## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner: Bredefeld, Rachael, Eva

Application No.: 14258031 Art Unit: 1611

Filed: 04/21/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

## AMENDMENT AND RESPONSE TO NON-FINAL OFFICE ACTION

This paper is in response to the Office action dated September 8, 2017, imposing a restriction/election requirement in the present application.

## I. Applicant hereby provisionally makes the following election:

- a) With respect to claim 1, for the power part, Applicant provisionally elects Ginseng saponin; for the aqueous part, Applicant elects Glycyrrhiza glabra root extract; for the emulsion part, Centella asiatica extract in addition to Bifida ferment lysate.
- b) With respect to claim 7, Applicant provisionally elects the dosage form lotion.
- c) With respect to claim 8, Applicant provisionally elects sodium mannitol.
- d) With respect to claim 9, Applicant provisionally elects sodium hyaluronate.
- e) with respect to claim 10, Applicant provisionally elect bisabolol.

## II. The above election encompasses all pending claims.

**BEI & OCEAN** Application No:14258031 Docket No: GDZY01-66USP

The restriction requirement is respectfully traversed to the extent that the Examiner

appears to require, with resect to claim 1, that Applicant must specify both the

presence of an ingredient and the absence of other ingredients ("i.e., the presence of

oligopeptide-1 and the absence of Ginseng saponin in the power part"), emphasis

added, because it would prevent claim 1 to have an open-ended scope defined by the

word "comprising." It is respectfully submitted that the rule of restriction requirement

does not preclude an claim with an open-ended scope.

Non-elected subject matter:

Applicant reserves the right to pursue the non-elected subject matter in a timely filed

divisional application if the non-elected subject matter is not eventually found suitable

for rejoining back to the application.

Authorization of email communication

If there is any question concerning this response or this application in general that the

Examiner would like to contact the Applicant's attorney, communication by email is

hereby expressly authorized by the Applicant's attorney as follows:

Recognizing that Internet communications are not secure, I hereby

authorize the USPTO to communicate with me by responding to this

inquiry by electronic mail. I understand that a copy of these

communications will be made of record in the application file.

Respectfully submitted,

/George G. Wang/

George G. Wang, Ph.D., 41,419

Attorney for Applicant

**BEI & OCEAN** georgewang@bei-ocean.com

Phone: (852) 61821877

Fax: (852) 30115161

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Application No:14258031

BEI & OCEAN Docket No: GDZY01-66USP

Dated: November 8, 2017

Electronic Acl	knowledgement Receipt
EFS ID:	30885718
Application Number:	14258031
International Application Number:	
Confirmation Number:	7410
Title of Invention:	Skin Care and Cosmetic Composition
First Named Inventor/Applicant Name:	Zhiyun WU
Customer Number:	50163
Filer:	George G. Wang
Filer Authorized By:	
Attorney Docket Number:	GDZY01-66USP
Receipt Date:	08-NOV-2017
Filing Date:	22-APR-2014
Time Stamp:	10:15:23
Application Type:	Utility under 35 USC 111(a)

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			50867		
1	Response to Election / Restriction Filed	Response_Restriction_Election _GDZY01-66USP.pdf	a7104c4a798ff6d336de9a74babb0989828 3c2d4	no	3
Warnings:					

Information:	
Total Files Size (in bytes):	50867

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

the application.

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgnia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 04/22/2014 Zhiyun WU

GDZY01-66USP

CONFIRMATION NO. 7410
PUBLICATION NOTICE

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946

Title: Skin Care and Cosmetic Composition

**Publication No.**US-2017-0281988-A1

Publication Date: 10/05/2017

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

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WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946

III....l...II.l...l..l.lll...l..ll



# Courtesy Reminder for Application Serial No: 14/258,031

Attorney Docket No: GDZY01-66USP

Customer Number: 50163

Date of Electronic Notification: 09/08/2017

This is a courtesy reminder that new correspondence is available for this application. If you have not done so already, please review the correspondence. The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

An email notification regarding the correspondence was sent to the following email address(es) associated with your customer number:

georgewang@bei-ocean.com georgewang.hk@gmail.com

To view your correspondence online or update your email addresses, please visit us anytime at https://sportal.uspto.gov/secure/myportal/privatepair. If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov or call 1-866-217-9197.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 WANG & HO	7590 09/08/201	7	EXAM	INER
66 HILLTOP R MILLINGTON	<del></del>		BREDEFELD, R	ACHAEL EVA
			ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			09/08/2017	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com

	<b>Application No.</b>	WU et al.	1	
Office Action Summary	Examiner RACHAEL E BREDEFELD	Art Unit 1611	AIA Status Yes	_
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	corresponden	ce address	
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period v  - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tir will apply and will expire SIX (6) MONTHS fron , cause the application to become ABANDONI	nely filed n the mailing date o ED (35 U.S.C. § 13	of this communication. 3).	
Status				
1) Responsive to communication(s) filed on 4/22/	<u>14</u> .			
☐ A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>	<b>30(b)</b> was/were filed on			
	☐ This action is non-final.			
<ul><li>3) An election was made by the applicant in response.</li><li>; the restriction requirement and election</li></ul>	•		ng the interview on	
4) Since this application is in condition for allowar closed in accordance with the practice under E			to the merits is	
Disposition of Claims*				
5)☑ Claim(s) 1-10 is/are pending in the application.				
5a) Of the above claim(s) is/are withdraw	wn from consideration.			
6)☐ Claim(s) is/are allowed.				
7) ☐ Claim(s) is/are rejected.				
8) Claim(s) is/are objected to.				
9) Claim(s) 1-10 are subject to restriction and/or end and claims have been determined allowable, you may be eliparticipating intellectual property office for the corresponding aparticipating intellectual property office for the corresponding aparticipating intellectual property office for the corresponding aparticipating intellectual property office for the corresponding aparticipation.	gible to benefit from the <b>Patent Pro</b> oplication. For more information, plea	ase see	way program at a	
Application Papers				
10) The specification is objected to by the Examine	er.			
11) The drawing(s) filed on is/are: a) acc		e Examiner.		
Applicant may not request that any objection to the di	rawing(s) be held in abeyance. See 3	37 CFR 1.85(a)		
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is obje	cted to. See 37	7 CFR 1.121(d).	
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign Certified copies:		a)-(d) or (f).		
a)□ All b)□ Some** c)□ None of th				
<ol> <li>Certified copies of the priority docume</li> </ol>				
2. Certified copies of the priority docume				
<ol> <li>Copies of the certified copies of the p application from the International Bur</li> </ol>	•	eived in this	National Stage	
** See the attached detailed Office action for a list of the certific	ed copies not received.			
Attachment(s)				
) Notice of References Cited (PTO-892)	3) 🔲 Interview Summar			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	Paper No(s)/Mail [ B/08b) 4) Other:	Date		

PTOL-326 (Rev. 11-13)

Application/Control Number: 14/258,031 Page 2

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#### **DETAILED ACTION**

## Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

Claim Status: Claims 1-10 are pending.

## Election of Species Requirement

This application contains claims directed to the following patentably distinct species of a skin care composition comprising a powder part, an aqueous part, and an emulsion part. The species are independent or distinct because claims to the different species recite the mutually exclusive characteristics of such species. In addition, these species are not obvious variants of each other based on the current record.

Applicant must elect from each of the following in order to be fully responsive:

- i.) The specific ingredients in each of the powder part, aqueous part, and emulsion part of the composition. Applicant must elect the presence or absence of an ingredient in each part of the composition (i.e., the presence of oligopeptide-1 and the absence of Ginseng saponin in the powder part).
  - ii.) The specific form of the emulsion as set forth in instant claim 7 (i.e., gel).
- iii.) The presence or absence of an external dosage form matrix in the powder part and in the event applicant elects the presence of a matrix, applicant must elect an ingredient or combination of ingredients in the matrix (i.e., mannitol). See claim 8.
- iv.) The presence or absence of an external dosage form matrix in the aqueous part and in the event applicant elects the presence of a matrix, applicant must elect an ingredient or combination of ingredients in the matrix (i.e., butanediol). See claim 9.

Art Unit: 1611

v.) The presence or absence of an external dosage form matrix in the emulsion part and in the event applicant elects the presence of a matrix, applicant must elect an ingredient or combination of ingredients in the matrix (i.e., bisabolol). See claim 10.

Furthermore, if applicable, it is also requested that applicant provide any common or trade name(s) of any of the elected species.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, or a single grouping of patentably indistinct species, for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claim 1 is generic.

There is an examination and search burden for these patentably distinct species due to their mutually exclusive characteristics. The species require a different field of search (e.g., searching different classes/subclasses or electronic resources, or employing different search queries); and/or the prior art applicable to one species would not likely be applicable to another species.

Applicant is advised that the reply to this requirement to be complete <u>must</u> include (i) an election of a species or a grouping of patentably indistinct species to be examined even though the requirement <u>may</u> be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected species or grouping of patentably indistinct species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be

considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species or grouping of patentably indistinct species.

Should applicant traverse on the ground that the species, or groupings of patentably indistinct species from which election is required, are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing them to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RACHAEL E BREDEFELD whose telephone number is (571)270-5237. The examiner can normally be reached 8:00-5:00 Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany P Barham can be reached at (571)272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 14/258,031 Page 5

Art Unit: 1611

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Rachael E Bredefeld/
Primary Examiner, Art Unit 1611

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WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946

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# Courtesy Reminder for Application Serial No: 14/258,031

Attorney Docket No: GDZY01-66USP

Customer Number: 50163

Date of Electronic Notification: 06/29/2017

This is a courtesy reminder that new correspondence is available for this application. If you have not done so already, please review the correspondence. The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

An email notification regarding the correspondence was sent to the following email address(es) associated with your customer number:

georgewang@bei-ocean.com georgewang.hk@gmail.com

To view your correspondence online or update your email addresses, please visit us anytime at https://sportal.uspto.gov/secure/myportal/privatepair. If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov or call 1-866-217-9197.

## Office of Petitions: Routing Sheet



Application No. 14258031

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

> X GRANTED **DISMISSED DENIED**

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 <b>WANG &amp;</b> HO	7590 06/29/201	7	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			06/29/2017	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Application of :

Wu, et al. : DECISION ON PETITION

Application No. 14/258,031 :

Filed: April 22, 2014 :

Atty. Dkt. No.: GDZY01-66USP :

The above-identified application has been directed to the Office of Petitions for consideration of the petition under 37 CFR 1.137(a) filed June 11, 2017.

The application became abandoned on July 2, 2014, for failure to timely submit a proper reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice") mailed on May 1, 2014, which set a two (2) month time limit for reply. On May 12, 2014, a proposed reply was filed. On May 16, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 18, 2014, a proposed reply was submitted. On June 20, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. Additionally on June 20, 2014, a Response to Request for Corrected Filing Receipt was mailed. On June 27, 2014, a proposed reply was filed. On August 14, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On August 19, 2014, a petition under 37 CFR 1.181 was filed. On March 13, 2015, a decision was mailed dismissing the petition. On March 17, 2015, a Notice of Abandonment was mailed. On May 11, 2015, a renewed petition was filed. On July 7, 2015, a decision was mailed dismissing the renewed petition. On August 4, 2015, a petition under 37 CFR 1.181 was filed. On November 9, 2015, a decision was mailed dismissing the renewed petition. On January 8, 2016, a renewed petition under 37 CFR 1.181 was filed. On February 17, 2016, a decision was mailed dismissing the renewed petition. On April 15, 2016, the subject renewed petition was filed. Petitioner again requests reconsideration of the decision. This decision was denied on July 19, 2016.

A grantable petition under 37 CFR 1.137(a) must be accompanied by: (1) the required reply, unless previously filed; (2) required the petition fee; (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Director may require additional information. See, MPEP 711.03(c)(II)(C) and (D).

Application/Control Number: 14/258,031 Page 2

Art Unit: OPET

The instant petition has been carefully reviewed and found in compliance with the provisions set forth above. Accordingly, this application is being forwarded to OPAP for further processing.

Accordingly, the petition is **GRANTED**.

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3205.

/ALESIA M. BROWN/

Alesia M. Brown Attorney Advisor Office of Petitions



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, Virginia 22313-1450 www.tspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/258 031	04/22/2014	2668	730	GDZY01-66USP	10	1

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 CONFIRMATION NO. 7410 UPDATED FILING RECEIPT



Date Mailed: 06/29/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Zhiyun WU, Guangzhou, CHINA; Chungwah MA, Guangzhou, CHINA; Shiyu ZOU, Guangzhou, CHINA;

Applicant(s)

Zhiyun WU, Guangzhou, CHINA; Chungwah MA, Guangzhou, CHINA; Shiyu ZOU, Guangzhou, CHINA;

**Assignment For Published Patent Application** 

Infinitus (China) Company Ltd., Jiangmen, CHINA

Power of Attorney: None

Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/29/2014

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 14/258,031** 

**Projected Publication Date: 10/05/2017** 

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Skin Care and Cosmetic Composition

**Preliminary Class** 

382

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop

technology, manufacture products, deliver services, and grow your business, visit $\underline{\text{http://www.SelectUSA.gov}}$ or call +1-202-482-6800.

page 4 of 4

#### Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 14/258,031 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) RATE(\$) RATE(\$) FOR NUMBER FILED NUMBER EXTRA FEE(\$) FEE(\$) BASIC FEE N/A 70 N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 300 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A 360 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 10 40 0.00 OR minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS 1 210 0.00 minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. FEE 0.00 (37 CFR 1.16(s)) 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) 0.00 \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 730 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE(\$) RATE(\$) ⋖ AFTER AMENDMENT PREVIOUSLY EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR Total Minus OR (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE(\$) RATE(\$) Ш PREVIOUSLY **AFTER** EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR **AMENDMENT** Minus Total OR (37 CFR 1.16(i)) Independent Minus OR (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L FEE ADD'L FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20" \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



50163

WANG & HO

66 HILLTOP ROAD MILLINGTON, NJ 07946

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 Zhiyun WU

GDZY01-66USP

CONFIRMATION NO. 7410 WITHDRAWAL NOTICE

\*OC00000092424297\*

Date Mailed: 06/29/2017

## Letter Regarding a New Notice and/or the Status of the Application

If a new notice or Filing Receipt is enclosed, applicant may disregard the previous notice mailed on 03/17/2015. The time period for reply runs from the mail date of the new notice. Within the time period for reply, applicant is required to file a reply in compliance with the requirements set forth in the new notice to avoid abandonment of the application.

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If the reply is not filed electronically via EFS-Web, the reply must be accompanied by a copy of the new notice.

If the Office previously granted a petition to withdraw the holding of abandonment or a petition to revive under 37 CFR 1.137, the status of the application has been returned to pending status.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/sstephanos/

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

-

## **RENEWED PETITION UNDER 37 CFR 1.137**

## **Mail Stop Petition**

Commissioner for Patents, P.O.Box 1450 Alexandria, VA 22313-1450

This is in response to Petition Decision of April 10, 2017. Applicant hereby renews the petition with the required reply: corrected Application Data Sheet.

The Office's attention to this matter is greatly appreciated.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419

Attorney for Petitioner

**BEI & OCEAN** 

Application No:14258031 BEI & OCEAN Docket No: GDZY01-66USP

georgewang@bei-ocean.com Phone: (852) 61821877 Fax: (852) 30115161

Dated: June 10, 2017

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **APPLICATION DATA SHEET 37 CFR 1.76**

Application Number	14258031
Attorney Docket Number	GDZY01-66USP
Title of invention	Skin Care and Cosmetic Composition

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a
Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy
Order may not be filed electronically.)

## **Inventor Information**

Inventor 1	
Legal Name	Prefix: Given Name: Zhiyun Middle Name: Family Name: WU Suffix:
Residence Information	US Residency Non US Residency Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): CN
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN
Inventor 2	y

Inventor 2	
Legal	Prefix:
Legal Name	Given Name: Chungwah
	Middle Name:
	Family Name: Ma
	Suffix:

Docket No: GDZY01-66USP Application. No: 14258031

Title of invention : Skin Care and Cosmetic Composition

Residence Information	City: State Coun	IS Residency Non US Residency Active US Military Service  Guangzhou /Province: Guangdong etry of Residence: CN enship under 37 CFR 1.41(b): HK									
Mailing Address of Inventor	Addre City: State	Guangzhou (Province: Guangdong									
		al Code: <u>510665</u> try: <u>CN</u>									
Inventor 3											
Legal Name	Middl	n Name: <b>Shiyu</b> le Name: ly Name: <b>ZOU</b>									
Residence Information	City: State Coun	US Residency									
Mailing Address of Inventor	Addre City: State Posta	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN									
Correspon	dence	e Information									
An Addr	ess is	being provided for the	correspondence Ir	ıforı	mation of this application.						
Customer Number 50163											
Correspondence Information		Name 1: Name 2:									
		Address 1:									
		Address 2:									
		City:		State/Province:							
		Country:		Po	stal Code:						
		Phone Number:	Fax Number:		Email Address:						

## **Application Information**

Title of the Invention: Skin Care and Cosmetic Composition					
Attorney Docket Number: GDZY01-66USP Small Entity Status Claimed:					
Application Type: Nonprovisional	Subject Matter: Utility				
Total Number of Drawing Sheets (if any): 4 Suggested Figure for Publication (if any): N/A					

## **Publication Information**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## **Representative Information**

Please Select One:	☑ Customer Number ☐ US Patent Practitioner ☐ Limited Recognition
Customer Number	50163

## **Domestic Benefit/National Stage Information**

Prior Application Status:									
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)						

## **Foreign Priority Information**

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code (if applicable)

## Statement under 37 CFR 1.55 or 1.78

Docket No: GDZY01-66USP Application. No: 14258031

2013 and (	(2) also	con		ained a	at any t	ime, a clai	plication filed bef m to a claimed in		
Authorizatio	n to P	ern	nit Access						
☐ Authorizati	on to Pe	ermi	it Access to the	ne Inst	ant App	olication by	the Participating	Office	s
Applicant In	forma	tio	n						
Applicant									
☑ Assignee			Legal Repres	sentati	ve und	er 35 U.S.(	C.117 🔲 Join	t Inven	tor
Person to who	om the in	vent	tor is obligated	to assi	gn.	Persor	n who shows suffici	ent prop	orietary interest
If applicant is th	e legal	repr	esentative, in	dicate	the au	thority to fi	le the patent app	lication	, the inventor is:
Deceased			Legally Incap	oacitate	ed	and his or	her name is:		
If the Applicant	is an Or			here.	$\overline{\mathbf{A}}$				
Organization Na			Infinitus (Chir	na) Co	mpany	Ltd.			
Prefix <b>Mr</b>	Given Huise		ne	Middl	e Nam	е	Family Name Li		Suffix
Mailing Address			n For Applica	nt					
Address 1	No.3,	Nor	th District, Ir	ndustr	y&Tra	ding City		*******	
Address 2	Qi Ba	o, X	in Hui Distri	ct		γ·······		······	
City Jiangmen		- 1	State/Province Guangdong	ce		Country CN		Posta <b>52915</b>	l Code 56
Phone Number		Fax	x Number		Email	Address			
Assignee Info	ormati	ion							
Assignee									
If the Assignee		gan		here.	$\overline{\mathbf{A}}$				
Organization Na			Infinitus (Ch	ina) C	ompar	ıy Ltd.			
Prefix Mr		Given Name		Middl	e Nam	Э	Family Name		Suffix

Mailing Address Information For Non-Applicant Assignee								
Address 1 No.3, North District, Industry&Trading City								
Address 2	Qi Ba	Qi Bao, Xin Hui District						
City State/Province Jiangmen Guangdong					Country CN		Postal Code 529156	
Phone Number Fax Number		Number	Email	Address				

## Signature

Signature	/George G Wang	1		Date (YYYY-MM-DD)		2014-04-23	
First Name	George G	Last Name	War	ng	Registration	Number	41,419

Electronic Acknowledgement Receipt					
EFS ID:	29457990				
Application Number:	14258031				
International Application Number:					
Confirmation Number:	7410				
Title of Invention:	Skin Care and Cosmetic Composition				
First Named Inventor/Applicant Name:	Zhiyun WU				
Customer Number:	50163				
Filer:	George G. Wang				
Filer Authorized By:					
Attorney Docket Number:	GDZY01-66USP				
Receipt Date:	11-JUN-2017				
Filing Date:	22-APR-2014				
Time Stamp:	13:52:16				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of Petitions	petition.pdf	24608	no	2
			2fe52e9fe5fae87bae1a5cf00b6e636d2a64 d1ea		
Warnings:					

Information:							
2	Application Data Sheet	66_ADS.pdf	222334	no	5		
			8329c6bdcc4c6e07b6c11c5be8b5bb40d1e 9677e				
Warnings:							
Information:							
This is not an USPTO supplied ADS fillable form							
Total Files Size (in bytes): 246942							

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

the application.

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 <b>WANG &amp;</b> HO	7590 04/10/201	7	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			04/10/2017	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com

## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Application of :

Wu, et al. : DECISION ON PETITION

Application No. 14/258,031 :

Filed: April 22, 2014 :

Atty. Dkt. No.: GDZY01-66USP :

The above-identified application has been directed to the Office of Petitions for consideration of the petition under 37 CFR 1.137(a) filed August 25, 2016.

The application became abandoned on July 2, 2014, for failure to timely submit a proper reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice") mailed on May 1, 2014, which set a two (2) month time limit for reply. On May 12, 2014, a proposed reply was filed. On May 16, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 18, 2014, a proposed reply was submitted. On June 20, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. Additionally on June 20, 2014, a Response to Request for Corrected Filing Receipt was mailed. On June 27, 2014, a proposed reply was filed. On August 14, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On August 19, 2014, a petition under 37 CFR 1.181 was filed. On March 13, 2015, a decision was mailed dismissing the petition. On March 17, 2015, a Notice of Abandonment was mailed. On May 11, 2015, a renewed petition was filed. On July 7, 2015, a decision was mailed dismissing the renewed petition. On August 4, 2015, a petition under 37 CFR 1.181 was filed. On November 9, 2015, a decision was mailed dismissing the renewed petition. On January 8, 2016, a renewed petition under 37 CFR 1.181 was filed. On February 17, 2016, a decision was mailed dismissing the renewed petition. On April 15, 2016, the subject renewed petition was filed. Petitioner again requests reconsideration of the decision. This decision was denied on July 19, 2016.

A grantable petition under 37 CFR 1.137(a) must be accompanied by: (1) the required reply, unless previously filed; (2) required the petition fee; (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Director may require additional information. See, MPEP 711.03(c)(II)(C) and (D).

The instant petition fails to satisfy requirement (1) set forth above. The corrected application data sheet (ADS) submitted August 25, 2016 is not acceptable as the "Inventor Information" section

Application/Control Number: 14/258,031 Page 2

Art Unit: OPET

fails to satisfy the requirements of 37 CFR 1.76(c)(2). The corrected ADS submitted June 27, 2014 is not acceptable for the same reason.

Any request for reconsideration must be accompanied by a corrected ADS in compliance with 37 CFR 1.76.

Accordingly, the petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(a)." This is not a final agency action within the meaning of 5 U.S.C. § 704.

Further correspondence with respect to this matter should be addressed as follows:

By Mail: Mail Stop PETITION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

By hand: U.S. Patent and Trademark Office

Customer Service Window, Mail Stop Petitions

Randolph Building 401 Dulany Street Alexandria, VA 22314

By facsimile: (571) 273-8300

Attn: Office of Petitions

Telephone inquiries concerning this matter may be directed to the undersigned at (571) 272-3205.

/ALESIA M. BROWN/

Alesia M. Brown Attorney Advisor Office of Petitions

## **Office of Petitions: Routing Sheet**



Application No. 14258031

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

**X DISMISSED** 

**DENIED** 

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (12-13)

Approved for use through 07/31/2016. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT		Docket Number (Optional) GDZY01-66USP
ABANDONED UNINTENTIONALLY UNDER S	GD2101 00001	
Page 1 of 2	000000000000000000000000000000000000000	300000000000000000000000000000000000000
First named inventor: Zhiyun WU		
Application No.: 14258031	Art Unit: 2668	
Filed: 22-APR-2014	Examiner:	
Skin Care and Cosmetic Composition		
Attention: Office of Petitions		
Mail Stop Petition Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450 FAX (571) 273-8300		
NOTE: If information or assistance is needed in completing	g this form, please contact th	e Office of Petitions at (571) 272-3282.
The above-identified application became abandoned for failure to file Patent and Trademark Office. The date of abandonment is the day aft action plus any extensions of time actually obtained.		
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APP	LICATION.	
NOTE: A grantable petition requires the following items:		
<ul><li>(1) Petition fee;</li><li>(2) Reply and/or issue fee;</li></ul>		
(3) Terminal disclaimer with disclaimer fee – required fo	er all utility and plant applicat	ions filed before June 8, 1995, and for all
design applications; and (4) Statement that the entire delay was unintentional.		
1. Petition fee		
Small entity fee \$ 850 (37 CFR 1.17(m)). Applicant asser	ts small entity status. See 37	CFR 1.27.
Undiscounted fee \$ (37.CFR.1.17(m)).	,	
(m)		
2. Reply and/or fee		
A The reply and/or fee to the above-noted Office notice or action	n in the form of	
Supplement ADS relative to ADS filed 2014-06	-27 (identify the type	of reply):
has been filed previously on	··	
✓ is enclosed herewith.		
B The issue fee and publication fee (if applicable) of \$		
has been paid previously on	·	
is enclosed herewith.		

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (12-13)

Approved for use through 07/31/2016. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(1-)

Page 2 of 2

000000000000000000000000000000000000000					
Terminal disclaimer with disclaimer fee					
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.					
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).					
4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]					
	WARNING:				
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
/George G . Wang/	August 24, 2016				
	Date				
George G . Wang	41,419				
Typed or Printed Name	Registration Number, if applicable				
66 Hilltop Road	908-552-6256				
Address Telephone Number					
Millingtong, NJ 07946					
Address	<del></del>				
Enclosures:					
Fee Payment	Fee Payment				
<b>✓</b> Reply					
Terminal Disclaimer Form					
Additional sheet(s) containing statements establishing unint	tentional delay				
Other:					
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]					
Thereby certify that this correspondence is being:					
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Transmitted by EFS-Web or facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.					
08/25/2016	/George G . Wang/				
Date	Signature				
George G . Wang					
	Typed or printed name of person signing certificate				
***************************************					

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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### **APPLICATION DATA SHEET 37 CFR 1.76**

Application Number	14258031
Attorney Docket Number	GDZY01-66USP
Title of invention	Skin Care and Cosmetic Composition

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a
Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy
Order may not be filed electronically.)

## **Inventor Information**

Inventor 1	
Legal Name	Prefix: Given Name: Zhiyun Middle Name: Family Name: WU Suffix:
Residence Information	US Residency Non US Residency Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): CN
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN

Inventor 2	
Legal Name	Prefix: Given Name: Chungwah Middle Name: Family Name: Ma Suffix:

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application. No: 14258031

Residence Information		· —	Ion US Residency	☐ Active US Military Service	
iniormation		ity: Guangzhou ate/Province: Guangdong			
		try of Residence: CN	<u>.9</u>		
	Citize	enship under 37 CFR 1	1.41(b): <u>HK</u>		
Mailing Address of Inventor	Addre	ess 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road ess 2: Guangzhou			
	State. Posta	/Province: Guangdor al Code: 510665 try: CN	ng		
Inventor 3					
Legal Name	Middi	n Name: Shiyu le Name: ly Name: ZOU			
Residence Information	City: State Coun	US Residency			
Mailing Address of Inventor	Addre City: State Posta	dress 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road dress 2: : Guangzhou dre/Province: Guangdong tal Code: 510665 untry: CN			
		e Information  being provided for the	correspondence In	formation of this application.	
Customer Nu		50163			
Correspondence Information		Name 1: Name 2:		Name 2:	
		Address 1:			
		Address 2:			
		City:		State/Province:	
		Country:		Postal Code:	
		Phone Number:	Fax Number:	Email Address:	

## **Application Information**

Title of the Invention: Skin Care and Cosmetic Composition		
Attorney Docket Number: GDZY01-66USP Small Entity Status Claimed:		
Application Type: Nonprovisional Subject Matter: Utility		
Total Number of Drawing Sheets (if any): 4 Suggested Figure for Publication (if any): N/A		

### **Publication Information**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<b>Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## **Representative Information**

Please Select One:	☑ Customer Number ☐ US Patent Practitioner ☐ Limited Recognition
Customer Number	50163

## **Domestic Benefit/National Stage Information**

Prior Application Stat	us:		
Application Number   Continuity Type   Prior Application Number   Filing Date (YYYY-MM-DD)			

## **Foreign Priority Information**

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code (if applicable)

### Statement under 37 CFR 1.55 or 1.78

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application. No: 14258031

2013 an	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.							
Authorizat	ion to Pe	ermit Access						
☐ Authoriz	ation to Pe	rmit Access to ti	ne Instant	Application by	y the Participating	Office	es	
Applicant l	Informat	ion						
Applicant								
☑ Assignee		Legal Repre	sentative ι	under 35 U.S.	C.117	t Inven	tor	
Person to v	vhom the inv	entor is obligated	to assign.		n who shows suffici	ient prop	orietary interest	
If applicant is	the legal re				ile the patent app	lication	, the inventor is:	
Deceased	1 k	Legally Incar	pacitated	and his or	r her name is:			
If the Applica	nt is an Orç	ganization check	here. 🗹					
Organization	Name:	Infinitus (Chi	na) Compa	any Ltd.				
<i>Prefix</i> <b>Mr</b>	Given I Huisen		Middle N	lame	Family Name Li		Suffix	
Mailing Addre	ess Informa	ition For Applica	nt					
Address 1	No.3, N	North District, I	ndustry&	Trading City				
Address 2	Qi Bao	, Xin Hui Distri	ct			·		
<i>City</i> Jiangmen		State/Proving Guangdong	ce	Country CN		Posta <b>5291</b> 5	ostal Code <b>9156</b>	
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Assignee Ir	ıformati	on						
Assignee								
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 $\label{thm:composition} \mbox{Title of invention}: Skin \mbox{ Care and Cosmetic Composition}$ 

Mailing Address Information For Non-Applicant Assignee						
Address 1 No.3, North District, Industry&Trading City						
Address 2	Qi Ba	Qi Bao, Xin Hui District				
CityState/ProvinceCountryPostal CodeJiangmenGuangdongCN529156						
Phone Number Fax Number		Email	Address			

## Signature

Signature	/George G Wang/			Date (YYYY-MM-DD)		2016-08-25	
First Name	George G	Last Name	War	ng	Registration	Number	41,419

Electronic Patent Application Fee Transmittal					
Application Number:	143	258031			
Filing Date:	22-Apr-2014				
Title of Invention:	Skin Care and Cosmetic Composition				
First Named Inventor/Applicant Name:	nventor/Applicant Name: Zhiyun WU				
Filer:	George G. Wang				
Attorney Docket Number:	Attorney Docket Number: GDZY01-66USP				
Filed as Small Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Pet. Revive Abandon App, Delay Pymt-Resp		2453	1	850	850
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	850

Electronic Acknowledgement Receipt				
EFS ID:	26742085			
Application Number:	14258031			
International Application Number:				
Confirmation Number:	7410			
Title of Invention:	Skin Care and Cosmetic Composition			
First Named Inventor/Applicant Name:	Zhiyun WU			
Customer Number:	50163			
Filer:	George G. Wang			
Filer Authorized By:				
Attorney Docket Number:	GDZY01-66USP			
Receipt Date:	25-AUG-2016			
Filing Date:	22-APR-2014			
Time Stamp:	03:40:23			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

yes
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			174567		
1	Petition for review by the Office of Petitions	PET.pdf	fa23cb793016bcdccc3d1a193d274ebbc93 b4f35	no	3
Warnings:				I	
Information:					
			229776		
2	Application Data Sheet	SuppADS.pdf	02610f9ba347d2bbd9b6961b70fd1abb886 db186	no	5
Warnings:	<u> </u>		<u> </u>		
Information:					
This is not an US	PTO supplied ADS fillable form				
			30354		
3	Fee Worksheet (SB06)	fee-info.pdf	3abefdee4a1cdaf8a7ff6eb47106509ece842 022	no	2
Warnings:				l	
Information:					
		Total Files Size (in bytes)	43	34697	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 <b>WANG &amp; HO</b>	7590 07/20/201	6	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			07/20/2016	FI ECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

In re Application of :

Wu et al. :

Application No. 14/258,031 : ON PETITION

Filed: 04/22/2014 :

Attorney Docket No. GDZY01-66USP :

This is a decision in reference to the "RENEWED PETITION UNDER 37 CFR 1.181," filed on April 15, 2016, requesting withdrawal of the holding of abandonment.

The petition is **<u>DENIED</u>**. This decision may be viewed as a final agency action within the meaning of 5 U.S.C. § 704 for purposes of seeking judicial review. <u>See MPEP 1002.02</u>.

#### **BACKGROUND**

The application became abandoned on July 2, 2014, for failure to timely submit a proper reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice") mailed on May 1, 2014, which set a two (2) month time limit for reply. On May 12, 2014, a proposed reply was filed. On May 16, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 18, 2014, a proposed reply was submitted. On June 20, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. Additionally on June 20, 2014, a Response to Request for Corrected Filing Receipt was mailed. On June 27, 2014, a proposed reply was filed. On August 14, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On August 19, 2014, a petition under 37 CFR 1.181 was filed. On March 13, 2015, a decision was mailed dismissing the petition. On March 17, 2015, a Notice of Abandonment was mailed. On May 11, 2015, a renewed petition was filed. On July 7, 2015, a decision was mailed dismissing the renewed petition. On August 4, 2015, a petition under 37 CFR 1.181 was filed. On November 9, 2015, a decision was mailed dismissing the renewed petition. On January 8, 2016, a renewed petition under 37 CFR 1.181 was filed. On February 17, 2016, a decision was mailed dismissing the renewed petition.

On April 15, 2016, the subject renewed petition was filed. Petitioner again requests reconsideration of the decision.

Art Unit: OPET

#### Petitioner asserts:

The Office of Petition does not seem to have addressed the issues presented for review by the Petitioner. The issues presented for review are:

- 1. Whether the mailing address for each of the inventors provided on the ADS (filed April 23, 2014) is a "mailing address."
- 2. Whether the Office should clarify its requirement in a Notice when requested by an applicant confused by the Notice.

#### Petitioner further asserts that

[T]he holding of abandonment is improper because 37 CFR 1.76(c) specifically provides that the applicant may file an updated ADS to make a correction of information until payment of issue fee. Therefore, there is no legitimate reason to rush and hold this application to be abounded (*sic*) at this moment.

#### LAW AND REGULATION

#### 35 U.S.C. 133 states

Upon failure of the applicant to prosecute the application within six months after any action therein, of which notice has been given or mailed to the applicant, or within such shorter time, not less than thirty days, as fixed by the Director in such action, the application shall be regarded as abandoned by the parties thereto.

#### 37 CFR 1.63(b)(2) states:

Unless the following information is supplied in an application data sheet in accordance with § 1.76, the oath or declaration must also identify: A mailing address where the inventor customarily receives mail, and residence, if an inventor lives at a location which is different from where the inventor customarily receives mail, for each inventor.

#### 37 CFR 1.76(c) states:

Correcting and updating an application data sheet.

(1) Information in a previously submitted application data sheet, inventor's oath or declaration under § 1.63, § 1.64 or § 1.67, or otherwise of record, may be corrected or updated until payment of the issue fee by a new application data sheet providing corrected or updated information, except that inventorship

Art Unit: OPET

changes must comply with the requirements of  $\S 1.48$ , foreign priority and domestic benefit information changes must comply with  $\S \S 1.55$  and 1.78, and correspondence address changes are governed by  $\S 1.33(a)$ .

(2) An application data sheet providing corrected or updated information may include all of the sections listed in paragraph (b) of this section or only those sections containing changed or updated information. The application data sheet must include the section headings listed in paragraph (b) of this section for each section included in the application data sheet, and must identify the information that is being changed, with underlining for insertions, and strike-through or brackets for text removed, except that identification of information being changed is not required for an application data sheet included with an initial submission under 35 U.S.C. 371.

#### 37 CFR 1.135 states, in pertinent part:

- (a) If an applicant of a patent application fails to reply within the time period provided under § 1.134 and 1.136, the application will become abandoned unless an Office action indicates otherwise.
- (b) Prosecution of an application to save it from abandonment pursuant to paragraph (a) of this section must include such complete and proper reply as the condition of the application may require.

#### **OPINION**

A review of the record reveals that on April 22, 2014, the application was filed with an inventor's oath or declaration signed by inventors Zhiyun Wu, Chungwah Ma, and Shiyu Zou. No application data sheet (ADS) was filed with the original application papers. As such, the record of the application as filed only identified the inventors by name.

On April 23, 2014, an application data sheet was filed. The ADS was not marked up in accordance with 37 CFR 1.76(c), however, to indicate the residence and mailing address of each inventor relative to the information of record.

On May 1, 2014, a Notice to File Missing Parts of Nonprovisional Application was mailed, stating that an ADS or inventor's oath or declaration identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) for each inventor had not been submitted.

Art Unit: OPET

On May 12, 2014, a reply to the Notice mailed on May 1, 2014, was submitted. The reply stated that an ADS "which contains all the necessary information including mailing address of all the inventors" had been submitted on April 23, 2014.

On May 16, 2014, a Notice of Incomplete Reply was mailed, stating that the reply filed on May 12, 2014 was incomplete, and that a new ADS identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) had not been submitted. The Notice stated that the time period set in the Notice mailed on May 1, 2014 remains in effect.

On June 18, 2014, applicants resubmitted the ADS originally filed April 23, 2014. Accordingly, the same deficiency was present: The ADS was not marked up in accordance with 37 CFR 1.76(c), however, to indicate the residence and mailing address of each inventor relative to the information of record.

On June 20, 2014, a Notice of Incomplete Reply was mailed, stating that the reply filed on June 18, 2014 was incomplete, and that a new ADS identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) had not been submitted. The Notice stated that the time period set in the Notice mailed on May 1, 2014 remains in effect. Additionally on June 20, 2014, a Response to Request for Corrected Filing Receipt was mailed noting that a corrected filing receipt could not be issued because the ADS submitted on June 18, 2014 was not properly marked up to show the desired changes to the information already of record as required by 37 CFR 1.76(c).

On June 27, 2014, an ADS was filed. The mailing address of each inventor was marked up in accordance with 37 CFR 1.76(c), but the residence city and state or city and foreign country was not marked up. The changes to the residence city and state were not underlined.

On August 14, 2014, a Notice of Incomplete Reply was mailed, stating that the reply filed on June 27, 2014 was incomplete, and that a new ADS identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) had not been submitted. The Notice stated that the time period set in the Notice mailed on May 1, 2014 remains in effect.

On August 19, 2014, a petition under 37 CFR 1.181 was filed requesting review under 37 CFR 1.181 of the requirement for a corrected ADS.

On March 13, 2015, a decision was mailed dismissing the petition. The decision stated that the ADS submitted June 27, 2014 is improper because the residence information added was not underlined in accordance with 37 CFR 1.76(c)(2).

On March 17, 2015, a Notice of Abandonment was mailed.

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On May 11, 2015, a renewed petition was filed. The renewed petition asserted that the first submission of the ADS in the present application on April 22, 2014 was fully compliant with the rules pursuant to 37 CFR 1.76.

On July 7, 2015, a decision was mailed dismissing the petition. The decision quoted MPEP 601.05(a) which states, in pertinent part, that an ADS submitted after the filing of the application must identify the information being changed (added, deleted, or modified) in the application data sheet in accordance with 37 CFR 1.76(c)(2). The decision stated that the residence information had not been underlined, and that the petition was therefore dismissed.

On August 4, 2015, a renewed petition was filed. The petition asserted, in essence, that the Office had not explained, in the Notice mailed May 1, 2014, or subsequent communications, why a new ADS was required and what actions applicant was required to take to comply with the Notice. The petition also stated that the application should not be held abandoned because an "applicant has the option to correct or update information in the ADS...at any time until payment of the issue fee."

On November 9, 2015, a decision was mailed dismissing the petition. The decision noted that the ADS filed on April 23, 2014 was filed after the filing of the application on April 22, 2014, and was therefore a supplemental ADS, and that all of the information being added (the residence and the mailing address) needed to be underlined, and that since a properly underlined ADS was not timely filed, the application was abandoned pursuant to 37 CFR 1.135 for failure to timely reply to the Notice mailed on May 1, 2014.

On January 8, 2016, a renewed petition was filed, in which applicant argued that the decision mailed on November 9, 2015 was incorrect in stating that the ADS filed on April 23, 2014 was a supplemental ADS because it was the first ADS filed in the application. Petitioner further argued that the prior decision had incorrectly cited MPEP 601.05(b), which applied only to applications filed before September 16, 2012, and that the Office had also failed to provide sufficient guidance to applicant on how to comply with the Notice mailed on May 1, 2014.

On February 17, 2016, a decision was mailed dismissing the petition. The decision again noted that an ADS in compliance with 37 CFR 1.76 was not filed on April 23, 2014, as the residence information being added was not underlined. The decision stated that a properly underlined ADS had still not been filed as the ADS filed on June 27, 2014 was not in compliance with 37 CFR 1.76. The decision further noted that it was applicant's responsibility to timely file a reply in compliance with 37 CFR 1.76, and that the application was properly held abandoned pursuant to 37 CFR 1.135 for failure to timely submit a proper reply to the Notice mailed on May 1, 2014. Lastly, the decision noted although MPEP 601.05(a), rather than 601.05(b), was the applicable section, for an application filed on or after September 16, 2012, a corrected or updated ADS in compliance with 37 CFR 1.76(c) was required by MPEP 601.05(a) and had not been filed. Specifically, the ADS filed April 23, 2014 was filed after the filing date of the application and was not underlined.

On April 15, 2016, the subject renewed petition was filed.

The showing of record is that an ADS in compliance with 37 CFR 1.76(c) was not filed in that the ADS included changes to the information of record (i.e., adding of the residence), but that the information was not properly marked with underlining for information being added and strikethrough or brackets for text being removed. Specifically, petitioner attempted to add a city and foreign country for the residence but did not underline the information being added.

With regard to petitioner's first contention, petitioner's argument has been considered, but is not persuasive. As noted in the decision mailed on February 17, 2016, MPEP 601.05(a) states that any ADS filed after the filing of the application is a corrected or updated ADS, and must comply with 37 CFR 1.76(c). Section 1.76(c) states that the ADS must identify the information being changed, with underlining for insertions and strike-through or brackets for text removed. The ADS filed April 23, 2014 was not effective to add a residence and a mailing address because it was not marked in accordance with 37 CFR 1.76(c). As the application was filed on April 22, 2014 without an inventor's oath or declaration or an ADS specifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) no mailing address or residence was of record. See filing receipt mailed May 1, 2014.

With regard to petitioner's second contention, petitioner asserts that he did not understand why its reply was non-compliant, and that the Office did not fulfill its obligation to adequately explain to applicant why the reply is non-compliant in a manner which is "understandable and clear". In asserting that the Office's Notice was unclear, petitioner asserts that the cases of *In re Sivertz*, 227 USPQ 255, 256 (Comm'r Pat. 1985); see also *In re Colombo, Inc.*, 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994), discussed in the previous decision, are not applicable to the present situation.

Petitioner's second argument has been carefully considered, but is unpersuasive. While the Office is mindful of the difficulties described by counsel, the failure of the applicant to timely correct all defects in the application is the responsibility of the applicant, not the Office. Despite petitioners' assertion to the contrary, while the Office attempts to notify an applicant of any deficiency in the reply in a manner permitting a timely correction, the Office is under no obligation to do so. See *In re Sivertz*, *supra*; see also *In re Colombo*, *Inc.*, *supra*. See, e.g. *B and E Sales Co.*, *Inc. v. The Andrew Jergens Co.*, 7 USPQ2d 1906 (Comm'r Pat. 1988) (stating (in a trademark case) that practitioners are expected to know the rules of practice), citing *In re Sivertz*, *supra*. Simply put, an applicant is ultimately responsible for ensuring that a proper, complete and timely reply is filed with the USPTO.

Moreover, the Office did inform applicant in the Notices mailed on May 1, 2014, May 16, 2014, June 20, 2014, and August 14, 2014 that a new ADS in compliance with 37 CFR 1.76 or inventor's oath or declaration was required to be filed. As noted before, 37 CFR 1.76(c)(1) states that information in a previously submitted application data sheet, inventor's oath or declaration under § 1.63, § 1.64 or § 1.67, or otherwise of record (that is, the application information that has

been entered in the USPTO's Patent Application Locating and Monitoring (PALM) system as of the date the corrected or updated ADS is filed), must be corrected in the manner set forth in 1.76(c)(2). Therefore, any ADS filed after the filing of the application is a corrected or updated ADS, and must comply with 37 CFR 1.76(c). Moreover, in the Response to Request for Corrected Filing Receipt mailed on June 20, 2014 the Office noted the requirement under 37 CFR 1.76 that additions to information already of record made in an ADS must be shown with underlining.

Lastly, petitioner's assertion that the application should not be held abandoned despite applicant's failure to comply with the Notice mailed on May 1, 2014 is not persuasive. 37 CFR 1.135(b) states that 35 USC 133 states that if an applicant fails to prosecute the application within the time period given, the application shall be regarded as abandoned. 37 CFR 1.135(b) states that prosecution of an application to save it from abandonment pursuant to paragraph (a) of this section must include such complete and proper reply as the condition of the application may require. The Notice mailed May 1, 2014 set a two month shortened period for submission of a timely and proper reply. Extensions of time were available. Furthermore, applicant was repeatedly notified, in the Notices mailed May 16, 2014, June 20, 2014, and August 14, 2014, that the ADS submitted in reply to the Notice mailed May 1, 2014 was non-compliant, and that a proper and timely reply to the Notice was required to avoid abandonment. Nonetheless, a properly-marked corrected or updated ADS was not timely filed in response to the Notice mailed on May 1, 2014. Therefore, the application is abandoned as a matter of law.

#### **CONCLUSION**

As petitioner has failed, despite repeated attempts, to provide any persuasive arguments meriting withdrawal of the holding of abandonment, the petition must be **denied**.

Nevertheless, petitioner may consider filing a petition to revive the application under 37 CFR 1.137(a). The filing of a petition under the unintentional standard cannot be intentionally delayed and therefore should be filed promptly. A statement that the delay was unintentional is not appropriate if petitioners intentionally delayed the filing of a petition for revival under 37 CFR 1.137(a).

The prior decision, which refused to withdraw the holding of abandonment, has been reconsidered, and is affirmed.

Telephone inquiries concerning this matter may be directed to Attorney Advisor Douglas I. Wood at (571) 272-3231.

/ROBERT CLARKE/ Robert A. Clarke Application/Control Number: 14/258,031 Page 8

Art Unit: OPET

Patent Attorney, Office of the Deputy Commissioner for Patent Examination Policy **Office of Petitions: Routing Sheet** 



## **Application No. 14258031**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

DISMISSED

X DENIED

Office of Petitions: Dec	cision Count Sheet	Mailing Month
Application No.	14258031	* 1 4 2 5 8 0 3 1 *
	mber only, no slashes or commas. Ex: 1 If year of filing+last 5 numbers", Ex. for P	
Deciding Official:	WOOD, DOUGLAS	
Count (1) - Palm Credit  Decision: DENIED  Decision Type: 525 - 37 CFR	14/258,031  FI NANCE WORK NEEDED  Select Check Box for YES  1.181 for W/D HOLDING OF ABANDO	* D E N I E D *  NME! * 5 2 5 *
Notes:		
Count (2)  Decision: n/a	FI NANCE WORK NEEDED	
Decision Type: NONE		
Notes:		
Count (3)		
Decision: n/a	Select Check Box for YES	
Decision Type: NONE		
Notes:		
Initials of Approving (	Official (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 4/26/2016	Office of	f Petitions Internal Document - Ver. 5.0

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

#### **RENEWED PETITION UNDER 37 CFR1.181**

Mail Stop PETITIONS

Commissioner for Patents

Post Office Box 1450

Alexandra, VA 22313-1450

#### **COMMISSIONER:**

Petitioner hereby requests reconsideration of the petition decision of February 17 2016 (the "Decision" hereinafter). The Office of Petition does not seem to have addressed the issues presented for review by the Petitioner. The issues presented for review are:

- 1. Whether the mailing address for each of the inventors provided on the ADS (filed April 23, 2014) is a "mailing address."
- 2. Whether the Office should clarify its requirement in a Notice when requested by an applicant confused by the Notice.

If the Office believes that it is proper for the Office to issue a Notice alleging that a document missing a mailing address when the document in fact contains the mailing address; that it is proper for the Office to regard the deficiency of missing a mailing address is the same deficiency as missing an underline; and that it is proper for the Office to refuse providing clarification when repeatedly requested by an applicant/customer confused by a Office Notice, then the Office's such ruling should be specific for the purpose of further judicial review.

Instead of providing rulings on the specific issues presented for review, the Decision of February 17, 2016, bases on a straw man form of reasoning. For example, one ground relied on to dismiss this Petition is:

While the Office attempts to notify an applicant of any deficiency in the reply in a manner permitting a timely correction, the Office is under no obligation to do so. See In re Sivertz, 227 USPQ 255, 256 (Comm'r Pat. 1985); see also In re Colombo, Inc., 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994). An applicant is ultimately responsible for ensuring that a proper, complete and timely reply is filed with the USPTO.

(second paragraph, page 4, Petition Decision). However, Petitioner in this case never holds the position that the Office is under an obligation to notify an applicant of any deficiency but holds the position that the Notice issued by the Office must be understandable and clear and the Office must be responsive to good-faith inquires concerning an Office Notice which is not understandable or unclear. The factual pattern and issues presented here has nothing in common with those in *In re Sivertz* and *In re Colombo, Inc.* 

Furthermore, Petitioner respectfully submits that the holding of abandonment of this application is improper because 37 CFR 1.76 (c) specifically provides that the applicant may file an updated ADS to make a correction of information <u>until payment of issue fee</u>. Thus, there is no legitimate reason to rush and hold this application be abounded at this moment.

Based on the above grounds, Petitioner respectfully requests that the Office reconsider the Decision and make final decisions on the specific issues presented in this Petition.

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Petitioner

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877 Fax: (852) 30115161

Dated: April 15, 2016

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	25499543				
Application Number:	14258031				
International Application Number:					
Confirmation Number:	7410				
Title of Invention:	Skin Care and Cosmetic Composition				
First Named Inventor/Applicant Name:	Zhiyun WU				
Customer Number:	50163				
Filer:	George G. Wang				
Filer Authorized By:					
Attorney Docket Number:	GDZY01-66USP				
Receipt Date:	15-APR-2016				
Filing Date:	22-APR-2014				
Time Stamp:	05:51:56				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Petition for review by the Office of Petitions  Reconsider_Petition_GDZY01_ 66USP.pdf  See5ce1641f94f7ff2ffca9005be369214dc9 ft	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	1	•	66USP.pdf			3

### Warnings:

#### Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

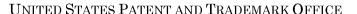
APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 WANG & HO	7590 02/17/201	6	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			02/17/2016	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com



.....



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Application of :

Wu et al. :

Application No. 14/258,031 : ON PETITION

Filed: 04/22/2014 :

Attorney Docket Number: GDZY01-66USP

This is a decision in reference to the renewed petition under 37 CFR 1.181 filed on January 8, 2016, to withdraw the holding of abandonment.

The petition is again **DISMISSED**.

Any request for reconsideration of this decision must be submitted within **TWO** (2) **MONTHS** from the mail date of this decision. **This time period is not extendable**.<sup>1</sup>

The application became abandoned on July 2, 2014, for failure to timely submit a proper reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice") mailed on May 1, 2014, which set a two (2) month shortened period for reply. On May 12, 2014, a proposed reply was filed. On May 16, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 18, 2014, a proposed reply was submitted. On June 20, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 27, 2014, a proposed reply was filed. On August 14, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and that the period for reply remained as set forth in the Notice mailed on May 1, 2014. On March 17, 2015, a Notice of Abandonment was mailed. On August 19, 2014, a petition under 37 CFR 1.181 was filed. On March 13, 2015, a decision was mailed dismissing the petition. On May 11, 2015, a renewed petition was filed. On July 7, 2015, a decision was mailed dismissing the renewed petition. On August 4, 2015, a petition under 37 CFR 1.181 was filed. On November 9, 2015, a decision was mailed dismissing the renewed petition.

On January 8, 2016, the subject thrice renewed petition was filed.

#### Petitioner states:

Petitioner hereby requests reconsideration of the petition decision of November 09, 2015 (the "Decision" hereinafter) for two reasons. (1) The

-

<sup>&</sup>lt;sup>1</sup> 37 CFR 1.181(f).

Art Unit: OPET

Decision did not consider and decide on the issues presented for review in the petition and (2) The Decision is erroneous for misapplying legal authorities.

#### Part I. Issues presented in the petition should be reviewed

(I) the Decision did not decide the following issues which Petitioner has requested for review in the petition: (1) whether the mailing address for each of the inventors provided on the ADS (filed April 23, 2014) is a "mailing address" and (2) if not, whether the Office should clarify its requirement so that Applicant knows how to comply when requested by Applicant.

For the purpose of further juridical review, Petitioner hereby requests that the Office make final decisions on the following specific issues.

- (a) Whether the Notice issued by the Office on May 12, 2014 is invalid as it alleges the ADS submitted by Petitioner has a deficiency that "[a] mailing address for each inventor has not been submitted .... "while such alleged deficiency is factually wrong.
- (b) Whether it is proper for the Office to ignore Petitioner's repeated requests for clarification on a deficiency alleged in an Office Notice, which is confusing and incorrect.
- (c) Whether the Office has the authority to declare Petitioner's application be abandoned while Petitioner is actively enraging with the Office to find out a possible way to comply with the Notice.

#### Part II: The Decision is incorrect

The Decision itself is incorrect for two reasons. First, it relies on an MPEP section (601.05(a)), which contradicts with 37 CFR 1.76(c) concerning the requirements for an ADS submission. The Petitioner's ADS submitted on April 23, 2014 is the first ADS submission, not "a new application data sheet" submitted for correcting or updating the information "in a previously submitted application data sheet" within the meaning of 37 CFR 1.76(c), notwithstanding the contrary definition provided in MPEP 601.05(a), which cannot override the CFR Rules.

Second, the Decision incorrectly cites MPEP 601.05(b) as the basis. MPEP 601.05(b) is only applicable to applications filed before September 16, 2012, while Petitioner's application was filed after that date.

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Based on the above grounds, Petitioner respectfully requests that the Office reconsider the Decision and make final decisions on the issues presented in the Petition.

With regard to Part I, above, petitioners' arguments have been considered, but are not persuasive. MPEP 601.05(a) states that the mailing address is the address at which the inventor receives mail. The ADS filed on April 23, 2014 was not effective to provide the mailing address because it was filed after the date the application was filed and the mailing address was not underlined.

#### 37 CFR 1.76(c) states:

Correcting and updating an application data sheet.

- (1) Information in a previously submitted application data sheet, inventor's oath or declaration under § 1.63, § 1.64 or § 1.67, *or otherwise of record*, may be corrected or updated until payment of the issue fee by a new application data sheet providing corrected or updated information, except that inventorship changes must comply with the requirements of § 1.48, foreign priority and domestic benefit information changes must comply with §§1.55 and 1.78, and correspondence address changes are governed by § 1.33(a).
- (2) An application data sheet providing corrected or updated information may include all of the sections listed in paragraph (b) of this section or only those sections containing changed or updated information. The application data sheet must include the section headings listed in paragraph (b) of this section for each section included in the application data sheet, and must identify the information that is being changed, with underlining for insertions, and strike-through or brackets for text removed, except that identification of information being changed is not required for an application data sheet included with an initial submission under 35 U.S.C. 371.

(emphasis added)

It is undisputed that an ADS in compliance with 37 CFR 1.76 was not submitted on April 23, 2014. A review of the record reveals that a properly underlined ADS has still not been submitted in the application, as the inventors' residence information is not underlined in the ADS submitted on June 27, 2014, as required by 37 CFR 1.76.

With regard to petitioners' argument that the Office did not inform applicant of why the reply was defective, and what was needed to present a compliant reply, petitioners' argument is also not persuasive. The Notice mailed on May 1, 2014, stated that an ADS

Art Unit: OPET

in compliance with 37 CFR 1.76 was required. Additionally, the same information was provided in the Notices mailed on May 16, 2014, June 20, 2014, and August 14, 2014.

While the Office attempts to notify an applicant of any deficiency in the reply in a manner permitting a timely correction, the Office is under no obligation to do so. See In re Sivertz, 227 USPQ 255, 256 (Comm'r Pat. 1985); see also In re Colombo, Inc., 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994). An applicant is ultimately responsible for ensuring that a proper, complete and timely reply is filed with the USPTO.

Lastly, petitioner asserts, in essence, that the application should not have been held abandoned because petitioner was attempted to properly respond to the Notice. Petitioners' argument has been considered, but is not persuasive.

#### 37 CFR 1.135 states, in pertinent part, that

- (a) If an applicant of a patent application fails to reply within the time period provided under § 1.134 and § 1.136, the application will become abandoned unless an Office action indicates otherwise.
- (b) Prosecution of an application to save it from abandonment pursuant to paragraph (a) of this section must include such complete and proper reply as the condition of the application may require.

The showing of record is that petitioner made an avoidable mistake in not filing an ADS in compliance with 37 CFR 1.76. Withdraw of the holding of abandonment is not warranted when petitioner makes an avoidable mistake in filing papers (or fees) in the Office.

With regard to Part II of the petition, petitioners' arguments have also been considered, but are not persuasive.

Petitioner asserts that MPEP 601.05(a) contracts 37 CFR 1.76(c) concerning the requirements for submission of an ADS. Specifically, petitioners assert that the ADS submitted on April 23, 2014 is the first ADS submission, "not 'a new application data sheet' submitted for correcting or updating the information 'in a previously submitted application data sheet' within the meaning of 37 CFR 1.76(c), notwithstanding the contrary definition provided in MPEP 601.05(a)."

MPEP 601.05(a) states, in pertinent part:

# II. CORRECTING AND UPDATING AN ADS OR INFORMATION OTHERWISE OF RECORD

37 CFR 1.76(c) provides the procedure for correcting and updating not only an application data sheet (ADS), but also information otherwise of

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record (e.g., information provided on the most recent filing receipt). Any ADS filed after the filing date of the application is considered a corrected (or updated) ADS even if an ADS was not previously submitted. Such a corrected ADS must identify the information that is being changed with underlining for insertions and strike-through or brackets for text removed, except that identification of information being changed is not required for an ADS included with an initial submission under 35 U.S.C. 371.

(emphasis added)

Petitioner's argument has been considered, but is not persuasive. 37 CFR 1.76(c)(1) states, in pertinent part, that information in a previously submitted application data sheet, inventor's oath or declaration under § 1.63, § 1.64, or § 1.67, or otherwise of record, may be corrected or updated by a new application data sheet providing corrected or updated information. On April 22, 2014, the application was filed, however the inventors' residence and mailing address was not provided and therefore not made of record. MPEP 601.05(a) does not conflict with § 1.76(c), but rather simply clarifies that the Office will record a corrected or updated ADS in compliance with 37 CFR 1.76(c) to correct or update any information not of record, regardless of whether an ADS was previously filed. A corrected or updated ADS is required to correct the mailing address and residence of the inventors.

The ADS filed on April 23, 2014 was filed after the filing of the application on April 22, 2014 and therefore is considered a corrected (or updated) ADS. As such, all of the information being added (*i.e.*, the residence *and* the mailing address of the joint inventors) must be underlined. As both the mailing address and the residence were not underlined, the corrected (or updated) ADS was improper and did not meet the requirements of the Notice mailed on May 1, 2014. Further as noted above, the corrected or updated ADS filed on June 27, 2014 did not include underlining for the changes to the inventors' residence. Therefore a proper ADS in compliance with 37 CFR 1.76(c) has not been filed.

With regard to petitioners' argument that the decision mailed on November 9, 2015 incorrectly cites 601.05(b), petitioners' argument is without merit because the decision also cited MPEP 601.05(a), which applies to applications filed on or after September 16, 2012. The present application was filed on or after September 16, 2012, and a proper corrected (or update) ADS was not filed. The ADS filed on April 23, 2014 was not filed in accordance with 37 CFR 1.76 as set forth in MPEP 601.05(a), and therefore did not satisfy the requirements of the Notice mailed on May 1, 2014.

In summary, the showing of record is that petitioner intended to file a complete and timely reply, but inadvertently failed so to do. As such, the application was properly held abandoned, and the petition is **dismissed**.

Art Unit: OPET

Petitioner is encouraged to consider filing a petition to revive the application under 37 CFR 1.137(a). The filing of a petition under the unintentional standard cannot be intentionally delayed and therefore should be filed. A statement that the delay was unintentional is not appropriate if petitioners intentionally delayed the filing of a petition for revival under 37 CFR 1.137(a).

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail: Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand: Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax: (571) 273-8300

ATTN: Office of Petitions

By internet: EFS-Web<sup>2</sup>

Any questions concerning this matter may be directed to the undersigned at (571) 272-3231.

/dwood/

Douglas I. Wood Attorney Advisor Office of Petitions

<sup>2</sup> <u>www.uspto.gov/ebc/efs\_help.html</u> (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

**Office of Petitions: Routing Sheet** 



# **Application No. 14258031**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

X DISMISSED

DENIED

Office of Petitions: De	ecision Count Sheet	Mailing Month
Application No.	14258031	* 1 4 2 5 8 0 3 1 *
	umber only, no slashes or commas. Ex: of year of filing+last 5 numbers", Ex. for F	
Deciding Official:	WOOD, DOUGLAS	
Count (1) - Palm Credit  Decision: DISMISSED	14/258,031  FINANCE WORK NEEDED  Select Check Box for YES	* D I S M I S S E D *
Decision Type: 525 - 37 CFF	R 1.181 for W/D HOLDING OF ABANDO	DNMEI ★ 5 2 5 ★
Notes:		
Count (2)		
Decision: n/a	FINANCE WORK NEEDED  Select Check Box for YES	
Decision Type: NONE		
Notes:		
Count (3)		
Decision: n/a	FI NANCE WORK NEEDED  Select Check Box for YES	
Decision Type: NONE		
Notes:		
Initials of Approving	Official (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 2/12/2016	Office o	of Petitions Internal Document - Ver. 5.0



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

### **RENEWED PETITION UNDER 37 CFR1.181**

Mail Stop PETITIONS

Commissioner for Patents

Post Office Box 1450

Alexandra, VA 22313-1450

#### **COMMISSIONER:**

Petitioner hereby requests reconsideration of the petition decision of November 09, 2015 (the "Decision" hereinafter) for two reasons. (1) The Decision did not consider and decide on the issues presented for review in the petition and (2) The Decision is erroneous for misapplying legal authorities.

### Part I. Issues presented in the petition should be reviewed

(I) the Decision did not decide the following issues which Petitioner has requested for review in the petition: (1) whether the mailing address for each of the inventors provided on the ADS (filed April 23, 2014) is a "mailing address" and (2) if not,

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

whether the Office should clarify its requirement so that Applicant knows how to comply when requested by Applicant.

For the purpose of further juridical review, Petitioner hereby requests that the Office make final decisions on the following <u>specific issues</u>.

- (a) Whether the Notice issued by the Office on May 12, 2014 is invalid as it alleges the ADS submitted by Petitioner has a deficiency that "[a] mailing address for each inventor has not been submitted...." while such alleged deficiency is factually wrong.
- (b) Whether it is proper for the Office to ignore Petitioner's repeated requests for clarification on a deficiency alleged in an Office Notice, which is confusing and incorrect.
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#### Part II: The Decision is incorrect

The Decision itself is incorrect for two reasons. First, it relies on an MPEP section (601.05(a)), which contradicts with 37 CFR 1.76(c) concerning the requirements for an ADS submission. The Petitioner's ADS submitted on April 23, 2014 is the first ADS submission, not "a new application data sheet" submitted for correcting or updating the information "in a previously submitted application data sheet" within the meaning of 37 CFR 1.76(c), notwithstanding the contrary definition provided in MPEP 601.05(a), which cannot override the CFR Rules.

Second, the Decision incorrectly cites MPEP 601.05(b) as the basis. MPEP 601.05(b) is only applicable to applications filed before September 16, 2012, while Petitioner's application was filed after that date.

Based on the above grounds, Petitioner respectfully requests that the Office reconsider the Decision and make final decisions on the issues <u>presented in the</u>

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

Petition.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Petitioner

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877 Fax: (852) 30115161

Dated: January 8, 2016

Electronic Acknowledgement Receipt		
EFS ID:	24568240	
Application Number:	14258031	
International Application Number:		
Confirmation Number:	7410	
Title of Invention:	Skin Care and Cosmetic Composition	
First Named Inventor/Applicant Name:	Zhiyun WU	
Customer Number:	50163	
Filer:	George G. Wang	
Filer Authorized By:		
Attorney Docket Number:	GDZY01-66USP	
Receipt Date:	08-JAN-2016	
Filing Date:	22-APR-2014	
Time Stamp:	12:24:53	
Application Type:	Utility under 35 USC 111(a)	

# **Payment information:**

Submitted with Payment	no
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### File Listing:

Petition for review by the Office of Petitions  Reconsideration_Request.pdf    4895	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	1	•	Reconsideration_Request.pdf	b5c108814bc3ac125da1b4af6f2838bc9500	no	3

### Warnings:

#### Information:

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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

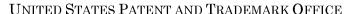
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 <b>WANG &amp; HO</b>	7590 11/09/201	5	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			11/09/2015	FI ECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Application of :

Wu et al.

Application No. 14/258,031 : ON PETITION

Filed: 04/22/2014 :

Attorney Docket Number: GDZY01-66USP :

This is a decision in reference to the renewed petition under 37 CFR 1.181 filed on August 4, 2015, to withdraw the holding of abandonment.

The petition is again **DISMISSED**.

Any request for reconsideration of this decision must be submitted within **TWO** (2) **MONTHS** from the mail date of this decision. **This time period is not extendable**.<sup>1</sup>

The application became abandoned on July 2, 2014, for failure to timely submit a proper reply to the Notice to File Missing Parts of Nonprovisional Application ("Notice") mailed on May 1, 2014, which set a two (2) month shortened period for reply. On May 12, 2014, a proposed reply was filed. On May 16, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 18, 2014, a proposed reply was submitted. On June 20, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and the period for reply remained as set forth in the Notice mailed on May 1, 2014. On June 27, 2014, a proposed reply was filed. On August 14, 2014, however, a Notice of Incomplete Reply (Nonprovisional) was mailed, stating that the reply was incomplete, and the period for reply remained as set forth in the Notice mailed on May 1, 2014. On March 17, 2015, a Notice of Abandonment was mailed. On August 19, 2014, a petition under 37 CFR 1.181 was filed. On March 13, 2015, a decision was mailed dismissing the petition. On May 11, 2015, a renewed petition was filed. On July 7, 2015, a decision was mailed dismissing the renewed petition.

On August 4, 2015, the subject twice renewed petition was filed.

Petitioner asserts that the Notice mailed on May 1, 2014, "is invalid because it is impossible for Applicant to comply with the Notice." Specifically petitioner points out that the Notice stated that the mailing address for each inventor had not been submitted. Petitioner states that counsel was informed by Office personnel that the ADS filed on April 23, 2014, was incomplete because the mailing address had not been underlined.

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<sup>&</sup>lt;sup>1</sup> 37 CFR 1.181(f).

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Petitioner asserts that a properly underlined ADS was filed on June 27, 2014, but was not accepted. Petitioner further asserts that the Office did not properly explain why the ADS filed on June 27, 2014 was not acceptable, but rather simply mailed another Notice of Incomplete Reply on August 14, 2014, "repeating with the same content as in the previous two notices".

Petitioner further asserts that the petition decision mailed on March 13, 2015, is erroneous because it did not address the issues presented in the petition. Specifically, (1) whether the mailing address for each of the inventors provided on the ADS filed on April 23, 2014 is a "mailing address", and (2) if not, what whether the Office should clarify its requirement so that Applicant knows how to comply when requested by Applicant.

Petitioner further asserts that the Notice of Abandonment is "factually wrong" because the record clearly shows that on May 12, 2014, Applicant timely replied to the Notice mailed on May 1, 2014, and the petition is still in progress concerning the Notice, where until this day the Office has not yet provided a clarification why the ADS submitted on April 23, 2014 in this application was not in compliance with any legal requirements. (underlining in original).

Petitioner further asserts that the second petition decision "is conclusive with no factual and legal support". Petitioner states, in pertinent part, that the second decision "did not address the issues asked for review at all." Petitioner states that the ADS submitted on April 23, 2014 is "fully compliance" (*sic*) with the requirements under 37 CFR 1.76, and that there is no legal requirement that the residence information provided in the first ADS submission on April 23, 2014, be underlined.

#### 37 CFR 1.76(c) states:

Correcting and updating an application data sheet.

- (1) Information in a previously submitted application data sheet, inventor's oath or declaration under § 1.63, § 1.64 or § 1.67, or otherwise of record, may be corrected or updated until payment of the issue fee by a new application data sheet providing corrected or updated information, except that inventorship changes must comply with the requirements of § 1.48, foreign priority and domestic benefit information changes must comply with §§1.55 and 1.78, and correspondence address changes are governed by § 1.33(a).
- (2) An application data sheet providing corrected or updated information may include all of the sections listed in paragraph (b) of this section or only those sections containing changed or updated information. The application data sheet must include the section headings listed in paragraph (b) of this section for each section included in the application data sheet, and must identify the information that is being changed, with

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underlining for insertions, and strike-through or brackets for text removed, except that identification of information being changed is not required for an application data sheet included with an initial submission under 35 U.S.C. 371.

MPEP 601.05(a) states, in pertinent part:

# II. CORRECTING AND UPDATING AN ADS OR INFORMATION OTHERWISE OF RECORD

37 CFR 1.76(c) provides the procedure for correcting and updating not only an application data sheet (ADS), but also information otherwise of record (e.g., information provided on the most recent filing receipt). Any ADS filed after the filing date of the application is considered a corrected (or updated) ADS even if an ADS was not previously submitted. Such a corrected ADS must identify the information that is being changed with underlining for insertions and strike-through or brackets for text removed, except that identification of information being changed is not required for an ADS included with an initial submission under 35 U.S.C. 371.

MPEP 601.05(b) states, in pertinent part:

#### II. SUPPLEMENTAL ADS SUBMISSIONS

. . .

Any ADS submitted after the filing date of the application is a supplemental ADS, regardless of whether an original ADS was submitted with the application papers on filing. A supplemental ADS that is being used to correct data shown in an oath or declaration, such as foreign priority or residence information for an inventor, would show the original incorrect information with strike-through or brackets, and the new information with underlining, as if an ADS had originally been used to submit the information. For example, if the original oath or declaration included a foreign priority claim, in order to delete the foreign priority claim, applicant should provide a supplemental ADS showing the foreign priority claim with strike-through or brackets to ensure that the patent will reflect such change.

Petitioner's arguments have been considered, but are not persuasive. The ADS filed on April 23, 2014 was filed after the filing of the application on April 22, 2014 and therefore is considered a supplemental ADS. As such, all of the information being added (*i.e.*, the residence *and* the mailing address of the joint inventors) must be underlined. As both the mailing address and the residence were not underlined, the supplemental ADS was improper and did not meet the requirements of the Notice mailed on May 1, 2014.

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Further, as a proper corrected ADS showing underlining for the information being added was not submitted on April 23, 2014, the Notice mailed on May 1, 2014, properly stated that a mailing address for each inventor had not been submitted.

With regard to the Notices of Incomplete Reply mailed on May 16, June 20, and August 14, 2014, while it is unfortunate that petitioner apparently did not understand what was required to provide a proper reply, such was not the fault of the Office.

37 CFR 1.135 states, in pertinent part.

- (a) If an applicant of a patent application fails to reply within the time period provided under § 1.134 and § 1.136, the application will become abandoned unless an Office action indicates otherwise.
- (b) Prosecution of an application to save it from abandonment pursuant to paragraph (a) of this section must include such complete and proper reply as the condition of the application may require. The admission of, or refusal to admit, any amendment after final rejection or any amendment not responsive to the last action, or any related proceedings, will not operate to save the application from abandonment.

As such, with regard to petitioner's assertion that the Notice of Abandonment was in error, abandonment occurs as a matter of law if a timely and proper reply to an Office requirement is not provided. A Notice of Abandonment is mailed as a courtesy.

With respect to petitioner's assertion that the Office did not timely notify petitioner that the reply was insufficient, while the Office attempts to notify an applicant of any deficiency in the reply in a manner permitting a timely correction, the Office is under no obligation to do so. See In re Sivertz, 227 USPQ 255, 256 (Comm'r Pat. 1985); see also In re Colombo, Inc., 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994). An applicant is ultimately responsible for ensuring that a proper, complete and timely reply is filed with the USPTO.

Moreover, the mere filing of a petition will not stay any period for reply that may be running against the application, nor act as a stay of other proceedings. 37 CFR 1.181(f).

Lastly, petitioner's argument that the application is not abandoned because petitioner has the option to correct or update the information in the ADS "any time until payment of the issue fee" is not persuasive. While petitioner may provide a corrected or updated ADS updating or correcting information at any time until payment of the issue fee, such does not relieve the applicant of the obligation to timely respond to an Office requirement to avoid abandonment. It is undisputed that the Office specifically required a corrected ADS in the Notice mailed on May 1, 2014, and set a two (2) month extendable time period for that corrected ADS to be provided. The failure to timely provide the specific corrections

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requested in the Notice mailed on May 1, 2014 constituted a failure to prosecute under 37 CFR 1.135 which resulted in the abandonment of the application.

In summary, the showing of record is that petitioner intended to file a complete and timely reply, but inadvertently failed so to do. As such, the application was properly held abandoned, and the petition is **dismissed**.

Petitioner may wish to consider filing a petition to revive the application under 37 CFR 1.137(a). A copy of the petition form is enclosed for petitioner's reference.

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail: Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand: Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax: (571) 273-8300

ATTN: Office of Petitions

By internet: EFS-Web<sup>2</sup>

Any questions concerning this matter may be directed to the undersigned at (571) 272-3231.

/dwood/

Douglas I. Wood Attorney Advisor Office of Petitions

Encl: PTO/SB/64 (12-13) Petition For Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(a)

<sup>&</sup>lt;sup>2</sup> <u>www.uspto.gov/ebc/efs\_help.html</u> (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (12-13)

Approved for use through 07/31/2016, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

First named inventor:  Application No.:  Art Unit:  Filed:  Examiner:  Title:  Attention: Office of Petitions  Mail Stop Petition  Commissioner for Patents P.O. Box 1459 Alternating, VA 22313-1450 FAX (571) 273-8300  NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.  NoTE: If information became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark. Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action by the United States Patent and Trademark. Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action by the United States Patent and Trademark. Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action by the United States Patent and Trademark. Office in the Office notice or action by the United States Patent and Trademark. Office in the Office notice or action by the United States Patent and Trademark. Office in the Office notice or action in the Office of Petitions at (571) 272-3282.  APPLICATION TRADEMARK.  PAPLICATION TRADEMARK.  NOTE: A grantable petition requires the following items:  (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer tee — required for all utility and plant applications filed before June 8, 1995, and for all design applications; and (4) Statement that the entire delay was unintentional.  1. Petition fee  Small entity fee \$	PETITION FOR REVIVAL OF AN A ABANDONED UNINTENTIONALL Page 1 of	Y UNDER 37 CFR 1.137(a)	Docket Number (Optional)
Application No.:	First named inventor:	000000000000000000000000000000000000000	 
Title:  Attention: Office of Petitions  Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX(571) 273-3200  NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.  The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.  APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION. NOTE: A grantable petition requires the following items: (1) Petition feee; (2) Reply and/or issue fee; (3) Tarminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and (4) Statement that the entire delay was unintentional.  1. Petition fee  Small entity fee \$			
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This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (12-13)

Approved for use through 07/31/2016. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(a)

Page 2 of 2

	***************************************					
3. Terminal disclaimer with disclaimer fee						
	Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.					
	A terminal disclaimer (and disclaimer fee (37 CFR 1.2 herewith (see PTO/SB/63).	O(d)) of \$) disclaiming the required period of time is enclosed				
under a que	4. <b>STATEMENT:</b> The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(a) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]					
		WARNING:				
identi credit applic redac of a p 1.213 the pr	Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
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#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**Office of Petitions: Routing Sheet** 



# **Application No. 14258031**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

X DISMISSED

DENIED

Office of Petitions: De	cision Count Sheet	Mailing Month
Application No.	14258031	* 1 4 2 5 8 0 3 1 *
	mber only, no slashes or commas. Ex: of year of filing+last 5 numbers", Ex. for F	
Deciding Official:	WOOD, DOUGLAS	
Count (1) - Palm Credit  Decision: DISMISSED	14/258,031  FINANCE WORK NEEDED  Select Check Box for YES	* D I S M I S S E D *
Decision Type: 525 - 37 CFR	1.181 for W/D HOLDING OF ABANDO	NMEI ▼
Notes:		
Count (2)		
Decision: n/a	FINANCE WORK NEEDED  Select Check Box for YES	
Decision Type: NONE		
Notes:		
Count (3)		
Decision: n/a	FI NANCE WORK NEEDED  Select Check Box for YES	
Decision Type: NONE		
Notes:		
Initials of Approving	Official (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 11/5/2015	Office o	of Petitions Internal Document - Ver. 5.0



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

#### **RENEWED PETITION UNDER 37 CFR1.181**

Mail Stop PETITIONS

Commissioner for Patents

Post Office Box 1450

Alexandra, VA 22313-1450

#### **COMMISSIONER:**

Petitioner hereby requests reconsideration of the petition decision of July 7, 2015 for the following reasons.

#### Part I: Notice To File Missing Parts

The notice to file missing parts dated May 1, 2014 is invalid

This notice alleges that "[a] mailing address for each inventor has not been submitted...." However, the record clearly shows that Applicant has submitted a mailing address for each of the three inventors in the ADS submitted on April 23,

BEI & OCEAN Docket No: GDZY01-66USP

2014. In light of this undisputed fact, the Notice should be deemed invalid because it is impossible for Applicant to comply with the Notice. In good faith, Applicant responded to the Notice on May 12, 2014 and pointed out the above fact and asked for clarification, to which Applicant received a Notice of Incomplete Reply on June 20, 2014, which basically repeated the first notice, alleging that "[a] mailing address for each inventor has not been submitted...." and nothing further was provided. Because the mailing address for each inventor has been submitted previously and Applicant did not know how to comply with the Notice, Applicant's attorney phoned the Office and was told that the mailing address submitted previously was not underlined. Thus, Applicant submitted an amended ADS with the mailing address underlined on June 27, 2014. On August 14, 2014, Applicant received another Notice of Incomplete Reply, repeating with the same content as in the previous two Notices, i.e., alleging that "[a] mailing address for each inventor has not been submitted...." and nothing further was provided. Perplexed and frustrated, Applicant filed a petition to review the propriety of the notices and ask for clarification for complying with the notice on August 19, 2015.

In view of the above described facts, Applicant respectfully submits that the three Office notices containing the same erroneous contents were improperly issued and should be deemed invalid (particularly, there is no clarification provided upon Applicant's repeated requests), and such invalid Notices cannot server as the basis to declare abandonment of Applicant's application.

#### Part II: The First Petition Decision.

The first petition decision dated March 13, 2015 is erroneous

(a) The first decision did not review the two specific issues presented in Applicant's petition: (1) whether the mailing address for each of the inventors provided on the ADS (filed April 23, 2014) is a "mailing address" and (2) if not, whether the Office should clarify its requirement so that Applicant knows how to comply when so requested by Applicant. Instead, the decision dismissed the petition based on an issue raised for the first time in the decision itself (not raised in the Notices nor in

Application No:14258031 BEI & OCEAN Docket No: GDZY01-66USP

Applicant's petition): the residence information in the ADS submitted June 27, 2014 was not underlined.

(b) The first decision was based on wrong fact and on miscited legal authority. The first decision concluded:

The ADS submitted on June 27, 2014, is improper because the residence information added was not underlined. Note 37 CFR 1.76(c)(2).

"...If this form is submitted after the submission of the application, even if it is the first submission of an ADS then the information being added or deleted must be indicated by underlining for additions, and strike through or brackets for deletions....."

Factually, the petition is primarily concerning the ADS submitted on April 23, 2014, not the ADS on June 27, 2015. Legally, the above citation is incorrect and 37 CFR 1.76(c)(2) does not contain the above cited content.

#### Part III: The Notice of Abandonment

Four days after the first petition decision, Applicant received the Notice of abandonment on March 17, 2014. It states:

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/01/2014.

No reply was received.

This notice is factually wrong because the record clearly shows that on May 12, 2014 Applicant timely replied to the Notice mailed on 05/01/2014, and the petition is still in progress concerning the Notice, where until this day the Office has not yet provided a clarification why the ADS submitted on April 23, 2014 in this application was not in compliance with any legal requirements.

#### Part IV: The Second Petition Decision

The second petition decision is conclusive with no factual and legal support.

After receiving the first petition decision and the Notice of abandonment, Applicant

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

filed a renewed petition as well as a petition to withdraw the holding of abandonment, both on May 11, 2015. Applicant received the second petition decision on July 7, 2015.

The second decision did not address the issues asked for review at all, merely stating that "the residence information provided in the ADS has not been underlined, and in view of the above, the petition to withdraw holding of abandonment is dismissed."

Applicant respectfully submits that the ADS submitted on April 23, 2014 is fully compliance with the requirements under 37 CFR 1.76, particularly section (c)(1) and (c)(2) thereof and there is no legal requirement that the residence information provided in the first ADS submission on April 23, 2014 be underlined.

Applicant further respectfully submits that it is unlawful to declare Applicant's application be abandoned merely because the residential information is not underlined in the ADS submission because, even if the underline is required, 37 CFR(c)(1) provides that Applicant has the option to correct or update information in ADS (subject to certain restrictions not relevant here) any time until payment of the issue fee.

Based on the above grounds, Petitioner respectfully requests that the previous petition decision be set aside and the Notice of Abandonment be withdrawn. In case that the petition decision is affirmed by the Office, an indication whether the decision constitutes the Agency's final decision for the purpose of judiciary review is appreciated.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Petitioner

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877

Phone: (852) 61821877 Fax: (852) 30115161 Application No:14258031

BEI & OCEAN Docket No: GDZY01-66USP

Dated: August 4, 2015

Electronic Acknowledgement Receipt		
EFS ID:	23116643	
Application Number:	14258031	
International Application Number:		
Confirmation Number:	7410	
Title of Invention:	Skin Care and Cosmetic Composition	
First Named Inventor/Applicant Name:	Zhiyun WU	
Customer Number:	50163	
Filer:	George G. Wang	
Filer Authorized By:		
Attorney Docket Number:	GDZY01-66USP	
Receipt Date:	04-AUG-2015	
Filing Date:	22-APR-2014	
Time Stamp:	23:48:10	
Application Type:	Utility under 35 USC 111(a)	

# **Payment information:**

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Petition for review by the Office of	Renewed_Petitions_GDZY01_6	116149	no	5
1	Petitions	6USP.pdf	94cf44ba3906838f8a8c1d2b1159bf6adf38 68bb		

### **Warnings:**

#### Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	04/22/2014	Zhiyun WU	GDZY01-66USP	7410
50163 <b>WANG &amp;</b> HO	7590 07/07/201	5	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			07/07/2015	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re Application of :

Zhiyun Wu et al :

Application No. 14/258,031 : DECISION ON PETITION

Filed: April 22, 2014 :

Attorney Docket No. GDZY01-66USP :

This is a decision on the renewed petition, filed May 11, 2015, which is being treated as a petition under 37 CFR 1.181 (no fee) requesting withdrawal of the holding of abandonment in the above-identified application.

#### The petition is **DISMISSED**.

Any request for reconsideration of this decision should be filed within two (2) months from the mail date of this decision. *Note* 37 CFR 1.181(f). The request for reconsideration should include a cover letter and be entitled as a "Renewed Petition under 37 CFR 1.181 to Withdraw the Holding of Abandonment."

This application was held abandoned for failure to timely file a proper reply to the Notice to File Missing Parts mailed on May 1, 2014, which set a two (2) month period for reply. A Notice of Incomplete Reply was mailed on May 16, 2014, June 20, 2014, and on August 14, 2014. A Notice of Abandonment was mailed on March 17, 2015.

Applicant files the above petition and states that, "....The decision is erroneous because it relies on an incorrect citation of the patent rules. ...Petitioner respectfully submits that the first submission of the ADS in the present application on April 22, 2014 is fully compliant to the rules under 37 CFR. § 1.76. Reconsideration is respectfully requested."

However, the above identified application was filed on April 22, 2014. Therefore, applicant's attention is directed to the below provided by MPEP 601.05(a):

#### II. CORRECTING AND UPDATING AN ADS

37 CFR 1.76(c) provides that an application data sheet provided on filing and an application data sheet submitted after the filing date of the application are both considered an application data sheet. Application data sheets may be subsequently supplied prior to payment of the issue fee to either correct or update information in a previously submitted application data sheet, or in an oath or declaration under 37 CFR 1.63 or 1.67. See 37 CFR 1.76(c)(1). An application data sheet submitted after filing the application may contain all of the seven section headings listed in 37 CFR 1.76(b) with all appropriate data for each heading or only those sections containing changed or updated information. An application data sheet

Application/Control Number: 14/258,031 Page 2

Art Unit: OPET

submitted after the filing of the application must identify the information that is being changed (added, deleted, or modified) in the application data sheet. If no ADS was originally filed, but applicant wants to submit an ADS to correct, modify, or augment the original application data, the ADS must identify the information that is being changed (added, deleted, or modified) in the application.

An ADS that is being used to correct data shown in an oath or declaration, such as residence information for an inventor, would show the original incorrect information with strike-through or brackets, and the new information with underlining, as if an ADS had originally been used to submit the information. For example, if the original ADS included a foreign priority claim, in order to delete the foreign priority claim, applicant must provide an ADS showing the foreign priority claim with strike-through or brackets to ensure that the patent will reflect such change.

37 CFR 1.76(c)(1) provides that information in a previously submitted application data sheet, the inventor's oath or declaration under § 1.63, § 1.64, or § 1.67, or otherwise of record, may be corrected or updated until payment of the issue fee by a new application data sheet providing corrected or updated information, except that inventorship changes must comply with the requirements of § 1.48, foreign priority and domestic benefit information changes must comply with §§ 1.55 and 1.78, and correspondence address changes must comply with § 1.33(a). Note also that any request to correct or update the name of the applicant, or change the applicant, must comply with 37 CFR 1.46(c).

37 CFR 1.76(c)(2) provides that an application data sheet providing corrected or updated information may include all of the sections listed in § 1.76(b) or only those sections containing changed or updated information. 37 CFR 1.76(c)(2) further provides that the application data sheet must include the section headings listed in § 1.76(b) for each section included in the application data sheet, and must identify the information that is being changed, with underlining for insertions, and strike-through or brackets for text removed, except that identification of information being changed is not required for an application data sheet included with an initial submission under 35 U.S.C. 371.

Additionally, applicant's attention is directed to the "Instructions for Application Data Sheet (ADS) 37 CFR 1.76:

(http://search.uspto.gov/search?query=ads&op=Search&affiliate=web-sdmg-uspto.gov

Regrettably the residence information provided in the ADS has not been underlined, and in view of the above, the petition to withdraw holding of abandonment is dismissed.

Instead of filing a renewed request under 37 CFR 1.181, Petitioner may wish to consider filing a petition to revive under the provisions of 37 CFR 1.137(a), stating that the delay was unintentional. The petition under 37 CFR 1.137(a) must be accompanied by the petition fee set forth in 37 CFR 1.17(m) along with a proper reply to the final Office action.

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail: Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450 Application/Control Number: 14/258,031 Page 3

Art Unit: OPET

By hand: Customer Service Window

Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax: (571) 273-8300

ATTN: Office of Petitions

By internet: EFS-Web

www.uspto.gov/ebc/efs\_help.html (for help using EFS-Web call the Patent Electronic Business Center

at (866) 217-9197)

Any questions concerning this matter may be directed to the undersigned at (571) 272-3208.

/Karen Creasy/ Karen Creasy Paralegal Specialist Office of Petitions

# **Office of Petitions: Routing Sheet**



# **Application No. 14/258,031**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

x DISMISSED

DENIED

Office of Petitions: Decision Count Sheet		Mailing Month
Application No.	14258031	* 1 4 2 5 8 0 3 1 *
For US serial numbers: enter number only, no slashes or commas. Ex: 10123456 For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345		
Deciding Official:	KAREN CREASY	
Count (1) - Palm Credit  Decision: DISMISSED   The state of the state	Service Chock Box for FED	* D I S M I S S E D *
Decision Type: 525 - 37 CFR 1.181 for W/D HOLDING OF ABANDONMEN   * 5 2 5 *		
Notes:		
Count (2)	FI NANCE WORK NEEDED	
Decision: n/a ←	Select Check Box for YES	
Decision Type: NONE		
Notes:		
Count (3)	FI NANCE WORK NEEDED.	
Decision: n/a -	Select Check Box for YES	
Decision Type: NONE		
Notes:		
Initials of Approving O	fficial (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 7/1/2015	Office	e of Petitions Internal Document - Ver. 5.0

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

#### **RENEWED PETITION UNDER 37 CFR1.181**

Petitioner hereby requests reconsideration of the petition decision of March 13, 2015 in connection with the above-referenced application.

The decision is erroneous because it relies on an incorrect citation of the patent rules.

The decision set forth the following as the basis for the decision:

The ADS submitted on June 27, 2014, is improper because the residence information added was not underlined. Note 37 CFR 1.76(c)(2).

"...If this form is submitted after the submission of the application, even if it is the first submission of an ADS then the information being added or deleted must be indicated by underlining for additions, and strike through or brackets for deletions...."

In view of the above, the ADS submitted on June 27, 2014, cannot be accepted.

However, the cited content does not appear to be the rule. The following is taken from USPTO's version of the rule:

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

(2) An application data sheet providing corrected or updated information may include all of the sections listed in paragraph (b) of this section or only those sections containing changed or updated information. The application data sheet must include the section headings listed in paragraph (b) of this section for each section included in the application data sheet, and must identify the information that is being changed, with underlining for insertions, and strike-through or brackets for text removed, except that identification of information being changed is not required for an application data sheet included with an initial submission under 35 U.S.C. 371.

(http://www.uspto.gov/web/offices/pac/mpep/mpep-9020-appx-r.html#aia\_d0e320348)

Petitioner respectfully submits that the first submission of the ADS in the present application on April 22, 2014 is fully compliant to the rules under 37 CFR. § 1.76.

Reconsideration is respectfully requested.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Applicant

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877

Fax: (852) 30115161

Dated: May 11, 2015

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

#### PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

Petitioner hereby requests withdraw of the holding of abandonment mailed March 17, 2014 in connection with the above-referenced application.

This request is based on the following grounds:

- 1. On June 27, 2014, via EFS-WEB, Petitioner did timely and properly file a response to the "File Missing Parts" notice of 05/01/2014.
- 2. The first submission of the ADS in the present application is fully compliant to the rules. There is no ground to issue the "File Missing Parts" notice in the first place. This issue is currently subject to an administrative review, which has not been completed yet.

Reconsideration is respectfully requested.

Respectfully submitted,

Application No:14258031

BEI & OCEAN Docket No: GDZY01-66USP

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Applicant

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877 Fax: (852) 30115161

Dated: May 11, 2015

Electronic Acknowledgement Receipt		
EFS ID:	22315743	
Application Number:	14258031	
International Application Number:		
Confirmation Number:	7410	
Title of Invention:	Skin Care and Cosmetic Composition	
First Named Inventor/Applicant Name:	Zhiyun WU	
Customer Number:	50163	
Filer:	George G. Wang	
Filer Authorized By:		
Attorney Docket Number:	GDZY01-66USP	
Receipt Date:	11-MAY-2015	
Filing Date:	22-APR-2014	
Time Stamp:	23:07:29	
Application Type:	Utility under 35 USC 111(a)	

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of	reconsideration 1.pdf	54705	no	2
·	Petitions	reconsideration ripul	d80d61f2edd7b767c695a5a41046ad67d4e 86bf3		

## **Warnings:**

#### Information:

2	Petition for review by the Office of	petition.pdf	36228	no	2
_	Petitions	' '	1f3f746a05555fbc559ed9c3835426c59a5c 120e	•	
Warnings:					
Information:					
		Total Files Size (in bytes):	9	0933	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgnia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 04/22/2014 Zhiyun WU

GDZY01-66USP

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 CONFIRMATION NO. 7410
ABANDONMENT/TERMINATION
LETTER



Date Mailed: 03/17/2015

#### NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/01/2014.

· No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item(s) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- 2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP § 512); or
- 3. If the reply was filed via "Express Mail", (now "Priority Mail Express"), a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the mailing label showing the "date-in" (or "date accepted") (see MPEP § 513).

If applicant did not previously file complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137, a petition requesting that the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) the reply required to the outstanding Office action or notice, unless previously filed; (2) the petition fee set forth in 37 CFR 1.17(m); (3) a terminal disclaimer (and fee set forth in 37 CFR 1.20(d)) if required by 37 CFR 1.137(d); and (4) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional. See MPEP 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the Office of Petitions at (571) 272-3282.
Petitions should be mailed to Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

A copy of this notice  $\underline{MUST}$  be returned with the reply.

/rmohamed/	
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/258,031	/258,031 04/22/2014 Zhiyun WU		GDZY01-66USP	7410
50163 <b>WANG &amp; HO</b>	7590 03/13/201	5	EXAM	INER
66 HILLTOP R MILLINGTON				
			ART UNIT	PAPER NUMBER
			2668	
			NOTIFICATION DATE	DELIVERY MODE
			03/13/2015	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

georgewang@bei-ocean.com georgewang.hk@gmail.com



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

In re application of :

Zhiyun Wu et al :

Application No. 14/258,031 : DECISION

Filed: April 22, 2014 :

Attorney Docket No. GDZY01-66USP

This is in response to the petition filed August 19, 2014, entitled "PETITION FOR ADMINISTRATIVE REVIEW UNDER 37 CFR 1.181."

The petition is dismissed.

Applicant is requesting clarification as to the requirements regarding the Application Data Sheet (ADS) filed in the above-identified application.

The application was filed on April 22, 2014. Therefore, the reference must be set forth in a *proper* ADS, which complies with requirements set forth in 37 CFR § 1.76.

The ADS submitted on June 27, 2014, is improper because the residence information added was not underlined. Note 37 CFR 1.76(c)(2).

"...<u>If this form is submitted after the submission of the application, even if it is the first submission of an ADS then the information being added or deleted must be indicated by underlining for additions, and strike through or brackets for deletions. ..."</u>

In view of the above, the ADS submitted on June 27, 2014, cannot be accepted.

Inquiries related to this communication should be directed to the undersigned at (571) 272-3208.

/KOC/ Karen Creasy Paralegal Specialist Office of Petitions

# **Office of Petitions: Routing Sheet**



# **Application No. 14/258,031**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

GRANTED

x DISMISSED

DENIED

Office of Petitions: Dec	ision Count Sheet	Mailing Month
Application No.	14258031	* 1 4 2 5 8 0 3 1 *
	nber only, no slashes or commas. E year of filing+last 5 numbers", Ex. fo	x: 10123456 or PCT/US05/12345, enter 51512345
Deciding Official:	KAREN CREASY	
Count (1) - Palm Credit	14/258,031 FINANCE WORK NEEDED	
Decision: DISMISSED	Select Check Box for YES	* D I S M I S S E D *
Decision Type: 515 - 37 CFR 1	.181 for REVIEW OF NON-EXAMININ	NG MAT * * 5 1 5 *
Notes:		
Count (2)	FI NANCE WORK NEEDED	
Decision: n/a	Select Check Box for YES	
Decision Type: NONE		
Notes:		
Count (3)	FI NANCE WORK NEEDED	
Decision: n/a →	Select Check Box for YES	
Decision Type: NONE		
Notes:		
Initials of Approving O	fficial (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 3/9/2015	Offic	e of Petitions Internal Document - Ver. 5.0



In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 7410 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WE

#### PETITION FOR ADMINISTRATIVE REVIEW UNDER 37 CFR 1.181

On behalf of Applicant, the undersigned attorney hereby petitions the Director of the USPTO to review the propriety of the notice to file missing parts received in the present application (mailed on 05/01/2014) and to review the propriety of the subsequent handling of Applicant's request for clarification.

#### Fact:

- (a) On May 1, 2014, the Office issued a Notice to file missing parts, stating:
- A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's eath or declaration in compliance with 37 CFR 1.63 identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) is required.
- (b) On May 12, 2014, Applicant filed a response, explaining:

Application No:14258031

BEI & OCEAN
Docket No: GDZY01-66USP

Applicant has submitted the ADS on April 23, 2014, which contains all the necessary

informations including mailing address of all the inventors.

If the information regarding the inventors' mailing address provided on the ADS does

not meet the requirements, the Applicant's attorney hereby requests clarification on

the defects in order to correct thereof.

(c) On May 16, 2014, the Office issued a Notice of incomplete reply, repeating the

same information as in the notice of May 12, 2014 without any explanation or

clarification:

 A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's bath or declaration in compliance with 37 CFR 1.63 identifying the

mailing address and residence (if the inventor lives at a location which is different from where the inventor

customarily receives mail) is required.

In view of the foregoing facts, Applicant respectfully requests that this case be

reviewed and Applicant be provided with a clarification on the following issues:

(1) Whether the mailing address for each of the inventors provided on the ADS (filed

April on 23, 2014) is a "mailing address".

(2) If the the mailing address for each of the inventors provided on the ADS (filed April

on 23, 2014) is not a "mailing address", whether the Office should clarify its

requirement so that Applicant knows how to comply (particularly after being

requested).

Respectfully submitted,

/George G. Wang/

George G. Wang, Ph.D., 41,419

Attorney for Applicant

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877

Fax: (852) 30115161

Dated: August 19, 2014

Electronic Patent Application Fee Transmittal					
Application Number:	14258031				
Filing Date:	22-	Apr-2014			
Title of Invention:	Ski	n Care and Cosmet	ic Composition		
First Named Inventor/Applicant Name:	Zhiyun WU				
Filer:	George G. Wang				
Attorney Docket Number:	GDZY01-66USP				
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Petition Fee - 37 CFR 1.17(F)(Group I)		2462	1	200	200
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	200

Electronic Acknowledgement Receipt		
EFS ID:	19897366	
Application Number:	14258031	
International Application Number:		
Confirmation Number:	7410	
Title of Invention:	Skin Care and Cosmetic Composition	
First Named Inventor/Applicant Name:	Zhiyun WU	
Customer Number:	50163	
Filer:	George G. Wang	
Filer Authorized By:		
Attorney Docket Number:	GDZY01-66USP	
Receipt Date:	19-AUG-2014	
Filing Date:	22-APR-2014	
Time Stamp:	05:59:42	
Application Type:	Utility under 35 USC 111(a)	
Payment information:		

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$200
RAM confirmation Number	7192
Deposit Account	
Authorized User	

# File Listing:

Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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		Total Files Size (in bytes):	2	89421	
Information					
Warnings:					
_			2fbadf79a2c80c6eb6b74159dc3598581cc6 8a02		
2	Fee Worksheet (SB06)	fee-info.pdf	29968	no	2
Information:					
Warnings:					
<b>I</b>	depending on status	resp_66.pdf	112ba6bc93540893aa8fdd18bddeb6dfb57 97d1f	no	2
1	Petition for review/processing	waara 66 mali	259453		2

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 14/258,031 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) RATE(\$) RATE(\$) FOR NUMBER FILED NUMBER EXTRA FEE(\$) FEE(\$) BASIC FEE N/A 70 N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 300 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A 360 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 10 40 0.00 OR minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS 1 210 0.00 minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. FEE 0.00 (37 CFR 1.16(s)) 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) 0.00 \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 730 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE(\$) RATE(\$) ⋖ AFTER AMENDMENT PREVIOUSLY EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR Total Minus OR (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE(\$) RATE(\$) Ш PREVIOUSLY **AFTER** EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR **AMENDMENT** Minus Total OR (37 CFR 1.16(i)) Independent Minus OR (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L FEE ADD'L FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20" \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



#### United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE APPLICATION NUMBER 14/258.031 04/22/2014 Zhiyun WU GDZY01-66USP

> **CONFIRMATION NO. 7410 FORMALITIES LETTER**

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946



Date Mailed: 08/14/2014

#### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/27/2014 to the Notice to File Missing Parts (Notice) mailed 05/01/2014 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice. A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Applicant is given TWO MONTHS from the date of the Notice to File Missing Parts (Notice) mailed 05/01/2014 within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's oath or declaration in compliance with 37 CFR 1.63 identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) is required.

Zhiyun WU Chungwah MA Shiyu ZOU

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ydemisse/	
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888-786-010 <sup>-1</sup>



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

Zhiyun WU

14/258,031 04/22/2014

GDZY01-66USP

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 CONFIRMATION NO. 7410 IMPROPER CFR REQUEST



Date Mailed: 08/14/2014

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

• The ADS submitted on 06/27/2014 was not properly marked up to show the desired changes. For information being changed relative to the information already of record, additions must be shown with underlining, and deletions must be shown with strike-through or brackets. See 37 CFR 1.76(c)(2)

/ydemisse/		
	<del></del>	

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



#### **APPLICATION DATA SHEET 37 CFR 1.76**

Application Number	14258031
Attorney Docket Number	GDZY01-66USP
Title of invention	Skin Care and Cosmetic Composition

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a
Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy
Order may not be filed electronically.)

# **Inventor Information**

Inventor 1	
Legal Name	Prefix: Given Name: Zhiyun Middle Name: Family Name: WU Suffix:
Residence Information	☐ US Residency ☑ Non US Residency ☐ Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): CN
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN

Inventor 2	
Legal Name	Prefix: Given Name: Chungwah Middle Name: Family Name: Ma Suffix:

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application, No: 14258031

Residence Information	City: State Coun	JS Residency	ng		Active US Military Service
Mailing Address of Inventor	Addre City: State Posta	ess 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road ess 2:  Guangzhou  V/Province: Guangdong al Code: 510665 etry: CN			
Inventor 3			······································		
Legal Name	Midd	n Name: <b>Shiyu</b> le Name: ly Name: <b>ZOU</b>			
Residence Information	City: State Coun	JS Residency	ng		Active US Military Service
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road  Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN				
Correspon	denc	e Information			
☐ An Addr	ess is	being provided for the	correspondence Ir	form	nation of this application.
Customer Nu	ımber	50163	·····		
Correspondence Information		Name 1: Name 2:			
		Address 1:			
		Address 2:			
		City: State/Province:			
		Country:	- A/	—т	stal Code:
		Phone Number:	Fax Number:		Email Address:

# **Application Information**

Title of the Invention: Skin Care and Cosmetic Composition		
Attorney Docket Number: GDZY01-66USP	Small Entity Status Claimed: 🗹	
Application Type: Nonprovisional	Subject Matter: Utility	
Total Number of Drawing Sheets (if any): 4	Suggested Figure for Publication (if any): N/A	

#### **Publication Information**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information**

Please Select One:	☑ Customer Number ☐ US Patent Practitioner ☐ Limited Recognition
Customer Number	50163

# **Domestic Benefit/National Stage Information**

Prior Application Stat	us:		
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)

# Foreign Priority Information

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code (if applicable)	

# Statement under 37 CFR 1.55 or 1.78

Docket No: GDZY01-66USP Application. No: 14258031

2013 and (	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.						
Authorizatio	n to Per	mit Access					
☐ Authorizati	on to Perr	nit Access to th	he Instant Ap	plication by	the Participating	Office	s
Applicant In	formati	on					
Applicant							
Assignee		Legal Repres	sentative und	der 35 U.S.	C.117	t Invent	tor
Person to who	om the inve	_	to assign.		n who shows suffici	ent prop	orietary interest
If applicant is th	e legal rer				le the patent app	lication	, the inventor is:
Deceased		Legally Incap	pacitated	and his or	her name is:		
If the Applicant	is an Orga	anization check	here. 🗹				
Organization Na	ame:	Infinitus (Chir	na) Compan	y Ltd.			
Prefix Mr	Given Na Huisen	ame ~	Middle Name		Family Name Li		Suffix
Mailing Address	Informati	on For Applica	nt				
Address 1	No.3, No	orth District, Ir	ndustry&Tra	ading City		***************************************	
Address 2	Qi Bao,	Xin Hui Distri	<u>ct</u>			γ	
City Jiangmen		State/Proving Guangdong	ce -	Country CN		Posta <b>5291</b> 5	Il Code 56
Phone Number Fax Number		ax Number	Ema	il Address			
Assignee Info	ormatio	n					
Assignee							
If the Assignee	is an Orga	ınization check	here. 🗹				
Organization Na	ame:	Infinitus (Ch	ina) Compa	ny Ltd.			
Prefix Mr	Given Na	ame	Middle Nan	ne	Family Name		Suffix

 $\label{thm:composition} \mbox{Title of invention}: Skin \mbox{ Care and Cosmetic Composition}$ 

Mailing Address Information For Non-Applicant Assignee						
Address 1	No.3,	o.3, North District, Industry&Trading City				
Address 2	Qi Ba	ο, )	Kin Hui District			
City Jiangmen			State/Province Guangdong		Country CN	Postal Code 529156
Phone Number Fax Number		Email	Address			

# Signature

Signature	/George G Wang/			Date (YYYY-MM-DD)		2014-04-23	
First Name	George G	Last Name	War	ng	Registration	Number	41,419

Electronic Ac	knowledgement Receipt
EFS ID:	19429990
Application Number:	14258031
International Application Number:	
Confirmation Number:	7410
Title of Invention:	Skin Care and Cosmetic Composition
First Named Inventor/Applicant Name:	Zhiyun WU
Customer Number:	50163
Filer:	George G. Wang
Filer Authorized By:	
Attorney Docket Number:	GDZY01-66USP
Receipt Date:	27-JUN-2014
Filing Date:	22-APR-2014
Time Stamp:	04:55:27
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	Amended ADS.pdf	595940	no	5
'	Application but a street	Amenaca Ab 3.par	79c1fba70da42082de7e7c383e46065a428 17d39		

## **Warnings:**

#### Information:

This is not an USPTO supplied ADS fillable form		
	Total Files Size (in bytes):	595940

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 14/258,031 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) RATE(\$) RATE(\$) FOR NUMBER FILED NUMBER EXTRA FEE(\$) FEE(\$) BASIC FEE N/A 70 N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 300 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A 360 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 10 40 0.00 OR minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS 1 210 0.00 minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. FEE 0.00 (37 CFR 1.16(s)) 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) 0.00 \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 730 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE(\$) RATE(\$) ⋖ AFTER AMENDMENT PREVIOUSLY EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR Total Minus OR (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE(\$) RATE(\$) Ш PREVIOUSLY **AFTER** EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR **AMENDMENT** Minus Total OR (37 CFR 1.16(i)) Independent Minus OR (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L FEE ADD'L FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20" \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 04/22/2014 Zhiyun WU GDZY01-66USP

CONFIRMATION NO. 7410 FORMALITIES LETTER

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946



Date Mailed: 06/20/2014

#### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/18/2014 to the Notice to File Missing Parts (Notice) mailed 05/01/2014 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice. A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Applicant is given **TWO MONTHS** from the date of the Notice to File Missing Parts (Notice) mailed 05/01/2014 within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's oath or declaration in compliance with 37 CFR 1.63 identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) is required.

Zhiyun WU Chungwah MA Shiyu ZOU Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ybedada/			
		_	
Office of Data Management	. Application Assistance Unit (57	'1) 272-4000, or (571) 272-4	200. or 1-888-786-010 <sup>-1</sup>



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigginia 22313-1450 www.uspto.gov

ATTY. DOCKET NO./TITLE APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT 14/258,031 04/22/2014 Zhiyun WU GDZY01-66USP

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946

**CONFIRMATION NO. 7410 MISCELLANEOUS NOTICE** 



Date Mailed: 06/20/2014

A communication which cannot be delivered in electronic form has been mailed to the applicant.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
	<del></del>		

14/258,031

04/22/2014

Zhiyun WU '

GDZY01-66USP

**CONFIRMATION NO. 7410** 

\*OC00000069097127\*

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946

for deletions. See 37 CFR 1.76(c)(2).

Date Mailed: 6/20/2014

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

#### Improper ADS

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

Ø	The ADS submitted on 6/18/2014 was not properly marked up to show the desired changes. For information being changed relative to the information already of record, additions must be shown with
	underlining, and deletions must be shown with strike-through or brackets. See 37 CFR 1.76(c)(2).
	The ADS submitted on did not contain the entire section containing the desired changes. For any section of information being changed relative to the information already of record, the application data sheet must include the section headings listed in 37 CFR 1.76(b) for each section being changed, additions must be shown with underlining, and deletions must be shown with strike-through or brackets. See 37 CFR 1.76(c)(2).
	The ADS submitted on was not properly signed. An application data sheet must be signed in compliance with 37 CFR 1.33(b). An unsigned application data sheet will be treated only as a transmittal letter. See 37 CFR 1.76(e).
$\boxtimes$	The ADS submitted on 6/18/2014 attempts to change the applicant but cannot be entered. Any request to change the applicant once the applicant has been specified must include (1) an application data sheet specifying the new applicant in the Applicant Information section, and (2) a statement under 37 CFR 3.73(c) (USPTO Form TO/AIA/96 or an equivalent) to show chain of title to the new applicant. The application data sheet must contain markings to show the information that is being changed, with underlining for additions and strike-through or brackets



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

□ The reference filing statement made upon filing cannot be rescinded because the reference to the previously filed application constitutes the specification and any drawings of the instant application. See 35 U.S.C. 111(c). Applicant is reminded to only complete the reference filing section of the application data sheet when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). The reference filing section should not be completed if a specification and any drawings are submitted upon the filing of the application. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information") of the application data sheet.

A copy of this notice MUST be returned with the reply.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-010



In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 5418 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

This paper is in response to notice to file missing parts of nonprovisional application mailed May 16, 2014

Applicant submits herewith a copy of ADS, which contains the mailing address for each inventor of the application.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419 Attorney for Applicant

BEI & OCEAN georgewang@bei-ocean.com

Phone: (852) 61821877 Fax: (852) 30115161

Dated: June 18, 2014

#### **APPLICATION DATA SHEET 37 CFR 1.76**

Application Number	14258031	
Attorney Docket Number	GDZY01-66USP	
Title of invention	Skin Care and Cosmetic Composition	

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a
Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy
Order may not be filed electronically.)

# **Inventor Information**

Inventor 1				
Legal Name	Prefix: Given Name: Zhiyun Middle Name: Family Name: WU Suffix:			
Residence Information	☐ US Residency ☑ Non US Residency ☐ Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): CN			
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN			

Inventor 2	
Legal Name	Prefix: Given Name: Chungwah Middle Name: Family Name: Ma Suffix:

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP
Application. No: 14258031

Information	US Residency ✓ Non US Residency ✓ Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): HK				
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN				
Inventor 3					
Legal Name	Prefix: Given Name: Shiyu Middle Name: Family Name: ZOU Suffix:				
Residence Information	= de riceidoney = richi de riceidoney = richi de di iliniary de ricei				
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road  Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN				
		e Informatio			
☐ An Addre	ess is	being provided	for the correspondence In	formation of this application.	
Customer Nur	mber	50163			
Corresponder Information	nce	Name 1:		Name 2:	
inionnation		Address 1:			
		Address 2:			
		City:		State/Province:	
		Country:		Postal Code:	

Fax Number:

Phone Number:

Email Address:

# **Application Information**

Title of the Invention: Skin Care and Cosmetic Composition		
Attorney Docket Number: GDZY01-66USP	Small Entity Status Claimed: 🗹	
Application Type: Nonprovisional	Subject Matter: Utility	
Total Number of Drawing Sheets (if any): 4	Suggested Figure for Publication (if any): N/A	

#### **Publication Information**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<b>Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information**

Please Select One:	☑ Customer Number ☐ US Patent Practitioner ☐ Limited Recognition
Customer Number	50163

# **Domestic Benefit/National Stage Information**

Prior Application Status:			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)

# Foreign Priority Information

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code (if applicable)	

# Statement under 37 CFR 1.55 or 1.78

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application. No: 14258031

2013 an	d (2) also c		ained at a	ny time, a clai	oplication filed bef im to a claimed in			
Authorizat	ion to Pe	ermit Access						
☐ Authoriz	ation to Pe	rmit Access to ti	ne Instant	Application by	y the Participating	Office	es	
Applicant l	Informat	ion						
Applicant								
☑ Assignee		Legal Repre	sentative ι	under 35 U.S.	C.117	t Inven	tor	
Person to v	vhom the inv	entor is obligated	to assign.		n who shows suffici	ient prop	orietary interest	
If applicant is	the legal re				ile the patent app	lication	, the inventor is:	
Deceased	1 k	Legally Incar	pacitated	and his or	r her name is:			
If the Applica	nt is an Orç	ganization check	here. 🗹					
Organization	Name:	Infinitus (Chi	na) Compa	any Ltd.				
<i>Prefix</i> <b>Mr</b>	Given I Huisen		Middle N	lame	Family Name Li		Suffix	
Mailing Addre	ess Informa	ition For Applica	nt					
Address 1	No.3, N	North District, I	ndustry&	Trading City				
Address 2	Qi Bao	, Xin Hui Distri	ct			·		
<i>City</i> Jiangmen		State/Proving Guangdong	ce	Country CN		Posta <b>5291</b> 5	al Code <b>56</b>	
Phone Numb	er	Fax Number	Eı	mail Address				
Assignee Ir	ıformati	on						
Assignee								
If the Assigne	e is an Orç	ganization check	here. 🗹					
Organization	Name:	Infinitus (Ch	ina) Com	pany Ltd.	<b></b>		······	
<i>Prefix</i> <b>M</b> r	Given I Huisen		Middle N	lame	Family Name Li		Suffix	

 $\label{thm:composition} \mbox{Title of invention}: Skin \mbox{ Care and Cosmetic Composition}$ 

Mailing Address Information For Non-Applicant Assignee							
Address 1 No.3, North District, Industry&Trading City							
Address 2	Qi Ba	Qi Bao, Xin Hui District					
City State/Province Jiangmen Guangdong			Country CN		Postal Code <b>529156</b>		
Phone Number Fax Number		Email	Address				

# Signature

Signature	/George G Wang/			Date (YYYY-MM-DD)		2014-04-23	
First Name	George G	Last Name	st Name Wang		ng Registration		41,419

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	19334714					
Application Number:	14258031					
International Application Number:						
Confirmation Number:	7410					
Title of Invention:	Skin Care and Cosmetic Composition					
First Named Inventor/Applicant Name:	Zhiyun WU					
Customer Number:	50163					
Filer:	George G. Wang					
Filer Authorized By:						
Attorney Docket Number:	GDZY01-66USP					
Receipt Date:	18-JUN-2014					
Filing Date:	22-APR-2014					
Time Stamp:	04:24:17					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam	response.pdf	2181	no	1
'	Formalities Notice	, esponse,pai	ed57add174ffcbae5d80b260cdc51a65219 0f5a6		

### **Warnings:**

#### Information:

2 Applicati	Application Data Sheet	ADS.pdf	221671	no	5			
	Application Bata Street	· ·	e89ca6ed6892c58d4dca98da39df8c5f220e 9e6a					
Warnings:	Warnings:							
Information:	Information:							
This is not an U	This is not an USPTO supplied ADS fillable form							
Total Files Size (in bytes):			2:	23852				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 14/258,031 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) RATE(\$) RATE(\$) FOR NUMBER FILED NUMBER EXTRA FEE(\$) FEE(\$) BASIC FEE N/A 70 N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 300 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A 360 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 10 40 0.00 OR minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS 1 210 0.00 minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. FEE 0.00 (37 CFR 1.16(s)) 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) 0.00 \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 730 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE(\$) RATE(\$) ⋖ AFTER AMENDMENT PREVIOUSLY EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR Total Minus OR (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE(\$) RATE(\$) Ш PREVIOUSLY **AFTER** EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR **AMENDMENT** Minus Total OR (37 CFR 1.16(i)) Independent Minus OR (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L FEE ADD'L FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20" \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



#### United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE APPLICATION NUMBER 14/258.031 04/22/2014 Zhiyun WU GDZY01-66USP

> **CONFIRMATION NO. 7410 FORMALITIES LETTER**

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946



Date Mailed: 05/16/2014

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 05/12/2014 to the Notice to File Missing Parts (Notice) mailed 05/01/2014 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice. A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Applicant is given TWO MONTHS from the date of the Notice to File Missing Parts (Notice) mailed 05/01/2014 within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's oath or declaration in compliance with 37 CFR 1.63 identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) is required.

Zhiyun WU Chungwah MA Shiyu ZOU

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ltaba/			
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571	1) 272-4200, d	or 1-888-786-010



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 04/22/2014 Zhiyun WU GDZY01-66USP

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 CONFIRMATION NO. 7410 IMPROPER CFR REQUEST



Date Mailed: 05/16/2014

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

Any request to correct or update the name of the applicant must include an application data sheet (ADS) in compliance with 37 CFR 1.76 specifying the correct or updated name of the applicant in the applicant information section. Any request to change the applicant after an original applicant has been specified under 37 CFR 1.46(b) must include a new ADS in compliance with 37 CFR 1.76 specifying the applicant in the applicant information section and comply with 37 CFR 3.71 and 3.73. See 37 CFR 1.46(c).

/ltaba/				

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 5418 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

This paper is in response to notice to file missing parts of nonprovisional application mailed May 1, 2014

Applicant has submitted the ADS on April 23, 2014, which contains all the necessary informations including mailing address of all the inventors.

If the information regarding the inventors' mailing address provided on the ADS does not meet the requirements, the Applicant's attorney hereby requests clarification on the defects in order to correct thereof.

Respectfully submitted,

/George G. Wang/

George G. Wang , Ph.D., 41,419

Attorney for Applicant

**BEI & OCEAN** 

Application No:14258031

BEI & OCEAN Docket No: GDZY01-66USP

georgewang@bei-ocean.com Phone: (852) 61821877

Fax: (852) 30115161

Dated: May 12, 2014

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: WU, et al. Examiner:

Application No.: 14258031 Art Unit:

Filed: 04/22/2014 Docket No.: GDZY01-66USP

Confirmation No.: 5418 Customer No.: 50163

Title: SKIN CARE AND COSMETIC COMPOSITION

SUBMITTED VIA EFS-WEB

#### RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY

This paper is in response to the notice mailed on May 1, 2014, regarding the power of attorney filed in this application.

#### The notice states:

• The power of attorney has not been accepted because the party who is giving power has not been identified. Power of attorney may only be signed by the applicant for patent (37 CFR 1.42) or the patent owner. A party

However, the records show that the power has been given by Huisen Li, who is the representative of the applicant, a company to which all the inventors have assigned the rights of the application. Thus, the party who is giving the power is clearly shown.

If the information regarding the assignee apllicant in this case does not meet the requirements, the Applicant's attorney hereby requests clarification on the defects.

Respectfully submitted,

Application No:14258031 BEI & OCEAN Docket No: GDZY01-66USP

/George G. Wang/

Coorgo C. Wong Ph.D. 41 410

BEI & OCEAN georgewang@bei-ocean.com Phone: (852) 61821877 Fax: (852) 30115161

Dated: May 12, 2014

George G. Wang , Ph.D., 41,419 Attorney for Applicant

Electronic Acknowledgement Receipt				
EFS ID:	18997223			
Application Number:	14258031			
International Application Number:				
Confirmation Number:	7410			
Title of Invention:	Skin Care and Cosmetic Composition			
First Named Inventor/Applicant Name:	Zhiyun WU			
Customer Number:	50163			
Filer:	George G. Wang			
Filer Authorized By:				
Attorney Docket Number:	GDZY01-66USP			
Receipt Date:	12-MAY-2014			
Filing Date:	22-APR-2014			
Time Stamp:	05:01:48			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	no
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# File Listing:

1 Applicant Response to Pre-Exam Formalities Notice response_missing_part.pdf 300ae7b66bc38bd3e0613708b9af12345ce 399e1 no 2	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	1	• • •	response_missing_part.pdf	300ae7b66bc38bd3e0613708b9af12345ce		2

### **Warnings:**

#### Information:

2	Applicant Response to Pre-Exam	Response POA.pdf	149376	no	2
_	Formalities Notice	. –	d61d4fa2b88eb27856a05da1b9dc6b88af9 ed759		_
Warnings:					
Information:					
Total Files Size (in bytes):		2'	91764		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/258,031	04/22/2014	2668	730	GDZY01-66USP	10	1

**CONFIRMATION NO. 7410** 

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 FILING RECEIPT

\*OC00000068105287\*

Date Mailed: 05/01/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Zhiyun WU, Residence Not Provided; Chungwah MA, Residence Not Provided; Shiyu ZOU, Residence Not Provided;

Applicant(s)

Zhiyun WU, Residence Not Provided; Chungwah MA, Residence Not Provided; Shiyu ZOU, Residence Not Provided;

**Assignment For Published Patent Application** 

Infinitus (China) Company Ltd., Jiangmen, CHINA

Power of Attorney: None

#### Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 04/29/2014

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/258.031** 

Projected Publication Date: To Be Determined - pending completion of Missing Parts

page 1 of 3

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Skin Care and Cosmetic Composition

**Preliminary Class** 

382

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

#### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.



MILLINGTON, NJ 07946

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/258,031 04/22/2014 Zhiyun WU GDZY01-66USP

50163 FORMALITIES LETTER
WANG & HO
66 HILLTOP ROAD

Date Mailed: 05/01/2014

**CONFIRMATION NO. 7410** 

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• A mailing address for each inventor has not been submitted. A new application data sheet (ADS) in compliance with 37 CFR 1.76 or inventor's oath or declaration in compliance with 37 CFR 1.63 identifying the mailing address and residence (if the inventor lives at a location which is different from where the inventor customarily receives mail) is required.

Zhiyun WU Chungwah MA Shiyu ZOU Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web, including a copy of this Notice and selecting the document description "Applicant response to Pre-Exam Formalities Notice". <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/atesfai/	
	-
Office of Data Management, Application Assistance Unit (571)	) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 14/258,031 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) RATE(\$) RATE(\$) FOR NUMBER FILED NUMBER EXTRA FEE(\$) FEE(\$) BASIC FEE N/A 70 N/A N/A N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 300 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A 360 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 10 40 0.00 OR minus 20 = (37 CFR 1.16(i)) INDEPENDENT CLAIMS 1 210 0.00 minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. FEE 0.00 (37 CFR 1.16(s)) 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) 0.00 \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 730 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER RATE(\$) RATE(\$) ⋖ AFTER AMENDMENT PREVIOUSLY EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR Total Minus OR (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Minus OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL RATE(\$) RATE(\$) Ш PREVIOUSLY **AFTER** EXTRA FEE(\$) FEE(\$) **AMENDMENT** PAID FOR **AMENDMENT** Minus Total OR (37 CFR 1.16(i)) Independent Minus OR (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L FEE ADD'L FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20" \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 14/258.031 04/22/2014 Zhiyun WU GDZY01-66USP

50163 WANG & HO **66 HILLTOP ROAD** MILLINGTON, NJ 07946

**CONFIRMATION NO. 7410 IMPROPER CPOA LETTER** 



Date Mailed: 05/01/2014

#### NOTICE REGARDING POWER OF ATTORNEY

This is in response to the power of attorney filed 04/22/2014. The power of attorney in this application is not accepted for the reason(s) listed below:

• The power of attorney has not been accepted because the party who is giving power has not been identified. Power of attorney may only be signed by the applicant for patent (37 CFR 1.42) or the patent owner. A party who is not the applicant must become the applicant in accordance with 37 CFR 1.46(c) and appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. For a reissue application, reexamination proceeding, or supplemental examination proceeding, a patent owner who was not the applicant under 37 CFR 1.46 must appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. See 37 CFR 1.32(b)(4).

/qnguyen/			
Office of Data Management, Application Assistance Unit (571)	272-4000, or (57	71) 272-4200,	or 1-888-786-010



#### United States Patent and Trademark Office

INITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 14/258.031 04/22/2014

50163 WANG & HO 66 HILLTOP ROAD MILLINGTON, NJ 07946 Zhiyun WU

GDZY01-66USP

**CONFIRMATION NO. 7410 IMPROPER CFR REQUEST** 



Date Mailed: 05/01/2014

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Continuity, Priority Claims, Petitions, and Non-Publication Requests

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- The request to correct or update the name of the inventor or a joint inventor, or the order of the names of joint inventors, under 37 CFR 1.48(f) is deficient because a corrected or updated application data sheet (ADS) (or a supplemental ADS for applications filed before 9/16/12) in compliance with 37 CFR 1.76 (including markings showing the changes) has not been submitted. Any renewed request must include a corrected or updated ADS (or supplemental ADS for applications filed before 9/16/12) in compliance with 37 CFR 1.76 that identifies the information being changed, with underlining for insertions, and strike-through or brackets for text removed.
- Any request to correct or change the inventorship once the inventorship has been set must be by way of a request under 37 CFR 1.48(a) for a nonprovisional application or 37 CFR 1.48(d) for a provisional application.

#### Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

 Any request to correct or update the name of the applicant must include an application data sheet (ADS) in compliance with 37 CFR 1.76 specifying the correct or updated name of the applicant in the applicant information section. Any request to change the applicant after an original applicant has been specified under 37 CFR 1.46(b) must include a new ADS in compliance with 37 CFR 1.76 specifying the applicant in the applicant information section and comply with 37 CFR 3.71 and 3.73. See 37 CFR 1.46(c).

	/qnguyen/		
		_	

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### **APPLICATION DATA SHEET 37 CFR 1.76**

Application Number	14258031
Attorney Docket Number	GDZY01-66USP
Title of invention	Skin Care and Cosmetic Composition

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a
Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy
Order may not be filed electronically.)

# **Inventor Information**

Inventor 1	
Legal Name	Prefix: Given Name: Zhiyun Middle Name: Family Name: WU Suffix:
Residence Information	US Residency Non US Residency Active US Military Service  City: Guangzhou  State/Province: Guangdong  Country of Residence: CN  Citizenship under 37 CFR 1.41(b): CN
Mailing Address of Inventor	Address 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road Address 2: City: Guangzhou State/Province: Guangdong Postal Code: 510665 Country: CN
ı	

Inventor 2	
Legal Name	Prefix: Given Name: Chungwah Middle Name: Family Name: Ma Suffix:

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application. No: 14258031

Residence Information	City: State Coun	JS Residency	ng		Active US Military Service
Mailing Address of Inventor	Addre City: State Posta	ss 1: 4/F, West Tower, PCI Business Building, 66 Jianzhong Road ss 2: Guangzhou Province: Guangdong I Code: 510665 rry: CN			
Inventor 3					
Legal Name	Midd	n Name: <b>Shiyu</b> le Name: ly Name: <b>ZOU</b>			
Residence Information	City: State Coun	JS Residency	ng		Active US Military Service
Mailing Address of Inventor	Address of Address 2:				
Correspon	dence	e Information			
☐ An Addr	ress is	being provided for the	correspondence Ir	nforr	mation of this application.
Customer Nu	ımber	50163		r	
Correspondence Information		Name 1: Name 2:			
-		Address 1:			
		Address 2:			
		City:		State/Province:	
		Country:	Postal Code:		
		Phone Number:	Fax Number:		Email Address:

# **Application Information**

Title of the Invention: Skin Care and Cosmetic Composition				
Attorney Docket Number: GDZY01-66USP Small Entity Status Claimed:				
Application Type: Nonprovisional	Subject Matter: Utility			
Total Number of Drawing Sheets (if any): 4	Suggested Figure for Publication (if any): N/A			

### **Publication Information**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information**

Please Select One:	☑ Customer Number ☐ US Patent Practitioner ☐ Limited Recognition
Customer Number	50163

# **Domestic Benefit/National Stage Information**

Prior Application Stat	'us:		
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)

# **Foreign Priority Information**

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code (if applicable)	

### Statement under 37 CFR 1.55 or 1.78

Title of invention : Skin Care and Cosmetic Composition

Docket No: GDZY01-66USP Application. No: 14258031

2013 an	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.						
Authorizat	ion to P	ermit Access					
☐ Authoriz	ation to Pe	ermit Access to the	he Instant	Application b	y the Participating	Office	es
Applicant l	[nforma	tion					
Applicant							
☑ Assignee		Legal Repre	sentative u	ınder 35 U.S.	.C.117	it Inven	tor
Person to v		ventor is obligated	_		n who shows suffici	ient prop	orietary interest
If applicant is					file the patent app	lication	, the inventor is:
☐ Deceased	1	Legally Incap	pacitated	and his o	r her name is:		
If the Applica	nt is an Or	ganization check	k here. 🗹				
Organization	Name:	Infinitus (Chi	na) Compa	any Ltd.			
<i>Prefix</i> <b>Mr</b>	Given Huise	<i>Name</i> <b>n</b>	Middle N	ame	Family Name Li		Suffix
Mailing Addre	ess Informa	ation For Applica	ınt				
Address 1	No.3,	North District, I	ndustry&1	Γrading City			
Address 2	Qi Bad	o, Xin Hui Distri	ct			· <b>y</b> ·······	
<i>City</i> Jiangmen		State/Proving Guangdong		Country CN		Posta <b>5291</b> 5	al Code 56
Phone Numb	er	Fax Number	En	mail Address			
Assignee In	ıformati	ion					
Assignee							
If the Assigne	e is an Or	ganization check	chere. 🗹				
Organization	Name:	Infinitus (Ch	ıina) Com <sub>l</sub>	pany Ltd.			·
<i>Prefix</i> <b>Mr</b>	Given Huise		Middle N	ame	Family Name		Suffix

 $\label{thm:composition} \mbox{Title of invention}: Skin \mbox{ Care and Cosmetic Composition}$ 

Mailing Address Information For Non-Applicant Assignee							
Address 1 No.3, North District, Industry&Trading City							
Address 2	Qi Ba	Qi Bao, Xin Hui District					
			State/Province Guangdong		Country CN		Postal Code 529156
Phone Number Fax Number		Email	Address				

# Signature

Signature	/George G Wang/			Date (YY	YY-MM-DD)	2014-04-	23
First Name	George G	Last Name	War	ng	Registration	Number	41,419

Electronic Acknowledgement Receipt			
EFS ID:	18832798		
Application Number:	14258031		
International Application Number:			
Confirmation Number:	7410		
Title of Invention:	Skin Care and Cosmetic Composition		
First Named Inventor/Applicant Name:	Zhiyun WU		
Customer Number:	50163		
Filer:	George G. Wang		
Filer Authorized By:			
Attorney Docket Number:	GDZY01-66USP		
Receipt Date:	23-APR-2014		
Filing Date:			
Time Stamp:	01:23:50		
Application Type:	Utility under 35 USC 111(a)		

# **Payment information:**

Submitted with Payment	no
------------------------	----

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	ADS.pdf	22953	no	5
'	Application but a street	7105.pdi	188c739a2c9e8d01744eb8c9baf304e3e3b 35c54	***	

### **Warnings:**

#### Information:

This is not an USPTO supplied ADS fillable form		
	Total Files Size (in bytes):	22953

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

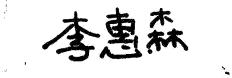
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### **POWER OF ATTORNEY**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

l here	by appoint:									
	✓ Practitioners associated with Customer Number: 50163									
Office acco	torney(s) or agent(s) to represent the undersigned before (USPTO) in connection with any and all patent application ding to the USPTO assignment records or assignments during with 37 CFR 3.73(c).	ons assigned only to the undersigned								
	e change the correspondence address for the application FR 3.73(c) to:	identified in the attached statement under								
Ø	The address associated with Customer Number:	50163								
Ass	gnee Name and Address: Infinitus (China) Company I No.3, North District, Industry& Jiangmen, Guangdong, China	<b>_td.</b> ≰Trading City, Qi Bao, Xin Hui District								
be f	py of this form, together with a statement under 37 CFR 3.73(c) iled in each application in which this form is used. The statemen of The practitioners appointed in this form, and must identify the filed.	nt under 37 CFR 3.73(c) may be completed by								
	The individual whose signature and title is supplied below is a									
Sigr	ature:	Date: April 03, 2014								
Nan	ie: l <sup>i</sup> liuis <del>en L</del> i	Telephone:								
Title	General Manager									



10 N.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

	STATEMENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner	
Application No./Patent N	No.: Filed/Issue Date: April 18, 2014
Titled: Skin Care	and Cosmetic Composition
Infinitus (China) Com	npany Ltd, a
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the pater	nt application/patent identified above, it is (choose <u>one</u> of options 1, 2, 3 or 4 below):
1. In the assignee of	f the entire right, title, and interest.
2. An assignee of	less than the entire right, title, and interest (check applicable box):
	by percentage) of its ownership interest is%. Additional Statement(s) by the owners ance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.
There are ur right, title and in	nspecified percentages of ownership. The other parties, including inventors, who together own the entire sterest are:
Additional St right, title, and i	atement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire nterest.
	f an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). ding inventors, who together own the entire right, title, and interest are:
Additional Staright, title, and i	atement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire nterest.
	a a court proceeding or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a nership interest was made). The certified document(s) showing the transfer is attached.
The interest identified in	option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
	from the inventor(s) of the patent application/patent identified above. The assignment was recorded in es Patent and Trademark Office at Reel, Frame, or for which a copy ned.
B. A chain of title f	rom the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
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[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	<u>STATEMENT L</u>	JNDER 37 CFR 3.73(c)	
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		ary evidence of the chain of title or recordation pursuant to 37 (	e from the original owner to the CFR 3.11.
			) must be submitted to Assignment ds of the USPTO. See MPEP 302.08]
The undersigned (whose title is suppl	ied below) is authorize	ed to act on behalf of the assig	nee.
/George G. Wang/			April 18, 2014
Signature			Date
George G. Wang			41,419
Printed or Typed Name			Title or Registration Number

[Page 2 of 2]

Bei & Ocean Docket No: GDZY01-66USP

#### ASSIGNMENT BY INVENTOR

THIS ASSIGNMENT, made by Zhiyun WU, Chungwah MA, and Shiyu ZOU, hereinafter collectively referred to as "ASSIGNOR", each with a mailing address indicated after the printed name under the signature signed below;

WHEREAS, ASSIGNOR has invented certain new and useful improvements which are inventions set forth in a Patent Application for Letters Patent of the United States, entitled SKIN CARE AND COSMETIC COMPOSITION and identified by the above docket number and

WHEREAS, Infinitus (China) Company Ltd., having a business place at No.3, North District, Industry&Trading City, Qi Bao, Xin Hui District, Jiangmen, Guangdong, China, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patents in any countries to be obtained therefore and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by ASSIGNEE, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR, had this sale and assignment not been made.

AND for the same consideration, ASSIGNOR hereby represents and warrants to ASSIGNEE, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to ASSIGNEE under law or that have already been transferred to ASSIGNEE, ASSIGNOR is the sole and lawful owner/owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-

Application No:

mentioned, and that the same are unencumbered and that ASSIGNOR has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, ASSIGNOR hereby covenants and agrees to and with ASSIGNEE, its successors, legal representatives and assigns, that ASSIGNOR will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, whenever counsel of ASSIGNEE, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND ASSIGNOR hereby authorizes and requests the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to ASSIGNEE, as assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of ASSIGNEE, its successors, legal representatives and assigns.

AND ASSIGNOR hereby grant practitioners at Customer Number: **50163** the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

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Zhiyun WU (4/F, West Tower, PCI Business Building, 66 Jianzhong Road, Guangzhou, Guangdong, China)	Date:
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WITNESS	Date:

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	Skin Care and Cosmetic Composition					
First Named Inventor/Applicant Name:	Zhiyun WU					
Filer:	George G. Wang					
Attorney Docket Number:	GDZY01-66USP					
Filed as Small Entity						
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Basic Filing:						
Utility filing Fee (Electronic filing)	4011	1	70	70		
Utility Search Fee	2111	1	300	300		
Utility Examination Fee	2311	1	360	360		
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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Extension-of-Time:				
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	Total in USD (\$)		730	

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Application Number:	14258031	
International Application Number:		
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Title of Invention:	Skin Care and Cosmetic Composition	
First Named Inventor/Applicant Name:	Zhiyun WU	
Customer Number:	50163	
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Payment was successfully received in RAM	\$730
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Deposit Account	
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### File Listing:

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1	Application Final.pdf	187804	yes	31	
	1	d26f249b1bb9b7e290d95120fbde139311b 309b4	yes		
	Multip	oart Description/PDF files in	.zip description		
	Document Description		Start	E	nd
	Specification		1	:	27
	Claims		28	:	30
	Abstract		31	:	31
Warnings:					
Information:					
2	Drawings-only black and white line	Drawings.pdf	741704	no	4
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### Skin Care and Cosmetic Composition

#### Field of the Invention

The present invention related to the cosmetic field, in particular it relates to a skin care composition and product with beneficial cosmetic effects and/or effects of relieving common undesirable skin conditions or symptoms.

#### **Background of the Invention**

Skin aging is part of the aging process of human body, which not only relates to the age itself, but also closely relates to sunlight exposure. The light induced skin aging ("light-aging") is mainly caused by sunlight irradiation, and as depletion of the ozone layer is aggravating, the light-aging of the skin becomes more and more serious, which affects not only the appearance, but may also cause underlying etiological problems relating to skin cancer.

Extracts of traditional herbal medical materials used in China ("TCM") generally comprises many active ingredients, such as saponins, flavonoids, and alkaloids, many of which ingredients have various skin-care functions. Beauty and skin-care products based on herbal ingredients have thousands of years of history in China. Particularly, nowadays, people prefer natural substances rather than chemically synthesized substances. Therefore, herbal medicine cosmetic products are getting more popular.

#### **Summary of the Invention**

An object of the present invention is to provide a skin care composition with beneficial cosmetic effects and/or effects of relieving common skin symptoms. The object is achieved by the following technical solution: selecting active ingredients for their effects of regulating immunity and for their effects in delaying skin aging process induced by sunlight and formulating a skin care composition comprising a powder part, an aqueous part and an emulsion part. The ingredients for each part and the rationale for their selection are summarized in the following.

The powder part comprises the following ingredients in portion by weight:

Oligopeptide-1	0~1.0 portion
Ginseng saponin	$0\sim5.0$ portions

and at least one of the two ingredients must be present.

The aqueous part comprises the following ingredients in portion by weight:

Glycyrrhiza glabra Root Extract	$0\sim3.0$ portions
Artemisia capillaris Flower Extract	0~3.0 portions
Radix Mori Albae Extract	0~2.0 portions
Zizyphus jujuba Fruit Extract	0~2.0 portions
Scutellaria baicalensis Root Extract	0~2.0 portions
hydrolyzed rice protein	0~5.0 portions
nicotinamide	0~3.0 portions

and at least one of the ingredients must be present.

The emulsion part comprises the following ingredients in portion by weight:

Bifida ferment lysate	$0.5\sim10.0$ portions
creatine	0~2.0 portions
carnosine	$0\sim2.0$ portions
Glucosyl Hesperidin	0~5.0 portions
Hexapeptide-3	0~5.0 portions
Centella asiatica Extract	0~3.0 portions
Coenzyme Q10 capsule	0~2.0 portions
Opuntia Ficus-indica Stem Extract	0~5.0 portions
Rhodiola rosea Extract	0~2.0 portions
Saussurea Involucrata Extract	0∼5.0 portions
Panax notoginseng Root Extract	0~5.0 portions
Angelica sinensis Extract capsule	0~5.0 portions

and, in addition to Bifida ferment lysate, one of the other ingredients must be present.

In the powder part, Oligopeptide-1 is an epidermal growth factor, which is a protein secreted by microorganism in a high density and highly purified form, and has a biological effect of promoting the growth and metabolism of skin epidermal cells. Ginseng saponin might be the total saponins extracted and processed from the root of Panax plant Panax ginseng C.A. Mey., or may be any monomer of Ginseng saponin or the mixture of various monomers of Ginseng saponin, such as the mixture of one or two of Ginseng saponin Rb1, Rb2, Rb3, Rc, Rd, Rg3 and Rh2.

In the aqueous formulation, hydrolyzed rice protein is the zymolyte obtained through the co-hydrolysis of rice protein by alkaline protease and complex protease, which comprises thymic penta peptide. It can prevent the UV-induced atrophy of langerhans cells, prevent the UV-induced change of skin structure and integrity, protect the skin structure and integrity thereof, and promote the synthesis of  $\beta$ 1-integrin, keratin and filaggrin, so that the immune activity of skin is enhanced. It is proved by the culture experiment of human keratinocytes that the hydrolyzed rice protein can improve cellular vitality by 34%.

Glycyrrhiza glabra Root Extract comprises Glabridin, an isoflavones component, whose chemical structure comprises the nucleus of isoflavone, with ring A and ring B as aromatic rings, and ring B comprising 2 phenolic hydroxyl groups, and an ethylene bond on C9, therefore has a rather strong anti-oxidation activity. Rhodiola rosea has an effect on immune regulation, and it prevents the UV-caused fibroblast apoptosis, and delays the light-aging of skin.

The emulsion part of the present invention may be lotion, gel and cream formulations, where, Bifida ferment lysate is the lysate solution of Bifidobacterium obtained by means of bio-technique, which comprises metabolic product, cytoplasmic component, cell wall component and polysaccharide complex. It is found in studies that UV irradiation will reduce the secretion of IL-12, affect the proliferation of NK cells and T cells, increase the expression of IL-10 and cause immunesuppression, and thereby render DNA damage. It is proved by experiments that Bifida ferment lysate can fight against the immune suppression caused by

the UV-induced increase of IL-10 expression, stimulate the natural repair mechanism (matrix) of skin DNA after the UV irradiation, and postpone the UV-caused aging of skin.

Creatine can accumulate the stored energy of cells, thereby increasing the available ATP, and promote DNA repair and the DNA synthesis of cells after UV irradiation injury, which reduces the sunburn of cells induced by UV obviously and postpones the aging process of skin.

Carnosine, also termed as dipeptide or  $\beta$ -alanyl-L-histidine, has the effects of removing active free radicals, against oxidation, against glycosylation reaction, against protein cross-linking, chelating heavy metal ions, and postponing the shortening process of telomere, thus postpones the aging of skin.

Hesperidin is a kind of natural biological flavonoids extracted from citrus peel and termed as "Vitamin P", it has the effects of strengthening capillary resistance, reducing permeability and anti-inflammation. Glucosyl Hesperidin is a derivative of water-soluble Hesperidin, whose water solubility is increased by enzymatic combination techniques. It is proved by experiments that Glucosyl Hesperidin has the effects of enhancing blood circulation, improving skin tone and brightness.

Hexapeptide-3 is homologous substance having a 6-amino acid sequence in the Type-III unit of fibronectin protein molecule. It is proved by experiments that Hexapeptide-3 can improve cellular adhesion and the expression of  $\beta$ 1-integrin, facilitate a more even cellular extension on collagen, thus contribute to the prevention of light aging of skin.

Centella asiatica Extract comprises the active components, being total triterpene of Centella asiatica and madecassoside, which have the effects of promoting the proliferation of human fibroblasts in the skin, promoting the synthesis of collagen and inhibiting inflammation. Centella asiatica Extract can promote the regeneration of aged skin and promote the healing of wound.

Coenzyme Q10 is an anti-oxidant, which is the activator of cellular respiration and metabolism, it can bring energy and vitality to the body and skin, and can scavenge the active oxygen from the body. When the skin is affected externally or internally, a large number of free radicals are produced during the inflammatory procedure, whereas Coenzyme Q10 can remove free radicals and postpone skin aging. It is proved by experiments that, by improving the activity of Cathepsin D, stratum corneum chymotrypsin (SCCE) and stratum corneum trypsin-like enzymes (SCTE), Opuntia Ficus-indica Stem Extract can promote the shedding of keratinocytes, accelerate cell renewal, improve the transparency and gloss of skin, and reduce fine lines and wrinkles.

Saussurea Involucrata Extract comprises the active components of polysaccharide and flavone, it has the effects of promoting and regulating immune function, as well as fighting against free radicals. Together with other polysaccharide components and fibrous protein in the skin, it can form extracellular gel matrix comprising a large amount of moisture to moisturize the skin, delay the aging problems of skin, such as drying and loss of elasticity, etc.

The active component of Panax notoginseng Root is Notoginseng total saponin, it has an obvious effect on improving the skin SOD activity, reducing MDA content and improving the hydroxyproline in the skin.

Angelica sinensis is the dried Root of Umbrelliferae plant Angelica sinensis, it is able to eliminate free radicals, complex ferrous ion and inhibit tyrosinase, and has the effects of preventing skin aging and promoting skin whitening. Angelica sinensis Root mainly comprises volatile oil and non-volatile oil components, with heavy odor. Through encapsulation, the odor is reduced; meanwhile, the active ingredient are made easily permeable.

The Coenzyme Q10 capsule refers to the Coenzyme Q10 encapsulated by means of conventional liposome encapsulation techniques.

Preferably, the weight portions of the components of the powder part are:

Oligopeptide-1

 $0.01 \sim 0.5$  portion

Ginseng saponin

 $0.1\sim2.0$  portions.

More prefeably, the weight portions of the components of the powder part are:

Oligopeptide-1 0.01~0.05 portion

Ginseng saponin 0.10~0.50 portion.

Preferably, the weight portions of the components of the aqueous part are:

Glycyrrhiza glabra Root Extract 0.10~1.50 portions

Artemisia capillaris Flower Extract 0.10~1.50 portions

Radix Mori Albae Extract 0.10~1.00 portion

Zizyphus jujuba Fruit Extract 0.10~1.00 portion

Scutellaria baicalensis Root Extract 0.10~1.00 portion

hydrolyzed rice protein 0.10~2.00 portions

nicotinamide 0.10~1.50 portions.

More preferably, the weight portions of the components of the aqueous part are:

Glycyrrhiza glabra Root Extract 0.5~1.0 portion

Artemisia capillaris Flower Extract 0.5~1.0 portion

Radix Mori Albae Extract 0.5~1.0 portion

Zizyphus jujuba Fruit Extract 0.5~1.0 portion

Scutellaria baicalensis Root Extract 0.5~1.0 portion

hydrolyzed rice protein 0.5~1.0 portion

nicotinamide 0.50~1.0 portion.

Preferably, the weight portions of the components of said emulsion part are:

Rhodiola rosea Extract 0.1~2.0 portions

Bifida ferment lysate 0.5~5.0 portions

creatine  $0.5\sim1.5$  portions

carnosine  $0.1 \sim 1.0$  portion

Glucosyl Hesperidin 0.1~2.0 portion

Hexapeptide-3 0.5~1.5 portions

Centella asiatica Extract 0.1~1.0 portion

Coenzyme Q10 capsule 0.1~1.0 portion

Opuntia Ficus-indica Stem Extract 0.1~3.0 portions.

Besides the aforesaid major ingredients, the powder part, aqueous part and emulsion part according to the present invention may also include the common matrix for the external dosage form of cosmetics. They include excipients such as mannitol, disodium hydrogen phosphate and sodium dihydrogen phosphate. The common matrix for aqueous formulation comprises excipients such as sodium hyaluronate, oxhide glue, butanediol, disodium EDTA, dipotassium glycyrrhetate, panthenol and preservatives. The common matrix for emulsion formulation comprises excipients such as bisabolol, cetylhydroxyproline palmitamide, brassica campestris sterol, jojoba seed oil, phytosterol isostearate, Vitamin E acetate, silicone oil, Cetyl stearyl alcohol, Sucrose polystearate, Beheneth-25, Dipalmitoyl hydroxyproline, Acrylates/C10-30 alkyl acrylate crosspolymer, butanediol, glycerin, Sodium stearoyl glutamate, disodium EDTA, xanthan gum, deionized water, acrylamide/ammonia acrylate Poly(isobutylene) Polysorbate-20, 1-methylhydantoin-2-imide, copolymer(and) (and) preservatives.

The present invention has the following beneficiary effects:

- (1). The combined administration of the three parts of the product has an effect of reducing wrinkles, reducing skin roughness, fading uneven skin tone, improving skin elasticity, firming skin, making the skin more delicate and looking younger.
- (2) The product can produce its effects quickly, and only requires intermittent administration, e.g., an administration of 28 days (one period) in 3 months, or it is administered when the skin condition is unsatisfying.
- (3) The separation of the powder part, aqueous part and emulsion part of the present invention ensures the active components intact during the storage process, thereby ensuring their effects and function when used.

**Brief Description of the Drawings** 

FIG. 1 shows the overall effect of the skin care product of two particular embodiments on

volunteers participated in a trial in comparison with a comparative products available in the

prior art.

FIG. 2 shows the effects on specific skin conditions in the same trial as FIG. 2 of two

particular embodiments and a comparative products available in the prior art.

The various features of novelty which characterize the invention are pointed out with

particularity in the claims annexed to and forming a part of this disclosure. For a better

understanding of the invention, its operating advantages, and specific objects attained by its

use, reference should be made to the drawings and the following description in which there

are illustrated and described preferred embodiments of the invention.

**Detailed Description of the Invention** 

The present invention will be further described as follows in combination with specific

embodiments, but the embodiments shall not restrict the present invention in any forms. The

material and ingredients used in the embodiments are all commercially available. Unless

specifically addressed, the portion number of each ingredient in the following description is

weight portion.

**Embodiment 1** 

1. Preparation of the powder part:

The ingredients are:

Oligopeptide-1

0.1 portion;

Ginseng saponin

1.0 portion;

mannitol

10.0 portions;

disodium hydrogen phosphate

0.5 portion;

sodium dihydrogen phosphate

0.2 portion.

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The preparation process is as follows:

- (1) Dissolve all the components in an appropriate amount of deionized water, and filter the resulting solution through a 0.2 µm membrane.
- (2) Fill it into small glass vials, and place the vials into a vacuum freeze drier.
- (3) Vacuum dry for 45 hours so that it is in the form of powder.

#### 2. Preparation of aqueous part:

The ingredients are:

Glycyrrhiza glabra Root Extract	2.0 portions;
Artemisia capillaris Flower Extract	2.0 portions;
Radix Mori Albae Extract	1.0 portion;
Zizyphus jujuba Fruit Extract	1.0 portion;
Scutellaria baicalensis Root Extract	1.0 portion;
hydrolyzed rice protein	3.0 portions;
nicotinamide	2.0 portions;
sodium hyaluronate	0.5 portion;
butanediol	10.0 portions;
disodium EDTA	0.5 portion;
dipotassium glycyrrhetate	1.0 portion;
panthenol	5.0 portions;
preservatives	0.1 portion;
deionized water	in an appropriate amount.

The molecular weight of the sodium hyaluronate is 300,000.

The preparation process is as follows:

- (1) Add sodium hyaluronate to the deionized water, and stir to dissolve.
- (2) Add all other components and stir to dissolve.
- (3) Adjust the resulting solution to pH  $5.5\sim7.0$ , and passing quality examination fill it into small vials.

#### 3. Preparation of a lotion part

The ingredients are:

#### Phase A:

jojoba seed oil 8 portions; phytosterol isostearate 5 portions; Vitamin E acetate 3 portions; Rhodiola rosea Extract 0.1 portion; Palmitoyl hydrolyzed wheat protein 3 portions; Cetyl stearyl alcohol 2 portions; Sucrose polystearate 2 portions; hydrogenated Poly(isobutylene) 5 portions; Dipalmitoyl hydroxyproline 2 portions;

#### Phase B:

Acrylates/C10-30 alkyl acrylate crosspolymer 0.5 portion; butanediol 10 portions; glycerin 5 portions; Sodium stearoyl glutamate 1 portion; disodium EDTA 0.1 portion; xanthan gum 0.5 portion; deionized water margin;

#### Phase C:

acrylamide/ammonia acrylate copolymer(and) Poly(isobutylene) 2.0 portions; (and) Polysorbate-20

#### Phase D:

aminomethyl propanol 0.2 portion
1-methylhydantoin-2-imide 1.0 portion;
creatine 0.5 portion;
carnosine 0.5 portion;

Glucosyl Hesperidin 1.0 portion; dipotassium glycyrrhetate 2.0 portions; Bifida ferment lysate 5.0 portions; Hexapeptide-3 0.5 portion Centella asiatica Extract 1.0 portion; Coenzyme Q10 capsule 1.0 portion; Opuntia Ficus-indica Stem Extract 2.0 portions; Saussurea Involucrata Extract 0.5 portion; Panax notoginseng Root Extract 0.5 portion; 0.5 portion; Angelica sinensis Extract capsule preservatives 0.1 portion.

The preparation process is as follows:

- (1) All components in Phase A are mixed evenly, heated to 80°C, stirred to dissolve to obtain Phase A solution;
- (2) Acrylates/C10-30 alkyl acrylate crosspolymer is added to deionized water, stirred to dissolve, then other raw materials in Phase B are added, heated to 80°C, stirred to dissolve to obtain Phase B solution;
- (3) Phase A solution is added to Phase B solution, and then all components in Phase C are added, stirred to homogenize to be emulsified;
- (4) The mixture is stirred and cooled to  $45^{\circ}$ C, aminomethyl propanol is added, stirred to dissolve, and then other raw materials in Phase D are added, stirred and cooled to  $30^{\circ}35^{\circ}$ C;
- (5) A lotion is obtained, whose pH value is adjusted to  $5.5\sim6.5$ , upon passing quality examination, filled to the vessels.

#### **Embodiment 2**

1. Preparation of powder part

The ingredients are:

Oligopeptide-1 0.5 portion;

Ginseng saponin 2.0 portions;

mannitol 15 portions;

disodium hydrogen phosphate 0.5 portion; sodium dihydrogen phosphate 0.2g portion.

The preparation process is as follows:

(1) All components are dissolved in an appropriate amount of deionized water, then the obtained solution is filtered through a 0.2 µm membrane.

(2) The solution is loaded on to a plate which is put into a vacuum freeze drier.

(3) The solution is being vacuum dried for 45 hours into a form of powder, which is then distributed into small vials.

#### 2. Preparation of aqueous part

The ingredients are:

Glycyrrhiza glabra Root Extract	0.5 portion
Artemisia capillaris Flower Extract	0.5 portion
Radix Mori Albae Extract	0.5 portion
Zizyphus jujuba Fruit Extract	0.5 portion
Scutellaria baicalensis Root Extract	0.5 portion
hydrolyzed rice protein	1.0 portion
sodium hyaluronate	0.5 portion
butanediol	8.0 portions
disodium EDTA	0.1 portion
dipotassium glycyrrhetate	0.5 portion
panthenol	0.5 portion
nicotinamide	0.3 portion
xanthan gum	1.2 portions
preservatives	0.1 portion
deionized water	in an appropriate amount.

The molecular weight of the sodium hyaluronate is 300,000.

The preparation process is as follows:

(1) Xanthan gum is added to the deionized water, stirred to dissolve.

- (2) All the other components are added to the solution of (1), stirred to dissolve.
- (3) An aqueous formulation is obtained, which is adjusted to PH to  $5.5\sim6.5$ , and after passing the quality examination, filled into vessels.

#### 3. Preparation of a cream

The ingredients are:

#### Phase A:

jojoba seed oil	5 portions
phytosterol isostearate	2 portions
Vitamin E acetate	5 portions
Rhodiola rosea Extract	0.5 portion
Cetyl stearyl alcohol	3 portions
Sucrose polystearate	5 portions
Dipalmitoyl hydroxyproline	2 portions

#### Phase B:

Acrylates/C10-30 alkyl acrylate crosspolymer	1.5 portions
butanediol	15 portions
glycerin	15 portions
Sodium stearoyl glutamate	0.5 portion
disodium EDTA	0.1 portion
xanthan gum	0.5 portion
deionized water	margin

#### Phase C:

acrylamide/ammonia acrylate copolymer(and) Poly(isobutylene) 2.0 portions; (and) Polysorbate-20

#### Phase D:

aminomethyl propanol 0.15 portion 1-methylhydantoin-2-imide 1.0 portion

<ul><li>0.5 portion</li><li>0.0 portion</li><li>2.0 portions</li><li>5.0 portions</li></ul>
2.0 portions
•
0.0 portions
1
.0 portion
.0 portion
.0 portion
2.0 portions
0.5 portion
0.5 portion
0.5 portion
0.1 portion
)

The preparation is as follows:

- (1) The components in Phase A are heated to  $80 \sim 90$  °C, stirred to dissolve.
- (2) Acrylates/C10-30 alkyl acrylate crosspolymer is added to deionized water, stirred to dissolve, then other raw materials in Phase B are added, heated to  $80\sim90^{\circ}$ C, stirred to dissolve.
- (3) Phase A is added to Phase B, stirred to homogenize to be emulsified, and meanwhile, the raw materials in Phase C are added;
- (4) The above mixture is stirred and cooled to  $45^{\circ}$ C, and aminomethyl propanol is added, stirred to dissolve, and then other raw materials in Phase D are added, stirred and cooled to  $30\sim35^{\circ}$ C;
- (5) A cream is obtained, whose pH value is adjusted to  $5.5 \sim 7.0$ ;
- (6) Passing quality examination, the cream is then filled into vessels.

#### Example 3

1. Preparation of powder part

The ingredients are:

Oligopeptide-1

0.5 portion

Ginseng saponin	2.0 portions
mannitol	margin;

The preparation process is as follows:

- (1) All components are mixed evenly.
- (2) The mixture are divided into small portions and filled into sachets.

#### 2. Preparation of aqueous part

The ingredients are:

Glycyrrhiza glabra Root Extract	2.0 portions
Artemisia capillaris Flower Extract	2.0 portions
Radix Mori Albae Extract	1.0 portion
Zizyphus jujuba Fruit Extract	1.0 portion
Scutellaria baicalensis Root Extract	1.0 portion
hydrolyzed rice protein	3.0 portions
glycerin	10.0 portions
disodium EDTA	0.1 portion
dipotassium glycyrrhetate	2.0 portions
panthenol	0.5 portion
nicotinamide	1.0 portion
Acrylates/C10-30 alkyl acrylate crosspolymer	1.0 portion
aminomethyl propanol	0.15 portion
preservatives	0.10 portion
deionized water	in an appropriate amount.

The molecular weight of the sodium hyaluronate is 300,000.

The preparation process is as follows:

- (1) Acrylates/C10-30 alkyl acrylate crosspolymer is added to the deionized water, stirred to disperse, aminomethyl propanol is added, stirred to dissolve completely.
- (2) All the other components are added to (1), stirred to dissolve.
- (3) Adjusted pH to  $5.5 \sim 7.0$ .

(4) Upon passing quality control, fill it into vessels.

#### 3. Preparation of a gel

The ingredient are:

#### Phase A:

Cetyl stearyl alcohol 2 portions
Sucrose polystearate 2 portions
Dipalmitoyl hydroxyproline 2 portions
Rhodiola rosea Extract 0.1 portion

#### Phase B:

Acrylates/C10-30 alkyl acrylate crosspolymer 3 portions
butanediol 10 portions
glycerin 5 portions
disodium EDTA 0.1 portion
xanthan gum 0.5 portion
deionized water margin

#### Phase C:

acrylamide/ammonia acrylate copolymer(and) Poly(isobutylene) 2.0 portions; (and) Polysorbate-20

#### Phase D:

aminomethyl propanol	0.3 portion
creatine	0.5 portion
carnosine	0.5 portion
Glucosyl Hesperidin	1.0 portion
dipotassium glycyrrhetate	2.0 portions
Bifida ferment lysate	5.0 portions
Hexapeptide-3	0.5 portion
Centella asiatica Extract	1.0 portion

Coenzyme Q10 capsule	1.0 portion
Opuntia Ficus-indica Stem Extract	2.0 portions
Saussurea Involucrata Extract	0.5 portion
Panax notoginseng Root Extract	0.5 portion
Angelica sinensis Extract capsule	0.5 portion
preservatives	0.1 portion.

The preparation process is as follows:

- (1) The raw materials in Phase A are heated to  $80 \sim 90^{\circ}$ C, stirred to dissolve;
- (2) Acrylates/C10-30 alkyl acrylate crosspolymer is added to deionized water, stirred to dissolve, then other raw materials in Phase B are added, heated to  $80\sim90^{\circ}$ C, stirred to dissolve.
- (3) Phase A is added to Phase B, stirred to homogenize to be emulsified, and meanwhile, the raw materials in Phase C are added;
- (4) The above mixture is stirred and cooled to  $45^{\circ}$ C, aminomethyl propanol is added, stirred to dissolve, and then other raw materials in Phase D are added, stirred and cooled to  $30\sim35^{\circ}$ C;
- (5) A gel is obtained, whose pH value is adjusted to  $5.5 \sim 7.0$ ;
- (6) Upon passing examination, the gel is then filled into vessels.

#### Example 4

1. Preparation of powder part

The ingredients are:

Oligopeptide-1	0.001 portion;
Ginseng saponin	0.1 portion;
mannitol	5.0 portions;
disodium hydrogen phosphate	0.5 portion;
sodium dihydrogen phosphate	0.2 portion.

The preparation process is as follows:

(1) All components are dissolved in an appropriate amount of deionized water, then the obtained solution is sterilized in an autoclave at  $121^{\circ}$ C, 0.1Mpa for 30mins.

- (2) It is distributed into small glass vials, and then place them into a vacuum freeze drier.
- (3) It is then vacuum dried for 45 hours into the form of powder, and then vials are capped.

#### 2. Preparation of aqueous part

The ingredients are:

Glycyrrhiza glabra Root Extract	0.5 portion;
Artemisia capillaris Flower Extract	0.5 portion;
Radix Mori Albae Extract	0.3 portion;
Zizyphus jujuba Fruit Extract	0.3 portion;
Scutellaria baicalensis Root Extract	0.3 portion;
hydrolyzed rice protein	1.0 portion;
nicotinamide	0.5 portion;
sodium hyaluronate	0.1 portion;
butanediol	8.0 portions;
disodium EDTA	0.1 portion;
dipotassium glycyrrhetate	0.1 portion;
panthenol	0.5 portion;
preservatives	0.01 portion;
deionized water	in an appropriate amount.

The molecular weight of the sodium hyaluronate is 300,000.

The preparation process is as follows:

- (1) Sodium hyaluronate is added to the deionized water, stirred to dissolve.
- (2) Other components are added, stirred to dissolve.
- (3) An aqueous part is obtained, whose pH value is adjusted to  $5.5 \sim 7.0$ , and upon passing quality control, filled into vials.

#### 3. Preparation of a lotion

The ingredients are:

Phase A:

phytosterol isostearate 0.5 portion;

Vitamin E acetate	1 portion;
Cetyl stearyl alcohol	1 portion;
Sucrose polystearate	2 portions;
Dipalmitoyl hydroxyproline	1 portion;
Rhodiola rosea Extract	0.1 portion

#### Phase B:

Acrylates/C10-30 alkyl acrylate crosspolymer	0.1 portion;
butanediol	5 portions;
glycerin	2 portions;
Sodium stearoyl glutamate	0.5 portion;
disodium EDTA	0.1 portion;
xanthan gum	0. 1 portion;
deionized water	margin;

#### Phase C:

acrylamide/ammonia acrylate copolymer(and) Poly(isobutylene) 1.0 portion; (and) Polysorbate-20

#### Phase D:

aminomethyl propanol	0.2 portion
1-methylhydantoin-2-imide	1.0 portion;
creatine	0.2 portion;
carnosine	0.2 portion;
Glucosyl Hesperidin	0.5 portion;
dipotassium glycyrrhetate	0.1 portion;
Bifida ferment lysate	1.0 portion;
Centella asiatica Extract	0.5 portion;
Coenzyme Q10 capsule	0.5 portion;
Opuntia Ficus-indica Stem Extract	1.0 portion;
Hexapeptide-3	1.0 portion;

Saussurea Involucrata Extract 0.1 portion;

Panax notoginseng Root Extract 0.1 portion;

Angelica sinensis Extract capsule 0.1 portion;

preservatives 0.05 portion.

The preparation process is as follows:

(1) All components in Phase A are mixed evenly, heated to 80°C, stirred to dissolve to obtain

Phase A solution.

(2) Acrylates/C10-30 alkyl acrylate crosspolymer is added to deionized water, stirred to

dissolve, then other raw materials in Phase B are added, heated to 80°C, stirred to dissolve to

obtain Phase B solution.

(3) Phase A solution is added to Phase B solution, and then all components in Phase C are

added, stirred to homogenize to be emulsified.

(4) The above mixture is stirred and cooled to 45°C, aminomethyl propanol is added, stirred to

dissolve, and then other raw materials in Phase D are added, stirred and cooled to 30~35°C;

(5) A lotion is obtained, whose pH value is adjusted to  $5.5 \sim 6.5$ , and upon passing quality

control, filled into the vessels.

Example 5

1. Preparation of powder part

The ingredients are:

Oligopeptide-1 1.0 portion;

Ginseng saponin 5.0 portions;

mannitol 20.0 portions.

The preparation process is as follows:

(1) All components are dissolved in an appropriate amount of deionized water, then the

obtained solution is sterilized in an autoclave at 121°C, 0.1Mpa for 30mins.

(2) Distribute it into glass vials, and then put into the vacuum freeze drier.

(3) Vacuum freeze dried for 45 hours, to form a powder, then cap the vials.

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#### 2. Preparation of aqueous part

The ingredients are:

Glycyrrhiza glabra Root Extract 3.0 portions; Artemisia capillaris Flower Extract 3.0 portions; Radix Mori Albae Extract 2.0 portions; Zizyphus jujuba Fruit Extract 2.0 portions; Scutellaria baicalensis Root Extract 2.0 portions; hydrolyzed rice protein 5.0 portions; nicotinamide 3.0 portions; sodium hyaluronate 2.0 portions; butanediol 20.0 portions; disodium EDTA 0.1 portion; dipotassium glycyrrhetate 2.0 portions; panthenol 5.0 portions; preservatives 0.01 portion;

deionized water in an appropriate amount.

The molecular weight of the sodium hyaluronate is 300,000.

The preparation process is as follows:

- (1) Sodium hyaluronate is added to the deionized water, stirred to dissolve.
- (2) Other components are added, stirred to dissolve;
- (3) An aqueous part is obtained, whose pH value is adjusted to  $5.5 \sim 7.0$ , upon passing quality examination, filled into vessels.

#### 3. Preparation of a lotion

The ingredients are:

Phase A:

phytosterol isostearate 0.5 portion;

Vitamin E acetate 3 portions;

Rhodiola rosea Extract 2.0 portions

Cetyl stearyl alcohol 1 portion;

Sucrose polystearate	2 portions;
Dipalmitoyl hydroxyproline	1 portion;

#### Phase B:

Acrylates/C10-30 alkyl acrylate crosspolymer 0.1 portion;
butanediol 5 portions;
glycerin 2 portions;
Sodium stearoyl glutamate 0.5 portion;
disodium EDTA 0.1 portion;
xanthan gum 0.1 portion;
deionized water margin;

#### Phase C:

acrylamide/ammonia acrylate copolymer(and) Poly(isobutylene) 1.0 portion; (and) Polysorbate-20

0.2 portion;

#### Phase D:

aminomethyl propanol

1-methylhydantoin-2-imide 1.0 portion; creatine 2.0 portions; carnosine 2.0 portions; Glucosyl Hesperidin 5.0 portions; 0.1 portion; dipotassium glycyrrhetate Bifida ferment lysate 10.0 portions; Hexapeptide-3 5.0 portions Centella asiatica Extract 3.0 portions; Coenzyme Q10 capsule 0.5 portion; Opuntia Ficus-indica Stem Extract 1.0 portion; Saussurea Involucrata Extract 0.1 portion; Panax notoginseng Root Extract 0.1 portion; Angelica sinensis Extract capsule 0.1 portion;

preservatives 0.05 portion.

The preparation process as follows:

- (1) All components in Phase A are mixed evenly, heated to 80°C, stirred to dissolve to obtain Phase A solution.
- (2) Acrylates/C10-30 alkyl acrylate crosspolymer is added to deionized water, stirred to dissolve, then other raw materials in Phase B are added, heated to 80℃, stirred to dissolve to obtain Phase B solution.
- (3) Phase A solution is added to Phase B solution, and all components in Phase C are added, stirred to homogenize to be emulsified.
- (4) The above mixture is stirred and cooled to  $45^{\circ}$ C, aminomethyl propanol is added, stirred to dissolve, and then other components in Phase D are added, stirred and cooled to  $30^{\circ}35^{\circ}$ C;
- (5) A lotion is obtained, whose pH value is adjusted to  $5.5\sim6.5$ , upon passing quality examination, the lotion filled in vessels.

#### **Comparative Product**

The comparative product used in the present invention is a skin care product available in the prior art, which has a composition as follows (portions by weight):

PPG-3 Benzyl ether myristate	$2\sim$ 5 portions
Polyglycerin-3 methylglucose distearate	$2\sim$ 5 portions
Hydrogenated Poly(isobutylene)	$2\sim$ 5 portions
Palmitoyl Pentapeptide -3	$2\sim$ 5 portions
Polyglycerin-3 methylglucose distearate	$1\sim3$ portions
Oat Extract	$1\sim$ 3 portions
hexadecanol - octadecanol	$1\sim3$ portions
Glycerin monosterate	$1\sim3$ portions
bisabolol	$0.1 \sim 0.5$ portion
Butcher's Broom Root Extract	$0.1 \sim 0.3$ portion
Centella asiatica Extract	$0.1 \sim 0.5$ portion
panthenol	$0.1 \sim 0.5$ portion
Calendula officinalis L. Extract	$0.1 \sim 0.5$ portion

Hydrolyzed yeast protein	$0.1 \sim 0.5$ portion
Aesculus hippocastanum Extract	$0.1 \sim 0.5$ portion
Monoammonium glycyrrhizinate	$0.1{\sim}0.5$ portion
BOSWELLIA SERRATA Extract	$0.1 \sim 0.3$ portion
carnosine	$0.1 \sim 0.5$ portion
xanthan gum	$0.1 \sim 0.5$ portion
Radix Ginseng Extract	$0.1 \sim 0.5$ portion
Ganoderma lucidum Extract	$0.1 \sim 0.5$ portion
Boswellia carteri Extract	$0.1 \sim 0.5$ portion
Oligopeptide-1	$0.1{\sim}0.5$ portion
preservatives	$0.1 \sim 0.2$ portion

For all the embodiments described above, the three parts of the composition are separately prepared and stored in separate containers until being used by users. In use, first mix the powder part in the aqueous part in a weight ratio between 1:50 and 1:500, preferably 1:100, and apply the mixture to the skin under gentle massage. Then, the emulsion part (in an amount roughly equal to the mixture of the power and aqueous part) is applied to the skin. The product is to be applied twice a day in the morning and the evening, respectively.

#### **Testing Conducted and Effects Observed**

In order to confirm the intended effects of the present invention, testing was conducted on female volunteers who had various undesirable skin conditions or symptoms and voluntarily enrolled for the trial. For each particular skin conditions (see below), three groups of volunteers, with 50 or more in each group, were assigned to use the products of embodiment 1, embodiment 2 and comparative prior art (described above), respectively. For each of the following skin conditions, the products were applied to the facial skin twice a day for 4 weeks (about 3% volunteers terminated the use due to side effects during the 4-week trial period). The application of the product on facial skin was conducted in a manner that a person normally uses a cosmetic product. About one gram of the product was used each time with a ratio of 1:100:100 among the power part, aqueous part and emulsion part (first applying the mixture of power and aqueous parts and then applying the emulsion part with a gentle

massage in between). At the end of each week during the trial, each volunteer was asked about what was her degree of satisfaction with the product on a scale from 1 to 5 and how effective she found the product on relieving a particular skin condition on a scale from 1 to 3 (1 means no effect, 2 means some effect, and 3 means significant effect). The following skin conditions/symptoms were tried:

- 1. Facial wrinkles/fine lines. The result was shown in FIG. 2(a).
- 2. Rough skin. The result was shown in FIG. 2(b).
- 3. Dry skin/dehydration. The result was shown in FIG. 2(c).
- 4. Flabby skin/lack of elasticity. The result was shown in FIG. 2(d).
- 5. Dull skin tone/lack of gloss. The result was shown in FIG. 2(e).
- 6. Large skin pore size. The result was shown in FIG. 2(f).
- 7. Variated skill colors/lack of color uniformity. The result was shown in FIG. 2(g).
- 8. Oliy skin. The result was shown in FIG. 2(h).
- 9. Skin with colored spots. The result was shown in FIG. 2(i).
- 10. Pigment sediment. The result was shown in FIG. 2(j).
- 11. Scar left after acne. The result was shown in FIG. 2(k).

Other skin conditions, such as, reddish-prone skin, couperose-prone skin, scurf-prone skin and allergic sensitive skin, were also tried but the data are not shown here as there were not enough volunteers enrolled for the trial.

FIG. 1 is the summary of the overall satisfactory rate of each product by the participating volunteers (1, 2 and c refers to products of embodiment 1 embodiment 2 and the comparative prior art product, respectively). As can be seen from FIG. 1, comparing with the existing product available in the art (c), both embodiments (1 and 2) of the present invention demonstrated a superior result. At the end of the 4 week trial, both received higher satisfactory rates, 3.81 and 3.58, respectively, comparing to 3.38 for the prior art product. More significantly, the longer the present invention product was used, the result was better. By comparison, the prior art product peaked at week 3 and continued use had a declined satisfaction. The data suggest that not only the products of the present invention have quicker

and better effects from onset but also are better suited for long-term use.

FIG. 2 shows the effects on specific skin conditions/symptoms tried. For example, FIG. 2(a) relates to the condition of facial wrinkles and appearance of fine lines. The left-side curves show that volunteers in the embodiment 1 group  $(\spadesuit)$  rated the effectiveness at 1.29 (average) after the first week, 1.69 after the second week, 1.79 after the week and 1.90 after the fourth week. The corresponding data for the embodiment 1 group (■) are 1.31., 1.49, 1.64 and 180 and for the prior art group ( $\triangle$ ), the data are 1.23, 1.37, 1.58 and 1.67. For effectiveness rate, 1 means no effect, 2 means some effect, and 3 means significant effects. Understandably, for a cosmetic product, the effectiveness rarely reaches 3. The right-side table shows that Embodiment 1 has an onset time of 13.3 days, which refers to the average time when the volunteers observed at least some effects. The onset time is 13.5 days for Embodiment 2 and 15.3 days for the comparative product. In the Embodiment 1 group, 29% volunteers observed at least some effect (i.e., giving an effectiveness rate of either 2 or 3) at week 1, 62% at week 2, 69% at week 3 and 76% at week 4. The corresponding percentages for Embodiment 2 are 31%, 49%, 58% and 67%. For comparison, the data for the prior art product are 21%, 35%, 53% and 67%. Similar treads can be found in FIG. 2(b) - (k), and it shows that for all the skin conditions/symptoms tried, the products of the present invention had consistently achieved better results than the prior art comparative product in terms of the onset time, the percentage and the degree of effectiveness.

Although not wishing to be bound to any particular theory, the inventors believe that the product of the present invention may achieve its beneficial effects on skin conditions by regulating the immunity and delaying the aging process of skin induced by the sun light. This mechanism was at least part of the consideration in selecting the various ingredients, many of which are derived from natural sources and used in the traditional medicine showing effects in regulating immunity. This may serve as a guideline in modifying the embodiments disclosed herewith and such modifications may achieve similar effects without departing from the spirit of the present invention.

While there have been described and pointed out fundamental novel features of the invention as applied to a preferred embodiment thereof, it will be understood that various omissions and substitutions and changes, in the form and details of the embodiments

illustrated, may be made by those skilled in the art without departing from the spirit of the invention. The invention is not limited by the embodiments described above which are presented as examples only but can be modified in various ways within the scope of protection defined by the appended patent claims

#### What is claimed is:

1. An skin care composition, comprising three part being a powder part, an aqueous part and an emulsion part, each part in a separate containers, wherein:

said powder part comprises the following ingredients in specified portions by weight:

Oligopeptide-1 0~1.0 portion

Ginseng saponin  $0\sim5.0$  portions

provided that at least one of the ingredients must be present;

said aqueous part comprises the following ingredients in specified portions by weight:

Glycyrrhiza glabra Root Extract 0~3.0 portions

Artemisia capillaris Flower Extract 0~3.0 portions

Radix Mori Albae Extract 0~2.0 portions

Zizyphus jujuba Fruit Extract 0~2.0 portions

Scutellaria baicalensis Root Extract 0~2.0 portions

hydrolyzed rice protein  $0\sim5.0$  portions

nicotinamide  $0\sim3.0$  portions

provided that at least one of the ingredients must be present; and

said emulsion part comprises the following ingredients in specified portions by weight:

Bifida ferment lysate 0.5~10.0 portions

creatine  $0\sim2.0$  portions

carnosine 0~2.0 portions

Glucosyl Hesperidin 0~5.0 portions

Hexapeptide-3 0~5.0 portions

Centella asiatica Extract 0~3.0 portions

Coenzyme Q10 capsule 0~2.0 portions

Opuntia Ficus-indica Stem Extract  $0\sim5.0$  portions

Rhodiola rosea Extract 0~2.0 portions

Saussurea Involucrata Extract 0~5.0 portions

Panax notoginseng Root Extract 0~5.0 portions

Angelica sinensis Extract capsule 0~5.0 portions

provided that Bifida ferment lysate and at least one other ingredient must be present.

2. The skin care composition of claim 1, wherein:

said powder part is:

Oligopeptide-1 0.01~0.5 portion

Ginseng saponin  $0.1\sim2.0$  portions.

3. The skin care composition of claim 2, wherein:

said powder part is:

Oligopeptide-1 0.01~0.05 portion

Ginseng saponin 0.10~0.50 portion.

4. The skin care composition of claim 1, wherein:

said aqueous part is:

Glycyrrhiza glabra Root Extract 0.10~1.50 portions

Artemisia capillaris Flower Extract 0.10~1.50 portions

Radix Mori Albae Extract 0.10~1.00 portion

Zizyphus jujuba Fruit Extract 0.10~1.00 portion

Scutellaria baicalensis Root Extract 0.10~1.00 portion

hydrolyzed rice protein 0.10~2.00 portions

nicotinamide  $0.10\sim1.50$  portions.

5. The skin care composition of claim 4, wherein:

said aqueous part is:

nicotinamide

:

Glycyrrhiza glabra Root Extract	0.5~1.0 portion
Artemisia capillaris Flower Extract	0.5~1.0 portion
Radix Mori Albae Extract	$0.5\sim1.0$ portion
Zizyphus jujuba Fruit Extract	0.5~1.0 portion
Scutellaria baicalensis Root Extract	0.5~1.0 portion
hydrolyzed rice protein	0.5~1.0 portion

 $0.50\sim1.0$  portion.

6. The skin care composition of claim 1, wherein:

said emulsion part is:

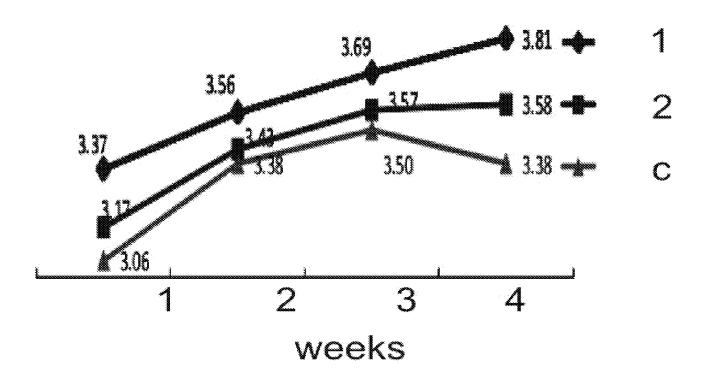
Rhodiola rosea Extract	$0.1\sim2.0$ portions
Bifida ferment lysate	0.5~5.0 portions
creatine	0.5~1.5 portions
carnosine	0.1~1.0 portion
Glucosyl Hesperidin	0.1~2.0 portion
Hexapeptide-3	0.5~1.5 portions
Centella asiatica Extract	0.1~1.0 portion
Coenzyme Q10 capsule	0.1~1.0 portion
Opuntia Ficus-indica Stem Extract	$0.1\sim3.0$ portions.

- 7. The skin care composition of claim 1, wherein said emulsion is of lotion, gel or cream.
- 8. The skin care composition of claim 1, wherein said powder part further comprises an external dosage form matrix with one or more ingredients selected from the group consisting of mannitol, disodium hydrogen phosphate and sodium dihydrogen phosphate.
- 9. The skin care composition of claim 1, wherein said aqueous part further comprises an external dosage form matrix with one or more ingredients selected from the group consisting sodium hyaluronate, oxhide glue, butanediol, disodium EDTA, dipotassium glycyrrhetate, panthenol and preservatives.
- 10. The skin care composition of claim 7, wherein said emulsion part further comprises an external dosage form matrix with one or more ingredients selected from the group consisting bisabolol, cetylhydroxyproline palmitamide, brassica campestris sterol, jojoba seed oil, phytosterol isostearate, Vitamin E acetate, silicone oil, cetyl stearyl alcohol, sucrose polystearate, Beheneth-25, dipalmitoyl hydroxyproline, Acrylates/C10-30 alkyl acrylate crosspolymer, butanediol, glycerin, sodium stearoyl glutamate, disodium EDTA, xanthan gum, deionized water, acrylamide/ammonia acrylate copolymer-Poly(isobutylene)-Polysorbate-20, 1-methylhydantoin-2-imide and preservatives.

#### ABSTRACT

A skin care composition and product having beneficial effects on skin conditions/symptoms, such as reducing wrinkles and skin roughness and improving skin elasticity, etc. The compostion has three separate parts: a powder part, an aqueous part and an emulsion part. The power part comprises Oligopeptide-1 and Ginseng saponin. The aqueous part comprises Glycyrrhiza glabra Root Extract, Artemisia capillaris Flower Extract, Radix Mori Albae Extract, Zizyphus jujuba Fruit Extract, Scutellaria baicalensis Root Extract, hydrolyzed rice protein and nicotinamide. The emulsion part comprises Bifida ferment lysate, creatine, carnosine, Glucosyl Hesperidin, Hexapeptide-3, Centella asiatica Extract, Coenzyme Q10 capsule, Opuntia Ficus-indica Stem Extract, Rhodiola rosea Extract, Saussurea Involucrata Extract, Panax notoginseng Root Extract and Angelica sinensis Extract capsule.

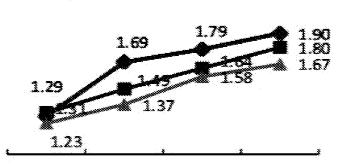
FIG. 1



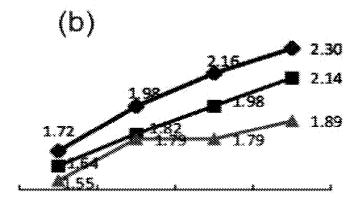
1

## FIG. 2

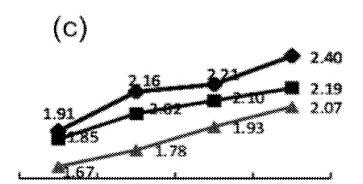




Product	ef	umu fectiv	onset time		
Ω 1	1 29	2 <b>62</b>	3 <b>69</b>	4 <b>76</b>	(days) <b>13.3</b>
2	31	49	58	67	13.5
C	21	35	53	60	15.3

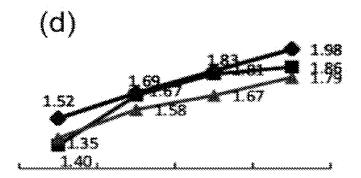


Product	eff	ectiv	lativ /ene veel 3	- 88	onset time (days)
1	72	88	95	100	10.1
2	61	77	82	91	11.0
C	53	74	74	82	10.8

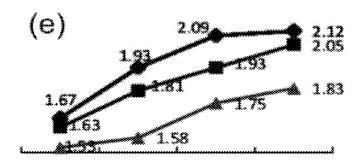


Product	c efi a	onset time (days)			
1	84	2 <b>95</b>	3 98	4 98	(uays) 8.2
2 C	77 61	<b>85</b>	<b>85</b> 78	<b>85</b> 80	7.7 9.6

# FIG. 2(continued)



Product	eft	fectiv	ilativ vene	ss	onset time
	1 50	fter 2	week 3 <b>74</b>	4 4	(days)
1 2	35	63	72	74	12.0
C	35	56	60	67	12.3



Product	0 10 5	onset time			
Ω 1	1 <b>63</b>	2 <b>79</b>	3 <b>91</b>	4 91	(days) <b>10.1</b>
2	60	79	81	81	9.0
C	45	50	65	70	12.0

(f)	1.85	2.03
1.53		■ 1.74 ▲ 1.66
14 636	<b>1.55</b>	s.

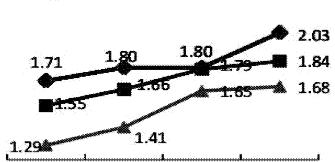
Product	e	cumi ffecti after	onset time		
Ct 1	1 <b>53</b>	2 <b>68</b>	3 <b>75</b>	4 <b>83</b>	(days) 11.5
2	36	57	64	66	11.3
C	45	55	58	61	9.7

(g) 1/2 - 1822 - 1822 - 1823	1.87 1.79
	1.61
1.32	
<u> </u>	

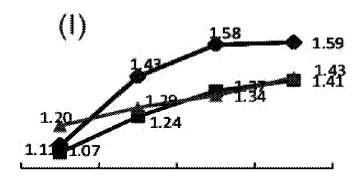
ņ	C	onset			
Product	3		vene veek	C.	time (days)
1	36	<b>59</b>	3 <b>74</b>	4 77	12.6
2	33	50	60	67	13.0
C	34	37	58	61	13.1

# FIG. 2(continued)





Product	efi	umu fectiv fter v	onset time		
Ω 1	1 <b>66</b>	2 <b>71</b>	3 <b>77</b>	4 <b>86</b>	(days) <b>10.5</b>
2	53	66	74	79	11.0
C	29	50	62	65	12.7



Product	ef	umu fecti\ fter \	onset time		
1	1 11	2 <b>43</b>	3 <b>57</b>	4 <b>59</b>	(days) <b>15.0</b>
2	7	22	27	32	15.6
С	20	29	31	37	12.9

(j)		1 5/	_^	1.72
	1.46	4		1.51
1.28	1.33			1.43
1.24	9	_1		J

TO A	1	umu	3	onset	
Product	8		/ene week		time
Q	1	2	3	4	(days)
1	28	46	56	64	13.7
2	9	35	40	42	14.0
c	24	36	43	45	12.2

(k)	1	63	1.68
1.33	1.45	1.53	1.59 1.53
1.21 1.22	1.37		·

Product	eff	umu ectiv fter v	onset time		
**	21	2 <b>45</b>	3 <b>58</b>	4 <b>63</b>	(days) <b>14.3</b>
2	22	44	56	56	12.8
C	23	40	50	50	12.1

Bei & Ocean Docket No: GDZY01-66USP

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of invention	SKIN CARE AND COSMETIC COMPOSITION
As the below name	ed inventor, I hereby declare that:
This declaration is directed to:	☐ The attached application, or ☐ United States application or PCT international application number filed on
The above-identifie	d application was made or authorized to be made by me.
I believe that I am t	he original inventor or an original joint inventor of a claimed invention in the application.
	ge that any willful false statement made in this declaration is punishable under 18 U.S.C. risonment of not more than five (5) years, or both.
LEGAL NAME O	FINVENTOR
Inventor: Zhiyun	WU Date(Optional) 1014. 4. 10
Signature:	翘

Bei & Ocean Docket No: GDZY01-66USP

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of invention	SKIN CARE AND COSMETIC COMPOSITION
As the below name	ed inventor, I hereby declare that:
This declaration is directed to:	☐ The attached application, or ☐ United States application or PCT international application number filed on
The above-identifie	d application was made or authorized to be made by me.
I believe that I am t	he original inventor or an original joint inventor of a claimed invention in the application.
	lge that any willful false statement made in this declaration is punishable under 18 U.S.C. risonment of not more than five (5) years, or both.
LEGAL NAME O	FINVENTOR
Inventor: Chung	
Signature:	

Bei & Ocean Docket No: GDZY01-66USP

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76).

Title of invention	SKIN CARE AND COSMETIC COMPOSITION			
As the below name	d inventor, I hereby declare that:			
This declaration is directed to:	☑ The attached application, or ☐ United States application or PCT international application number filed on			
The above-identified	d application was made or authorized to be made by me.			
I believe that I am th	ne original inventor or an original joint inventor of a claimed invention in the application.			
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
LEGAL NAME OF INVENTOR				
Inventor: Shiyu ZOU Date(Optional) 201ψ. ψ. [0				
Signature: $312$				

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Application Number: 14258031

Document Date: 04/22/2014

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