸引装置として単 独で使用できる。

30

【0054】また、通信ケーブル9を使用しない(つま り両者を接続しない状態)で、超音波手術装置2と送水 吸引装置3とを使用することにより、超音波手術装置2 と送水吸引装置3とをそれぞれ単独の制御状態で使用す ることもできる。つまり、既存の(送水吸引機能を有し ない)超音波凝固切開装置と共に(或いはこれと同じ機 能となる超音波手術装置2と共に)、送水吸引装置3と を用いて、両者は連動動作は働かないが、送水及び吸引 の機能を付加させて行うような処置を行うことができ る。

【0055】この場合には、両者は連動しないので、そ れぞれを適切にフットスイッチ等で操作しなければなら ないが、それぞれを独立して制御できるので、通常の連 動制御とは大きく異なるような条件での処置を行うこと が可能となり、使用方法、使用手技を増大できる。これ に対し、図7の従来例では、図1の超音波手術装置2と 送水吸引装置3を一体化して連動制御させた場合での使 用方法しか出来ないので、処置条件が制約される。ま た、送水吸引装置単独での使用ができない等、処置が制 約される。

【0056】このように本実施の形態によれば、送水吸 引装置3を超音波手術装置2に通信ケーブル9で接続す ることにより、両者を連動して使用する超音波手術シス テム1を構成できるし、それぞれを単独で使用すること もでき、使い勝手の良い拡張性のある装置を実現でき る。

【0057】(第2の実施の形態)次に本発明の第2の 実施の形態を図4及び図5を参照して説明する。図4は 本発明の第2の実施の形態の高周波手術システムの全体 構成を示し、図5は高周波手術装置(電気メス)側の処 理内容を示す。

【0058】図4に示す本発明の第2の実施の形態を備 えた高周波手術システム21は高周波電流により生体組 織を切開、凝固する高周波手術装置(電気メス)22 と、この電気メス22と通信ケーブル9を介して接続さ れる送水吸引装置3と、電気メス22及び送水吸引装置 3と接続され、術者が把持して高周波による処置を行う 高周波処置部、より具体的には組織を挟み、凝固するピ ンセット状のバイポーラ処置具としてのバイポーラフォ ーセプス24と、例えば送水吸引装置3と接続され、高 周波出力動作及び送水動作の制御操作を行うためのフッ トスイッチ25とからなる。この高周波手術システム2 1では電気メス22と送水吸引装置3が別体となったも のである。

【0059】また、図4で示す電気メス22は、図8で 示す電気メス61から送水部65を削除した機能限定さ れたものに相当する。具体的には、電気メス22は高周 波を出力する高周波出力部26と、この高周波出力部2 6の動作を制御する制御部27と、送水吸引装置3を介 してフットスイッチ25の切開ペダル25a及び凝固ペ 50 ダル25bのスイッチ操作を検出するスイッチ検知部2 8とを有する。

10

【0060】上記制御部27は、通信ケーブル9で接続 される機器、ここでは送水吸引装置3と双方向の通信を 行う通信部27aを有する。また、この通信部27a内 には、この電気メス22の機器の種別が可能であると共 に、固有情報を持つID情報の発生手段を備えている。 また、通信ケーブル9により接続される機器からのID 情報により、その機器の種別等を識別する識別手段を備 10 えている。

【0061】また、高周波出力部26は、従来の高周波 手術装置に相当するものであり、この高周波出力部26 には、高周波を出力するのに必要な、電源、制御部、発 振部、出力部、検知部から構成されている。一方、送水 吸引装置3は第1の実施の形態で説明した構成となって おり、第1の実施の形態と同じ符号を付け、その説明を 省略する。

【0062】また、バイポーラフォーセプス24は高周 波駆動ケーブル31を介して電気メス22の高周波出力 部26と接続され、また送水チューブ32を介して送水 吸引装置3の送水部11と接続される。

【0063】本実施の形態も電気メス22と送水吸引装 置3は通信ケーブル9で接続され、連動制御が可能とな っている。次に本実施の形態における送水吸引装置3に よる通信処理は図2で説明したようになる。簡単に説明 すると、電気メス22と送水吸引装置3が接続される と、互いに通信を始める。両機器は装置固有のID情報 を所有しており、そのID情報により、互いに今どの機 器と接続されているかを認識する。

【0064】互いに認識できない場合は、各装置固有の 動作しかせず、連動制御は働かない。ID情報は一定期 間ごとに互いに送受信して、異なったID情報が返信さ れた場合、或いは返信が帰ってこない場合は、接続異常 と認識して、通信エラー処理として警告を告知し、装置 の動作が停止する。

【0065】一方、電気メス22による通信処理は図5 に示すようになる。図5に示す処理は図3の処理におい て、ステップS12の代わりにステップS12'で示す 電気メス単独での制御処理に置換した内容となってお

40 り、その他は同様であるので同じ符号を付け、その説明 を省略する。

【0066】次にID情報により、電気メス22と送水 吸引装置3が互いに接続されたと認識した連動制御の場 合の動作を説明する。フットスイッチ25の切開ペダル 25 aが踏まれると、その情報が送水吸引装置3のスイ ッチ検知部14に入力され、検知結果が送水吸引装置3 の制御部13に伝えられる。

【0067】送水吸引装置3の制御部13は、電気メス 22の制御部27にその情報を伝える。電気メス22の 制御部27は、電気メス22の高周波出力部26に伝

え、バイポーラフォーセプス24の先端の図示しない両 電極部間に両電極部で挟まれた組織を通して高周波電流 が出力され、切開の処置がされる。

【0068】フットスイッチ25の凝固ペダル25bが 踏まれると、その情報が送水吸引装置3のスイッチ検知 部14に入力され、検知結果が送水吸引装置3の制御部 13に伝えられる。送水吸引装置3の制御部13は、電 気メス22の制御部27及び、スイッチ検知部28にそ の情報を伝える。

【0069】電気メス22の制御部27は、電気メス2 2の高周波出力部26にその情報を伝え、バイポーラフ オーセプス24の先端の図示しない両電極部間に両電極 部で挟まれた組織を通して高周波電流が出力され、凝固 の処置がされる。同時に、送水吸引装置3の制御部13 は、送水吸引装置3の送水部11にその情報を伝え、バ イポーラフォーセプス24の先端の図示しない送水開口 部より液体が射出される。

【0070】一方、通信ケーブル9を接続しないように すれば、電気メス22及び送水吸引装置3それぞれを独 立して使用でき、使用方法、使用手枝がを増大できる。 以上のように接続される機器(装置)が電気メス22の 場合で説明したが、その他は第1の実施の形態の場合と 同様の効果を有する。

【0071】そして、第1及び第2の実施の形態から共 通化できる送水吸引手段をひとつにし、かつ、接続相手 を認識できるようにすることで、ユニット数を減らせ、 装置を効率的に使用できる。

【0072】又、送水吸引装置3は、超音波手術装置 2、電気メス22、どちらにも接続可能なため、経済 的、スペース的にも節約できる。

【0073】送水吸引装置3は、超音波手術装置2、電 気メス22、どちらに接続されたかを判別し、その情報 に基づき送水吸引装置3の必要な機能のみが動作するた め、使用者は簡単な操作で、使い勝手(操作性)の良い 使用が可能となる。

【0074】(第3の実施の形態)次に本発明の第3の 実施の形態を図6を参照して説明する。上述の第1或い は第2の実施の形態の説明では、送水吸引装置3に接続 される超音波手術用フットスイッチ5と電気メス用フッ トスイッチ25は、コネクタ形状もしくは、信号配線が 40 異なり、送水吸引装置3に(例えば通信ケーブル9で超 音波手術装置2が接続された状態で、超音波手術用フッ トスイッチ5が接続される代わりに)誤って電気メス用 フットスイッチ25が接続されるような誤接続されて動 作しないようになっている。これにより、意図しない誤 動作(制御)を防ぐことができるようにしている。これ は安全性を確保できるが、複数のコネクタ形状が異なる ものを接続できるようにしたり、信号配線の数が増大す る等によるコストアップなどの不便な面もある。

【0075】本実施の形態を備えた高周波手術システム 50

特開2001-112768 12

21'は例えば図4の高周波手術システム21におい て、電気メス用フットスイッチ25に識別用IDの発生 手段を設けて、送水吸引装置3に接続されたときに、接 続されたフットスイッチが例えば電気メス用フットスイ ッチ25であること等を認識できるようにしたものであ る。図6では電気メス用フットスイッチ25の場合を示 したが超音波手術用フットスイッチにも同様に識別用I Dの発生手段を設ける。

【0076】識別方法は、例えばフットスイッチ25内 に識別抵抗33が付設されていて、そこに送水吸引装置 3に設けた定電流源34から定電流が流れ、その識別抵 抗33に発生した電圧レベルにより、どの機種のフット スイッチかを識別する。

【0077】これにより、例えば、超音波手術装置2と 送水吸引装置3が接続され、電気外科手術用フットスイ ッチ25が接続されたときには、超音波手術装置2とフ ットスイッチ25とが異なる機種のため、警告ならびに その旨をユーザに告知し、正しいフットスイッチを接続 することを促す。

- 20 【0078】又、フットスイッチの種別により、送水吸引装置3から超音波手術装置2又は、電気メス22のスイッチ検知部8或いは28に送信する配線を切替え、超音波手術装置2又は、電気メス22を制御可能となる。 【0079】又、これにより、送水吸引装置3の制御部13と、超音波手術装置2又は、電気メス22のスイッチ検知部8又は28を接続するケーブルのコネクタを同じにでき、いちいち、接続する機種により、取り替える必要がない利点がある。その他は第1或いは第2の実施の形態と同様の効果を有する。
  - 【0080】なお、上述では送水吸引装置3に接続され るスイッチ手段としてフットスイッチの場合で説明した が、フットスイッチに限定するものではなく、他のスイ ッチ、例えばハンドスイッチなどのリモートスイッチで も良い。

【0081】[付記]

 流体を供給する供給手段と、流体等を吸引回収する 回収手段とを備えた流体供給回収装置において、選択的 に接続される超音波手術装置または高周波手術装置と通 信する通信手段と、接続された装置の種別を識別する装 置識別手段と、前記装置識別手段によって識別された装 置の種別に合わせて、前記超音波手術装置または高周波 手術装置との通信により前記供給手段および回収手段の 少なくとも供給手段を前記超音波手術装置または高周波 手術装置の動作に連動して動作させる制御手段と、を備 えたことを特徴とする流体供給回収装置。
 超音波手術装置または高周波手術装置と接続・通信

2. 超音級子術装置または高周級子術装置と接続・通信 する通信手段と、接続された装置の種別を識別する装置 識別手段と、前記超音波手術装置または前記高周波手術 装置の処置部に流体を供給する供給手段と、前記超音波 手術装置または前記高周波手術装置の処置部に供給した

30

流体、組織等を回収する回収手段と、前記装置識別手段 によって識別された装置の種別に合わせて、前記超音波 手術装置または高周波手術装置との通信により前記供給 手段および回収手段を前記超音波手術装置または高周波 手術装置の動作に連動して動作させる制御手段と、を備 えたことを特徴とする流体供給回収装置。

【0082】3.送水吸引装置、超音波手術装置、電気 外科手術装置において、各機器(装置)の固有のID情 報を持つ手段と、他の機器を接続されたときに接続され た機器のIDを識別するための識別検知手段と、識別検 10 出手段の結果に基づき、動作制御を行う手術システム

4. 付記3において、送水吸引装置と超音波手術装置が 接続された場合に、超音波出力手段、送水手段、吸引手 段が連動制御される手術システム。

【0083】5.付記3において、送水吸引装置と電気 外科手術装置が接続された場合に、高周波出力手段、送 水手段が連動制御される手術システム。

6. 超音波手術用フットスイッチ、電気外科手術用フットスイッチかを判別するためのID情報を持つフットスイッチ、接続されたフットスイッチがどの機器のもので 20 あるかをIDにより判別する送水吸引装置、超音波手術装置、電気外科手術装置。

[0084]

【発明の効果】以上説明したように本発明によれば、流 体を供給する供給手段と、流体等を吸引回収する回収手 段とを備えた流体供給回収装置において、選択的に接続 される超音波手術装置または高周波手術装置と通信する 通信手段と、接続された装置の種別を識別する装置識別 手段と、前記装置識別手段によって識別された装置の種 別に合わせて、前記超音波手術装置または高周波手術装 30 置との通信により前記供給手段および回収手段の少なく とも供給手段を前記超音波手術装置または高周波手術装 置の動作に連動して動作させる制御手段と、を設けてい るので、単独での使用ができると共に、種別が異なる装 置に接続して連動制御ができるようにして、経済的な使 用ができ、かつ広い適用範囲を確保できる。

【図面の簡単な説明】

【図1】本発明の第1の実施の形態を備えた超音波手術 システムの全体構成を示すブロック図。

【図2】送水吸引装置の処理内容を示すフローチャート 40 図。

【図3】 超音波手術装置の処理内容を示すフローチャー

ト図。

【図4】本発明の第2の実施の形態を備えた高周波手術 システムの全体構成を示すブロック図。

【図5】高周波手術装置(電気メス)の処理内容を示す フローチャート図。

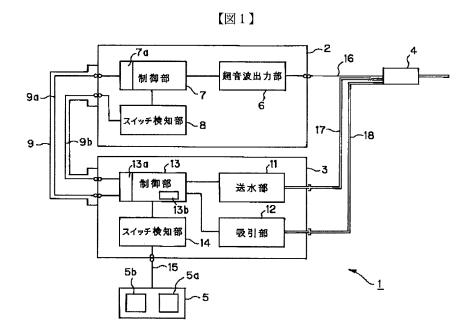
【図6】本発明の第3の実施の形態を備えた高周波手術 システムの全体構成を示すブロック図。

【図7】従来例の超音波手術装置の構成を示すブロック図。

【図8】従来例の高周波手術装置(電気メス)の構成を 示すブロック図。

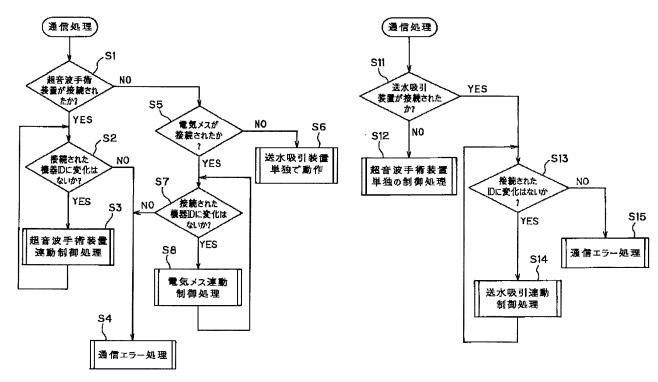
【符号の説明】

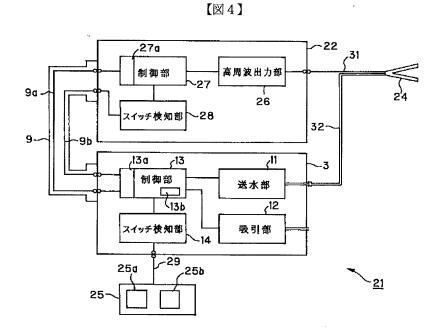
- 1…超音波手術システム
- 2…超音波手術装置
- 3…送水吸引装置
- 4…超音波プローブ
- 5…フットスイッチ
- 5 a …超音波ペダル
- 5 b…送水ペダル
- 6…超音波出力部
- 7…制御部
- 7 a…通信部
- 8…スイッチ検知部
- 9…通信ケーブル
- 11…送水部
- 12…吸引部
- 13…制御部
- 13 a…通信部
- 13b…記憶部
- 14…スイッチ検知部
- 15…接続ケーブル
- 16…超音波駆動ケーブル
- 17…送水チューブ
- 18…吸引チューブ
- 21…高周波手術システム
- 22…高周波手術装置(電気メス)
- 24…バオポーラフォーセプス
- 25…フットスイッチ
- 26…高周波出力部
- 27…制御部
- 28…スイッチ検知部



【図2】

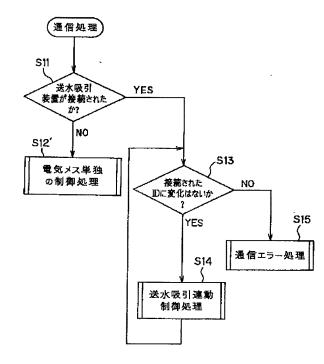


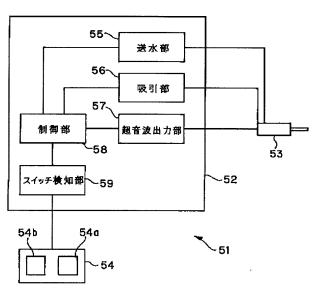




【図5】







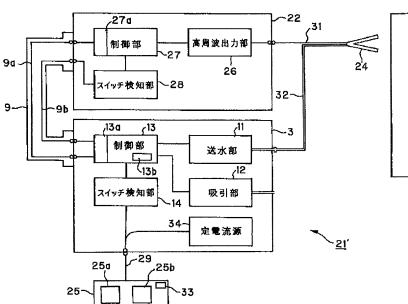
1

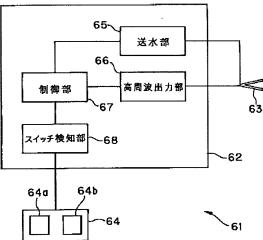
. 1



. . . . . .







#### INTERNATIONAL SEARCH REPORT

International application No. PCT/JP2013/060447

I

	CATION OF SUBJECT MATTER		
According to Int	rernational Patent Classification (IPC) or to both nation	al classification and IPC	
B. FIELDS SE			
Minimum docum A61B18/12	nentation searched (classification system followed by c	lassification symbols)	
Dogumentation	possible distant them minimum distance of the state		
Jitsuyo		itsuyo Shinan Toroku Koho	1996–2013
		oroku Jitsuyo Shinan Koho	1994-2013
Electronic data b	ase consulted during the international search (name of	data base and, where practicable, search te	rms used)
C. DOCUMEN	TS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where a		Relevant to claim No.
X Y	JP 2006-341066 A (Olympus Me Corp.),	edical Systems	1-5,7
	21 December 2006 (21.12.2006) paragraphs [0232] to [0243];		
	& US 2006/0265035 A1		
Y	JP 2006-187668 A (Olympus Co	orp.),	6
	20 July 2006 (20.07.2006), paragraph [0013]		
	(Family: none)		
A	JP 2001-501513 A (Medtronic,		1-7
	06 February 2001 (06.02.2001) entire text		
		1998/014131 A1 2266073 A	
		2200013 11	
× Further doe	cuments are listed in the continuation of Box C.	See patent family annex.	
"A" document de	ories of cited documents: fining the general state of the art which is not considered	"T" later document published after the inter date and not in conflict with the applica	tion but cited to understand
"E" earlier applic	cular relevance ation or patent but published on or after the international	"X" document of particular relevance; the cl	aimed invention cannot be
filing date "L" document wi	hich may throw doubts on priority claim(s) or which is	considered novel or cannot be consid step when the document is taken alone	
special reason	blish the publication date of another citation or other n (as specified) erring to an oral disclosure, use, exhibition or other means	"Y" document of particular relevance; the cl considered to involve an inventive s combined with one or more other such of	tep when the document is
	blished prior to the international filing date but later than	"&" document member of the same patent fa	art
			,
	completion of the international search 1, 2013 (18.04.13)	Date of mailing of the international searce 07 May, 2013 (07.05	
	gaddress of the ISA/ e Patent Office	Authorized officer	
Facsimile No.		Telephone No.	
Form PCT/ISA/210	(second sheet) (July 2009)		

#### INTERNATIONAL SEARCH REPORT

#### International application No. PCT/JP2013/060447

C (Continuation	). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JP 2001-112768 A (Olympus Optical Co., Ltd.), 24 April 2001 (24.04.2001), entire text & US 6666860 B1	1-7

国際調査報告 国際出願番号 PCT/JP2013/060447 Α. 発明の属する分野の分類(国際特許分類(IPC)) Int.Cl. A61B18/12 (2006.01) i 調査を行った分野 Β. 調査を行った最小限資料(国際特許分類(IPC)) Int.Cl. A61B18/12 最小限資料以外の資料で調査を行った分野に含まれるもの 日本国実用新案公報 1922-1996年 日本国公開実用新案公報 1971-2013年 日本国実用新案登録公報 1996-2013年 日本国登録実用新案公報 1994-2013年 国際調査で使用した電子データベース(データベースの名称、調査に使用した用語) С. 関連すると認められる文献 引用文献の 関連する カテゴリー* 引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示 請求項の番号 Х JP 2006-341066 A (オリンパスメディカルシステムズ株式会社) 1-5, 72006.12.21, 段落【0232】-【0243】、図 41 & US 2006/0265035 A1 Y 6 Y JP 2006-187668 A (オリンパス株式会社) 2006.07.20, 段落【0013】 6 (ファミリーなし) JP 2001-501513 A (メドトロニック・インコーポレーテッド) А 1 - 72001.02.06, 全文 & EP 1007111 A & WO 1998/014131 A1 & AU 7385796 A & CA 2266073 A JP 2001-112768 A (オリンパス光学工業株式会社) 2001.04.24, 全 А 1 - 7文 & US 6666860 B1 C欄の続きにも文献が列挙されている。 パテントファミリーに関する別紙を参照。 * 引用文献のカテゴリー の日の後に公表された文献 「A」特に関連のある文献ではなく、一般的技術水準を示す 「T」国際出願日又は優先日後に公表された文献であって もの 出願と矛盾するものではなく、発明の原理又は理論 「E」国際出願日前の出願または特許であるが、国際出願日 の理解のために引用するもの 以後に公表されたもの 「X」特に関連のある文献であって、当該文献のみで発明 「L」優先権主張に疑義を提起する文献又は他の文献の発行 の新規性又は進歩性がないと考えられるもの 日若しくは他の特別な理由を確立するために引用す 「Y」特に関連のある文献であって、当該文献と他の1以 る文献(理由を付す) 上の文献との、当業者にとって自明である組合せに 「O」口頭による開示、使用、展示等に言及する文献 よって進歩性がないと考えられるもの 「P」国際出願日前で、かつ優先権の主張の基礎となる出願 「&」同一パテントファミリー文献 国際調査を完了した日 国際調査報告の発送日 18.04.201307.05.2013 国際調査機関の名称及びあて先 3 T 9424 特許庁審査官(権限のある職員) 日本国特許庁(ISA/JP) 村上 聡 郵便番号100-8915 東京都千代田区霞が関三丁目4番3号 電話番号 03-3581-1101 内線 3346

様式PCT/ISA/210(第2ページ)(2009年7月)

Electronic Patent A	Electronic Patent Application Fee Transmittal					
Application Number:						
Filing Date:						
Title of Invention:	SURGICAL DEVICE					
First Named Inventor/Applicant Name:	Hideo SANAI					
Filer:	James Albert Oliff/Cynthia Kline					
Attorney Docket Number:	153190					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	280	280	
Utility Search Fee		1111	1	600	600	
Utility Examination Fee		1311	1	720	720	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1600

I

Electronic A	Electronic Acknowledgement Receipt				
EFS ID:	18020317				
Application Number:	14163528				
International Application Number:					
Confirmation Number:	1030				
Title of Invention:	SURGICAL DEVICE				
First Named Inventor/Applicant Name:	Hideo SANAI				
Customer Number:	25944				
Filer:	James Albert Oliff/Cynthia Kline				
Filer Authorized By:	James Albert Oliff				
Attorney Docket Number:	153190				
Receipt Date:	24-JAN-2014				
Filing Date:					
Time Stamp:	16:16:55				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$1600			
RAM confirmation Number	3101			
Deposit Account	150461			
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)				
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.17 (Patent application and reexamination processing fees)			

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.	
1	Application Data Sheet	153190_ADS.PDF	1503289	20	6	
I	Application Data Sheet	133190_AD3.FDF	0abb606913ccc571752b50be16b786186bc 281fd	no	6	
Warnings:			· · ·			
Information:						
2	Transmittal of New Application	153190_53BTrns.pdf	212841	no	3	
			25549a82b086bde87696e960d03829057d 91b732		5	
Warnings:						
Information:						
3		153190_App.pdf	1020211	Vor	21	
c		133190_App.pdi	be8e8f406ebae0ea7e0d566b9b6b5c31ccd e9427	yes	21	
	Multip	art Description/PDF files ir	.zip description			
	Document Des	cription	Start	Eı	nd	
	Specification - Not in English 1		1	14		
	Drawings-only black and white line drawings		15	21		
Warnings:						
Information:						
4	Orth an Darlam tion filed	152100 Deered	200857		2	
4	Oath or Declaration filed	153190_Dec.pdf	75a4fbe1bc651a4db43fec9f1ac2eaad699d 791c	no	2	
Warnings:	I		- I I	I		
Information:						
F		152100 TransDOA add	121125		1	
5	Miscellaneous Incoming Letter	153190_TransPOA.pdf	4d3c25b91c1f6789b4f8a3a3184bcd98655 10040	no	1	
Warnings:	I					
Information:						
	<b>5</b>		80174		_	
6	Power of Attorney	153190_GenPOA.pdf	c0854b0901cc48b8b25f5be01d835ffade68 5f4a	no	2	
Warnings:			I	I		
	n the PDF is too large. The pages should be pper and may affect subsequent processing		nitted, the pages will be res	ized upon en	try into the	
Information:						
7	Information Disclosure Statement (IDS)	153190_IDS.pdf	122340	no	2	
7	Form (SB08)	1.7.1.207 11.7.1.1.11		110 1	3	

Warnings:					
Information:					
This is not an U	SPTO supplied IDS fillable form				
8	Foreign Reference	153190_Ref6.pdf	579204	no	16
			4e2b1483f871b65a3eb4bc2c68b4d0ff2561 6105		
Warnings:					
Information:		I	1		I
9	Foreign Reference	153190_Ref7.pdf	5749728	no	93
			a64019e0db9b6a2ed12cff9eccf7fa7f9aca2 c91		
Warnings:					
Information:		I	1		I
10	Foreign Reference	153190_Ref8.pdf	1629740	no	31
			af528a3f59213ceb2416202e6db23eb41e4 42159		
Warnings:					
Information:		1			1
11	Foreign Reference	153190_Ref9.pdf	916493	no	19
	·····		1e92fa27b05c97f9c8d04c281190964cc918 1dac		
Warnings:		·	·		
Information:		-			
12	Foreign Reference	153190_Ref10.pdf	1976920	no	23
	-		c8be86d67db8e52bd590dc56f96e9e96947 00045		
Warnings:					
Information:		1			
13	Non Patent Literature	153190_Ref11isr.pdf	157866	no	3
			ea9757ab1f95384a16a90e40727a670fcec2 ed6b		
Warnings:		·	· · · · · · · · · · · · · · · · · · ·		
Information:					_
14	Fee Worksheet (SB06)	fee-info.pdf	32839	no	2
	- (,		b3ac608af1abb48dd5dc05d3a9ac2ddbd19 c00a5	-	
Warnings:					
Information:					
		Total Files Size (in bytes	<b>;):</b> 14:	303627	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	153190	
		Application Number		
Title of Invention	SURGICAL DEVICE			
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.				

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## **Inventor Information:**

Invent	tor 1						Remove	
Legal	Name							
Prefix	Given Name	:	Middle Name			Family I	Name	Suffix
	Hideo					SANAI		
Resid	lence Informa	tion (Select One)	US Residency	No     No	on US Re	sidency (	Active US Military Service	e
City Hachioji-shi Country of Residence i Jp								
	1		I				1	
Mailing	Address of I	ventor:						
Addre	ess 1	c/o OLYMPUS	I.P. SERVICES CO.,	LTD.				
Addre	ess 2	I.P. Support De	ept., 2-3 Kuboyama-cl	ho				
City	Hachioj	i-shi, Tokyo		St	ate/Prov	/ince		
Posta	l Code	192-8512	(	Countr	y i	JP		
		Be Listed - Additic form by selecting th		mation	blocks	may be	Add	

### **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).					
An Address is being	An Address is being provided for the correspondence Information of this application.				
Customer Number	Customer Number 25944				
Email Address	email@oliff.com	Add Email	Remove Email		

## **Application Information:**

Title of the Invention	SURGICAL DEVICE	SURGICAL DEVICE				
Attorney Docket Number	153190		Small Entity Status Claimed			
Application Type	Nonprovisional					
Subject Matter	Utility					
Total Number of Drawing Sheets (if any)		7	Suggested Figure for Publication (if any)			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Shoot 37 CED 1 76	Attorney Docket Number	153190
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SURGICAL DEVICE		

#### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
 Request Not to Publish. I hereby request that the attached application not be published under
 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	25944		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

•	-						
Prior Application Status	Pending		Remove				
Application Number	Continuity Type	Prior Application Number Filing Date (YYYY-MM-D					
	Continuation of	PCT/JP2013/060447	2013-04-05				
Prior Application Status	Expired		Remove				
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)				
PCT/JP2013/060447	non provisional of	61/636,269	2012-04-20				
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. Add							

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

#### PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		153190			
			Application Number					
Title of Invention	SURGICAL DEVICE							
Remove								
Application Number Country		i	Filing Date (YYYY-MM-DD)		Access Code ⁱ (if applicable)			
Additional Foreign Priority Data may be generated within this form by selecting the Add Add								

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

## Authorization to Permit Access:

**X** Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Do				Attorney Doc		153190		
Application Data Sheet 37 CFR 1.76			Application Number					
Title of Invention	SURGI	CAL DE	VICE					
Applicant 1								Remove
The information to be p 1.43; or the name and who otherwise shows a applicant under 37 CF	provided address sufficient R 1.46 (a gether wi	in this se of the as propriet assignee	ection is the na ssignee, person ary interest in t , person to who	me and address n to whom the in the matter who is om the inventor i	of the legal representation of the legal representation is under the applicant us the applicant us obligated to a	presentative v an obligation under 37 CFF assign, or pers	vho is the ⊨to assign ₹ 1.46. If ti son who o	hould not be completed. applicant under 37 CFR the invention, or person he applicant is an therwise shows sufficient o the applicant should be Clear
Assignee			C Legal Re	epresentative un	der 35 U.S.C.	S.C. 117		
O Person to whom th	e invento	r is oblig	ated to assign.		O Person	who shows a	sufficient p	proprietary interest
If applicant is the leg	al repre	sentativ	/e, indicate th	e authority to f	ile the patent	application,	the inver	ntor is:
Name of the Deceas	sed or L	egally lı	ncapacitated	Inventor :			+	
If the Applicant is a	n Orgar	nization	check here.	×				
Organization Name	Organization Name OLYMPUS MEDICAL SYSTEMS CORP.							
Mailing Address I	nformat	tion:						
Address 1		43-2, ł	Hatagaya 2-cho	ome, Shibuya-ku	l			
Address 2								
City		Tokyo			State/Provi	nce		
Country ⁱ JP					Postal Code			
Phone Number					Fax Number			
Email Address						·		
Additional Applicant Data may be generated within this form by selecting the Add button.								
Non-Applican	t Ass	ignee	e Informa	ition:				
Providing assignment i have an assignment re				not subsitute for	compliance wit	th any require	ment of p	art 3 of Title 37 of CFR to

#### Assignee 1

Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).

X

Remove

If the Assignee is an Organization check here.

#### PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		153190				
			Application N	umber					
Title of Inven	ntion SURGICAL DEVICE								
Organization	ו Name								
Mailing Add	ress In	formation	on:						
Address 1									
Address 2									
City			-		State/Provir	nce			
Country i	Postal Code								
Phone Numb	per Fax Number								
Email Addres	s								
Additional Assignee Data may be generated within this form by selecting the Add button.									
Signature:									
NOTE: This certifications	form m	ust be s	igned in accordanc	e with 37 CFR	1.33. See 37	CFR 1.4	for signatur	re rec	uirements and
Signature	/Todd M. Guise/ for James A. Oliff				Date (YYYY-MM-DD) 2014-01-24			2014-01-24	
First Name	Todd		Last Name	Guise		Registration Number		er	46748
Additional Si	qnature	may be	generated within t	his form by sele	ecting the Add	l button.		Ad	d

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.